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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2015

Open to Public Inspection

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► Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2015 or tax year beginning **09/01/15**, and ending **08/31/16**

Name of foundation THE GRAHAM FOUNDATION		A Employer identification number 57-0805774
Number and street (or P O box number if mail is not delivered to street address) 531 SOUTH MAIN ST. RM/STE ML-7		B Telephone number (see instructions) 864-233-3688
City or town, state or province, country, and ZIP or foreign postal code GREENVILLE SC 29601		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ► \$ 52,603,203	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
(Part I, column (d) must be on cash basis.)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	35,542	35,542		
	4 Dividends and interest from securities	834,776	834,776		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	1,221,748			
	b Gross sales price for all assets on line 6a 23,868,691				
	7 Capital gain net income (from Part IV, line 2)		1,221,748		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule) STMT 1	-246,612	-246,612			
12 Total. Add lines 1 through 11	1,845,454	1,845,454	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	510,140	133,109		377,031
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits	94,460			94,460
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) STMT 2	6,863			6,863
	c Other professional fees (attach schedule) STMT 3	575,766	575,766		
	17 Interest STMT 4	14,865	14,865		
	18 Taxes (attach schedule) (see instructions) STMT 4	931			
	19 Depreciation (attach schedule) and depletion STMT 5	26,652			26,652
	20 Occupancy	7,716			7,716
	21 Travel, conferences, and meetings	920			920
	22 Printing and publications	25,575			25,575
	23 Other expenses (att sch) STMT 6				
	24 Total operating and administrative expenses. Add lines 13 through 23	1,263,888	723,740	0	539,217
	25 Contributions, gifts, grants paid	2,259,155			2,259,155
26 Total expenses and disbursements. Add lines 24 and 25	3,523,043	723,740	0	2,798,372	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-1,677,589				
b Net investment income (if negative, enter -0-)		1,121,714			
c Adjusted net income (if negative, enter -0-)			0		

For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2015)

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16

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash – non-interest-bearing	28,157	52,213	52,213
	2 Savings and temporary cash investments	4,370,027	4,703,970	4,703,970
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (att. schedule) ▶			
	Less: allowance for doubtful accounts ▶	0		
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U S and state government obligations (attach schedule)			
	b Investments – corporate stock (attach schedule) SEE STMT 7	26,809,003	22,841,862	25,930,180
	c Investments – corporate bonds (attach schedule) SEE STMT 8	6,558,864	9,334,839	9,352,692
	11 Investments – land, buildings, and equipment basis ▶			
Less: accumulated depreciation (attach sch.) ▶				
12 Investments – mortgage loans				
13 Investments – other (attach schedule) SEE STATEMENT 9	4,439,609	5,961,460	6,462,649	
14 Land, buildings, and equipment basis ▶	27,922			
Less: accumulated depreciation (attach sch.) ▶ STMT 10	20,943	73,410	6,979	
15 Other assets (describe ▶ SEE STATEMENT 11)	7,960,973	6,114,736	6,101,499	
16 Total assets (to be completed by all filers – see the instructions. Also, see page 1, item I)	50,240,043	49,016,059	52,603,203	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0	0		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	50,240,043	49,016,059	
30 Total net assets or fund balances (see instructions)	50,240,043	49,016,059		
31 Total liabilities and net assets/fund balances (see instructions)	50,240,043	49,016,059		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	50,240,043
2 Enter amount from Part I, line 27a	2	-1,677,589
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 12	3	465,848
4 Add lines 1, 2, and 3	4	49,028,302
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 13	5	12,243
6 Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	49,016,059

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P – Purchase D – Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE WORKSHEET				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	1,221,748
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		3	-68,272

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of nonchtable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	2,920,742	53,717,019	0.054373
2013	2,494,962	55,675,824	0.044812
2012	2,422,900	48,651,522	0.049801
2011	2,220,821	44,655,952	0.049732
2010	2,376,002	47,159,492	0.050382

2 Total of line 1, column (d)	2	0.249100
3 Average distribution ratio for the 5-year base period – divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.049820
4 Enter the net value of nonchtable-use assets for 2015 from Part X, line 5	4	54,687,101
5 Multiply line 4 by line 3	5	2,724,511
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	11,217
7 Add lines 5 and 6	7	2,735,728
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions	8	2,798,372

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: (attach copy of letter if necessary—see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	11,217
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0
3 Add lines 1 and 2		3	11,217
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	11,217
6 Credits/Payments:			
a 2015 estimated tax payments and 2014 overpayment credited to 2015	6a	23,848	
b Exempt foreign organizations – tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	23,848	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	12,631	
11 Enter the amount of line 10 to be. Credited to 2016 estimated tax ☒ 12,631 Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☒ \$ (2) On foundation managers. ☒ \$		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ NONE		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	Yes	No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► WWW.THEGRAHAMFOUNDATION.ORG	13	X	
14	The books are in care of ► THE GRAHAM FOUNDATION 531 S. MAIN ST., STE ML-7 Located at ► GREENVILLE SC ZIP+4 ► 29601 Telephone no ► 864-233-3688			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – Check here and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly):		Yes	No
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes	☒ No	
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes	☒ No	
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes	☒ No	
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes	☐ No	
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes	☒ No	
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes	☒ No	
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here			X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	N/A		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? If "Yes," list the years ► 20 , 20 , 20 , 20	☐ Yes	☒ No	
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement – see instructions)	N/A		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☒ Yes	☐ No	
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)			X
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?			X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?			X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to: (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? (3) Provide a grant to an individual for travel, study, or other similar purposes? (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d) 6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870 7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	☐ Yes ☒ No ☐ Yes ☒ No ☐ Yes ☒ No ☐ Yes ☒ No ☐ Yes ☒ No N/A ☐ Yes ☐ No N/A ☐ Yes ☐ No ☐ Yes ☒ No ☐ Yes ☒ No N/A ☐ Yes ☐ No	5b 6b 7b	X X X
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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 14				

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
a	Average monthly fair market value of securities	1a	45,895,481
b	Average of monthly cash balances	1b	9,624,418
c	Fair market value of all other assets (see instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	55,519,899
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	55,519,899
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	832,798
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	54,687,101
6	Minimum investment return. Enter 5% of line 5	6	2,734,355

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	2,734,355
2a	Tax on investment income for 2015 from Part VI, line 5	2a	11,217
b	Income tax for 2015 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	11,217
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	2,723,138
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	2,723,138
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2,723,138

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1a	2,798,372
b	Program-related investments — total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,798,372
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	11,217
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	2,787,155

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				2,723,138
2 Undistributed income, if any, as of the end of 2015:				
a Enter amount for 2014 only			446,050	
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2015:				
a From 2010				
b From 2011				
c From 2012				
d From 2013				
e From 2014				
f Total of lines 3a through e				
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ \$ 2,798,372				
a Applied to 2014, but not more than line 2a			446,050	
b Applied to undistributed income of prior years (Election required – see instructions)				
c Treated as distributions out of corpus (Election required – see instructions)				
d Applied to 2015 distributable amount				2,352,322
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount – see instructions				
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount – see instructions				
f Undistributed income for 2015 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2016				370,816
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9:				
a Excess from 2011				
b Excess from 2012				
c Excess from 2013				
d Excess from 2014				
e Excess from 2015				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling ▶					
b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)					
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities					
Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test – enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test – enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:	
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)	N/A
b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest	N/A
2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:	
Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.	
a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:	THE GRAHAM FOUNDATION 864-233-3688 531 SOUTH MAIN STREET GREENVILLE SC 29601
b The form in which applications should be submitted and information and materials they should include:	SEE SCHEDULE 1
c Any submission deadlines	N/A
d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:	N/A

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE SCHEDULE 2				2,259,155
Total			► 3a	2,259,155
b Approved for future payment N/A				
Total			► 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	35,542	
4 Dividends and interest from securities			14	834,776	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			14	1,221,748	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a _____					
b SEE STATEMENT 15				-246,612	
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e)		0		1,845,454	0
13 Total. Add line 12, columns (b), (d), and (e)				13 1,845,454	

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2015
For calendar year 2015, or tax year beginning 09/01/15 , and ending 08/31/16		

Name

Employer Identification Number

THE GRAHAM FOUNDATION**57-0805774**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) MSIF GLOBAL INFRASTRUCTURE	P	VARIOUS	12/16/15
(2) WISDOMTREE TRUST JAPN HEDGE EQ	P	VARIOUS	12/28/15
(3) MSIF FRONTIER EMERG MKTS A	P	12/16/15	05/20/16
(4) MSIF GLOBAL INFRASTRUCTURE A	P	VARIOUS	05/20/16
(5) MSIF FRONTIER EMERG MKTS A	P	VARIOUS	05/20/16
(6) MSIF GLOBAL INFRASTRUCTURE A	P	VARIOUS	05/20/16
(7) MANAGED FUTURES PREMIER GRAHAM	P	04/30/11	05/31/16
(8) POLARIS FUTURES FUND LP	P	04/30/11	05/31/16
(9) POLARIS FUTURES FUND LP	P	04/30/11	05/31/16
(10) MF PREMIER GRAHAM K1	P	VARIOUS	VARIOUS
(11) MF PREMIER BHM K1	P	VARIOUS	VARIOUS
(12) MF PREMIER BHM K1	P	VARIOUS	VARIOUS
(13) POLARIS K1	P	VARIOUS	VARIOUS
(14) POLARIS K1	P	VARIOUS	VARIOUS
(15) RCP II K1	P	VARIOUS	VARIOUS

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 9,164			9,164
(2) 1,974			1,974
(3) 2,356		2,321	35
(4) 23,040		21,116	1,924
(5) 431,765		504,001	-72,236
(6) 448,267		510,147	-61,880
(7) 161,879		200,489	-38,610
(8) 336,639		460,653	-124,014
(9) 217,637		297,810	-80,173
(10) 1,781			1,781
(11)		12,584	-12,584
(12)		15,554	-15,554
(13)		9,956	-9,956
(14)		15,346	-15,346
(15) 19,091			19,091

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)			9,164
(2)			1,974
(3)			35
(4)			1,924
(5)			-72,236
(6)			-61,880
(7)			-38,610
(8)			-124,014
(9)			-80,173
(10)			1,781
(11)			-12,584
(12)			-15,554
(13)			-9,956
(14)			-15,346
(15)			19,091

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2015
For calendar year 2015, or tax year beginning 09/01/15 , and ending 08/31/16		

Name

Employer Identification Number

THE GRAHAM FOUNDATION**57-0805774**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) MEDPROPERTIES CAPITAL PARTNERS K1	P	VARIOUS	VARIOUS
(2) DB PRIVATE EQUITY ASIA SELECT II K1	P	VARIOUS	VARIOUS
(3) DB PRIVATE EQUITY ASIA SELECT II K1	P	VARIOUS	VARIOUS
(4) DB PRIVATE EQUITY GLOBAL SELECT FUND	P	VARIOUS	VARIOUS
(5) DB PRIVATE EQUITY GLOBAL SELECT FUND	P	VARIOUS	VARIOUS
(6) MEDPROPERTIES INVESTMENT PARTNERS K1	P	VARIOUS	VARIOUS
(7) US TRUST-CAPITAL GAINS	P	VARIOUS	VARIOUS
(8) US TRUST - SEE SCHEDULE 3	P	VARIOUS	VARIOUS
(9) US TRUST - SEE SCHEDULE 4	P	VARIOUS	VARIOUS
(10) 1.449 ACRES, HIGHWAY 417	P	04/01/13	11/06/15
(11) SHORT-TERM GAIN FROM FORM 6781	P	VARIOUS	VARIOUS
(12) LONG-TERM GAIN FROM FORM 6781	P	VARIOUS	VARIOUS
(13)			
(14)			
(15)			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 49,900			49,900
(2) 769			769
(3) 106,744			106,744
(4) 106			106
(5) 108,237			108,237
(6) 422,696			422,696
(7) 318,031			318,031
(8) 3,427,012		3,499,706	-72,694
(9) 17,723,581		17,028,635	694,946
(10) 30,000		68,625	-38,625
(11) 11,209			11,209
(12) 16,813			16,813
(13)			
(14)			
(15)			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)			49,900
(2)			769
(3)			106,744
(4)			106
(5)			108,237
(6)			422,696
(7)			318,031
(8)			-72,694
(9)			694,946
(10)			-38,625
(11)			11,209
(12)			16,813
(13)			
(14)			
(15)			

23597 THE GRAHAM FOUNDATION

57-0805774

FYE: 8/31/2016

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 11 - Other Income

Description	Revenue per Books	Net Investment Income	Adjusted Net Income
RCP FUND III LP	\$ 6,742	\$ 6,742	\$
DB PRIVATE EQUITY ASIA SELECT	-4,635	-4,635	
DB PRIVATE EQUITY GLOBAL SEL	-7,432	-7,432	
MEDPROPERTIES CAPITAL PARTNER	-2,932	-2,932	
MF CHARTER GRAHAM	-6,899	-6,899	
POLARIS FUTURES FUND	-14,357	-14,357	
US TRUST 1099 MISC INCOME	53,273	53,273	
MS MF PREMIER BHM L.P. K1	-1,769	-1,769	
MEDPROPERTIES INVESTMENT PART	-231,392	-231,392	
MEDCORE REALTY	-27,383	-27,383	
MEDCORE REALTY KYLE	-13,316	-13,316	
MORGAN STANLEY	78	78	
US TRUST CHECKING	3,410	3,410	
TOTAL	\$ -246,612	\$ -246,612	\$ 0

Statement 2 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
INDIRECT ACCOUNTING FEES	\$ 6,863	\$	\$	\$ 6,863
TOTAL	\$ 6,863	\$ 0	\$ 0	\$ 6,863

Statement 3 - Form 990-PF, Part I, Line 16c - Other Professional Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
INVESTMENT MANAGEMENT FEES	\$ 322,697	\$ 322,697	\$	\$
PORTFOLIO DEDUCTIONS	247,013	247,013		
LEGAL FEES	6,056	6,056		
TOTAL	\$ 575,766	\$ 575,766	\$ 0	\$ 0

Federal Statements

Statement 4 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
FOREIGN TAXES	\$ 14,865	\$ 14,865	\$	\$
TOTAL	\$ 14,865	\$ 14,865	0	0

Statement 5 - Form 990-PF, Part I, Line 19 - Depreciation

Date Acquired	Description	Cost Basis	Prior Year Depreciation	Method	Life	Current Year Depreciation	Net Investment Income	Adjusted Net Income
9/01/08	OFFICE UPFIT	\$ 27,922	\$ 19,081	STRAIGHT LINE	15	\$ 931	\$	\$
TOTAL		\$ 27,922	\$ 19,081			\$ 931	0	0

Statement 6 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
EXPENSES	\$	\$	\$	
ADP PAYROLL FEE	1,753			1,753
OFFICE SUPPLIES	1,355			1,355
TELECOMMUNICATIONS	5,255			5,255
POSTAGE	388			388
SUBSCRIPTIONS	880			880
DUES	5,700			5,700
COMPUTER EXPENSES	7,012			7,012
BANK CHARGES	58			58
MISCELLANEOUS	3,174			3,174
TOTAL	\$ 25,575	\$ 0	0	\$ 25,575

Federal Statements**Statement 7 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments**

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
US TRUST - SEE SCHEDULE 5	\$ 26,809,003	\$ 22,841,862	COST	\$ 25,930,180
TOTAL	\$ 26,809,003	\$ 22,841,862		\$ 25,930,180

Statement 8 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
US TRUST - SEE SCHEDULE 6	\$ 6,558,864	\$ 9,334,839	COST	\$ 9,352,692
TOTAL	\$ 6,558,864	\$ 9,334,839		\$ 9,352,692

Statement 9 - Form 990-PF, Part II, Line 13 - Other Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
US TRUST - SEE SCHEDULE 7	\$ 4,439,609	\$ 5,961,460	COST	\$ 6,462,649
TOTAL	\$ 4,439,609	\$ 5,961,460		\$ 6,462,649

Statement 10 - Form 990-PF, Part II, Line 14 - Land, Building, and Equipment

Description	Beginning Net Book	End Cost / Basis	End Accumulated Depreciation	Net FMV
LEASEHOLD IMPROVEMENTS	\$ 7,910	\$ 27,922	\$ 20,943	\$
1.449 ACRES, HIGHWAY 417	\$ 65,500			
TOTAL	\$ 73,410	\$ 27,922	\$ 20,943	\$ 0

Federal Statements

FYE: 8/31/2016

Statement 11 - Form 990-PF, Part II, Line 15 - Other Assets

Description	Beginning of Year	End of Year	Fair Market Value
RCP FUND III, LP	\$ 116,104	\$ 57,838	\$ 57,838
MSIF GLOBAL INFRASTRUCTURE A	504,001		
MSIF FRONTIER EMRG MKETS A	511,521		
MS GLOBAL DISTRESSED FD	329,566	304,116	304,116
US TRUST - SEE SCHEDULE 8	653,132	932,732	919,495
BA PARTNERS FUND IV	75,955	75,955	75,955
BA HEDGE FUND DIRECT, LP	241,201	110,458	110,458
DB PRIVATE EQUITY ASIA SELECT FD II	545,120	362,981	362,981
DB PRIVATE EQUITY GLOBAL SELECT III	388,595	322,947	322,947
DB ENERGY SELECT FUND	670,692	661,319	661,319
DB PRIVATE EQUITY GLOBAL SELECT IV	159,536	-18,600	-18,600
DB PRIVATE EQUITY & CREDIT OPPORTUNI	271,269	271,269	271,269
MEDPROPERTIES CAPITAL PARTNERS, LP	245,574	10,933	10,933
MS BHM DSCRNY A	130,880		
MS MF CHARTER GRAHAM A	183,258		
MS POLARIS LP	631,214		
BROOKHAVEN	150,000	150,000	150,000
MEDPROPERTIES INVESTMENT PARTNERS	792,533	1,720,558	1,720,558
MEDCORE REALTY SW, LLC	521,208	406,818	406,818
BROOKFIELD	4,535		
MEDPROPERTIES SR HOUSING	473,242	433,947	433,947
MEDCORE KYLE LLC	361,837	311,465	311,465
TOTAL	<u>\$ 7,960,973</u>	<u>\$ 6,114,736</u>	<u>\$ 6,101,499</u>

Statement 12 - Form 990-PF, Part III, Line 3 - Other Increases

Description	Amount
BOOK/TAX DIFFERENCE	\$ 465,848
TOTAL	<u>\$ 465,848</u>

Statement 13 - Form 990-PF, Part III, Line 5 - Other Decreases

Description	Amount
NONDEDUCTIBLE EXPENSES	\$ 12,243
TOTAL	<u>\$ 12,243</u>

23597 THE GRAHAM FOUNDATION

57-0805774

FYE: 8/31/2016

Federal Statements

Statement 14 - Form 990-PF, Part VIII, Line 1 - List of Officers, Directors, Trustees, Etc.

Name and Address	Title	Average Hours	Compensation	Benefits	Expenses
WILLIAM A BRIDGES 531 SOUTH MAIN ST GREENVILLE SC 29601	TRUSTEE	5.00	239,115	0	0
SUSAN R LAMBERT 531 SOUTH MAIN ST GREENVILLE SC 29601	TRUSTEE	5.00	32,000	0	0
STEPHEN LAMBERT 531 SOUTH MAIN ST GREENVILLE SC 29601	TRUSTEE	5.00	32,000	0	0
ELEANOR DUNLAP 531 SOUTH MAIN ST GREENVILLE SC 29601	CHIEF IMPACT	40.00	87,009	0	0
LAURA CALIGAN GILLIAM 531 SOUTH MAIN ST GREENVILLE SC 29601	CHIEF STRATE	40.00	120,016	0	0

Federal Statements**Form 990-PF, Part XV, Line 2b - Application Format and Required Contents**Description

SEE SCHEDULE 1

Statement 15 - Form 990-PF, Part XVI-A, Line 11 - Other Revenue

<u>Description</u>	<u>Business Code</u>	<u>Unrelated Amount</u>	<u>Exclusion Code</u>	<u>Exclusion Amount</u>	<u>Related Income</u>
RCP FUND III LP		\$	14	\$ 6,742	\$
DB PRIVATE EQUITY ASIA SELE			14	-4,635	
DB PRIVATE EQUITY GLOBAL SE			14	-7,432	
MEDPROPERTIES CAPITAL PARTN			14	-2,932	
MF CHARTER GRAHAM			14	-6,899	
POLARIS FUTURES FUND			14	-14,357	
US TRUST 1099 MISC INCOME			14	53,273	
MS MF PREMIER BHM L.P. K1			14	-1,769	
MEDPROPERTIES INVESTMENT PA			14	-231,392	
MEDCORE REALTY			14	-27,383	
MEDCORE REALTY KYLE			14	-13,316	
MORGAN STANLEY			14	78	
US TRUST CHECKING			14	3,410	
TOTAL		\$ 0		\$ -246,612	\$ 0

The Graham Foundation
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THE GRAHAM FOUNDATION
FEIN 67-0806774
Form 990-PF FYE 8/31/2016
Schedule 1
Part XV, Line 2b - Application Form



THE GRAHAM
FOUNDATION

THE GRAHAM FOUNDATION
FEIN 57-0805774
Form 990-PF FYE 8/31/2016
Schedule 1
Part XV, Line 20 Application Form
Spring 2017
Grant Application

SECTION I

Please answer the questions below as succinctly as possible. Use bullet-points when possible and 10 point font when pasting text from another document.

1. Organization Name: _____

2. Executive Director: _____

3. List your goals and the specific strategies and activities you will engage in relative to your funding request. (2,550 character limit – approximately 400 words)

4. Provide a project timeline, if applicable. *(1,050 character limit – approximately 150 words)*

5. How will you know if you are achieving success or making progress? What data will you collect? What indicators will you monitor? *(1,700 character limit – approximately 250 words)*

6. How do you engage the people who will be served by this grant in developing and evaluating programs and strategies? *(1,050 character limit – approximately 150 words)*

7. Who are your relevant project/organizational partners, and how are you working with each one? What roles are they playing that contribute to your success? (1,700 character limit – approximately 250 words)

8. Could this work yield any unintended consequences that would negatively affect your constituents or others? If so, please explain if and how you, or another organization, would address these.
(1,050 character limit – approximately 150 words)

7. Who are your relevant project/organizational partners, and how are you working with each one? What roles are they playing that contribute to your success? (1,700 character limit – approximately 250 words)

8. Could this work yield any unintended consequences that would negatively affect your constituents or others? If so, please explain if and how you, or another organization, would address these.
(1,050 character limit – approximately 150 words)

9. What other resources exist to support success now? What plans do you have to secure additional resources to support this work in the future? (1,700 character limit – approximately 250 words)

SECTION II

Submit to us this form and the following documents by Friday, April 7 @ 5:00 pm:

- ☐ Completed application
- ☐ Project budget (if applying for project support)
- ☐ Organizational budget
- ☐ Tax exempt letter
- ☐ Most recent fiscal year-end financial statement (audited, if available)
- ☐ List of board members (names and affiliations)

To submit by email,

- scan and combine all documents into a single PDF document
- use subject line: **Spring 2017 Grant Application Submission**
- mark high priority
- send to apply@thegrahamfoundation.org

OR

To submit by mail, print, paperclip, and send one set of application documents to:

The Graham Foundation
531 S. Main St., Suite ML-7
Greenville, SC 29601

Please – no covers, binding or special formatting.

The Graham Foundation
*a private family foundation in
Greenville, SC*

THE GRAHAM FOUNDATION
FEIN: 57-0805774
Form 990-PF FYE 8/31/2016
Schedule 1
Part XV, Line 2b - Application Form

Apply for Funding

We are no longer accepting letters of intent (LOI's) for the spring 2017 grants cycle. If you would like to be considered for funding during the fall 2017 grants cycle, you may submit an LOI between August 1 and September 1, 2017.

Instructions for submission

Step 1 Download and complete a letter of intent.

Step 2 Submit the letter of intent via e-mail to apply@thegrahamfoundation.org. Be sure to include in the subject line "LOI – [name of your organization]" Note that we accept and review these twice annually — in the spring and fall. See below for full grants calendar.

Step 3 If invited, submit a full application. After reviewing letters of intent and conducting additional research, we will invite select organizations to submit a full proposal. We will not accept unsolicited applications.

Contact us if you have questions or need assistance.

2016-2017 Grants Calendar

Fall

September 1, 2016	<u>Letter of Intent</u> due
September 15, 2016	Select organizations will be invited to apply
October 7, 2016	Full application due
November 30, 2016	Grant recipients notified

Spring

March 2, 2017	<u>Letter of Intent</u> due
March 15, 2017	Select organizations will be invited to apply
April 7, 2017	Full application due
May 30, 2017	Grant recipients notified

Reporting

We will work with each grantee to determine appropriate feedback and reporting for each grant

THE GRAHAM FOUNDATION
FEIN 57-0805774
Form 990-PF FYE 8/31/2016
Schedule 1
Part XV, Line 2b - Application Form

Additional questions? Feel free to [contact us](#) at any time



531 S. Main St , Suite ML-7, Greenville, SC 29609 ♦ 864-233-3688

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THE GRAHAM
FOUNDATION

THE GRAHAM FOUNDATION
FEIN 57-0805774
Form 990-PF FYE 8/31/2016
Schedule 1
Part XV, Line 2b - Application Form

Letter of Intent

Organization: _____

Address: _____

Tax ID: _____

Primary Contact: _____

Contact Phone Number: _____

Contact Email Address: _____

Mission (200 words or less)

Indicate which type of grant you're seeking:

- ☐ Capital/Endowment
- ☐ Unrestricted/Operating
- ☐ Project
- ☐ Capacity Building

Indicate the area of focus for this request:

- ☐ Arts, Culture and Inspiring Spaces
- ☐ Livable Communities
- ☐ Pathways to Economic Success
- ☐ Strong Starts
- ☐ Community Capacity/Leadership

What is the amount of your request? _____

Over what time period do you expect to use the requested funds?

