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## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

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► Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning

JUL 1, 2015

, and ending

JUN 30, 2016

Name of foundation

WOCKNER FOUNDATION  
C/O HKP & CO, P.S.

A Employer identification number

42-1624231

Number and street (or P O box number if mail is not delivered to street address)

1111 THIRD AVENUE, SUITE 800

Room/suite

City or town, state or province, country, and ZIP or foreign postal code

SEATTLE, WA 98101

B Telephone number  
(206) 682-9200

G Check all that apply:

Initial return       Initial return of a former public charity  
 Final return       Amended return  
 Address change       Name change

C If exemption application is pending, check here ► H Check type of organization:  Section 501(c)(3) exempt private foundation Section 4947(a)(1) nonexempt charitable trust       Other taxable private foundationD 1. Foreign organizations, check here ► 2. Foreign organizations meeting the 85% test, check here and attach computation ► I Fair market value of all assets at end of year  
(from Part II, col (c), line 16)

► \$ 5,195,816. (Part I, column (d) must be on cash basis)

J Accounting method:  Cash       Accrual  
 Other (specify)E If private foundation status was terminated under section 507(b)(1)(A), check here ► F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► 

## Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received			N/A	
2 Check ► <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	34,948.	34,948.		STATEMENT 1
4 Dividends and interest from securities	82,689.	82,689.		STATEMENT 2
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	81,255.			
b Gross sales price for all assets on line 6a	1,088,366.			
7 Capital gain net income (from Part IV, line 2)		81,255.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income				
12 Total. Add lines 1 through 11	198,892.	198,892.		
13 Compensation of officers, directors, trustees, etc	0.	0.		0.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees				
b Accounting fees	STMT 3	3,340.	0.	0.
c Other professional fees	STMT 4	29,798.	29,798.	0.
17 Interest				
18 Taxes	NOV 20 2016	STMT 5	9,668.	0.
19 Depreciation and depletion				0.
20 Occupancy				
21 Travel, conferences, and meetings	NOV 20 2016	UT		
22 Printing and publications				
23 Other expenses	STMT 6	25.	0.	0.
24 Total operating and administrative expenses. Add lines 13 through 23		42,831.	29,798.	0.
25 Contributions, gifts, grants paid		378,000.		378,000.
26 Total expenses and disbursements. Add lines 24 and 25		420,831.	29,798.	378,000.
27 Subtract line 26 from line 12:		-221,939.		
a Excess of revenue over expenses and disbursements				
b Net investment income (if negative enter -0-)		169,094.		
c Adjusted net income (if negative, enter -0-)			N/A	

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments		133,966.	50,380.
	3	Accounts receivable ►			
		Less: allowance for doubtful accounts ►			
	4	Pledges receivable ►			
		Less: allowance for doubtful accounts ►			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ►			
		Less: allowance for doubtful accounts ►			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations	STMT 7	117,698.	117,585.
	b	Investments - corporate stock	STMT 8	2,499,659.	2,364,652.
	c	Investments - corporate bonds	STMT 9	1,515,295.	1,512,062.
	11	Investments - land, buildings, and equipment basis ►			
		Less accumulated depreciation ►			
	12	Investments - mortgage loans			
	13	Investments - other			
	14	Land, buildings, and equipment basis ►			
		Less accumulated depreciation ►			
	15	Other assets (describe ► )			
	16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		4,266,618.	4,044,679.
					5,195,816.
	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ► )			
	23	Total liabilities (add lines 17 through 22)		0.	0.
		Foundations that follow SFAS 117, check here ► <input type="checkbox"/>			
		and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ► <input checked="" type="checkbox"/>			
		and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds		4,266,618.	4,044,679.
	28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.
	29	Retained earnings, accumulated income, endowment, or other funds		0.	0.
	30	Total net assets or fund balances		4,266,618.	4,044,679.
	31	Total liabilities and net assets/fund balances		4,266,618.	4,044,679.

