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For calendar year 2015, or tax year beginning 09-01-2015 , and ending 08-31-2016

| | | | |
|---|--|--|--|
| Name of foundation THE ROBERT AND LOIS LUCKIE CHARITABLE FOUNDATION | | A Employer identification number 72-1399703 | |
| Number and street (or P O box number if mail is not delivered to street address) 600 LUCKIE DRIVE | | Room/suite | B Telephone number (see instructions) (205) 879-2121 |
| City or town, state or province, country, and ZIP or foreign postal code BIRMINGHAM, AL 352232429 | | C If exemption application is pending, check here <input type="checkbox"/> | |
| G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change | | D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> | |
| H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation | | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/> | |
| I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 3,552,323 | | J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis) | |

| Part I | Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions)) | Revenue and expenses per books (a) | Net investment income (b) | Adjusted net income (c) | Disbursements for charitable purposes (d) (cash basis only) |
|---------------------------------------|---|---------------------------------------|------------------------------|----------------------------|--|
| Revenue | 1 Contributions, gifts, grants, etc , received (attach schedule) | 81,493 | | | |
| | 2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B | | | | |
| | 3 Interest on savings and temporary cash investments | 12,251 | 12,251 | 12,251 | |
| | 4 Dividends and interest from securities | 79,561 | 79,561 | 79,561 | |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) _____ | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 _____ | -74,474 | | | |
| | b Gross sales price for all assets on line 6a _____ 1,665,005 | | | | |
| | 7 Capital gain net income (from Part IV , line 2) | | 0 | | |
| | 8 Net short-term capital gain | | | 0 | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances _____ | | | | |
| Operating and Administrative Expenses | b Less Cost of goods sold | | | | |
| | c Gross profit or (loss) (attach schedule) | | | | |
| | 11 Other income (attach schedule) | 46,612 | 46,612 | 46,612 | |
| | 12 Total. Add lines 1 through 11 | 145,443 | 138,424 | 138,424 | |
| | 13 Compensation of officers, directors, trustees, etc | 0 | 0 | 0 | 0 |
| | 14 Other employee salaries and wages | | | | |
| | 15 Pension plans, employee benefits | | | | |
| | 16a Legal fees (attach schedule). | | | | |
| | b Accounting fees (attach schedule). | 6,997 | 0 | 0 | 0 |
| | c Other professional fees (attach schedule) | 56,196 | 0 | 0 | 0 |
| | 17 Interest | | | | |
| | 18 Taxes (attach schedule) (see instructions) | 4,858 | 0 | 0 | 0 |
| | 19 Depreciation (attach schedule) and depletion | | | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings. | | | | |
| | 22 Printing and publications | | | | |
| | 23 Other expenses (attach schedule). | | | | |
| | 24 Total operating and administrative expenses. Add lines 13 through 23 | 68,051 | 0 | 0 | 0 |
| | 25 Contributions, gifts, grants paid | 127,239 | | | 127,239 |
| | 26 Total expenses and disbursements. Add lines 24 and 25 | 195,290 | 0 | 0 | 127,239 |
| | 27 Subtract line 26 from line 12 | | | | |
| | a Excess of revenue over expenses and disbursements | -49,847 | | | |
| | b Net investment income (if negative, enter -0-) | | 138,424 | | |
| | c Adjusted net income (if negative, enter -0-) | | | 138,424 | |

| Part II Balance Sheets | | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions) | Beginning of year | End of year | |
|-----------------------------|---|--|-------------------|----------------|-----------------------|
| | | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 | Cash—non-interest-bearing | | | |
| | 2 | Savings and temporary cash investments | 128,401 | 15,756 | 15,756 |
| | 3 | Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____ | | | |
| | 4 | Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____ | | | |
| | 5 | Grants receivable | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions). | | | |
| | 7 | Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____ | | | |
| | 8 | Inventories for sale or use | | | |
| | 9 | Prepaid expenses and deferred charges | | | |
| | 10a | Investments—U S and state government obligations (attach schedule) | | | |
| | b | Investments—corporate stock (attach schedule) | 2,527,248 | 2,757,572 | 3,032,400 |
| | c | Investments—corporate bonds (attach schedule) | 217,168 | 148,565 | 149,465 |
| | 11 | Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____ | | | |
| | 12 | Investments—mortgage loans | | | |
| | 13 | Investments—other (attach schedule) | 326,506 | 227,583 | 354,702 |
| | 14 | Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____ | | | |
| 15 | Other assets (describe ▶ _____) | | | | |
| 16 | Total assets(to be completed by all filers—see the instructions Also, see page 1, item I) | 3,199,323 | 3,149,476 | 3,552,323 | |
| Liabilities | 17 | Accounts payable and accrued expenses | | | |
| | 18 | Grants payable | | | |
| | 19 | Deferred revenue | | | |
| | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 | Mortgages and other notes payable (attach schedule). | | | |
| | 22 | Other liabilities (describe ▶ _____) | | | |
| | 23 | Total liabilities(add lines 17 through 22) | 0 | 0 | |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31. | | | | |
| | 24 | Unrestricted | | | |
| | 25 | Temporarily restricted | | | |
| | 26 | Permanently restricted | | | |
| | Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31. | | | | |
| | 27 | Capital stock, trust principal, or current funds | 0 | 0 | |
| | 28 | Paid-in or capital surplus, or land, bldg , and equipment fund | 0 | 0 | |
| | 29 | Retained earnings, accumulated income, endowment, or other funds | 3,199,323 | 3,149,476 | |
| | 30 | Total net assets or fund balances(see instructions) | 3,199,323 | 3,149,476 | |
| 31 | Total liabilities and net assets/fund balances(see instructions) | 3,199,323 | 3,149,476 | | |

| Part III Analysis of Changes in Net Assets or Fund Balances | | | |
|---|--|---|-----------|
| 1 | Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 3,199,323 |
| 2 | Enter amount from Part I, line 27a | 2 | -49,847 |
| 3 | Other increases not included in line 2 (itemize) ▶ _____ | 3 | 0 |
| 4 | Add lines 1, 2, and 3 | 4 | 3,149,476 |
| 5 | Decreases not included in line 2 (itemize) ▶ _____ | 5 | 0 |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 | 6 | 3,149,476 |

Part IV

Capital Gains and Losses for Tax on Investment Income

| | | | | |
|---|---------------------------|---|---|---|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | How acquired P—Purchase (b) D—Donation | Date acquired (c) (mo , day, yr) | Date sold (d) (mo , day, yr) |
| 1a | See Additional Data Table | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | | | |
|------------------------------|---|--|---|
| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
| a | See Additional Data Table | | |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| | | | |
|---|---|--|--|
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) (l) |
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| a | See Additional Data Table | | |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| | | | | |
|----------|--|---|----------|---------|
| 2 | Capital gain net income or (net capital loss) | If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 | 2 | -74,474 |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 | | 3 | -24,734 |

