Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

► **Do not enter social security numbers on this form as it may be made public**
► Information about Form 990-PF and its separate instructions is at *www irs gov/form990pf*

OMB No 1545 0052
2015
Open to Public Inspection

For calendar year 2015, or tax year beginning Aug 1 **, 2015, and ending** Jul 31 , 2016

Name of foundation: ROBERT HOAG RAWLINGS FOUNDATION	A Employer identification number: 84-1090907
Number and street (or P O box number if mail is not delivered to street address): 825 W 6TH ST, P O BOX 36 — Room/suite:	B Telephone number (see instructions): (719) 544-3520
City or town, state or province, country, and ZIP or foreign postal code: PUEBLO CO 81002-0036	C If exemption application is pending, check here ► ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change	D 1 Foreign organizations, check here ► ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ► ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation	E If private foundation status was terminated under section 507(b)(1)(A), check here ► ☐
I Fair market value of all assets at end of year (from Part II, column (c), line 16) ► $ 3,503,410 — J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► ☐

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1	Contributions, gifts, grants, etc, received (attach schedule)	2,500			
	2	Ck ► ☒ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	8	8		
	4	Dividends and interest from securities	106,385	106,385		
	5 a	Gross rents				
	b	Net rental income or (loss)				
	6 a	Net gain or (loss) from sale of assets not on line 10				
	b	Gross sales price for all assets on line 6a				
	7	Capital gain net income (from Part IV, line 2)		0		
	8	Net short term capital gain			0	
	9	Income modifications				
	10 a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule) See Line 11 Stmt	-4,885	3,440		
	12	**Total** Add lines 1 through 11	104,008	109,833	0	
OPERATING AND ADMINISTRATIVE EXPENSES	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16 a	Legal fees (attach schedule)				
	b	Accounting fees (attach sch) L-16b Stmt	1,675	1,675		
	c	Other prof fees (attach sch)				
	17	Interest				
	18	Taxes (attach schedule)(see instrs) See Line 18 Stmt	2,901	583		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings	2,898	2,898		
	22	Printing and publications				
	23	Other expenses (attach schedule) See Line 23 Stmt	5,380	5,380		
	24	**Total operating and administrative expenses** Add lines 13 through 23	12,854	10,536		
	25	Contributions, gifts, grants paid	145,865			145,865
	26	**Total expenses and disbursements** Add lines 24 and 25	158,719	10,536		145,865
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-54,711			
	b	**Net investment income** (if negative, enter -0-)		99,297		
	c	**Adjusted net income** (if negative, enter -0-)			0	

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Part II Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1 Cash — non-interest-bearing	30,025.	31,187.	31,187.
	2 Savings and temporary cash investments	65,637.	94,554.	94,554.
	3 Accounts receivable ►			
	Less: allowance for doubtful accounts ►			
	4 Pledges receivable ►			
	Less: allowance for doubtful accounts ►			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch) ►			
	Less allowance for doubtful accounts ►			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U.S. and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule) L-10b Stmt	2,028,430.	1,912,600.	2,600,752.
	c Investments — corporate bonds (attach schedule) L-10c Stmt	458,314.	508,314.	546,597.
	11 Investments — land, buildings, and equipment basis ►			
	Less accumulated depreciation (attach schedule) ►			
	12 Investments — mortgage loans			
	13 Investments — other (attach schedule) L-13 Stmt	39,790.	20,817.	30,320.
	14 Land, buildings, and equipment basis ►			
	Less accumulated depreciation (attach schedule) ►			
	15 Other assets (describe ►)			
	16 **Total assets** (to be completed by all filers — see the instructions. Also, see page 1, item I)	2,622,196.	2,567,472.	3,303,410.
LIABILITIES	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ►)			
	23 **Total liabilities** (add lines 17 through 22)			
NET ASSETS OR FUND BALANCES	**Foundations that follow SFAS 117, check here ► [ ] and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ► [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	2,622,196.	2,567,472.	
	30 **Total net assets or fund balances** (see instructions)	2,622,196.	2,567,472.	
	31 **Total liabilities and net assets/fund balances** (see instructions)	2,622,196.	2,567,472.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,622,196.
2	Enter amount from Part I, line 27a	2	-54,711.
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	2,567,485.
5	Decreases not included in line 2 (itemize) ► NON-DEDUCTIBLE PARTNERSHIP ITEM	5	13.
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	2,567,472.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo., day, yr)
1a	122 UNITS ENBRIDGE ENERGY PTRS	P	08/26/15	11/05/15
b	376 UNITS ENBRIDGE ENERGY PTRS	P	05/23/14	11/04/15
c	79 UNITS ENERGY TRANSFER PTRS	P	11/04/15	12/10/15
d	229 UNITS ENERGY TRANSFER PTRS	P	08/27/14	12/10/15
e	See Columns (a) thru (d)			

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	3,311.		3,355.	-44.
b	10,292.		10,486.	-194.
c	2,625.		3,803.	-1,178.
d	7,609.		10,767.	-3,158.
e	See Columns (e) thru (h)		235,731.	-3,751.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				-44.
b				-194.
c				-1,178.
d				-3,158.
e	See Columns (i) thru (l)			-3,751.

