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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015, or tax year beginning 07-01-2015 , and ending 06-30-2016

Name of foundation
Frank and Yetta Chaiken Foundation Inc

% YETTA CHAIKEN

Number and street (or P O box number if mail is not delivered to street address)
4002 Lakeview Drive

Room/suite

City or town, state or province, country, and ZIP or foreign postal code
Wilmington, DE 19807

A Employer identification number
51-0264232

B Telephone number (see instructions)
(302) 571-8104

C If exemption application is pending, check here ▶ ☐

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 509,693

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify) ______
(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue					
1	Contributions, gifts, grants, etc , received (attach schedule)	0			
2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	6,126	6,126		
5a	Gross rents				
b	Net rental income or (loss) ______				
6a	Net gain or (loss) from sale of assets not on line 10	24,499			
b	Gross sales price for all assets on line 6a 141,267				
7	Capital gain net income (from Part IV, line 2)		24,499		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
12	**Total.** Add lines 1 through 11	30,625	30,625		
Operating and Administrative Expenses					
13	Compensation of officers, directors, trustees, etc				
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule)	2,250	0	0	0
c	Other professional fees (attach schedule)	7,746	7,746		
17	Interest				
18	Taxes (attach schedule) (see instructions)	462	86		
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule)	36	11		
24	**Total operating and administrative expenses.** Add lines 13 through 23	10,494	7,843	0	0
25	Contributions, gifts, grants paid	53,955			53,955
26	**Total expenses and disbursements.** Add lines 24 and 25	64,449	7,843	0	53,955
27	Subtract line 26 from line 12				
a	**Excess of revenue over expenses and disbursements**	-33,824			
b	**Net investment income** (if negative, enter -0-)		22,782		
c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
1	Cash—non-interest-bearing	51,052	48,887	48,887
2	Savings and temporary cash investments			
3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	267,291	235,632	460,806
c	Investments—corporate bonds (attach schedule)			
11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)			
14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets**(to be completed by all filers—see the instructions Also, see page 1, item I)	318,343	284,519	509,693
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities**(add lines 17 through 22)		0	
	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds	318,343	284,519	
30	**Total net assets or fund balances**(see instructions)	318,343	284,519	
31	**Total liabilities and net assets/fund balances**(see instructions)	318,343	284,519	

Assets (lines 1–16); Liabilities (lines 17–23); Net Assets or Fund Balances (lines 24–31)

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	318,343
2	Enter amount from Part I, line 27a	2	-33,824
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	284,519
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	284,519

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	SEE ATTACHED STATEMENT			2015-06-30
b	SEE ATTACHED STATEMENT			2015-06-30
c	GENOPTIX CLASS ACTION SETTLEMENT PROCEEDS			2015-09-01
d	EBIX CLASS ACTION SETTLEMENT			2016-03-23
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	37,748		43,117	-5,369
b	103,176		73,651	29,525
c	39			39
d	304			304
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a				-5,369
b				29,525
c				39
d				304
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	24,499
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	54,726	539,817	0 101379
2013	72,230	560,892	0 128777
2012	50,280	498,646	0 100833
2011	52,110	487,042	0 106993
2010	56,255	505,513	0 111283

2	**Total** of line 1, column (d).	2	0 549265
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 109853
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5.	4	508,481
5	Multiply line 4 by line 3.	5	55,858
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	228
7	Add lines 5 and 6.	7	56,086
8	Enter qualifying distributions from Part XII, line 4.	8	53,955

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ____ **(attach copy of letter if necessary–see instructions)**			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b		1	456
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3	Add lines 1 and 2		3	456
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	456
6	Credits/Payments			
a	2015 estimated tax payments and 2014 overpayment credited to 2015	6a 1,000		
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d		7	1,000
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	544
11	Enter the amount of line 10 to be **Credited to 2015 estimated tax** ▶ 544 **Refunded** ▶		11	

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ____ **(2)** On foundation managers ▶ $ ____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ DE			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶

14 The books are in care of ▶YETTA CHAIKEN Telephone no ▶(302) 571-8104

Located at ▶4002 LAKEVIEW DRIVE WILMINGTON DE ZIP+4 ▶19807

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any**of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all**years listed, answer "No" and attach statement—see instructions)	2b		No
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015).*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(Continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc, organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
YETTA Z CHAIKEN 4002 Lakeview Drive Wilmington, DE 19807	PRES /SEC 0	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
Total number of other employees paid over $50,000 ▶				

