

Form **990-PF****Return of Private Foundation**  
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

**2015**

Open to Public Inspection

Department of the Treasury  
Internal Revenue Service▶ Do not enter social security numbers on this form as it may be made public.  
▶ Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

For calendar year 2015 or tax year beginning 8/1/2015, and ending 7/31/2016

Name of foundation <b>FRANCES &amp; EDWIN CUMMINGS MEM FD TR U</b>			A Employer identification number <b>13-6814491</b>	
Number and street (or P O box number if mail is not delivered to street address) <b>BNY Mellon, N A - P O Box 185</b>		Room/suite	B Telephone number (see instructions) <b>(212) 922-8143</b>	
City or town <b>Pittsburgh</b>	State <b>PA</b>	ZIP code <b>15230-0185</b>		
Foreign country name	Foreign province/state/county	Foreign postal code	C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change			D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation			E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ <b>35,301,953</b>		J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)		
			F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	887,956	885,291		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-154,285			
	b Gross sales price for all assets on line 6a	10,267,824			
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	733,671	885,291	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	132,804	60,132		72,666
	14 Other employee salaries and wages	204,314			204,314
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	24,933	12,933		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy	79,424			79,424
	21 Travel, conferences, and meetings	7,934			7,934
	22 Printing and publications				
	23 Other expenses (attach schedule)	42,649	306		42,343
	24 Total operating and administrative expenses. Add lines 13 through 23	492,058	73,371	0	406,681
	25 Contributions, gifts, grants paid	1,470,000			1,470,000
26 Total expenses and disbursements. Add lines 24 and 25	1,962,058	73,371	0	1,876,681	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-1,228,387				
b Net investment income (if negative, enter -0-)		811,920			
c Adjusted net income (if negative, enter -0-)			0		

For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2015)

HTA

P 3 NE

RECEIVED  
DEC 1 2 2016SCANNED  
DEC 27 2016

<b>Part II Balance Sheets</b>		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing	1,110	1,129	1,129
	<b>2</b> Savings and temporary cash investments	328,264	275,265	275,265
	<b>3</b> Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	<b>4</b> Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	<b>5</b> Grants receivable			
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	<b>7</b> Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	<b>8</b> Inventories for sale or use			
	<b>9</b> Prepaid expenses and deferred charges			
	<b>10a</b> Investments—U S. and state government obligations (attach schedule)			
	<b>b</b> Investments—corporate stock (attach schedule)			
	<b>c</b> Investments—corporate bonds (attach schedule)			
<b>Liabilities</b>	<b>11</b> Investments—land, buildings, and equipment, basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	<b>12</b> Investments—mortgage loans			
	<b>13</b> Investments—other (attach schedule)	27,273,816	26,091,926	35,025,559
	<b>14</b> Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	<b>15</b> Other assets (describe ▶ )			
	<b>16</b> <b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I)	27,603,190	26,368,320	35,301,953
	<b>17</b> Accounts payable and accrued expenses			
	<b>18</b> Grants payable			
<b>Net Assets or Fund Balances</b>	<b>19</b> Deferred revenue			
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons			
	<b>21</b> Mortgages and other notes payable (attach schedule)			
	<b>22</b> Other liabilities (describe ▶ )			
	<b>23</b> <b>Total liabilities</b> (add lines 17 through 22)	0	0	
	<b>Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.</b> ▶ <input type="checkbox"/>			
	<b>24</b> Unrestricted			
	<b>25</b> Temporarily restricted			
	<b>26</b> Permanently restricted			
	<b>Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.</b> ▶ <input checked="" type="checkbox"/>			
	<b>27</b> Capital stock, trust principal, or current funds	27,603,190	26,368,320	
	<b>28</b> Paid-in or capital surplus, or land, bldg, and equipment fund			
	<b>29</b> Retained earnings, accumulated income, endowment, or other funds			
	<b>30</b> <b>Total net assets or fund balances</b> (see instructions)	27,603,190	26,368,320	
	<b>31</b> <b>Total liabilities and net assets/fund balances</b> (see instructions)	27,603,190	26,368,320	

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	<b>1</b>	27,603,190
<b>2</b> Enter amount from Part I, line 27a	<b>2</b>	-1,228,387
<b>3</b> Other increases not included in line 2 (itemize) ▶ Tax Cost Adjustment	<b>3</b>	56
<b>4</b> Add lines 1, 2, and 3	<b>4</b>	26,374,859
<b>5</b> Decreases not included in line 2 (itemize) ▶ See Attached Statement	<b>5</b>	6,539
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	<b>6</b>	26,368,320

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b> See Attached Statement				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
<b>2</b> Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			<b>2</b>	-154,285
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 }			<b>3</b>	0

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	1,821,295	36,674,471	0.049661
2013	1,826,243	35,715,963	0.051132
2012	1,826,104	33,091,727	0.055183
2011	1,853,595	31,318,976	0.059184
2010	1,818,356	32,542,054	0.055877
<b>2</b> Total of line 1, column (d)			<b>2</b> 0.271037
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			<b>3</b> 0.054207
<b>4</b> Enter the net value of noncharitable-use assets for 2015 from Part X, line 5			<b>4</b> 34,092,499
<b>5</b> Multiply line 4 by line 3			<b>5</b> 1,848,052
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)			<b>6</b> 8,119
<b>7</b> Add lines 5 and 6			<b>7</b> 1,856,171
<b>8</b> Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			<b>8</b> 1,876,681

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)			
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	<b>1</b>	8,119	
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	0	
<b>3</b>	Add lines 1 and 2	<b>3</b>	8,119	
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>		
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	<b>5</b>	8,119	
<b>6</b>	<b>Credits/Payments</b>			
<b>a</b>	2015 estimated tax payments and 2014 overpayment credited to 2015	<b>6a</b>	23,489	
<b>b</b>	Exempt foreign organizations—tax withheld at source	<b>6b</b>		
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>		
<b>d</b>	Backup withholding erroneously withheld	<b>6d</b>		
<b>7</b>	<b>Total credits and payments.</b> Add lines 6a through 6d	<b>7</b>	23,489	
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>		
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	<b>9</b>	0	
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	<b>10</b>	15,370	
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2016 estimated tax</b> <b>Refunded</b>	<b>11</b>	0	

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		X
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year?		X
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: <b>(1)</b> On the foundation <b>\$</b> <b>(2)</b> On foundation managers <b>\$</b>		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <b>\$</b>		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?	N/A	
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) NY AG REG# 03-53-27		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	X	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address <b>WWW.CUMMINGSFUND.ORG</b>				
14	The books are in care of <b>BNY MELLON, N A</b>	Telephone no	<b>(212) 922-8143</b>	
	Located at <b>P O BOX 185 PITTSBURGH, PA</b>	ZIP+4	<b>15230-0185</b>	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here and enter the amount of tax-exempt interest received or accrued during the year <b>15</b> <b>N/A</b>			
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country <b>X</b>			

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <b>1b</b>		X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? <b>1c</b>		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? <b>2a</b>	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	If "Yes," list the years <b>20</b> , <b>20</b> , <b>20</b> , <b>20</b>		
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions) <b>2b</b>	N/A	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <b>2c</b>		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <b>3a</b>	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.) <b>3b</b>	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? <b>4a</b>		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015? <b>4b</b>		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

- 5a** During the year did the foundation pay or incur any amount to
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b** If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No
- Organizations relying on a current notice regarding disaster assistance check here ☐
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No
- If "Yes," attach the statement required by Regulations section 53.4945–5(d) N/A
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
- If "Yes" to 6b, file Form 8870
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

