



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990**  
Department of the Treasury  
Internal Revenue Service

# Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)  
Do not enter social security numbers on this form as it may be made public  
Information about Form 990 and its instructions is at [www.irs.gov/foi/m990](http://www.irs.gov/foi/m990)

OMB No 1545-0047  
**2015**  
Open to Public Inspection

**A For the 2015 calendar year, or tax year beginning 07-01-2015, and ending 06-30-2016**

**B** Check if applicable:  
 Address change  
 Name change  
 Initial return  
 Final return/terminated  
 Amended return  
 Application pending

**C** Name of organization  
Carrington Health Center  
Doing business as  
CHI St Alexius Health Carrington  
Number and street (or P O box if mail is not delivered to street address) Room/suite  
800 North 4th Street  
City or town, state or province, country, and ZIP or foreign postal code  
Carrington, ND 58421

**D** Employer identification number  
45-0227311

**E** Telephone number  
(701) 652-3141

**G** Gross receipts \$ 18,388,388

**F** Name and address of principal officer  
Mariann Doeling  
800 North 4th Street  
Carrington, ND 58421

**H(a)** Is this a group return for subordinates?  Yes  No  
**H(b)** Are all subordinates included?  Yes  No  
If "No," attach a list (see instructions)

**I** Tax-exempt status  501(c)(3)  501(c) ( ) ◀(insert no )  4947(a)(1) or  527

**J** Website: ▶ carringtonhealthcenter.org

**H(c)** Group exemption number ▶ 0928

**K** Form of organization  Corporation  Trust  Association  Other ▶

**L** Year of formation 1916 **M** State of legal domicile ND

**Part I Summary**

**1** Briefly describe the organization's mission or most significant activities  
To nurture the healing ministry of the Church, supported by education and research. Fidelity to the Gospel urges us to emphasize human dignity and social justice as we create healthier communities

**2** Check this box  if the organization discontinued its operations or disposed of more than 25% of its net assets

|  |           |     |
|--|-----------|-----|
| <b>3</b> Number of voting members of the governing body (Part VI, line 1a)             | <b>3</b>  | 10  |
| <b>4</b> Number of independent voting members of the governing body (Part VI, line 1b) | <b>4</b>  | 8   |
| <b>5</b> Total number of individuals employed in calendar year 2015 (Part V, line 2a)  | <b>5</b>  | 124 |
| <b>6</b> Total number of volunteers (estimate if necessary)                            | <b>6</b>  | 236 |
| <b>7a</b> Total unrelated business revenue from Part VIII, column (C), line 12         | <b>7a</b> | 423 |
| <b>b</b> Net unrelated business taxable income from Form 990-T, line 34                | <b>7b</b> | 0   |

|  |  | Prior Year | Current Year |
|--|--|------------|--------------|
| <b>8</b> Contributions and grants (Part VIII, line 1h)                                     |  | 450,968    | 433,259      |
| <b>9</b> Program service revenue (Part VIII, line 2g)                                      |  | 16,315,231 | 16,267,344   |
| <b>10</b> Investment income (Part VIII, column (A), lines 3, 4, and 7d)                    |  | 587,736    | 295,157      |
| <b>11</b> Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)         |  | 1,689,607  | 1,378,885    |
| <b>12</b> Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12) |  | 19,043,542 | 18,374,645   |

|   |  |            |            |
|---|--|------------|------------|
| <b>13</b> Grants and similar amounts paid (Part IX, column (A), lines 1-3)                  |  | 43,263     | 88,528     |
| <b>14</b> Benefits paid to or for members (Part IX, column (A), line 4)                     |  |            | 0          |
| <b>15</b> Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10) |  | 6,707,238  | 6,783,713  |
| <b>16a</b> Professional fundraising fees (Part IX, column (A), line 11e)                    |  |            | 0          |
| <b>b</b> Total fundraising expenses (Part IX, column (D), line 25) ▶ 148,798                |  |            |            |
| <b>17</b> Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)                      |  | 10,261,758 | 8,964,690  |
| <b>18</b> Total expenses Add lines 13-17 (must equal Part IX, column (A), line 25)          |  | 17,012,259 | 15,836,931 |
| <b>19</b> Revenue less expenses Subtract line 18 from line 12                               |  | 2,031,283  | 2,537,714  |

|   |  | Beginning of Current Year | End of Year |
|---|--|---------------------------|-------------|
| <b>20</b> Total assets (Part X, line 16)                            |  | 17,069,115                | 18,960,452  |
| <b>21</b> Total liabilities (Part X, line 26)                       |  | 1,196,289                 | 1,044,444   |
| <b>22</b> Net assets or fund balances Subtract line 21 from line 20 |  | 15,872,826                | 17,916,008  |

**Part II Signature Block**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

**Sign Here**  
Signature of officer: \_\_\_\_\_ Date: 2017-05-12  
Kurt Sargent VP of Operational Finance  
Type or print name and title

**Paid Preparer Use Only**  
Print/Type preparer's name: Britney Kocaj  
Preparer's signature: Britney Kocaj  
Date: \_\_\_\_\_  
Check  if self-employed PTIN: P01320603  
Firm's name: Crowe Horwath LLP Firm's EIN: 35-0921680  
Firm's address: 401 E Las Olas Boulevard STE 1100  
Fort Lauderdale, FL 333014230 Phone no: (954) 202-8600

May the IRS discuss this return with the preparer shown above? (see instructions)  Yes  No

**Part III Statement of Program Service Accomplishments**

Check if Schedule O contains a response or note to any line in this Part III

**1** Briefly describe the organization's mission

See Schedule O

**2** Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?  Yes  No

If "Yes," describe these new services on Schedule O

**3** Did the organization cease conducting, or make significant changes in how it conducts, any program services?  Yes  No

If "Yes," describe these changes on Schedule O

**4** Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

**4a** (Code ) (Expenses \$ 12,944,604 including grants of \$ 88,528 ) (Revenue \$ 16,267,344 )  
SEE SCHEDULE H

**4b** (Code ) (Expenses \$ including grants of \$ ) (Revenue \$ )

**4c** (Code ) (Expenses \$ including grants of \$ ) (Revenue \$ )

**4d** Other program services (Describe in Schedule O )  
(Expenses \$ including grants of \$ ) (Revenue \$ )

**4e** Total program service expenses ▶ 12,944,604

**Part IV Checklist of Required Schedules**

|   | Yes | No |
|---|-----|----|
| <b>1</b> Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i>   | Yes |    |
| <b>2</b> Is the organization required to complete <i>Schedule B, Schedule of Contributors</i> (see instructions)?   | Yes |    |
| <b>3</b> Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i>  |     | No |
| <b>4 Section 501(c)(3) organizations.</b><br>Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II</i>   | Yes |    |
| <b>5</b> Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III</i>   |     | No |
| <b>6</b> Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i>  |     | No |
| <b>7</b> Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i>  |     | No |
| <b>8</b> Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i>   |     | No |
| <b>9</b> Did the organization report an amount in Part X, line 21 for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i>             |     | No |
| <b>10</b> Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i>   | Yes |    |
| <b>11</b> If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable  |     |    |
| <b>a</b> Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI</i>   | Yes |    |
| <b>b</b> Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII</i>   | Yes |    |
| <b>c</b> Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII</i>   |     | No |
| <b>d</b> Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i>  |     | No |
| <b>e</b> Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X</i>   | Yes |    |
| <b>f</b> Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X</i>  | Yes |    |
| <b>12a</b> Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI and XII</i>  |     | No |
| <b>b</b> Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional</i>   | Yes |    |
| <b>13</b> Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i>  |     | No |
| <b>14a</b> Did the organization maintain an office, employees, or agents outside of the United States?  |     | No |
| <b>b</b> Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Parts I and IV</i> |     | No |
| <b>15</b> Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? <i>If "Yes," complete Schedule F, Parts II and IV</i>   |     | No |
| <b>16</b> Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? <i>If "Yes," complete Schedule F, Parts III and IV</i>   |     | No |
| <b>17</b> Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i> (see instructions)  |     | No |
| <b>18</b> Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i>   | Yes |    |
| <b>19</b> Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i>   |     | No |
| <b>20a</b> Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H</i>   | Yes |    |
| <b>b</b> If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?   | Yes |    |

Part IV Checklist of Required Schedules (continued)

Table with 3 columns: Question ID, Question Text, and Answer. Rows include questions 21 through 38, covering topics like grants, compensation, tax-exempt bonds, and controlled entities.

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V

Table with columns for question numbers (1a-14b), descriptions, and Yes/No columns. Includes sections for backup withholding, employee reporting, foreign accounts, prohibited tax shelter transactions, deductible contributions, and health insurance issuers.

Part VI Governance, Management, and Disclosure

For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI [X]

Section A. Governing Body and Management

Table with 3 columns: Question, Yes, No. Rows include: 1a Enter the number of voting members of the governing body at the end of the tax year (10); 1b Enter the number of voting members included in line 1a, above, who are independent (8); 2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee? (No); 3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person? (No); 4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed? (No); 5 Did the organization become aware during the year of a significant diversion of the organization's assets? (No); 6 Did the organization have members or stockholders? (Yes); 7a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body? (Yes); 7b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body? (Yes); 8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following: a The governing body? (Yes); b Each committee with authority to act on behalf of the governing body? (Yes); 9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O (No).

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

Table with 3 columns: Question, Yes, No. Rows include: 10a Did the organization have local chapters, branches, or affiliates? (No); 10b If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?; 11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? (Yes); 11b Describe in Schedule O the process, if any, used by the organization to review this Form 990; 12a Did the organization have a written conflict of interest policy? If "No," go to line 13 (Yes); 12b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts? (Yes); 12c Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done (Yes); 13 Did the organization have a written whistleblower policy? (Yes); 14 Did the organization have a written document retention and destruction policy? (No); 15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision? a The organization's CEO, Executive Director, or top management official (No); b Other officers or key employees of the organization (Yes); 16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? (No); 16b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?

Section C. Disclosure

Table with 3 columns: Question, Yes, No. Rows include: 17 List the States with which a copy of this Form 990 is required to be filed (ND); 18 Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply: [ ] Own website, [ ] Another's website, [X] Upon request, [ ] Other (explain in Schedule O); 19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year; 20 State the name, address, and telephone number of the person who possesses the organization's books and records (Kurt Sargent, 800 North 4th Street, Carrington, ND 58421 (701) 652-7178).

**Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response or note to any line in this Part VII

**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

**1a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

| (A)<br>Name and Title                                     | (B)<br>Average hours per week (list any hours for related organizations below dotted line) | (C)<br>Position (do not check more than one box, unless person is both an officer and a director/trustee) |                       |         |              |                              |        | (D)<br>Reportable compensation from the organization (W-2/1099-MISC) | (E)<br>Reportable compensation from related organizations (W-2/1099-MISC) | (F)<br>Estimated amount of other compensation from the organization and related organizations |
|---|--|---|-----------------------|---------|--------------|------------------------------|--------|--|---|---|
|   |  | Individual trustee or director  | Institutional Trustee | Officer | Key employee | Highest compensated employee | Former |  |   |   |
| (1) SCOTT BUCHHOLTZ<br>BOARD VICE CHAIR (partial year)    | 1 0<br>.....<br>0  | X   |                       | X       |              |                              |        | 0  | 0   | 0   |
| (2) MICHAEL MURPHY<br>BOARD CHAIR (partial year)          | 1 0<br>.....<br>0  | X   |                       | X       |              |                              |        | 0  | 0   | 0   |
| (3) JOHN GIESE<br>Director / Chair                        | 1 0<br>.....<br>5 0  | X   |                       | X       |              |                              |        | 0  | 5,550   | 0   |
| (4) MIKE LEFOR<br>Director / Vice Chair                   | 1 0<br>.....<br>5 0  | X   |                       | X       |              |                              |        | 0  | 0   | 0   |
| (5) SR NANCY MILLER OSB<br>Director / Secretary           | 1 0<br>.....<br>4 0  | X   |                       | X       |              |                              |        | 0  | 0   | 0   |
| (6) SHARON ALTENDORF<br>BOARD MEMBER (partial year)       | 1 0<br>.....<br>0  | X   |                       |         |              |                              |        | 0  | 0   | 0   |
| (7) JEFFREY DROP<br>Board Member/CHI SVP Division Officer | 1 0<br>.....<br>62 0   | X   |                       |         |              |                              |        | 0  | 1,216,002   | 27,308  |
| (8) DOUGLAS HARILDSTAD<br>BOARD MEMBER (partial year)     | 1 0<br>.....<br>1 0  | X   |                       |         |              |                              |        | 0  | 0   | 0   |
| (9) KATHY HENDRICKSON<br>BOARD MEMBER (partial year)      | 1 0<br>.....<br>0  | X   |                       |         |              |                              |        | 0  | 0   | 0   |
| (10) RENEE RIEDESEL<br>BOARD MEMBER (partial year)        | 1 0<br>.....<br>0  | X   |                       |         |              |                              |        | 0  | 0   | 0   |
| (11) KURT SCHLEY<br>Director                              | 1 0<br>.....<br>60 0   | X   |                       |         |              |                              |        | 0  | 464,621   | 63,141  |
| (12) PATRICK SOGARD<br>Director                           | 1 0<br>.....<br>3 0  | X   |                       |         |              |                              |        | 0  | 0   | 0   |
| (13) LORI SOLBERG<br>BOARD MEMBER (partial year)          | 1 0<br>.....<br>0  | X   |                       |         |              |                              |        | 0  | 0   | 0   |
| (14) SR THOMAS WELDER<br>Director                         | 1 0<br>.....<br>4 0  | X   |                       |         |              |                              |        | 0  | 0   | 0   |

**Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** (continued)

| (A)<br>Name and Title  | (B)<br>Average hours per week (list any hours for related organizations below dotted line) | (C)<br>Position (do not check more than one box, unless person is both an officer and a director/trustee) |                       |         |              |                              |        | (D)<br>Reportable compensation from the organization (W-2/1099-MISC) | (E)<br>Reportable compensation from related organizations (W-2/1099-MISC) | (F)<br>Estimated amount of other compensation from the organization and related organizations |
|--|--|---|-----------------------|---------|--------------|------------------------------|--------|--|---|---|
|  |  | Individual trustee or director  | Institutional Trustee | Officer | Key employee | Highest compensated employee | Former |  |   |   |
| (15) VERNON DOSCH<br>Director                                  | 1 0<br>.....<br>4 0  | X   |                       |         |              |                              |        | 0<br>2,775   | 0   |   |
| (16) LESLIE OLIVER<br>Director                                 | 1 0<br>.....<br>4 0  | X   |                       |         |              |                              |        | 0<br>3,475   | 0   |   |
| (17) TIMOTHY BOPP MD<br>Director                               | 1 0<br>.....<br>4 0  | X   |                       |         |              |                              |        | 0<br>1,300   | 0   |   |
| (18) MARIANN DOELING<br>PRESIDENT / CEO                        | 40 0<br>.....<br>0 0   |   |                       | X       |              |                              |        | 0<br>265,322   | 21,027  |   |
| (19) KURT SARGENT<br>VP OPERATIONAL FINANCE                    | 1 0<br>.....<br>41 0   |   |                       | X       |              |                              |        | 0<br>61,662  | 7,967   |   |
| (20) RICK GEIER<br>PHYSICIAN                                   | 40 0<br>.....<br>0   |   |                       |         |              | X                            |        | 327,332<br>0   | 44,287  |   |
| (21) BRADLEY D HOFF<br>PHYSICIAN ASSISTANT                     | 40 0<br>.....<br>0   |   |                       |         |              | X                            |        | 119,443<br>0   | 7,049   |   |
| (22) JACQUELINE NELSON<br>PHYSICIAN ASSISTANT                  | 40 0<br>.....<br>0   |   |                       |         |              | X                            |        | 154,536<br>0   | 9,073   |   |
| (23) MICHAEL PAGE<br>PHYSICIAN                                 | 40 0<br>.....<br>0   |   |                       |         |              | X                            |        | 419,098<br>0   | 45,032  |   |
| (24) MARY HOFF<br>PHYSICIAN ASSISTANT                          | 40 0<br>.....<br>0   |   |                       |         |              | X                            |        | 128,663<br>0   | 37,217  |   |
| (25) CYNTHIA K THORMODSON<br>Former VP Operational Finance     | 0 0<br>.....<br>58 0   |   |                       |         |              |                              | X      | 0<br>138,467   | 40,323  |   |
| <b>1b Sub-Total</b>  |  |   |                       |         |              |                              |        |  |   |   |
| <b>c Total from continuation sheets to Part VII, Section A</b> |  |   |                       |         |              |                              |        |  |   |   |
| <b>d Total (add lines 1b and 1c)</b>                           |  |   |                       |         |              |                              |        | 1,149,072  | 2,159,174   | 302,424   |

**2** Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization ▶ 6

|  | Yes   | No   |
|--|-------|------|
| <b>3</b> Did the organization list any <b>former</b> officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>  | 3 Yes |      |
| <b>4</b> For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i> | 4 Yes |      |
| <b>5</b> Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>                       |       | 5 No |

**Section B. Independent Contractors**

**1** Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization Report compensation for the calendar year ending with or within the organization's tax year

| (A)<br>Name and business address  | (B)<br>Description of services | (C)<br>Compensation |
|---|--------------------------------|---------------------|
| CENTRAL DAKOTA PHYSICAL THERAPY PC<br>800 N 4TH ST<br>CARRINGTON, ND 58421    | PHYSICAL THERAPY               | 411,942             |
| NATIONAL MEDICAL RESOURCES INC<br>2792 E BROADWAY AVE<br>BISMARCK, ND 58501   | LOCUM TENENS STAFFING          | 250,830             |
| DMS HEALTH TECHNOLOGIES<br>SCS 12-2208 PO BOX 86<br>MINNEAPOLIS, MN 554862208 | NUCLEAR MED, MRI               | 191,496             |
| STAFF CARE INC<br>12400 High Bluff Drive<br>STE 100<br>San Diego, CA 92130    | Temporary Employee Services    | 186,199             |
| NISC NATL INFO SOLUTIONS COOP<br>3201 NYGREN DRIVE<br>MANDAN, ND 58554        | IT Services                    | 171,526             |

**2** Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization ▶ 7

**Part VIII Statement of Revenue**

Check if Schedule O contains a response or note to any line in this Part VIII

|  |  |  | (A)<br>Total revenue | (B)<br>Related or<br>exempt<br>function<br>revenue | (C)<br>Unrelated<br>business<br>revenue | (D)<br>Revenue<br>excluded from<br>tax under<br>sections<br>512-514 |  |
|--|--|--|----------------------|--|---|---|--|
| <b>Contributions, Gifts, Grants and Other Similar Amounts</b>                | <b>1a</b> Federated campaigns . . . . . <b>1a</b>  | 0  |                      |  |   |   |  |
|  | <b>b</b> Membership dues . . . . . <b>1b</b>   | 0  |                      |  |   |   |  |
|  | <b>c</b> Fundraising events . . . . . <b>1c</b>  | 10,587   |                      |  |   |   |  |
|  | <b>d</b> Related organizations . . . . . <b>1d</b>   | 14,992   |                      |  |   |   |  |
|  | <b>e</b> Government grants (contributions)   | 85,151   |                      |  |   |   |  |
|  | <b>f</b> All other contributions, gifts, grants, and similar amounts not included above <b>1f</b>  | 322,529  |                      |  |   |   |  |
|  | <b>g</b> Noncash contributions included in lines 1a-1f \$  | 0  |                      |  |   |   |  |
|  | <b>h Total.</b> Add lines 1a-1f . . . . . ▶  |  | 433,259              |  |   |   |  |
| <b>Program Service Revenue</b>   | <b>2a</b> Patient Services   | Business Code<br>900099                              | 16,267,344           | 16,267,344   | 0                                       | 0   |  |
|  | <b>b</b>   |  | 0                    | 0  | 0                                       | 0   |  |
|  | <b>c</b>   |  | 0                    | 0  | 0                                       | 0   |  |
|  | <b>d</b>   |  | 0                    | 0  | 0                                       | 0   |  |
|  | <b>e</b>   |  | 0                    | 0  | 0                                       | 0   |  |
|  | <b>f</b> All other program service revenue   |  | 0                    | 0  | 0                                       | 0   |  |
|  | <b>g Total.</b> Add lines 2a-2f . . . . . ▶  |  | 16,267,344           |  |   |   |  |
| <b>Other Revenue</b>   | <b>3</b> Investment income (including dividends, interest, and other similar amounts) . . . . . ▶  |  | 149,648              | 0  | 423                                     | 149,225   |  |
|  | <b>4</b> Income from investment of tax-exempt bond proceeds . . . . . ▶  |  | 0                    | 0  | 0                                       | 0   |  |
|  | <b>5</b> Royalties . . . . . ▶   |  | 0                    | 0  | 0                                       | 0   |  |
|  | <b>6a</b> Gross rents  | (i) Real   | 23,119               |  |   |   |  |
|  |  | (ii) Personal  | 0                    |  |   |   |  |
|  |  | <b>b</b> Less rental expenses                        | 0                    |  |   |   |  |
|  |  | <b>c</b> Rental income or (loss)                     | 23,119               |  |   |   |  |
|  | <b>d</b> Net rental income or (loss) . . . . . ▶   |  | 23,119               | 0  | 0                                       | 23,119  |  |
|  | <b>7a</b> Gross amount from sales of assets other than inventory   | (i) Securities                                       | 145,509              |  |   |   |  |
|  |  | (ii) Other   | 0                    |  |   |   |  |
|  |  | <b>b</b> Less cost or other basis and sales expenses | 0                    |  |   |   |  |
|  |  | <b>c</b> Gain or (loss)                              | 145,509              |  |   |   |  |
|  | <b>d</b> Net gain or (loss) . . . . . ▶  |  | 145,509              | 0  | 0                                       | 145,509   |  |
|  | <b>8a</b> Gross income from fundraising events (not including \$ 10,587 of contributions reported on line 1c) See Part IV, line 18 . . . . . | <b>a</b>   | 12,993               |  |   |   |  |
|  |  | <b>b</b> Less direct expenses . . . . . <b>b</b>     | 10,773               |  |   |   |  |
| <b>c</b> Net income or (loss) from fundraising events . . . . . ▶            |  |  | 2,220                | 0  | 0                                       | 2,220   |  |
| <b>9a</b> Gross income from gaming activities See Part IV, line 19 . . . . . | <b>a</b>   | 8,400  |                      |  |   |   |  |
|  | <b>b</b> Less direct expenses . . . . . <b>b</b>   | 2,970  |                      |  |   |   |  |
|  | <b>c</b> Net income or (loss) from gaming activities . . . . . ▶   |  | 5,430                | 0  | 0                                       | 5,430   |  |
| <b>10a</b> Gross sales of inventory, less returns and allowances . . . . .   | <b>a</b>   | 68,271   |                      |  |   |   |  |
|  | <b>b</b> Less cost of goods sold . . . . . <b>b</b>  | 0  |                      |  |   |   |  |
|  | <b>c</b> Net income or (loss) from sales of inventory . . . . . ▶  |  | 68,271               | 0  | 0                                       | 68,271  |  |
| Miscellaneous Revenue  |  | Business Code  |                      |  |   |   |  |
| <b>11a</b> Pharmacy Services   |  | 446110   | 1,254,964            | 0  | 0                                       | 1,254,964   |  |
|  | <b>b</b> Cafeteria   | 722100   | 18,997               | 0  | 0                                       | 18,997  |  |
|  | <b>c</b> Services Sold   | 900099   | 3,302                | 0  | 0                                       | 3,302   |  |
|  | <b>d</b> All other revenue . . . . .   |  | 2,582                | 0  | 0                                       | 2,582   |  |
| <b>e Total.</b> Add lines 11a-11d . . . . . ▶                                |  | 1,279,845  |                      |  |   |   |  |
| <b>12 Total revenue.</b> See Instructions . . . . . ▶                        |  | 18,374,645   | 16,267,344           | 423  | 1,673,619                               |   |  |

**Part IX Statement of Functional Expenses**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A)

Check if Schedule O contains a response or note to any line in this Part IX  . . . . .

| <b>Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.</b> |   | <b>(A)</b><br>Total expenses | <b>(B)</b><br>Program service expenses | <b>(C)</b><br>Management and general expenses | <b>(D)</b><br>Fundraising expenses |
|---|---|------------------------------|--|---|------------------------------------|
| <b>1</b>  | Grants and other assistance to domestic organizations and domestic governments See Part IV, line 21 . . . . .   | 2,295                        | 2,295                                  |   |                                    |
| <b>2</b>  | Grants and other assistance to domestic individuals See Part IV, line 22 . . . . .  | 86,233                       | 86,233                                 |   |                                    |
| <b>3</b>  | Grants and other assistance to foreign organizations, foreign governments, and foreign individuals See Part IV, lines 15 and 16 . . . . .   |                              |  |   |                                    |
| <b>4</b>  | Benefits paid to or for members . . . . .   |                              |  |   |                                    |
| <b>5</b>  | Compensation of current officers, directors, trustees, and key employees . . . . .  |                              |  |   |                                    |
| <b>6</b>  | Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) . . . . .   |                              |  |   |                                    |
| <b>7</b>  | Other salaries and wages . . . . .  | 5,405,180                    | 4,879,245                              | 460,193                                       | 65,742                             |
| <b>8</b>  | Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions) . . . . .  | 261,141                      | 234,194                                | 23,792  | 3,155                              |
| <b>9</b>  | Other employee benefits . . . . .   | 753,052                      | 675,341                                | 68,611  | 9,100                              |
| <b>10</b>   | Payroll taxes . . . . .   | 364,340                      | 326,743                                | 33,195  | 4,402                              |
| <b>11</b>   | Fees for services (non-employees)   |                              |  |   |                                    |
| <b>a</b>  | Management . . . . .  |                              |  |   |                                    |
| <b>b</b>  | Legal . . . . .   | 26,990                       |  | 26,990  |                                    |
| <b>c</b>  | Accounting . . . . .  |                              |  |   |                                    |
| <b>d</b>  | Lobbying . . . . .  |                              |  |   |                                    |
| <b>e</b>  | Professional fundraising services See Part IV, line 17  |                              |  |   |                                    |
| <b>f</b>  | Investment management fees . . . . .  |                              |  |   |                                    |
| <b>g</b>  | Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O) . . . . .  | 4,244,414                    | 3,717,343                              | 511,321                                       | 15,750                             |
| <b>12</b>   | Advertising and promotion . . . . .   | 20,736                       | 9,500                                  | 9,683   | 1,553                              |
| <b>13</b>   | Office expenses . . . . .   | 462,664                      | 321,061                                | 101,608                                       | 39,995                             |
| <b>14</b>   | Information technology . . . . .  | 876,708                      | 9,586                                  | 867,122                                       |                                    |
| <b>15</b>   | Royalties . . . . .   |                              |  |   |                                    |
| <b>16</b>   | Occupancy . . . . .   | 212,678                      | 133,978                                | 77,549  | 1,151                              |
| <b>17</b>   | Travel . . . . .  | 104,320                      | 90,300                                 | 11,852  | 2,168                              |
| <b>18</b>   | Payments of travel or entertainment expenses for any federal, state, or local public officials . . . . .  |                              |  |   |                                    |
| <b>19</b>   | Conferences, conventions, and meetings . . . . .  | 683                          | 171                                    |   | 512                                |
| <b>20</b>   | Interest . . . . .  |                              |  |   |                                    |
| <b>21</b>   | Payments to affiliates . . . . .  | 335,112                      |  | 335,112                                       |                                    |
| <b>22</b>   | Depreciation, depletion, and amortization . . . . .   | 734,436                      | 581,943                                | 151,949                                       | 544                                |
| <b>23</b>   | Insurance . . . . .   | 1,515                        |  | 1,515   |                                    |
| <b>24</b>   | Other expenses Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O )  |                              |  |   |                                    |
| <b>a</b>  | Medical Supplies  | 1,264,845                    | 1,264,845                              |   |                                    |
| <b>b</b>  | Bad debts   | 472,270                      | 472,270                                |   |                                    |
| <b>c</b>  | Repairs and maintenance   | 129,713                      | 95,292                                 | 33,614  | 807                                |
| <b>d</b>  | Dues & subscriptions  | 25,835                       | 17,390                                 | 8,425   | 20                                 |
| <b>e</b>  | All other expenses  | 51,771                       | 26,874                                 | 20,998  | 3,899                              |
| <b>25</b>   | <b>Total functional expenses.</b> Add lines 1 through 24e   | 15,836,931                   | 12,944,604                             | 2,743,529                                     | 148,798                            |
| <b>26</b>   | <b>Joint costs.</b> Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720) |                              |  |   |                                    |

**Part X Balance Sheet**

Check if Schedule O contains a response or note to any line in this Part X

|   |   | (A)                   |            | (B)                  |
|---|---|-----------------------|------------|----------------------|
|   |   | Beginning of year     |            | End of year          |
| <b>Assets</b>   | <b>1</b> Cash-non-interest-bearing . . . . .  | 29,475                | <b>1</b>   | 17,448               |
|   | <b>2</b> Savings and temporary cash investments . . . . .   | 2,022,656             | <b>2</b>   | 5,438,975            |
|   | <b>3</b> Pledges and grants receivable, net . . . . .   | 0                     | <b>3</b>   | 0                    |
|   | <b>4</b> Accounts receivable, net . . . . .   | 3,552,441             | <b>4</b>   | 2,211,391            |
|   | <b>5</b> Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L . . . . .   |                       | <b>5</b>   | 0                    |
|   | <b>6</b> Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions) Complete Part II of Schedule L . . . . . |                       | <b>6</b>   | 0                    |
|   | <b>7</b> Notes and loans receivable, net . . . . .  | 0                     | <b>7</b>   | 0                    |
|   | <b>8</b> Inventories for sale or use . . . . .  | 364,293               | <b>8</b>   | 273,498              |
|   | <b>9</b> Prepaid expenses and deferred charges . . . . .  | 36,756                | <b>9</b>   | 36,758               |
|   | <b>10a</b> Land, buildings, and equipment cost or other basis Complete Part VI of Schedule D  | <b>10a</b> 14,972,333 |            |                      |
|   | <b>b</b> Less accumulated depreciation . . . . .  | <b>10b</b> 9,734,497  | 5,271,122  | <b>10c</b> 5,237,836 |
|   | <b>11</b> Investments—publicly traded securities . . . . .  | 0                     | <b>11</b>  | 0                    |
|   | <b>12</b> Investments—other securities See Part IV, line 11 . . . . .   | 5,792,372             | <b>12</b>  | 5,744,546            |
|   | <b>13</b> Investments—program-related See Part IV, line 11 . . . . .  | 0                     | <b>13</b>  |                      |
|   | <b>14</b> Intangible assets . . . . .   | 0                     | <b>14</b>  | 0                    |
|   | <b>15</b> Other assets See Part IV, line 11 . . . . .   | 0                     | <b>15</b>  | 0                    |
| <b>16 Total assets.</b> Add lines 1 through 15 (must equal line 34) . . . . . | 17,069,115  | <b>16</b>             | 18,960,452 |                      |
| <b>Liabilities</b>  | <b>17</b> Accounts payable and accrued expenses . . . . .   | 589,222               | <b>17</b>  | 870,919              |
|   | <b>18</b> Grants payable . . . . .  | 0                     | <b>18</b>  | 0                    |
|   | <b>19</b> Deferred revenue . . . . .  | 122,344               | <b>19</b>  | 24,940               |
|   | <b>20</b> Tax-exempt bond liabilities . . . . .   | 0                     | <b>20</b>  | 0                    |
|   | <b>21</b> Escrow or custodial account liability Complete Part IV of Schedule D . . . . .  | 0                     | <b>21</b>  | 0                    |
|   | <b>22</b> Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L . . . . .   |                       | <b>22</b>  |                      |
|   | <b>23</b> Secured mortgages and notes payable to unrelated third parties . . . . .  | 0                     | <b>23</b>  | 0                    |
|   | <b>24</b> Unsecured notes and loans payable to unrelated third parties . . . . .  | 0                     | <b>24</b>  | 0                    |
|   | <b>25</b> Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24) Complete Part X of Schedule D . . . . .  | 484,723               | <b>25</b>  | 148,585              |
|   | <b>26 Total liabilities.</b> Add lines 17 through 25 . . . . .  | 1,196,289             | <b>26</b>  | 1,044,444            |
| <b>Net Assets or Fund Balances</b>  | <b>Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.</b>  |                       |            |                      |
|   | <b>27</b> Unrestricted net assets . . . . .   | 15,581,470            | <b>27</b>  | 17,578,876           |
|   | <b>28</b> Temporarily restricted net assets . . . . .   | 271,096               | <b>28</b>  | 316,872              |
|   | <b>29</b> Permanently restricted net assets . . . . .   | 20,260                | <b>29</b>  | 20,260               |
|   | <b>Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.</b>   |                       |            |                      |
|   | <b>30</b> Capital stock or trust principal, or current funds . . . . .  |                       | <b>30</b>  |                      |
|   | <b>31</b> Paid-in or capital surplus, or land, building or equipment fund . . . . .   |                       | <b>31</b>  |                      |
|   | <b>32</b> Retained earnings, endowment, accumulated income, or other funds . . . . .  |                       | <b>32</b>  |                      |
| <b>33</b> Total net assets or fund balances . . . . .                         | 15,872,826  | <b>33</b>             | 17,916,008 |                      |
| <b>34</b> Total liabilities and net assets/fund balances . . . . .            | 17,069,115  | <b>34</b>             | 18,960,452 |                      |

**Part XI Reconciliation of Net Assets**

Check if Schedule O contains a response or note to any line in this Part XI

|           |   |           |            |
|-----------|---|-----------|------------|
| <b>1</b>  | Total revenue (must equal Part VIII, column (A), line 12)   | <b>1</b>  | 18,374,645 |
| <b>2</b>  | Total expenses (must equal Part IX, column (A), line 25)  | <b>2</b>  | 15,836,931 |
| <b>3</b>  | Revenue less expenses Subtract line 2 from line 1   | <b>3</b>  | 2,537,714  |
| <b>4</b>  | Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))                     | <b>4</b>  | 15,872,826 |
| <b>5</b>  | Net unrealized gains (losses) on investments  | <b>5</b>  | -317,862   |
| <b>6</b>  | Donated services and use of facilities  | <b>6</b>  |            |
| <b>7</b>  | Investment expenses   | <b>7</b>  |            |
| <b>8</b>  | Prior period adjustments  | <b>8</b>  |            |
| <b>9</b>  | Other changes in net assets or fund balances (explain in Schedule O)  | <b>9</b>  | -176,670   |
| <b>10</b> | Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B)) | <b>10</b> | 17,916,008 |