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation

Total number of others receiving over $50,000 for professional services. . . . . ▶

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return

(All domestic foundations must complete this part. Foreign foundations,see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	465,313
b	Average of monthly cash balances.	1b	50,911
c	Fair market value of all other assets (see instructions).	1c	0
d	**Total** (add lines 1a, b, and c).	1d	516,224
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). — 1e		
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	516,224
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	7,743
5	**Net value of noncharitable-use assets.**Subtract line 4 from line 3 Enter here and on Part V, line 4	5	508,481
6	**Minimum investment return.**Enter 5% of line 5.	6	25,424

Part XI Distributable Amount

(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.			1	25,424
2a	Tax on investment income for 2015 from Part VI, line 5.	2a	456		
b	Income tax for 2015 (This does not include the tax from Part VI).	2b			
c	Add lines 2a and 2b.			2c	456
3	Distributable amount before adjustments Subtract line 2c from line 1.			3	24,968
4	Recoveries of amounts treated as qualifying distributions.			4	
5	Add lines 3 and 4.			5	24,968
6	Deduction from distributable amount (see instructions).			6	
7	**Distributable amount**as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.			7	24,968

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	53,955
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	**Qualifying distributions.**Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	53,955
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	**Adjusted qualifying distributions.**Subtract line 5 from line 4.	6	53,955

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII **Undistributed Income** (see instructions)

		(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7					24,968
2 Undistributed income, if any, as of the end of 2015					
a Enter amount for 2014 only.				0	
b Total for prior years 2013 , 2012 , 2011			0		
3 Excess distributions carryover, if any, to 2015					
a From 2010.	31,039				
b From 2011.	28,321				
c From 2012.	25,764				
d From 2013.	45,785				
e From 2014.	28,711				
f **Total** of lines 3a through e.		159,620			
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ $ 53,955					
a Applied to 2014, but not more than line 2a				0	
b Applied to undistributed income of prior years (Election required—see instructions).					
c Treated as distributions out of corpus (Election required—see instructions).					
d Applied to 2015 distributable amount.					24,968
e Remaining amount distributed out of corpus		28,987			
5 Excess distributions carryover applied to 2015 *(If an amount appears in column (d), the same amount must be shown in column (a))*					
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		188,607			
b Prior years' undistributed income Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.					
d Subtract line 6c from line 6b Taxable amount —see instructions			0		
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions				0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)					
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions)		31,039			
9 **Excess distributions carryover to 2016.** Subtract lines 7 and 8 from line 6a		157,568			
10 Analysis of line 9					
a Excess from 2011.	28,321				
b Excess from 2012.	25,764				
c Excess from 2013.	45,785				
d Excess from 2014.	28,711				
e Excess from 2015.	28,987				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2015	**(b)** 2014	**(c)** 2013	**(d)** 2012	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

YETTA Z CHAIKEN

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

Yetta Z Chaiken
4002 Lakeview Drive
Wilmington, DE 19807
(302) 571-8104

b The form in which applications should be submitted and information and materials they should include

LETTER INDICATING THE REASON FOR WHICH THE GRANT IS REQUESTED

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV Supplementary Information(continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				53,955
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
1 Program service revenue	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . .			14	6,126	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	24,499	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory . .					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				30,625	

13 Total. Add line 12, columns (b), (d), and (e). **13** 30,625

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	**1a(1)**		**No**
(2) Other assets.	**1a(2)**		**No**
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		**No**
(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		**No**
(3) Rental of facilities, equipment, or other assets.	**1b(3)**		**No**
(4) Reimbursement arrangements.	**1b(4)**		**No**
(5) Loans or loan guarantees.	**1b(5)**		**No**
(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		**No**
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		**No**

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2016-11-15	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
Cameron B McDonald		2016-11-15		P01266915