5b	N/A	
6b		X
7b	N/A	

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BNY Mellon, N.A. P O Box 185 Pittsburgh, PA 15230-0185	TRUSTEE SEE ATTACHED	194,784	NONE	NONE
BNY Mellon, N.A. P O Box 185 Pittsburgh, PA 15230-0185	FEE REIMBURSEMENT	-114,600	N/A	N/A
J ANDREW LARK ESQ 501 Fifth Ave, Suite 708 New York, NY 10017	CO-TRUSTEE 35 00	52,620	NONE	NONE
DOROTHY A. RILEY-CHEW 501 Fifth Ave, Suite 708 New York, NY 10017	SECRETARY 35 00	43,994	NONE	NONE

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ELIZABETH HARRISON COSTAS 501 Fifth Ave, Suite 708, NEW YORK, NY 10017	EXECUTIVE DIRE 35 00	125,674	NONE	NONE
	00	0		
	00	0		
	00	0		
	00	0		

Total number of other employees paid over \$50,000

▶ NONE

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)***3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		NONE

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	NONE	
2		
3		
4		

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1	NONE	
2		
All other program-related investments See instructions		
3	NONE	
Total. Add lines 1 through 3		NONE

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	34,014,854
<b>b</b>	Average of monthly cash balances	<b>1b</b>	596,820
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	34,611,674
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	34,611,674
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	<b>4</b>	519,175
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	34,092,499
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	1,704,625

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	1,704,625
<b>2a</b>	Tax on investment income for 2015 from Part VI, line 5	<b>2a</b>	8,119
<b>b</b>	Income tax for 2015 (This does not include the tax from Part VI)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	8,119
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	1,696,506
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	
<b>5</b>	Add lines 3 and 4	<b>5</b>	1,696,506
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	
<b>7</b>	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	1,696,506

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	<b>1a</b>	1,876,681
<b>b</b>	Program-related investments—total from Part IX-B	<b>1b</b>	NONE
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	1,876,681
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	8,119
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	1,868,562

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
<b>1</b> Distributable amount for 2015 from Part XI, line 7				1,696,506
<b>2</b> Undistributed income, if any, as of the end of 2015.				
<b>a</b> Enter amount for 2014 only			0	
<b>b</b> Total for prior years 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2015				
<b>a</b> From 2010	258,397			
<b>b</b> From 2011	320,478			
<b>c</b> From 2012	198,805			
<b>d</b> From 2013	100,638			
<b>e</b> From 2014	40,267			
<b>f</b> <b>Total</b> of lines 3a through e	918,585			
<b>4</b> Qualifying distributions for 2015 from Part XII, line 4. <b>▶</b> \$ 1,876,681				
<b>a</b> Applied to 2014, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions)				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions)				
<b>d</b> Applied to 2015 distributable amount				1,696,506
<b>e</b> Remaining amount distributed out of corpus	180,175			
<b>5</b> Excess distributions carryover applied to 2015. (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,098,760			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions				
<b>e</b> Undistributed income for 2014 Subtract line 4a from line 2a. Taxable amount—see instructions			0	
<b>f</b> Undistributed income for 2015 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2016				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
<b>8</b> Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions)	258,397			
<b>9</b> <b>Excess distributions carryover to 2016.</b> Subtract lines 7 and 8 from line 6a	840,363			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2011	320,478			
<b>b</b> Excess from 2012	198,805			
<b>c</b> Excess from 2013	100,638			
<b>d</b> Excess from 2014	40,267			
<b>e</b> Excess from 2015	180,175			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)**N/A**

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					0
<b>b</b> 85% of line 2a					0
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed					0
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities					0
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					0
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test—enter					
<b>(1)</b> Value of all assets					0
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					0
<b>b</b> "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					0
<b>c</b> "Support" alternative test—enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
<b>(3)</b> Largest amount of support from an exempt organization					0
<b>(4)</b> Gross investment income					0

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2) )

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

Elizabeth H. Costas, Exec Dir, Frances L & Edwin L Cummings Memorial Fd, 501 Fifth Ave, Ste 708, New York, NY 10017 (212) 286-17

- b** The form in which applications should be submitted and information and materials they should include

Please refer to [www.cummingsfund.org](http://www.cummingsfund.org) for the annual report

- c** Any submission deadlines.

April 1st and October 1st

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

No support for cultural art

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> <i>Paid during the year</i> See Attached Statement				
<b>Total</b>			▶ <b>3a</b>	1,470,000
<b>b</b> <i>Approved for future payment</i> NONE				
<b>Total</b>			▶ <b>3b</b>	NONE

## Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities			14	887,956	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	-154,285	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue a					
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)		0		733,671	0
13	Total. Add line 12, columns (b), (d), and (e)				733,671	733,671

(See worksheet in line 13 instructions to verify calculations )

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]



**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

**Name**

ALL SAINTS EPISCOPAL COMMUNITY SERVICE AND DEVELOPMENT CORPORATION

**Street**

601 JACKSON STREET

**City**

HOBOKEN

**State**

NJ

**Zip Code**

07030

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR RENEWED SUPPORT OF THE EDUCATION PROGRAM DIRECTOR AT THEIR JUBILEE CENTER

**Amount**

40,000

**Name**

BLUE ENGINE, INC

**Street**

75 BROAD STREET, SUITE 2900

**City**

NEW YORK

**State**

NY

**Zip Code**

10004

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR THE NEW DIRECTOR OF LEARNING &amp; DEVELOPMENT

**Amount**

35,000

**Name**

BOYS &amp; GIRLS CLUB OF LODI, INC

**Street**

460 PASSAIC AVENUE

**City**

LODI

**State**

NJ

**Zip Code**

07644

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR 2 PART-TIME STEM EDUCATORS TEACHING AT THEIR LODI AND HACKENSACK CLUBS

**Amount**

25,000

**Name**

BOYS &amp; GIRLS CLUB OF UNION COUNTY, INC

**Street**

1050 JEANETTE AVENUE

**City**

UNION

**State**

NJ

**Zip Code**

07083

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR A CAREER SPECIALIST RESPONSIBLE FOR ADMINISTERING THE CAREER LAUNCH PROGRAM

**Amount**

25,000

**Name**

CENTER FOR EDUCATIONAL INNOVATION - PUBLIC EDUCATION ASSOCIATION

**Street**

28 W 44TH STREET #801

**City**

NEW YORK

**State**

NY

**Zip Code**

10036

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR THE EXPANSION OF PROJECT BOOST TO 5 NYC PUBLIC SCHOOLS

**Amount**

25,000

**Name**

CENTER FOR URBAN COMMUNITY SERVICES, INC

**Street**

198 E 121ST STREET, 6TH FLOOR

**City**

NEW YORK

**State**

NY

**Zip Code**

10035

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR A NEW ASSOCIATE DIRECTOR TO OVERSEE THEIR HEALTH HOME PROGRAM

**Amount**

25,000

**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

**Name**

CENTRAL QUEENS ACADEMY CHARTER SCHOOL

**Street**

55-30 JUNCTION BLVD

**City**

ELMHURST

**State**  
NY**Zip Code**  
11373**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR THEIR 5TH AND 6TH GRADE GUIDANCE COUNSELOR

**Amount**

32,500

**Name**

CITY YEAR INC (EARMARKED FOR NEW YORK)

**Street**

20 W 22ND STREET, 3RD FLOOR

**City**

NEW YORK

**State**  
NY**Zip Code**  
10010**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR AN IMPACT COACH FOR THEIR SERVICE TEAM WHICH SUPPORTS CORPS MEMBERS

