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Return of Private Foundation  
or Section 4947(a)(1) Trust Treated as Private Foundation

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2015

Open to Public Inspection

For calendar year 2015, or tax year beginning 9/01, 2015, and ending 8/31, 2016

THE SAMUEL B & MARGARET C MOSHER  
FOUNDATION  
P O BOX 1079  
SANTA BARBARA, CA 93102

A Employer identification number  
95-6037266B Telephone number (see instructions)  
805-682-8879C If exemption application is pending, check here ☐D 1 Foreign organizations check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60 month termination under section 507(b)(1)(B), check here ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity  
☐ Final return ☐ Amended return  
☐ Address change ☐ Name change

H Check type of organization ☒ Section 501(c)(3) exempt private foundation  
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II column (c) line 16)  
\$ 37,282,217

J Accounting method ☒ Cash ☐ Accrual  
☐ Other (specify) \_\_\_\_\_  
(Part I column (d) must be on cash basis)

## Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

REVENUE	1	Contributions, gifts, grants, etc. received (attach schedule)				
	2	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	705,536	705,536		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	910,117			
	b	Gross sales price for all assets on line 6a	24,427,480			
	7	Capital gain net income (from Part IV line 2)		910,117		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold					
c	Gross profit or (loss) (attach schedule)					
11	Other income (attach schedule)					
	See Statement 1	345,952	345,952			
12	Total. Add lines 1 through 11	1,961,605	1,961,605	0		
ADMINISTRATIVE AND OPERATING EXPENSES	13	Compensation of officers, directors, trustees, etc.	157,200	15,720		141,480
	14	Other employee salaries and wages	126,517	12,652		113,865
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule) See St 2	60,931	6,093		54,838
	b	Accounting fees (attach sch) See St 3	38,003	3,800		34,203
	c	Other prof. fees (attach sch)				
	17	Interest				
	18	Taxes (attach schedule) (see instrs) See Stm 4	94,955			27,519
	19	Depreciation (attach schedule) and depletion	10,393			
	20	Occupancy				
	21	Travel, conferences, and meetings	5,883			5,295
	22	Printing and publications				
	23	Other expenses (attach schedule)				
		See Statement 5	296,056	212,470		83,586
	24	Total operating and administrative expenses. Add lines 13 through 23	789,938	264,773		460,786
25	Contributions, gifts, grants paid Part XV	1,240,985			1,240,985	
26	Total expenses and disbursements. Add lines 24 and 25	2,030,923	264,773	0	1,701,771	
27	Subtract line 26 from line 12					
a	Excess of revenue over expenses and disbursements	-69,318				
b	Net investment income (if negative enter 0)		1,696,832			
c	Adjusted net income (if negative enter 0)			0		

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1 Cash — non-interest-bearing	60,489.	76,918.	76,918.
	2 Savings and temporary cash investments	1,067,198.	437,524.	437,524.
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	143,814.	79,435.	79,435.
	10a Investments — U.S. and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule)	12,875,442.	12,463,590.	14,073,752.
	c Investments — corporate bonds (attach schedule)	20,482,714.	21,459,839.	22,284,805.
	LIABILITIES	11 Investments — land, buildings, and equipment basis		
Less: accumulated depreciation (attach schedule)				
12 Investments — mortgage loans				
13 Investments — other (attach schedule)		252,688.	273,385.	273,385.
14 Land, buildings, and equipment basis		87,926.		
Less: accumulated depreciation (attach schedule)		44,317.		
15 Other assets (describe)		2,678.	12,789.	12,789.
16 Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I)		34,939,025.	34,847,089.	37,282,217.
17 Accounts payable and accrued expenses				
18 Grants payable				
19 Deferred revenue				
20 Loans from officers, directors, trustees, & other disqualified persons				
21 Mortgages and other notes payable (attach schedule)				
22 Other liabilities (describe)				
23 Total liabilities (add lines 17 through 22)	0.	0.		
NET ASSETS OR FUND BALANCES	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.	X		
	27 Capital stock, trust principal, or current funds	34,939,025.	34,847,089.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances (see instructions)	34,939,025.	34,847,089.		
31 Total liabilities and net assets/fund balances (see instructions)	34,939,025.	34,847,089.		

## Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	34,939,025.
2 Enter amount from Part I, line 27a	2	-69,318.
3 Other increases not included in line 2 (itemize) See Statement 8	3	66.
4 Add lines 1, 2, and 3	4	34,869,773.
5 Decreases not included in line 2 (itemize) See Statement 9	5	22,684.
6 Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	34,847,089.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1 a See Statement 10</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss)	— [ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 ]	<b>2</b>	910,117.
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	— [ If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 ]	<b>3</b>	0.

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	1,850,229.	37,872,807.	0.048854
2013	1,421,161.	37,612,581.	0.037784
2012	2,111,092.	34,695,156.	0.060847
2011	1,633,172.	32,991,596.	0.049503
2010	1,435,790.	34,224,504.	0.041952
<b>2</b> Total of line 1, column (d)		<b>2</b>	0.238940
<b>3</b> Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years		<b>3</b>	0.047788
<b>4</b> Enter the net value of noncharitable-use assets for 2015 from Part X, line 5		<b>4</b>	35,458,750.
<b>5</b> Multiply line 4 by line 3		<b>5</b>	1,694,503.
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)		<b>6</b>	16,968.
<b>7</b> Add lines 5 and 6		<b>7</b>	1,711,471.
<b>8</b> Enter qualifying distributions from Part XII, line 4		<b>8</b>	1,701,771.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)**

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary – see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	33,937.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	33,937.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 <b>Tax based on investment income.</b> Subtract line 4 from line 3 If zero or less, enter -0-		5	33,937.
6 Credits/Payments			
a 2015 estimated tax prmts and 2014 overpayment credited to 2015	6 a	79,435.	
b Exempt foreign organizations – tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c		
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments Add lines 6a through 6d	7	79,435.	
8 Enter any <b>penalty</b> for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8		
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	45,498.	
11 Enter the amount of line 10 to be Credited to 2016 estimated tax 45,498. Refunded	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file <b>Form 1120-POL</b> for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on <b>Form 990-T</b> for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, col (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) CA		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If 'Yes,' complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses		X

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**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>BURLEIGH SKIDMORE, CPA</u> Telephone no <u>(805) 682-8879</u> Located at <u>3710 STATE STREET, SUITE D SANTA BARBARA CA</u> ZIP + 4 <u>93105</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year <u>N/A</u>	15		N/A
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If 'Yes,' enter the name of the foreign country <u></u>	16		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <u></u>	1 b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? If 'Yes,' list the years <u>20__ , 20__ , 20__ , 20__</u>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions.)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20__ , 20__ , 20__ , 20__</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If 'Yes,' did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015)	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4 b	X

BAA

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)**5 a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5 b N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

**6 a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6 b X

If 'Yes' to 6b, file Form 8870

**7 a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A 7 b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 11		178,500.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000

0

<b>Part VIII</b>	<b>Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors</b> <i>(continued)</i>
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3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		
Total number of others receiving over \$50,000 for professional services		

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1	<u>N/A</u>	
2		
3		
4		

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2		Amount
1	N/A	
2		
All other program-related investments See instructions		
3		
<b>Total. Add lines 1 through 3</b>		0

