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Form 990-PF

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

2015

Open to Public Inspection

Department of the Treasury Internal Revenue Service

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For calendar year 2015, or tax year beginning 09-01-2015, and ending 08-31-2016

Name of foundation: PHYLLIS W SMITH PVT FDN. A Employer identification number: 59-7258080. B Telephone number: (888) 866-3275. G Check all that apply: Initial return, Final return, Address change. H Check type of organization: Section 501(c)(3) exempt private foundation. J Accounting method: Cash.

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes. Rows include Revenue (1-12), Operating and Administrative Expenses (13-26), and Summary (27-29).

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	99,622	306,188	306,188
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____		0	0
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions).			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____ 0			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	3,995,678	4,426,339	4,603,328
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans.			
	13 Investments—other (attach schedule)	454,264	459,136	429,660
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	4,549,564	5,191,663	5,339,176	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule).			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	4,549,564	5,191,663	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances (see instructions)	4,549,564	5,191,663		
31 Total liabilities and net assets/fund balances (see instructions)	4,549,564	5,191,663		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,549,564
2	Enter amount from Part I, line 27a	2	615,357
3	Other increases not included in line 2 (itemize) ▶ _____	3	38,112
4	Add lines 1, 2, and 3	4	5,203,033
5	Decreases not included in line 2 (itemize) ▶ _____	5	11,370
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	5,191,663

Part IV Capital Gains and Losses for Tax on Investment Income

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	How acquired	Date acquired	Date sold
	(b) P—Purchase D—Donation	(c) (mo, day, yr)	(d) (mo, day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	812,929
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		}	3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)
 If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	279,858	5,521,426	0.050686
2013	453,078	5,480,681	0.082668
2012	256,497	5,353,100	0.047916
2011	300,602	5,135,518	0.058534
2010	178,310	5,201,542	0.03428
2 Total of line 1, column (d).			2 0.274084
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.054817
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5.			4 5,197,968
5 Multiply line 4 by line 3.			5 284,937
6 Enter 1% of net investment income (1% of Part I, line 27b).			6 8,827
7 Add lines 5 and 6.			7 293,764
8 Enter qualifying distributions from Part XII, line 4.			8 254,673

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

Table with 11 rows for excise tax calculation. Includes categories like 'Exempt operating foundations', 'Domestic foundations', and 'Credits/Payments'. Total tax due is 9,254.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political influence, tax on political expenditures, and foundation status. Includes Yes/No columns.

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No		
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No		
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ _____	13	Yes			
14	The books are in care of ▶ <u>US TRUST FIDUCIARY TAX SERVICES</u> Telephone no ▶ <u>(888) 866-3275</u> Located at ▶ <u>PO BOX 1802 PROVIDENCE RI</u> ZIP+4 ▶ <u>02901</u>					
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶ <table border="1"><tr><td>15</td><td></td></tr></table>	15				
15						
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶ _____	16	Yes	No		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here. ▶ <input type="checkbox"/>	1b	No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No
 Organizations relying on a current notice regarding disaster assistance check here.

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
 If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
 If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
BANK OF AMERICA N A 1 MONARCH PL SPRINGFIELD, MA 01144	TRUSTEE 1	45,457		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances
NONE				

Total number of other employees paid over \$50,000. 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services. 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3. ▶

Part X Minimum Investment Return

(All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	5,083,760
b	Average of monthly cash balances.	1b	193,365
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	5,277,125
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	5,277,125
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	79,157
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	5,197,968
6	Minimum investment return. Enter 5% of line 5.	6	259,898

Part XI Distributable Amount

(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	259,898
2a	Tax on investment income for 2015 from Part VI, line 5.	2a	17,654
b	Income tax for 2015 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	17,654
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	242,244
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	242,244
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	242,244

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	254,673
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	254,673
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	254,673

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				242,244
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only.			51,946	
b Total for prior years 2013, 20__, 20__		0		
3 Excess distributions carryover, if any, to 2015				
a From 2010.	0			
b From 2011.	0			
c From 2012.	0			
d From 2013.	0			
e From 2014.	0			
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ \$ <u>254,673</u>				
a Applied to 2014, but not more than line 2a			51,946	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2015 distributable amount.				202,727
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2015 <i>(If an amount appears in column (d), the same amount must be shown in column (a).)</i>	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				39,517
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2011.	0			
b Excess from 2012.	0			
c Excess from 2013.	0			
d Excess from 2014.	0			
e Excess from 2015.	0			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling.