**Amount**

32,500

**Name**

CONEY ISLAND PREPARATORY PUBLIC CHARTER SCHOOL

**Street**

501 WEST AVENUE, ROOM 300

**City**

BROOKLYN

**State**  
NY**Zip Code**  
11224**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR SUPPORT OF THE DEAN OF INSTRUCTION FOR THE SCIENCES

**Amount**

40,000

**Name**

DREAM CHARTER SCHOOL

**Street**

1991 2ND AVENUE

**City**

NEW YORK

**State**  
NY**Zip Code**  
10029**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR DREAM'S NEW DIRECTOR OF PRE-K PROGRAMS

**Amount**

45,000

**Name**

EAST HARLEM TUTORIAL PROGRAM, INC

**Street**

2050 2ND AVENUE

**City**

NEW YORK

**State**  
NY**Zip Code**  
10029**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

TO SUPPORT THE FOUNDING DIRECTOR OF THE EAST HARLEM TEACHING RESIDENCY

**Amount**

45,000

**Name**

EAST SIDE HOUSE, INC

**Street**

337 ALEXANDER AVENUE

**City**

BRONX

**State**  
NY**Zip Code**  
10454**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR A COLLEGE RETENTION AND PLACEMENT COORDINATOR

**Amount**

35,000

**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

**Name**

FORTUNE SOCIETY, INC

**Street**

29-76 NORTHERN BOULEVARD

**City**

LONG ISLAND CITY

**State**

NY

**Zip Code**

11101

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR A PROGRAM COORDINATOR FOR THEIR NEW JOB DEVELOPER TRAINING PROGRAM

**Amount**

35,000

**Name**

GETTING OUT AND STAYING OUT, INC

**Street**

75 E 116TH STREET

**City**

NEW YORK

**State**

NY

**Zip Code**

10029

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR SUPPORT OF A CAREER DEVELOPMENT ASSOCIATE

**Amount**

25,000

**Name**

GODDARD-RIVERSIDE COMMUNITY CENTER

**Street**

593 COLUMBUS AVENUE

**City**

NEW YORK

**State**

NY

**Zip Code**

10024

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR A COLLEGE SUCCESS COUNSELOR FOR STUDENTS AND THEIR OPTIONS PROGRAM

**Amount**

30,000

**Name**

GOOD SHEPARD SERVICES

**Street**

305 7TH AVENUE

**City**

NEW YORK

**State**

NY

**Zip Code**

10001

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR A NEW SENIOR FACILITATOR, A LEARNING INITIATIVE STAFF MEMBER

**Amount**

40,000

**Name**

GRAHAM WINDHAM

**Street**

ONE PIERREPONT PLAZA, SUITE 901

**City**

BROOKLYN

**State**

NY

**Zip Code**

11201

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

TO SUPPORT TWO VISIT COACHES SERVING FAMILIES IN THEIR CHILD WELFARE PROGRAMS

**Amount**

40,000

**Name**

GRAND STREET SETTLEMENT, INC

**Street**

80 PITT STREET

**City**

NEW YORK

**State**

NY

**Zip Code**

10002

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR AN EDUCATION COORDINATOR FOR THEIR BROOKLYN AFTERSCHOOL PROGRAMS

**Amount**

25,000



**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

**Name**

HARLEM EDUCATIONAL ACTIVITIES FUND, INC

**Street**

2090 ADAM CLAYTON POWELL BOULEVARD, 10TH FLOOR

<b>City</b> NEW YORK	<b>State</b> NY	<b>Zip Code</b> 10027	<b>Foreign Country</b>
-------------------------	--------------------	--------------------------	------------------------

<b>Relationship</b> NONE	<b>Foundation Status</b> PC
-----------------------------	--------------------------------

<b>Purpose of grant/contribution</b> FOR SUPPORT OF A PT PROGRAM COORDINATOR FOR THE ONWARD COLLEGE SUCCESS PROGRAM	<b>Amount</b> 25,000
--	-------------------------

**Name**

HETRICK MARTIN INSTITUTE - NJ INC

**Street**

550 BROAD STREET, SUITE 610

<b>City</b> NEWARK	<b>State</b> NJ	<b>Zip Code</b> 07102	<b>Foreign Country</b>
-----------------------	--------------------	--------------------------	------------------------

<b>Relationship</b> NONE	<b>Foundation Status</b> PC
-----------------------------	--------------------------------

<b>Purpose of grant/contribution</b> FOR THEIR NJ TRAINING INSTITUTE TO PROVIDE TRAINING TO AGENCIES WHO SERVE LGBTQ YOUTH	<b>Amount</b> 35,000
---	-------------------------

**Name**

HOPE PROGRAM, INC

**Street**

1 SMITH STREET

<b>City</b> BROOKLYN	<b>State</b> NY	<b>Zip Code</b> 11201	<b>Foreign Country</b>
-------------------------	--------------------	--------------------------	------------------------

<b>Relationship</b> NONE	<b>Foundation Status</b> PC
-----------------------------	--------------------------------

<b>Purpose of grant/contribution</b> TO SUPPORT A YOUTH EMPLOYMENT SPECIALIST TO SERVE YOUTH 18-24	<b>Amount</b> 30,000
---	-------------------------

**Name**

HYDE LEADERSHIP CHARTER SCHOOL

**Street**

730 BRYANT AVENUE

<b>City</b> BRONX	<b>State</b> NY	<b>Zip Code</b> 10474	<b>Foreign Country</b>
----------------------	--------------------	--------------------------	------------------------

<b>Relationship</b> NONE	<b>Foundation Status</b> PC
-----------------------------	--------------------------------

<b>Purpose of grant/contribution</b> FOR 2 ADDITIONAL TEACHERS FOR THEIR KINDERGARTEN INTEGRATED CO-TEACHING PROGRAM	<b>Amount</b> 50,000
---	-------------------------

**Name**

JERICHO PROJECT

**Street**

245 W 29TH STREET, SUITE 902

<b>City</b> NEW YORK	<b>State</b> NY	<b>Zip Code</b> 10001	<b>Foreign Country</b>
-------------------------	--------------------	--------------------------	------------------------

<b>Relationship</b> NONE	<b>Foundation Status</b> PC
-----------------------------	--------------------------------

<b>Purpose of grant/contribution</b> TO SUPPORT A HEALTHCARE COORDINATOR FOR THEIR NEW HEALTHCARE COORDINATION PROGRAM	<b>Amount</b> 25,000
---	-------------------------

**Name**

KIPP NEW YORK

**Street**

470 7TH AVENUE

<b>City</b> NEW YORK	<b>State</b> NY	<b>Zip Code</b> 10018	<b>Foreign Country</b>
-------------------------	--------------------	--------------------------	------------------------

<b>Relationship</b> NONE	<b>Foundation Status</b> PC
-----------------------------	--------------------------------

<b>Purpose of grant/contribution</b> FOR THE PROGRAM DIRECTOR FOR THEIR KIPP NYC EMPIRE TEACHING FELLOWS PROGRAM	<b>Amount</b> 45,000
---	-------------------------

**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

**Name**

LENOX HILL NEIGHBORHOOD HOUSE, INC

**Street**

331 E 70TH STREET

**City**

NEW YORK

**State**  
NY**Zip Code**  
10021**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR THE SCIENCE AND MATH LEAD TEACHER AT THEIR EARLY CHILDHOOD CENTER

