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Return of Private Foundation

2015

Department of the Treasury
Internal Revenue Service

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Open to Public Inspection

For calendar year 2015 or tax year beginning **JUL 1, 2015**, and ending **JUN 30, 2016**

Name of foundation: **THE KLEIN FAMILY FOUNDATION, INC.**

Number and street (or P O box number if mail is not delivered to street address): **MCCABE HEIDRICH & WONG; 4 GATEHALL DR.**

City or town, state or province, country, and ZIP or foreign postal code: **PARSIPPANY, NJ 07054-4518**

A Employer identification number: **52-1705026**

B Telephone number: **973-605-1040**

C If exemption application is pending, check here

D 1. Foreign organizations, check here
2. Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

G Check all that apply: Initial return Initial return of a former public charity
 Final return Amended return
 Address change Name change

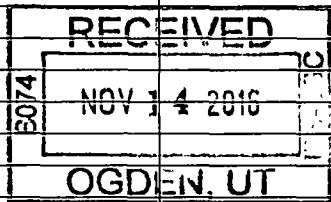
H Check type of organization: Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16): **\$ 4,489,089.**

J Accounting method: Cash Accrual
 Other (specify) _____

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		1,059.	1,059.		STATEMENT 1
4 Dividends and interest from securities		21,290.	21,290.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		779,043.			
b Gross sales price for all assets on line 6a		3,679,212.			
7 Capital gain net income (from Part IV, line 2)			779,043.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		438.	438.		STATEMENT 3
12 Total Add lines 1 through 11		801,830.	801,830.		
13 Compensation of officers, directors, trustees, etc		50,000.	5,000.		45,000.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees		8,000.	7,000.		1,000.
c Other professional fees					
17 Interest					
18 Taxes		4,798.	138.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses		13,091.	13,091.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		75,889.	25,229.		46,000.
25 Contributions, gifts, grants paid		188,490.			188,490.
26 Total expenses and disbursements. Add lines 24 and 25		264,379.	25,229.		234,490.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		537,451.			
b Net investment income (if negative, enter -0-)			776,601.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		4,439.	2,871,810.	2,871,810.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations				
	b	Investments - corporate stock STMT 8		3,706,836.	1,382,638.	1,617,279.
	c	Investments - corporate bonds				
	11	Investments - land, buildings, and equipment basis ▶				
	Less accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other					
14	Land, buildings, and equipment: basis ▶					
	Less accumulated depreciation ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		3,711,275.	4,254,448.	4,489,089.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)		0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted		3,711,275.	4,254,448.	
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
30	Total net assets or fund balances		3,711,275.	4,254,448.		
31	Total liabilities and net assets/fund balances		3,711,275.	4,254,448.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,711,275.
2	Enter amount from Part I, line 27a	2	537,451.
3	Other increases not included in line 2 (itemize) ▶ STERIS CORP - BASIS ADJUSTMENT	3	8,912.
4	Add lines 1, 2, and 3	4	4,257,638.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 7	5	3,190.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	4,254,448.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b	SEE ATTACHED STATEMENT		
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			
	3,679,212.	2,900,169.	779,043.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			779,043.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	779,043.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	236,565.	4,921,455.	.048068
2013	254,694.	4,597,405.	.055400
2012	240,629.	4,277,558.	.056254
2011	252,533.	4,678,864.	.053973
2010	247,918.	4,855,053.	.051064

2	Total of line 1, column (d)	2	.264759
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.052952
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	4,552,215.
5	Multiply line 4 by line 3	5	241,049.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	7,766.
7	Add lines 5 and 6	7	248,815.
8	Enter qualifying distributions from Part XII, line 4	8	234,490.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	15,532.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	15,532.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	15,532.
6 Credits/Payments:			
a 2015 estimated tax payments and 2014 overpayment credited to 2015	6a 2,500.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7	2,500.	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8		
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9	13,032.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be Credited to 2016 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
2 Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>NJ</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A
14 The books are in care of ANTOINETTE GEYELIN HOAR Telephone no. (973) 605-1040 Located at C/O MHW; 4 GATEHALL DR., PARSIPPANY, NJ ZIP+4 07054-4518
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 15 N/A
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly):
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):
a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? If "Yes," list the years
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No
 Organizations relying on a current notice regarding disaster assistance check here N/A

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
 If "Yes," attach the statement required by Regulations section 53.4945-5(d) N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
 If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ANTOINETTE GEYELIN HOAR C/O MCCABE HEIDRICH & WONG; 4 GATEHAL PARSIPPANY, NJ 07054	PRES./TREAS. AND TRUSTEE 15.00	50,000.	0.	0.
JUDITH ALBERT C/O MCCABE HEIDRICH & WONG; 4 GATEHAL PARSIPPANY, NJ 07054	VICE PRES. AND TRUSTEE 0.50	0.	0.	0.
JENNIFER KLEIN SEAMAN C/O MCCABE HEIDRICH & WONG; 4 GATEHAL PARSIPPANY, NJ 07054	VICE PRES./SECRETARY AND T 0.50	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
3 All other program-related investments. See instructions.	

Total. Add lines 1 through 3 ▶ 0.

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	4,405,041.
b	Average of monthly cash balances	1b	216,497.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	4,621,538.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	4,621,538.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	69,323.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,552,215.
6	Minimum investment return. Enter 5% of line 5	6	227,611.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	227,611.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	15,532.
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	15,532.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	212,079.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	212,079.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	212,079.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	234,490.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	234,490.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	234,490.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				212,079.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			93,350.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2015:				
a From 2010				
b From 2011				
c From 2012				
d From 2013				
e From 2014				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2015 from Part XII, line 4: ▶ \$ 234,490.				
a Applied to 2014, but not more than line 2a			93,350.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2015 distributable amount				141,140.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	0.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				70,939.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2011				
b Excess from 2012				
c Excess from 2013				
d Excess from 2014				
e Excess from 2015				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year		Prior 3 years		(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**
 a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
 Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed.

N/A

b The form in which applications should be submitted and information and materials they should include:

N/A

c Any submission deadlines:

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

N/A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE ATTACHED STATEMENT B	N/A	PUBLIC CHARITIES	UNRESTRICTED	188,490.
Total			▶ 3a	188,490.
b Approved for future payment NONE				
Total			▶ 3b	0.

