Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015, or tax year beginning 07-01-2015 , and ending 06-30-2016

Name of foundation
BAY AREA SKATING FOUNDATION

A Employer identification number
77-0467957

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
PO Box 5458

B Telephone number (see instructions)
(408) 309-0353

City or town, state or province, country, and ZIP or foreign postal code
CARMEL, CA 93921

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☑ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 1,185,299

J Accounting method ☐ Cash ☑ Accrual ☐ Other (specify) ________ *(Part I, column (d) must be on cash basis)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue 1	Contributions, gifts, grants, etc , received (attach schedule)				
2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	23,314	23,314		
5a	Gross rents				
b	Net rental income or (loss) ________				
6a	Net gain or (loss) from sale of assets not on line 10	26,612			
b	Gross sales price for all assets on line 6a 261,944				
7	Capital gain net income (from Part IV, line 2)		26,612		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
12	**Total.** Add lines 1 through 11	49,926	49,926		
Operating and Administrative Expenses 13	Compensation of officers, directors, trustees, etc				
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule)	2,230	1,115		1,115
c	Other professional fees (attach schedule)	15,579	15,579		
17	Interest				
18	Taxes (attach schedule) (see instructions)	3,559	90		
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule)	97			60
24	**Total operating and administrative expenses.** Add lines 13 through 23	21,465	16,784		1,175
25	Contributions, gifts, grants paid	58,813			58,813
26	**Total expenses and disbursements.** Add lines 24 and 25	80,278	16,784		59,988
27	Subtract line 26 from line 12				
a	**Excess of revenue over expenses and disbursements**	-30,352			
b	**Net investment income** (if negative, enter -0-)		33,142		
c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	149,533	132,004	132,004
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	9,661	58,954	58,954
	b	Investments—corporate stock (attach schedule)	859,646	798,226	798,226
	c	Investments—corporate bonds (attach schedule)	94,751	90,440	90,440
	11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	107,842	105,675	105,675
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	1,221,433	1,185,299	1,185,299
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☑ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	1,221,433	1,185,299	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	1,221,433	1,185,299	
	31	**Total liabilities and net assets/fund balances** (see instructions)	1,221,433	1,185,299	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,221,433
2	Enter amount from Part I, line 27a	2	-30,352
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	1,191,081
5	Decreases not included in line 2 (itemize) ▶	5	5,782
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,185,299

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	PUBLICALLY TRADED SECURITIES UBS	P	2016-01-01	2016-01-01
b	PUBLICALLY TRADED SECURITIES UBS	P	2011-01-01	2016-01-01
c	PUBLICALLY TRADED SECURITIES CS	P	2010-01-01	2016-01-01
d	PUBLICALLY TRADED SECURITIES CS	P	2016-01-01	2016-01-01
e	CAPITAL GAINS DISTRIBUTIONS	P	2001-01-01	2015-12-31

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	54,310		61,605	-7,295
b	152,845		135,076	17,769
c	44,255		30,586	13,669
d	8,579		8,065	514
e	1,955			1,955

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				-7,295
b				17,769
c				13,669
d				514
e				1,955

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	26,612
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	-6,781

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	54,506	1,232,883	0 04421
2013	53,010	1,133,177	0 04678
2012	50,653	1,062,482	0 04767
2011	50,295	1,021,869	0 04922
2010	47,510	1,056,668	0 04496

2	**Total** of line 1, column (d)	2	0 232845
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 046569
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	1,175,216
5	Multiply line 4 by line 3	5	54,729
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	331
7	Add lines 5 and 6	7	55,060
8	Enter qualifying distributions from Part XII, line 4	8	59,988

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b			1	331
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	
3	Add lines 1 and 2			3	331
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	331
6	Credits/Payments				
a	2015 estimated tax payments and 2014 overpayment credited to 2015	6a	1,753		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	1,753
8	Enter any **penalty** for underpayment of estimated tax Check here ☑ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	1,422
11	Enter the amount of line 10 to be **Credited to 2015 estimated tax** ▶ 360 **Refunded** ▶			11	1,062

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ **(2)** On foundation managers ▶ $			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶CA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶KAREN IZUKA Telephone no ▶(408) 309-0353

Located at ▶PO Box 5458 CARMEL CA ZIP+4 ▶939215458

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any**of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?		1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015?	☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all**years listed, answer "No" and attach statement—see instructions).		2b		No
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015).*		3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?		4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(Continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	5b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☑ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation (**If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
KAREN IZUKA PO Box 5458 CARMEL, CA 939215458	Treasurer 1 00	0		
JIM RHYNE PO Box 5458 CARMEL, CA 939215458	President 1 00	0		
HOPE CASE PO Box 5458 CARMEL, CA 939215458	Secretary 1 00	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** ***(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶	

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 . . . . ▶	

Part X **Minimum Investment Return**
(All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	1,032,135
b	Average of monthly cash balances	1b	160,978
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	1,193,113
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	1,193,113
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	17,897
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,175,216
6	**Minimum investment return.** Enter 5% of line 5	6	58,761

Part XI **Distributable Amount**
(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	58,761
2a	Tax on investment income for 2015 from Part VI, line 5 — 2a: 331		
b	Income tax for 2015 (This does not include the tax from Part VI) — 2b:		
c	Add lines 2a and 2b	2c	331
3	Distributable amount before adjustments Subtract line 2c from line 1	3	58,430
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	58,430
6	Deduction from distributable amount (see instructions)	6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	58,430

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	59,988
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	59,988
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	331
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	59,657

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				58,430
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only.			58,827	
b Total for prior years 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2015				
a From 2010.				
b From 2011.				
c From 2012.				
d From 2013.				
e From 2014.				
f **Total** of lines 3a through e.				
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ $ 59,988				
a Applied to 2014, but not more than line 2a			58,827	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2015 distributable amount.				1,161
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2015 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount —see instructions				
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				57,269
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions)				
9 **Excess distributions carryover to 2016.** Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2011.				
b Excess from 2012.				
c Excess from 2013.				
d Excess from 2014.				
e Excess from 2015.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling. . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2015	**(b)** 2014	**(c)** 2013	**(d)** 2012	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
PENINSULA SKATING CLUB INC 116 MADERA AVE SAN CARLOS, CA 94070	N/A	PC	SUPPORT	58,813
Total . . . . ▶ **3a**				58,813
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . .			14	23,314	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	26,612	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory . .					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				49,926	

13 Total. Add line 12, columns (b), (d), and (e). 13 49,926

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	**1a(1)**		No
(2) Other assets.	**1a(2)**		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		No
(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		No
(3) Rental of facilities, equipment, or other assets.	**1b(3)**		No
(4) Reimbursement arrangements.	**1b(4)**		No
(5) Loans or loan guarantees.	**1b(5)**		No
(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2017-02-20	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
Gregory M Lomazzi				P00281327

Firm's name ▶ MOSS ADAMS LLP

Firm's EIN ▶

Firm's address ▶ 635 CAMPBELL TECHNOLOGY PKWY CAMPBELL, CA 950085071

Phone no (408) 558-7500

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TY 2015 Accounting Fees Schedule

Name: BAY AREA SKATING FOUNDATION

EIN: 77-0467957

Software ID: 15000324

Software Version: 2015v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	2,230	1,115	0	1,115

TY 2015 Investments Corporate Bonds Schedule

Name: BAY AREA SKATING FOUNDATION

EIN: 77-0467957

Software ID: 15000324

Software Version: 2015v3.0

Name of Bond	End of Year Book Value	End of Year Fair Market Value
UBS #17844 FIXED INCOME - (SEE STMT)	90,440	90,440

TY 2015 Investments Corporate Stock Schedule

Name: BAY AREA SKATING FOUNDATION

EIN: 77-0467957

Software ID: 15000324

Software Version: 2015v3.0

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SCHWAB #2482 - CORP. STOCKS (see stmt)	490,713	490,713
UBS #28609 (see statement)	146,400	146,400
310 SHS NEC CORP (USB#28610)	716	716
UBS #27648 - CORP STOCKS (see statement)	154,703	154,703
UBS #17844 CORP STOCKS- (SEE STATEMENT)	5,694	5,694

TY 2015 Investments Government Obligations Schedule

Name: BAY AREA SKATING FOUNDATION

EIN: 77-0467957

Software ID: 15000324

Software Version: 2015v3.0

US Government Securities - End of Year Book Value: 58,954

US Government Securities - End of Year Fair Market Value: 58,954

State & Local Government Securities - End of Year Book Value:

State & Local Government Securities - End of Year Fair Market Value:

TY 2015 Investments - Other Schedule

Name: BAY AREA SKATING FOUNDATION

EIN: 77-0467957

Software ID: 15000324

Software Version: 2015v3.0

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
SCHWAB #2482 - OTHER INVEST, (see stmt)	FMV	83,537	83,537
UBS #17844 MUTUAL FUNDS - SEE STATEMENT	FMV	22,138	22,138

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491051000047

TY 2015 Other Expenses Schedule

Name: BAY AREA SKATING FOUNDATION

EIN: 77-0467957

Software ID: 15000324

Software Version: 2015v3.0

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Attorney General Registry	50			50
FILING FEES - STATE OF CA	10			10
PENALTIES	37			

TY 2015 Other Professional Fees Schedule

Name: BAY AREA SKATING FOUNDATION

EIN: 77-0467957

Software ID: 15000324

Software Version: 2015v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	15,579	15,579	0	0