Provide a brief summary of your request.

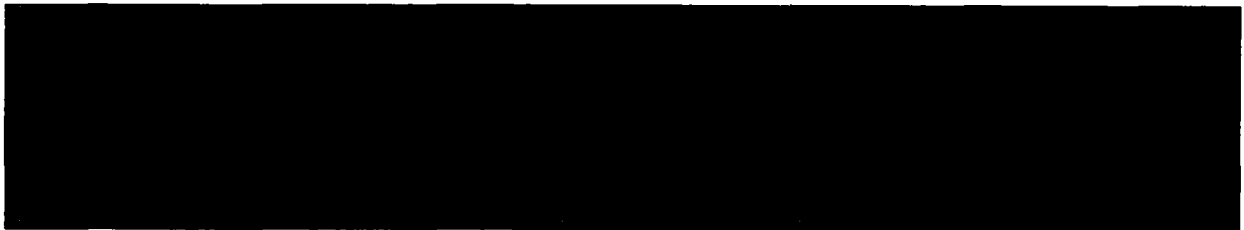
Include target constituents, goals and proposed activities. (350 words or less)

A large rectangular area that has been completely redacted with black ink, covering the entire response to the first question.

Who are your key partners? (100 words or less)

A rectangular area that has been completely redacted with black ink, covering the entire response to the second question.

What, other than financial need, are your biggest barriers to success? (100 words or less)

A rectangular area that has been completely redacted with black ink, covering the entire response to the third question.

The Graham Foundation

*a private family foundation in
Greenville, SC*

THE GRAHAM FOUNDATION
FEIN: 57-0805774
Form 990-PF FYE 8/31/2016
Schedule 1
Part XV, Line 2b - Application Form

Graham Foundation Grant Application – Spring 2017

The Graham Foundation accepts full applications by invitation only. Our spring 2017 grants cycle is closed, but we will accept letters of intent again August 15 – September 1, 2017. The fall Letter of Intent form will be available on this site for download on August 11, 2017.

For organizations that have been invited to apply to the spring cycle, [click here to download the spring 2017 grant application](#). Note: To complete the application, you will need to download the document using the download tool in your browser. You cannot add information to the form as it is displayed on the internet. Don't forget to save the completed form to your computer.

Please submit the following documents to us by **5:00 pm, April 7, 2017**.

- Completed application form
- Project budget (if applying for project support)
- Organizational budget
- Tax exempt letter
- Most recent fiscal year-end financial statement (audited, if available)
- List of board members (names and affiliations)

Please do not include covers, binding or special formatting. Choose an option below for submitting the application. You do not have to do both.

To submit by email:

- scan and combine all documents into a single PDF document, titled as “*Your Organization Name – Spring 2017 Grant Application*”
- use subject line: **Spring 2017 Grant Application Submission**
- send to apply@thegrahamfoundation.org

OR

To submit by mail:

- print, paperclip, and send one set of application documents to:

*The Graham Foundation
531 S. Main St., Suite ML-7
Greenville, SC 29601*

If you have questions, feel free to contact us by phone (233-3688) or email apply@thegrahamfoundation.org.

THE GRAHAM FOUNDATION

FEIN: 57-0805774

FORM 990-PF FOR FYE 8/31/2016

SCHEDULE 2

PART XV, LINE 3a, GRANTS AND CONTRIBUTIONS PAID

Date	Amount	Recipient	Purpose
11/19/2015	\$ (20,000.00)	35+FINE ARTS CENTER	HELP PURCHASE GRAND PIANO
11/19/2015	\$ (10,000.00)	A CHILDS HAVEN	GPS FOR BUSES & CAR SEATS.
8/24/2016	\$ (10,000.00)	A CHILD'S HAVEN	2016 GRANT
5/11/2016	\$ (20,000.00)	AMERICAN HEART ASSOCIATION	2016 GRANT TO SUPPORT
11/19/2015	\$ (25,000.00)	AMERICAN RED CROSS	CHALLENGE GRANT - LEADERSHIP GIVING
8/24/2016	\$ (25,000.00)	ARTISPHERE	2016 GRANT
11/20/2015	\$ (10,000.00)	ASHEVILLE SCHOOL	GRAHAM SCHOLARSHIP FUND
11/19/2015	\$ (100,000.00)	CAMPERDOWN ACADEMY	CAPITAL CAMPAIGN FOR NEW FACILITY
2/26/2016	\$ (40,000.00)	CENTER FOR COMMUNITY SERVICES	2016 GRANT
8/24/2016	\$ (30,000.00)	CENTER FOR DEVELOPMENT SERVICES	2016 GRANT
5/11/2016	\$ (5,000.00)	CHRIST CHURCH EPISCOPAL SCHOOL	2016 GRANT
5/11/2016	\$ (8,550.00)	CHRISTIANS IN THEATRE ARTS, INC	2016 GRANT TO SUPPORT
5/11/2016	\$ (7,500.00)	COMMUNITY FDN OF GREENVILLE	2016 GRANT TO SUPPORT
3/4/2016	\$ (10,000.00)	COMMUNITY FOUNDATION	2016 GRANT TO SUPPORT THE
3/4/2016	\$ (5,000.00)	COMMUNITY FOUNDATION	2016 GRANT TO SUPPORT THE
3/14/2016	\$ (85,000.00)	COMMUNITY FOUNDATION	2016 GRANT FOR ONTRACK GREENVILLE
8/24/2016	\$ (10,000.00)	COMMUNITY FOUNDATION	2016 GRANT
8/24/2016	\$ (7,000.00)	COMMUNITY FOUNDATION	2016 GRANT
8/24/2016	\$ (50,000.00)	COMMUNITY FOUNDATION	2016 GRANT
2/26/2016	\$ (50,000.00)	COMMUNITY WORKS	2016 GRANT
11/19/2015	\$ (25,000.00)	COMPASS OF CAROLINA	TECHNOLOGY UPGRADES
8/24/2016	\$ (8,000.00)	CONESTEE FOUNDATION	2016 GRANT
8/24/2016	\$ (15,000.00)	CREATIVE ADVANCEMENT CENTERS INC	2016 GRANT
8/24/2016	\$ (30,000.00)	CULTURAL ARTS FOUNDATION OF	2016 GRANT
11/20/2015	\$ (10,000.00)	DAVIDSON COLLEGE	GRAHAM SCHOLARSHIP FUND
2/29/2016	\$ (20,000.00)	FOOTHILLS FAMILY RESOURCES	2016 GRANT
5/11/2016	\$ (7,800.00)	FOOTHILLS PHILHARMONIC	2016 GRANT
4/12/2016	\$ (25,000.00)	FURMAN UNIVERSITY	GREENVILLE POVERTY IMPACT FUND
8/24/2016	\$ (5,000.00)	FURMAN UNIVERSITY	2016 GRANT
11/19/2015	\$ (10,000.00)	GOODWILL INDUSTRIES OF THE	HEALTHCARE JOB TRAINING
8/24/2016	\$ (28,000.00)	GREATER GREENVILLE PARKS FDN	2016 GRANT
8/24/2016	\$ (5,000.00)	GREENVILLE AIRPORT COMMISSION	2016 GRANT
2/26/2016	\$ (10,000.00)	GREENVILLE CAPACITY	2016 GRANT
5/11/2016	\$ (30,000.00)	GREENVILLE CENTER FOR	2016 GRANT
2/26/2016	\$ (25,000.00)	GREENVILLE CO DISABILITY AND	2016 GRANT
5/11/2016	\$ (30,000.00)	GREENVILLE COUNTY SCHOOLS FDN	2016 GRANT
5/11/2016	\$ (50,000.00)	GREENVILLE COUNTY TRANSIT	2016 GRANT
8/24/2016	\$ (9,000.00)	GREENVILLE COUNTY YOUTH	2016 GRANT
11/19/2015	\$ (20,000.00)	GREENVILLE FAMILY PARTNERSHIP	STEERED STRAIGHT PROGRAM
5/11/2016	\$ (5,000.00)	GREENVILLE HOSPITAL SYSTEM FDN	2016 GRANT
5/11/2016	\$ (7,200.00)	GREENVILLE LIGHT OPERA WORKS	2016 GRANT
5/11/2016	\$ (20,000.00)	GREENVILLE LITERACY ASSOCIATION	2016 GRANT
2/26/2016	\$ (5,000.00)	GREENVILLE PARTNERSHIP	2016 GRANT
11/24/2015	\$ (25,000.00)	GREENVILLE SYMPHONY	ENDOWMENT
11/19/2015	\$ (25,000.00)	GREENVILLE TECHNICAL	COMPUTER LAB CENTER FOR MANUFACTURING
11/19/2015	\$ (8,000.00)	GREER CULTURAL ARTS COUNCIL	LED LIGHTING
5/11/2016	\$ (40,000.00)	HABITAT FOR HUMANITY OF	2016 GRANT
2/26/2016	\$ (5,000.00)	HAPPY HILLS	2016 GRANT
2/26/2016	\$ (40,000.00)	HOMES OF HOPE, INC	2016 GRANT
5/11/2016	\$ (20,000.00)	I AM CHANGED INC	2016 GRANT
8/24/2016	\$ (6,000.00)	I AM CHANGED INC	2016 GRANT
2/26/2016	\$ (35,000.00)	INSTITUTE FOR CHILD SUCCESS	2016 GRANT
8/24/2016	\$ (15,000.00)	JULIE VALENTINE CENTER	2016 GRANT

THE GRAHAM FOUNDATION

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FORM 990-PF FOR FYE 8/31/2016

SCHEDULE 2

PART XV, LINE 3a, GRANTS AND CONTRIBUTIONS PAID

Date	Amount	Recipient	Purpose
11/19/2015	\$ (12,000.00)	JUNIOR ACHIEVEMENT	JA IT S MY FUTURE PROGRAM
3/24/2016	\$ (5,000.00)	LONG WALK HOME, INC	GENERAL OPERATING SUPPORT
11/19/2015	\$ (10,000.00)	MEALS ON WHEELS	SERVICE CAMPAIGN FOR NEW MOW INDIVIDUALS
5/11/2016	\$ (65,000.00)	METROPOLITAN ARTS COUNCIL	2016 GRANT
8/24/2016	\$ (26,000.00)	MUSEUM OF THE CHEROKEE	2016 GRANT
11/19/2015	\$ (9,000.00)	NATIONAL ALLIANCE ON	BUSINESS COMMUNICATION SYSTEM
11/19/2015	\$ (8,000.00)	NORTH GREENVILLE UNIVERSITY	PURCHASE ORFF MUSICAL INSTRUMENTS
2/29/2016	\$ (85,000.00)	ONTRACK GREENVILLE	2016 GRANT
11/19/2015	\$ (6,500.00)	FOUNDATION	6.1 MILE TRAIL BETWEEN FOOTHILLS TRAIL
5/12/2016	\$ (200,000.00)	PEACE CENTER FOR PERFORMING ARTS	2016 GRANT
5/11/2016	\$ (20,000.00)	PENDLETON PLACE	2016 GRANT
8/24/2016	\$ (2,000.00)	PROJECT HOST, INC	2016 GRANT
5/11/2016	\$ (20,000.00)	PUBLIC EDUCATION PARTNERS	2016 GRANT
8/24/2016	\$ (16,000.00)	REACH OUT AND READ, INC	2016 GRANT
2/26/2016	\$ (15,000.00)	REBUILD UPSTATE	2016 GRANT
8/24/2016	\$ (40,000.00)	ROPER MOUNTAIN SCIENCE	2016 GRANT
11/19/2015	\$ (5,000.00)	SAFE HARBOR	EMERGENCY LEGAL FUNDS
11/19/2015	\$ (10,000.00)	SC GOVERNORS SCHOOL FOR	STEM EFFORTS - ITEAMS XTREME, CREATENG.
11/19/2015	\$ (10,000.00)	SC LEGAL SERVICES	LEGAL ASSISTANCE WITH PREVENTABLE
5/11/2016	\$ (5,000.00)	SC LEGAL SERVICES	2016 GRANT
11/19/2015	\$ (80,000.00)	SOUTH CAROLINA CHILDRENS THEATRE	CAPITAL CAMPAIGN FOR NEW FACILITY
8/24/2016	\$ (10,000.00)	SOUTH CAROLINA LEGAL SERVICES	2016 GRANT
2/26/2016	\$ (3,000.00)	SPEAK FOR ANIMALS	2016 GRANT
8/26/2016	\$ (14,000.00)	SPEAK FOR ANIMALS	2016 GRANT
8/24/2016	\$ (12,500.00)	THE GREENVILLE CIVIC	2016 GRANT
7/8/2016	\$ (5,000.00)	THE HEALING SPECIES	2016 GRANT
5/11/2016	\$ (3,000.00)	THE INTERNATIONAL CENTER	2016 GRANT
11/20/2015	\$ (10,000.00)	THE MUSEUM ASSOCIATION	ANTIQUES SHOW AND ART PURCHASES
8/24/2016	\$ (10,000.00)	THE MUSIC CLUB OF GREENVILLE	2016 GRANT
11/20/2015	\$ (25,000.00)	THE PEACE CENTER FOR	ENDOWMENT
11/20/2015	\$ (10,000.00)	THE PEACE CENTER FOR	PEACEKEEPERS
8/24/2016	\$ (15,000.00)	THE SOUTH CAROLINA BACH CHOIR	2016 GRANT
8/24/2016	\$ (15,000.00)	THE WRITERS BLOCK PROJECT	2016 GRANT
11/19/2015	\$ (15,000.00)	THORNWELL HOME FOR CHILDREN	REPLACE ROOF OF BERKELE COTTAGE
8/24/2016	\$ (11,675.00)	TREES GREENVILLE	2016 GRANT
5/11/2016	\$ (50,000.00)	TURNING POINT OF SC INC	2016 GRANT
8/24/2016	\$ (27,500.00)	UNITED MINISTRIES	2016 GRANT
11/20/2015	\$ (12,500.00)	UNITED WAY GREENVILLE	ANNUAL CONTRIBUTION
2/26/2016	\$ (35,000.00)	UPCOUNTRY HISTORY MUSEUM	2016 GRANT
5/11/2016	\$ (30,000.00)	UPCOUNTRY HISTORY MUSEUM	2016 GRANT
5/11/2016	\$ (25,000.00)	UPSTATE CIRCLE OF FRIENDS	2016 GRANT
8/24/2016	\$ (7,000.00)	UPSTATE CIRCLE OF FRIENDS	2016 GRANT
8/24/2016	\$ (40,000.00)	UPSTATE WARRIOR SOLUTIONS	2016 GRANT
11/19/2015	\$ (13,000.00)	USC UPSTATE	PURCHASE NLN SCENARIOS FOR SIM LEARNING
5/11/2016	\$ (6,430.00)	WADE HAMPTON HIGH SCHOOL	2016 GRANT
11/19/2015	\$ (34,500.00)	WAREHOUSE THEATRE	MARQUEE FOR BUILDING, OTHER NEEDS
11/19/2015	\$ (2,500.00)	YOUTH LEADERSHIP GREENVILLE	COMMUNITY LEADERSHIP PROGRAMMING
11/19/2015	\$ (20,000.00)	YOUTHBASE	EXPAND CURRENT PROGRAM, OTHER NEEDS
Total		\$ (2,259,155.00)	

Short Term Transactions

DESCRIPTION	CUSIP				Portion Subject to				Portion Subject to 28% Rates (included in Net G/L)	
	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed		Ordinary Rates including Section 988 (included in Net G/L)
ADTRAN INC			00738A106							
01/28/16	01/05/16	4	\$72.22	\$67.89	\$4.33	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
01/29/16	05/26/15	11	\$199.37	\$184.89	\$14.48	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
01/29/16	05/19/15	16	\$289.99	\$269.36	\$20.63	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
01/29/16	05/27/15	4	\$72.50	\$67.45	\$5.05	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
01/29/16	01/05/16	30	\$543.74	\$509.16	\$34.58	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
01/29/16	01/06/16	13	\$235.62	\$220.25	\$15.37	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
02/16/16	05/26/15	24	\$435.47	\$403.39	\$32.08	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

Short Term Transactions

DESCRIPTION	CUSIP				Portion Subject to					
	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
ADTRAN INC			00738A106							
	02/17/16	05/26/15	20	\$368.28	\$336.16	\$32.12	\$0.00	\$0.00	\$0.00	\$0.00
	02/24/16	05/26/15	7	\$131.35	\$117.66	\$13.69	\$0.00	\$0.00	\$0.00	\$0.00
	02/24/16	06/12/15	30	\$562.91	\$503.10	\$59.81	\$0.00	\$0.00	\$0.00	\$0.00
AFFILIATED MANAGERS GROUP			008252108							
	11/18/15	09/24/15	207	\$35,868.01	\$35,255.31	\$612.70	\$0.00	\$0.00	\$0.00	\$0.00
ALLSCRIPTS HEALTHCARE SOLUTIONS			01988P108							
	05/11/16	01/14/16	55	\$727.86	\$799.47	\$-71.61	\$0.00	\$0.00	\$0.00	\$0.00
	05/11/16	07/27/15	46	\$608.75	\$653.94	\$-45.19	\$0.00	\$0.00	\$0.00	\$0.00
	05/11/16	11/10/15	57	\$754.32	\$843.85	\$-89.53	\$0.00	\$0.00	\$0.00	\$0.00
	05/12/16	07/27/15	9	\$118.96	\$127.95	\$-8.99	\$0.00	\$0.00	\$0.00	\$0.00
ALPHABET INC			02079K305							
	03/28/16	04/13/15	435	\$329,101.20	\$239,067.30	\$90,033.90	\$0.00	\$0.00	\$0.00	\$0.00
AMERICAN EAGLE OUTFITTERS INC NEW			02553E106							
	02/26/16	11/09/15	53	\$798.80	\$844.62	\$-45.82	\$0.00	\$0.00	\$0.00	\$0.00
ARMSTRONG FLOORING INC			04238R106							
	06/17/16	12/30/15	0.5	\$8.94	\$5.90	\$3.04	\$0.00	\$0.00	\$0.00	\$0.00
AUTODESK INC			052769106							
	03/28/16	08/25/15	2704	\$153,074.16	\$134,767.36	\$18,306.80	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	09/01/15	2220	\$125,674.79	\$101,410.71	\$24,264.08	\$0.00	\$0.00	\$0.00	\$0.00

Short Term Transactions

DESCRIPTION	CUSIP				Portion Subject to			Portion Subject to 28% Rates (included in Net G/L)		
	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount		Wash sale loss disallowed	Ordinary Rates including Section 988 (included in Net G/L)
BED BATH & BEYOND INC 075896100										
	06/24/16	08/27/15	224	\$9,664.29	\$13,887.91	\$-4,223.62	\$0.00	\$0.00	\$0.00	\$0.00
BROADRIDGE FINL SOLUTIONS INC 11133T103										
	07/19/16	01/05/16	7	\$468.47	\$369.19	\$99.28	\$0.00	\$0.00	\$0.00	\$0.00
	07/28/16	01/05/16	5	\$338.03	\$263.71	\$74.32	\$0.00	\$0.00	\$0.00	\$0.00
CNO FINL GROUP INC 12621E103										
	11/25/15	11/10/15	36	\$725.06	\$710.75	\$14.31	\$0.00	\$0.00	\$0.00	\$0.00
	11/25/15	06/29/15	44	\$886.19	\$811.36	\$74.83	\$0.00	\$0.00	\$0.00	\$0.00
	03/08/16	01/14/16	30	\$530.38	\$508.13	\$22.25	\$0.00	\$0.00	\$0.00	\$0.00
	03/14/16	01/14/16	16	\$290.30	\$271.00	\$19.30	\$0.00	\$0.00	\$0.00	\$0.00
	04/04/16	08/25/15	1	\$17.92	\$16.63	\$1.29	\$0.00	\$0.00	\$0.00	\$0.00
	04/05/16	08/25/15	2	\$35.08	\$33.27	\$1.81	\$0.00	\$0.00	\$0.00	\$0.00
CABOT CORP 127055101										
	03/14/16	11/10/15	17	\$801.67	\$699.94	\$101.73	\$0.00	\$0.00	\$0.00	\$0.00
	03/14/16	01/21/16	5	\$235.78	\$190.03	\$45.75	\$0.00	\$0.00	\$0.00	\$0.00
	07/28/16	01/21/16	10	\$505.31	\$380.06	\$125.25	\$0.00	\$0.00	\$0.00	\$0.00
CARDTRONICS INC 141611108										
	07/01/16	12/14/15	9	\$358.29	\$313.50	\$44.79	\$0.00	\$0.00	\$0.00	\$0.00
	07/01/16	12/15/15	11	\$437.91	\$390.11	\$47.80	\$0.00	\$0.00	\$0.00	\$0.00
CELANESE CORP DEL SERA 150870103										
	10/22/15	08/21/15	2	\$137.61	\$121.59	\$16.02	\$0.00	\$0.00	\$0.00	\$0.00

Short Term Transactions

DESCRIPTION	CUSIP				Portion Subject to					
	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
CELANESE CORP DEL SER A	150870103									
10/22/15	05/11/15	13	\$894.46	\$871.70	\$22.76	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
CELGENE CORP COM	151020104									
03/28/16	12/15/15	316	\$31,301.61	\$35,829.25	\$-4,527.64	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
CLEAN HBRS INC	184496107									
02/09/16	06/15/15	8	\$342.52	\$438.84	\$-96.32	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
02/10/16	06/15/15	5	\$214.87	\$274.27	\$-59.40	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
COLUMBIA SPORTSWEAR CO	198516106									
03/01/16	08/07/15	10	\$599.45	\$665.64	\$-66.19	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
03/01/16	04/30/15	10	\$599.44	\$629.99	\$-30.55	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
03/08/16	01/14/16	3	\$178.58	\$137.70	\$40.88	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
03/08/16	04/30/15	6	\$357.15	\$378.00	\$-20.85	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
03/08/16	12/09/15	4	\$238.10	\$184.62	\$53.48	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
03/11/16	12/08/15	4	\$246.71	\$182.88	\$63.83	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
03/11/16	01/14/16	10	\$616.78	\$459.00	\$157.78	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
COMMERCIAL METALS CO	201723103									
03/04/16	06/16/15	12	\$189.97	\$196.14	\$-6.17	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
COPART INC	217204106									
11/24/15	05/01/15	2	\$78.43	\$71.71	\$6.72	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
11/27/15	05/01/15	19	\$749.29	\$681.26	\$68.03	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
11/27/15	04/30/15	7	\$276.06	\$249.21	\$26.85	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

Short Term Transactions

DESCRIPTION	CUSIP				Portion Subject to			Portion Subject to 28% Rates (included in Net G/L)		
	Date sold or disposed	Date acquired	Units	Proceeds	Cost on other basis	Net Gain/Loss	Accrued market discount		Wash sale loss disallowed	Ordinary Rates including Section 988 (included in Net G/L)
COPART INC			217204106							
	03/04/16	07/27/15	5	\$198.07	\$176.08	\$21.99	\$0.00	\$0.00	\$0.00	\$0.00
	03/04/16	06/29/15	17	\$673.44	\$603.95	\$69.49	\$0.00	\$0.00	\$0.00	\$0.00
	03/04/16	04/30/15	1	\$39.61	\$35.60	\$4.01	\$0.00	\$0.00	\$0.00	\$0.00
	03/08/16	07/27/15	18	\$712.95	\$633.89	\$79.06	\$0.00	\$0.00	\$0.00	\$0.00
	03/21/16	10/13/15	6	\$246.19	\$209.93	\$36.26	\$0.00	\$0.00	\$0.00	\$0.00
	03/21/16	10/12/15	23	\$943.75	\$803.19	\$140.56	\$0.00	\$0.00	\$0.00	\$0.00
DEVON ENERGY CORPORATION NEW			25179M103							
	02/17/16	01/22/16	447	\$8,943.60	\$11,414.19	\$-2,470.59	\$0.00	\$0.00	\$0.00	\$0.00
DODGE & COX FDS INTL STK FD			256206103							
	03/07/16	12/22/15	698.83	\$24,144.44	\$25,227.62	\$-1,083.18	\$0.00	\$0.00	\$0.00	\$0.00
DOLBY LABORATORIES INC CL A			25659T107							
	02/16/16	05/05/15	2	\$75.66	\$79.92	\$-4.26	\$0.00	\$0.00	\$0.00	\$0.00
	02/16/16	05/04/15	17	\$643.15	\$685.21	\$-42.06	\$0.00	\$0.00	\$0.00	\$0.00
	02/18/16	05/05/15	18	\$697.56	\$719.32	\$-21.76	\$0.00	\$0.00	\$0.00	\$0.00
	02/19/16	05/05/15	13	\$502.64	\$519.51	\$-16.87	\$0.00	\$0.00	\$0.00	\$0.00
	02/22/16	05/05/15	12	\$469.39	\$479.54	\$-10.15	\$0.00	\$0.00	\$0.00	\$0.00
	02/23/16	05/05/15	4	\$158.11	\$159.85	\$-1.74	\$0.00	\$0.00	\$0.00	\$0.00
	02/26/16	05/05/15	18	\$713.52	\$719.32	\$-5.80	\$0.00	\$0.00	\$0.00	\$0.00
	02/29/16	05/05/15	1	\$39.58	\$39.96	\$-0.38	\$0.00	\$0.00	\$0.00	\$0.00
	02/29/16	05/11/15	12	\$474.96	\$477.99	\$-3.03	\$0.00	\$0.00	\$0.00	\$0.00