THE KLEIN FAMILY FOUNDATION, INC.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo., day, yr.)
1a SEE ATTACHED STATEMENT C	P	VARIOUS	VARIOUS
b THE BLACKSTONE GROUP LP - NET LONG TERM CAPITAL G	P	VARIOUS	VARIOUS
c PSYCHIATRIC SOLUTIONS INC - CLASS ACTION PROCEEDS	P	VARIOUS	03/02/16
d BLACKSTONE GROUP LP - SECTION 1231 GAIN	P	VARIOUS	VARIOUS
e THE BLACKSTONE GROUP LP - NET SHORT TERM CAPITAL	P	VARIOUS	VARIOUS
f AT&T INC - CASH IN LIEU	P	VARIOUS	08/06/15
g 63.2 SHS PJT PARTNERS INC.	P	VARIOUS	10/16/15
h 1,583.2498 UNITS WELCH ENTREPRENEURIAL FUND, LTD	P	VARIOUS	06/30/16
i			
j			
k			
l			
m			
n			
o			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 812,983.		755,636.	57,347.
b 3,453.			3,453.
c 5,734.			5,734.
d 843.			843.
e		17.	-17.
f 31.		32.	-1.
g 1,389.		241.	1,148.
h 2,854,779.		2,144,243.	710,536.
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			57,347.
b			3,453.
c			5,734.
d			843.
e			-17.
f			-1.
g			1,148.
h			710,536.
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) (If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7)	2	779,043.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

Part II Annualized Income Installment Method

		(a)	(b)	(c)	(d)
		First <u>2</u> months	First <u>3</u> months	First <u>6</u> months	First <u>9</u> months
20	Annualization periods (see instructions)				
21	Enter taxable income for each annualization period (see instructions for the treatment of extraordinary items)	31,992.	7,732.	-10,313.	-5,509.
22	Annualization amounts (see instructions)	6.000000	4.000000	2.000000	1.333330
23a	Annualized taxable income. Multiply line 21 by line 22	191,952.	30,928.	-20,626.	-7,345.
23b	Extraordinary items (see instructions)				
23c	Add lines 23a and 23b	191,952.	30,928.	-20,626.	-7,345.
24	Figure the tax on the amount on line 23c using the instructions for Form 1120, Schedule J, line 2 (or comparable line of corporation's return)	3,839.	619.		
25	Enter any alternative minimum tax for each payment period (see instructions)				
26	Enter any other taxes for each payment period (see instr)				
27	Total tax. Add lines 24 through 26	3,839.	619.		
28	For each period, enter the same type of credits as allowed on Form 2220, lines 1 and 2c (see instructions)				
29	Total tax after credits. Subtract line 28 from line 27. If zero or less, enter -0-	3,839.	619.		
30	Applicable percentage	25%	50%	75%	100%
31	Multiply line 29 by line 30	960.	310.		

Part III Required Installments

		1st	2nd	3rd	4th
		installment	installment	installment	installment
Note: Complete lines 32 through 38 of one column before completing the next column					
32	If only Part I or Part II is completed, enter the amount in each column from line 19 or line 31. If both parts are completed, enter the smaller of the amounts in each column from line 19 or line 31	960.	310.	0.	0.
33	Add the amounts in all preceding columns of line 38 (see instructions)		960.		
34	Adjusted seasonal or annualized income installments. Subtract line 33 from line 32. If zero or less, enter -0-	960.	0.		
35	Enter 25% of line 5 on page 1 of Form 2220 in each column. Note: "Large corporations," see the instructions for line 10 for the amounts to enter	1,045.	1,045.	1,044.	1,045.
36	Subtract line 38 of the preceding column from line 37 of the preceding column		85.	1,130.	2,174.
37	Add lines 35 and 36	1,045.	1,130.	2,174.	3,219.
38	Required installments Enter the smaller of line 34 or line 37 here and on page 1 of Form 2220, line 10 (see instructions)	960.	0.	0.	0.

Form 2220 (2015)

**** ANNUALIZED INCOME INSTALLMENT METHOD USING STANDARD OPTION**

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
BNY MELLON - INTEREST INCOME	1.	1.	
BNY MELLON - NOMINEE - INTEREST INCOME	48.	48.	
THE BLACKSTONE GROUP LP - INTEREST INCOME	1,010.	1,010.	
TOTAL TO PART I, LINE 3	1,059.	1,059.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
BNY MELLON - NOMINEE - DIVIDEND INCOME	20,984.	0.	20,984.	20,984.	
THE BLACKSTONE GROUP LP - DIVIDEND INCOME	306.	0.	306.	306.	
TO PART I, LINE 4	21,290.	0.	21,290.	21,290.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
THE BLACKSTONE GROUP LP - ORDINARY BUSINESS INCOME	7.	7.	
THE BLACKSTONE GROUP LP - RENTAL REAL ESTATE INCOME	45.	45.	
THE BLACKSTONE GROUP LP - ROYALTY INCOME	21.	21.	
THE BLACKSTONE GROUP LP - OTHER INCOME	365.	365.	
TOTAL TO FORM 990-PF, PART I, LINE 11	438.	438.	

FORM 990-PF	ACCOUNTING FEES			STATEMENT 4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	8,000.	7,000.		1,000.
TO FORM 990-PF, PG 1, LN 16B	8,000.	7,000.		1,000.

FORM 990-PF	TAXES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	132.	132.		0.
EXCISE TAXES	4,660.	0.		0.
THE BLACKSTONE GROUP LP - FOREIGN TAXES	6.	6.		0.
TO FORM 990-PF, PG 1, LN 18	4,798.	138.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	11,747.	11,747.		0.
BANK CUSTODIAL FEES	1,099.	1,099.		0.
ANNUAL FILING FEE	25.	25.		0.
ADR FEES	18.	18.		0.
THE BLACKSTONE GROUP LP - DEDUCTIONS	202.	202.		0.
TO FORM 990-PF, PG 1, LN 23	13,091.	13,091.		0.

