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Form **990**

Department of the Treasury
Internal Revenue Service

# Return of Organization Exempt From Income Tax

**Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)**

▶ Do not enter social security numbers on this form as it may be made public
▶ Information about Form 990 and its instructions is at www.IRS.gov/form990

OMB No 1545-0047

**2015**

**Open to Public Inspection**

**A** For the 2015 calendar year, or tax year beginning 07-01-2015 , and ending 06-30-2016

**B** Check if applicable
- ☐ Address change
- ☐ Name change
- ☐ Initial return
- ☐ Final return/terminated
- ☐ Amended return
- ☐ Application pending

**C** Name of organization
NORTHLAND FOUNDATION

Doing business as

Number and street (or P O box if mail is not delivered to street address) | Room/suite
202 WEST SUPERIOR STREET NO 610

City or town, state or province, country, and ZIP or foreign postal code
DULUTH, MN 55802

**D** Employer identification number
41-1554455

**E** Telephone number
(218) 723-4040

**G** Gross receipts $ 47,195,055

**F** Name and address of principal officer
TONY SERTICH
202 WEST SUPERIOR STREET NO 610
DULUTH, MN 55802

**H(a)** Is this a group return for subordinates? ☐ Yes ☑ No

**H(b)** Are all subordinates included? ☐ Yes ☐ No
If "No," attach a list (see instructions)

**H(c)** Group exemption number ▶

**I** Tax-exempt status ☑ 501(c)(3) ☐ 501(c) ( ) ◀ (insert no ) ☐ 4947(a)(1) or ☐ 527

**J** Website: ▶ WWW NORTHLANDFDN ORG

**K** Form of organization ☑ Corporation ☐ Trust ☐ Association ☐ Other ▶

**L** Year of formation 1986

**M** State of legal domicile MN

## Part I Summary

**1** Briefly describe the organization's mission or most significant activities
THE NORTHLAND FOUNDATION INVESTS IN PEOPLE AND COMMUNITIES TO SUPPORT A THRIVING NORTHEAST MINNESOTA WE SERVE THE SEVEN COUNTIES OF NORTHEASTERN MN (AITKIN, CARLTON, COOK, KOOCHICHING, ITASCA, LAKE, AND ST LOUIS) THROUGH GRANTS TO NONPROFITS, LEANS TO BUSINESSES, THE KIDS PLUS PROGRAM, OUR ASSISTED LIVING FACILITIES IN NE MN, AND OTHER SPECIAL INITIATIVES

**2** Check this box ▶ ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets

Activities & Governance

| | | | |
|---|---|---|---|
| **3** | Number of voting members of the governing body (Part VI, line 1a) | **3** | 12 |
| **4** | Number of independent voting members of the governing body (Part VI, line 1b) | **4** | 12 |
| **5** | Total number of individuals employed in calendar year 2015 (Part V, line 2a) | **5** | 121 |
| **6** | Total number of volunteers (estimate if necessary) | **6** | 552 |
| **7a** | Total unrelated business revenue from Part VIII, column (C), line 12 | **7a** | 0 |
| **b** | Net unrelated business taxable income from Form 990-T, line 34 | **7b** | 0 |

Revenue

| | | Prior Year | Current Year |
|---|---|---|---|
| **8** | Contributions and grants (Part VIII, line 1h) | 3,389,776 | 4,745,539 |
| **9** | Program service revenue (Part VIII, line 2g) | 2,171,370 | 2,483,576 |
| **10** | Investment income (Part VIII, column (A), lines 3, 4, and 7d ) | 3,251,980 | 790,431 |
| **11** | Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) | 0 | 0 |
| **12** | Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12) | 8,813,126 | 8,019,546 |

Expenses

| | | | |
|---|---|---|---|
| **13** | Grants and similar amounts paid (Part IX, column (A), lines 1–3 ) | 2,134,962 | 1,527,303 |
| **14** | Benefits paid to or for members (Part IX, column (A), line 4) | 0 | 0 |
| **15** | Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10) | 3,020,820 | 3,059,669 |
| **16a** | Professional fundraising fees (Part IX, column (A), line 11e) | 0 | 4,500 |
| **b** | Total fundraising expenses (Part IX, column (D), line 25) ▶108,163 | | |
| **17** | Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e) | 2,428,302 | 3,252,988 |
| **18** | Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25) | 7,584,084 | 7,844,460 |
| **19** | Revenue less expenses Subtract line 18 from line 12 | 1,229,042 | 175,086 |