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information(continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> MSPCA OF FRANKLIN COUNTY ATTN MR SEAN R HAMLETT 335 CHANDLER ST WORCESTER, MA 016023441	N/A	PC	UNRESTRICTED GENERAL	58,793
BAYSTATE HEALTH FOUNDATION INC 759 CHESTNUT STREET SPRINGFIELD, MA 011991001	N/A	PC	UNRESTRICTED GENERAL	58,793
HOLYOKE HOSPITAL 575 BEECH ST HOLYOKE, MA 010402223	N/A	PC	UNRESTRICTED GENERAL	58,792
SYCAMORES OF S HADLEY HISTORICAL SOCIETY 18 S SYCAMORE KNLS SOUTH HADLEY, MA 010751112	N/A	PC	UNRESTRICTED GENERAL	58,792
Total			▶ 3a	235,170
b <i>Approved for future payment</i>				
Total			▶ 3b	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
460 AT&T INC		2006-05-03	2016-04-08
662 AT&T INC		2005-05-18	2016-04-08
88 ABBOTT LABORATORIES		2008-06-04	2016-04-08
300 ABBOTT LABORATORIES		2006-10-04	2016-04-08
88 ABBVIE INC COM		2008-06-04	2016-04-08
300 ABBVIE INC COM		2006-10-04	2016-04-08
100 AMGEN INC COM		2009-11-12	2016-04-08
900 APPLE INC COM		2008-06-04	2016-04-08
55 CIGNA CORPORATION		2007-09-18	2016-04-08
245 CIGNA CORPORATION		2007-12-20	2016-04-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
17,758		12,019	5,739
25,556		15,633	9,923
3,731		2,354	1,377
12,721		6,807	5,914
5,140		2,552	2,588
17,521		7,382	10,139
15,635		5,527	10,108
97,912		23,932	73,980
7,149		2,951	4,198
31,845		13,103	18,742

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			5,739
			9,923
			1,377
			5,914
			2,588
			10,139
			10,108
			73,980
			4,198
			18,742

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
18 CALIFORNIA RESOURCES CORP COM		2009-11-12	2016-04-08
724 CALIFORNIA RESOURCES CORP COM		2009-11-12	2016-04-20
200 CHEVRONTEXACO CORP COM		2009-11-12	2016-04-08
805 CISCO SYSTEMS INCORPORATED		2005-05-18	2016-04-08
795 CISCO SYSTEMS INCORPORATED		2005-05-18	2016-04-08
720 COCA COLA CO COM		2005-11-22	2016-04-08
186 COLGATE PALMOLIVE CO		2007-12-20	2016-04-08
520 COLGATE PALMOLIVE CO		2005-12-29	2016-04-08
7089 14 COLUMBIA INCOME OPPORTUNITIES FUND CL Z		2005-11-22	2016-04-08
1950 963 COLUMBIA INCOME OPPORTUNITIES FUND CL Z		2006-04-13	2016-04-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
22		25	-3
1		1	
19,243		15,445	3,798
22,294		15,452	6,842
22,017		15,260	6,757
33,775		15,257	18,518
13,210		7,274	5,936
36,931		14,394	22,537
67,134		72,760	-5,626
18,476		20,000	-1,524

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-3
			3,798
			6,842
			6,757
			18,518
			5,936
			22,537
			-5,626
			-1,524