**Part XII Financial Statements and Reporting**

Check if Schedule O contains a response or note to any line in this Part XII

|           |  | Yes | No |
|-----------|--|-----|----|
| <b>1</b>  | Accounting method used to prepare the Form 990 <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____<br>If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O  |     |    |
| <b>2a</b> | Were the organization's financial statements compiled or reviewed by an independent accountant?<br>If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both<br><input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis |     | No |
| <b>2b</b> | Were the organization's financial statements audited by an independent accountant?<br>If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both<br><input type="checkbox"/> Separate basis <input checked="" type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis                | Yes |    |
| <b>2c</b> | If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?<br>If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O  | Yes |    |
| <b>3a</b> | As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?   | Yes |    |
| <b>3b</b> | If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits   | Yes |    |

**SCHEDULE A  
(Form 990 or 990EZ)**

**Public Charity Status and Public Support**

OMB No 1545-0047  
**2015**  
Open to Public Inspection

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.  
▶ Attach to Form 990 or Form 990-EZ.  
▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Department of the Treasury  
Internal Revenue Service

Name of the organization  
Carrington Health Center

Employer identification number  
45-0227311

**Part I Reason for Public Charity Status** (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is (For lines 1 through 11, check only one box )

- 1  A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i)**.
- 2  A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E (Form 990 or 990-EZ))
- 3  A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii)**.
- 4  A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii)**. Enter the hospital's name, city, and state \_\_\_\_\_
- 5  An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv)**. (Complete Part II )
- 6  A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v)**.
- 7  An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)**. (Complete Part II )
- 8  A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II )
- 9  An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2)**. (Complete Part III )
- 10  An organization organized and operated exclusively to test for public safety See **section 509(a)(4)**.
- 11  An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3)**. Check the box in lines 11a through 11d that describes the type of supporting organization and complete lines 11e, 11f, and 11g
  - a  **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization **You must complete Part IV, Sections A and B.**
  - b  **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s) **You must complete Part IV, Sections A and C.**
  - c  **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions) **You must complete Part IV, Sections A, D, and E.**
  - d  **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions) **You must complete Part IV, Sections A and D, and Part V.**
  - e  Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization
  - f Enter the number of supported organizations . . . . . \_\_\_\_\_
  - g Provide the following information about the supported organization(s)

| (i)<br>Name of supported organization | (ii)EIN | (iii)<br>Type of organization<br>(described on lines 1- 9 above (see instructions)) | (iv)<br>Is the organization listed in your governing document? |    | (v)<br>Amount of monetary support (see instructions) | (vi)<br>Amount of other support (see instructions) |
|---------------------------------------|---------|---|--|----|--|--|
|                                       |         |   | Yes  | No |  |  |
|                                       |         |   |  |    |  |  |
| <b>Total</b>                          |         |   |  |    |  |  |

**Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

**Section A. Public Support**

| Calendar year<br>(or fiscal year beginning in) ►   | (a)2011 | (b)2012 | (c)2013 | (d)2014 | (e)2015 | (f)Total |
|--|---------|---------|---------|---------|---------|----------|
| <b>1</b> Gifts, grants, contributions, and membership fees received (Do not include any unusual grants.)   |         |         |         |         |         |          |
| <b>2</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf   |         |         |         |         |         |          |
| <b>3</b> The value of services or facilities furnished by a governmental unit to the organization without charge   |         |         |         |         |         |          |
| <b>4 Total.</b> Add lines 1 through 3  |         |         |         |         |         |          |
| <b>5</b> The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) |         |         |         |         |         |          |
| <b>6 Public support.</b> Subtract line 5 from line 4   |         |         |         |         |         |          |

**Section B. Total Support**

| Calendar year<br>(or fiscal year beginning in) ►  | (a)2011 | (b)2012 | (c)2013 | (d)2014 | (e)2015 | (f)Total |
|---|---------|---------|---------|---------|---------|----------|
| <b>7</b> Amounts from line 4  |         |         |         |         |         |          |
| <b>8</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources |         |         |         |         |         |          |
| <b>9</b> Net income from unrelated business activities, whether or not the business is regularly carried on                             |         |         |         |         |         |          |
| <b>10</b> Other income Do not include gain or loss from the sale of capital assets (Explain in Part VI.)                                |         |         |         |         |         |          |
| <b>11 Total support.</b> Add lines 7 through 10   |         |         |         |         |         |          |

**12** Gross receipts from related activities, etc. (see instructions) **12**

**13 First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here**

**Section C. Computation of Public Support Percentage**

|  |           |  |
|--|-----------|--|
| <b>14</b> Public support percentage for 2015 (line 6, column (f) divided by line 11, column (f)) | <b>14</b> |  |
| <b>15</b> Public support percentage for 2014 Schedule A, Part II, line 14                        | <b>15</b> |  |

**16a 33 1/3% support test—2015.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization

**b 33 1/3% support test—2014.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization

**17a 10%-facts-and-circumstances test—2015.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the facts-and-circumstances test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization

**b 10%-facts-and-circumstances test—2014.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization

**18 Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions

**Part III Support Schedule for Organizations Described in Section 509(a)(2)**

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

**Section A. Public Support**

| Calendar year<br>(or fiscal year beginning in) ▶  | (a)2011 | (b)2012 | (c)2013 | (d)2014 | (e)2015 | (f)Total |
|---|---------|---------|---------|---------|---------|----------|
| <b>1</b> Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")  |         |         |         |         |         |          |
| <b>2</b> Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose |         |         |         |         |         |          |
| <b>3</b> Gross receipts from activities that are not an unrelated trade or business under section 513   |         |         |         |         |         |          |
| <b>4</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf  |         |         |         |         |         |          |
| <b>5</b> The value of services or facilities furnished by a governmental unit to the organization without charge  |         |         |         |         |         |          |
| <b>6 Total.</b> Add lines 1 through 5   |         |         |         |         |         |          |
| <b>7a</b> Amounts included on lines 1, 2, and 3 received from disqualified persons  |         |         |         |         |         |          |
| <b>b</b> Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year           |         |         |         |         |         |          |
| <b>c</b> Add lines 7a and 7b  |         |         |         |         |         |          |
| <b>8 Public support.</b> (Subtract line 7c from line 6.)  |         |         |         |         |         |          |

**Section B. Total Support**

| Calendar year<br>(or fiscal year beginning in) ▶  | (a)2011 | (b)2012 | (c)2013 | (d)2014 | (e)2015 | (f)Total |
|---|---------|---------|---------|---------|---------|----------|
| <b>9</b> Amounts from line 6  |         |         |         |         |         |          |
| <b>10a</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources |         |         |         |         |         |          |
| <b>b</b> Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975                          |         |         |         |         |         |          |
| <b>c</b> Add lines 10a and 10b  |         |         |         |         |         |          |
| <b>11</b> Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on     |         |         |         |         |         |          |
| <b>12</b> Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)                                 |         |         |         |         |         |          |
| <b>13 Total support.</b> (Add lines 9, 10c, 11, and 12.)  |         |         |         |         |         |          |

**14 First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ▶

**Section C. Computation of Public Support Percentage**

|  |           |  |
|--|-----------|--|
| <b>15</b> Public support percentage for 2015 (line 8, column (f) divided by line 13, column (f)) | <b>15</b> |  |
| <b>16</b> Public support percentage from 2014 Schedule A, Part III, line 15                      | <b>16</b> |  |

**Section D. Computation of Investment Income Percentage**

|  |           |  |
|--|-----------|--|
| <b>17</b> Investment income percentage for <b>2015</b> (line 10c, column (f) divided by line 13, column (f)) | <b>17</b> |  |
| <b>18</b> Investment income percentage from <b>2014</b> Schedule A, Part III, line 17                        | <b>18</b> |  |

**19a 33 1/3% support tests—2015.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ▶

**b 33 1/3% support tests—2014.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ▶

**20 Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶

**Part IV Supporting Organizations**

(Complete only if you checked a box on line 11 of Part I. If you checked 11a of Part I, complete Sections A and B. If you checked 11b of Part I, complete Sections A and C. If you checked 11c of Part I, complete Sections A, D, and E. If you checked 11d of Part I, complete Sections A and D, and complete Part V.)

**Section A. All Supporting Organizations**

|   | Yes | No |
|---|-----|----|
| <b>1</b> Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in <b>Part VI</b> how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.   |     |    |
| <b>2</b> Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in <b>Part VI</b> how the organization determined that the supported organization was described in section 509(a)(1) or (2).  |     |    |
| <b>3a</b> Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer (b) and (c) below.   |     |    |
| <b>b</b> Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in <b>Part VI</b> when and how the organization made the determination.  |     |    |
| <b>c</b> Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in <b>Part VI</b> what controls the organization put in place to ensure such use.   |     |    |
| <b>4a</b> Was any supported organization not organized in the United States ("foreign supported organization")? If "Yes" and if you checked 11a or 11b in Part I, answer (b) and (c) below.   |     |    |
| <b>b</b> Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.  |     |    |
| <b>c</b> Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.   |     |    |
| <b>5a</b> Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed, (ii) the reasons for each such action, (iii) the authority under the organization's organizing document authorizing such action, and (iv) how the action was accomplished (such as by amendment to the organizing document). |     |    |
| <b>b Type I or Type II only.</b> Was any added or substituted supported organization part of a class already designated in the organization's organizing document?  |     |    |
| <b>c Substitutions only.</b> Was the substitution the result of an event beyond the organization's control?   |     |    |
| <b>6</b> Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (a) its supported organizations, (b) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (c) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in <b>Part VI</b> .   |     |    |
| <b>7</b> Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in IRC 4958(c)(3)(C)), a family member of a substantial contributor, or a 35-percent controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990).  |     |    |
| <b>8</b> Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If "Yes," complete Part II of Schedule L (Form 990).   |     |    |
| <b>9a</b> Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in <b>Part VI</b> .  |     |    |
| <b>b</b> Did one or more disqualified persons (as defined in line 9(a)) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes," provide detail in <b>Part VI</b> .  |     |    |
| <b>c</b> Did a disqualified person (as defined in line 9(a)) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in <b>Part VI</b> .   |     |    |
| <b>10a</b> Was the organization subject to the excess business holdings rules of IRC 4943 because of IRC 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If "Yes," answer b below.   |     |    |
| <b>b</b> Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)   |     |    |
| <b>11</b> Has the organization accepted a gift or contribution from any of the following persons?   |     |    |
| <b>a</b> A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?  |     |    |
| <b>b</b> A family member of a person described in (a) above?  |     |    |
| <b>c</b> A 35% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in Part VI.  |     |    |

**Part IV Supporting Organizations** (continued)**Section B. Type I Supporting Organizations**

- 1** Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? *If "No," describe in **Part VI** how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.*
- 2** Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? *If "Yes," explain in **Part VI** how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised or controlled the supporting organization.*

|          | Yes | No |
|----------|-----|----|
| <b>1</b> |     |    |
| <b>2</b> |     |    |

**Section C. Type II Supporting Organizations**

- 1** Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? *If "No," describe in **Part VI** how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).*

|          | Yes | No |
|----------|-----|----|
| <b>1</b> |     |    |

**Section D. All Type III Supporting Organizations**

- 1** Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (1) a written notice describing the type and amount of support provided during the prior tax year, (2) a copy of the Form 990 that was most recently filed as of the date of notification, and (3) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?
- 2** Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? *If "No," explain in **Part VI** how the organization maintained a close and continuous working relationship with the supported organization(s).*
- 3** By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? *If "Yes," describe in **Part VI** the role the organization's supported organizations played in this regard.*

|          | Yes | No |
|----------|-----|----|
| <b>1</b> |     |    |
| <b>2</b> |     |    |
| <b>3</b> |     |    |

**Section E. Type III Functionally-Integrated Supporting Organizations**

- 1** Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (**see instructions**)
- a**  The organization satisfied the Activities Test. Complete **line 2** below.
- b**  The organization is the parent of each of its supported organizations. Complete **line 3** below.
- c**  The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions).
- 2** Activities Test **Answer (a) and (b) below.**

- a** Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? *If "Yes," then in **Part VI** identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.*
- b** Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? *If "Yes," explain in **Part VI** the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.*
- 3** Parent of Supported Organizations **Answer (a) and (b) below.**
- a** Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? *Provide details in Part VI.*
- b** Did the organization exercise a substantial degree of direction over the policies, programs and activities of each of its supported organizations? *If "Yes," describe in Part VI the role played by the organization in this regard.*

|           | Yes | No |
|-----------|-----|----|
| <b>2a</b> |     |    |
| <b>2b</b> |     |    |
| <b>3a</b> |     |    |
| <b>3b</b> |     |    |

**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations**

- 1** Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov 20, 1970 **See instructions.** All other Type III non-functionally integrated supporting organizations must complete Sections A through E

**Section A - Adjusted Net Income**

|   | (A) Prior Year | (B) Current Year (optional) |
|---|----------------|-----------------------------|
| <b>1</b> Net short-term capital gain  | <b>1</b>       |                             |
| <b>2</b> Recoveries of prior-year distributions   | <b>2</b>       |                             |
| <b>3</b> Other gross income (see instructions)  | <b>3</b>       |                             |
| <b>4</b> Add lines 1 through 3  | <b>4</b>       |                             |
| <b>5</b> Depreciation and depletion   | <b>5</b>       |                             |
| <b>6</b> Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions) | <b>6</b>       |                             |
| <b>7</b> Other expenses (see instructions)  | <b>7</b>       |                             |
| <b>8</b> <b>Adjusted Net Income</b> (subtract lines 5, 6 and 7 from line 4)   | <b>8</b>       |                             |

**Section B - Minimum Asset Amount**

|   | (A) Prior Year | (B) Current Year (optional) |
|---|----------------|-----------------------------|
| <b>1</b> Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year) | <b>1</b>       |                             |
| <b>a</b> Average monthly value of securities  | <b>1a</b>      |                             |
| <b>b</b> Average monthly cash balances  | <b>1b</b>      |                             |
| <b>c</b> Fair market value of other non-exempt-use assets   | <b>1c</b>      |                             |
| <b>d</b> <b>Total</b> (add lines 1a, 1b, and 1c)  | <b>1d</b>      |                             |
| <b>e</b> <b>Discount</b> claimed for blockage or other factors (explain in detail in Part VI) _____                                     |                |                             |
| <b>2</b> Acquisition indebtedness applicable to non-exempt use assets   | <b>2</b>       |                             |
| <b>3</b> Subtract line 2 from line 1d   | <b>3</b>       |                             |
| <b>4</b> Cash deemed held for exempt use Enter 1-1/2% of line 3 (for greater amount, see instructions)                                  | <b>4</b>       |                             |
| <b>5</b> Net value of non-exempt-use assets (subtract line 4 from line 3)   | <b>5</b>       |                             |
| <b>6</b> Multiply line 5 by 0.35  | <b>6</b>       |                             |
| <b>7</b> Recoveries of prior-year distributions   | <b>7</b>       |                             |
| <b>8</b> <b>Minimum Asset Amount</b> (add line 7 to line 6)   | <b>8</b>       |                             |

**Section C - Distributable Amount**

|   |          | Current Year |
|---|----------|--------------|
| <b>1</b> Adjusted net income for prior year (from Section A, line 8, Column A)  | <b>1</b> |              |
| <b>2</b> Enter 85% of line 1  | <b>2</b> |              |
| <b>3</b> Minimum asset amount for prior year (from Section B, line 8, Column A)   | <b>3</b> |              |
| <b>4</b> Enter greater of line 2 or line 3  | <b>4</b> |              |
| <b>5</b> Income tax imposed in prior year   | <b>5</b> |              |
| <b>6</b> <b>Distributable Amount.</b> Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)   | <b>6</b> |              |
| <b>7</b> Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions) <input type="checkbox"/> |          |              |

**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)**

| <b>Section D - Distributions</b>  | <b>Current Year</b> |
|---|---------------------|
| <b>1</b> Amounts paid to supported organizations to accomplish exempt purposes  |                     |
| <b>2</b> Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity    |                     |
| <b>3</b> Administrative expenses paid to accomplish exempt purposes of supported organizations  |                     |
| <b>4</b> Amounts paid to acquire exempt-use assets  |                     |
| <b>5</b> Qualified set-aside amounts (prior IRS approval required)  |                     |
| <b>6</b> Other distributions (describe in Part VI) See instructions   |                     |
| <b>7 Total annual distributions.</b> Add lines 1 through 6  |                     |
| <b>8</b> Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI) See instructions |                     |
| <b>9</b> Distributable amount for 2015 from Section C, line 6   |                     |
| <b>10</b> Line 8 amount divided by Line 9 amount  |                     |

| <b>Section E - Distribution Allocations (see instructions)</b>   | <b>(i)<br/>Excess Distributions</b> | <b>(ii)<br/>Underdistributions<br/>Pre-2015</b> | <b>(iii)<br/>Distributable<br/>Amount for 2015</b> |
|--|-------------------------------------|---|--|
| <b>1</b> Distributable amount for 2015 from Section C, line 6  |                                     |   |  |
| <b>2</b> Underdistributions, if any, for years prior to 2015 (reasonable cause required--see instructions)   |                                     |   |  |
| <b>3</b> Excess distributions carryover, if any, to 2015   |                                     |   |  |
| <b>a</b>   |                                     |   |  |
| <b>b</b>   |                                     |   |  |
| <b>c</b>   |                                     |   |  |
| <b>d</b> From 2013. . . . .  |                                     |   |  |
| <b>e</b> From 2014. . . . .  |                                     |   |  |
| <b>f Total</b> of lines 3a through e   |                                     |   |  |
| <b>g</b> Applied to underdistributions of prior years  |                                     |   |  |
| <b>h</b> Applied to 2015 distributable amount  |                                     |   |  |
| <b>i</b> Carryover from 2010 not applied (see instructions)  |                                     |   |  |
| <b>j</b> Remainder Subtract lines 3g, 3h, and 3i from 3f   |                                     |   |  |
| <b>4</b> Distributions for 2015 from Section D, line 7   |                                     |   |  |
| \$ _____   |                                     |   |  |
| <b>a</b> Applied to underdistributions of prior years  |                                     |   |  |
| <b>b</b> Applied to 2015 distributable amount  |                                     |   |  |
| <b>c</b> Remainder Subtract lines 4a and 4b from 4   |                                     |   |  |
| <b>5</b> Remaining underdistributions for years prior to 2015, if any Subtract lines 3g and 4a from line 2 (if amount greater than zero, see instructions) |                                     |   |  |
| <b>6</b> Remaining underdistributions for 2015 Subtract lines 3h and 4b from line 1 (if amount greater than zero, see instructions)                        |                                     |   |  |
| <b>7 Excess distributions carryover to 2016.</b> Add lines 3j and 4c   |                                     |   |  |
| <b>8</b> Breakdown of line 7   |                                     |   |  |
| <b>a</b>   |                                     |   |  |
| <b>b</b>   |                                     |   |  |
| <b>c</b> Excess from 2013. . . . .   |                                     |   |  |
| <b>d</b> From 2014. . . . .  |                                     |   |  |
| <b>e</b> From 2015. . . . .  |                                     |   |  |

**Part VI Supplemental Information.**

Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions).

|                                     |
|-------------------------------------|
| <b>Facts And Circumstances Test</b> |
|                                     |

| Return Reference | Explanation |
|------------------|-------------|
|                  |             |

SCHEDULE C (Form 990 or 990-EZ)

Political Campaign and Lobbying Activities

OMB No 1545-0047

2015

Open to Public Inspection

Department of the Treasury Internal Revenue Service

For Organizations Exempt From Income Tax Under section 501(c) and section 527
Complete if the organization is described below. Attach to Form 990 or Form 990-EZ.
Information about Schedule C (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

If the organization answered "Yes" on Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
Section 527 organizations Complete Part I-A only

If the organization answered "Yes" on Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

If the organization answered "Yes" on Form 990, Part IV, Line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then

- Section 501(c)(4), (5), or (6) organizations Complete Part III

Table with 2 columns: Name of the organization (Carrington Health Center) and Employer identification number (45-0227311)

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

- 1 Provide a description of the organization's direct and indirect political campaign activities in Part IV
2 Political expenditures \$
3 Volunteer hours

Part I-B Complete if the organization is exempt under section 501(c)(3).

- 1 Enter the amount of any excise tax incurred by the organization under section 4955 \$
2 Enter the amount of any excise tax incurred by organization managers under section 4955 \$
3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? Yes No
4a Was a correction made? Yes No
b If "Yes," describe in Part IV

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

- 1 Enter the amount directly expended by the filing organization for section 527 exempt function activities \$
2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities \$
3 Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b \$
4 Did the filing organization file Form 1120-POL for this year? Yes No
5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments

Table with 5 columns: (a) Name, (b) Address, (c) EIN, (d) Amount paid from filing organization's funds, (e) Amount of political contributions received

**Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).**

**A** Check  if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures)

**B** Check  if the filing organization checked box A and "limited control" provisions apply

**Limits on Lobbying Expenditures**  
(The term "expenditures" means amounts paid or incurred.)

| (a) Filing organization's totals | (b) Affiliated group totals |
|----------------------------------|-----------------------------|
|----------------------------------|-----------------------------|

| <b>1a</b> Total lobbying expenditures to influence public opinion (grass roots lobbying)   |   |                                    |                    |                              |   |   |   |   |  |  |                   |             |  |  |
|--|---|------------------------------------|--------------------|------------------------------|---|---|---|---|--|--|-------------------|-------------|--|--|
| <b>b</b> Total lobbying expenditures to influence a legislative body (direct lobbying)   |   |                                    |                    |                              |   |   |   |   |  |  |                   |             |  |  |
| <b>c</b> Total lobbying expenditures (add lines 1a and 1b)   |   |                                    |                    |                              |   |   |   |   |  |  |                   |             |  |  |
| <b>d</b> Other exempt purpose expenditures   |   |                                    |                    |                              |   |   |   |   |  |  |                   |             |  |  |
| <b>e</b> Total exempt purpose expenditures (add lines 1c and 1d)   |   |                                    |                    |                              |   |   |   |   |  |  |                   |             |  |  |
| <b>f</b> Lobbying nontaxable amount Enter the amount from the following table in both columns  |   |                                    |                    |                              |   |   |   |   |  |  |                   |             |  |  |
| <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 50%;">If the amount on line 1e, column (a) or (b) is:</th> <th style="width: 50%;">The lobbying nontaxable amount is:</th> </tr> <tr> <td>Not over \$500,000</td> <td>20% of the amount on line 1e</td> </tr> <tr> <td>Over \$500,000 but not over \$1,000,000</td> <td>\$100,000 plus 15% of the excess over \$500,000</td> </tr> <tr> <td>Over \$1,000,000 but not over \$1,500,000</td> <td>\$175,000 plus 10% of the excess over \$1,000,000</td> </tr> <tr> <td>Over \$1,500,000 but not over \$17,000,000</td> <td>\$225,000 plus 5% of the excess over \$1,500,000</td> </tr> <tr> <td>Over \$17,000,000</td> <td>\$1,000,000</td> </tr> </table> | If the amount on line 1e, column (a) or (b) is:   | The lobbying nontaxable amount is: | Not over \$500,000 | 20% of the amount on line 1e | Over \$500,000 but not over \$1,000,000 | \$100,000 plus 15% of the excess over \$500,000 | Over \$1,000,000 but not over \$1,500,000 | \$175,000 plus 10% of the excess over \$1,000,000 | Over \$1,500,000 but not over \$17,000,000 | \$225,000 plus 5% of the excess over \$1,500,000 | Over \$17,000,000 | \$1,000,000 |  |  |
| If the amount on line 1e, column (a) or (b) is:  | The lobbying nontaxable amount is:                |                                    |                    |                              |   |   |   |   |  |  |                   |             |  |  |
| Not over \$500,000   | 20% of the amount on line 1e                      |                                    |                    |                              |   |   |   |   |  |  |                   |             |  |  |
| Over \$500,000 but not over \$1,000,000  | \$100,000 plus 15% of the excess over \$500,000   |                                    |                    |                              |   |   |   |   |  |  |                   |             |  |  |
| Over \$1,000,000 but not over \$1,500,000  | \$175,000 plus 10% of the excess over \$1,000,000 |                                    |                    |                              |   |   |   |   |  |  |                   |             |  |  |
| Over \$1,500,000 but not over \$17,000,000   | \$225,000 plus 5% of the excess over \$1,500,000  |                                    |                    |                              |   |   |   |   |  |  |                   |             |  |  |
| Over \$17,000,000  | \$1,000,000                                       |                                    |                    |                              |   |   |   |   |  |  |                   |             |  |  |
| <b>g</b> Grassroots nontaxable amount (enter 25% of line 1f)   |   |                                    |                    |                              |   |   |   |   |  |  |                   |             |  |  |
| <b>h</b> Subtract line 1g from line 1a If zero or less, enter -0-  |   |                                    |                    |                              |   |   |   |   |  |  |                   |             |  |  |
| <b>i</b> Subtract line 1f from line 1c If zero or less, enter -0-  |   |                                    |                    |                              |   |   |   |   |  |  |                   |             |  |  |
| <b>j</b> If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?   |   |                                    |                    |                              |   |   |   |   |  |  |                   |             |  |  |

**Yes**     **No**

**4-Year Averaging Period Under section 501(h)**  
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)

**Lobbying Expenditures During 4-Year Averaging Period**

| Calendar year (or fiscal year beginning in)                      | (a)2012 | (b)2013 | (c)2014 | (d)2015 | (e) Total |
|--|---------|---------|---------|---------|-----------|
| <b>2a</b> Lobbying nontaxable amount                             |         |         |         |         |           |
| <b>b</b> Lobbying ceiling amount (150% of line 2a, column(e))    |         |         |         |         |           |
| <b>c</b> Total lobbying expenditures                             |         |         |         |         |           |
| <b>d</b> Grassroots nontaxable amount                            |         |         |         |         |           |
| <b>e</b> Grassroots ceiling amount (150% of line 2d, column (e)) |         |         |         |         |           |
| <b>f</b> Grassroots lobbying expenditures                        |         |         |         |         |           |

**Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).**

For each "Yes" response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity

- 1** During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of
  - a** Volunteers?
  - b** Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?
  - c** Media advertisements?
  - d** Mailings to members, legislators, or the public?
  - e** Publications, or published or broadcast statements?
  - f** Grants to other organizations for lobbying purposes?
  - g** Direct contact with legislators, their staffs, government officials, or a legislative body?
  - h** Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?
  - i** Other activities?
  - j** Total Add lines 1c through 1i
- 2a** Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?
- b** If "Yes," enter the amount of any tax incurred under section 4912
- c** If "Yes," enter the amount of any tax incurred by organization managers under section 4912
- d** If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?

|  | (a) |    | (b)    |
|--|-----|----|--------|
|  | Yes | No | Amount |
|  |     | No |        |
|  | Yes |    | 1,499  |
|  |     | No |        |
|  |     | No |        |
|  |     | No |        |
|  |     |    | 1,499  |
|  |     | No |        |
|  |     |    |        |
|  |     |    |        |

**Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).**

- 1** Were substantially all (90% or more) dues received nondeductible by members?
- 2** Did the organization make only in-house lobbying expenditures of \$2,000 or less?
- 3** Did the organization agree to carry over lobbying and political expenditures from the prior year?

|          | Yes | No |
|----------|-----|----|
| <b>1</b> |     |    |
| <b>2</b> |     |    |
| <b>3</b> |     |    |

**Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."**

- 1** Dues, assessments and similar amounts from members
- 2** Section 162(e) nondeductible lobbying and political expenditures (**do not include amounts of political expenses for which the section 527(f) tax was paid**).
  - a** Current year
  - b** Carryover from last year
  - c** Total
- 3** Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues
- 4** If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?
- 5** Taxable amount of lobbying and political expenditures (see instructions)

|           |  |
|-----------|--|
| <b>1</b>  |  |
| <b>2a</b> |  |
| <b>2b</b> |  |
| <b>2c</b> |  |
| <b>3</b>  |  |
| <b>4</b>  |  |
| <b>5</b>  |  |

**Part IV Supplemental Information**

Provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, Part II-A (affiliated group list), Part II-A, lines 1 and 2 (see instructions), and Part II-B, line 1 Also, complete this part for any additional information

| Return Reference   | Explanation   |
|--|---|
| Schedule C, Part II-B, Line 1<br>DETAILED DESCRIPTION OF THE LOBBYING ACTIVITY | LINE 1F THE PORTION OF ORGANIZATION DUES THAT ARE RELATED TO LOBBYING IS AS FOLLOWS - AMERICAN HOSPITAL ASSOCIATION - \$517 - CATHOLIC HEALTH ASSOCIATION - \$314 - NORTH DAKOTA HEALTHCARE ASSOCIATION - \$668 |
| Schedule C, Part II-B, Line 1<br>DETAILED DESCRIPTION OF THE LOBBYING ACTIVITY | LINE 1F THE PORTION OF ORGANIZATION DUES THAT ARE RELATED TO LOBBYING IS AS FOLLOWS - AMERICAN HOSPITAL ASSOCIATION - \$517 - CATHOLIC HEALTH ASSOCIATION - \$314 - NORTH DAKOTA HEALTHCARE ASSOCIATION - \$668 |

**SCHEDULE D**  
(Form 990)  
  
Department of the Treasury  
Internal Revenue Service

**Supplemental Financial Statements**  
**► Complete if the organization answered "Yes," on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.**  
**► Attach to Form 990.**  
Information about Schedule D (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047  
**2015**  
**Open to Public Inspection**

**Name of the organization**  
Carrington Health Center  
**Employer identification number**  
45-0227311

**Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.**  
Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

|  | (a) Donor advised funds      | (b) Funds and other accounts |
|--|------------------------------|------------------------------|
| <b>1</b> Total number at end of year   |                              |                              |
| <b>2</b> Aggregate value of contributions to (during year)   |                              |                              |
| <b>3</b> Aggregate value of grants from (during year)  |                              |                              |
| <b>4</b> Aggregate value at end of year  |                              |                              |
| <b>5</b> Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?  | <input type="checkbox"/> Yes | <input type="checkbox"/> No  |
| <b>6</b> Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? | <input type="checkbox"/> Yes | <input type="checkbox"/> No  |

**Part II Conservation Easements.** Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

**1** Purpose(s) of conservation easements held by the organization (check all that apply)

Preservation of land for public use (e.g., recreation or education)       Preservation of an historically important land area

Protection of natural habitat       Preservation of a certified historic structure

Preservation of open space

**2** Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

|   | Held at the End of the Year |
|---|-----------------------------|
| <b>a</b> Total number of conservation easements   | <b>2a</b>                   |
| <b>b</b> Total acreage restricted by conservation easements   | <b>2b</b>                   |
| <b>c</b> Number of conservation easements on a certified historic structure included in (a)   | <b>2c</b>                   |
| <b>d</b> Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register | <b>2d</b>                   |

**3** Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ► \_\_\_\_\_

**4** Number of states where property subject to conservation easement is located ► \_\_\_\_\_

**5** Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?       Yes       No

**6** Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year  
► \_\_\_\_\_

**7** Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year  
► \$ \_\_\_\_\_

**8** Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4) (B)(i) and section 170(h)(4)(B)(ii)?       Yes       No

**9** In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.**  
Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

**1a** If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items

**b** If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenue included on Form 990, Part VIII, line 1      ► \$ \_\_\_\_\_

(ii) Assets included in Form 990, Part X      ► \$ \_\_\_\_\_

**2** If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items

**a** Revenue included on Form 990, Part VIII, line 1      ► \$ \_\_\_\_\_

**b** Assets included in Form 990, Part X      ► \$ \_\_\_\_\_

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets**  
(continued)

- 3** Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)
- a**  Public exhibition
  - b**  Scholarly research
  - c**  Preservation for future generations
  - d**  Loan or exchange programs
  - e**  Other
- 4** Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII
- 5** During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?  **Yes**  **No**

**Part IV Escrow and Custodial Arrangements.**  
Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

- 1a** Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?  **Yes**  **No**

**b** If "Yes," explain the arrangement in Part XIII and complete the following table

|  | Amount    |
|--|-----------|
| <b>c</b> Beginning balance             | <b>1c</b> |
| <b>d</b> Additions during the year     | <b>1d</b> |
| <b>e</b> Distributions during the year | <b>1e</b> |
| <b>f</b> Ending balance                | <b>1f</b> |

- 2a** Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability?  **Yes**  **No**

- b** If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII . . . . .

