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Form **990-PF**Department of the Treasury  
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052

**2015**

Open to Public Inspection

For calendar year 2015 or tax year beginning

07/01, 2015, and ending

06/30, 2016

Name of foundation <b>HARVEY W. PETERS RESEARCH FOUNDATION</b>		A Employer identification number <b>46-0459671</b>
Number and street (or P O box number if mail is not delivered to street address) <b>C/O FIRST PREMIER BANK TRUST DEPARTMENT</b> <b>6010 S. MINNESOTA</b>	Room/suite	B Telephone number (see instructions)  <b>(540) 774-8800</b>
City or town, state or province, country, and ZIP or foreign postal code  <b>SIOUX FALLS, SD 57108-2714</b>		C If exemption application is pending, check here. . . . . <input type="checkbox"/>
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input checked="" type="checkbox"/> Address change		D 1 Foreign organizations, check here. . . . . <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation . . . . . <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here. . . . . <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ <b>62,632,904.</b>		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here. . . . . <input type="checkbox"/>
J Accounting method <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)	235,000.	ATCH 1		
2	Check <input type="checkbox"/> if the foundation is not required to attach Sch B.				
3	Interest on savings and temporary cash investments.	682.	682.		ATCH 2
4	Dividends and interest from securities . . . .	78,884.	78,884.		ATCH 3
5a	Gross rents . . . . .	3,940,954.	3,940,954.		
b	Net rental income or (loss) 229,548.				
6a	Net gain or (loss) from sale of assets not on line 10	200,043.			
b	Gross sales price for all assets on line 6a 1,644,735.				
7	Capital gain net income (from Part IV, line 2) .		200,043.		
8	Net short-term capital gain. . . . .				
9	Income modifications . . . . .				
10a	Gross sales less returns and allowances . . . . .				
b	Less Cost of goods sold . . . . .				
c	Gross profit or (loss) (attach schedule) . . . .				
11	Other income (attach schedule) ATCH 4 . . . .	-38,779.	-40,649.		
12	Total. Add lines 1 through 11 . . . . .	4,416,784.	4,179,914.		
13	Compensation of officers, directors, trustees, etc. .	0.			
14	Other employee salaries and wages . . . . .				
15	Pension plans, employee benefits . . . . .				
16a	Legal fees (attach schedule) . . . . .				
b	Accounting fees (attach schedule) ATCH 5 . . . .	109,110.	109,110.		
c	Other professional fees (attach schedule) [6] . .	156,425.	156,425.		
17	Interest. ATCH 7. . . . .	1,425,451.	1,425,451.		
18	Taxes (attach schedule) (see instructions) [8]. .	7,077.	1,777.		
19	Depreciation (attach schedule) and depletion .	1,550,074.	1,550,074.		
20	Occupancy . . . . .	332,092.	332,092.		
21	Travel, conferences, and meetings . . . . .				
22	Printing and publications . . . . .				
23	Other expenses (attach schedule) ATCH 9. . . .	320,014.	316,690.		
24	Total operating and administrative expenses. .				
	Add lines 13 through 23. . . . .	3,900,243.	3,891,619.		
25	Contributions, gifts, grants paid . . . . .	377,000.			377,000.
26	Total expenses and disbursements Add lines 24 and 25	4,277,243.	3,891,619.	0.	377,000.
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements . .	139,541.			
b	Net investment income (if negative, enter -0-) . .		288,295.		
c	Adjusted net income (if negative, enter -0-) . .				

JSA For Paperwork Reduction Act Notice, see instructions.

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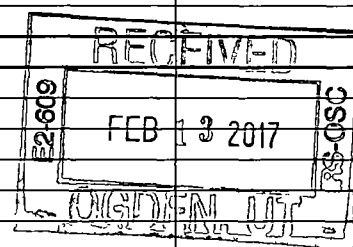
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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing . . . . .				
	2	Savings and temporary cash investments . . . . .	3,228,184.	2,948,291.	2,948,291.	
	3	Accounts receivable ▶ . . . . .				
		Less allowance for doubtful accounts ▶ . . . . .				
	4	Pledges receivable ▶ . . . . .				
		Less allowance for doubtful accounts ▶ . . . . .				
	5	Grants receivable . . . . .				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .				
	7	Other notes and loans receivable (attach schedule) ▶ . . . . .				
		Less allowance for doubtful accounts ▶ . . . . .				
	8	Inventories for sale or use . . . . .				
	9	Prepaid expenses and deferred charges . . . . .				
	10a	Investments - U S and state government obligations (attach schedule) . . . . .				
	b	Investments - corporate stock (attach schedule) ATCH 10 . . . . .	2,111,434.	1,641,264.	1,641,264.	
	c	Investments - corporate bonds (attach schedule) . . . . .				
	11	Investments - land, buildings, and equipment basis ▶ . . . . .				
	Less accumulated depreciation (attach schedule) ▶ . . . . .					
12	Investments - mortgage loans . . . . .					
13	Investments - other (attach schedule) . . . . . ATCH 11 . . . . .	1,425,464.	1,645,483.	1,645,483.		
14	Land, buildings, and equipment basis ▶ . . . . . 52,076,310.					
	Less accumulated depreciation (attach schedule) ▶ . . . . . 5,072,982.	45,116,432.	47,003,328.	47,003,328.		
15	Other assets (describe ▶ . . . . . ATCH 12 ) . . . . .	10,037,550.	9,394,538.	9,394,538.		
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I) . . . . .	61,919,064.	62,632,904.	62,632,904.		
Liabilities	17	Accounts payable and accrued expenses . . . . .	1,803,682.	448,773.		
	18	Grants payable . . . . .				
	19	Deferred revenue . . . . .				
	20	Loans from officers, directors, trustees, and other disqualified persons . . . . .				
	21	Mortgages and other notes payable (attach schedule) . . . . .	31,374,194.	29,118,949.		
	22	Other liabilities (describe ▶ . . . . . ATCH 13 ) . . . . .	22,972,752.	27,814,082.		
23	Total liabilities (add lines 17 through 22) . . . . .	56,150,628.	57,381,804.			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> X and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted . . . . .	5,768,436.	5,251,100.		
	25	Temporarily restricted . . . . .				
	26	Permanently restricted . . . . .				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input type="checkbox"/>					
	27	Capital stock, trust principal, or current funds . . . . .				
	28	Paid-in or capital surplus, or land, bldg, and equipment fund . . . . .				
	29	Retained earnings, accumulated income, endowment, or other funds . . . . .				
	30	Total net assets or fund balances (see instructions) . . . . .	5,768,436.	5,251,100.		
	31	Total liabilities and net assets/fund balances (see instructions) . . . . .	61,919,064.	62,632,904.		