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1427 616 COLUMBIA INCOME OPPORTUNITIES FUND CL Z		2005-05-18	2016-04-08
4362 232 COLUMBIA LARGE CAP ENHANCED CORE FUND CLASS Z SHARES		2005-05-18	2016-04-08
5376 225 COLUMBIA SHORT TERM BOND FUND CLASS Z SHARES		2005-10-21	2016-04-08
10186 024 COLUMBIA SHORT TERM BOND FUND CLASS Z SHARES		2005-12-29	2016-04-08
3639 01 COLUMBIA DIVIDEND INCOME FUND CLASS Z SHARES		2008-06-04	2016-04-08
246 397 COLUMBIA TOTAL RETURN BOND FUND CL Z		2005-10-21	2016-04-08
22547 914 COLUMBIA TOTAL RETURN BOND FUND CL Z		2005-11-22	2016-04-08
11299 435 COLUMBIA TOTAL RETURN BOND FUND CL Z		2006-02-28	2016-04-08
20294 448 COLUMBIA TOTAL RETURN BOND FUND CL Z		2007-09-18	2016-04-08
5760 369 COLUMBIA TOTAL RETURN BOND FUND CL Z		2006-04-13	2016-04-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
13,520		14,583	-1,063
90,516		57,145	33,371
53,547		52,633	914
101,453		99,517	1,936
65,684		50,000	15,684
2,252		2,195	57
206,088		200,000	6,088
103,277		100,000	3,277
185,491		177,576	7,915
52,650		50,000	2,650

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,063
			33,371
			914
			1,936
			15,684
			57
			6,088
			3,277
			7,915
			2,650

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
11560 694 COLUMBIA TOTAL RETURN BOND FUND CL Z		2008-06-04	2016-04-08
3421 387 COLUMBIA MID CAP GROWTH FUND CLASS Z SHARES		2005-05-18	2016-04-08
1016 949 COLUMBIA MID CAP GROWTH FUND CLASS Z SHARES		2005-05-18	2016-04-08
4646 84 COLUMBIA EMERGING MARKETS FUND CLASS Z SHARES		2013-05-22	2016-04-08
2465 483 COLUMBIA EMERGING MARKETS FUND CLASS Z SHARES		2013-11-27	2016-04-08
11259 182 COLUMBIA BOND FUND CLASS Z SHARES		2007-12-20	2016-04-08
300 COMCAST CORP NEW CL A COM		2009-11-12	2016-04-08
967 427 SMALL CAP GROWTH LEADERS CTF		2016-04-08	2016-08-31
200 CONOCOPHILLIPS COM		2005-05-18	2016-04-08
9190 DB X-TRACKERS MSCI EAFE HEDGED EQUITY FUND		2015-05-27	2016-08-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
105,665		100,000	5,665
86,595		68,873	17,722
25,739		20,471	5,268
42,100		50,000	-7,900
22,337		25,000	-2,663
97,955		100,000	-2,045
18,459		4,585	13,874
23,000		21,413	1,587
8,265		7,730	535
239,165		285,068	-45,903

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			5,665
			17,722
			5,268
			-7,900
			-2,663
			-2,045
			13,874
			1,587
			535
			-45,903

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
30 DISNEY WALT CO		2008-06-04	2016-04-08
395 DISNEY WALT CO		2006-10-04	2016-04-08
500 EXPRESS SCRIPTS HLDG CO COM		2007-12-20	2016-04-08
600 EXXON MOBIL CORPORATION		2005-05-18	2016-04-08
100 96 MID CAP VALUE CTF		2016-04-08	2016-08-31
791 748 SMALL CAP VALUE CTF		2016-04-08	2016-08-31
7507 508 FIDELITY ADVISOR FLOATING RATE HIGH INCOME FUND INSTL		2013-11-27	2016-04-08
150 GENERAL DYNAMICS CORP		2012-02-15	2016-04-08
161 GENERAL ELEC CO		2005-05-18	2016-04-08
839 GENERAL ELEC CO		2005-05-18	2016-04-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,894		1,031	1,863
38,107		12,083	26,024
34,956		17,384	17,572
49,929		32,391	17,538
3,100		2,949	151
21,000		19,391	1,609
69,069		75,000	-5,931
19,991		10,462	9,529
4,964		5,915	-951
25,867		30,825	-4,958

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,863
			26,024
			17,572
			17,538
			151
			1,609
			-5,931
			9,529
			-951
			-4,958