**BAA**



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities	<b>1 a</b>	35,232,052.
<b>b</b>	Average of monthly cash balances	<b>1 b</b>	766,679.
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1 c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1 d</b>	35,998,731.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1 e</b>	0.
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	35,998,731.
<b>4</b>	Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	539,981.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	<b>5</b>	35,458,750.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	1,772,938.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	1,772,938.
<b>2 a</b>	Tax on investment income for 2015 from Part VI, line 5	<b>2 a</b>	33,937.
<b>b</b>	Income tax for 2015 (This does not include the tax from Part VI.)	<b>2 b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2 c</b>	33,937.
<b>3</b>	Distributable amount before adjustments Subtract line 2c from line 1	<b>3</b>	1,739,001.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	
<b>5</b>	Add lines 3 and 4	<b>5</b>	1,739,001.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	<b>7</b>	1,739,001.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	<b>1 a</b>	1,701,771.
<b>b</b>	Program-related investments — total from Part IX-B	<b>1 b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3 a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3 b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	1,701,771.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	1,701,771.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2015.				
a Enter amount for 2014 only				1,739,001.
b Total for prior years 20__, 20__, 20__			0.	
3 Excess distributions carryover, if any, to 2015		0.		
a From 2010				
b From 2011				
c From 2012	83,269.			
d From 2013				
e From 2014	22,137.			
f Total of lines 3a through e	105,406.			
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ \$ 1,701,771.				
a Applied to 2014, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2015 distributable amount				
e Remaining amount distributed out of corpus	0.			1,701,771.
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a) )	37,230.			37,230.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	68,176.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount — see instructions		0.		
f Undistributed income for 2015 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2016			0.	
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required — see instructions)	0.			0.
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	68,176.			
10 Analysis of line 9				
a Excess from 2011				
b Excess from 2012	46,039.			
c Excess from 2013				
d Excess from 2014	22,137.			
e Excess from 2015				

BAA





**Part XVI-A Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities			3	705,536.	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory					910,117.
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue					
a	<u>CAPITAL GAIN DISTRIBUTION</u>			3	298,510.	
b	<u>K-1 INCOME TCP PINE, LLC</u>			3	19,920.	
c	<u>K-1 INCOME TCP PROVO, LLC</u>			3	27,522.	
d						
e						
12	Subtotal. Add columns (b), (d), and (e)				1,051,488.	910,117.
13	<b>Total.</b> Add line 12, columns (b), (d), and (e)				<b>13</b> 1,051,488.	<b>1,961,605.</b>

(See worksheet in line 13 instructions to verify calculations)

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

[illegible]



**Federal Statements**  
**THE SAMUEL B. & MARGARET C. MOSHER**  
**FOUNDATION**

**Statement 1**  
**Form 990-PF, Part I, Line 11**  
**Other Income**

	(a) Revenue per Books	(b) Net Investment Income	(c) Adjusted Net Income
CAPITAL GAIN DISTRIBUTION	\$ 298,510.	\$ 298,510.	
K-1 INCOME TCP PINE, LLC	19,920.	19,920.	
K-1 INCOME TCP PROVO, LLC	27,522.	27,522.	
Total	<u>\$ 345,952.</u>	<u>\$ 345,952.</u>	<u>\$ 0.</u>

**Statement 2**  
**Form 990-PF, Part I, Line 16a**  
**Legal Fees**

	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
LEGAL FEES	\$ 60,931.	\$ 6,093.		\$ 54,838.
Total	<u>\$ 60,931.</u>	<u>\$ 6,093.</u>	<u>\$ 0.</u>	<u>\$ 54,838.</u>

**Statement 3**  
**Form 990-PF, Part I, Line 16b**  
**Accounting Fees**

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
ACCOUNTING	\$ 38,003.	\$ 3,800.		\$ 34,203.
Total	<u>\$ 38,003.</u>	<u>\$ 3,800.</u>	<u>\$ 0.</u>	<u>\$ 34,203.</u>

**Statement 4**  
**Form 990-PF, Part I, Line 18**  
**Taxes**

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
FEDERAL EXCISE TAX	\$ 64,379.			
FOREIGN TAXES	9,864.	\$ 986.		\$ 8,878.
PAYROLL TAXES	20,151.	2,015.		18,136.
TAX & LICENSE	561.	56.		505.
Total	<u>\$ 94,955.</u>	<u>\$ 3,057.</u>	<u>\$ 0.</u>	<u>\$ 27,519.</u>

**Federal Statements**  
**THE SAMUEL B. & MARGARET C. MOSHER**  
**FOUNDATION**

**Statement 5**  
**Form 990-PF, Part I, Line 23**  
**Other Expenses**

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
BOARD FEE	\$ 21,300.	\$ 2,130.		\$ 19,170.
BROKERAGE FEE	203,182.	203,182.		
DUES & SUBSCRIPTION	6,165.	616.		5,549.
INSURANCE	6,357.	636.		5,721.
MEALS & ENTERTAINMENT	5,956.	596.		5,360.
OFFICE EXPENSES	2,373.	237.		2,136.
OFFICE SUPPLIES	1,475.	148.		1,327.
PARKING	780.	78.		702.
PAYROLL SERVICE FEE	2,482.	248.		2,234.
POSTAGE	649.	65.		584.
PROFESSIONAL FEE	13,500.	1,350.		12,150.
Rental Expenses	28,644.	2,864.		25,780.
REPAIRS & MAINTENANCE	357.	36.		321.
UTILITIES	2,649.	265.		2,384.
WEBSITE DEVELOPMENT EXP.	187.	19.		168.
Total	\$ 296,056.	\$ 212,470.	\$ 0.	\$ 83,586.

**Statement 6**  
**Form 990-PF, Part II, Line 14**  
**Land, Buildings, and Equipment**

Category	Basis	Accum. Deprec.	Book Value	Fair Market Value
Furniture and Fixtures	\$ 29,255.	\$ 22,356.	\$ 6,899.	\$ 6,899.
Machinery and Equipment	2,194.	2,194.	0.	0.
Improvements	56,477.	19,767.	36,710.	36,710.
Total	\$ 87,926.	\$ 44,317.	\$ 43,609.	\$ 43,609.

**Statement 7**  
**Form 990-PF, Part II, Line 15**  
**Other Assets**

	Book Value	Fair Market Value
DEPOSIT - INSURANCE	\$ 750.	\$ 750.
FINE ART	11,720.	11,720.
PREPAID EXPENSES	319.	319.
Total	\$ 12,789.	\$ 12,789.



**Statement 8**  
**Form 990-PF, Part III, Line 3**  
**Other Increases**

K-1 TCP PROVO, LLC ADJ

\$ 66.  
 Total \$ 66.

**Statement 9**  
**Form 990-PF, Part III, Line 5**  
**Other Decreases**

K-1 TCP PINE LLC ADJ  
 UB CORRECTED 1099 ADJ

\$ 28.  
 22,656.  
 Total \$ 22,684.

**Statement 10**  
**Form 990-PF, Part IV, Line 1**  
**Capital Gains and Losses for Tax on Investment Income**

Item	(a) Description	(b) How Acquired	(c) Date Acquired	(d) Date Sold
1	2,675 LIBERTY INTERACTIVE CORP	Purchased	6/03/2014	9/02/2015
2	2,559 AMERICAN INT'L GROUP INC.	Purchased	6/03/2014	9/02/2015
3	3,541 COCA COLA	Purchased	1/07/2003	9/02/2015
4	6,250 FRANKLIN RES INC.	Purchased	6/03/2014	9/02/2015
5	2,187 EATON CORP PLC	Purchased	6/03/2014	9/02/2015
6	1,838 INTERNATIONAL PAPER CO	Purchased	10/09/2014	9/02/2015
7	7,618 GENERAL ELECTRIC CO	Purchased	2/10/2004	9/02/2015
8	1,496 MCDONALD'S CORP	Purchased	4/15/2003	9/02/2015
9	1,704 THE HERSHEY CO.	Purchased	8/05/2010	9/02/2015
10	1,703 3M COMPANY	Purchased	2/10/2004	9/02/2015
11	2,222 UNITED HEALTH GROUP	Purchased	6/03/2014	9/02/2015
12	1,825 ORACLE CORP	Purchased	3/26/2004	9/02/2015
13	5,664 SUNCOR ENERGY, INC.	Purchased	6/03/2014	9/02/2015
14	2,250 EXPRESS SCRIPTS HLDG CO.	Purchased	12/17/2013	9/02/2015
15	1,712 HCA HOLDINGS INC.	Purchased	6/03/2014	9/02/2015
16	1,264 TOYOTA MOTOR CORP	Purchased	4/07/2003	9/02/2015
17	4,580 WEYERHAEUSER CO	Purchased	1/09/2015	9/02/2015
18	3,750 ROYAL DUTCH SHELL PLC	Purchased	4/13/2002	9/02/2015
19	15,000 UBS GROUP AG SHS	Purchased	6/03/2014	9/02/2015
20	2,479 NOVARTIS AG SPONSRD ADR	Purchased	6/03/2014	9/02/2015
21	6,642 ROCHE HLDG LTD	Purchased	6/03/2014	9/02/2015
22	325 GRAINGER WW INC	Purchased	9/02/2015	10/20/2015
23	2,000 AMERICAN INT'L GROUP INC.	Purchased	6/03/2014	10/20/2015
24	7,459 COCA COLA	Purchased	3/04/2003	10/20/2015
25	500 3M COMPANY	Purchased	4/15/2003	10/20/2015
26	2,296 THE HERSHEY CO.	Purchased	8/05/2010	10/20/2015
27	2,000 INTERNATIONAL PAPER CO	Purchased	10/09/2014	10/20/2015
28	754 MCDONALD'S CORP	Purchased	4/15/2003	10/20/2015
29	6,000 ORACLE CORP	Purchased	3/26/2004	10/20/2015
30	1,278 UNITED HEALTH GROUP	Purchased	6/03/2014	10/20/2015
31	1,750 EXPRESS SCRIPTS HLDG CO.	Purchased	11/04/2013	10/20/2015
32	998 VERISK ANALYTICS INC	Purchased	9/02/2015	10/20/2015
33	2,200 ROCHE HLDG LTD	Purchased	6/03/2014	10/20/2015
34	1,288 HCA HOLDINGS INC.	Purchased	6/03/2014	10/20/2015
35	1,111 TOYOTA MOTOR CORP	Purchased	4/07/2003	10/20/2015

