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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning **JUL 1, 2015**, and ending **JUN 30, 2016**

Name of foundation WOCKNER FOUNDATION C/O HKP & CO, P.S.		A Employer identification number 42-1624231
Number and street (or P.O. box number if mail is not delivered to street address) 1111 THIRD AVENUE, SUITE 800		B Telephone number (206) 682-9200
City or town, state or province, country, and ZIP or foreign postal code SEATTLE, WA 98101		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 5,195,816. (Part I, column (d) must be on cash basis)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		34,948.	34,948.		STATEMENT 1
4 Dividends and interest from securities		82,689.	82,689.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		81,255.			
b Gross sales price for all assets on line 6a 1,088,366.					
7 Capital gain net income (from Part IV, line 2)			81,255.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		198,892.	198,892.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 3		3,340.	0.		0.
c Other professional fees STMT 4		29,798.	29,798.		0.
17 Interest					
18 Taxes STMT 5		9,668.	0.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 6		25.	0.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		42,831.	29,798.		0.
25 Contributions, gifts, grants paid		378,000.			378,000.
26 Total expenses and disbursements. Add lines 24 and 25		420,831.	29,798.		378,000.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-221,939.			
b Net investment income (if negative enter -0-)			169,094.		
c Adjusted net income (if negative, enter -0-)				N/A	

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WOCKNER FOUNDATION
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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		133,966.	50,380.	50,380.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations STMT 7		117,698.	117,585.	141,175.
	b	Investments - corporate stock STMT 8		2,499,659.	2,364,652.	3,456,954.
	c	Investments - corporate bonds STMT 9		1,515,295.	1,512,062.	1,547,307.
	11	Investments - land, buildings, and equipment basis ▶				
	Less accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other					
14	Land, buildings, and equipment basis ▶					
	Less accumulated depreciation ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		4,266,618.	4,044,679.	5,195,816.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)		0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>					
	and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>					
	and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds		4,266,618.	4,044,679.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds		0.	0.	
30	Total net assets or fund balances		4,266,618.	4,044,679.		
31	Total liabilities and net assets/fund balances		4,266,618.	4,044,679.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,266,618.
2	Enter amount from Part I, line 27a	2	-221,939.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	4,044,679.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	4,044,679.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a KEY BANK - SEE ATTACHED STMT	P	VARIOUS	VARIOUS
b KRAFT FOODS GROUP INC - CASH PROCEEDS DUE TO			
c MERGER	P	VARIOUS	07/06/15
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,080,116.		1,007,111.	73,005.
b			
c 8,250.			8,250.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			73,005.
b			
c			8,250.
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	81,255.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	456,000.	5,713,560.	.079810
2013	514,394.	5,752,019.	.089428
2012	577,676.	5,697,641.	.101389
2011	588,562.	5,701,428.	.103231
2010	475,740.	6,030,288.	.078892

2 Total of line 1, column (d)	2	.452750
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.090550
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	5,304,443.
5 Multiply line 4 by line 3	5	480,317.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,691.
7 Add lines 5 and 6	7	482,008.
8 Enter qualifying distributions from Part XII, line 4	8	378,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	3,382.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	3,382.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	3,382.
6	Credits/Payments:		
a	2015 estimated tax payments and 2014 overpayment credited to 2015	6a	6,640.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	6,640.
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	3,258.
11	Enter the amount of line 10 to be: Credited to 2016 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> <u>WA</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X
14 The books are in care of ► HAGEN, KURTH, PERMAN & CO P.S. Telephone no. ► 206-682-9200 Located at ► 1111 THIRD AVENUE, SUITE 800, SEATTLE, WA ZIP+4 ► 98101		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A		
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► N/A	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►	2b	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4a	X
	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
FRANCES A WOCKNER	TRUSTEE			
1111 THIRD AVE, SUITE 800				
SEATTLE, WA 98101	8.00	0.	0.	0.
MICHAEL R BAUER	MANAGING TRUSTEE/SECRETARY			
1111 THIRD AVE, SUITE 800				
SEATTLE, WA 98101	2.00	0.	0.	0.
BETSY MAXWELL	TRUSTEE			
1111 THIRD AVE, SUITE 800				
SEATTLE, WA 98101	2.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	5,232,324.
b	Average of monthly cash balances	1b	152,897.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	5,385,221.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	5,385,221.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	80,778.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,304,443.
6	Minimum investment return. Enter 5% of line 5	6	265,222.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	265,222.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	3,382.
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	3,382.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	261,840.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	261,840.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	261,840.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	378,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	378,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	378,000.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				261,840.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2015:				
a From 2010	176,746.			
b From 2011	306,367.			
c From 2012	295,442.			
d From 2013	234,005.			
e From 2014	176,960.			
f Total of lines 3a through e	1,189,520.			
4 Qualifying distributions for 2015 from Part XII, line 4: ▶ \$	378,000.			
a Applied to 2014, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2015 distributable amount				261,840.
e Remaining amount distributed out of corpus	116,160.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	1,305,680.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7	176,746.			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	1,128,934.			
10 Analysis of line 9:				
a Excess from 2011	306,367.			
b Excess from 2012	295,442.			
c Excess from 2013	234,005.			
d Excess from 2014	176,960.			
e Excess from 2015	116,160.			