TY 2015 Taxes Schedule

Name: BAY AREA SKATING FOUNDATION

EIN: 77-0467957

Software ID: 15000324

Software Version: 2015v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Federal Taxes	3,469			
Foreign Taxes	90	90		

Investment Detail - Equities

Equities	Quantity	Market Price	Market Value
AMERISOURCEBERGEN CO SYMBOL ABC	50 0000	79 3200	3,966 00
AMGEN INCORPORATED SYMBOL AMGN	100 0000	152 1500	15,215 00
APPLE INC SYMBOL AAPL	100 0000	95 6000	9,560 00
AUTO DATA PROCESSING SYMBOL ADP	300 0000	91 8700	27,561 00 *Accrued Dividend. 159 00*
CISCO SYSTEMS INC SYMBOL CSCO	700 0000	28 6900	20,083 00
COMCAST CORPORATION CLASS A SYMBOL CMCSA	100 0000	65 1900	6,519 00
CONOCOPHILLIPS SYMBOL COP	200 0000	43 6000	8,720 00
CVS HEALTH CORP SYMBOL CVS	300 0000	95 7400	28,722 00
DOMINOS PIZZA INC SYMBOL DPZ	200 0000	131 3800	26,276 00
DR PEPPER SNAPPLE GP SYMBOL DPS	500 0000	96 6300	48,315 00 *Accrued Dividend. 265 00*
DU PONT EI DE NEMOUR SYMBOL DD	200 0000	64 8000	12,960 00

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement

Investment Detail - Equities (continued)

Equities (continued)	Quantity	Market Price	Market Value
FOOT LOCKER INC SYMBOL FL	300 0000	54 8600	16,458 00
HERSHEY COMPANY SYMBOL HSY	100 0000	113 4900	11,349 00
HOME DEPOT INC SYMBOL HD	100 0000	127 6900	12,769 00
IAMGOLD CORP F SYMBOL IAG	700 0000	4 1400	2,898 00
INTEL CORP SYMBOL INTC	200 0000	32 8000	6,560 00
LOCKHEED MARTIN CORP SYMBOL LMT	100 0000	248 1700	24,817 00
MCKESSON CORPORATION SYMBOL MCK	50 0000	186 6500	9,332 50 *Accrued Dividend 14 00*
PACKAGING CORP OF AM SYMBOL PKG	200 0000	66 9300	13,386 00 *Accrued Dividend: 110.00*
PAYCHEX INC SYMBOL PAYX	500 0000	59 5000	29,750 00
PEPSICO INCORPORATED SYMBOL PEP	200 0000	105 9400	21,188 00
PFIZER INCORPORATED SYMBOL PFE	400 0000	35 2100	14 084 00

Investment Detail - Equities (continued)

Equities (continued)	Quantity	Market Price	Market Value
SCHLUMBERGER LTD F SYMBOL SLB	150 0000	79 0800	11,862 00 *Accrued Dividend: 75.00*
SEABRIDGE GOLD INC F SYMBOL SA	800 0000	14 6800	11,744 00
SPROTT PHYS SILVER TR F SPROTT PHYSICAL SILVER SYMBOL PSLV	1,000 0000	7 2500	7,250 00
SPROTT PHYSICAL GOLD ETV SYMBOL PHYS	1,200 0000	10 9800	13,176 00
UNION PACIFIC CORP SYMBOL UNP	200 0000	87 2500	17,450 00
VERIZON COMMUNICATN SYMBOL VZ	300 0000	55 8400	16,752 00
WALT DISNEY CO SYMBOL DIS	300 0000	97 8200	29,346 00
WHOLE FOODS MARKET SYMBOL WFM	200 0000	32 0200	6,404 00
YAMANA GOLD INC F SYMBOL AUY	1,200 0000	5 2000	6,240 00
Total Equities	10,950.0000		[illegible]

Total Accrued Dividend for Equities: 623.00

Investment Detail - Other Assets

Other Assets	Quantity	Market Price	Market Value
ETFS SILVER TRUST ETF SYMBOL SIVR	500 0000	18 4075	9,203 75
ISHARES SELECT DIVIDEND ETF IV SYMBOL DVY	300 0000	85 2800	25,584 00
SELECT SECTOR UTI SELECT SPDR ETF IV SYMBOL XLU	300 0000	52 4700	15,741 00
SPDR S&P BIOTECH ETF IV SYMBOL XBI	300 0000	54 0800	16,224 00
SPDR S&P DIVIDEND ETF IV SYMBOL SDY	200 0000	83 9200	16,784 00
Total Other Assets	**1600.0000**		**83,536.75**

Total Investment Detail	**743,350.16**
Total Account Value	**743,350.16**

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

Cash and money balances may include available cash balances UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC Money market sweep balances are protected by SIPC but are not insured by the FDIC See the *Important information about your statement* at the end of this document for details about those balances

Holding	Opening balance on Jun 1 ($)	Closing balance on Jun 30 ($)	Price per share on Jun 30 ($)	Average rate	Dividend/Interest period	Days in period	Cap amount ($)
Cash	-1,059 48	0 00					
UBS BANK USA BUS ACCT	4,461 48	2,603 68					250,000 00
Total	**$3,402.00**	**$2,603.68**					

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Jun 30 ($)	Value on Jun 30 ($)	Unrealized gain or loss ($)	Holding period
ABBOTT LABS								
Symbol ABT Exchange NYSE								
EAI $78 Current yield 2 65%	Nov 3, 14	24 000	43 349	1,040 38	39 310	943 44	-96 94	LT
	Dec 19, 14	37 000	46 094	1,705 50	39 310	1,454 47	-251 03	LT
	Oct 22, 15	14 000	43 012	602 17	39 310	550 34	-51 83	ST
Security total		75 000	44 641	3 348 05		2,948 25	-399 80	
AFFILIATED MANAGERS GROUP								
Symbol AMG Exchange NYSE	Apr 30, 15	9 000	226 274	2,036 47	140 770	1,266 93	-769 54	LT
	Jun 23, 15	2 000	228 200	465 26	140 770	281 54	-183 72	LT
	Oct 23, 15	6 000	180 831	1,084 99	140 770	844 62	-240 37	ST
Security total		17 000	210 984	3,586 72		2,393 09	-1,193 63	

continued next page

Your assets › **Equities** › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Jun 30 ($)	Value on Jun 30 ($)	Unrealized gain or loss ($)	Holding period
AIA GROUP LTD SPON ADR Symbol AAGIY Exchange OTC EAI $37 Current yield 1 35%	Feb 5, 16	78 000	20 594	1,606 34	24 065	1,877 07	270 73	ST
	May 24, 16	36 000	22 215	799 75	24 065	866 34	66 59	ST
Security total		114 000	21 106	2,406 09		2,743 41	337 32	
ALIBABA GROUP HLDG LTD SPON ADR Symbol BABA Exchange NYSE	Jun 3, 16	30 000	76 342	2,290 28	79 530	2,385 90	95 62	ST
ALIGN TECHNOLOGY INC Symbol ALGN Exchange OTC	Jun 3, 15	4 000	63 322	253 29	80 550	322 20	68 91	LT
	Jun 4, 15	21 000	63 180	1,328 47²	80 550	1,691 55	363 08	LT
	Jun 23, 15	13 000	62 764	815 94	80 550	1,047 15	231 21	LT
Security total		38 000	63 097	2,397 70		3 060 90	663 20	
ALPHABET INC CL C Symbol GOOG Exchange OTC	Aug 27, 14	5 000	570 758	2,853 79	692 100	3,460 50	606 71	LT
AMAZON COM INC Symbol AMZN Exchange OTC	Apr 22, 13	2 000	264 380	528 76	715 620	1,431 24	902 48	LT
	Apr 26, 13	1 000	257 250	257 25	715 620	715 62	458 37	LT
	May 10, 13	2 000	262 455	524 91	715 620	1,431 24	906 33	LT
Security total		5 000	262 184	1,310 92		3,578 10	2,267 18	
AMERICAN WATER WORKS CO INC NEW Symbol AWK Exchange NYSE EAI $81 Current yield 1 77%	Apr 23 15	22 000	54 673	1,202 82	84 510	1,859 22	656 40	LT
	Apr 23, 15	20 000	54 737	1,094 75	84 510	1,690 20	595 45	LT
	Jun 23, 15	12 000	49 875	636 01²	84 510	1,014 12	378 11	LT
Security total		54 000	54 326	2,933 58		4,563 54	1,629 96	
ANHEUSER BUSCH INBEV SPON ADR Symbol BUD Exchange NYSE EAI $77 Current yield 2 44%	Oct 31, 13	19 000	104 180	1,979 43	131 680	2,501 92	522 49	LT
	Aug 6, 14	5 000	107 690	538 45	131 680	658 40	119 95	LT
Security total		24 000	104 912	2,517 88		3,160 32	642 44	