**Statement 10 (continued)**  
**Form 990-PF, Part IV, Line 1**  
**Capital Gains and Losses for Tax on Investment Income**

<u>Item</u>	<u>(a) Description</u>	<u>(b) How Acquired</u>	<u>(c) Date Acquired</u>	<u>(d) Date Sold</u>
36	5,000 WEYERHAEUSER CO	Purchased	1/07/2015	10/20/2015
37	1,763 CHEVRON CORP	Purchased	10/09/2014	10/20/2015
38	1,313 EATON CORP PLC	Purchased	6/03/2014	10/20/2015
39	800 NOVARTIS AG SPONSRD ADR	Purchased	6/03/2014	10/20/2015
40	1,900 PHILLIPS 66	Purchased	10/09/2014	10/20/2015
41	6,823 PRIMECAP ODYSSEY AGGR #1651	Purchased	12/31/2013	10/27/2015
42	15,054 TIAA-CREF MID-CAP VALUE #1603	Purchased	3/31/2015	10/27/2015
43	13,434 VANGUARD ST INVESTMENT GRADE 539	Purchased	3/31/2014	10/27/2015
44	1,941 AMERICAN INT'L GROUP INC.	Purchased	6/03/2014	11/04/2015
45	2,162 INTERNATIONAL PAPER CO	Purchased	10/09/2014	11/04/2015
46	535 3M COMPANY	Purchased	4/15/2003	11/04/2015
47	1,586 EDISON INTERNATIONAL	Purchased	6/03/2014	11/04/2015
48	2,892 ORACLE CORP	Purchased	4/15/2003	11/04/2015
49	721 NOVARTIS AG SPONSRD ADR	Purchased	6/03/2014	11/04/2015
50	2,158 ROCHE HLDG LTD	Purchased	1/06/2015	11/04/2015
51	GECC NTS 2.250% 11/9/2015	Purchased	12/12/2013	11/09/2015
52	60,000 OSTERWEISS STRATEGIC	Purchased	12/16/2013	12/14/2015
53	45,000 PIMCO ALL ASSET #34	Purchased	11/29/2013	12/14/2015
54	3,784 BK NY MELLON CORP	Purchased	10/09/2014	12/11/2015
55	449 ECOLAB INC	Purchased	8/04/2015	12/11/2015
56	3,033 ORACLE CORP	Purchased	4/15/2003	12/11/2015
57	512 3M COMPANY	Purchased	4/15/2003	12/11/2015
58	1,668 CERNER CORP	Purchased	9/02/2015	12/11/2015
59	2,906 PROCTER & GAMBLE	Purchased	1/07/2003	12/11/2015
60	318 COSTCO WHOLESALE	Purchased	8/04/2015	12/11/2015
61	1,702 ALLSTATE CORP	Purchased	9/02/2015	12/11/2015
62	2,164 HOLOGIC INC	Purchased	9/02/2015	12/11/2015
63	3,548 COMCAST CORP	Purchased	6/03/2014	12/11/2015
64	1,385 TIME WARNER INC	Purchased	2/02/2015	12/11/2015
65	1,923 PHILIPS 66	Purchased	10/09/2014	12/11/2015
66	508 CONSTELLATION BRANDS	Purchased	8/04/2015	12/11/2015
67	6,250 SPDR BARCLAYS	Purchased	11/29/2013	12/11/2015
68	4,000 QUALCOMM INC.	Purchased	6/03/2014	12/11/2015
69	5,420 WEYERHAEUSER CO	Purchased	1/07/2015	12/11/2015
70	1,826 UNITED PARCEL SERVICE	Purchased	6/03/2014	12/11/2015
71	20,430 DODGE & COX INTL STK FD #1048	Purchased	6/03/2014	1/07/2016
72	33,442 MFS INTL GRWTH FD CL 1	Purchased	6/17/2015	1/07/2016
73	888 MEDTRONIC PLC	Purchased	1/27/2015	1/05/2016
74	701 ADOBE SYSTEM INC	Purchased	8/04/2015	1/05/2016
75	17,500 VNDR FTSE ALL WORLD	Purchased	12/17/2013	1/05/2016
76	1,923 BK NEW YORK MELLON	Purchased	10/09/2014	2/02/2016
77	2,521 JP MORGAN CHASE	Purchased	1/10/2003	2/02/2016
78	1,930 TARGET CORP	Purchased	6/03/2014	2/02/2016
79	2,078 MEDTRONIC PLC	Purchased	1/27/2015	2/02/2016
80	531 INTERCONTINENTAL EXCHANGE	Purchased	1/06/2015	2/02/2016
81	2,518 MASTERCARD INC-A	Purchased	6/17/2015	2/02/2016
82	1,888 UNITED PARCEL SERVICE	Purchased	10/09/2014	2/02/2016
83	1,089 FIRST REP BK SF CA	Purchased	2/02/2016	2/09/2016
84	263 BERKSHIRE HATHAWAY B	Purchased	9/02/2015	2/09/2016
85	234 INTERCONTINENTAL EXCHANGE	Purchased	1/06/2015	2/09/2016
86	5,564 BAXALTA INC	Purchased	11/04/2015	2/09/2016
87	2,479 JP MORGAN CHASE	Purchased	1/10/2003	2/09/2016
88	8,149 T ROWE PRICE NEW HORIZONS	Purchased	10/09/2014	3/04/2016
89	758 VERIZON COMMUNICATIONS	Purchased	6/03/2014	3/02/2016
90	233 HUNT JB TRANS SVCS INC	Purchased	9/02/2015	3/02/2016
91	320 FACEBOOK INC CL A	Purchased	8/04/2015	3/02/2016

**Statement 10 (continued)**  
**Form 990-PF, Part IV, Line 1**  
**Capital Gains and Losses for Tax on Investment Income**