WOCKNER FOUNDATION

Form 990-PF (2015)

C/O HKP & CO, P.S.

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Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ARC OF SNOHOMISH COUNTY 2500 HEWITT AVE SUITE 300 EVERETT, WA 98201	N/A	PUBLICLY SUPPORTED ORGNIZATION	TO FUND PROGRAMS THAT PROVIDE ASSISSTANCE TO INDIVIDUALS WITH DEVELOPMENTAL DISABILITIES	10,000.
ASSISTANCE LEAGUE OF EASTSIDE 16541 REDMOND WAY REDMOND, WA 98052	N/A	PUBLICLY SUPPORTED ORGNIZATION	TO BUY CLOTHES FOR CHILDREN	25,000.
BOYER CHILDRENS CLINIC 1850 BOYER AVENUE E SEATTLE, WA 98112	N/A	PUBLICLY SUPPORTED ORGNIZATION	TO SUPPORT CHILDREN WITH SPECIAL NEEDS AND THEIR FAMILIES	20,000.
FULL LIFE ELDERHEALTH NORTHWEST 800 JEFFERSON ST, SUITE 620 SEATTLE, WA 98104	N/A	PUBLICLY SUPPORTED ORGNIZATION	TO FUND NURSING HOME RELOCATION PROJECT	20,000.
EVERGREEN HEALTH FOUNDATION 12333 NE 130TH LANE, SUITE 300 KIRKLAND, WA 98034	N/A	PUBLICLY SUPPORTED ORGNIZATION	TO FUND EVERGREEN HEALTH HOSPITAL	13,000.
Total			SEE CONTINUATION SHEET(S) ▶ 3a	378,000.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Enter gross amounts unless otherwise indicated.

(See worksheet in line 13 instructions to verify calculations.)

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
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Part XVII

	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

Form **990-PF** (2015)

