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For calendar year 2015 or tax year beginning **JULY 1**, 2015, and ending **JUNE 30**, 2016

Name of foundation
Blue Cross and Blue Shield of North Carolina Foundation

Number and street (or P O box number if mail is not delivered to street address) Room/suite
PO Box 2291

City or town state or province, country, and ZIP or foreign postal code
Durham, NC 27702

A Employer identification number
56-2226009

B Telephone number (see instructions)
919-765-4104

C If exemption application is pending check here. ☐

D 1 Foreign organizations check here. ☐
2 Foreign organizations meeting the 85% test check here and attach computation. ☐

E If private foundation status was terminated under section 507(b)(1)(A) check here. ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B) check here. ☐

G Check all that apply
☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ **101,398,161** **J Accounting method** ☐ Cash ☒ Accrual
☐ Other (specify) _____ (Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc. received (attach schedule)				
2	Check ☐ if the foundation is not required to attach Sch. B.				
3	Interest on savings and temporary cash investments.				
4	Dividends and interest from securities	3,706,900	3,706,900		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	4,916			
b	Gross sales price for all assets on line 6a	1,956,255			
7	Capital gain net income (from Part IV, line 2)		4,916		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
12	Total. Add lines 1 through 11	3,711,816	3,711,816		
13	Compensation of officers, directors, trustees, etc.				
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule)				
c	Other professional fees (attach schedule)				
17	Interest				
18	Taxes (attach schedule) (see instructions)	72,612			
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule)	2,242,717	81,196		1,351,757
24	Total operating and administrative expenses	2,315,329	81,196		1,351,757
25	Contributions, gifts, grants paid	4,929,395			7,443,430
26	Total expenses and disbursements Add lines 24 and 25	7,244,724	81,196		8,795,187
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	-3,532,908			
b	Net investment income (if negative, enter -0-)		3,630,620		
c	Adjusted net income (if negative, enter -0-)				

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Operating and Administrative Expenses

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing		3,459,134	4,155,817	4,155,817
	2	Savings and temporary cash investments				
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations (attach schedule)		4,748,400	4,919,200	4,919,200
	b	Investments - corporate stock (attach schedule)		71,378,647	69,247,304	69,247,304
	c	Investments - corporate bonds (attach schedule)		29,855,800	22,810,875	22,810,875
	11	Investments - land, buildings, and equipment basis ▶ Less: accumulated depreciation ▶ (attach schedule)				
12	Investments - mortgage loans					
13	Investments - other (attach schedule)					
14	Land, buildings, and equipment basis ▶ Less: accumulated depreciation ▶ (attach schedule)					
15	Other assets (describe ▶ <u>SEE STATEMENT 6</u>)		347,642	264,965	264,965	
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		109,789,623	101,398,161	101,398,161	
Liabilities	17	Accounts payable and accrued expenses		7,392,125	4,878,095	
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶ <u>SEE STATEMENT 7</u>)		568,044	672,648	
23	Total liabilities (add lines 17 through 22)		7,960,169	5,550,743		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted		91,297,115	85,662,964	
	25	Temporarily restricted		10,532,338	10,184,454	
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☐					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	Total net assets or fund balances (see instructions)		101,829,453	95,847,418	
	31	Total liabilities and net assets/fund balances (see instructions)		109,789,623	101,398,161	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	101,829,453
2	Enter amount from Part I, line 27a	2	-3,532,908
3	Other increases not included in line 2 (itemize) ▶ <u>SEE STATEMENT 8</u>	3	49,984
4	Add lines 1, 2, and 3	4	98,346,529
5	Decreases not included in line 2 (itemize) ▶ <u>SEE STATEMENT 9</u>	5	2,499,111
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	95,847,418

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE STATEMENT 10					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)			2		
If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7					
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):			3		
If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8					

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	9,669,055	111,216,336	0.0869
2013	6,039,485	111,579,449	0.0541
2012	13,060,164	110,816,435	0.1179
2011	16,013,680	109,871,953	0.1457
2010	11,033,679	107,967,319	0.1022
2 Total of line 1, column (d)			2 0.5068
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.1014
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5			4 100,953,187
5 Multiply line 4 by line 3			5 10,236,653
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 36,306
7 Add lines 5 and 6			7 10,272,959
8 Enter qualifying distributions from Part XII, line 4			8 8,795,187

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter: _____ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	72,612
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . .	2	
3	Add lines 1 and 2	3	72,612
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . .	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	72,612
6	Credits/Payments		
a	2015 estimated tax payments and 2014 overpayment credited to 2015	6a	76,307
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	76,307
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	3,695
11	Enter the amount of line 10 to be Credited to 2016 estimated tax ▶ 3,695 Refunded ▶	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ▶ \$ 0 (2) On foundation managers ▶ \$ 0		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ 0		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ <u>NORTH CAROLINA</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>www.bcbsncfoundation.org</u>	13	X
14 The books are in care of ► <u>KATHY HIGGINS</u> Telephone no ► <u>919-765-4104</u> Located at ► <u>P.O. BOX 2291, DURHAM, NC</u> ZIP+4 ► <u>27702</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here	15	N/A
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	X
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☒ Yes ☐ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	X
Organizations relying on a current notice regarding disaster assistance check here		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015?	☐ Yes ☒ No	
If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions), ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ **5b**