Item	(a) Description	(b) How Acquired	(c) Date Acquired	(d) Date Sold
92	1,535 MICROSOFT CORP	Purchased	6/03/2014	3/02/2016
93	307 CELGENE CORP	Purchased	11/04/2015	3/02/2016
94	163 CALIFORNIA RES CORP	Purchased	9/02/2015	3/30/2016
95	7,684 PFIZER INC	Purchased	2/02/2016	3/30/2016
96	792 FIRST REP BK SF CA	Purchased	9/02/2015	3/30/2016
97	21,565 EATON VANCE FLTG #904	Purchased	12/14/2015	5/09/2016
98	1,244 TEXAS INSTRS INC.	Purchased	2/02/2016	5/05/2016
99	1,182 MERCK & CO.	Purchased	12/11/2015	5/05/2016
100	1,514 BAXTER INTL INC.	Purchased	9/02/2015	5/05/2016
101	734 LINEAR TECHNOLOGY CORP	Purchased	12/11/2015	5/05/2016
102	2,094 PROCTER & GAMBLE	Purchased	1/07/2003	5/05/2016
103	261 BRISTOL MYERS SQUIBB	Purchased	9/02/2015	6/07/2016
104	423 HALLIBURTON CO	Purchased	9/02/2015	6/07/2016
105	649 TARGET CORP	Purchased	6/03/2014	6/07/2016
106	504 WEC ENERGY GROUP INC	Purchased	8/04/2015	6/07/2016
107	20,807 EATON VANCE FLTG #904	Purchased	12/14/2015	6/10/2016
108	107,019 PIMCO HIGH YIELD INSTL #108	Purchased	1/07/2016	6/10/2016
109	73,964 LOOMIS SAYLES BOND FD	Purchased	12/16/2014	7/01/2016
110	42,052 PIMCO INCOME FD INSTL 1821	Purchased	12/10/2014	7/01/2016
111	7 BRISTOL MYERS SQUIBB	Purchased	9/02/2015	7/05/2016
112	399 TRACTOR SUPPLY CO	Purchased	12/11/2015	7/05/2016
113	278 ALLERGAN PLC	Purchased	1/05/2016	7/05/2016
114	2,146 CAPITAL ONE FINANCIAL	Purchased	12/11/2015	7/05/2016
115	674 DOLLAR TREE STORES	Purchased	5/05/2016	7/05/2016
116	3,652 ZIONS BANCORPORATION	Purchased	1/30/2016	7/05/2016
117	385 CELGENE CORP	Purchased	11/04/2015	7/05/2016
118	92,679 VANGUARD INVEST GRADE #539	Purchased	12/17/2014	7/08/2016
119	23,191 VANGUARD INVEST GRADE #539	Purchased	9/27/2007	7/13/2016
120	2,785 VANGUARD INVEST GRADE #539	Purchased	9/27/2007	7/27/2016
121	2,788 VANGUARD INVEST GRADE #539	Purchased	9/27/2007	7/28/2016
122	6,951 VANGUARD INVEST GRADE #539	Purchased	9/27/2007	8/02/2016
123	13,903 VANGUARD INVEST GRADE #539	Purchased	9/27/2007	8/03/2016
124	5,620 DODGE & COX INTL STK FD #1048	Purchased	1/09/2015	8/04/2016
125	6,774 MFS INTL GROWTH FD CL 1 #886	Purchased	6/17/2015	8/04/2016
126	5,685 PIMCO INCOME FD INSTL 1821	Purchased	8/14/2013	8/04/2016
127	40,124 VANGRD ST TERM INVT ADM #539	Purchased	9/27/2007	8/04/2016
128	709 GILEAD SCIENCES INC	Purchased	11/04/2015	8/02/2016
129	3,250 DANAHER CORP	Purchased	6/03/2014	8/02/2016
130	1,213 STERICYCLE INC	Purchased	11/04/2015	8/03/2016
131	2,644 LINEAR TECHNOLOGY CORP	Purchased	12/11/2015	8/04/2016
132	8,942 LOOMIS SAYLES BOND FD	Purchased	10/23/2015	8/02/2016
133	7,516 PIMCO INCOME FD INSTL 1821	Purchased	8/20/2013	8/12/2016
134	FGLMC #120463 2.50% 9/1/27	Purchased	6/30/2016	8/05/2016
135	FHLMC G07289 3.00% 11/1/42	Purchased	6/30/2016	8/15/2016
136	CERB 2009-1 A2 3.46% 8/15/19	Purchased	7/07/2016	8/15/2016
137	9,292 LOOMIS SAYLES BOND FD	Purchased	10/23/2015	8/16/2016
138	13,248 LOOMIS SAYLES BOND FD	Purchased	10/23/2015	8/19/2016
139	US TREASURY NTS 1.00% 5/31/18	Purchased	7/08/2016	8/19/2016
140	GNMA #MA0825 2.50% 3/20/28	Purchased	6/30/2016	8/20/2016
141	16,506 LOOMIS SAYLES BOND FD	Purchased	10/23/2015	8/24/2016
142	US TREASURY NTS 1.50% 2/28/19	Purchased	7/08/2016	8/25/2016
143	11,000 LOOMIS SAYLES BOND FD	Purchased	12/17/2015	8/26/2016
144	1,477 DAVITA HEALTH CARE PARTNERS INC	Purchased	9/02/2015	8/24/2016

**Statement 10 (continued)**  
**Form 990-PF, Part IV, Line 1**  
**Capital Gains and Losses for Tax on Investment Income**

Item	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i) - (j)	(l) Gain (Loss)
1	73,020.		66,428.	6,592.			\$ 6,592.	
2	150,018.		138,167.	11,851.			11,851.	
3	136,937.		79,141.	57,796.			57,796.	
4	245,237.		345,491.	-100,254.			-100,254.	
5	120,391.		154,589.	-34,198.			-34,198.	
6	76,663.		87,752.	-11,089.			-11,089.	
7	186,334.		247,754.	-61,420.			-61,420.	
8	143,371.		23,651.	119,720.			119,720.	
9	150,183.		78,672.	71,511.			71,511.	
10	238,819.		131,491.	107,328.			107,328.	
11	251,321.		177,567.	73,754.			73,754.	
12	66,387.		22,064.	44,323.			44,323.	
13	149,635.		219,409.	-69,774.			-69,774.	
14	186,242.		147,280.	38,962.			38,962.	
15	144,395.		93,330.	51,065.			51,065.	
16	147,806.		60,816.	86,990.			86,990.	
17	126,440.		167,699.	-41,259.			-41,259.	
18	191,812.		77,202.	114,610.			114,610.	
19	303,491.		294,418.	9,073.			9,073.	
20	235,332.		220,967.	14,365.			14,365.	
21	219,693.		249,440.	-29,747.			-29,747.	
22	64,994.		72,261.	-7,267.			-7,267.	
23	120,125.		107,985.	12,140.			12,140.	
24	313,579.		154,609.	158,970.			158,970.	
25	73,706.		33,310.	40,396.			40,396.	
26	217,267.		106,004.	111,263.			111,263.	
27	82,756.		95,486.	-12,730.			-12,730.	
28	78,426.		11,920.	66,506.			66,506.	
29	220,072.		71,214.	148,858.			148,858.	
30	154,472.		102,129.	52,343.			52,343.	
31	153,346.		108,960.	44,386.			44,386.	
32	79,225.		72,401.	6,824.			6,824.	
33	74,522.		82,329.	-7,807.			-7,807.	
34	94,891.		70,216.	24,675.			24,675.	
35	136,171.		50,331.	85,840.			85,840.	
36	145,869.		180,678.	-34,809.			-34,809.	
37	158,252.		205,237.	-46,985.			-46,985.	
38	67,151.		92,810.	-25,659.			-25,659.	
39	74,947.		71,010.	3,937.			3,937.	
40	153,362.		149,675.	3,687.			3,687.	
41	225,931.		165,187.	60,744.			60,744.	
42	352,131.		374,562.	-22,431.			-22,431.	
43	143,477.		143,758.	-281.			-281.	
44	117,613.		104,800.	12,813.			12,813.	
45	92,867.		103,220.	-10,353.			-10,353.	
46	85,045.		35,642.	49,403.			49,403.	
47	96,102.		89,246.	6,856.			6,856.	
48	116,039.		33,475.	82,564.			82,564.	
49	65,397.		63,997.	1,400.			1,400.	
50	73,615.		74,203.	-588.			-588.	
51	500,000.		515,010.	-15,010.			-15,010.	
52	646,206.		705,225.	-59,019.			-59,019.	
53	461,700.		547,900.	-86,200.			-86,200.	