Short Term Transactions

DESCRIPTION	CUSIP				Portion Subject to		
	Date sold or disposed	Date acquired	Units	CUSIP	Ordinary Rates including Section 988 (included in Net G/L)	Wash sale loss disallowed	Portion Subject to 28% Rates (included in Net G/L)
DOLLAR GEN CORP							
	03/28/16	02/29/16	2970	256677105	\$221,658.53	\$32,391.80	\$0.00
EAGLE MATLS INC							
	04/21/16	12/24/15	9	26969P108	\$551.10	\$127.85	\$0.00
EL PASO ELEC CO NEW							
	02/03/16	11/10/15	11	283677854	\$438.16	\$31.10	\$0.00
	02/04/16	11/09/15	6		\$235.15	\$17.61	\$0.00
	02/05/16	11/09/15	2		\$78.38	\$5.62	\$0.00
	02/10/16	11/09/15	2		\$78.38	\$3.23	\$0.00
	08/04/16	12/17/15	6		\$225.21	\$59.32	\$0.00
ENERGIZER HLDGS INC							
	04/21/16	01/05/16	11	29272W109	\$382.15	\$100.15	\$0.00
	06/28/16	01/05/16	2		\$69.48	\$31.81	\$0.00
	06/29/16	01/05/16	11		\$382.16	\$178.51	\$0.00
	06/29/16	12/10/15	3		\$102.11	\$50.80	\$0.00
	06/30/16	12/10/15	11		\$374.39	\$191.80	\$0.00
	07/01/16	12/10/15	3		\$102.11	\$52.35	\$0.00
	07/22/16	12/10/15	5		\$170.18	\$77.90	\$0.00
	07/22/16	12/09/15	10		\$340.13	\$156.02	\$0.00
	08/02/16	12/09/15	14		\$476.19	\$238.50	\$0.00

Short Term Transactions

DESCRIPTION	Date sold or disposed	Date acquired	Units	CUSIP	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to	
										Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
EVERI HOLDINGS INC 30034T103											
	06/29/16	01/07/16	44		\$53.50	\$177.22	\$-123.72	\$0.00	\$0.00	\$0.00	\$0.00
	06/29/16	01/06/16	50		\$60.79	\$215.91	\$-155.12	\$0.00	\$0.00	\$0.00	\$0.00
	06/29/16	01/05/16	45		\$54.71	\$196.46	\$-141.75	\$0.00	\$0.00	\$0.00	\$0.00
FASTENAL CO 311900104											
	01/22/16	07/02/15	283		\$10,521.63	\$11,850.48	\$-1,328.85	\$0.00	\$0.00	\$0.00	\$0.00
FEDERATED INVS INC PACLB 314211103											
	03/28/16	12/10/15	405		\$11,373.60	\$12,126.23	\$-752.63	\$0.00	\$0.00	\$0.00	\$0.00
FRANKLIN RESOURCES INC 354613101											
	12/24/15	06/25/15	714		\$26,443.22	\$35,949.19	\$-9,505.97	\$0.00	\$0.00	\$0.00	\$0.00
	12/28/15	06/25/15	34		\$1,249.67	\$1,711.87	\$-462.20	\$0.00	\$0.00	\$0.00	\$0.00
IICP INC 40414L109											
	02/12/16	06/22/15	490		\$12,864.57	\$18,452.91	\$-5,588.34	\$0.00	\$0.00	\$0.00	\$0.00
	05/05/16	06/22/15	59		\$2,018.41	\$2,218.64	\$-200.23	\$0.00	\$0.00	\$0.00	\$0.00
IIAEMONETICS CORP MASS 405024100											
	04/20/16	09/01/15	3		\$100.83	\$106.48	\$-5.65	\$0.00	\$0.00	\$0.00	\$0.00
	04/20/16	09/02/15	3		\$100.82	\$106.65	\$-5.83	\$0.00	\$0.00	\$0.00	\$0.00
	04/21/16	09/01/15	12		\$401.18	\$425.94	\$-24.76	\$0.00	\$0.00	\$0.00	\$0.00
IIARSCO CORP 415864107											
	03/21/16	06/22/15	10		\$56.80	\$166.78	\$-109.98	\$0.00	\$0.00	\$0.00	\$0.00
	03/21/16	06/25/15	6		\$34.08	\$101.19	\$-67.11	\$0.00	\$0.00	\$0.00	\$0.00

Short Term Transactions

DESCRIPTION	Date sold or disposed	Date acquired	Units	CUSIP	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to	
										Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
HARSCO CORP											
415864107											
	03/21/16	07/06/15	44		\$249.92	\$755.03	\$-505.11	\$0.00	\$0.00	\$0.00	\$0.00
	03/22/16	06/19/15	61		\$339.64	\$1,014.69	\$-675.05	\$0.00	\$0.00	\$0.00	\$0.00
	03/22/16	06/22/15	7		\$38.98	\$116.75	\$-77.77	\$0.00	\$0.00	\$0.00	\$0.00
	04/18/16	06/25/15	84		\$545.74	\$1,396.51	\$-850.77	\$0.00	\$0.00	\$0.00	\$0.00
	04/18/16	06/30/15	7		\$45.48	\$114.16	\$-68.68	\$0.00	\$0.00	\$0.00	\$0.00
	04/19/16	06/30/15	31		\$210.25	\$505.58	\$-295.33	\$0.00	\$0.00	\$0.00	\$0.00
	04/19/16	06/29/15	16		\$108.51	\$258.42	\$-149.91	\$0.00	\$0.00	\$0.00	\$0.00
	04/22/16	06/29/15	27		\$200.15	\$436.08	\$-235.93	\$0.00	\$0.00	\$0.00	\$0.00
	04/25/16	06/29/15	6		\$42.55	\$96.91	\$-54.36	\$0.00	\$0.00	\$0.00	\$0.00
	04/25/16	08/19/15	26		\$184.39	\$317.02	\$-132.63	\$0.00	\$0.00	\$0.00	\$0.00
HATTERAS FINL CORP											
41902R103											
	04/11/16	04/29/15	48		\$758.68	\$872.82	\$-114.14	\$0.00	\$0.00	\$0.00	\$0.00
	04/11/16	05/04/15	23		\$363.54	\$418.99	\$-55.45	\$0.00	\$0.00	\$0.00	\$0.00
	04/13/16	05/05/15	31		\$490.95	\$561.09	\$-70.14	\$0.00	\$0.00	\$0.00	\$0.00
	04/13/16	04/29/15	25		\$395.93	\$454.60	\$-58.67	\$0.00	\$0.00	\$0.00	\$0.00
	05/20/16	06/25/15	30		\$491.16	\$509.29	\$-18.13	\$0.00	\$0.00	\$0.00	\$0.00
	05/25/16	06/25/15	9		\$145.30	\$152.79	\$-7.49	\$0.00	\$0.00	\$0.00	\$0.00
	05/31/16	12/15/15	5		\$80.50	\$65.81	\$14.69	\$0.00	\$0.00	\$0.00	\$0.00
	05/31/16	12/14/15	54		\$869.45	\$711.52	\$157.93	\$0.00	\$0.00	\$0.00	\$0.00

Short Term Transactions

DESCRIPTION	DATE SOLD OR DISPOSED	DATE ACQUIRED	UNITS	CUSIP	PROCEEDS	COST OR OTHER BASIS	NET GAIN/LOSS	ACCRUED MARKET DISCOUNT	WASH SALE LOSS DISALLOWED	PORTION SUBJECT TO ORDINARY RATES INCLUDING SECTION 988 (INCLUDED IN NET G/L)	PORTION SUBJECT TO 28% RATES (INCLUDED IN NET G/L)
HOLOGIC INC											
				436440101							
	03/28/16	11/05/15	953		\$32,695.29	\$38,385.32	\$-5,690.03	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	07/06/15	6224		\$213,531.43	\$238,432.73	\$-24,901.30	\$0.00	\$0.00	\$0.00	\$0.00
IMS HEALTH HLDGS INC											
				44970B109							
	03/28/16	11/05/15	1045		\$26,781.62	\$29,275.26	\$-2,493.64	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	06/09/15	3205		\$82,138.83	\$95,780.46	\$-13,641.63	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	10/29/15	923		\$23,654.96	\$27,340.09	\$-3,685.13	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	05/13/15	5099		\$130,678.90	\$147,709.36	\$-17,030.46	\$0.00	\$0.00	\$0.00	\$0.00
INLAND REAL ESTATE CORP NEW											
				457461200							
	01/20/16	05/01/15	7		\$74.48	\$72.42	\$2.06	\$0.00	\$0.00	\$0.00	\$0.00
	01/20/16	04/30/15	9		\$95.76	\$92.74	\$3.02	\$0.00	\$0.00	\$0.00	\$0.00
	01/20/16	04/29/15	47		\$500.07	\$492.47	\$7.60	\$0.00	\$0.00	\$0.00	\$0.00
	01/21/16	04/30/15	36		\$382.58	\$370.97	\$11.61	\$0.00	\$0.00	\$0.00	\$0.00
	01/21/16	04/30/15	20		\$212.55	\$206.09	\$6.46	\$0.00	\$0.00	\$0.00	\$0.00
	01/21/16	08/21/15	87		\$924.56	\$822.12	\$102.44	\$0.00	\$0.00	\$0.00	\$0.00
INTERSIL HLDG CORP CLA											
				46069S109							
	08/31/16	12/16/15	8		\$158.51	\$104.80	\$53.71	\$0.00	\$0.00	\$0.00	\$0.00
	08/31/16	12/17/15	16		\$317.03	\$210.53	\$106.50	\$0.00	\$0.00	\$0.00	\$0.00
INVESTORS BANCORP INC NEW											
				46146L101							
	03/10/16	11/10/15	22		\$251.19	\$281.40	\$-30.21	\$0.00	\$0.00	\$0.00	\$0.00
	03/10/16	11/09/15	119		\$1,358.71	\$1,525.40	\$-166.69	\$0.00	\$0.00	\$0.00	\$0.00

Short Term Transactions

DESCRIPTION	Date sold or disposed	Date acquired	Units	CUSIP	Portion Subject to				Portion Subject to 28% Rates (included in Net G/L)	
					Ordinary Rates including Section 988 (included in Net G/L)	Wash sale loss disallowed	Accrued market discount	Cost or other basis		
INVESTORS BANCORP INC NEW										
03/10/16	12/16/15	24	46146L101		\$274.03	\$303.65	\$-29.62	\$0.00	\$0.00	\$0.00
03/11/16	12/16/15	86			\$994.33	\$1,088.08	\$-93.75	\$0.00	\$0.00	\$0.00
ITC ILDCS CORP										
02/12/16	11/17/15	775	465685105		\$30,216.36	\$24,259.98	\$5,956.38	\$0.00	\$0.00	\$0.00
LSB INDUSTRIES INC										
03/28/16	04/23/15	147	502160104		\$1,794.52	\$6,604.64	\$-4,810.12	\$0.00	\$0.00	\$0.00
03/28/16	04/24/15	121			\$1,477.12	\$5,414.52	\$-3,937.40	\$0.00	\$0.00	\$0.00
03/28/16	07/30/15	350			\$4,272.67	\$13,107.47	\$-8,834.80	\$0.00	\$0.00	\$0.00
03/28/16	07/29/15	82			\$1,001.03	\$3,049.74	\$-2,048.71	\$0.00	\$0.00	\$0.00
LIBERTY BROADBAND-C										
09/03/15	01/08/15	245	530307305		\$13,265.50	\$9,888.20	\$3,377.30	\$0.00	\$0.00	\$0.00
LIBERTY MEDIA CORP DEL										
05/27/16	06/30/15	93.75	531229854		\$1,795.54	\$1,987.12	\$-191.58	\$0.00	\$0.00	\$0.00
LIBERTY MEDIA CORP DEL										
08/11/16	05/25/16	33	531229888		\$529.87	\$507.75	\$22.12	\$0.00	\$0.00	\$0.00
08/11/16	06/15/16	65			\$1,043.69	\$832.00	\$211.69	\$0.00	\$0.00	\$0.00
MSG NETWORK INC										
10/12/15	03/19/15	3009	553573106		\$60,621.20	\$60,857.93	\$-236.73	\$0.00	\$0.00	\$0.00
MASIMO CORP										
06/20/16	01/15/16	3	574795100		\$154.59	\$109.12	\$45.47	\$0.00	\$0.00	\$0.00

Short Term Transactions

DESCRIPTION	CUSIP				Portion Subject to					
	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
MASIMO CORP			574795100							
06/21/16	01/15/16	12	\$619.88	\$436.48	\$183.40	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
06/22/16	01/15/16	1	\$51.65	\$36.37	\$15.28	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
PATTERSON-UTI ENERGY INC		703481101								
04/27/16	08/24/15	29	\$546.14	\$391.54	\$154.60	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
06/16/16	08/24/15	19	\$381.13	\$256.53	\$124.60	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
PIEDMONT NATURAL GAS INC		720186105								
10/29/15	08/21/15	41	\$2,346.59	\$1,627.06	\$719.53	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
10/29/15	12/19/14	26	\$1,488.08	\$1,006.76	\$481.32	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
10/29/15	04/30/15	16	\$915.74	\$598.61	\$317.13	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
11/03/15	07/08/15	23	\$1,322.56	\$850.72	\$471.84	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
11/03/15	05/01/15	14	\$805.04	\$518.94	\$286.10	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
11/03/15	06/29/15	19	\$1,092.55	\$679.18	\$413.37	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
RAYONIER ADVANCED MATLS INC		75508B104								
09/16/15	12/01/14	31	\$195.95	\$764.89	\$-568.94	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
09/16/15	05/05/15	6	\$37.93	\$114.42	\$-76.49	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
09/16/15	09/29/14	10	\$63.21	\$315.75	\$-252.54	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
10/14/15	05/04/15	21	\$164.40	\$396.12	\$-231.72	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
10/15/15	03/17/15	8	\$63.76	\$124.72	\$-60.96	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
10/15/15	05/04/15	15	\$119.56	\$282.95	\$-163.39	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
10/16/15	03/17/15	20	\$162.34	\$311.80	\$-149.46	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

Short Term Transactions

DESCRIPTION	CUSIP		Portion Subject to							
	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
RAYONIER ADVANCED MATLS INC 75508B104										
	10/16/15	03/18/15	20	\$162.33	\$310.60	\$-148.27	\$0.00	\$0.00	\$0.00	\$0.00
	10/19/15	03/18/15	21	\$168.70	\$326.12	\$-157.42	\$0.00	\$0.00	\$0.00	\$0.00
T ROWE PRICE NEW ERA FUND INC 779559103										
	03/07/16	12/16/15	40.8	\$1,186.17	\$1,135.97	\$50.20	\$0.00	\$0.00	\$0.00	\$0.00
	03/07/16	12/16/15	117.82	\$3,425.03	\$3,280.10	\$144.93	\$0.00	\$0.00	\$0.00	\$0.00
	03/07/16	12/16/15	214.22	\$6,227.32	\$5,963.82	\$263.50	\$0.00	\$0.00	\$0.00	\$0.00
ROYAL GOLD INC 780287108										
	03/28/16	05/11/15	191	\$9,399.40	\$12,557.39	\$-3,157.99	\$0.00	\$0.00	\$0.00	\$0.00
SPDR SER TR S&P BIOTECH ETF 78464A870										
	06/28/16	10/19/15	550	\$28,467.37	\$37,445.97	\$-8,978.60	\$0.00	\$0.00	\$0.00	\$0.00
SALLY BEAUTY HLDGS INC 79546E104										
	02/04/16	07/08/15	27	\$797.35	\$868.84	\$-71.49	\$0.00	\$0.00	\$0.00	\$0.00
	02/04/16	12/16/15	33	\$974.53	\$927.46	\$47.07	\$0.00	\$0.00	\$0.00	\$0.00
	02/04/16	01/15/16	17	\$502.03	\$453.49	\$48.54	\$0.00	\$0.00	\$0.00	\$0.00
	02/04/16	01/15/16	2	\$60.05	\$53.35	\$6.70	\$0.00	\$0.00	\$0.00	\$0.00
	02/04/16	08/07/15	38	\$1,140.91	\$1,009.50	\$131.41	\$0.00	\$0.00	\$0.00	\$0.00
	02/04/16	08/13/15	2	\$60.05	\$52.47	\$7.58	\$0.00	\$0.00	\$0.00	\$0.00
	02/04/16	08/13/15	25	\$721.85	\$655.90	\$65.95	\$0.00	\$0.00	\$0.00	\$0.00
SHIRE PHARMACEUTICALS GROUP PLC 82481R106										
	07/12/16	06/03/16	164	\$31,607.26	\$31,166.64	\$440.62	\$0.00	\$0.00	\$0.00	\$0.00

Short Term Transactions

DESCRIPTION	Date sold or disposed	Date acquired	Units	CUSIP	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to	
										Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
SHIRE PHARMACEUTICALS GROUP PLC 82481R106											
	07/25/16	06/03/16	0.5		\$97.78	\$95.40	\$2.38	\$0.00	\$0.00	\$0.00	\$0.00
SILVER WHEATON CORP 828336107											
	03/28/16	08/19/15	1099		\$18,677.54	\$15,249.17	\$3,428.37	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	07/17/15	1148		\$19,510.29	\$15,629.22	\$3,881.07	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	08/11/15	616		\$10,468.94	\$8,051.92	\$2,417.02	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	10/28/15	446		\$7,579.78	\$6,777.73	\$802.05	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	05/01/15	675		\$11,471.65	\$13,584.85	\$-2,113.20	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	04/24/15	679		\$11,539.63	\$13,023.22	\$-1,483.59	\$0.00	\$0.00	\$0.00	\$0.00
SOTHEBYS HLDGS INC CLA 835898107											
	08/29/16	02/17/16	25		\$1,013.70	\$587.68	\$426.02	\$0.00	\$0.00	\$0.00	\$0.00
SOUTHWESTERN ENERGY CO 845467109											
	01/22/16	06/22/15	404		\$3,523.66	\$9,698.83	\$-6,175.17	\$0.00	\$0.00	\$0.00	\$0.00
STANCORP FINL GROUP INC 852891100											
	09/08/15	04/30/15	4		\$457.61	\$288.64	\$168.97	\$0.00	\$0.00	\$0.00	\$0.00
	09/14/15	09/29/14	9		\$1,030.63	\$570.99	\$459.64	\$0.00	\$0.00	\$0.00	\$0.00
STERICYCLE INC 858912108											
	03/28/16	12/04/15	1997		\$247,542.72	\$231,555.15	\$15,987.57	\$0.00	\$0.00	\$0.00	\$0.00
SYKES ENTERPRISES INC 871237103											
	10/21/15	07/07/15	15		\$407.37	\$362.99	\$44.38	\$0.00	\$0.00	\$0.00	\$0.00
	10/21/15	07/08/15	15		\$407.38	\$361.82	\$45.56	\$0.00	\$0.00	\$0.00	\$0.00

Short Term Transactions

DESCRIPTION	Date sold or disposed	Date acquired	Units	CUSIP	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to	
										Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
TIDEWATER INC 886423102											
	08/12/16	12/21/15	60		\$199.18	\$392.75	\$-193.57	\$0.00	\$0.00	\$0.00	\$0.00
	08/15/16	12/21/15	51		\$164.96	\$333.84	\$-168.88	\$0.00	\$0.00	\$0.00	\$0.00
TORTOISE MLP FUND INC 89148B101											
	06/24/16	08/24/15	2200		\$39,535.78	\$40,210.66	\$-674.88	\$0.00	\$0.00	\$0.00	\$0.00
	06/24/16	10/16/15	2000		\$35,941.61	\$37,694.73	\$-1,753.12	\$0.00	\$0.00	\$0.00	\$0.00
UNITED PARCEL SVC INC CL B 911312106											
	03/28/16	12/01/15	2279		\$239,390.72	\$237,839.17	\$1,551.55	\$0.00	\$0.00	\$0.00	\$0.00
VIACOM INC NEW CL B 92553P201											
	03/28/16	08/12/15	282		\$11,311.05	\$12,996.42	\$-1,685.37	\$0.00	\$0.00	\$0.00	\$0.00
VIPSHOP HLDGS LTD 92763W103											
	03/02/16	08/25/15	8420		\$92,702.17	\$155,312.79	\$-62,610.62	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	08/25/15	4558		\$55,722.15	\$84,075.50	\$-28,353.35	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	11/13/15	7027		\$85,906.01	\$93,509.69	\$-7,603.68	\$0.00	\$0.00	\$0.00	\$0.00
WHOLE FOODS MKT INC 966837106											
	01/27/16	08/19/15	828		\$24,044.01	\$27,177.11	\$-3,133.10	\$0.00	\$0.00	\$0.00	\$0.00
WISDOMTREE JAPAN TOTAL DIVIDEND 97717W851											
	06/24/16	10/16/15	750		\$29,004.49	\$39,394.16	\$-10,389.67	\$0.00	\$0.00	\$0.00	\$0.00
WYNN RESORTS LTD 983134107											
	03/28/16	09/24/15	969		\$87,246.28	\$58,128.28	\$29,118.00	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	08/24/15	615		\$55,373.02	\$49,576.44	\$5,796.58	\$0.00	\$0.00	\$0.00	\$0.00

Short Term Transactions

DESCRIPTION	CUSIP				Portion Subject to					
	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
WYNN RESORTS LTD										
	03/28/16	04/30/15	413	\$37,185.46	\$45,372.72	\$-8,187.26	\$0.00	\$0.00	\$0.00	\$0.00
DELPHI AUTOMOTIVE PLC										
	03/28/16	12/03/15	2801	\$202,702.54	\$242,282.86	\$-39,580.32	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	01/14/16	995	\$72,006.08	\$69,280.76	\$2,725.32	\$0.00	\$0.00	\$0.00	\$0.00
WHITE MOUNTAINS INS GRP LTD										
	10/05/15	08/07/15	2	\$1,498.18	\$1,458.58	\$39.60	\$0.00	\$0.00	\$0.00	\$0.00
WILLIS TOWERS										
	03/28/16	01/04/16	546	\$64,920.61	\$68,369.15	\$-3,448.54	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	01/04/16	169	\$20,094.47	\$21,161.88	\$-1,067.41	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	01/04/16	462	\$54,932.82	\$57,850.82	\$-2,918.00	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	01/04/16	324	\$38,524.31	\$40,570.71	\$-2,046.40	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	01/04/16	391	\$46,490.76	\$48,960.33	\$-2,469.57	\$0.00	\$0.00	\$0.00	\$0.00
Total Short Term Gain/Loss				\$3,427,011.80	\$3,499,705.78	\$-72,693.98	\$0.00	\$0.00	\$0.00	\$0.00

Long Term Transactions

DESCRIPTION	CUSIP	Portion Subject to								
		Date sold or disposed	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
ACTUANT CORP CL-A										
	00508X203									
12/01/15	15	03/05/14		\$368.08	\$518.98	\$-150.90	\$0.00	\$150.90	\$0.00	\$0.00

Long Term Transactions

DESCRIPTION	CUSIP				Portion Subject to			
	Date sold or disposed	Date acquired	Units		Ordinary Rates including Section 988 (included in Net G/L)	Wash sale loss disallowed	Accrued market discount	Portion Subject to 28% Rates (included in Net G/L)
AFFILIATED MANAGERS GROUP 008252108								
	11/18/15	06/18/09	405	\$70,176.54	\$23,467.92	\$46,708.62	\$0.00	\$0.00
	11/18/15	12/29/08	720	\$124,758.30	\$26,516.23	\$98,242.07	\$0.00	\$0.00
ALBEMARLE CORP 012653101								
	11/10/15	04/21/14	165	\$8,259.81	\$11,062.56	\$-2,802.75	\$0.00	\$0.00
	11/10/15	03/04/14	114	\$5,706.78	\$7,552.00	\$-1,845.22	\$0.00	\$0.00
	11/12/15	03/04/14	221	\$10,387.89	\$14,640.28	\$-4,252.39	\$0.00	\$0.00
ALCOA INC 013817101								
	08/10/16	10/31/13	819	\$8,511.19	\$7,706.71	\$804.48	\$0.00	\$0.00
	08/10/16	11/26/13	836	\$8,687.86	\$7,939.83	\$748.03	\$0.00	\$0.00
ALLSCRIPTS HEALTHCARE SOLUTIONS 01988P108								
	05/12/16	03/09/15	7	\$92.52	\$85.35	\$7.17	\$0.00	\$0.00
ALPHABET INC 02079K107								
	01/08/16	09/28/11	15.04	\$10,828.29	\$4,029.59	\$6,798.70	\$0.00	\$0.00
	01/08/16	10/25/11	14.96	\$10,769.25	\$4,363.77	\$6,405.48	\$0.00	\$0.00
ALPHABET INC 02079K305								
	01/08/16	10/25/11	15	\$11,181.86	\$4,401.76	\$6,780.10	\$0.00	\$0.00
	01/08/16	09/28/11	15	\$11,181.85	\$4,042.50	\$7,139.35	\$0.00	\$0.00
AMERICAN EAGLE OUTFITTERS INC NEW 02553E106								
	02/26/16	01/29/14	53	\$798.80	\$711.68	\$87.12	\$0.00	\$0.00

