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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015, or tax year beginning 09-01-2015 , and ending 08-31-2016

Name of foundation
PLUM FOUNDATION

A Employer identification number
75-2406666

Number and street (or P O box number if mail is not delivered to street address)
4182 BECK AVENUE

Room/suite

B Telephone number (see instructions)
(818) 980-0340

City or town, state or province, country, and ZIP or foreign postal code
STUDIO CITY, CA 91604

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 2,049,081

J Accounting method ☐ Cash ☑ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc , received (attach schedule)				
2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	112,094	112,094		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	1,435			
b	Gross sales price for all assets on line 6a 1,283,327				
7	Capital gain net income (from Part IV, line 2)		1,435		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
12	**Total.** Add lines 1 through 11	113,529	113,529		
13	Compensation of officers, directors, trustees, etc				
14	Other employee salaries and wages	55,384	13,846		41,538
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule)	4,200	2,100		2,100
c	Other professional fees (attach schedule)	19,026	19,026		
17	Interest				
18	Taxes (attach schedule) (see instructions)	5,063	1,059		3,263
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings	10,599	2,650		7,949
22	Printing and publications				
23	Other expenses (attach schedule)	2,862	716		2,146
24	**Total operating and administrative expenses.** Add lines 13 through 23	97,134	39,397		56,996
25	Contributions, gifts, grants paid	361,000			361,000
26	**Total expenses and disbursements.** Add lines 24 and 25	458,134	39,397		417,996
27	Subtract line 26 from line 12				
a	**Excess of revenue over expenses and disbursements**	-344,605			
b	**Net investment income** (if negative, enter -0-)		74,132		
c	**Adjusted net income** (if negative, enter -0-)				

Revenue

Operating and Administrative Expenses

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	13,743	2,342	2,342
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	182,064	182,064	211,734
	b	Investments—corporate stock (attach schedule)	1,114,200	1,073,694	1,186,118
	c	Investments—corporate bonds (attach schedule)	940,623	645,515	643,942
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ 2,428			
		Less accumulated depreciation (attach schedule) ▶ 2,428			
	15	Other assets (describe ▶)	5,776	6,604	4,945
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	2,256,406	1,910,219	2,049,081
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)	1,582		
	23	**Total liabilities** (add lines 17 through 22)	1,582	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☑ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	2,254,824	1,910,219	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	2,254,824	1,910,219	
	31	**Total liabilities and net assets/fund balances** (see instructions)	2,256,406	1,910,219	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,254,824
2	Enter amount from Part I, line 27a	2	-344,605
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	1,910,219
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,910,219

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	SEE ATTACHED SCHEDULE	P	2014-01-01	2015-12-31
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,283,327		1,281,892	1,435
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				1,435
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,435
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	353,719	2,683,722	0 13180
2013	377,759	2,922,271	0 12927
2012	380,918	3,048,472	0 12495
2011	293,615	3,082,679	0 09525
2010	292,876	3,338,295	0 08773

2	**Total** of line 1, column (d).	2	0 569004
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 113801
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5.	4	2,295,665
5	Multiply line 4 by line 3.	5	261,249
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	741
7	Add lines 5 and 6.	7	261,990
8	Enter qualifying distributions from Part XII, line 4.	8	417,996

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ____________ **(attach copy of letter if necessary–see instructions)**			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b		1	741
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3	Add lines 1 and 2.		3	741
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	741
6	Credits/Payments			
a	2015 estimated tax payments and 2014 overpayment credited to 2015	6a 2,400		
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868). . .	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d.		7	2,400
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** . . . . ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid**. . . ▶		10	1,659
11	Enter the amount of line 10 to be **Credited to 2015 estimated tax** ▶ 744 **Refunded** ▶		11	915

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	If the answer is "Yes" to ***1a*** *or* ***1b,*** *attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ____________ **(2)** On foundation managers ▶ $ ____________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ____________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		No
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶CA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation* .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶MRS PAMELA KAIZER Telephone no ▶(818) 980-0340

Located at ▶4182 BECK AVENUE STUDIO CITY CA ZIP+4 ▶91604

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

		Line	Yes	No
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

		Line	Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any**of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all**years listed, answer "No" and attach statement—see instructions).	2b		No
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015).*	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(Continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		**No**
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☑ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		**No**
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		**No**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
MS EMILY MAUPIN PO BOX 1613 STUDIO CITY, CA 91604	Vice President 1 00	0		
JOHN MONTFORD PO BOX 1613 STUDIO CITY, CA 91604	President 1 00	0		
BILL BALDRIDGE PO BOX 1613 STUDIO CITY, CA 91604	Secretary/Treas 1 00	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
PAMELA PHILLIPS KAIZER PO BOX 1613 STUDIO CITY, CA 91604	EXECUTIVE DIRECTOR 30 00	55,384		
Total number of other employees paid over $50,000 ▶				