**Statement 10 (continued)**  
**Form 990-PF, Part IV, Line 1**  
**Capital Gains and Losses for Tax on Investment Income**

Item	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i) - (j)	(l) Gain (Loss)
54	157,492.		145,163.	12,329.				\$ 12,329.
55	51,223.		51,958.	-735.				-735.
56	113,914.		35,107.	78,807.				78,807.
57	79,668.		34,109.	45,559.				45,559.
58	99,855.		101,252.	-1,397.				-1,397.
59	224,958.		126,760.	98,198.				98,198.
60	50,782.		46,158.	4,624.				4,624.
61	105,285.		98,317.	6,968.				6,968.
62	83,564.		81,135.	2,429.				2,429.
63	209,777.		184,599.	25,178.				25,178.
64	91,999.		107,458.	-15,459.				-15,459.
65	163,350.		151,487.	11,863.				11,863.
66	70,903.		61,479.	9,424.				9,424.
67	281,335.		286,535.	-5,200.				-5,200.
68	191,313.		322,090.	-130,777.				-130,777.
69	166,494.		195,854.	-29,360.				-29,360.
70	179,560.		188,821.	-9,261.				-9,261.
71	693,202.		938,238.	-245,036.				-245,036.
72	907,964.		1035,715.	-127,751.				-127,751.
73	67,505.		66,041.	1,464.				1,464.
74	64,794.		57,150.	7,644.				7,644.
75	743,974.		867,252.	-123,278.				-123,278.
76	66,696.		73,771.	-7,075.				-7,075.
77	145,045.		68,263.	76,782.				76,782.
78	140,397.		109,979.	30,418.				30,418.
79	158,589.		154,542.	4,047.				4,047.
80	140,694.		117,158.	23,536.				23,536.
81	220,065.		237,652.	-17,587.				-17,587.
82	179,678.		192,647.	-12,969.				-12,969.
83	62,494.		69,533.	-7,039.				-7,039.
84	33,567.		34,611.	-1,044.				-1,044.
85	56,459.		51,629.	4,830.				4,830.
86	203,361.		188,746.	14,615.				14,615.
87	138,896.		67,126.	71,770.				71,770.
88	320,276.		375,151.	-54,875.				-54,875.
89	38,926.		37,477.	1,449.				1,449.
90	18,093.		17,007.	1,086.				1,086.
91	35,077.		30,035.	5,042.				5,042.
92	80,391.		61,968.	18,423.				18,423.
93	32,100.		38,883.	-6,783.				-6,783.
94	163.		202.	-39.				-39.
95	231,377.		241,383.	-10,006.				-10,006.
96	52,965.		46,928.	6,037.				6,037.
97	183,308.		178,132.	5,176.				5,176.
98	70,333.		65,065.	5,268.				5,268.
99	63,186.		65,280.	-2,094.				-2,094.
100	68,396.		56,248.	12,148.				12,148.
101	32,369.		32,008.	361.				361.
102	171,035.		91,340.	79,695.				79,695.
103	19,372.		15,439.	3,933.				3,933.
104	19,016.		16,168.	2,848.				2,848.
105	44,951.		36,982.	7,969.				7,969.
106	30,832.		24,759.	6,073.				6,073.

	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i) - (j)	(l) Gain (Loss)
107	178,318.		171,868.	6,450.				\$ 6,450.
108	916,083.		879,697.	36,386.				36,386.
109	1008136.		1079764.	-71,628.				-71,628.
110	500,841.		515,149.	-14,308.				-14,308.
111	517.		414.	-103.				-103.
112	36,603.		35,291.	1,312.				1,312.
113	65,113.		85,902.	-20,789.				-20,789.
114	131,175.		160,026.	-28,851.				-28,851.
115	63,257.		55,221.	8,036.				8,036.
116	85,163.		90,286.	-5,123.				-5,123.
117	38,526.		48,529.	-10,003.				-10,003.
118	1000006.		985,024.	14,982.				14,982.
119	250,000.		245,594.	4,406.				4,406.
120	30,028.		29,499.	529.				529.
121	30,056.		29,526.	530.				530.
122	75,000.		73,610.	1,390.				1,390.
123	150,013.		147,233.	2,780.				2,780.
124	205,200.		232,965.	-27,765.				-27,765.
125	204,102.		209,792.	-5,690.				-5,690.
126	68,114.		69,137.	-1,023.				-1,023.
127	433,344.		424,917.	8,427.				8,427.
128	56,383.		77,731.	-21,348.				-21,348.
129	262,195.		189,611.	72,584.				72,584.
130	106,148.		159,882.	-53,734.				-53,734.
131	156,167.		107,217.	48,950.				48,950.
132	124,654.		125,637.	-983.				-983.
133	90,271.		90,792.	-521.				-521.
134	2,454.		2,545.	-91.				-91.
135	789.		827.	-38.				-38.
136	17,174.		17,547.	-373.				-373.
137	130,186.		130,558.	-372.				-372.
138	185,735.		186,132.	-397.				-397.
139	351,490.		352,337.	-847.				-847.
140	1,667.		1,731.	-64.				-64.
141	231,084.		231,909.	-825.				-825.
142	152,478.		153,066.	-588.				-588.
143	153,670.		153,353.	317.				317.
144	95,539.		110,136.	-14,597.				-14,597.
							Total	\$ 910,117.

**Statement 11**  
**Form 990-PF, Part VIII, Line 1**  
**List of Officers, Directors, Trustees, and Key Employees**

<u>Name and Address</u>	<u>Title and Average Hours Per Week Devoted</u>	<u>Compen- sation</u>	<u>Contri- bution to EBP &amp; DC</u>	<u>Expense Account/ Other</u>
EDWARD BIRCH P.O. BOX 1079 SANTA BARBARA, CA 93102	President & CEO 15.00	\$ 157,200.	\$ 0.	\$ 0.
ROBERT EMMONS P.O. BOX 1079 SANTA BARBARA, CA 93102	Director 2.00	6,800.	0.	0.
ALIXE MATTINGLY P.O. BOX 1079 SANTA BARBARA, CA 93102	Director 2.00	6,800.	0.	0.
R. BRUCE MCFADDEN P.O. BOX 1079 SANTA BARBARA, CA 93102	Director 2.00	6,800.	0.	0.
DAVID WINTER P.O. BOX 1079 SANTA BARBARA, CA 93102	Director 2.00	900.	0.	0.
<b>Total</b>		<u>\$ 178,500.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

**Statement 12**  
**Form 990-PF, Part XV, Line 2a-d**  
**Application Submission Information**

Name of Grant Program:	MOSHER FOUNDATION
Name:	EDWARD BIRCH - PRESIDENT/CEO
Care Of:	P.O. BOX 1079
Street Address:	SANTA BARBARA, CA 93102
City, State, Zip Code:	(805) 962-1700
Telephone:	
E-Mail Address:	
Form and Content:	OUTLINE OF THE SPECIFIC PURPOSE AND AMOUNT OF GRANT APPLIED FOR.
Submission Deadlines:	APPLICATIONS ARE ACCEPTED ANY TIME DURING BUSINESS HOURS.
Restrictions on Awards:	GRANTS TO BE USED SPECIFICALLY FOR ORGANIZATIONS WHICH ARE DESCRIBED IN SECTIONS 170(C) 501(C) AND 2522(A) OF THE INTERNAL REVENUE CODE AND WHICH ARE ORGANIZED AND OPERATED FOR CHARITABLE, EDUCATIONAL, HEALTH CARE, INCLUDING PERFORMING ARTS INSTITUTIONS.

**Statement 13**  
**Form 990-PF, Part XV, Line 3a**  
**Recipient Paid During the Year**

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
ALL SAINTS BY -THE-SEA EPISCOPAL CHURCH 83 EUCALYPTUS LANE SANTA BARBARA CA 93108	NONE	EXEMPT	SUPPORT FOR JIM BOWER COMMUNITY OUTREACH GOLF TOURNAMENT.	\$ 3,000.
ALZHEIMERS ASSOC. CA CENTRAL CHAPTER 1528 CHAPALA STREET SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,000.
ANGELS FOSTER CARE 3905 STATE ST. UNIT 7-115 SANTA BARBARA CA 93105	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,000.
ASSISTANCE LEAGUE OF SANTA BARBARA 1259 VERONICA SPRINGS ROAD SANTA BARBARA CA 93105	NONE	EXEMPT	GENERAL PROGRAM SUPPORT AND TABLE OF LIFE SPONSORSHIP.	500.
BE THE MATCH 500 N 5TH STREET MINNEAPOLIS MN 55401	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,000.
CAMA 2060 ALAMEDA PADRE SERRA #201 SANTA BARBARA CA 93103	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	26,000.
CAMP DRIVEN SPIRIT 199 VALPARAISO AVENUE COTATI CA 94931	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	5,000.
CAMP KASEM P.O. BOX 13883 SANTA BARBARA CA 93107	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,000.
CANCER CENTER OF SANTA BARBARA 524 WEST PUEBLO STREET SANTA BARBARA CA 93105	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	150,000.
CASA DEL HERRERO FOUNDATION 1387 EAST VALLEY ROAD SANTA BARBARA CA 93106	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	750.
COTTAGE HEALTH CARE SYSTEM P.O. BOX 689 SANTA BARBARA CA 93102	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,000.