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	5,768,436.
2	Enter amount from Part I, line 27a . . . . .	2	139,541.
3	Other increases not included in line 2 (itemize) ▶ ATCH 14 . . . . .	3	122,392.
4	Add lines 1, 2, and 3 . . . . .	4	6,030,369.
5	Decreases not included in line 2 (itemize) ▶ ATCH 15 . . . . .	5	779,269.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 . . . . .	6	5,251,100.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b> SEE PART IV SCHEDULE					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
<b>2</b> Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			<b>2</b>	200,043.	
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8			<b>3</b>	0.	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	2,000.	6,274,293.	0.000319
2013	268,552.	5,497,297.	0.048852
2012	145,000.	4,740,578.	0.030587
2011		4,545,991.	
2010	62,486.	4,650,637.	0.013436
<b>2</b> Total of line 1, column (d)		<b>2</b>	0.093194
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years		<b>3</b>	0.018639
<b>4</b> Enter the net value of noncharitable-use assets for 2015 from Part X, line 5		<b>4</b>	6,220,487.
<b>5</b> Multiply line 4 by line 3		<b>5</b>	115,944.
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)		<b>6</b>	2,883.
<b>7</b> Add lines 5 and 6		<b>7</b>	118,827.
<b>8</b> Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions		<b>8</b>	377,000.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 . . . . . Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		1	2,883.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . . . .		2	
3 Add lines 1 and 2 . . . . .		3	2,883.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . . . .		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- . . . . .		5	2,883.
6 Credits/Payments			
a 2015 estimated tax payments and 2014 overpayment credited to 2015 . . . . .	6a	6,387.	
b Exempt foreign organizations - tax withheld at source . . . . .	6b		
c Tax paid with application for extension of time to file (Form 8868) . . . . .	6c		
d Backup withholding erroneously withheld . . . . .	6d		
7 Total credits and payments. Add lines 6a through 6d . . . . .	7	6,387.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached . . . . .	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . . .	10	3,504.	
11 Enter the amount of line 10 to be Credited to 2016 estimated tax <input type="checkbox"/> 3,504. Refunded <input type="checkbox"/> <b>11</b>	11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? . . . . . If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year? . . . . .		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .		X
b If "Yes," has it filed a tax return on Form 990-T for this year? . . . . .		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> SC, SD, _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation . . . . .	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV . . . . .		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses . . . . .		X

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**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11	Yes	No
	ATCH. 16		X	
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address **►** N/A

14 The books are in care of **►** THE FOUNDATION C/O FPB Telephone no **►** 605 335-1786

Located at **►** SIOUX FALLS, SD ZIP+4 **►** 57101

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year **►** 15

16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	Yes	No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country <b>►</b>		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here <b>►</b> <input type="checkbox"/>			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	If "Yes," list the years <b>►</b>			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <b>►</b>			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ **5b**

Organizations relying on a current notice regarding disaster assistance check here ☐

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ **6b** X

If "Yes" to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ **7b**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 17		0.	0.	0.

**2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

**Total number of other employees paid over \$50,000.** ☐

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**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)****3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ATCH 18		107,964.
<b>Total number of others receiving over \$50,000 for professional services . . . . .</b> ▶		

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	N/A	
2		
3		
4		

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1	NONE	
2		
All other program-related investments See instructions		
3	NONE	
<b>Total. Add lines 1 through 3 . . . . .</b> ▶		

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	4,199,699.
b	Average of monthly cash balances	1b	2,115,516.
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	6,315,215.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	6,315,215.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	94,728.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	6,220,487.
6	Minimum investment return. Enter 5% of line 5	6	311,024.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	311,024.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	2,883.
b	Income tax for 2015 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	2,883.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	308,141.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	308,141.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	308,141.

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	377,000.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	377,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	2,883.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	374,117.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Form 990-PF (2015)

Page **9****Part XIII Undistributed Income (see instructions)**

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
<b>1</b> Distributable amount for 2015 from Part XI, line 7 . . . . .				308,141.
<b>2</b> Undistributed income, if any, as of the end of 2015				
<b>a</b> Enter amount for 2014 only. . . . .			306,836.	
<b>b</b> Total for prior years 20 13 , 20 12 , 20 11 . . . . .				
<b>3</b> Excess distributions carryover, if any, to 2015				
<b>a</b> From 2010 . . . . .				
<b>b</b> From 2011 . . . . .				
<b>c</b> From 2012 . . . . .				
<b>d</b> From 2013 . . . . .				
<b>e</b> From 2014 . . . . .				
<b>f</b> Total of lines 3a through e . . . . .	0.			
<b>4</b> Qualifying distributions for 2015 from Part XII, line 4 ▶ \$ 377,000.				
<b>a</b> Applied to 2014, but not more than line 2a . . . . .			306,836.	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions). . . . .				
<b>c</b> Treated as distributions out of corpus (Election required - see instructions) . . . . .				
<b>d</b> Applied to 2015 distributable amount. . . . .				70,164.
<b>e</b> Remaining amount distributed out of corpus. . . . .				
<b>5</b> Excess distributions carryover applied to 2015 . (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5				
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b. . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
<b>d</b> Subtract line 6c from line 6b Taxable amount - see instructions . . . . .				
<b>e</b> Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount - see instructions . . . . .				
<b>f</b> Undistributed income for 2015 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2016. . . . .				237,977.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . .				
<b>8</b> Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions) . . . . .				
<b>9</b> Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a . . . . .	0.			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2011 . . . . .				
<b>b</b> Excess from 2012 . . . . .				
<b>c</b> Excess from 2013 . . . . .				
<b>d</b> Excess from 2014 . . . . .				
<b>e</b> Excess from 2015 . . . . .				

Form **990-PF** (2015)

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling . . . . .

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
b 85% of line 2a . . . . .					
c Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
d Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets . . . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .					
(3) Largest amount of support from an exempt organization . . . . .					
(4) Gross investment income . . . . .					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

ATCH 19

b The form in which applications should be submitted and information and materials they should include

THE SUBMISSIONS MUST BE SUBMITTED IN WRITTEN FORM BY MAIL OR EMAIL.

c Any submission deadlines

THERE IS NO SET DEADLINE FOR SUBMISSIONS.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

THERE ARE NO RESTRICTIONS OR LIMITATIONS ON AWARDS.

