Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015, or tax year beginning 07-01-2015 , and ending 06-30-2016

Name of foundation
THOMAS H & BONNIE L REESE FAMILY FOUNDATION

A Employer identification number
43-1871265

Number and street (or P O box number if mail is not delivered to street address)
1445 E REPUBLIC RD

Room/suite

B Telephone number (see instructions)
(417) 882-4300

City or town, state or province, country, and ZIP or foreign postal code
SPRINGFIELD, MO 65804

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 1,775,408

J Accounting method ☐ Cash ☑ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	564	564		
	4	Dividends and interest from securities	46,860	46,860		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	10,953			
	b	Gross sales price for all assets on line 6a 792,923				
	7	Capital gain net income (from Part IV, line 2)		10,953		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	372	372		
	12	**Total.** Add lines 1 through 11	58,749	58,749		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	2,550	2,550		
	c	Other professional fees (attach schedule)	10,860	10,860		
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	1,393	1,393		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications	25	25		
	23	Other expenses (attach schedule)				
	24	**Total operating and administrative expenses.** Add lines 13 through 23	14,828	14,828		0
	25	Contributions, gifts, grants paid	108,586			108,586
	26	**Total expenses and disbursements.** Add lines 24 and 25	123,414	14,828		108,586
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-64,665			
	b	**Net investment income** (if negative, enter -0-)		43,921		
	c	**Adjusted net income** (if negative, enter -0-)				

For Paperwork Reduction Act Notice, see instructions. Cat No 11289X Form **990-PF** (2015)

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	**(b)** Book Value	**(c)** Fair Market Value
Assets	1	Cash—non-interest-bearing		128	128
	2	Savings and temporary cash investments	17,304	12,278	12,278
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	1,931,644	1,762,185	1,762,185
	c	Investments—corporate bonds (attach schedule)	794	454	454
	11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)		363	363
	16	**Total assets**(to be completed by all filers—see the instructions Also, see page 1, item I)	1,949,742	1,775,408	1,775,408
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)	617		
	23	**Total liabilities**(add lines 17 through 22)	617	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☑ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	1,949,125	1,775,408	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances**(see instructions)	1,949,125	1,775,408	
	31	**Total liabilities and net assets/fund balances**(see instructions)	1,949,742	1,775,408	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,949,125
2	Enter amount from Part I, line 27a	2	-64,665
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	1,884,460
5	Decreases not included in line 2 (itemize) ▶	5	109,052
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,775,408

Part IV Capital Gains and Losses for Tax on Investment Income

	List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	How acquired P—Purchase **(b)** D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
1 a	THROUGH CENTRAL TR-PG-21	P		
b	THROUGH CENTRAL TR-PG-12	P		
c				
d				
e				

	(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
a	643,166		652,599	-9,433
b	118,239		129,371	-11,132
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a				-9,433
b				-11,132
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 } **2** 10,953

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . } **3**

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	**(b)** Adjusted qualifying distributions	**(c)** Net value of noncharitable-use assets	**(d)** Distribution ratio (col (b) divided by col (c))
2014	85,453	1,974,550	0 043277
2013	171,983	1,973,192	0 087160
2012	100,996	1,940,939	0 052035
2011	174,955	1,838,261	0 095174
2010	80,415	1,980,077	0 040612

2	**Total** of line 1, column (d).	**2**	0 318258
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	**3**	0 063652
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5.	**4**	1,808,883
5	Multiply line 4 by line 3.	**5**	115,139
6	Enter 1% of net investment income (1% of Part I, line 27b).	**6**	439
7	Add lines 5 and 6.	**7**	115,578
8	Enter qualifying distributions from Part XII, line 4.	**8**	108,586

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ____________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			**1**	878
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			**2**	
3	Add lines 1 and 2.			**3**	878
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			**4**	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			**5**	878
6	Credits/Payments				
a	2015 estimated tax payments and 2014 overpayment credited to 2015	**6a**	1,240		
b	Exempt foreign organizations—tax withheld at source	**6b**			
c	Tax paid with application for extension of time to file (Form 8868). . .	**6c**			
d	Backup withholding erroneously withheld	**6d**			
7	Total credits and payments Add lines 6a through 6d.			**7**	1,240
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			**8**	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** . . . . ▶			**9**	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid**. . . ▶			**10**	362
11	Enter the amount of line 10 to be **Credited to 2015 estimated tax** ▶ 362 **Refunded** ▶			**11**	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	**1a**		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?.	**1b**		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?.	**1c**		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ______________ **(2)** On foundation managers ▶ $ ______________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . *If "Yes," attach a detailed description of the activities*	**2**		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	**3**		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?.	**4a**		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?.	**4b**		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . *If "Yes," attach the statement required by General Instruction T*	**5**		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	**6**		
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	**7**	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶MO			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation* .	**8b**	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	**9**		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	**10**		No

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶JOHN W YATES Telephone no ▶(417) 882-4300

Located at ▶1445 E REPUBLIC RD SPRINGFIELD MO ZIP+4 ▶65804

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any**of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all**years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015).*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(Continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ **Yes** ☑ **No**

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?. . . . . ☐ **Yes** ☑ **No**

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ **Yes** ☑ **No**

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions). . . . . ☐ **Yes** ☑ **No**

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?. . . . . . ☐ **Yes** ☑ **No**

b If any answer is "Yes" to 5a(1)–(5), did **any**of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here. . . . . ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?. . . . . ☐ **Yes** ☐ **No**

If "Yes," attach the statement required by Regulations section 53 4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?. . . . . ☐ **Yes** ☑ **No**

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?. . . . **6b** **No**

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ **Yes** ☑ **No**

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation**(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
JEFFREY T REESE 1390 US HIGHWAY 61 STE 3300 FESTUS,MO 63028	CHAIRMAN 0 10	0	0	0
M JANE REESE-FOX 3118 WEST HIGH POINT SPRINGFIELD,MO 65810	DIRECTOR 0 10	0	0	0
JOHN W YATES 1445 E REPUBLIC RD SPRINGFIELD,MO 65804	DIRECTOR 0 10	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000. ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return

(All domestic foundations must complete this part. Foreign foundations,see instructions.)

1		Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes				
	a	Average monthly fair market value of securities.			1a	1,808,852
	b	Average of monthly cash balances.			1b	36,819
	c	Fair market value of all other assets (see instructions).			1c	31
	d	**Total** (add lines 1a, b, and c).			1d	1,845,702
	e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e			
2		Acquisition indebtedness applicable to line 1 assets.			2	
3		Subtract line 2 from line 1d.			3	1,845,702
4		Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).			4	36,819
5		**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4			5	1,808,883
6		**Minimum investment return.** Enter 5% of line 5.			6	90,444

Part XI Distributable Amount

(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1		Minimum investment return from Part X, line 6.			1	90,444
2a		Tax on investment income for 2015 from Part VI, line 5.	2a	878		
	b	Income tax for 2015 (This does not include the tax from Part VI). . .	2b			
	c	Add lines 2a and 2b.			2c	878
3		Distributable amount before adjustments Subtract line 2c from line 1.			3	89,566
4		Recoveries of amounts treated as qualifying distributions.			4	
5		Add lines 3 and 4.			5	89,566
6		Deduction from distributable amount (see instructions).			6	
7		**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . .			7	89,566

Part XII Qualifying Distributions (see instructions)

1		Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
	a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	108,586
	b	Program-related investments—total from Part IX-B.	1b	
2		Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3		Amounts set aside for specific charitable projects that satisfy the		
	a	Suitability test (prior IRS approval required).	3a	
	b	Cash distribution test (attach the required schedule).	3b	
4		**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	108,586
5		Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6		**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	108,586