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

| | | | |
|---|---|---|--|
| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
| 2014 | 125,009 | 3,021,080 | 0 041379 |
| 2013 | 128,442 | 3,315,265 | 0 038743 |
| 2012 | 104,285 | 2,787,458 | 0 037412 |
| 2011 | 87,590 | 2,285,058 | 0 038332 |
| 2010 | 83,685 | 2,020,694 | 0 041414 |

| | | | |
|----------|---|----------|-----------|
| 2 | Total of line 1, column (d). | 2 | 0 197280 |
| 3 | Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0 039456 |
| 4 | Enter the net value of noncharitable-use assets for 2015 from Part X, line 5. | 4 | 3,423,651 |
| 5 | Multiply line 4 by line 3. | 5 | 135,084 |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b). | 6 | 1,384 |
| 7 | Add lines 5 and 6. | 7 | 136,468 |
| 8 | Enter qualifying distributions from Part XII, line 4. | 8 | 127,239 |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VI

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a

Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1
Date of ruling or determination letter _____
(attach copy of letter if necessary—see instructions)

2

Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)

3

Add lines 1 and 2.

4

Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)

5

Tax based on investment income.Subtract line 4 from line 3 If zero or less, enter -0-

6

Credits/Payments

6a

2015 estimated tax payments and 2014 overpayment credited to 2015

6b

Exempt foreign organizations—tax withheld at source

6c

Tax paid with application for extension of time to file (Form 8868).

6d

Backup withholding erroneously withheld

7

Total credits and payments Add lines 6a through 6d.

8

Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached

9

Tax due.If the total of lines 5 and 8 is more than line 7, enter amount owed

10

Overpayment.If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.

11

Enter the amount of line 10 to be Credited to 2015 estimated tax Refunded

1

2,768

0

2,768

0

2,768

0

82

2,850

Part VII-A

Statements Regarding Activities

1a

During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

1a

No

b

Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities

1b

No

c

Did the foundation file Form 1120-POL for this year?

1c

No

d

Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year
(1) On the foundation \$ 0 (2) On foundation managers \$ 0

e

Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0

2

Has the foundation engaged in any activities that have not previously been reported to the IRS?
If "Yes," attach a detailed description of the activities

2

No

3

Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

3

No

4a

Did the foundation have unrelated business gross income of \$1,000 or more during the year?

4a

No

b

If "Yes," has it filed a tax return on Form 990-T for this year?

4b

5

Was there a liquidation, termination, dissolution, or substantial contraction during the year?
If "Yes," attach the statement required by General Instruction T

5

No

6

Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either
• By language in the governing instrument, or
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

6

Yes

7

Did the foundation have at least \$5,000 in assets at any time during the year?If "Yes," complete Part II, col (c), and Part XV

7

Yes

8a

Enter the states to which the foundation reports or with which it is registered (see instructions)
AL

b

If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation

8b

Yes

9

Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)?
If "Yes," complete Part XIV

9

No

10

Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

10

No

Form 990-PF (2015)

Part VII-A

Statements Regarding Activities (continued)

| | | | | |
|-----------|--|-----------|-------------------------|------------------------|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). | 11 | | No |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | No |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>N/A</u> | 13 | Yes | |
| 14 | The books are in care of ▶ <u>JOHN WILSON CPA</u> Telephone no ▶ <u>(205) 802-7212</u> Located at ▶ <u>2101 HIGHLAND AVE S SUITE 500 BIRMINGHAM AL</u> ZIP+4 ▶ <u>35205</u> | | | |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 | | | |
| 16 | At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶ | 16 | Yes No | No No |

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

| | | | | |
|--|---|-----------|------------|-----------|
| File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. | | | Yes | No |
| 1a | During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| b | If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here. ▶ <input type="checkbox"/> | 1b | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? | 1c | | No |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | | |
| a | At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____ | | | |
| b | Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). | 2b | | |
| c | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| b | If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015</i>). | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | No |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015? | 4b | | No |

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a

During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes

☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes

☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes

☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions).

☐ Yes

☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes

☒ No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

▶

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☐ Yes

☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes

☒ No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes

☒ No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

| (a) Name and address | Title, and average hours per week (b) devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | Expense account, (e) other allowances |
|---|--|---|---|---------------------------------------|
| ROBERT E LUCKIE III 600 LUCKIE DRIVE BIRMINGHAM, AL 35223 | PRESIDENT 0 00 | 0 | 0 | 0 |
| THOMAS G LUCKIE 600 LUCKIE DRIVE BIRMINGHAM, AL 35223 | VICE PRESIDENT 0 00 | 0 | 0 | 0 |

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | Title, and average hours per week (b) devoted to position | (c) Compensation | Contributions to employee benefit plans and deferred compensation (d) | Expense account, (e) other allowances |
|---|--|------------------|--|---------------------------------------|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Total number of other employees paid over \$50,000.

▶

0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|---|---------------------|------------------|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |

Total number of others receiving over \$50,000 for professional services. 0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

| | Expenses |
|---|----------|
| 1 | |
| 2 | |
| 3 | |
| 4 | |

Part IX-B

Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|--|--------|
| 1 | |
| | |
| | |
| 2 | |
| | |
| | |
| All other program-related investments. See instructions. | |
| 3 | |
| | |
| | |
| Total. Add lines 1 through 3 | 0 |

Part X Minimum Investment Return

(All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|----------|--|-----------|-----------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes | | |
| a | Average monthly fair market value of securities. | 1a | 3,350,935 |
| b | Average of monthly cash balances. | 1b | 124,853 |
| c | Fair market value of all other assets (see instructions). | 1c | 0 |
| d | Total (add lines 1a, b, and c). | 1d | 3,475,788 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). | 1e | 0 |
| 2 | Acquisition indebtedness applicable to line 1 assets. | 2 | 0 |
| 3 | Subtract line 2 from line 1d. | 3 | 3,475,788 |
| 4 | Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). | 4 | 52,137 |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4 | 5 | 3,423,651 |
| 6 | Minimum investment return. Enter 5% of line 5. | 6 | 171,183 |

Part XI Distributable Amount(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

| | | | |
|-----------|--|-----------|--|
| 1 | Minimum investment return from Part X, line 6. | 1 | |
| 2a | Tax on investment income for 2015 from Part VI, line 5. | 2a | |
| b | Income tax for 2015 (This does not include the tax from Part VI). | 2b | |
| c | Add lines 2a and 2b. | 2c | |
| 3 | Distributable amount before adjustments Subtract line 2c from line 1. | 3 | |
| 4 | Recoveries of amounts treated as qualifying distributions. | 4 | |
| 5 | Add lines 3 and 4. | 5 | |
| 6 | Deduction from distributable amount (see instructions). | 6 | |
| 7 | Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. | 7 | |

Part XII Qualifying Distributions (see instructions)

| | | | |
|----------|--|-----------|---------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes | | |
| a | Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. | 1a | 127,239 |
| b | Program-related investments—total from Part IX-B. | 1b | 0 |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the | | |
| a | Suitability test (prior IRS approval required). | 3a | |
| b | Cash distribution test (attach the required schedule). | 3b | |
| 4 | Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 127,239 |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). | 5 | 0 |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4. | 6 | 127,239 |