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
200 GILEAD SCIENCES INC		2009-11-12	2016-04-08
100 GOLDMAN SACHS GROUP INC		2009-11-12	2016-04-08
200 HONEYWELL INTERNATIONAL INC		2012-02-15	2016-04-08
654 114 DIVIDEND INCOME COMMON TRUST FUND		2016-04-08	2016-08-31
15 INTERNATIONAL BUSINESS MACHS		2006-05-03	2016-04-08
235 INTERNATIONAL BUSINESS MACHS		2006-10-04	2016-04-08
87 ISHARES CORE S&P 500 ETF		2015-08-04	2016-08-25
62 ISHARES CORE S&P MID CAP ETF		2015-05-27	2016-08-25
500 ISHARES COHEN & STEERS REIT ETF		2010-03-05	2016-04-08
500 ISHARES COHEN & STEERS REIT ETF		2009-11-12	2016-04-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
19,194		4,681	14,513
15,087		17,832	-2,745
22,434		11,919	10,515
36,000		34,862	1,138
2,245		1,245	1,000
35,165		19,239	15,926
19,079		18,307	772
9,711		9,461	250
51,381		26,805	24,576
51,381		24,495	26,886

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			14,513
			-2,745
			10,515
			1,138
			1,000
			15,926
			772
			250
			24,576
			26,886

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
301 ISHARES RUSSELL 2000 ETF		2015-05-27	2016-08-25
262 J P MORGAN CHASE & CO COM		2007-12-20	2016-04-08
288 J P MORGAN CHASE & CO COM		2008-06-04	2016-04-08
6722 892 JOHN HANCOCK FDS III DISCIPLINED VALUE MID CAP FUND		2015-08-04	2016-04-08
364 JOHNSON & JOHNSON		2008-06-04	2016-04-08
315 KIMBERLY CLARK CORP COM		2006-05-03	2016-04-08
167 KRAFT HEINZ CORP COM		2007-12-20	2016-04-08
100 LOCKHEED MARTIN CORPORATION		2009-11-12	2016-04-08
500 LOWES COMPANIES INCORPORATED		2009-11-12	2016-04-08
1651 255 MFS EMERGING MKTS DEBT FUND CL I		2012-04-04	2016-04-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
37,178		37,140	38
15,183		11,392	3,791
16,690		12,023	4,667
127,130		139,500	-12,370
39,708		24,251	15,457
43,280		17,601	25,679
13,100		5,823	7,277
22,662		7,397	15,265
37,560		10,677	26,883
23,431		25,000	-1,569

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			38
			3,791
			4,667
			-12,370
			15,457
			25,679
			7,277
			15,265
			26,883
			-1,569

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
3333 333 MFS EMERGING MKTS DEBT FUND CL I		2012-02-15	2016-04-08
700 MERCK & CO INC NEW COM		2007-09-18	2016-04-08
1050 MICROSOFT CORPORATION		2005-05-18	2016-04-08
502 MONDELEZ INTL INC COM		2007-12-20	2016-04-08
205 MONSANTO CO NEW COM		2006-10-04	2016-04-08
200 NESTLE S A SPONSORED ADR		2012-02-15	2016-04-08
200 OCCIDENTAL PETROLEUM CORPORATION		2009-11-12	2016-04-08
2210 433 OPPENHEIMER INTL GROWTH FUND CL Y SHS		2013-05-22	2016-04-08
2645 503 PIMCO HIGH YIELD FD INSTL CL		2012-09-04	2016-04-08
1883 698 PIMCO HIGH YIELD FD INSTL CL		2012-04-04	2016-04-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
47,300		50,000	-2,700
38,762		34,927	3,835
57,289		26,912	30,377
21,177		10,793	10,384
17,759		9,803	7,956
14,827		11,843	2,984
14,118		15,722	-1,604
79,200		75,000	4,200
22,196		25,000	-2,804
15,804		17,481	-1,677

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-2,700
			3,835
			30,377
			10,384
			7,956
			2,984
			-1,604
			4,200
			-2,804
			-1,677

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
300 PNC BK CORP		2009-11-12	2016-04-08
325 PEPSICO INCORPORATED		2005-05-18	2016-04-08
100 PHILLIPS 66 COM		2005-05-18	2016-04-08
650 104 PIMCO FOREIGN BOND FUND UNHEDGED INSTL CL		2012-02-15	2016-04-08
2308 403 PIMCO FOREIGN BOND FUND UNHEDGED INSTL CL		2012-04-04	2016-04-08
1446 48 PIMCO FOREIGN BOND FUND UNHEDGED INSTL CL		2009-11-13	2016-04-08
494 56 PIMCO FOREIGN BOND FUND UNHEDGED INSTL CL		2010-03-05	2016-04-08
1238 983 PIMCO GLOBAL MULTI-ASSET FUND INSTL CL		2012-02-15	2016-04-08
2224 199 PIMCO GLOBAL MULTI-ASSET FUND INSTL CL		2012-04-04	2016-04-08
350 PRAXAIR INCORPORATED		2005-12-29	2016-04-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
24,572		16,279	8,293
34,190		18,380	15,810
8,619		2,297	6,322
6,326		7,080	-754
22,461		24,839	-2,378
14,074		14,899	-825
4,812		4,966	-154
13,282		14,100	-818
23,843		25,000	-1,157
39,447		18,934	20,513