2	Capital gain net income or (net capital loss). . . . If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7	2	-8,325.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	-7,058.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? . . . . ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	182,724.	3,274,200.	0.055807
2013	177,062.	3,198,635.	0.055355
2012	127,674.	2,916,325.	0.043779
2011	127,813.	2,626,892.	0.048656
2010	121,256.	2,632,086.	0.046068

2	**Total** of line 1, column (d)	2	0.249665
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.049933
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	3,114,393.
5	Multiply line 4 by line 3	5	155,511.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	993.
7	Add lines 5 and 6	7	156,504.
8	Enter qualifying distributions from Part XII, line 4	8	145,865.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 — see instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here ► ☐ and enter 'N/A' on line 1. Date of ruling or determination letter _______ (attach copy of letter if necessary — see instrs)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► ☐ and enter 1% of Part I, line 27b			1	1,986.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3	Add lines 1 and 2			3	1,986.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	1,986.
6	Credits/Payments.				
a	2015 estimated tax pmts and 2014 overpayment credited to 2015	6 a	1,720.		
b	Exempt foreign organizations — tax withheld at source	6 b			
c	Tax paid with application for extension of time to file (Form 8868)	6 c			
d	Backup withholding erroneously withheld	6 d			
7	Total credits and payments. Add lines 6a through 6d			7	1,720.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☒ if Form 2220 is attached			8	8.
9	**Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ►			9	274.
10	**Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ►			10	0.
11	Enter the amount of line 10 to be **Credited to 2016 estimated tax** ►	Refunded ►		11	

Part VII-A Statements Regarding Activities

			Yes	No
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1 a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1 b		X
	*If the answer is 'Yes' to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1 c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation ► $ ______ **(2)** On foundation managers ► $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4 a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4 a		X
b	If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4 b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, col (c), and Part XV*	7	X	
8 a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► ______			
b	If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8 b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► SCHMIDT VALENTINE WHITTEMORE & CO PC Telephone no. ► (719) 543-2066

Located at ► 119 COLORADO AVENUE, PUEBLO, CO ZIP + 4 ► 81004-4213

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – Check here ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► 15

			Yes	No
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If 'Yes,' enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

				Yes	No
1 a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1 b		X
	Organizations relying on a current notice regarding disaster assistance check here ► ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?		1 c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015?	☐ Yes ☒ No			
	If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__ .				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement – see instructions.)		2 b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ► 20__ , 20__ , 20__ , 20__ .				
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If 'Yes,' did it have excess business holdings in 2015 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)*		3 b		
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4 a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?		4 b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5 a During the year did the foundation pay or incur any amount to.

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? . . . ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? . . . ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? . . . ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 4945(d)(4)(A)? (see instructions) . . . ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? . . . **5 b**

Organizations relying on a current notice regarding disaster assistance check here . . . ► ☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53 4945-5(d)

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . **6 b** — No: X

If 'Yes' to 6b, file Form 8870.

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? . . . ☐ Yes ☒ No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? . . . **7 b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROBERT H RAWLINGS 1401 RANCHO DEL SOL PUEBLO CO 81008	CHAIRMAN 5.00	0.	0.	0.
JANE L RAWLINGS 624 DITTMER AVENUE PUEBLO CO 81005	PRESIDENT 5.00	0.	0.	0.
BOB D WERTZ 45 LEHIGH AVE PUEBLO CO 81005	TREASURER 1.00	0.	0.	0.
See Information about Officers, Directors, Trustees, Etc.		0.	0.	2,898.

2 Compensation of five highest-paid employees (other than those included on line 1 — see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 . . . ► None

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services		None

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes:		
a	Average monthly fair market value of securities	1a	3,052,452.
b	Average of monthly cash balances	1b	109,368.
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	3,161,820.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	3,161,820.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	47,427.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,114,393.
6	**Minimum investment return.** Enter 5% of line 5	6	155,720.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	155,720.
2a	Tax on investment income for 2015 from Part VI, line 5 — 2a: 1,986.		
b	Income tax for 2015. (This does not include the tax from Part VI.) — 2b:		
c	Add lines 2a and 2b	2c	1,986.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	153,734.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	153,734.
6	Deduction from distributable amount (see instructions)	6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	153,734.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a	Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1a	145,865.
b	Program-related investments — total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	145,865.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0.
6	***Adjusted qualifying distributions.*** Subtract line 5 from line 4	6	145,865.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				153,734.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			0.	
b Total for prior years 20__, 20__, 20__				
3 Excess distributions carryover, if any, to 2015				
a From 2010 — 0.				
b From 2011 — 0.				
c From 2012 — 0.				
d From 2013 — 0.				
e From 2014 — 8,054.				
f **Total** of lines 3a through e	8,054.			
4 Qualifying distributions for 2015 from Part XII, line 4 ► $ 145,865.				
a Applied to 2014, but not more than line 2a				
b Applied to undistributed income of prior years (Election required — see instructions)				
c Treated as distributions out of corpus (Election required — see instructions)				
d Applied to 2015 distributable amount				145,865.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2015 (*If an amount appears in column (d), the same amount must be shown in column (a)*)	7,869.			7,869.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	185.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount — see instructions		0.		
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required — see instructions)				
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions)	0.			
9 **Excess distributions carryover to 2016.** Subtract lines 7 and 8 from line 6a	185.			
10 Analysis of line 9:				
a Excess from 2011 — 0.				
b Excess from 2012 — 0.				
c Excess from 2013 — 0.				
d Excess from 2014 — 185.				
e Excess from 2015 — 0.				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a 'Assets' alternative test — enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test — enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year — see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