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Jun 30 ($)	Value on Jun 30 ($)	Unrealized gain or loss ($)	Holding period
APPLE INC								
Symbol AAPL Exchange OTC								
EAI $64 Current yield 2 39%	Apr 17, 13	4 000	58 155	232 62	95 600	382 40	149 78	LT
	Jun 24, 13	14 000	58 200	814 80	95 600	1,338 40	523 60	LT
	Aug 24, 15	10 000	105 046	1,050 46	95 600	956 00	-94 46	ST
Security total		28 000	74 924	2,097 88		2,676 80	578 92	
BRISTOL MYERS SQUIBB CO								
Symbol BMY Exchange NYSE								
EAI $53 Current yield 2 06%	Feb 4, 13	23 000	36 671	843 45	73 550	1,691 65	848 20	LT
	Mar 4, 13	10 000	36 666	366 66	73 550	735 50	368 84	LT
	Jul 8, 13	2 000	44 165	88 33	73 550	147 10	58 77	LT
Security total		35 000	37 098	1,298 44		2,574 25	1,275 81	
BROADCOM LTD								
Symbol AVGO Exchange OTC								
EAI $44 Current yield 1 29%	Sep 20, 13	8 000	42 233	337 87	155 400	1,243 20	905 33	LT
	Nov 27, 13	9 000	44 255	398 30	155 400	1,398 60	1,000 30	LT
	Jan 12, 16	5 000	127 378	636 89	155 400	777 00	140 11	ST
Security total		22 000	62 412	1,373 06		3,418 80	2,045 74	
BRUKER CORP								
Symbol BRKR Exchange OTC								
EAI $15 Current yield 0 71%	Mar 11, 14	21 000	23 716	498 05	22 740	477 54	-20 51	LT
	Aug 6, 14	29 000	22 900	664 12	22 740	659 46	-4 66	LT
	Dec 22, 14	22 000	19 677	432 90	22 740	500 28	67 38	LT
	Feb 5, 16	21 000	22 293	468 17	22 740	477 54	9 37	ST
Security total		93 000	22 185	2,063 24		2,114 82	51 58	
COLGATE PALMOLIVE CO								
Symbol CL Exchange NYSE								
EAI $56 Current yield 2 13%	Aug 6, 14	25 000	64 742	1,618 56	73 200	1,830 00	211 44	LT
	Apr 22, 15	6 000	69 136	414 82	73 200	439 20	24 38	LT
	Jun 23, 15	5 000	67 068	335 34	73 200	366 00	30 66	LT
Security total		36 000	65 798	2,368 72		2,635 20	266 48	

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Jun 30 ($)	Value on Jun 30 ($)	Unrealized gain or loss ($)	Holding period
COMCAST CORP NEW CL A								
Symbol CMCSA Exchange OTC								
EAI $59 Current yield 1 68%	Nov 20, 14	35 000	54 354	1,902 41	65 190	2,281 65	379 24	LT
	Dec 19, 14	19 000	56 870	1,080 54	65 190	1,238 61	158 07	LT
Security total		54 000	55 240	2,982 95		3,520 26	537 31	
CONCHO RESOURCES INC								
Symbol CXO Exchange NYSE	Dec 1, 14	10 000	91 979	919 79	119 270	1,192 70	272 91	LT
CTRIP COM INTL LTD ADS								
Symbol CTRP Exchange OTC	Feb 5, 16	43 000	40 108	1,724 68	41 200	1,771 60	46 92	ST
	Mar 18, 16	13 000	43 703	568 15	41 200	535 60	-32 55	ST
Security total		56 000	40 943	2,292 83		2,307 20	14 37	
CVS HEALTH CORP								
Symbol CVS Exchange NYSE								
EAI $60 Current yield 1 79%	Nov 29, 13	20 000	67 472	1,349 45	95 740	1,914 80	565 35	LT
	Jan 22, 14	15 000	69 127	1,036 91	95 740	1,436 10	399 19	LT
Security total		35 000	68 182	2,386 36		3,350 90	964 54	
DANAHER CORP								
Symbol DHR Exchange NYSE								
EAI $17 Current yield 0 65%	Feb 5, 16	20 000	85 963	1,719 27	101 000	2,020 00	300 73	ST
	Mar 18, 16	6 000	95 011	570 07	101 000	606 00	35 93	ST
Security total		26 000	88 052	2,289 34		2,626 00	336 66	
DELPHI AUTOMOTIVE PLC								
Symbol DLPH Exchange NYSE								
EAI $52 Current yield 1 85%	Jan 29, 14	7 000	59 828	418 80	62 600	438 20	19 40	LT
	Mar 4, 14	13 000	66 977	870 71	62 600	813 80	-56 91	LT
	Aug 6, 14	6 000	68 171	409 03	62 600	375 60	-33 43	LT
	Dec 19, 14	4 000	73 322	293 29	62 600	250 40	-42 89	LT
	Nov 4, 15	7 000	83 000	581 00	62 600	438 20	-142 80	ST
	Mar 18, 16	8 000	72 552	580 42	62 600	500 80	-79 62	ST
Security total		45 000	70 072	3,153 25		2,817 00	-336 25	
ECOLAB INC								
Symbol ECL Exchange NYSE								
EAI $41 Current yield 1 19%	Jul 21, 15	29 000	113 160	3,281 65	118 600	3,439 40	157 75	ST