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			8,293
			15,810
			6,322
			-754
			-2,378
			-825
			-154
			-818
			-1,157
			20,513

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
200 PROCTER & GAMBLE CO COM		2012-02-15	2016-04-08
235 SCHLUMBERGER LTD COM		2006-05-03	2016-04-08
400 TJX COMPANIES INCORPORATED NEW		2010-03-05	2016-04-08
200 TARGET CORP		2010-03-05	2016-04-08
3239 329 TEMPLETON GLOBAL BD FUND ADVISOR CL		2013-07-22	2016-04-08
1920 123 TEMPLETON GLOBAL BD FUND ADVISOR CL		2013-11-27	2016-04-08
800 TEXAS INSTRS INC		2007-12-20	2016-04-08
150 THERMO ELECTRON CORP		2009-11-12	2016-04-08
150 3M CO COM		2012-02-15	2016-04-08
745 US BANCORP DEL COM NEW		2005-12-29	2016-04-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
16,644		12,951	3,693
17,384		17,039	345
30,570		8,303	22,267
16,194		10,671	5,523
36,313		42,500	-6,187
21,525		25,000	-3,475
46,325		26,651	19,674
21,332		6,662	14,670
24,993		13,055	11,938
29,401		22,588	6,813

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3,693
			345
			22,267
			5,523
			-6,187
			-3,475
			19,674
			14,670
			11,938
			6,813

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
155 US BANCORP DEL COM NEW		2009-11-12	2016-04-08
150 UNITED PARCEL SERVICE CL B		2012-02-15	2016-04-08
600 UNITED TECHNOLOGIES CORP		2005-05-18	2016-04-08
855 VANGUARD FTSE DEVELOPED MARKETS ETF		2015-08-04	2016-08-25
135 VANGUARD REIT ETF		2016-04-08	2016-08-25
450 VERIZON COMMUNICATIONS		2007-12-20	2016-04-08
300 WAL-MART STORES INCORPORATED		2008-06-04	2016-04-08
455 WELLS FARGO COMPANY		2005-05-18	2016-04-08
600 YUM BRANDS INC COM		2005-05-18	2016-04-08
2040 543 HIGH QUALITY CORE COMMON TRUST FUND		2016-04-08	2016-08-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,117		3,718	2,399
15,674		11,534	4,140
60,889		31,703	29,186
31,929		34,333	-2,404
12,057		11,269	788
23,561		18,375	5,186
20,445		17,276	3,169
21,472		13,852	7,620
49,357		15,060	34,297
29,000		28,915	85

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,399
			4,140
			29,186
			-2,404
			788
			5,186
			3,169
			7,620
			34,297
			85

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
10107 817 INTERNATIONAL FOCUSED EQUITY COMMON TRUST FUND		2016-04-08	2016-08-31
570 55 STRATEGIC GROWTH COMMON TRUST FUND		2016-04-08	2016-08-31
200 ACCENTURE PLC CL A COM		2012-02-15	2016-04-08
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
114,000		108,707	5,293
7,500		7,163	337
22,536		11,647	10,889
			43,573