Net Assets or Fund Balances

| | | Beginning of Current Year | End of Year |
|---|---|---|---|
| **20** | Total assets (Part X, line 16) | 85,923,758 | 83,205,460 |
| **21** | Total liabilities (Part X, line 26) | 15,424,990 | 15,567,391 |
| **22** | Net assets or fund balances Subtract line 21 from line 20 | 70,498,768 | 67,638,069 |

## Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

**Sign Here**

******
Signature of officer — 2017-01-25 Date

TONY SERTICH PRESIDENT
Type or print name and title

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self-employed | PTIN |
|---|---|---|---|---|
| JULIE BOYER | JULIE BOYER | | | P01278549 |

Firm's name ▶ RSM US LLP — Firm's EIN ▶ 42-0714325

Firm's address ▶ 227 W FIRST ST STE 700
DULUTH, MN 558021926 — Phone no (218) 727-5025

May the IRS discuss this return with the preparer shown above? (see instructions) ☑ Yes ☐ No

## Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III . . . . . ☑

**1** Briefly describe the organization's mission

THE NORTHLAND FOUNDATION INVESTS IN PEOPLE AND COMMUNITIES TO SUPPORT A THRIVING NORTHEAST MINNESOTA WE DO THIS THROUGH GRANTS TO NONPROFITS, LOANS TO BUSINESSES, THE KIDS PLUS PROGRAM, OUR ASSISTED LIVING FACILITIES IN NE MN, AND OTHER SPECIAL INITIATIVES

**2** Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? . . . . . ☐ Yes ☑ No

If "Yes," describe these new services on Schedule O

**3** Did the organization cease conducting, or make significant changes in how it conducts, any program services? . . . . . ☐ Yes ☑ No

If "Yes," describe these changes on Schedule O

**4** Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

**4a** (Code ) (Expenses $ 2,089,218 including grants of $ ) (Revenue $ 1,863,154 )

SINCE 1986, "AGING WITH INDEPENDENCE" HAS BEEN A CORE NORTHLAND FOUNDATION PRIORITY WITH THE SUPPORT OF ITS BOARD OF TRUSTEES, THE NORTHLAND FOUNDATION LAUNCHED NORTHLAND ASSISTED LIVING IN 2006 A 20-UNIT RESIDENTIAL STYLE COMMUNITY FOR OLDER ADULTS, "NORTHLAND VILLAGE - MCGREGOR'S ASSISTED LIVING COMMUNITY", OPENED IN APRIL 2007 FOLLOWED BY BUHL, MN IN 2009 AND HOYT LAKES, MN IN 2011 THESE DEVELOPMENTS HAVE PROVIDED MUCH-NEEDED SENIOR HOUSING WITH SERVICES TO OLDER ADULTS IN UNDERSERVED AREAS AND CREATED QUALITY EMPLOYMENT OPPORTUNITIES NORTHLAND DOES NOT DISCRIMINATE BETWEEN PRIVATE PAY RESIDENTS AND THOSE WHO NEED FINANCIAL ASSISTANCE IN FISCAL YEAR 2016, NORTHLAND ASSISTED LIVING SERVED 65 RESIDENTS, RESULTING IN 17,525 RESIDENT DAYS, AND EMPLOYED 61 PEOPLE

**4b** (Code ) (Expenses $ 1,918,022 including grants of $ 1,510,669 ) (Revenue $ )

THE GRANT PROGRAM PROVIDES FINANCIAL AND TECHNICAL RESOURCES TO TAX-EXEMPT NONPROFITS AND PUBLIC ENTITIES INCLUDING SCHOOL DISTRICTS LOCATED IN AITKIN, CARLTON, COOK, ITASCA, KOOCHICHING, LAKE AND ST LOUIS COUNTIES WITHIN THREE PRIORITY AREAS 1) CHILDREN, YOUTH AND FAMILIES, 2) AGING WITH INDEPENDENCE, AND 3) OPPORTUNITIES FOR SELF-RELIANCE THESE FUNDING PRIORITIES WERE ESTABLISHED AS A RESULT OF NEEDS ASSESSMENTS AND BROAD PUBLIC INPUT, AND ARE CONTINUALLY REFINED AS ECONOMIC AND SOCIAL CONDITIONS CHANGE WITHIN THE REGION GRANT FUNDING FROM THE NORTHLAND FOUNDATION HAS ASSISTED INDIVIDUALS, FAMILIES, AND COMMUNITIES THROUGHOUT THE REGION TO GROW AND PROSPER IN FISCAL YEAR 2016, NORTHLAND AWARDED 213 GRANTS TOTALING $1,510,669