ROBERT H. RAWLINGS

HELENE C MONBERG TRUST

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ► ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

TRACEY MATTOON-AMOS
P O BOX 36
PUEBLO CO 81002-0036 (719) 544-3520

b The form in which applications should be submitted and information and materials they should include:

BY LETTER EXPLAINING PURPOSE, AMOUNT OF REQUEST AND SUPPORTING INFORMATION

c Any submission deadlines

BY EARLY NOVEMBER OR EARLY MAY

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

GENERALLY LIMITED TO THE SOUTHERN COLORADO AREA

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient — Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
***a** Paid during the year*				
ADAMS STATE COLLEGE FOUNDATION 208 EDGEMONT BLVD ALAMOSA CO 81102		PUBLIC	SCHOLARSHIPS	4,000.
BENT COUNTY EARLY LEARNING CENTER 810 3rd STREET LAS ANIMAS CO 81054		PUBLIC	UPGRADE PLAYGROUND EQUIPMENT	5,000.
BOYS & GIRLS CLUB OF CHAFFEE COUNTY P O BOX 1430 SALIDA CO 81201		PUBLIC	SUPPORT OF CHILDREN INVOLVED IN PROGRAMS	3,000.
BOYS & GIRLS CLUB OF THE SAN LUIS VALLEY P O BOX 1032 ALAMOSA CO 81101		PUBLIC	SUPPORT OF CHILDREN INVOLVED IN PROGRAMS	3,000.
BOYS & GIRLS CLUB OF FREMONT COUNTY P O BOX 1537 CANON CITY CO 81215		PUBLIC	SUPPORT OF CHILDREN INVOLVED IN PROGRAMS	3,000.
COLORADO STATE UNIV-PUEBLO FOUNDATION 2200 BONFORTE BLVD PUEBLO, CO 81001		PUBLIC	SCHOLARSHIPS	24,000.
COLORADO STATE UNIV-PUEBLO FOUNDATION 2200 BONFORTE BLVD PUEBLO CO 81001		PUBLIC	CAPITAL CAMPAIGN FOR IMPROVEMENTS PLEDGE	25,000.
JUNIOR ACHIEVEMENT OF SOUTHERN COLORADO 601 N MAIN ST, STE 221 PUEBLO CO 81003		PUBLIC	FUND 17 ELEMENTARY CLASSES	5,100.
LAMAR COMMUNITY COLLEGE FOUNDATION 2401 S. MAIN LAMAR CO 81052		PUBLIC	SCHOLARSHIPS	4,000.
See Line 3a statement				69,765.
Total ► **3a**				145,865.
***b** Approved for future payment*				
COLORADO STATE UNIV-PUEBLO FOUNDATION 2200 BONFORTE BLVD PUEBLO CO 81001		PUBLIC	ON THE MOVE CAMPAIGN - DONOR WALL IMPROVEMENTS	75,000.
PUEBLO HOME OF HEROS ASSOCIATION P O BOX 36 PUEBLO CO 81002		PUBLIC	MEDAL OF HONOR CONVENTION BOOK	10,000.
Total ► **3b**				85,000.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	8.	
4 Dividends and interest from securities			14	106,385.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	7,034.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a PARTNERSHIPS LOSSES			14	-3,594.	
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				109,833.	
13 **Total.** Add line 12, columns (b), (d), and (e)				13	109,833.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1 a (1)		X
(2) Other assets	1 a (2)		X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1 b (1)		X
(2) Purchases of assets from a noncharitable exempt organization	1 b (2)		X
(3) Rental of facilities, equipment, or other assets	1 b (3)		X
(4) Reimbursement arrangements	1 b (4)		X
(5) Loans or loan guarantees	1 b (5)		X
(6) Performance of services or membership or fundraising solicitations	1 b (6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1 c		X

d If the answer to any of the above is 'Yes,' complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2 a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

[signature] 11/29/16 ▶ Chairman

Signature of officer or trustee — Date — Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Virginia D. Whittemore	[signature]	11/23/16		P00014156
Firm's name ▶ Schmidt, Valentine, Whittemore & Co. P.C.			Firm's EIN ▶ 84-0612359	
Firm's address ▶ 119 Colorado Avenue Pueblo CO 81004			Phone no (719) 543-2066	

Additional Information

IRC SECTION 751 STATEMENT

THE TAXPAYER HAS REPORTED ORDINARY INCOME UPON THE DISPOSITION OF UNITS OF THE FOLLOWING LIMITED PARTNERSHIPS AS PROVIDED BY THE GENERAL PARTNER. THE AMOUNTS WERE DETERMINED IN ACCORDANCE WITH IRS CODE SECTION 751 AND THE DETAILED INFORMATION IS AVAILABLE AT THE OFFICES OF THE GENERAL PARTNERS UPON REQUEST.