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ **6b** X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11				

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. ☐

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
BLUE CROSS AND BLUE SHIELD OF NORTH CAROLINA P.O. BOX 2291, DURHAM, NC 27702	PROFESSIONAL SERVICES	686,336
Total number of others receiving over \$50,000 for professional services		▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 SEE STATEMENT 12	
	630,950
2 SEE STATEMENT 13	
	326,722
3 SEE STATEMENT 14	
	279,770
4 SEE STATEMENT 15	
	57,500

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	99,671,676
b	Average of monthly cash balances	1b	2,557,599
c	Fair market value of all other assets (see instructions)	1c	261,270
d	Total (add lines 1a, b, and c)	1d	102,490,545
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	102,490,545
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	1,537,358
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	100,953,187
6	Minimum investment return. Enter 5% of line 5	6	5,047,659

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	5,047,659
2a	Tax on investment income for 2015 from Part VI, line 5	2a	72,612
b	Income tax for 2015 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	72,612
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	4,975,047
4	Recoveries of amounts treated as qualifying distributions	4	5
5	Add lines 3 and 4	5	4,975,052
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	4,975,052

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	8,795,187
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	8,795,187
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	8,795,187

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				4,975,052
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2015				
a From 2010	5,735,025			
b From 2011	10,489,728			
c From 2012	7,609,066			
d From 2013	639,013			
e From 2014	4,177,110			
f Total of lines 3a through e	28,649,942			
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ \$ 8,795,187				
a Applied to 2014, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions).				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2015 distributable amount.				4,975,052
e Remaining amount distributed out of corpus.	3,820,135			
5 Excess distributions carryover applied to 2015. (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	32,470,077			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions.				
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2015 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2016.				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions)	5,735,025			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	26,735,052			
10 Analysis of line 9				
a Excess from 2011	10,489,728			
b Excess from 2012	7,609,066			
c Excess from 2013	639,013			
d Excess from 2014	4,177,110			
e Excess from 2015	3,820,135			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015 enter the date of the ruling **N/A**

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed.

SEE STATEMENT 16

b The form in which applications should be submitted and information and materials they should include

SEE STATEMENT 17

c Any submission deadlines

SEE STATEMENT 17

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

FOR THE HEALTH AND WELL BEING OF NORTH CAROLINIANS

Part XV **Supplementary Information** *(continued)***3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE STATEMENT 18				7,443,430
Total			3a	7,443,430
b Approved for future payment SEE STATEMENT 19				4,878,095
Total			3b	4,878,095

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

► Shan Summa

5/9/17

► VP

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☒ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date _____

Check ☐ if self-employed	PTIN
---	------

Firm's name

Firm's EIN ▶

Firm's address ►

Phone no

Blue Cross and Blue Shield of North Carolina Foundation
EIN 56-2226009
Attachment for Form 990-PF for taxable year ended 6/30/16

Statement 1

Part I, Line 18 - Taxes

2015 Excise Tax Expense	\$72,612
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Statement 2

Part I, Line 23 - Other Expenses

	(a)	(b)	(d)
Program Expenses - Nonprofit Leadership Academy	\$277,048		\$279,770
Program Expenses - Breast Health Program	5,158		5,688
Program Expenses - Be Active Kids	327,884		326,722
Skills Based Volunteering	56,627		51,127
Education & Technical Assistance	597,950		630,950
Other Charitable Disbursements	57,500		57,500
Investment Charges	30,914	30,914	
Donated Services	0	50,282	
Professional Services	686,336		
Other Expenses	203,300		
Total Other Expenses	<u>\$2,242,717</u>	<u>\$81,196</u>	<u>\$1,351,757</u>

Blue Cross and Blue Shield of North Carolina Foundation
EIN 56-2226009
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Statement 3

Part II, Line 10a - Investments - Govt. Obligations (Market Value Valuation)

FEDERAL HOME LOAN BANKS	4,919,200
Total Investments - Govt Obligations at Market Value	<u>4,919,200</u>

Statement 4

Part II, Line 10b - Investments - Corporate Stock (Market Value Valuation)

SPDR S&P REGIONAL BANKING ETF	64,400 00	common shares	2,472,960
SPDR S&P BANK ETF	85,250 00	common shares	2,598,420
SELECT SECTOR UTI SELECT SPDR ETF	161,345 00	common shares	8,465,772
VANGUARD HIGH DIVIDEND YIELD ETF	87,900.00	common shares	6,281,334
VANGUARD SMALL CAP VALUE ETF	66,000 00	common shares	6,953,760
COLUMBIA ACORN INTERNATIONAL CL Z MF	61,839 12	common shares	2,372,767
DFA EMERGING MRKTS VAL CL INST MF	65,530 56	common shares	1,458,710
DODGE & COX INTL STOCK MF	148,907 37	common shares	5,165,597
VANGUARD CL INST INDEX MF	72,808 36	common shares	13,963,187
VANGUARD INX TRS MID INX CL INST MF	296,540.82	common shares	10,023,080
WELLS FARGO DISCOVERY CL ADMIN MF	324,614 13	common shares	9,491,717
Total Investments - Corporate Stock at Market Value			<u>69,247,304</u>