**Amount**

25,000

**Name**

LESBIAN AND GAY COMMUNITY SERVICES CENTER, INC

**Street**

208 W 13TH STREET

**City**

NEW YORK

**State**  
NY**Zip Code**  
10011**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

TO SUPPORT CAPACITY BUILDING FOR THEIR LGBTQ FOSTER CARE PROJECT

**Amount**

35,000

**Name**

MADISON SQUARE BOYS &amp; GIRLS CLUB, INC

**Street**

733 3RD AVENUE, 2ND FLOOR

**City**

NEW YORK

**State**  
NY**Zip Code**  
10017**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR THE EXPANSION OF THEIR STEM PROGRAMMING ACROSS ALL FOUR NYC CLUBS

**Amount**

25,000

**Name**

NEW ALTERNATIVES FOR CHILDREN, INC

**Street**

37 W 26TH STREET

**City**

NEW YORK

**State**  
NY**Zip Code**  
10010**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

TO SUPPORT A NEW CHIEF DEVELOPMENT OFFICER POSITION

**Amount**

35,000

**Name**

NEW YORK CITY OUTWARD BOUND CENTER, INC

**Street**

29-46 NORTHERN BLVD

**City**

LONG ISLAND CITY

**State**  
NY**Zip Code**  
11101**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR THEIR NEW ALUMNI MANAGER FOR THEIR TO AND THROUGH COLLEGE PROGRAM

**Amount**

40,000

**Name**

OPPORTUNITIES FOR A BETTER TOMORROW, INC

**Street**

783 4TH AVENUE

**City**

BROOKLYN

**State**  
NY**Zip Code**  
11232**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR THE MANAGER OF CURRICULUM DESIGN AND TEACHER DEVELOPMENT

**Amount**

30,000

**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

**Name**

POWERMYLEARNING, INC

**Street**

520 8TH AVENUE, 10TH FLOOR

**City**

NEW YORK

**State**

NY

**Zip Code**

10018

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR AN INTERACTIVE FAMILY ENGAGEMENT PROGRAM IN PARTNERSHIP WITH 2 NYC PUBLIC

**Amount**

25,000

**SCHOOLS****Name**

PUBLIC PREPARATORY NETWORK, INC.

**Street**

291 BROADWAY, SUITE 1202

**City**

NEW YORK

**State**

NY

**Zip Code**

10007

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR A DIRECTOR OF ELEMENTARY SCHOOL LITERACY ACHIEVEMENT

**Amount**

35,000

**Name**

READING PARTNERS

**Street**

225 W 35TH STREET, 9TH FLOOR

**City**

NEW YORK

**State**

NY

**Zip Code**

10001

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

TO PROVIDE SUPPORT FOR A PROGRAM DIRECTOR POSITION

**Amount**

30,000

**Name**

SAFE HORIZON, INC

**Street**

2 LAFAYETTE STREET, 3RD FLOOR

**City**

NEW YORK

**State**

NY

**Zip Code**

10007

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR SUPPORT FOR THE SENIOR DIRECTOR OF THE BRONX CHILD ADVOCACY CENTER

**Amount**

25,000

**Name**

SANCTUARY FOR FAMILIES, INC

**Street**

P O BOX 1406

**City**

NEW YORK

**State**

NY

**Zip Code**

10268

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR A LITERACY COORDINATOR FOR THEIR ECONOMIC EMPOWERMENT PROGRAM

**Amount**

25,000

**Name**

SCRIPTED, INC

**Street**

85 BROAD STREET, 17TH FLOOR

**City**

NEW YORK

**State**

NY

**Zip Code**

10004

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR A PROGRAM MANAGER TO IMPLEMENT THEIR CAREERS IN TECHNOLOGY MODEL

**Amount**

30,000

**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

**Name**

SHELTERING ARMS CHILDREN AND FAMILY SERVICES, INC

**Street**

305 7TH AVENUE

**City**

NEW YORK

**State**

NY

**Zip Code**

10001

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR SUPPORT OF THE CHIEF PROGRAM OFFICER AND CHIEF OPERATING OFFICER POSITIONS

**Amount**

25,000

**Name**

TEACH FOR AMERICA, INC (EARMARKED FOR NEW JERSEY)

**Street**

50 PARK PL, SUITE M200

**City**

NEWARK

**State**

NJ

**Zip Code**

07102

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

TO SUPPORT THE DIRECTOR OF ALUMNI AND COMMUNITY PARTNERSHIPS

**Amount**

30,000

**Name**

TEACH FOR AMERICA, INC (EARMARKED FOR NEW YORK)

**Street**

25 BROADWAY, 12TH FLOOR

**City**

NEW YORK

**State**

NY

**Zip Code**

10004

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR SUPPORT OF THE DIRECTOR OF SCHOOL-BASED ALUMNI

**Amount**

30,000

**Name**

THE CHILD CENTER OF NY, INC

**Street**

60-02 QUEENS BLVD

**City**

WOODSIDE

**State**

NY

**Zip Code**

11377

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

TO SUPPORT THE PILOT OF THE NEW CONNECTED CARE INITIATIVE

**Amount**

35,000

**Name**

THE EAGLE ACADEMY FOUNDATION

**Street**

31 W 125TH STREET

**City**

NEW YORK

**State**

NY

**Zip Code**

10027

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR MS EXTRA AT BRONX EAGLE MIDDLE SCHOOL, AN EXPANDED LEARNING PROGRAM

**Amount**

30,000

**Name**

THE GO PROJECT, INC

**Street**

50 COOPER SQUARE, 3RD FLOOR

**City**

NEW YORK

**State**

NY

**Zip Code**

10003

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR RENEWED SUPPORT FOR THE PROGRAM COORDINATOR FOR COMMUNITY ENGAGEMENT

**Amount**

25,000

**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

**Name**

THE NEW YORK OPPORTUNITY NETWORK, INC

**Street**

85 BROAD STREET

**City**

NEW YORK

**State**

NY

**Zip Code**

10004

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR RENEWED SUPPORT OF THE ASSOCIATE DIRECTOR OF PARTNERSHIPS AND TRAINING

**Amount**

30,000

**Name**

THE OSBORNE ASSOCIATION, INC

**Street**

809 WESTCHESTER AVENUE

**City**

BRONX

**State**

NY

**Zip Code**

10455

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR RENEWED SUPPORT OF AN INTERNSHIP COORDINATOR FOR JUSTICE-INVOLVED YOUTH