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII

Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2014 | (c) 2014 | (d) 2015 |
|---|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2015 from Part XI, line 7 | | | | |
| 2 Undistributed income, if any, as of the end of 2015 | | | | |
| a Enter amount for 2014 only. | | | | |
| b Total for prior years 20____, 20____, 20____ | | | | |
| 3 Excess distributions carryover, if any, to 2015 | | | | |
| a From 2010. | | | | |
| b From 2011. | | | | |
| c From 2012. | | | | |
| d From 2013. | | | | |
| e From 2014. | | | | |
| f Total of lines 3a through e. | | | | |
| 4 Qualifying distributions for 2015 from Part XII, line 4 ► \$ _____ | | | | |
| a Applied to 2014, but not more than line 2a | | | | |
| b Applied to undistributed income of prior years (Election required—see instructions). | | | | |
| c Treated as distributions out of corpus (Election required—see instructions). | | | | |
| d Applied to 2015 distributable amount. | | | | |
| e Remaining amount distributed out of corpus | | | | |
| 5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a)) | | | | |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | | | | |
| b Prior years' undistributed income Subtract line 4b from line 2b. | | | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. | | | | |
| d Subtract line 6c from line 6b Taxable amount—see instructions | | | | |
| e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions | | | | |
| f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015. | | | | |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). | | | | |
| 8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions). . . | | | | |
| 9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a. | | | | |
| 10 Analysis of line 9 | | | | |
| a Excess from 2011. . . . | | | | |
| b Excess from 2012. . . . | | | | |
| c Excess from 2013. . . . | | | | |
| d Excess from 2014. . . . | | | | |
| e Excess from 2015. . . . | | | | |

Part XIV

1999-05-25

☒

| Tax year | Prior 3 years | | | (e) Total |
|----------|---------------|----------|----------|-----------|
| (a) 2015 | (b) 2014 | (c) 2013 | (d) 2012 | |
| 138,424 | 151,054 | 117,722 | 124,092 | 531,292 |
| 117,660 | 128,396 | 100,064 | 105,478 | 451,598 |
| 127,239 | 125,009 | 128,442 | 104,285 | 484,975 |
| 0 | 0 | 0 | 0 | 0 |
| 127,239 | 125,009 | 128,442 | 104,285 | 484,975 |
| | | | | 0 |
| | | | | 0 |
| 114,122 | 100,703 | 110,509 | 92,915 | 418,249 |
| | | | | 0 |
| | | | | 0 |
| | | | | 0 |
| | | | | 0 |

(4) Gross investment income

Part XV

1

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

☒Form **990-PF** (2015)

Part XV

Supplementary Information(continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|--|--------------------------------------|-------------------------------------|---------|
| Name and address (home or business) | | | | |
| <div>a Paid during the year</div> <div>See Additional Data Table</div> | | | | |
| <div>Total</div> | | | <div>3a</div> | 127,239 |
| <div>b Approved for future payment</div> | | | | |
| <div>Total</div> | | | <div>3b</div> | 0 |

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

1. Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

| | | | |
|---|--|--------------|-----------|
| a Transfers from the reporting foundation to a noncharitable exempt organization of | | | |
| (1) Cash. | | 1a(1) | No |
| (2) Other assets. | | 1a(2) | No |
| b Other transactions | | | |
| (1) Sales of assets to a noncharitable exempt organization. | | 1b(1) | No |
| (2) Purchases of assets from a noncharitable exempt organization. | | 1b(2) | No |
| (3) Rental of facilities, equipment, or other assets. | | 1b(3) | No |
| (4) Reimbursement arrangements. | | 1b(4) | No |
| (5) Loans or loan guarantees. | | 1b(5) | No |
| (6) Performance of services or membership or fundraising solicitations. | | 1b(6) | No |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees. | | 1c | No |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes
☒ No

b If "Yes," complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|--------------------------|--------------------------|---------------------------------|
| | | |
| | | |
| | | |
| | | |
| | | |

| | | | |
|----------------------|--|---------------|----------------|
| Sign Here | Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge. | | |
| | ***** | 2017-01-12 | ***** |
| | _____ Signature of officer or trustee | _____ Date | _____ Title |

May the IRS discuss this return with the preparer shown below (see instr.)? ☐ Yes ☒ No