**Statement 13 (continued)**  
**Form 990-PF, Part XV, Line 3a**  
**Recipient Paid During the Year**

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
CSU CHANNEL ISLANDS FOUNDATION ONE UNIVERSITY DRIVE CAMARILLO CA 93012	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	\$ 50,000.
DIRECT RELIEF FOUNDATION 27 S LA PATERA LANE SANTA BARBARA CA 93117	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	2,000.
DOS PUEBLOS ENGINEERING ACADEMY P.O. BOX 313 GOLETA CA 93116	NONE	EXEMPT	PROGRAM SUPPORT FOR ALLOSPHERE COLLABORATION.	30,000.
DOS PUEBLOS HIGH SCHOOL 7266 ALAMEDA AVENUE GOLETA CA 93117	NONE	EXEMPT	SUPPORT FOR INTERNATIONAL BACCALAUREATE TEST SCHOLARSHIPS.	5,000.
ENSEMBLE THEATRE OF SANTA BARBARA P.O. BOX 2307 SANTA BARBARA CA 93120	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	24,000.
FOOD FROM THE HEART P.O. BOX 3908 SANTA BARBARA CA 93130	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,000.
FOUNDATION ROUNDTABLE P.O. BOX 60157 SANTA BARBARA CA 93160	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	2,500.
FOODBANK OF SANTA BARBARA COUNTY 4554 HOLLISTER AVENUE SANTA BARBARA CA 93110	NONE	EXEMPT	GENERAL PROGRAM AND EVENT SPONSORSHIP.	1,000.
FRIENDSHIP PADDLE 920 GARDEN STREET SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	2,000.
GANNA WALSKA LOTUSLAND 695 ASHLEY ROAD SANTA BARBARA CA 93106	NONE	EXEMPT	GRANT/GENERAL PROGRAM SUPPORT.	8,000.
GIRLS INC OF GREATER SANTA BARBARA P.O. BOX 236 SANTA BARBARA CA 93102	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,000.

**Statement 13 (continued)**  
**Form 990-PF, Part XV, Line 3a**  
**Recipient Paid During the Year**

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
HOSPICE OF SANTA BARBARA 2050 ALAMEDA PADRE SERRA #100 SANTA BARBARA CA 93103	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	\$ 1,000.
ISLA VISTA YOUTH PROJECTS INC. 6842 PHELPS ROAD GOLETA CA 93117	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	20,000.
JDRF - CENTRAL COAST COUNCIL 811 WILSHIRE BLVD. #1600 LOS ANGELES CA 90017	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	10,000.
JDRF - LOS ANGELES 811 WILSHIRE BLVD. #1600 LOS ANGELES CA 90017	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	250.
JODI HOUSE 625 CHAPALA STREET SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	10,000.
KAVLI INSTITUTE OF THEORETICAL PHYSICS C/O UC SANTA BARBARA SANTA BARBARA CA 93106	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,000.
KCRW - SANTA BARBARA 612 ANACAPA ST. UNIT A SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,000.
KIDS HELPING KIDS FOUNDATION 4750 HOLLISTER AVENUE SANTA BARBARA CA 93110	NONE	EXEMPT	GENERAL PROGRAM SUPPORT AND PREMIER SPONSORSHIP.	15,000.
LAGUNA BLANCA SCHOOL 4125 PALOMA DRIVE SANTA BARBARA CA 93110	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	2,000.
LAGUNA COTTAGE FOR SENIORS 803 LAGUNA STREET SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,000.
LEADING FROM WITHIN 105 E. DE LA GUERRA ST. SUITE B SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,000.

**Statement 13 (continued)**  
**Form 990-PF, Part XV, Line 3a**  
**Recipient Paid During the Year**

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
LIFE CHRONICLES 113 W MISSION STREET, SUITE B2 SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	\$ 2,500.
MARYMOUNT ACADEMY, INC. 2130 MISSION RIDGE ROAD SANTA BARBARA CA 93103	NONE	EXEMPT	GENERAL PROGRAM SUPPORT AND EVENT SPONSHORSHIP.	13,500.
MENTAL WELLNESS CENTER 617 GARDEN STREET SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,000.
MOXI 125 STATE STREET SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	87,500.
MUSEUM OF CONTEMPORARY ART - SB 653 PASEO NUEVO SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	3,000.
MUSIC ACADEMY OF THE WEST 1070 FAIRWAY ROAD SANTA BARBARA CA 93108	NONE	EXEMPT	SUPPORT FOR MOSHER GUEST ARTISTRY SERIES.	100,500.
OPERA SANTA BARBARA 1330 STATE STREET, SUITE 209 SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	56,500.
PEABODY CHARTER SCHOOL FOUNDATION 3018 CALLE NOGUERA SANTA BARBARA CA 93105	NONE	EXEMPT	SUPPORT FOR CHILDREN'S LIBRARY.	1,000.
PHILANTHROPY ROUNDTABLE 1120 20TH STREET NW SUITE 550 WASHINGTON DC 20036	NONE	EXEMPT	GENERAL PROGRAM & MEMBERSHIP SUPPORT.	5,635.
PROVIDENCE 630 E CANON PERDIDO STREET SANTA BARBARA CA 93103	NONE	EXEMPT	SUPPORT FOR GENERAL PROGRAM AND FALL FORUM EVENT.	7,500.
SAN MARCOS HIGH SCHOOL 4750 HOLLISTER AVENUE SANTA BARBARA CA 93110	NONE	EXEMPT	SUPPORT FOR ROYAL LIFE FITNESS COURSE.	5,000.

**Statement 13 (continued)**  
**Form 990-PF, Part XV, Line 3a**  
**Recipient Paid During the Year**

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
SANTA BARBARA ATHLETIC ROUNDTABLE P.O. BOX 3813 SANTA BARBARA CA 93130	NONE	EXEMPT	WOMEN AND GIRLS IN SPORTS LUNCHEON 2016.	\$ 1,000.
SANTA BARBARA BOWL FOUNDATION 1122 N. MILPAS STREET SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	50,500.
SANTA BARBARA CHORAL SOCIETY 1330 STATE STREET #202 SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,000.
SANTA BARBARA COTTAGE HOSPITAL FOUNDATIO P.O. BOX 689 SANTA BARBARA CA 93102	NONE	EXEMPT	TABLE SPONSORSHIP FOR TIARA BALL 2016.	6,000.
SANTA BARBARA EDUCATION FOUNDATION 1330 STATE STREET, SUITE 201 SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL SUPPORT AND EVENT SPONSORSHIP.	2,000.
SANTA BARBARA FOUNDATION 1111 CHAPALA STREET, SUITE 200 SANTA BARBARA CA 93101	NONE	EXEMPT	SUPPORT FOR THE KEY CLASS FUND.	3,500.
SANTA BARBARA MARITIME MUSEUM 113 HARBOR WAY, SUITE 190 SANTA BARBARA CA 93109	NONE	EXEMPT	SUPPORT FOR THE BERKUS CHILDREN'S GALLERY.	750.
SANTA BARBARA MUSEUM OF ART 1130 STATE STREET SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	108,000.
SANTA BARBARA MUSEUM OF NATURAL HISTORY 2559 PUESTA DEL SOL ROAD SANTA BARBARA CA 93105	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	3,000.
SANTA BARBARA NEIGHBORHOOD CLINICS 923 N MILPAS STREET SANTA BARBARA CA 93103	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,000.