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7					89,566
2 Undistributed income, if any, as of the end of 2015					
a Enter amount for 2014 only.					
b Total for prior years 20___ , 20___ , 20___					
3 Excess distributions carryover, if any, to 2015					
a From 2010.					
b From 2011.	72,680				
c From 2012.	7,944				
d From 2013.	73,947				
e From 2014.					
f **Total** of lines 3a through e.		154,571			
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ $ 108,586					
a Applied to 2014, but not more than line 2a					
b Applied to undistributed income of prior years (Election required—see instructions).					
c Treated as distributions out of corpus (Election required—see instructions).					
d Applied to 2015 distributable amount.					89,566
e Remaining amount distributed out of corpus		19,020			
5 Excess distributions carryover applied to 2015 *(If an amount appears in column (d), the same amount must be shown in column (a))*					
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		173,591			
b Prior years' undistributed income Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.					
d Subtract line 6c from line 6b Taxable amount —see instructions					
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions					
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)					
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions) . . .					
9 **Excess distributions carryover to 2016.** Subtract lines 7 and 8 from line 6a		173,591			
10 Analysis of line 9					
a Excess from 2011. . . .	72,680				
b Excess from 2012. . . .	7,944				
c Excess from 2013. . . .	73,947				
d Excess from 2014. . . .					
e Excess from 2015. . . .	19,020				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling. . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			**(e)** Total
	(a) 2015	**(b)** 2014	**(c)** 2013	**(d)** 2012	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information**(continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				108,586
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		**(e)** Related or exempt function income (See instructions)
	(a) Business code	**(b)** Amount	**(c)** Exclusion code	**(d)** Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	564	
4 Dividends and interest from securities. . .			14	46,860	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			14	31,518	-20,565
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory . .					
11 Other revenue **a** OTHER			14	372	
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				79,314	-20,565

13 **Total.** Add line 12, columns (b), (d), and (e). **13** 58,749

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	**1a(1)**		**No**
(2) Other assets.	**1a(2)**		**No**
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		**No**
(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		**No**
(3) Rental of facilities, equipment, or other assets.	**1b(3)**		**No**
(4) Reimbursement arrangements.	**1b(4)**		**No**
(5) Loans or loan guarantees.	**1b(5)**		**No**
(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		**No**
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		**No**

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. . . . . ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2016-10-31	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶	PTIN
JOHN W YATES CPA		2016-11-04	☐	P01321586

Firm's name ▶ KPM CPAS PC

Firm's EIN ▶ 43-1109768

Firm's address ▶ 1445 E REPUBLIC RD SPRINGFIELD, MO 65804

Phone no (417) 882-4300

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CHILDREN'S MIRACLE NETWORK 3801 S NATIONAL AVENUE SPRINGFIELD,MO 65807	NONE	PUBLIC	C A R E MOBILE PROGRAM	5,000
DRURY UNIVERSITY 900 N BENTON SPRINGFIELD,MO 65802	NONE	PVT UNIV	REESE SCHOLARSHIP	10,000
FIRST UNITED METHODIST 300 N MADISON LEBANON,MO 65536	NONE	PUBLIC	CHILDREN'S MINISTRIES	10,000
JEFFERSON R-VII SCHOOLS 1250 DOWLING RD FESTUS,MO 63028	NONE	PUBLIC SCHOO	UNRESTRICTED	16,154
LEBANON CITY CEMETERY 400 S MADISON LEBANON,MO 65536	NONE	NP CEMETERY	MAINTENANCE	5,000
OZARKS FOOD HARVEST 2810 N CEDARBROOK SPRINGFIELD,MO 65802	NONE	PUBLIC	SUMMER FOOD	5,000
THE ROTARY FOUNDATION 14280 COLLECTIONS CENTER CHICAGO,IL 60693	NONE		ANNUAN FUND/POLIO FUND	1,232
TIGER SCHOLARSHIP FUND 352 HEARNES CENTER COLUMBIA,MO 65201	NONE	PUBLIC UNIV	ATHLETIC SCHOLARSHIPS	20,000
UNIVERSITY OF MISSOURI 127 JESSE HALL COLUMBIA,MO 65201	NONE	SYATE UNIV	REESE SCHOLARSHIP FUND	10,000
UNIVERSITY OF MISSOURI 127 JESSE HALL COLUMBIA,MO 65201	NONE	STATE UNIVER	SEATING AND PARKING	22,200
FIRST UNITED METHODIST CHURCH 300 N MADISON LEBANON,MO 65536	NONE	PUBLIC	UNRESTRICTED	2,000
CHILDREN'S MIRACLE NETWORK 3801 S NATIONAL AVE SPRINGFIELD,MO 65807	NONE	PUBLIC	C A R E MOBILE PROGRAM	2,000
Total . . . . ▶ **3a**				108,586

TY 2015 Accounting Fees Schedule

Name: THOMAS H & BONNIE L REESE FAMILY FOUNDATION

EIN: 43-1871265

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
KPM SPRINGFIELD MO	2,550	2,550		

TY 2015 Investments Corporate Bonds Schedule

Name: THOMAS H & BONNIE L REESE FAMILY FOUNDATION

EIN: 43-1871265

Name of Bond	End of Year Book Value	End of Year Fair Market Value
FNMA 7%	454	454

TY 2015 Investments Corporate Stock Schedule

Name: THOMAS H & BONNIE L REESE FAMILY FOUNDATION

EIN: 43-1871265

Name of Stock	End of Year Book Value	End of Year Fair Market Value
GOLDMAN SACHS STR INC	34,654	34,654
AM CENTURY INFL ADJ	47,927	47,927
VOYA GLOBAL RE	48,068	48,068
DRIEHAUS SEL CREDIT		
VANGUARD INST INDEX	139,153	139,153
DFA INTL CORE EQUITY	161,051	161,051
DFA US MICRO CAP PORT	43,601	43,601
GUGGENHEIM MID CAP VALUE		
VIRTUS EMERGING MKTS		
ROBECO LONG/SHORT	98,172	98,172
DFA SMALL CAP VALUE	43,075	43,075
DFA US LARGE CAP VALUE	122,597	122,597
DFA US SM CAP	43,142	43,142
WHITEBOX TCT OPP		
VANGUARD GROWTH INDEX	109,338	109,338
AQR MOMENTUM		
AQR MANAGED FUTURES	92,632	92,632
EATON VANCE FLTG RATE	47,051	47,051
LOOMIS SAYLES GLOBAL		
MFS EMERGING MKTS		
PIMCO TOTAL RETURN		
HARBOR INT'L		
OAKMARK INT'L	160,793	160,793
TORTOISE MLP		
PIMCO ALL ASSET		
FEDERATED STRATEGIC VALUE	157,471	157,471
ABERDEEN EMERGING MARKET		
JP MORGAN CORE BD FND	132,029	132,029
AMG S SUN US EQUITY	49,916	49,916
VANGUARD INT TERM BD	78,989	78,989

Name of Stock	End of Year Book Value	End of Year Fair Market Value
VANGUARD INT TERM ST INV	35,021	35,021
VANGUATD REIT INDEX	50,381	50,381
EATON VANCE MACRO	36,077	36,077
VANGUARD INT TERM INV GR ADM	31,047	31,047

TY 2015 Other Assets Schedule

Name: THOMAS H & BONNIE L REESE FAMILY FOUNDATION

EIN: 43-1871265

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
PREPAID EXCISE TAX		362	362
ROUND		1	1