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Jun 30 ($)	Value on Jun 30 ($)	Unrealized gain or loss ($)	Holding period
FACEBOOK INC CL A								
Symbol FB Exchange OTC	Jan 27, 15	4 000	76 775	307 10	114 280	457 12	150 02	LT
	Feb 3 15	13 000	75 396	980 16	114 280	1,485 64	505 48	LT
	Mar 2, 15	14 000	79 586	1,114 21	114 280	1,599 92	485 71	LT
Security total		31 000	77 467	2,401 47		3,542 68	1,141 21	
FIRST SOLAR INC								
Symbol FSLR Exchange OTC	Jun 6, 16	31 000	50 002	1,550 09	48 480	1,502 88	-47 21	ST
FORTINET INC								
Symbol FTNT Exchange OTC	Aug 24 15	30 000	41 524	1 245 73	31 590	947 70	298 03	ST
	Oct 23, 15	18 000	34 376	618 78	31 590	568 62	-50 16	ST
	Feb 5, 16	31 000	25 380	786 80	31 590	979 29	192 49	ST
Security total		79 000	33 561	2,651 31		2,495 61	155 70	
GOLDMAN SACHS GROUP INC								
Symbol GS Exchange NYSE								
EAI $36 Current yield 1 73%	Oct 1, 12	3 000	116 613	349 84	148 580	445 74	95 90	LT
	Apr 15, 13	10 000	147 042	1,470 42	148 580	1,485 80	15 38	LT
	Jun 23, 15	1 000	218 070	218 07	148 580	148 58	-69 49	LT
Security total		14 000	145 595	2,038 33		2,080 12	41 79	
HEXCEL CORP NEW								
Symbol HXL Exchange NYSE								
EAI $31 Current yield 1 06%	Nov 20, 14	20 000	42 977	859 55	41 640	832 80	-26 75	LT
	Nov 20, 14	20 000	43 183	863 67	41 640	832 80	-30 87	LT
	Nov 20, 14	10 000	43 061	430 61	41 640	416 40	-14 21	LT
	Jun 6, 16	20 000	43 834	876 68	41 640	832 80	-43 88	ST
Security total		70 000	43 293	3,030 51		2,914 80	115 71	
ILLUMINA INC								
Symbol ILMN Exchange OTC	Jun 5, 12	1 000	40 950	40 95	140 380	140 38	99 43	LT
	Aug 22, 12	8 000	41 971	335 77	140 380	1,123 04	787 27	LT
	Jul 8, 13	3 000	74 663	223 99	140 380	421 14	197 15	LT
	Dec 19, 14	2 000	187 280	374 56	140 380	280 76	-93 80	LT
Security total		14 000	69 662	975 27		1,965 32	990 05	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Jun 30 ($)	Value on Jun 30 ($)	Unrealized gain or loss ($)	Holding period
INTERCONTINENTALEXCHANGE GROUP								
Symbol ICE Exchange NYSE								
EAI $48 Current yield 1 34%	May 3, 12	3 000	129 886	389 66	255 960	767 88	378 22	LT
	Jan 4, 13	6 000	129 760	778 56	255 960	1,535 76	757 20	LT
	Jan 24, 13	3 000	134 150	402 45	255 960	767 88	365 43	LT
	Jun 23, 15	2 000	231 925	463 85	255 960	511 92	48 07	LT
Security total		14 000	145 323	2,034 52		3,583 44	1,548 92	
MEAD JOHNSON NUTRITION CO COM STOCK								
Symbol MJN Exchange NYSE								
EAI $45 Current yield 1 84%	Jun 24, 13	4 000	77 195	308 78	90 750	363 00	54 22	LT
	Jun 24, 14	11 000	93 310	1 026 41	90 750	998 25	-28 16	LT
	Jun 23, 15	9 000	92 252	830 27	90 750	816 75	13 52	LT
	Oct 23, 15	3 000	80 216	240 65	90 750	272 25	31 60	ST
Security total		27 000	89 115	2,406 11		2,450 25	44 14	
MOBILEYE N V AMSTELVEEN EUR								
Symbol MBLY Exchange NYSE								
EUR Exchange rate 0 90013	Dec 11, 14	10 000	41 731	417 31	46 140	461 40	44 09	LT
	Jan 22, 15	16 000	38 558	616 93	46 140	738 24	121 31	LT
	Jan 22, 15	3 000	38 560	115 68	46 140	138 42	22 74	LT
	Mar 3, 15	19 000	33 131	629 50	46 140	876 66	247 16	LT
	Sep 9, 15	8 000	49 621	396 97	46 140	369 12	-27 85	ST
	Feb 24, 16	17 000	29 199	496 39	46 140	784 38	287 99	ST
Security total		73 000	36 613	2,672 78		3,368 22	695 44	
MONSTER BEVERAGE CORP NEW COM								
Symbol MNST Exchange OTC	Dec 23, 13	10 000	66 701	667 01	160 710	1,607 10	940 09	LT
	Jan 10, 14	12 000	69 318	831 82	160 710	1,928 52	1,096 70	LT
Security total		22 000	68 129	1,498 83		3,535 62	2,036 79	
NETFLIX INC								
Symbol NFLX Exchange OTC	Aug 24, 15	12 000	100 670	1,208 04	91 480	1,097 76	-110 28	ST
	Sep 4, 15	7 000	97 714	684 00	91 480	640 36	-43 64	ST
	Sep 21, 15	6 000	100 635	603 81	91 480	548 88	-54 93	ST
Security total		25 000	99 834	2,495 85		2,287 00	-208 85	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Jun 30 ($)	Value on Jun 30 ($)	Unrealized gain or loss ($)	Holding period
NIKE INC CL B								
Symbol NKE Exchange NYSE								
EAI $34 Current yield 1 16%	Jul 3, 13	41 000	31 397	1,287 31	55 200	2,263 20	975 89	LT
	Aug 6, 14	12 000	38 495	461 94	55 200	662 40	200 46	LT
Security total		53 000	33 005	1,749 25		2,925 60	1,176 35	
NVIDIA CORP								
Symbol NVDA Exchange OTC								
EAI $30 Current yield 0 98%	Mar 4 13	10 000	12 082	120 82	47 010	470 10	349 28	LT
	Apr 17 14	30 000	12 349	370 49	47 010	1,410 30	1,039 81	LT
	Nov 20, 14	25 000	19 822	495 57	47 010	1,175 25	679 68	LT
Security total		65 000	15 183	986 88		3,055 65	2,068 77	
NXP SEMICONDUCTORS N V COM EUR								
Symbol NXPI Exchange OTC								
EUR Exchange rate 0 90013	Jan 29, 14	11 000	47 273	520 01	78 340	861 74	341 73	LT
	Feb 5, 16	10 000	75 307	753 07	78 340	783 40	30 33	ST
Security total		21 000	60 623	1,273 08		1,645 14	372 06	
PALO ALTO NETWORKS INC								
Symbol PANW Exchange NYSE	Nov 5, 14	11 000	105 141	1,156 56	122 640	1,349 04	192 48	LT
	Nov 20, 14	5 000	108 364	541 82	122 640	613 20	71 38	LT
	Dec 19, 14	2 000	123 265	246 53	122 640	245 28	-1 25	LT
Security total		18 000	108 051	1,944 91		2,207 52	262 61	
PERRIGO CO PLC EUR								
Symbol PRGO Exchange NYSE								
EAI $12 Current yield 0 66%								
EUR Exchange rate 0 90013	May 29, 14	6 000	138 206	829 24	90 670	544 02	-285 22	LT
	Aug 6 14	3 000	141 846	425 54	90 670	272 01	-153 53	LT
	Dec 19, 14	6 000	169 126	1,014 76	90 670	544 02	-470 74	LT
	Mar 4, 15	5 000	156 598	782 99	90 670	453 35	-329 64	LT
Security total		20 000	152 627	3,052 53		1,813 40	-1,239 13	
QUINTILES TRANSNATIONAL HLDGS INC								
Symbol Q Exchange NYSE	Sep 17, 13	8 000	45 040	360 32	65 320	522 56	162 24	LT
	Sep 18, 13	11 000	44 751	492 27	65 320	718 52	226 25	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Jun 30 ($)	Value on Jun 30 ($)	Unrealized gain or loss ($)	Holding period
	Dec 18, 13	16 000	45 245	723 93	65 320	1,045 12	321 19	LT
	May 23, 16	10 000	66 397	663 97	65 320	653 20	-10 77	ST
Security total		45 000	49 789	2,240 49		2,939 40	698 91	
REGENERON PHARMACEUTICLS INC								
Symbol RLGN Exchange OTC	Oct 7, 15	3 000	484 616	1,453 85	349 230	1,047 69	-406 16	ST
	Oct 22, 15	3 000	520 096	1,560 29	349 230	1 047 69	-512 60	ST
Security total		6 000	502 357	3,014 14		2,095 38	-918 76	
ROCHE HLDG LTD SPONS ADR SWITZ ADR								
Symbol RHHBY Exchange OTC								
EAI $79 Current yield 2 55%	May 23, 12	25 000	20 008	500 21	32 950	823 75	323 54	LT
	Jul 8, 13	4 000	31 170	124 68	32 950	131 80	7 12	LT
	Dec 10, 14	24 000	34 250	822 00	32 950	790 80	-31 20	LT
	Jun 23 15	19 000	36 522	693 92	32 950	626 05	-67 87	LT
	Dec 1, 15	22 000	33 629	739 84	32 950	724 90	-14 94	ST
Security total		94 000	30 645	2,880 65		3 097 30	216 65	
ROCKWELL AUTOMATION INC NEW								
Symbol ROK Exchange NYSE								
EAI $70 Current yield 2 54%	Apr 17 12	1 000	79 790	79 79	114 820	114 82	35 03	LT
	May 15, 12	5 000	75 786	378 93	114 820	574 10	195 17	LT
	Jul 6, 12	5 000	64 492	322 46	114 820	574 10	251 64	LT
	Jun 25, 13	7 000	83 457	584 20	114 820	803 74	219 54	LT
	Jul 8, 13	1 000	87 470	87 47	114 820	114 82	27 35	LT
	Mar 4, 14	2 000	123 870	247 74	114 820	229 64	-18 10	LT
	Aug 6, 14	3 000	112 463	337 39	114 820	344 46	7 07	LT
Security total		24 000	84 916	2,037 98		2,755 68	717 70	
SALESFORCE COM INC								
Symbol CRM Exchange NYSE	Jul 26, 12	5 000	31 386	156 93	79 410	397 05	240 12	LT
	Jul 8, 13	2 000	38 635	77 27	79 410	158 82	81 55	LT
	Apr 4, 14	9 000	54 726	492 54	79 410	714 69	222 15	LT
	Nov 20, 14	20 000	59 344	1,186 88	79 410	1,588 20	401 32	LT
Security total		36 000	53 156	1,913 62		2,858 76	945 14	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Jun 30 ($)	Value on Jun 30 ($)	Unrealized gain or loss ($)	Holding period
SCHWAB CHARLES CORP NEW Symbol SCHW Exchange NYSE EAI $33 Current yield 1 10%	Jul 20, 12	36 000	12 601	453 67	25 310	911 16	457 49	LT
	Apr 15, 13	45 000	16 891	760 13	25 310	1 138 95	378 82	LT
	Jun 23, 15	12 000	33 708	404 50	25 310	303 72	100 78	LT
	Oct 23, 15	26 000	30 176	784 58	25 310	658 06	126 52	ST
Security total		119 000	20 192	2,402 88		3,011 89	609 01	
SKYWORKS SOLUTIONS INC Symbol SWKS Exchange OTC EAI $30 Current yield 1 63%	Sep 19, 14	2 000	57 105	114 21	63 280	126 56	12 35	LT
	Oct 3, 14	15 000	55 376	830 65	63 280	949 20	118 55	LT
	Jan 12 16	12 000	65 510	786 12	63 280	759 36	26 76	ST
Security total		29 000	59 689	1,730 98		1,835 12	104 14	
STARBUCKS CORP Symbol SBUX Exchange OTC EAI $48 Current yield 1 40%	May 13, 13	14 000	31 402	439 63	57 120	799 68	360 05	LT
	Jun 24, 13	26 000	32 003	832 09	57 120	1,485 12	653 03	LT
	Mar 4, 14	20 000	35 769	715 39	57 120	1,142 40	427 01	LT
Security total		60 000	33 119	1,987 11		3,427 20	1,440 09	
TE CONNECTIVITY LTD CHF Symbol TEL Exchange NYSE EAI $38 Current yield 2 56% CHF Exchange rate 0 97420	Jun 7, 16	26 000	61 397	1,596 33	57 110	1,484 86	-111 47	ST
UNITEDHEALTH GROUP INC Symbol UNH Exchange NYSE EAI $73 Current yield 1 78%	Dec 3, 14	13 000	100 863	1,311 23	141 200	1,835 60	524 37	LT
	Dec 19, 14	9 000	102 391	921 52	141 200	1,270 80	349 28	LT
	Apr 22, 15	2 000	117 400	234 80	141 200	282 40	47 60	LT
	Dec 1, 15	5 000	116 220	581 10	141 200	706 00	124 90	ST
Security total		29 000	105 126	3,048 65		4,094 80	1,046 15	
VESTAS WIND SYSTEMS A/S ADR Symbol VWDRY Exchange OTC EAI $29 Current yield 0 97%	Mar 21, 16	88 000	23 329	2,053 01	22 725	1,999 80	-53 21	ST