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	7
DESCRIPTION		AMOUNT	
BOOK LOSS ON FYE 06/30/15 TAX TRADES SETTLED FYE 06/30/16			3,010.
WP CAREY INC - BASIS ADJUSTMENT			180.
TOTAL TO FORM 990-PF, PART III, LINE 5			3,190.

FORM 990-PF	CORPORATE STOCK	STATEMENT	8
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
SEE ATTACHED STATEMENT A	1,382,638.	1,617,279.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,382,638.	1,617,279.	

Asset detail

Cash and cash equivalents

Description	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
14,624 74 BNY Mellon Government Money Market (Principal Holding)	\$ 14,624 74	\$ 14,624 74		\$ 2 92	0 0%	0 9%
Principal Cash	-25,337 96					
Income Cash	25,337 96					
Total cash and cash equivalents	\$ 14,624 74	\$ 14,624 74	\$ 0 00	\$ 2 92		0 9%

Equities

U S large cap

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
2,177	AT&T Inc (T)	\$ 43 2100	\$ 94,068 17	\$ 75,553 02	\$ 18,515 15	\$ 4,179 84	4 4%	5 8%
95	Alphabet, Inc (GOOG)	692 1000	65,749 50	45,075 12	20,674 38	0 00	0 0	4 0
741	American International Group Inc (AIG)	52 8900	39,191 49	31,644 38	7,547 11	948 48	2 4	2 4
498	Apple Inc (AAPL)	95 6000	47,417 60	55,153 07	-7,735 47	1,130 88	2 4	2 9
2,808	Blackstone Group LP (BX)	24 5400	68,908 32	88,293 04	-19,384 72	5,952 96	8 6	4 2
890	CVS Health Corporation (CVS)	95 7400	85,208 60	43,431 86	41,776 74	1,513 00	1 8	5 2
1,522	Citigroup Inc (C)	42 3900	64,517 58	66,350 92	-1,833 34	304 40	0 5	4 0
395	Goldman Sachs Group Inc (GS)	148 5800	58,689 10	54,127 35	4,561 75	1,027 00	1 8	3 6
1,629	H D Supply Holdings, Inc (HDS)	34 8200	56,721 78	47,672 87	9,048 91	0 00	0 0	3 5
270	JP Morgan Chase & Co (JPM)	62 1400	16,777 80	17,630 38	-852 58	518 40	3 1	1 0
2,141	Morgan Stanley (MS)	25 9800	55,623 18	43,138 98	12,484 20	1,284 60	2 3	3 4
1,458	Oracle Corp (ORCL)	40 9300	59,675 94	49,567 69	10,108 25	874 80	1 5	3 7
507	Pepsico Inc (PEP)	105 9400	53,711 58	35,747 69	17,963 89	1,526 07	2 8	3 3
320	Twenty-First Century Fox, Inc (FOXA)	27 0500	8,656 00	8,346 50	309 50	96 00	1 1	0 5

STATEMENT A

June 1 - June 30, 2016

The Walter C Klein Fdn, Inc/Welch
Account number 10581998910

Page 6 of 14

Equities

U S large cap
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
1,031	Zimmer Holdings Inc (ZBH)	120.3800	124,111.78	105,608.01	18,503.77	989.76	0.8	7.6
Total U S large cap			\$ 899,028.42	\$ 767,340.88	\$ 131,687.54	\$ 20,346.19		55.1%

U S mid cap

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
380	Check Point Software Technology Ord (CHKP)	\$ 79.6800	\$ 30,278.40	\$ 25,761.38	\$ 4,517.02	\$ 0.00	0.0%	1.9%
905	Bright Horizons Family Solutions (BFAM)	66.3100	60,010.55	26,186.38	33,824.17	0.00	0.0	3.7
2,226	Envision Healthcare Holdings (EVHC)	25.3700	56,473.62	54,517.48	1,956.14	0.00	0.0	3.5
2,928	Gentex Corp (GNTX)	15.4500	45,206.70	47,645.41	-2,438.71	1,053.36	2.3	2.8
1,540	L D R Holding Corporation (LDRH)	36.9500	56,903.00	38,261.28	18,641.72	0.00	0.0	3.5
3,860	Pandora Media Inc (P)	12.4500	48,057.00	47,968.65	88.35	0.00	0.0	3.0
2,626	Party City Holdco Inc (PRTY)	13.9100	36,527.66	37,063.07	-535.41	0.00	0.0	2.2
2,055	Premier Inc (PINC)	32.7000	67,198.50	62,497.38	4,701.12	0.00	0.0	4.1
1,120	Surgical Care Affiliates Inc (SCAI)	47.6700	53,390.40	35,179.70	18,210.70	0.00	0.0	3.3
420	Williams Sonoma Inc (WSM)	52.1300	21,894.60	24,990.49	-3,095.89	621.60	2.8	1.3
Total U S mid cap			\$ 475,940.43	\$ 400,071.22	\$ 75,869.21	\$ 1,674.96		29.2%

U S small cap

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
560	Argan Inc (AGX)	\$ 41.7200	\$ 23,363.20	\$ 16,520.07	\$ 6,843.13	\$ 392.00	1.7%	1.4%
Total U S small cap			\$ 23,363.20	\$ 16,520.07	\$ 6,843.13	\$ 392.00		1.4%

STATEMENT A



Developed international

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
1,020	Stens PLC (STE)	\$ 68 7500	\$ 70,125 00	\$ 77,055 90	\$ -8,930 90	\$ 1,020 00	1 5%	4 3%
530	Interxion Holding NV (INXN)	36 8800	19,546 40	14,592 45	4,953 95	0 00	0 0	1 2
362	Alibaba Group Holding Ltd (BABA)	79 5300	28,789 86	24,339 01	4,450 85	0 00	0 0	1 8
380	Nestle S A Sponsored ADR Repstg Reg (NSRGY) Sh	77 3100	29,377 80	24,999 55	4,378 25	739 86	2 5	1 8
Total developed international			\$ 147,839 06	\$ 140,986 91	\$ 6,852 15	\$ 1,759 86		9 1%