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,266,618.
2	Enter amount from Part I, line 27a	2	-221,939.
3	Other increases not included in line 2 (itemize) ►	3	0.
4	Add lines 1, 2, and 3	4	4,044,679.
5	Decreases not included in line 2 (itemize) ►	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	4,044,679.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a KEY BANK - SEE ATTACHED STMT			P	VARIOUS	VARIOUS
b KRAFT FOODS GROUP INC - CASH PROCEEDS DUE TO					
c MERGER			P	VARIOUS	07/06/15
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 1,080,116.		1,007,111.	73,005.		
b					
c 8,250.			8,250.		
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h)) gain minus col. (k), but not less than -0- or Losses (from col. (h))		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a			73,005.		
b					
c			8,250.		
d					
e					
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	81,255.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8				3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	456,000.	5,713,560.	.079810
2013	514,394.	5,752,019.	.089428
2012	577,676.	5,697,641.	.101389
2011	588,562.	5,701,428.	.103231
2010	475,740.	6,030,288.	.078892
2 Total of line 1, column (d)			2 .452750
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 .090550
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5			4 5,304,443.
5 Multiply line 4 by line 3			5 480,317.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 1,691.
7 Add lines 5 and 6			7 482,008.
8 Enter qualifying distributions from Part XII, line 4			8 378,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

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**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1.	► <input type="checkbox"/>	Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)	1	3,382.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input type="checkbox"/> and enter 1% of Part I, line 27b				
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)				
3 Add lines 1 and 2				
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	► <input type="checkbox"/>	5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	2	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-				
6 Credits/Payments:				
a 2015 estimated tax payments and 2014 overpayment credited to 2015				
b Exempt foreign organizations - tax withheld at source				
c Tax paid with application for extension of time to file (Form 8868)	► <input type="checkbox"/>	6a 6,640.	3	3,382.
d Backup withholding erroneously withheld				
7 Total credits and payments. Add lines 6a through 6d				
8 Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached				
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>				
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	► <input type="checkbox"/>	10 3,258.	4	0.
11 Enter the amount of line 10 to be: <b>Credited to 2016 estimated tax</b> ► <input type="checkbox"/>				

**Part VII-A Statements Regarding Activities**

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?  
*If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*

c Did the foundation file Form 1120-POL for this year?

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:  
(1) On the foundation. ► \$ 0. (2) On foundation managers. ► \$ 0.

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ 0.

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?  
*If "Yes," attach a detailed description of the activities.*

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*

4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

b If "Yes," has it filed a tax return on Form 990-T for this year?

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?  
*If "Yes," attach the statement required by General Instruction T*

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:  
• By language in the governing instrument, or  
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the foundation have at least \$5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*

8a Enter the states to which the foundation reports or with which it is registered (see instructions) ►   
**WA**

b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If "Yes," complete Part XIV*

10 Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*

	Yes	No
1a	X	
1b	X	
1c		X
2		X
3		X
4a		X
4b		
5		X
6	X	
7	X	
8b	X	
9		X
10		X

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**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	<input type="checkbox"/> 11	<input checked="" type="checkbox"/> X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <b>N/A</b>	<input type="checkbox"/> 12	<input checked="" type="checkbox"/> X
14	The books are in care of ► <b>HAGEN, KURTH, PERMAN &amp; CO P.S.</b> Telephone no. ► <b>206-682-9200</b> Located at ► <b>1111 THIRD AVENUE, SUITE 800, SEATTLE, WA</b> ZIP+4 ► <b>98101</b>	<input type="checkbox"/> 13	<input checked="" type="checkbox"/> X
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	<input type="checkbox"/> 15	<input type="checkbox"/> N/A
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	<input type="checkbox"/> 16	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

<b>File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.</b>		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
1a	During the year did the foundation (either directly or indirectly):	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ►	<b>N/A</b>	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	<b>1b</b>	
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):	<b>1c</b> <input type="checkbox"/> X	
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	<b>2b</b> <input type="checkbox"/> N/A	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►	<b>3b</b> <input type="checkbox"/> N/A	
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015)	<b>4a</b> <input type="checkbox"/> X	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	<b>3b</b> <input type="checkbox"/> N/A	
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	<b>4b</b> <input type="checkbox"/> X	