Statement 5

Part II, Line 10c - Investments - Corporate Bonds (Market Value Valuation)

AMERISOURCEBERGEN CORP	1,073,890
ATLANTIC CITY ELECTRIC CO	1,087,880
BANK OF AMERICA CORP	515,185
BAXTER INTERNATIONAL INC	1,072,740
CAMPBELL SOUP CO	1,128,890
DOMINION RESOURCES INC	1,106,930
DUKE ENERGY CORP	1,101,670
DUKE ENERGY CAROLINAS LLC	1,071,400
DUKE ENERGY CAROLINAS LLC	1,096,490
GENERAL ELECTRIC CAPITAL CORP	1,087,470
GENERAL ELECTRIC CAPITAL CORPORATION	1,147,900
GLAXOSMITHKLINE CAPITAL INC	1,086,640
HIGHMARK INC	2,561,600
ELI LILLY AND CO	1,033,220
MEDTRONIC INC	1,104,510
PRAXAIR INC	1,115,240
STATE STREET CORP	1,124,060
WAL-MART STORES INC	1,137,340
WASHINGTON MUTUAL BANK	2,500
WELLS FARGO & CO	1,119,460
WYETH	1,035,860
Total Investments - Corporate Bonds at Market Value	<u>22,810,875</u>

Blue Cross and Blue Shield of North Carolina Foundation
EIN 56-2226009
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Statement 6

Part II, Line 15 - Other Assets (Market Value Valuation)

	Beginning	Ending
Excise Taxes Receivable	\$4,306	\$3,695
Interest Receivable	330,385	233,008
Dividends Receivable	0	12,301
Sales Tax Refund Receivable	12,951	15,961
Total Other Assets	<u>\$347,642</u>	<u>\$264,965</u>

Statement 7

Part II, Line 22 - Other Liabilities

	Beginning	Ending
Deferred Tax Liability	\$392,987	\$343,005
Accrued Program Expenses	90,674	96,731
Accrued Expenses	84,383	232,912
Total Other Liabilities	<u>\$568,044</u>	<u>\$672,648</u>

Statement 8

Part III, Line 3 - Other Increases not included in Line 2

Change in Deferred Taxes on Investments	49,982
Rounding	2
Total Other Increases	<u>\$49,984</u>

Statement 9

Part III, Line 5 - Other Decreases not included in Line 2

Unrealized Loss on Investments	2,499,111
Total Other Decreases	<u>\$2,499,111</u>

Statement 10

Part IV, Capital Gains and Losses for Tax on Investment Income

(a) Description of Property Sold	(b) How Acquired	(c) Date Acquired	(d) Date Sold	(e) Gross Sales Price	(f) Depreciation Allowed	(g) Cost or Other Basis	(h) Gain or (Loss)
MICROSOFT CORP	P	2/3/11	VAR	1,001,255.00		999,962.36	1,292.64
VANGUARD CL INST INDEX MF	P	VAR	VAR	360,000.00		363,426.89	(3,426.89)
VANGUARD INX TRS MID INX CL INST MF	P	VAR	VAR	320,000.00		287,409.42	32,590.58
WELLS FARGO DISCOVERY CL ADMIN MF	P	3/12/14	11/2/15	275,000.00		300,539.96	(25,539.96)
Subtotal				<u>1,956,255.00</u>		<u>1,951,338.63</u>	<u>4,916.37</u>

Blue Cross and Blue Shield of North Carolina Foundation
EIN 56-2226009
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Statement 11

Part VIII, Line 1 - List of Officers, directors, trustees, foundation managers

(a)	(b)	(c)	(d)	(e)
J Bradley Wilson P O Box 2291 Durham, NC 27702	Director 1	None	None	None
Jack Kenley P O Box 2291 Durham, NC 27702	Director 0 5	None	None	None
John T Roos P O Box 2291 Durham, NC 27702	Director 0 5	None	None	None
Kathy Higgins P O Box 2291 Durham, NC 27702	President 15	None	None	None
Lisa Carey, MD P O Box 2291 Durham, NC 27702	Director 0 5	None	None	None
Maureen O'Connor P O Box 2291 Durham, NC 27702	Director 0 5	None	None	None
Nick Chiarello P O Box 2291 Durham, NC 27702	Treasurer 1 5	None	None	None
Stran Summers P O Box 2291 Durham, NC 27702	VP, Finance 1 5	None	None	None
Danielle Breslin P O Box 2291 Durham, NC 27702	VP, Operations 40	None	None	None
N King Prather P O Box 2291 Durham, NC 27702	Secretary 0 5	None	None	None
Todd Berman P O Box 2291 Durham, NC 27702	Assistant Secretary 1 5	None	None	None
Gerald Petkau P O Box 2291 Durham, NC 27702	Director 0 5	None	None	None

Statement 12

Part IX-A, Line 1 – Direct Charitable Activities

The Blue Cross and Blue Shield of North Carolina Foundation provides education and technical assistance to grantees and other charitable organizations. This would include, but is not limited to, educational conferences and seminars, and providing expert advice and related assistance to enhance the grantees' ability to achieve desired outcomes. A significant portion of expenses this fiscal year supported the Foundation's Community-Centered Health initiative.