Equity reits

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
1,002	WP Carey Inc (WPC)	\$ 69 4200	\$ 69,558 84	\$ 61,800 52	\$ 7,758 32	\$ 3,927 84	5 7%	4 3%
Total equity reits			\$ 69,558 84	\$ 61,800 52	\$ 7,758 32	\$ 3,927 84		4 3%
Total equities			\$ 1,615,729 95	\$ 1,388,719 60	\$ 229,010 35	\$ 28,100 85		99 1%

Other assets

Other

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
312	Dividend Tax Reclaim Swiss 2014	\$ 1 0211	\$ 318 60	\$ 349 31	\$ -30 71	\$ 0 00	0 0%	0 0%
Total other			\$ 318 60	\$ 349 31	\$ -30 71	\$ 0 00		0 0%
Total other assets			\$ 318 60	\$ 349 31	\$ -30 71	\$ 0 00		0 0%
Total assets			\$ 1,630,673 29	\$ 1,401,693 65	\$ 228,979 64	\$ 28,103 77		100 0%

	FMV	Cost
Total Assets	1,630,673 29	1,401,693.65
Less: Cash	(14,624 74)	(14,624.74)
Subtotal Investment-corp stock	1,616,048 55	1,387,068.91
Add: Accrued income	1,230.80	-
Less. PTP basis adjustment	-	(4,430.60)
Total Investment-corp stock	1,617,279 35	1,382,638.31

STATEMENT A

THE KLEIN FAMILY FOUNDATION, INC.
2015 FORM 990-PF
EIN #: 52-1705026
PART XV, LINE 3(a)
CONTRIBUTIONS

DONEE	ADDRESS	DATE	CHECK NO.	AMOUNT
ALVIN AILEY DANCE FOUNDATION, INC (AKA ALVIN AILEY AMERICAN DANCE THEATER)	THE JOAN WEILL CENTER FOR DANCE 405 WEST 55TH STREET NEW YORK, NY 10019	06/20/16	245	10,000
AMERICAN MUSEUM OF NATURAL HISTORY (AKA A M N H)	79TH STREET & CENTRAL PARK WEST NEW YORK, NY 10024	06/20/16	246	24,755
BROOKLYN INSTITUTE OF ARTS AND SCIENCES (AKA BROOKLYN MUSEUM)	200 EASTERN PARKWAY BROOKLYN, NY 11238	06/20/16	247	4,225
CHILDREN'S AID SOCIETY (AKA CHILDREN'S AID, CAS)	711 THIRD AVENUE, SUITE 700 NEW YORK, NY 10017	06/20/16	261	25,000
CITY HARVEST, INC	6 EAST 32ND STREET, 5TH FLOOR NEW YORK, NY 10016	06/20/16	262	2,000
DANCE THEATRE OF HARLEM INC (AKA DTH)	THE EVERETT CENTER FOR THE PERFORMING ARTS 466 WEST 152ND STREET NEW YORK, NY 10031	06/20/16	248	5,000
HARLEM ACADEMY	1330 FIFTH AVENUE NEW YORK, NY 10026	06/20/16	253	5,000
INTERNATIONAL FOUNDATION FOR ART RESEARCH INC	500 FIFTH AVENUE, SUITE 935 NEW YORK, NY 10110	06/20/16	252	1,500
2002 LINCOLN CENTER THEATRE SUPPORTING FOUNDATION IRREVOCABLE CHARITABLE TRUST	150 WEST 65TH STREET NEW YORK, NY 10023	06/20/16	254	2,500
METROPOLITAN MUSEUM OF ART	1000 FIFTH AVENUE NEW YORK, NY 10028	06/20/16	249	6,320
MOHONK PRESERVE, INC	P O BOX 715 NEW PALTZ, NY 12561	06/20/16	258	1,500
NARAL PRO-CHOICE AMERICA FOUNDATION	1156 15TH ST , SUITE 700 WASHINGTON, DC 20005	12/24/15	240	10,000
PLANNED PARENTHOOD FEDERATION OF AMERICA, INC (AKA PPFA)	123 WILLIAM STREET NEW YORK, NY 10038	12/24/15	242	5,000
NATURE CONSERVANCY, INC (AKA THE NATURE CONSERVANCY)	4245 NORTH FAIRFAX DRIVE, SUITE 100 ARLINGTON, VA 22203	06/20/16	260	10,000
NEW CANAAN LIBRARY INC	151 MAIN STREET NEW CANAAN, CT 06840	06/20/16	256	2,500
NEW YORK CITY BALLET, INC	NEW YORK STATE THEATER	06/20/16	250	2,405

THE KLEIN FAMILY FOUNDATION, INC.
2015 FORM-990-PF
EIN #: 52-1705026
PART XV, LINE 3(a)
CONTRIBUTIONS

<u>DONEE</u>	<u>ADDRESS</u>	<u>DATE</u>	<u>CHECK NO.</u>	<u>AMOUNT</u>
	20 LINCOLN CENTER NEW YORK, NY 10025			
NEW YORK PUBLIC LIBRARY ASTOR LENOX AND TILDEN FOUNDATIONS (AKA THE NEW YORK PUBLIC LIBRARY)	476 FIFTH AVENUE NEW YORK, NY 10018	06/20/16	255	9,785
NEW YORK SHAKESPEARE FESTIVAL	425 LAFAYETTE ST NEW YORK,, NY 10003	06/20/16	251	1,000
WILDLIFE CONSERVATION SOCIETY (AKA WCS)	2300 SOUTHERN BOULEVARD BRONX, NY 10460	06/20/16	259	10,000
PLANNED PARENTHOOD OF NEW YORK CITY INC.	26 BLEECKER STREET NEW YORK, NY 10012	06/20/16	263	10,000
THE DOOR - A CENTER OF ALTERNATIVES, INC	121 AVENUE OF THE AMERICAS NEW YORK, NY 10013	06/20/16	264	5,000
TRICKLE UP PROGRAM, INC (AKA TRICKLE UP)	104 WEST 27TH STREET, 12TH FLOOR NEW YORK, NY 10001	06/20/16	265	25,000
WELLESLEY COLLEGE	106 CENTRAL STREET WELLESLEY, MA 02481	06/20/16	257	10,000
TOTAL				<u>188,490</u>

Welch Capital Partners, LLC Realized Gains and Losses The Klein Family Foundation, Inc. From 07-01-15 Through 06-30-16