**Part V Endowment Funds.** Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

|   | (a) Current year | (b) Prior year | (c) Two years back | (d) Three years back | (e) Four years back |
|---|------------------|----------------|--------------------|----------------------|---------------------|
| <b>1a</b> Beginning of year balance . . . . .                     | 22,174           | 16,320         | 5,049              | 0                    | 0                   |
| <b>b</b> Contributions . . . . .                                  | 0                | 5,260          | 10,000             | 5,000                | 0                   |
| <b>c</b> Net investment earnings, gains, and losses . . . . .     | -168             | 594            | 1,271              | 49                   | 0                   |
| <b>d</b> Grants or scholarships . . . . .                         |                  |                |                    |                      | 0                   |
| <b>e</b> Other expenditures for facilities and programs . . . . . |                  |                |                    |                      | 0                   |
| <b>f</b> Administrative expenses . . . . .                        |                  |                |                    | 0                    | 0                   |
| <b>g</b> End of year balance . . . . .                            | 22,006           | 22,174         | 16,320             | 5,049                | 0                   |

- 2** Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as

- a** Board designated or quasi-endowment ▶ 0 %
  - b** Permanent endowment ▶ 92.07 %
  - c** Temporarily restricted endowment ▶ 7.93 %
- The percentages on lines 2a, 2b, and 2c should equal 100%

- 3a** Are there endowment funds not in the possession of the organization that are held and administered for the organization by

- (i)** unrelated organizations . . . . .
- (ii)** related organizations . . . . .

|               | Yes | No |
|---------------|-----|----|
| <b>3a(i)</b>  |     | No |
| <b>3a(ii)</b> |     | No |
| <b>3b</b>     |     |    |

- b** If "Yes" on 3a(ii), are the related organizations listed as required on Schedule R? . . . . .
- 4** Describe in Part XIII the intended uses of the organization's endowment funds

**Part VI Land, Buildings, and Equipment.**

Complete if the organization answered 'Yes' to Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

| Description of property  | (a)<br>Cost or other basis<br>(investment) | (b)<br>Cost or other basis<br>(other) | (c)<br>Accumulated<br>depreciation | (d)<br>Book value |
|--|--|---------------------------------------|------------------------------------|-------------------|
| <b>1a</b> Land . . . . .   |  | 346,958                               |                                    | 346,958           |
| <b>b</b> Buildings . . . . .   |  | 5,929,999                             | 5,322,152                          | 607,847           |
| <b>c</b> Leasehold improvements . . . . .  |  | 0                                     | 0                                  | 0                 |
| <b>d</b> Equipment . . . . .   |  | 8,404,856                             | 4,182,136                          | 4,222,720         |
| <b>e</b> Other . . . . .   |  | 290,520                               | 230,209                            | 60,311            |
| <b>Total.</b> Add lines 1a through 1e (Column (d) must equal Form 990, Part X, column (B), line 10(c)) . . . . . |  |                                       |                                    | 5,237,836         |

**Part VII Investments—Other Securities.** Complete if the organization answered 'Yes' on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

| (a) Description of security or category<br>(including name of security)  | (b) Book value | (c) Method of valuation<br>Cost or end-of-year market value |
|--|----------------|---|
| (1) Financial derivatives  |                |   |
| (2) Closely-held equity interests  |                |   |
| (3) Other<br>(A) CHI OIP   | 5,744,546      | F   |
|  |                |   |
|  |                |   |
|  |                |   |
|  |                |   |
|  |                |   |
|  |                |   |
|  |                |   |
| <b>Total.</b> (Column (b) must equal Form 990, Part X, col (B) line 12 ) | 5,744,546      |   |

**Part VIII Investments—Program Related.** Complete if the organization answered 'Yes' on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

| (a) Description of investment  | (b) Book value | (c) Method of valuation<br>Cost or end-of-year market value |
|--|----------------|---|
|  |                |   |
|  |                |   |
|  |                |   |
|  |                |   |
|  |                |   |
|  |                |   |
|  |                |   |
|  |                |   |
|  |                |   |
| <b>Total.</b> (Column (b) must equal Form 990, Part X, col (B) line 13 ) |                |   |

**Part IX Other Assets.** Complete if the organization answered 'Yes' on Form 990, Part IV, line 11d. See Form 990, Part X, line 15

| (a) Description  | (b) Book value |
|--|----------------|
|  |                |
|  |                |
|  |                |
|  |                |
|  |                |
|  |                |
|  |                |
|  |                |
|  |                |
| <b>Total.</b> (Column (b) must equal Form 990, Part X, col (B) line 15 ) |                |

**Part X Other Liabilities.** Complete if the organization answered 'Yes' on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

| 1. (a) Description of liability  | (b) Book value |
|--|----------------|
| Federal income taxes   |                |
| Intercompany payable   | 148,585        |
|  |                |
|  |                |
|  |                |
|  |                |
|  |                |
|  |                |
| <b>Total.</b> (Column (b) must equal Form 990, Part X, col (B) line 25 ) | 148,585        |

**2. Liability for uncertain tax positions.** In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII

**Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return**

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

|          |   |           |           |  |
|----------|---|-----------|-----------|--|
| <b>1</b> | Total revenue, gains, and other support per audited financial statements . . . . .                      |           | <b>1</b>  |  |
| <b>2</b> | Amounts included on line 1 but not on Form 990, Part VIII, line 12                                      |           |           |  |
| <b>a</b> | Net unrealized gains (losses) on investments . . . . .  | <b>2a</b> |           |  |
| <b>b</b> | Donated services and use of facilities . . . . .  | <b>2b</b> |           |  |
| <b>c</b> | Recoveries of prior year grants . . . . .   | <b>2c</b> |           |  |
| <b>d</b> | Other (Describe in Part XIII ) . . . . .  | <b>2d</b> |           |  |
| <b>e</b> | Add lines <b>2a</b> through <b>2d</b> . . . . .   |           | <b>2e</b> |  |
| <b>3</b> | Subtract line <b>2e</b> from line <b>1</b> . . . . .  |           | <b>3</b>  |  |
| <b>4</b> | Amounts included on Form 990, Part VIII, line 12, but not on line <b>1</b>                              |           |           |  |
| <b>a</b> | Investment expenses not included on Form 990, Part VIII, line 7b . . . . .                              | <b>4a</b> |           |  |
| <b>b</b> | Other (Describe in Part XIII ) . . . . .  | <b>4b</b> |           |  |
| <b>c</b> | Add lines <b>4a</b> and <b>4b</b> . . . . .   |           | <b>4c</b> |  |
| <b>5</b> | Total revenue Add lines <b>3</b> and <b>4c</b> . (This must equal Form 990, Part I, line 12 ) . . . . . |           | <b>5</b>  |  |

**Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.**

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

|          |  |           |           |  |
|----------|--|-----------|-----------|--|
| <b>1</b> | Total expenses and losses per audited financial statements . . . . .                                     |           | <b>1</b>  |  |
| <b>2</b> | Amounts included on line 1 but not on Form 990, Part IX, line 25   |           |           |  |
| <b>a</b> | Donated services and use of facilities . . . . .   | <b>2a</b> |           |  |
| <b>b</b> | Prior year adjustments . . . . .   | <b>2b</b> |           |  |
| <b>c</b> | Other losses . . . . .   | <b>2c</b> |           |  |
| <b>d</b> | Other (Describe in Part XIII ) . . . . .   | <b>2d</b> |           |  |
| <b>e</b> | Add lines <b>2a</b> through <b>2d</b> . . . . .  |           | <b>2e</b> |  |
| <b>3</b> | Subtract line <b>2e</b> from line <b>1</b> . . . . .   |           | <b>3</b>  |  |
| <b>4</b> | Amounts included on Form 990, Part IX, line 25, but not on line <b>1</b> :                               |           |           |  |
| <b>a</b> | Investment expenses not included on Form 990, Part VIII, line 7b . . . . .                               | <b>4a</b> |           |  |
| <b>b</b> | Other (Describe in Part XIII ) . . . . .   | <b>4b</b> |           |  |
| <b>c</b> | Add lines <b>4a</b> and <b>4b</b> . . . . .  |           | <b>4c</b> |  |
| <b>5</b> | Total expenses Add lines <b>3</b> and <b>4c</b> . (This must equal Form 990, Part I, line 18 ) . . . . . |           | <b>5</b>  |  |

**Part XIII Supplemental Information**

Provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

| Return Reference  | Explanation  |
|---|--|
| Schedule D, Part V, Line 4 Intended uses of endowment funds | A contribution was made to the Foundation for a permanent endowment and the earnings generated from it are temporarily restricted for the Foundation to be used to support Carrington Health Center's greatest needs |

**Part XIII** Supplemental Information *(continued)*

| Return Reference | Explanation |
|------------------|-------------|
|                  |             |
|                  |             |
|                  |             |
|                  |             |
|                  |             |
|                  |             |
|                  |             |
|                  |             |
|                  |             |
|                  |             |

SCHEDULE G (Form 990 or 990-EZ)

Supplemental Information Regarding Fundraising or Gaming Activities

OMB No 1545-0047

2015

Open to Public Inspection

Complete if the organization answered "Yes" on Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a

Attach to Form 990 or Form 990-EZ

Information about Schedule G (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990

Department of the Treasury Internal Revenue Service

Name of the organization Carrington Health Center

Employer identification number

45-0227311

Part I Fundraising Activities. Complete if the organization answered "Yes" on Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

1 Indicate whether the organization raised funds through any of the following activities. Check all that apply.

- a Mail solicitations, b Internet and email solicitations, c Phone solicitations, d In-person solicitations, e Solicitation of non-government grants, f Solicitation of government grants, g Special fundraising events

2a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? Yes No

b If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization

Table with 6 columns: (i) Name and address of individual or entity (fundraiser), (ii) Activity, (iii) Did fundraiser have custody or control of contributions?, (iv) Gross receipts from activity, (v) Amount paid to (or retained by) fundraiser listed in col (i), (vi) Amount paid to (or retained by) organization. Includes rows 1-10 and a Total row.

3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing

**Part II Fundraising Events.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

|   |  | (a)Event #1                         | (b)Event #2             | (c)Other events           | (d)  |
|---|--|-------------------------------------|-------------------------|---------------------------|--|
|   |  | <u>Spring Fling</u><br>(event type) | <u></u><br>(event type) | <u></u><br>(total number) | Total events<br>(add col (a) through<br>col (c)) |
| <b>Revenue</b>  | <b>1</b> Gross receipts . . . . .  | 23,580                              |                         |                           | 23,580   |
|   | <b>2</b> Less Contributions . . . . .  | 10,587                              |                         |                           | 10,587   |
|   | <b>3</b> Gross income (line 1 minus<br>line 2) . . . . .                         | 12,993                              | 0                       | 0                         | 12,993   |
| <b>Direct Expenses</b>  | <b>4</b> Cash prizes . . . . .   |                                     |                         |                           |  |
|   | <b>5</b> Noncash prizes . . . . .  | 5,098                               |                         |                           | 5,098  |
|   | <b>6</b> Rent/facility costs . . . . .   |                                     |                         |                           |  |
|   | <b>7</b> Food and beverages . . . . .  | 2,013                               |                         |                           | 2,013  |
|   | <b>8</b> Entertainment . . . . .   | 2,250                               |                         |                           | 2,250  |
|   | <b>9</b> Other direct expenses . . . . .   | 1,412                               |                         |                           | 1,412  |
|   | <b>10</b> Direct expense summary Add lines 4 through 9 in column (d) . . . . . ▶ |                                     |                         |                           | 10,773   |
| <b>11</b> Net income summary Subtract line 10 from line 3, column (d) . . . . . ▶ |  |                                     |                         | 2,220                     |  |

**Part III Gaming.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

|  |   | (a)Bingo  | (b)Pull tabs/Instant<br>bingo/progressive bingo                     | (c)Other gaming | (d)   |
|--|---|---|---|-----------------|---|
|  |   |   |   |                 | Total gaming (add col<br>(a) through col (c)) |
| <b>Revenue</b>   | <b>1</b> Gross revenue . . . . .                                    |   |   |                 |   |
| <b>Direct Expenses</b>   | <b>2</b> Cash prizes . . . . .                                      |   |   |                 |   |
|  | <b>3</b> Noncash prizes . . . . .                                   |   |   |                 |   |
|  | <b>4</b> Rent/facility costs . . . . .                              |   |   |                 |   |
|  | <b>5</b> Other direct expenses . . . . .                            |   |   |                 |   |
| <b>6</b> Volunteer labor . . . . .   | <input type="checkbox"/> Yes _____ %<br><input type="checkbox"/> No | <input type="checkbox"/> Yes _____ %<br><input type="checkbox"/> No | <input type="checkbox"/> Yes _____ %<br><input type="checkbox"/> No |                 |   |
| <b>7</b> Direct expense summary Add lines 2 through 5 in column (d) . . . . . ▶        |   |   |   |                 |   |
| <b>8</b> Net gaming income summary Subtract line 7 from line 1, column (d) . . . . . ▶ |   |   |   |                 |   |

**9** Enter the state(s) in which the organization conducts gaming activities \_\_\_\_\_

**a** Is the organization licensed to conduct gaming activities in each of these states?  Yes  No

**b** If "No," explain \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

**10a** Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year?  Yes  No

**b** If "Yes," explain \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

**11** Does the organization conduct gaming activities with nonmembers?  Yes  No

**12** Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?  Yes  No

**13** Indicate the percentage of gaming activity conducted in

|          |                             |            |   |
|----------|-----------------------------|------------|---|
| <b>a</b> | The organization's facility | <b>13a</b> | % |
| <b>b</b> | An outside facility         | <b>13b</b> | % |

**14** Enter the name and address of the person who prepares the organization's gaming/special events books and records

Name ▶ \_\_\_\_\_

Address ▶ \_\_\_\_\_

**15a** Does the organization have a contract with a third party from whom the organization receives gaming revenue?  Yes  No

**b** If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ \_\_\_\_\_ and the amount of gaming revenue retained by the third party ▶ \$ \_\_\_\_\_

**c** If "Yes," enter name and address of the third party

Name ▶ \_\_\_\_\_

Address ▶ \_\_\_\_\_

**16** Gaming manager information

Name ▶ \_\_\_\_\_

Gaming manager compensation ▶ \$ \_\_\_\_\_

Description of services provided ▶ \_\_\_\_\_

Director/officer                       Employee                       Independent contractor

**17** Mandatory distributions

**a** Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?  Yes  No

**b** Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$ \_\_\_\_\_

**Part IV Supplemental Information.** Provide the explanations required by Part I, line 2b, columns (iii) and (v); and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also complete this part to provide any additional information (see instructions).

| Return Reference | Explanation |
|------------------|-------------|
|------------------|-------------|

**SCHEDULE H  
(Form 990)**

Department of the Treasury  
Internal Revenue Service

# Hospitals

▶ **Complete if the organization answered "Yes" on Form 990, Part IV, question 20.**  
▶ **Attach to Form 990.**  
▶ **Information about Schedule H (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).**

OMB No 1545-0047

## 2015

**Open to Public Inspection**

|   |   |
|---|---|
| <b>Name of the organization</b><br>Carrington Health Center | <b>Employer identification number</b><br>45-0227311 |
|---|---|

**Part I Financial Assistance and Certain Other Community Benefits at Cost**

|   | Yes           | No |
|---|---------------|----|
| <b>1a</b> Did the organization have a financial assistance policy during the tax year? If "No," skip to question 6a   | <b>1a</b> Yes |    |
| <b>b</b> If "Yes," was it a written policy? . . . . .   | <b>1b</b> Yes |    |
| <b>2</b> If the organization had multiple hospital facilities, indicate which of the following best describes application of the financial assistance policy to its various hospital facilities during the tax year<br><input checked="" type="checkbox"/> Applied uniformly to all hospital facilities <input type="checkbox"/> Applied uniformly to most hospital facilities<br><input type="checkbox"/> Generally tailored to individual hospital facilities |               |    |
| <b>3</b> Answer the following based on the financial assistance eligibility criteria that applied to the largest number of the organization's patients during the tax year  |               |    |
| <b>a</b> Did the organization use Federal Poverty Guidelines (FPG) as a factor in determining eligibility for providing <i>free</i> care? If "Yes," indicate which of the following was the FPG family income limit for eligibility for <i>free</i> care<br><input type="checkbox"/> 100% <input type="checkbox"/> 150% <input type="checkbox"/> 200% <input type="checkbox"/> Other _____ %  | <b>3a</b>     | No |
| <b>b</b> Did the organization use FPG as a factor in determining eligibility for providing <i>discounted</i> care? If "Yes," indicate which of the following was the family income limit for eligibility for discounted care<br><input type="checkbox"/> 200% <input type="checkbox"/> 250% <input type="checkbox"/> 300% <input type="checkbox"/> 350% <input type="checkbox"/> 400% <input type="checkbox"/> Other _____ %                                    | <b>3b</b>     | No |
| <b>c</b> If the organization used factors other than FPG in determining eligibility, describe in Part VI the criteria used for determining eligibility for free or discounted care. Include in the description whether the organization used an asset test or other threshold, regardless of income, as a factor in determining eligibility for free or discounted care   |               |    |
| <b>4</b> Did the organization's financial assistance policy that applied to the largest number of its patients during the tax year provide for free or discounted care to the "medically indigent"?   | <b>4</b> Yes  |    |
| <b>5a</b> Did the organization budget amounts for free or discounted care provided under its financial assistance policy during the tax year?   | <b>5a</b> Yes |    |
| <b>b</b> If "Yes," did the organization's financial assistance expenses exceed the budgeted amount?   | <b>5b</b>     | No |
| <b>c</b> If "Yes" to line 5b, as a result of budget considerations, was the organization unable to provide free or discounted care to a patient who was eligible for free or discounted care?   | <b>5c</b>     |    |
| <b>6a</b> Did the organization prepare a community benefit report during the tax year?  | <b>6a</b> Yes |    |
| <b>b</b> If "Yes," did the organization make it available to the public?  | <b>6b</b> Yes |    |

**7 Financial Assistance and Certain Other Community Benefits at Cost**

| Financial Assistance and Means-Tested Government Programs  | (a) Number of activities or programs (optional) | (b) Persons served (optional) | (c) Total community benefit expense | (d) Direct offsetting revenue | (e) Net community benefit expense | (f) Percent of total expense |
|--|---|-------------------------------|-------------------------------------|-------------------------------|-----------------------------------|------------------------------|
| <b>a</b> Financial Assistance at cost (from Worksheet 1)   |   | 55                            | 47,102                              |                               | 47,102                            | 0 31 %                       |
| <b>b</b> Medicaid (from Worksheet 3, column a)   |   |                               |                                     |                               | 0                                 | 0 %                          |
| <b>c</b> Costs of other means-tested government programs (from Worksheet 3, column b)              |   |                               |                                     |                               | 0                                 | 0 %                          |
| <b>d Total</b> Financial Assistance and Means-Tested Government Programs                           | 0   | 55                            | 47,102                              | 0                             | 47,102                            | 0 31 %                       |
| <b>Other Benefits</b>  |   |                               |                                     |                               |                                   |                              |
| <b>e</b> Community health improvement services and community benefit operations (from Worksheet 4) | 31  | 2,902                         | 96,291                              |                               | 96,291                            | 0 63 %                       |
| <b>f</b> Health professions education (from Worksheet 5)   | 5   | 32                            | 31,501                              |                               | 31,501                            | 0 21 %                       |
| <b>g</b> Subsidized health services (from Worksheet 6)   | 1   |                               | 129,152                             |                               | 129,152                           | 0 84 %                       |
| <b>h</b> Research (from Worksheet 7)   |   |                               |                                     |                               | 0                                 | 0 %                          |
| <b>i</b> Cash and in-kind contributions for community benefit (from Worksheet 8)                   | 16  | 21,026                        | 144,887                             |                               | 144,887                           | 0 94 %                       |
| <b>j Total.</b> Other Benefits   | 53  | 23,960                        | 401,831                             | 0                             | 401,831                           | 2 62 %                       |
| <b>k Total.</b> Add lines 7d and 7j  | 53  | 24,015                        | 448,933                             | 0                             | 448,933                           | 2 92 %                       |

**Part II Community Building Activities**

Complete this table if the organization conducted any community building activities during the tax year, and describe in Part VI how its community building activities promoted the health of the communities it serves.

|   | (a) Number of activities or programs (optional) | (b) Persons served (optional) | (c) Total community building expense | (d) Direct offsetting revenue | (e) Net community building expense | (f) Percent of total expense |
|---|---|-------------------------------|--------------------------------------|-------------------------------|------------------------------------|------------------------------|
| 1 Physical improvements and housing                         |   |                               |                                      |                               | 0                                  | 0 %                          |
| 2 Economic development                                      | 2   |                               | 612                                  |                               | 612                                | 0 %                          |
| 3 Community support   | 2   | 42                            | 1,650                                |                               | 1,650                              | 0 01 %                       |
| 4 Environmental improvements                                | 2   |                               | 9,068                                |                               | 9,068                              | 0 06 %                       |
| 5 Leadership development and training for community members |   |                               |                                      |                               | 0                                  | 0 %                          |
| 6 Coalition building  | 7   | 50                            | 5,779                                |                               | 5,779                              | 0 04 %                       |
| 7 Community health improvement advocacy                     | 1   | 44                            | 1,057                                |                               | 1,057                              | 0 01 %                       |
| 8 Workforce development                                     | 17  | 171                           | 32,357                               |                               | 32,357                             | 0 21 %                       |
| 9 Other   |   |                               |                                      |                               | 0                                  | 0 %                          |
| <b>10 Total</b>   | <b>31</b>                                       | <b>307</b>                    | <b>50,523</b>                        | <b>0</b>                      | <b>50,523</b>                      | <b>0 33 %</b>                |

**Part III Bad Debt, Medicare, & Collection Practices**

**Section A. Bad Debt Expense**

|   | Yes       | No |
|---|-----------|----|
| 1 Did the organization report bad debt expense in accordance with Healthcare Financial Management Association Statement No 15?  | 1 Yes     |    |
| 2 Enter the amount of the organization's bad debt expense. Explain in Part VI the methodology used by the organization to estimate this amount.   | 2 472,270 |    |
| 3 Enter the estimated amount of the organization's bad debt expense attributable to patients eligible under the organization's financial assistance policy. Explain in Part VI the methodology used by the organization to estimate this amount and the rationale, if any, for including this portion of bad debt as community benefit. | 3 0       |    |
| 4 Provide in Part VI the text of the footnote to the organization's financial statements that describes bad debt expense or the page number on which this footnote is contained in the attached financial statements.   |           |    |

**Section B. Medicare**

|   |             |
|---|-------------|
| 5 Enter total revenue received from Medicare (including DSH and IME)  | 5 7,669,058 |
| 6 Enter Medicare allowable costs of care relating to payments on line 5   | 6 7,913,213 |
| 7 Subtract line 6 from line 5. This is the surplus (or shortfall)   | 7 -244,155  |
| 8 Describe in Part VI the extent to which any shortfall reported in line 7 should be treated as community benefit. Also describe in Part VI the costing methodology or source used to determine the amount reported on line 6. Check the box that describes the method used:<br><input checked="" type="checkbox"/> Cost accounting system <input type="checkbox"/> Cost to charge ratio <input type="checkbox"/> Other |             |

**Section C. Collection Practices**

|  |        |
|--|--------|
| 9a Did the organization have a written debt collection policy during the tax year?   | 9a Yes |
| 9b If "Yes," did the organization's collection policy that applied to the largest number of its patients during the tax year contain provisions on the collection practices to be followed for patients who are known to qualify for financial assistance? Describe in Part VI | 9b Yes |

**Part IV Management Companies and Joint Ventures**

(owned 10% or more by officers, directors, trustees, key employees, and physicians—see instructions)

| (a) Name of entity | (b) Description of primary activity of entity | (c) Organization's profit % or stock ownership % | (d) Officers, directors, trustees, or key employees' profit % or stock ownership % | (e) Physicians' profit % or stock ownership % |
|--------------------|---|--|--|---|
| 1                  |   |  |  |   |
| 2                  |   |  |  |   |
| 3                  |   |  |  |   |
| 4                  |   |  |  |   |
| 5                  |   |  |  |   |
| 6                  |   |  |  |   |
| 7                  |   |  |  |   |
| 8                  |   |  |  |   |
| 9                  |   |  |  |   |
| 10                 |   |  |  |   |
| 11                 |   |  |  |   |
| 12                 |   |  |  |   |
| 13                 |   |  |  |   |

**Part V Facility Information**

**Section A. Hospital Facilities**

(list in order of size from largest to smallest—see instructions)  
 How many hospital facilities did the organization operate during the tax year?

**1**

Name, address, primary website address, and state license number (and if a group return, the name and EIN of the subordinate hospital organization that operates the hospital facility)

|  | See Additional Data Table | Licensed hospital | General medical & surgical | Children's hospital | Teaching hospital | Critical access hospital | Research facility | ER-24 hours | ER-other | Other (Describe) | Facility reporting group |
|--|---------------------------|-------------------|----------------------------|---------------------|-------------------|--------------------------|-------------------|-------------|----------|------------------|--------------------------|
|  |                           |                   |                            |                     |                   |                          |                   |             |          |                  |                          |
|  |                           |                   |                            |                     |                   |                          |                   |             |          |                  |                          |
|  |                           |                   |                            |                     |                   |                          |                   |             |          |                  |                          |
|  |                           |                   |                            |                     |                   |                          |                   |             |          |                  |                          |
|  |                           |                   |                            |                     |                   |                          |                   |             |          |                  |                          |
|  |                           |                   |                            |                     |                   |                          |                   |             |          |                  |                          |
|  |                           |                   |                            |                     |                   |                          |                   |             |          |                  |                          |
|  |                           |                   |                            |                     |                   |                          |                   |             |          |                  |                          |
|  |                           |                   |                            |                     |                   |                          |                   |             |          |                  |                          |

**Part V Facility Information** (continued)

**Section B. Facility Policies and Practices**

(Complete a separate Section B for each of the hospital facilities or facility reporting groups listed in Part V, Section A)  
 Carrington Health Center

Name of hospital facility or letter of facility reporting group \_\_\_\_\_

Line number of hospital facility, or line numbers of hospital facilities in a facility reporting group (from Part V, Section A): \_\_\_\_\_ 1

|  |  | Yes | No |
|--|--|-----|----|
| <b>Community Health Needs Assessment</b>                                 |  |     |    |
| <b>1</b>   | Was the hospital facility first licensed, registered, or similarly recognized by a state as a hospital facility in the current tax year or the immediately preceding tax year?   |     | No |
| <b>2</b>   | Was the hospital facility acquired or placed into service as a tax-exempt hospital in the current tax year or the immediately preceding tax year? If "Yes," provide details of the acquisition in Section C  |     | No |
| <b>3</b>   | During the tax year or either of the two immediately preceding tax years, did the hospital facility conduct a community health needs assessment (CHNA)? If "No," skip to line 12   | Yes |    |
| If "Yes," indicate what the CHNA report describes (check all that apply) |  |     |    |
| <b>a</b>   | <input checked="" type="checkbox"/> A definition of the community served by the hospital facility  |     |    |
| <b>b</b>   | <input checked="" type="checkbox"/> Demographics of the community  |     |    |
| <b>c</b>   | <input checked="" type="checkbox"/> Existing health care facilities and resources within the community that are available to respond to the health needs of the community  |     |    |
| <b>d</b>   | <input checked="" type="checkbox"/> How data was obtained  |     |    |
| <b>e</b>   | <input checked="" type="checkbox"/> The significant health needs of the community  |     |    |
| <b>f</b>   | <input checked="" type="checkbox"/> Primary and chronic disease needs and other health issues of uninsured persons, low-income persons, and minority groups  |     |    |
| <b>g</b>   | <input checked="" type="checkbox"/> The process for identifying and prioritizing community health needs and services to meet the community health needs  |     |    |
| <b>h</b>   | <input checked="" type="checkbox"/> The process for consulting with persons representing the community's interests   |     |    |
| <b>i</b>   | <input checked="" type="checkbox"/> Information gaps that limit the hospital facility's ability to assess the community's health needs   |     |    |
| <b>j</b>   | <input type="checkbox"/> Other (describe in Section C)   |     |    |
| <b>4</b>   | Indicate the tax year the hospital facility last conducted a CHNA <u>20 15</u>   |     |    |
| <b>5</b>   | In conducting its most recent CHNA, did the hospital facility take into account input from persons who represent the broad interests of the community served by the hospital facility, including those with special knowledge of or expertise in public health? If "Yes," describe in Section C how the hospital facility took into account input from persons who represent the community, and identify the persons the hospital facility consulted | Yes |    |
| <b>6a</b>  | Was the hospital facility's CHNA conducted with one or more other hospital facilities? If "Yes," list the other hospital facilities in Section C   |     | No |
| <b>6b</b>  | Was the hospital facility's CHNA conducted with one or more organizations other than hospital facilities? If "Yes," list the other organizations in Section C  | Yes |    |
| <b>7</b>   | Did the hospital facility make its CHNA report widely available to the public? If "Yes," indicate how the CHNA report was made widely available (check all that apply)   | Yes |    |
| <b>a</b>   | <input checked="" type="checkbox"/> Hospital facility's website (list url) <u>http://www.carringtonhealthcenter.org/</u>   |     |    |
| <b>b</b>   | <input type="checkbox"/> Other website (list url) _____  |     |    |
| <b>c</b>   | <input checked="" type="checkbox"/> Made a paper copy available for public inspection without charge at the hospital facility  |     |    |
| <b>d</b>   | <input type="checkbox"/> Other (describe in Section C)   |     |    |
| <b>8</b>   | Did the hospital facility adopt an implementation strategy to meet the significant community health needs identified through its most recently conducted CHNA? If "No," skip to line 11  | Yes |    |
| <b>9</b>   | Indicate the tax year the hospital facility last adopted an implementation strategy <u>20 15</u>   |     |    |
| <b>10</b>  | Is the hospital facility's most recently adopted implementation strategy posted on a website?  | Yes |    |
| <b>a</b>   | If "Yes" (list url) <u>http://www.carringtonhealthcenter.org/community-benefit-newsletter/</u>   |     |    |
| <b>b</b>   | If "No," is the hospital facility's most recently adopted implementation strategy attached to this return?   |     | No |
| <b>11</b>  | Describe in Section C how the hospital facility is addressing the significant needs identified in its most recently conducted CHNA and any such needs that are not being addressed together with the reasons why such needs are not being addressed  |     |    |
| <b>12a</b>   | Did the organization incur an excise tax under section 4959 for the hospital facility's failure to conduct a CHNA as required by section 501(r)(3)?  |     | No |
| <b>b</b>   | If "Yes" on line 12a, did the organization file Form 4720 to report the section 4959 excise tax?   |     |    |
| <b>c</b>   | If "Yes" on line 12b, what is the total amount of section 4959 excise tax the organization reported on Form 4720 for all of its hospital facilities? \$ _____  |     |    |

**Part V Facility Information** (continued)

**Financial Assistance Policy (FAP)**

Carrington Health Center

Name of hospital facility or letter of facility reporting group

**13** Did the hospital facility have in place during the tax year a written financial assistance policy that explained eligibility criteria for financial assistance, and whether such assistance included free or discounted care? If "Yes," indicate the eligibility criteria explained in the FAP

**a**  Federal poverty guidelines (FPG), with FPG family income limit for eligibility for free care of \_\_\_\_\_ % and FPG family income limit for eligibility for discounted care of \_\_\_\_\_ %

**b**  Income level other than FPG (describe in Section C)

**c**  Asset level

**d**  Medical indigency

**e**  Insurance status

**f**  Underinsurance discount

**g**  Residency

**h**  Other (describe in Section C)

|           | Yes | No |
|-----------|-----|----|
| <b>13</b> | Yes |    |

**14** Explained the basis for calculating amounts charged to patients?

**15** Explained the method for applying for financial assistance? If "Yes," indicate how the hospital facility's FAP or FAP application form (including accompanying instructions) explained the method for applying for financial assistance (check all that apply)

**a**  Described the information the hospital facility may require an individual to provide as part of his or her application

**b**  Described the supporting documentation the hospital facility may require an individual to submit as part of his or her application

**c**  Provided the contact information of hospital facility staff who can provide an individual with information about the FAP and FAP application process

**d**  Provided the contact information of nonprofit organizations or government agencies that may be sources of assistance with FAP applications

**e**  Other (describe in Section C)

|           |     |  |
|-----------|-----|--|
| <b>14</b> | Yes |  |
| <b>15</b> | Yes |  |

**16** Included measures to publicize the policy within the community served by the hospital facility? If "Yes," indicate how the hospital facility publicized the policy (check all that apply)

**a**  The FAP was widely available on a website (list url)  
http://www.carringtonhealthcenter.org/financial/

**b**  The FAP application form was widely available on a website (list url)  
http://www.carringtonhealthcenter.org/financial/

**c**  A plain language summary of the FAP was widely available on a website (list url)  
http://www.carringtonhealthcenter.org/financial/

**d**  The FAP was available upon request and without charge (in public locations in the hospital facility and by mail)

**e**  The FAP application form was available upon request and without charge (in public locations in the hospital facility and by mail)

**f**  A plain language summary of the FAP was available upon request and without charge (in public locations in the hospital facility and by mail)

**g**  Notice of availability of the FAP was conspicuously displayed throughout the hospital facility

**h**  Notified members of the community who are most likely to require financial assistance about availability of the FAP

**i**  Other (describe in Section C)

|           |     |  |
|-----------|-----|--|
| <b>16</b> | Yes |  |
|-----------|-----|--|

**Billing and Collections**

**17** Did the hospital facility have in place during the tax year a separate billing and collections policy, or a written financial assistance policy (FAP) that explained all of the actions the hospital facility or other authorized party may take upon non-payment?