Expenses = \$630,949.85

Statement 13

Part IX-A, Line 2 – Direct Charitable Activities

Be Active Kids teaches preschool children about the importance of physical activity. Day care providers are given hands-on training, along with a free kit containing teaching materials and curriculum guides. The program is available in all 100 counties in North Carolina.

Expenses = \$326,721.88

Statement 14

Part IX-A, Line 3 – Direct Charitable Activities

The Blue Cross and Blue Shield of North Carolina Foundation Nonprofit Leadership Academy is an eleven-month leadership development program that brings together staff and board members for a dynamic professional learning experience. This program is intended to develop and enhance the strategic and operational flexibility, insight, and teamwork necessary for future organizational success. The program was developed in 2014, with 14 nonprofits in the first cohort and 16 in the second.

Expenses = \$279,769.89

Statement 15

Part IX-A, Line 4 – Direct Charitable Activities

Sponsorships support events and programs throughout North Carolina designed to strengthen nonprofits' fundraising efforts, governance, management and operations. The sponsorships are leveraged to allow grantees to attend conferences and events at no cost to their organization. 11 strategic sponsorships were paid benefiting organizations across the state.

Expenses = \$57,500.00

Statement 16

Part XV, Line 2a

Blue Cross and Blue Shield of North Carolina Foundation
P.O. Box 2291
Durham, NC 27702
(919) 765-2826

Blue Cross and Blue Shield of North Carolina Foundation
EIN 56-2226009
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Statement 17
Part XV, Line 2b & 2c

The Foundation has different funding categories, each with its own set of application requirements, review processes, and deadlines. All of the information related to the various funding opportunities is posted on the website at www.bcbsncfoundation.org. The website is frequently updated with the current information.

Statement 18
Part XV, Line 3a

Grants and Contributions Paid During the Year

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Foundation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
Access Dental Care 125 South Park Street Asheboro, NC 27203	None	Public Charity	Develop a business and strategic plan building on current strengths and positioning the organization for future success.	\$18,500
Aging Disability & Transit Services of Rockingham County PO Box 1915 Reidsville, NC 27323	None	Public Charity	Increase organizational sustainability through new fund development plans.	\$10,000
Appalachian Sustainable Agriculture Project 306 West Haywood St Asheville, NC 28801	None	Public Charity	Build a statewide Farm to Preschool network to help improve early childhood nutrition, promote healthy eating, and increase access to healthier food choices for 3-5 year olds.	\$25,000
Appalachian Sustainable Agriculture Project 306 West Haywood St Asheville, NC 28801	None	Public Charity	Integrate local food experiences and training into undergraduate and graduate curricula for education students, nutrition/dietetics students, and dietetic interns.	\$100,000
Area L Area Health Education Center (AHEC) PO Drawer 7368 Rocky Mount, NC 27803	None	Public Charity	Increase capacity of safety-net primary care practices through the development of a service-learning program for recent college graduates trained as medical assistants and community health workers.	\$32,500
Blue Ridge Parkway Foundation, Inc 717 S. Marshall St. Suite 105B Winston-Salem, NC 27101	None	Public Charity	Improve the overall health of kids, families and parks across North Carolina through expansion of TRACK Trails into all 100 counties, and to increase use of the program through installation of pediatrician office, school and library materials, and development of a mobile app.	\$290,000
Cape Fear River Watch 617 Surry Street Wilmington, NC 28401	None	Public Charity	Increase leadership capacity through effective succession planning and implementation.	\$10,000
CARE Ring 601 East 5th Street, Suite 140 Charlotte, NC 28202	None	Public Charity	Develop a strategic plan to increase board and staff leadership capacity.	\$10,000
CARE Ring 601 East 5th Street, Suite 140 Charlotte, NC 28202	None	Public Charity	Improve birth and long-term health outcomes for low-income first-time mothers and their children in Mecklenburg County through the implementation of an evidence-based nurse visitation program.	\$75,000
Care Share Health Alliance 2300 Gateway Centre Blvd., Suite 100 Morrisville, NC 27560	None	Public Charity	Provide technical assistance and peer-to-peer learning opportunities for collaborative networks that improve the health of low-income and uninsured North Carolinians.	\$75,000