Open Date	Close Date	Quantity	Security	Proceeds	Cost Basis	Gain/Loss
09/24/14	07/01/15	132	Swift Transportation Co	2,977.47	2,549.76	427.71
09/24/14	07/01/15	18	Swift Transportation Co	406.02	347.34	58.68
09/24/14	07/01/15	40	Swift Transportation Co	905.08	771.86	133.22
09/24/14	07/02/15	150	Swift Transportation Co	3,373.43	2,894.49	478.94
09/24/14	07/02/15	109	Swift Transportation Co	2,454.35	2,103.33	351.02
09/25/14	07/02/15	31	Swift Transportation Co	698.03	582.06	115.97
08/25/14	07/17/15	30	Alphabet Inc-CI C	19,753.76	17,354.43	2,399.33
09/29/14	07/17/15	29	Alphabet Inc-CI C	19,095.30	16,759.10	2,336.20
09/25/14	07/23/15	180	Swift Transportation Co	4,001.66	3,379.68	621.98
09/25/14	07/23/15	132	Swift Transportation Co	2,929.26	2,478.43	450.83
09/25/14	07/24/15	86	Swift Transportation Co	1,881.25	1,614.73	266.52
06/02/15	07/27/15	193	Directv	5,500.50	4,892.19	608.31
06/02/15	07/27/15	280	Directv	7,980.00	7,125.17	854.83
09/25/14	07/28/15	86	Swift Transportation Co	1,866.68	1,614.73	251.95
07/29/13	07/31/15	96	Team Health Holdings Inc	6,480.53	3,742.66	2,737.87
08/20/13	07/31/15	10	Team Health Holdings Inc	675.05	389.80	285.25
08/16/13	07/31/15	54	Team Health Holdings Inc	3,645.30	2,104.47	1,540.83
08/16/13	08/03/15	10	Team Health Holdings Inc	679.67	389.72	289.95
08/16/13	08/04/15	306	Team Health Holdings Inc	19,879.38	11,925.31	7,954.07
03/05/13	08/12/15	172	Starbucks Corp.	9,558.60	4,859.22	4,699.38
03/19/13	08/12/15	237	Starbucks Corp.	13,170.87	6,689.66	6,481.21
03/10/15	09/02/15	100	Oracle Corporation	3,633.93	4,198.02	(564.09)
03/10/15	09/02/15	130	Oracle Corporation	4,724.11	5,456.92	(732.81)
09/25/14	09/02/15	20	Oracle Corporation	726.79	777.58	(50.79)
03/09/15	09/09/15	152	Premier Inc - Class A	5,641.10	5,400.17	240.93
01/25/13	09/09/15	163	Morgan Stanley	5,672.29	3,704.71	1,967.58
05/03/13	09/10/15	86	American Intl Group	4,993.97	3,788.35	1,205.62
05/03/13	09/11/15	70	American Intl Group	4,059.88	3,083.54	976.34
05/03/13	09/11/15	58	American Intl Group	3,363.94	2,554.93	809.01
06/11/14	09/17/15	65	Grupo Televisa SA ADR	1,890.52	2,200.67	(310.15)
10/01/14	09/17/15	109	Grupo Televisa SA ADR	3,170.26	3,667.68	(497.42)
04/29/14	09/17/15	330	Grupo Televisa SA ADR	9,598.02	10,821.62	(1,223.60)
04/29/14	09/17/15	66	Grupo Televisa SA ADR	1,919.61	2,162.95	(243.34)
04/29/14	09/18/15	54	Grupo Televisa SA ADR	1,565.71	1,769.69	(203.98)
04/29/14	09/18/15	200	Grupo Televisa SA ADR	5,798.94	6,552.56	(753.62)
12/17/14	09/18/15	140	Grupo Televisa SA ADR	4,059.26	4,551.53	(492.27)
03/13/14	09/18/15	330	Grupo Televisa SA ADR	9,568.24	10,259.60	(691.36)
02/27/14	09/18/15	36	Grupo Televisa SA ADR	1,043.81	1,034.64	9.17
02/27/14	09/21/15	24	Grupo Televisa SA ADR	696.60	689.76	6.84
02/27/14	09/21/15	316	Grupo Televisa SA ADR	9,171.83	9,027.55	144.28
02/27/14	09/23/15	94	Grupo Televisa SA ADR	2,622.57	2,685.41	(62.84)
02/26/14	09/23/15	130	Grupo Televisa SA ADR	3,626.95	3,702.41	(75.46)

Welch Capital Partners, LLC Realized Gains and Losses The Klein Family Foundation, Inc. From 07-01-15 Through 06-30-16