**18** Check all of the following actions against an individual that were permitted under the hospital facility's policies during the tax year before making reasonable efforts to determine the individual's eligibility under the facility's FAP

**a**  Reporting to credit agency(ies)

**b**  Selling an individual's debt to another party

**c**  Actions that require a legal or judicial process

**d**  Other similar actions (describe in Section C)

**e**  None of these actions or other similar actions were permitted

|           |     |  |
|-----------|-----|--|
| <b>17</b> | Yes |  |
|-----------|-----|--|

**Part V Facility Information** (continued)

Carrington Health Center

Name of hospital facility or letter of facility reporting group

|           |  | Yes | No |
|-----------|--|-----|----|
| <b>19</b> | Did the hospital facility or other authorized party perform any of the following actions during the tax year before making reasonable efforts to determine the individual's eligibility under the facility's FAP? . . . . .<br>If "Yes," check all actions in which the hospital facility or a third party engaged |     | No |
| <b>a</b>  | <input type="checkbox"/> Reporting to credit agency(ies)   |     |    |
| <b>b</b>  | <input type="checkbox"/> Selling an individual's debt to another party   |     |    |
| <b>c</b>  | <input type="checkbox"/> Actions that require a legal or judicial process  |     |    |
| <b>d</b>  | <input type="checkbox"/> Other similar actions (describe in Section C)   |     |    |
| <b>20</b> | Indicate which efforts the hospital facility or other authorized party made before initiating any of the actions listed (whether or not checked) in line 19 (check all that apply)   |     |    |
| <b>a</b>  | <input checked="" type="checkbox"/> Notified individuals of the financial assistance policy on admission   |     |    |
| <b>b</b>  | <input type="checkbox"/> Notified individuals of the financial assistance policy prior to discharge  |     |    |
| <b>c</b>  | <input checked="" type="checkbox"/> Notified individuals of the financial assistance policy in communications with the individuals regarding the individuals' bills  |     |    |
| <b>d</b>  | <input checked="" type="checkbox"/> Documented its determination of whether individuals were eligible for financial assistance under the hospital facility's financial assistance policy   |     |    |
| <b>e</b>  | <input type="checkbox"/> Other (describe in Section C)   |     |    |
| <b>f</b>  | <input type="checkbox"/> None of these efforts were made   |     |    |

**Policy Relating to Emergency Medical Care**

|           |  |     |  |
|-----------|--|-----|--|
| <b>21</b> | Did the hospital facility have in place during the tax year a written policy relating to emergency medical care that required the hospital facility to provide, without discrimination, care for emergency medical conditions to individuals regardless of their eligibility under the hospital facility's financial assistance policy? . . . . .<br>If "No," indicate why | Yes |  |
| <b>a</b>  | <input type="checkbox"/> The hospital facility did not provide care for any emergency medical conditions   |     |  |
| <b>b</b>  | <input type="checkbox"/> The hospital facility's policy was not in writing   |     |  |
| <b>c</b>  | <input type="checkbox"/> The hospital facility limited who was eligible to receive care for emergency medical conditions (describe in Section C)   |     |  |
| <b>d</b>  | <input type="checkbox"/> Other (describe in Section C)   |     |  |

**Charges to Individuals Eligible for Assistance Under the FAP (FAP-Eligible Individuals)**

|           |  |  |    |
|-----------|--|--|----|
| <b>22</b> | Indicate how the hospital facility determined, during the tax year, the maximum amounts that can be charged to FAP-eligible individuals for emergency or other medically necessary care  |  |    |
| <b>a</b>  | <input type="checkbox"/> The hospital facility used its lowest negotiated commercial insurance rate when calculating the maximum amounts that can be charged   |  |    |
| <b>b</b>  | <input type="checkbox"/> The hospital facility used the average of its three lowest negotiated commercial insurance rates when calculating the maximum amounts that can be charged   |  |    |
| <b>c</b>  | <input type="checkbox"/> The hospital facility used the Medicare rates when calculating the maximum amounts that can be charged  |  |    |
| <b>d</b>  | <input checked="" type="checkbox"/> Other (describe in Section C)  |  |    |
| <b>23</b> | During the tax year, did the hospital facility charge any FAP-eligible individual to whom the hospital facility provided emergency or other medically necessary services more than the amounts generally billed to individuals who had insurance covering such care? . . . . .<br>If "Yes," explain in Section C |  | No |
| <b>24</b> | During the tax year, did the hospital facility charge any FAP-eligible individual an amount equal to the gross charge for any service provided to that individual? . . . . .<br>If "Yes," explain in Section C   |  | No |



**Part V Facility Information** (continued)**Section D. Other Health Care Facilities That Are Not Licensed, Registered, or Similarly Recognized as a Hospital Facility**

(list in order of size, from largest to smallest)

How many non-hospital health care facilities did the organization operate during the tax year? 2

| Name and address  | Type of Facility (describe)        |
|---|------------------------------------|
| <b>1</b> COMMUNITY HEALTH CENTER<br>118 1ST STREET<br>NEW ROCKFORD, ND 58356          | PROVIDER BASED Rural Health Clinic |
| <b>2</b> FOSTER COUNTY MEDICAL CENTER<br>820 5TH STREET NORTH<br>CARRINGTON, ND 58421 | PROVIDER BASED Rural Health Clinic |
| <b>3</b>  |                                    |
| <b>4</b>  |                                    |
| <b>5</b>  |                                    |
| <b>6</b>  |                                    |
| <b>7</b>  |                                    |
| <b>8</b>  |                                    |
| <b>9</b>  |                                    |
| <b>10</b>   |                                    |

**Part VI Supplemental Information**

Provide the following information

- 1 Required descriptions.** Provide the descriptions required for Part I, lines 3c, 6a, and 7, Part II and Part III, lines 2, 3, 4, 8 and 9b
- 2 Needs assessment.** Describe how the organization assesses the health care needs of the communities it serves, in addition to any CHNAs reported in Part V, Section B
- 3 Patient education of eligibility for assistance.** Describe how the organization informs and educates patients and persons who may be billed for patient care about their eligibility for assistance under federal, state, or local government programs or under the organization's financial assistance policy
- 4 Community information.** Describe the community the organization serves, taking into account the geographic area and demographic constituents it serves
- 5 Promotion of community health.** Provide any other information important to describing how the organization's hospital facilities or other health care facilities further its exempt purpose by promoting the health of the community (e.g., open medical staff, community board, use of surplus funds, etc.)
- 6 Affiliated health care system.** If the organization is part of an affiliated health care system, describe the respective roles of the organization and its affiliates in promoting the health of the communities served
- 7 State filing of community benefit report.** If applicable, identify all states with which the organization, or a related organization, files a community benefit report

| Form and Line Reference  | Explanation  |
|--|--|
| Schedule H, Part VI LINES 2, 4, & 5 AND PART II NEEDS ASSESSMENT AND COMMUNITY INFORMATION | <p>I ORGANIZATION'S MISSION, VISION, AND TAX-EXEMPT PURPOSE CHI St Alexius Health Carrington began delivering its health care mission in 1916 as the Carrington Hospital. In 1941, the hospital was leased to the Presentation Sisters of the Fargo Diocese. The Presentation Sisters joined the Catholic Health Corporation of Omaha in 1980 and later became part of Catholic Health Initiatives. In 2014 and 2015, CHI St Alexius Health Carrington was recognized as a "Health Strong Hospital" by being one of the top 100 Critical Access Hospitals in the United States. Additionally, CHI St Alexius Health Carrington was named one of the top 20 Critical Access Hospitals in 2014 by the National Rural Health Association. CHI St Alexius Health officially announced the formation of its regional health care system on April 19, 2016. The system is the largest health care delivery system in central and western North Dakota and is comprised of a tertiary hospital in Bismarck, and critical access hospitals (CAHs) in Carrington, Dickinson, Devils Lake, Garrison, Turtle Lake, Washburn and Williston and numerous clinics and outpatient services. CHI St Alexius Health manages four CAHs in North Dakota: Ashley, Elgin, Linton, and Wishek, as well as Mobridge Regional Medical Center in Mobridge, S D. As a tax-exempt 501(c)(3) facility, CHI St Alexius Health Carrington is committed to serving the needs of community members without regard to one's ability to pay. However, CHI St Alexius Health Carrington will not deny care if the individual and/or the individual's family becomes unable to pay the facility charges. CHI St Alexius Health Carrington provides a wide array of health services for our area including a Critical Access Hospital, Emergency Care, Level 5 Trauma Center, ambulance services, inpatient care (acute care, swing bed, respite care), pharmacy, respiratory therapy, surgical services (general, endoscopy - colonoscopies and gastroscopies, ophthalmology, orthopedics, vein ablation), outpatient services including cardiac rehab, pulmonary rehab, stress testing, cardiac support group, diabetic education, laboratory, medical nutrition therapy, weight management support group, IV therapy, physical therapy, occupational therapy, speech therapy, sleep disorder services, telemedicine, back and joint injections, imaging services (CT and DEXA scans, echocardiograms, EKG, fluoroscopy, general x-ray, digital mammography, MRI, cardiac stress testing with nuclear medicine, ultrasound), volunteer auxiliary services, social ministries, and other services available by referral (mental health, hospice, and home health). CHI St Alexius Health Carrington also provides a Medical Clinic (family practice, elder care, health maintenance exams, DOT exams, nursing home rounds, pediatric and well child exams, phone nurse, prenatal obstetrics, preoperative exams, and women's health) and an outreach clinic in Eddy County. Two physicians, a general surgeon, three physician assistants and many outreach specialists provide needed local services for which our patients would otherwise have the inconvenience of traveling long distances to receive. The programs and services described throughout this report promote a healthy community and each year those services seem to expand in some form. CHI St Alexius Health Carrington has a governing Regional &amp; Executive Board of Directors as well as a Quality Committee-Patient Family Advisory Council and a Foundation Advisory Council. CHI St Alexius Health Carrington is also committed to the promotion of healthier communities. CHI St Alexius Health, Carrington is proud of its Catholic heritage and its ability to provide direct and indirect support to the communities it serves. CHI St Alexius Health Carrington is included in the Official Catholic Directory as a tax-exempt hospital.</p> |

| Form and Line Reference  | Explanation   |
|--|---|
| <p>Schedule H, Part VI LINES 2, 4, &amp; 5<br/> AND PART II NEEDS<br/> ASSESSMENT AND COMMUNITY<br/> INFORMATION</p> | <p>II COMMUNITY BENEFIT APPROACH CHI St Alexius Health Carrington Medical Center is located in a frontier area and is licensed as a critical access hospital with two provider-based clinics. One clinic is attached to the Carrington hospital and the other is located 16 miles to the north in New Rockford. Carrington is located in east central North Dakota, just two hours from four major cities in North Dakota: Fargo, Minot, Grand Forks, and Bismarck. Counties served by CHI St Alexius Health Carrington include Foster County and Eddy County in their entirety, plus portions of Stutsman and Wells Counties. Other hospitals are located in both Stutsman and Wells counties. This service area is defined based on the location of the medical facilities, the geographic distance to other hospitals, and the history of usage by consumers. Located in the hospital's service area are the communities of Bowdon, Carrington, Cathay, Fessenden, Glenfield, Grace City, McHenry, New Rockford, Pingree, S ykeston, and Woodworth. While the population of North Dakota has grown in recent years, the populations of the four counties studied were stable with modest changes between 2010 and 2014. The data show that the area is rural and that its residents are older than the state as a whole and are less likely to have completed a four-year degree, which can have workforce implications. Eddy and Stutsman counties had higher levels of children in poverty than North Dakota overall, while Foster and Wells counties experienced lower rates of children in poverty. ND Census estimates the population for 2016 in Eddy and Foster Counties are 5,739 collectively and have a greater percentage of individuals over the age of 65 than the ND average. CHI St Alexius Health, Carrington considers the service area of the facility to be roughly 8,000 people. The four counties also have a higher median age than the state median age. The percentage of residents aged 65 and older in Eddy, Foster, and Wells counties is substantially higher than the state average. This likely signifies an increased need for medical care in most of the service area due to an aging population. All four counties have rates below the state average in terms of individuals with a high school diploma. With respect to those aged 25 or older with a bachelor's degree or higher, Eddy, Foster, and Wells counties' rates are substantially lower than the state average. Eddy and Stutsman counties have rates of persons living below the poverty line that exceed the state average. Foster and Wells County's rate is lower than the state average. Much of CHI St Alexius Health, Carrington's service area is very rural. Eddy, Foster, and Wells counties had 38, 53, and 33 people per square mile, respectively. Stutsman County is closer to the state average with 95. The generally rural area has implications for the delivery of services and residents' access to care. Transportation can be an issue for rural residents and others as can isolation, which can have many effects on health status. Health Professional Shortage Area (HPSA) designations are used to identify areas and population groups within the United States that are experiencing a shortage of health professionals. There are three categories of HPSA designation based on the health discipline that is experiencing a shortage: 1) primary medical, 2) dental, and 3) mental health. For each discipline category, there are three types of HPSA designations based on the area or population group that is experiencing the shortage: 1) geographic area, 2) population group, and 3) facility. A geographic HPSA indicates that the entire area may experience barriers in accessing care, while a population HPSA indicates that a particular subpopulation of an area (e.g., homeless or low-income) may be underserved. Finally, a facility HPSA is granted to a unique facility that primarily cares for an underserved population. The primary factor used to determine a HPSA designation is the number of health professionals relative to the population with consideration of high need. According to HRSA (Health Resources and Service Administration), CHI St Alexius Health Carrington is in a Primary Care Health Professional Shortage Area (HPSA), Mental Health Professional Shortage Area and in a Medically Underserved Area/Population. Foster County and surrounding service areas are also designated as frontier in the North Dakota Frontier Counties (less than 6 persons per square mile), based on the 2010 Census. Frontier areas face challenges in providing access to health and human services even greater than the challenges faced by less isolated rural communities. Access to an adequate supply of health care providers is a concern in both North Dakota and nationally. Process Prairie Health Partners, a Grand Forks-based consulting firm, working closely with the CRH, provided substantial support to CHI St Alexius Health, Carrington and Foster County Public Health in conducting this needs assessment.</p> |

| Form and Line Reference  | Explanation  |
|--|--|
| <p>Schedule H, Part VI LINES 2, 4, &amp; 5<br/> AND PART II NEEDS<br/> ASSESSMENT AND COMMUNITY<br/> INFORMATION</p> | <p>ent Professionals from Prairie Health Partners have conducted dozens of comprehensive community health needs assessments and community development activities in a wide variety of communities, including many rural communities. The CRH is one of the nation's most experienced organizations committed to providing leadership in rural health. Its mission is to connect resources and knowledge to strengthen the health of people in rural communities. As the federally designated State Office of Rural Health (SORH) for the state and the home to the North Dakota Medicare Rural Hospital Flexibility (Flex) program, the Center connects the School of Medicine and Health Sciences and the university to rural communities and the ir health institutions to facilitate developing and maintaining rural health delivery systems. In this capacity the Center works both at a national level and at state and community levels. The assessment process was collaborative. Professionals from both CHI St. Alexius Health Carrington and Foster County Public Health were heavily involved in planning and implementing the process. They met regularly by telephone conference and via email with representatives from Prairie Health Partners. The process closely followed a model used during the last community health needs assessment cycle. CHI St. Alexius Health Carrington did not receive any written comments from the public on the previous community health needs assessment or its most recent implementation strategy. In response to the previous assessment findings, CHI St. Alexius Health Carrington implemented a number of programs and initiatives, as detailed in Appendix D. Periodic updates to the implementation strategy included in Appendix D have been highlighted in blue. As part of the assessment's overall collaborative process, Prairie Health Partners spearheaded efforts to collect data for the assessment in a variety of ways. * A survey solicited feedback from area residents, * Community leaders representing the broad interests of the community took part in one-on-one key informant interviews, * The Community Group, comprised of community leaders and area residents, was convened to discuss area health needs and inform the assessment process, and * A wide range of secondary sources of data was examined, providing information on a multitude of measures including demographics, health conditions, indicators, and outcomes, rates of preventive measures, rates of disease, and at-risk behaviors. Summary of Assessment Findings. The Community Group met on May 9, 2016. Sixteen community members of the group attended the meeting. A representative from Prairie Health Partners presented the group with a summary of this report's findings, including background and explanation about the secondary data, highlights from the survey results (including perceived community assets and concerns, and barriers to care), and findings from the focus group and key informant interviews. Following the presentation of the assessment findings, and after consideration of and discussion about the findings, all members of the group were asked to identify what they perceived as the top four community health needs. The results were totaled, and the concerns most cited were: * Obesity/overweight * Adequate childcare services * Youth alcohol use and abuse * Adult cyber bullying * Adult alcohol use and abuse * Lack of mental health providers.</p> |

| Form and Line Reference  | Explanation   |
|--|---|
| <p>Schedule H, Part VI LINES 2, 4, &amp; 5<br/> AND PART II NEEDS<br/> ASSESSMENT AND COMMUNITY<br/> INFORMATION</p> | <p>III QUALITATIVE DESCRIPTION OF COMMUNITY BENEFIT An important part of CHI St Alexius Health Carrington's mission is building healthier communities through programs designed to serve the needs of people who are poor and disadvantaged in our community. This type of community benefit has the added, positive side-effect of delivering an improved quality of life for everyone. Community benefit often takes place outside the walls of our facilities and involves partner organizations in the community. CHI St Alexius Health Carrington's community benefit activities are designed to:</p> <ul style="list-style-type: none"> <li>* Identify and increase awareness of health needs in Carrington</li> <li>* Provide resources to meet those needs</li> <li>* Create collaboration between community organizations that focus on health and well being</li> <li>* Tackle problems such as poverty, homelessness, or behaviors (such as domestic violence or underage drinking) that can contribute to poor health.</li> </ul> <p>Community Outreach for the Poor CHI St Alexius Health Carrington offers financial assistance to patients who cannot afford to pay for health care. A Patient Financial Counselor is available to help with the financial assistance application and to apply for Medicaid, Medicaid Expansion Programs, and other programs. The goal is to award financial assistance rather than turn patients over to collection for healthcare claims. Eligibility is based on household income as well as other assets. In addition, CHI St Alexius Health Carrington's Patient Financial Counselor conducts insurance authorizations and gives estimated out of pocket costs for procedures. CHI St Alexius Health Carrington has a Special Needs Fund that provides assistance for health related medical supplies for any community member. Requests for special needs assistance can be requested in person or anonymously in writing. Carrington's Daily Bread, the local food pantry, is supported by CHI St Alexius Health Carrington through food and supply donations as well as employee volunteerism. Carrington's Daily Bread assists people living in poverty with nutritional needs as well as children in need through a backpack program in partnership with the public school. CHI St Alexius Health Carrington's Employees donate Christmas gifts for Children ages birth to 18 years of age through the Angel Tree project. The program is facilitated in part by the Mission and Spiritual Care Department at CHI St Alexius Health Carrington. Foster County Public Health distributes these gifts to children living in poverty or those in need throughout Foster County. In FY14 the CHI Fargo Division Philippines Outreach Program received a three-year grant from the CHI Mission &amp; Ministry Fund to cover the cost of sending shipping containers full of medical equipment and supplies to the Indigenous People's Hospital, CHI St Alexius Health Carrington's sister hospital, in the Philippines. In addition to this, CHI St Alexius Health Carrington fundraises \$2000 each year to the Mission and Ministry fund to build the fund and benefit this cause. Three to four times per year, CHI St Alexius Health Carrington donates supplies to the Healthcare Equipment Recycling Organization (HERO) in Fargo, ND. HERO is a non-profit organization that collects and redistributes donated healthcare supplies to help those in need locally as well as globally. Community Outreach for the Broader Community The majority of CHI St Alexius Health Carrington's community benefit efforts are directed toward the broader community. A more detailed description of these programs will be included in the subsequent sections.</p> <p>Community Health Improvement Services Community Health Education is offered by CHI St Alexius Health Carrington at no charge to the broader community. Educational opportunities encompass a wide span of patient types including presentations, newsletters, programs, career fairs, and activities provided to groups without providing clinical or diagnostic services. A few examples of what CHI St Alexius Health Carrington provided were bereavement/grief material, FIA newsletters, community education classes, emergency preparedness coordination planning, advance directives education, violence prevention programming, educational demonstrations at the Foster County Fair, and a weekly Health Talk segment on the local radio station, to benefit the local community. The CHI St Alexius Health Carrington Healthy Communities Coalition was re-formed in June of 2008. The Healthy Communities Coalition collaborates with several community organizations to advocate and improve community health. Several individual community members sit on the coalition as well as larger community groups such as Foster County Public Health, Foster County Social Services, Carrington Public Schools, Veterans Services, the Chamber of Commerce, and local churches. The coalition has grown to be a visible influence in the community providing many educational opportunities and services to the community. The Healthy Communities Coalitio</p> |

| Form and Line Reference  | Explanation  |
|--|--|
| <p>Schedule H, Part VI LINES 2, 4, &amp; 5<br/> AND PART II NEEDS<br/> ASSESSMENT AND COMMUNITY<br/> INFORMATION</p> | <p>n offers community education classes for adults ranging from genealogy to wood carving to painting The coalition has become involved with the local school system in several capacities Programming in the school system includes Sources of Strength, Red Ribbon Week, the Backpack Program, Safe Dates programming, the Youth Track Meet, Imagination Library, and the Kid Experience CHI St Alexius Health Carrington partners with the Center for Rural Health annually to host R-COOL Scrubs Camp for local eight grade students Students spend the day doing hands on activities and learning about health care careers Exposing young children to health care professions and encouraging older youth to explore health careers is fundamental to successfully 'growing our own' rural healthcare workforce The rotations include activities in emergency services, lab, surgical services, imaging services, physical therapy, public health, dentistry, chiropractics, and optometry Administration modeled an adult camp after this youth camp The adult scrubs camp is geared towards educating a selection of community members each year CHI St Alexius Health Carrington developed and implemented a Wellness and Care Management program in 2011 Impacting chronic disease and lowering the incidence of acute care due to neglected chronic disease is a multi-sector effort For the community at large, data indicates that for every \$1 spent on healthcare prevention and wellness, healthcare costs are decreased by \$7 Community Based Clinical Services are provided by the CHI St Alexius Health Carrington Cardiac Rehab Department Supervised exercise for patients with special health concerns is offered at an affordable cost in the outpatient setting and is available to all community members through the Cardiac Rehab Phase III Program This program operates at a loss, however, it provides an affordable and needed service to the community to promote wellness for all types of patients Health Care Support Services are given to assist community members This category includes assistance with Medicaid and Social Security Disability applications and an annual blood drive sponsored by CHI St Alexius Health Carrington and North Dakota United Blood Services Support Groups are also included in this category and are typically established to address social, psychological, or emotional issues related to specific diagnoses or occurrences Staff at CHI St Alexius Health Carrington lead the Cardiac Support Group, the Weight Management Support Group, and the Diabetes Support Group These support groups are free to the public Health Professions Education CHI St Alexius Health Carrington, partners with several educational institutions to provide hands on health professions education given at no charge to various disciplines in the health care field such as medical, laboratory, and nursing students The CHI St Alexius Health Carrington Auxiliary fundraises annually for scholarship money to be awarded to four local students entering into health related fields CHI St Alexius Health Carrington also aids in the administration and management of the Meadows Memorial Fund This fund's intent is to provide financial assistance that will directly enhance both the long term health and health care available to the citizens of Carrington and area serviced by CHI St Alexius Health, Carrington, through educational scholarships, training, equipment, and educational programs</p> |

| Form and Line Reference  | Explanation  |
|--|--|
| <p>Schedule H, Part VI LINES 2, 4, &amp; 5<br/> AND PART II NEEDS<br/> ASSESSMENT AND COMMUNITY<br/> INFORMATION</p> | <p>Subsidized Health Services Subsidized health services are provided by CHI St Alexius Health Carrington despite a negative margin because the services are needed in the community and would otherwise not be available to meet community needs As a critical access hospital, cost based reimbursement plays a key role in the services provided One service line that unfortunately is not reimbursed based on cost is the Ambulance Service Because the Ambulance falls under the umbrella of a critical access hospital, in addition to direct expenses, there are indirect costs that must be allocated to that department This, in effect, is a transfer of administrative and general (overhead) expenses away from Medicare reimbursable departments The result is a service line that continually operating at a loss subsidized by the hospital The City of Carrington and Foster County acknowledge the importance of the ambulance service and mill levies help to fund a portion of the ambulance service Research Programs CHI St Alexius Health Carrington did not have any research community benefit Financial and In-Kind Contributions CHI St Alexius Health Carrington provides financial and in-kind contributions to individuals and the community at large In-kind services include hours donated by staff to the community while on work time Supplies and equipment are sent to Indigenous People's Hospital and HERO Clinic based phone a nurse services are offered free of charge to patients for answering questions, providing medical information, communicating with medical staff, and assistance in prescription refills The Ambulance service also provides standby support for community events such as football games, Fourth of July fireworks, and rodeos This is just a portion of the activities in this category In-kind contributions are given to the Faith in Action Program for overhead, office expenses and program coordination The CHI St Alexius Health Carrington Faith in Action Committee was developed after a needs assessment in 2009 and continues to meet bi-monthly The purpose of the Faith in Action Program is to aide older adults and others in need living in the community to obtain access to health care services and allow community members to maintain independence as long as possible Foster County is a frontier county thus transportation to medical appointments is an indispensable service The Faith in Action Committee raises money to pay mileage to volunteers that provide patient transportation to medical appointments locally as well as to the larger tertiary care centers in North Dakota Community Building Activities Community building activities include in-kind contributions and budgeted expenditures for the development of community health programs and partnerships CHI St Alexius Health Carrington staff involvement on various committees, boards, and coalitions such as the Carrington Development Corporation, Job Development Authority, Carrington Convention and Visitors Bureau, Carrington Chamber of Commerce, Kiwanis, Lions, Ministerial Association, among others, builds relationships in the community with the medical center Environmental improvements are supported by CHI St Alexius Health Carrington through an onsite recycling program CHI St Alexius Health Carrington also hosts a robust Health Occupations Class in collaboration with the public school and other health care providers in the community The class is geared towards workforce development High school seniors are able to enroll in the class to obtain real life and some hands on exposure to health related careers with rotations in acute care, cardiac rehab, central supply, clinic, dental, diabetes education, emergency services, laboratory, imaging services, infection prevention, materials management, nursing home, nutritional services, operating room, pharmacy, physical therapy, public health, risk, respiratory therapy, and wellness Community Benefit Operations Community benefit operations include costs associated with the dedicated staff, the community health needs assessment, the ongoing implementation strategy and planning to address the current community needs, and other costs associated with community benefit operations CHI St Alexius Health Carrington, a nonprofit, tax-exempt facility, continues to take the necessary measures to be compliant in determining what to report, how to report, and also conducting periodic community health needs assessments</p> <p>IV QUANTITATIVE DESCRIPTION OF COMMUNITY BENEFIT</p> <p>Human Investment Statistics<br/> Number of programs 84<br/> Staff hours 6,415<br/> Volunteer hours 10,224<br/> The Total Quantifiable Community Benefit cost for FY16 is \$499,456 serving 24,322 people<br/> The FY16 community benefit is 3.3% of CHC's expenses and 2.8% of CHC's revenues</p> |

| Form and Line Reference  | Explanation  |
|--|--|
| Schedule H, Part I, Line 3c Eligibility criteria for free or discounted care | <p>When Catholic Health Initiatives (the ultimate parent organization to Carrington Health Center established its financial assistance policy it was determined that establishing a household income scale based on the HUD very low income guidelines more accurately reflects the socioeconomic dispersions among urban and rural communities in 18 states served by CHI hospitals and health care facilities. In comparing HUD guidelines to the Federal Poverty Guidelines (FPG), we find that on average HUD guidelines compute to approximately 200% to 250% (and sometimes 300%) of FPG. Carrington Health Center bases its financial assistance eligibility on HUD's 130% of Very Low Income Guidelines based on geography, and affords the uninsured and underinsured the ability to obtain financial assistance write-offs, based on a sliding scale, ranging from 25%-100% of charges. An individual's income under the HUD guidelines is a significant factor in determining eligibility for financial assistance. However, in determining whether to extend discounted or free care to a patient, the patient's assets may also be taken into consideration. For example, a patient suffering a catastrophic illness may have a reasonable level of income, but a low level of liquid assets such that the payment of medical bills would be seriously detrimental to the patient's basic financial (and ultimately physical) well-being and survival. Such a patient may be extended discounted or free care based upon the facts and circumstances.</p> |

| Form and Line Reference                                   | Explanation   |
|---|---|
| Schedule H, Part I, Line 7g<br>Subsidized Health Services | There are no physician clinics included in subsidized health services |

| Form and Line Reference  | Explanation |
|--|-------------|
| Schedule H, Part I, Line 7 Bad Debt Expense excluded from financial assistance calculation | 472270      |

| Form and Line Reference   | Explanation   |
|---|---|
| Schedule H, Part I, Line 7 Costing Methodology used to calculate financial assistance | The organization's cost accounting system was used to report financial assistance and community benefits in Part I, Line 7. The organization's cost accounting system addresses all of the patients served by Carrington Health Center. |

| Form and Line Reference   | Explanation   |
|---|---|
| Schedule H, Part III, Line 2 Bad debt expense - methodology used to estimate amount | The organization has reported bad debt expense at gross charges written off. The organization's bad debt expense represents amounts billed to patients that was deemed uncollectible and does not include any charges that were ultimately reimbursed or discounted. Patient discounts are recorded in contractual allowance or financial assistance, as appropriate, as an offset to gross revenue and are not included in bad debt expense. |

| Form and Line Reference                                   | Explanation   |
|---|---|
| Schedule H, Part III, Line 3 Bad Debt Expense Methodology | Carrington Health Center does not believe that any portion of bad debt expense could reasonably be attributed to patients who qualify for financial assistance since amounts due from those individuals' accounts will be reclassified from bad debt expense to charity care within 30 days following the date that the patient is determined to qualify for charity care |

| Form and Line Reference  | Explanation  |
|--|--|
| Schedule H, Part III, Line 4 Bad debt expense - financial statement footnote | <p>Carrington Health Center does not issue separate company audited financial statements. However, the organization is included in the consolidated financial statements of Catholic Health Initiatives. The consolidated footnote reads as follows: "The provision for bad debts is based upon management's assessment of historical and expected net collections, taking into consideration historical business and economic conditions, trends in health care coverage, and other collection indicators. Management routinely assesses the adequacy of the allowances for uncollectible accounts based upon historical write-off experience by payor category. The results of these reviews are used to modify, as necessary, the provision for bad debts and to establish appropriate allowances for uncollectible net patient accounts receivable. After satisfaction of amounts due from insurance, CHI follows established guidelines for placing certain patient balances with collection agencies, subject to the terms of certain restrictions on collection efforts as determined by each facility. The provision for bad debts is presented on the consolidated statement of operations as a deduction from patient services revenues (net of contractual allowances and discounts) since CHI accepts and treats all patients without regard to the ability to pay."</p> |

| Form and Line Reference  | Explanation   |
|--|---|
| <p>Schedule H, Part III, Line 8<br/>Community benefit &amp; methodology for determining medicare costs</p> | <p>Carrington Health Center is designated as a Critical Access Hospital ("CAH") CAHs are rural community hospitals that are certified to receive cost-based reimbursement from Medicare The reimbursement that CAHs receive is intended to improve their financial performance and thereby reduce hospital closures CAHs are certified under a different set of Medicare Conditions of Participation (CoP) Shortfalls are created when a facility receives payments that are less than the costs of caring for program beneficiaries Because shortfalls are based on costs, not charges, Carrington Health Center, due to their designation as a CAH, received cost-based reimbursement for Medicare purposes, Carrington Health Center will not experience Medicare related shortfalls Although not presented on the Medicare cost report, in order to facilitate a more accurate understanding of the "true" cost of services (for "shortfall" purposes) the CHI Workbook allows a health care facility not to offset costs that Medicare considers to be non-allowable, but for which the facility can legitimately argue are related to the care of the facility's patients In addition, although not reportable on the Medicare cost report, the CHI workbook includes the cost of services that are paid via a set fee-schedule rather than being reimbursed based on costs (e.g. outpatient clinical laboratory) Finally, the CHI Workbook allows a facility to include other health care services performed by a separate facility (such as a physician practice) that are maintained on separate books and records (as opposed to the main facility's books and records which has its costs of service included within a cost report) True costs of Medicare computed using this methodology Total Medicare Revenue \$7,776,414 Total Medicare costs \$8,322,110 Surplus or Shortfall \$ (545,696) Carrington Health Center believes that excluding Medicare losses from community benefit makes the overall community benefit report more credible for these reasons Unlike subsidized areas such as burn units or behavioral-health services, Medicare is not a differentiating feature of tax-exempt health care organizations In fact, for-profit hospitals focus on attracting patients with Medicare coverage, especially in the case of well-paid services that include cardiac and orthopedics Significant effort and resources are devoted to ensuring that hospitals are reimbursed appropriately by the Medicare program The Medicare Payment Advisory Commission (MedPAC), an independent Congressional agency, carefully studies Medicare payment and the access to care that Medicare beneficiaries receive The commission recommends payment adjustments to Congress accordingly Though Medicare losses are not included by Catholic hospitals as community benefit, the Catholic Health Association guidelines allow hospitals to count as community benefit some programs that specifically serve the Medicare population For instance, if hospitals operate programs for patients with Medicare benefits that respond to identified community needs, generate losses for the hospital, and meet other criteria, these programs can be included in the CHA framework in Category C as "subsidized health services " Medicare losses are different from Medicaid losses, which are counted in the CHA community benefit framework, because Medicaid reimbursements generally do not receive the level of attention paid to Medicare reimbursement Medicaid payment is largely driven by what states can afford to pay, and is typically substantially less than what Medicare pays</p> |

| Form and Line Reference  | Explanation  |
|--|--|
| Schedule H, Part III, Line 9b<br>Collection practices for patients eligible for financial assistance | <p>Carrington Health Center's debt collection policy provides that Carrington Health Center will perform a reasonable review of each inpatient account prior to turning an account over to a third-party collection agent and prior to instituting any legal action for non-payment, to assure that the patient and patient guarantor are not eligible for any assistance program (e.g. Medicaid) and do not qualify for coverage through Carrington Health Center community assistance policy. After having been turned over to a third-party collection agent, any patient account that is subsequently determined to meet the Carrington Health Center community assistance policy is required to be returned immediately by the third-party collection agent to Carrington Health Center for appropriate follow-up. Carrington Health Center requires its third-party collection agents to include a message on all statements indicating that if a patient or patient guarantor meets certain stipulated income requirements, the patient or patient guarantor may be eligible for financial assistance. All of Catholic Health Initiatives' hospitals' contracts with third party collection agencies include the following standards:</p> <ul style="list-style-type: none"><li>* Neither CHI hospitals nor their collection agencies will request bench or arrest warrants as a result of non-payment,</li><li>* Neither CHI hospitals nor their collection agencies will seek liens that would require the sale or foreclosure of a primary residence, and</li><li>* No Catholic Health Initiatives' collection agency may seek court action without hospital approval.</li></ul> <p>Finally, collection agencies are trained on the Catholic Health Initiatives Mission, Core Values and Standard of Conduct to make sure all patients are treated with dignity and respect.</p> |

| Form and Line Reference                             | Explanation   |
|---|---|
| Schedule H, Part V, Section B, Line 16a FAP website | - Carrington Health Center Line 16a URL <a href="http://www.carringtonhealthcenter.org/financial/">http://www.carringtonhealthcenter.org/financial/</a> , |

| Form and Line Reference  | Explanation  |
|--|--|
| Schedule H, Part V, Section B, Line 16 b FAP Application website | - Carrington Health Center Line 16 b URL <a href="http://www.carringtonhealthcenter.org/financial/">http //www carringtonhealthcenter org/financial/</a> , |

| Form and Line Reference  | Explanation   |
|--|---|
| Schedule H, Part V, Section B, Line 16c FAP plain language summary website | - Carrington Health Center Line 16c URL <a href="http://www.carringtonhealthcenter.org/financial/">http //www carringtonhealthcenter org/financial/</a> , |

| Form and Line Reference   | Explanation   |
|---|---|
| Schedule H, Part VI, Line 3 Patient education of eligibility for assistance | <p>Carrington Health Center includes information concerning its financial assistance policy on its website. In addition, Carrington Health Center prominently displays its financial assistance policy in both English and Spanish in obvious locations throughout the hospitals, including the emergency rooms and other patient intake areas, as well as in Carrington Health Center outpatient facilities. In addition, Carrington Health Center registration clerks are trained to provide consultation to those who have no insurance or potentially inadequate insurance concerning their financial options including application for Medicaid and for financial assistance under Carrington Health Center's financial assistance policy. Upon registration (and once all EMTALA requirements are met), patients who are identified as uninsured (and not covered by Medicare or Medicaid) are provided with a packet of information that addresses the financial assistance policy and procedures including an application for assistance. Carrington Health Center registration clerks read the organization's medical assistance policy to those who appear to be incapable of reading, and provide translators for non-English-speaking individuals. Carrington Health Center's staff will also assist the patient/guarantor with applying for other available coverage (such as Medicaid), if necessary. Counselors assist Medicare eligible patients in enrollment by providing referrals to the appropriate government agencies.</p> |

| Form and Line Reference                                   | Explanation   |
|---|---|
| Schedule H, Part VI, Line 6 Affiliated health care system | <p>Carrington Health Center, along with its affiliated outpatient facilities, are part of Catholic Health Initiatives. Catholic Health Initiatives (CHI) is a national faith-based nonprofit health care organization with headquarters in Englewood, Colorado. CHI's exempt purpose is to serve as an integral part of its national system of hospitals and other charitable entities, which are described as market-based organizations, or MBOs. An MBO is a direct provider of care or services within a defined market area that may be an integrated health system and/or a stand-alone hospital or other facility or service provider. CHI serves as the parent corporation of its MBOs which are comprised of 104 hospitals, including four academic medical centers, and 30 critical access facilities, community health service organizations, accredited nursing colleges, home health agencies, and other facilities that span the inpatient and outpatient continuum of care. Together, these facilities provided \$1.1 billion in financial assistance and community benefit in the 2016 fiscal year, including services for the poor, free clinics, education and research. CHI provides strategic planning and management services as well as centralized "shared services" for the MBOs. The provision of centralized management and shared services - including areas such as accounting, human resources, payroll and supply chain -- provides economies of scale and purchasing power to the MBOs. The cost savings achieved through CHI's centralization enable MBOs to dedicate additional resources to high-quality health care and community outreach services to the most vulnerable members of our society. Carrington Health Center operates with its wholly owned affiliates and community partners, along with its fundraising arm, the Carrington Health Center Foundation, to serve the health care needs of the Carrington, North Dakota communities.</p> |

| Form and Line Reference  | Explanation |
|--|-------------|
| Schedule H, Part VI, Line 7 State filing of community benefit report | ND          |

**Schedule H (Form 990) 2015**

## Additional Data

**Software ID:** 15000238

**Software Version:** 2015v3.0

**EIN:** 45-0227311

**Name:** Carrington Health Center

### Form 990 Schedule H, Part V Section A. Hospital Facilities

#### Section A. Hospital Facilities

(list in order of size from largest to smallest—see instructions)

How many hospital facilities did the organization operate during the tax year?