**Statement 13 (continued)**  
**Form 990-PF, Part XV, Line 3a**  
**Recipient Paid During the Year**

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
SANTA BARBARA RESCUE MISSION 535 E YANONALI STREET SANTA BARBARA CA 93103	NONE	EXEMPT	SUPPORT FOR ROCK AROUND THE BAYOU EVENT.	\$ 1,500.
SANTA BARBARA SYMPHONY 1330 STATE STREET, SUITE 102 SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	35,000.
SANTA BARBARA UNIFIED SCHOOL DISTRICT 720 SANTA BARBARA STREET SANTA BARBARA CA 93101	NONE	EXEMPT	SUPPORT FOR MARTHA GRAHAM THEATER & SB HIGH SCHOOLS GIVE BACK.	20,000.
SANTA BARBARA ZOOLOGICAL GARDENS 500 NINOS DRIVE SANTA BARBARA CA 93103	NONE	EXEMPT	SPONSORSHIP FOR ZOO FARI BALL.	2,750.
SB CENTER FOR THE PERFORMING ARTS 1216 STATE STREET, SUITE 201 SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	2,500.
SB CLUB PRESERVATION FOUNDATION 105 CHAPALA STREET SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,000.
SB INTERNATIONAL ORCHID SHOW, INC. P.O. BOX 62154 SANTA BARBARA CA 93160	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,000.
SB TRUST FOR HISTORIC PRESERVATION 123 E. CANON PERDIDO ST SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	25,000.
SCHOLARSHIP FOUNDATION OF SANTA BARBARA P.O. BOX 3620 SANTA BARBARA CA 93130	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,000.
STORYTELLER CHILDREN'S INC. 2115 STATE STREET SANTA BARBARA CA 93105	NONE	EXEMPT	GENERAL PROGRAM SUPPORT AND HONOR ROLL PROM.	7,500.

**Statement 13 (continued)**  
**Form 990-PF, Part XV, Line 3a**  
**Recipient Paid During the Year**

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
SYV THERAPEUTIC RIDING PROGRAM P.O. BOX 256 SOLVANG CA 93464	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	\$ 2,000.
TEDDY BEAR CANCER FOUNDATION 2320 BATH STREET, SUITE 107 SANTA BARBARA CA 93105	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,000.
UC REGENTS C/O UC SANTA BARBARA SANTA BARBARA CA 93016	NONE	EXEMPT	SUPPORT FOR ARTS & LECTURES AND DPEA COLLABORATION.	91,500.
UNITED WAY OF SANTA BARBARA COUNTY 320 E GUTIERREZ STREET SANTA BARBARA CA 93101	NONE	EXEMPT	SUPPORT FOR UNITED WAY LEARNING CENTER.	1,000.
UNITY SHOPPE, INC. 110 WEST SOLA STREET SANTA BARBARA CA 93101	NONE	EXEMPT	HOLIDAY GRANT/SUPPORT.	1,000.
VISITING NURSE & HOSPICE CARE OF SB 512 E. GUTIERREZ ST., SUITE A SANTA BARBARA CA 93103	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	3,000.
WESTMONT COLLEGE 955 LA PAZ ROAD SANTA BARBARA CA 93108	NONE	EXEMPT	SUPPORT - MOSHER CENTER FOR MORAL AND ETHICAL LEADERSHIP.	148,500.
WESTMONT COLLEGE FOUNDATION 955 LA PAZ ROAD SANTA BARBARA CA 93108	NONE	EXEMPT	SUPPORT FOR PRESIDENT'S BREAKFAST 2016.	3,500.
WILDLIFE CARE NETWORK P.O. BOX 6594 SANTA BARBARA CA 93160	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	600.
WILDLING ART MUSEUM 1511-B MISSION DRIVE SOLVANG CA 93463	NONE	EXEMPT	GENERAL PROGRAM SUPPORT	1,000.
WILLIAM SANSUM DIABETES CENTER 2219 BATH STREET SANTA BARBARA CA 93105	NONE	EXEMPT	GENERAL PROGRAM SUPPORT AND SPONSOR FOR TASTE OF THE VINE EVENT.	51,250.

Total \$ 1,240,985.

PART II, LINE 10b - INVESTMENTS - CORPORATE STOCK

CORPORATE NAME	NO. OF SHARES	BOOK VALUE	FAIR MARKET VALUE
CHEVRON CORP	3,353	351,169	337,245
CONCHO RES INC	546	68,992	70,543
EOG RES INC	2,755	211,749	243,790
HALLIBURTON CO	4,884	193,918	210,061
OCCIDENTAL PETE CORP	1,743	122,932	133,950
ECOLAB, INC.	1,552	179,597	190,974
INTL FLAVORS & FRAGRANCE	1,887	214,692	261,500
FORTIVE CORP	3,166	135,477	166,753
GENERAL ELECTRIC CO	12,343	354,139	385,595
ROCKWELL COLLINS INC.	2,128	183,108	178,092
EQUIFAX INC.	1,408	143,198	185,715
HUNT J TRANS SVCS	2,137	161,606	169,656
UNITED PARCEL SERVICE CL B	2,036	199,194	222,372
PVH CORP	1,173	114,872	126,402
COMCAST CORP	4,952	257,647	323,168
TIME WARNER INC	2,700	208,986	211,707
DOLLAR TREE INC	1,699	123,076	140,507
LOWES COS INC	2,906	222,921	222,483
TARGET CORP	2,571	146,506	180,458
TJX COS INC	2,572	181,734	199,176
TRACTOR SUPPLY CO	1,730	148,494	145,234
COSTCO WHOLESALE CORP	950	137,894	153,986
WALGREENS BOOTS ALLIANCE INC	2,393	200,733	193,139
COCA COLA	5,333	241,781	231,612
CONSTELLATION BRANDS INC	1,652	199,926	271,011
MONDELEZ INT'L INC	4,569	195,902	205,696
PEPSICO INC	2,367	227,214	252,677
PHILLIP MORRIS INT'L INC.	1,776	157,511	177,476
AETNA, INC	1,483	139,203	173,689
BAXTER INT'L INC	2,925	108,088	136,685
HOLOGIC INC	3,662	143,022	140,694
LABORATORY CORP AMER HLDGS	557	67,317	76,270
BIOGEN INC.	778	210,513	237,780
BRISTOL MYERS SQUIBB CO	2,514	149,143	144,279
CELGENE CORP	1,981	228,258	211,452
GILEAD SCIENCES INC	1,354	129,751	106,127
MERCK & CO INC	4,526	243,921	284,188
AMERICAN TOWER CORP	2,072	192,350	234,923
OUTFRONT MEDIA INC	5,820	126,968	129,902
CITIGROUP INC	5,764	278,243	275,173
WELLS FARGO & CO.	5,574	269,011	283,159
AFFILIATED MANAGERS GRP	1,004	152,189	142,618
BANK NY MELLON CORP	4,293	164,689	178,889
BERKSHIRE HATHAWAY CL B	594	77,722	89,391
CAPITAL FINANCIAL CORP	1,083	65,875	77,543
GOLDMAN SACHS GROUP	701	120,457	118,791
<b>SUB-TOTAL CORPORATE STOCK</b>		<b>8,151,688</b>	<b>8,832,531</b>

PART II, LINE 10b - INVESTMENTS - CORPORATE STOCK

CORPORATE NAME	NO. OF SHARES	BOOK VALUE	FAIR MARKET VALUE
INTERCONTINENTAL EXCHANGE	542	123,210	152,855
SCHWAB CHARLES CORP	6,182	175,261	194,486
AMERICAN INT'L GROUP	2,263	117,662	135,395
ADOBE SYS INC	1,566	127,670	160,218
ALPHABET INC CAP STK CL A	642	461,192	507,084
ANSYS INC	1,284	114,350	122,096
FACEBOOK INC CL A	1,640	153,928	206,837
MASTERCARD INC CL A	1,882	177,626	181,858
MICROSOFT CORP	9,215	231,572	529,494
APPLE INC	5,646	474,493	599,041
CISCO SYSTEMS INC	10,000	262,564	314,400
ANALOG DEVICES INC	2,448	154,774	153,147
APPLIED MTLs INC	7,916	131,615	236,213
TEXAS INSTRS INC	2,059	99,444	143,183
VERIZON COMMUNICATIONS INC	6,513	297,573	340,825
EDISON INT'L	2,838	167,738	206,379
WEC ENERGY GROUP INC	3,834	198,357	229,580
ROYAL CARRIBEAN CRUISES	1,923	175,346	136,745
MEDTRONIC PLC	3,034	225,640	264,049
ALLERGAN PLC	902	239,318	211,555
CHUBB LIMITED	1,700	202,569	215,781