ENBRIDGE ENERGY PARTNERS L P
ENERGY TRANSFER PARTNERS L P
PLAINS ALL AMERICAN PIPELINE L P

Form 990-PF, Page 1, Part I, Line 11
Line 11 Stmt

Other income:	Rev/Exp Book	Net Inv Inc	Adj Net Inc
NET CAPITAL GAIN	-8,325.		
PARTNERSHIP INCOME (LOSS)	-3,594.	-3,594.	
ORDINARY INCOME PTRSH SALES	7,034.	7,034.	
Total	-4,885.	3,440.	

Form 990-PF, Page 1, Part I, Line 18
Line 18 Stmt

Taxes	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
EXCISE	2,318.			
FOREIGN	583.	583.		
Total	2,901.	583.		

Form 990-PF, Page 1, Part I, Line 23
Line 23 Stmt

Other expenses:	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
OFFICE & MISCELLANEOUS	182.	182.		
INVESTMENT MANAGEMENT FEES	5,198.	5,198.		
Total	5,380.	5,380.		

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income
Columns (a) thru (d)

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
162 UNITS PLAINS ALL-AMERICAN PIPELAND PTRS	P	11/04/15	12/10/15
265 UNITS PLAINS ALL-AMERICAN PIPELAND PTRS	P	10/29/14	12/10/15
57 BANK OF NOVA SCOTIA	P	03/10/15	11/05/15
16 DIGITAL REALTY TRUST INC	P	06/30/15	12/10/15
45 REYNOLDS AMERICA INC	P	03/11/15	08/26/15
7 SIMON PROPERTY GROUP INC	P	03/10/15	08/26/15
21 ALTRIA GROUP	P	06/20/12	08/26/15
18 AMERICAN ELECTRIC POWER CO INC	P	12/19/13	08/26/15
240 BANK OF NOVIA SCOTIA	P	10/29/14	11/05/15
38 CHEVRON CORPORATION	P	03/21/13	11/04/15
165 ELI LILLY & CO	P	06/28/13	08/26/15
7 LOCKHEED MARTIN CORP	P	12/21/12	08/26/15
32 MICROCHIP TECHNOLOGY INC	P	06/20/12	11/04/15
67 PLUM CREEK TIMBER CO INC	P	05/27/14	12/10/15
SCHEDULE ATTACHED-GUIDED PORT-PRIME INCOME	P	12/11/15	05/09/16
SCHEDULE ATTACHED-GUIDED PORT-PRIME INCOME	P	03/12/15	05/09/16
5000 RBS CAPITAL FUNDING TRUST VII	P	07/09/04	06/23/16
7 AUTOMATIC DATA PROCESSING INC	P	07/31/14	12/09/15
15 COCA COLA COMPANY	P	07/31/14	12/09/15

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income Continued
Columns (a) thru (d)

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
21 DOW CHEMICAL COMPANY	P	07/31/14	12/09/15
40 GENERAL ELECTRIC COMPANY	P	07/31/14	12/09/15
244 KINDER MORGAN INC	P	07/31/14	12/09/15
17 MCDONALDS CORP	P	07/31/14	12/09/15
128 VF CORPORATION	P	07/31/14	12/09/15
SCHEDULE ATTACHED-MONBERG ACCOUNT	P	12/09/15	03/08/16
SCHEDULE ATTACHED-MONBERG ACCOUNT	P	07/31/14	01/05/16

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income
Columns (e) thru (h)

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,526.		5,520.	-1,994.
5,768.		9,646.	-3,878.
2,666.		2,726.	-60.
1,136.		1,067.	69.
3,650.		3,161.	489.
1,252.		1,266.	-14.
1,087.		714.	373.
954.		834.	120.
11,230.		14,131.	-2,901.
3,688.		4,593.	-905.
12,939.		7,153.	5,786.
1,390.		651.	739.
1,555.		946.	609.
3,260.		2,937.	323.
10,834.		15,482.	-4,648.
6,708.		4,660.	2,048.
125,000.		121,872.	3,128.
604.		498.	106.
647.		594.	53.
1,188.		1,085.	103.
1,218.		1,015.	203.
4,115.		8,949.	-4,834.
1,979.		1,617.	362.
8,072.		7,892.	180.
2,254.		1,932.	322.
15,260.		14,790.	470.
Total		235,731.	-3,751.

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income
Columns (i) thru (l)

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (column (h) gain minus column (k), but not less than -0-) **or** losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
			-1,994.
			-3,878.
			-60.
			69.
			489.
			-14.
			373.
			120.
			-2,901.
			-905.
			5,786.
			739.
			609.
			323.
			-4,648.
			2,048.
			3,128.
			106.
			53.
			103.
			203.
			-4,834.
			362.
			180.
			322.
			470.
Total			-3,751.