**Amount**

20,000

**Name**

UNION SETTLEMENT ASSOCIATION

**Street**

237 E 104TH STREET

**City**

NEW YORK

**State**

NY

**Zip Code**

10029

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR CAPACITY BUILDING WITHIN THEIR FINANCE DEPARTMENT

**Amount**

20,000

**Name**

YOUNG WOMEN'S LEADERSHIP NETWORK, INC

**Street**

322 8TH AVENUE, 14TH FLOOR

**City**

NEW YORK

**State**

NY

**Zip Code**

10001

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

FOR 3 NEW PT COACHES FOR THE COLLEGE TRANSITION COACH PROGRAM

**Amount**

35,000

**Name**

CHAUTAUQUA FOUNDATION, INC

**Street**

P O BOX 28

**City**

CHAUTAUQUA

**State**

NY

**Zip Code**

14722

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

BOARD DESIGNATED GIFT FOR THE LECTURE PROGRAM

**Amount**

2,000

**Name**

CONEY ISLAND PREPARTORY PUBLIC CHARTER SCHOOL

**Street**

501 WEST AVENUE

**City**

BROOKLYN

**State**

NY

**Zip Code**

11224

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

BOARD DESIGNATED GIFT FOR GENERAL SUPPORT

**Amount**

1,000

**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

**Name**

THE EAGLE ACADEMY FOUNDATION, INC

**Street**

31 W 125TH STREET

**City**

NEW YORK

**State**

NY

**Zip Code**

10027

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

BOARD DESIGNATED GIFT FOR GENERAL SUPPORT

**Amount**

1,000

**Name**

HAMILTON COLLEGE TRUSTEES

**Street**

198 COLLEGE HILL ROAD

**City**

CLINTON

**State**

NY

**Zip Code**

13323

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

BOARD DESIGNATED GIFT FOR THE ANNUAL FUND

**Amount**

2,000

**Name**

HORIZONS NATIONAL STUDENT ENRICHMENT PROGRAM, INC

**Street**

120 POST ROAD WEST, SUITE 202

**City**

WESTPORT

**State**

CT

**Zip Code**

06880

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

BOARD DESIGNATED GIFT EARMARKED FOR HORIZONS NEWARK

**Amount**

2,000

**Name**

INDEPENDENT SECTOR

**Street**

1602 L STREET N.W., SUITE 900

**City**

WASHINGTON

**State**

DC

**Zip Code**

20036

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

BOARD DESIGNATED GIFT FOR GENERAL SUPPORT

**Amount**

4,000

**Name**

THE MASTERS SCHOOL

**Street**

49 CLINTON AVENUE

**City**

DOBBS FERRY

**State**

NY

**Zip Code**

10522

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

BOARD DESIGNATED GIFT FOR GENERAL SUPPORT

**Amount**

2,000

**Name**

MISS PORTER'S SCHOOL, INC

**Street**

60 MAIN STREET

**City**

FARMINGTON

**State**

CT

**Zip Code**

06032

**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

BOARD DESIGNATED GIFT FOR GENERAL SUPPORT

**Amount**

4,000

**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

Name

HOWARD UNIVERSITY

Street

2225 GEORGIA AVENUE, N W , SUITE 630

City

WASHINGTON

State

DC

Zip Code

20059

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

BOARD DESIGNATED GIFT FOR GENERAL SUPPORT

Amount

2,000

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distribution										Amount		156,469		Short Term CG Distribution																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																		
Description	Check "X" if to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Depreciation	Adjustments	Net Gain or Loss	Description	Check "X" if to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Depreciation	Adjustments	Net Gain or Loss																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																							
1 HALLIBURTON CO	X	CUSIP #			10/13/2014	1/7/2016	96,742	105,960				-39,918	2 HALLIBURTON CO	X	406216101			11/6/2014	17/2016	33,371	51,864			-18,313	3 HALLIBURTON CO	X	406216101			11/20/2015	17/2016	33,372	39,501			-6,129	4 HERSHEY CO	X	427868108			6/16/2015	2/1/2016	26	55,995			-12,218	5 HALLIBURTON CO	X	406216101			4/8/2015	17/2016	44,288	41			-10,916	6 GNMA POOL # 164004 9.5%	X	3621650D18			9/19/1988	3/15/2018	42	3,171			51,866	7 GENERAL ELECTRIC CO	X	369604103			9/27/1982	10/7/2016	55,036	1,585			28,955	8 GENERAL ELECTRIC CO	X	369604103			9/27/1982	12/10/2015	30,590	1,585			28,955	9 GENERAL ELECTRIC CO	X	369604103			9/27/1982	17/2016	29,710	1,585			28,125	10 GENERAL ELECTRIC CO	X	369604103			9/11/2013	26/2018	57,235	54,594			26,504	11 HERSHEY CO	X	427868108			1/20/2015	3/23/2016	45,101	55,684			-10,583	12 HERSHEY CO	X	427868108			1/20/2015	3/23/2016	45,101	55,684			-10,583	13 HERSHEY CO	X	427868108			2/25/2015	3/23/2016	45,101	52,441			-7,340	14 HERSHEY CO	X	427868108			4/30/2015	3/23/2016	45,101	45,675			-774	15 HERSHEY CO	X	427868108			2/25/2015	12/10/2015	140,105	145,772			5,667	16 INTERCONTINENTAL EXCH	X	45966104			1/1/2013	9/2/2015	22,501	20,489			2,012	17 INTERNATIONAL BUSINESS	X	45920011			9/2/2011	29/2016	25,025	33,419			8,394	18 JP MORGAN CHASE & CO	X	468201100			3/24/2008	6/16/2016	15,312	13,805			1,507	19 JOHNSON & JOHNSON	X	478160104			10/10/2008	6/16/2016	26,089	13,805			12,284	20 LAS VEGAS SANDS CORP	X	517834107			6/1/2013	9/2/2015	22,731	27,654			-4,923	21 LAS VEGAS SANDS CORP	X	517834107			6/27/2013	9/2/2015	65,217	111,192			-45,975	22 MARATHON OIL CORP	X	56549106			4/8/2015	9/2/2015	18,324	28,140			-9,816	23 MARATHON OIL CORP	X	56549106			9/8/2015	9/2/2015	18,324	18,260			-64	24 MEAD JOHNSON NUTRITION	X	592830106			9/8/2014	9/2/2015	75,929	97,038			-21,109	25 MICROSOFT CORPORATION	X	584918104			9/27/1985	6/1/2016	24,846	3,766			21,080	26 MICROSOFT CORPORATION	X	584918104			9/27/1985	7/1/2016	28,739	3,766			24,973	27 MONDELEZ INTERNATIONAL	X	609207105			10/10/2008	2/6/2016	18,210	6,659			11,551	28 MONDELEZ INTERNATIONAL	X	609207105			9/2/2011	1/1/2016	100,000	102,700			-2,700	29 ASG GLOBAL ALTERNATIVES	X	639721885			9/2/2011	3/23/2016	25,000	26,493			-1,493	30 ASG GLOBAL ALTERNATIVES	X	639721885			9/2/2011	3/23/2016	25,000	27,978			-2,978	31 ASG GLOBAL ALTERNATIVES	X	639721885			9/2/2011	3/23/2016	25,000	27,978			-2,978	32 ASG GLOBAL ALTERNATIVES	X	639721885			9/2/2011	3/23/2016	25,000	27,978			-2,978	33 ASG GLOBAL ALTERNATIVES	X	639721885			9/2/2011	3/23/2016	25,000	27,978			-2,978	34 ASG GLOBAL ALTERNATIVES	X	639721885			9/2/2011	3/23/2016	25,000	27,978			-2,978	35 ASG GLOBAL ALTERNATIVES	X	639721885			9/2/2011	3/23/2016	25,000	27,978			-2,978	36 ASG GLOBAL ALTERNATIVES	X	639721885			9/2/2011	3/23/2016	25,000	27,978			-2,978	37 ASG GLOBAL ALTERNATIVES	X	639721885			9/2/2011	3/23/2016	25,000	27,978			-2,978	38 ASG GLOBAL ALTERNATIVES	X	639721885			9/2/2011	3/23/2016	25,000	27,978			-2,978	39 ASG GLOBAL ALTERNATIVES	X	639721885			9/2/2011	3/23/2016	25,000	27,978			-2,978	40 ASG GLOBAL ALTERNATIVES	X	639721885			9/2/2011	3/23/2016	25,000	27,978			-2,978	41 ASG GLOBAL ALTERNATIVES	X	639721885			9/2/2011	3/23/2016	25,000	27,978			-2,978	42 ASG GLOBAL ALTERNATIVES	X	639721885			9/2/2011	3/23/2016	25,000	27,978			-2,978	43 ASG GLOBAL ALTERNATIVES	X	639721885			9/2/2011	3/23/2016	25,000	27,978			-2,978	44 ASG GLOBAL ALTERNATIVES	X	639721885			9/2/2011	3/23/2016	25,000	27,978			-2,978	45 ASG GLOBAL ALTERNATIVES	X	639721885			9/2/2011	3/23/2016	25,000	27,978			-2,978	46 ASG GLOBAL ALTERNATIVES	X	639721885			9/2/2011	3/23/2016	25,000	27,978			-2,978	47 ASG GLOBAL ALTERNATIVES	X	639721885			9/2/2011	3/23/2016	25,000	27,978			-2,978	48 ASG GLOBAL ALTERNATIVES	X	639721885			9/2/2011	3/23/2016	25,000	27,978			-2,978	49 ASG GLOBAL ALTERNATIVES	X	639721885			9/2/2011	3/23/2016	25,000	27,978			-2,978	50 ASG GLOBAL ALTERNATIVES	X	639721885			9/2/2011	3/23/2016	25,000	27,978			-2,978	51 ASG GLOBAL ALTERNATIVES	X	639721885			9/2/2011	3/23/2016	25,000	27,978			-2,978	52 ASG GLOBAL ALTERNATIVES	X	639721885			9/2/2011	3/23/2016	25,000	27,978			-2,978	53 ASG GLOBAL ALTERNATIVES	X	639721885			9/2/2011	3/23/2016	25,000	27,978			-2,978	54 NESTLE SA SPON ADR REPS	X	641069108			6/1/2013	4/19/2016	21,144	25,000			-3,856	55 ORACLE CORP 5.25%	X	694021048			6/1/2013	4/19/2016	21,144	25,000			-3,856	56 P.V.H. CORP	X	693850100			6/1/2013	4/19/2016	21,144	25,000			-3,856	57 PERSCO INC	X	713448108			6/1/2013	4/19/2016	21,144	25,000			-3,856	58 PFIZER INC	X	717081103			6/1/2013	4/19/2016	21,144	25,000			-3,856	59 PROCTER & GAMBLE CO	X	742718109			6/1/2013	4/19/2016	21,144	25,000			-3,856	60 QUALCOMM INC	X	747525103			6/1/2013	4/19/2016	21,144	25,000			-3,856	61 QUALCOMM INC	X	747525103			6/1/2013	4/19/2016	21,144	25,000			-3,856	62 QUALCOMM INC	X	747525103			6/1/2013	4/19/2016	21,144	25,000			-3,856	63 SHELL INTERNATIONAL	X	817629102			6/1/2013	4/19/2016	21,144	25,000			-3,856	64 SHELL INTERNATIONAL	X	817629102			6/1/2013	4/19/2016	21,144	25,000			-3,856	65 STATE STREET CORP	X	857477103			6/1/2013	4/19/2016	21,144	25,000			-3,856	66 STATE STREET CORP	X	857477103			6/1/2013	4/19/2016	21,144	25,000			-3,856	67 TOWERS PERRIN & NEWBERRY	X	872347105			6/1/2013	4/19/2016	21,144	25,000			-3,856	68 TOWERS PERRIN & NEWBERRY	X	872347105			6/1/2013	4/19/2016	21,144	25,000			-3,856	69 TOWERS PERRIN & NEWBERRY	X	872347105			6/1/2013	4/19/2016	21,144	25,000			-3,856	70 TOWERS PERRIN & NEWBERRY	X	872347105			6/1/2013	4/19/2016	21,144	25,000			-3,856	71 TOWERS PERRIN & NEWBERRY	X	872347105			6/1/2013	4/19/2016	21,144	25,000			-3,856	72 TOWERS PERRIN & NEWBERRY	X	872347105			6/1/2013	4/19/2016	21,144	25,000			-3,856
Totals										Capital Gains/Losses										Totals																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																												
										10,267,824										10,425,108																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																												
										0										0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																												
										-154,285										0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																												



Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Dispositions										Amount		196,469		Short Term CG Dispositions									
Description	CUSIP #	Check "X" if include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss									
73 TCW EMERGING MARKETS II	8723AN765	X				6/14/2012	12/19/2015	94,755	73,199					21,556									
74 TCW EMERGING MARKETS II	8723AN765	X				6/14/2012	2/23/2016	200,000	163,444					36,556									
75 TCW EMERGING MARKETS II	8723AN765	X				6/14/2012	2/23/2016	200,000	163,444					36,556									
76 TCW EMERGING MARKETS II	8723AN765	X				6/14/2012	2/23/2016	200,000	163,444					36,556									
77 TCW EMERGING MARKETS II	8723AN765	X				6/14/2012	2/23/2016	200,000	163,444					36,556									
78 TCW EMERGING MARKETS II	8723AN765	X				6/14/2012	2/23/2016	200,000	163,444					36,556									
79 TCW EMERGING MARKETS II	8723AN765	X				6/14/2012	2/23/2016	200,000	163,444					36,556									
80 TCW EMERGING MARKETS II	8723AN765	X				6/14/2012	2/23/2016	200,000	163,444					36,556									
81 AELAC INC	001055102	X				1/16/2014	1/19/2015	31,951	28,750					3,201									
82 AELAC INC	001055102	X				1/16/2014	1/19/2015	31,951	28,750					3,201									
83 AELAC INC	001055102	X				1/16/2014	1/19/2015	31,951	28,750					3,201									
84 AELAC INC	001055102	X				1/16/2014	1/19/2015	31,951	28,750					3,201									
85 AMAZON COM INC	023135108	X				6/22/2011	1/19/2015	15,000	13,637					1,363									
86 AMAZON COM INC	023135108	X				6/22/2011	1/19/2015	15,000	13,637					1,363									
87 AMAZON COM INC	023135108	X				6/22/2011	1/19/2015	15,000	13,637					1,363									
88 AMAZON COM INC	023135108	X				6/22/2011	1/19/2015	15,000	13,637					1,363									
89 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
90 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
91 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
92 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
93 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
94 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
95 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
96 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
97 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
98 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
99 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
100 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
101 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
102 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
103 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
104 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
105 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
106 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
107 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
108 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
109 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
110 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
111 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
112 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
113 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
114 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
115 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
116 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
117 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
118 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
119 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
120 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
121 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
122 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
123 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
124 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
125 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
126 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
127 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
128 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
129 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
130 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
131 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
132 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
133 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
134 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
135 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
136 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
137 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
138 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
139 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
140 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
141 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
142 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
143 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
144 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
145 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
146 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
147 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
148 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
149 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
150 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
151 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
152 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
153 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
154 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
155 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
156 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
157 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
158 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
159 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
160 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
161 AMERICAN EXPRESS	0759MDA44	X				1/19/2013	6/19/2016	17,654	17,654					0									
162 AMERICAN EXPRESS	0759MDA44	X</																					