1

Name, address, primary website address, and state license number

1

Carrington Health Center  
800 North Fourth Street  
Carrington, ND 584210461  
www.carringtonhealthcenter.org  
5008

Licensed hospital

General medical & surgical

Children's hospital

Teaching hospital

Critical access hospital

Research facility

ER-24 hours

ER-other

Other (Describe)

Facility reporting group

X

X

X

X

Schedule I (Form 990)

Grants and Other Assistance to Organizations, Governments and Individuals in the United States

OMB No 1545-0047

2015

Open to Public Inspection

Complete if the organization answered "Yes," on Form 990, Part IV, line 21 or 22.

Attach to Form 990.

Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

Department of the Treasury Internal Revenue Service

Name of the organization Carrington Health Center

Employer identification number

45-0227311

Part I General Information on Grants and Assistance

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?
2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

Table with 8 columns: (a) Name and address of organization or government, (b) EIN, (c) IRC section if applicable, (d) Amount of cash grant, (e) Amount of non-cash assistance, (f) Method of valuation (book, FMV, appraisal, other), (g) Description of non-cash assistance, (h) Purpose of grant or assistance.

- 2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table.
3 Enter total number of other organizations listed in the line 1 table.

**Part III Grants and Other Assistance to Domestic Individuals.** Complete if the organization answered "Yes" on Form 990, Part IV, line 22

Part III can be duplicated if additional space is needed

| (a) Type of grant or assistance           | (b) Number of recipients | (c) Amount of cash grant | (d) Amount of non-cash assistance | (e) Method of valuation (book, FMV, appraisal, other) | (f) Description of non-cash assistance |
|---|--------------------------|--------------------------|-----------------------------------|---|--|
| (1) FINANCIAL ASSISTANCE                  | 33                       | 2,813                    |                                   | n/a   | n/a                                    |
| (2) SCHOLARSHIPS                          | 3                        | 2,250                    |                                   | n/a   | n/a                                    |
| (3) Charity Care                          | 48                       | 81,033                   |                                   | n/a   | n/a                                    |
| (4) Donation - Medical Benefit Fundraiser | 2                        | 137                      |                                   | n/a   | n/a                                    |
|   |                          |                          |                                   |   |  |
|   |                          |                          |                                   |   |  |
|   |                          |                          |                                   |   |  |

**Part IV Supplemental Information.** Provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

| Return Reference   | Explanation  |
|--|--|
| Schedule I, Part I, Line 2<br>Procedures for monitoring use of grant funds | ONCE A GRANT HAS BEEN RECEIVED, ALL NECESSARY DEPARTMENT HEADS ARE INFORMED OF THE RECEIPT ADMINISTRATOR, APPLICABLE DEPARTMENT HEAD, MATERIALS MANAGEMENT, AND FINANCE WITH THE FOUNDATION DIRECTOR (ASSIGNED TO COORDINATE THE GRANT TERMS AND ENSURE THE GRANTS ARE USED FOR THE PROPER PURPOSES) FINANCE SERVES AS AN ADDITIONAL CONTROL TO ENSURE EXPENSES EARMARKED AS GRANT BY THE DEPARTMENT HEAD AND MATERIALS MANAGEMENT MEET THE TERMS OF THE GRANT ANY PERIODIC OR FINAL REPORTS NECESSARY TO FULFILL THE GRANT ARE PREPARED JOINTLY BY THE FOUNDATION DIRECTOR AND FINANCE CARRINGTON HEALTH CENTER HAS AT ITS DISPOSAL THE CHI FOUNDATION GRANT SERVICES TEAM TO HELP EFFECTIVELY DIRECT PLANNING AND COORDINATION THE TEAM ASSISTS THE FOUNDATION DIRECTOR WITH FULL GRANT MANAGEMENT SERVICE THAT PROVIDE A CLEAR PICTURE OF PROGRESS AND ACCOUNTABILITY TO THE FUNDER BY HELPING COORDINATE PROGRAMMATIC OUTCOME OBJECTIVES, ASSISTING WITH FINANCIAL EXPENSE TRACKING, AND ENSURING UNIQUE REQUIREMENTS ESTABLISHED BY EACH FUNDER ARE MET |

**Schedule J**  
**(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Compensation Information**

OMB No 1545-0047

**For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**  
 ▶ **Complete if the organization answered "Yes" on Form 990, Part IV, line 23.**  
 ▶ **Attach to Form 990.**

**2015**  
**Open to Public Inspection**

▶ **Information about Schedule J (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).**

|  |  |
|--|--|
| Name of the organization<br>Carrington Health Center | Employer identification number<br>45-0227311 |
|--|--|

**Part I Questions Regarding Compensation**

|   | Yes  | No   |  |  |  |  |   |  |  |  |
|---|--|--|--|--|--|--|---|--|--|--|
| <p><b>1a</b> Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.</p> <table border="0"> <tr> <td><input type="checkbox"/> First-class or charter travel</td> <td><input type="checkbox"/> Housing allowance or residence for personal use</td> </tr> <tr> <td><input type="checkbox"/> Travel for companions</td> <td><input type="checkbox"/> Payments for business use of personal residence</td> </tr> <tr> <td><input type="checkbox"/> Tax indemnification and gross-up payments</td> <td><input type="checkbox"/> Health or social club dues or initiation fees</td> </tr> <tr> <td><input type="checkbox"/> Discretionary spending account</td> <td><input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef)</td> </tr> </table> | <input type="checkbox"/> First-class or charter travel                   | <input type="checkbox"/> Housing allowance or residence for personal use | <input type="checkbox"/> Travel for companions               | <input type="checkbox"/> Payments for business use of personal residence | <input type="checkbox"/> Tax indemnification and gross-up payments | <input type="checkbox"/> Health or social club dues or initiation fees   | <input type="checkbox"/> Discretionary spending account | <input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef) |  |  |
| <input type="checkbox"/> First-class or charter travel  | <input type="checkbox"/> Housing allowance or residence for personal use |  |  |  |  |  |   |  |  |  |
| <input type="checkbox"/> Travel for companions  | <input type="checkbox"/> Payments for business use of personal residence |  |  |  |  |  |   |  |  |  |
| <input type="checkbox"/> Tax indemnification and gross-up payments  | <input type="checkbox"/> Health or social club dues or initiation fees   |  |  |  |  |  |   |  |  |  |
| <input type="checkbox"/> Discretionary spending account   | <input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef) |  |  |  |  |  |   |  |  |  |
| <p><b>b</b> If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain.</p>   | <b>1b</b>  |  |  |  |  |  |   |  |  |  |
| <p><b>2</b> Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked in line 1a?</p>  | <b>2</b>   |  |  |  |  |  |   |  |  |  |
| <p><b>3</b> Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.</p> <table border="0"> <tr> <td><input type="checkbox"/> Compensation committee</td> <td><input type="checkbox"/> Written employment contract</td> </tr> <tr> <td><input type="checkbox"/> Independent compensation consultant</td> <td><input type="checkbox"/> Compensation survey or study</td> </tr> <tr> <td><input type="checkbox"/> Form 990 of other organizations</td> <td><input type="checkbox"/> Approval by the board or compensation committee</td> </tr> </table>  | <input type="checkbox"/> Compensation committee                          | <input type="checkbox"/> Written employment contract                     | <input type="checkbox"/> Independent compensation consultant | <input type="checkbox"/> Compensation survey or study                    | <input type="checkbox"/> Form 990 of other organizations           | <input type="checkbox"/> Approval by the board or compensation committee |   |  |  |  |
| <input type="checkbox"/> Compensation committee   | <input type="checkbox"/> Written employment contract                     |  |  |  |  |  |   |  |  |  |
| <input type="checkbox"/> Independent compensation consultant  | <input type="checkbox"/> Compensation survey or study                    |  |  |  |  |  |   |  |  |  |
| <input type="checkbox"/> Form 990 of other organizations  | <input type="checkbox"/> Approval by the board or compensation committee |  |  |  |  |  |   |  |  |  |
| <p><b>4</b> During the year, did any person listed on Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization:</p>   |  |  |  |  |  |  |   |  |  |  |
| <p><b>a</b> Receive a severance payment or change-of-control payment?</p>   | <b>4a</b>  | No   |  |  |  |  |   |  |  |  |
| <p><b>b</b> Participate in, or receive payment from, a supplemental nonqualified retirement plan?</p>   | <b>4b</b>  | Yes  |  |  |  |  |   |  |  |  |
| <p><b>c</b> Participate in, or receive payment from, an equity-based compensation arrangement?</p> <p>If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.</p>   | <b>4c</b>  | No   |  |  |  |  |   |  |  |  |
| <p><b>Only 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.</b></p>  |  |  |  |  |  |  |   |  |  |  |
| <p><b>5</b> For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:</p>  |  |  |  |  |  |  |   |  |  |  |
| <p><b>a</b> The organization?</p>   | <b>5a</b>  | No   |  |  |  |  |   |  |  |  |
| <p><b>b</b> Any related organization?</p> <p>If "Yes," on line 5a or 5b, describe in Part III.</p>  | <b>5b</b>  | No   |  |  |  |  |   |  |  |  |
| <p><b>6</b> For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:</p>  |  |  |  |  |  |  |   |  |  |  |
| <p><b>a</b> The organization?</p>   | <b>6a</b>  | No   |  |  |  |  |   |  |  |  |
| <p><b>b</b> Any related organization?</p> <p>If "Yes," on line 6a or 6b, describe in Part III.</p>  | <b>6b</b>  | No   |  |  |  |  |   |  |  |  |
| <p><b>7</b> For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III.</p>   | <b>7</b>   | Yes  |  |  |  |  |   |  |  |  |
| <p><b>8</b> Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III.</p>  | <b>8</b>   | No   |  |  |  |  |   |  |  |  |
| <p><b>9</b> If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?</p>  | <b>9</b>   |  |  |  |  |  |   |  |  |  |

**Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

**Note.** The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual

| (A) Name and Title  |      | (B) Breakdown of W-2 and/or 1099-MISC compensation |                                     |                                     | (C) Retirement and other deferred compensation | (D) Nontaxable benefits | (E) Total of columns (B)(i)-(D) | (F) Compensation in column(B) reported as deferred on prior Form 990 |
|---|------|--|-------------------------------------|-------------------------------------|--|-------------------------|---------------------------------|--|
|   |      | (i) Base compensation                              | (ii) Bonus & incentive compensation | (iii) Other reportable compensation |  |                         |                                 |  |
| <b>1</b> JEFFREY DROP<br>Board Member/CHI SVP<br>Division Officer | (i)  | 0  | 0                                   | 0                                   | 0  | 0                       | 0                               | 0  |
|   | (ii) | 509,375  | 472,560                             | 234,067                             | 15,428   | 11,880                  | 1,243,310                       | 42,295   |
| <b>2</b> KURT SCHLEY<br>Director                                  | (i)  | 0  | 0                                   | 0                                   | 0  | 0                       | 0                               | 0  |
|   | (ii) | 308,346  | 75,581                              | 80,694                              | 52,675   | 10,466                  | 527,762                         | 0  |
| <b>3</b> CYNTHIA K THORMODSON<br>Former VP Operational<br>Finance | (i)  | 0  | 0                                   | 0                                   | 0  | 0                       | 0                               | 0  |
|   | (ii) | 117,933  | 11,166                              | 9,368                               | 7,274  | 33,049                  | 178,790                         | 0  |
| <b>4</b> MARIANN DOELING<br>PRESIDENT / CEO                       | (i)  | 0  | 0                                   | 0                                   | 0  | 0                       | 0                               | 0  |
|   | (ii) | 158,396  | 31,960                              | 74,966                              | 13,586   | 7,441                   | 286,349                         | 13,472   |
| <b>5</b> RICK GEIER<br>PHYSICIAN                                  | (i)  | 185,027  | 106,300                             | 36,005                              | 15,775   | 28,512                  | 371,619                         | 0  |
|   | (ii) | 0  | 0                                   | 0                                   | 0  | 0                       | 0                               | 0  |
| <b>6</b> JACQUELINE NELSON<br>PHYSICIAN ASSISTANT                 | (i)  | 146,467  | 7,812                               | 257                                 | 8,282  | 791                     | 163,609                         | 0  |
|   | (ii) | 0  | 0                                   | 0                                   | 0  | 0                       | 0                               | 0  |
| <b>7</b> MICHAEL PAGE<br>PHYSICIAN                                | (i)  | 266,380  | 152,298                             | 420                                 | 15,686   | 29,346                  | 464,130                         | 0  |
|   | (ii) | 0  | 0                                   | 0                                   | 0  | 0                       | 0                               | 0  |
| <b>8</b> MARY HOFF<br>PHYSICIAN ASSISTANT                         | (i)  | 120,889  | 7,334                               | 440                                 | 6,943  | 30,274                  | 165,880                         | 0  |
|   | (ii) | 0  | 0                                   | 0                                   | 0  | 0                       | 0                               | 0  |

**Part III Supplemental Information**

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

| Return Reference   | Explanation   |
|--|---|
| Schedule J, Part I, Line 3<br>Arrangement used to establish the top management official's compensation | Compensation for the top management official was established and paid by Catholic Health Initiatives (CHI), a related organization. CHI used the following to establish the top management official's compensation: (1) Compensation Committee, (2) Independent Compensation Consultant, (3) Written Employment Contracts, (4) Compensation Survey or Study, (5) Approval by the Board or Compensation Committee.   |
| Schedule J, Part I, Line 4b<br>Supplemental nonqualified retirement plan                               | During the 2015 calendar year Catholic Health Initiatives (CHI), a related organization, maintained a supplemental non-qualified deferred compensation plan for MBO CEOs/Presidents and other CHI employees at the level of Senior Vice President and above. The following reportable individuals were eligible to participate in that plan: Mariann K. Doeling, Jeffrey Drop, Kurt Schley. During 2015 the following contributions were made by CHI to the deferred compensation plan: Kurt Schley - \$36,900. During 2015 the following distributions were made by CHI from the deferred compensation plan: Mariann K. Doeling - \$13,472, Jeffrey Drop - \$42,295. Due to the "super" vesting rules under the CHI deferred compensation plan, participants who have met certain requirements such as age, years of service or more than 5 years of plan participation are eligible to receive their 2015 contributions in cash. These cash payouts are included in the participant's reportable compensation in column (iii) Other Reportable Compensation on Schedule J Part II. During 2015, the following contributions that would have been made by CHI to the deferred compensation plan were paid in cash: Mariann K. Doeling - \$14,609, Jeffrey Drop - \$79,113. |
| Schedule J, Part I, Line 7 Non-fixed payments  | CHC MAINTAINS A VARIABLE PAY PLAN FOR SENIOR EXECUTIVE MANAGEMENT THAT PUTS A CERTAIN AMOUNT OF COMPENSATION AT RISK. AWARDS OF INCENTIVE COMPENSATION UNDER THE VARIABLE PAY PLAN ARE MADE BASED UPON ACHIEVEMENT OF ORGANIZATIONAL OBJECTIVES INCLUDING PERFORMANCE IN RELATION TO BUDGET, AND ACHIEVEMENT OF INDIVIDUAL GOALS. ALL COMPENSATION, INCLUDING ANY INCENTIVE COMPENSATION, IS CAPPED TO ENSURE THAT COMPENSATION REMAINS AT FMV. PHYSICIAN INCENTIVE COMPENSATION IS BASED ON THE CMS RBRVS METHODOLOGY (CENTER FOR MEDICARE AND MEDICAID SERVICES RESOURCE-BASED RELATIVE VALUE SCALE METHOD) FOR DETERMINING PHYSICIAN WRVU (RELATIVE VALUE UNIT) FOR PERSONALLY PERFORMED PHYSICIAN SERVICES. THE VALUE OF A WRVU IS DETERMINED WITH REFERENCE TO THE MOST CURRENT NATIONAL PHYSICIAN FEE SCHEDULE RELATIVE VALUE FILE. FAMILY PRACTICE PHYSICIANS SHALL BE COMPENSATED BASED ON A 2-TIERED CONVERSION FACTOR: \$45 PER WRVU IN EXCESS OF 3,668 AND \$53.50 PER WRVU IN EXCESS OF 5,600. THE GENERAL SURGEON SHALL BE COMPENSATED BASED ON A SINGLE TIER CONVERSION FACTOR: \$50.47 PER WRVU IN EXCESS OF 5,770.  |

**SCHEDULE O  
(Form 990 or  
990-EZ)**

Department of the  
Treasury  
Internal Revenue  
Service

**Supplemental Information to Form 990 or 990-EZ**

Complete to provide information for responses to specific questions on  
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at  
[www.irs.gov/form990](http://www.irs.gov/form990).

**2015**

**Open to Public  
Inspection**

Name of the organization  
Carrington Health Center

**Employer identification number**

45-0227311

| Return Reference                                | Explanation  |
|---|--|
| Form 990, Part III, Line 1<br>MISSION STATEMENT | THE MISSION OF THE CORPORATION IS TO NURTURE THE HEALING MINISTRY OF THE CHURCH, SUPPORTED BY EDUCATION AND RESEARCH FIDELITY TO THE GOSPEL URGES THE CORPORATION TO EMPHASIZE HUMAN DIGNITY AND SOCIAL JUSTICE AS IT CREATES HEALTHIER COMMUNITIES THE CORPORATION, SPONSORED BY A LAY-RELIGIOUS PARTNERSHIP, CALLS OTHER CATHOLIC SPONSORS AND SYSTEMS TO UNITE TO ENSURE THE FUTURE OF CATHOLIC HEALTH CARE TO FULFILL THIS MISSION, THE CORPORATION, AS A VALUES-BASED ORGANIZATION, WILL ASSURE THE INTEGRITY OF THE MINISTRY IN BOTH CURRENT AND DEVELOPING ORGANIZATIONS AND ACTIVITIES, RESEARCH AND DEVELOP NEW MINISTRIES THAT INTEGRATE HEALTH, EDUCATION, PASTORAL, AND SOCIAL SERVICES, PROMOTE LEADERSHIP DEVELOPMENT AND FORMATION FOR MINISTRY THROUGHOUT THE ENTIRE ORGANIZATION, ADVOCATE FOR SYSTEMIC CHANGES WITH SPECIFIC CONCERN FOR PERSONS WHO ARE POOR, ALIENATED, AND UNDERSERVED, AND STEWARD RESOURCES BY GENERAL OVERSIGHT OF THE ENTIRE ORGANIZATION |

| <b>Return Reference</b>  | <b>Explanation</b>  |
|--|---|
| Form 990, Part VI, Line 14 WRITTEN DOCUMENT RETENTION AND DESTRUCTION POLICY | THE ORGANIZATION HAS A DOCUMENT RETENTION AND DESTRUCTION POLICY THAT IT FOLLOWS, HOWEVER, THE POLICY HAS NOT BEEN FORMALLY ADOPTED BY THE BOARD OF DIRECTORS |

| Return Reference   | Explanation  |
|--|--|
| Form 990, Part VI, Line 15a Process for Determining CEO's Compensation | <p>The organization's top management official's compensation is paid by Catholic Health Initiatives (CHI), a related organization. CHI has a defined compensation philosophy. Both the executive and non-executive compensation structures and ranges are reviewed annually in comparison to market data. CHI uses The Korn Ferry Hay Group as the independent third party to assess executive compensation programs and to ensure the reasonableness of actual salaries and total compensation packages. Compensation of the senior most executives is reviewed annually. The Korn Ferry Hay Group reviews both cash and total compensation for overall reasonableness, for adherence to CHI's compensation philosophy, and for comparability to the not-for-profit healthcare market. This independent review is delivered by Korn Ferry Hay Group to the HR committee of the CHI Board of Stewardship Trustees annually at their September meeting and minutes are shared with the full board at the December meeting. The last review was September 13, 2016. In addition, Korn Ferry Hay Group completed a comprehensive review of all positions at the level of vice president and above in the fall of 2014 to determine and validate appropriate compensation levels. These levels have been reviewed annually since and revised based on market data, where applicable.</p> |

| Return Reference   | Explanation   |
|--|---|
| <p>Form 990, Part VI, Line 1a<br/>           Delegate broad authority to a committee</p> | <p>Pursuant to Section 8.6 of the Bylaws of Carrington Health Center, the Executive Committee is composed of the board chair, the board vice chair, the President and CEO, each of whom shall serve as an ex officio voting member of the Executive Committee, and two voting members appointed by the Board of Directors. Each individual appointed to the Executive Committee shall serve for a term of one year or until his or her successor is duly appointed by the Board of Directors. The Executive Committee shall consist of only directors of the Corporation. Pursuant to Section 8.1 of the Corporation's bylaws, committees, such as the executive committee, that are granted the authority to act on behalf of the board of directors may include only directors of the corporation. Further, pursuant to Section 8.6 of the Corporation's bylaws, the executive committee has and may exercise such powers as may be delegated to it by the board of directors. The Executive Committee also possesses the power to transact routine business of the corporation in the interim period between regularly scheduled meetings of the board of directors.</p> |

| Return Reference   | Explanation  |
|--|--|
| Form 990, Part VI, Line 6 Classes of members or stockholders | ACCORDING TO THE BYLAWS OF CARRINGTON HEALTH CENTER, THE ENTITY'S SOLE MEMBER IS CATHOLIC HEALTH INITIATIVES, A COLORADO NONPROFIT CORPORATION |

| Return Reference  | Explanation  |
|---|--|
| Form 990, Part VI, Line 7a Members or stockholders electing members of governing body | <p>According to the organization's bylaws, directors shall be appointed or refused by the corporate member. The corporate member may appoint one or more individuals to the board of directors, and may at any time remove, with or without cause, any member of the board of directors. According to the organization's bylaws, directors of the corporation shall be appointed by the corporate member no later than June 30 of each year. The names and qualifications of each individual accepted by the board of directors shall be submitted to the corporate member, who shall appoint or refuse each nominee in accordance with the corporate member's bylaws and with endorsement of the senior vice president of operations. The corporate member may unilaterally appoint one or more individuals to the board of directors should the board fail to furnish the corporate member with a list of individuals qualified to serve on the board of directors of the corporation.</p> |

| Return Reference   | Explanation  |
|--|--|
| Form 990, Part VI, Line 7b Decisions requiring approval by members or stockholders | <p>The organization's corporate member is Catholic Health Initiatives ("CHI") Pursuant to Section 5.1 of the organization's bylaws, the Corporate Member shall have the specific rights set forth in the governance matrix Pursuant to the governance matrix the following rights are reserved to the CHI Board directly or through powers delegated to the CHI Chief Executive Officer</p> <ul style="list-style-type: none"> <li>*Substantial change in the mission or philosophy of Carrington Health Center</li> <li>*Amendment of the corporate documents of Carrington Health Center</li> <li>*Approve members of the Carrington Health Center board</li> <li>*Removal of a member of the governing body of Carrington Health Center</li> <li>*Approval of issuance of debt by Carrington Health Center</li> <li>*Approval of participation of Carrington Health Center in a joint venture</li> <li>*Approval of formation of a new corporation by Carrington Health Center</li> <li>*Approval of a merger involving Carrington Health Center</li> <li>*Approval of the sale of all or substantially all of the assets of Carrington Health Center</li> <li>*To require the transfer of assets by Carrington Health Center to CHI to accomplish CHI's goals and objectives, and to satisfy CHI debts</li> <li>*Adoption of long range and strategic plans for Carrington Health Center</li> </ul> <p>Pursuant to Section 5.5.2 of the organization's bylaws, CHI may, in exercise of its approval powers, grant or withhold approval in whole or in part, or may, in its complete discretion, after consultation with the Board and the President and Chief Executive Officer of the organization, recommend such other or different actions as it deems appropriate</p> |

| Return Reference   | Explanation   |
|--|---|
| Form 990, Part VI, Line 11b Review of form 990 by governing body | Once the return is prepared, the return is reviewed by the VP OF OPERATIONAL FINANCE. The VP of Operational Finance provides the return to BOTH THE FINANCE AND AUDIT COMPLIANCE COMMITTEES AND THE BOARD PRIOR TO FILING WITH THE IRS. Subsequent to the return being provided to the board, the tax department files the return with the appropriate federal and state agencies, making any non-substantive changes necessary to effect e-filing. Any such changes are not re-submitted to the board. |

| Return Reference  | Explanation  |
|---|--|
| Form 990, Part VI, Line 12c Conflict of interest policy | <p>Catholic Health Initiatives ("CHI") has a Conflicts of Interest ("COI") policy in place to maintain the integrity of all of its activities. The policy applies to CHI Board of Stewardship Trustees and members of its committees, all board and board committee members of CHI Entities, all CHI employees, all CHI physicians (both employed and non-employed) and all physician administrators and leaders, advanced practice clinicians (both employed and non-employed), and all CHI research personnel (both employed and non-employed). Disclosure, review and management of perceived, potential or actual conflicts of interest are accomplished through a defined COI disclosure process. Each person has a general ongoing obligation to promptly and fully report to his/her direct manager, supervisor, medical staff office, board or board committee chair any situation or circumstance that may create a conflict of interest. The person must report the actual or potential conflict as soon as she/he becomes aware of it. In any situation where the person may be in doubt, a full disclosure should be made to permit an impartial and objective determination. In addition to the general ongoing obligation, there are initial disclosure obligations. The board, board committee members, and new employees are required to make disclosures at the time of their initial hiring/appointment. All non-employed, credentialed or contracted physicians are required to make disclosures at the time of their credentialing and during any subsequent reappointment or recredentialing. All researchers are required to make disclosures upon consideration of affiliation with a research sponsor. In addition to the general ongoing and initial disclosure obligations, there is an annual disclosure obligation. All corporate officers, board and board committee members, employees at the level of manager and above, researchers, supply chain employees, employed physicians, physician administrators and leaders, and employed advanced practice clinicians must complete a new conflict of interest disclosure annually. Disclosures of perceived, potential or actual conflicts involving financial interests are forwarded to the Conflicts of Interest Review Committee ("C-CIRC") or Legal Services Group for review depending on the position of the person involved. The C-CIRC reviews COI questionnaires containing disclosures of perceived or possible conflicts for employees at a level of manager or above, supply chain employees, researchers and physicians, physician administrators and leaders, and advanced practice clinicians (both employed and non-employed). In the determination of a conflict, a COI management plan will be developed for that person. With respect to those audiences for which the C-CIRC has review responsibility, the C-CIRC will facilitate development of any such conflict of interest management plan in collaboration with local CRP staff. A designated CHI Entity staff will be responsible for monitoring the COI management plan and for documenting monitoring activities. At its sole discretion, a CHI Entity may reject a Person's request to enter into the relationship in question, or require the relationship be sufficiently altered to avoid a potential COI. If the C-CIRC determines that there is a potential or actual conflict of interest that does not currently have appropriate controls to address the conflict of interest, it may recommend that the disclosing person be allowed to participate in the activity or transaction subject to restrictions as outlined in the COI management plan. If a Person does not agree with a determination made by the C-CIRC, its interpretation of the Policy or Addenda, or seeks an exemption or exception, the following steps should be followed. The Employee disputing the review decision, interpretation of the Policy, or seeking exemption or exception must present the matter to the Employee's immediate direct manager or supervisor for review and determination. If the Employee and the manager do not agree with the review decision, interpretation of the Policy, or seek exemption or exception, the manager shall consult with the manager's Vice President (or higher if the manager is a Vice President) to reach a determination. If the matter remains unresolved, it shall be referred to the CHI Vice President of Human Resources and the CHI Corporate Responsibility Officer. If they are unable to reach agreement, the matter shall be referred to the CHI General Counsel, whose decision shall be final. Reviews and determinations involving board and board committee members and corporate officers will be the responsibility of the board, board executive committee, or board chair, with guidance from the Legal Services Group (LSG). Annual COI disclosures of all trustee and corporate officers will be reviewed by the CHI Senior Vice President, Legal Services, and General Counsel or his or her designee who will report potential conflicts to the applicable Board Chair. The Board Chair or designee shall make such further investigation of any conflict of interest disclosures as he or she may deem appropriate. If the conflict involves the Board Chair, the Vice Chair will assume the Chair's role. Based on review and evaluation of the relevant facts and circumstances, the Board Chair will make an initial determination as to whether a conflict of interest exists and whether, pursuant to the COI Policy, review and approval or other action by the Board is required. A written record of the Board Chair's determination, including relevant facts and circumstances, will be made. The Board Chair shall then make an appropriate report to the Executive Committee of the Board concerning such review, evaluation and determination. If a difference of opinion exists between the Board Chair and another Trustee as to whether the facts and circumstances of a given situation constitute a conflict of interest or whether Board review and approval or other action is required within the COI Policy, the matter shall be submitted to the Board's Executive Committee, which shall make a final determination as to the matter presented. Such determination, including relevant facts and circumstances, will be reflected in the Executive Committee minutes and will be reported to the Board. When any conflict of interest is considered by the board, the trustee or corporate officer, as appropriate, must disclose all of the material facts to the Board. The trustee shall not vote and the trustee or corporate officer shall not use his or her personal influence on the matter. The trustee or corporate officer shall be excused from the meeting during discussion and vote on the conflict of interest. In reviewing such transactions between CHI or CHI Entities and vendors or other contractors who are, or are affiliated with, Trustees or Corporate Officers, the Board will act as it would in reviewing transactions with unrelated third parties. The transaction is not to be approved unless the Board determines that the transaction is fair to CHI or the CHI Entity. The Board must approve the transaction by a majority of the Trustees on the Board, without counting the vote of any individual who has an interest in the transaction. All determinations of conflicts of interest are reported as required by law, regulations, and CHI policy.</p> |

| <b>Return Reference</b>  | <b>Explanation</b>  |
|--|---|
| Form 990, Part VI, Line 15b Process to establish compensation of other employees | CARRINGTON HEALTH CENTER BOARD OF DIRECTORS REVIEWS PROPOSED WAGE INCREASES FOR OFFICERS THE SUBCOMMITTEE UTILIZES A WRITTEN EMPLOYMENT CONTRACT, COMPENSATION SURVEY, COMPARABILITY STUDIES AND BOARD APPROVAL TO DETERMINE COMPENSATION ANY EXECUTIVE COMPENSATION PAID TO OFFICERS, DIRECTORS OR TRUSTEES BY RELATED ORGANIZATIONS WAS SET BY THE RELATED ORGANIZATION'S COMPENSATION COMMITTEE UTILIZING BOTH AN INDEPENDENT CONSULTANT AND COMPARABILITY STUDIES TO DETERMINE COMPENSATION |

| Return Reference  | Explanation   |
|---|---|
| Form 990, Part VI, Line 19<br>Required documents<br>available to the public | The organization's financial statements, conflict of interest policy and governing documents are available to the public upon request. The organization's financial statements are included in Catholic Health Initiatives' consolidated audited financial statements that are available at <a href="http://www.catholichealthinitiatives.org">www.catholichealthinitiatives.org</a> or at <a href="http://www.DACBOND.org">www.DACBOND.org</a> |

| <b>Return Reference</b>                                   | <b>Explanation</b>  |
|---|---|
| Form 990, Part VIII, Line 11d Other Miscellaneous Revenue | Other Miscellaneous Revenue - Total Revenue 2582, Related or Exempt Function Revenue , Unrelated Business Revenue , Revenue Excluded from Tax Under Sections 512, 513, or 514 2582, |

| Return Reference                          | Explanation   |
|---|---|
| Form 990, Part IX, Line 11g<br>Other Fees | Other Fees for Services - Total Expense 4244414, Program Service Expense 3717343, Management and General Expenses 511321, Fundraising Expenses 15750, |