**4c** (Code ) (Expenses $ 1,638,885 including grants of $ 16,634 ) (Revenue $ 620,422 )

SINCE 1988, THE NORTHLAND FOUNDATION'S BUSINESS FINANCE PROGRAM HAS OFFERED FLEXIBLE FINANCING OFTEN IN PARTNERSHIP WITH BANKS OR OTHER DEVELOPMENT LENDERS TO HELP BUSINESSES TO START UP OR EXPAND IN THE NORTHEASTERN MINNESOTA COUNTIES OF AITKIN, CARLTON, COOK, ITASCA, KOOCHICHING, LAKE, AND ST LOUIS THE PROGRAM'S GOAL IS TO PROMOTE A SUSTAINABLE REGIONAL ECONOMY AND ASSIST BORROWERS TO RETAIN OR CREATE NEW, HIGH-QUALITY JOBS - A CRITICAL COMPONENT OF RURAL COMMUNITY VITALITY IN A REGION THAT STRUGGLES WITH HIGHER THAN AVERAGE UNEMPLOYMENT RATES RECIPIENTS MAY BE FOR-PROFIT OR NONPROFIT ENTITIES IN FISCAL YEAR 2016, NORTHLAND APPROVED 18 LOANS TOTALING $1,536,403 WHICH IN TURN HELPED LEVERAGE $7 5 MILLION IN ADDITIONAL INVESTMENTS AND HELPED CREATE OR SAVE 169 JOBS IN FISCAL YEAR 2016, NORTHLAND AWARDED GRANTS TOTALING $16,634

See Additional Data

**4d** Other program services (Describe in Schedule O )
(Expenses $ 910,734 including grants of $ ) (Revenue $ )

**4e** **Total program service expenses ▶** 6,556,859

## Part IV Checklist of Required Schedules

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? *If "Yes," complete Schedule A* | 1 | Yes | |
| 2 | Is the organization required to complete *Schedule B, Schedule of Contributors* (see instructions)? | 2 | Yes | |
| 3 | Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I* | 3 | | No |
| 4 | **Section 501(c)(3) organizations.** Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? *If "Yes," complete Schedule C, Part II* | 4 | | No |
| 5 | Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? *If "Yes," complete Schedule C, Part III* | 5 | | No |
| 6 | Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D, Part I* | 6 | | No |
| 7 | Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? *If "Yes," complete Schedule D, Part II* | 7 | | No |
| 8 | Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D, Part III* | 8 | | No |
| 9 | Did the organization report an amount in Part X, line 21 for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? *If "Yes," complete Schedule D, Part IV* | 9 | | No |
| 10 | Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? *If "Yes," complete Schedule D, Part V* | 10 | Yes | |
| 11 | If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable | | | |
| a | Did the organization report an amount for land, buildings, and equipment in Part X, line 10? *If "Yes," complete Schedule D, Part VI* | 11a | Yes | |
| b | Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VII* | 11b | | No |
| c | Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VIII* | 11c | | No |
| d | Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part IX* | 11d | | No |
| e | Did the organization report an amount for other liabilities in Part X, line 25? *If "Yes," complete Schedule D, Part X* | 11e | | No |
| f | Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? *If "Yes," complete Schedule D, Part X* | 11f | Yes | |
| 12a | Did the organization obtain separate, independent audited financial statements for the tax year? *If "Yes," complete Schedule D, Parts XI and XII* | 12a | | No |
| b | Was the organization included in consolidated, independent audited financial statements for the tax year? *If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional* | 12b | Yes | |
| 13 | Is the organization a school described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E* | 13 | | No |
| 14a | Did the organization maintain an office, employees, or agents outside of the United States? | 14a | | No |
| b | Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at $100,000 or more? *If "Yes," complete Schedule F, Parts I and IV* | 14b | | No |
| 15 | Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or other assistance to or for any foreign organization? *If "Yes," complete Schedule F, Parts II and IV* | 15 | | No |
| 16 | Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or other assistance to or for foreign individuals? *If "Yes," complete Schedule F, Parts III and IV* | 16 | | No |
| 17 | Did the organization report a total of more than $15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? *If "Yes," complete Schedule G, Part I* (see instructions) | 17 | | No |
| 18 | Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II* | 18 | | No |
| 19 | Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III* | 19 | | No |
| 20a | Did the organization operate one or more hospital facilities? *If "Yes," complete Schedule H* | 20a | | No |
| b | If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return? | 20b | | |