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distribution Short Term CG Distribution	Description	CUSIP #	Check "X" if to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date	Totals		Gross Sales	Valuation Method	Cost or Other Basis, Expenses Depreciation and Adjustments		Net Gain or Loss
									Capital Gains/Losses	Other Sales					
									Gross Sales	Other sales	10,267,824	0	10,267,824	0	-154,265
142	DREYFUS SELECT MGR L/S	26188X909	X				1/1/2015	6/1/2016	24,263						0
143	DREYFUS SELECT MGR L/S	26188X909	X				1/1/2015	7/1/2016	63,750						-4,772
144	DREYFUS SELECT MGR L/S	26188X909	X				1/1/2015	6/1/2016	24,872						0
145	DREYFUS SELECT MGR L/S	26188X909	X				5/6/2016	6/1/2016	25,000						0
146	DREYFUS SELECT MGR L/S	26188X909	X				5/6/2016	6/1/2016	25,000						0
147	DREYFUS SELECT MGR L/S	26188X909	X				5/6/2016	6/1/2016	25,000						0
148	DREYFUS SELECT MGR L/S	26188X909	X				5/6/2016	6/1/2016	25,000						0
149	DREYFUS SELECT MGR L/S	26188X909	X				5/6/2016	6/1/2016	25,000						0
150	DREYFUS SELECT MGR L/S	26188X909	X				5/6/2016	6/1/2016	25,000						0
151	DREYFUS SELECT MGR L/S	26188X909	X				5/6/2016	6/1/2016	25,000						0
152	DREYFUS SELECT MGR L/S	26188X909	X				5/6/2016	6/1/2016	25,000						0
153	DREYFUS SELECT MGR L/S	26188X909	X				5/6/2016	6/1/2016	25,000						0
154	DREYFUS SELECT MGR L/S	26188X909	X				5/6/2016	6/1/2016	25,000						0
155	DREYFUS SELECT MGR L/S	26188X909	X				5/6/2016	6/1/2016	25,000						0
156	DREYFUS SELECT MGR L/S	26188X909	X				5/6/2016	6/1/2016	25,000						0
157	DREYFUS SELECT MGR L/S	26188X909	X				5/6/2016	6/1/2016	25,000						0
158	DREYFUS SELECT MGR L/S	26188X909	X				5/6/2016	6/1/2016	25,000						0
159	DREYFUS SELECT MGR L/S	26188X909	X				5/6/2016	6/1/2016	25,000						0
160	DREYFUS SELECT MGR L/S	26188X909	X				5/6/2016	6/1/2016	25,000						0
161	DREYFUS SELECT MGR L/S	26188X909	X				5/6/2016	6/1/2016	25,000						0
162	DREYFUS SELECT MGR L/S	26188X909	X				5/6/2016	6/1/2016	25,000						0
163	DREYFUS SELECT MGR L/S	26188X909	X				5/6/2016	6/1/2016	25,000						0
164	DREYFUS SELECT MGR L/S	26188X909	X				5/6/2016	6/1/2016	25,000						0
165	DREYFUS SELECT MGR L/S	26188X909	X				5/6/2016	6/1/2016	25,000						0
166	DREYFUS SELECT MGR L/S	26188X909	X				5/6/2016	6/1/2016	25,000						0
167	DREYFUS SELECT MGR L/S	26188X909	X				5/6/2016	6/1/2016	25,000						0
168	DREYFUS SELECT MGR L/S	26188X909	X				5/6/2016	6/1/2016	25,000						0
169	DREYFUS SELECT MGR L/S	26188X909	X				5/6/2016	6/1/2016	25,000						0
170	DREYFUS SELECT MGR L/S	26188X909	X				5/6/2016	6/1/2016	25,000						0
171	DREYFUS SELECT MGR L/S	26188X909	X				5/6/2016	6/1/2016	25,000						0
172	DREYFUS SELECT MGR L/S	26188X909	X				5/6/2016	6/1/2016	25,000						0
173	DREYFUS SELECT MGR L/S	26188X909	X				5/6/2016	6/1/2016	25,000						0
174	DREYFUS SELECT MGR L/S	26188X909	X				5/6/2016	6/1/2016	25,000						0
175	DREYFUS SELECT MGR L/S	26188X909	X				5/6/2016	6/1/2016	25,000						0
176	DREYFUS SELECT MGR L/S	26188X909	X				5/6/2016	6/1/2016	25,000						0
177	DREYFUS SELECT MGR L/S	26188X909	X				5/6/2016	6/1/2016	25,000						0
178	DREYFUS SELECT MGR L/S	26188X909	X				5/6/2016	6/1/2016	25,000						0
179	DREYFUS SELECT MGR L/S	26188X909	X				5/6/2016	6/1/2016	25,000						0
180	DREYFUS SELECT MGR L/S	26188X909	X				5/6/2016	6/1/2016	25,000						0
181	DREYFUS SELECT MGR L/S	26188X909	X				5/6/2016	6/1/2016	25,000						0
182	DREYFUS SELECT MGR L/S	26188X909	X				5/6/2016	6/1/2016	25,000						0
183	DREYFUS SELECT MGR L/S	26188X909	X				5/6/2016	6/1/2016	25,000						0
184	DREYFUS SELECT MGR L/S	26188X909	X				5/6/2016	6/1/2016	25,000						0
185	DREYFUS SELECT MGR L/S	26188X909	X				5/6/2016	6/1/2016	25,000						0
186	DREYFUS SELECT MGR L/S	26188X909	X				5/6/2016	6/1/2016	25,000						0
187	DREYFUS SELECT MGR L/S	26188X909	X				5/6/2016	6/1/2016	25,000						0
188	DREYFUS SELECT MGR L/S	26188X909	X				5/6/2016	6/1/2016	25,000						0
189	DREYFUS SELECT MGR L/S	26188X909	X				5/6/2016	6/1/2016	25,000						0
190	DREYFUS SELECT MGR L/S	26188X909	X				5/6/2016	6/1/2016	25,000						0
191	DREYFUS SELECT MGR L/S	26188X909	X				5/6/2016	6/1/2016	25,000						0

**Part I, Line 18 (990-PF) - Taxes**

		24,933	12,933	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FOREIGN TAX WITHHELD	12,933	12,933		
2	ESTIMATED EXCISE PAYMENTS	12,000			

**Part I, Line 23 (990-PF) - Other Expenses**

		42,649	306	0	42,343
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	ADR FEES	256	256		0
2	ANNUAL MEMBERSHIP DUES	4,400	0		4,400
3	HEALTH INSURANCE	13,910	0		13,910
4	OFFICE SUPPLIES/EXPENSES	2,552	0		2,552
5	PETTY CASH/MISCELLANEOUS EXPENSES	370	0		370
6	UTILITIES	20,361	0		20,361
7	INVESTMENT EXPENSE	50	50		0
8	STATE AG FEES	750	0		750