Firm's name ▶ BDO USA LLP

Firm's EIN ▶

Firm's address ▶ 4250 Lancaster Pike Suite 120 Wilmington, DE 19805

Phone no (302) 656-5500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CHILDREN AND FAMILIES FIRST INC 2005 Baynard Boulevard Wilmington, DE 19802	None	PC	To help children facing adversity on their journey to adulthood	50
THE MUSIC SCHOOL OF DELAWARE 4101 N Washington Street Wilmington, DE 19802	None	PC	To provide comprehensive quality education programs enabling students to recognize, develop, and achieve their musical goals	100
DELAWARE SYMPHONY ASSOCIATION INC 100 W 10TH ST STE 1003 Wilmington, DE 19801	None	PC	To enrich the quality of life through live orchestral music	50
DELAWARE THEATRE COMPANY 200 Water Street Wilmington, DE 19801	None	PC	To create theatre of the highest professional quality in Delaware and thereby enrich the vitality of the area through artistic programming, education and community service	50
FOOD BANK OF DELAWARE INC 14 Garfield Way Neewark, DE 19713	None	PC	To help create a community free from hunger by providing food to those in need and information to others	100
GIRL'S INC 1019 Brown Street Wilmington, DE 19805	None	PC	To provide before and after school care, athletic & educational programs	100
INTERNATIONAL ESSENTIAL TREMOR FOUNDATION PO Box 14005 Lenexa, KS 66285	None	PC	To provide global educational information, services and support to children and adults challenged by essential tremor, to their families and health care providers, as well as promote and fund ET research	30
JEWISH COMMUNITY CTR KALLAH SPONSORSHIP 101 Garden of Eden Road Wilmington, DE 19803	None	PC	To serve as an instrument of the Jewish people and Jewish community by providing programs and resources that preserve, enhance and further the heritage and values of judaism	2,000
JEWISH FEDERATION 101 Garden of Eden Road Wilmington, DE 19803	None	PC	Protects and enhances the well-being of Jews worldwide through the values of tikkun olam, tzedakah, and Torah	30,000
JEWISH HISTORICAL SOCIETY OF DE 505 Market Street Wilmington, DE 19801	None	PC	To acquire, preserve, and share material pertaining to the history of Jewish settlement and Jewish life in Delaware	50
MEALS ON WHEELS 100 W 10th Street Ste 207 Wilmington, DE 19801	None	PC	To ensure, through the provision of financial and human resources, that every eligible senior in the State of Delaware receives a hot, nutritious meal in their home	50
MINISTRY OF CARING 506 N church Street Wilmington, DE 19801	None	PC	TO PROVIDE HOUSING, MEALS, JOB PLACEMENT, & TRAINING FOR THE HOMELESS AND THE POOR	50
DELAWARE CHAMBER MUSIC FESTIVAL PO Box 3537 Wilmington, DE 19807	None	PC	To foster and promote classical chamber music in Delaware	50
OXFAM-AMERICA INC 226 Causeway Street 5th Floor Boston, MA 02114	None	PC	To right the wrongs of poverty, hunger, and injustice	50
PLANNED PARENTHOOD OF DE 625 Shipley Street Wilmington, DE 19801	None	PC	To ensure the right of all individuals to access appropriate information and services necessary to make and act on personal decisions related to their own reproduction, sexuality and health	500
Total . . . . ▶ **3a**				53,955