**Part IV Checklist of Required Schedules** *(continued)*

| | | | | |
|---|---|---|---|---|
| **21** | Did the organization report more than $5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II* | **21** | Yes | |
| **22** | Did the organization report more than $5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? *If "Yes," complete Schedule I, Parts I and III* | **22** | | No |
| **23** | Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? *If "Yes," complete Schedule J* | **23** | Yes | |
| **24a** | Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? *If "Yes," answer lines 24b through 24d and complete Schedule K If "No," go to line 25a* | **24a** | | No |
| **b** | Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? | **24b** | | |
| **c** | Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? | **24c** | | |
| **d** | Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? | **24d** | | |
| **25a** | **Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? *If "Yes," complete Schedule L, Part I* | **25a** | | No |
| **b** | Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? *If "Yes," complete Schedule L, Part I* | **25b** | | No |
| **26** | Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? *If "Yes," complete Schedule L, Part II* | **26** | | No |
| **27** | Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? *If "Yes," complete Schedule L, Part III* | **27** | | No |
| **28** | Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions) | | | |
| **a** | A current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV* | **28a** | | No |
| **b** | A family member of a current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV* | **28b** | | No |
| **c** | An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? *If "Yes," complete Schedule L, Part IV* | **28c** | | No |
| **29** | Did the organization receive more than $25,000 in non-cash contributions? *If "Yes," complete Schedule M* | **29** | | No |
| **30** | Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? *If "Yes," complete Schedule M* | **30** | | No |
| **31** | Did the organization liquidate, terminate, or dissolve and cease operations? *If "Yes," complete Schedule N, Part I* | **31** | | No |
| **32** | Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? *If "Yes," complete Schedule N, Part II* | **32** | | No |
| **33** | Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301 7701-2 and 301 7701-3? *If "Yes," complete Schedule R, Part I* | **33** | Yes | |
| **34** | Was the organization related to any tax-exempt or taxable entity? *If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1* | **34** | Yes | |
| **35a** | Did the organization have a controlled entity within the meaning of section 512(b)(13)? | **35a** | Yes | |
| **b** | If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? *If "Yes," complete Schedule R, Part V, line 2* | **35b** | | No |
| **36** | **Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? *If "Yes," complete Schedule R, Part V, line 2* | **36** | | No |
| **37** | Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? *If "Yes," complete Schedule R, Part VI* | **37** | | No |
| **38** | Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? **Note.** All Form 990 filers are required to complete Schedule O | **38** | Yes | |

## Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V . . . . . ☐

| | | | | Yes | No |
|---|---|---|---|---|---|
| 1a | Enter the number reported in Box 3 of Form 1096 Enter -0- if not applicable . . | 1a | 33 | | |
| b | Enter the number of Forms W-2G included in line 1a Enter -0- if not applicable | 1b | 0 | | |
| c | Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners? . . . . . | 1c | | Yes | |
| 2a | Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return . . . . . | 2a | 121 | | |
| b | If at least one is reported on line 2a, did the organization file all required federal employment tax returns? **Note.** If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions) | 2b | | Yes | |
| 3a | Did the organization have unrelated business gross income of $1,000 or more during the year? . . . | 3a | | | No |
| b | If "Yes," has it filed a Form 990-T for this year? *If "No" to line 3b, provide an explanation in Schedule O* . . . | 3b | | | |
| 4a | At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)? . . | 4a | | | No |
| b | If "Yes," enter the name of the foreign country ▶ _____ See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) | | | | |
| 5a | Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? . . | 5a | | | No |
| b | Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction? | 5b | | | No |
| c | If "Yes," to line 5a or 5b, did the organization file Form 8886-T? . . . . . | 5c | | | |
| 6a | Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions? . . . | 6a | | | No |
| b | If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? . . . . . | 6b | | | |
| 7 | **Organizations that may receive deductible contributions under section 170(c).** | | | | |
| a | Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor? . . . . . | 7a | | | No |
| b | If "Yes," did the organization notify the donor of the value of the goods or services provided? . . . . . | 7b | | | |
| c | Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282? . . . . . | 7c | | | No |
| d | If "Yes," indicate the number of Forms 8282 filed during the year . . . . | 7d | | | |
| e | Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | 7e | | | No |
| f | Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . | 7f | | | No |
| g | If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required? . . . . . | 7g | | | |
| h | If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C? . . . . . | 7h | | | |
| 8 | **Sponsoring organizations maintaining donor advised funds.** Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year? . . . . . | 8 | | | |
| 9a | Did the sponsoring organization make any taxable distributions under section 4966? . . . | 9a | | | |
| b | Did the sponsoring organization make a distribution to a donor, donor advisor, or related person? . . . | 9b | | | |
| 10 | **Section 501(c)(7) organizations.** Enter | | | | |
| a | Initiation fees and capital contributions included on Part VIII, line 12 . . . | 10a | | | |
| b | Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities | 10b | | | |
| 11 | **Section 501(c)(12) organizations.** Enter | | | | |
| a | Gross income from members or shareholders . . . . . | 11a | | | |
| b | Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them ) . . . . . | 11b | | | |
| 12a | **Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041? | 12a | | | |
| b | If "Yes," enter the amount of tax-exempt interest received or accrued during the year | 12b | | | |
| 13 | **Section 501(c)(29) qualified nonprofit health insurance issuers.** | | | | |
| a | Is the organization licensed to issue qualified health plans in more than one state? **Note.** See the instructions for additional information the organization must report on Schedule O | 13a | | | |
| b | Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans . . . . | 13b | | | |
| c | Enter the amount of reserves on hand . . . . . | 13c | | | |
| 14a | Did the organization receive any payments for indoor tanning services during the tax year? . . . . . | 14a | | | No |
| b | If "Yes," has it filed a Form 720 to report these payments? *If "No," provide an explanation in Schedule O* . . | 14b | | | |