| | | | | | |
|---|--|----------------------|--------------------|---|-------------------|
| Paid Preparer Use Only | Print/Type preparer's name JOHN M WILSON CPA | Preparer's Signature | Date 2017-01-12 | Check if self-employed <input type="checkbox"/> | PTIN P00744312 |
| | Firm's name <input type="checkbox"/> BORLAND BENEFIELD | | | Firm's EIN <input type="checkbox"/> 63-0721243 | |
| | Firm's address <input type="checkbox"/> 2101 HIGHLAND AVE SUITE 500 BIRMINGHAM, AL 35205 | | | Phone no. <input type="checkbox"/> (205) 802-7212 | |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|---|---|-------------------------------------|
| DEUTSCHE BK CONTGNT CAP TR II PFD | | 2008-02-12 | 2015-11-03 |
| PLUM CREEK TIMBER CO REIT | | 2014-03-19 | 2015-11-10 |
| FLAHERTY & CRUMRINE PFD | | 2015-02-11 | 2015-12-11 |
| MERMORIAL PRODUCTION PTNRS | | 2012-12-07 | 2015-12-08 |
| COHEN & STEERS PFD | | 2012-07-27 | 2015-12-11 |
| HANCOCK HLDG | | 2014-09-23 | 2016-01-11 |
| MARVELL TECH GROUP LTD | | 2014-10-28 | 2016-01-11 |
| COVANTA HLDG | | 2014-01-22 | 2016-01-20 |
| WILLIAMS PARTNERS | | 2014-08-08 | 2016-01-20 |
| AMGEN INC | | 2014-08-08 | 2016-02-05 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 104,684 | | 100,000 | 4,684 |
| 45,886 | | 42,828 | 3,058 |
| 44,058 | | 47,791 | -3,733 |
| 4,313 | | 34,000 | -29,687 |
| 47,295 | | 50,998 | -3,703 |
| 23,151 | | 33,599 | -10,448 |
| 12,036 | | 20,037 | -8,001 |
| 25,604 | | 36,434 | -10,830 |
| 13,632 | | 49,593 | -35,961 |
| 14,276 | | 12,729 | 1,547 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 4,684 |
| | | | 3,058 |
| | | | -3,733 |
| | | | -29,687 |
| | | | -3,703 |
| | | | -10,448 |
| | | | -8,001 |
| | | | -10,830 |
| | | | -35,961 |
| | | | 1,547 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | Date acquired (c) (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|---|--------------------------------------|-------------------------------------|
| LINNCO LLC COM | | 2014-06-18 | 2016-04-11 |
| LINN ENERGY LLC | | 2012-01-13 | 2016-04-11 |
| DUKE ENERGY CORP | | 2003-09-12 | 2016-08-15 |
| PRINCIPAL SMALL MID CAP DIV INC FD | | 2013-06-30 | 2015-10-13 |
| EATON VANCE RICHARD BERNSTEIN EQ ST FD | | 2013-06-30 | 2015-10-23 |
| PRINCIPAL SMALL MID CAP DIV INC FD | | 2013-06-30 | 2015-10-13 |
| PRINCIPAL SMALL MID CAP DIV INC FD | | 2014-01-23 | 2015-10-13 |
| PRINCIPAL SMALL MID CAP DIV INC FD | | 2014-09-05 | 2015-10-13 |
| EATON VANCE RICHARD BERNSTEIN EQ ST FD | | 2013-12-24 | 2015-10-23 |
| GOLDMAN SACHS RISING DIV GROWTH FD | | 2013-06-30 | 2016-01-22 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 609 | | 61,449 | -60,840 |
| 229 | | 36,501 | -36,272 |
| 54,116 | | 35,750 | 18,366 |
| 7,380 | | 7,741 | -361 |
| 2,181 | | 2,177 | 4 |
| 2,021 | | 2,138 | -117 |
| 35,979 | | 36,852 | -873 |
| 54,620 | | 60,086 | -5,466 |
| 19 | | 18 | 1 |
| 1,106 | | 1,276 | -170 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | -60,840 |
| | | | -36,272 |
| | | | 18,366 |
| | | | -361 |
| | | | 4 |
| | | | -117 |
| | | | -873 |
| | | | -5,466 |
| | | | 1 |
| | | | -170 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | Date acquired (c) (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|---|--------------------------------------|-------------------------------------|
| GOLDMAN SACHS RISING DIV GROWTH FD | | 2015-02-15 | 2016-01-22 |
| GOLDMAN SACHS RISING DIV GROWTH FD | | 2014-12-05 | 2016-01-22 |
| GOLDMAN SACHS RISING DIV GROWTH FD | | 2014-09-05 | 2016-01-22 |
| FPA CRESCENT FD INST CL | | 2013-06-30 | 2016-02-19 |
| FPA CRESCENT FD INST CL | | 2015-10-13 | 2019-02-19 |
| FPA CRESCENT FD INST CL | | 2015-10-13 | 2016-02-22 |
| VULCAN VALUE PARTNERS SMALL CAP FD | | 2015-05-21 | 2016-02-22 |
| VULCAN VALUE PARTNERS SMALL CAP FD | | 2013-06-30 | 2016-02-22 |
| VULCAN VALUE PARTNERS SMALL CAP FD | | 2013-11-08 | 2016-02-22 |
| INVESCO COMSTOCK FD | | 2013-06-30 | 2016-03-28 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 42,655 | | 49,703 | -7,048 |
| 299 | | 345 | -46 |
| 30,941 | | 35,102 | -4,161 |
| 66 | | 77 | -11 |
| 81,934 | | 92,597 | -10,663 |
| 25,000 | | 27,880 | -2,880 |
| 3,910 | | 4,824 | -914 |
| 2,256 | | 2,792 | -536 |
| 43,834 | | 55,000 | -11,166 |
| 3,619 | | 3,841 | -222 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | -7,048 |
| | | | -46 |
| | | | -4,161 |
| | | | -11 |
| | | | -10,663 |
| | | | -2,880 |
| | | | -914 |
| | | | -536 |
| | | | -11,166 |
| | | | -222 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | Date acquired (c) (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|---|--------------------------------------|-------------------------------------|
| INVESCO COMSTOCK FD | | 2015-05-21 | 2016-03-28 |
| EATON VANCE RICHARD BERNSTEIN EQ ST FD | | 2015-12-24 | 2016-03-28 |
| FEDERATED STRATEGIC VAL DIV FD | | 2013-06-30 | 2016-03-28 |
| PRUDENTIAL JENNISON 20/20 FOCUS FD | | 2015-05-21 | 2016-03-28 |
| INVESCO COMSTOCK FD | | 2013-06-30 | 2016-03-28 |
| CULLEN HIGH DIV EQUITY FD | | 2013-06-30 | 2016-03-28 |
| CULLEN HIGH DIV EQUITY FD | | 2014-06-18 | 2016-03-28 |