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
ATCH 20				
<b>Total</b> .....			<b>3a</b>	377,000.
<b>b Approved for future payment</b>				
<b>Total</b> .....			<b>3b</b>	

**Part XVI-A Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated

	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1</b> Program service revenue					
<b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>f</b> _____					
<b>g</b> Fees and contracts from government agencies					
<b>2</b> Membership dues and assessments . . . . .					
<b>3</b> Interest on savings and temporary cash investments . . . . .			14	682.	
<b>4</b> Dividends and interest from securities . . . . .			20	78,884.	
<b>5</b> Net rental income or (loss) from real estate					
<b>a</b> Debt-financed property . . . . .					3,940,954.
<b>b</b> Not debt-financed property . . . . .					
<b>6</b> Net rental income or (loss) from personal property. . . . .					
<b>7</b> Other investment income . . . . .					
<b>8</b> Gain or (loss) from sales of assets other than inventory			18	200,043.	
<b>9</b> Net income or (loss) from special events . . . . .					
<b>10</b> Gross profit or (loss) from sales of inventory . . . . .					
<b>11</b> Other revenue <b>a</b> _____					
<b>b</b> ATCH 21					-1,980.
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>12</b> Subtotal. Add columns (b), (d), and (e) . . . . .				279,609.	3,938,974.
<b>13</b> Total. Add line 12, columns (b), (d), and (e) . . . . . <b>13</b>					4,218,583.

(See worksheet in line 13 instructions to verify calculations)

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
5A	<p>THE HARVEY W. PETERS RESEARCH FOUNDATION (THE FOUNDATION) IS ORGANIZED EXCLUSIVELY FOR CHARITABLE, EDUCATIONAL AND SCIENTIFIC PURPOSES WITHIN THE MEANING OF SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE OF 1986, AS AMENDED OR ANY CORRESPONDING SECTION OF ANY FUTURE FEDERAL TAX CODE. THE FOUNDATION'S PURPOSE IS TO SUPPORT EDWARD VIA VIRGINIA COLLEGE OF OSTEOPATHIC MEDICINE, A VIRGINIA NONSTOCK CORPORATION, AND ITS PROGRAMS, CONSISTING OF PROVIDING PROGRAMS OF INSTRUCTION AND TRAINING IN THE ART, SCIENCE AND PRACTICE OF OSTEOPATHIC MEDICINE, AND IN THE CONDUCT OF MEDICAL AND SCIENTIFIC RESEARCH.</p> <p>THE LEASE OF PROPERTY TO THE COLLEGE IS IN FURTHERANCE OF THE FOUNDATION'S EXEMPT MISSION. THROUGH A SINGLE MEMBER LIMITED LIABILITY COMPANY, THE FOUNDATION ACQUIRED A PARCEL OF LAND IN SPARTANBURG, SC AND CONSTRUCTED BUILDINGS TO HOUSE THE SC CAMPUS OF THE EDWARD VIA VA COLLEGE OF OSTEOPATHIC MEDICINE AND TO ACQUIRE CERTAIN OTHER PROPERTY NECESSARY FOR THE OPERATION OF THE CAMPUS. FOLLOWING COMPLETION OF CONSTRUCTION, THE FOUNDATION BEGAN LEASING THE PROPERTY TO THE COLLEGE FOR IT TO OPERATE.</p>

**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

- | 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?   |              | Yes | No |
|---|--------------|-----|----|
| <b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of  |              |     |    |
| (1) Cash . . . . .  | <b>1a(1)</b> |     | X  |
| (2) Other assets . . . . .  | <b>1a(2)</b> |     | X  |
| <b>b</b> Other transactions   |              |     |    |
| (1) Sales of assets to a noncharitable exempt organization . . . . .  | <b>1b(1)</b> |     | X  |
| (2) Purchases of assets from a noncharitable exempt organization . . . . .  | <b>1b(2)</b> |     | X  |
| (3) Rental of facilities, equipment, or other assets . . . . .  | <b>1b(3)</b> |     | X  |
| (4) Reimbursement arrangements . . . . .  | <b>1b(4)</b> |     | X  |
| (5) Loans or loan guarantees . . . . .  | <b>1b(5)</b> | X   |    |
| (6) Performance of services or membership or fundraising solicitations . . . . .  | <b>1b(6)</b> |     | X  |
| <b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees . . . . .   | <b>1c</b>    |     | X  |
| <b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |              |     |    |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☒ Yes ☐ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
HARVEY W. PETERS	501 (C) (2)	THE REPORTING ENTITY IS THE
(VIRGINIA) HOLDING		SOLE MEMBER OF THE HARVEY W.
CORPORATION		PETERS (VIRGINIA) HOLDING
		CORPORATION

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

**Sign  
Here**

Signature of officer or trustee

Date \_\_\_\_\_

**Title**

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid  
Preparer  
Use Only**

Print/Type preparer's name	
----------------------------	--

TIFFANY A MYERS

Preparer's signature

J. Frey & Myers

Date

02/02/2017

Check ☐ self-employed

PTIN

P01246738

Firm's name ► KPMG LLP

Firm's EIN ▶ 13-5565207

Firm's address ► 1021 EAST CARY STREET, SUITE 2000  
RICHMOND, VA

23219-4023

Phone no 804-782-4200

**FORM 990-PF - PART IV****CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					499.	
		VIA GROUP S/T				P	VAR	VAR
58,808.		PROPERTY TYPE: SECURITIES					58,808.	
		ATTACHMENT A - L/T				P	VAR	VAR
1,023,645.		PROPERTY TYPE: SECURITIES					142,784.	
		880,861.						
		ATTACHMENT B - S/T				P	VAR	VAR
561,783.		PROPERTY TYPE: SECURITIES					-2,048.	
		563,831.						
TOTAL GAIN(LOSS) .....							<u>200,043.</u>	

**Schedule of Contributors**

OMB No 1545-0047

**2015**

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

**Name of the organization**

HARVEY W. PETERS RESEARCH FOUNDATION

**Employer identification number**

46-0459671

**Organization type (check one)**

**Filers of:**

**Section:**

Form 990 or 990-EZ

☐

501(c)( ) (enter number) organization

☐

4947(a)(1) nonexempt charitable trust not treated as a private foundation

☐

527 political organization

Form 990-PF

☒

501(c)(3) exempt private foundation

☐

4947(a)(1) nonexempt charitable trust treated as a private foundation

☐

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

☒

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

☐

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. . . . . ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization HARVEY W. PETERS RESEARCH FOUNDATION

Employer identification number  
46-0459671**Part I** Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	EDWARD BECHER VIA 6541 TALLWOOD DRIVE ROANOKE, VA 24018	\$ 235,000.	Person <input checked="checked" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization HARVEY W. PETERS RESEARCH FOUNDATION

Employer identification number

46-0459671

**Part II** Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization HARVEY W. PETERS RESEARCH FOUNDATION

Employer identification number

46-0459671

**Part III** Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ \_\_\_\_\_

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

HARVEY W PETERS RESEARCH FOUNDATION

46-0459671

FORM 990PF, PART I - CONTRIBUTIONS, GIFTS AND GRANTS RECEIVED

ATTACHMENT 1

<u>NAME AND ADDRESS</u>	<u>DATE</u>	<u>DIRECT PUBLIC SUPPORT</u>
EDWARD BECHER VIA 6541 TALLWOOD DRIVE ROANOKE, VA 24018	VAR	235,000
TOTAL CONTRIBUTION AMOUNTS		<u>235,000</u>