TY 2015 Other Decreases Schedule

Name: THOMAS H & BONNIE L REESE FAMILY FOUNDATION

EIN: 43-1871265

Description	Amount
UNREALIZED HOLDING LOSSES	109,052

TY 2015 Other Income Schedule

Name: THOMAS H & BONNIE L REESE FAMILY FOUNDATION

EIN: 43-1871265

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER	372	372	

TY 2015 Other Liabilities Schedule

Name: THOMAS H & BONNIE L REESE FAMILY FOUNDATION

EIN: 43-1871265

Description	Beginning of Year - Book Value	End of Year - Book Value
EXCISE TAX PAYABLE	617	

TY 2015 Other Professional Fees Schedule

Name: THOMAS H & BONNIE L REESE FAMILY FOUNDATION

EIN: 43-1871265

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ADMINISTRATIVE FEES	10,860	10,860		

TY 2015 Taxes Schedule

Name: THOMAS H & BONNIE L REESE FAMILY FOUNDATION

EIN: 43-1871265

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	515	515		
EXCISE TAX	878	878		

```
TAX                                                                                                                EDIT
CODE/DATE TRANSACTIONS                                                     TAXABLE        INCOME      PRINCIPAL   NUMBER
--------- ------------                                                  ------------  ------------  ------------  ----------

24   TRUSTEE/EXECUTOR FEES-PRINCIPAL (ALLOCABLE) (CONTINUED)
10/20/15  CTC FEE CENTRAL TRUST COMPANY FOR THE PERIOD 09/20/2015 TO 1      -899 43                      -899 43  1510000020
          0/19/2015 BASED ON AVERAGE MARKET VALUE_____899.43
          TR TRAN#=000000000000004 TR CD=500    REG=      PORT=PRIN

11/20/15  CTC FEE CENTRAL TRUST COMPANY FOR THE PERIOD 10/20/2015 TO 1      -946 07                      -946 07  1511000009
          1/19/2015 BASED ON AVERAGE MARKET VALUE_____946 07
          TR TRAN#=000000000000004 TR CD=500    REG=      PORT=PRIN

12/22/15  CTC FEE CENTRAL TRUST COMPANY FOR THE PERIOD 11/20/2015 TO 1      -903.22                      -903.22  1512000051
          2/19/2015 BASED ON AVERAGE MARKET VALUE_____903 22
          TR TRAN#=000000000000009 TR CD=500    REG=      PORT=PRIN

01/20/16  CTC FEE CENTRAL TRUST COMPANY FOR THE PERIOD 12/20/2015 TO 0      -908.90                      -908.90  1601000021
          1/19/2016 BASED ON AVERAGE MARKET VALUE_____908.90
          TR TRAN#=000000000000004 TR CD=500    REG=      PORT=PRIN

02/22/16  CTC FEE CENTRAL TRUST COMPANY FOR THE PERIOD 01/20/2016 TO 0      -873.73                      -873.73  1602000016
          2/19/2016 BASED ON AVERAGE MARKET VALUE_____873 73
          TR TRAN#=000000000000004 TR CD=500    REG=      PORT=PRIN

03/22/16  CTC FEE CENTRAL TRUST COMPANY FOR THE PERIOD 02/20/2016 TO 0      -831.74                      -831.74  1603000017
          3/19/2016 BASED ON AVERAGE MARKET VALUE_____831.74
          TR TRAN#=000000000000004 TR CD=500    REG=      PORT=PRIN

04/20/16  CTC FEE CENTRAL TRUST COMPANY FOR THE PERIOD 03/20/2016 TO 0      -905 53                      -905 53  1604000019
          4/19/2016 BASED ON AVERAGE MARKET VALUE_____905 53
          TR TRAN#=000000000000004 TR CD=500    REG=      PORT=PRIN

05/20/16  CTC FEE CENTRAL TRUST COMPANY FOR THE PERIOD 04/20/2016 TO 0      -865.80                      -865.80  1605000011
          5/19/2016 BASED ON AVERAGE MARKET VALUE_____865.80
          TR TRAN#=000000000000005 TR CD=500    REG=      PORT=PRIN

06/21/16  CTC FEE CENTRAL TRUST COMPANY FOR THE PERIOD 05/20/2016 TO 0      -887.90                      -887.90  1606000015
          6/19/2016 BASED ON AVERAGE MARKET VALUE_____887 90
          TR TRAN#=000000000000005 TR CD=500    REG=      PORT=PRIN
                                                                        ------------  ------------  ------------
          TOTAL CODE 24 - TRUSTEE/EXECUTOR FEES-PRINCIPAL (ALLOCABLE)     -10860.45          0 00     -10860.45
                                                                        ============  ============  ============


31   OTHER NON-ALLOCABLE EXPENSE - PRINCIPAL

01/15/16  PUBLICATION EXPENSE TO DAILY EVENTS PUBLIC NOTICE OF ANNUAL        -25 00                       -25.00  1601000020
          REPORT AVAILABILITY FOR THE REESE FOUNDATION
          PAID TO
                   DAILY EVENTS
                   PO BOX 1
                   SPRINGFIELD, MO 65801
          TR TRAN#=000000000000004 TR CD=770    REG=      PORT=PRIN
                                                                        ------------  ------------  ------------
          TOTAL CODE 31 - OTHER NON-ALLOCABLE EXPENSE - PRINCIPAL            -25 00          0 00        -25 00
                                                                        ============  ============  ============

34   TAX PREPARATION FEE (NON-ALLOCABLE)

09/03/15  TAX PREPARATION EXPENSE TO KIRKPATRICK PHILLIPS & MILLER PAY     -2550 00                     -2550 00  1509000008
          MENT OF 2014 TAX PREP 990PF INVOICE #259448 CLIENT #101149
          FOR  43-1109768
          PAID TO: 43-1109768
                   KIRKPATRICK PHILLIPS & MILLER
                   1445 E REPUBLIC RD
                   SPRINGFIELD, MO 65804
          TR TRAN#=000000000000001 TR CD=521    REG=      PORT=PRIN
                                                                        ------------  ------------  ------------
          TOTAL CODE 34 - TAX PREPARATION FEE (NON-ALLOCABLE)              -2550 00          0 00      -2550 00
                                                                        ============  ============  ============

45   SHORT-TERM GAIN/LOSS - CURRENT SALES
          ABERDEEN EMERGING MKTS INST FD CL INST - 003021714 - MINOR = 62
          ----------------------------------------------------------------
01/29/16  SOLD 1,620.033 SHS ABERDEEN EMERGING MKTS INST FD CL INST ON     -1814.43                     17139 95  1601000007
           01/28/2016 AT 10.58 THRU SUNGARD BROKERAGE SECS SERV LLC 68
          4730
          TR TRAN#=000000000000003 TR CD=0      REG=25    PORT=PRIN

02/25/16  SOLD 3,328 077 SHS ABERDEEN EMERGING MKTS INST FD CL INST ON      -840.22                     10205 40  1602000005
           02/24/2016 AT 10 81 THRU SUNGARD BROKERAGE SECS SERV LLC 71
          6957
          TR TRAN#=000000000000019 TR CD=0      REG=25    PORT=PRIN
                                                                        ------------  ------------  ------------
                                                                           -2654.65          0 00      27345 35

          FEDERATED HIGH YIELD BOND FD INSTL - 31420B300 - MINOR = 60
          ----------------------------------------------------------------
02/11/16  SOLD 2,277.296 SHS FEDERATED HIGH YIELD BOND FD INSTL ON 02/     -2186 21                     19880 79  1602000002
          10/2016 AT 8 73 THRU SUNGARD BROKERAGE SECS SERV LLC 704336
          TR TRAN#=000000000000003 TR CD=0      REG=25    PORT=PRIN
```

```
TAX                                                                                                                 EDIT