Open Date	Close Date	Quantity	Security	Proceeds	Cost Basis	Gain/Loss
07/21/15	09/24/15	123	Alibaba Group Holding-SP ADR	7,314.03	10,227.81	(2,913.78)
07/21/15	09/24/15	27	Alibaba Group Holding-SP ADR	1,605.52	2,243.06	(637.54)
07/21/15	09/24/15	93	Alibaba Group Holding-SP ADR	5,527.66	7,726.11	(2,198.45)
07/06/15	09/24/15	155	Alibaba Group Holding-SP ADR	9,212.78	12,495.94	(3,283.16)
07/06/15	09/24/15	110	Alibaba Group Holding-SP ADR	6,538.10	8,867.26	(2,329.16)
09/02/15	09/24/15	90	Alibaba Group Holding-SP ADR	5,349.35	5,910.68	(561.33)
09/02/15	09/24/15	90	Alibaba Group Holding-SP ADR	5,349.35	5,892.95	(543.60)
03/19/13	10/02/15	163	Starbucks Corp.	9,238.02	4,600.90	4,637.12
03/04/13	10/02/15	95	Starbucks Corp.	5,384.12	2,630.23	2,753.89
09/28/15	10/05/15	30	LDR Holdings Corp	1,088.95	943.45	145.50
09/28/15	10/06/15	40	LDR Holdings Corp	1,441.21	1,257.93	183.28
09/28/15	10/06/15	60	LDR Holdings Corp	2,173.80	1,886.90	286.90
09/23/15	10/12/15	70	Altera Corporation	3,671.23	3,534.72	136.51
09/23/15	10/12/15	90	Altera Corporation	4,719.64	4,544.64	175.00
03/04/13	10/12/15	80	Starbucks Corp.	4,843.81	2,214.93	2,628.88
03/04/13	10/12/15	90	Starbucks Corp.	5,443.16	2,491.80	2,951.36
09/23/15	10/13/15	10	Altera Corporation	524.59	504.96	19.63
09/23/15	10/13/15	180	Altera Corporation	9,426.15	9,089.28	336.87
03/04/13	10/16/15	160	Starbucks Corp.	9,593.44	4,429.86	5,163.58
03/04/13	10/16/15	160	Starbucks Corp.	9,567.12	4,429.86	5,137.26
03/04/13	10/16/15	135	Starbucks Corp.	8,024.37	3,737.70	4,286.67
04/17/15	10/20/15	120	Check Point Software	9,701.84	9,781.62	(79.78)
09/29/14	10/23/15	8	Alphabet Inc-Cl C	5,795.19	4,553.45	1,241.74
10/09/14	10/23/15	30	Alphabet Inc-Cl C	21,731.95	16,874.41	4,857.54
12/04/14	10/23/15	2	Alphabet Inc-Cl C	1,448.80	1,073.52	375.28
06/04/15	10/23/15	170	Spirit Airlines	6,780.00	11,040.36	(4,260.36)
06/04/15	10/23/15	53	Spirit Airlines	2,127.97	3,442.00	(1,314.03)
06/01/15	10/23/15	297	Spirit Airlines	11,924.65	19,105.63	(7,180.98)
09/10/15	10/26/15	20	Party City Holdco Inc.	320.26	353.25	(32.99)
09/09/15	10/26/15	70	Party City Holdco Inc.	1,120.92	1,232.77	(111.85)
09/10/15	10/26/15	60	Party City Holdco Inc.	960.78	1,049.33	(88.55)
06/15/15	10/27/15	10	Apple Inc	1,158.12	1,265.59	(107.47)
06/15/15	10/27/15	40	Apple Inc	4,632.49	5,055.61	(423.12)
09/10/15	10/28/15	70	Party City Holdco Inc.	1,123.71	1,224.22	(100.51)
01/13/14	10/29/15	20	CVS Health Corp	2,085.18	1,379.68	705.50
09/25/14	11/02/15	140	Oracle Corporation	5,555.83	5,443.06	112.77
09/26/14	11/02/15	40	Oracle Corporation	1,587.38	1,550.63	36.75
09/10/15	11/03/15	200	Party City Holdco Inc.	3,246.86	3,497.78	(250.92)
09/10/15	11/03/15	120	Party City Holdco Inc.	1,948.11	2,097.89	(149.78)
06/01/15	11/05/15	43	Spirit Airlines	1,511.52	2,766.13	(1,254.61)
06/08/15	11/05/15	130	Spirit Airlines	4,569.73	8,283.53	(3,713.80)
06/09/15	11/05/15	87	Spirit Airlines	3,058.20	5,387.66	(2,329.46)

Welch Capital Partners, LLC Realized Gains and Losses The Klein Family Foundation, Inc. From 07-01-15 Through 06-30-16

Open Date	Close Date	Quantity	Security	Proceeds	Cost Basis	Gain/Loss
05/22/15	11/05/15	20	Orasure Technologies Inc	109.76	131.13	(21.37)
05/22/15	11/06/15	250	Orasure Technologies Inc	1,416.72	1,639.10	(222.38)
06/09/15	11/09/15	40	Spirit Airlines	1,455.53	2,477.08	(1,021.55)
05/22/15	11/10/15	140	Orasure Technologies Inc	838.94	917.89	(78.95)
05/22/15	11/11/15	30	Orasure Technologies Inc	179.23	196.69	(17.46)
09/26/14	11/11/15	112	Oracle Corporation	4,385.32	4,341.76	43.56
02/05/14	11/11/15	20	Oracle Corporation	783.09	717.02	66.07
11/07/13	11/11/15	98	Oracle Corporation	3,837.16	3,374.78	462.38
08/06/15	11/13/15	10	Surgical Care Affiliates Inc.	361.40	366.86	(5.46)
05/13/15	11/13/15	40	Surgical Care Affiliates Inc.	1,445.59	1,431.39	14.20
05/13/15	11/16/15	30	Surgical Care Affiliates Inc.	1,075.87	1,073.54	2.33
05/13/15	11/17/15	40	Surgical Care Affiliates Inc.	1,454.12	1,431.39	22.73
05/12/15	11/18/15	30	Surgical Care Affiliates Inc.	1,097.35	1,068.90	28.45
06/09/15	11/19/15	103	Spirit Airlines	3,775.37	6,378.49	(2,603.12)
06/09/15	11/19/15	17	Spirit Airlines	623.12	1,038.86	(415.74)
06/09/15	11/19/15	108	Spirit Airlines	3,935.58	6,599.78	(2,664.20)
05/12/15	11/19/15	50	Surgical Care Affiliates Inc.	1,803.34	1,781.50	21.84
11/11/15	11/19/15	260	Sunedison	795.94	1,463.83	(667.89)
05/12/15	11/20/15	60	Surgical Care Affiliates Inc.	2,170.60	2,137.80	32.80
11/11/15	11/20/15	320	Sunedison	867.66	1,801.63	(933.97)
11/11/15	11/20/15	270	Sunedison	732.09	1,468.56	(736.47)
04/27/15	11/20/15	70	Steris Corp	5,250.54	5,353.25	(102.71)
04/20/15	11/20/15	10	Steris Corp	750.08	690.35	59.73
04/20/15	11/20/15	10	Steris Corp	749.59	755.45	(5.86)
11/18/15	11/23/15	60	Solarcity Corp	1,705.44	1,621.52	83.92
05/13/15	11/23/15	30	Surgical Care Affiliates Inc.	1,117.05	1,065.65	51.40
05/13/15	11/24/15	30	Surgical Care Affiliates Inc.	1,108.91	1,065.65	43.26
03/27/15	11/24/15	30	Surgical Care Affiliates Inc.	1,108.92	1,005.46	103.46
03/27/15	11/25/15	30	Surgical Care Affiliates Inc.	1,115.38	1,005.46	109.92
11/18/15	11/27/15	30	Solarcity Corp	906.98	810.76	96.22
11/18/15	11/27/15	30	Solarcity Corp	913.28	810.76	102.52
03/27/15	12/01/15	100	Surgical Care Affiliates Inc.	3,725.63	3,351.54	374.09
03/27/15	12/02/15	10	Surgical Care Affiliates Inc.	369.80	335.15	34.65
11/24/15	12/02/15	120	Grupo Televisa SA ADR	3,511.90	3,513.73	(1.83)
11/24/15	12/02/15	190	Grupo Televisa SA ADR	5,560.51	5,554.61	5.90
11/18/15	12/02/15	80	Solarcity Corp	2,714.09	2,162.03	552.06
11/18/15	12/03/15	60	Solarcity Corp	2,149.11	1,621.52	527.59
05/29/13	12/03/15	140	Nestle SA ADR	10,512.82	9,475.41	1,037.41
11/18/15	12/04/15	20	Solarcity Corp	727.20	540.51	186.69
11/18/15	12/04/15	30	Solarcity Corp	1,090.81	796.79	294.02
05/29/13	12/04/15	130	Nestle SA ADR	9,810.84	8,798.59	1,012.25
05/29/13	12/04/15	10	Nestle SA ADR	754.94	676.81	78.13