63425C 2186

V 15-7.15

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ATTACHMENT 1  
PAGE 19

HARVEY W PETERS RESEARCH FOUNDATION

46-0459671

ATTACHMENT 2

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
INTEREST - GOLDMAN SACHS	682.	682.
TOTAL	<u>682.</u>	<u>682.</u>

HARVEY W PETERS RESEARCH FOUNDATION

46-0459671

ATTACHMENT 3

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
DIVIDENDS - MONEY MARKET	39.	39.
DIVIDENDS - BMO	50,065.	50,065.
DOM DIVIDENDS - VIA GROUP FIDUCIARY MGMT	28,780.	28,780.
TOTAL	<u>78,884.</u>	<u>78,884.</u>

HARVEY W PETERS RESEARCH FOUNDATION

46-0459671

ATTACHMENT 4

FORM 990PF, PART I - OTHER INCOME

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
AXON - ORDINARY LOSS	-40,662.	-40,649.
MISCELLANEOUS INCOME	1,883.	
TOTALS	<u>-38,779.</u>	<u>-40,649.</u>

HARVEY W PETERS RESEARCH FOUNDATION

46-0459671

ATTACHMENT 5

FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
OTHER ACCOUNTING FEES	109,110.	109,110.		
TOTALS	<u>109,110.</u>	<u>109,110.</u>		

HARVEY W PETERS RESEARCH FOUNDATION

46-0459671

ATTACHMENT 6

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
PROFESSIONAL FEES S. DONAHUE	156,425.	156,425.
TOTALS	<u>156,425.</u>	<u>156,425.</u>

HARVEY W. PETERS RESEARCH FOUNDATION

46-0459671

ATTACHMENT 7

FORM 990PF, PART I - INTEREST EXPENSE

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
VCOM INTEREST EXPENSE	596,396.	596,396.
SOUTH DONAHUE INTEREST EXPENSE	829,055.	829,055.
TOTALS	<u>1,425,451.</u>	<u>1,425,451.</u>

HARVEY W PETERS RESEARCH FOUNDATION

46-0459671

ATTACHMENT 8

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
FOREIGN TAX	1,775.	1,775.
FOREIGN CONVERSION EXPENSE	2.	2.
FEDERAL EXCISE TAX	5,300.	
TOTALS	<u>7,077.</u>	<u>1,777.</u>

ATTACHMENT 9FORM 990PF, PART I - OTHER EXPENSES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
INVESTMENT MANAGEMENT FEES	54,201.	54,201.
UTILITIES/RENT EXPENSE	112.	112.
CUSTODIAN FEES	15,013.	15,013.
AMORTIZATION EXPENSE	137,842.	137,842.
OTHER EXPENSES	112,846.	109,522.
TOTALS	<u>320,014.</u>	<u>316,690.</u>

HARVEY W PETERS RESEARCH FOUNDATION

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ATTACHMENT 10

FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
COMMON AND OTHER STOCKS	2,111,434.	1,641,264.	1,641,264.
TOTALS	<u>2,111,434.</u>	<u>1,641,264.</u>	<u>1,641,264.</u>

ATTACHMENT 11FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
INV - VIA GROUP FIDUCIARY MGMT	2,875,361.	2,836,145.	2,836,145.
INV - AXON CONNECTED LLC	100,000.	59,338.	59,338.
INV IN SUBSIDIARIES	-1,549,897.	-1,250,000.	-1,250,000.
TOTALS	<u>1,425,464.</u>	<u>1,645,483.</u>	<u>1,645,483.</u>

ATTACHMENT 12FORM 990PF, PART II - OTHER ASSETS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
DUE FROM HARVEY W. PETERS HOLD	567,403.	567,403.	567,403.
DIVIDENDS & INTEREST RECEIVABL	12,230.	838.	838.
CAPITAL LEASE RECEIVABLE	2,209,239.	2,138,029.	2,138,029.
CURRENT CAPITAL LEASE RECEIVAB	699,949.	583,216.	583,216.
DUE FROM VE HOLDINGS	325,000.	325,000.	325,000.
DEFERRED FINANCING COSTS	426,963.	377,728.	377,728.
DUE FROM COLLEGE OF ENGINEERIN	4,867,000.	4,867,000.	4,867,000.
PREPAID EXPENSES AND OTHER	929,766.	535,324.	535,324.
TOTALS	<u>10,037,550.</u>	<u>9,394,538.</u>	<u>9,394,538.</u>

ATTACHMENT 13FORM 990PF, PART II - OTHER LIABILITIES

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>
DUE TO HARVEY W. PETERS (VA) HOLDING CORPORATION	3,284,818.	3,305,371.
UNEARNED RENTAL REVENUE	4,974,729.	6,604,127.
UNEARNED CAPITAL LEASE INCOME	434,283.	
CAPITAL LEASE OBLIGATION - SOUTH DONAHUE PROPERTIES	13,548,243.	16,862,000.
DERIVATIVE INSTRUMENT	608,283.	1,042,584.
MISCELLANEOUS LIABILITIES	122,396.	
TOTALS	<u>22,972,752.</u>	<u>27,814,082.</u>

ATTACHMENT 14FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCESDESCRIPTIONAMOUNT

OTHER RECONCILING ITEM

122,392.

TOTAL

122,392.

ATTACHMENT 15FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCESDESCRIPTIONAMOUNT

UNREALIZED LOSS

779,269.

TOTAL

779,269.

ATTACHMENT 16FORM 990PF, PART VII-A, LINE 11A-TRANSFERS TO CONTROLLED ENTITY STATEMENT

TOTAL AMOUNT

377,000.

CONTROLLED ENTITY'S NAME: EDWARD VIA VIRGINIA COLLEGE OF OSTEOPATHIC MEDICINE  
CONTROLLED ENTITY'S ADDRESS: 2265 KRAFT DRIVE  
CITY, STATE & ZIP: BLACKSBURG, VA 24060  
EIN: 54-2052107  
TRANSFER AMOUNT: 377,000.

EXPLANATION OF TRANSFER TO CONTROLLED ENTITY:  
PER IRC SECTION 4942(G)(3)(A) QUALIFYING CONTRIBUTIONS TOTALING \$377,000  
WERE PROVIDED TO EDWARD VIA VIRGINIA COLLEGE OF OSTEOPATHIC  
MEDICINE DURING THE FISCAL YEAR ENDED JUNE 30, 2016.