| EATON VANCE RICHARD BERNSTEIN EQ ST FD | | 2013-12-24 | 2016-03-28 |
| EATON VANCE RICHARD BERNSTEIN EQ ST FD | | 2013-08-12 | 2016-03-28 |
| FEDERATED STRATEGIC VAL DIV FD | | 2013-06-30 | 2016-03-28 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 19,854 | | 25,000 | -5,146 |
| 3,701 | | 3,836 | -135 |
| 9,194 | | 8,769 | 425 |
| 18,066 | | 22,500 | -4,434 |
| 1,527 | | 1,829 | -302 |
| 645 | | 694 | -49 |
| 24,355 | | 25,864 | -1,509 |
| 974 | | 1,001 | -27 |
| 20,324 | | 19,463 | 861 |
| 2,107 | | 2,023 | 84 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | -5,146 |
| | | | -135 |
| | | | 425 |
| | | | -4,434 |
| | | | -302 |
| | | | -49 |
| | | | -1,509 |
| | | | -27 |
| | | | 861 |
| | | | 84 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | Date acquired (c) (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|---|--------------------------------------|-------------------------------------|
| FEDERATED STRATEGIC VAL DIV FD | | 2013-06-30 | 2016-03-28 |
| PRUDENTIAL JENNISON 20/20 FOCUS FD | | 2013-06-30 | 2016-03-28 |
| INVESCO COMSTOCK FD | | 2013-06-30 | 2016-05-04 |
| PRUDENTIAL JENNISON 20/20 FOCUS FD | | 2013-06-30 | 2016-05-04 |
| CULLEN HIGH DIV EQUITY FD | | 2013-06-30 | 2016-05-06 |
| GOLDMAN SACHS RISING DIV GROWTH FD | | 2013-06-30 | 2016-05-09 |
| INVESCO COMSTOCK FD | | 2013-06-21 | 2016-05-04 |
| INVESCO COMSTOCK FD | | 2013-06-14 | 2016-05-04 |
| PRUDENTIAL JENNISON 20/20 FOCUS FD | | 2013-12-12 | 2016-05-04 |
| PRUDENTIAL JENNISON 20/20 FOCUS FD | | 2013-06-30 | 2016-05-04 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 13,699 | | 13,820 | -121 |
| 6,934 | | 8,156 | -1,222 |
| 9,825 | | 9,832 | -7 |
| 18,290 | | 19,330 | -1,040 |
| 8,588 | | 8,504 | 84 |
| 1,438 | | 1,393 | 45 |
| 408 | | 392 | 16 |
| 98,664 | | 96,998 | 1,666 |
| 5,634 | | 6,508 | -874 |
| 9,751 | | 10,917 | -1,166 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | -121 |
| | | | -1,222 |
| | | | -7 |
| | | | -1,040 |
| | | | 84 |
| | | | 45 |
| | | | 16 |
| | | | 1,666 |
| | | | -874 |
| | | | -1,166 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | Date acquired (c) (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|---|--------------------------------------|-------------------------------------|
| PRUDENTIAL JENNISON 20/20 FOCUS FD | | 2008-02-11 | 2016-05-04 |
| CULLEN HIGH DIV EQUITY FD | | 2013-06-30 | 2016-05-06 |
| CULLEN HIGH DIV EQUITY FD | | 2013-06-30 | 2016-05-06 |
| CULLEN HIGH DIV EQUITY FD | | 2012-01-13 | 2016-05-06 |
| CULLEN HIGH DIV EQUITY FD | | 2014-06-18 | 2016-05-06 |
| CULLEN HIGH DIV EQUITY FD | | 2015-02-18 | 2016-05-06 |
| GOLDMAN SACHS RISING DIV GROWTH FD | | 2013-06-30 | 2016-05-09 |
| GOLDMAN SACHS RISING DIV GROWTH FD | | 2013-06-30 | 2016-05-09 |
| GOLDMAN SACHS RISING DIV GROWTH FD | | 2013-03-22 | 2016-05-09 |
| GOLDMAN SACHS RISING DIV GROWTH FD | | 2014-09-05 | 2016-05-09 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 81,369 | | 78,198 | 3,171 |
| 3,298 | | 2,854 | 444 |
| 7,215 | | 7,412 | -197 |
| 64,726 | | 50,000 | 14,726 |
| 8,690 | | 9,136 | -446 |
| 46,000 | | 48,000 | -2,000 |
| 653 | | 556 | 97 |
| 695 | | 704 | -9 |
| 60,979 | | 48,891 | 12,088 |
| 39,817 | | 41,743 | -1,926 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 3,171 |
| | | | 444 |
| | | | -197 |
| | | | 14,726 |
| | | | -446 |
| | | | -2,000 |
| | | | 97 |
| | | | -9 |
| | | | 12,088 |
| | | | -1,926 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | Date acquired (c) (mo , day, yr) | (d) Date sold (mo , day, yr) |
|---|--|---|--|
| EATON VANCE RICHARD BERNSTEIN EQ ST FD | | 2013-06-30 | 2016-07-26 |
| LORD ABBETT SHORT DURATION INC FD | | 2013-06-30 | 2016-08-09 |
| LORD ABBETT SHORT DURATION INC FD | | 2016-05-06 | 2016-08-09 |
| LORD ABBETT SHORT DURATION INC FD | | 2016-05-06 | 2016-08-06 |
| CAPITAL GAINS DIVIDENDS | P | | |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|------------------------------|---|--|---|
| 148,313 | | 121,451 | 26,862 |
| 2,344 | | 2,341 | 3 |
| 75,346 | | 75,000 | 346 |
| 22,309 | | 22,206 | 103 |
| 101,564 | | | 101,564 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|---|--|---|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 26,862 |
| | | | 3 |
| | | | 346 |
| | | | 103 |
| | | | 101,564 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|---|---------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| ADDICTION PREVENTION COALITION 100 UNION HILL DRIVE SUITE 150 BIRMINGHAM,AL 35209 | | | IMPROVE PUBLIC AWARENESS OF ISSUES SURROUNDING ADDICTION | 1,250 |
| ALABAMA HERITAGE MAGAZINE PO BOX 870342 TUSCALOOSA,AL 354870342 | | | EDUCATE,INSPIRE AND ENTERTAIN WITH STORIES OF ALABAMA'S HISTORY AND CULTURE | 1,000 |
| ALABAMA KYDNEY FOUNDATION 2012 UNIVERSITY BOULEVARD SUITE 164 PO BOX 12505 BIRMINGHAM,AL 35202 | | | TO IMPROVE PREVENTION AWARENESS AND THE INDIVIDUAL QUALITY OF LIFE OF ALABAMIANS AFFECTED BY KIDNEY DISEASE | 250 |
| ALABAMA SYMPHONY 3621 6TH AVENUE SOUTH BIRMINGHAM,AL 35222 | | | ENDOWMENT OF THE MUSICAL ARTS | 2,000 |