Long Term Transactions

DESCRIPTION	CUSIP				Portion Subject to					
	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
AMETEK AEROSPACE PRODS INC 031100100										
	10/27/15	08/29/13	1765	\$94,873.35	\$76,956.12	\$17,917.23	\$0.00	\$0.00	\$0.00	\$0.00
	10/27/15	07/05/13	2675	\$143,788.23	\$117,920.69	\$25,867.54	\$0.00	\$0.00	\$0.00	\$0.00
ANALOG DEVICES INC 032654105										
	01/20/16	06/08/09	156	\$7,944.37	\$3,756.48	\$4,187.89	\$0.00	\$0.00	\$0.00	\$0.00
APPLIED MATERIALS INC 038222105										
	12/01/15	06/07/13	614	\$11,782.57	\$9,412.93	\$2,369.64	\$0.00	\$0.00	\$0.00	\$0.00
	01/27/16	06/07/13	657	\$11,423.77	\$10,072.14	\$1,351.63	\$0.00	\$0.00	\$0.00	\$0.00
AUTONATION INC 05329W102										
	09/03/15	04/19/11	135	\$7,914.12	\$4,414.89	\$3,499.23	\$0.00	\$0.00	\$0.00	\$0.00
	09/03/15	04/19/11	124	\$7,181.97	\$4,055.16	\$3,126.81	\$0.00	\$0.00	\$0.00	\$0.00
	09/03/15	03/09/11	59	\$3,417.23	\$1,977.34	\$1,439.89	\$0.00	\$0.00	\$0.00	\$0.00
	09/03/15	02/24/11	239	\$14,010.92	\$7,762.74	\$6,248.18	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	02/24/11	182	\$8,578.82	\$5,911.38	\$2,667.44	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	02/03/11	279	\$13,151.05	\$8,387.21	\$4,763.84	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	12/08/10	336	\$15,837.81	\$8,830.28	\$7,007.53	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	12/15/10	325	\$15,319.32	\$8,759.99	\$6,559.33	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	01/18/11	344	\$16,214.91	\$9,687.90	\$6,527.01	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	01/05/11	317	\$14,942.23	\$8,987.36	\$5,954.87	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	01/25/11	264	\$12,444.00	\$7,698.85	\$4,745.15	\$0.00	\$0.00	\$0.00	\$0.00

Long Term Transactions

DESCRIPTION	CUSIP				Portion Subject to					
	Date sold or disposed	Date acquired	Units	Proceeds	Cost on other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
AVERY DENNISON CORP 053611109										
12/04/15	01/28/11	348	\$22,516.99	\$14,400.41	\$8,116.58	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
BARON INTL GROWTH FUND 06828M603										
03/28/16	10/20/10	18691.59	\$328,224.30	\$300,000.00	\$28,224.30	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
BAXALTA INC 07177M103										
05/06/16	04/28/10	312	\$12,831.56	\$6,743.93	\$6,087.63	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
05/06/16	04/02/15	564	\$23,195.52	\$17,191.44	\$6,004.08	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
06/03/16	04/02/15	22.68	\$1,047.05	\$734.93	\$312.12	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
06/03/16	04/02/15	0.97	\$44.40	\$31.43	\$12.97	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
06/03/16	04/28/10	1031	\$47,595.08	\$22,285.21	\$25,309.87	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
06/03/16	04/28/10	56.32	\$2,599.91	\$1,294.04	\$1,305.87	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
BAXTER INTERNATIONAL INC 071813109										
06/01/16	04/02/15	0.01	\$0.30	\$0.26	\$0.04	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
BED BATH & BEYOND INC 075896100										
12/28/15	03/07/14	366	\$17,791.15	\$25,337.15	\$-7,546.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
06/24/16	03/07/14	1062	\$45,819.10	\$73,519.29	\$-27,700.19	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
BERKSHIRE HATHAWAY INC DEL 084670702										
03/28/16	09/10/08	224.92	\$31,744.32	\$17,118.15	\$14,626.17	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
03/28/16	09/10/08	60.08	\$8,479.27	\$4,716.73	\$3,762.54	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
BLACKROCK FDS II 0925611328										
03/28/16	10/16/14	43440.49	\$511,294.53	\$500,000.00	\$11,294.53	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

Long Term Transactions

DESCRIPTION	CUSIP	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
BLACKROCK FDS II											
	09256H328										
03/28/16		11/13/14		40650 41	\$478,455 29	\$500,000 00	\$-21,544 71	\$0 00	\$0 00	\$0 00	\$0 00
BROADRIDGE FINL SOLUTIONS INC											
	11133T103										
10/12/15		05/02/13		23	\$1,321 14	\$585 16	\$735 98	\$0 00	\$0 00	\$0 00	\$0 00
10/13/15		05/02/13		2	\$115 01	\$50 88	\$64 13	\$0 00	\$0 00	\$0 00	\$0 00
07/28/16		05/02/13		8	\$540 86	\$203 53	\$337 33	\$0 00	\$0 00	\$0 00	\$0 00
08/04/16		05/02/13		12	\$820 20	\$305 30	\$514 90	\$0 00	\$0 00	\$0 00	\$0 00
08/29/16		05/02/13		9	\$623 02	\$228 98	\$394 04	\$0 00	\$0 00	\$0 00	\$0 00
08/31/16		05/02/13		10	\$690 34	\$254 42	\$435 92	\$0 00	\$0 00	\$0 00	\$0 00
BROOKFIELD ASSET MGMT INC VOTING											
	112585104										
10/27/15		10/19/07		481	\$17,049 45	\$12,370 45	\$4,679 00	\$0 00	\$0 00	\$0 00	\$0 00
03/28/16		10/19/07		1637	\$55,205 14	\$42,100 70	\$13,104 44	\$0 00	\$0 00	\$0 00	\$0 00
03/28/16		09/10/08		417	\$14,062 64	\$8,487 34	\$5,575 30	\$0 00	\$0 00	\$0 00	\$0 00
BROOKFIELD GLOBAL LISTED											
	11273Q109										
06/24/16		11/09/11		500	\$6,288 91	\$8,614 47	\$-2,325 56	\$0 00	\$0 00	\$0 00	\$0 00
06/24/16		02/10/12		5300	\$66,662 47	\$100,522 81	\$-33,860 34	\$0 00	\$0 00	\$0 00	\$0 00
06/24/16		11/09/11		3500	\$44,022 39	\$60,235 74	\$-16,213 35	\$0 00	\$0 00	\$0 00	\$0 00
CNO FINL GROUP INC											
	12621E103										
11/25/15		07/29/14		4	\$80 56	\$67 55	\$13 01	\$0 00	\$0 00	\$0 00	\$0 00
03/14/16		07/29/14		13	\$235 87	\$219 54	\$16 33	\$0 00	\$0 00	\$0 00	\$0 00
03/14/16		04/29/14		12	\$217 72	\$202 34	\$15 38	\$0 00	\$0 00	\$0 00	\$0 00

Long Term Transactions

DESCRIPTION	Date sold or disposed	Date acquired	Units	CUSIP	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to	
										Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
CNO FINL GROUP INC											
	03/15/16	04/29/14	6	12621E103	\$108 08	\$101 17	\$6 91	\$0 00	\$0 00	\$0 00	\$0 00
	04/04/16	05/06/14	20		\$338 42	\$333 04	\$25 38	\$0 00	\$0 00	\$0 00	\$0 00
	04/04/16	04/29/14	34		\$609 30	\$573 30	\$36 00	\$0 00	\$0 00	\$0 00	\$0 00
CAMECO CORP											
	07/11/16	07/21/14	399	13321L108	\$4,241 16	\$8,372 42	\$-4,131 26	\$0 00	\$0 00	\$0 00	\$0 00
	07/29/16	07/21/14	1251		\$11,857 97	\$26,250 36	\$-14,392 39	\$0 00	\$0 00	\$0 00	\$0 00
CARDTRONICS INC											
	07/01/16	05/29/14	24	14161H108	\$955 44	\$700 78	\$254 66	\$0 00	\$0 00	\$0 00	\$0 00
	07/01/16	05/30/14	82		\$3,264 42	\$2,388 36	\$876 06	\$0 00	\$0 00	\$0 00	\$0 00
	07/01/16	05/28/14	26		\$1,035 06	\$756 04	\$279 02	\$0 00	\$0 00	\$0 00	\$0 00
CELGENE CORP COM											
	03/28/16	07/14/14	2146	151020104	\$212,573 62	\$189,834 52	\$22,739 10	\$0 00	\$0 00	\$0 00	\$0 00
CENTENE CORP DEL											
	03/30/16	08/21/13	9 33	15135B101	\$576 14	\$440 61	\$135 53	\$0 00	\$0 00	\$0 00	\$0 00
	03/30/16	11/19/13	13 67		\$844 14	\$617 21	\$226 93	\$0 00	\$0 00	\$0 00	\$0 00
	05/20/16	11/19/13	0 64		\$36 36	\$28 72	\$7 64	\$0 00	\$0 00	\$0 00	\$0 00
CLEAN HBRS INC											
	02/09/16	09/29/14	12	184496107	\$513 78	\$667 67	\$-153 89	\$0 00	\$153 89	\$0 00	\$0 00
	02/09/16	06/10/14	6		\$256 89	\$373 92	\$-117 03	\$0 00	\$117 03	\$0 00	\$0 00
	02/10/16	05/15/14	6		\$257 84	\$357 36	\$-99 52	\$0 00	\$0 00	\$0 00	\$0 00

Long Term Transactions

DESCRIPTION	Date sold or disposed	Date acquired	Units	CUSIP	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to	
										Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
CLEAN HIBRS INC											
	02/10/16	09/30/14	17	184496107	\$730.56	\$923.81	\$-193.25	\$0.00	\$0.00	\$0.00	\$0.00
	03/08/16	09/30/14	5		\$226.31	\$271.71	\$-45.40	\$0.00	\$0.00	\$0.00	\$0.00
	03/08/16	09/03/14	9		\$407.35	\$475.92	\$-68.57	\$0.00	\$0.00	\$0.00	\$0.00
COLFAX CORP											
	03/28/16	05/08/13	217	194014106	\$6,060.61	\$9,905.46	\$-3,844.85	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	01/26/12	262		\$7,317.42	\$8,157.24	\$-839.82	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	01/09/12	250		\$6,982.27	\$7,479.48	\$-497.21	\$0.00	\$0.00	\$0.00	\$0.00
COMMERCIAL METALS CO											
	10/27/15	06/10/14	3	201723103	\$49.02	\$53.39	\$-4.37	\$0.00	\$0.00	\$0.00	\$0.00
	10/27/15	01/16/14	22		\$359.45	\$434.25	\$-74.80	\$0.00	\$0.00	\$0.00	\$0.00
	10/27/15	05/20/14	6		\$98.03	\$112.12	\$-14.09	\$0.00	\$0.00	\$0.00	\$0.00
	10/27/15	05/19/14	1		\$16.34	\$18.67	\$-2.33	\$0.00	\$0.00	\$0.00	\$0.00
	10/27/15	02/26/14	18		\$294.10	\$362.68	\$-68.58	\$0.00	\$0.00	\$0.00	\$0.00
	03/04/16	05/02/13	28		\$443.27	\$405.02	\$38.25	\$0.00	\$0.00	\$0.00	\$0.00
	03/04/16	07/07/14	19		\$300.79	\$335.52	\$-34.73	\$0.00	\$0.00	\$0.00	\$0.00
	03/04/16	08/01/14	28		\$443.27	\$482.26	\$-38.99	\$0.00	\$0.00	\$0.00	\$0.00
	03/04/16	10/10/14	23		\$364.12	\$350.20	\$13.92	\$0.00	\$0.00	\$0.00	\$0.00
	03/04/16	06/10/14	13		\$205.80	\$231.33	\$-25.53	\$0.00	\$0.00	\$0.00	\$0.00
	03/21/16	05/02/13	41		\$674.37	\$593.07	\$81.30	\$0.00	\$0.00	\$0.00	\$0.00
	04/04/16	05/02/13	74		\$1,240.35	\$1,070.42	\$169.93	\$0.00	\$0.00	\$0.00	\$0.00

Long Term Transactions

DESCRIPTION	CUSIP				Portion Subject to						
	Date sold or disposed	Date acquired	Units	201723103	Proceeds	Cost on other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
COMMERCIAL METALS CO											
	04/05/16	05/02/13	36		\$599.07	\$520.74	\$78.33	\$0.00	\$0.00	\$0.00	\$0.00
	04/20/16	05/02/13	53		\$960.03	\$766.65	\$193.38	\$0.00	\$0.00	\$0.00	\$0.00
	04/29/16	05/02/13	86		\$1,532.78	\$1,244.00	\$288.78	\$0.00	\$0.00	\$0.00	\$0.00
CONTINENTAL RES INC OKLA											
			212015101								
	03/28/16	12/20/11	202		\$5,601.26	\$6,682.36	\$-1,081.10	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	12/15/11	232		\$6,433.12	\$7,246.55	\$-813.43	\$0.00	\$0.00	\$0.00	\$0.00
CONVERGYS CORP											
			212485106								
	10/30/15	05/02/13	2		\$52.18	\$35.08	\$17.10	\$0.00	\$0.00	\$0.00	\$0.00
	11/02/15	05/02/13	21		\$538.51	\$368.30	\$170.21	\$0.00	\$0.00	\$0.00	\$0.00
	11/03/15	05/02/13	20		\$514.72	\$350.76	\$163.96	\$0.00	\$0.00	\$0.00	\$0.00
	11/04/15	05/02/13	12		\$309.93	\$210.45	\$99.48	\$0.00	\$0.00	\$0.00	\$0.00
	12/24/15	05/02/13	30		\$753.16	\$526.14	\$227.02	\$0.00	\$0.00	\$0.00	\$0.00
	12/28/15	05/02/13	8		\$198.66	\$140.30	\$58.36	\$0.00	\$0.00	\$0.00	\$0.00
	02/16/16	05/02/13	26		\$646.36	\$455.98	\$190.38	\$0.00	\$0.00	\$0.00	\$0.00
	02/17/16	05/02/13	8		\$200.54	\$140.30	\$60.24	\$0.00	\$0.00	\$0.00	\$0.00
	02/22/16	05/02/13	16		\$398.72	\$280.61	\$118.11	\$0.00	\$0.00	\$0.00	\$0.00
	02/23/16	05/02/13	5		\$123.15	\$87.69	\$35.46	\$0.00	\$0.00	\$0.00	\$0.00
	02/24/16	05/02/13	23		\$591.42	\$403.37	\$188.05	\$0.00	\$0.00	\$0.00	\$0.00
	03/08/16	05/02/13	31		\$814.92	\$543.67	\$271.25	\$0.00	\$0.00	\$0.00	\$0.00

Long Term Transactions

DESCRIPTION	CUSIP				Portion Subject to			
	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
COPART INC 217204106								
	11/24/15	03/05/14	27	\$1,058.76	\$991.71	\$67.05	\$0.00	\$0.00
	11/24/15	06/10/14	14	\$548.99	\$509.22	\$39.77	\$0.00	\$0.00
	03/04/16	07/11/14	20	\$792.28	\$707.21	\$85.07	\$0.00	\$0.00
	03/08/16	02/24/14	1	\$39.61	\$35.11	\$4.50	\$0.00	\$0.00
	03/21/16	02/24/14	11	\$451.36	\$386.15	\$65.21	\$0.00	\$0.00
	05/19/16	10/31/13	16	\$679.91	\$516.01	\$163.90	\$0.00	\$0.00
	05/19/16	10/31/13	44	\$1,869.76	\$1,424.54	\$445.22	\$0.00	\$0.00
	05/19/16	01/24/14	19	\$807.39	\$661.07	\$146.32	\$0.00	\$0.00
	05/23/16	10/31/13	19	\$828.43	\$612.77	\$215.66	\$0.00	\$0.00
	05/24/16	10/31/13	10	\$438.45	\$322.51	\$115.94	\$0.00	\$0.00
	05/24/16	10/31/13	5	\$219.22	\$161.09	\$58.13	\$0.00	\$0.00
	05/27/16	10/31/13	25	\$1,215.12	\$805.44	\$409.68	\$0.00	\$0.00
CREDIT SUISSE 22546TME8								
	02/08/16	02/01/12	1000000	\$1,417,160.00	\$1,000,000.00	\$417,160.00	\$0.00	\$0.00
CRIMSON WINE GROUP LTD 22662X100								
	03/28/16	08/27/10	115	\$991.86	\$691.94	\$299.92	\$0.00	\$0.00
	03/28/16	05/06/10	364	\$313.94	\$245.53	\$68.41	\$0.00	\$0.00
	03/28/16	10/12/10	126	\$108.67	\$88.44	\$20.23	\$0.00	\$0.00
	03/28/16	10/11/10	247	\$213.03	\$173.39	\$39.64	\$0.00	\$0.00
	03/28/16	02/14/13	324	\$279.44	\$254.67	\$24.77	\$0.00	\$0.00

Long Term Transactions

DESCRIPTION	CUSIP				Portion Subject to					
	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
CRIMSON WINE GROUP LTD 22662X100										
	03/28/16	10/19/07	140 9	\$1,215.24	\$2,026.91	\$-811.67	\$0.00	\$0.00	\$0.00	\$0.00
DANAHER CORP 235851102										
	11/05/15	06/04/14	656	\$62,714.41	\$51,512.66	\$11,201.75	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	06/04/14	2025	\$191,656.19	\$159,013.94	\$32,642.25	\$0.00	\$0.00	\$0.00	\$0.00
DEUTSCHE BK AG 2515A06L2										
	09/15/15	09/10/10	575000	\$307,510.00	\$575,000.00	\$-267,490.00	\$0.00	\$0.00	\$0.00	\$0.00
DISH NETWORK CORP CL A 25470M109										
	03/28/16	11/30/11	255	\$12,167.72	\$6,279.73	\$5,887.99	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	10/18/11	315	\$15,030.71	\$8,242.07	\$6,788.64	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	04/25/12	260	\$12,406.30	\$8,230.90	\$4,175.40	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	11/04/11	298	\$14,219.54	\$6,937.05	\$7,282.49	\$0.00	\$0.00	\$0.00	\$0.00
DODGE & COX FDS INTL STK FD 256206103										
	03/07/16	12/23/08	955.95	\$33,028.04	\$20,036.69	\$12,991.35	\$0.00	\$0.00	\$0.00	\$0.00
	03/07/16	01/16/07	9917.49	\$342,649.35	\$433,989.44	\$-91,340.09	\$0.00	\$0.00	\$0.00	\$0.00
	03/07/16	12/28/06	20.58	\$710.90	\$897.53	\$-186.63	\$0.00	\$0.00	\$0.00	\$0.00
	03/07/16	12/28/06	67.3	\$2,325.25	\$2,935.68	\$-610.43	\$0.00	\$0.00	\$0.00	\$0.00
	03/07/16	12/28/06	80.73	\$2,789.33	\$3,521.57	\$-732.24	\$0.00	\$0.00	\$0.00	\$0.00
	03/07/16	01/29/07	722.73	\$24,970.15	\$30,838.68	\$-5,868.53	\$0.00	\$0.00	\$0.00	\$0.00
	03/07/16	12/22/14	671.55	\$23,202.09	\$28,480.49	\$-5,278.40	\$0.00	\$0.00	\$0.00	\$0.00
	03/07/16	12/20/13	479.86	\$16,579.23	\$20,072.62	\$-3,493.39	\$0.00	\$0.00	\$0.00	\$0.00

Long Term Transactions

DESCRIPTION	CUSIP				Portion Subject to					
	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
DODGE & COX FDS INTL STK FD 256206103										
03/07/16	09/21/06	6232.86	\$215,345.31	\$250,000.00	\$-34,654.69	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
03/07/16	12/22/10	449.17	\$15,518.65	\$15,886.95	\$-368.30	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
03/07/16	12/20/12	611.4	\$21,123.87	\$21,117.74	\$6.13	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
03/07/16	12/23/09	7881.46	\$272,304.55	\$250,000.00	\$22,304.55	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
03/07/16	12/22/09	332.97	\$11,503.97	\$10,411.86	\$1,092.11	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
03/07/16	12/23/08	61.02	\$2,108.17	\$1,278.94	\$829.23	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
03/07/16	12/23/08	1547.82	\$53,477.32	\$32,442.39	\$21,034.93	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
DOLBY LABORATORIES INC CL A 25659T107										
06/23/16	05/22/15	21	\$1,034.42	\$831.52	\$202.90	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
06/23/16	05/11/15	5	\$246.29	\$199.16	\$47.13	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
06/23/16	05/21/15	8	\$394.07	\$316.79	\$77.28	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
07/18/16	05/22/15	5	\$247.05	\$197.98	\$49.07	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
07/18/16	05/20/15	9	\$444.70	\$356.30	\$88.40	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
07/20/16	05/13/15	2	\$99.21	\$78.97	\$20.24	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
07/20/16	05/12/15	10	\$496.04	\$393.17	\$102.87	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
07/20/16	05/12/15	6	\$296.44	\$235.90	\$60.54	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
07/20/16	05/20/15	3	\$148.81	\$118.77	\$30.04	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
07/28/16	07/06/15	4	\$196.95	\$154.09	\$42.86	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
07/28/16	05/12/15	8	\$393.91	\$314.54	\$79.37	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

Long Term Transactions

DESCRIPTION	CUSIP				Portion Subject to					
	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
DREAMWORKS ANIMATION INC CL A										
			26153C103							
03/28/16	07/30/14	540		\$13,473.30	\$10,796.60	\$2,676.70	\$0.00	\$0.00	\$0.00	\$0.00
03/28/16	01/22/13	276		\$6,886.36	\$4,671.38	\$2,214.98	\$0.00	\$0.00	\$0.00	\$0.00
03/28/16	09/22/11	15		\$374.26	\$270.02	\$104.24	\$0.00	\$0.00	\$0.00	\$0.00
03/28/16	09/23/11	408		\$10,179.83	\$7,588.60	\$2,591.23	\$0.00	\$0.00	\$0.00	\$0.00
03/28/16	08/23/11	291		\$7,260.61	\$5,499.00	\$1,761.61	\$0.00	\$0.00	\$0.00	\$0.00
03/28/16	08/05/11	506		\$12,624.98	\$9,854.55	\$2,770.43	\$0.00	\$0.00	\$0.00	\$0.00
03/28/16	07/14/11	448		\$11,177.85	\$9,381.52	\$1,796.33	\$0.00	\$0.00	\$0.00	\$0.00
03/28/16	06/20/11	375		\$9,356.46	\$8,041.69	\$1,314.77	\$0.00	\$0.00	\$0.00	\$0.00
03/28/16	06/03/13	849		\$21,183.02	\$18,587.92	\$2,595.10	\$0.00	\$0.00	\$0.00	\$0.00
03/28/16	05/01/14	386		\$9,630.92	\$9,454.14	\$176.78	\$0.00	\$0.00	\$0.00	\$0.00
03/28/16	06/27/13	295		\$7,360.41	\$7,563.89	\$-203.48	\$0.00	\$0.00	\$0.00	\$0.00
03/28/16	05/02/11	331		\$8,258.63	\$8,725.46	\$-466.83	\$0.00	\$0.00	\$0.00	\$0.00
03/28/16	02/22/11	381		\$9,506.16	\$10,708.61	\$-1,202.45	\$0.00	\$0.00	\$0.00	\$0.00
03/28/16	06/24/10	278		\$6,936.25	\$7,882.11	\$-945.86	\$0.00	\$0.00	\$0.00	\$0.00
03/28/16	06/29/10	308		\$7,684.77	\$9,004.81	\$-1,320.04	\$0.00	\$0.00	\$0.00	\$0.00
03/28/16	01/06/11	293		\$7,310.51	\$8,649.04	\$-1,338.53	\$0.00	\$0.00	\$0.00	\$0.00
DYCOM INDS INC										
			267475101							
11/25/15	05/02/13	15		\$1,331.72	\$284.25	\$1,047.47	\$0.00	\$0.00	\$0.00	\$0.00
06/16/16	05/02/13	7		\$590.98	\$132.65	\$458.33	\$0.00	\$0.00	\$0.00	\$0.00
07/18/16	05/02/13	8		\$761.64	\$151.60	\$610.04	\$0.00	\$0.00	\$0.00	\$0.00