Form 990-PF, Page 6, Part VIII, Line 1
Information about Officers, Directors, Trustees, Etc.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation **(If not paid, enter -0-)**	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Person . . [X] Business . [ ] DAVID CARDINAL 3022 THUNDER LAKE CIRCLE LAFAYETTE CO 80026	DIRECTOR 1.00	0.	0.	429.
Person . . [X] Business . [ ] ROBERT RAWLINGS, JR 455 LIBERTY ST ASHLAND OR 97520	CO VICE-PRES 0.50	0.	0.	1,091.

Form 990-PF, Page 6, Part VIII, Line 1 Continued

Information about Officers, Directors, Trustees, Etc.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation **(If not paid, enter -0-)**	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Person . . [X] Business . [ ] DAVID B SHAW 37 CALLE DEL SOL RD PUEBLO CO 81008	DIRECTOR 0.50	0.	0.	0.
Person . . [X] Business . [ ] JOHN G RAWLINGS 3138 LAUREL STREET SAN DIEGO CA 92104	CO VICE-PRES 0.50	0.	0.	1,378.
Person . . [X] Business . [ ] CAROLYN TEMPLE 2721 MESA AVE DURANGO CO 81301	SECRETARY 0.50	0.	0.	0.
Total		0.	0.	2,898.

Form 990-PF, Page 11, Part XV, line 3a

Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Person or Business Checkbox / Amount
a *Paid during the year*				
OTERO COMMUNITY COLLEGE FOUNDATION 1802 COLORADO LA JUNTA CO 81050		PUBLIC	SCHOLARSHIPS	Person or [ ] Business [X] 4,000.
MOUNTAIN PARK ENVIRONMENTAL CENTER P O BOX 99 BEULAH CO 81023		PUBLIC	SUPPORT FOR EARTH STUDIES PROGRAM	Person or [ ] Business [X] 12,000.
MT CARMEL HEALTH, WELLNESS & COMMUNITY CENTER P O BOX 85 COLORADO SPRINGS CO 80901		PUBLIC	ESTABLISH A YOUTH CHOIR	Person or [ ] Business [X] 8,000.
PUEBLO CITY-COUNTY LIBRARY DISTRICT 100 E ABRIENDO AVENUE PUEBLO CO 81004		PUBLIC	INFOZONE NEWS MUSEUM IMPROVEMENTS TO EXHIBITION AREA	Person or [ ] Business [X] 10,000.
PUEBLO COMMUNITY COLLEGE FOUNDATION 900 W ORMAN PUEBLO CO 81004		PUBLIC	SCHOLARSHIPS	Person or [ ] Business [X] 8,000.

Form 990-PF, Page 11, Part XV, line 3a Continued

Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Person or Business Checkbox / Amount
a *Paid during the year*				
PUEBLO COMMUNITY COLLEGE FOUNDATION 900 W ORMAN PUEBLO CO 81004		PUBLIC	ARTWORK - SCULPTURE CONSTRUCTION	Person or ☐ Business ☒ 1,265.
PUEBLO HOME OF HEROES ASSOCIATION P O BOX 36 PUEBLO CO 81002		PUBLIC	MEDAL OF HONOR CONVENTION BOOK	Person or ☐ Business ☒ 10,000.
PUEBLO SYMPHONY ASSOCIATION 301 N MAIN ST, STE 106 PUEBLO CO 81003		PUBLIC	ASSISTANCE WITH CONCERT EXPENSES	Person or ☐ Business ☒ 7,500.
SANGRE DE CRISTO ARTS CENTER 210 NORTH SANTA FE AVENUE PUEBLO CO 81003		PUBLIC	ASSISTANCE WITH EXHIBITIONS IN MUSEUM	Person or ☐ Business ☒ 5,000.
TRINIDAD STATE JR COLLEGE EDUCATIONAL FND 600 PROSPECT ST TRINIDAD CO 81082		PUBLIC	SCHOLARSHIPS	Person or ☐ Business ☒ 4,000.
Total				69,765.

Form 990-PF, Page 1, Part I

Line 16b - Accounting Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
SCHMIDT VALENTINE WHITTEMORE & CO	BOOKKEEPING & FORM 990-PF PREPARATION	1,675.	1,675.		
Total		1,675.	1,675.		

Form 990-PF, Page 2, Part II, Line 10b

L-10b Stmt

Line 10b - Investments - Corporate Stock:	End of Year Book Value	End of Year Fair Market Value
3M COMPANY 52 SHS	7,386.	9,275.
ABBVIE INC 231 SHS	14,680.	15,299.
ALTRIA GROUP INC 229 SHS	7,726.	15,503.
AMERICAN ELECTRIC POWER CO 224 SHS	10,378.	15,523.
APPLE INC 81 SHS	9,256.	8,441.