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return

(All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	2,325,046
b	Average of monthly cash balances	1b	5,578
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	2,330,624
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	2,330,624
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	34,959
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	2,295,665
6	**Minimum investment return.** Enter 5% of line 5	6	114,783

Part XI Distributable Amount

(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	114,783
2a	Tax on investment income for 2015 from Part VI, line 5 — 2a: 741		
b	Income tax for 2015 (This does not include the tax from Part VI) — 2b:		
c	Add lines 2a and 2b	2c	741
3	Distributable amount before adjustments Subtract line 2c from line 1	3	114,042
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	114,042
6	Deduction from distributable amount (see instructions)	6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	114,042

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	417,996
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	417,996
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	741
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	417,255

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				114,042
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only.				
b Total for prior years 20___ , 20___ , 20___				
3 Excess distributions carryover, if any, to 2015				
a From 2010. 127,410				
b From 2011. 141,283				
c From 2012. 233,002				
d From 2013. 234,495				
e From 2014. 224,259				
f **Total** of lines 3a through e.	960,449			
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ $ 417,996				
a Applied to 2014, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2015 distributable amount.				114,042
e Remaining amount distributed out of corpus	303,954			
5 Excess distributions carryover applied to 2015 *(If an amount appears in column (d), the same amount must be shown in column (a))*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,264,403			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount —see instructions				
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions) . . .	127,410			
9 **Excess distributions carryover to 2016.** Subtract lines 7 and 8 from line 6a	1,136,993			
10 Analysis of line 9				
a Excess from 2011. . . . 141,283				
b Excess from 2012. . . . 233,002				
c Excess from 2013. . . . 234,495				
d Excess from 2014. . . . 224,259				
e Excess from 2015. . . . 303,954				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling. . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2015	**(b)** 2014	**(c)** 2013	**(d)** 2012	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

JOHN MONTFORD

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information(continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE ATTACHED SCHEDULE PO BOX 1613 STUDIO CITY, CA 91604			SEE ATTACHED SCHEDULE	361,000
Total . . . . ▶ **3a**				361,000
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . .			14	112,094	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	1,435	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory . .					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				113,529	

13 Total. Add line 12, columns (b), (d), and (e). **13** 113,529

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

****** | 2016-12-13 | ******

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
Patrick J Bowler				P00008092

Firm's name ▶ JENKINS BOWLER LLP

Firm's EIN ▶

Firm's address ▶ 626 S LAKE AVE PASADENA, CA 911063918

Phone no (626) 792-2179

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491348006046

TY 2015 Accounting Fees Schedule

Name: PLUM FOUNDATION

EIN: 75-2406666

Software ID: 15000324

Software Version: 2015v2.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEES	4,200	2,100	0	2,100

TY 2015 Land, Etc. Schedule

Name: PLUM FOUNDATION

EIN: 75-2406666

Software ID: 15000324

Software Version: 2015v2.0

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
Machinery and Equipment	2,428	2,428		

TY 2015 Other Assets Schedule

Name: PLUM FOUNDATION

EIN: 75-2406666

Software ID: 15000324

Software Version: 2015v2.0

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ACCRUED INTEREST	5,776	4,945	4,945
PREPAID EXCISE TAX		1,659	

TY 2015 Other Expenses Schedule

Name: PLUM FOUNDATION

EIN: 75-2406666

Software ID: 15000324

Software Version: 2015v2.0

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INSURANCE	1,729	432		1,297
OFFICE EXPENSE	318	80		238
PAYROLL PROCESSING FEES	815	204		611

TY 2015 Other Professional Fees Schedule

Name: PLUM FOUNDATION

EIN: 75-2406666

Software ID: 15000324

Software Version: 2015v2.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT COUNSELOR	19,026	19,026	0	0

TY 2015 Taxes Schedule

Name: PLUM FOUNDATION

EIN: 75-2406666

Software ID: 15000324

Software Version: 2015v2.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CURRENT FEDERAL EXCISE TAX	741			
OTHER TAXES AND LICENSES	85			85
PAYROLL TAXES	4,237	1,059		3,178