Long Term Transactions

DESCRIPTION	Date sold or disposed	Date acquired	Units	CUSIP	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to	
										Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
DYCOM INDS INC				267475101							
07/28/16	05/02/13		5		\$479.50	\$94.75	\$384.75	\$0.00	\$0.00	\$0.00	\$0.00
EATON VANCE TAX-MANAGED GLOBAL				27829F108							
06/24/16	07/03/13		1000		\$8,495.41	\$7,974.06	\$521.35	\$0.00	\$0.00	\$0.00	\$0.00
06/24/16	01/10/13		2600		\$22,088.08	\$19,785.14	\$2,302.94	\$0.00	\$0.00	\$0.00	\$0.00
EBAY INC				278642103							
08/05/16	07/22/15		624		\$19,540.45	\$17,768.21	\$1,772.24	\$0.00	\$0.00	\$0.00	\$0.00
EL PASO ELEC CO NEW				283677854							
02/10/16	05/08/13		7		\$285.62	\$271.78	\$13.84	\$0.00	\$0.00	\$0.00	\$0.00
02/10/16	06/10/14		2		\$81.61	\$76.46	\$5.15	\$0.00	\$0.00	\$0.00	\$0.00
02/10/16	07/08/14		5		\$204.02	\$195.50	\$8.52	\$0.00	\$0.00	\$0.00	\$0.00
02/10/16	07/07/14		9		\$367.24	\$350.27	\$16.97	\$0.00	\$0.00	\$0.00	\$0.00
02/11/16	06/10/14		3		\$122.27	\$114.70	\$7.57	\$0.00	\$0.00	\$0.00	\$0.00
02/16/16	06/10/14		6		\$242.15	\$229.39	\$12.76	\$0.00	\$0.00	\$0.00	\$0.00
02/18/16	06/10/14		3		\$121.79	\$114.70	\$7.09	\$0.00	\$0.00	\$0.00	\$0.00
02/18/16	05/02/13		32		\$1,299.15	\$1,214.57	\$84.58	\$0.00	\$0.00	\$0.00	\$0.00
02/19/16	05/02/13		10		\$407.07	\$379.55	\$27.52	\$0.00	\$0.00	\$0.00	\$0.00
03/01/16	05/02/13		1		\$40.77	\$37.96	\$2.81	\$0.00	\$0.00	\$0.00	\$0.00
03/02/16	05/02/13		14		\$570.17	\$531.37	\$38.80	\$0.00	\$0.00	\$0.00	\$0.00
06/20/16	05/02/13		8		\$366.25	\$303.64	\$62.61	\$0.00	\$0.00	\$0.00	\$0.00
06/23/16	05/02/13		11		\$502.90	\$417.51	\$85.39	\$0.00	\$0.00	\$0.00	\$0.00

Long Term Transactions

DESCRIPTION	CUSIP				Portion Subject to					
	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
EL PASO ELEC CO NEW										
283677854										
06/24/16	05/02/13	12	\$551.29	\$455.46	\$95.83	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
06/27/16	05/02/13	9	\$415.88	\$341.60	\$74.28	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
06/28/16	05/02/13	11	\$509.27	\$417.51	\$91.76	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
06/29/16	05/02/13	11	\$509.66	\$417.51	\$92.15	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
06/30/16	05/02/13	14	\$654.92	\$531.37	\$123.55	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
07/01/16	05/02/13	22	\$1,037.73	\$835.02	\$202.71	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
07/05/16	05/02/13	5	\$238.15	\$189.78	\$48.37	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
07/22/16	08/07/13	4	\$192.06	\$150.39	\$41.67	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
07/22/16	05/04/15	5	\$240.07	\$188.90	\$51.17	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
07/22/16	05/02/13	17	\$816.26	\$645.24	\$171.02	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
08/02/16	08/07/13	7	\$329.73	\$263.19	\$66.54	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
08/04/16	08/07/13	2	\$94.85	\$75.20	\$19.65	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
EVERI HOLDINGS INC										
30034T103										
06/21/16	04/08/14	14	\$22.43	\$98.18	\$-75.75	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
06/23/16	04/08/14	31	\$49.70	\$217.40	\$-167.70	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
06/23/16	09/26/14	29	\$46.49	\$202.80	\$-156.31	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
06/23/16	09/25/14	20	\$32.06	\$138.30	\$-106.24	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
06/23/16	10/02/14	5	\$8.02	\$33.37	\$-25.35	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
06/23/16	10/01/14	53	\$84.97	\$353.34	\$-268.37	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
06/23/16	04/17/14	60	\$96.19	\$395.77	\$-299.58	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

Long Term Transactions

DESCRIPTION	Date sold or disposed	Date acquired	Units	CUSIP	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to	
										Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
EVERI HOLDINGS INC 30034T103											
	06/23/16	04/23/14	66		\$105 81	\$434 62	\$-328 81	\$0.00	\$0.00	\$0.00	\$0.00
	06/23/16	04/15/14	105		\$168 33	\$689 41	\$-521 08	\$0.00	\$0.00	\$0.00	\$0.00
	06/23/16	04/25/14	50		\$80 16	\$325 44	\$-245 28	\$0.00	\$0.00	\$0.00	\$0.00
	06/27/16	04/28/14	9		\$12 15	\$58 55	\$-46 40	\$0.00	\$0.00	\$0.00	\$0.00
	06/28/16	04/28/14	13		\$17 55	\$84 58	\$-67 03	\$0.00	\$0.00	\$0.00	\$0.00
	06/29/16	04/28/14	4		\$4 86	\$26 02	\$-21 16	\$0.00	\$0.00	\$0.00	\$0.00
EXPRESS SCRIPTS HLDG CO 30219G108											
	11/09/15	06/18/14	394		\$34,671 36	\$26,852 28	\$7,819 08	\$0.00	\$0.00	\$0.00	\$0.00
FACEBOOK INC 30303M102											
	03/28/16	03/12/15	2971		\$339,325 36	\$233,175 67	\$106,149 69	\$0.00	\$0.00	\$0.00	\$0.00
FIRST NIAGARA FINL GROUP INC NEW 33582V108											
	12/22/15	05/02/13	105		\$1,115 51	\$981 51	\$134 00	\$0.00	\$0.00	\$0.00	\$0.00
	01/05/16	05/02/13	130		\$1,389 39	\$1,215 20	\$174 19	\$0.00	\$0.00	\$0.00	\$0.00
	05/18/16	05/02/13	537		\$5,693 47	\$5,019 72	\$673 75	\$0.00	\$0.00	\$0.00	\$0.00
	05/19/16	05/02/13	93		\$985 08	\$869 34	\$115 74	\$0.00	\$0.00	\$0.00	\$0.00
	05/20/16	05/02/13	85		\$909 90	\$794 55	\$115 35	\$0.00	\$0.00	\$0.00	\$0.00
	05/26/16	01/24/14	178		\$1,944.19	\$1,646 25	\$297 94	\$0.00	\$0.00	\$0.00	\$0.00
	05/26/16	05/02/13	19		\$207 53	\$177 61	\$29 92	\$0.00	\$0.00	\$0.00	\$0.00
	08/01/16	01/27/14	188		\$1,928 13	\$1,681 15	\$246 98	\$0.00	\$0.00	\$0.00	\$0.00
	08/01/16	10/16/14	87		\$892 27	\$692 17	\$200 10	\$0.00	\$0.00	\$0.00	\$0.00

Long Term Transactions

DESCRIPTION	CUSIP				Portion Subject to			Portion Subject to 28% Rates (included in Net G/L)			
	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount		Wash sale loss disallowed	Ordinary Rates including Section 988 (included in Net G/L)	
FIRST NIAGARA FINL GROUP INC NEW 33582V108											
	08/01/16	09/24/14	53	\$543.57	\$457.64	\$85.93	\$0.00	\$0.00	\$0.00	\$0.00	
	08/01/16	07/29/14	61	\$625.62	\$528.41	\$97.21	\$0.00	\$0.00	\$0.00	\$0.00	
	08/01/16	07/08/14	21	\$215.38	\$185.58	\$29.80	\$0.00	\$0.00	\$0.00	\$0.00	
	08/01/16	07/07/14	63	\$646.13	\$558.40	\$87.73	\$0.00	\$0.00	\$0.00	\$0.00	
	08/01/16	06/10/14	114	\$1,169.18	\$1,024.69	\$144.49	\$0.00	\$0.00	\$0.00	\$0.00	
	08/01/16	02/19/14	65	\$666.64	\$573.38	\$93.26	\$0.00	\$0.00	\$0.00	\$0.00	
	08/01/16	02/03/14	106	\$1,087.14	\$881.20	\$205.94	\$0.00	\$0.00	\$0.00	\$0.00	
	08/01/16	01/24/14	31	\$317.94	\$286.71	\$31.23	\$0.00	\$0.00	\$0.00	\$0.00	
GENWORTH FINL INC CLA 37247D106											
	03/16/16	09/25/14	37	\$102.02	\$493.99	\$-391.97	\$0.00	\$0.00	\$0.00	\$0.00	
	03/16/16	10/16/14	50	\$137.86	\$614.43	\$-476.57	\$0.00	\$0.00	\$0.00	\$0.00	
	03/16/16	08/08/14	45	\$124.08	\$573.92	\$-449.84	\$0.00	\$0.00	\$0.00	\$0.00	
	03/16/16	07/31/14	56	\$154.41	\$739.02	\$-584.61	\$0.00	\$0.00	\$0.00	\$0.00	
	03/16/16	05/02/13	14	\$38.60	\$144.71	\$-106.11	\$0.00	\$0.00	\$0.00	\$0.00	
	03/16/16	07/07/14	28	\$77.20	\$481.07	\$-403.87	\$0.00	\$0.00	\$0.00	\$0.00	
	03/16/16	07/31/14	104	\$286.75	\$1,397.14	\$-1,110.39	\$0.00	\$0.00	\$0.00	\$0.00	
	04/15/16	05/02/13	266	\$715.52	\$2,749.49	\$-2,033.97	\$0.00	\$0.00	\$0.00	\$0.00	
GLOBAL PMTS INC 37940X102											
	10/08/15	05/02/13	12	\$1,589.06	\$562.41	\$1,026.65	\$0.00	\$0.00	\$0.00	\$0.00	
	10/22/15	05/02/13	7	\$932.45	\$328.07	\$604.38	\$0.00	\$0.00	\$0.00	\$0.00	

Long Term Transactions

DESCRIPTION	Date sold or disposed	Date acquired	Units	CUSIP	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to	
										Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
GLOBAL PMTS INC											
	10/30/15	05/02/13	8	37940X102	\$1,097.58	\$374.94	\$722.64	\$0.00	\$0.00	\$0.00	\$0.00
	12/10/15	05/02/13	12		\$870.30	\$281.20	\$589.10	\$0.00	\$0.00	\$0.00	\$0.00
	04/14/16	05/02/13	7		\$528.42	\$164.04	\$364.38	\$0.00	\$0.00	\$0.00	\$0.00
	07/21/16	05/02/13	7		\$540.05	\$164.04	\$376.01	\$0.00	\$0.00	\$0.00	\$0.00
GRACE W R & CO DEL NEW											
	01/26/16	12/09/11	348	38388F108	\$28,173.28	\$14,902.54	\$13,270.74	\$0.00	\$0.00	\$0.00	\$0.00
HCC INS HLDGS INC											
	10/28/15	05/02/13	119	404132102	\$9,282.00	\$5,029.21	\$4,252.79	\$0.00	\$0.00	\$0.00	\$0.00
	10/28/15	05/24/13	18		\$1,404.00	\$758.47	\$645.53	\$0.00	\$0.00	\$0.00	\$0.00
	10/28/15	02/07/14	11		\$858.00	\$462.67	\$395.33	\$0.00	\$0.00	\$0.00	\$0.00
HCP INC											
	05/05/16	06/12/06	507	40414L109	\$17,344.60	\$12,203.42	\$5,141.18	\$0.00	\$0.00	\$0.00	\$0.00
	05/05/16	10/21/11	159		\$5,439.43	\$5,904.71	\$-465.28	\$0.00	\$0.00	\$0.00	\$0.00
	05/05/16	01/10/06	140		\$4,789.43	\$3,527.00	\$1,262.43	\$0.00	\$0.00	\$0.00	\$0.00
HSBC USA INC											
	06/28/16	11/04/11	1000000	4042K1SK0	\$1,601,300.00	\$1,000,000.00	\$601,300.00	\$0.00	\$0.00	\$0.00	\$0.00
HARBOR INTERNATIONAL FUND											
	03/28/16	01/16/07	2897.85	411511306	\$170,770.54	\$178,507.81	\$-7,737.27	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	12/23/09	4585.47		\$270,221.92	\$250,000.00	\$20,221.92	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	10/26/06	8238.59		\$485,500.11	\$500,000.00	\$-14,499.89	\$0.00	\$0.00	\$0.00	\$0.00

Long Term Transactions

DESCRIPTION	Date sold or disposed	Date acquired	Units	CUSIP	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to	
										Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
HATTERAS FINL CORP											
	04/13/16	11/07/13	13	41902R103	\$205.88	\$228.78	\$-22.90	\$0.00	\$0.00	\$0.00	\$0.00
	04/14/16	11/07/13	35		\$553.35	\$615.95	\$-62.60	\$0.00	\$0.00	\$0.00	\$0.00
	05/20/16	11/07/13	37		\$605.76	\$651.14	\$-45.38	\$0.00	\$0.00	\$0.00	\$0.00
	05/20/16	11/08/13	8		\$130.98	\$140.00	\$-9.02	\$0.00	\$0.00	\$0.00	\$0.00
	05/25/16	11/11/13	46		\$742.64	\$771.71	\$-29.07	\$0.00	\$0.00	\$0.00	\$0.00
	05/26/16	11/11/13	8		\$129.57	\$134.21	\$-4.64	\$0.00	\$0.00	\$0.00	\$0.00
	05/31/16	11/11/13	6		\$96.61	\$100.66	\$-4.05	\$0.00	\$0.00	\$0.00	\$0.00
HEALTH NET INC											
				42222G108							
	01/14/16	05/02/13	39		\$2,575.68	\$1,147.99	\$1,427.69	\$0.00	\$0.00	\$0.00	\$0.00
	03/24/16	08/21/13	15		\$1,017.60	\$593.85	\$423.75	\$0.00	\$0.00	\$0.00	\$0.00
	03/24/16	11/19/13	23		\$1,560.33	\$910.58	\$649.75	\$0.00	\$0.00	\$0.00	\$0.00
HOWARD HUGHES CORP											
				44267D107							
	03/28/16	11/15/11	61		\$6,090.19	\$2,837.23	\$3,252.96	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	11/16/11	59		\$5,890.52	\$2,727.12	\$3,163.40	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	11/14/11	21		\$2,096.63	\$987.96	\$1,108.67	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	12/06/11	107		\$10,682.81	\$5,088.91	\$5,593.90	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	08/09/11	198		\$19,768.18	\$9,484.42	\$10,283.76	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	01/11/12	31		\$3,095.02	\$1,487.45	\$1,607.57	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	12/07/11	32		\$3,194.86	\$1,538.57	\$1,656.29	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	01/12/12	91		\$9,085.38	\$4,391.55	\$4,693.83	\$0.00	\$0.00	\$0.00	\$0.00

Long Term Transactions

DESCRIPTION	Date sold or disposed	Date acquired	Units	CUSIP	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to	
										Ordinary Rates including Section 988 (included in Net G/L)	to 28% Rates (included in Net G/L)
HOWARD HUGHES CORP											
44267D107											
03/28/16	08/18/11		138		\$13,777.82	\$7,129.56	\$6,648.26	\$0.00	\$0.00	\$0.00	\$0.00
03/28/16	01/27/12		145		\$14,476.70	\$7,496.36	\$6,980.34	\$0.00	\$0.00	\$0.00	\$0.00
03/28/16	02/23/12		55		\$5,491.16	\$3,004.05	\$2,487.11	\$0.00	\$0.00	\$0.00	\$0.00
03/28/16	02/24/12		108		\$10,782.65	\$5,953.83	\$4,828.82	\$0.00	\$0.00	\$0.00	\$0.00
03/28/16	01/07/11		166		\$16,573.32	\$9,215.99	\$7,357.33	\$0.00	\$0.00	\$0.00	\$0.00
03/28/16	03/06/12		87		\$8,686.02	\$5,084.71	\$3,601.31	\$0.00	\$0.00	\$0.00	\$0.00
03/28/16	03/09/12		136		\$13,578.15	\$8,541.55	\$5,036.60	\$0.00	\$0.00	\$0.00	\$0.00
03/28/16	04/25/11		137		\$13,677.99	\$8,771.70	\$4,906.29	\$0.00	\$0.00	\$0.00	\$0.00
03/28/16	04/26/11		21		\$2,096.63	\$1,351.56	\$745.07	\$0.00	\$0.00	\$0.00	\$0.00
INLAND REAL ESTATE CORP NEW											
457461200											
01/11/16	05/02/13		48		\$513.16	\$531.83	\$-18.67	\$0.00	\$0.00	\$0.00	\$0.00
01/12/16	05/02/13		94		\$998.24	\$1,041.51	\$-43.27	\$0.00	\$0.00	\$0.00	\$0.00
01/13/16	05/02/13		36		\$382.58	\$398.88	\$-16.30	\$0.00	\$0.00	\$0.00	\$0.00
01/13/16	05/02/13		10		\$106.01	\$110.80	\$-4.79	\$0.00	\$0.00	\$0.00	\$0.00
01/14/16	05/02/13		125		\$1,323.96	\$1,384.99	\$-61.03	\$0.00	\$0.00	\$0.00	\$0.00
01/15/16	07/29/14		2		\$21.14	\$21.05	\$0.09	\$0.00	\$0.00	\$0.00	\$0.00
01/15/16	05/02/13		61		\$644.85	\$675.87	\$-31.02	\$0.00	\$0.00	\$0.00	\$0.00
01/15/16	11/07/13		60		\$634.28	\$635.04	\$-0.76	\$0.00	\$0.00	\$0.00	\$0.00
01/19/16	06/10/14		37		\$393.47	\$389.29	\$4.18	\$0.00	\$0.00	\$0.00	\$0.00
01/19/16	07/29/14		32		\$340.30	\$336.75	\$3.55	\$0.00	\$0.00	\$0.00	\$0.00

Long Term Transactions

DESCRIPTION	CUSIP						Portion Subject to			
	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
INLAND REAL ESTATE CORP NEW 457461200										
	01/20/16	06/10/14	5	\$53.20	\$52.61	\$0.59	\$0.00	\$0.00	\$0.00	\$0.00
	01/21/16	02/07/14	51	\$541.99	\$524.44	\$17.55	\$0.00	\$0.00	\$0.00	\$0.00
ISHARES DOW JONES EPAC SELECT 464288448										
	12/30/15	07/12/13	13900	\$403,374.74	\$453,835.00	\$-50,460.26	\$0.00	\$0.00	\$0.00	\$0.00
JPMORGAN ALERIAN MLP INDEX ETN 46625H1365										
	06/24/16	06/21/12	13750	\$426,584.44	\$500,054.50	\$-73,470.06	\$0.00	\$0.00	\$0.00	\$0.00
JARDEN CORP 471109108										
	09/03/15	09/24/10	505.25	\$25,997.46	\$6,832.62	\$19,164.84	\$0.00	\$0.00	\$0.00	\$0.00
	09/03/15	09/13/10	662.75	\$34,101.57	\$8,754.02	\$25,347.55	\$0.00	\$0.00	\$0.00	\$0.00
	10/28/15	07/14/10	346.5	\$16,199.79	\$4,518.16	\$11,681.63	\$0.00	\$0.00	\$0.00	\$0.00
	10/28/15	09/13/10	140.5	\$6,568.74	\$1,855.81	\$4,712.93	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	08/09/10	762.75	\$43,906.75	\$9,646.89	\$34,259.86	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	06/24/10	650.25	\$37,430.82	\$8,300.69	\$29,130.13	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	07/14/10	29.25	\$1,683.74	\$381.40	\$1,302.34	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	08/31/10	609.75	\$35,099.49	\$7,308.57	\$27,790.92	\$0.00	\$0.00	\$0.00	\$0.00
JONES LANG LASALLE INC 48020Q107										
	09/30/15	05/02/14	284	\$41,236.09	\$33,723.27	\$7,512.82	\$0.00	\$0.00	\$0.00	\$0.00
	09/30/15	02/05/14	23	\$3,339.54	\$2,615.27	\$724.27	\$0.00	\$0.00	\$0.00	\$0.00
	10/08/15	02/05/14	294	\$43,431.70	\$33,430.04	\$10,001.66	\$0.00	\$0.00	\$0.00	\$0.00
	10/19/15	02/05/14	317	\$47,847.34	\$36,045.31	\$11,802.03	\$0.00	\$0.00	\$0.00	\$0.00

Long Term Transactions

DESCRIPTION	CUSIP				Portion Subject to			Portion Subject to 28% Rates (included in Net G/L)		
	Date sold or disposed	Date acquired	Units	Proceeds	Cost on other basis	Net Gain/Loss	Accrued market discount		Wash sale loss disallowed	Ordinary Rates including Section 988 (included in Net G/L)
JONES LANG LASALLE INC										
	10/28/15	02/05/14	467	48020Q107	\$75,168.42	\$53,101.45	\$22,066.97	\$0.00	\$0.00	\$0.00
JPMORGAN TR I US LARGE CAP CORE										
	12/30/15	04/24/13	5658.28	4812A2389	\$153,000.00	\$139,759.61	\$13,240.39	\$0.00	\$0.00	\$0.00
KENNAMETAL INC										
	04/13/16	11/26/14	36	489170100	\$834.82	\$1,389.40	\$-554.58	\$0.00	\$0.00	\$0.00
	04/13/16	11/25/14	11		\$255.08	\$425.69	\$-170.61	\$0.00	\$0.00	\$0.00
	04/14/16	11/26/14	16		\$374.28	\$617.52	\$-243.24	\$0.00	\$0.00	\$0.00
	04/15/16	11/26/14	5		\$118.13	\$192.97	\$-74.84	\$0.00	\$0.00	\$0.00
	04/15/16	11/28/14	10		\$236.25	\$373.77	\$-137.52	\$0.00	\$0.00	\$0.00
	07/28/16	11/28/14	31		\$768.80	\$1,158.69	\$-389.89	\$0.00	\$0.00	\$0.00
	08/29/16	11/28/14	21		\$597.69	\$784.93	\$-187.24	\$0.00	\$0.00	\$0.00
KOILS CORP										
	05/12/16	02/13/13	57	500255104	\$2,013.36	\$2,647.69	\$-634.33	\$0.00	\$0.00	\$0.00
	05/12/16	10/08/13	195		\$6,887.79	\$9,963.92	\$-3,076.13	\$0.00	\$0.00	\$0.00
	08/17/16	02/13/13	136		\$6,141.37	\$6,317.28	\$-175.91	\$0.00	\$0.00	\$0.00
	08/17/16	02/06/13	404		\$18,243.47	\$18,284.96	\$-41.49	\$0.00	\$0.00	\$0.00
L BRANDS INC										
	03/28/16	07/27/11	273	501797104	\$23,762.71	\$10,312.90	\$13,449.81	\$0.00	\$0.00	\$0.00
	03/28/16	09/22/11	263		\$22,892.28	\$10,231.83	\$12,660.45	\$0.00	\$0.00	\$0.00
	03/28/16	07/21/11	232		\$20,193.95	\$9,452.12	\$10,741.83	\$0.00	\$0.00	\$0.00

Long Term Transactions

DESCRIPTION	Date sold or disposed	Date acquired	Units	CUSIP	Portion Subject to						
					Ordinary Rates including Section 988 (included in Net G/L)	Wash sale loss disallowed	Accrued market discount	Portion Subject to 28% Rates (included in Net G/L)			
L BRANDS INC											
	03/28/16	10/03/11	214	501797104	\$18,627.18	\$8,056.33	\$10,570.85	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	08/11/11	184		\$16,015.89	\$6,381.62	\$9,634.27	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	08/04/11	247		\$21,499.60	\$8,877.25	\$12,622.35	\$0.00	\$0.00	\$0.00	\$0.00
LSB INDUSTRIES INC											
	03/28/16	03/18/15	318	502160104	\$3,882.03	\$12,991.57	\$-9,109.54	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	03/06/15	63		\$769.08	\$2,468.53	\$-1,699.45	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	03/05/15	270		\$3,296.06	\$10,427.27	\$-7,131.21	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	02/17/15	79		\$964.40	\$2,819.37	\$-1,854.97	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	02/18/15	188		\$2,295.03	\$6,737.90	\$-4,442.87	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	02/13/15	120		\$1,464.92	\$4,275.49	\$-2,810.57	\$0.00	\$0.00	\$0.00	\$0.00
LAZARD FDS INC EMERGING MKTS											
	03/28/16	07/28/08	23169.6	52106N889	\$331,325.30	\$500,000.00	\$-168,674.70	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	07/22/10	3976.67		\$56,866.38	\$75,000.00	\$-18,133.62	\$0.00	\$0.00	\$0.00	\$0.00
LEIDOS HLDGS INC											
	01/27/16	01/23/13	282.75	525327102	\$13,233.59	\$9,307.16	\$3,926.43	\$0.00	\$0.00	\$0.00	\$0.00
	01/27/16	02/15/13	190.25		\$8,904.30	\$6,378.08	\$2,526.22	\$0.00	\$0.00	\$0.00	\$0.00
	01/27/16	01/24/13	94		\$4,399.49	\$3,090.75	\$1,308.74	\$0.00	\$0.00	\$0.00	\$0.00
LIBERTY BROADBAND-A											
	03/28/16	08/12/10	41	530307107	\$2,346.04	\$560.75	\$1,785.29	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	06/21/10	48.25		\$2,760.88	\$632.29	\$2,128.59	\$0.00	\$0.00	\$0.00	\$0.00