Form 990-PF, Page 2, Part II, Line 10b Continued
L-10b Stmt

Line 10b - Investments - Corporate Stock:	End of Year Book Value	End of Year Fair Market Value
AT&T INC 218 SHS	7,883.	9,437.
AUTOMATIC DATA PROCESSING INC 97 SHS	6,925.	8,628.
BANK OF AMERICA CORP 2000 SHS	56,125.	28,980.
BANK OF MONTREAL 236 SHS	13,210.	15,132.
BCE INC NEW 1414 SHS	62,431.	67,717.
BRISTOL MYERS SQUIBB CO 2000 SHS	54,211.	149,620.
CHEMOURS COMPANY (THE) 160 SHS	2,678.	1,488.
CHEVRON CORP 1943 SHS	76,860.	199,119.
CITIGROUP INC 200 SHS	100,134.	8,762.
COCA COLA CO 1798 SHS	51,682.	78,447.
COLGATE-PALMOLIVE CO 2000 SHS	55,341.	148,860.
DIGITAL REALTY TRUST INC 157 SHS	10,698.	16,400.
DOW CHEMICAL COMPANY 1159 SHS	49,479.	62,204.
DUKE ENERGY CORPORATION 182 SHS	13,064.	15,577.
EATON CORP PLC 245 SHS	13,960.	15,535.
EI DUPONT DE NEMOURS & CO 800 SHS	49,978.	55,336.
EXXON MOBIL CORP 1900 SHS	65,662.	169,005.
GENERAL ELECTRIC CO 2273 SHS	39,803.	70,781.
GLAXOSMITHKLINE PLC 331 SHS	15,098.	14,918.
HOME DEPOT INC 1000 SHS	52,782.	138,240.
HSBC HOLDINGS PLC 458 SHS	21,409.	15,000.
INTEL CORP 2000 SHS	73,914.	69,720.
JOHNSON & JOHNSON 78 SHS	7,876.	9,768.
LOCKHEED MARTIN CORP 60 SHS	5,546.	15,164.
MCDONALDS CORP 71 SHS	6,755.	8,353.
MEDTRONIC PLC 2110 SHS	160,548.	184,899.
MERCK & CO INC 269 SHS	10,884.	15,780.
MICROCHIP TECHNOLOGY INC 288 SHS	9,379.	16,024.
MICROSOFT CORP 1100 SHS	48,882.	62,348.
NEXTERA ENERGY INC 73 SHS	6,911.	9,365.
PACCAR INC 1500 SHS	79,468.	88,455.
PHILIP MORRIS INTERNATIONAL 88 SHS	7,278.	8,823.
PROCTOR & GAMBLE CO 1107 SHS	63,018.	94,748.
QUALCOMM INC 159 SHS	11,043.	9,950.
REYNOLDS AMERICAN INC 289 SHS	10,170.	14,467.
ROYAL DUTCH SHELL PLC 882 SHS	69,210.	47,813.
SIMON PROPERTY GROUP INC 68 SHS	12,299.	15,439.
SPECTRA ENERGY CORP 278 SHS	6,676.	10,000.
TEMPLETON GROWTH FUND 15379.5 SHS	326,634.	341,885.
TORONTO-DOMINION BANK 205 SHS	10,511.	8,932.
UNITED PARCEL SVC INC 82 SHS	8,062.	8,864.
UNITEDHEALTH GROUP INC 69 SHS	5,584.	9,881.
VERIZON COMMUNICATIONS 282 SHS	13,055.	15,626.
WELLS FARGO & CO 3159 SHS	66,883.	151,537.
WELLTOWER INC 196 SHS	11,262.	15,549.
WEYERHAEUSER CO 432 SHS	11,913.	14,135.
Total	1,912,600.	2,600,752.

Form 990-PF, Page 2, Part II, Line 10c
L- 10c Stmt

Line 10c - Investments - Corporate Bonds:	End of Year Book Value	End of Year Fair Market Value
ALLSTATE CORP LEOPARDS 6.625% 2000 SHS	50,000.	57,044.
BANK OF AMERICA CORP DEP 1000 SHS	25,000.	25,920.
BB&T CORPORATION 5.2% 2000 SHS	50,000.	53,340.
CAPITAL ONE FINANCIAL CORP 1800 SHS	45,000.	47,016.
GENERAL ELECTRIC CAPITAL CORP 2000 SHS	44,766.	53,180.
NEXTERA ENERGY CAPITAL HOLDINGS 1500 SHS	37,048.	39,525.
PROTECTIVE LIFE CORP 1800 SHS	45,000.	47,412.
PUBLIC STORAGE DEP 1500 SHS	37,727.	38,550.
STANLEY BLACK & DECKER INC 1500 SHS	38,135.	39,570.
TELEPHONE & DATA SYSTEMS INC 1500 SHS	37,772.	39,060.
WELLS FARGO & CO 5.25% 2000 SHS	47,866.	52,980.
WELLS FARGO & CO 5.50% 2000 SHS	50,000.	53,000.
Total	508,314.	546,597.

Form 990-PF, Page 2, Part II, Line 13
L-13 Stmt

Line 13 - Investments - Other:	End of Year Book Value	End of Year Fair Market Value
ENTERPRISE PRODUCT PARTNERS LP 548 UNITS	8,505.	15,602.
MAGELLAN MIDSTREAM PARTNERS LP 202UNITS	12,312.	14,718.
Total	20,817.	30,320.