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			5,293
			337
			10,889

TY 2015 Accounting Fees Schedule

Name: PHYLLIS W SMITH PVT FDN

EIN: 59-7258080

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE - BOA	1,250			1,250

TY 2015 General Explanation Attachment

Name: PHYLLIS W SMITH PVT FDN

EIN: 59-7258080

Identifier	Return Reference	Explanation
FEDERAL FOOTNOTE	PART VIII LIST OF OFFICERS AND DIRECTORS	THE COMPENSATION SHOWN ON THE RETURN THAT IS PAID TO BANK OF AMERICA, N A AS CORPORATE TRUSTEE IS NOT CALCULATED BASED UPON AN HOURLY RATE FOR THE TIME SPENT BY THE TRUSTEE, RATHER, BANK OF AMERICA'S COMPENSATION AS CORPORATE TRUSTEE IS CALCULATED USING A MARKET VALUE FEE SCHEDULE. THE TRUST OFFICER'S TIME SPENT PERFORMING ADMINISTRATIVE RESPONSIBILITIES FOR THIS FOUNDATION AVERAGES ONE HOUR PER WEEK. IN ADDITION, TIME IS SPENT BY OTHER STAFF MEMBERS FOR RECORDKEEPING, INVESTMENT MANAGEMENT, INCOME COLLECTION, RENDERING STATEMENTS AND ACCOUNTINGS, REGULATORY REPORTING, REGULATORY COMPLIANCE, AND TAX SERVICES

TY 2015 Investments Corporate Stock Schedule**Name:** PHYLLIS W SMITH PVT FDN**EIN:** 59-7258080

Name of Stock	End of Year Book Value	End of Year Fair Market Value
464286103 ISHARES INC MSCI AUS		
464286400 ISHARES MSCI BRAZIL		
464286509 ISHARES MSCI CANADA		
464286673 ISHARES MSCI SINGAPO		
464287176 ISHARES TIPS BOND ET		
464287564 ISHARES COHEN & STEE		
464287630 ISHARES RUSSELL 2000		
922042858 VANGUARD FTSE EMERGI	86,314	78,393
922908553 VANGUARD REIT ETF	276,767	321,716
166764100 CHEVRON CORP COM		
20825C104 CONOCOPHILLIPS COM		
30231G102 EXXON MOBIL CORP COM		
674599105 OCCIDENTAL PETE CORP		
718546104 PHILLIPS 66 COM		
806857108 SCHLUMBERGER LTD COM		
845467109 SOUTHWESTERN ENERGY		
61166W101 MONSANTO CO NEW COM		
74005P104 PRAXAIR INC COM		
767204100 RIO TINTO PLC SPONSO		
260003108 DOVER CORP COM		
369550108 GENERAL DYNAMICS COR		
369604103 GENERAL ELEC CO COM		
438516106 HONEYWELL INTL INC C		
539830109 LOCKHEED MARTIN CORP		
701094104 PARKER HANNIFIN CORP		
854502101 STANLEY BLACK & DECK		
88579Y101 3M CO COM		
911312106 UNITED PARCEL SVC IN		
913017109 UNITED TECHNOLOGIES		
20030N101 COMCAST CORP NEW CL		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
254687106 DISNEY WALT CO COM D		
548661107 LOWES COS INC COM		
872540109 TJX COS INC NEW COM		
87612E106 TARGET CORP COM		
988498101 YUM BRANDS INC COM		
191216100 COCA COLA CO COM		
194162103 COLGATE PALMOLIVE CO		
494368103 KIMBERLY CLARK CORP		
50076Q106 KRAFT FOODS GROUP IN		
609207105 MONDELEZ INTL INC CO		
641069406 NESTLE S A SPONSORED		
713448108 PEPSICO INC COM		
742718109 PROCTER & GAMBLE CO		
931142103 WAL-MART STORES INC		
002824100 ABBOTT LABS COM		
00287Y109 ABBVIE INC COM		
031162100 AMGEN INC COM		
125509109 CIGNA CORP COM		
30219G108 EXPRESS SCRIPTS HLDG		
375558103 GILEAD SCIENCES INC		
478160104 JOHNSON & JOHNSON CO		
50540R409 LABORATORY CORP AMER		
58933Y105 MERCK & CO INC NEW C		
883556102 THERMO FISHER SCIENT		
229899109 CULLEN / FROST BANKE		
38141G104 GOLDMAN SACHS GROUP		
46625H100 J P MORGAN CHASE & C		
693475105 PNC FINL SVCS GROUP		
902973304 US BANCORP DEL COM N		
949746101 WELLS FARGO & CO NEW		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
G1151C101 ACCENTURE PLC CL A C		
037833100 APPLE INC COM		
17275R102 CISCO SYS INC COM		
459200101 INTERNATIONAL BUSINE		
594918104 MICROSOFT CORP COM		
882508104 TEXAS INSTRS INC COM		
00206R102 AT&T INC COM		
92343V104 VERIZON COMMUNICATIO		
29364G103 ENTERGY CORP NEW COM		
30161N101 EXELON CORP COM		
65339F101 NEXTERA ENERGY INC C		
19765H347 COLUMBIA LARGE CAP E		
19765J608 COLUMBIA MID CAP IND		
19765N245 COLUMBIA DIVIDEND IN		
19765P232 COLUMBIA MID CAP GRO		
19765P596 COLUMBIA SMALL CAP G		
693390841 PIMCO HIGH YIELD FD	57,519	54,833
880208400 TEMPLETON GLOBAL BD		
19763T889 COLUMBIA INCOME OPPO		
19765H362 COLUMBIA SHORT TERM	200,483	206,674
19765N468 COLUMBIA INTERMEDIAT		
19765Y886 COLUMBIA BOND FUND C		
72201P100 PIMCO GLOBAL MULTI-A		
68380L407 OPPENHEIMER INTL GRO		
885215566 THORNBURG INTL VALUE		
19765Y852 COLUMBIA EMERGING MA		
55273E640 MFS EMERGING MKTS DE		
722005220 PIMCO FOREIGN BOND F		
72201F409 PIMCO EMERGING MKT C		
466001864 IVY ASSET STRATEGY F		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
315807552 FIDELITY ADVISOR FLO		
233051200 DB X-TRACKERS MSCI E		
464287200 ISHARES CORE S&P 500	503,442	532,631
464287507 ISHARES CORE S&P MID	104,227	106,787
464287655 ISHARES RUSSELL 2000	133,261	133,088
921943858 VANGUARD FTSE DEVELO	110,515	105,763
500754106 KRAFT HEINZ CORP COM		
47803W406 JOHN HANCOCK FDS III		
22544R305 CREDIT SUISSE COMMOD	115,737	109,313
464287226 ISHARES CORE US AGGR	155,027	157,373
46432F842 ISHARES CORE MSCI EA	186,389	184,844
38145C646 GOLDMAN SACHS STRATE	52,000	52,942
94987W737 WELLS FARGO ADVANTAG	26,000	27,108
202671913 AGGREGATE BOND CTF	205,240	207,422
207543877 SMALL CAP GROWTH LEA	108,594	117,325
29099J109 EMERGING MARKETS STO	156,233	172,266
302993993 MID CAP VALUE CTF	104,520	110,190
303995997 SMALL CAP VALUE CTF	107,166	116,465
323991307 MID CAP GROWTH CTF	104,015	107,851
45399C107 DIVIDEND INCOME COMM	497,241	516,321
99Z466163 HIGH QUALITY CORE CO	188,628	189,369
99Z466197 INTERNATIONAL FOCUSE	485,400	511,298
99Z501647 STRATEGIC GROWTH COM	461,621	483,356