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
READ ALOUD DELAWARE 100 WEST 10TH STREET SUITE 309 Wilmington, DE 19899	None	PC	To promote reading aloud to preschool children in order to encourage a love of books and a desire to become a reader	25
SOJOURNERS PLACE INC 2901 Northeast Boulevard Wilmington, DE 19802	None	PC	To assist homeless women and men on their journey to self-sufficiency through a case-managed, residential program	100
DELAWARE BROADCASTING WHYY CENTER 625 ORANGE STREET WILMINGTON, DE 19801	none	PC	To provide news when you need it, entertainment when you want it, and education when it counts	100
OPERA DELAWARE Inc 4 South Poplar Street Wilmington, DE 19801	None	PC	To enrich the cultural lives of adults, families and students within the Mid-Atlantic region through meaningful arts education and the production of grand opera and intimate opera concerts, featuring established and emerging talent	100
HILLEL THE FOUNDATION FOR JEWISH CAMPUS LIFE 47 W DELAWARE AVE Newark, DE 19711	None	PC	To enrich the lives of Jewish students	20,000
FRIENDS OF THE WILMINGTON LIBRARY PO BOX 2303 WILMINGTON, DE 19899	NONE	PC	To enhance the use of the Library by the entire community	50
COMPASSION & CHOICES PO BOX 101810 DENVER, CO 80250	NONE	PC	Creates an atmosphere free from judgment where everyone can talk about, and come to terms with, the difficult issues surrounding death and dying	50
DELAWARE GRATZ HEBREW HIGH SCHOOL 101 GARDEN OF EDEN ROAD WILMINGTON, DE 19803	NONE	PC	To enrich the lives of Jewish students	50
GIRL SCOUTS OF THE CHESAPEAKE BAY 225 OLD BALTIMORE PIKE NEWARK, DE 19702	NONE	PC	Girls discover the fun, friendship, and power of girls together Girl Scouting helps girls develop their full individual potential, relate to others with increasing understanding, skill, and respect	100
UNITED WAY OF DELAWARE 625 N ORANGE STREET 3 WILMINGTON, DE 19801	NONE	PC	To maximize the community's resources to improve the quality of life for Delawareans	150
Total . . . . ▶ **3a**				53,955

TY 2015 Accounting Fees Schedule

Name: Frank and Yetta Chaiken Foundation Inc

EIN: 51-0264232

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	2,250			

TY 2015 Depreciation Schedule

Name: Frank and Yetta Chaiken Foundation Inc

EIN: 51-0264232

TY 2015 Other Expenses Schedule

Name: Frank and Yetta Chaiken Foundation Inc

EIN: 51-0264232

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ADR INVESTMENT FEE	11	11		
OTHER EXPENSE	25			

TY 2015 Other Professional Fees Schedule

Name: Frank and Yetta Chaiken Foundation Inc

EIN: 51-0264232

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES ACM	2,586	2,586		
INVESTMENT MANAGEMENT FEES WTC	5,160	5,160		

TY 2015 Taxes Schedule

Name: Frank and Yetta Chaiken Foundation Inc

EIN: 51-0264232

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	61	61		
FRANCHISE FEE/TAX	401	25		

Closed-Out Trades*

For Reporting Period: 07/01/2015 - 06/30/2016

For Portfolio: 0037 - Chaiken, Frank and Yetta Foundation

Shown in. US Dollar

		Dates		Reference Numbers						Realized Gain/Loss			
Identifier	Security Name	Buy	Sell	Trans	COT	LOT	Shares/PAR	Proceeds	Cost	Short Term	%	Long Term	%
AGX	ARGAN INC	5/26/2015	6/8/2016	447465	225343	212865	100	3,979.82	3 552 26	0.00	0 00	427 56	12.04
AGX	ARGAN INC	11/18/2015	6/8/2016	447464	225342	216925	200	7,965 52	7,575 04	390 48	5 15	0 00	0.00
						AGX Total	300	11,945 34	11,127 30	390 48	3 51	427 56	3 84
AMAG	AMAG PHARMACEUTICALS, INC	5/5/2015	8/13/2015	427494	217447	212698	50	3,202 40	2,869.76	332.64	11 59	0 00	0 00
AMRI	ALBANY MOLECULAR RESEARCH, INC	7/22/2015	5/11/2016	446237	224865	214059	100	1,336 53	2,179 00	-842 47	-38 66	0.00	0.00
AMRI	ALBANY MOLECULAR RESEARCH, INC	8/20/2015	5/11/2016	446237	224866	214685	100	1,336 53	1,997 48	-660 95	-33.09	0 00	0 00
AMRI	ALBANY MOLECULAR RESEARCH, INC	8/21/2015	5/11/2016	446237	224867	214765	100	1,336 53	1 996.62	-660 09	-33 06	0 00	0.00
AMRI	ALBANY MOLECULAR RESEARCH, INC.	1/19/2016	5/11/2016	446237	224868	217822	100	1,336 53	1,618 69	-282 16	-17 43	0 00	0 00
AMRI	ALBANY MOLECULAR RESEARCH, INC	2/8/2016	5/11/2016	446237	224869	218148	100	1,336 53	1,510.80	-174 27	-11 53	0.00	0 00
						AMRI Total	500	6,682 65	9,302 59	-2,619 94	-28 16	0 00	0 00
BCPC	BALCHEM CORP	10/22/2002	8/13/2015	427495	217448	64309	50	3,045.05	223 95	0.00	0 00	2,821 10	1,259 70
BCPC	BALCHEM CORP	10/22/2002	9/1/2015	429023	218178	64309	50	2,886 15	223 95	0 00	0 00	2,662 20	1,188 74
						BCPC Total	100	5,931 20	447 90	0 00	0 00	5 483 30	1,224 22
BRO	BROWN & BROWN INC	1/11/2012	11/18/2015	435418	220571	192746	200	6,408 62	4,620 88	0 00	0 00	1,787 74	38.69
BRO	BROWN & BROWN INC	1/11/2012	11/18/2015	435418	220572	192745	100	3,204 31	2,277 00	0 00	0 00	927 31	40.73
						BRO Total	300	9,612 93	6,897 88	0 00	0 00	2,715 05	39 36
COO	COOPER COMPANIES INC	8/15/2012	11/17/2015	434988	220421	196750	40	5,812.78	3,263.59	0 00	0 00	2,549.19	78 11
COO	COOPER COMPANIES, INC	8/15/2012	11/18/2015	435420	220573	196750	40	5,778.19	3,263 59	0.00	0 00	2,514 60	77.05
COO	COOPER COMPANIES, INC	8/15/2012	8/11/2015	427207	217252	196750	20	3,460 33	1,631 80	0.00	0 00	1,828 53	112 0 6
						COO Total	100	15,051 30	8,158 98	0 00	0,00	6,892.32	84 48
CSGP	COSTAR GROUP INC	8/26/2003	2/8/2016	440929	223356	81562	50	7,590 97	1 482 25	0.00	0 00	6 108 72	412 1 2