HARVEY W. PETERS RESEARCH FOUNDATION

46-0459671

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 17

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>
J. TRACY O'ROURKE 145 FAWN LANE PORTOLA VALLEY, CA 94026	DIRECTOR 1 00
DAVID G HOTTMANN FIRST PREMIER BANK TRUST DEPARTMENT 6010 S MINNESOTA SIOUX FALLS, SD 57108-2714	DIRECTOR 1 00
FREDERICK P. STRATTON, JR 9608 N JUNIPER CIRCLE MEQUON, WI 50392	DIRECTOR 1 00
JOHN G ROCOVICH 5264 FALCON RIDGE ROAD ROANOKE, VA 24018	DIRECTOR/PRESIDENT 1 00

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALSATTACHMENT 18

<u>NAME AND ADDRESS</u>	<u>TYPE OF SERVICE</u>	<u>COMPENSATION</u>
KPMG LLP 1021 EAST CARY STREET, SUITE 2000 RICHMOND, VA 23219	AUDIT & TAX SERVICES	107,964.
TOTAL COMPENSATION		<u>107,964.</u>

ATTACHMENT 19

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

JOHN G. ROCOVICH, JR.  
C/O MOSS & ROCOVICH, P.C.  
4415 ELECTRIC RD.  
ROANOKE, VA 24108

540-774-8800

HARVEY W PETERS RESEARCH FOUNDATION

46-0459671

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 20

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
EDWARD VIA VA COLLEGE OF OSTEOPATHIC MEDICINE 2265 KRAPPT DRIVE BLACKSBURG, VA 24060	PC	FINANCIAL ASSISTANCE	377,000

TOTAL CONTRIBUTIONS PAID 377,000

HARVEY W PETERS RESEARCH FOUNDATION

46-0459671

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 21

<u>DESCRIPTION</u>	<u>BUSINESS CODE</u>	<u>AMOUNT</u>	<u>EXCLUSION CODE</u>	<u>AMOUNT</u>	<u>RELATED OR EXEMPT FUNCTION INCOME</u>
OTHER EXEMPT FUNCTION INCOME					-1,980
TOTALS					<u>-1,980.</u>

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ATTACHMENT 21  
PAGE 41

## FORM 990PF, PART II – MORTGAGES AND OTHER NOTES PAYABLE

LENDER: TD Bank  
ORIGINAL AMOUNT: 10,000,000  
INTEREST RATE: 3.75 %  
DATE OF NOTE: 12/31/2010  
MATURITY DATE: 12/31/2027  
REPAYMENT TERMS: Monthly interest payments of \$31,250 through 12/31/2017;  
monthly principal payments, plus accrued interest, due  
beginning 01/01/2018  
SECURITY PROVIDED: Certain assets of VCOM Enterprises; guaranteed unconditionally  
by the Harvey W. Peters Research Foundation and the Via-  
Bradley College of Engineering Foundation, a related party  
PURPOSE OF LOAN: Finance construction of campus in South Carolina  
DESCRIPTION AND FMV  
OF CONSIDERATION: Cash - \$10,000,000

BEGINNING BALANCE DUE ..... 10,000,000  
ENDING BALANCE DUE ..... 10,000,000

LENDER: TD Bank  
ORIGINAL AMOUNT: 3,000,000  
INTEREST RATE: 2.75%  
DATE OF NOTE: 10/24/2011  
MATURITY DATE: 12/31/2050  
REPAYMENT TERMS: Monthly interest payments of \$6,875 through 12/31/2017;  
monthly principal payments, plus accrued interest, due  
beginning  
SECURITY PROVIDED: 01/01/2018  
Certain assets of VCOM Enterprises; guaranteed  
unconditionally by the Harvey W. Peters Research Foundation  
and the Via-Bradley College of Engineering Foundation, a  
PURPOSE OF LOAN: related party Finance construction of campus in South Carolina  
DESCRIPTION AND FMV  
OF CONSIDERATION: Cash - \$3,000,000

BEGINNING BALANCE DUE ..... 3,000,000  
ENDING BALANCE DUE ..... 3,000,000

HARVEY W. PETERS RESEARCH FOUNDATION

46-0459671

FORM 990PF, PART II – MORTGAGES AND OTHER NOTES PAYABLE

LENDER: TD Bank  
 ORIGINAL AMOUNT: 4,600,000  
 INTEREST RATE: 3.75%  
 DATE OF NOTE: 12/31/2010  
 MATURITY DATE: 12/31/2017  
 REPAYMENT TERMS: Monthly principal payments, plus accrued interest Certain assets  
 SECURITY PROVIDED: of VCOM Enterprises; guaranteed unconditionally by the  
 Harvey W. Peters Research Foundation and the Via-Bradley  
 College of Engineering Foundation, a related party Finance  
 PURPOSE OF LOAN: construction of campus in South Carolina  
 DESCRIPTION AND FMV  
 OF CONSIDERATION: Cash - \$3,450,000

BEGINNING BALANCE DUE ..... 1,916,667  
 ENDING BALANCE DUE ..... 1,150,000

LENDER: Valley Bank  
 ORIGINAL AMOUNT: 3,000,000  
 INTEREST RATE: 5.75 %  
 DATE OF NOTE: 10/24/2011  
 MATURITY DATE: 11/01/2018  
 REPAYMENT TERMS: Principal and interest payments are due monthly.  
 SECURITY PROVIDED: Property and equipment owned by VCOM Enterprises;  
 guaranteed unconditionally by the Harvey W. Peters Research  
 Foundation and the Via-Bradley College of Engineering  
 Foundation, a related party  
 PURPOSE OF LOAN: Finance fixed asset purchases for campus in South Carolina  
 DESCRIPTION AND FMV  
 OF CONSIDERATION: Cash - \$3,000,000

BEGINNING BALANCE DUE ..... 1,611,133  
 ENDING BALANCE DUE ..... 1,198,912

HARVEY W. PETERS RESEARCH FOUNDATION

46-0459671

FORM 990PF, PART II – MORTGAGES AND OTHER NOTES PAYABLE

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LENDER: Dakotas XIV, LLC  
ORIGINAL AMOUNT: 4,867,000  
INTEREST RATE: 1.00 %  
DATE OF NOTE: 12/23/2013  
MATURITY DATE: 12/23/2043  
REPAYMENT TERMS: Quarterly interest payments of \$12,168 through 12/31/2020;  
quarterly principal payments, plus accrued interest, due  
beginning 01/01/2021  
SECURITY PROVIDED: Guaranteed unconditionally by the Harvey W. Peters Research  
Foundation and the Via-Bradley College of Engineering  
Foundation, a related party  
PURPOSE OF LOAN: Finance construction of campus in Alabama  
DESCRIPTION AND FMV  
OF CONSIDERATION: Cash - \$4,867,000

BEGINNING BALANCE DUE ..... 4,867,000  
ENDING BALANCE DUE ..... 4,867,000

LENDER: Dakotas XIV, LLC  
ORIGINAL AMOUNT: 2,854,000  
INTEREST RATE: 1.00 %  
DATE OF NOTE: 12/23/2013  
MATURITY DATE: 12/23/2043  
REPAYMENT TERMS: Quarterly interest payments of \$7,135 through 12/31/2020;  
quarterly principal payments, plus accrued interest, due  
beginning 01/01/2021  
SECURITY PROVIDED: Guaranteed unconditionally by the Harvey W. Peters Research  
Foundation and the Via-Bradley College of Engineering  
Foundation, a related party  
PURPOSE OF LOAN: Finance construction of campus in Alabama  
DESCRIPTION AND FMV  
OF CONSIDERATION: Cash - \$2,854,000