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Jun 30 ($)	Value on Jun 30 ($)	Unrealized gain or loss ($)	Holding period
	May 24, 16	44 000	22 285	982 96[2]	22 725	999 90	16 94	ST
Security total		132 000	23 000	3,035 97		2,999 70	-36 27	
VISA INC CL A Symbol V Exchange NYSE EAI $24 Current yield 0 75%	Jun 24, 13	19 000	44 576	846 96	74 170	1,409 23	562 27	LT
	Jul 17, 13	16 000	47 334	757 35	74 170	1,186 72	429 37	LT
	Sep 16, 13	8 000	47 442	379 54	74 170	593 36	213 82	LT
Security total		43 000	46 136	1,983 85		3,189 31	1,205 46	
WELLS FARGO & CO NEW Symbol WFC Exchange NYSE EAI $82 Current yield 3 21%	Feb 21, 13	16 000	35 529	568 47	47 330	757 28	188 81	LT
	Jul 8, 13	2 000	42 775	85 55	47 330	94 66	9 11	LT
	Jul 17, 13	16 000	43 646	698 35	47 330	757 28	58 93	LT
	Jun 23, 15	20 000	58 216	1,164 32	47 330	946 60	-217 72	LT
Security total		54 000	46 605	2,516 69		2,555 82	39 13	
WHITEWAVE FOODS CO CL A Symbol WWAV Exchange NYSE	Jan 25, 16	35 000	36 454	1,275 89	46 940	1,642 90	367 01	ST
	Feb 5, 16	21 000	37 705	791 82	46 940	985 74	193 92	ST
Security total		56 000	36 923	2,067 71		2,628 64	560 93	
WISDOMTREE INVESTMENTS INC Symbol WETF Exchange OTC EAI $48 Current yield 3 27%	Dec 2, 15	102 000	21 739	2,217 39	9 790	998 58	-1,218 81	ST
	Feb 5, 16	48 000	11 461	550 15	9 790	469 92	-80 23	ST
Security total		150 000	18 450	2,767 54		1,468 50	-1,299 04	
XYLEM INC Symbol XYL Exchange NYSE EAI $50 Current yield 1 38%	Apr 29, 15	38 000	36 688	1,394 15	44 650	1,696 70	302 55	LT
	Jun 23, 15	25 000	37 370	935 23[2]	44 650	1,116 25	181 02	LT
	Sep 21, 15	18 000	32 769	589 85	44 650	803 70	213 85	ST
Security total		81 000	36 040	2,919 23		3,616 65	697 42	
Total				**$121,058.06**		**$146,399.60**	**$25,341.54**	

Total estimated annual income: $1,574

[2] Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Jan 1 ($)	Closing balance on Jan 29 ($)	Price per share on Jan 29 ($)	Average rate	Dividend/Interest period	Days in period	Cap amount ($)
UBS BANK USA BUS ACCT	2,935.33	2,568.78					250,000.00