## Part VI Governance, Management, and Disclosure

*For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.*

Check if Schedule O contains a response or note to any line in this Part VI . . . . . ☑

### Section A. Governing Body and Management

| | | | | Yes | No |
|---|---|---|---|---|---|
| 1a | Enter the number of voting members of the governing body at the end of the tax year | 1a | 12 | | |
| | If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O | | | | |
| b | Enter the number of voting members included in line 1a, above, who are independent | 1b | 12 | | |
| 2 | Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee? | 2 | | | No |
| 3 | Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person? | 3 | | Yes | |
| 4 | Did the organization make any significant changes to its governing documents since the prior Form 990 was filed? | 4 | | | No |
| 5 | Did the organization become aware during the year of a significant diversion of the organization's assets? | 5 | | | No |
| 6 | Did the organization have members or stockholders? | 6 | | | No |
| 7a | Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body? | 7a | | | No |
| b | Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body? | 7b | | | No |
| 8 | Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following | | | | |
| a | The governing body? | 8a | | Yes | |
| b | Each committee with authority to act on behalf of the governing body? | 8b | | Yes | |
| 9 | Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? *If "Yes," provide the names and addresses in Schedule O* | 9 | | | No |

### Section B. Policies (*This Section B requests information about policies not required by the Internal Revenue Code.*)

| | | | Yes | No |
|---|---|---|---|---|
| 10a | Did the organization have local chapters, branches, or affiliates? | 10a | | No |
| b | If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes? | 10b | | |
| 11a | Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? | 11a | Yes | |
| b | Describe in Schedule O the process, if any, used by the organization to review this Form 990 | | | |
| 12a | Did the organization have a written conflict of interest policy? *If "No," go to line 13* | 12a | Yes | |
| b | Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts? | 12b | Yes | |
| c | Did the organization regularly and consistently monitor and enforce compliance with the policy? *If "Yes," describe in Schedule O how this was done* | 12c | Yes | |
| 13 | Did the organization have a written whistleblower policy? | 13 | Yes | |
| 14 | Did the organization have a written document retention and destruction policy? | 14 | Yes | |
| 15 | Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision? | | | |
| a | The organization's CEO, Executive Director, or top management official | 15a | Yes | |
| b | Other officers or key employees of the organization | 15b | Yes | |
| | If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions) | | | |
| 16a | Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? | 16a | | No |
| b | If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements? | 16b | | |

### Section C. Disclosure

17 List the States with which a copy of this Form 990 is required to be filed ▶ MN , WI

18 Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection Indicate how you made these available Check all that apply

☐ Own website ☐ Another's website ☑ Upon request ☐ Other (explain in Schedule O)

19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year

20 State the name, address, and telephone number of the person who possesses the organization's books and records ▶HEATHER BROUSE 202 WEST SUPERIOR STREET 610 DULUTH, MN 55802 (218) 723-4040

## Part VII Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII . . . . . ☐

### Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

**1a** Complete this table for all persons required to be listed Report compensation for the calendar year ending with or within the organization's tax year