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HOPELINK 10675 WILLOWS RD NE, SUITE 275 REDMOND, WA 98052	N/A	PUBLICLY SUPPORTED ORGANIZATION	TO FUND LOCAL FOODBANK AND EMERGENCY SERVICES	20,000.
HOUSING HOPE 5830 EVERGREEN WAY EVERETT, WA 98203	N/A	PUBLICLY SUPPORTED ORGANIZATION	TO SUPPORT ACTIVITIES OF HOUSING HOPE	10,000.
HUMANITIES WASHINGTON 615 SECOND AVE, SUITE 300 SEATTLE, WA 98104	N/A	PUBLICLY SUPPORTED ORGANIZATION	TO BUY BOOKS FOR LOW INCOME FAMILIES	40,000.
JET CITY IMPROV 5510 UNIVERSITY WAY NE SEATTLE, WA 98105	N/A	PUBLICLY SUPPORTED ORGANIZATION	TO FUND THE IMPROVISATIONAL COMEDY PROGRAM FOR COMMUNITY ENJOYMENT	5,000.
JUNIOR ACHIEVEMENT OF WASHINGTON 1700 WESTLAKE AVE N, SUITE 400 SEATTLE, WA 98109	N/A	PUBLICLY SUPPORTED ORGANIZATION	TO FUND EDUCATIONAL SERVICES TO CHILDREN	10,000.
LAKE WASHINGTON SCHOOLS FOUNDATION PO BOX 83 REDMOND, WA 98073	N/A	PUBLICLY SUPPORTED ORGANIZATION	TO FUND EDUCATIONAL SERVICES TO CHILDREN	7,500.
LITTLE BIT THERAPEUTIC RIDING CENTER 19802 NE 148TH STREET WOODINVILLE, WA 98077	N/A	PUBLICLY SUPPORTED ORGANIZATION	TO FUND THE HIPPO THERAPY PROGRAM EXPANSION	20,000.
NW SCHOOL FOR HEARING IMPAIRED CHILDREN PO BOX 31325 SEATTLE, WA 98103	N/A	PUBLICLY SUPPORTED ORGANIZATION	SCHOLARSHIP FOR DEAF AND HARD-OF-HEARING CHILDREN	30,000.
PROVIDENCE HOSPICE & HOME CARE FDN SNOHOMISH COUNTY 2731 WETMORE AVE, SUITE 500 EVERETT, WA 98201	N/A	PUBLICLY SUPPORTED ORGANIZATION	TO FUND VITAL PATIENT CARE SERVICES TO CHILDREN	15,000.
PROVIDENCE HOSPICE OF SEATTLE 425 PONTIUS AVE N, SUITE 300 SEATTLE, WA 98109	N/A	PUBLICLY SUPPORTED ORGANIZATION	TO FUND VITAL PATIENT CARE SERVICES TO CHILDREN	25,000.
Total from continuation sheets				290,000.

WOCKNER FOUNDATION
C/O HKP & CO, P.S.

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Part XV . **Supplementary Information**

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PROVIDENCE MARIANWOOD 3725 PROVIDENCE POINT DRIVE SE ISSAQUAH, WA 98029	N/A	PUBLICLY SUPPORTED ORGANIZATION	TO FUND VITAL PATIENT CARE SERVICES TO ELDERLY	10,000.
RAVEN ROCK RANCH 20503 NE 122ND ST REDMOND, WA 98053	N/A	PUBLICLY SUPPORTED ORGANIZATION	TO FUND HEAL FACILITATOR TRAINING PROGRAM	20,000.
ROOM CIRCUS MEDICAL CLOWNING PO BOX 28541 SEATTLE, WA 98118	N/A	PUBLICLY SUPPORTED ORGANIZATION	TO FUND FOR ROOM CIRCUS MEDICAL CLOWNING PROGRAM AT SEATTLE CHILDREN'S HOSPITAL.	7,500.
RYTHER CHILD CARE 2400 NE 95TH ST SEATTLE, WA 98115	N/A	PUBLICLY SUPPORTED ORGANIZATION	TO FUND RECREATIONAL OUTINGS FOR CHILDREN	20,000.
SENIOR SERVICES OF SNOHOMISH COUNTY 8225 44TH AVE W, SUITE O MUKILTEO, WA 98275	N/A	PUBLICLY SUPPORTED ORGANIZATION	TO FUND SENIOR SERVICES	30,000.
WOODLAND PARK ZOO 601 N 59TH ST SEATTLE, WA 98103	N/A	PUBLICLY SUPPORTED ORGANIZATION	TO FUND RECREATIONAL OUTINGS FOR CHILDREN	20,000.
Total from continuation sheets				