Welch Capital Partners, LLC Realized Gains and Losses The Klein Family Foundation, Inc. From 07-01-15 Through 06-30-16

Open Date	Close Date	Quantity	Security	Proceeds	Cost Basis	Gain/Loss
06/03/13	12/04/15	120	Nestle SA ADR	9,059.34	7,894.60	1,164.74
03/27/15	12/09/15	20	Surgical Care Affiliates Inc.	740.27	670.31	69.96
12/04/15	12/10/15	10	AAC Holdings Inc	236.82	229.65	7.17
12/04/15	12/11/15	10	AAC Holdings Inc	235.95	229.65	6.30
12/04/15	12/14/15	10	AAC Holdings Inc	232.67	229.65	3.02
11/18/15	12/14/15	120	Solarcity Corp	4,566.34	3,187.16	1,379.18
11/18/15	12/14/15	40	Solarcity Corp	1,599.06	1,062.39	536.67
11/18/15	12/14/15	40	Solarcity Corp	1,601.07	1,062.39	538.68
12/04/15	12/15/15	10	AAC Holdings Inc	230.74	229.65	1.09
03/27/15	12/16/15	50	Surgical Care Affiliates Inc.	1,877.38	1,675.77	201.61
06/03/13	12/16/15	140	Nestle SA ADR	10,441.53	9,210.36	1,231.17
03/27/15	12/17/15	162	Surgical Care Affiliates Inc.	6,371.82	5,429.49	942.33
03/27/15	12/17/15	48	Surgical Care Affiliates Inc.	1,887.95	1,608.37	279.58
12/04/15	12/17/15	30	AAC Holdings Inc	692.61	680.05	12.56
12/07/15	12/18/15	10	AAC Holdings Inc	231.26	223.47	7.79
03/27/15	12/18/15	10	Surgical Care Affiliates Inc.	399.69	335.08	64.61
03/27/15	12/22/15	10	Surgical Care Affiliates Inc.	399.92	335.08	64.84
03/27/15	12/23/15	60	Surgical Care Affiliates Inc.	2,408.46	2,010.46	398.00
03/27/15	12/24/15	2	Surgical Care Affiliates Inc.	80.63	67.02	13.61
03/27/15	12/24/15	18	Surgical Care Affiliates Inc.	725.69	601.85	123.84
03/27/15	12/28/15	60	Surgical Care Affiliates Inc.	2,399.24	2,006.16	393.08
09/23/15	12/28/15	16	Altera Corporation	864.00	807.94	56.06
09/24/15	12/28/15	720	Altera Corporation	38,880.00	36,234.09	2,645.91
03/27/15	12/29/15	52	Surgical Care Affiliates Inc.	2,090.26	1,738.67	351.59
03/27/15	12/29/15	18	Surgical Care Affiliates Inc.	723.55	598.50	125.05
09/11/12	01/12/16	110	Dunkin Donuts	4,255.60	3,330.91	924.69
09/11/12	01/13/16	110	Dunkin Donuts	4,289.84	3,330.91	958.93
09/11/12	01/14/16	110	Dunkin Donuts	4,186.19	3,330.91	855.28
09/11/12	01/19/16	113	Dunkin Donuts	4,286.24	3,421.75	864.49
11/11/15	01/28/16	150	Sunedison	459.78	815.87	(356.09)
11/11/15	01/29/16	160	Sunedison	490.84	870.26	(379.42)
11/11/15	01/29/16	290	Sunedison	889.64	1,540.74	(651.10)
01/13/16	02/02/16	10	Alphabet Inc-CI C	7,862.28	7,096.19	766.09
03/27/15	02/02/16	40	Surgical Care Affiliates Inc.	1,730.73	1,330.00	400.73
05/03/13	02/03/16	21	American Intl Group	1,150.71	925.06	225.65
05/09/13	02/03/16	49	American Intl Group	2,684.98	2,157.95	527.03
06/03/13	02/03/16	190	Nestle SA ADR	14,154.86	12,499.78	1,655.08
06/03/13	02/03/16	30	Nestle SA ADR	2,251.75	1,973.65	278.10
06/03/13	02/03/16	30	Nestle SA ADR	2,253.36	1,973.65	279.71
12/18/15	02/05/16	90	Pandora Media Inc	844.25	1,350.02	(505.77)
12/18/15	02/05/16	90	Pandora Media Inc	834.75	1,350.02	(515.27)
05/09/13	02/09/16	140	American Intl Group	7,267.67	6,165.56	1,102.11

Welch Capital Partners, LLC Realized Gains and Losses The Klein Family Foundation, Inc. From 07-01-15 Through 06-30-16