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation Enter -0- in columns (D), (E), and (F) if no compensation was paid
- List all of the organization's **current** key employees, if any See instructions for definition of "key employee "
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations
- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

| (A) Name and Title | (B) Average hours per week (list any hours for related organizations below dotted line) | (C) Position (do not check more than one box, unless person is both an officer and a director/trustee) | | | | | | (D) Reportable compensation from the organization (W- 2/1099-MISC) | (E) Reportable compensation from related organizations (W- 2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
|---|---|---|---|---|---|---|---|---|---|---|
| | | Individual trustee or director | Institutional Trustee | Officer | Key employee | Highest compensated employee | Former | | | |
| (1) JEFF COREY<br>TRUSTEE | 3 00 | X | | | | | | 0 | 0 | 0 |
| (2) MARIETA JOHNSON<br>TRUSTEE | 2 25 | X | | | | | | 0 | 0 | 0 |
| (3) DIANE RAUSCHENFELS<br>CHAIR | 5 25 | X | | X | | | | 0 | 0 | 0 |
| (4) ED ZABINSKI<br>TRUSTEE | 2 25 | X | | | | | | 0 | 0 | 0 |
| (5) STEVE DOWNING<br>VICE CHAIR | 4 50 | X | | X | | | | 0 | 0 | 0 |
| (6) LYNN GOERDT<br>TRUSTEE | 2 25 | X | | | | | | 0 | 0 | 0 |
| (7) NEVADA LITTLEWOLF<br>TRUSTEE | 2 25 | X | | | | | | 0 | 0 | 0 |
| (8) TOM RIDER<br>TRUSTEE | 3 00 | X | | | | | | 0 | 0 | 0 |
| (9) TRENT JANEZICH<br>SECRETARY/TREASURER | 3 75 | X | | X | | | | 0 | 0 | 0 |
| (10) JASON HOLLINDAY<br>TRUSTEE | 2 25 | X | | | | | | 0 | 0 | 0 |
| (11) LISA BODINE<br>TRUSTEE | 2 25 | X | | | | | | 0 | 0 | 0 |
| (12) VICTORIA HAGBERG<br>TRUSTEE | 3 00 | X | | | | | | 0 | 0 | 0 |
| (13) ANGIE MILLER<br>TRUSTEE | 2 25 | X | | | | | | 0 | 0 | 0 |
| (14) SHAYE MORIS<br>TRUSTEE | 3 00 | X | | | | | | 0 | 0 | 0 |

**Part VII** **Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** *(continued)*

| (A) Name and Title | (B) Average hours per week (list any hours for related organizations below dotted line) | (C) Position (do not check more than one box, unless person is both an officer and a director/trustee) | | | | | | (D) Reportable compensation from the organization (W- 2/1099-MISC) | (E) Reportable compensation from related organizations (W- 2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
|---|---|---|---|---|---|---|---|---|---|---|
| | | Individual trustee or director | Institutional Trustee | Officer | Key employee | Highest compensated employee | Former | | | |
| (15) LYNN HAGLIN<br>VICE PRESIDENT | 50 00 | | | X | | | | 147,259 | 0 | 33,795 |
| (16) HEATHER BROUSE<br>CFO | 50 00 | | | X | | | | 103,539 | 0 | 20,668 |
| (17) ANTHONY SERTICH<br>PRESIDENT | 55 00<br>1 00 | | | X | | | | 158,575 | 0 | 5,759 |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
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| | | | | | | | | | | |
| | | | | | | | | | | |

| | | | | |
|---|---|---|---|---|
| 1b | **Sub-Total** ▶ | | | |
| c | **Total from continuation sheets to Part VII, Section A** ▶ | | | |
| d | **Total (add lines 1b and 1c)** ▶ | 409,373 | 0 | 60,222 |

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 of reportable compensation from the organization ▶ 3

| | | | Yes | No |
|---|---|---|---|---|
| 3 | Did the organization list any **former** officer, director or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual* | 3 | | No |
| 4 | For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual* | 4 | Yes | |
| 5 | Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? *If "Yes," complete Schedule J for such person* | 5 | | No |

## Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization Report compensation for the calendar year ending with or within the organization's tax year

| (A) Name and business address | (B) Description of services | (C) Compensation |
|---|---|---|
| | | |
| | | |
| | | |
| | | |
| | | |

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 of compensation from the organization ▶ 0