Long Term Transactions

DESCRIPTION	CUSIP				Portion Subject to					
	Date sold or disposed	Date acquired	Units	Proceeds	Cost on other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
LIBERTY BROADBAND-A										
			530307107							
03/28/16	07/14/10	31 75	\$1,816.75	\$439 84	\$1,376 91	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
03/28/16	08/06/10	46 25	\$2,646 45	\$647 74	\$1,998 71	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
03/28/16	09/07/10	36	\$2,059 94	\$518 68	\$1,541 26	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
03/28/16	06/08/10	47 5	\$2,717 97	\$559 45	\$2,158 52	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
03/28/16	10/04/11	34 81	\$1,991 90	\$710 04	\$1,281 86	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
03/28/16	10/11/11	29 96	\$1,714 55	\$615 08	\$1,099 47	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
03/28/16	08/26/11	23 58	\$1,348 97	\$526 55	\$822 42	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
03/28/16	09/07/11	28 42	\$1,626 32	\$644 38	\$981 94	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
03/28/16	08/17/11	1 23	\$70 27	\$29 02	\$41 25	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
03/28/16	12/14/10	38 25	\$2,188 68	\$700 59	\$1,488 09	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
LIBERTY BROADBAND-C										
		530307305								
09/03/15	08/26/11	19 7	\$1,066 60	\$436 42	\$630 18	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
09/03/15	08/17/11	3 46	\$187 23	\$81 04	\$106 19	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
09/03/15	09/07/11	56 84	\$3,077 76	\$1,278 30	\$1,799 46	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
03/28/16	10/11/11	59 93	\$3,414 48	\$1,220 17	\$2,194 31	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
03/28/16	10/04/11	69 62	\$3,966 81	\$1,408 54	\$2,558 27	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
03/28/16	12/14/10	76 5	\$4,358 69	\$1,389 80	\$2,968 89	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
03/28/16	09/07/10	72	\$4,102 30	\$1,028 93	\$3,073 37	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
03/28/16	08/26/11	27 45	\$1,564 00	\$608 13	\$955 87	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
03/28/16	07/14/10	63 5	\$3,618 00	\$872 54	\$2,745 46	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00

Long Term Transactions

DESCRIPTION	CUSIP				Portion Subject to			Portion Subject to 28% Rates (included in Net G/L)		
	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount		Wash sale loss disallowed	Ordinary Rates including Section 988 (included in Net G/L)
LIBERTY BROADBAND-C										
	03/28/16	08/12/10	82	530307305	\$4,672.06	\$1,112.40	\$3,559.66	\$0.00	\$0.00	\$0.00
	03/28/16	06/21/10	96.5		\$5,498.21	\$1,254.32	\$4,243.89	\$0.00	\$0.00	\$0.00
	03/28/16	06/08/10	95		\$5,412.75	\$1,109.81	\$4,302.94	\$0.00	\$0.00	\$0.00
	03/28/16	08/06/10	92.5		\$5,270.31	\$1,284.96	\$3,985.35	\$0.00	\$0.00	\$0.00
LIBERTY MEDIA HLDG CORP										
	01/11/16	10/24/12	468	53071M104	\$11,781.40	\$8,087.39	\$3,694.01	\$0.00	\$0.00	\$0.00
	01/11/16	10/04/12	95		\$2,391.52	\$1,537.56	\$853.96	\$0.00	\$0.00	\$0.00
	01/13/16	10/04/12	410		\$10,577.92	\$6,635.81	\$3,942.11	\$0.00	\$0.00	\$0.00
	01/13/16	06/20/12	23		\$593.40	\$292.75	\$300.65	\$0.00	\$0.00	\$0.00
	01/25/16	06/20/12	462		\$11,484.65	\$5,880.41	\$5,604.24	\$0.00	\$0.00	\$0.00
	01/25/16	06/07/12	477		\$11,857.52	\$5,975.72	\$5,881.80	\$0.00	\$0.00	\$0.00
LIBERTY INTERACTIVE CORP										
	03/28/16	06/07/12	115.52	53071M880	\$4,439.73	\$1,517.39	\$2,922.34	\$0.00	\$0.00	\$0.00
	03/28/16	06/20/12	117.25		\$4,506.49	\$1,565.51	\$2,940.98	\$0.00	\$0.00	\$0.00
	03/28/16	10/04/12	71.8		\$2,759.43	\$1,427.89	\$1,331.54	\$0.00	\$0.00	\$0.00
	03/28/16	10/24/12	66.54		\$2,557.26	\$1,412.87	\$1,144.39	\$0.00	\$0.00	\$0.00
	03/28/16	10/09/14	384.9		\$14,793.38	\$12,554.51	\$2,238.87	\$0.00	\$0.00	\$0.00
	03/28/16	10/03/12	34		\$1,306.77	\$404.45	\$902.32	\$0.00	\$0.00	\$0.00
LIBERTY MEDIA CORP										
	09/02/15	10/04/11	134.24	531229102	\$4,927.67	\$2,077.78	\$2,849.89	\$0.00	\$0.00	\$0.00

Long Term Transactions

DESCRIPTION	CUSIP					Portion Subject to				
	Date sold or disposed	Date acquired	Units	Proceeds	Cost on other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
LIBERTY MEDIA CORP 531229102										
09/02/15	10/11/11	119.86	\$4,399.50	\$1,866.95	\$2,532.55	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
09/02/15	08/26/11	94.3	\$3,461.38	\$1,598.25	\$1,863.13	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
09/02/15	09/07/11	113.69	\$4,173.05	\$1,955.89	\$2,217.16	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
09/02/15	08/17/11	6.92	\$253.90	\$124.05	\$129.85	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
03/28/16	07/14/10	127	\$4,853.44	\$1,335.06	\$3,518.38	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
03/28/16	08/12/10	164	\$6,267.44	\$1,702.06	\$4,565.38	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
03/28/16	06/21/10	193	\$7,375.70	\$1,919.20	\$5,456.50	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
03/28/16	06/08/10	190	\$7,261.05	\$1,698.10	\$5,562.95	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
03/28/16	10/04/11	5	\$191.08	\$77.39	\$113.69	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
03/28/16	12/14/10	153	\$5,847.06	\$2,126.49	\$3,720.57	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
03/28/16	09/07/10	144	\$5,503.11	\$1,574.34	\$3,928.77	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
03/28/16	08/06/10	185	\$7,069.97	\$1,966.07	\$5,103.90	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
LIBERTY MEDIA CORP DELAWARE 531229300										
09/01/15	08/17/11	13.83	\$498.50	\$236.90	\$261.60	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
09/01/15	09/07/11	227.37	\$8,193.20	\$3,735.67	\$4,457.53	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
09/01/15	08/26/11	188.6	\$6,795.93	\$3,052.59	\$3,743.34	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
09/01/15	10/11/11	239.71	\$8,637.79	\$3,565.80	\$5,071.99	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
09/01/15	10/04/11	219.49	\$7,909.11	\$3,244.23	\$4,664.88	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
03/28/16	06/21/10	386	\$14,445.27	\$3,665.61	\$10,779.66	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
03/28/16	06/08/10	380	\$14,220.73	\$3,243.31	\$10,977.42	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

Long Term Transactions

DESCRIPTION	CUSIP				Portion Subject to		
	Date sold or disposed	Date acquired	Units		Ordinary Rates including Section 988 (included in Net G/L)	Wash sale loss disallowed	Portion Subject to 28% Rates (included in Net G/L)
LIBERTY MEDIA CORP DELAWARE							
			531229300				
	03/28/16	08/12/10	328	\$12,274.74	\$3,250.87	\$9,023.87	\$0.00
	03/28/16	07/14/10	254	\$9,505.44	\$2,549.91	\$6,955.53	\$0.00
	03/28/16	08/06/10	370	\$13,846.50	\$3,755.13	\$10,091.37	\$0.00
	03/28/16	10/04/11	59	\$2,207.96	\$872.07	\$1,335.89	\$0.00
	03/28/16	12/14/10	306	\$11,451.43	\$4,061.51	\$7,389.92	\$0.00
	03/28/16	09/07/10	288	\$10,777.82	\$3,006.93	\$7,770.89	\$0.00
LIBERTY MEDIA CORP DEL							
			531229854				
	05/27/16	12/02/14	73.5	\$1,407.70	\$1,568.40	\$-160.70	\$0.00
	05/27/16	09/19/14	177.75	\$3,404.33	\$3,827.98	\$-423.65	\$0.00
LIBERTY MEDIA CORP DEL							
			531229888				
	08/11/16	06/30/15	37.5	\$602.13	\$789.75	\$-187.62	\$0.00
	08/11/16	12/02/14	29.4	\$472.07	\$623.34	\$-151.27	\$0.00
	08/11/16	09/19/14	71.1	\$1,141.63	\$1,521.38	\$-379.75	\$0.00
MRC GLOBAL INC							
			55345K103				
	11/18/15	03/18/14	37	\$532.34	\$964.38	\$-432.04	\$0.00
	11/18/15	03/05/14	15	\$215.82	\$386.93	\$-171.11	\$0.00
	11/19/15	03/05/14	7	\$100.71	\$180.57	\$-79.86	\$0.00
	03/11/16	03/05/14	14	\$208.71	\$361.14	\$-152.43	\$0.00
	03/11/16	03/20/14	21	\$313.07	\$539.18	\$-226.11	\$0.00
	03/11/16	08/05/14	16	\$238.53	\$398.01	\$-159.48	\$0.00

Long Term Transactions

DESCRIPTION	DATE SOLD OR DISPOSED	DATE ACQUIRED	UNITS	CUSIP	PROCEEDS	COST OR OTHER BASIS	NET GAIN/LOSS	ACCRUED MARKET DISCOUNT	WASH SALE LOSS DISALLOWED	PORTION SUBJECT TO ORDINARY RATES INCLUDING SECTION 988 (INCLUDED IN NET G/L)	PORTION SUBJECT TO 28% RATES (INCLUDED IN NET G/L)
MRC GLOBAL INC				55345K103							
	03/14/16	08/05/14	17		\$243.24	\$422.89	\$-179.65	\$0.00	\$0.00	\$0.00	\$0.00
	03/14/16	09/25/14	10		\$143.09	\$232.24	\$-89.15	\$0.00	\$0.00	\$0.00	\$0.00
MACK CALI RLTY CORP				554489104							
	07/19/16	12/10/13	200		\$5,586.72	\$4,208.21	\$1,378.51	\$0.00	\$0.00	\$0.00	\$0.00
MADISON SQUARE GARDEN CO				55825T103							
	03/28/16	03/19/15	1003		\$162,554.96	\$177,800.60	\$-15,245.64	\$0.00	\$0.00	\$0.00	\$0.00
MAINSTAY MARKETFIELD FUND				56064B852							
	03/07/16	08/06/12	16534.39		\$232,969.58	\$250,000.00	\$-17,030.42	\$0.00	\$0.00	\$0.00	\$0.00
	03/07/16	07/31/12	16633.4		\$234,364.61	\$250,000.00	\$-15,635.39	\$0.00	\$0.00	\$0.00	\$0.00
MARKET VECTORS ETF TRAGRIBUSINESS				57060U605							
	12/30/15	01/15/13	4570		\$214,985.75	\$250,381.16	\$-35,395.41	\$0.00	\$0.00	\$0.00	\$0.00
MASIMO CORP				574795100							
	06/22/16	05/02/13	4		\$206.60	\$80.07	\$126.53	\$0.00	\$0.00	\$0.00	\$0.00
	07/07/16	05/02/13	11		\$571.69	\$220.18	\$351.51	\$0.00	\$0.00	\$0.00	\$0.00
	07/22/16	05/02/13	14		\$723.12	\$280.23	\$442.89	\$0.00	\$0.00	\$0.00	\$0.00
	08/29/16	05/02/13	13		\$764.36	\$260.21	\$504.15	\$0.00	\$0.00	\$0.00	\$0.00
MICROSOFT CORP				594918104							
	10/26/15	10/24/03	691		\$37,006.79	\$18,594.81	\$18,411.98	\$0.00	\$0.00	\$0.00	\$0.00
MOLSON COORS BREWING CO CL B				60871R209							
	09/17/15	01/26/10	244		\$20,166.96	\$10,489.58	\$9,677.38	\$0.00	\$0.00	\$0.00	\$0.00

Long Term Transactions

DESCRIPTION	CUSIP				Portion Subject to					
	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
MOLSON COORS BREWING CO CL B 60871R209										
	09/17/15	03/15/11	415	\$34,300.37	\$18,190.20	\$16,110.17	\$0.00	\$0.00	\$0.00	\$0.00
	09/17/15	12/01/09	360	\$29,754.53	\$16,393.68	\$13,360.85	\$0.00	\$0.00	\$0.00	\$0.00
	09/18/15	01/26/10	181	\$14,810.94	\$7,781.21	\$7,029.73	\$0.00	\$0.00	\$0.00	\$0.00
NUVEEN TRADEWINDS GLOBAL ALL-CAP 67065W761										
	03/07/16	07/06/10	12658.23	\$312,911.39	\$300,000.00	\$12,911.39	\$0.00	\$0.00	\$0.00	\$0.00
	03/07/16	07/07/10	1653.58	\$40,876.40	\$40,000.00	\$876.40	\$0.00	\$0.00	\$0.00	\$0.00
	03/07/16	06/02/10	1653.58	\$40,876.40	\$40,000.00	\$876.40	\$0.00	\$0.00	\$0.00	\$0.00
	03/07/16	05/05/10	1598.08	\$39,504.59	\$40,000.00	\$-495.41	\$0.00	\$0.00	\$0.00	\$0.00
	03/07/16	03/05/10	1996.01	\$49,341.32	\$50,000.00	\$-658.68	\$0.00	\$0.00	\$0.00	\$0.00
	03/07/16	09/01/10	1578.53	\$39,021.31	\$40,000.00	\$-978.69	\$0.00	\$0.00	\$0.00	\$0.00
	03/07/16	08/04/10	1558.85	\$38,534.67	\$40,000.00	\$-1,465.33	\$0.00	\$0.00	\$0.00	\$0.00
	03/07/16	04/07/10	1534.92	\$37,943.20	\$40,000.00	\$-2,056.80	\$0.00	\$0.00	\$0.00	\$0.00
	03/07/16	10/06/10	1453.49	\$35,930.22	\$40,000.00	\$-4,069.78	\$0.00	\$0.00	\$0.00	\$0.00
	03/07/16	12/01/10	1403.02	\$34,682.56	\$40,000.00	\$-5,317.44	\$0.00	\$0.00	\$0.00	\$0.00
	03/07/16	11/04/10	1405.48	\$34,743.49	\$40,000.00	\$-5,256.51	\$0.00	\$0.00	\$0.00	\$0.00
OLD REPUBLIC INTERNATIONAL CORP 680223104										
	11/03/15	01/11/06	994	\$18,040.87	\$21,480.34	\$-3,439.47	\$0.00	\$0.00	\$0.00	\$0.00
	11/03/15	01/10/06	470	\$8,530.39	\$10,058.00	\$-1,527.61	\$0.00	\$0.00	\$0.00	\$0.00
	11/03/15	05/06/13	51	\$925.64	\$700.24	\$225.40	\$0.00	\$0.00	\$0.00	\$0.00
	02/19/16	05/06/13	324	\$5,833.98	\$4,448.62	\$1,385.36	\$0.00	\$0.00	\$0.00	\$0.00

Long Term Transactions

DESCRIPTION	Date sold or disposed	Date acquired	Units	CUSIP	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to	
										Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
OLD REPUBLIC INTERNATIONAL CORP											
		680223104									
	02/19/16	11/15/12	398		\$7,166.43	\$3,917.20	\$3,249.23	\$0.00	\$0.00	\$0.00	\$0.00
	02/22/16	11/15/12	660		\$11,945.34	\$6,495.85	\$5,449.49	\$0.00	\$0.00	\$0.00	\$0.00
OPPENHEIMER DEVELOPING MKT FUND											
		683974505									
	03/07/16	07/07/10	7916.96		\$234,183.67	\$225,000.00	\$9,183.67	\$0.00	\$0.00	\$0.00	\$0.00
	03/07/16	03/24/10	7820.65		\$231,334.74	\$225,000.00	\$6,334.74	\$0.00	\$0.00	\$0.00	\$0.00
	03/07/16	07/22/10	1694.34		\$50,118.61	\$50,000.00	\$118.61	\$0.00	\$0.00	\$0.00	\$0.00
PATTERSON-UTI ENERGY INC											
		703481101									
	11/18/15	11/13/14	31		\$496.46	\$638.47	\$-142.01	\$0.00	\$0.00	\$0.00	\$0.00
	03/11/16	11/13/14	35		\$628.41	\$720.85	\$-92.44	\$0.00	\$0.00	\$0.00	\$0.00
	03/14/16	11/13/14	3		\$52.07	\$61.79	\$-9.72	\$0.00	\$0.00	\$0.00	\$0.00
	04/21/16	12/01/14	37		\$693.73	\$641.44	\$52.29	\$0.00	\$0.00	\$0.00	\$0.00
	04/21/16	12/04/14	2		\$37.50	\$33.66	\$3.84	\$0.00	\$0.00	\$0.00	\$0.00
	04/21/16	11/13/14	15		\$281.24	\$308.93	\$-27.69	\$0.00	\$0.00	\$0.00	\$0.00
	04/22/16	12/04/14	40		\$771.24	\$673.20	\$98.04	\$0.00	\$0.00	\$0.00	\$0.00
	04/22/16	12/05/14	5		\$96.40	\$82.09	\$14.31	\$0.00	\$0.00	\$0.00	\$0.00
	04/27/16	12/05/14	35		\$659.14	\$574.64	\$84.50	\$0.00	\$0.00	\$0.00	\$0.00
PEPSICO INC											
		713448108									
	01/27/16	09/12/07	160		\$15,398.82	\$11,454.40	\$3,944.42	\$0.00	\$0.00	\$0.00	\$0.00
	02/17/16	09/12/07	27		\$2,675.87	\$1,932.93	\$742.94	\$0.00	\$0.00	\$0.00	\$0.00

Long Term Transactions

DESCRIPTION	CUSIP					Portion Subject to				
	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
PIEDMONT NATURAL GAS INC 720186105										
10/29/15	06/27/14	4	\$228.94		\$148.54	\$80.40	\$0.00	\$0.00	\$0.00	\$0.00
11/03/15	07/29/14	12	\$690.03		\$426.49	\$263.54	\$0.00	\$0.00	\$0.00	\$0.00
11/03/15	06/27/14	14	\$805.04		\$519.88	\$285.16	\$0.00	\$0.00	\$0.00	\$0.00
11/10/15	07/29/14	7	\$403.01		\$248.78	\$154.23	\$0.00	\$0.00	\$0.00	\$0.00
11/10/15	06/04/14	9	\$518.16		\$318.98	\$199.18	\$0.00	\$0.00	\$0.00	\$0.00
11/11/15	06/04/14	3	\$172.69		\$106.32	\$66.37	\$0.00	\$0.00	\$0.00	\$0.00
11/11/15	07/26/13	20	\$1,151.25		\$703.02	\$448.23	\$0.00	\$0.00	\$0.00	\$0.00
11/11/15	10/28/13	9	\$518.06		\$308.92	\$209.14	\$0.00	\$0.00	\$0.00	\$0.00
11/12/15	10/28/13	13	\$748.21		\$446.21	\$302.00	\$0.00	\$0.00	\$0.00	\$0.00
11/12/15	08/12/13	1	\$57.55		\$34.25	\$23.30	\$0.00	\$0.00	\$0.00	\$0.00
11/13/15	08/12/13	7	\$402.93		\$239.76	\$163.17	\$0.00	\$0.00	\$0.00	\$0.00
11/13/15	05/06/13	9	\$518.06		\$307.80	\$210.26	\$0.00	\$0.00	\$0.00	\$0.00
11/13/15	10/06/14	10	\$575.62		\$341.35	\$234.27	\$0.00	\$0.00	\$0.00	\$0.00
11/13/15	09/24/14	7	\$402.94		\$238.02	\$164.92	\$0.00	\$0.00	\$0.00	\$0.00
11/24/15	09/24/14	6	\$347.35		\$204.02	\$143.33	\$0.00	\$0.00	\$0.00	\$0.00
11/24/15	05/02/13	29	\$1,678.87		\$980.32	\$698.55	\$0.00	\$0.00	\$0.00	\$0.00
11/25/15	05/02/13	18	\$1,041.24		\$608.47	\$432.77	\$0.00	\$0.00	\$0.00	\$0.00
11/27/15	05/02/13	41	\$2,377.36		\$1,385.96	\$991.40	\$0.00	\$0.00	\$0.00	\$0.00
11/30/15	05/02/13	29	\$1,687.64		\$980.32	\$707.32	\$0.00	\$0.00	\$0.00	\$0.00
01/14/16	05/02/13	29	\$1,680.37		\$980.32	\$700.05	\$0.00	\$0.00	\$0.00	\$0.00

Long Term Transactions

DESCRIPTION	CUSIP				Portion Subject to				Portion Subject to 28% Rates (included in Net G/L)		
	Date sold or disposed	Date acquired	Units	720186105	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount		Wash sale loss disallowed	Ordinary Rates including Section 988 (included in Net G/L)
PIEDMONT NATURAL GAS INC											
	01/21/16	05/02/13	58		\$3,363.18	\$1,960.63	\$1,402.55	\$0.00	\$0.00	\$0.00	\$0.00
	02/05/16	05/02/13	39		\$2,305.53	\$1,318.35	\$987.18	\$0.00	\$0.00	\$0.00	\$0.00
	02/08/16	05/02/13	9		\$532.27	\$304.24	\$228.03	\$0.00	\$0.00	\$0.00	\$0.00
	02/10/16	05/02/13	35		\$2,070.88	\$1,183.14	\$887.74	\$0.00	\$0.00	\$0.00	\$0.00
	02/16/16	02/19/14	18		\$1,067.18	\$604.54	\$462.64	\$0.00	\$0.00	\$0.00	\$0.00
	02/16/16	05/02/13	11		\$652.17	\$371.84	\$280.33	\$0.00	\$0.00	\$0.00	\$0.00
	02/17/16	02/19/14	1		\$59.19	\$33.59	\$25.60	\$0.00	\$0.00	\$0.00	\$0.00
	02/17/16	01/30/14	2		\$118.39	\$66.55	\$51.84	\$0.00	\$0.00	\$0.00	\$0.00
PIMCO ALL-ASSET ALTAUTH FUND											
	04/20/16	07/02/12	28328.61		\$234,277.62	\$300,000.00	\$-65,722.38	\$0.00	\$0.00	\$0.00	\$0.00
PLATFORM SPECIALTY PRODS CORP											
	03/28/16	02/04/14	812		\$6,687.97	\$11,367.51	\$-4,679.54	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	02/19/14	615		\$5,065.40	\$11,147.00	\$-6,081.60	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	04/01/14	196		\$1,614.34	\$3,925.41	\$-2,311.07	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	01/29/14	868		\$7,149.21	\$12,148.35	\$-4,999.14	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	04/04/14	376		\$3,096.89	\$7,984.28	\$-4,887.39	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	08/07/14	614		\$5,057.16	\$15,522.04	\$-10,464.88	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	03/03/14	324		\$2,668.60	\$6,883.15	\$-4,214.55	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	04/02/14	718		\$5,913.75	\$14,998.59	\$-9,084.84	\$0.00	\$0.00	\$0.00	\$0.00

Long Term Transactions

DESCRIPTION	CUSIP				Portion Subject to		
	Date sold or disposed	Date acquired	Units	74834L100	Ordinary Rates including Section 988 (included in Net G/L)	Wash sale loss disallowed	Portion Subject to 28% Rates (included in Net G/L)
QUEST DIAGNOSTICS INC							
11/25/15	04/02/14	53	\$3,644.68	\$3,222.27	\$422.41	\$0.00	\$0.00
11/25/15	10/03/13	80	\$5,501.40	\$4,999.49	\$501.91	\$0.00	\$0.00
06/20/16	01/24/14	80	\$6,245.45	\$4,300.00	\$1,945.45	\$0.00	\$0.00
06/20/16	02/25/14	213	\$16,628.50	\$11,323.21	\$5,305.29	\$0.00	\$0.00
06/20/16	04/02/14	69	\$5,386.70	\$4,195.04	\$1,191.66	\$0.00	\$0.00
RAYONIER ADVANCED MATLS INC							
09/15/15	08/07/14	53	\$330.42	\$1,713.95	\$-1,383.53	\$0.00	\$0.00
09/15/15	08/15/14	9	\$56.11	\$290.61	\$-234.50	\$0.00	\$0.00
09/16/15	08/15/14	20	\$126.42	\$645.81	\$-519.39	\$0.00	\$0.00
ROCKWELL COLLINS INC							
02/03/16	08/13/13	90	\$7,102.35	\$6,634.43	\$467.92	\$0.00	\$0.00
02/03/16	03/08/13	17	\$1,341.55	\$1,033.20	\$308.35	\$0.00	\$0.00
T ROWE PRICE NEW ERA FUND INC							
03/07/16	12/21/06	1657.53	\$48,184.37	\$76,229.76	\$-28,045.39	\$0.00	\$0.00
03/07/16	07/18/06	2693.6	\$78,303.04	\$120,000.00	\$-41,696.96	\$0.00	\$0.00
03/07/16	09/29/06	2773.93	\$80,638.00	\$120,000.00	\$-39,362.00	\$0.00	\$0.00
03/07/16	12/16/09	13.73	\$399.16	\$587.14	\$-187.98	\$0.00	\$0.00
03/07/16	12/16/09	78.95	\$2,295.19	\$3,376.06	\$-1,080.87	\$0.00	\$0.00
03/07/16	12/17/13	64.22	\$1,866.96	\$2,735.92	\$-868.96	\$0.00	\$0.00
03/07/16	12/17/13	940.23	\$27,332.57	\$40,053.92	\$-12,721.35	\$0.00	\$0.00

