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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning JUL 1, 2015, and ending JUN 30, 2016

Name of foundation THE ROSENFELD, RUMFORD, STECKLER FAMILY FOUNDATION, INC.		A Employer identification number 52-2204870
Number and street (or P O box number if mail is not delivered to street address) 4445 WILLARD AVENUE	Room/suite 700	B Telephone number 3017181600
City or town, state or province, country, and ZIP or foreign postal code CHEVY CHASE, MD 20815		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 3,080,624.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received	219,234.			
2	Check ☐ if the foundation is not required to attach Sch. B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	78,057.	78,057.		STATEMENT 1
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	125,792.			STATEMENT 2
b	Gross sales price for all assets on line 6a	853,273.			
7	Capital gain net income (from Part IV, line 2)		119,283.		
8	Net short-term capital gain			N/A	
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss)				
11	Other income	2,506.	2,506.	0.	STATEMENT 3
12	Total. Add lines 1 through 11	425,589.	199,846.	0.	
13	Compensation of officers, directors, trustees, etc.	0.	0.	0.	0.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees				
b	Accounting fees STMT 4	4,250.	0.	0.	0.
c	Other professional fees				
17	Interest				
18	Taxes STMT 5	5,600.	0.	0.	0.
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses STMT 6	21,990.	21,990.	0.	0.
24	Total operating and administrative expenses. Add lines 13 through 23	31,840.	21,990.	0.	0.
25	Contributions, gifts, grants paid	126,050.			126,050.
26	Total expenses and disbursements. Add lines 24 and 25	157,890.	21,990.	0.	126,050.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	267,699.			
b	Net investment income (if negative, enter -0-)		177,856.		
c	Adjusted net income (if negative, enter -0-)			0.	

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing		145,761.	104,498.	104,498.
	2	Savings and temporary cash investments				
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations				
	b	Investments - corporate stock	STMT 7	2,267,383.	2,322,251.	2,756,747.
	c	Investments - corporate bonds	STMT 8	29,283.	224,146.	219,379.
	11	Investments - land, buildings, and equipment basis ▶				
	Less: accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other	STMT 9	110,242.	169,473.	0.	
14	Land, buildings, and equipment: basis ▶					
	Less: accumulated depreciation ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		2,552,669.	2,820,368.	3,080,624.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)		0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐					
	and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ ☒					
	and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds		0.	0.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
29	Retained earnings, accumulated income, endowment, or other funds		2,552,669.	2,820,368.		
30	Total net assets or fund balances		2,552,669.	2,820,368.		
31	Total liabilities and net assets/fund balances		2,552,669.	2,820,368.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,552,669.
2	Enter amount from Part I, line 27a	2	267,699.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	2,820,368.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,820,368.

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Part IV Capital Gains and Losses for Tax on Investment Income SEE ATTACHED STATEMENT

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e	846,764.	727,481.	119,283.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			119,283.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	119,283.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	-44,051.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	106,495.	3,071,521.	.034672
2013	77,109.	2,626,926.	.029353
2012	124,021.	2,309,992.	.053689
2011	100,538.	2,213,769.	.045415
2010	144,986.	2,092,403.	.069292