Open Date	Close Date	Quantity	Security	Proceeds	Cost Basis	Gain/Loss
03/09/15	02/09/16	100	Premier Inc - Class A	3,293.51	3,552.74	(259.23)
03/09/15	02/09/16	30	Premier Inc - Class A	992.00	1,065.82	(73.82)
06/15/15	02/10/16	30	Apple Inc	2,879.08	3,791.70	(912.62)
07/09/15	02/10/16	52	Apple Inc	4,990.41	6,283.90	(1,293.49)
07/23/15	02/10/16	10	Blackstone Group LP	250.38	398.24	(147.86)
07/23/15	02/10/16	10	Blackstone Group LP	250.39	398.24	(147.85)
07/23/15	02/10/16	10	Blackstone Group LP	250.47	398.24	(147.77)
07/23/15	02/10/16	20	Blackstone Group LP	500.93	798.36	(297.43)
03/27/15	02/10/16	110	Surgical Care Affiliates Inc.	4,744.90	3,657.50	1,087.40
03/27/15	02/10/16	20	Surgical Care Affiliates Inc.	864.87	665.00	199.87
03/09/15	02/10/16	20	Premier Inc - Class A	663.10	710.55	(47.45)
03/27/15	02/16/16	80	Surgical Care Affiliates Inc.	3,444.95	2,660.00	784.95
12/17/15	02/17/16	40	Willams-Sonoma Inc.	2,283.64	2,414.41	(130.77)
11/30/15	02/18/16	50	LDR Holdings Corp	1,133.61	1,351.12	(217.51)
12/01/15	02/18/16	20	LDR Holdings Corp	450.98	540.36	(89.38)
10/09/15	02/18/16	30	LDR Holdings Corp	676.47	802.66	(126.19)
10/09/15	02/18/16	10	LDR Holdings Corp	225.18	267.55	(42.37)
04/17/15	02/26/16	6	Check Point Software	501.57	489.08	12.49
10/09/14	02/26/16	102	Check Point Software	8,526.75	7,104.82	1,421.93
03/02/15	02/29/16	150	HD Supply Holdings Inc	4,183.70	4,486.79	(303.09)
10/09/14	03/01/16	109	Check Point Software	9,227.24	7,592.41	1,634.83
03/02/15	03/01/16	200	HD Supply Holdings Inc	5,645.89	5,982.38	(336.49)
03/02/15	03/01/16	40	HD Supply Holdings Inc	1,130.71	1,196.48	(65.77)
03/02/15	03/01/16	75	HD Supply Holdings Inc	2,120.08	2,242.53	(122.45)
10/07/15	03/03/16	110	Interxion Holding NV	3,515.49	3,051.90	463.59
10/09/15	03/04/16	50	LDR Holdings Corp	1,117.24	1,337.77	(220.53)
02/18/16	03/04/16	60	Oracle Corporation	2,271.13	2,192.08	79.05
02/18/16	03/04/16	100	Oracle Corporation	3,785.23	3,649.77	135.46
11/07/13	03/04/16	50	Oracle Corporation	1,892.61	1,721.82	170.79
10/09/14	03/04/16	6	Check Point Software	507.59	417.93	89.66
10/07/14	03/04/16	196	Check Point Software	16,581.33	13,644.96	2,936.37
08/05/15	03/04/16	110	Gentex Corp	1,716.30	1,811.53	(95.23)
08/05/15	03/04/16	30	Gentex Corp	468.59	494.05	(25.46)
11/07/13	03/07/16	220	Oracle Corporation	8,408.52	7,576.03	832.49
09/11/15	03/11/16	20	Party City Holdco Inc.	266.53	343.07	(76.54)
09/11/15	03/14/16	10	Party City Holdco Inc.	132.99	171.53	(38.54)
09/11/15	03/15/16	10	Party City Holdco Inc.	133.36	171.53	(38.17)
09/11/15	03/15/16	30	Party City Holdco Inc.	405.69	514.60	(108.91)
03/02/15	03/16/16	110	HD Supply Holdings Inc	3,351.85	3,289.04	62.81
03/02/15	03/16/16	50	HD Supply Holdings Inc	1,516.41	1,495.02	21.39
11/07/13	03/16/16	52	Oracle Corporation	2,087.14	1,790.70	296.44
11/07/13	03/16/16	98	Oracle Corporation	3,933.45	3,367.43	566.02

Welch Capital Partners, LLC Realized Gains and Losses The Klein Family Foundation, Inc. From 07-01-15 Through 06-30-16

Open Date	Close Date	Quantity	Security	Proceeds	Cost Basis	Gain/Loss
11/07/13	03/16/16	60	Oracle Corporation	2,411.75	2,061.69	350.06
09/11/15	03/18/16	20	Party City Holdco Inc.	291.28	343.07	(51.79)
10/07/15	03/18/16	60	Interxion Holding NV	2,014.61	1,664.68	349.93
10/07/15	03/18/16	30	Interxion Holding NV	1,007.30	830.70	176.60
10/07/15	03/18/16	130	Interxion Holding NV	4,394.02	3,599.70	794.32
10/07/15	03/18/16	10	Interxion Holding NV	338.00	276.61	61.39
03/02/15	03/18/16	145	HD Supply Holdings Inc	4,622.80	4,335.56	287.24
03/03/15	03/18/16	45	HD Supply Holdings Inc	1,434.66	1,340.52	94.14
03/03/15	03/18/16	60	HD Supply Holdings Inc	1,911.03	1,787.36	123.67
07/09/15	03/21/16	45	Apple Inc	4,770.08	5,437.99	(667.91)
10/07/15	03/21/16	40	Interxion Holding NV	1,345.41	1,106.45	238.96
11/07/13	03/21/16	80	Oracle Corporation	3,349.86	2,748.92	600.94
11/07/13	03/21/16	64	Oracle Corporation	2,677.35	2,199.14	478.21
03/15/16	03/21/16	110	Swift Transportation Co	2,017.73	1,814.77	202.96
03/15/16	03/21/16	80	Swift Transportation Co	1,467.44	1,319.70	147.74
03/10/16	03/21/16	150	Swift Transportation Co	2,751.45	2,469.48	281.97
03/10/16	03/21/16	180	Swift Transportation Co	3,301.74	2,960.53	341.21
				<u>812,982.50</u>	<u>755,636.05</u>	<u>57,346.45</u>