CODE/DATE TRANSACTIONS                                                      TAXABLE         INCOME      PRINCIPAL   NUMBER
--------- ------------                                                 ------------   ------------   ------------   ----------

45   SHORT-TERM GAIN/LOSS - CURRENT SALES (CONTINUED)
          FEDERATED HIGH YIELD BOND FD INSTL - 31420B300 - MINOR = 60  (CONTINUED)
          ------------------------------------------------------------------------

                                                                       ------------   ------------   ------------
                                                                           -2186 21           0 00       19880 79

          GOLDMAN SACHS STRATEGIC INCOME FD CL I - 38145C646 - MINOR = 60
          ----------------------------------------------------------------
02/25/16  SOLD 3,672 138 SHS GOLDMAN SACHS STRATEGIC INCOME FD CL I ON     -1402 48                      18037 52   1602000008
           02/24/2016 AT 9 26 THRU SUNGARD BROKERAGE SECS SERV LLC 716
          960
          TR TRAN#=000000000000031 TR CD=0      REG=25   PORT=PRIN
                                                                       ------------   ------------   ------------
                                                                           -1402 48           0 00       18037 52

          TORTOISE MLP & PIPELINE FD INSTL - 56166Y404 - MINOR = 62
          ----------------------------------------------------------
01/22/16  SOLD 6,834.176 SHS TORTOISE/NUANCE/MUHLENKAMP - TORTOISE MLP     -4910.36                      10089.64   1601000005
           & PIPELINE FD I ON 01/21/2016 AT 8.63 THRU SUNGARD BROKERAG                SALES EXIST AFTER RETURN OF CAPITAL
          E SECS SERV LLC 674720
          TR TRAN#=000000000000001 TR CD=0      REG=25   PORT=PRIN
                                                                       ------------   ------------   ------------
                                                                           -4910 36           0 00       10089 64

          TEMPLETON GLOBAL BOND FUND ADV CLS - 880208400 - MINOR = 60
          ------------------------------------------------------------
12/16/15  SOLD 3,206 539 SHS TEMPLETON GLOBAL BOND FUND ADV CLS ON 12/       224.46                      37003 46   1512000003
          15/2015 AT 11 54 THRU SUNGARD BROKERAGE SECS SERV LLC 644302
          TR TRAN#=000000000000001 TR CD=0      REG=25   PORT=PRIN
                                                                       ------------   ------------   ------------
                                                                             224 46           0 00       37003.46

          VOYA GLOBAL REAL ESTATE FD CL I - 92914A885 - MINOR = 62
          ---------------------------------------------------------
02/25/16  SOLD 2,637.196 SHS VOYA GLOBAL REAL ESTATE FD CL I ON 02/24/      -202 64                      17014.36   1602000009
          2016 AT 18.47 THRU SUNGARD BROKERAGE SECS SERV LLC 716961
          TR TRAN#=000000000000035 TR CD=0      REG=25   PORT=PRIN
                                                                       ------------   ------------   ------------
                                                                            -202 64           0.00       17014 36
                                                                       ------------   ------------   ------------
          TOTAL CODE 45 - SHORT-TERM GAIN/LOSS - CURRENT SALES            -11131 88           0 00      129371 12
                                                                       ============   ============   ============


86   U.S. GOVERNMENT INTEREST - FEDERAL & STATE
          FEDERAL NATIONAL MORTGAGE ASSN POOL #403103 DTD 11/1/1997 7% 11/01/2017 - 31378MX88 - MINOR = 35
          ----------------------------------------------------------------------------------------------------
07/27/15  INTEREST ON 784.36 UNITS FEDERAL NATIONAL MORTGAGE ASSN POOL         4.58                          4.58   1507000015
           #403103 DTD 11/1/1997 7% 11/01/2017 PAYABLE 07/25/2015 ORIG                INTEREST INCOME POSTED TO PRINCIPAL
          INAL FACE VALUE 185,000.00 FNMA PASS-THRU   INT 20 YEAR
          TR TRAN#=000000000000001 TR CD=32     REG=15   PORT=PRIN

08/25/15  INTEREST ON 757.52 UNITS FEDERAL NATIONAL MORTGAGE ASSN POOL         4.42                          4.42   1508000012
           #403103 DTD 11/1/1997 7% 11/01/2017 PAYABLE 08/25/2015 ORIG                INTEREST INCOME POSTED TO PRINCIPAL
          INAL FACE VALUE 185,000.00 FNMA PASS-THRU   INT 20 YEAR
          TR TRAN#=000000000000001 TR CD=32     REG=15   PORT=PRIN

09/25/15  INTEREST ON 730.51 UNITS FEDERAL NATIONAL MORTGAGE ASSN POOL         4.26                          4.26   1509000034
           #403103 DTD 11/1/1997 7% 11/01/2017 PAYABLE 09/25/2015 ORIG                INTEREST INCOME POSTED TO PRINCIPAL
          INAL FACE VALUE 185,000.00 FNMA PASS-THRU   INT 20 YEAR
          TR TRAN#=000000000000001 TR CD=32     REG=15   PORT=PRIN

10/26/15  INTEREST ON 703.32 UNITS FEDERAL NATIONAL MORTGAGE ASSN POOL         4.10                          4.10   1510000021
           #403103 DTD 11/1/1997 7% 11/01/2017 PAYABLE 10/25/2015 ORIG                INTEREST INCOME POSTED TO PRINCIPAL
          INAL FACE VALUE 185,000.00 FNMA PASS-THRU   INT 20 YEAR
          TR TRAN#=000000000000001 TR CD=32     REG=15   PORT=PRIN

11/25/15  INTEREST ON 675.95 UNITS FEDERAL NATIONAL MORTGAGE ASSN POOL         3.94                          3.94   1511000010
           #403103 DTD 11/1/1997 7% 11/01/2017 PAYABLE 11/25/2015 ORIG                INTEREST INCOME POSTED TO PRINCIPAL
          INAL FACE VALUE 185,000.00 FNMA PASS-THRU   INT 20 YEAR
          TR TRAN#=000000000000001 TR CD=32     REG=15   PORT=PRIN

12/28/15  INTEREST ON 648.41 UNITS FEDERAL NATIONAL MORTGAGE ASSN POOL         3.78                          3.78   1512000055
           #403103 DTD 11/1/1997 7% 11/01/2017 PAYABLE 12/25/2015 ORIG                INTEREST INCOME POSTED TO PRINCIPAL
          INAL FACE VALUE 185,000.00 FNMA PASS-THRU   INT 20 YEAR
          TR TRAN#=000000000000001 TR CD=32     REG=15   PORT=PRIN

01/25/16  INTEREST ON 620.69 UNITS FEDERAL NATIONAL MORTGAGE ASSN POOL         3.62                          3.62   1601000023
           #403103 DTD 11/1/1997 7% 11/01/2017 PAYABLE 01/25/2016 ORIG                INTEREST INCOME POSTED TO PRINCIPAL
          INAL FACE VALUE 185,000.00 FNMA PASS-THRU   INT 20 YEAR
          TR TRAN#=000000000000001 TR CD=32     REG=15   PORT=PRIN

02/25/16  INTEREST ON 592.79 UNITS FEDERAL NATIONAL MORTGAGE ASSN POOL         3.46                          3.46   1602000017
           #403103 DTD 11/1/1997 7% 11/01/2017 PAYABLE 02/25/2016 ORIG                INTEREST INCOME POSTED TO PRINCIPAL
          INAL FACE VALUE 185,000.00 FNMA PASS-THRU   INT 20 YEAR
          TR TRAN#=000000000000001 TR CD=32     REG=15   PORT=PRIN
```