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Carolina Common Enterprise PO Box 2241 Durham, NC 27702	None	Public Charity	Improve organizational effectiveness through stronger internal support, information systems and development of external guidance develop plans for system of community-owned grocery stores in "food desert" areas	\$95,000
Carolina Farm Stewardship Association PO Box 443 Pittsboro, NC 27312	None	Public Charity	Support local-level Food Councils in their efforts to advocate for change in their community food systems through the development of online resources and direct technical assistance to aid advocacy campaigns	\$45,000
Chapel Hill Training Outreach Project Inc 800 Eastowne Drive, Suite 305 Chapel Hill NC 27514	None	Public Charity	Increase organizational capacity through strategic planning	\$10,000
Chatham County Council on Aging PO Box 715 Pittsboro NC 27312	None	Public Charity	Increase capacity by transforming into a data driven organization	\$10,000
Conservation Trust for North Carolina 1028 Washington Street Raleigh, NC 27605	None	Public Charity	Create a strategic plan guiding the expansion of a North Carolina Youth Conservation Corps (NCC) program that connects young adults to the outdoors by providing jobs building and maintaining parks and trails, thereby improving public access	\$25,000
Duke University Sanford School of Public Policy PO Box 90001 Durham, NC 27708	None	Public Charity	Increase access to healthy food through consensus-building, network development, and strategic planning for a signature World Food Policy Center in partnership with The Duke Endowment. The center would bridge the research and policy worlds and create major advances by coordinating work across four categories of world food issues: hunger, obesity, the reciprocal relationship of agriculture with the environment, and food safety and security.	\$75,000
Duke University Sanford School of Public Policy PO Box 90001 Durham, NC 27708	None	Public Charity	Expand coalition building and communications capacity for a World Food Policy Center at Duke University to enable the execution of a project to establish Durham as a model food system community for the state of North Carolina	\$125,000
Durham Literacy Center, Inc 1905 Chapel Hill Rd Durham, NC 27707	None	Public Charity	Increase organizational capacity by improving staffing structure and creating a funding plan	\$10,000
Durham Public Schools Hub Farm 511 Cleveland Street Durham, NC 27702	None	Public Charity	Establish the documentation, organizational operating needs and funding opportunities that will allow the Durham Public Schools Hub Farm to broaden its system-wide outreach and to become a model for schools across the nation.	\$25,000
East Carolina University 2200 S. Charles St, Suite 2900 Greenville Center Greenville NC 27834	None	Public Charity	Implement the MATCH program progressively over three years to reduce obesity in seventh graders while also building program capacity for a potential large scale, multi-state implementation	\$210,000
Foundation for Health Leadership and Innovation 2401 Weston Parkway Suite 203 Cary NC 27513	None	Public Charity	Convene diverse stakeholders to identify and resolve consumer-level and systemic barriers to good oral health and accelerate implementation of policies and practices to achieve oral health equity	\$210,000

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Franklin Vance Warren Opportunity, Inc. PO Box 1453 Henderson, NC 27536	None	Public Charity	Increase organizational sustainability and growth through the development of a strategic plan	\$10,000
Gaston Family Health Services 200 E Second Ave Gastonia, NC 28052	None	Public Charity	Improve health outcomes for the residents of Gaston County by developing the capacity to implement aspects of the Community-Centered Health Home model	\$125,000
Great Oak Youth Development Center P O Box 1465 Fayetteville, NC 28302	None	Public Charity	Increase organizational capacity through strategic planning	\$10,000
Greensboro Housing Coalition, Inc. 122 N Elm St, Suite M-4 Greensboro, NC 27401	None	Public Charity	Reduce disparities and improve population health by empowering neighborhood residents to lead upstream work supported by a community-centered health home and collaborative partners	\$125,000
HandsOn Northwest North Carolina 751 W Fourth Street, Suite 200 Winston-Salem, NC 27101	None	Public Charity	Provide professional development and leadership training to nonprofits staff, board members and volunteers within Forsyth, Davie, Davidson, Stokes, Surry and Yadkin counties	\$7,500
Hispanics In Philanthropy 414 13th Street, Suite 200 Oakland, CA 94612	None	Public Charity	Develop the next generation of Latino leaders and nonprofits by providing critical capacity-building grants necessary to strengthen organizational leadership, structures and systems, in order to maximize delivery of culturally competent programs and resources to Latino communities in North Carolina	\$15,000
Lake Norman Community Health Clinic, Inc. Post Office Box 2398 Huntersville, NC 28070	None	Public Charity	Increase organizational capacity through strategic planning	\$10,000
Mountain Area Health Education Center, Inc. 121 Hendersonville Road Asheville, NC 28803	None	Public Charity	Identify health priorities, invite new partners, develop strategies, and implement pilot projects in a culture of community prevention with an initial focus on at-risk pregnant women in Buncombe County	\$125,000
NC Child 3109 Poplarwood Court Raleigh, NC 27604	None	Public Charity	Reduce disparities in children's oral health by raising awareness of effective program and policy interventions	\$95,000
NC Med-Assist 601 E 5th Street, Suite 350 Charlotte, NC 28202	None	Public Charity	Increase organizational sustainability through fund development planning and implementation	\$10,000
NC State Natural Resources Foundation, Inc. Campus Box 8010 3036 Biltmore Hall Raleigh, NC 27695	None	Public Charity	Support local park and recreation professionals directly involved in providing opportunities for physical activity and healthy food options	\$25,000
North Carolina Academy of Family Physicians Foundation 1303 Annapolis Drive Raleigh, NC 27608	None	Public Charity	Increase the number of North Carolina-trained family physicians practicing in the state by increasing medical students' exposure to practicing family physicians and access to professional development opportunities including a 3-year mentoring and scholarship program	\$193,930
North Carolina Association of Free and Charitable Clinics 1399 Ashleybrook Lane, Suite 110 Winston-Salem, NC 27103	None	Public Charity	Support and enhance the network of free clinics in North Carolina through outcomes focused grantmaking and technical assistance	\$2,000,000