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Jan 29 ($)	Value on Jan 29 ($)	Unrealized gain or loss ($)	Holding period
ABBOTT LABS								
Symbol: ABT Exchange: NYSE								
EAI: $74 Current yield: 2.75%	Sep 11, 13	27.000	34.457	930.35	37.850	1,021.95	91.60	LT
	Sep 25, 13	44.000	33.530	1,475.34	37.850	1,665.40	190.06	LT
Security total		71.000	33.883	2,405.69		2,687.35	281.66	
ACCENTURE PLC IRELAND CL A								
Symbol: ACN Exchange: NYSE								
EAI: $134 Current yield: 2.08%	Sep 14, 12	23.000	65.950	1,516.85	105.540	2,427.42	910.57	LT
	Jan 8, 14	1.000	81.880	81.88	105.540	105.54	23.66	LT
	Jan 10, 14	18.000	83.027	1,494.50	105.540	1,899.72	405.22	LT
	May 7, 14	11.000	78.450	862.95	105.540	1,160.94	297.99	LT
	Jul 16, 14	8.000	79.702	637.62	105.540	844.32	206.70	LT
Security total		61.000	75.308	4,593.80		6,437.94	1,844.14	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Jan 29 ($)	Value on Jan 29 ($)	Unrealized gain or loss ($)	Holding period
AMER EXPRESS CO Symbol AXP Exchange NYSE EAI $37 Current yield 2 16%	Jun 17, 15	17 000	80 304	1,365 18	53 500	909 50	-455 68	ST
	Jun 24, 15	7 000	81 255	568 79	53 500	374 50	-194 29	ST
	Jun 30, 15	8 000	78 030	624 24	53 500	428 00	-196 24	ST
Security total		32 000	79 944	2,558 21		1,712 00	-846 21	
ARCHER DANIELS MIDLAND CO Symbol ADM Exchange NYSE EAI $74 Current yield 3 17%	May 27, 15	17 000	53 133	903 27	35 350	600 95	-302 32	ST
	Jun 30, 15	14 000	48 319	680 18	35 350	494 90	-185 28	ST
	Nov 4, 15	16 000	42 589	681 43	35 350	565 60	-115 83	ST
	Jan 20, 16	19 000	30 707	583 45	35 350	671 65	88 20	ST
Security total		66 000	43 157	2,848 33		2,333 10	-515 23	
CHUBB LTD CHF Symbol CB Exchange NYSE EAI $64 Current yield 2 36% CHF Exchange rate 1 02465	Sep 14, 12	24 000	77 300	1,855 20	113 070	2,713 68	858 48	LT
CITIGROUP INC Symbol C Exchange NYSE EAI $5 Current yield 0 43%	Jan 13, 16	27 000	46 425	1,253 48	42 580	1,149 66	-103 82	ST
COMCAST CORP NEW CL A Symbol CMCSA Exchange OTC EAI $70 Current yield 1 80%	Sep 14, 12	53 000	34 530	1,830 09	55 710	2,952 63	1,122 54	LT
	Feb 26, 14	12 000	49 185	590 22	55 710	668 52	78 30	LT
	Feb 27, 14	5 000	49 668	248 34	55 710	278 55	30 21	LT
Security total		70 000	38 124	2,668 65		3,899 70	1,231 05	
CUMMINS INC Symbol CMI Exchange NYSE EAI $43 Current yield 4 35%	Dec 16, 15	11 000	87 676	964 44	89 890	988 79	24 35	ST
CVS HEALTH CORP Symbol CVS Exchange NYSE EAI $63 Current yield 1 76%	Nov 15, 12	20 000	45 438	908 77	96 590	1,931 80	1,023 03	LT
	Feb 13, 13	6 000	51 013	306 08	96 590	579 54	273 46	LT
	Feb 15, 13	11 000	51 748	569 23	96 590	1,062 49	493 26	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Jan 29 ($)	Value on Jan 29 ($)	Unrealized gain or loss ($)	Holding period
Security total		37 000	48 218	1,784 08		3,573 83	1,789 75	
DANAHER CORP								
Symbol DHR Exchange NYSE								
EAI $29 Current yield 0 62%	Sep 14, 12	25 000	54 830	1,370 75	86 650	2,166 25	795 50	LT
	Jul 31, 14	13 000	73 529	955 88	86 650	1,126 45	170 57	LT
	Oct 14, 15	8 000	88 530	708 24	86 650	693 20	15 04	ST
	Oct 21 15	8 000	89 206	713 65	86 650	693 20	20 45	ST
Security total		54 000	69 417	3,748 52		4 679 10	930 58	
DELPHI AUTOMOTIVE PLC								
Symbol DLPH Exchange NYSE								
EAI $29 Current yield 1 79%	Sep 18, 12	9 000	31 517	283 66	64 940	584 46	300 80	LT
	Sep 24, 14	16 000	64 566	1,033 06	64 940	1,039 04	5 98	LT
Security total		25 000	52 669	1 316 72		1,623 50	306 78	
EATON CORP PLC								
Symbol ETN Exchange NYSE								
EAI $46 Current yield 4 34%	Feb 14, 14	5 000	70 180	350 90	50 510	252 55	-98 35	LT
	Aug 6, 14	16 000	66 190	1,059 04	50 510	808 16	250 88	LT
Security total		21 000	67 140	1,409 94		1,060 71	-349 23	
EOG RESOURCES INC								
Symbol EOG Exchange NYSE								
EAI $18 Current yield 0 94%	Oct 1, 14	11 000	98 830	1,087 14	71 020	781 22	-305 92	LT
	Oct 7, 14	9 000	95 132	856 19	71 020	639 18	-217 01	LT
	Oct 22, 14	7 000	96 700	676 90	71 020	497 14	179 76	LT
Security total		27 000	97 046	2,620 23		1,917 54	-702 69	
FIDELITY NATL INFORMATION SVCS								
Symbol FIS Exchange NYSE								
EAI $64 Current yield 1 73%	Jul 10, 13	12 000	44 666	536 00	59 730	716 76	180 76	LT
	Apr 29, 14	14 000	52 542	735 60	59 730	836 22	100 62	LT
	May 29, 14	13 000	54 100	703 30	59 730	776 49	73 19	LT
	Dec 2, 15	12 000	65 532	786 39	59 730	716 76	-69 63	ST
	Dec 9, 15	11 000	63 011	693 13	59 730	657 03	36 10	ST
Security total		62 000	55 716	3,454 42		3,703 26	248 84	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Jan 29 ($)	Value on Jan 29 ($)	Unrealized gain or loss ($)	Holding period
FRANKLIN RESOURCES INC Symbol BEN Exchange NYSE EAI $50 Current yield 2 06%	Jun 12 13	17 000	48 988	832 80	34 660	589 22	-243 58	LT
	Jul 10, 13	21 000	45 989	965 78	34 660	727 86	-237 92	LT
	Jun 18, 14	17 000	57 646	979 99	34 660	589 22	-390 77	LT
	Jul 31, 14	15 000	54 696	820 44	34 660	519 90	-300 54	LT
Security total		70 000	51 414	3,599 01		2,426 20	1,172 81	
GENL MILLS INC Symbol GIS Exchange NYSE EAI $127 Current yield 3 12%	Feb 22, 12	4 000	38 317	153 27	56 510	226 04	72 77	LT
	Sep 14 12	68 000	39 040	2,654 72	56 510	3,842 68	1,187 96	LT
Security total		72 000	39 000	2,807 99		4,068 72	1,260 73	
GOLDMAN SACHS GROUP INC Symbol GS Exchange NYSE EAI $70 Current yield 1 60%	Sep 14, 12	10 000	121 320	1,213 20	161 560	1,615 60	402 40	LT
	Jan 22, 14	8 000	174 310	1,406 19²	161 560	1,292 48	-113 71	LT
	Feb 4, 15	4 000	180 240	720 96	161 560	646 24	-74 72	ST
	Apr 29, 15	5 000	198 714	993 57	161 560	807 80	-185 77	ST
Security total		27 000	160 516	4,333 92		4 362 12	28 20	
HONEYWELL INTL INC Symbol HON Exchange NYSE EAI $67 Current yield 2 32%	Sep 14, 12	9 000	61 456	553 11	103 200	928 80	375 69	LT
	Dec 4, 13	19 000	86 703	1,647 36	103 200	1,960 80	313 44	LT
Security total		28 000	78 588	2,200 47		2,889 60	689 13	
ILLINOIS TOOL WORKS INC Symbol ITW Exchange NYSE EAI $68 Current yield 2 44%	Apr 2, 14	20 000	83 125	1,662 50	90 070	1,801 40	138 90	LT
	Apr 9, 14	11 000	82 627	908 90	90 070	990 77	81 87	LT
Security total		31 000	82 948	2,571 40		2,792 17	220 77	
INTL BUSINESS MACH Symbol IBM Exchange NYSE EAI $52 Current yield 4 17%	Jan 17, 13	10 000	194 378	1,943 78	124 790	1,247 90	-695 88	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Jan 29 ($)	Value on Jan 29 ($)	Unrealized gain or loss ($)	Holding period
JOHNSON CONTROLS INC								
Symbol: JCI Exchange: NYSE								
EAI: $46 Current yield: 3 21%	Sep 14, 12	21 000	29 170	612 57	35 870	753 27	140 70	LT
	Sep 17, 14	19 000	46 366	880 96	35 870	681 53	-199 43	LT
Security total		40 000	37 338	1 493 53		1,434 80	-58 73	
JOHNSON & JOHNSON COM								
Symbol: JNJ Exchange: NYSE								
EAI: $147 Current yield: 2 87%	Sep 14, 12	14 000	68 570	959 98	104 440	1,462 16	502 18	LT
	Dec 5, 12	18 000	69 725	1,255 05	104 440	1,879 92	624 87	LT
	Dec 19, 12	17 000	71 120	1,209 05	104 440	1,775 48	566 43	LT
Security total		49 000	69 879	3,424 08		5,117 56	1,693 48	
JPMORGAN CHASE & CO								
Symbol: JPM Exchange: NYSE								
EAI: $220 Current yield: 2 96%	Sep 14, 12	80 000	41 586	3,326 92	59 500	4,760 00	1,433 08	LT
	Apr 17, 13	20 000	47 551	951 03	59 500	1,190 00	238 97	LT
	Oct 30, 13	25 000	52 900	1,322 50	59 500	1,487 50	165 00	LT
Security total		125 000	44 804	5,600 45		7,437 50	1,837 05	
MEDTRONIC PLC								
Symbol: MDT Exchange: NYSE								
EAI: $74 Current yield: 1 99%	Jan 27, 15	4 000	76 950	307 80	75 920	303 68	4 12	LT
	Feb 2, 15	18 000	72 274	1,300 94	75 920	1,366 56	65 62	ST
	Mar 11, 15	14 000	76 672	1,073 42	75 920	1,062 88	-10 54	ST
	Apr 8, 15	12 000	77 118	925 42	75 920	911 04	-14 38	ST
	Apr 9, 15	1 000	76 320	76 32	75 920	75 92	-0 40	ST
Security total		49 000	75 182	3,683 90		3,720 08	36 18	
MERCK & CO INC NEW COM								
Symbol: MRK Exchange: NYSE								
EAI: $153 Current yield: 3 64%	Aug 13, 14	22 000	57 695	1,269 30	50 670	1,114 74	154 56	LT
	Aug 20, 14	15 000	59 255	888 83	50 670	760 05	-128 78	LT
	Sep 3, 14	16 000	60 767	972.28	50 670	810 72	161 56	LT
	Sep 17, 14	14 000	59 750	836 50	50 670	709 38	-127 12	LT
	Dec 10, 14	16 000	60 085	961 36	50 670	810 72	-150 64	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Jan 29 ($)	Value on Jan 29 ($)	Unrealized gain or loss ($)	Holding period
Security total		83 000	59 377	4,928 27		4,205 61	722 66	
METLIFE INC Symbol MET Exchange NYSE EAI $114 Current yield 3 36%	Jan 19, 11	7 000	45 812	320 69	44 650	312 55	-8 14	LT
	Sep 14, 12	69 000	36 330	2,506 77	44 650	3,080 85	574 08	LT
Security total		76 000	37 203	2,827.46		3,393 40	565 94	
MONSANTO CO NEW Symbol MON Exchange NYSE EAI $32 Current yield 2 35%	Aug 12, 15	7 000	102 532	717 73	90 600	634 20	-83 53	ST
	Aug 19 15	8 000	102 620	820 96	90 600	724 80	96 16	ST
Security total		15 000	102 579	1,538 69		1,359 00	-179 69	
NASDAQ INC Symbol NDAQ Exchange OTC EAI $55 Current yield 1 61%	Dec 11, 13	21 000	38 798	814 76	62 000	1 302 00	487 24	LT
	Jan 17, 14	34 000	39 728	1,355 06[2]	62 000	2,108 00	752 94	LT
Security total		55 000	39 451	2,169 82		3,410 00	1,240 18	
NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ ADR Symbol NSRGY Exchange OTC EAI $80 Current yield 2 58%	Feb 21, 13	1 000	68 920	68 92	73 740	73 74	4 82	LT
	Jul 31, 13	23 000	67 216	1,545 99	73 740	1,696 02	150 03	LT
	Aug 14, 13	18 000	67 395	1,213 11	73 740	1,327 32	114 21	LT
Security total		42 000	67 334	2,828 02		3,097 08	269 06	
NORTHROP GRUMMAN CORP Symbol NOC Exchange NYSE EAI $80 Current yield 1 73%	Jan 7, 15	2 000	147 405	294 81	185 060	370 12	75 31	LT
	Jan 21, 15	7 000	154 460	1,081 22	185 060	1,295 42	214 20	LT
	Jan 28, 15	5 000	154 538	772 69	185 060	925 30	152 61	LT
	Apr 1, 15	2 000	160 715	321 43	185 060	370 12	48 69	ST
	Apr 10, 15	4 000	165 530	662 12	185 060	740 24	78 12	ST
	May 6, 15	5 000	153 848	769 24	185 060	925 30	156 06	ST
Security total		25 000	156 060	3,901 51		4,626 50	724 99	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Jan 29 ($)	Value on Jan 29 ($)	Unrealized gain or loss ($)	Holding period
OCCIDENTAL PETROLEUM CRP								
Symbol OXY Exchange NYSE								
EAI $123 Current yield 4 36%	Sep 14, 12	15 000	89 266	1,339 00	68 830	1,032 45	-306 55	LT
	May 21, 14	7 000	93 074	651 52	68 830	481 81	169 71	LT
	Apr 15, 15	10 000	81 381	813 81	68 830	688 30	125 51	ST
	Oct 14, 15	9 000	73 665	662 99	68 830	619 47	43 52	ST
Security total		41 000	84 569	3,467 32		2,822 03	645 29	
PENTAIR PLC								
Symbol PNR Exchange NYSE								
EAI $18 Current yield 2 73%	Apr 6, 15	14 000	64 957	909 41	47 120	659 68	249 73	ST
PFIZER INC								
Symbol PFE Exchange NYSE								
EAI $145 Current yield 3 93%	Sep 14, 12	74 000	23 807	1,761 78	30 490	2,256 26	494 48	LT
	Jan 9, 13	47 000	26 435	1,242 48	30 490	1,433 03	190 55	LT
Security total		121 000	24 829	3,004 26		3,689 29	685 03	
PHILIP MORRIS INTL INC								
Symbol PM Exchange NYSE								
EAI $314 Current yield 4 53%	Sep 14, 12	48 000	89 270	4,284 96	90 010	4,320 48	35 52	LT
	Oct 23, 13	18 000	87 642	1 577 56	90 010	1,620 18	42 62	LT
	Sep 16, 15	11 000	81 780	899 58	90 010	990 11	90 53	ST
Security total		77 000	87 819	6,762 10		6,930 77	168 67	
PNC FINANCIAL SERVICES GROUP								
Symbol PNC Exchange NYSE								
EAI $41 Current yield 2 37%	Sep 24, 15	11 000	87 131	958 45	86 650	953 15	-5 30	ST
	Oct 7, 15	9 000	90 558	815 03	86 650	779 85	-35 18	ST
Security total		20 000	88 674	1 773 48		1 733 00	-40 48	
PPG INDUSTRIES INC								
Symbol PPG Exchange NYSE								
EAI $73 Current yield 1 50%	Nov 19, 14	6 000	104 738	628 43	95 120	570 72	-57 71	LT
	Jan 14, 15	10 000	112 444	1,124 44	95 120	951 20	173 24	LT
	Mar 4, 15	10 000	118 804	1,188 04	95 120	951 20	-236 84	ST
	Jul 22, 15	5 000	108 504	542 52	95 120	475 60	-66 92	ST
	Jul 29, 15	13 000	108 280	1,407 64	95 120	1,236 56	-171 08	ST