2 Total of line 1, column (d)	2	.232421
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.046484
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	2,508,461.
5 Multiply line 4 by line 3	5	116,603.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,779.
7 Add lines 5 and 6	7	118,382.
8 Enter qualifying distributions from Part XII, line 4	8	126,050.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	1,779.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	1,779.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,779.
6 Credits/Payments:			
a 2015 estimated tax payments and 2014 overpayment credited to 2015	6a	804.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	804.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	18.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	993.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2016 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ MD		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	X	
14 The books are in care of ► <u>THE FOUNDATION</u> Telephone no. ► <u>(301) 718-1600</u> Located at ► <u>4445 WILLARD AVE #700, CHEVY CHASE, MD, CHEVY CHASE</u> ZIP+4 ► <u>20815</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 15 <u>N/A</u>		
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No If "Yes," list the years: _____ b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015) N/A		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ELEANOR T. ROSENFELD	PRESIDENT			
4445 WILLARD AVE. SUITE 700				
CHEVY CHASE, MD 20815	0.00	0.	0.	0.
FRANCES R. RUMFORD	VICE PRESIDENT			
4445 WILLARD AVE. SUITE 700				
CHEVY CHASE, MD 20815	0.00	0.	0.	0.
GAIL R. STECKLER	TREASURER			
4445 WILLARD AVE. SUITE 700				
CHEVY CHASE, MD 20815	0.00	0.	0.	0.
ROBERT J.T. ROSENFELD	SECRETARY			
4445 WILLARD AVE. SUITE 700				
CHEVY CHASE, MD 20815	0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2,294,817.
b	Average of monthly cash balances	1b	125,130.
c	Fair market value of all other assets	1c	126,714.
d	Total (add lines 1a, b, and c)	1d	2,546,661.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,546,661.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	38,200.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,508,461.
6	Minimum investment return. Enter 5% of line 5	6	125,423.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	125,423.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	1,779.
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,779.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	123,644.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	123,644.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	123,644.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	126,050.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	126,050.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	1,779.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	124,271.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				123,644.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2015:				
a From 2010	41,974.			
b From 2011	8,476.			
c From 2012	10,379.			
d From 2013	53,015.			
e From 2014				
f Total of lines 3a through e	113,844.			
4 Qualifying distributions for 2015 from Part XII, line 4: ▶ \$	126,050.			
a Applied to 2014, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2015 distributable amount				123,644.
e Remaining amount distributed out of corpus	2,406.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	116,250.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7	41,974.			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	74,276.			
10 Analysis of line 9:				
a Excess from 2011	8,476.			
b Excess from 2012	10,379.			
c Excess from 2013	53,015.			
d Excess from 2014				
e Excess from 2015	2,406.			

N/A

- ☐ 4942(1)(3) or ☐ 4942(1)(5)

- (4) Gross investment income**

[illegible]

N/A

**THE ROSENFELD, RUMFORD, STECKLER FAMILY
FOUNDATION, INC.**

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
ACADEMY OF HOPE 2315 18TH PLACE NE WASHINGTON, DC 20018		PC	EDUCATIONAL & TRAINING PROGRAM	1,000.
ADOPTIONS TOGETHER 4061 POWDER MILL ROAD SUITE 320 CALVERTON, MD 20705		PC	EDUCATIONAL & TRAINING PROGRAM	6,500.
AMERICAN CANCER SOCIETY P.O. BOX 22718 OKLAHOMA CITY, OK 73123		PC	EDUCATIONAL & TRAINING PROGRAM	1,250.
AMERICAN FRIENDS OF MAGEN DAVID ADOM 352 7TH AVE SUITE 400 NEW YORK, NY 10001		PC	EDUCATIONAL & TRAINING PROGRAM	500.
ARCHBISHOP CARROLL HIGH SCHOLL 4300 HAREWOOD RD, NE WASHINGTON, DC 20017		PC	EDUCATIONAL & TRAINING PROGRAM	1,000.
Total	SEE CONTINUATION SHEET(S)			126,050.
b Approved for future payment				
NONE				
Total				0.

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2015

Name of the organization

THE ROSENFELD, RUMFORD, STECKLER FAMILY
FOUNDATION, INC.

Employer identification number

52-2204870

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2015)

Name of organization
**THE ROSENFELD, RUMFORD, STECKLER FAMILY
 FOUNDATION, INC.**

Employer identification number

52-2204870**Part I Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ELEANOR T. ROSENFELD 5600 WISCONSIN AVENUE #1303 CHEVY CHASE, MD 20815	\$ 219,234.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

Employer identification number

52-2204870

Part II

[illegible]

Name of organization

THE ROSENFELD, RUMFORD, STECKLER FAMILY
FOUNDATION, INC.