The Plum Foundation
EIN 75-2406666
Year Ended 8/31/16
Statement for Part II, Lines 10a, 10b, and 10c - Investments

	Ending	
	Book Value Column (b)	Fair Market Column (c)
Part II, Line 10a - U.S. and state government obligations		
Obligations of state and municipal governments	$ 182,064	$ 211,734
Obligations of U.S. government	-	-
Total Part II, Line 10a - U.S. and state government obligations	$ 182,064	$ 211,734
Part II, Line 10b - corporate stock		
American Beacon Bridgeway	$ 118,092	$ 124,283
Artisan Intl Fund adv	73,961	$ 74,937
Ashmore Emrg Mkts	22,167	21,642
Dodge & Cox Intl Stock	81,226	91,893
Driehaus Frontier Emrg	39,478	39,851
Fidelity Contra Fund	81,586	124,447
FMI Large Cap Fund	102,141	124,118
JPMorgan Mid Cap Value	22,078	35,529
Oberweis Intl Oppty Fd	63,046	65,663
Schooner Hedged Alt Incm	91,443	84,438
Stone Ridge All Asset	200,709	208,200
Stone Ridge Reinsurance Risk Prem	107,485	112,523
Touchstone Small Cap	32,823	37,278
Voya Midcap Oppty Fd	37,459	41,316
Total Part II, Line 10b - corporate stock	$ 1,073,694	$ 1,186,118
Part II, Line 10c - corporate bonds		
Amgen Inc	19,896	19,709
Angel Oak Flexible Incm	66,736	62,842
AT&T Inc	25,368	25,513
ConocoPhillips	17,814	17,614
Doubleline Total Return Fd	59,711	59,200
Dow Chemical Co	20,222	19,891
Goldman Sachs Group Inc	26,104	25,637
JP Morgan Chase & Co	23,060	21,804
JP Morgan Chase & Co	27,449	27,570
Monsanto Co	20,009	20,819
Morgan Stanley MMF	2,792	2,792
Riverpark Short Term Fd	54,649	53,561
Schwab Charles Corp 7% Fixed	30,075	28,875
Schwab Govt Money Fund	76,881	76,881
Stone Ridge Alt Lending	123,379	128,818
Valero Energy Corp	11,353	11,724
Wells Fargo & Company	40,017	40,692
Total Part II, Line 10c - corporate bonds	$ 645,515	$ 643,942

The Plum Foundation
EIN 75-2406666
Form 990-PF, Part XV, Line 3, Grants (09/15 - 08/16)

Grant Recipients	Address	Purpose	Amount
Grants Approved & Paid This Year			
Friends of Israel's Environment	4182 Beck Avenue Studio City, CA 91604	Operating support	21 000
Skirball Cultural Center	2701 North Sepulveda Boulevard Los Angeles, CA 90049	Operating support	5 000
Food Forward	7412 Fulton #3 North Hollywood, CA 90049	Operating support	30 000
Epilepsy Foundation of Greater Los Angeles	5777 W Century Blvd #820 Los Angeles, CA 90045	Operating support	10 000
Jewish Federation of Los Angeles	6505 Wilshire Blvd Los Angeles, CA 90048	Project support	5,000
Halifax Sexual Health Center	6009 Quinpool Rd, Suite 201 Halifax, Nova Scotia Canada B3K 5J7	Operating support	8 000
Dalhousie University	5849 University Ave Halifax, Nova Scotia, Canada B3H 4R2	Medical research	29,291
Pasadena Arts Council	65 S Grand Pasadena CA 91105	Surrogate gallery project	2 000
Cedars Sinai Medical Center Heather Jones MD	8700 Beverly Blvd #2416 Los Angeles CA 90048	Medical research	41,000
Santa Monica Arts Foundation	PO Box 2200 Santa Monica, CA 90407	Project support	3 000
Much Love Animal Rescue	PO Box 341721 Los Angeles CA 90034	Operating support	26 000
Wildlife Conservation Network	209 Mississippi San Francisco CA 94107	Operating support	20,000
Alamo Public Telecommunications Council	PO Box 9 San Antonio, TX 78215	Operating support	10,000
Accelerated H20	12500 Network Blvd #308 San Antonio, TX 78208	Operating support	5,000
Briscoe Western Art Museum	315 E Commerce #205 San Antonio TX 78205	Operating support	18 000
University of Texas Health Science Center	7703 Floyd Curl Drive San Antonio TX 78229	Operating support	3,000
Blank Theatre Company	1301 Lucille Avenue Los Angeles, CA 90026	Youth playwriting program	25 000
QEII Health Sciences Center Foundation	1276 South Park Street Halifax, Nova Scotia B3K 6A3 Canada	Conferences	12 000
Federation of the American Societies for Experimental Biology	9650 Rockville Pike Bethesda, MD 20814	Conferences	10 000
University of California Davis Dr Andrew Ishida Lab	One Shields Ave Davis CA 95616	Medical research	4 709
Nova Scotia Youth Orchestra	6199 Chebucto Road Halifax Nova Scotia B3L 1K7 Canada	Operating support	5 000
Maritime Conservatory of Music	6199 Chebucto Road Halifax Nova Scotia B3L 1K7 Canada	Scholarships	8 000
The Humane Farming Association	P O Box 3577 San Rafael CA 94912	Operating support	20,000
Texas State History Museum Foundation	P O Box 12456 Austin, TX 78711	Program support	10 000
Mt Crested Butte Performing Arts Center	P O Box 4403 Mt Crested Butte CO 81225	Capital campaign	20,000
Hardberger Park Conservancy	115 E Travis Suite 1004 San Antonio, TX 78205	Operating support	10,000
Total Grants Paid During the Year (Line 3a)			$361,000