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**Part VII-B | Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No  
 Yes  No
- (3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)  Yes  No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A



5b

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  N/A  Yes  No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

6b



b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes  No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  N/A

7b

**Part VIII | Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
FRANCES A WOCKNER 1111 THIRD AVE, SUITE 800 SEATTLE, WA 98101	TRUSTEE 8.00	0.	0.	0.
MICHAEL R BAUER 1111 THIRD AVE, SUITE 800 SEATTLE, WA 98101	MANAGING TRUSTEE / SECRETARY 2.00	0.	0.	0.
BETSY MAXWELL 1111 THIRD AVE, SUITE 800 SEATTLE, WA 98101	TRUSTEE 2.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000



0

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**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ► 0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
<b>Total.</b> Add lines 1 through 3 ► 0.	

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11-24-15

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**Part X**

**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	5,232,324.
b Average of monthly cash balances	1b	152,897.
c Fair market value of all other assets	1c	
d Total (add lines 1a, b, and c)	1d	5,385,221.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	5,385,221.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	80,778.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,304,443.
6 Minimum investment return. Enter 5% of line 5	6	265,222.

**Part XI**

**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ►  and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	265,222.
2a Tax on investment income for 2015 from Part VI, line 5	2a	3,382.
b Income tax for 2015. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	3,382.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	261,840.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	261,840.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	261,840.

**Part XII**

**Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	378,000.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	378,000.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	378,000.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2015)

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**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				261,840.
2 Undistributed income, if any, as of the end of 2015			0.	
a Enter amount for 2014 only				
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2015:				
a From 2010	176,746.			
b From 2011	306,367.			
c From 2012	295,442.			
d From 2013	234,005.			
e From 2014	176,960.			
f Total of lines 3a through e	1,189,520.			
4 Qualifying distributions for 2015 from Part XII, line 4: ► \$ 378,000.			0.	
a Applied to 2014, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2015 distributable amount				261,840.
e Remaining amount distributed out of corpus	116,160.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	1,305,680.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0.	
d Subtract line 6c from line 6b. Taxable amount - see instructions			0.	
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr.				0.
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7	176,746.			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	1,128,934.			
10 Analysis of line 9:				
a Excess from 2011	306,367.			
b Excess from 2012	295,442.			
c Excess from 2013	234,005.			
d Excess from 2014	176,960.			
e Excess from 2015	116,160.			



WOCKNER FOUNDATION  
C/O HKP & CO, P.S.

Form 990-PF (2015)

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**Part XV** **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
ARC OF SNOHOMISH COUNTY 2500 HEWITT AVE SUITE 300 EVERETT, WA 98201	N/A	PUBLICLY SUPPORTED ORGNIZATION	TO FUND PROGRAMS THAT PROVIDE ASSISSTANCE TO INDIVIDUALS WITH DEVELOPMENTAL DISABILITIES	10,000.
ASSISTANCE LEAGUE OF EASTSIDE 16541 REDMOND WAY REDMOND, WA 98052	N/A	PUBLICLY SUPPORTED ORGNIZATION	TO BUY CLOTHES FOR CHILDREN	25,000.
BOYER CHILDRENS CLINIC 1850 BOYER AVENUE E SEATTLE, WA 98112	N/A	PUBLICLY SUPPORTED ORGNIZATION	TO SUPPORT CHILDREN WITH SPECIAL NEEDS AND THEIR FAMILIES	20,000.
FULL LIFE ELDERHEALTH NORTHWEST 800 JEFFERSON ST, SUITE 620 SEATTLE, WA 98104	N/A	PUBLICLY SUPPORTED ORGNIZATION	TO FUND NURSING HOME RELOCATION PROJECT	20,000.
EVERGREEN HEALTH FOUNDATION 12333 NE 130TH LANE, SUITE 300 KIRKLAND, WA 98034	N/A	PUBLICLY SUPPORTED ORGNIZATION	TO FUND EVERGREEN HEALTH HOSPITAL	13,000.
<b>Total</b>	<b>SEE CONTINUATION SHEET(S)</b>			► 3a 378,000.
<b>b Approved for future payment</b>				
NONE				
<b>Total</b>				► 3b 0.