Employer identification number

52-2204870

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	KKR & CO - ST GAINS/LOSSES	P	VARIOUS	VARIOUS
b	KKR & CO - LT GAINS/LOSSES	P	VARIOUS	VARIOUS
c	CARLYLE GROUP - ST GAINS/LOSSES	P	VARIOUS	VARIOUS
d	CARLYLE GROUP - LT GAINS/LOSSES	P	VARIOUS	VARIOUS
e	BLACKSTONE GROUP - ST GAINS/LOSSES	P	VARIOUS	VARIOUS
f	BLACKSTONE GROUP - LT GAINS/LOSSES	P	VARIOUS	VARIOUS
g	LOSS ON DISPOSAL OF KKR & CO PTP	P	VARIOUS	12/31/15
h	M&T (75961) ST SALES ATTACHED	P	VARIOUS	VARIOUS
i	M&T (75961) LT SALES ATTACHED	P	VARIOUS	VARIOUS
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a		66.	-66.
b	563.		563.
c	176.		176.
d	5,013.		5,013.
e		16.	-16.
f	3,194.		3,194.
g		4,616.	-4,616.
h	120,229.	164,374.	-44,145.
i	717,589.	558,409.	159,180.
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			** -66.
b			563.
c			** 176.
d			5,013.
e			** -16.
f			3,194.
g			-4,616.
h			** -44,145.
i			159,180.
j			
k			
l			
m			
n			
o			

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	119,283.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	-44,051.

THE ROSENFELD, RUMFORD, STECKLER FAMILY
FOUNDATION, INC.

52-2204870

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
A WIDER CIRCLE 9159 BROOKVILLE RD SILVER SPRING, MD 20910		PC	EDUCATIONAL & TRAINING PROGRAM	2,500.
BELL MULTICULTURAL INTERSHIP PROGRAM 3145 HIATT PLACE NW WASHINGTON, DC 20010		PC	EDUCATIONAL & TRAINING PROGRAM	1,000.
BRIGHT BEGINNINGS 128 M STREET NW WASHINGTON, DC 20001		PC	EDUCATIONAL & TRAINING PROGRAM	500.
CHILDREN'S HOSPITAL FOUNDATION 111 MICHIGAN AVE, NW WASHINGTON, DC 20010		PC	EDUCATIONAL & TRAINING PROGRAM	3,500.
CHILDREN'S NATIONAL HEALTH SYSTEM 111 MICHIGAN AVE, NW WASHINGTON, DC 20010		PC	EDUCATIONAL & TRAINING PROGRAM	1,000.
D.C. PREP 707 EDGEWOOD ST. NE WASHINGTON, DC 20017		PC	EDUCATIONAL & TRAINING PROGRAM	2,500.
DOCTORS WITHOUT BORDERS USA P.O. BOX 5030 HAGERSTOWN, MD 21741		PC	EDUCATIONAL & TRAINING PROGRAM	2,500.
EAGLE CHURCH 5801 S. MAIN STREET WHITESTOWN, IN 46075		PC	EDUCATIONAL & TRAINING PROGRAM	250.
FAIR ELECTIONS NETWORK 1825 K STREET NW SUITE 450 WASHINGTON, DC 20006		PC	EDUCATIONAL & TRAINING PROGRAM	2,500.
FIVE TALENTS 3541 CHAIN BRIDGE ROAD 106 FAIRFAX, VA 22030		PC	EDUCATIONAL & TRAINING PROGRAM	2,000.
Total from continuation sheets				115,800.

THE ROSENFELD, RUMFORD, STECKLER FAMILY
FOUNDATION, INC.