Prepared for:
THE ROBERT HOAG RAWLINGS
GUIDED PORTFOLIO-PRIME INCOME
ATTN JANE RAWLINGS
PO BOX 36
PUEBLO CO 81002-0036

Schedule of Realized Gains and Losses

From 01/01/2016 through 07/31/2016
As of November 07, 2016
For Account: 314-36186

Prepared by:
JERILEE LARRINAGA
RBC WEALTH MANAGEMENT
Phone 719/544-2800
Toll-Free 800/876-3244
Fax 719/544-1152

	Quantity	Description	Open Date	Unit Cost	Net Cost	Close Date	Unit Proceeds	Net Proceeds	Realized Gain/Loss
Short Term									
	29	DIGITAL REALTY TRUST INC SYMBOL: DLR	06/30/15	66.69	1,933.90	05/09/16	91.87	2,664.11	730.21
	13	DUKE ENERGY CORPORATION HOLDING COMPANY SYMBOL: DUK	08/26/15	71.21	925.71	05/09/16	80.09	1,041.14	115.43
	202	SEAGATE TECHNOLOGY PLC SYMBOL: STX	12/11/15	35.81	7,233.92	05/09/16	18.83	3,803.67	-3,430.24
	125	SEAGATE TECHNOLOGY PLC SYMBOL: STX	12/10/15	36 10	4,512.23	05/09/16	18.83	2,353.76	-2,158.47
	19	VERIZON COMMUNICATIONS SYMBOL: VZ	11/04/15	46.12	876.21	05/09/16	51.12	971.34	95.12
		Short Term Subtotal			**15,481.97**			**10,834.02**	**-4,647.95**
Long Term									
	15	ALTRIA GROUP INC SYMBOL. MO	06/20/12	34.00	509 98	05/09/16	63.30	949.47	439.49
	15	AMERICAN ELECTRIC POWER CO INC SYMBOL: AEP	12/19/13	46.33	694.98	05/09/16	64.63	969.44	274.45
	20	BCE INC COM NEW SYMBOL: BCE	06/20/12	40.68	813.56	05/09/16	45.53	910.69	97.13
	4	LOCKHEED MARTIN CORP SYMBOL: LMT	12/21/12	93.00	372.01	05/09/16	241.16	964 65	592.63
	19	REYNOLDS AMERICAN INC SYMBOL: RAI	03/12/15	35.34	671.41	05/09/16	49.84	946.95	275.53
	4	SIMON PROPERTY GROUP INC SYMBOL: SPG	03/10/15	180.86	723.45	05/09/16	211.62	846.46	123.00
	15	WELLTOWER INC COM SYMBOL: HCN	12/21/12	58.28	874.20	05/09/16	74.66	1,119.89	245.68
		Long Term Subtotal			**4,659.59**			**6,707.55**	**2,047.91**

Schedule of Realized Gains and Losses

From 01/01/2016 through 07/31/2016
As of November 07, 2016
For Account 314-36186

	Quantity	Description	Open Date	Unit Cost	Net Cost	Close Date	Unit Proceeds	Net Proceeds	Realized Gain/Loss
Report Total					**20,141.56**			**17,541.57**	**-2,600.04**

Realized Gains or Losses	
Short Term	-4,647.95
Long Term	2,047.91
Term Unknown	0.00

Short Term includes assets held 12 months or less.
Long Term includes assets held longer than 12 months + 1 day

Prepared for:
THE ROBERT HOAG RAWLINGS FOUNDATION
C/O JANE RAWLINGS
PO BOX 36
PUEBLO CO 81002-0036

Schedule of Realized Gains and Losses

From 01/01/2016 through 07/31/2016
As of November 07, 2016
For Account: 307-39923

Prepared by:
JERILEE LARRINAGA
RBC WEALTH MANAGEMENT
Phone 719/544-2800
Toll-Free 800/876-3244
Fax 719/544-1152

	Quantity	Description	Open Date	Unit Cost	Net Cost	Close Date	Unit Proceeds	Net Proceeds	Realized Gain/Loss
Long Term									
	2,000	RBS CAPITAL FUNDING TRUST VII 6.08%NON CUMULATIVE GUARANTEED WR/BB- CUSIP: 74928P207	07/09/04	23.44	46,872 22	06/23/16	25.00	50,000.00	3,127.78
	3,000	RBS CAPITAL FUNDING TRUST VII 6 08%NON CUMULATIVE GUARANTEED WR/BB- CUSIP: 74928P207	02/11/04	25 00	75,000.00	06/23/16	25 00	75,000.00	0 00
		Long Term Subtotal			**121,872.22**			**125,000.00**	**3,127.78**
Report Total					**121,872.22**			**125,000.00**	**3,127.78**

Realized Gains or Losses

Short Term	0.00
Long Term	3,127.78
Term Unknown	0.00

Short Term includes assets held 12 months or less.
Long Term includes assets held longer than 12 months + 1 day

Prepared for:
THE ROBERT HOAG RAWLINGS FOUNDATION
MONBERG ACCOUNT
C/O JANE RAWLINGS
PO BOX 36
PUEBLO CO 81002-0036

Schedule of Realized Gains and Losses

From 01/01/2016 through 07/31/2016
As of November 07, 2016
For Account: 307-72884