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North Carolina Community Health Center Association 4917 Waters Edge Drive, Suite 165 Raleigh, NC 27606	None	Public Charity	Annual award in honor and recognition of Robert J. Greczyn, Jr. given to a North Carolina Community Health Center provider or administrator who demonstrates exemplary commitment to the health of North Carolinians	\$30,000
North Carolina Community Health Center Association 4917 Waters Edge Drive, Suite 165 Raleigh, NC 27606	None	Public Charity	Organizational development support to meet association growth and regionalization of services	\$50,000
North Carolina Community Health Center Association 4917 Waters Edge Drive, Suite 165 Raleigh, NC 27606	None	Public Charity	Improve health outcomes for health center patients through the development of Community Health Workers as part of FQHC clinic team	\$250,000
North Carolina Congress of Parents and Teachers 3501 Glenwood Ave Raleigh, NC 27612-4934	None	Public Charity	Engage school health advocates statewide through training, coaching and technical assistance to establish policy and environmental improvements that increase physical activity, improve access to healthy foods, and empower advocates to share best practices	\$105,000
North Carolina Council of Churches 27 Home St Raleigh, NC 27607-7221	None	Public Charity	Improve the health and well-being of North Carolinians by expanding the reach and effectiveness of the PHW initiative	\$225,000
North Carolina Dental Health Fund 1600 Evans Rd Cary, NC 27513	None	Public Charity	Provide high quality, free dental services to uninsured North Carolinians through a portable, volunteer-run clinic	\$10,000
North Carolina Humanities Council 320 E 9th Street, Suite 414 Charlotte, NC 28202	None	Public Charity	Increase organizational capacity through improved board engagement, effective fundraising strategies and marketing awareness	\$10,000
North Carolina Institute of Medicine 630 Davis Drive, Suite 100 Morrisville, NC 27560	None	Public Charity	Study and identify workable solutions to important health problems facing the state through task force consensus, program follow up and reporting of statewide health measures	\$375,000
North Carolina Partnership for Children, Inc 1100 Wake Forest Rd Raleigh, NC 27604	None	Public Charity	Improve the health of young children, ages 0-5, and child care workers through a statewide strategy of comprehensive coordinated early childhood obesity prevention outreach and technical assistance that will address change at the individual, programmatic, built environment and policy levels	\$1,000,000
North Carolina State University Sponsored Programs & Regulatory Compliance 2701 Sullivan Drive, Suite 240, Box 7514 Raleigh, NC 27695	None	Public Charity	Train an aspiring workforce of teachers, allied professionals, and volunteers to satisfy the demands of an evolving, interdisciplinary field supporting child development through the design of healthy, active outdoor environments	\$124,000
North Carolina State University/Center for Environmental Farming Systems 2701 Sullivan Drive, Suite 240, Box 7514 Raleigh, NC 27695	None	Public Charity	Increase children's knowledge of fruits and vegetables, enhance built environments, and improve access to healthy food, while supporting the nation's future food system leaders and increasing capacity in Farm-to-School across NC	\$128,000
Project Access of Durham County PO Box 15339 Durham, NC 27704	None	Public Charity	Increase access to care by providing low income, uninsured Durham County residents access to donated specialty medical care, prescription medications, and patient navigation services, including transportation to appointments	\$12,000
Smart Start of Forsyth County 7420 North Point Blvd., Ste. 200 Winston-Salem, NC 27106	None	Public Charity	Increase organizational capacity by improving community outreach and awareness	\$10,000
Southside United Health Center 3009 Waughtown Street Winston-Salem, NC 27107	None	Public Charity	Improve organizational effectiveness and improve brand awareness by increasing staff and board knowledge and skills	\$10,000