101149

```
TAX                                                                                    EDIT
CODE/DATE TRANSACTIONS                                TAXABLE      INCOME   PRINCIPAL  NUMBER
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502   NONQUALIFIED DOMESTIC DIVIDENDS (CONTINUED)
        VOYA GLOBAL REAL ESTATE FD CL I - 92914A885 - MINOR = 62  (CONTINUED)
        --------------------------------------------------------------------

                                                 ------------ ------------ ------------
                                                       980 41         0 00         0.00
                                                 ------------ ------------ ------------
        TOTAL CODE 502 - NONQUALIFIED DOMESTIC DIVIDENDS    13633 13         0 00       443 07
                                                 ============ ============ ============


507   LONG-TERM CAPITAL GAIN DIVIDENDS/DISTRIBUTIONS

10/07/15  RECEIVED FROM AIG SECURITIES LITIGATION PROCEEDS       91 80                     91 80  1510000014
          TR TRAN#=000000000000001 TR CD=419    REG=0     PORT=PRIN              **CHANGED** 02/26/16 GTR

10/08/15  RECEIVED FROM AIG SECURITIES LITIGATION PROCEEDS      153 35                    153 35  1510000016
          TR TRAN#=000000000000003 TR CD=419    REG=      PORT=PRIN              **CHANGED** 02/26/16 GTR

10/08/15  RECEIVED FROM AIG SECURITIES LITIGATION PROCEEDS      119 00                    119 00  1510000017
          TR TRAN#=000000000000004 TR CD=419    REG=      PORT=PRIN              **CHANGED** 02/26/16 GTR
                                                 ------------ ------------ ------------
                                                       364 15         0 00       364 15

          AQR LARGE CAP MOMENTUM STYLE FD CL I - 00203H701 - MINOR = 62
          -------------------------------------------------------------
06/30/16  MUTUAL FUND EARNINGS                        1599 01
                                                              DIVIDEND POSTED TO PRINCIPAL
                                                 ------------ ------------ ------------
                                                      1599 01         0.00         0 00

          AQR MANAGED FUTURES STRATEGY FD CL I - 00203H859 - MINOR = 62
          -------------------------------------------------------------
06/30/16  MUTUAL FUND EARNINGS                        1475.61
                                                              DIVIDEND POSTED TO PRINCIPAL
                                                 ------------ ------------ ------------
                                                      1475 61         0 00         0 00

          ABERDEEN EMERGING MKTS INST FD CL INST - 003021714 - MINOR = 62
          ---------------------------------------------------------------
06/30/16  MUTUAL FUND EARNINGS                         654 35
                                                              DIVIDEND POSTED TO PRINCIPAL
                                                 ------------ ------------ ------------
                                                       654 35         0 00         0 00

          DFA US MICRO CAP PORTFOLIO - 233203504 - MINOR = 62
          ---------------------------------------------------
06/30/16  MUTUAL FUND EARNINGS                        2475 72
                                                              DIVIDEND POSTED TO PRINCIPAL
                                                 ------------ ------------ ------------
                                                      2475 72         0 00         0 00

          DFA US SMALL CAP VALUE PORT - 233203819 - MINOR = 62
          ----------------------------------------------------
06/30/16  MUTUAL FUND EARNINGS                        1890 75
                                                              DIVIDEND POSTED TO PRINCIPAL
                                                 ------------ ------------ ------------
                                                      1890.75         0 00         0 00

          DFA US LARGE CAP VALUE PORT - 233203827 - MINOR = 62
          ----------------------------------------------------
06/30/16  MUTUAL FUND EARNINGS                        5430 18
                                                              DIVIDEND POSTED TO PRINCIPAL
                                                 ------------ ------------ ------------
                                                      5430 18         0 00         0 00

          DFA US SMALL CAP PORTFOLIO - 233203843 - MINOR = 62
          ---------------------------------------------------
06/30/16  MUTUAL FUND EARNINGS                        3372 04
                                                              DIVIDEND POSTED TO PRINCIPAL
                                                 ------------ ------------ ------------
                                                      3372 04         0 00         0 00

          FEDERATED STRATEGIC VALUE INSTL - 314172560 - MINOR = 62
          --------------------------------------------------------
06/30/16  MUTUAL FUND EARNINGS                        5886 68
                                                 ------------ ------------ ------------
                                                      5886.68         0 00         0 00

          GUGGENHEIM MID CAP VALUE INST FD CL I - 40168W830 - MINOR = 62
          --------------------------------------------------------------
06/30/16  MUTUAL FUND EARNINGS                         312 81
                                                              DIVIDEND POSTED TO PRINCIPAL
                                                 ------------ ------------ ------------
                                                       312 81         0 00         0 00

          OAKMARK INTL CL I - 413838202 - MINOR = 62
          ------------------------------------------
06/30/16  MUTUAL FUND EARNINGS                        3867 94
                                                              DIVIDEND POSTED TO PRINCIPAL
```

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TAX                                                                                                      EDIT
CODE/DATE TRANSACTIONS                                                 TAXABLE        INCOME      PRINCIPAL  NUMBER
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507   LONG-TERM CAPITAL GAIN DIVIDENDS/DISTRIBUTIONS  (CONTINUED)
          OAKMARK INTL CL I - 413838202 - MINOR = 62  (CONTINUED)
          ------------------------------------------------------

                                                                    ------------   ------------  ------------
                                                                         3867.94           0 00          0.00

          JPMORGAN CORE BD FD CL SEL - 4812C0381 - MINOR = 60
          ---------------------------------------------------
06/30/16  MUTUAL FUND EARNINGS                                            236 20
                                                                    ------------   ------------  ------------
                                                                          236 20           0 00          0 00

          TORTOISE MLP & PIPELINE FD INSTL - 56166Y404 - MINOR = 62
          ---------------------------------------------------------
06/30/16  MUTUAL FUND EARNINGS                                             98 81
                                                                      DIVIDEND POSTED TO PRINCIPAL
                                                                    ------------   ------------  ------------
                                                                           98 81           0.00          0 00

          ROBECO BOSTON PARTNERS LONG /SHORT RESEA - 74925K581 - MINOR = 62
          -----------------------------------------------------------------
06/30/16  MUTUAL FUND EARNINGS                                           3854 13
                                                                    ------------   ------------  ------------
                                                                         3854 13           0 00          0 00
                                                                    ------------   ------------  ------------
          TOTAL CODE 507 - LONG-TERM CAPITAL GAIN DIVIDENDS/DISTRIBUTIO  31518 38           0 00        364 15
                                                                    ============   ============  ============

509   LONG-TERM GAIN/LOSS - CURRENT SALES
          AQR LARGE CAP MOMENTUM STYLE FD CL I - 00203H701 - MINOR = 62
          -------------------------------------------------------------
04/12/16  SOLD 1,102.135 SHS AQR LARGE CAP MOMENTUM STYLE FD CL I ON 0   2709 74                     21238.14  1604000002
          4/11/2016 AT 19 27 THRU SUNGARD BROKERAGE SECS SERV LLC 7401
          12
          TR TRAN#=000000000000004 TR CD=0      REG=25    PORT=PRIN
                                                                    ------------   ------------  ------------
                                                                         2709 74           0 00      21238 14

          AQR MANAGED FUTURES STRATEGY FD CL I - 00203H859 - MINOR = 62
          -------------------------------------------------------------
09/14/15  SOLD 1,812 919 SHS AQR MANAGED FUTURES STRATEGY FD CL I ON 0   1381 72                     20069 00  1509000008
          9/11/2015 AT 11 07 THRU SUNGARD BROKERAGE SECS SERV LLC 5921
          80
          TR TRAN#=000000000000035 TR CD=0      REG=25    PORT=PRIN

02/25/16  SOLD 1,791 768 SHS AQR MANAGED FUTURES STRATEGY FD CL I ON 0   1941.62                     19154 00  1602000007
          2/24/2016 AT 10 69 THRU SUNGARD BROKERAGE SECS SERV LLC 7169
          59
          TR TRAN#=000000000000026 TR CD=0      REG=25    PORT=PRIN
                                                                    ------------   ------------  ------------
                                                                         3323 34           0 00      39223 00