The Plum Foundation
EIN 75-2406666
Year Ended 8/31/16

Statement for Part IV, Line 1a - Capital Gains & Losses

	Purchase Date	Sale Date	Shares Sold	Cost	Sales Proceeds	Gain/Loss
Morgan Stanley Smith Barney Investments						
General Electric Cap	7/11/2001	9/15/2015	50,000	50,000	50,000	-
Merrill Lyn III	1/12/1998	1/29/2016	650	16,250	16,250	-
JP Morgan Chase	1/15/2014	8/4/2016	20,000	21,007	21,924	917
Charles Schwab						
American Beacon Glbl	Various	9/18/2015	1,910	19,270	17,500	(1,770)
Angel Oak Flexible Incm	Various	9/21/2015	1,564	15,929	16,000	71
Doubleline Total Return	Various	9/21/2015	686	7,611	7,500	(111)
AMG Yacktman Fd Svc	Various	10/8/2015	6,629	151,975	156,693	4,718
American Beacon Glbl	Various	12/14/2015	12,271	123,527	108,829	(14,697)
Palmer Square Incm Plus	Various	2/9/2016	7,697	77,021	70,109	(6,912)
Angel Oak Flexible Incm	Various	2/16/2016	4,354	44,328	38,392	(5,936)
Stone Ridge Reinsurance Risk Prem	Various	2/22/2016	7,026	70,401	71,581	1,180
Oakmark Intl Small Cap	Various	3/16/2016	4,626	57,440	63,046	5,605
Doubleline Total Return	Various	6/6/2016	4,970	54,769	54,060	(709)
Legg Mason BW Alt	Various	6/7/2016	7,392	80,255	69,319	(10,937)
American Beacon Bridgeway	Various	7/11/2016	1,892	44,284	45,500	1,216
Angel Oak Flexible Incm	Various	7/12/2016	4,036	40,041	37,000	(3,041)
Angel Oak Multi Strategy	Various	7/12/2016	2,721	33,769	30,000	(3,769)
Doubleline Total Return	Various	7/12/2016	2,741	30,178	30,000	(178)
Riverpark Short-Term High Yield	Various	7/12/2016	2,558	25,551	25,000	(551)
Artisan Intl Fund Inv	Various	7/12/2016	729	15,882	20,000	4,118
Ashmore Emrg Mkts	Various	7/12/2016	2,235	20,875	19,900	(975)
Fidelity Contra Fund	Various	7/12/2016	431	28,727	42,800	14,073
FMI Large Cap Fund	Various	7/12/2016	2,014	33,454	40,000	6,546
JP Morgan Mid Cap Value	Various	7/12/2016	624	14,508	22,800	8,292
Touchstone Small Cap Core Fd	Various	7/12/2016	745	11,027	12,800	1,773
Schooner Hedge Alt Incm	Various	7/12/2016	792	39,817	37,000	(2,817)
Wasatch Frontier Emrg	Various	7/12/2016	15,011	44,759	39,478	(5,281)
Artisan Intl Fund Inv	Various	7/12/2016	2,676	58,319	73,947	15,628
Angel Oak Multi Strategy	Various	8/31/2016	4,107	50,917	45,899	(5,018)
Capital Gain/(Loss)				$ 1,281,892	$ 1,283,327	$ 1,435