* Realized gains/losses use "high cost first" tax lot treatment.

Closed-Out Trades*

For Reporting Period: 07/01/2015 - 06/30/2016

For Portfolio. 0037 - Chaiken, Frank and Yetta Foundation

Shown in: US Dollar

		Dates		Reference Numbers						Realized Gain/Loss			
Identifier	Security Name	Buy	Sell	Trans	COT	LOT	Shares/PAR	Proceeds	Cost	Short Term	%	Long Term	%
DTSI	DIGITAL THEATER SYSTEMS INC.	10/9/2014	11/18/2015	435421	220575	207985	200	5,056 97	5.189 38	0 00	0 00	-132 41	-2.55
DTSI	DIGITAL THEATER SYSTEMS INC	12/15/2014	11/18/2015	435421	220574	209997	100	2 528 48	3 090.71	-562 23	-18.19	0 00	0.00
						DTSI Total	300	7,585 45	8 280.09	-562 23	-6.79	-132 41	-1.60
EBIX	EBIX INC	6/12/2015	4/21/2016	445018	224469	213139	300 000030	4,808.99	3,379.47	1,429 52	42.30	0 00	0 00
EBIX	EBIX INC	6/12/2015	6/15/2016	447861	225450	213139	300 000030	4,755.94	3,379 47	0.00	0.00	1,376 47	40.73
						EBIX Total.	600 000060	9,564.93	6,758.94	1,429.52	21 15	1,376 47	20 37
HTGC	HERCULES TECHNOLOGY GROWTH CAPITAL INC	4/17/2013	8/24/2015	428236	217746	199547	500	5,516 84	5,893 75	0.00	0 00	-376.91	-6 40
HTGC	HERCULES TECHNOLOGY GROWTH CAPITAL INC	4/17/2013	8/25/2015	428398	217838	199547	500	5,485 99	5,893 75	0.00	0 00	-407.76	-6 92
HTGC	HERCULES TECHNOLOGY GROWTH CAPITAL INC	6/5/2013	8/24/2015	428236	217745	201057	200	2,206 74	2,739 78	0 00	0 00	-533 04	-19 46
						HTGC Total	1,200	13,209 57	14 527 28	0 00	0 00	-1,317 71	-9 07
MKTX	MARKETAXESS HOLDINGS INC	2/3/2011	4/5/2016	444221	224149	186717	50	6,236 66	1,049 96	0 00	0 00	5,186 70	493.9 9
NEWP	NEWPORT CORPORATION	8/19/2014	11/18/2015	435424	220576	206819	200	3,301.90	3,721 36	0.00	0 00	-419.46	-11 27
NEWP	NEWPORT CORPORATION	8/19/2014	9/2/2015	429274	218283	206819	100	1,482 42	1,860 68	0 00	0 00	-378 26	-20.33
NEWP	NEWPORT CORPORATION	9/12/2014	11/18/2015	435424	220578	207195	100	1,650 95	1,804.75	0.00	0 00	-153.80	-8 52
NEWP	NEWPORT CORPORATION	9/30/2014	11/18/2015	435424	220579	207668	100	1,650 95	1,780 74	0 00	0 00	-129 79	-7.29
NEWP	NEWPORT CORPORATION	10/28/2014	11/18/2015	435424	220577	208274	100	1,650 95	1,848 98	0.00	0 00	-198 03	-10 71
						NEWP Total	600	9 737 16	11 016.51	0 00	0.00	-1 279 35	-11 61
NTCT	NETSCOUT SYSTEMS, INC	7/14/2014	11/18/2015	435425	220580	206124	100	3 237 34	4 352.28	0 00	0.00	-1 114 94	-25 62
NTCT	NETSCOUT SYSTEMS, INC	7/15/2014	11/18/2015	435425	220581	206266	13	420 85	563.16	0.00	0.00	-142 31	-25 27
NTCT	NETSCOUT SYSTEMS, INC	7/15/2014	11/18/2015	435426	220582	206266	48	1 551 52	2 079.35	0.00	0.00	-527 83	-25 38