**Return Reference****Explanation**

Form 990, Part XI, Line 9 Other changes in net assets or fund balances

Capital Resource Pool Contribution - -176670,

**SCHEDULE R  
(Form 990)**

**Related Organizations and Unrelated Partnerships**

OMB No 1545-0047

**2015**

**Open to Public  
Inspection**

▶ **Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.**

▶ **Attach to Form 990.**

▶ **Information about Schedule R (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).**

Department of the Treasury  
Internal Revenue Service

Name of the organization  
Carrington Health Center

**Employer identification number**

45-0227311

**Part I Identification of Disregarded Entities** Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

| (a)<br>Name, address, and EIN (if applicable) of disregarded entity | (b)<br>Primary activity | (c)<br>Legal domicile (state or foreign country) | (d)<br>Total income | (e)<br>End-of-year assets | (f)<br>Direct controlling entity |
|---|-------------------------|--|---------------------|---------------------------|----------------------------------|
|   |                         |  |                     |                           |                                  |
|   |                         |  |                     |                           |                                  |
|   |                         |  |                     |                           |                                  |
|   |                         |  |                     |                           |                                  |
|   |                         |  |                     |                           |                                  |
|   |                         |  |                     |                           |                                  |
|   |                         |  |                     |                           |                                  |
|   |                         |  |                     |                           |                                  |

**Part II Identification of Related Tax-Exempt Organizations** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

| (a)<br>Name, address, and EIN of related organization | (b)<br>Primary activity | (c)<br>Legal domicile (state or foreign country) | (d)<br>Exempt Code section | (e)<br>Public charity status (if section 501(c)(3)) | (f)<br>Direct controlling entity | (g)<br>Section 512(b)(13) controlled entity? |    |
|---|-------------------------|--|----------------------------|---|----------------------------------|--|----|
|   |                         |  |                            |   |                                  | Yes  | No |
| See Additional Data Table                             |                         |  |                            |   |                                  |  |    |
|   |                         |  |                            |   |                                  |  |    |
|   |                         |  |                            |   |                                  |  |    |
|   |                         |  |                            |   |                                  |  |    |
|   |                         |  |                            |   |                                  |  |    |
|   |                         |  |                            |   |                                  |  |    |
|   |                         |  |                            |   |                                  |  |    |
|   |                         |  |                            |   |                                  |  |    |

**Part III Identification of Related Organizations Taxable as a Partnership** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.

| (a)<br>Name, address, and EIN of<br>related organization | (b)<br>Primary activity | (c)<br>Legal<br>domicile<br>(state or<br>foreign<br>country) | (d)<br>Direct<br>controlling<br>entity | (e)<br>Predominant<br>income(related,<br>unrelated,<br>excluded from<br>tax under<br>sections 512-<br>514) | (f)<br>Share of<br>total income | (g)<br>Share of<br>end-of-year<br>assets | (h)<br>Disproportionate<br>allocations? |    | (i)<br>Code V-UBI<br>amount in box<br>20 of<br>Schedule K-1<br>(Form 1065) | (j)<br>General or<br>managing<br>partner? |    | (k)<br>Percentage<br>ownership |
|--|-------------------------|--|--|--|---------------------------------|--|---|----|--|---|----|--------------------------------|
|  |                         |  |  |  |                                 |  | Yes                                     | No |  | Yes                                       | No |                                |
| See Additional Data Table                                |                         |  |  |  |                                 |  |   |    |  |   |    |                                |
|  |                         |  |  |  |                                 |  |   |    |  |   |    |                                |
|  |                         |  |  |  |                                 |  |   |    |  |   |    |                                |
|  |                         |  |  |  |                                 |  |   |    |  |   |    |                                |
|  |                         |  |  |  |                                 |  |   |    |  |   |    |                                |
|  |                         |  |  |  |                                 |  |   |    |  |   |    |                                |
|  |                         |  |  |  |                                 |  |   |    |  |   |    |                                |
|  |                         |  |  |  |                                 |  |   |    |  |   |    |                                |

**Part IV Identification of Related Organizations Taxable as a Corporation or Trust** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

| (a)<br>Name, address, and EIN of<br>related organization | (b)<br>Primary activity | (c)<br>Legal<br>domicile<br>(state or foreign<br>country) | (d)<br>Direct controlling<br>entity | (e)<br>Type of entity<br>(C corp, S<br>corp,<br>or trust) | (f)<br>Share of total<br>income | (g)<br>Share of end-<br>of-year<br>assets | (h)<br>Percentage<br>ownership | (i)<br>Section 512<br>(b)(13)<br>controlled<br>entity? |    |
|--|-------------------------|---|-------------------------------------|---|---------------------------------|---|--------------------------------|--|----|
|  |                         |   |                                     |   |                                 |   |                                | Yes  | No |
| See Additional Data Table                                |                         |   |                                     |   |                                 |   |                                |  |    |
|  |                         |   |                                     |   |                                 |   |                                |  |    |
|  |                         |   |                                     |   |                                 |   |                                |  |    |
|  |                         |   |                                     |   |                                 |   |                                |  |    |
|  |                         |   |                                     |   |                                 |   |                                |  |    |
|  |                         |   |                                     |   |                                 |   |                                |  |    |
|  |                         |   |                                     |   |                                 |   |                                |  |    |
|  |                         |   |                                     |   |                                 |   |                                |  |    |

**Part V Transactions With Related Organizations** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

**Note.** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule

**1** During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

- a** Receipt of **(i)** interest, **(ii)** annuities, **(iii)** royalties, or **(iv)** rent from a controlled entity . . . . .
- b** Gift, grant, or capital contribution to related organization(s) . . . . .
- c** Gift, grant, or capital contribution from related organization(s) . . . . .
- d** Loans or loan guarantees to or for related organization(s) . . . . .
- e** Loans or loan guarantees by related organization(s) . . . . .
  
- f** Dividends from related organization(s) . . . . .
- g** Sale of assets to related organization(s) . . . . .
- h** Purchase of assets from related organization(s) . . . . .
- i** Exchange of assets with related organization(s) . . . . .
- j** Lease of facilities, equipment, or other assets to related organization(s) . . . . .
  
- k** Lease of facilities, equipment, or other assets from related organization(s) . . . . .
- l** Performance of services or membership or fundraising solicitations for related organization(s) . . . . .
- m** Performance of services or membership or fundraising solicitations by related organization(s) . . . . .
- n** Sharing of facilities, equipment, mailing lists, or other assets with related organization(s) . . . . .
- o** Sharing of paid employees with related organization(s) . . . . .
  
- p** Reimbursement paid to related organization(s) for expenses . . . . .
- q** Reimbursement paid by related organization(s) for expenses . . . . .
  
- r** Other transfer of cash or property to related organization(s) . . . . .
- s** Other transfer of cash or property from related organization(s) . . . . .

|           | Yes | No |
|-----------|-----|----|
|           |     |    |
| <b>1a</b> |     | No |
| <b>1b</b> |     | No |
| <b>1c</b> | Yes |    |
| <b>1d</b> |     | No |
| <b>1e</b> |     | No |
| <b>1f</b> |     | No |
| <b>1g</b> |     | No |
| <b>1h</b> |     | No |
| <b>1i</b> |     | No |
| <b>1j</b> | Yes |    |
| <b>1k</b> |     | No |
| <b>1l</b> |     | No |
| <b>1m</b> |     | No |
| <b>1n</b> | Yes |    |
| <b>1o</b> | Yes |    |
| <b>1p</b> | Yes |    |
| <b>1q</b> | Yes |    |
| <b>1r</b> |     | No |
| <b>1s</b> |     | No |

**2** If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

| <b>(a)</b><br>Name of related organization | <b>(b)</b><br>Transaction type (a-s) | <b>(c)</b><br>Amount involved | <b>(d)</b><br>Method of determining amount involved |
|--|--------------------------------------|-------------------------------|---|
|  |                                      |                               |   |
|  |                                      |                               |   |
|  |                                      |                               |   |
|  |                                      |                               |   |
|  |                                      |                               |   |
|  |                                      |                               |   |
|  |                                      |                               |   |



**Part VII** **Supplemental Information**

Provide additional information for responses to questions on Schedule R (see instructions)

| <b>Return Reference</b> | <b>Explanation</b> |
|-------------------------|--------------------|
|-------------------------|--------------------|

**Additional Data**

**Software ID:** 15000238  
**Software Version:** 2015v3.0  
**EIN:** 45-0227311  
**Name:** Carrington Health Center

**Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations**

| (a)<br>Name, address, and EIN of related organization  | (b)<br>Primary activity | (c)<br>Legal domicile (state or foreign country) | (d)<br>Exempt Code section | (e)<br>Public charity status (if section 501(c)(3)) | (f)<br>Direct controlling entity | (g)<br>Section 512 (b)(13) controlled entity? |    |
|--|-------------------------|--|----------------------------|---|----------------------------------|---|----|
|  |                         |  |                            |   |                                  | Yes   | No |
| ALEAGENT CREIGHTON CLINIC<br>12809 W DODGE RD<br>OMAHA, NE 68154<br>47-0765154   | HEALTHCARE              | NE   | 501(c)3                    | 3   | ACH                              | Yes   |    |
| ALEAGENT CREIGHTON HEALTH<br>12809 W DODGE RD<br>OMAHA, NE 68154<br>47-0757164   | HEALTHCARE              | NE   | 501(c)3                    | 3   | CHI NEBRASKA                     | Yes   |    |
| ALEAGENT CREIGHTON HEALTH FOUNDATION<br>12809 W DODGE RD<br>OMAHA, NE 68154<br>47-0648586                                      | FUNDRAISING             | NE   | 501(c)3                    | 7   | ACH                              | Yes   |    |
| ALEAGENT HEALTH - BERGAN MERCY HEALTH SYSTEM<br>7500 MERCY RD<br>OMAHA, NE 68124<br>47-0484764                                 | HEALTHCARE              | NE   | 501(c)3                    | 3   | CHI NEBRASKA                     | Yes   |    |
| ALEAGENT HEALTH - COMMUNITY MEMORIAL HOSPITAL OF MISSOURI VALLEY IA<br>631 N 8TH ST<br>MISSOURI VALLEY, IA 51555<br>42-0776568 | HEALTHCARE              | IA   | 501(c)3                    | 3   | CHI NEBRASKA                     | Yes   |    |
| ALEAGENT HEALTH - IMMANUEL MEDICAL CENTER<br>6901 N 72ND ST<br>OMAHA, NE 68122<br>47-0376615                                   | HEALTHCARE              | NE   | 501(c)3                    | 3   | CHI NEBRASKA                     | Yes   |    |
| ALEAGENT HEALTH - MEMORIAL HOSPITAL SCHUYLER<br>104 W 17TH ST<br>SCHUYLER, NE 68661<br>47-0399853                              | HEALTHCARE              | NE   | 501(c)3                    | 3   | CHI NEBRASKA                     | Yes   |    |
| ALEAGENT HEALTH - MERCY HOSPITAL CORNING IOWA<br>PO BOX 368<br>CORNING, IA 50841<br>42-0782518                                 | HEALTHCARE              | IA   | 501(c)3                    | 3   | CHI NEBRASKA                     | Yes   |    |
| ALVERNA APARTMENTS<br>300 SE 8TH AVE<br>LITTLE FALLS, MN 56345<br>41-1351177   | LTERM CARE              | MN   | 501(c)3                    | 9   | CHI                              | Yes   |    |
| APPLETREE COURT<br>601 OAK ST<br>BRECKENRIDGE, MN 56520<br>41-1850500  | SENIOR LIVING           | MN   | 501(c)3                    | 9   | SFH                              | Yes   |    |
| BAYLOR ST LUKE'S HEALTH VENTURES<br>17200 ST LUKES WAY STE 170<br>THE WOODLANDS, TX 77384<br>27-4499340                        | PHYSICIANS              | TX   | 501(c)3                    | 9   | SLCHS                            | Yes   |    |
| BELLEVILLE ST JOSEPH HEALTH CENTER<br>2801 FRANCISCAN DRIVE<br>BRYAN, TX 77802<br>27-4005511                                   | HEALTHCARE              | TX   | 501(c)3                    | 3   | SHSC                             | Yes   |    |
| BISHOP DRUMM RETIREMENT CENTER<br>1111 6TH AVE<br>DES MOINES, IA 50314<br>42-0725196   | LTERM CARE              | IA   | 501(c)3                    | 9   | CHI-IA CORP                      | Yes   |    |
| BORNEMANN HEALTHCARE CORPORATION<br>2500 BERNVILLE RD PO BOX 316<br>READING, PA 19603<br>23-2187242                            | HEALTHCARE              | PA   | 501(c)3                    | Type I  | CHI                              | Yes   |    |
| BRAZOSPORT HEALTH FOUNDATION INC<br>129 CIRCLE WAY STE 102<br>LAKE JACKSON, TX 77566<br>76-0080110                             | FUNDRAISING             | TX   | 501(c)3                    | Type I  | BRHS                             | Yes   |    |
| BRAZOSPORT REGIONAL PHYSICIAN SERVICES<br>100 MEDICAL DRIVE<br>LAKE JACKSON, TX 77566<br>80-0240261                            | HEALTHCARE              | TX   | 501(c)3                    | 3   | BRHS                             | Yes   |    |
| BURLESON ST JOSEPH HEALTH CENTER<br>2801 FRANCISCAN DRIVE<br>BRYAN, TX 77802<br>74-2759890                                     | HEALTHCARE              | TX   | 501(c)3                    | 3   | SJSC                             | Yes   |    |
| BURLESON ST JOSEPH MANOR<br>2801 FRANCISCAN DRIVE<br>BRYAN, TX 77802<br>74-2913931   | HEALTHCARE              | TX   | 501(c)3                    | 9   | SJSC                             | Yes   |    |
| CARRINGTON HEALTH CENTER<br>800 N 4TH ST<br>CARRINGTON, ND 58421<br>45-0227311   | HEALTHCARE              | ND   | 501(c)3                    | 3   | CHI                              | Yes   |    |
| CATHOLIC HEALTH INITIATIVES<br>198 INVERNESS DRIVE WEST<br>ENGLEWOOD, CO 80112<br>47-0617373                                   | HEALTHCARE              | CO   | 501(c)3                    | Type I  | NA                               | Yes   |    |

| Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations                                       |                         |  |                            |   |                                  |  |    |
|--|-------------------------|--|----------------------------|---|----------------------------------|--|----|
| (a)<br>Name, address, and EIN of related organization  | (b)<br>Primary activity | (c)<br>Legal domicile (state or foreign country) | (d)<br>Exempt Code section | (e)<br>Public charity status (if section 501(c)(3)) | (f)<br>Direct controlling entity | (g)<br>Section 512(b)(13) controlled entity? |    |
|  |                         |  |                            |   |                                  | Yes  | No |
| CATHOLIC HEALTH INITIATIVES - COLORADO<br>188 INVERNESS DRIVE WEST STE 500<br>ENGLEWOOD, CO 80112<br>84-0405257          | HEALTHCARE              | CO   | 501(c)(3)                  | 3   | CHI                              | Yes  |    |
| CATHOLIC HEALTH INITIATIVES - IOWA CORP<br>1111 6TH AVE<br>DES MOINES, IA 50314<br>42-0680448                            | HEALTHCARE              | IA   | 501(c)(3)                  | 3   | CHI                              | Yes  |    |
| CATHOLIC HEALTH INITIATIVES COLORADO FOUNDATION<br>6385 CORPORATE DR STE 301<br>COLORADO SPRINGS, CO 80919<br>84-0902211 | FUNDRAISING             | CO   | 501(c)(3)                  | 7   | CHIC                             | Yes  |    |
| CATHOLIC HEALTH INITIATIVES NATIONAL FOUNDATION<br>6385 CORPORATE DR<br>COLORADO SPRINGS, CO 80919<br>27-0930004         | FUNDRAISING             | CO   | 501(c)(3)                  | Type I  | CHI                              | Yes  |    |
| CATHOLIC HEALTH INITIATIVES VIRTUAL HEALTH SERVICES<br>198 INVERNESS DRIVE WEST<br>ENGLEWOOD, CO 80112<br>46-0992796     | HEALTHCARE              | CO   | 501(c)(3)                  | Type I  | CHINS                            | Yes  |    |
| CENTENNIAL MEDICAL GROUP INC<br>2700 STEWART PKWY<br>ROSEBURG, OR 97471<br>26-3946191                                    | PHYSICIANS              | OR   | 501(c)(3)                  | 9   | MMC                              | Yes  |    |
| CENTRAL KANSAS MEDICAL CENTER<br>3515 BROADWAY<br>GREAT BEND, KS 67530<br>48-0543724                                     | SURGERY CENTER          | KS   | 501(c)(3)                  | 3   | CHI                              | Yes  |    |
| CHI HEALTH CONNECT AT HOME - FARGO<br>4816 AMBER VALLEY PKWY S<br>FARGO, ND 58104<br>27-1966847                          | HEALTHCARE              | MN   | 501(c)(3)                  | 9   | CHI                              | Yes  |    |
| CHI INSTITUTE FOR RESEARCH AND INNOVATION<br>198 INVERNESS DRIVE WEST<br>ENGLEWOOD, CO 80112<br>27-1050565               | HEALTHCARE              | CO   | 501(c)(3)                  | Type I  | CHI                              | Yes  |    |
| CHI KENTUCKY INC<br>3900 OLYMPIC BLVD STE 400<br>ERLANGER, KY 41018<br>20-2741651  | HEALTHCARE              | KY   | 501(c)(3)                  | Type I  | CHI                              | Yes  |    |
| CHI NATIONAL HOME CARE<br>198 INVERNESS DRIVE WEST<br>ENGLEWOOD, CO 80112<br>45-1261716                                  | HEALTHCARE              | CO   | 501(c)(3)                  | 9   | CHINS                            | Yes  |    |
| CHI NATIONAL SERVICES<br>198 INVERNESS DRIVE WEST<br>ENGLEWOOD, CO 80112<br>45-2532084                                   | HEALTHCARE              | CO   | 501(c)(3)                  | Type I  | CHI                              | Yes  |    |
| CHI NEBRASKA<br>6940 O ST STE 200<br>LINCOLN, NE 68510<br>36-3233121   | HEALTHCARE              | NE   | 501(c)(3)                  | Type I  | CHI                              | Yes  |    |
| CHI ST JOSEPH CHILDREN'S HEALTH<br>1929 LINCOLN HWY E STE 150<br>LANCASTER, PA 17602<br>23-2342997                       | HEALTHCARE              | PA   | 501(c)(3)                  | Type I  | CHI                              | Yes  |    |
| CHI ST JOSEPH'S CHILDREN<br>1516 5TH ST NW<br>ALBUQUERQUE, NM 87102<br>71-0897107  | COMMUNITY               | NM   | 501(c)(3)                  | Type I  | CHI                              | Yes  |    |
| CHI ST LUKE'S HEALTH BAYLOR COLLEGE OF MEDICINE MEDICAL CENTER<br>6624 FANNIN ST<br>HOUSTON, TX 77030<br>74-1161938      | HEALTHCARE              | TX   | 501(c)(3)                  | 3   | SLHS                             | Yes  |    |
| CHI ST VINCENT HOSPITAL HOT SPRINGS<br>300 WERNER ST<br>HOT SPRINGS, AR 71913<br>71-0236913                              | HEALTHCARE              | AR   | 501(c)(3)                  | 3   | CHISVHS                          | Yes  |    |
| CHI ST VINCENT HOT SPRINGS<br>300 WERNER ST<br>HOT SPRINGS, AR 71913<br>26-1125064                                       | HOLDING CO              | AR   | 501(c)(3)                  | Type II   | SVIMC                            | Yes  |    |
| CHI ST VINCENT MEDICAL GROUP HOT SPRINGS<br>1 MERCY LANE STE 201<br>HOT SPRINGS, AR 71913<br>26-1125131                  | HEALTHCARE              | AR   | 501(c)(3)                  | 3   | CHISVHS                          | Yes  |    |
| COMMUNITY LIMITED CARE DIALYSIS CENTER<br>619 OAK ST ACCOUNTING-3 W<br>CINCINNATI, OH 45206<br>23-7419853                | HOLDING CO              | OH   | 501(c)(2)                  |   | GSH                              | Yes  |    |

| Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations                                    |                         |  |                            |   |                                  |  |    |
|---|-------------------------|--|----------------------------|---|----------------------------------|--|----|
| (a)<br>Name, address, and EIN of related organization   | (b)<br>Primary activity | (c)<br>Legal domicile (state or foreign country) | (d)<br>Exempt Code section | (e)<br>Public charity status (if section 501(c)(3)) | (f)<br>Direct controlling entity | (g)<br>Section 512(b)(13) controlled entity? |    |
|   |                         |  |                            |   |                                  | Yes  | No |
| COMMUNITY MEMORIAL HOSPITAL MEDICAL SERVICE FOUNDATION<br>631 N 8TH ST<br>MISSOURI VALLEY, IA 51555<br>42-1294399     | FUNDRAISING             | IA   | 501(c)3                    | Type I  | AH-CMHMV                         | Yes  |    |
| CONTINUING CARE HOSPITAL<br>150 NORTH EAGLE CREEK DR<br>LEXINGTON, KY 40509<br>61-1400619                             | LT ACH                  | KY   | 501(c)3                    | 3   | SJHS                             | Yes  |    |
| COVENANT HOME CARE<br>198 INVERNESS DRIVE WEST<br>ENGLEWOOD, CO 80112<br>23-2028429                                   | HOME HEALTH             | PA   | 501(c)3                    | Type II   | CHI NHC                          | Yes  |    |
| ENUMCLAW REGIONAL HOSPITAL ASSOCIATION<br>1450 BATTERSBY AVE<br>ENUMCLAW, WA 98022<br>91-0715805                      | HEALTHCARE              | WA   | 501(c)3                    | 3   | FHS                              | Yes  |    |
| FLAGET HEALTHCARE INC<br>4305 NEW SHEPHERDSVILLE RD<br>BARDSTOWN, KY 40004<br>61-1345363                              | HEALTHCARE              | KY   | 501(c)3                    | 3   | KOH                              | Yes  |    |
| FLAGET MEMORIAL HOSPITAL FOUNDATION INC<br>4305 NEW SHEPHERDSVILLE RD<br>BARDSTOWN, KY 40004<br>56-2351341            | FUNDRAISING             | KY   | 501(c)3                    | Type I  | FH                               | Yes  |    |
| FRANCISCAN CARE CENTER<br>4111 N HOLLAND-SYLVANIA RD<br>TOLEDO, OH 43623<br>34-1931806                                | HEALTHCARE              | OH   | 501(c)3                    | 9   | FLC                              | Yes  |    |
| FRANCISCAN FOUNDATION<br>1717 SOUTH J ST<br>TACOMA, WA 98405<br>91-1145592  | FUNDRAISING             | WA   | 501(c)3                    | 9   | FHS                              | Yes  |    |
| FRANCISCAN HEALTH SYSTEM<br>1717 SOUTH J ST<br>TACOMA, WA 98405<br>91-0564491   | HEALTHCARE              | WA   | 501(c)3                    | 3   | CHI                              | Yes  |    |
| FRANCISCAN HEALTH VENTURES FKA SJMGROUP<br>TACOMA FNC CTR BLDG 1145 BROADWAY<br>TACOMA, WA 98402<br>43-1882377        | PHYSICIANS              | MO   | 501(c)3                    | 9   | CHI                              | Yes  |    |
| FRANCISCAN LIVING COMMUNITIES<br>5942 RENAISSANCE PLACE STE A<br>TOLEDO, OH 43623<br>34-1892096                       | HEALTHCARE              | OH   | 501(c)3                    | Type I  | SFH                              | Yes  |    |
| FRANCISCAN MEDICAL GROUP<br>1313 BROADWAY STE 200<br>TACOMA, WA 98402<br>91-1939739                                   | HEALTHCARE              | WA   | 501(c)3                    | 9   | FHS                              | Yes  |    |
| FRANCISCAN VILLA OF SOUTH MILWAUKEE INC<br>3601 S CHICAGO AVE<br>SOUTH MILWAUKEE, WI 53172<br>39-1093829              | HEALTHCARE              | WI   | 501(c)3                    | 9   | CHI                              | Yes  |    |
| GARRISON MEMORIAL HOSPITAL<br>407 THIRD AVENUE SOUTHEAST<br>GARRISON, ND 58540<br>45-0227752                          | HEALTHCARE              | ND   | 501(c)3                    | 3   | SAMC                             | Yes  |    |
| GLOBAL HEALTH INITIATIVES<br>198 INVERNESS DRIVE WEST<br>ENGLEWOOD, CO 80112<br>20-1536108                            | MINISTRIES              | CO   | 501(c)3                    | Type I  | CHI                              | Yes  |    |
| GOOD SAMARITAN COLLEGE OF NURSING & HEALTH SCIENCE<br>619 OAK ST ACCOUNTING-3 W<br>CINCINNATI, OH 45206<br>31-1778403 | EDUCATION               | OH   | 501(c)3                    | 2   | GSH                              | Yes  |    |
| GOOD SAMARITAN FOUNDATION OF CINCINNATI INC<br>619 OAK ST ACCOUNTING-3 W<br>CINCINNATI, OH 45206<br>31-1206047        | FUNDRAISING             | OH   | 501(c)3                    | Type I  | GSH                              | Yes  |    |
| GOOD SAMARITAN HOSPITAL<br>PO BOX 1990<br>KEARNEY, NE 68848<br>47-0379755   | HEALTHCARE              | NE   | 501(c)3                    | 3   | CHI NEBRASKA                     | Yes  |    |
| GOOD SAMARITAN HOSPITAL FOUNDATION<br>111 W 31ST ST<br>KEARNEY, NE 68847<br>47-0659443                                | FUNDRAISING             | NE   | 501(c)3                    | 7   | GSH                              | Yes  |    |
| GOOD SAMARITAN HOSPITAL FOUNDATION - DAYTON<br>110 N MAIN ST STE 500<br>DAYTON, OH 45402<br>23-7296923                | FUNDRAISING             | OH   | 501(c)3                    | 7   | SHP                              | Yes  |    |

| Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations                            |                         |  |                            |   |                                  |  |    |
|---|-------------------------|--|----------------------------|---|----------------------------------|--|----|
| (a)<br>Name, address, and EIN of related organization   | (b)<br>Primary activity | (c)<br>Legal domicile (state or foreign country) | (d)<br>Exempt Code section | (e)<br>Public charity status (if section 501(c)(3)) | (f)<br>Direct controlling entity | (g)<br>Section 512(b)(13) controlled entity? |    |
|   |                         |  |                            |   |                                  | Yes  | No |
| HARRISON MEDICAL CENTER<br>2520 CHERRY AVE<br>BREMERTON, WA 98310<br>91-0565546                               | HEALTHCARE              | WA   | 501(c)3                    | 3   | FHS                              | Yes  |    |
| HARRISON MEDICAL CENTER FOUNDATION<br>2520 CHERRY AVE<br>BREMERTON, WA 98310<br>91-1197626                    | FUNDRAISING             | WA   | 501(c)3                    | 7   | HMC                              | Yes  |    |
| HEALTHCARE AND WELLNESS FOUNDATION<br>2400 ST FRANCIS DR<br>BRECKENRIDGE, MN 56520<br>76-0761782              | FUNDRAISING             | MN   | 501(c)3                    | Type I  | SFMC                             | Yes  |    |
| HIGHLINE MEDICAL CENTER<br>16251 SYLVESTER RD SW<br>BURIEN, WA 98166<br>91-0712166                            | HEALTHCARE              | WA   | 501(c)3                    | 3   | FHS                              | Yes  |    |
| HOUSE OF MERCY<br>1111 6TH AVE<br>DES MOINES, IA 50314<br>42-1323808  | SHELTER                 | IA   | 501(c)3                    | 7   | CHI-IA CORP                      | Yes  |    |
| JEWISH HOSPITAL AND ST MARY'S HEALTHCARE INC<br>200 ABRAHAM FLEXNER WAY<br>LOUISVILLE, KY 40202<br>61-1029768 | HEALTHCARE              | KY   | 501(c)3                    | 3   | KOH                              | Yes  |    |
| KENTUCKYONE HEALTH MEDICAL GROUP INC<br>200 ABRAHAM FLEXNER WAY<br>LOUISVILLE, KY 40202<br>61-1352729         | HEALTHCARE              | KY   | 501(c)3                    | 9   | JHSMH                            | Yes  |    |
| KENTUCKYONE HEALTH INC<br>200 ABRAHAM FLEXNER WAY<br>LOUISVILLE, KY 40202<br>61-1029769                       | HEALTHCARE              | KY   | 501(c)3                    | 9   | CHI                              | Yes  |    |
| LAKWOOD HEALTH CENTER<br>600 MAIN AVE S<br>BAUDETTE, MN 56623<br>41-0758434                                   | HEALTHCARE              | MN   | 501(c)3                    | 3   | CHI                              | Yes  |    |
| LAKWOOD REGIONAL HEALTHCARE FOUNDATION<br>600 MAIN AVE S<br>BAUDETTE, MN 56623<br>41-1893795                  | FUNDRAISING             | ND   | 501(c)3                    | 7   | LHC                              | Yes  |    |
| LINUS OAKES INC<br>2700 STEWART PKWY<br>ROSEBURG, OR 97471<br>93-0821381                                      | SENIOR LIVING           | OR   | 501(c)3                    | 9   | MMC                              | Yes  |    |
| LISBON AREA HEALTH SERVICES<br>905 MAIN ST<br>LISBON, ND 58054<br>82-0558836                                  | HEALTHCARE              | ND   | 501(c)3                    | 3   | CHI                              | Yes  |    |
| LUFKIN VISION ACQUISITIONS<br>PO BOX 1447<br>LUFKIN, TX 75901<br>82-0563768                                   | PROPERTY MGMT           | TX   | 501(c)3                    | Type III-FI   | MHSET                            | Yes  |    |
| MADISON ST JOSEPH HEALTH CENTER<br>2801 FRANCISCAN DRIVE<br>BRYAN, TX 77802<br>74-2761145                     | HEALTHCARE              | TX   | 501(c)3                    | 3   | SJSC                             | Yes  |    |
| MADONNA MANOR INC<br>2344 AMSTERDAM ROAD<br>VILLA HILLS, KY 51017<br>61-0654635                               | LIVING ASSIST           | KY   | 501(c)3                    | 1   | FLC                              | Yes  |    |
| MEMORIAL HEALTH CARE SYSTEM FOUNDATION INC<br>2525 DE SALES AVE<br>CHATTANOOGA, TN 37404<br>62-1839548        | FUNDRAISING             | TN   | 501(c)3                    | 7   | MHCS                             | Yes  |    |
| MEMORIAL HEALTH CARE SYSTEM INC<br>2525 DE SALES AVE<br>CHATTANOOGA, TN 37404<br>62-0532345                   | HEALTHCARE              | TN   | 501(c)3                    | 3   | CHI                              | Yes  |    |
| MEMORIAL HEALTH PARTNERS FOUNDATION INC<br>5600 BRAINERD RD STE 500<br>CHATTANOOGA, TN 37411<br>03-0417049    | HEALTHCARE              | TN   | 501(c)3                    | 9   | MHCS                             | Yes  |    |
| MEMORIAL HEALTH SYSTEM OF EAST TEXAS<br>PO BOX 1447<br>LUFKIN, TX 75902<br>75-0755367                         | HEALTHCARE              | TX   | 501(c)3                    | 3   | CHI                              | Yes  |    |
| MEMORIAL MEDICAL CENTER - LIVINGSTON<br>PO BOX 1447<br>LUFKIN, TX 75902<br>76-0436439                         | HEALTHCARE              | TX   | 501(c)3                    | 3   | MHSET                            | Yes  |    |

| Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations                           |                         |  |                            |   |                                  |   |    |
|--|-------------------------|--|----------------------------|---|----------------------------------|---|----|
| (a)<br>Name, address, and EIN of related organization  | (b)<br>Primary activity | (c)<br>Legal domicile (state or foreign country) | (d)<br>Exempt Code section | (e)<br>Public charity status (if section 501(c)(3)) | (f)<br>Direct controlling entity | (g)<br>Section 512 (b)(13) controlled entity? |    |
|  |                         |  |                            |   |                                  | Yes   | No |
| MEMORIAL MEDICAL CENTER - SAN AUGUSTINE<br>PO BOX 1447<br>LUFKIN, TX 75902<br>75-2663904                     | HEALTHCARE              | TX   | 501(c)3                    | 3   | MHSET                            | Yes   |    |
| MEMORIAL MULTISPECIALTY ASSOCIATES<br>1201 FRANK AVE<br>LUFKIN, TX 95904<br>75-2721155                       | PHYSICIANS              | TX   | 501(c)3                    | Type III-FI   | MHSET                            | Yes   |    |
| MEMORIAL SPECIALTY HOSPITAL<br>PO BOX 1447<br>LUFKIN, TX 95902<br>75-2492741                                 | HEALTHCARE              | TX   | 501(c)3                    | 3   | MHSET                            | Yes   |    |
| MERCY AUXILIARY OF CENTRAL IOWA<br>1111 6TH AVE<br>DES MOINES, IA 50314<br>42-6076069                        | AUXILIARY               | IA   | 501(c)3                    | Type I  | MF-DM IA                         | Yes   |    |
| MERCY CLINICS INC<br>1111 6TH AVE<br>DES MOINES, IA 50314<br>42-1193699                                      | PHYSICIANS              | IA   | 501(c)3                    | 9   | CHI-IA CORP                      | Yes   |    |
| MERCY COLLEGE OF HEALTH SCIENCES<br>1111 6TH AVE<br>DES MOINES, IA 50314<br>42-1511682                       | EDUCATION               | IA   | 501(c)3                    | 2   | CHI-IA CORP                      | Yes   |    |
| MERCY FOUNDATION OF DES MOINES IA<br>1111 6TH AVE<br>DES MOINES, IA 50314<br>23-7358794                      | FUNDRAISING             | IA   | 501(c)3                    | 7   | CHI-IA CORP                      | Yes   |    |
| MERCY FOUNDATION INC<br>2700 STEWART PKWY<br>ROSEBURG, OR 97471<br>93-6088946                                | FUNDRAISING             | OR   | 501(c)3                    | 7   | MMC                              | Yes   |    |
| MERCY HEALTH CARE FOUNDATION<br>PO BOX 368<br>CORNING, IA 50841<br>42-1461064                                | FUNDRAISING             | IA   | 501(c)3                    | Type I  | AHMH-Corning                     | Yes   |    |
| MERCY HEALTHCARE FOUNDATION<br>570 CHAUTAUQUA BLVD<br>VALLEY CITY, ND 58072<br>45-0435338                    | FUNDRAISING             | ND   | 501(c)3                    | Type I  | MHVC                             | Yes   |    |
| MERCY HOSPITAL FOUNDATION COUNCIL BLUFFS<br>800 MERCY DR<br>COUNCIL BLUFFS, IA 51503<br>42-1178204           | FUNDRAISING             | IA   | 501(c)3                    | Type I  | AHBMHS                           | Yes   |    |
| MERCY HOSPITAL OF DEVILS LAKE<br>1031 7TH ST NE<br>DEVILS LAKE, ND 58301<br>45-0227012                       | HEALTHCARE              | ND   | 501(c)3                    | 3   | CHI                              | Yes   |    |
| MERCY HOSPITAL OF DEVILS LAKE FOUNDATION<br>1031 7TH ST NE<br>DEVILS LAKE, ND 58301<br>35-2367360            | FUNDRAISING             | ND   | 501(c)3                    | 7   | MHDL                             | Yes   |    |
| MERCY HOSPITAL OF VALLEY CITY<br>570 CHAUTAUQUA BLVD<br>VALLEY CITY, ND 58072<br>45-0226553                  | HEALTHCARE              | ND   | 501(c)3                    | 3   | CHI                              | Yes   |    |
| MERCY MEDICAL CENTER<br>1301 15TH AVE WEST<br>WILLISTON, ND 58801<br>45-0231183                              | HEALTHCARE              | ND   | 501(c)3                    | 3   | CHI                              | Yes   |    |
| MERCY MEDICAL CENTER - CENTERVILLE<br>ONE ST JOSEPHS DRIVE<br>CENTERVILLE, IA 52544<br>42-0680308            | HEALTHCARE              | IA   | 501(c)3                    | 3   | CHI-IA CORP                      | Yes   |    |
| MERCY MEDICAL CENTER - NEWTON DBA SKIFF MEDICAL CENTER<br>1111 6TH AVE<br>DES MOINES, IA 50314<br>42-1470935 | PHYSICIANS              | IA   | 501(c)3                    | 9   | CHI-IA CORP                      | Yes   |    |
| MERCY MEDICAL CENTER INC<br>2700 STEWART PKWY<br>ROSEBURG, OR 97471<br>93-0386868                            | HEALTHCARE              | OR   | 501(c)3                    | 3   | CHI                              | Yes   |    |
| MERCY MEDICAL FOUNDATION<br>1301 15TH AVE WEST<br>WILLISTON, ND 58801<br>45-0381803                          | FUNDRAISING             | ND   | 501(c)3                    | Type I  | MMC                              | Yes   |    |
| NEBRASKA HEART HOSPITAL<br>7500 S 91ST ST<br>LINCOLN, NE 68526<br>39-2031968                                 | HEALTHCARE              | NE   | 501(c)3                    | 3   | CHI NEBRASKA                     | Yes   |    |

| Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations                                    |                         |  |                            |   |                                  |   |    |
|---|-------------------------|--|----------------------------|---|----------------------------------|---|----|
| (a)<br>Name, address, and EIN of related organization   | (b)<br>Primary activity | (c)<br>Legal domicile (state or foreign country) | (d)<br>Exempt Code section | (e)<br>Public charity status (if section 501(c)(3)) | (f)<br>Direct controlling entity | (g)<br>Section 512 (b)(13) controlled entity? |    |
|   |                         |  |                            |   |                                  | Yes   | No |
| North Central Health Care Alliance dba PrimeCare Health Group<br>401 N 9th St<br>BISMARCK, ND 585014507<br>45-0439894 | HEALTHCARE              | ND   | 501(c)3                    | 9   | NHCA                             | Yes   |    |
| OAKES COMMUNITY HOSPITAL<br>1200 N 7TH ST<br>OAKES, ND 58474<br>45-0231675  | HEALTHCARE              | ND   | 501(c)3                    | 3   | CHI                              | Yes   |    |
| OAKES COMMUNITY HOSPITAL FOUNDATION<br>1200 N 7TH ST<br>OAKES, ND 58474<br>71-0966606                                 | FUNDRAISING             | ND   | 501(c)3                    | Type I  | OCH                              | Yes   |    |
| PINEYWOODS MEDICAL DEVELOPMENT CORP<br>PO BOX 1447<br>LUFKIN, TX 75902<br>75-2493116                                  | PROPERTY MGMT           | TX   | 501(c)3                    | Type III-FI   | MHSET                            | Yes   |    |
| PROVIDENCE CARE CENTER<br>2025 HAYES AVENUE<br>SANDUSKY, OH 44870<br>34-1658625                                       | HEALTHCARE              | OH   | 501(c)3                    | 9   | FLC                              | Yes   |    |
| PROVIDENCE CARE CENTERS<br>2025 HAYES AVENUE<br>SANDUSKY, OH 44870<br>34-1826099                                      | HOLDING CO              | OH   | 501(c)3                    | Type II   | FLC                              | Yes   |    |
| PROVIDENCE RESIDENTIAL COMMUNITY CORPORATION<br>5055 PROVIDENCE DRIVE<br>SANDUSKY, OH 44870<br>34-1896807             | LIVING COMM             | OH   | 501(c)3                    | 9   | FLC                              | Yes   |    |
| PUEBLO STEPUP<br>1925 E ORMAN AVE STE G52<br>PUEBLO, CO 81004<br>84-1234295   | COMMUNITY               | CO   | 501(c)3                    | 7   | CHIC                             | Yes   |    |
| REGIONAL HOSPITAL FOR RESPIRATORY AND COMPLEX CARE<br>12844 MILITARY RD S<br>TUKWILA, WA 98168<br>91-1170040          | HEALTHCARE              | WA   | 501(c)3                    | 3   | FHS                              | Yes   |    |
| SET OF COLORADO SPRINGS INC<br>2864 S CIRCLE DR STE 450<br>COLORADO SPRINGS, CO 80906<br>84-1183335                   | LTERM CARE              | CO   | 501(c)3                    | 7   | CHIC                             | Yes   |    |
| SAINT CLARE'S COMMUNITY CARE INC<br>25 POCONO RD<br>DENVER, NJ 07834<br>22-2876836                                    | HEALTHCARE              | NJ   | 501(c)3                    | Type II   | SCHS                             | Yes   |    |
| SAINT CLARE'S FOUNDATION INC<br>25 POCONO RD<br>DENVER, NJ 07834<br>22-2502997  | FUNDRAISING             | NJ   | 501(c)3                    | 7   | SCHS                             | Yes   |    |
| SAINT CLARE'S HEALTH SERVICES INC<br>25 POCONO RD<br>DENVER, NJ 07834<br>22-3639733                                   | MANAGEMENT              | NJ   | 501(c)3                    | Type II   | CHI                              | Yes   |    |
| SAINT CLARE'S HOSPITAL INC<br>25 POCONO RD<br>DENVER, NJ 07834<br>22-3319886  | HEALTHCARE              | NJ   | 501(c)3                    | 3   | SCHS                             | Yes   |    |
| SAINT ELIZABETH FOUNDATION<br>555 S 70TH ST<br>LINCOLN, NE 68510<br>47-0625523  | FUNDRAISING             | NE   | 501(c)3                    | 7   | SERMC                            | Yes   |    |
| SAINT ELIZABETH HEALTH SERVICES<br>555 S 70TH ST<br>LINCOLN, NE 68510<br>36-3233120                                   | HEALTHCARE              | NE   | 501(c)3                    | 3   | SERMC                            | Yes   |    |
| SAINT ELIZABETH REGIONAL MEDICAL CENTER<br>555 S 70TH ST<br>LINCOLN, NE 68510<br>47-0379836                           | HEALTHCARE              | NE   | 501(c)3                    | 3   | CHI NEBRASKA                     | Yes   |    |
| SAINT FRANCIS MEDICAL CENTER<br>2620 W FAIDLEY<br>GRAND ISLAND, NE 68803<br>47-0376601                                | HEALTHCARE              | NE   | 501(c)3                    | 3   | CHI NEBRASKA                     | Yes   |    |
| SAINT FRANCIS MEDICAL CENTER FOUNDATION<br>PO BOX 9804<br>GRAND ISLAND, NE 68802<br>47-0630267                        | FUNDRAISING             | NE   | 501(c)3                    | 7   | SFMC                             | Yes   |    |
| SAINT JOSEPH BERIA HOSPITAL FOUNDATION INC<br>305 ESTILL ST<br>BEREA, KY 40403<br>26-0152877                          | FUNDRAISING             | KY   | 501(c)3                    | 7   | SJHS                             | Yes   |    |

| Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations                        |                         |  |                            |   |                                  |   |    |
|---|-------------------------|--|----------------------------|---|----------------------------------|---|----|
| (a)<br>Name, address, and EIN of related organization   | (b)<br>Primary activity | (c)<br>Legal domicile (state or foreign country) | (d)<br>Exempt Code section | (e)<br>Public charity status (if section 501(c)(3)) | (f)<br>Direct controlling entity | (g)<br>Section 512 (b)(13) controlled entity? |    |
|   |                         |  |                            |   |                                  | Yes   | No |
| SAINT JOSEPH HEALTH SYSTEM INC<br>200 ABRAHAM FLEXNER WAY<br>LOUISVILLE, KY 40202<br>61-1334601           | HEALTHCARE              | KY   | 501(c)3                    | 3   | KOH                              | Yes   |    |
| SAINT JOSEPH HOSPITAL FOUNDATION INC<br>ONE SAINT JOSEPH DRIVE<br>LEXINGTON, KY 40504<br>61-1159649       | FUNDRAISING             | KY   | 501(c)3                    | Type I  | SJHS                             | Yes   |    |
| SAINT JOSEPH LONDON FOUNDATION INC<br>1001 SAINT JOSEPH LANE<br>LONDON, KY 40741<br>26-0438748            | FUNDRAISING             | KY   | 501(c)3                    | 7   | SJHS                             | Yes   |    |
| SAINT JOSEPH MOUNT STERLING FOUNDATION INC<br>225 FALCON DR<br>MOUNT STERLING, KY 40353<br>27-2884584     | FUNDRAISING             | KY   | 501(c)3                    | 7   | SJHS                             | Yes   |    |
| SAINT JOSEPH'S HOSPITAL FOUNDATION<br>30 WEST 7TH ST<br>DICKINSON, ND 58601<br>36-3418207                 | FUNDRAISING             | ND   | 501(c)3                    | Type I  | SJHHC                            | Yes   |    |
| SAMARITAN BEHAVIORAL HEALTH INC<br>601 S EDWIN C MOSES BLVD<br>DAYTON, OH 45417<br>02-0633634             | HEALTHCARE              | OH   | 501(c)3                    | 7   | SHP                              | Yes   |    |
| SAMARITAN HEALTH PARTNERS<br>110 N MAIN ST STE 500<br>DAYTON, OH 45402<br>31-1107411                      | HEALTHCARE              | OH   | 501(c)3                    | Type I  | CHI                              | Yes   |    |
| SCHUYLER MEMORIAL HOSPITAL FOUNDATION INC<br>104 W 17TH ST<br>SCHUYLER, NE 68661<br>36-3630014            | FUNDRAISING             | NE   | 501(c)3                    | Type I  | AHMHS                            | Yes   |    |
| SJRCM JOPLIN MISSOURI<br>198 INVERNESS DRIVE WEST<br>ENGLEWOOD, CO 80112<br>44-0545809                    | HEALTHCARE              | MO   | 501(c)3                    | 3   | CHI                              | Yes   |    |
| SL AUGUSTA CORP<br>PO BOX 20269<br>HOUSTON, TX 77225<br>76-0226623  | TITLE HOLDING           | TX   | 501(c)2                    |   | SLPC                             | Yes   |    |
| ST ALEXIUS MEDICAL CENTER<br>900 EAST BROADWAY AVENUE<br>BISMARCK, ND 58501<br>45-0226711                 | HEALTHCARE              | ND   | 501(c)3                    | 3   | CHI                              | Yes   |    |
| ST ANTHONY HOSPITAL<br>1601 SE COURT AVE<br>PENDLETON, OR 97801<br>93-0391614                             | HEALTHCARE              | OR   | 501(c)3                    | 3   | CHI                              | Yes   |    |
| ST ANTHONY HOSPITAL FOUNDATION<br>1601 SE COURT AVE<br>PENDLETON, OR 97801<br>93-0992727                  | FUNDRAISING             | OR   | 501(c)3                    | Type I  | SAH                              | Yes   |    |
| ST ANTHONY'S HOSPITAL ASSOCIATION<br>FOUR HOSPITAL DR<br>MORRILTON, AR 72110<br>71-0245507                | HEALTHCARE              | AR   | 501(c)3                    | 3   | SVIMC                            | Yes   |    |
| ST CATHERINE HOSPITAL<br>401 EAST SPRUCE ST<br>GARDEN CITY, KS 67846<br>48-0543721                        | HEALTHCARE              | KS   | 501(c)3                    | 3   | CHI                              | Yes   |    |
| ST CATHERINE HOSPITAL DEVELOPMENT FOUNDATION<br>401 EAST SPRUCE ST<br>GARDEN CITY, KS 67846<br>20-0598702 | FUNDRAISING             | KS   | 501(c)3                    | Type I  | SCH                              | Yes   |    |
| ST CLARE COMMONS<br>5942 RENAISSANCE PLACE STE A<br>TOLEDO, OH 43623<br>27-0163752                        | LIVING COMM             | OH   | 501(c)3                    | 9   | FLC                              | Yes   |    |
| ST DOMINIC OF ONTARIO OREGON<br>198 INVERNESS DRIVE WEST<br>ENGLEWOOD, CO 80112<br>93-0433692             | HEALTHCARE              | OR   | 501(c)4                    |   | CHI                              | Yes   |    |
| ST FRANCIS HOME<br>2400 ST FRANCIS DR<br>BRECKENRIDGE, MN 56520<br>41-0729978                             | LTERM CARE              | MN   | 501(c)3                    | 9   | CHI                              | Yes   |    |
| ST FRANCIS LIFE CARE CORPORATION<br>19 POCONO RD<br>DENVER, NJ 07834<br>22-2536017                        | ELDERLY CARE            | NJ   | 501(c)3                    | 9   | SCHS                             | Yes   |    |

| Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations  |                         |  |                            |   |                                  |   |    |
|---|-------------------------|--|----------------------------|---|----------------------------------|---|----|
| (a)<br>Name, address, and EIN of related organization   | (b)<br>Primary activity | (c)<br>Legal domicile (state or foreign country) | (d)<br>Exempt Code section | (e)<br>Public charity status (if section 501(c)(3)) | (f)<br>Direct controlling entity | (g)<br>Section 512 (b)(13) controlled entity? |    |
|   |                         |  |                            |   |                                  | Yes   | No |
| ST FRANCIS MEDICAL CENTER<br>2400 ST FRANCIS DR<br>BRECKENRIDGE, MN 56520<br>41-0695598                                   | HEALTHCARE              | MN   | 501(c)(3)                  | 3   | CHI                              | Yes   |    |
| ST FRANCIS OF BAKER CITY<br>198 INVERNESS DRIVE WEST<br>ENGLEWOOD, CO 80112<br>93-0412495                                 | HEALTHCARE              | OR   | 501(c)(3)                  | 3   | CHI                              | Yes   |    |
| ST JOSEPH FOUNDATION OF BRYAN TEXAS<br>2801 FRANCISCAN DRIVE<br>BRYAN, TX 77802<br>74-2351158                             | FUNDRAISING             | TX   | 501(c)(3)                  | Type I  | SJSC                             | Yes   |    |
| ST JOSEPH MANOR<br>2801 FRANCISCAN DRIVE<br>BRYAN, TX 77802<br>74-2847594   | HEALTHCARE              | TX   | 501(c)(3)                  | 9   | SJSC                             | Yes   |    |
| ST JOSEPH MEDICAL CENTER INC<br>201 INTERNATIONAL CIRCLE STE 212<br>HUNT VALLEY, MD 21030<br>52-0591461                   | HEALTHCARE              | MD   | 501(c)(3)                  | 3   | CHI                              | Yes   |    |
| ST JOSEPH PHYSICIAN ASSOCIATES<br>2801 FRANCISCAN DRIVE<br>BRYAN, TX 77802<br>20-3159302                                  | HEALTHCARE              | TX   | 501(c)(3)                  | 3   | SJSC                             | Yes   |    |
| ST JOSEPH PHYSICIAN ENTERPRISE INC<br>201 INTERNATIONAL CIRCLE STE 212<br>HUNT VALLEY, MD 21030<br>52-1311775             | PHYSICIANS              | MD   | 501(c)(3)                  | Type I  | SJMC                             | Yes   |    |
| ST JOSEPH REGIONAL HEALTH CENTER<br>2801 FRANCISCAN DRIVE<br>BRYAN, TX 77802<br>74-1282696                                | HEALTHCARE              | TX   | 501(c)(3)                  | 3   | SJSC                             | Yes   |    |
| ST JOSEPH REGIONAL HEALTH PARTNERS<br>2801 FRANCISCAN DRIVE<br>BRYAN, TX 77802<br>45-4088170                              | HEALTHCARE              | TX   | 501(c)(3)                  | 3   | SJSC                             | Yes   |    |
| ST JOSEPH REGIONAL HEALTH PARTNERS ACO<br>2801 FRANCISCAN DRIVE<br>BRYAN, TX 77802<br>46-3265423                          | HEALTHCARE              | TX   | 501(c)(3)                  | 3   | SJSC                             | Yes   |    |
| ST JOSEPH SERVICES CORPORATION<br>2801 FRANCISCAN DRIVE<br>BRYAN, TX 77802<br>74-2455161                                  | MANAGEMENT              | TX   | 501(c)(3)                  | Type I  | SFH                              | Yes   |    |
| ST JOSEPH'S AREA HEALTH SERVICES<br>600 PLEASANT AVE<br>PARK RAPIDS, MN 56470<br>41-0695603                               | HEALTHCARE              | MN   | 501(c)(3)                  | 3   | CHI                              | Yes   |    |
| ST JOSEPH'S HOSPITAL AND HEALTH CENTER<br>30 WEST 7TH ST<br>DICKINSON, ND 58601<br>45-0226429                             | HEALTHCARE              | ND   | 501(c)(3)                  | 3   | CHI                              | Yes   |    |
| ST LEONARD<br>8100 CLYO ROAD<br>CENTERVILLE, OH 45458<br>34-1940863   | LIVING COMM             | OH   | 501(c)(3)                  | 9   | FLC                              | Yes   |    |
| ST LUKE'S COMMUNITY DEVELOPMENT CORPORATION<br>6624 FANNIN ST STE 2505<br>HOUSTON, TX 77030<br>26-0274448                 | MANAGEMENT              | TX   | 501(c)(3)                  | Type I  | SLHS                             | Yes   |    |
| ST LUKE'S COMMUNITY DEVELOPMENT CORPORATION - PMC<br>6624 FANNIN ST STE 2505<br>HOUSTON, TX 77030<br>27-3733278           | HEALTHCARE              | TX   | 501(c)(3)                  | 3   | SLCDC                            | Yes   |    |
| ST LUKE'S COMMUNITY DEVELOPMENT CORPORATION - SUGAR LAND<br>6624 FANNIN ST STE 2505<br>HOUSTON, TX 77030<br>26-1947374    | HEALTHCARE              | TX   | 501(c)(3)                  | 3   | SLHS                             | Yes   |    |
| ST LUKE'S COMMUNITY DEVELOPMENT CORPORATION - THE WOODLANDS<br>6624 FANNIN ST STE 2505<br>HOUSTON, TX 77030<br>26-0335902 | HEALTHCARE              | TX   | 501(c)(3)                  | 3   | SLCDC                            | Yes   |    |
| ST LUKE'S COMMUNITY HEALTH SERVICES<br>6624 FANNIN ST STE 1100<br>HOUSTON, TX 77030<br>76-0536234                         | HEALTHCARE              | TX   | 501(c)(3)                  | 3   | SLHS                             | Yes   |    |
| ST LUKE'S FOUNDATION<br>1213 HERMANN DRIVE STE 855<br>HOUSTON, TX 77004<br>45-3811485                                     | FUNDRAISING             | TX   | 501(c)(3)                  | 7   | SLHS                             | Yes   |    |

| Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations                              |                         |  |                            |   |                                  |   |    |
|---|-------------------------|--|----------------------------|---|----------------------------------|---|----|
| (a)<br>Name, address, and EIN of related organization   | (b)<br>Primary activity | (c)<br>Legal domicile (state or foreign country) | (d)<br>Exempt Code section | (e)<br>Public charity status (if section 501(c)(3)) | (f)<br>Direct controlling entity | (g)<br>Section 512 (b)(13) controlled entity? |    |
|   |                         |  |                            |   |                                  | Yes   | No |
| ST LUKE'S HEALTH SYSTEM CORPORATION<br>6624 FANNIN ST STE 1100<br>HOUSTON, TX 77030<br>76-0536232               | MANAGEMENT              | TX   | 501(c)3                    | Type I  | CHI                              | Yes   |    |
| ST LUKE'S HOSPITAL AT THE VINTAGE<br>6624 FANNIN ST STE 2505<br>HOUSTON, TX 77030<br>26-3734606                 | HEALTHCARE              | TX   | 501(c)3                    | 3   | SLHS                             | Yes   |    |
| ST LUKE'S MEDICAL GROUP<br>6624 FANNIN ST<br>HOUSTON, TX 77030<br>76-0458535                                    | PHYSICIANS              | TX   | 501(c)3                    | 3   | SLHS                             | Yes   |    |
| ST LUKE'S MEDICAL TOWER CORPORATION<br>6624 FANNIN ST STE 1100<br>HOUSTON, TX 77030<br>76-0531713               | PROPERTY MGMT           | TX   | 501(c)3                    | Type I  | CHI-SLH                          | Yes   |    |
| ST LUKE'S PROPERTIES CORPORATION<br>6624 FANNIN ST STE 1100<br>HOUSTON, TX 77030<br>76-0531716                  | PROPERTY MGMT           | TX   | 501(c)3                    | Type I  | SLHS                             | Yes   |    |
| ST LUKE'S SUGAR LAND PROPERTIES CORPORATION<br>6624 FANNIN ST STE 2505<br>HOUSTON, TX 77030<br>45-4120549       | PROPERTY MGMT           | TX   | 501(c)3                    | Type I  | SLCDC-SL                         | Yes   |    |
| ST MARY'S COMMUNITY HOSPITAL<br>1314 3RD AVE<br>NEBRASKA CITY, NE 68410<br>47-0443636                           | HEALTHCARE              | NE   | 501(c)3                    | 3   | CHI NEBRASKA                     | Yes   |    |
| ST MARY'S HOSPITAL FOUNDATION<br>1314 3RD AVE<br>NEBRASKA CITY, NE 68410<br>47-0707604                          | FUNDRAISING             | NE   | 501(c)3                    | 7   | SMCH                             | Yes   |    |
| ST VINCENT FOUNDATION<br>TWO ST VINCENT CIRCLE<br>LITTLE ROCK, AR 72205<br>51-0169537                           | FUNDRAISING             | AR   | 501(c)3                    | Type I  | SVIMC                            | Yes   |    |
| ST VINCENT INFIRMARY MEDICAL CENTER<br>TWO ST VINCENT CIRCLE<br>LITTLE ROCK, AR 72205<br>71-0236917             | HEALTHCARE              | AR   | 501(c)3                    | 3   | CHI                              | Yes   |    |
| ST VINCENT MEDICAL GROUP<br>TWO ST VINCENT CIRCLE<br>LITTLE ROCK, AR 72205<br>71-0830696                        | HEALTHCARE              | AR   | 501(c)3                    | 9   | SVIMC                            | Yes   |    |
| SYLVANIA FRANCISCAN HEALTH<br>1715 INDIAN WOOD CIR 200<br>MAUMEE, OH 43537<br>34-1412964                        | HEALTHCARE              | OH   | 501(c)3                    | Type I  | CHI                              | Yes   |    |
| SYLVANIA FRANCISCAN HEALTH FOUNDATION<br>1715 INDIAN WOOD CIR 200<br>MAUMEE, OH 43537<br>45-5357161             | FUNDRAISING             | OH   | 501(c)3                    | Type I  | FLC                              | Yes   |    |
| THE COMMONS OF PROVIDENCE<br>5000 PROVIDENCE DRIVE<br>SANDUSKY, OH 44870<br>34-1826097                          | ASSIST LIVING           | OH   | 501(c)3                    | 9   | FLC                              | Yes   |    |
| THE COMMUNITY HOSPITAL OF BRAZOSPORT<br>100 MEDICAL DRIVE<br>LAKE JACKSON, TX 77566<br>74-1385192               | HEALTHCARE              | TX   | 501(c)3                    | 3   | SLHS                             | Yes   |    |
| THE GOOD SAMARITAN HOSPITAL OF CINCINNATI OH<br>619 OAK ST ACCOUNTING-3 W<br>CINCINNATI, OH 45206<br>31-0537486 | HEALTHCARE              | OH   | 501(c)3                    | 3   | CHI                              | Yes   |    |
| THE PHYSICIAN NETWORK<br>2000 Q ST STE 500<br>LINCOLN, NE 68503<br>47-0780857                                   | PHYSICIANS              | NE   | 501(c)3                    | Type I  | CHI NEBRASKA                     | Yes   |    |
| TOTAL HEALTHCARE<br>188 INVERNESS DRIVE WEST STE 500<br>ENGLEWOOD, CO 80112<br>84-0927232                       | HEALTHCARE              | CO   | 501(c)3                    | 3   | CHIC                             | Yes   |    |
| TRINITY HEALTH FOUNDATION<br>380 SUMMIT AVENUE<br>STEBENVILLE, OH 43952<br>31-1329423                           | FUNDRAISING             | OH   | 501(c)3                    | Type I  | THS                              | Yes   |    |
| TRINITY HEALTH SYSTEM<br>380 SUMMIT AVENUE<br>STEBENVILLE, OH 43952<br>34-1818681                               | HEALTHCARE              | OH   | 501(c)3                    | Type I  | SFH                              | Yes   |    |

**Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations**

| (a)<br>Name, address, and EIN of related organization   | (b)<br>Primary activity | (c)<br>Legal domicile<br>(state<br>or foreign country) | (d)<br>Exempt Code<br>section | (e)<br>Public charity<br>status<br>(if section 501(c)<br>(3)) | (f)<br>Direct controlling<br>entity | (g)<br>Section 512<br>(b)(13)<br>controlled<br>entity? |    |
|---|-------------------------|--|-------------------------------|---|-------------------------------------|--|----|
|   |                         |  |                               |   |                                     | Yes  | No |
| TRINITY HEALTH SYSTEM GROUP<br>380 SUMMIT AVENUE<br>STEUBENVILLE, OH 43952<br>30-0752920          | HEALTHCARE              | OH   | 501(c)3                       | 3   | THS                                 | Yes  |    |
| TRINITY HOSPITAL HOLDING COMPANY<br>380 SUMMIT AVENUE<br>STEUBENVILLE, OH 43952<br>34-1842025     | HEALTHCARE              | OH   | 501(c)3                       | 3   | THS                                 | Yes  |    |
| TRINITY HOSPITAL TWIN CITY<br>819 NORTH FIRST STREET<br>DENNISON, OH 44621<br>27-5401105          | HEALTHCARE              | OH   | 501(c)3                       | 3   | CHI                                 | Yes  |    |
| TRI-STATE HEALTH SERVICES INC<br>ONE ROSS PARK BLVD<br>STEUBENVILLE, OH 43952<br>34-1522484       | ASSIST LIVING           | OH   | 501(c)3                       |   | THS                                 | Yes  |    |
| UNITY FAMILY HEALTHCARE<br>815 SE 2ND ST<br>LITTLE FALLS, MN 56345<br>41-0721642                  | HEALTHCARE              | MN   | 501(c)3                       | 3   | CHI                                 | Yes  |    |
| VILLA NAZARETH INC<br>801 PAGE DR<br>FARGO, ND 58103<br>45-0226714                                | LTERM CARE              | ND   | 501(c)3                       | 9   | CHI                                 | Yes  |    |
| VISITING NURSE ASSOCIATION OF ST CLARE'S INC<br>191 WOODPORT RD<br>SPARTA, NJ 07871<br>22-1768334 | HOME HEALTH             | NJ   | 501(c)3                       | 9   | SCHS                                | Yes  |    |

| Form 990, Schedule R, Part III - Identification of Related Organizations Taxable as a Partnership                       |                         |  |                                  |  |                              |                                    |                                      |    |  |                                     |    |                             |
|---|-------------------------|--|----------------------------------|--|------------------------------|------------------------------------|--------------------------------------|----|--|-------------------------------------|----|-----------------------------|
| (a)<br>Name, address, and EIN of related organization   | (b)<br>Primary activity | (c)<br>Legal Domicile (State or Foreign Country) | (d)<br>Direct Controlling Entity | (e)<br>Predominant income (related, unrelated, excluded from tax under sections 512-514) | (f)<br>Share of total income | (g)<br>Share of end-of-year assets | (h)<br>Disproportionate allocations? |    | (i)<br>Code V-UBI amount in Box 20 of Schedule K-1 (Form 1065) | (j)<br>General or Managing Partner? |    | (k)<br>Percentage ownership |
|   |                         |  |                                  |  |                              |                                    | Yes                                  | No |  | Yes                                 | No |                             |
| Alegent Health Northwest Imaging Center LLC<br><br>3606 N 156th St<br>OMAHA, NE 68116<br>06-1786985                     | OP Diagnostics          | NE   | ACH                              | Related  | -7,263                       | 485,853                            |                                      | No | 0  | Yes                                 |    | 51 %                        |
| Audubon Land Company LLC<br><br>5390 N Academy Blvd STE 300<br>COLORADO SPRINGS, CO 80918<br>84-1513085                 | Real Estate             | CO   | CHIC                             | Related  | 250,214                      | 23,193,712                         |                                      | No | 0  |                                     | No | 50 %                        |
| AVON EMERGENCY AND URGENT CARE CENTER LLC<br><br>188 INVERNESS DRIVE WEST 500<br>ENGLEWOOD, CO 80112<br>81-1727282      | HEALTHCARE SRVC         | CO   | CHIC                             | Related  | 0                            | 0                                  |                                      | No | 0  | Yes                                 |    | 77 %                        |
| BAYLOR CHI ST LUKES HEALTH SERVICES LLC<br><br>6624 Fannin St Ste 1100<br>HOUSTON, TX 77030<br>47-2079184               | HEALTHCARE SRVC         | TX   | SLHS                             | Related  | 0                            | 0                                  |                                      | No | 0  | Yes                                 |    | 65 %                        |
| BERGAN MERCY SURGERY CENTER LLC<br><br>7710 Mercy Rd Ste 200<br>OMAHA, NE 68124<br>20-8671994                           | AMBUL SURG CTR          | NE   | ACH                              | Related  | 709,407                      | 1,953,385                          |                                      | No | 0  |                                     | No | 58 %                        |
| BERYWOOD OFFICE PROPERTIES LLC<br><br>400 BERYWOOD TRAIL<br>CLEVELAND, TN 37312<br>62-1875199                           | PHYS OFFICE             | TN   | MHCS                             | Related  | 127,778                      | 958,445                            |                                      | No | 0  | Yes                                 |    | 63 %                        |
| BLUEGRASS REGIONAL IMAGING CENTER<br><br>1218 SOUTH BROADWAY STE 310<br>LEXINGTON, KY 40504<br>61-1386736               | DIAGNOSTIC IMAGING      | KY   | SJHS                             | Related  | 312,944                      | 3,261,145                          |                                      | No | 0  |                                     | No | 65 %                        |
| CATHOLIC HEALTH INITIATIVES PHYSICIAN SERVICES LLC<br><br>198 INVERNESS DRIVE WEST<br>ENGLEWOOD, CO 80112<br>46-2945938 | PRACTICE MGMT SRVC      | DE   | CHI                              | Related  | -572,758                     | 28,961,482                         |                                      | No | 0  | Yes                                 |    | 80 %                        |
| CENTRAL NEBRASKA HOME CARE SERVICES<br><br>PO BOX 1146 4502 N SECOND AVE<br>KEARNEY, NE 68848<br>47-0692112             | HEALTHCARE SRVC         | NE   | na                               | Related  | -33,398                      | 156,208                            |                                      | No | 0  | Yes                                 |    | 100 %                       |
| CENTRAL NEBRASKA REHABILITATION SERVICES LLC<br><br>3004 W FAIDLEY AVENUE<br>GRAND ISLAND, NE 68803<br>81-0653461       | Physical Therapy        | NE   | SFMC                             | Related  | 2,441,607                    | 3,412,341                          |                                      | No | 0  |                                     | No | 51 %                        |
| CENTURA-SCA HOLDINGS LLC<br><br>569 BROOK VILLAGE STE 901<br>BIRMINGHAM, AL 35209<br>47-4823023                         | OP SURGERY CENTER       | AL   | CHIC                             | Related  | 525,814                      | 1,305,299                          |                                      | No | 0  | Yes                                 |    | 65 %                        |
| CHI OPERATING INVESTMENT PROGRAM LP<br><br>198 INVERNESS DRIVE WEST<br>ENGLEWOOD, CO 80112<br>47-0727942                | INVESTMENTS             | CO   | CHI                              | Unrelated  | 332,023,271                  | 6,703,637,716                      |                                      | No | 515,470  | Yes                                 |    | 100 %                       |
| CHI ST LUKE'S HEALTH EMERGENCY CENTER LLC<br><br>6624 Fannin St Ste 1100<br>HOUSTON, TX 77030<br>81-0743412             | URGENT CARE             | TX   | SLHS                             | Related  | 0                            | 0                                  |                                      | No | 0  | Yes                                 |    | 65 %                        |
| CHICAMSURG Surgery Centers LLC<br><br>188 INVERNESS DRIVE WEST 500<br>ENGLEWOOD, CO 80112<br>46-5683027                 | SURGERY CENTER          | CO   | CHIC                             | Related  | 0                            | 0                                  |                                      | No | 0  |                                     | No | 51 %                        |
| CHICLARKIN VENTURES LLC<br><br>188 INVERNESS DRIVE WEST 500<br>ENGLEWOOD, CO 80112<br>47-4210888                        | URGENT CARE             | CO   | CHIC                             | Related  | 0                            | 0                                  |                                      | No | 0  | Yes                                 |    | 87 %                        |