52-2204870

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
FOUNDATION SCHOOL 6000 EXECUTIVE BLVD SUITE 605 ROCKVILLE, MD 20852		PC	EDUCATIONAL & TRAINING PROGRAM	2,500.
FRED HUTCHINSON RESEARCH CENTER 1100 FAIRVIEW AVE N SEATTLE, WA 98109		PC	EDUCATIONAL & TRAINING PROGRAM	1,000.
HEALTHY BABIES PROJECT INC 4501 GRANT STREET, NE WASHINGTON, DC 20019		PC	EDUCATIONAL & TRAINING PROGRAM	2,500.
HORTON'S KIDS 100 MARYLAND AVE. NE SUITE 520 WASHINGTON, DC 20002		PC	EDUCATIONAL & TRAINING PROGRAM	500.
HOUSE OF MERCY 2000 ROSEMOUNT AVE. NW WASHINGTON, DC 20010		PC	EDUCATIONAL & TRAINING PROGRAM	500.
HOUSE OF RUTH 5 THOMAS CIRCLE NW #4 WASHINGTON, DC 20005		PC	EDUCATIONAL & TRAINING PROGRAM	1,000.
LEVINE SCHOOL OF MUSIC 2801 UPTON ST NW WASHINGTON, DC 20008		PC	EDUCATIONAL & TRAINING PROGRAM	10,000.
MARTHA'S TABLE 2114 14TH STREET NW WASHINGTON, DC 20009		PC	EDUCATIONAL & TRAINING PROGRAM	1,000.
MUSEUM OF ARTS AND DESIGN 2 COLUMBUS CIRCLE NEW YORK, NY 10019		PC	EDUCATIONAL & TRAINING PROGRAM	1,000.
NATIONAL EATING DISORDER FOUNDATION 165 WEST 46TH STREET, SUITE 402 NEW YORK, NY 10036		PC	EDUCATIONAL & TRAINING PROGRAM	1,250.
Total from continuation sheets				

THE ROSENFELD, RUMFORD, STECKLER FAMILY
FOUNDATION, INC.

52-2204870

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NATIONAL PRESBYTERIAN SCHOOL 4121 NEBRASKA AVENUE NW WASHINGTON, DC 20016		PC	EDUCATIONAL & TRAINING PROGRAM	1,000.
OPERA HOUSE ARTS P.O. BOX 56 STONINGTON, ME 04681		PC	EDUCATIONAL & TRAINING PROGRAM	2,500.
RENWICK GALLERY 1661 PENNSYLVANIA AVENUE NW WASHINGTON, DC 20006		PC	EDUCATIONAL & TRAINING PROGRAM	25,000.
ROSEMOUNT CENTER 2000 ROSEMOUNT AVE. NW WASHINGTON, DC 20010		PC	EDUCATIONAL & TRAINING PROGRAM	1,000.
SAM AND ALFREDA MALOOF FOUNDATION 5131 CARNELIAN STREET ALTA LOMA, CA 91701		PC	EDUCATIONAL & TRAINING PROGRAM	1,000.
SEND A KID TO CAMP P.O. BOX 200045 PITTSBURGH, PA 15251		PC	EDUCATIONAL & TRAINING PROGRAM	500.
SHERIDAN SCHOOL 4400 36TH ST, NW WASHINGTON, DC 20008		PC	EDUCATIONAL & TRAINING PROGRAM	500.
SIDWELL FRIENDS SCHOOL 3825 WISCONSIN AVE. NW WASHINGTON, DC 20016		PC	EDUCATIONAL & TRAINING PROGRAM	3,000.
SO OTHERS MIGHT EAT 71 O STREET NW WASHINGTON, DC 20001		PC	EDUCATIONAL & TRAINING PROGRAM	2,500.
STONE RIDGE SCHOOL 9101 ROCKVILLE PIKE BETHESDA, MD 20814		PC	EDUCATIONAL & TRAINING PROGRAM	1,500.
Total from continuation sheets				

THE ROSENFELD, RUMFORD, STECKLER FAMILY
FOUNDATION, INC.

52-2204870

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE DWELLING PLACE INC. 620 E DIAMOND AVE. SUITE K GAITHERSBURG, MD 20877		PC	EDUCATIONAL & TRAINING PROGRAM	1,000.
THE LEUKEMIA & LYMPHOMA SOCIETY 3 INTERNATIONAL DRIVE RYE BROOK, NY 10573		PC	EDUCATIONAL & TRAINING PROGRAM	2,000.
THE TEXTILE MUSEUM 2320 S STREET, NW WASHINGTON, DC 20008		PC	EDUCATIONAL & TRAINING PROGRAM	27,500.
URBAN MINISTRIES OF DURHAM 410 LIBERTY STREET DURHAM, NC 27701		PC	EDUCATIONAL & TRAINING PROGRAM	1,000.
WASHINGTON AREA WOMEN'S FOUNDATION 1331 H STREET, NW #1000 WASHINGTON, DC 20005		PC	EDUCATIONAL & TRAINING PROGRAM	1,000.
WASHINGTON FIRST YOUTH FOUNDATION 11921 FREEDOM DRIVE SUITE 250 RESTON, VA 20190		PC	EDUCATIONAL & TRAINING PROGRAM	1,300.
WASHINGTON PERFORMING ARTS 1400 K STREET NW #500 WASHINGTON, DC 20005		PC	EDUCATIONAL & TRAINING PROGRAM	1,500.
WOMEN FOR WOMEN INTERNATIONAL P.O. BOX 9224 CENTRAL ISLIP, NY 11722		PC	EDUCATIONAL & TRAINING PROGRAM	2,500.
Total from continuation sheets				