Blue Cross and Blue Shield of North Carolina Foundation
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University of North Carolina at Chapel Hill 104 Airport Drive, Suite 2200, CB# 1350 Chapel Hill, NC 27599	None	Public Charity	Support to improve health and educational outcomes of children by increasing access to under-utilized federal nutrition programs at school and in the summer months	\$25,000
University of North Carolina at Chapel Hill 104 Airport Drive, Suite 2200, CB# 1350 Chapel Hill, NC 27599	None	Public Charity	Increase physical activity and nutrition for young children through the training of more than 100 Go NAP SACC Consultants across North Carolina and by providing access to the Go NAP SACC online tools for use in local communities to improve the nutrition and play environments in child care centers	\$354,000
University of North Carolina at Chapel Hill Foundation Campus Box 7400 Chapel Hill, NC 27599	None	Public Charity	Improve oral health of North Carolinians through research, training and education	\$49,000
University of North Carolina Wilmington 601 S. College Rd Wilmington, NC 28403	None	Public Charity	Build organizational capacity to increase financial stability and program effectiveness for nonprofit organizations in southeastern North Carolina	\$90,000
University of North Carolina Wilmington 601 S. College Rd Wilmington, NC 28403	None	Public Charity	Create and implement the East Down East (EDE) Sustainability Transition Program through partnerships and agreements with local agencies, institutions and partners leading the organizations to begin to embrace and implement the EDE key initiatives	\$100,000
YMCA of the Triangle Area, Inc. 801 Corporate Center Drive, Suite 119 Raleigh, NC 27607	None	Public Charity	Increase the amount of fresh, local produce served at meals and snacks in child care centers in Wake County, particularly where low-income children are served, through the creation of a local produce procurement system that is mutually beneficial for child care staff and local farmers and food distributors	\$30,000
Youth Empowered Solutions 4021 Cary Drive, Suite 160 Raleigh, NC 27610	None	Public Charity	Increase organizational capacity through a business plan that strengthens operations, staffing, marketing and finances	\$10,000
Youth Empowered Solutions 4021 Cary Drive, Suite 160 Raleigh, NC 27610	None	Public Charity	Reduce oral health disparities among uninsured youth and youth enrolled in Medicaid in North Carolina through the application of the YES! Youth Empowerment Model to engage youth to change policies, systems, and environments	\$190,000
Total				\$7,443,410

Statement 19
Part XV, Line 3b
Grants and Contributions Approved for Future Payment

<u>Name and Address</u>	<u>Donor Relationship</u>	<u>Foundation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
Area L Area Health Education Center (AHEC) PO Drawer 7368 Rocky Mount, NC 27803	None	Public Charity	Increase capacity of safety-net primary care practices through the development of a service learning program for recent college graduates trained as medical assistants and community health workers	\$30,000
Blue Ridge Parkway Foundation, Inc. 717 S. Marshall St. Suite 105B Winston-Salem, NC 27101	None	Public Charity	Improve the overall health of kids, families and parks across North Carolina through expansion of TRACK Trails into all 100 counties, and to increase use of the program through installation of pediatrician office, school and library materials, and development of a mobile app	\$278,000
Care Share Health Alliance 2300 Gateway Centre Blvd., Suite 100 Morrisville, NC 27560	None	Public Charity	Provide technical assistance and peer-to-peer learning opportunities for collaborative networks that improve the health of low-income and uninsured North Carolinians	\$98,309

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Carolina Farm Stewardship Association PO Box 443 Pittsboro NC 27312	None	Public Charity	Support local-level Food Councils in their efforts to advocate for change in their community food systems through the development of online resources and direct technical assistance to aid advocacy campaigns	\$57,000
The Community Foundation of Western North Carolina Inc. 4 Vanderbilt Park Drive, Suite 300 Asheville, NC 28803	None	Public Charity	Strengthen organizations vital to the future of the region by providing capacity building services to nonprofit leaders, organizations and networks located throughout the westernmost 18 counties of the state	\$250,000
The Conservation Fund A Nonprofit Corporation P O Box 271 Chapel Hill NC 27514	None	Public Charity	Grow the capacity of underserved community- and faith-based groups to implement HEAL programs across NC through leadership development, community engagement, local policy solutions and access to resources	\$296,618
Duke University, Sanford School of Public Policy PO Box 90001 Durham, NC 27708	None	Public Charity	Expand coalition building and communications capacity for a World Food Policy Center at Duke University to enable the execution of a project to establish Durham as a model food system community for the state of North Carolina	\$172,464
East Carolina University 2200 S. Charles St. Suite 2900 Greenville Center Greenville NC 27834	None	Public Charity	Implement the MATCH program progressively over three years to reduce obesity in seventh graders while also building program capacity for a potential large-scale, multi-state implementation.	\$220,000
Farmer Foodshare, Inc. PO Box 2873 Chapel Hill NC 27515	None	Public Charity	Implement Farmer Foodshare's strategic plan to better meet its mission by developing stronger leadership and more effective financial management	\$75,000
Foundation for Health Leadership and Innovation 2401 Weston Parkway, Suite 203 Cary NC 27513	None	Public Charity	Convene diverse stakeholders to identify and resolve consumer-level and systemic barriers to good oral health and accelerate implementation of policies and practices to achieve oral health equity	\$104,758
North Carolina Association for the Education of Young Children 182 Wind Chime Court, Suite 104 Raleigh, NC 27615	None	Public Charity	Increase NCAEY's impact on early care and education in NC through board development, strategic planning, member outcomes data and increased staff capacity	\$40,000
NC Child 1109 Poplarwood Court Raleigh, NC 27604	None	Public Charity	Reduce disparities in children's oral health by raising awareness of effective program and policy interventions	\$97,000
North Carolina Community Health Center Association 4917 Waters Edge Drive, Suite 165 Raleigh, NC 27606	None	Public Charity	Annual award in honor and recognition of Robert J. Greczyn, Jr. given to a North Carolina Community Health Center provider or administrator who demonstrates exemplary commitment to the health of North Carolinians	\$87,197
North Carolina Community Health Center Association 4917 Waters Edge Drive, Suite 165 Raleigh, NC 27606	None	Public Charity	Organizational development support to meet association growth and regionalization of services	\$98,309
North Carolina Community Health Center Association 4917 Waters Edge Drive, Suite 165 Raleigh, NC 27606	None	Public Charity	Improve health outcomes for health center patients through the development of Community Health Workers as part of FQHC clinic team	\$491,546
North Carolina Congress of Parents and Teachers 3501 Glenwood Ave Raleigh, NC 27612	None	Public Charity	Engage school health advocates statewide through training, coaching and technical assistance to establish policy and environmental improvements that increase physical activity, improve access to healthy foods, and empower advocates to share best practices	\$100,000