Form 990-PF (2015)

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## Part XVI-A Analysis of Income-Producing Activities

**Enter gross amounts unless otherwise indicated.**

	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments				14	34,948.
4 Dividends and interest from securities				14	82,689.
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory				18	81,255.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		198,892.	0.
13 Total. Add line 12, columns (b), (d), and (e)					198,892.
(See worksheet in line 13 instructions to verify calculations.)				13	

## **Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

**Part XVII** Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization of:

### (1) Cash

(2) Other assets

Other transactions:

- (1) Sales of assets to a noncharitable exempt organization
- (2) Purchases of assets from a noncharitable exempt organization
- (3) Rental of facilities, equipment, or other assets
- (4) Reimbursement arrangements
- (5) Loans or loan guarantees
- (6) Performance of services or membership or fundraising solicitation

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

Yes  No

b. If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign  
Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

May the IRS discuss this return with the preparer shown below (see instr.)?

Signature of officer or trustee		Date	Date		
<b>Paid Preparer Use Only</b>	Print/Type preparer's name <b>MARY ANNE PETESCH, CPA</b>	Preparer's signature <i>Mary Anne Petesch</i>	Date <b>11/18/16</b>	Check <input type="checkbox"/> if self-employed	PTIN <b>P00044630</b>
	Firm's name ► <b>HAGEN, KURTH, PERMAN &amp; CO., P.S.</b>			Firm's EIN ► <b>91-1233794</b>	
	Firm's address ► <b>1111 THIRD AVE., SUITE 800 SEATTLE, WA 98101-3216</b>			Phone no. <b>206 682-9200</b>	

## Part XV | Supplementary Information

## 3 Grants and Contributions Paid During the Year (Continuation)

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HOPELINK 10675 WILLOWS RD NE, SUITE 275 REDMOND, WA 98052	N/A	PUBLICLY SUPPORTED ORGNIZATION	TO FUND LOCAL FOODBANK AND EMERGENCY SERVICES	20,000.
HOUSING HOPE 5830 EVERGREEN WAY EVERETT, WA 98203	N/A	PUBLICLY SUPPORTED ORGNIZATION	TO SUPPORT ACTIVITIES OF HOUSING HOPE	10,000.
HUMANITIES WASHINGTON 615 SECOND AVE, SUITE 300 SEATTLE, WA 98104	N/A	PUBLICLY SUPPORTED ORGNIZATION	TO BUY BOOKS FOR LOW INCOME FAMILIES	40,000.
JET CITY IMPROV 5510 UNIVERSITY WAY NE SEATTLE, WA 98105	N/A	PUBLICLY SUPPORTED ORGNIZATION	TO FUND THE IMPROVISATIONAL COMEDY PROGRAM FOR COMMUNITIY ENJOYMENT	5,000.
JUNIOR ACHIEVEMENT OF WASHINGTON 1700 WESTLAKE AVE N, SUITE 400 SEATTLE, WA 98109	N/A	PUBLICLY SUPPORTED ORGNIZATION	TO FUND EDUCATIONAL SERVICES TO CHILDREN	10,000.
LAKE WASHINGTON SCHOOLS FOUNDATION PO BOX 83 REDMOND, WA 98073	N/A	PUBLICLY SUPPORTED ORGNIZATION	TO FUND EDUCATIONAL SERVICES TO CHILDREN	7,500.
LITTLE BIT THERAPEUTIC RIDING CENTER 19802 NE 148TH STREET WOODINVILLE, WA 98077	N/A	PUBLICLY SUPPORTED ORGNIZATION	TO FUND THE HIPPOTHERAPY PROGRAM EXPANSION	20,000.
NW SCHOOL FOR HEARING IMPAIRED CHILDREN PO BOX 31325 SEATTLE, WA 98103	N/A	PUBLICLY SUPPORTED ORGNIZATION	SCHOLARSHIP FOR DEAF AND HARD-OF-HEARING CHILDREN	30,000.
PROVIDENCE HOSPICE & HOME CARE FDN SNOHOMISH COUNTY 2731 WETMORE AVE, SUITE 500 EVERETT, WA 98201	N/A	PUBLICLY SUPPORTED ORGNIZATION	TO FUND VITAL PATIENT CARE SERVICES TO CHILDREN	15,000.
PROVIDENCE HOSPICE OF SEATTLE 425 PONTIUS AVE N, SUITE 300 SEATTLE, WA 98109	N/A	PUBLICLY SUPPORTED ORGNIZATION	TO FUND VITAL PATIENT CARE SERVICES TO CHILDREN	25,000.
Total from continuation sheets				290,000.