Long Term Transactions

DESCRIPTION	CUSIP				Portion Subject to			Portion Subject to 28% Rates (included in Net G/L)			
	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount		Wash sale loss disallowed	Ordinary Rates including Section 988 (included in Net G/L)	
T ROWE PRICE NEW ERA FUND INC 779559103											
	03/07/16	12/16/08	24.34	\$707.65	\$712.51	\$-4.86	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	03/07/16	12/18/12	65.65	\$1,908.50	\$2,726.53	\$-818.03	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	03/07/16	12/18/12	257.56	\$7,487.21	\$10,696.39	\$-3,209.18	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	03/07/16	07/23/10	2410.22	\$70,065.07	\$100,000.00	\$-29,934.93	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	03/07/16	12/16/11	12.41	\$360.67	\$497.91	\$-137.24	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	03/07/16	12/16/11	421.85	\$12,263.12	\$16,928.78	\$-4,665.66	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	03/07/16	12/16/11	94.3	\$2,741.18	\$3,784.08	\$-1,042.90	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	03/07/16	12/16/14	156.04	\$4,536.05	\$5,018.22	\$-482.17	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	03/07/16	12/16/14	1831.6	\$53,244.74	\$58,904.37	\$-5,659.63	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	03/07/16	12/16/14	263.78	\$7,668.11	\$8,483.19	\$-815.08	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	03/07/16	12/16/08	70.59	\$2,052.14	\$2,066.27	\$-14.13	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	03/07/16	12/16/08	119.28	\$3,467.41	\$3,491.28	\$-23.87	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	03/07/16	12/16/10	88.42	\$2,570.37	\$4,428.98	\$-1,858.61	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	03/07/16	12/16/10	27.51	\$799.66	\$1,377.90	\$-578.24	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	03/07/16	12/18/12	133.83	\$3,890.41	\$5,557.93	\$-1,667.52	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
ROYAL GOLD INC 780287108											
	03/28/16	11/20/14	192	\$9,448.61	\$13,573.84	\$-4,125.23	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	03/13/15	207	\$10,186.79	\$12,710.01	\$-2,523.22	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	09/30/14	193	\$9,497.82	\$12,583.37	\$-3,085.55	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	10/21/14	164	\$8,070.69	\$11,265.32	\$-3,194.63	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

Long Term Transactions

DESCRIPTION	CUSIP				Portion Subject to				Portion Subject to 28% Rates (included in Net G/L)	
	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed		Ordinary Rates including Section 988 (included in Net G/L)
ST JUDE MEDICAL INC			790849103							
	05/05/16	02/05/08	391	\$29,542.77	\$15,819.27	\$13,723.50	\$0.00	\$0.00	\$0.00	\$0.00
	05/05/16	10/15/10	369	\$27,880.51	\$14,686.75	\$13,193.76	\$0.00	\$0.00	\$0.00	\$0.00
	05/05/16	11/22/07	63	\$4,760.09	\$2,354.44	\$2,405.65	\$0.00	\$0.00	\$0.00	\$0.00
SALLY BEAUTY HILDGS INC			79546E104							
	02/04/16	08/01/13	34	\$981.72	\$884.61	\$97.11	\$0.00	\$0.00	\$0.00	\$0.00
	02/04/16	09/12/13	20	\$577.48	\$514.56	\$62.92	\$0.00	\$0.00	\$0.00	\$0.00
	02/04/16	08/01/14	13	\$375.37	\$338.04	\$37.33	\$0.00	\$0.00	\$0.00	\$0.00
	02/05/16	09/12/13	17	\$510.04	\$437.38	\$72.66	\$0.00	\$0.00	\$0.00	\$0.00
	02/05/16	10/09/13	5	\$150.01	\$128.34	\$21.67	\$0.00	\$0.00	\$0.00	\$0.00
	02/23/16	10/09/13	23	\$721.73	\$590.36	\$131.37	\$0.00	\$0.00	\$0.00	\$0.00
SEARS CANADA INC			81234D109							
	03/28/16	11/13/12	530	\$1,394.23	\$6,194.90	\$-4,800.67	\$0.00	\$0.00	\$0.00	\$0.00
SEARS HILDGS CORP			812350106							
	03/28/16	07/22/10	140	\$2,077.04	\$7,254.69	\$-5,177.65	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	01/23/14	432	\$6,409.14	\$13,132.21	\$-6,723.07	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	01/08/13	152	\$2,255.07	\$5,067.21	\$-2,812.14	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	04/27/10	71	\$1,053.35	\$6,783.40	\$-5,730.05	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	04/17/10	1	\$14.84	\$93.63	\$-78.79	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	01/13/10	1	\$14.84	\$84.17	\$-69.33	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	05/26/10	100	\$1,483.60	\$6,759.23	\$-5,275.63	\$0.00	\$0.00	\$0.00	\$0.00

Long Term Transactions

DESCRIPTION	CUSIP				Portion Subject to			Portion Subject to 28% Rates (included in Net G/L)			
	Date sold or disposed	Date acquired	Units	Proceeds	Cost on other basis	Net Gain/Loss	Accrued market discount		Wash sale loss disallowed	Ordinary Rates including Section 988 (included in Net G/L)	
SEARS HLDGS CORP											
03/28/16	06/16/10	103	\$1,528.11	\$6,518.43	\$-4,990.32	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
03/28/16	06/23/10	105	\$1,557.78	\$6,154.08	\$-4,596.30	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
03/28/16	08/05/10	105	\$1,557.78	\$5,947.95	\$-4,390.17	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
03/28/16	07/29/10	107	\$1,587.45	\$5,768.47	\$-4,181.02	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
03/28/16	10/03/13	67	\$994.01	\$3,540.65	\$-2,546.64	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
03/28/16	07/15/10	123	\$1,824.82	\$6,393.05	\$-4,568.23	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
03/28/16	11/17/10	29	\$430.24	\$1,503.47	\$-1,073.23	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
03/28/16	11/18/10	90	\$1,335.24	\$4,467.24	\$-3,132.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
03/28/16	07/02/10	165	\$2,447.94	\$8,172.73	\$-5,724.79	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
03/28/16	09/13/13	183	\$2,714.98	\$9,014.19	\$-6,299.21	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
03/28/16	09/25/13	223	\$3,308.42	\$10,875.37	\$-7,566.95	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
03/28/16	07/07/10	99	\$1,468.76	\$4,802.50	\$-3,333.74	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
03/28/16	10/23/13	381	\$5,652.50	\$17,448.02	\$-11,795.52	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
03/28/16	03/19/14	283	\$4,198.58	\$11,218.43	\$-7,019.85	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
03/28/16	12/31/13	148	\$2,195.72	\$5,861.36	\$-3,665.64	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
03/28/16	03/13/14	282	\$4,183.75	\$10,697.40	\$-6,513.65	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
03/28/16	04/10/14	670	\$9,940.10	\$23,626.34	\$-13,686.24	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
SEARS HLDGS CORP											
03/28/16	11/12/14	827	\$3,944.70	\$4,089.00	\$-144.30	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	

Long Term Transactions

DESCRIPTION	Date sold or disposed	Date acquired	Units	CUSIP	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to	
										Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
SEARS HLDGS CORP											
	07/20/16	11/12/14	23500	812350AF3	\$19,300.55	\$20,551.72	\$-1,251.17	\$0.00	\$0.00	\$0.00	\$0.00
SEARS HOMETOWN & OUTLET STORES											
	03/28/16	10/04/12	270	812362101	\$1,560.21	\$6,558.98	\$-4,998.77	\$0.00	\$0.00	\$0.00	\$0.00
SILVER WHEATON CORP											
	03/28/16	09/11/14	547	828336107	\$9,296.28	\$12,670.22	\$-3,373.94	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	11/19/14	637		\$10,825.83	\$13,186.60	\$-2,360.77	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	09/17/14	591		\$10,044.06	\$13,526.99	\$-3,482.93	\$0.00	\$0.00	\$0.00	\$0.00
SOUTHWESTERN ENERGY CO											
	01/22/16	10/22/14	553	845467109	\$4,823.23	\$18,470.53	\$-13,647.30	\$0.00	\$0.00	\$0.00	\$0.00
	01/22/16	01/06/15	1605		\$13,998.72	\$41,096.35	\$-27,097.63	\$0.00	\$0.00	\$0.00	\$0.00
	01/22/16	10/21/14	1960		\$17,095.00	\$64,535.16	\$-47,440.16	\$0.00	\$0.00	\$0.00	\$0.00
STANCorp FINL GROUP INC											
	09/08/15	02/24/14	6	852891100	\$686.42	\$396.38	\$290.04	\$0.00	\$0.00	\$0.00	\$0.00
	09/14/15	02/24/14	1		\$114.51	\$66.06	\$48.45	\$0.00	\$0.00	\$0.00	\$0.00
	01/05/16	07/29/14	8		\$912.73	\$488.89	\$423.84	\$0.00	\$0.00	\$0.00	\$0.00
	01/05/16	05/02/13	2		\$228.18	\$85.81	\$142.37	\$0.00	\$0.00	\$0.00	\$0.00
	01/05/16	06/10/14	6		\$684.54	\$378.37	\$306.17	\$0.00	\$0.00	\$0.00	\$0.00
	01/06/16	05/02/13	10		\$1,139.78	\$429.05	\$710.73	\$0.00	\$0.00	\$0.00	\$0.00
	01/11/16	05/02/13	11		\$1,252.95	\$471.95	\$781.00	\$0.00	\$0.00	\$0.00	\$0.00
	01/13/16	05/02/13	19		\$2,160.45	\$815.19	\$1,345.26	\$0.00	\$0.00	\$0.00	\$0.00

Long Term Transactions

DESCRIPTION	Date sold or disposed	Date acquired	Units	CUSIP	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to	
										Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
STANCORP FINL GROUP INC 852891100											
	01/14/16	05/02/13	16		\$1,823.32	\$686.48	\$1,136.84	\$0.00	\$0.00	\$0.00	\$0.00
	01/14/16	05/02/13	10		\$1,137.84	\$429.05	\$708.79	\$0.00	\$0.00	\$0.00	\$0.00
	01/15/16	05/02/13	22		\$2,505.30	\$943.90	\$1,561.40	\$0.00	\$0.00	\$0.00	\$0.00
	01/19/16	05/02/13	13		\$1,480.77	\$557.76	\$923.01	\$0.00	\$0.00	\$0.00	\$0.00
	01/20/16	05/02/13	14		\$1,595.06	\$600.67	\$994.39	\$0.00	\$0.00	\$0.00	\$0.00
STANLEY BLACK & DECKER INC 854502101											
	11/05/15	10/02/12	537		\$57,811.07	\$40,774.78	\$17,036.29	\$0.00	\$0.00	\$0.00	\$0.00
	11/05/15	10/17/13	248		\$26,698.59	\$18,493.53	\$8,205.06	\$0.00	\$0.00	\$0.00	\$0.00
	01/28/16	10/17/13	658		\$60,289.13	\$49,067.52	\$11,221.61	\$0.00	\$0.00	\$0.00	\$0.00
	01/28/16	10/22/12	1125		\$103,077.91	\$78,431.96	\$24,645.95	\$0.00	\$0.00	\$0.00	\$0.00
STAPLES INC 855030102											
	12/08/15	05/08/13	735		\$7,309.29	\$10,236.57	\$-2,927.28	\$0.00	\$0.00	\$0.00	\$0.00
	12/08/15	05/07/13	697		\$6,931.40	\$9,698.69	\$-2,767.29	\$0.00	\$0.00	\$0.00	\$0.00
	12/08/15	09/06/13	209		\$2,078.43	\$2,966.32	\$-887.89	\$0.00	\$0.00	\$0.00	\$0.00
	12/08/15	06/11/13	72		\$716.01	\$1,120.54	\$-404.53	\$0.00	\$0.00	\$0.00	\$0.00
STARZ 85571Q102											
	03/28/16	09/07/10	144		\$3,747.81	\$836.17	\$2,911.64	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	08/06/10	185		\$4,814.89	\$1,044.23	\$3,770.66	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	07/14/10	127		\$3,305.36	\$709.08	\$2,596.28	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	08/12/10	164		\$4,268.34	\$904.01	\$3,364.33	\$0.00	\$0.00	\$0.00	\$0.00

Long Term Transactions

DESCRIPTION	Date sold or disposed	Date acquired	Units	CUSIP	Proceeds	Cost on other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to	
										Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
STARZ				85571Q102							
	03/28/16	06/21/10	193		\$5,023 10	\$1,019 34	\$4,003 76	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	12/14/10	153		\$3,982.04	\$1,129.43	\$2,852 61	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	10/04/11	139 24		\$3,624.02	\$1,144 67	\$2,479 35	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	10/11/11	119 86		\$3,119.40	\$991 59	\$2,127 81	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	08/26/11	94 3		\$2,454.24	\$848 87	\$1,605 37	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	09/07/11	113 69		\$2,958 84	\$1,038 82	\$1,920 02	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	08/17/11	6 92		\$180.02	\$65 88	\$114 14	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	01/22/13	829		\$21,575 91	\$13,059 57	\$8,516 34	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	01/29/13	553		\$14,392.62	\$9,031 10	\$5,361 52	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	10/10/13	341		\$8,875 01	\$9,827 04	\$-952 03	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	06/08/10	190		\$4,945 02	\$901 91	\$4,043 11	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	02/07/13	631		\$16,422 68	\$10,218 79	\$6,203 89	\$0.00	\$0.00	\$0.00	\$0.00
SYKES ENTERPRISES INC				871237103							
	10/21/15	02/24/14	3		\$81 48	\$60 90	\$20 58	\$0.00	\$0.00	\$0.00	\$0.00
	10/21/15	07/08/14	9		\$244 42	\$194 47	\$49 95	\$0.00	\$0.00	\$0.00	\$0.00
	11/02/15	02/24/14	12		\$341 81	\$243 61	\$98 20	\$0.00	\$0.00	\$0.00	\$0.00
	11/03/15	02/24/14	7		\$210 74	\$142 10	\$68.64	\$0.00	\$0.00	\$0.00	\$0.00
	11/03/15	10/06/14	5		\$150 53	\$100 57	\$49 96	\$0.00	\$0.00	\$0.00	\$0.00
	12/24/15	10/06/14	8		\$247 59	\$160 91	\$86 68	\$0.00	\$0.00	\$0.00	\$0.00
	12/28/15	10/06/14	10		\$308 73	\$201 13	\$107 60	\$0.00	\$0.00	\$0.00	\$0.00

Long Term Transactions

DESCRIPTION	CUSIP				Portion Subject to			Portion Subject to 28% Rates (included in Net G/L)		
	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount		Wash sale loss disallowed	Ordinary Rates including Section 988 (included in Net G/L)
SYKES ENTERPRISES INC										
12/28/15	08/14/13	6	871237103	\$185.23	\$104.79	\$80.44	\$0.00	\$0.00	\$0.00	\$0.00
12/29/15	08/14/13	11		\$344.66	\$192.11	\$152.55	\$0.00	\$0.00	\$0.00	\$0.00
02/18/16	08/14/13	18		\$529.68	\$314.36	\$215.32	\$0.00	\$0.00	\$0.00	\$0.00
SYMETRA FINL CORP										
01/05/16	05/02/13	91	87151Q106	\$2,895.90	\$1,213.18	\$1,682.72	\$0.00	\$0.00	\$0.00	\$0.00
01/06/16	05/02/13	40		\$1,272.23	\$533.26	\$738.97	\$0.00	\$0.00	\$0.00	\$0.00
01/11/16	05/02/13	72		\$2,287.22	\$959.88	\$1,327.34	\$0.00	\$0.00	\$0.00	\$0.00
01/12/16	05/02/13	3		\$95.22	\$39.99	\$55.23	\$0.00	\$0.00	\$0.00	\$0.00
01/13/16	05/02/13	46		\$1,460.93	\$613.26	\$847.67	\$0.00	\$0.00	\$0.00	\$0.00
01/14/16	05/02/13	72		\$2,290.98	\$959.88	\$1,331.10	\$0.00	\$0.00	\$0.00	\$0.00
01/15/16	05/02/13	94		\$2,987.95	\$1,253.17	\$1,734.78	\$0.00	\$0.00	\$0.00	\$0.00
01/19/16	05/02/13	26		\$829.25	\$346.62	\$482.63	\$0.00	\$0.00	\$0.00	\$0.00
TECO ENERGY INC										
09/09/15	09/18/12	1004	872375100	\$26,514.35	\$17,399.62	\$9,114.73	\$0.00	\$0.00	\$0.00	\$0.00
09/09/15	11/07/12	51		\$1,346.84	\$893.73	\$453.11	\$0.00	\$0.00	\$0.00	\$0.00
09/09/15	02/05/13	160		\$4,225.40	\$2,709.57	\$1,515.83	\$0.00	\$0.00	\$0.00	\$0.00
TRI POINTE HOMES INC										
12/11/15	07/10/14	1286	872651109	\$16,272.74	\$19,777.78	\$-3,505.04	\$0.00	\$0.00	\$0.00	\$0.00
12/11/15	07/11/14	362		\$4,580.66	\$5,354.96	\$-774.30	\$0.00	\$0.00	\$0.00	\$0.00
03/28/16	07/11/14	460		\$5,205.75	\$6,804.64	\$-1,598.89	\$0.00	\$0.00	\$0.00	\$0.00

Long Term Transactions

DESCRIPTION	CUSIP				Portion Subject to			
	Date sold or disposed	Date acquired	Units		Ordinary Rates including Section 988 (included in Net G/L)	Wash sale loss disallowed	Portion Subject to 28% Rates (included in Net G/L)	
TRI POINTE HOMES INC								
			8726511109					
	03/28/16	12/10/14	832	\$9,415.62	\$12,195.54	\$-2,779.92	\$0.00	
	03/28/16	01/26/15	946	\$10,705.74	\$13,258.47	\$-2,552.73	\$0.00	
TARGET CORP								
			87612E106					
	03/14/16	02/19/14	324	\$26,515.48	\$18,619.99	\$7,895.49	\$0.00	
TEREX CORP NEW								
			880779103					
	01/27/16	10/09/14	7	\$157.47	\$206.85	\$-49.38	\$0.00	
	01/27/16	10/01/14	9	\$202.46	\$283.42	\$-80.96	\$0.00	
	01/27/16	07/11/14	5	\$112.48	\$156.31	\$-43.83	\$0.00	
	01/27/16	06/18/14	10	\$224.95	\$314.73	\$-89.78	\$0.00	
	01/27/16	01/11/14	13	\$292.44	\$449.17	\$-156.73	\$0.00	
	01/28/16	05/02/13	31	\$674.03	\$869.52	\$-195.49	\$0.00	
	01/28/16	12/20/13	13	\$282.66	\$391.23	\$-108.57	\$0.00	
	01/28/16	02/06/14	5	\$108.71	\$145.63	\$-36.92	\$0.00	
	01/28/16	10/09/14	9	\$195.68	\$265.96	\$-70.28	\$0.00	
	02/02/16	05/02/13	34	\$728.64	\$953.67	\$-225.03	\$0.00	
	02/17/16	10/14/14	7	\$152.64	\$192.27	\$-39.63	\$0.00	
	02/17/16	05/02/13	3	\$65.42	\$84.15	\$-18.73	\$0.00	
	02/17/16	05/02/13	31	\$675.95	\$869.51	\$-193.56	\$0.00	
	02/17/16	10/14/14	5	\$105.75	\$137.34	\$-31.59	\$0.00	
	02/17/16	09/09/14	2	\$42.30	\$54.07	\$-11.77	\$0.00	

Long Term Transactions

DESCRIPTION	CUSIP				Portion Subject to						
	Date sold or disposed	Date acquired	Units	880779103	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
TEREX CORP NEW				880779103							
	02/17/16	10/30/14	27		\$571.03	\$734.75	\$-163.72	\$0.00	\$0.00	\$0.00	\$0.00
	03/15/16	06/24/13	14		\$327.56	\$362.88	\$-35.32	\$0.00	\$0.00	\$0.00	\$0.00
	03/15/16	10/16/14	13		\$304.16	\$341.06	\$-36.90	\$0.00	\$0.00	\$0.00	\$0.00
	03/15/16	06/19/14	5		\$116.99	\$134.02	\$-17.03	\$0.00	\$0.00	\$0.00	\$0.00
	03/15/16	09/09/14	7		\$163.78	\$189.25	\$-25.47	\$0.00	\$0.00	\$0.00	\$0.00
	03/15/16	05/27/14	10		\$233.97	\$270.17	\$-36.20	\$0.00	\$0.00	\$0.00	\$0.00
	03/17/16	09/17/14	7		\$172.41	\$175.66	\$-3.25	\$0.00	\$0.00	\$0.00	\$0.00
	03/17/16	06/24/13	8		\$197.03	\$207.36	\$-10.33	\$0.00	\$0.00	\$0.00	\$0.00
	03/17/16	12/06/13	13		\$320.18	\$316.80	\$3.38	\$0.00	\$0.00	\$0.00	\$0.00
	08/29/16	09/25/14	9		\$218.63	\$214.45	\$4.18	\$0.00	\$0.00	\$0.00	\$0.00
	08/29/16	04/09/13	2		\$48.59	\$48.69	\$-0.10	\$0.00	\$0.00	\$0.00	\$0.00
	08/29/16	06/17/15	31		\$753.08	\$727.76	\$25.32	\$0.00	\$0.00	\$0.00	\$0.00
TETRA TECHNOLOGIES INC DEL				88162F105							
	11/10/15	06/10/14	31		\$273.16	\$365.69	\$-92.53	\$0.00	\$0.00	\$0.00	\$0.00
	11/10/15	08/01/14	6		\$52.87	\$65.82	\$-12.95	\$0.00	\$0.00	\$0.00	\$0.00
	11/13/15	08/01/14	33		\$286.56	\$362.01	\$-75.45	\$0.00	\$0.00	\$0.00	\$0.00
	11/13/15	02/07/14	34		\$295.24	\$352.57	\$-57.33	\$0.00	\$0.00	\$0.00	\$0.00
	11/16/15	02/07/14	12		\$106.02	\$124.44	\$-18.42	\$0.00	\$0.00	\$0.00	\$0.00
	11/16/15	10/09/14	49		\$432.91	\$480.98	\$-48.07	\$0.00	\$0.00	\$0.00	\$0.00
	11/16/15	05/02/13	148		\$1,307.55	\$1,353.32	\$-45.77	\$0.00	\$0.00	\$0.00	\$0.00

Long Term Transactions

DESCRIPTION	Date sold or disposed	Date acquired	Units	CUSIP	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to	
										Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
TETRA TECHNOLOGIES INC DEL.				88162F105							
12/01/15	05/02/13		95		\$878.12	\$868.69	\$9.43	\$0.00	\$0.00	\$0.00	\$0.00
TIDEWATER INC				886423102							
04/22/16	03/05/15		29		\$249.88	\$774.66	\$-524.78	\$0.00	\$0.00	\$0.00	\$0.00
04/22/16	01/28/15		17		\$146.48	\$489.42	\$-342.94	\$0.00	\$0.00	\$0.00	\$0.00
04/22/16	01/30/15		9		\$77.55	\$255.37	\$-177.82	\$0.00	\$0.00	\$0.00	\$0.00
04/22/16	01/29/15		10		\$86.17	\$283.33	\$-197.16	\$0.00	\$0.00	\$0.00	\$0.00
04/25/16	03/05/15		14		\$118.82	\$373.98	\$-255.16	\$0.00	\$0.00	\$0.00	\$0.00
08/11/16	03/11/15		18		\$58.26	\$429.16	\$-370.90	\$0.00	\$0.00	\$0.00	\$0.00
08/11/16	03/12/15		7		\$22.66	\$169.61	\$-146.95	\$0.00	\$0.00	\$0.00	\$0.00
08/11/16	03/05/15		19		\$61.49	\$507.54	\$-446.05	\$0.00	\$0.00	\$0.00	\$0.00
08/11/16	03/13/15		6		\$19.42	\$144.06	\$-124.64	\$0.00	\$0.00	\$0.00	\$0.00
08/11/16	03/10/15		37		\$119.75	\$908.62	\$-788.87	\$0.00	\$0.00	\$0.00	\$0.00
08/11/16	03/06/15		37		\$119.75	\$954.51	\$-834.76	\$0.00	\$0.00	\$0.00	\$0.00
08/12/16	03/20/15		49		\$162.67	\$1,026.87	\$-864.20	\$0.00	\$0.00	\$0.00	\$0.00
08/12/16	03/11/15		9		\$29.88	\$214.58	\$-184.70	\$0.00	\$0.00	\$0.00	\$0.00
08/12/16	03/16/15		25		\$82.99	\$565.64	\$-482.65	\$0.00	\$0.00	\$0.00	\$0.00
TORTOISE MLP FUND INC				89148B101							
06/24/16	02/10/12		3800		\$68,289.07	\$86,083.27	\$-17,794.20	\$0.00	\$0.00	\$0.00	\$0.00
06/24/16	11/09/11		3050		\$54,810.96	\$61,254.01	\$-6,443.05	\$0.00	\$0.00	\$0.00	\$0.00
06/24/16	11/11/14		1200		\$21,564.97	\$33,433.36	\$-11,868.39	\$0.00	\$0.00	\$0.00	\$0.00