| ALS ASSOCIATION OF ALABAMA 3313 MEMORIAL PARKWAY SW SUITE 100 HUNTSVILLE,AL 35801 | | | LEADING THE FIGHT TO TREAT AND CURE ALS THROUGH GLOBAL RESEARCH AND NATIONWIDE ADVOCACY | 100 |
| AMERICAN HEART ASSOCIATION 7272 GREENVILLE AVE DALLAS,TX 75231 | | | CARDIOVASCULAR DISEASE RESEARCH | 9,000 |
| ANTIQUES AT THE GARDENS BIRMINGHAM BOTANICAL GARDENS 2612 LANE PARK RD BIRMINGHAM,AL 35223 | | | PROMOTE PUBLIC KNOWLEDGE AND APPRECIATION OF PLANTS, GARDENS AND THE ENVIRONMENT | 7,500 |
| AUBURN UNIVERSITY FOUNDATION 317 SOUTH COLLEGE STREET AUBURN,AL 36849 | | | DEVELOPE, SUPPORT AND ENCHANGE EDUCATIONAL INTEREST AND PROGRAM OF AUBURN UNIVERSITY | 1,770 |
| BENEDICTINE SISTERS OF THE SACRAMENT 1663 BRISTOL PIKE BENSALEM,PA 19020 | | | ALL PEOPLES BE BROUGHT INTO THE COMMUNITY OF THE PEOPLE OF GOD | 1,000 |
| BIG OAK RANCH P O BOX 507 SPRINGVILLE,AL 35146 | | | PROVIDE A CHRISTIAN HOME FOR CHILDREN IN NEED | 1,000 |
| BIRMINGHAM BOWL 2 RIVERCHASE OFFICE PLAZA SUITE 110 BIRMINGHAM,AL 35244 | | | SCHOLARSHIPS | 1,126 |
| BIRMINGHAM BUSINESS ALLIANCE 505 20TH STREET NORTH SUITE 200 BIRMINGHAM,AL 35203 | | | LEADING ECONOMIC DEVELOPMENT AGENCY FOR THE BIRMINGHAM SEVEN-COUNTY REGION | 5,000 |
| BOY SCOUTS-GREATER AL COUNCIL 516 LIBERTY PKWY BIRMINGHAM,AL 35242 | | | EDUCATION IN LEADERSHIP AND ENVIRONMENT | 5,000 |
| CANTERBURY UNITED METHODIST CHURCH 350 OVERBROOK ROAD BIRMINGHAM,AL 35213 | | | SPIRITUAL TRAINING | 750 |
| CATHOLIC FAMILY SERVICES 2121 3RD AVENUE NORTH BIRMINGHAM,AL 35203 | | | FAMILY ASSISTANCE PROGRAMS | 250 |
| Total | | | ▶ 3a | 127,239 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|--|---------|
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| CENTER FOR EXECUTIVE LEADERSHIP 200 UNION HILL STE 200 BIRMINGHAM,AL 35209 | | | SPIRITUAL LEADERSHIP TRAINING OF MEN | 1,500 |
| CHATTANOOGA AREA FOOD BANK 2009 CURTAIN POLE RD CHATTANOOGA,TN 37406 | | | BRING NOURISHING FOOD TO THE PEOPLE OF OUR REGION WHO HAVE THE NEED, AND COURAGE TO SEEK HELP | 1,000 |
| CHILDREN'S HOSPITAL FOUNDATION 1600 7TH AVE S BIRMINGHAM,AL 35233 | | | MEDICAL CARE | 500 |
| CORNERSTONE SCHOOL 118 55TH STREET NORTH BIRMINGHAM,AL 35212 | | | PRIVATE SCHOOL FOCUSED ON AT RISK CHILDREN | 250 |
| CRISTO REY CATHOLIC HIGH SCHOOL 680 W PEACHTREE STREET NW ATLANTA,GA 30308 | | | CATHOLIC LEARNING COMMUNITY THAT EDUCATES YOUNG PEOPLE OF LIMITED ECONOMIC MEANS | 5,250 |
| EMMETT O NEAL LIBRARY 50 OAK STREET MOUNTAIN BROOK,AL 35213 | | | SUPPORT READING AND EDUCATION OF THE COMMUNITY | 500 |
| EXCEPTIONAL FOUNDATION 1616 OXMOOR ROAD BIRMINGHAM,AL 35202 | | | ASSISTS SPECIAL NEEDS INDIVIDUALS WITH SOCIAL AND RECREATIONAL ACTIVITIES | 2,250 |
| FIRST LIGHT 2230 FOURTH AVENUE NORTH BIRMINGHAM,AL 35203 | | | WORK WITH HOMELESS WOMEN AND THEIR CHILDREN TO CREATE HOSPITALITY IN A SAFE AND NUTURING COMMUNITY | 500 |
| FIXED POINT FOUNDATION 2326 2ND AVE N BIRMINGHAM,AL 35203 | | | DEFEND AND PROCLAIM THE GOSPEL IN THE SECULAR MARKETPLACE AND EIP OTHERS TO DO THE SAME | 500 |
| FOCUS PO BOX 18710 GOLDEN,CO 804029809 | | | TO KNOW CHRIST JESUS AND TO FULFILL HIS GREAT COMMISSION | 200 |
| FOUNDATION FOR PROGRESS IN JOURNALISM P O BOX 10584 BIRMINGHAM,AL 35202 | | | FOUNDATION ESTABLISHED TO ENCOURAGE AND PROMOTE JOURNALISM | 2,500 |
| FOUNDRY MINISTRIES PO BOX 824 BESSEMER,AL 35021 | | | RESTORE HOP AND REBUILD THE LIVES OF THE ADDICT, EX-INMATE AND DESTITUTE THROUGH CHRIST-CENTERED RECOVERY, RE-ENTRY AND RESCUE | 1,250 |
| HARBERT CENTER 2019 FOURTH AVE NORTH BIRMINGHAM,AL 35203 | | | TO PROVIDE OPERATION OF FUNCTIONAL FACILITIES FOR CHARITABLE ORGANIZATIONS | 1,500 |
| HEART GALLERY OF ALABAMA 716 37TH STREET S BIRMINGHAM,AL 35222 | | | DEDICATED TO FINDING FOREVER FAMILIES FOR CHILDREN IN ALABAMA'S FOSTER CARE SYSTEM BY RAISING AWARENESS AND EDUCATING THE PUBLIC | 1,000 |
| HELEN KELLER FOUNDATION 1201 11TH AVENUE SOUTH SUITE 300 BIRMINGHAM,AL 35205 | | | STRIVES TO PREVENT BLINDNESS AND DEAFNESS BY ADVANCING RESEARCH AND EDUCATION | 600 |
| Total ▶ 3a | | | | 127,239 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|---|---------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| HOLOCAUST EDUCATION CENTER 2222 ARLINGTON AVENUE BIRMINGHAM,AL 35205 | | | INSPIRE TEACHING AND LEARNING FOR HUMANITY | 1,000 |
| HOLY APOSTLES EPISCOPLA 424 EMERY DRIVE HOOVER,AL 35244 | | | TO GROW IN BODY, MIND, SPIRIT AND MINISTRY | 250 |
| JIMMIE HALE MISSION PO BOX 10472 BIRMINGHAM,AL 35202 | | | MINISTERING TO THE SPIRITUAL AND PHYSICAL NEEDS OF THOSE IN OUR COMMUNITY BY SHARING THE GOSPEL | 500 |
| JOHNNY MACK SOLDIERS FUND PO BOX 419503 BOSTON,MA 022419503 | | | TO HELP "OUR OWN AND THEIR FAMILIES THROUGH EDUCATION | 5,000 |
| LEADERSHIP BIRMINGHAM 1710 6TH AVENUE N 12TH FLOOR BIRMINGHAM,AL 35203 | | | SEEKING INDIVIDUAL AND GROUP ACTION TO BETTER CONTRIBUTE TO THE COMMUNITY | 100 |
| LITTLE SISTERS OF THE POOR 4200 HAREWOOD RD NE WASHINGTON,DC 20017 | | | SERVE THE ELDERLY POOR IN OVER 30 COUNTRIES AROUND THE WORLD | 500 |
| MAGIC MOMENTS 2112 11TH AVE SOUTH SUITE 219 BIRMINGHAM,AL 35205 | | | TO MAKE NON-MEDICAL GIFTS TO CHILDREN WITH CHRONIC LIFE-THREATENING DISEASES | 250 |