Part XV. Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PROVIDENCE MARIANWOOD 3725 PROVIDENCE POINT DRIVE SE ISSAQAH, WA 98029	N/A	PUBLICLY SUPPORTED ORGNIZATION	TO FUND VITAL PATIENT CARE SERVICES TO ELDERLY	10,000.
RAVEN ROCK RANCH 20503 NE 122ND ST REDMOND, WA 98053	N/A	PUBLICLY SUPPORTED ORGNIZATION	TO FUND HEAL FACILITATOR TRAINING PROGRAM	20,000.
ROOM CIRCUS MEDICAL CLOWNING PO BOX 28541 SEATTLE, WA 98118	N/A	PUBLICLY SUPPORTED ORGNIZATION	TO FUND FOR ROOM CIRCUS MEDICAL CLOWNING PROGRAM AT SEATTLE CHILDREN'S HOSPITAL.	7,500.
RYTHER CHILD CARE 2400 NE 95TH ST SEATTLE, WA 98115	N/A	PUBLICLY SUPPORTED ORGNIZATION	TO FUND RECREATIONAL OUTINGS FOR CHILDREN	20,000.
SENIOR SERVICES OF SNOHOMISH COUNTY 8225 44TH AVE W, SUITE O MUKILTEO, WA 98275	N/A	PUBLICLY SUPPORTED ORGNIZATION	TO FUND SENIOR SERVICES	30,000.
WOODLAND PARK ZOO 601 N 59TH ST SEATTLE, WA 98103	N/A	PUBLICLY SUPPORTED ORGNIZATION	TO FUND RECREATIONAL OUTINGS FOR CHILDREN	20,000.
Total from continuation sheets				



## Account Statement

WOCKNER FOUNDATION TRUST AGTR 1479060  
July 01, 2015 - June 30, 2016

Realized Gain/Loss from Sales							Base Currency: USD
Description	Settle Date	Trade Date	Units Sold	Proceeds	Tax Cost	Short Term Gain/Loss	Long Term Gain/Loss
GENERAL ELECT CAP CORP MED TERM NT DTD 11/09/10 2 25% DUE 11/09/15			-50,000 0000	50,000 00	-50,164 09	0 00	-164 09
ENERGIZER HOLDINGS INC COM	11/20/2015	11/17/2015	-200 0000	7,231 86	-4,482 08	0 00	2,749 78
ALPHABET INC COM CL C	11/20/2015	11/17/2015	-47 0000	34,053 22	-9,003 00	0 00	25,050 22
WILLIAMS COS INC DEL COM	11/20/2015	11/17/2015	-500 0000	18,719 65	-23,054 50	-4,334 85	0 00
EDGEWELL PERSONAL CARE CO COM	11/20/2015	11/17/2015	-200 0000	15,108 72	-12,960 30	0 00	2,148 42
CHEVRON CORP COM	11/20/2015	11/17/2015	-485 0000	44,049 01	-50,895 85	0 00	-6,846 84
KRAFT HEINZ CO COM	11/20/2015	11/17/2015	-500 0000	35,699 34	-20,189 20	0 00	15,510 14
ISHARES IBOXX \$INVESTMENT BD FD CLOSED-END FUND	11/23/2015	11/18/2015	-865 0000	99,597 29	-101,265 38	0 00	-1,668 09
MCKESSON CORP COM	02/04/2016	02/01/2016	-60 0000	9,474 43	-4,719 59	0 00	4,754 84
HENRY SCHEIN INC COM	02/04/2016	02/01/2016	-85 0000	12,975 01	-4,731 95	0 00	8,243 06
MEMORIAL RESOURCE DEVELOPMENT CORP COM	02/04/2016	02/01/2016	-1,000 0000	15,539 81	-20,433 80	-4,893 99	0 00
MONDELEZ INTERNATIONAL INC COM CL A	02/04/2016	02/01/2016	-285 0000	12,172 12	-12,485 85	-313 73	0 00
JP MORGAN CHASE & CO COM	02/04/2016	02/01/2016	-130 0000	7,617 85	-5,158 22	0 00	2,459 63