SUB-TOTAL CORPORATE STOCK

4,311,902	5,241,221
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TOTAL - CORPORATE STOCK

12,463,590	14,073,752
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PART II, LINE 10b - INVESTMENTS - CORPORATE BONDS

CORPORATE NAME	NO. OF SHARES	BOOK VALUE	FAIR MARKET VALUE
U S TREASURY BDS 4 75% 2/15/37		190,898 00	184,521.00
U S TREASURY NTS 1.125% 6/15/18		226,476.00	226,283 00
U S TREASURY NTS 1.500% 11/30/19		152,666 00	152,502 00
U S TREASURY NTS 1.625% 2/15/26		25,555 00	25,102.00
U S TREASURY NTS 3 125% 5/15/21		192,931.00	190,416.00
FED HOME MORTGAGE 2.50% 9/1/27		175,248.00	175,390.00
FED HOME MORTGAGE 3 00% 11/1/42		137,338 00	137,853.00
GOVT-NAT MTGE-ASSN- 2 50% 3/20/28		100,826.00	100,774.00
CONOCOPHILLIPS GTD NT 6 50% 2/1/39		64,773 00	65,685 00
DOW CHEM CO 4.375% 11/15/42		51,346 00	51,717.00
EL PASO ENERGY CORP 7.80% 8/1/2031		88,053.00	91,824.00
ENTERPRISE PRODS OPER 4.85% 3/15/44		62,478 00	63,547.00
HALLIBURTON CO 3 80% 11/15/2025		77,675.00	77,476.00
GEORGIA PAC CORP 8 00% 1/15/2024		86,957 00	86,578.00
HEWLETT PACKARD GLBL 4 375% 9/15/2021		37,816.00	37,752.00
GENERAL MTRS CO 3 50% 10/2/2018		51,621.00	51,511 00
FORD MTR CO 7 45% 7/16/2031		88,438 00	88,336 00
TIME WARNER CABLE 8 25% 4/1/2019		34,770.00	34,685 00
MACY'S RETAIL HOLDINGS 4 375% 9/1/2023		79,523 00	79,279.00
CVS CAREMARK CORP 2 75% 12/1/22		77,564.00	77,515.00
WALGREEN CO 3.1% 9/15/22		77,296.00	77,921.00
ANHEUSER-BUSCH INBEV 3 65% 12/1/26		80,518.00	80,002 00
BECTON DICKINSON 2.675% 12/15/2019		77,820.00	77,675.00
LABORATORY CORP OF AMER 3 6% 12/1/25		52,030.00	52,520.00
MCKESSON CORP SR 2 284% 3/15/19		76,747.00	76,486 00
QUEST DIAGNOSTICS INC 3.50% 3/30/25		36,396.00	36,650 00
BANK OF AMERICA CORP 4.0% 4/1/24		80,126.00	81,137.00
BB & T CORP BONDS 2.45% 1/15/20		77,335.00	76,914.00
CITIGROUP INC UNSECD NOTE 3 75% 6/16/24		79,288 00	79,671.00
FIFTH THIRD BK CIN OH 1 45% 2/28/18		85,348 00	85,199 00
KEY BANK NA 2 25% 3/16/20		76,115 00	76,101.00
PNC BANK NA 2.150% 4/29/21		76,390 00	76,068 00
US BANK CINCINNATI 1.350% 1/26/18		75,289 00	75,185 00
WELLS FARGO & CO 3.0% 4/22/26		102,723 00	102,599 00
AMERICAN EXPRESS CR 2.25% 8/15/19		76,555 00	76,654 00
CAPITAL ONE BK USA 2.25% 2/13/19		81,169.00	80,990 00
JP MORGAN CHASE 3 20% 1/25/23		77,957.00	78,255 00
AMERICAN INTL GRP 2 30% 7/16/19		50,963.00	50,971 00
MICROSOFT CORP 1.55% 8/8/21		74,921 00	74,908.00
AT & T INC 4.125% 2/17/26		80,911.00	81,483.00
VERIZON COMM 6.55% 9/15/43		135,467 00	135,803.00
UNITED AIRLINES 2013A 4.30% 2/15/27		74,259.00	74,259.00
CENTERPOINT ENGY BD 3 46% 8/15/19		67,444.00	67,393.00
NATIONWIDE HIGHMARK BOND FD #6064	145,750	1,587,040.00	1,638,233.00
PIMCO FUNDS TOTAL RETURN FD #35	89,313	956,696 00	923,498.00

SUB-TOTAL CORPORATE BONDS

6,319,755.00 6,335,321.00

PART II, LINE 10b - INVESTMENTS - CORPORATE BONDS

CORPORATE NAME	NO. OF SHARES	BOOK VALUE	FAIR MARKET VALUE
BLACKROCK STRATEGIC INCOME #0446	66,886	660,114.00	654,820.00
EATON VANCE GLOBAL MACRO ABS #88	88,297	828,543.00	802,625.00
WESTERN MACRO OPPORTUNITIES #5102	75,707	796,444.00	827,484.00
LOOMIS SAYLES INST'L BOND FD #1162	4,863	60,057.00	67,980.00
PRIMECAP ODYSSEY AGGR GRT FD #1651	16,821	266,982.00	579,488.00
T ROWE PRICE NEW HORIZONS FD #532	9,982	438,009.00	457,180.00
NATIONWIDE HIGHMARK SM CORE #6077	33,252	1,099,554.00	1,065,737.00
TIAA-CREF MID-CAP VALUE INST FD #1603	38,501	940,759.00	870,498.00
COLUMBIA SMALL CAP VALUE FUND IIZ	34,977	520,309.00	577,473.00
NUVEEN REAL ESTATE SECURITIES FUND	9,182	235,532.00	232,410.00
AQR MANAGED FUTURES STRATEGY FD #113	26,980	276,554.00	273,851.00
NATIONWIDE BAILARD INTL EQUITY #6071	192,546	1,407,515.00	1,471,055.00
AMERICAN FUNDS NEW WORLD FD #636	15,682	883,549.00	845,769.00
MFS INTL GROWTH FUND CLS I #886	30,886	956,463.00	948,228.00
DODGE & COX INT'L STOCK FD #1048	23,949	984,897.00	914,862.00
ISHARES GOLD TRUST	25,786	319,873.00	324,904.00
RIO TINTO FIN USA LTD 3 75% 6/15/25		42,693.00	42,394.00
BANK OF MONTREAL 2 375% 1/25/19		76,657.00	76,552.00
BANK OF NOVA SCOTIA 1 30% 7/21/17		75,161.00	75,100.00
BMO BANK OF MONTREAL 2 50@ 1/11/17		75,442.00	75,401.00
RABOBANK NEDERLAND NY 1.375% 8/9/19		74,783.00	74,587.00
ROYAL BANK OF CANADA 1 50% 7/29/19		75,061.00	74,959.00
TORONTO DOMINION BANK 1.45% 8/13/19		75,029.00	74,899.00
HSBC HLDGS PLC 3 40% 3/8/21		52,246.00	52,235.00
GREENLIGHT MASTERS OFFSHORE I LTD	52	1,000,000.00	1,307,542.00
LANX OFFSHORE PARTNERS A 1007	23,178	2,884,258.00	3,141,359.00
TERRAPIN OFFSHORE FUND OF FDS	33,600	33,600.00	40,092.00

SUB-TOTAL CORPORATE BONDS

15,140,084.00 15,949,484.00

TOTAL - CORPORATE BONDS

21,459,839.00 22,284,805.00

PART II, LINE 13 - INVESTMENTS - OTHER

	BOOK VALUE	FAIR MARKET VALUE
INVESTMENT - TCP PROVO, LLC	152,015.00	152,015.00
INVESTMENT - TCP PINE, LLC	106,370.00	106,370.00
INVESTMENT - MALAMUTE VENTURES, LL	15,000.00	15,000.00
TOTAL	273,385.00	273,385.00