BEGINNING BALANCE DUE ..... 2,854,000  
ENDING BALANCE DUE ..... 2,854,000

FORM 990PF, PART II – MORTGAGES AND OTHER NOTES PAYABLE  
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LENDER: Dakotas XIV, LLC  
ORIGINAL AMOUNT: 2,279,000  
INTEREST RATE: 1.00 %  
DATE OF NOTE: 12/23/2013  
MATURITY DATE: 12/23/2043  
REPAYMENT TERMS: Quarterly interest payments of \$5,698 through 12/31/2020;  
quarterly principal payments, plus accrued interest, due  
beginning 01/01/2021  
SECURITY PROVIDED: Guaranteed unconditionally by the Harvey W. Peters Foundation  
and the Via-Bradley College of Engineering Foundation, a  
related party  
PURPOSE OF LOAN: Finance construction of campus in Alabama  
DESCRIPTION AND FMV  
OF CONSIDERATION: Cash - \$ 2,279,000

BEGINNNING BALANCE DUE ..... 2,279,000  
ENDING BALANCE DUE ..... 2,279,000

LENDER: BMO Bank  
ORIGINAL AMOUNT: 15,000,000  
INTEREST RATE: 3.42%  
DATE OF NOTE: 3/3/2010  
MATURITY DATE: 3/2/2017  
REPAYMENT TERMS: Line of credit – none  
SECURITY PROVIDED: Investment assets held by the Marion Bradley Glass Trust and  
the Marion Bradley Glass Partition Trust  
PURPOSE OF LOAN: Fund various expenses of the Edward Via Virginia College of  
Osteopathic Medicine and the construction of the South Carolina  
and Auburn campuses  
DESCRIPTION AND FMV  
OF CONSIDERATION: Cash - \$15,000,000

BEGINNNING BALANCE DUE ..... 4,846,394  
ENDING BALANCE DUE ..... 4,846,394

HARVEY W PETERS RESEARCH FOUNDATION

Harvey W Peters Research Foundation  
EIN 46-0459671

DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
100 ABBOTT LABS COM	11/08/1993	08/28/2015	4,580.91	632.12			3,948.79
25 ABBOTT LABS COM	11/08/1993	10/30/2015	1,128.97	158.03			970.94
50 ABBOTT LABS COM	11/08/1993	04/18/2016	2,194.20	316.06			1,878.14
75 ABBVIE INC COM	11/08/1993	07/16/2015	5,252.90	514.11			4,738.79
50 ABBVIE INC COM	11/08/1993	08/28/2015	3,186.19	342.74			2,843.45
50 ABBVIE INC COM	11/08/1993	04/18/2016	2,998.43	342.74			2,655.69
5 AMAZON COM INC COM	09/03/2009	07/16/2015	2,362.65	391.75			1,970.90
5 AMAZON COM INC COM	09/03/2009	07/20/2015	2,465.80	391.75			2,074.05
5 AMAZON COM INC COM	09/03/2009	08/21/2015	2,534.75	391.75			2,143.00
5 AMAZON COM INC COM	09/03/2009	10/19/2015	2,887.19	391.75			2,495.44
5 AMAZON COM INC COM	09/03/2009	11/19/2015	3,339.18	391.75			2,947.43
50 AMERICAN EXPRESS CO COM	10/25/2007	07/16/2015	3,939.42	2,952.00			987.42
25 AMERICAN EXPRESS CO COM	10/25/2007	07/20/2015	1,979.21	1,476.00			503.21
25 AMERICAN EXPRESS CO COM	10/25/2007	10/19/2015	1,924.96	1,476.00			448.96
25 APPLE COMPUTER INC COM	10/15/2008	11/19/2015	2,973.19	370.97			2,602.22
25 CHEVRON TEXACO CORP COM	02/14/2008	07/20/2015	2,324.95	2,068.83			256.12
25 CHEVRON TEXACO CORP COM	02/14/2008	10/30/2015	2,274.20	2,068.83			205.37
25 CHEVRON TEXACO CORP COM	02/14/2008	03/29/2016	2,341.94	2,068.83			273.11
75 CISCO SYS INC COM	05/06/1997	10/19/2015	2,113.46	473.96			1,639.50
25 CISCO SYS INC COM	05/06/1997	10/30/2015	729.73	157.99			571.74
50 CISCO SYS INC COM	05/06/1997	03/29/2016	1,391.96	315.97			1,075.99
25 COSTCO WHOLESALE CORP COM	08/22/2008	07/20/2015	3,613.68	1,686.75			1,926.93
25 COSTCO WHOLESALE CORP COM	08/22/2008	10/30/2015	3,949.17	1,686.75			2,262.42
25 DANAHER CORP	01/21/2003	10/30/2015	2,324.20	394.88			1,929.32
25 DANAHER CORP	01/21/2003	06/09/2016	2,498.69	394.88			2,103.81
25 DEERE & CO COM	01/27/2011	07/20/2015	2,420.95	2,274.14			146.81
25 DISNEY WALT CO COM	08/22/2008	07/20/2015	2,981.19	805.50			2,175.69
25 DOMINION RESOURCES INC/VA COM	08/08/2002	10/19/2015	1,822.21	752.00			1,070.21
25 DOMINION RESOURCES INC/VA COM	08/08/2002	01/29/2016	1,798.96	752.00			1,046.96
25 DUKE ENERGY CORP NEW COM	01/21/2014	01/29/2016	1,874.96	1,703.86			171.10
125 E M C CORP MASS COM	11/04/2010	09/29/2015	2,879.94	2,703.75			176.19
125 E M C CORP MASS COM	11/04/2010	01/29/2016	3,063.69	2,703.75			359.94
25 EXPRESS SCRIPTS HLDG CO COM	09/19/2007	07/16/2015	2,283.95	1,371.45			912.50
<b>Totals</b>							

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HARVEY W PETERS RESEARCH FOUNDATION