          ABERDEEN EMERGING MKTS INST FD CL INST - 003021714 - MINOR = 62
          ---------------------------------------------------------------
02/25/16  SOLD 3,328 077 SHS ABERDEEN EMERGING MKTS INST FD CL INST ON   -9559 89                     25771 11  1602000005
           02/24/2016 AT 10 81 THRU SUNGARD BROKERAGE SECS SERV LLC 71
          6957
          TR TRAN#=000000000000019 TR CD=0      REG=25    PORT=PRIN
                                                                    ------------   ------------  ------------
                                                                        -9559 89           0.00      25771 11

          DFA US LARGE CAP VALUE PORT - 233203827 - MINOR = 62
          ----------------------------------------------------
09/14/15  SOLD 1,902 346 SHS DFA US LARGE CAP VALUE PORT ON 09/11/2015   38503 49                     60000 00  1509000003
           AT 31 54 THRU SUNGARD BROKERAGE SECS SERV LLC 592157
          TR TRAN#=000000000000019 TR CD=0      REG=25    PORT=PRIN

02/25/16  SOLD 160 558 SHS DFA US LARGE CAP VALUE PORT ON 02/24/2016 A   2785 69                      4600 00  1602000006
          T 28 65 THRU SUNGARD BROKERAGE SECS SERV LLC 716958
          TR TRAN#=000000000000023 TR CD=0      REG=25    PORT=PRIN
                                                                    ------------   ------------  ------------
                                                                        41289 18           0 00      64600 00

          DFA US SMALL CAP PORTFOLIO - 233203843 - MINOR = 62
          ---------------------------------------------------
09/14/15  SOLD 198 873 SHS DFA US SMALL CAP PORTFOLIO ON 09/11/2015 AT   2022 54                      6000.00  1509000004
           30 17 THRU SUNGARD BROKERAGE SECS SERV LLC 592161
          TR TRAN#=000000000000022 TR CD=0      REG=25    PORT=PRIN

04/12/16  SOLD 845 964 SHS DFA US SMALL CAP PORTFOLIO ON 04/11/2016 AT   6877 68                     23796 96  1604000001
           28 13 THRU SUNGARD BROKERAGE SECS SERV LLC 740111
          TR TRAN#=000000000000001 TR CD=0      REG=25    PORT=PRIN
                                                                    ------------   ------------  ------------
                                                                         8900 22           0 00      29796 96

          DRIEHAUS SELECT CREDIT FD - 262028848 - MINOR = 60
          --------------------------------------------------
```

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TAX                                                                                               EDIT
CODE/DATE TRANSACTIONS                                            TAXABLE        INCOME      PRINCIPAL  NUMBER
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509   LONG-TERM GAIN/LOSS - CURRENT SALES    (CONTINUED)
          DRIEHAUS SELECT CREDIT FD - 262028848 - MINOR = 60  (CONTINUED)
          -------------------------------------------------------------

12/15/15  SOLD 3,852 456 SHS DRIEHAUS SELECT CREDIT FD ON 12/14/2015 A   -7298 66                   31898.34  1512000002
          T 8.28 THRU SUNGARD BROKERAGE SECS SERV LLC 642759
          TR TRAN#=000000000000001 TR CD=0      REG=25    PORT=PRIN
                                                                ------------  ------------  ------------
                                                                    -7298 66          0 00      31898 34

          EATON VANCE FLOATING RATE FD CL I - 277911491 - MINOR = 60
          ---------------------------------------------------------
09/14/15  SOLD 4,161.888 SHS EATON VANCE FLOATING RATE FD CL I ON 09/1   -1338.67                   36583.00  1509000009
          1/2015 AT 8.79 THRU SUNGARD BROKERAGE SECS SERV LLC 592186        SALES EXIST AFTER RETURN OF CAPITAL
          TR TRAN#=000000000000039 TR CD=0      REG=25    PORT=PRIN
                                                                ------------  ------------  ------------
                                                                    -1338.67          0.00      36583.00

          FEDERAL NATIONAL MORTGAGE ASSN POOL #403103 DTD 11/1/1997 7% 11/01/2017 - 31378MX88 - MINOR = 35
          -------------------------------------------------------------------------------------------
07/27/15  PAYMENT ON 784 36 UNITS FEDERAL NATIONAL MORTGAGE ASSN POOL      -1 01                       26 84  1507000002
          #403103 DTD 11/1/1997 7% 11/01/2017 ORIGINAL FACE VALUE 185,
          000.00 FNMA PASS-THRU   INT 20 YEAR
          TR TRAN#=000000000000002 TR CD=999    REG=15    PORT=PRIN

08/25/15  PAYMENT ON 757 52 UNITS FEDERAL NATIONAL MORTGAGE ASSN POOL      -1 01                       27 01  1508000003
          #403103 DTD 11/1/1997 7% 11/01/2017 ORIGINAL FACE VALUE 185,
          000 00 FNMA PASS-THRU   INT 20 YEAR
          TR TRAN#=000000000000002 TR CD=999    REG=15    PORT=PRIN

09/25/15  PAYMENT ON 730 51 UNITS FEDERAL NATIONAL MORTGAGE ASSN POOL      -1.02                       27 19  1509000015
          #403103 DTD 11/1/1997 7% 11/01/2017 ORIGINAL FACE VALUE 185,
          000 00 FNMA PASS-THRU   INT 20 YEAR
          TR TRAN#=000000000000002 TR CD=999    REG=15    PORT=PRIN

10/26/15  PAYMENT ON 703 32 UNITS FEDERAL NATIONAL MORTGAGE ASSN POOL      -1.03                       27 37  1510000003
          #403103 DTD 11/1/1997 7% 11/01/2017 ORIGINAL FACE VALUE 185,
          000 00 FNMA PASS-THRU   INT 20 YEAR
          TR TRAN#=000000000000002 TR CD=999    REG=15    PORT=PRIN

11/25/15  PAYMENT ON 675 95 UNITS FEDERAL NATIONAL MORTGAGE ASSN POOL      -1 03                       27 54  1511000002
          #403103 DTD 11/1/1997 7% 11/01/2017 ORIGINAL FACE VALUE 185,
          000 00 FNMA PASS-THRU   INT 20 YEAR
          TR TRAN#=000000000000002 TR CD=999    REG=15    PORT=PRIN

12/28/15  PAYMENT ON 648 41 UNITS FEDERAL NATIONAL MORTGAGE ASSN POOL      -1 04                       27 72  1512000005
          #403103 DTD 11/1/1997 7% 11/01/2017 ORIGINAL FACE VALUE 185,
          000 00 FNMA PASS-THRU   INT 20 YEAR
          TR TRAN#=000000000000002 TR CD=999    REG=15    PORT=PRIN

01/25/16  PAYMENT ON 620 69 UNITS FEDERAL NATIONAL MORTGAGE ASSN POOL      -1 05                       27.90  1601000006
          #403103 DTD 11/1/1997 7% 11/01/2017 ORIGINAL FACE VALUE 185,
          000 00 FNMA PASS-THRU   INT 20 YEAR
          TR TRAN#=000000000000002 TR CD=999    REG=15    PORT=PRIN

02/25/16  PAYMENT ON 592 79 UNITS FEDERAL NATIONAL MORTGAGE ASSN POOL      -1 05                       28 08  1602000004
          #403103 DTD 11/1/1997 7% 11/01/2017 ORIGINAL FACE VALUE 185,
          000 00 FNMA PASS-THRU   INT 20 YEAR
          TR TRAN#=000000000000002 TR CD=999    REG=15    PORT=PRIN

03/25/16  PAYMENT ON 564 71 UNITS FEDERAL NATIONAL MORTGAGE ASSN POOL      -1 06                       28 26  1603000002
          #403103 DTD 11/1/1997 7% 11/01/2017 ORIGINAL FACE VALUE 185,
          000 00 FNMA PASS-THRU   INT 20 YEAR
          TR TRAN#=000000000000002 TR CD=999    REG=15    PORT=PRIN

04/25/16  PAYMENT ON 536.45 UNITS FEDERAL NATIONAL MORTGAGE ASSN POOL      -1 07                       28 44  1604000005
          #403103 DTD 11/1/1997 7% 11/01/2017 ORIGINAL FACE VALUE 185,
          000.00 FNMA PASS-THRU   INT 20 YEAR
          TR TRAN#=000000000000002 TR CD=999    REG=15    PORT=PRIN

05/25/16  PAYMENT ON 508 01 UNITS FEDERAL NATIONAL MORTGAGE ASSN POOL      -1.07                       28 63  1605000002
          #403103 DTD 11/1/1997 7% 11/01/2017 ORIGINAL FACE VALUE 185,
          000 00 FNMA PASS-THRU   INT 20 YEAR
          TR TRAN#=000000000000002 TR CD=999    REG=15    PORT=PRIN

06/27/16  PAYMENT ON 479 38 UNITS FEDERAL NATIONAL MORTGAGE ASSN POOL      -1 08                       28 81  1606000002
          #403103 DTD 11/1/1997 7% 11/01/2017 ORIGINAL FACE VALUE 185,
          000 00 FNMA PASS-THRU   INT 20 YEAR
          TR TRAN#=000000000000002 TR CD=999    REG=15    PORT=PRIN
                                                                ------------  ------------  ------------
                                                                      -12 52          0 00        333 79

          GOLDMAN SACHS STRATEGIC INCOME FD CL I - 38145C646 - MINOR = 60
          -------------------------------------------------------------
02/25/16  SOLD 3,672 138 SHS GOLDMAN SACHS STRATEGIC INCOME FD CL I ON   -2396 70                   15966 48  1602000008
           02/24/2016 AT 9.26 THRU SUNGARD BROKERAGE SECS SERV LLC 716
          960
          TR TRAN#=000000000000031 TR CD=0      REG=25    PORT=PRIN
                                                                ------------  ------------  ------------
                                                                    -2396 70          0 00      15966 48
```