* Realized gains/losses use "high cost first" tax lot treatment

As of: 8/8/2016 1 30 44 PM

Closed-Out Trades*

For Reporting Period: 07/01/2015 - 06/30/2016

For Portfolio: 0037 - Chaiken, Frank and Yetta Foundation

Shown in: US Dollar

		Dates		Reference Numbers						Realized Gain/Loss			
Identifier	Security Name	Buy	Sell	Trans	COT	LOT	Shares/PAR	Proceeds	Cost	Short Term	%	Long Term	%
NTCT	NETSCOUT SYSTEMS, INC	7/15/2014	11/18/2015	435427	220583	206266	39	1,266 35	1,689 47	0.00	0 00	-423.12	-25 04
NTCT	NETSCOUT SYSTEMS, INC.	10/27/2014	11/13/2015	434869	220343	208234	100	3,324.85	3,524.13	0.00	0 00	-199 29	-5 65
NTCT	NETSCOUT SYSTEMS, INC	10/28/2014	11/13/2015	434869	220344	208275	100	3,324 85	3,621.32	0.00	0 00	-296.48	-8 19
						NTCT Total	400	13,125 75	15,829 71	0 00	0 00	-2,703 96	-17 08
SPPI	SPECTRUM PHARMACEUTICALS INC	11/7/2014	10/23/2015	432390	219634	208773	500	2,593 75	3,667 65	-1,073 90	-29 28	0.00	0.00
SPPI	SPECTRUM PHARMACEUTICALS INC	11/7/2014	10/23/2015	432260	219356	208773	1,000	5,175 50	7,335 30	-2,159 80	-29 44	0.00	0 00
						SPPI Total	1,500	7,769 25	11,002 95	-3,233 70	-29.39	0.00	0.00
SWKS	SKYWORKS SOLUTIONS INC	1/20/2012	8/4/2015	426612	217035	193149	60	5,319 49	1,271 95	0 00	0.00	4,047 54	318 2 2
SWKS	SKYWORKS SOLUTIONS INC	1/20/2012	8/5/2015	426748	217086	193149	40	3,568.98	847 96	0.00	0 00	2,721.02	320 8 9
						SWKS Total	100	8,888 47	2 119 91	0 00	0 00	6,768 56	319.2 9
VRNT	VERINT SYSTEMS INC.	11/21/2014	9/3/2015	429570	218467	209167	100	4,790.79	5,897 00	-1,106 21	-18 76	0 00	0 00
						0037 Total in US Dollar.		**140,924.82**	**116,769.01**	**-5,369.44**	**-4.60**	**29,525.25**	**25.29**

* Realized gains/losses use "high cost first" tax lot treatment