Prepared by:
JERILEE LARRINAGA
RBC WEALTH MANAGEMENT
Phone 719/544-2800
Toll-Free 800/876-3244
Fax 719/544-1152

Quantity	Description	Open Date	Unit Cost	Net Cost	Close Date	Unit Proceeds	Net Proceeds	Realized Gain/Loss
Short Term								
11	MEDTRONIC PLC COM SYMBOL: MDT	06/30/15	73 88	812.71	01/05/16	76.11	837.22	24.50
47	SPECTRA ENERGY CORP SYMBOL: SE	12/09/15	23 81	1,119.29	03/08/16	30.14	1,416.54	297.25
	Short Term Subtotal			**1,932.00**			**2,253.76**	**321.75**
Long Term								
2	3M COMPANY SYMBOL: MMM	07/31/14	142.03	284.06	01/05/16	147.12	294.23	10.17
5	3M COMPANY SYMBOL: MMM	07/31/14	142.03	710.15	03/08/16	160.51	802 53	92.38
20	AT&T INC SYMBOL: T	07/31/14	36 16	723.16	01/05/16	34 60	691.98	-31.18
25	AT&T INC SYMBOL: T	07/31/14	36.16	903.95	03/08/16	38.25	956.24	52.28
8	AUTOMATIC DATA PROCESSING INC SYMBOL: ADP	07/31/14	71.15	569.21	03/08/16	85.93	687.44	118.22
11	COCA COLA COMPANY (THE) SYMBOL: KO	07/31/14	39.59	435.47	01/05/16	42.53	467.85	32.38
4	EXXON MOBIL CORP SYMBOL: XOM	07/31/14	101.03	404.14	01/05/16	77.83	311 32	-92.81
8	EXXON MOBIL CORP SYMBOL: XOM	07/31/14	101.03	808.28	03/08/16	83.49	667.92	-140.35
25	GENERAL ELECTRIC COMPANY COM SYMBOL. GE	07/31/14	25.38	634.47	01/05/16	30.74	768.53	134.06
9	JOHNSON & JOHNSON SYMBOL: JNJ	07/31/14	101.02	909.15	01/05/16	101.18	910.63	1.47
5	JOHNSON & JOHNSON SYMBOL: JNJ	07/31/14	101.02	505.09	03/08/16	106.59	532.94	27.85

Schedule of Realized Gains and Losses

From 01/01/2016 through 07/31/2016
As of November 07, 2016
For Account: 307-72884

Quantity	Description	Open Date	Unit Cost	Net Cost	Close Date	Unit Proceeds	Net Proceeds	Realized Gain/Loss
6	MCDONALDS CORP SYMBOL: MCD	07/31/14	95.14	570.82	01/05/16	118.97	713 83	143 01
8	NEXTERA ENERGY INC SYMBOL: NEE	07/31/14	94 68	757.41	01/05/16	104.55	836 41	79.00
7	NEXTERA ENERGY INC SYMBOL. NEE	07/31/14	94.68	662.73	03/08/16	115.39	807 72	144.98
12	PHILIP MORRIS INTERNATIONAL INC SYMBOL· PM	07/31/14	82.80	993.60	01/05/16	88.03	1,056.34	62.74
7	PHILIP MORRIS INTERNATIONAL INC SYMBOL: PM	07/31/14	82.80	579.60	03/08/16	94.70	662.88	83.28
5	PROCTER & GAMBLE CO SYMBOL· PG	07/31/14	77.87	389.34	01/05/16	78.80	394.00	4.66
☐ 11	QUALCOMM INC ORIGINAL COST: 820.57 SYMBOL: QCOM LOSS DISALLOWED: 276.28	07/31/14	49 48	544.29	01/05/16	49.48	544.29	0.00
11	QUALCOMM INC SYMBOL: QCOM	07/31/14	74 60	820.58	03/08/16	52.98	582.79	-237.78
19	TORONTO-DOMINION BANK SYMBOL: TD	07/31/14	52 64	1,000.16	03/08/16	40.79	774.99	-225.16
6	UNITED PARCEL SVC INC CL B SYMBOL: UPS	07/31/14	98.32	589.89	01/05/16	96.02	576.12	-13.77
6	UNITED PARCEL SVC INC CL B SYMBOL: UPS	07/31/14	98.32	589.89	03/08/16	101.36	608.14	18.24
5	UNITEDHEALTH GROUP INC SYMBOL: UNH	07/31/14	80.93	404.65	03/08/16	122.23	611.13	206.48
	Long Term Subtotal			**14,790.09**			**15,260.25**	**470.15**

Schedule of Realized Gains and Losses

From 01/01/2016 through 07/31/2016
As of November 07, 2016
For Account. 307-72884

Quantity	Description	Open Date	Unit Cost	Net Cost	Close Date	Unit Proceeds	Net Proceeds	Realized Gain/Loss
Report Total				**16,722.09**			**17,514.01**	**791.90**

☐ = Wash Sale

Realized Gains or Losses

Short Term	321.75
Long Term	470 15
Term Unknown	0 00

Short Term includes assets held 12 months or less.
Long Term includes assets held longer than 12 months + 1 day