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North Carolina Council of Churches 27 Home St Raleigh, NC 27607	None	Public Charity	Improve the health and well-being of North Carolinians by expanding the reach and effectiveness of the PHW initiative	\$175,000
North Carolina Dental Health Fund 1600 Evans Rd Cary, NC 27513	None	Public Charity	Provide high quality, free dental services to uninsured North Carolinians through a portable, volunteer-run clinic	\$29,973
North Carolina Institute of Medicine 630 Davis Drive, Suite 100 Morrisville, NC 27560	None	Public Charity	Study and identify workable solutions to important health problems facing the state through task force consensus, program follow-up and reporting of statewide health measures	\$150,000
North Carolina Recreation and Park Association, Inc. 883 Washington St Raleigh, NC 27605	None	Public Charity	Continue the Wellness Initiative that engages and supports parks and recreation agencies in their roles as providers of health and wellness	\$25,000
North Carolina Schweitzer Fellows Program PO Box 1636 Davidson, NC 28036	None	Public Charity	Support future health care leaders to develop and implement oral health-related service projects in underserved communities throughout the state	\$94,145
North Carolina State University Sponsored Programs & Regulatory Compliance 2701 Sullivan Drive, Suite 240 Box 7514 Raleigh, NC 27695	None	Public Charity	Train an aspiring workforce of teachers, allied professionals, and volunteers to satisfy the demands of an evolving, interdisciplinary field supporting child development through the design of healthy, active outdoor environments	\$178,576
North Carolina State University-Center for Environmental Farming Systems 2701 Sullivan Drive, Suite 240, Box 7514 Raleigh, NC 27695	None	Public Charity	Increase children's knowledge of fruits and vegetables enhance built environments, and improve access to healthy food, while supporting the nation's future food system leaders and increasing capacity in Farm-to-School across NC	\$128,000
Project Access of Durham County PO Box 15339 Durham, NC 27704	None	Public Charity	Increase access to care by providing low income, uninsured Durham County residents access to donated specialty medical care, prescription medications, and patient navigation services, including transportation to appointments	\$32,000
Public Health Institute 555 12th Street, 10th Floor Oakland, CA 94607-4046	None	Public Charity	Lead and conduct an assessment of national best practice in childhood obesity prevention during a two-year Healthy Living Fellowship Initiative	\$125,000
University of North Carolina at Chapel Hill Foundation Campus Box 7400 Chapel Hill, NC 27599	None	Public Charity	Improve oral health of North Carolinians through research, training and education	\$117,000
University of North Carolina at Asheville Foundation, Inc. One University Heights CPO 3800 Asheville, NC 28804	None	Public Charity	Increase the capacity of NCCHW to serve as a convener, catalyst and thought leader around program development and evaluation, best practices, and capacity building with organizations that improve health and wellness outcomes for North Carolinians	\$400,000
University of North Carolina at Chapel Hill 104 Airport Drive, Suite 2200, CB# 1350 Chapel Hill, NC 27599	None	Public Charity	Implement talking circles in four American Indian communities in the state of North Carolina to improve American Indian health and well-being.	\$200,000
University of North Carolina at Chapel Hill 104 Airport Drive, Suite 2200 CB# 1350 Chapel Hill, NC 27599	None	Public Charity	Increase physical activity and nutrition for young children through the training of more than 100 Go NAP SACC Consultants across North Carolina and by providing access to the Go NAP SACC online tools for use in local communities to improve the nutrition and play environments in child care centers	\$207,000

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University of North Carolina Wilmington 601 S. College Rd Wilmington, NC 28403	None	Public Charity	Create and implement the Feast Down East (FDE) Sustainability Transition Program through partnerships and agreements with local agencies, institutions, and partners leading the organizations to begin to embrace and implement the FDE key initiatives	\$100,000
YMCA of the Triangle Area, Inc 801 Corporate Center Drive, Suite 118 Raleigh, NC 27607	None	Public Charity	Increase the amount of fresh, local produce served at meals and snacks in child care centers in Wake County, particularly where low-income children are served, through the creation of a local produce procurement system that is mutually beneficial for child care staff and local farmers and food distributors	\$10,000
Total				\$4,878,095