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TAX                                                                                                              EDIT
CODE/DATE TRANSACTIONS                                                  TAXABLE        INCOME      PRINCIPAL    NUMBER
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509   LONG-TERM GAIN/LOSS - CURRENT SALES   (CONTINUED)
          GUGGENHEIM MID CAP VALUE INST FD CL I - 40168W830 - MINOR = 62
          ------------------------------------------------------------
01/06/16  SOLD 325.999 SHS GUGGENHEIM MID CAP VALUE INST FD CL I ON 01     -925 83                      3074 17  1601000001
          /05/2016 AT 9 43 THRU SUNGARD BROKERAGE SECS SERV LLC 663657
          TR TRAN#=000000000000013 TR CD=0      REG=25   PORT=PRIN
                                                                   ------------  ------------  ------------
                                                                        -925 83          0 00       3074 17

          HARBOR INTL FD INST SHRS - 411511306 - MINOR = 62
          ------------------------------------------------
09/14/15  SOLD 964 23 SHS HARBOR INTL FD INST SHRS ON 09/11/2015 AT 63      1854 29                     61218 96  1509000005
           49 THRU SUNGARD BROKERAGE SECS SERV LLC 592167
          TR TRAN#=000000000000025 TR CD=0      REG=25   PORT=PRIN
                                                                   ------------  ------------  ------------
                                                                        1854 29          0 00      61218 96

          LOOMIS SAYLES GLOBAL BOND FD INST SHRS - 543495782 - MINOR = 60
          ------------------------------------------------------------
09/14/15  SOLD 3,527.415 SHS LOOMIS SAYLES GLOBAL BOND FD INST SHRS ON     -4479 84                     53369 78  1509000010
           09/11/2015 AT 15.13 THRU SUNGARD BROKERAGE SECS SERV LLC 59
          2190
          TR TRAN#=000000000000043 TR CD=0      REG=25   PORT=PRIN
                                                                   ------------  ------------  ------------
                                                                       -4479 84          0 00      53369.78

          MFS EMERGING MARKETS DEBT CL I - 55273E640 - MINOR = 60
          -------------------------------------------------------
09/14/15  SOLD 1,703 501 SHS MFS EMERGING MARKETS DEBT CL I ON 09/11/2     -1175 42                     24002 33  1509000006
          015 AT 14 09 THRU SUNGARD BROKERAGE SECS SERV LLC 592171
          TR TRAN#=000000000000029 TR CD=0      REG=25   PORT=PRIN
                                                                   ------------  ------------  ------------
                                                                       -1175 42          0 00      24002 33

          TORTOISE MLP & PIPELINE FD INSTL - 56166Y404 - MINOR = 62
          ---------------------------------------------------------
01/22/16  SOLD 6,834 176 SHS TORTOISE/NUANCE/MUHLENKAMP - TORTOISE MLP    -36111 38                     48889 30  1601000005
           & PIPELINE FD I ON 01/21/2016 AT 8 63 THRU SUNGARD BROKERAG
          E SECS SERV LLC 674720
          TR TRAN#=000000000000001 TR CD=0      REG=25   PORT=PRIN
                                                                   ------------  ------------  ------------
                                                                      -36111 38          0.00      48889 30

          PIMCO TOTAL RETURN FD INST CL - 693390700 - MINOR = 60
          ------------------------------------------------------
09/14/15  SOLD 5,395 331 SHS PIMCO TOTAL RETURN FD INST CL ON 09/11/20     -2212 08                     56758 88  1509000007
          15 AT 10 52 THRU SUNGARD BROKERAGE SECS SERV LLC 592174
          TR TRAN#=000000000000032 TR CD=0      REG=25   PORT=PRIN
                                                                   ------------  ------------  ------------
                                                                       -2212 08          0 00      56758 88

          PIMCO ALL AS1691004216SET ALL AUTHORITY FUND INSTL - 72200Q182 - MINOR = 62
          ------------------------------------------------------------------------
09/10/15  SOLD 3,554 664 SHS PIMCO ALL ASSET ALL AUTHORITY FUND INSTL      -8751 47                     29468.16  1509000002
          SHRS ON 09/09/2015 AT 8.29 THRU SUNGARD BROKERAGE SECS SERV
          LLC 589823
          TR TRAN#=000000000000003 TR CD=0      REG=25   PORT=PRIN
                                                                   ------------  ------------  ------------
                                                                       -8751 47          0.00      29468 16

          VANGUARD GROWTH INDEX FD CL INSTL - 922908868 - MINOR = 62
          ----------------------------------------------------------
09/14/15  SOLD 561 691 SHS VANGUARD GROWTH INDEX FD CL INSTL ON 09/11/     19427 78                     30000 00  1509000012
          2015 AT 53.41 THRU SUNGARD BROKERAGE SECS SERV LLC 592204
          TR TRAN#=000000000000049 TR CD=0      REG=25   PORT=PRIN
                                                                   ------------  ------------  ------------
                                                                       19427 78          0.00      30000 00

          VIRTUS EMERGING MARKETS EQUITY INC FD - 92828W882 - MINOR = 62
          ------------------------------------------------------------
09/14/15  SOLD 3,409 986 SHS VIRTUS EMERGING MARKETS EQUITY INC FD ON      -6444 87                     28746 18  1509000011
          09/11/2015 AT 8 43 THRU SUNGARD BROKERAGE SECS SERV LLC 5921
          95
          TR TRAN#=000000000000046 TR CD=0      REG=25   PORT=PRIN
                                                                   ------------  ------------  ------------
                                                                       -6444 87          0 00      28746 18