Harvey W Peters Research Foundation  
EIN 46-0459671

DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
25 EXPRESS SCRIPTS HLDG CO COM	09/19/2007	08/28/2015	2,110 21	1,371 45			738 76
50 EXPRESS SCRIPTS HLDG CO COM	09/19/2007	09/29/2015	4,035 42	2,742 90			1,292 52
25 EXXON MOBIL CORP	02/04/2010	07/16/2015	2,071 96	1,632 25			439 71
25 EXXON MOBIL CORP	02/04/2010	07/20/2015	2,051 71	1,632 25			419 46
25 EXXON MOBIL CORP	02/04/2010	10/30/2015	2,058 71	1,632 25			426 46
25 EXXON MOBIL CORP	04/11/2001	03/29/2016	2,092 70	1,020 87			1,071 83
25 GENERAL DYNAMICS CORP COM	01/06/2009	10/30/2015	3,757 93	1,532 75			2,225 18
50 GENERAL ELEC CO COM	05/09/1989	07/20/2015	1,353 47	222 81			1,130 66
75 GENERAL ELEC CO COM	05/09/1989	04/18/2016	2,329 07	334 21			1,994 86
25 GOLDMAN SACHS GROUP INC COM	06/23/2004	07/16/2015	5,254 65	2,275 00			2,979 65
10 GOOGLE INC CL A	09/03/2009	07/16/2015	5,970 46	2,289 02			3,681 44
10 GOOGLE INC CL A	09/03/2009	08/21/2015	6,642 67	2,289 02			4,353 65
25 HOME DEPOT INC COM	04/04/2013	08/21/2015	2,988 19	1,767 50			1,220 69
25 HOME DEPOT INC COM	04/04/2013	10/30/2015	3,085 19	1,767 50			1,317 69
75 ISHARES TRUST-S&P SM-CAP 600 INDEX FUND	09/08/2011	07/20/2015	8,906 08	4,780 50			4,125 58
25 ISHARES TRUST-S&P SM-CAP 600 INDEX FUND	09/08/2011	08/28/2015	2,766 44	1,593 50			1,172 94
25 ISHARES TRUST-S&P SM-CAP 600 INDEX FUND	09/08/2011	09/16/2015	2,779 94	1,593 50			1,186 44
75 ISHARES TRUST-S&P SM-CAP 600 INDEX FUND	09/08/2011	11/19/2015	8,486 84	4,780 50			3,706 34
50 ISHARES TRUST-S&P SM-CAP 600 INDEX FUND	12/04/2014	01/29/2016	5,106 90	5,592 50			-485 60
25 ISHARES TRUST-S&P SM-CAP 600 INDEX FUND	12/04/2014	03/29/2016	2,724 69	2,796 25			-71 56
50 ISHARES TRUST-S&P SM-CAP 600 INDEX FUND	12/04/2014	06/09/2016	5,921 87	5,592 50			329 37
125 ISHARES IBOX \$ HIGH YIELD CORPORATE BOND ETF	VAR	09/29/2015	10,349 55	11,080 25			-730 70
50 ISHARES IBOX \$ HIGH YIELD CORPORATE BOND ETF	05/21/2015	06/09/2016	4,210 90	4,537 50			-326 60
25 J P MORGAN CHASE & CO COM	07/17/2012	07/16/2015	1,741 21	870 75			870 46
25 J P MORGAN CHASE & CO COM	07/17/2012	07/20/2015	1,736 71	870 75			865 96
25 J P MORGAN CHASE & CO COM	07/17/2012	11/19/2015	1,691 71	870 75			820 96
25 JOHNSON & JOHNSON	11/28/2001	08/28/2015	2,365 95	1,501 75			864 20
25 JOHNSON & JOHNSON	11/28/2001	09/29/2015	2,320 45	1,501 75			818 70
25 JOHNSON & JOHNSON	11/28/2001	11/19/2015	2,558 95	1,501 75			1,057 20
50 MASTERCARD INC CL A	01/17/2012	07/16/2015	4,790 91	1,705 95			3,084 96
25 MASTERCARD INC CL A	01/17/2012	10/19/2015	2,436 70	852 97			1,583 73
10 MASTERCARD INC CL A	01/17/2012	10/30/2015	1,007 38	341 19			666 19
15 MASTERCARD INC CL A	01/17/2012	01/29/2016	1,315 47	511 78			803 69
<b>Totals</b>							

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HARVEY W PETERS RESEARCH FOUNDATION

Harvey W Peters Research Foundation  
EIN 46-0459671

DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
25 MCDONALDS CORP COM	04/17/2007	09/16/2015	2,465 45	1,206 75			1,258 70
25 MCDONALDS CORP COM	04/17/2007	10/19/2015	2,617 20	1,206 75			1,410 45
25 MCDONALDS CORP COM	04/17/2007	01/29/2016	3,099 94	1,206 75			1,893 19
25 MICROSOFT CORP COM	07/17/1996	07/20/2015	1,165 97	182 81			983 16
50 MICROSOFT CORP COM	07/17/1996	10/19/2015	2,388 95	365 63			2,023 32
25 MICROSOFT CORP COM	07/17/1996	10/30/2015	1,332 72	182 81			1,149 91
50 MICROSOFT CORP COM	07/17/1996	01/29/2016	2,747 44	365 63			2,381 81
25 NATIONAL-OILWELL INC COM	03/29/2010	07/16/2015	1,090 97	911 28			179 69
150 NATIONAL-OILWELL INC COM	03/29/2010	08/28/2015	6,226 38	5,467 66			758 72
25 NIKE INC CLASS B COM	08/22/2008	07/16/2015	2,805 19	768 38			2,036 81
25 NIKE INC CLASS B COM	08/22/2008	07/20/2015	2,821 44	768 37			2,053 07
25 NIKE INC CLASS B COM	08/22/2008	10/19/2015	3,326 93	768 37			2,558 56
75 ORACLE CORP COM	10/25/2007	09/29/2015	2,645 95	1,600 50			1,045 45
75 ORACLE CORP COM	10/25/2007	01/29/2016	2,704 08	1,600 50			1,103 58
25 PEPSICO INC COM	06/12/2003	07/16/2015	2,425 45	1,111 00			1,314 45
25 PEPSICO INC COM	06/12/2003	10/30/2015	2,564 95	1,111 00			1,453 95
25 PROCTER & GAMBLE CO COM	11/28/2001	07/16/2015	2,056 21	948 14			1,108 07
50 QUALCOMM INC COM	09/03/2009	09/29/2015	2,624 95	2,240 09			384 86
125 SPDR INDEX SHS FDS DJ WILSHIRE INTL REAL ESTATE ETF	05/19/2014	10/19/2015	5,167 40	5,478 74			-311 34
50 SPDR INDEX SHS FDS DJ WILSHIRE INTL REAL ESTATE ETF	05/19/2014	11/19/2015	2,028 96	2,191 50			-162 54
50 SPDR DOW JONES REIT ETF	01/08/2013	10/19/2015	4,598 91	3,709 50			889 41
25 SPDR DOW JONES REIT ETF	01/08/2013	11/19/2015	2,235 45	1,854 75			380 70
25 SPDR S&P MIDCAP 400 ETF TR UNIT SER 1 STANDARD & POORS DEP	04/13/2010	07/20/2015	6,852 62	3,696 75			3,155 87
25 SPDR S&P MIDCAP 400 ETF TR UNIT SER 1 STANDARD & POORS DEP	06/08/2009	08/28/2015	6,484 88	2,695 25			3,789 63
25 SPDR S&P MIDCAP 400 ETF TR UNIT SER 1 STANDARD & POORS DEP	06/08/2009	11/19/2015	6,545 37	2,695 25			3,850 12
25 SPDR S&P MIDCAP 400 ETF TR UNIT SER 1 STANDARD & POORS DEP	12/04/2014	01/29/2016	5,931 89	6,575 50			-643 61
15 SPDR S&P MIDCAP 400 ETF TR UNIT SER 1 STANDARD & POORS DEP	12/04/2014	03/29/2016	3,855 81	3,945 30			-89 49
20 SPDR S&P MIDCAP 400 ETF TR UNIT SER 1 STANDARD & POORS DEP	12/04/2014	06/09/2016	5,530 87	5,260 40			270 47
25 SCHLUMBERGER LTD COM	08/12/2013	07/16/2015	2,098 71	2,011 75			86 96
25 SCHLUMBERGER LTD COM	08/12/2013	03/29/2016	1,791 21	2,011 75			-220 54
50 SPECTRA ENERGY CORP COM	02/04/2010	07/16/2015	1,560 47	1,056 50			503 97
25 SPECTRA ENERGY CORP COM	02/04/2010	03/29/2016	751 23	528 25			222 98
25 STATE STR CORP COM	07/26/1993	07/16/2015	1,979 21	224 46			1,754 75
<b>Totals</b>							