**Part II, Line 13 (990-PF) - Investments - Other**

			27,273,816	26,091,926	35,025,559
	Asset Description	Basis of Valuation	Book Value Beg. of Year	Book Value End of Year	FMV End of Year
1				0	
2	DREYFUS FLOATING RATE INC-Y		0	375,321	364,399
3	DREYFUS PREM LT TRM HI YLD-R		0	912,478	865,663
4	DREYFUS GLOBAL REAL ES SEC-Y		0	727,177	933,165
5	DREYFUS/NEWTON INTERN EQTY-Y		0	962,776	1,064,877
6	DUKE ENERGY CORP NEW COM		0	163,081	235,372
7	EXELON CORP		0	148,826	186,400
8	EXXON MOBIL CORP		0	59,870	689,362
9	FACEBOOK INC		0	121,003	185,910
10	GOV'T NAT'L MTGE ASSN POOL 56465		0	18,683	21,063
11	GENERAL ELECTRIC CO		0	37,308	467,100
12	GENERAL ELEC CAP COR 4 375% 9/16/20		0	105,494	111,445
13	GILEAD SCIENCES INC		0	19,999	238,410
14	GLAXOSMITHKLINE CAP INC		0	115,711	107,976
15	GOLDMAN SACHS GROUP M/T/N		0	112,123	106,405
16	HARTFORD FINL SVCS GROUP INC COM		0	161,727	159,400
17	HOME DEPOT INC USD 0 05		0	60,729	276,480
18	HONEYWELL INTL INC		0	174,474	348,990
19	ILLINOIS TOOL WORKS INC COM		0	132,023	173,100
20	INTEL CORPORATION		0	250,384	278,880
21	INTERCONTINENTALEXCHANGE GRO		0	163,994	211,360
22	INTERNATIONAL BUSINESS MACHINES C		0	200,513	192,744
23	ISHARES TR S & P SMALL CAP 600		0	561,067	610,050
24	JPMORGAN CHASE & CO		0	523,535	521,465
25	J P MORGAN CHASE & CO		0	398,799	559,738
26	JOHNSON & JOHNSON COM		0	317,515	720,072
27	MCDONALDS CORP MEDIUM TERM NTS		0	115,513	105,519
28	MC DONALDS CORPORATION COMMON		0	170,241	176,475
29	MERCK & CO INC		0	249,723	410,620
30	MICROSOFT CORP COM		0	120,500	906,880
31	MONDELEZ INTERNATIONAL INC		0	143,886	307,860
32	NESTLE S A SPONSORED ADR		0	44,400	481,380
33	NIKE INC CL B		0	156,410	166,500
34	NOVARTIS SECS INVEST LTD		0	530,085	549,475
35	NUCOR CORP		0	106,008	118,008
36	OCCIDENTAL PETROLEUM CORPORATIO		0	104,003	112,095
37	ORACLE CORPORATION		0	115,100	205,200
38	PNC FINANCIAL SERVICES GROUP INC		0	106,798	123,975
39	PEPSICO INC		0	323,520	680,750
40	PFIZER INC COM		0	219,700	516,460
41	PHILIP MORRIS INTERNATIONAL		0	140,078	200,520
42	PROCTER & GAMBLE CO 4 7% 2/15/19		0	112,975	109,168
43	PROCTER & GAMBLE CO COM		0	277,040	513,540
44	SCHLUMBERGER LIMITED COM		0	164,449	241,560
45	DREYFUS SELECT MGER S/C VL-Y		0	819,894	864,806
46	TCW EMERGING MARKETS INCOME FUNI		0	350,000	354,885

**Part II, Line 13 (990-PF) - Investments - Other**

			27,273,816	26,091,926	35,025,559
	Asset Description	Basis of Valuation	Book Value Beg. of Year	Book Value End of Year	FMV End of Year
47	TARGET CORP		0	238,657	225,990
48	3M CO		0	147,800	178,360
49	TIME WARNER INC		0	182,910	191,625
50	UNITED TECHNOLOGIES CORP		0	154,382	322,950
51	VALERO ENERGY CORP NEW		0	134,716	130,700
52	VERIZON COMMUNICATIONS INC		0	244,826	443,280
53	VISA INC		0	171,667	195,125
54	WELLS FARGO COMPANY 5 625% 12/11/1		0	114,245	105,911
55	WELLS FARGO & CO NEW		0	246,967	419,738
56	YUM BRANDS INC		0	100,530	125,188
57	ACCENTURE PLC IRELAND SHS CL A		0	138,938	169,215
58	EATON CORP PLC		0	93,737	126,820
59	INGERSOLL-RAND PLC		0	109,257	132,520
60	INVESCO LTD		0	266,520	248,030
61	BROADCOM LTD		0	67,089	242,970
62	AT&T INC		0	146,241	216,450
63	ABBOTT LABORATORIES		0	152,441	179,000
64	ABBVIE INC		0	131,071	165,575
65	DREYFUS GLOBAL REAL RET -Y		0	750,057	771,329
66	ADVANTAGE DYN TOTAL RET -Y		0	454,177	544,370
67	ALPHABET INC/CA		0	284,822	395,670
68	AMAZON COM INC		0	96,933	379,405
69	AMERICAN EXPRESS COMPANY		0	98,960	257,840
70	AMGEN INC		0	178,546	258,045
71	APPLE COMPUTER INC COM		0	77,756	885,785
72	AUTOMATIC DATA PROCESSING INC COM		0	190,717	177,900
73	BNY MELLON CORP BOND-M		0	550,000	565,547
74	BNY MELLON MID CAP STK FD CL M		0	1,970,781	2,404,105
75	BNY MELLON INTL APPR FD CL M		0	1,850,000	1,718,266
76	BNY MELLON BOND FD CL M		0	1,525,697	1,555,169
77	BNY MELLON INTL FD CL M SHSS		0	1,084,221	989,488
78	BANK AMER CORP		0	146,560	144,900
79	BRISTOL MYERS SQUIBB CO COM		0	163,951	261,835
80	CVS CORP		0	191,420	185,440
81	CAPITAL ONE FINL CORP COM		0	165,439	201,240
82	CARDINAL HEALTH INC COM		0	103,454	125,400
83	CELANESE CORP DEL		0	88,089	95,130
84	CELGENE CORP		0	127,207	134,628
85	CHEVRONTXACO CORP		0	215,396	204,960
86	CISCO SYS INC		0	232,054	259,505
87	COMCAST CORP NEW CL A		0	96,596	147,950
88	DFA EMERG MKTS CORE EQUITY		0	1,150,000	1,167,498
89	DISNEY (WALT) COMPANY HOLDING CO		0	31,186	335,825
90	DOMINION RES INC VA NEW		0	137,220	156,040
91	DOW CHEMICAL COMPANY COMMON		0	93,760	107,340

### Part III (990-PF) - Changes in Net Assets or Fund Balances

---

#### Line 3 - Other increases not included in Part III, Line 2

1	Tax Cost Adjustment	1	56
2	Total	2	56

---

#### Line 5 - Decreases not included in Part III, Line 2

1	BASIS CHANGE ON DIVS TREATED AS ROC - OCCIDENTAL PETROLEUM CORP	1	171
2	BASIS CHANGE ON DIVS TREATED AS ROC - EATON CORP PLC	2	4,400
3	TCW EMERGING SALES COST ADJ	3	941
4	TCW EMERGING ROC ADJ	4	1,027
5	Total	5	6,539

---





### Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

[illegible]

**Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income**

[illegible]

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

	Name	Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account
1	BNY Mellon N/A	X	P O Box 185	Pittsburgh	PA	15230-0185		TRUSTEE SEE	ATTACHED	194,784	NONE	NONE
2	BNY Mellon N/A	X	P O Box 185	Pittsburgh	PA	15230-0185		FEE	REIMBURS	-114,600	N/A	N/A
3	J ANDREW LARKESQ		501 Fifth Ave Suite 708	New York	NY	10017		CO-TRUSTEE	35.00	52,620	NONE	NONE
4	DOROTHY A RILEY-CHEW	X	501 Fifth Ave Suite 708	New York	NY	10017		SECRETARY	35.00	43,984	NONE	NONE
										176,798	0	0

**Part VI, Line 6a (990-PF) - Estimated Tax Payments**

	Date	Amount
1 Credit from prior year return	1	11,489
2 First quarter estimated tax payment	2	
3 Second quarter estimated tax payment	3	
4 Third quarter estimated tax payment	4	
5 Fourth quarter estimated tax payment	7/11/2016 5	12,000
6 Other payments	6	
7 Total	7	23,489

**Attachment to Form 990PF Part VIII, Column (b) Title and Average Hours Per Week Devoted To Position**

The compensation reported in column (b) paid to BNY Mellon, N.A. as Corporate Trustee is calculated based on market value and current fee schedule. It is not determined on an hourly basis. Corporate Trustee services include administrative responsibilities, grant requirements, recordkeeping, investment management, income collection, statement and accounting services, and regulatory reporting.