The Rosenfeld, Fumford, Steckler Family Foundation
Capital Gains Losses
June 30, 2016

990-PF Attachment. M&T Sales details

Date Purchased	Date Sold	Shares	Stock	Basis	Proceeds	Gain(Loss)	
Stocks							
10/26/2012	10/7/2015	250	KRAFT FOODS GROUP INC	11,572 50	18,170 29	6,597 79	LT
1/16/2015	10/7/2015	3,000	NEW SENIOR INV GROUP	49,304 70	33,346 78	(15,957 92)	ST
12/22/2004	10/7/2015	200	3M CO	16,172 00	29,259 46	13,087 46	LT
VAR	12/14/2015	1,000	MAGNUM HUNTER PFD	41,055 56	350 02	(40,705 54)	LT
5/1/2015	2/5/2016	2,500	CORNING INC	55,050 00	46,397 14	(8,652 86)	ST
VAR	3/1/2016	2,000	REALTY INCOME CORP	77,990 69	116,850 65	38,859 96	LT
4/25/2012	3/8/2016	1,500	METLIFE	52,897 95	62,279 09	9,381 14	LT
7/24/2012	3/11/2016	1,250	CENTURYLINK INC	51,288 75	39,429 63	(11,859 12)	LT
10/26/2012	3/31/2016	1,000	KRAFT FOODS GROUP INC	46,290 00	78,450 79	32,160 79	LT
3/31/2012	3/11/2016	1,000	NESTLE	58,090 00	72,858 41	14,768 41	LT
3/31/2012	3/11/2016	1,000	HALLIBURTON	50,618 30	38,198 56	(12,419 74)	LT
VAR	4/29/2016	1,000	WEYERHEAUSER	49,450 00	51,212 88	1,762 88	LT
		<u>15,700 00</u>		<u>559,780 45</u>	<u>586,803 70</u>	<u>27,023 25</u>	
10/30/2009	7/15/2015	1,000	APPLE INC	27,347 58	125,843.38	98,495 80	LT
		<u>16,700 00</u>		<u>27,347 58</u>	<u>125,843 38</u>	<u>98,495 80</u>	
BONDS							
VAR	8/1/2015		Tennessee ST	4,911 55	5,000.00	88 45	LT
VAR	9/1/2015		Tennessee ST	4,911 55	5,000.00	88 45	LT
VAR	10/1/2015		Tennessee ST	4,911 55	5,000.00	88 45	LT
VAR	12/29/2015		PA HSG	4,725 00	5,000 00	275 00	LT
VAR	2/1/2016		Tennessee ST	4,911 55	5,000 00	88 45	LT
				<u>24,371 20</u>	<u>25,000 00</u>	<u>628 80</u>	
OTHER							
10/26/12	7/7/2015	n/a	KRAFT FOODS - CASH @ MERGER	-	20,625 00	20,625 00	LT
VAR	VAR	62 5	PJT PARTNERS	180 10	1,424 29	1,244 19	ST
				<u>180 10</u>	<u>22,049 29</u>	<u>21,869 19</u>	
VAR	12/18/2015	5,000	KKR & CO, L P	59,839.50	39,061 27	(20,778 23)	ST
				<u>51,264.50</u>	<u>39,061 28</u>	<u>(12,203 22)</u>	LT
				<u>111,104 00</u>	<u>78,122 55</u>	<u>(32,981 45)</u>	
				<u>722,788.38</u>	<u>887,818.92</u>	<u>165,030.59</u>	
				<u>164,374.30</u>	<u>120,229.48</u>	<u>(44,144.82)</u>	Net ST
				<u>558,409.08</u>	<u>767,589.44</u>	<u>209,180.41</u>	Net LT

FORM 990-PF

DIVIDENDS AND INTEREST FROM SECURITIES

STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
BLACKSTONE GROUP					
K-1	1,217.	0.	1,217.	1,217.	0.
CARLYLE GROUP K-1	782.	0.	782.	782.	0.
KKR & CO. K-1	3,040.	0.	3,040.	3,040.	0.
M&T	73,018.	0.	73,018.	73,018.	0.
TO PART I, LINE 4	78,057.	0.	78,057.	78,057.	0.