Account Statement

WOCKNER FOUNDATION TRUST AGTR 1479060
July 01, 2015 - June 30, 2016

Realized Gain/Loss from Sales

Base Currency: USD

Description	Settle Date	Trade Date	Units Sold	Proceeds	Tax Cost	Short Term Gain/Loss	Long Term Gain/Loss
GENERAL ELECT CAP CORP MED TERM NT DTD 11/09/10 2 25% DUE 11/09/15			-50,000 0000	50,000 00	-50,164 09	0 00	-164 09
ENERGIZER HOLDINGS INC COM	11/20/2015	11/17/2015	-200 0000	7,231 86	-4,482 08	0 00	2,749 78
ALPHABET INC COM CL C	11/20/2015	11/17/2015	-47 0000	34,053 22	-9,003 00	0 00	25,050 22
WILLIAMS COS INC DEL COM	11/20/2015	11/17/2015	-500 0000	18,719 65	-23,054 50	-4,334 85	0 00
EDGEWELL PERSONAL CARE CO COM	11/20/2015	11/17/2015	-200 0000	15,108 72	-12,960 30	0 00	2,148 42
CHEVRON CORP COM	11/20/2015	11/17/2015	-485 0000	44,049 01	-50,895 85	0 00	-6,846 84
KRAFT HEINZ CO COM	11/20/2015	11/17/2015	-500 0000	35,699 34	-20,189 20	0 00	15,510 14
ISHARES IBOXX \$INVESTMENT BD FD CLOSED-END FUND	11/23/2015	11/18/2015	-865 0000	99,597 29	-101,265 38	0 00	-1,668 09
MCKESSON CORP COM	02/04/2016	02/01/2016	-60 0000	9,474 43	-4,719 59	0 00	4,754 84
HENRY SCHEIN INC COM	02/04/2016	02/01/2016	-85 0000	12,975 01	-4,731 95	0 00	8,243 06
MEMORIAL RESOURCE DEVELOPMENT CORP COM	02/04/2016	02/01/2016	-1,000 0000	15,539 81	-20,433 80	-4,893 99	0 00
MONDELEZ INTERNATIONAL INC COM CL A	02/04/2016	02/01/2016	-285 0000	12,172 12	-12,485 85	-313 73	0 00
JP MORGAN CHASE & CO COM	02/04/2016	02/01/2016	-130 0000	7,617 85	-5,158 22	0 00	2,459 63





Account Statement

WOCKNER FOUNDATION TRUST AGTR 1479060
July 01, 2015 - June 30, 2016

Realized Gain/Loss from Sales (Continued)

Description	Settle Date	Trade Date	Units Sold	Proceeds	Tax Cost	Base Currency USD	
						Short Term Gain/Loss	Long Term Gain/Loss
WALT DISNEY CO COM	02/04/2016	02/01/2016	-75 0000	7,141 36	-2,501 25	0 00	4,640 11
ALBEMARLE CORP COM	02/04/2016	02/01/2016	-425 0000	22,201 63	-26,860 00	-4,658 37	0 00
COSTCO WHOLESALE CORP COM	02/04/2016	02/01/2016	-100 0000	15,052 75	-5,998 86	0 00	9,053 89
ISHARES CORE S&P MID-CAP ETF CLOSED-END FUND	05/20/2016	05/17/2016	-415 0000	60,327 23	-41,250 57	0 00	19,076 66
ISHARES CORE S&P SMALL-CAP ETF CLOSED-END FUND	05/20/2016	05/17/2016	-135 0000	15,165 56	-8,567 09	0 00	6,598 47
INTEL CORP SENIOR NT DTD 09/19/11 1 95% DUE 10/01/16	05/27/2016	05/24/2016	-50,000 0000	50,221 00	-50,464 70	0 00	-243 70
CMS ENERGY CORP COM	05/27/2016	05/24/2016	-150 0000	6,139 38	-3,451 49	0 00	2,687 89
ZIONS BANCORP COM	05/27/2016	05/24/2016	-200 0000	5,523 89	-4,121 98	0 00	1,401 91
WELLS FARGO CO COM	05/27/2016	05/24/2016	-250 0000	12,307 23	-11,580 50	0 00	726 73
SEMPRA ENERGY COM	05/27/2016	05/24/2016	-145 0000	14,970 93	-14,578 30	0 00	392 63
CINCINNATI FINANCIAL CORP COM	05/27/2016	05/24/2016	-200 0000	13,724 72	-7,065 98	0 00	6,658 74
WALT DISNEY CO COM	05/27/2016	05/24/2016	-50 0000	4,991 39	-1,667 50	0 00	3,323 89



Key Private Bank**Account Statement**WOCKNER FOUNDATION TRUST AGTR 1479060
July 01, 2015 - June 30, 2016**Realized Gain/Loss from Sales** (Continued)