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Jan 29 ($)	Value on Jan 29 ($)	Unrealized gain or loss ($)	Holding period
	Sep 2, 15	7 000	92 120	644 84	95 120	665 84	21 00	ST
Security total		51 000	108 547	5,535 91		4,851 12	-684 79	
PRUDENTIAL FINANCIAL INC Symbol PRU Exchange NYSE EAI $56 Current yield 4 00%	Sep 14, 12	20 000	58 930	1,178 60	70 080	1,401 60	223 00	LT
SCHLUMBERGER LTD NETHERLANDS ANTILLES Symbol SLB Exchange NYSE EAI $96 Current yield 2 77%	Dec 3, 14	9 000	86 937	782 44	72 270	650 43	-132 01	LT
	Dec 17 14	9 000	83 895	755 06	72 270	650 43	-104 63	LT
	Sep 9, 15	12 000	77 135	925 63	72 270	867 24	-58 39	ST
	Oct 14, 15	8 000	74 707	597 66	72 270	578 16	-19 50	ST
	Oct 28, 15	10 000	78 965	789 65	72 270	722 70	-66 95	ST
Security total		48 000	80 218	3,850 44		3 468 96	381 48	
STATE STREET CORP Symbol STT Exchange NYSE EAI $33 Current yield 2 47%	Mar 20, 13	24 000	60 327	1,447 86	55 730	1,337 52	-110 34	LT
TARGET CORP Symbol TGT Exchange NYSE EAI $83 Current yield 3 10%	Nov 2, 11	11 000	53 712	590 84	72 420	796 62	205 78	LT
	Sep 14, 12	26 000	64 596	1,679 52	72 420	1,882 92	203 40	LT
Security total		37 000	61 361	2,270 36		2,679 54	409 18	
TEXAS INSTRUMENTS Symbol TXN Exchange OTC EAI $108 Current yield 2 87%	Oct 15, 14	26 000	42 337	1,100 77	52 930	1,376 18	275 41	LT
	Oct 22, 14	19 000	47 240	897 56	52 930	1,005 67	108 11	LT
	Nov 11, 15	11 000	58 447	642 92	52 930	582 23	-60 69	ST
	Jan 6, 16	15 000	53 484	802 26	52 930	793 95	-8 31	ST
Security total		71 000	48 500	3,443 51		3,758 03	314 52	
THERMO FISHER SCIENTIFIC INC Symbol TMO Exchange NYSE EAI $12 Current yield 0 45%	Sep 14, 12	5 000	60 820	304 10	132 060	660 30	356 20	LT
	May 15, 13	15 000	84 761	1,271 42	132 060	1,980 90	709 48	LT
Security total		20 000	78 776	1,575 52		2,641 20	1,065 68	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Jan 29 ($)	Value on Jan 29 ($)	Unrealized gain or loss ($)	Holding period
TIME WARNER INC NEW								
Symbol TWX Exchange NYSE								
EAI $28 Current yield 1 99%	Jun 25, 14	8 000	67 936	543 49	70 440	563 52	20 03	LT
	Nov 19, 14	12 000	80 772	969 27	70 440	845 28	-123 99	LT
Security total		20 000	75 638	1,512 76		1,408 80	-103 96	
TRAVELERS COS INC/THE								
Symbol TRV Exchange NYSE								
EAI $107 Current yield 2 27%	Sep 14, 12	3 000	68 680	206 04	107 040	321 12	115 08	LT
	May 29, 13	10 000	83 244	832 44	107 040	1 070 40	237 96	LT
	Aug 28 13	21 000	79 572	1,671 03	107 040	2,247 84	576 81	LT
	Feb 19, 14	10 000	84 529	845 29	107 040	1,070 40	225 11	LT
Security total		44 000	80 791	3,554 80		4,709 76	1 154 96	
UNION PACIFIC CORP								
Symbol UNP Exchange NYSE								
EAI $29 Current yield 3 10%	Sep 2, 15	13 000	83 682	1,087 87	72 000	936 00	-151 87	ST
UNTD TECHNOLOGIES CORP								
Symbol UTX Exchange NYSE								
EAI $115 Current yield 2 91%	Sep 14, 12	18 000	82 006	1,476 12	87 690	1,578 42	102 30	LT
	Apr 3, 13	11 000	93 559	1,029 15	87 690	964 59	-64 56	LT
	Nov 5, 14	10 000	107 399	1,073 99	87 690	876 90	197 09	LT
	Jul 22, 15	6 000	101 776	610 66	87 690	526 14	-84 52	ST
Security total		45 000	93 109	4,189 92		3,946 05	-243 87	
US BANCORP DEL (NEW)								
Symbol USB Exchange NYSE								
EAI $38 Current yield 2 56%	Dec 10, 14	17 000	45 286	769 87	40 060	681 02	-88 85	LT
	Feb 4, 15	20 000	43 635	872 70	40 060	801 20	-71 50	ST
Security total		37 000	44 394	1,642 57		1,482 22	-160 35	
VERIZON COMMUNICATIONS INC								
Symbol VZ Exchange NYSE								
EAI $192 Current yield 4 52%	Nov 20, 13	24 165	50 844	1,228 65	49 970	1,207 53	-21 12	LT
	Jan 16, 14	29 000	48 303	1,414 13[2]	49 970	1,449 13	35 00	LT
	Feb 24, 14	11 835	48 114	569 44	49 970	591 39	21 95	LT
	Mar 19, 14	20 000	46 913	941 76[2]	49 970	999 40	57 64	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Jan 29 ($)	Value on Jan 29 ($)	Unrealized gain or loss ($)	Holding period
Security total		85.000	48.870	4,153.98		4,247.45	93.47	
WELLS FARGO & CO NEW								
Symbol WFC Exchange NYSE								
EAI $222 Current yield 2.99%	Apr 24, 13	39.000	37.211	1,451.23	50.230	1,958.97	507.74	LT
	Jul 24, 13	36.000	44.450	1,600.20	50.230	1,808.28	208.08	LT
	Sep 18, 13	34.000	42.958	1,460.59	50.230	1,707.82	247.23	LT
	Nov 20, 13	39.000	44.051	1,718.02	50.230	1,958.97	240.95	LT
Security total		148.000	42.095	6,230.04		7,434.04	1,204.00	
XCEL ENERGY INC								
Symbol XEL Exchange NYSE								
EAI $31 Current yield 3.38%	Jan 6, 16	24.000	36.206	868.96	38.220	917.28	48.32	ST
3M CO								
Symbol MMM Exchange NYSE								
EAI $53 Current yield 2.70%	Sep 14, 12	2.000	93.420	186.84	151.000	302.00	115.16	LT
	Jan 29, 14	11.000	130.558	1,436.14	151.000	1,661.00	224.86	LT
Security total		13.000	124.845	1,622.98		1,963.00	340.02	
Total				**$143,416.66**		**$157,085.74**	**$13,669.08**	

Total estimated annual income $4,102

[2] Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction

Your total assets

		Value on Jan 29 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**	**2,568.78**	**1.61%**	**2,568.78**		
Equities	**Common stock**	**157,085.74**	**98.39%**	**143,416.66**	**4,102.00**	**13,669.08**
Total		**$159,654.52**	**100.00%**	**$145,985.44**	**$4,102.00**	**$13,669.08**

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Jun 1 ($)	Closing balance on Jun 30 ($)	Price per share on Jun 30 ($)	Average rate	Dividend/interest period	Days in period	Cap amounts
Cash	8,009 21	0 00					
UBS BANK USA BUS ACCT	12,233 26	8,259 61					250 000 00
Total	**$20,242 47**	**$8,259 61**					

Equities

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a new tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Jun 30 ($)	Value on Jun 30 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
ISHARES MSCI EAFE ETF									
Symbol EFA									
Trade date Sep 8 15	52 000	59 188	3 077 81	3 077 81	55 820	2,902 64	-175 17		ST
Trade date Sep 8, 15	27 000	59 188	1,598 09	1 598 09	55 820	1,507 14	-90 95		ST
Trade date Jan 21 16	23 000	52 893	1 216 56	1,216 56	55 820	1,283 86	67 30		ST

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Your assets › **Equities** › Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Jun 30 ($)	Value on Jun 30 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
EAI $172 Current yield 3 02%									
Security total	102 000	57 769	5,892 46	5,892 46		5 693 64	198 82	-198 82	