TY 2015 Investments - Other Schedule

Name: PHYLLIS W SMITH PVT FDN

EIN: 59-7258080

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
8EQO29993 MAN FRM ALTERNATIVE	AT COST	459,136	429,660

TY 2015 Other Decreases Schedule

Name: PHYLLIS W SMITH PVT FDN

EIN: 59-7258080

Description	Amount
ADJ CARRYING VALUE	1,170
SALES ADJUSTMENT	10,200

TY 2015 Other Expenses Schedule

Name: PHYLLIS W SMITH PVT FDN

EIN: 59-7258080

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OTHER INVESTMENT FEE	6	6		0
STATE FILING FEE	70	0		70
DIVIDEND INVESTMENT EXPENSE -	4,264	4,264		0

TY 2015 Other Increases Schedule

Name: PHYLLIS W SMITH PVT FDN

EIN: 59-7258080

Description	Amount
INCOME ADJUSTMENT	38,112

TY 2015 Taxes Schedule

Name: PHYLLIS W SMITH PVT FDN

EIN: 59-7258080

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	70	70		0
EXCISE TAX - PRIOR YEAR	4,942	0		0
EXCISE TAX ESTIMATES	8,400	0		0
FOREIGN TAXES ON QUALIFIED FOR	226	226		0
FOREIGN TAXES ON NONQUALIFIED	390	390		0