FORM 990-PF

GAIN OR (LOSS) FROM SALE OF ASSETS

STATEMENT 2

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
KKR & CO - ST GAINS/LOSSES				PURCHASED	VARIOUS	VARIOUS
	0.	66.	0.	0.	-66.	

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
KKR & CO - LT GAINS/LOSSES				PURCHASED	VARIOUS	VARIOUS
	563.	0.	0.	0.	563.	

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
CARLYLE GROUP - ST GAINS/LOSSES				PURCHASED	VARIOUS	VARIOUS
	176.	0.	0.	0.	176.	

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
CARLYLE GROUP - LT GAINS/LOSSES	5,013.	0.	0.	0.	5,013.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
BLACKSTONE GROUP - ST GAINS/LOSSES	0.	16.	0.	0.	-16.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
BLACKSTONE GROUP - LT GAINS/LOSSES	3,194.	0.	0.	0.	3,194.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
LOSS ON DISPOSAL OF KKR & CO PTP	0.	4,616.	0.	0.	-4,616.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED PURCHASED	DATE ACQUIRED VARIOUS	DATE SOLD VARIOUS
M&T (75961) ST SALES ATTACHED	120,229.	164,374.	0.	0.		-44,145.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED PURCHASED	DATE ACQUIRED VARIOUS	DATE SOLD VARIOUS
M&T (75961) LT SALES ATTACHED	717,589.	558,409.	0.	0.		159,180.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED PURCHASED	DATE ACQUIRED	DATE SOLD
CLASS ACTION SETTLEMENT	6,509.	0.	0.	0.		6,509.

NET GAIN OR LOSS FROM SALE OF ASSETS	125,792.
CAPITAL GAINS DIVIDENDS FROM PART IV	0.
TOTAL TO FORM 990-PF, PART I, LINE 6A	125,792.

FORM 990-PF

OTHER INCOME

STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
KKR & CO. K-1	1,114.	1,114.	0.
CARLYLE GROUP K-1	168.	168.	0.
BLACKSTONE GROUP K-1	1,224.	1,224.	0.
TOTAL TO FORM 990-PF, PART I, LINE 11	2,506.	2,506.	0.

FORM 990-PF

ACCOUNTING FEES

STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	4,250.	0.	0.	0.
TO FORM 990-PF, PG 1, LN 16B	4,250.	0.	0.	0.

FORM 990-PF

TAXES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX PMTS	5,600.	0.	0.	0.
TO FORM 990-PF, PG 1, LN 18	5,600.	0.	0.	0.

FORM 990-PF

OTHER EXPENSES

STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	20,224.	20,224.	0.	0.
INVESTMENT INTEREST EXPENSE FROM PTE	979.	979.	0.	0.
MISC DEDUCTIONS FROM PTE	787.	787.	0.	0.
TO FORM 990-PF, PG 1, LN 23	21,990.	21,990.	0.	0.

FORM 990-PF

CORPORATE STOCK

STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
STOCKS	2,322,251.	2,756,747.
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,322,251.	2,756,747.

FORM 990-PF

CORPORATE BONDS

STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
BONDS	224,146.	219,379.
TOTAL TO FORM 990-PF, PART II, LINE 10C	224,146.	219,379.

FORM 990-PF

OTHER INVESTMENTS

STATEMENT 9

DESCRIPTION	VALUATION METHOD	BOOK VALUE
INVESTMENT IN PARTNERSHIPS	COST	169,473.
TOTAL TO FORM 990-PF, PART II, LINE 13		169,473.