| MAKE WAY PARTNERS PO BOX 26367 BIRMINGHAM,AL 35260 | | | ASSIST IN PREVENTING AND COMBATING HUMAN TRAFFICKING | 500 |
| MARCH OF DIMES 450 CENTURY PARK S BIRMINGHAM,AL 35226 | | | PROVIDE SUPPORT FOR THE COMMUNITY AND OTHER CHARITABLE PROGRAMS | 1,000 |
| MARIANNA GREENE HENRY SPECIAL EQUESTRIANS PROGRAM 29401 AL HWY 21 SOUTH TALLADEGA,AL 35160 | | | TO PROMOTE AND SUPPORT EQUINE FACILITATED ACTIVITIES FOR INDIVIDUALS WITH DISABILITIES | 2,500 |
| MEDIA RESEARCH CENTER 1900 CAMPUS COMMONS DR SUITE 600 RESTON,VA 20191 | | | TO CREATE A MEDIA CULTURE IN AMERICA WHERE TRUTH AND LIBERTY FLOURISH | 2,500 |
| MICHIGAN STATE UNIVERSITY 220 TROWBRIDGE RD EAST LANSING,MI 48824 | | | TO ADVANCE THE GLOBAL COMMON GOOD | 1,000 |
| MOMENTUM 2821 2ND AVE SOUTH SUITE B-1 BIRMINGHAM,AL 35233 | | | TO BE ALABAMA'S PREMIER LEADERSHIP PROGRAM WHICH EMPLOWERS A DIVERSE GROUP OF PROMISING WOMEN | 500 |
| MONDAY MORNING QUARTERBACK CLUB 2019 4TH AVE N STE 101 BIRMINGHAM,AL 35203 | | | MEDICAL RESEARCH | 12,750 |
| MOUNTAIN BROOK CITY SCHOOLS FOUNDATION 32 VINE STREET BIRMINGHAM,AL 35213 | | | PROVIDE MATERIALS AND FINANCING FOR THE EDUCATION OF YOUTH | 2,243 |
| Total ▶ 3a | | | | 127,239 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|--|---------|
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| MOUNTAIN BROOK FIRE FIGHTERS 102 TIBBETT ST MOUNTAIN BROOK,AL 35213 | | | PROVIDES A RANGE OF QUALITY PUBLIC SERVICES FOR HEALTH,SAFETY AND WELFARE OF THE MOUNTAIN BROOK COMMUNITY | 250 |
| MOUNTAIN BROOK SPORTS CORP 2019 4TH AVE N STE 101 BIRMINGHAM,AL 35203 | | | RAISE FUNDS FOR THE CONSTRUCTION OF CERTAIN FACILITIES AND TO PURCHASE EQUIPMENT, GOODS AND SERVICES TO BE USED BY THE SPORTS PROGRAMS OF THE BOARD OF EDUCATION OF THE CITY OF MOUNTAIN BROOK | 150 |
| NICK'S KIDS CHARITIES BOX 870323 TUSCALOOSA,AL 35487 | | | PROMOTE AND SUPPORT CHILDREN'S CAUSES | 3,250 |
| PARKINSONS ASSOCIATION OF ALABAMA 912 TULIP POPLAR LN BIRMINGHAM,AL 35244 | | | MEDICAL RESERACH | 5,250 |
| PGA TOUR 100 PGA TOUR BOULEVARD PONTE VEDRA BEACH,FL 32082 | | | PROMOTE DEVELOPMENT OF YOUTH GOLF IN THE UNITED STATES | 3,000 |
| PRESCHOOL PARTNERS 4447 MONTEVALLO ROAD BIRMINGHAM,AL 35213 | | | TO EQUIP FAMILIES OF AT RISK PRESCHOOL CHILDREN WITH THE SKILLS NECESSARY TO ACHIEVE SCHOOL READINESS | 500 |
| PRO START SCHOLARSHIP PO BOX 320637 BIRMINGHAM,AL 35232 | | | SCHOLARSHIPS | 1,000 |
| RAILROAD PARK FOUNDATION 1600 1ST AVENUE SOUTH BIRMINGHAM,AL 35233 | | | TO SUPPORT THE RAILROAD PARK | 1,000 |
| RED MOUNTAIN THEATER 3028 7TH AVENUE SOUTH BIRMINGHAM,AL 35233 | | | TO CREATE A POWERFUL THEATRE EXPERIENCES THAT ENRICH, EDUCATE AND ENGAGE AUDIENCES | 5,000 |
| RISE PROGRAM 25 BISHOP PLACE NEW BRUNSWICK,NJ 089011181 | | | SCHOLARSHIPS | 1,500 |
| ROBERT REED ONCOLOGY RESEARCH FOUNDATION PO BOX 530186 BIRMINGHAM,AL 35253 | | | CANCER RESEARCH | 500 |
| ROTARY CLUB 2019 4TH AVENUE NORTH SUITE 200 BIRMINGHAM,AL 35203 | | | LEADERSHIP TRAINING IN BUSINESS AND PROFESSIONAL ORGANIZATION PROMOTING HUMANITARIAN SERVICES WITH HIGH ETHICAL STANDARDS | 1,000 |
| SPAIN PARK STUDENTS FOR STUDENTS 4700 JAGUAR DRIVE HOOVER,AL 35242 | | | PROMOTE EDUCATION | 300 |
| ST BERNARD ABBEY 1600 ST BERNARD AVE SE CULLMAN,AL 35055 | | | SEEK GOD IN COMMUNITY UNDER THE GUIDANCE OF AN ABBOT AND THE RULE OF ST BENEDICT | 2,500 |
| ST LUKES EPISCOPAL 3736 MONTROSE RD BIRMINGHAM,AL 35213 | | | THROUGH A VARIETY OF WEEKLY SERVICES, WE JOIN OUR HEARTS, MINDS AND VOICES IN COMMON WORSHIP | 150 |
| Total ▶ 3a | | | | 127,239 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|--|---------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| STAIR OF BIRMINGHAM 3100 HIGHLAND AVENUE BIRMINGHAM,AL 35205 | | | TO IMPROVE THE READING SKILLS AND SELF-ESTEEN OF SECOND GRADE | 500 |
| UAB BLAZERS 1720 2ND AVE SOUTH BIRMINGHAM,AL 35233 | | | PROMOTE HIGHER EDUCATION | 1,000 |
| UAB COMPREHENSIVE DIABETES 1720 2ND AVENUE SOUTH FOT 1234 BIRMINGHAM,AL 352943412 | | | TO SUPPORT CUTTING EDGE RESEARCH, TRAIN FUTURE CLINICIANS AND RESEARCHERS AND DEVELOP NOVEL TREATMENT APPROACHES | 500 |
| UAB SCHOOL OF NURSING 1530 3RD AVENUE S BIRMINGHAM,AL 35294 | | | SCHOLARSHIPS | 3,000 |
| UNITED WAY 3600 8TH AVENUE SOUTH BIRMINGHAM,AL 35232 | | | TO INCREASE THE ORGANIZED CAPACITY OF PEOPLE TO CARE FOR ONE ANOTHER AND IMPROVE THEIR COMMUNITY | 2,500 |
| UNIVERSITY OF ALABAMA P O BOX 870144 TUSCALOOSA,AL 35487 | | | PROMOTE HIGHER EDUCATION | 3,000 |
| UNLESS U SCHOOL 2017 COLUMBIANA RD VESTAVIA,AL 35216 | | | TO SERVICE ADULTS WITH DEVELOPMENTAL DISABILITIES AND THEIR FAMILIES THROUGH CONTINUING EDUCATION, LIFE SKILLS AND SOCIAL SKILLS | 250 |
| WILMER HALL CHILDRENS HOME 3811 OLD SHELL RD MOBILE,AL 36608 | | | ASSIST CHILDREN NEEDING HOMES AND EMOTIONAL SUPPORT | 2,000 |
| WORKSHOPS 4244 3RD AVENUE S BIRMINGHAM,AL 35222 | | | VOCATIONAL TRAINING AND EMPLOYMENT OPPORTUITIES FOR PEOPLE WITH DIABILITIES | 1,500 |
| WOUNDED WARRIORS PROJECT 3343 PEACHTREE ROAD NE M20 ATLANTA,GA 30326 | | | SUPPORT FAMILIES OF THOSE IN THE MILITARY WHO HAVE BEEN WOUNDED, INJURED OR KILLED DURING COMBAT OPERATIONS | 750 |
| Total | | | ▶ 3a | 127,239 |