Base Currency USD

Description	Settle Date	Trade Date	Units Sold	Proceeds	Tax Cost	Short Term Gain/Loss	Long Term Gain/Loss
COCA COLA CO COM	05/27/2016	05/24/2016	-200 0000	8,851 80	-6,466 99	0 00	2,384 81
ORACLE CORP COM	05/27/2016	05/24/2016	-125 0000	5,001 14	-2,407 50	0 00	2,593 64
T ROWE PRICE GROUP INC COM	05/27/2016	05/24/2016	-150 0000	11,471 34	-7,121 99	0 00	4,349 35
PRICELINE GROUP INC COM	05/27/2016	05/24/2016	-5 0000	6,285 66	-1,624 30	0 00	4,661 36
THERMO FISHER SCIENTIFIC INC COM	05/27/2016	05/24/2016	-35 0000	5,269 13	-1,549 70	0 00	3,719 43
PFIZER INC COM	05/27/2016	05/24/2016	-300 0000	10,199 77	-9,656 97	0 00	542 80
ALPHABET INC COM CL A	05/27/2016	05/24/2016	-15 0000	10,970 61	-3,231 30	0 00	7,739 31
UNITED TECHNOLOGIES CORP COM	05/27/2016	05/24/2016	-125 0000	12,503 47	-14,250 00	0 00	-1,746 53
DANAHER CORP DEL COM	05/27/2016	05/24/2016	-100 0000	10,016 78	-7,808 80	0 00	2,207 98
ACCENTURE PLC FGN COM CL A	05/27/2016	05/24/2016	-15 0000	1,775 66	-1,051 80	0 00	723 86
MICROSOFT CORP COM	05/27/2016	05/24/2016	-100 0000	5,155 88	-5,462 97	-307 09	0 00
MERCK & CO INC COM	05/27/2016	05/24/2016	-200 0000	11,143 75	-7,858 00	0 00	3,285 75
CELGENE CORP COM	05/27/2016	05/24/2016	-65 0000	6,739 05	-1,832 02	0 00	4,907 03





Account Statement

WOCKNER FOUNDATION TRUST AGTR 1479060
July 01, 2015 - June 30, 2016

Realized Gain/Loss from Sales (Continued)

Description	Settle Date	Trade Date	Units Sold	Proceeds	Tax Cost	Base Currency USD	
						Short Term Gain/Loss	Long Term Gain/Loss
ISHARES MSCI EMERGING MKTS INDEX CLOSED-END FUND	06/20/2016	06/15/2016	-4,110 0000	136,510 67	-177,226 10	0 00	-40,715 43
ISHARES MSCI EAFE INDEX FUND CLOSED-END FUND	06/20/2016	06/15/2016	-4,450 0000	248,216 03	-261,910 20	0 00	-13,694 17
Total Realized Gain/Loss from Sales				\$1,080,116.12	\$-1,007,110 67	\$-14,508 03	\$87,513 48

*This schedule does not include the impact of any taxable corporate action activity. This is for informational purposes only and is not for tax advice. Please see your tax advisor for tax advice.
Gain/loss information reported on common funds may not reflect all accrued gain/loss basis adjustments.*



FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
BANK OF AMERICA	2.	2.	
KEY BANK	35,660.	35,660.	
KEY BANK - BOND PREMIUM AMORTIZATION AND ACCRETION	-714.	-714.	
TOTAL TO PART I, LINE 3	34,948.	34,948.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
KEY BANK	82,689.	0.	82,689.	82,689.	
TO PART I, LINE 4	82,689.	0.	82,689.	82,689.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	3,340.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	3,340.	0.		0.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
KEY BANK	29,798.	29,798.			0.
TO FORM 990-PF, PG 1, LN 16C	29,798.	29,798.			0.

FORM 990-PF	TAXES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FEDERAL EXCISE TAX	9,668.	0.			0.
TO FORM 990-PF, PG 1, LN 18	9,668.	0.			0.

FORM 990-PF	OTHER EXPENSES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
STATE FILING FEE	25.	0.			0.
TO FORM 990-PF, PG 1, LN 23	25.	0.			0.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	7
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
KEY BANK	X		117,585.	141,175.
TOTAL U.S. GOVERNMENT OBLIGATIONS			117,585.	141,175.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			117,585.	141,175.

FORM 990-PF	CORPORATE STOCK	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
KEY BANK	2,364,652.	3,456,954.
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,364,652.	3,456,954.

FORM 990-PF	CORPORATE BONDS	STATEMENT	9
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
KEY BANK	1,512,062.	1,547,307.
TOTAL TO FORM 990-PF, PART II, LINE 10C	1,512,062.	1,547,307.