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DETAIL OF SHORT-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
5 BLACKROCK INC COM	07/16/2015	10/30/2015	1,756 11	1,770 60			-14 49
500 FLEXSHARES TR MORNINGSTAR GLOBAL UPSTREAM NAT RES INDEX FD	07/16/2015	09/16/2015	12,579 76	14,510 00			-1,930 24
50 PAYPAL HLDGS INC COM	09/29/2015	03/29/2016	1,935 45	1,565 50			369 95
49022 59 GOLDMAN FINANCIAL SQUARE GOVT OBLIGATIONS #465	VAR	07/08/2015	49,022 59	49,022 59			
5784 88 GOLDMAN FINANCIAL SQUARE GOVT OBLIGATIONS #465	08/27/2015	08/12/2015	5,784 88	5,784 88			
650 GOLDMAN FINANCIAL SQUARE GOVT OBLIGATIONS #465	08/27/2015	08/20/2015	650 00	650 00			
13771 5 GOLDMAN FINANCIAL SQUARE GOVT OBLIGATIONS #465	08/27/2015	08/31/2015	13,771 50	13,771 50			
1000 GOLDMAN FINANCIAL SQUARE GOVT OBLIGATIONS #465	08/27/2015	09/04/2015	1,000 00	1,000 00			
634 52 GOLDMAN FINANCIAL SQUARE GOVT OBLIGATIONS #465	08/27/2015	10/05/2015	634 52	634 52			
17785 32 GOLDMAN FINANCIAL SQUARE GOVT OBLIGATIONS #465	08/27/2015	10/06/2015	17,785 32	17,785 32			
8320 93 GOLDMAN FINANCIAL SQUARE GOVT OBLIGATIONS #465	08/27/2015	10/14/2015	8,320 93	8,320 93			
30000 GOLDMAN FINANCIAL SQUARE GOVT OBLIGATIONS #465	03/26/2015	11/09/2015	30,000 00	30,000 00			
2200 GOLDMAN FINANCIAL SQUARE GOVT OBLIGATIONS #465	03/26/2015	11/13/2015	2,200 00	2,200 00			
804 GOLDMAN FINANCIAL SQUARE GOVT OBLIGATIONS #465	03/26/2015	11/18/2015	804 00	804 00			
3324 14 GOLDMAN FINANCIAL SQUARE GOVT OBLIGATIONS #465	03/26/2015	11/19/2015	3,324 14	3,324 14			
169017 GOLDMAN FINANCIAL SQUARE GOVT OBLIGATIONS #465	VAR	11/20/2015	169,017 00	169,017 00			
5305 25 GOLDMAN FINANCIAL SQUARE GOVT OBLIGATIONS #465	03/26/2015	11/25/2015	5,305 25	5,305 25			
30000 GOLDMAN FINANCIAL SQUARE GOVT OBLIGATIONS #465	12/29/2015	12/07/2015	30,000 00	30,000 00			
792 02 GOLDMAN FINANCIAL SQUARE GOVT OBLIGATIONS #465	05/26/2015	01/04/2016	792 02	792 02			
1000 GOLDMAN FINANCIAL SQUARE GOVT OBLIGATIONS #465	05/26/2015	01/06/2016	1,000 00	1,000 00			
860 69 GOLDMAN FINANCIAL SQUARE GOVT OBLIGATIONS #465	05/26/2015	01/07/2016	860 69	860 69			
1992 73 GOLDMAN FINANCIAL SQUARE GOVT OBLIGATIONS #465	05/26/2015	01/13/2016	1,992 73	1,992 73			
318 GOLDMAN FINANCIAL SQUARE GOVT OBLIGATIONS #465	05/26/2015	01/15/2016	318 00	318 00			
150000 GOLDMAN FINANCIAL SQUARE GOVT OBLIGATIONS #465	VAR	01/21/2016	150,000 00	150,000 00			
7731 84 GOLDMAN FINANCIAL SQUARE GOVT OBLIGATIONS #465	VAR	01/26/2016	7,731 84	7,731 84			
5366 13 GOLDMAN FINANCIAL SQUARE GOVT OBLIGATIONS #465	VAR	02/08/2016	5,366 13	5,366 13			
2100 GOLDMAN FINANCIAL SQUARE GOVT OBLIGATIONS #465	05/19/2015	02/10/2016	2,100 00	2,100 00			
12300 GOLDMAN FINANCIAL SQUARE GOVT OBLIGATIONS #465	05/19/2015	02/23/2016	12,300 00	12,300 00			
2110 GOLDMAN FINANCIAL SQUARE GOVT OBLIGATIONS #465	05/19/2015	03/01/2016	2,110 00	2,110 00			
3100 GOLDMAN FINANCIAL SQUARE GOVT OBLIGATIONS #465	05/19/2015	03/15/2016	3,100 00	3,100 00			
3418 58 GOLDMAN FINANCIAL SQUARE GOVT OBLIGATIONS #465	05/19/2015	04/11/2016	3,418 58	3,418 58			
7794 29 GOLDMAN FINANCIAL SQUARE GOVT OBLIGATIONS #465	05/19/2015	04/26/2016	7,794 29	7,794 29			
5660.13 GOLDMAN FINANCIAL SQUARE GOVT OBLIGATIONS #465	05/19/2015	05/02/2016	5,660 13	5,660 13			
<b>Totals</b>							

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