TY 2015 Accounting Fees Schedule

Name: THE ROBERT AND LOIS LUCKIE CHARITABLE
FOUNDATION

EIN: 72-1399703

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|-----------------|--------|--------------------------|------------------------|---|
| ACCOUNTING FEES | 6,997 | 0 | 0 | 0 |

TY 2015 Investments Corporate Bonds Schedule

Name: THE ROBERT AND LOIS LUCKIE CHARITABLE
FOUNDATION

EIN: 72-1399703

| Name of Bond | End of Year Book Value | End of Year Fair Market Value |
|------------------------------------|------------------------|-------------------------------|
| RAYMOND JAMES CLOSED END BOND FUND | 148,565 | 149,465 |

TY 2015 Investments Corporate Stock Schedule

Name: THE ROBERT AND LOIS LUCKIE CHARITABLE
FOUNDATION

EIN: 72-1399703

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|----------------------------------|-------------------------------|--------------------------------------|
| RAYMOND JAMES PREFERRED STOCK | 463,728 | 484,628 |
| RAYMOND JAMES STOCK MUTUAL FUND | 810,735 | 1,009,267 |
| RAYMOND JAMES STOCK MUTUAL FUNDS | 1,483,109 | 1,538,505 |

TY 2015 Investments - Other Schedule

Name: THE ROBERT AND LOIS LUCKIE CHARITABLE
FOUNDATION

EIN: 72-1399703

| Category/ Item | Listed at Cost or FMV | Book Value | End of Year Fair Market Value |
|-----------------------------------|--------------------------|------------|----------------------------------|
| RAYMOND JAMES REITS/TANGIBLES | FMV | 32,418 | 102,060 |
| RAYMOND JAMES PRIVATE EQUITY FUND | FMV | 195,165 | 252,642 |

TY 2015 Other Income Schedule

Name: THE ROBERT AND LOIS LUCKIE CHARITABLE
FOUNDATION

EIN: 72-1399703

| Description | Revenue And Expenses Per Books | Net Investment Income | Adjusted Net Income |
|----------------------|-----------------------------------|--------------------------|---------------------|
| RAYMOND JAMES | 347 | 347 | 347 |
| PASSTHROUGH ENTITIES | 46,265 | 46,265 | 46,265 |

TY 2015 Other Professional Fees Schedule

Name: THE ROBERT AND LOIS LUCKIE CHARITABLE
FOUNDATION

EIN: 72-1399703

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|-----------------|--------|--------------------------|------------------------|---|
| INVESTMENT FEES | 56,196 | 0 | 0 | 0 |

TY 2015 Taxes Schedule

Name: THE ROBERT AND LOIS LUCKIE CHARITABLE
FOUNDATION

EIN: 72-1399703

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---------------|--------|--------------------------|------------------------|---|
| TAXES | 4,655 | 0 | 0 | 0 |
| FOREIGN TAXES | 203 | 0 | 0 | 0 |

| | | |
|---|--|---|
| <div>Schedule B</div> <div>(Form 990, 990-EZ, or 990-PF)</div> <div>Department of the Treasury Internal Revenue Service</div> | <div>Schedule of Contributors</div> <div>▶ Attach to Form 990, 990-EZ, or 990-PF</div> <div>▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990</div> | <div>OMB No 1545-0047</div> <div>2015</div> |
|---|--|---|

| | |
|---|---|
| <div>Name of the organization</div> <div>THE ROBERT AND LOIS LUCKIE CHARITABLE FOUNDATION</div> | <div>Employer identification number</div> <div>72-1399703</div> |
|---|---|

Organization type (check one)

| | |
|--------------------|---|
| Filers of: | Section: |
| Form 990 or 990-EZ | <div><input type="checkbox"/> 501(c)() (enter number) organization</div> <div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation</div> <div><input type="checkbox"/> 527 political organization</div> |
| Form 990-PF | <div><input checked="" type="checkbox"/> 501(c)(3) exempt private foundation</div> <div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation</div> <div><input type="checkbox"/> 501(c)(3) taxable private foundation</div> |

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

| | |
|--|---|
| Name of organization THE ROBERT AND LOIS LUCKIE CHARITABLE FOUNDATION | Employer identification number 72-1399703 |
|--|---|

| Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed | | | |
|---|--|----------------------------|---|
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| 1 | ROBERT E LUCKIE JR CHARITABLE LEAD TRUST | \$ 81,493 | Person <input checked="" type="checkbox"/> |
| | 600 LUCKIE DRIVE | | Payroll <input type="checkbox"/> |
| | BIRMINGHAM, AL 352232429 | | Noncash <input type="checkbox"/> (Complete Part II for noncash contributions) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| | | \$ | Person <input type="checkbox"/> |
| | | | Payroll <input type="checkbox"/> |
| | | | Noncash <input type="checkbox"/> (Complete Part II for noncash contributions) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| | | \$ | Person <input type="checkbox"/> |
| | | | Payroll <input type="checkbox"/> |
| | | | Noncash <input type="checkbox"/> (Complete Part II for noncash contributions) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| | | \$ | Person <input type="checkbox"/> |
| | | | Payroll <input type="checkbox"/> |
| | | | Noncash <input type="checkbox"/> (Complete Part II for noncash contributions) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| | | \$ | Person <input type="checkbox"/> |
| | | | Payroll <input type="checkbox"/> |
| | | | Noncash <input type="checkbox"/> (Complete Part II for noncash contributions) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| | | \$ | Person <input type="checkbox"/> |
| | | | Payroll <input type="checkbox"/> |
| | | | Noncash <input type="checkbox"/> (Complete Part II for noncash contributions) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| | | \$ | Person <input type="checkbox"/> |
| | | | Payroll <input type="checkbox"/> |
| | | | Noncash <input type="checkbox"/> (Complete Part II for noncash contributions) |

| | |
|--|---|
| Name of organization THE ROBERT AND LOIS LUCKIE CHARITABLE FOUNDATION | Employer identification number 72-1399703 |
|--|---|

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____

Use duplicate copies of Part III if additional space is needed

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|------------------------|---------------------------------------|-------------------------------------|--|
| - | <div></div> <div></div> <div></div> | <div></div> <div></div> <div></div> | <div></div> <div></div> <div></div> |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP 4 | | Relationship of transferor to transferee |
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