| Form 990, Schedule R, Part III - Identification of Related Organizations Taxable as a Partnership       |                         |  |                                  |  |                              |                                    |                                      |    |  |                                     |    |                             |
|---|-------------------------|--|----------------------------------|--|------------------------------|------------------------------------|--------------------------------------|----|--|-------------------------------------|----|-----------------------------|
| (a)<br>Name, address, and EIN of related organization   | (b)<br>Primary activity | (c)<br>Legal Domicile (State or Foreign Country) | (d)<br>Direct Controlling Entity | (e)<br>Predominant income (related, unrelated, excluded from tax under sections 512-514) | (f)<br>Share of total income | (g)<br>Share of end-of-year assets | (h)<br>Disproportionate allocations? |    | (i)<br>Code V-UBI amount in Box 20 of Schedule K-1 (Form 1065) | (j)<br>General or Managing Partner? |    | (k)<br>Percentage ownership |
|   |                         |  |                                  |  |                              |                                    | Yes                                  | No |  | Yes                                 | No |                             |
| Colorado Springs CK Leasing LLC<br><br>8770 W Bryn Mawr Ste 1370<br>CHICAGO, IL 60631<br>26-2982714     | REAL ESTATE             | CO   | CHIC                             | Related  | 599,151                      | 425,148                            |                                      | No | 0  | Yes                                 |    | 40 %                        |
| HC SL VINTAGE I LLC<br><br>18000 W SARAH LANE STE 250<br>BROOKFIELD, WI 53045<br>27-0453767             | PROPERTY HOLDING        | WI   | SL HOSP-VINTAGE                  | Related  | 1,365,254                    | 375,590,761                        |                                      | No | 0  |                                     | No | 51 %                        |
| HEALTHCARE SUPPORT SERVICES LLC<br><br>PO BOX 9804<br>GRAND ISLAND, NE 68802<br>72-1546196              | LAUNDRY                 | NE   | na                               | Related  | 256,166                      | 3,371,484                          |                                      | No | 0  |                                     | No | 100 %                       |
| Heartland Oncology LLC<br><br>2337 E Crawford St<br>SALINA, KS 67401<br>46-4265403                      | ONCOLOGY                | KS   | SCH                              | Related  | -457,809                     | 1,985,911                          |                                      | No | 0  |                                     | No | 51 %                        |
| HIGHLINE IMAGING LLC<br><br>PO BOX 184<br>BRUSH PRAIRIE, WA 98606<br>20-0460005                         | DIAGNOSTIC IMAGING      | WA   | HMC                              | Related  | 65,074                       | 1,408,012                          |                                      | No | 0  |                                     | No | 80 %                        |
| LAKESIDE AMBULATORY SURGICAL CENTER LLC<br><br>17031 LAKESIDE HILLS DR<br>OMAHA, NE 68130<br>20-4267902 | AMBUL SURG CTR          | NE   | ACH                              | Related  | 4,111,597                    | 2,474,455                          |                                      | No | 0  |                                     | No | 54 %                        |
| LAKESIDE ENDOSCOPY CENTER LLC<br><br>17001 LAKESIDE HILLS PLZ STE 201<br>OMAHA, NE 68130<br>20-5544496  | ENDOSCOPY SRVC          | NE   | ACH                              | Related  | 670,348                      | 721,021                            |                                      | No | 0  |                                     | No | 51 %                        |
| LINCOLN CK LEASING LLC<br><br>6003 Old Cheney Rd<br>Lincoln, NE 68516<br>26-2496856                     | Real Estate             | NE   | SERMC                            | Related  | 488,450                      | 230,998                            |                                      | No | 0  |                                     | No | 54 %                        |
| NEBRASKA SPINE HOSPITAL LLC<br><br>6901 N 72ND ST<br>OMAHA, NE 68122<br>27-0263191                      | SPINE HOSPITAL          | NE   | ACH                              | Related  | 10,386,143                   | 19,795,974                         |                                      | No | 0  |                                     | No | 51 %                        |
| NORTH RIVER SURGERY CENTER LLC<br><br>2209 WILDWOOD AVE<br>SHERWOOD, AR 72120<br>71-0799771             | AMBUL SURG CTR          | AR   | SVIMC                            | Related  | 163,900                      | 1,280,229                          |                                      | No | 0  |                                     | No | 57 %                        |
| ORTHOCOLORADO LLC<br><br>11650 WEST 2ND PLACE<br>LAKEWOOD, CO 80255<br>37-1577105                       | ORTHO HOSPITAL          | CO   | THC                              | Related  | 9,902,290                    | 2,561,198                          |                                      | No | 0  |                                     | No | 60 %                        |
| PENINSULA RADIATION ONCOLOGY LLC<br><br>314 MLK JR WAY STE 11<br>TACOMA, WA 98405<br>87-0808610         | HEALTHCARE SRVC         | WA   | FHS                              | Related  | 343,465                      | 2,173,284                          |                                      | No | 0  |                                     | No | 60 %                        |
| Penrad Imaging<br><br>1390 Kelly Johnson Blvd<br>COLORADO SPRINGS, CO 80920<br>84-1072619               | Medical Imaging         | CO   | CHIC                             | Related  | 1,160,221                    | 2,168,695                          |                                      | No | 0  |                                     | No | 70 %                        |
| PMC HOSPITAL LLC<br><br>3100 MAIN ST STE 500<br>HOUSTON, TX 77002<br>27-3280598                         | HOSPITAL                | TX   | SL CDC-PMC                       | Related  | 5,287,747                    | 67,411,280                         |                                      | No | 0  | Yes                                 |    | 51 %                        |
| PRAIRIE HEALTH VENTURES LLC<br><br>421 S 9TH ST STE 102<br>LINCOLN, NE 68508<br>20-4962103              | TECH SRVC               | NE   | AH-IMC                           | Related  | 1,126,606                    | 2,905,862                          |                                      | No | 0  | Yes                                 |    | 66 %                        |

| Form 990, Schedule R, Part III - Identification of Related Organizations Taxable as a Partnership                 |                         |  |                                  |  |                              |                                    |                                      |    |  |                                     |    |                             |
|---|-------------------------|--|----------------------------------|--|------------------------------|------------------------------------|--------------------------------------|----|--|-------------------------------------|----|-----------------------------|
| (a)<br>Name, address, and EIN of related organization   | (b)<br>Primary activity | (c)<br>Legal Domicile (State or Foreign Country) | (d)<br>Direct Controlling Entity | (e)<br>Predominant income (related, unrelated, excluded from tax under sections 512-514) | (f)<br>Share of total income | (g)<br>Share of end-of-year assets | (h)<br>Disproportionate allocations? |    | (i)<br>Code V-UBI amount in Box 20 of Schedule K-1 (Form 1065) | (j)<br>General or Managing Partner? |    | (k)<br>Percentage ownership |
|   |                         |  |                                  |  |                              |                                    | Yes                                  | No |  | Yes                                 | No |                             |
| Pueblo Ambulatory Surgery Center LLC<br><br>188 INVERNESS DRIVE<br>WEST 500<br>ENGLEWOOD, CO 80112<br>62-1488737  | SURGERY CENTER          | CO   | CHIC                             | Related  | -155,230                     | 107,312                            |                                      | No | 0  |                                     | No | 51 %                        |
| Saint JOSEPH - PAML LLC<br><br>200 ABRAHAM FLEXNER WAY<br>LOUISVILLE, KY 40202<br>45-2116736                      | MGMT SVCS               | KY   | SJHS                             | Related  | 57,681                       | 589,808                            |                                      | No | 0  | Yes                                 |    | 63 %                        |
| SAINT JOSEPH - SCA HOLDINGS LLC<br><br>1451 Harrodsburg RD<br>LEXINGTON, KY 40503<br>45-3801157                   | OP SURGERY              | DE   | SJHS                             | Related  | 0                            | 0                                  |                                      | No | 0  | Yes                                 |    | 51 %                        |
| SAINT JOSEPH-ANC HOME CARE SERVICES<br><br>1700 EDISON DR<br>MILFORD, OH 45150<br>26-3330545                      | HOME HEALTH             | KY   | JHSMH                            | Related  | 5,517,685                    | 7,112,135                          |                                      | No | 0  |                                     | No | 100 %                       |
| SCA Premier Surgery Center of Louisville LLC<br><br>200 Abraham Flexner Way<br>LOUISVILLE, KY 40202<br>72-1386840 | SURGERY CENTER          | KY   | JHSMH                            | Related  | -177,796                     | 2,205,015                          |                                      | No | 0  |                                     | No | 51 %                        |
| ST FRANCIS LAND COMPANY<br><br>5390 N ACADEMY BLVD<br>STE 300<br>COLORADO SPRINGS, CO 80918<br>26-3134100         | REAL ESTATE             | CO   | CHIC                             | Related  | -82,977                      | 14,572,659                         |                                      | No | 0  |                                     | No | 51 %                        |
| ST FRANCIS MEDICAL CENTER ASSOCIATES<br><br>1717 SOUTH J ST<br>TACOMA, WA 98405<br>91-1352698                     | MED OFFICE              | WA   | FHS                              | Related  | 265,442                      | 1,984,098                          |                                      | No | 0  |                                     | No | 61 %                        |
| ST LUKE'S DIAGNOSTIC CATH LAB LLP<br><br>6624 FANNIN ST STE 800<br>HOUSTON, TX 77030<br>71-0959365                | DIAGNOSTICS             | TX   | SLHS HOLDINGS                    | Related  | 611,532                      | 1,117,217                          |                                      | No | 0  |                                     | No | 57 %                        |
| ST LUKE'S LAKESIDE HOSPITAL LLC<br><br>6624 FANNIN STE 2505<br>HOUSTON, TX 77030<br>30-0427437                    | HOSPITAL                | TX   | SL CDC-W                         | Related  | 277,867                      | 42,485,184                         |                                      | No | 0  | Yes                                 |    | 51 %                        |
| ST LUKE'S THE WOODLANDS SLEEP CENTER LLC<br><br>6624 FANNIN STE 800<br>HOUSTON, TX 77030<br>46-2795726            | DIAGNOSTICS             | TX   | SLHSH                            | Related  | -76,879                      | 1,171,971                          |                                      | No | 0  | Yes                                 |    | 51 %                        |
| Superior Medical Imaging LLC<br><br>5000 North 26th ST<br>LINCOLN, NE 68521<br>26-2884555                         | OP Diagnostics          | NE   | SERMC                            | Related  | 9,528                        | 402,804                            |                                      | No | 0  | Yes                                 |    | 51 %                        |
| SURGERY CENTER OF LEXINGTON LLC<br><br>200 ABRAHAM FLEXNER WAY<br>LOUISVILLE, KY 40202<br>62-1179539              | SURGERY CENTER          | KY   | SJHS                             | Related  | 187,315                      | 2,777,419                          |                                      | No | 0  | Yes                                 |    | 51 %                        |
| SURGERY CENTER OF LOUISVILLE LLC<br><br>200 Abraham Flexner Way<br>LOUISVILLE, KY 40202<br>62-1179537             | SURGERY CENTER          | KY   | JHSMH                            | Related  | 11,207                       | 803,899                            |                                      | No | 0  | Yes                                 |    | 51 %                        |

| Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust  |                         |  |                                  |  |                              |                                    |                             |  |    |
|--|-------------------------|--|----------------------------------|--|------------------------------|------------------------------------|-----------------------------|--|----|
| (a)<br>Name, address, and EIN of related organization  | (b)<br>Primary activity | (c)<br>Legal domicile (state or foreign country) | (d)<br>Direct controlling entity | (e)<br>Type of entity (C corp, S corp, or trust) | (f)<br>Share of total income | (g)<br>Share of end-of-year assets | (h)<br>Percentage ownership | (i)<br>Section 512(b)(13) controlled entity? |    |
|  |                         |  |                                  |  |                              |                                    |                             | Yes  | No |
| (1) Alegent HealthCreighton St Joseph Managed Care Services Inc<br>12809 West Dodge Rd<br>Omaha, NE 68154<br>47-0802396                          | Managed Care            | NE   | CHI Nebraska                     | C Corporation                                    | 8,129,445                    | 5,108,822                          | 100 %                       | Yes  |    |
| (1) All Saints Insurance Company SPC Ltd<br>PO BOX 10073 APO<br>Georgetown, GRAND CAYMAN<br>KY11001<br>CJ<br>98-0556913                          | Insurance               | CJ   | CHI                              | C Corporation                                    | 0                            | 0                                  | 100 %                       | Yes  |    |
| (2) ALLIANCE HEALTH PROVIDERS OF BRAZOS Valley Inc<br>2801 FRACNISCAN DRIVE<br>BRYAN, TX 77802<br>74-2466914                                     | Healthcare              | TX   | SJSC                             | C Corporation                                    | 204,115                      | 535,165                            | 100 %                       | Yes  |    |
| (3) Alternative Insurance Management Service Inc<br>3900 OLYMPIC BLVD STE 400<br>Erlanger, KY 41018<br>84-1112049                                | Management Services     | CO   | CHI                              | C Corporation                                    | 0                            | 6,056,338                          | 100 %                       | Yes  |    |
| (4) AMERICAN NURSING CARE Inc<br>1700 EDISON DR<br>MILFORD, OH 45150<br>31-1085414   | HOME HEALTH             | OH   | CHS                              | C Corporation                                    | 91,903,143                   | 55,758,044                         | 100 %                       | Yes  |    |
| (5) AMERIMED INC<br>1700 EDISON DR<br>MILFORD, OH 45150<br>31-1158699  | HOME HEALTH             | OH   | ANC                              | C Corporation                                    | 21,464,273                   | 12,744,198                         | 100 %                       | Yes  |    |
| (6) BC HOLDING COMPANY INC<br>1850 BLUEGRASS AVE<br>LOUISVILLE, KY 40215<br>31-1542851   | Fitness Club            | KY   | JHSMH                            | C Corporation                                    | 0                            | 0                                  | 100 %                       | Yes  |    |
| (7) BrazoSport Health Alliance<br>1 WEST WAY COURT<br>LAKE JACKSON, TX 77566<br>76-0518376   | Health Care             | TX   | BRHS                             | C Corporation                                    | 0                            | 0                                  | 100 %                       | Yes  |    |
| (8) Caduceus Medical Associates INC<br>5600 Brainerd Road Ste 500<br>Chattanooga, TN 37411<br>62-1570736   | Healthcare              | TN   | MHCS                             | C Corporation                                    | 0                            | 1,008                              | 100 %                       | Yes  |    |
| (9) Captive Management Initiatives Ltd<br>PO BOX 10073 APO<br>Georgetown, GRAND CAYMAN<br>KY11001<br>CJ<br>98-0663022                            | Captive Management      | CJ   | CHI                              | C Corporation                                    | 29,750                       | 112,461                            | 100 %                       | Yes  |    |
| (10) Carmona-DeSoto Building Horizontal Property Regime Inc<br>300 Werner St<br>Hot Springs, AR 71913<br>71-0771076                              | Healthcare              | AR   | CHI-SVHS                         | C Corporation                                    | 0                            | 0                                  | 100 %                       | Yes  |    |
| (11) Catholic Health Initiatives Center for Translational Research<br>198 INVERNESS DRIVE WEST<br>Englewood, CO 80112<br>27-2269511              | Research                | CO   | CIRI                             | C Corporation                                    | 510,763                      | 3,054,989                          | 100 %                       | Yes  |    |
| (12) CHI St Luke's Health Baylor College of Medicine Medical Center Condominium Assoc<br>6624 Fannin STE 1100<br>Houston, TX 77030<br>46-5079545 | Condo Assoc             | TX   | CHI-SLHBCM                       | C Corporation                                    | 0                            | 0                                  | 100 %                       | Yes  |    |
| (13) ClearRiver Health<br>198 INVERNESS DRIVE WEST<br>Englewood, CO 80112<br>46-4495960  | Insurance               | TN   | PHPSI                            | C Corporation                                    | -186,666                     | 6,973,984                          | 100 %                       | Yes  |    |
| (14) Comcare Services Inc<br>5570 DTC Parkway<br>Englewood, CO 80111<br>84-0904813   | Inactive                | CO   | CHIC                             | C Corporation                                    | 0                            | 0                                  | 100 %                       | Yes  |    |

| Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust       |                         |  |                                  |  |                              |                                    |                             |  |    |
|---|-------------------------|--|----------------------------------|--|------------------------------|------------------------------------|-----------------------------|--|----|
| (a)<br>Name, address, and EIN of related organization   | (b)<br>Primary activity | (c)<br>Legal domicile (state or foreign country) | (d)<br>Direct controlling entity | (e)<br>Type of entity (C corp, S corp, or trust) | (f)<br>Share of total income | (g)<br>Share of end-of-year assets | (h)<br>Percentage ownership | (i)<br>Section 512(b)(13) controlled entity? |    |
|   |                         |  |                                  |  |                              |                                    |                             | Yes  | No |
| (16)<br>CONSOLIDATED HEALTH SERVICES<br>1700 EDISON DR<br>MILFORD, OH 45150<br>31-1378212                       | HOME HEALTH             | OH   | CHI                              | C Corporation                                    | 247,400                      | 51,845,030                         | 100 %                       | Yes  |    |
| (1) Des Moines Medical Center Inc<br>1111 6TH AVE<br>Des Moines, IA 50314<br>42-0837382                         | Real Estate             | IA   | CHI-IA Corp                      | C Corporation                                    | 71,628                       | 1,151,078                          | 93 %                        | Yes  |    |
| (2) Diversified Health Resources Inc<br>100 MEDICAL DRIVE<br>LAKE JACKSON, TX 77566<br>76-0222679               | Health Care             | TX   | BRHS                             | C Corporation                                    | 0                            | 0                                  | 100 %                       | Yes  |    |
| (3) East Texas Clinical Services Inc<br>2801 Via Fortuna 500<br>Austin, TX 78746<br>45-4736213                  | Healthcare              | TX   | MHSET                            | C Corporation                                    | 0                            | 16,782                             | 100 %                       | Yes  |    |
| (4) First Initiatives Insurance LTD<br>PO BOX 10073 APO<br>Georgetown, GRAND CAYMAN KY11001<br>CJ<br>98-0203038 | Insurance               | CJ   | CHI                              | C Corporation                                    | 0                            | 0                                  | 100 %                       | Yes  |    |
| (5) Franciscan Services Inc<br>198 INVERNESS DRIVE WEST<br>Englewood, CO 80112<br>23-2487967                    | Healthcare              | CO   | CHI                              | C Corporation                                    | 318,497                      | 11,891,645                         | 100 %                       | Yes  |    |
| (6) Good Samaritan Outreach Services<br>PO Box 1990<br>Kearney, NE 68848<br>47-0659440                          | Medical Clinic          | NE   | CHI Nebraska                     | C Corporation                                    | 0                            | 0                                  | 100 %                       | Yes  |    |
| (7) HarvestPlains Health of Iowa<br>32129 Weyerhaeuser Way S STE 201<br>FEDERAL WAY, WA 98001<br>47-3451750     | Insurance               | WA   | QCHPS                            | C Corporation                                    | 2,182                        | 3,002,182                          | 100 %                       | Yes  |    |
| (8) Health Systems Enterprises Inc<br>1700 EDISON DR<br>MILFORD, OH 45150<br>47-0664558                         | MGMT                    | NE   | GSH                              | C Corporation                                    | 84,269                       | 1,115,210                          | 100 %                       | Yes  |    |
| (9) Healthcare MGMT Services Organization<br>INC<br>1149 MARKET ST<br>Tacoma, WA 98402<br>91-1865474            | Health Org              | WA   | FHS                              | C Corporation                                    | 0                            | 0                                  | 100 %                       | Yes  |    |
| (10) HeartlandPlains Health<br>198 INVERNESS DRIVE WEST<br>Englewood, CO 80112<br>46-4368223                    | Insurance               | NE   | PHPSI                            | C Corporation                                    | 1,755,860                    | 3,679,133                          | 100 %                       | Yes  |    |
| (11) Highline Medical Group<br>15811 AMBUAN Blvd SW STE A<br>Burien, WA 98166<br>91-1407026                     | Medical Services        | WA   | HMC                              | C Corporation                                    | 0                            | 0                                  | 100 %                       | Yes  |    |
| (12) Medquest<br>1301 15TH AVENUE WEST<br>Williston, ND 58801<br>45-0392137                                     | Sale of DME             | ND   | MMC Williston                    | C Corporation                                    | 677,839                      | 1,583,325                          | 100 %                       | Yes  |    |
| (13) Memorial CV Service Line Management<br>Company LLC<br>1201 W Frank Ave<br>Lufkin, TX 75904<br>46-3622849   | Heath Care              | TX   | MHSET                            | C Corporation                                    | 0                            | 0                                  | 100 %                       | Yes  |    |
| (14) Mercy Park Apartments LTD<br>1111 6th AVE<br>Des Moines, IA 50314<br>42-1202422                            | Housing                 | IA   | CHI-IA Corp                      | C Corporation                                    | 1,888,173                    | 2,376,812                          | 100 %                       | Yes  |    |

| Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust   |                         |  |                                  |  |                              |                                    |                             |  |    |
|---|-------------------------|--|----------------------------------|--|------------------------------|------------------------------------|-----------------------------|--|----|
| (a)<br>Name, address, and EIN of related organization   | (b)<br>Primary activity | (c)<br>Legal domicile (state or foreign country) | (d)<br>Direct controlling entity | (e)<br>Type of entity (C corp, S corp, or trust) | (f)<br>Share of total income | (g)<br>Share of end-of-year assets | (h)<br>Percentage ownership | (i)<br>Section 512(b)(13) controlled entity? |    |
|   |                         |  |                                  |  |                              |                                    |                             | Yes  | No |
| (31) Mercy Services Corp<br>2700 STEWART PARKWAY<br>Roseburg, OR 97471<br>93-0824308  | Retail Sales            | OR   | MMC                              | C Corporation                                    | 2,502,051                    | 1,446,108                          | 100 %                       | Yes  |    |
| (1) MHI Clinical Services<br>1201 W Frank Ave<br>Lufkin, TX 75904<br>46-1967952   | Healthcare              | TX   | MHSET                            | C Corporation                                    | 10,063,699                   | 1,339,619                          | 100 %                       | Yes  |    |
| (2) Mountain Management Services Inc<br>6028 Shallowford Rd<br>Chattanooga, TN 37421<br>62-1570739  | MGMT SVC<br>ORG         | TN   | MHCS                             | C Corporation                                    | 31,344,101                   | 6,237,932                          | 100 %                       | Yes  |    |
| (3) Nazareth Assurance Company<br>PO BOX 10073 APO<br>Georgetown, GRAND CAYMAN<br>KY11001<br>CJ<br>03-0304831                                       | Insurance               | CJ   | CHI                              | C Corporation                                    | 0                            | 0                                  | 100 %                       | Yes  |    |
| (4)<br>PATIENT TRANSPORT SERVICES INC<br>1700 EDISON DR<br>MILFORD, OH 45150<br>31-1100798  | HOME HEALTH             | OH   | ANC                              | C Corporation                                    | 9,010,987                    | 6,688,783                          | 100 %                       | Yes  |    |
| (5) PhysicianHealth System Network<br>1149 MARKET ST<br>Tacoma, WA 98402<br>91-1746721  | Health Org              | WA   | FHS                              | C Corporation                                    | 0                            | 0                                  | 100 %                       | Yes  |    |
| (6) QCA Health Plan Inc<br>12615 Chenal Parkway STE 300<br>Little Rock, AR 72211<br>71-0794605  | Insurance               | AR   | QCHI                             | C Corporation                                    | 104,106,960                  | 86,204,029                         | 100 %                       | Yes  |    |
| (7) QualChoice Advantage<br>32129 WEYERHAEUSER WAY S STE<br>201<br>FEDERAL WAY, WA 98001<br>47-3433912  | Insurance               | WA   | QCPS                             | C Corporation                                    | 2,767                        | 3,502,767                          | 100 %                       | Yes  |    |
| (8)<br>QualChoice Health Plan Services Inc (fka<br>CollabHealth Plan Services Inc)<br>198 INVERNESS DRIVE WEST<br>Englewood, CO 80112<br>46-1224037 | Admin Services          | CO   | QCHI                             | C Corporation                                    | 17,917,443                   | 64,152,898                         | 100 %                       | Yes  |    |
| (9)<br>QualChoice Health Inc (fka CollabHealth<br>Managed Solutions Inc)<br>198 INVERNESS DRIVE WEST<br>Englewood, CO 80112<br>46-1222808           | Holding Co              | CO   | CHI                              | C Corporation                                    | 2,010,400                    | -2,237,656                         | 100 %                       | Yes  |    |
| (10) QualChoice Holdings Inc<br>12615 Chenal Parkway STE 300<br>Little Rock, AR 72211<br>27-4075520   | Holding Co              | AR   | PHPS                             | C Corporation                                    | 0                            | 10,190                             | 100 %                       | Yes  |    |
| (11)<br>QualChoice Life and Health Insurance<br>Company Inc<br>12615 Chenal Parkway STE 300<br>Little Rock, AR 72211<br>71-0386640                  | Insurance               | AR   | QCH                              | C Corporation                                    | 19,949,469                   | 19,444,633                         | 100 %                       | Yes  |    |
| (12) QualChoice of Nebraska<br>2401 S 73rd St<br>Omaha, NE 68124<br>81-0738827  | Insurance               | NE   | QCH                              | C Corporation                                    | 0                            | 0                                  | 100 %                       | Yes  |    |
| (13) RiverLink Health<br>198 INVERNESS DRIVE WEST<br>Englewood, CO 80112<br>46-4380824  | Insurance               | OH   | PHPS                             | C Corporation                                    | 2,069,874                    | 3,679,879                          | 100 %                       | Yes  |    |
| (14) RiverLink Health of Kentucky Inc<br>198 INVERNESS DRIVE WEST<br>Englewood, CO 80112<br>46-4828332  | Insurance               | KY   | PHPS                             | C Corporation                                    | 1,590,037                    | 5,958,786                          | 100 %                       | Yes  |    |

**Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust**

| (a)<br>Name, address, and EIN of related organization  | (b)<br>Primary activity | (c)<br>Legal domicile (state or foreign country) | (d)<br>Direct controlling entity | (e)<br>Type of entity (C corp, S corp, or trust) | (f)<br>Share of total income | (g)<br>Share of end-of-year assets | (h)<br>Percentage ownership | (i)<br>Section 512(b)(13) controlled entity? |    |
|--|-------------------------|--|----------------------------------|--|------------------------------|------------------------------------|-----------------------------|--|----|
|  |                         |  |                                  |  |                              |                                    |                             | Yes  | No |
| (46) Ross Park Pharmacy Inc<br>380 SUMMIT AVE<br>STEBENVILLE, OH 43952<br>34-1832654   | Pharmacy                | OH   | THS                              | C Corporation                                    | 1,104,611                    | 2,024,431                          | 100 %                       | Yes  |    |
| (1) Saint Clare's Primary Care Inc<br>66 FORD RD<br>Denville, NJ 07834<br>22-2441202   | Billing Services        | NJ   | SCCC                             | C Corporation                                    | 499,042                      | 1,183,247                          | 100 %                       | Yes  |    |
| (2) SAMARITAN FAMILY CARE INC<br>40 W FOURTH ST STE 1700<br>Dayton, OH 45402<br>31-1299450   | Healthcare              | OH   | SHP                              | C Corporation                                    | 0                            | 0                                  | 100 %                       | Yes  |    |
| (3) SJH Services Corporation<br>198 INVERNESS DRIVE WEST<br>Englewood, CO 80112<br>23-2307408  | Healthcare              | CO   | FSI                              | C Corporation                                    | 2,007,370                    | 2,441,286                          | 100 %                       | Yes  |    |
| SJL PHYSICIAN MANAGEMENT<br>(4) SERVICES INC<br>424 LEWIS HARGETT CR STE 160<br>Lexington, KY 40503<br>27-0164198                      | Mgmt                    | KY   | SJHS                             | C Corporation                                    | 41                           | 0                                  | 100 %                       | Yes  |    |
| (5) SLMT Parking Inc<br>6624 Fannin STE 800<br>Houston, TX 77030<br>76-0637140   | Parking                 | TX   | SLHS                             | C Corporation                                    | 3,345,698                    | 205,200                            | 100 %                       | Yes  |    |
| (6) SoundPath Health Inc<br>32129 Weyerhaeuser Way S STE 201<br>Federal Way, WA 98001<br>42-1720801                                    | Insurance               | WA   | PHPS                             | C Corporation                                    | 138,106,211                  | 32,840,909                         | 100 %                       | Yes  |    |
| (7) St Alexius Health Services Inc<br>900 East Broadway Avenue<br>Bismarck, ND 58501<br>45-0402812                                     | Healthcare              | ND   | SAMC                             | C Corporation                                    | 0                            | 0                                  | 100 %                       | Yes  |    |
| (8) St Anthony Development Company<br>1415 Southgate<br>Pendleton, OR 97801<br>93-1216943  | Athletic Club           | OR   | SAH                              | C Corporation                                    | 1,541,465                    | 2,192,287                          | 100 %                       | Yes  |    |
| (9) St Joseph Development Company Inc<br>1717 SOUTH J ST<br>Tacoma, WA 98405<br>91-1480569   | Rental                  | WA   | FSI                              | C Corporation                                    | 3,758,845                    | 12,828,493                         | 100 %                       | Yes  |    |
| ST JOSEPH OFFICE PARK<br>(10) ASSOCIATION<br>1401 HARRODSBURG RD BLDG B70<br>Lexington, KY 40504<br>61-1079899                         | Mgmt                    | KY   | SJHS                             | C Corporation                                    | 200,108                      | 1,137,660                          | 85 %                        | Yes  |    |
| St Luke's 6620 Main Condominium<br>(11) Association<br>6624 Fannin STE 1100<br>Houston, TX 77030<br>30-0355517                         | Condo Assoc             | TX   | SLPC                             | C Corporation                                    | 0                            | 0                                  | 100 %                       | Yes  |    |
| (12)<br>St Luke's Anesthesiology Associates<br>6624 Fannin STE 1100<br>Houston, TX 77030<br>46-1517163                                 | Medical Clinic          | TX   | CHI-SLH                          | C Corporation                                    | 0                            | 0                                  | 100 %                       | Yes  |    |
| (13)<br>St Luke's Episcopal Hospital Physician<br>Hospital Organization Inc<br>6720 Bertner MC4-262<br>Houston, TX 77030<br>76-0377932 | PHO                     | TX   | CHI-SLH                          | C Corporation                                    | 0                            | 0                                  | 60 %                        | Yes  |    |
| (14)<br>St Luke's Health System Holdings Inc<br>6624 Fannin STE 800<br>Houston, TX 77030<br>76-0637138                                 | Holding Co              | TX   | SLHS                             | C Corporation                                    | 2,163,924                    | 4,389,084                          | 100 %                       | Yes  |    |

**Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust**

| (a)<br>Name, address, and EIN of<br>related organization   | (b)<br>Primary activity | (c)<br>Legal<br>domicile<br>(state or foreign<br>country) | (d)<br>Direct controlling<br>entity | (e)<br>Type of entity<br>(C corp, S<br>corp,<br>or trust) | (f)<br>Share of total<br>income | (g)<br>Share of end-of-<br>year<br>assets | (h)<br>Percentage<br>ownership | (i)<br>Section<br>512(b)(13)<br>controlled<br>entity? |    |
|--|-------------------------|---|-------------------------------------|---|---------------------------------|---|--------------------------------|---|----|
|  |                         |   |                                     |   |                                 |   |                                | Yes   | No |
| St Luke's Medical Arts Center I<br>(61) Condominium Association<br>6624 Fannin STE 1100<br>Houston, TX 77030<br>30-0355518   | Condo Assoc             | TX  | SLPC                                | C Corporation   | 0                               | 0   | 100 %                          | Yes   |    |
| St Luke's Medical Tower Condominium<br>(1) Association<br>6624 Fannin STE 1100<br>Houston, TX 77030<br>76-0298751  | Condo Assoc             | TX  | SLMTC                               | C Corporation   | 0                               | 0   | 100 %                          | Yes   |    |
| (2)<br>St Vincent Community Health Services<br>Inc<br>TWO ST VINCENT CIRCLE<br>Little Rock, AR 72205<br>71-0710785   | Healthcare              | AR  | SVIMC                               | C Corporation   | 4,033,444                       | 16,764,150                                | 100 %                          | Yes   |    |
| (3) StableView Health Inc<br>198 INVERNESS DRIVE WEST<br>Englewood, CO 80112<br>46-4373713   | Insurance               | KY  | PHPS                                | C Corporation   | 301,615                         | 5,787,296                                 | 100 %                          | Yes   |    |
| (4) Sugar Land Doctor Group<br>1317 Lake Point Parkway<br>Sugar Land, TX 77478<br>45-4270163   | Medical Clinic          | TX  | SLCDC-SL                            | C Corporation   | 0                               | 0   | 100 %                          | Yes   |    |
| The Texas Heart Institute at St Luke's<br>(5) Episcopal Hospital Denton A Cooley B<br>uilding Comdominium Association<br>6624 Fannin STE 1100<br>Houston, TX 77030<br>90-0064009 | Condo Assoc             | TX  | CHI-SLH                             | C Corporation   | 0                               | 0   | 100 %                          | Yes   |    |
| (6) Towson Management Inc<br>7601 OSLER DR<br>Towson, MD 21204<br>52-1710750   | Mgmt Services           | MD  | FSI                                 | C Corporation   | 0                               | 0   | 100 %                          | Yes   |    |
| TRINITY MANAGEMENT SERVICES<br>(7) ORGANIZATION<br>380 SUMMIT AVE<br>STEUBENVILLE, OH 43952<br>34-1471026  | Mgmt Services           | OH  | THS                                 | C Corporation   | -76,969                         | 259,991                                   | 100 %                          | Yes   |    |
| (8) Vintage Doctor Group<br>6624 Fannin STE 1100<br>Houston, TX 77030  | Medical Clinic          | TX  | CHI-SLH                             | C Corporation   | 0                               | 0   | 100 %                          | Yes   |    |