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## Account Statement

WOCKNER FOUNDATION TRUST AGTR 1479060  
July 01, 2015 - June 30, 2016

Realized Gain/Loss from Sales (Continued)							Base Currency	USD
Description	Settle Date	Trade Date	Units Sold	Proceeds	Tax Cost	Short Term Gain/Loss	Long Term Gain/Loss	
WALT DISNEY CO COM	02/04/2016	02/01/2016	-75 0000	7,141.36	-2,501.25	0.00	4,640.11	
ALBEMARLE CORP COM	02/04/2016	02/01/2016	-425 0000	22,201.63	-26,860.00	-4,658.37	0.00	
COSTCO WHOLESALE CORP COM	02/04/2016	02/01/2016	-100 0000	15,052.75	-5,998.86	0.00	9,053.89	
ISHARES CORE S&P MID-CAP ETF CLOSED-END FUND	05/20/2016	05/17/2016	-415 0000	60,327.23	-41,250.57	0.00	19,076.66	
ISHARES CORE S&P SMALL-CAP ETF CLOSED-END FUND	05/20/2016	05/17/2016	-135 0000	15,165.56	-8,567.09	0.00	6,598.47	
INTEL CORP SENIOR NT DTD 09/19/11 1.95% DUE 10/01/16	05/27/2016	05/24/2016	-50,000 0000	50,221.00	-50,464.70	0.00	-243.70	
CMS ENERGY CORP COM	05/27/2016	05/24/2016	-150 0000	6,139.38	-3,451.49	0.00	2,687.89	
ZIONS BANCORP COM	05/27/2016	05/24/2016	-200 0000	5,523.89	-4,121.98	0.00	1,401.91	
WELLS FARGO CO COM	05/27/2016	05/24/2016	-250 0000	12,307.23	-11,580.50	0.00	726.73	
SEMPRA ENERGY COM	05/27/2016	05/24/2016	-145 0000	14,970.93	-14,578.30	0.00	392.63	
CINCINNATI FINANCIAL CORP COM	05/27/2016	05/24/2016	-200 0000	13,724.72	-7,065.98	0.00	6,658.74	
WALT DISNEY CO COM	05/27/2016	05/24/2016	-50 0000	4,991.39	-1,667.50	0.00	3,323.89	