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purpose.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purpose.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Jun 30 ($)	Value on Jun 30 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
AMERICAN CENTURY EQUITY									
INCOME FUND									
Symbol TWEIX									
Trade date Feb 12 14	897 493	8 479	7,610 74	7,610 74	8 890	7,978 71	367 97		LT
Total reinvested	225 747	8 422		1,901 28	8 890	2,006 89	105 61		
EAI $227 Current yield 2 27%									
Security total	1,123 240	8 468	7,610 74	9,512 02		9,985 60	473 58	2 374 86	
HAMLIN HIGH DIVIDEND									
EQUITY FUND CLASS INSTL									
Symbol HHDFX									
Trade date Apr 8 16	274 767	19 489	5,355 20	5,355 20	19 880	5,462 36	107 16	107 16	ST
EAI $158 Current yield 2 89%									
MAINSTAY EPOCH GLOBAL									
EQUITY YIELD FUND INSTL									
Symbol EPSYX									
Trade date Feb 12 14	160 093	19 301	3 090 00	3 090 00	18 090	2 896 08	193 92		LT
Total reinvested	28 040	18 310		513 43	18 090	507 24	6 19		
EAI $110 Current yield 3 23%									
Security total	188 133	19 154	3 090 00	3,603 43		3,403 32	-200 11	313 32	
PRINCIPAL SM-MD CP DIV F									
Symbol PMDPX									

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Your assets › Equities › Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Jun 30 ($)	Value on Jun 30 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Trade date Jul 9 14	213 237	14 519	3,096 20	3 096 20	14 130	3 013 04	-83 16		LT
Total reinvested	19 383	13 342		258 62	14 130	273 88	15 26		
EAI $78 Current yield 2 37%									
Security total	232 620	14 422	3,096 20	3 354 82		3,286 92	-67 90	190 72	
Total			**$19,152.14**	**$21,825 47**		**$22,138 20**	**$312 73**	**$2,986 06**	

Total estimated annual income $573

Fixed income

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purpose of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis. It is would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Jun 30 ($)	Value on Jun 30 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
ISHARES 7-10 YEAR TREAS BOND									
ETF									
Symbol IEF									
Trade date Sep 29 14	35 000	103 680	3,628 80	3 628 80	112 952	3 953 32	324 52		LT
Trade date Dec 2? 14	44 000	106 230	4 674 12	4 674 12	112 952	4 969 88	295 76		LT
EAI $155 Current yield 1 74%									
Security total	79 000	105 100	8 302 92	8 302 92		8 923 20	620 28	620 28	
ISHARES IBOXX $ INVT GRADE									
CORPORATE BOND ETF									
Symbol LQD									
Trade date Oct 9 15	45 000	116 240	5,230 80	5 230 80	122 735	5 523 07	292 27		ST
Trade date Jan 29 16	47 000	114 040	5,359 88	5 359 88	122 735	5,768 54	408 66		ST
Trade date Apr 1 16	17 000	118 790	2,019 43	2,019 43	122 735	2 086 49	67 06		ST
EAI $433 Current yield 3 24%									

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Your assets • Fixed income • Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Jun 30 ($)	Value on Jun 30 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Security total	109 000	115 689	12,610 11	12,610 11		13,378 11	767 99	767 99	
ISHARES MBS ETF									
Symbol MBB									
Trade date Jan 21 16	29 000	108 809	3,155 47	3,155 47	109 970	3,189 13	33 66		ST
Trade date Jan 29 16	20 000	109 280	2,185 60	2,185 60	109 970	2 199 40	13 80		ST
EAI $125 Current yield 2 32%									
Security total	49 000	109 001	5,341 07	5 341 07		5 388 53	47 46	47 46	
VANGUARD BD INDEX FD INC TOTAL									
BOND MKT ETF									
Symbol BND									
Trade date Feb 12 14	228 000	80 868	18 438 06	18,438 06	84 300	19 220 40	782 34		LT
Trade date Apr 9 14	100 000	81 289	8 128 99	8 128 99	84 300	8 430 00	301 01		LT
EAI $660 Current yield 2 41%									
Security total	328 000	80 997	26 567 05	26 567 05		27 650 40	1 083 35	1,083 35	
Total			**$52,821.15**	**$52,821 15**		**$55,340.24**	**$2,519 08**	**$2,519.09**	

Total estimated annual income: $1,379

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purpose of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Jun 30 ($)	Value on Jun 30 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
LOOMIS SAYLES INVESTMENT									
GRADE BOND CLASS Y									
Symbol LSIIX									
Trade date Feb 12, 14	316 766	12 009	3 804 24	3 804 24	11 390	3,607 96	-196 28		LT
Trade date Sep 29 14	449 927	12 129	5,457 61	5 457 61	11 390	5,124 66	-332 95		LT
Total reinvested	127 169	11 640		1,480 32[2]	11 390	1,448 46	-31 86		

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Your assets • Fixed income • Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Jun 30 ($)	Value on Jun 30 ($)	Unrealized tax gain or loss ($)	Investment return ($)	Holding period
EAI $220 Current yield 2 16%									
Security total	893 862	12 018	9 261 85	10 742 17		10,181 08	561 09	919 23	
OPPENHEIMER SR FLOATING RATE FD CLASS Y									
Symbol OOSYX									
Trade date Feb 12 14	1 167 546	8 400	9,807 39	9 807 39	7 750	9 048 48	-758 91		LT
Trade date Aug 1 14	4 801	8 379	40 23	40 23	7 750	37 21	3 02		LT
Total reinvested	181 537	8 108		1,471 92	7 750	1,406 91	65 01		
EAI $536 Current yield 5 11%									
Security total	1 353 884	8 361	9,847 62	11,319 54		10 492 60	826 94	644 98	
PIMCO INCOME FUND CLASS P									
Symbol PONPX									
Trade date Nov 13 15	600 047	12 139	7,284 57	7,284 57	11 890	7 134 56	150 01		ST
Total reinvested	38 377	11 820		453 63[2]	11 890	456 30	2 67		
EAI $417 Current yield 5 49%									
Security total	638 424	12 121	7,284 57	7 738 20		7,590 86	147 34	306 29	
VIRTUS MULTI SECTOR SHORT TERM BOND FUND CLASS I									
Symbol PIMSX									
Trade date Feb 12 14	2 297 833	4 833	11 107 00	11 107 00	4 730	10 868 75	-238 25		LT
Trade date Aug 1 14	5 266	4 865	25 62	25 62	4 730	24 91	0 71		LT
Trade date Sep 29 14	763 240	4 813	3,674 00	3,674 00	4 730	3 610 12	63 88		LT
Total reinvested	265 132	4 785		1,268 71	4 730	1 254 07	14 64		
EAI $480 Current yield 3 05%									
Security total	3 331 471	4 825	14,806 62	16,075 33		15,757 85	-317 48	951 23	
Total			**$41,200.66**	**$45,875.24**		**$44,022 39**	**-$1,852 85**	**$2,821.73**	

Total estimated annual income: $1,653

[2] Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction

	$55,340 24
Less US Obligation	($8,923 30)
Investments - Other	$90,440

Your assets (continued)

Your total assets

		Value on Jun 30 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**	**8,259.61**	**6 10%**	**8,259.61**		
Equities	Closed end funds & Exchange traded products	5,693 64		5,892 46	172 00	198 82
	Mutual funds	22 138 20		21 825 47	573 00	312 73
	Total equities	**27,831 84**	**20.55%**	**27,717 93**	**745 00**	**113.91**
Fixed income	Closed end funds & Exchange traded products	55 340 24		52 821 15	1,379 00	2,519 08
	Mutual funds	44,022 39		45,875 24	1 653 00	1,852 85
	Total fixed income	**99,362 63**	**73.35%**	**98,696.39**	**3,032 00**	**666 23**
Total		**$135,454.08**	**100 00%**	**$134,673 93**	**$3,777.00**	**$780.14**

Account activity this month

For more information on the price/value shown for restricted securities, see *Important information about your statement* at the end of this document

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value ($)	Cash amount ($)	Cash and money balance ($)
May 31		**Cash and money balance**					**$20,242.47**
Jun 1	Dividend	PIMCO INCOME FUND CLASS P AS OF 05/31/16				41 13	
Jun 1	Reinvestment	PIMCO INCOME FUND CLASS P DIVIDEND REINVESTED AT 11 88 NAV ON 05/31/16 AS OF 05/31/16		3 462		41 13	
Jun 1	Dividend	VIRTUS MULTI-SECTOR SHORT TERM BOND FUND CLASS I AS OF 05/31/16				44 16	
Jun 1	Reinvestment	VIRTUS MULTI-SECTOR SHORT TERM BOND FUND CLASS I DIVIDEND REINVESTED AT 4 69 NAV ON 05/31/16 AS OF 05/31/16		9 416		44 16	
Jun 1	Dividend	OPPENHEIMER SR FLOATING RATE FD CLASS Y AS OF 05/31/16				56 90	
Jun 1	Reinvestment	OPPENHEIMER SR FLOATING RATE FD CLASS Y DIVIDEND REINVESTED AT 7 77 NAV ON 05/31/16 AS OF 05/31/16		7 323		56 90	
Jun 1	Transfer	TO SJ 28610 9100				-18,857 00	1,385 47

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Investment Detail - Other Assets

Other Assets	Quantity	Market Price	Market Value
ETFS SILVER TRUST ETF SYMBOL SIVR	500 0000	18 4075	9,203 75
ISHARES SELECT DIVIDEND ETF IV SYMBOL DVY	300 0000	85 2800	25,584 00
SELECT SECTOR UTI SELECT SPDR ETF IV SYMBOL XLU	300 0000	52 4700	15,741 00
SPDR S&P BIOTECH ETF IV SYMBOL XBI	300 0000	54 0800	16,224 00
SPDR S&P DIVIDEND ETF IV SYMBOL SDY	200 0000	83 9200	16,784 00
Total Other Assets	1,600.0000		83,536.75

Total Investment Detail	743,350.16
Total Account Value	743,350.16