          VOYA GLOBAL REAL ESTATE FD CL I - 92914A885 - MINOR = 62
          --------------------------------------------------------
02/25/16  SOLD 2,637 196 SHS VOYA GLOBAL REAL ESTATE FD CL I ON 02/24/      -806 54                     31694.64  1602000009
          2016 AT 18 47 THRU SUNGARD BROKERAGE SECS SERV LLC 716961
          TR TRAN#=000000000000035 TR CD=0      REG=25   PORT=PRIN
                                                                   ------------  ------------  ------------
                                                                        -806 54          0 00      31694.64

          WHITEBOX TACTICAL OPPORTUNITIES FD - 96467B406 - MINOR = 62
          -----------------------------------------------------------
10/02/15  SOLD 1,986 597 SHS WHITEBOX TACTICAL OPPORTUNITIES FD ON 10/     -5423.41                     19965 30  1510000001
          01/2015 AT 10 05 THRU SUNGARD BROKERAGE SECS SERV LLC 603494
          TR TRAN#=000000000000003 TR CD=0      REG=25   PORT=PRIN
```

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TAX                                                                                                EDIT
CODE/DATE TRANSACTIONS                                           TAXABLE         INCOME      PRINCIPAL   NUMBER
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509    LONG-TERM GAIN/LOSS - CURRENT SALES    (CONTINUED)
           WHITEBOX TACTICAL OPPORTUNITIES FD - 96467B406 - MINOR = 62  (CONTINUED)
           -----------------------------------------------------------------------


                                                                ------------   ------------   ------------
                                                                    -5423 41           0 00       19965.30
                                                                ------------   ------------   ------------
           TOTAL CODE 509 - LONG-TERM GAIN/LOSS - CURRENT SALES     -9432.73           0 00      652598 52
                                                                ============   ============   ============


906    MUTUAL FUND CASH RECEIPTS
           AQR LARGE CAP MOMENTUM STYLE FD CL I - 00203H701 - MINOR = 62
           ---------------------------------------------------------------
12/22/15   DIVIDEND ON 1,102.135 SHS AQR LARGE CAP MOMENTUM STYLE FD CL                               226.78   1512000047
            I AT .20576 PER SHARE PAYABLE 12/21/2015 EX DATE 12/21/2015          DIVIDEND POSTED TO PRINCIPAL
            EFFECTIVE 12/21/2015
           TR TRAN#=000000000000005 TR CD=1      REG=25    PORT=PRIN
           EX-DATE=12/21/2015

12/22/15   LONG TERM CAPITAL GAINS DIVIDEND ON 1,102 135 SHS AQR LARGE                              1599.01   1512000046
           CAP MOMENTUM STYLE FD CL I AT 1 45083 PER SHARE PAYABLE 12/2
           1/2015 EX DATE 12/21/2015 EFFECTIVE 12/21/2015
           TR TRAN#=000000000000004 TR CD=36     REG=25    PORT=PRIN
           EX-DATE=12/21/2015
                                                                ------------   ------------   ------------
                                                                        0 00           0 00        1825 79

           AQR MANAGED FUTURES STRATEGY FD CL I - 00203H859 - MINOR = 62
           ---------------------------------------------------------------
12/22/15   DIVIDEND ON 9,988.535 SHS AQR MANAGED FUTURES STRATEGY FD CL                              4561.56   1512000050
            I AT .45668 PER SHARE PAYABLE 12/21/2015 EX DATE 12/21/2015          DIVIDEND POSTED TO PRINCIPAL
            EFFECTIVE 12/21/2015
           TR TRAN#=000000000000008 TR CD=1      REG=25    PORT=PRIN
           EX-DATE=12/21/2015

12/22/15   SHORT TERM CAPITAL GAINS DIVIDEND ON 9,988 535 SHS AQR MANAG                              584.83   1512000048
           ED FUTURES STRATEGY FD CL I AT  05855 PER SHARE PAYABLE 12/2
           1/2015 EX DATE 12/21/2015 EFFECTIVE 12/21/2015
           TR TRAN#=000000000000006 TR CD=10     REG=25    PORT=PRIN
           EX-DATE=12/21/2015

12/22/15   LONG TERM CAPITAL GAINS DIVIDEND ON 9,988 535 SHS AQR MANAGE                              1475 61   1512000049
           D FUTURES STRATEGY FD CL I AT .14773 PER SHARE PAYABLE 12/21
           /2015 EX DATE 12/21/2015 EFFECTIVE 12/21/2015
           TR TRAN#=000000000000007 TR CD=36     REG=25    PORT=PRIN
           EX-DATE=12/21/2015
                                                                ------------   ------------   ------------
                                                                        0 00           0 00        6622 00

           ABERDEEN EMERGING MKTS INST FD CL INST - 003021714 - MINOR = 62
           -----------------------------------------------------------------
09/21/15   DIVIDEND ON 4,948.11 SHS ABERDEEN EMERGING MKTS INST FD CL I                               369 48   1509000027
           NST AT .07467 PER SHARE PAYABLE 09/21/2015 EX DATE 09/18/201          DIVIDEND POSTED TO PRINCIPAL
           5
           TR TRAN#=000000000000001 TR CD=1      REG=25    PORT=PRIN
           EX-DATE=9/18/2015

12/21/15   DIVIDEND ON 4,948.11 SHS ABERDEEN EMERGING MKTS INST FD CL I                                82.53   1512000044
           NST AT .01668 PER SHARE PAYABLE 12/21/2015 EX DATE 12/18/201          DIVIDEND POSTED TO PRINCIPAL
           5
           TR TRAN#=000000000000004 TR CD=1      REG=25    PORT=PRIN
           EX-DATE=12/18/2015

12/21/15   SHORT TERM CAPITAL GAINS DIVIDEND ON 4,948 11 SHS ABERDEEN E                                 0 40   1512000042
           MERGING MKTS INST FD CL INST AT  00008 PER SHARE PAYABLE 12/
           21/2015 EX DATE 12/18/2015
           TR TRAN#=000000000000002 TR CD=10     REG=25    PORT=PRIN
           EX-DATE=12/18/2015

12/21/15   LONG TERM CAPITAL GAINS DIVIDEND ON 4,948 11 SHS ABERDEEN EM                               654 34   1512000043
           ERGING MKTS INST FD CL INST AT  13224 PER SHARE PAYABLE 12/2
           1/2015 EX DATE 12/18/2015
           TR TRAN#=000000000000003 TR CD=36     REG=25    PORT=PRIN
           EX-DATE=12/18/2015
                                                                ------------   ------------   ------------
                                                                        0 00           0 00        1106 75

           AMERICAN CENTURY INFLATION ADJUSTED BOND - 025081795 - MINOR = 60
           -------------------------------------------------------------------
12/29/15   DIVIDEND ON 2,461.204 SHS AMERICAN CENTURY INFLATION ADJUSTE                               221.75   1512000057
           D BOND FD INSTL CL AT .0901 PER SHARE PAYABLE 12/28/2015 EX          DIVIDEND POSTED TO PRINCIPAL
           DATE 12/28/2015 EFFECTIVE 12/28/2015
           TR TRAN#=000000000000001 TR CD=1      REG=25    PORT=PRIN
           EX-DATE=12/28/2015
                                                                ------------   ------------   ------------
                                                                        0 00           0 00         221 75

           DFA INTERNATIONAL CORE EQUITY FD - 233203371 - MINOR = 62
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