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## Account Statement

WOCKNER FOUNDATION TRUST AGTR 1479060  
July 01, 2015 - June 30, 2016

### Realized Gain/Loss from Sales (Continued)

Description	Settle Date	Trade Date	Units Sold	Proceeds	Tax Cost	Short Term Gain/Loss	Base Currency USD	Long Term Gain/Loss
COCA COLA CO COM	05/27/2016	05/24/2016	-200 0000	8,851.80	-6,466.99	0.00		2,384.81
ORACLE CORP COM	05/27/2016	05/24/2016	-125 0000	5,001.14	-2,407.50	0.00		2,593.64
T ROWE PRICE GROUP INC COM	05/27/2016	05/24/2016	-150 0000	11,471.34	-7,121.99	0.00		4,349.35
PRICELINE GROUP INC COM	05/27/2016	05/24/2016	-5 0000	6,285.66	-1,624.30	0.00		4,661.36
THERMO FISHER SCIENTIFIC INC COM	05/27/2016	05/24/2016	-35 0000	5,269.13	-1,549.70	0.00		3,719.43
PRIZER INC COM	05/27/2016	05/24/2016	-300 0000	10,199.77	-9,656.97	0.00		542.80
ALPHABET INC COM CL A	05/27/2016	05/24/2016	-15 0000	10,970.61	-3,231.30	0.00		7,739.31
UNITED TECHNOLOGIES CORP COM	05/27/2016	05/24/2016	-125 0000	12,503.47	-14,250.00	0.00		-1,746.53
DANAHER CORP DEL COM	05/27/2016	05/24/2016	-100 0000	10,016.78	-7,808.80	0.00		2,207.98
ACCENTURE PLC FGN COM CL A	05/27/2016	05/24/2016	-15 0000	1,775.66	-1,051.80	0.00		723.86
MICROSOFT CORP COM	05/27/2016	05/24/2016	-100 0000	5,155.88	-5,462.97	-307.09	0.00	
MERCK & CO INC COM	05/27/2016	05/24/2016	-200 0000	11,143.75	-7,858.00	0.00		3,285.75
CELGENE CORP COM	05/27/2016	05/24/2016	-65 0000	6,739.05	-1,832.02	0.00		4,907.03

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## Account Statement

WOCKNER FOUNDATION TRUST AGTR 1479060  
July 01, 2015 - June 30, 2016

### Realized Gain/Loss from Sales (Continued)

Description	Settle Date	Trade Date	Units Sold	Proceeds	Tax Cost	Short Term Gain/Loss	Base Currency	USD
							Long Term Gain/Loss	
ISHARES MSCI EMERGING MKTS INDEX CLOSED-END FUND	06/20/2016	06/15/2016	-4,110 0000	136,510 67	-177,226 10	0 00		-40,715 43
ISHARES MSCI EAFE INDEX FUND CLOSED-END FUND	06/20/2016	06/15/2016	-4,450 0000	248,216 03	-261,910 20	0 00		-13,694 17
<b>Total Realized Gain/Loss from Sales</b>				<b>\$1,080,116.12</b>	<b>\$-1,007,110.67</b>	<b>\$-14,508.03</b>		<b>\$87,513.48</b>

*This schedule does not include the impact of any taxable corporate action activity. This is for informational purposes only and is not for tax advice. Please see your tax advisor for tax advice. Gain/loss information reported on common funds may not reflect all accrued gain/loss basis adjustments.*



## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
BANK OF AMERICA	2.	2.	
KEY BANK	35,660.	35,660.	
KEY BANK - BOND PREMIUM AMORTIZATION AND ACCRETION	-714.	-714.	
TOTAL TO PART I, LINE 3	34,948.	34,948.	

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
KEY BANK	82,689.	0.	82,689.	82,689.	
TO PART I, LINE 4	82,689.	0.	82,689.	82,689.	

## FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	3,340.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	3,340.	0.		0.

## FORM 990-PF

## OTHER PROFESSIONAL FEES

## STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
KEY BANK	29,798.	29,798.		0.
TO FORM 990-PF, PG 1, LN 16C	29,798.	29,798.		0.

## FORM 990-PF

## TAXES

## STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX	9,668.	0.		0.
TO FORM 990-PF, PG 1, LN 18	9,668.	0.		0.

## FORM 990-PF

## OTHER EXPENSES

## STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
STATE FILING FEE	25.	0.		0.
TO FORM 990-PF, PG 1, LN 23	25.	0.		0.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT 7
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
KEY BANK	X		117,585.	141,175.
TOTAL U.S. GOVERNMENT OBLIGATIONS			117,585.	141,175.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			117,585.	141,175.

FORM 990-PF	CORPORATE STOCK	STATEMENT 8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
KEY BANK	2,364,652.	3,456,954.
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,364,652.	3,456,954.

FORM 990-PF	CORPORATE BONDS	STATEMENT 9
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
KEY BANK	1,512,062.	1,547,307.
TOTAL TO FORM 990-PF, PART II, LINE 10C	1,512,062.	1,547,307.