Long Term Transactions

DESCRIPTION	CUSIP				Portion Subject to					
	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
TOURMALINE OIL CORP										
03/28/16	10/29/14	1118	89156V106	\$22,940 07	\$40,129 83	\$-17,189 76	\$0 00	\$0 00	\$0 00	\$0 00
TOWERS WATSON & CO										
10/29/15	10/25/13	535	891894107	\$67,507 08	\$61,835 09	\$5,671 99	\$0 00	\$0 00	\$0 00	\$0 00
01/04/16	10/25/13	169		\$21,161 88	\$19,532 95	\$1,628 93	\$0 00	\$0 00	\$0 00	\$0 00
01/04/16	02/06/14	462		\$57,850 82	\$46,520 58	\$11,330 24	\$0 00	\$0 00	\$0 00	\$0 00
01/04/16	06/19/14	462		\$57,850 82	\$49,539 94	\$8,310 88	\$0 00	\$0 00	\$0 00	\$0 00
01/04/16	11/26/13	324		\$40,570 71	\$35,832 10	\$4,738 61	\$0 00	\$0 00	\$0 00	\$0 00
01/04/16	11/26/13	67		\$8,389 62	\$7,409 72	\$979 90	\$0 00	\$0 00	\$0 00	\$0 00
01/04/16	02/05/14	324		\$40,570 71	\$37,037 09	\$3,533 62	\$0 00	\$0 00	\$0 00	\$0 00
01/04/16	02/06/14	84		\$10,518 33	\$8,458 29	\$2,060 04	\$0 00	\$0 00	\$0 00	\$0 00
TUPPERWARE CORP										
04/19/16	05/02/13	19	899896104	\$1,178 61	\$1,513 16	\$-334 55	\$0 00	\$0 00	\$0 00	\$0 00
04/19/16	07/07/14	4		\$246 80	\$338 97	\$-92 17	\$0 00	\$0 00	\$0 00	\$0 00
04/19/16	07/07/14	4		\$248 13	\$338 97	\$-90 84	\$0 00	\$0 00	\$0 00	\$0 00
04/19/16	08/12/13	4		\$246 81	\$346 11	\$-99 30	\$0 00	\$0 00	\$0 00	\$0 00
04/19/16	11/27/13	9		\$555 32	\$822 67	\$-267.35	\$0 00	\$0 00	\$0 00	\$0 00
04/21/16	05/02/13	13		\$765 18	\$1,035 32	\$-270.14	\$0 00	\$0 00	\$0 00	\$0 00
07/22/16	05/02/13	14		\$873 99	\$1,114 96	\$-240 97	\$0 00	\$0 00	\$0 00	\$0 00
08/29/16	05/02/13	11		\$725 86	\$876 04	\$-150 18	\$0 00	\$0 00	\$0 00	\$0 00

Long Term Transactions

DESCRIPTION	CUSIP				Portion Subject to					
	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
VAN ECK GLOBAL HARD ASSETS FUND 921075412										
12/29/15	11/25/14	22226 83	\$602,569 44	\$1,031,769 58	\$-429,200 14	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
VERIFONE HLDGS INC 92342Y109										
06/16/16	01/23/13	41	\$779 22	\$1,388 26	\$-609 04	\$0 00	\$609 04	\$0 00	\$0 00	\$0 00
06/16/16	01/23/13	317	\$6,024 68	\$10,733 62	\$-4,708 94	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
06/16/16	01/23/13	77	\$1,463 41	\$2,607 22	\$-1,143 81	\$0 00	\$1,143 81	\$0 00	\$0 00	\$0 00
06/16/16	01/23/13	33	\$627 17	\$1,117 38	\$-490 21	\$0 00	\$490 21	\$0 00	\$0 00	\$0 00
06/16/16	01/23/13	42	\$798 22	\$1,422 12	\$-623 90	\$0 00	\$623 90	\$0 00	\$0 00	\$0 00
06/16/16	04/19/13	148	\$2,812 78	\$2,810 13	\$2 65	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
06/17/16	04/19/13	51	\$967 96	\$968 36	\$-0 40	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
VIACOM INC NEW CL B 92553P201										
03/28/16	03/06/12	177	\$7,099 49	\$8,372 61	\$-1,273 12	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
03/28/16	06/05/13	171	\$6,858 83	\$11,352 48	\$-4,493 65	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
03/28/16	07/02/13	162	\$6,497 84	\$10,894 19	\$-4,396 35	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
03/28/16	05/14/13	145	\$5,815 97	\$10,006 03	\$-4,190 06	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
03/28/16	05/22/13	160	\$6,417 62	\$11,321 84	\$-4,904 22	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
03/28/16	10/23/13	137	\$5,495 09	\$11,562 42	\$-6,067 33	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
WABTEC CORP 929740108										
11/24/15	05/21/13	1898	\$148,003 31	\$105,211 64	\$42,791 67	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
11/24/15	08/06/13	1386	\$108,078 29	\$80,933 39	\$27,144 90	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00

Long Term Transactions

DESCRIPTION	Date sold or disposed	Date acquired	Units	CUSIP	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to	
										Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
WAL MART STORES INC 931142103											
	01/27/16	05/19/14	47		\$3,015.43	\$3,611.06	\$-595.63	\$0.00	\$0.00	\$0.00	\$0.00
	02/17/16	05/19/14	58		\$3,838.10	\$4,456.20	\$-618.10	\$0.00	\$0.00	\$0.00	\$0.00
WENDY'S CO 95058W100											
	03/28/16	12/06/12	716		\$7,496.64	\$3,444.32	\$4,052.32	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	01/07/13	2342		\$24,521.14	\$11,252.84	\$13,268.30	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	11/23/12	1986		\$20,793.76	\$9,250.79	\$11,542.97	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	10/25/12	2252		\$23,578.83	\$9,422.82	\$14,156.01	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	11/08/12	2071		\$21,683.72	\$9,274.35	\$12,409.37	\$0.00	\$0.00	\$0.00	\$0.00
WISDOMTREE JAPAN TOTAL DIVIDEND 97717W851											
	02/16/16	04/17/13	3110		\$128,175.85	\$142,736.87	\$-14,561.02	\$0.00	\$0.00	\$0.00	\$0.00
	02/16/16	07/12/13	1885		\$77,688.58	\$91,235.89	\$-13,547.31	\$0.00	\$0.00	\$0.00	\$0.00
	02/16/16	11/13/14	595		\$24,522.39	\$32,254.48	\$-7,732.09	\$0.00	\$0.00	\$0.00	\$0.00
	06/24/16	01/06/15	300		\$11,601.80	\$14,476.22	\$-2,874.42	\$0.00	\$0.00	\$0.00	\$0.00
	06/24/16	04/02/15	300		\$11,601.80	\$16,932.48	\$-5,330.68	\$0.00	\$0.00	\$0.00	\$0.00
WORTHINGTON INDUSTRIES INC 981811102											
	03/30/16	01/22/15	559		\$20,212.61	\$15,298.55	\$4,914.06	\$0.00	\$0.00	\$0.00	\$0.00
	05/27/16	01/22/15	355		\$13,094.10	\$9,715.53	\$3,378.57	\$0.00	\$0.00	\$0.00	\$0.00
WYNN RESORTS LTD 983134107											
	03/28/16	07/22/11	58		\$5,222.17	\$9,278.28	\$-4,056.11	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	08/15/11	24		\$2,160.90	\$2,735.21	\$-574.31	\$0.00	\$0.00	\$0.00	\$0.00

Long Term Transactions

DESCRIPTION	CUSIP				Portion Subject to					
	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
WYNN RESORTS LTD										
	03/28/16	08/13/11	19	\$1,710.71	\$2,127.20	\$-416.49	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	09/30/11	32	\$2,881.20	\$3,526.09	\$-644.89	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	07/10/12	1436	\$129,293.75	\$135,253.25	\$-5,959.50	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	12/10/14	275	\$24,760.29	\$40,407.70	\$-15,647.41	\$0.00	\$0.00	\$0.00	\$0.00
AON PLC										
			G0408V102							
	10/22/15	11/26/13	589	\$54,452.22	\$48,383.58	\$6,068.64	\$0.00	\$0.00	\$0.00	\$0.00
	10/22/15	11/07/13	1866	\$172,509.09	\$148,952.70	\$23,556.39	\$0.00	\$0.00	\$0.00	\$0.00
CARDTONICS PLC										
			G1991C105							
	07/13/16	05/28/14	20	\$833.11	\$796.20	\$36.91	\$0.00	\$0.00	\$0.00	\$0.00
INVESCO LTD SHS										
			G491BT108							
	02/03/16	02/26/13	102	\$2,808.41	\$2,600.09	\$208.32	\$0.00	\$0.00	\$0.00	\$0.00
	02/03/16	05/06/13	39	\$1,073.81	\$1,275.23	\$-201.42	\$0.00	\$0.00	\$0.00	\$0.00
	02/03/16	08/02/13	129	\$3,551.82	\$4,251.00	\$-699.18	\$0.00	\$0.00	\$0.00	\$0.00
NIELSEN HLDGS PLC										
			G6518L108							
	03/28/16	06/20/14	2798	\$145,147.28	\$134,619.33	\$10,527.95	\$0.00	\$0.00	\$0.00	\$0.00
	03/28/16	07/25/14	1730	\$89,744.38	\$83,588.76	\$6,155.62	\$0.00	\$0.00	\$0.00	\$0.00
Total Long Term Gain/Loss				\$17,723,581.30	\$17,033,174.72	\$690,406.58	\$0.00	\$4,539.31	\$0.00	\$0.00

THE GRAHAM FOUNDATION

FEIN: 57-0805774

Form 990-PF FYE 8/31/2016

Schedule 5

Part II, Line 10b - Corporate Stock Investments

Description	Units	End of Year	
		Book Value	Fair Market Value
Abbott Labs	350.000	\$ 9,309	\$ 14,707
Abbvie Inc	350.000	10,094	22,435
ACI Worldwide Inc	674 000	11,750	12,873
Actuant Corp	597 000	17,136	14,227
Adtran Inc	287.000	4,671	5,275
Alcoa Inc	2,584.000	22,493	26,047
Alexander & Baldwin Inc	102 000	3,281	4,099
Allscripts-misys Healthcare	530 000	6,419	6,842
Amdocs LTD	843.000	37,316	50,681
American Eagle Outfitters	239.000	3,050	4,431
American Express Co	2,132.000	111,639	139,817
Amphenol Corp New	1,160.000	35,262	72,280
Anadarko Pete Corp	805.000	39,056	43,043
Analog Devices Inc	459 000	11,053	28,908
Anheuser Busch Inbev Sa/NV Sponsored Adr	545 000	37,613	67,596
Apache Corp	780 000	31,878	38,766
Apollo Invt Corp	1,059.000	8,270	6,492
Apple Inc	685.000	47,985	72,679
Applied Indl Technologies Inc	143 000	5,660	6,795
Armstrong Flooring Inc	58 000	625	1,196
Armstrong World Inds inc	117.000	4,288	5,086
Artisan Partners Asset Mgmt Inc	227 000	6,793	5,936
Assured Guaranty LTD	140 000	3,604	3,888
Automatic Data Processing Inc	655.000	33,779	58,826
Avery Dennison Corp	382 000	15,796	29,582
Bancorp Inc	468 000	5,843	2,897
Bard C R Inc	410 000	39,878	90,544
Baxter Intl Inc	2,821.000	91,409	132,192
BE Aerospace Inc	368 000	18,103	18,680
Boston Scientific Corp	2,515.000	18,076	59,907
B P PLC	995 000	50,957	34,288
Brinker Intl Inc	159 000	6,758	8,540
Brinks Co	155 000	4,245	5,673
Broadridge Finl Solutions Inc	1,346.000	32,066	93,278
BWX Technologies Inc	1,213 000	16,787	47,186
Cabot Corp	140 000	4,677	7,022
Cardtronic Inc	132.000	5,255	5,928
CDK Global Inc	218 000	4,879	12,640
Ceb Inc	62 000	3,770	3,732
Celanese Corp Del	155 000	7,839	9,987
Chevron Corp	1,026.000	83,331	104,293
China High Precision Automation Group Ltd Com	13,850 000	11,371	2,214
C H Robinson Worldwide Inc	800 000	45,679	55,880
Cinemark Hldgs Inc	1,132.000	32,982	44,057
Cisco Sys Inc	3,163.000	54,968	99,445
Civeo Corp	433 000	3,951	498
Clean HBRS Inc	239.000	11,478	11,424
Clorox Co	233.000	13,734	30,532
CNO Finl Group Inc	545.000	6,800	8,856
Coca Cola Co	1,119 000	37,391	48,598
Cognizant Technology Solutions	1,420 000	42,144	81,565
Columbia Sportswear Co	129 000	4,230	7,268
Commercial Metals Co	574 000	7,964	8,908
Computer Programs & Sys Inc	76.000	3,861	1,962

THE GRAHAM FOUNDATION

FEIN: 57-0805774

Form 990-PF FYE 8/31/2016

Schedule 5 (continued)

Part II, Line 10b - Corporate Stock Investments

Description	Units	End of Year	
		Book Value	Fair Market Value
Convergys Corp	428.000	\$ 7,506	\$ 12,767
Copart Inc	200.000	6,326	10,204
Core Laboratories NV	295.000	41,709	32,975
Diageo Plc	380.000	29,433	43,474
Diamond Offshore Drilling Inc	2,651.000	83,320	48,964
Discovery Communications Inc	1,204.000	37,343	29,883
Disney Walt Co	485.000	16,101	45,813
Dolby Laboratones	172.000	6,029	8,418
Dycom Inds Inc	29.000	550	2,352
Eagle Materials Inc	86.000	4,907	6,912
Ebay	5,735.000	137,259	184,438
Ecolab Inc	530.000	33,851	65,217
El Paso Elec Co	153.000	5,498	6,991
Endurance Specialty Hldgs Ltd	838.000	28,124	55,182
Energizer Hldgs Inc	99.000	3,229	4,917
Entergy Corp New	353.000	31,212	27,905
Esterline Technologies Corp	443.000	39,567	34,089
Etracs Alenan infra index	25,670.000	824,519	713,113
Exelon Corp	4,560.000	148,636	156,490
Expeditors Intl Washington Inc	503.000	20,357	25,477
Express Scripts Hldg Co	1,432.000	90,529	104,106
Exxon Mobil Corp	2,486.000	192,717	218,494
Fastenal Co	313.000	13,107	13,493
Fifth St Fin Corp	818.000	7,567	5,129
First Amern Finl Corp	1,832.000	29,401	78,941
First Horizon Natl Corp	631.000	6,877	9,705
Franklin Res Inc	3,071.000	131,877	112,092
Genuine Parts Co	549.000	26,216	56,448
Genworth Finl Inc	823.000	5,708	3,893
Glatfelter	476.000	10,214	10,553
Glaxosmithkline Plc	3,941.000	164,946	173,243
GNC Hldgs Inc	372.000	11,153	7,823
Global Pmts Inc	104.000	2,437	7,899
Guess Inc	111.000	2,717	1,846
Haemonetics Corp	989.000	32,100	36,751
Harmonic Inc	662.000	3,700	2,873
Harsco Corp	243.000	2,198	2,418
Hartford Finl SVCS Group Inc	929.000	31,693	38,349
Hasbro Inc	897.000	23,476	73,321
Hershey Co	286.000	24,916	28,745
Hess Corp	729.000	31,799	39,585
Honda Mtr Ltd	3,493.000	106,671	108,378
Hope Bancorp	929.000	12,733	15,979
Huntington Bancshares INC	4,282.000	39,139	42,863
Hyster-Yale Matis Handling Inc	65.000	3,275	3,382
International Business Machs	218.000	17,797	34,941
Intersil Corp	737.000	7,471	14,548
Invesco Ltd	1,289.000	32,201	40,565
Investment Technology Grp New	298.000	4,690	4,604
Investors Bancorp Inc	2,358.000	21,706	28,886
I Shares Core MSCI Eafe	64,470.000	3,558,610	3,498,787
I Shares Core MSCI Emerging Mkts	70,045.000	2,925,323	3,110,698
I Shares Russell 2000 ETF	6,310.000	717,641	777,581
IPG Photonic Corp	134.000	12,715	11,655

THE GRAHAM FOUNDATION

FEIN: 57-0805774

Form 990-PF FYE 8/31/2016

Schedule 5 (continued)

Part II, Line 10b - Corporate Stock Investments

Description	Units	End of Year	
		Book Value	Fair Market Value
Janus Cap Group Inc	2,793.000	\$ 25,886	\$ 41,532
J P Morgan US Large Cap Core Plus Fund	57,762.524	1,360,240	1,613,885
Johnson & Johnson	1,653.000	124,992	198,592
Kennametal Inc	305.000	8,260	8,528
Keycorp NEW	536.520	-	6,739
KLX Inc	488.000	18,337	18,222
Koppers Hldgs Inc	241.000	9,711	7,866
Liberty Broadband-C	660.000	34,109	45,230
Liberty Media Corp Delaware SER C	1,662.000	48,632	55,228
M & T Bk Corp	296.000	21,993	35,233
Masimo Corp	80.000	1,601	4,731
Mastercard Inc	750.000	25,311	72,473
Matson Inc	308.000	8,970	11,944
Mattel Inc	1,605.000	36,319	53,718
Mccormick & Co Inc	705.000	46,785	71,882
McDonalds Corp	460.000	37,514	53,613
McKesson Corp	355.000	23,562	65,640
Medtronic Inc	2,635.000	201,740	229,324
MFS Ser Tr I	43,859.649	700,000	718,421
Microsoft Corp	3,376.000	97,458	195,200
MRC Global inc	432.000	7,049	6,337
MTS Sys Corp	146.000	8,596	7,264
National Fuel Gas Co N J	639.000	35,991	36,461
Neuberger Berman Emerging Mkts	25,626.105	450,000	410,274
Nextera Energy Inc	330.000	21,462	40,197
New York Cmnty Bancorp Inc	2,408.000	31,038	36,385
Nike Inc	1,470.000	34,964	84,731
Nisource Inc	1,413.000	16,889	33,827
Norfolk Southern Corp	400.000	23,850	37,796
Oakmark Select Fund	21,967.868	1,000,000	896,728
Omnicom Group Inc	1,148.000	52,501	98,877
Oracle Corp	1,330.000	31,513	54,823
Orbital ATK INC	591.000	44,973	44,579
Patterson-UTI Energy Inc	105.000	1,397	2,046
PBF Energy Inc	1,488.000	36,380	32,588
Pebblebrook Hotel Tr	72.000	1,771	2,163
Pepsico Inc	2,168.000	138,453	233,065
Pharmerca Corp	89.000	1,626	2,248
Piedmont Nat Gas Inc	41.000	1,338	2,464
PNC Finl Svcs Group Inc	1,602.000	90,394	144,340
Powershares Water Resources Port	24,260.000	500,826	591,216
Praxair Inc	378.000	34,634	46,131
Privatebancorp Inc	204.000	5,140	9,374
Procter & Gamble Co	1,975.000	109,571	172,437
Qualcomm Inc	1,351.000	85,295	85,924
Regal Entmt Group	2,340.000	33,031	50,544
Rockwell Automation Inc	350.000	36,891	40,829
Rockwell Collins	447.000	21,832	37,557
Sally Beauty Hldgs Inc	232.000	5,657	6,315
Schwab Charles Corp New	3,268.000	78,098	102,811
Select Comfort Corp	160.000	2,515	4,200
Sonoco Prods Co	507.000	14,546	26,318
Sotheby's Hldgs Inc	109.000	2,331	4,322
Suntrust Bks Inc	3,688.000	76,369	163,489

THE GRAHAM FOUNDATION

FEIN: 57-0805774

Form 990-PF FYE 8/31/2016

Schedule 5 (continued)

Part II, Line 10b - Corporate Stock Investments

Description	Units	End of Year	
		Book Value	Fair Market Value
Sykes Enterprises Inc	245.000	\$ 3,707	\$ 7,161
Synchrony Finl	1,692.000	45,722	47,088
Sysco Corp	1,111.000	34,317	57,616
Taiwan Semiconductor Mfg Ltd	4,828.000	78,695	138,757
Target Corp	2,163.000	108,774	153,119
Terex Corp New	226.000	4,959	5,503
Tesoro Corp	34.000	1,874	2,583
Tertra Technologies Inc Del	626.000	4,742	3,787
Thornburg Intl Value Fund	24,356.298	700,000	582,846
Torchmark Corp	1,182.000	8,625	76,452
Travelers Cos Inc	1,085.000	60,455	128,800
Tupperware Brands Corp	96.000	6,262	6,291
United Nat Foods Inc	614.000	25,381	27,998
United technologies corp	482.000	27,245	51,617
US Bancorp Del	4,341.000	119,041	191,656
Vanguard S&P 500 Etf	18,905.000	3,544,523	3,771,926
Varian Med Sys Inc	309.000	24,133	29,704
Verint Sys Inc	161.000	5,392	5,493
Versk Analytics Inc	765.000	39,706	63,533
Verifone Sys Inc	193.000	6,409	3,833
Visa Inc	1,000.000	30,104	81,040
Vitamin Shoppe inc	183.000	6,711	5,073
Waddell & Reed Finl Inc	328.000	6,672	6,101
Wal-Mart Stores Inc	2,709.000	155,392	194,885
Waters Corp	450.000	38,088	70,790
Wells Fargo & Co	3,890.000	114,908	199,091
Western Union	2,664.000	46,290	57,329
White Mtns Ins Group LTD	18.000	10,649	14,832
Whole Foods Mkt Inc	2,706.000	85,901	82,208
Wiley John & Sons Inc	172.000	7,449	9,998
William Blair Fund Intl Small Cap Growth Fd Cl I	41,390.728	499,501	565,811
Woodward Inc	476.000	25,706	29,855
WPX Energy Inc	817.000	5,413	9,804
Wyndham Worldwide Corp	45.000	2,774	3,208
Xcel Energy Inc	661.000	13,874	27,339
Zebra Technologies	185.000	9,788	12,943
Zimmer Hldgs Inc	461.000	26,782	59,750
3M Co	405.000	37,515	73,043
		<u>\$ 22,841,862</u>	<u>\$ 25,930,180</u>

THE GRAHAM FOUNDATION**FEIN: 57-0805774****Form 990-PF FYE 8/31/2016****Schedule 6****Part II, Line 10c - Corporate Bond Investments**

Description	Units	End of Year	
		Book Value	Fair Market Value
Brookhaven Medical Inc Series A	45,000.000	\$ 675,000	\$ 675,000
Brookhaven Medical Inc Series B	19,535.000	293,025	293,025
Doubleline Core Fixed Income Fund Cl I	134,234.647	1,464,500	1,495,374
Doubleline Total Return BD Fund Cl I	180,224.516	1,964,500	1,966,249
Federated Adjustable Rate Secs	65,582.755	647,302	635,788
Powershares Emerging Markets	44,300.000	1,231,739	1,354,694
Prudential Short-Term Corporate BD Fund Inc Clz	77,396.658	880,000	870,276
Ridgeworth Seix High Yield BD	62,578.223	500,000	521,177
Templeton Global Bd Fund Advisor Cl	58,888.679	800,000	664,264
Wells Fargo Advantage Ultra	103,165.299	878,773	876,845
		<u>\$ 9,334,839</u>	<u>\$ 9,352,692</u>

THE GRAHAM FOUNDATION
FEIN: 57-0805774
Form 990-PF FYE 8/31/2016
Schedule 7
Part II, Line 13 - Other Investments

Description	End of Year		
	Units	Book Value	Fair Market Value
Aqr Diversified Arbitrage Fund	13,611.615	148,802	129,855
Aqr Managed Futures Strategy Fund	20,094.716	200,000	203,961
Arbitrage Fund	57,369.054	750,000	754,977
Goldman Sachs Absolute Return Tracker Fund Cl I	111,607.143	1,000,000	1,021,205
Titan Masters International Fund	1.000	1,346,756	1,322,955
Wells Fargo Advantage Absolute	61,518.157	646,499	647,172
Orbimed Healthcare Investments	342.500	245,650	385,030
Subscription Agreement Deutsche Bk	1.000	367,523	367,524
AG Mtg Invst Tr Inc	261.000	4,103	4,129
Annaly Cap Mgmt Inc	5,760.000	61,698	61,690
Apollo Coml Real Estate Fin Inc	472.000	8,106	7,694
Chatham Lodging	390.000	8,076	8,128
Colony Capital Inc	960.000	18,757	17,731
Colony Starwood Homes	96.000	2,544	2,976
Corrections Corp Amer	1,624.000	48,557	25,870
Franklin Str Pptys Corp	934.000	11,827	11,731
Mack Cali Rlty Corp	1,582.000	32,939	43,916
Potlatch Corp	107.000	3,482	4,052
Rayonier Inc	435.000	10,569	11,976
Ryman Hospitality Pptys Inc	95.000	3,740	5,126
Starwood Ppty Tr Inc	230.000	5,030	5,267
Sun Communities Inc	884.000	30,623	67,644
Vanguard REIT	4,555.000	367,483	405,258
Voya Global Real Estate	43,586.683	626,409	912,705
Welltower Inc Reits	444.000	12,287	34,077
		<u>\$ 5,961,460</u>	<u>\$ 6,462,649</u>

THE GRAHAM FOUNDATION

FEIN: 57-0805774

Form 990-PF FYE 8/31/2016

Schedule 8

Part II, Line 15 - Other Assets

Description	Units	Book Value	Fair Market Value
Pimco CommodityPlus Strategy Fund	62,044 265	395,953	359,857
SPDR Gold Tr	4,485 000	536,779	559,638
		<u>\$ 932,732</u>	<u>\$ 919,495</u>