**Part VIII Statement of Revenue**

Check if Schedule O contains a response or note to any line in this Part VIII . . . . . ☐

| | | | (A) Total revenue | (B) Related or exempt function revenue | (C) Unrelated business revenue | (D) Revenue excluded from tax under sections 512-514 |
|---|---|---|---|---|---|---|
| Contributions, Gifts, Grants and Other Similar Amounts | 1a Federated campaigns | 1a | | | | |
| | b Membership dues | 1b | | | | |
| | c Fundraising events | 1c | | | | |
| | d Related organizations | 1d | | | | |
| | e Government grants (contributions) | 1e | 33,333 | | | |
| | f All other contributions, gifts, grants, and similar amounts not included above | 1f | 4,712,206 | | | |
| | g Noncash contributions included in lines 1a-1f $ | | 7,616 | | | |
| | h **Total.** Add lines 1a-1f | | 4,745,539 | | | |
| Program Service Revenue | | Business Code | | | | |
| | 2a ASSISTED LIVING INITIATIVE | 623000 | 1,863,154 | 1,863,154 | | |
| | b LOAN PROGRAM INTEREST INCOME | 525990 | 620,422 | 620,422 | | |
| | c | | | | | |
| | d | | | | | |
| | e | | | | | |
| | f All other program service revenue | | | | | |
| | g **Total.** Add lines 2a–2f | | 2,483,576 | | | |
| Other Revenue | 3 Investment income (including dividends, interest, and other similar amounts) | | 1,655,477 | | | 1,655,477 |
| | 4 Income from investment of tax-exempt bond proceeds | | | | | |
| | 5 Royalties | | | | | |
| | | (i) Real | (ii) Personal | | | |
| | 6a Gross rents | | | | | |
| | b Less rental expenses | | | | | |
| | c Rental income or (loss) | | | | | |
| | d Net rental income or (loss) | | | | | |
| | | (i) Securities | (ii) Other | | | |
| | 7a Gross amount from sales of assets other than inventory | 38,308,923 | 1,540 | | | |
| | b Less cost or other basis and sales expenses | 39,175,286 | 223 | | | |
| | c Gain or (loss) | -866,363 | 1,317 | | | |
| | d Net gain or (loss) | | -865,046 | | | -865,046 |
| | 8a Gross income from fundraising events (not including $ _____ of contributions reported on line 1c) See Part IV, line 18 a | | | | | |
| | b Less direct expenses b | | | | | |
| | c Net income or (loss) from fundraising events | | | | | |
| | 9a Gross income from gaming activities See Part IV, line 19 a | | | | | |
| | b Less direct expenses b | | | | | |
| | c Net income or (loss) from gaming activities | | | | | |
| | 10a Gross sales of inventory, less returns and allowances a | | | | | |
| | b Less cost of goods sold b | | | | | |
| | c Net income or (loss) from sales of inventory | | | | | |
| | Miscellaneous Revenue | Business Code | | | | |
| | 11a | | | | | |
| | b | | | | | |
| | c | | | | | |
| | d All other revenue | | | | | |
| | e **Total.** Add lines 11a–11d | | | | | |
| | 12 **Total revenue.** See Instructions | | 8,019,546 | 2,483,576 | 0 | 790,431 |

## Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns All other organizations must complete column (A)

Check if Schedule O contains a response or note to any line in this Part IX . . . . . ☐

| Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII. | (A) Total expenses | (B) Program service expenses | (C) Management and general expenses | (D) Fundraising expenses |
|---|---|---|---|---|
| 1 Grants and other assistance to domestic organizations and domestic governments See Part IV, line 21 | 1,527,303 | 1,527,303 | | |
| 2 Grants and other assistance to domestic individuals See Part IV, line 22 | | | | |
| 3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals See Part IV, lines 15 and 16 | | | | |
| 4 Benefits paid to or for members | | | | |
| 5 Compensation of current officers, directors, trustees, and key employees | 492,782 | 366,542 | 93,053 | 33,187 |
| 6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) | | | | |
| 7 Other salaries and wages | 2,044,282 | 1,699,573 | 309,860 | 34,849 |
| 8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions) | 58,960 | 44,854 | 12,135 | 1,971 |
| 9 Other employee benefits | 284,096 | 215,318 | 63,668 | 5,110 |
| 10 Payroll taxes | 179,549 | 154,735 | 20,434 | 4,380 |
| 11 Fees for services (non-employees) | | | | |
| a Management | | | | |
| b Legal | 35,342 | 13,458 | 21,884 | |
| c Accounting | 49,800 | 13,000 | 36,800 | |
| d Lobbying | | | | |
| e Professional fundraising services See Part IV, line 17 | 4,500 | | | 4,500 |
| f Investment management fees | | | | |
| g Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O) | 391,788 | 232,696 | 154,422 | 4,670 |
| 12 Advertising and promotion | 79,718 | 75,734 | 3,850 | 134 |
| 13 Office expenses | 168,810 | 85,510 | 79,870 | 3,430 |
| 14 Information technology | 31,730 | 31,730 | | |
| 15 Royalties | | | | |
| 16 Occupancy | 92,882 | | 92,882 | |
| 17 Travel | 142,239 | 71,062 | 66,739 | 4,438 |
| 18 Payments of travel or entertainment expenses for any federal, state, or local public officials | | | | |
| 19 Conferences, conventions, and meetings | 239,294 | 229,878 | 7,360 | 2,056 |
| 20 Interest | 236,265 | 227,091 | 2,254 | 6,920 |
| 21 Payments to affiliates | | | | |
| 22 Depreciation, depletion, and amortization | 326,965 | 305,651 | 20,801 | 513 |
| 23 Insurance | 84,860 | 45,728 | 38,667 | 465 |
| 24 Other expenses Itemize expenses not covered above (List miscellaneous expenses in line 24e If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O ) | | | | |
| a UNRELATED BUSINESS TAXE | 2,967 | | 2,967 | |
| b PROVISION FOR LOAN LOSS | 908,741 | 908,741 | | |
| c FOOD | 98,093 | 98,093 | | |
| d OTHER EXPENSES | 85,290 | 83,195 | 2,095 | |
| e All other expenses | 278,204 | 126,967 | 149,697 | 1,540 |
| 25 **Total functional expenses.** Add lines 1 through 24e | 7,844,460 | 6,556,859 | 1,179,438 | 108,163 |
| 26 **Joint costs.** Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation Check here ▶ ☐ if following SOP 98-2 (ASC 958-720) | | | | |

## Part X Balance Sheet

Check if Schedule O contains a response or note to any line in this Part X . . . . . ☐

| | | | | (A) Beginning of year | | (B) End of year |
|---|---|---|---|---|---|---|
| Assets | 1 | Cash–non-interest-bearing | | | 1 | |
| | 2 | Savings and temporary cash investments | | 7,607,397 | 2 | 9,446,801 |
| | 3 | Pledges and grants receivable, net | | 2,138,501 | 3 | 3,660,003 |
| | 4 | Accounts receivable, net | | | 4 | |
| | 5 | Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L | | | 5 | |
| | 6 | Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions) Complete Part II of Schedule L | | | 6 | |
| | 7 | Notes and loans receivable, net | | 11,260,008 | 7 | 10,136,760 |
| | 8 | Inventories for sale or use | | | 8 | |
| | 9 | Prepaid expenses and deferred charges | | 42,777 | 9 | 31,310 |
| | 10a | Land, buildings, and equipment cost or other basis Complete Part VI of Schedule D | 10a 7,164,258 | | | |
| | b | Less accumulated depreciation | 10b 2,345,331 | 5,041,622 | 10c | 4,818,927 |
| | 11 | Investments—publicly traded securities | | 59,714,886 | 11 | 54,778,227 |
| | 12 | Investments—other securities See Part IV, line 11 | | | 12 | |
| | 13 | Investments—program-related See Part IV, line 11 | | | 13 | |
| | 14 | Intangible assets | | | 14 | |
| | 15 | Other assets See Part IV, line 11 | | 118,567 | 15 | 333,432 |
| | 16 | **Total assets.** Add lines 1 through 15 (must equal line 34) | | 85,923,758 | 16 | 83,205,460 |
| Liabilities | 17 | Accounts payable and accrued expenses | | 2,755,618 | 17 | 2,962,280 |
| | 18 | Grants payable | | 776,657 | 18 | 555,908 |
| | 19 | Deferred revenue | | 397,623 | 19 | 257,818 |
| | 20 | Tax-exempt bond liabilities | | | 20 | |
| | 21 | Escrow or custodial account liability Complete Part IV of Schedule D | | | 21 | |
| | 22 | Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L | | | 22 | |
| | 23 | Secured mortgages and notes payable to unrelated third parties | | 11,495,092 | 23 | 11,791,385 |
| | 24 | Unsecured notes and loans payable to unrelated third parties | | | 24 | |
| | 25 | Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24) Complete Part X of Schedule D | | | 25 | |
| | 26 | **Total liabilities.** Add lines 17 through 25 | | 15,424,990 | 26 | 15,567,391 |
| Net Assets or Fund Balances | | **Organizations that follow SFAS 117 (ASC 958), check here ▶ ☑ and complete lines 27 through 29, and lines 33 and 34.** | | | | |
| | 27 | Unrestricted net assets | | 68,192,782 | 27 | 63,494,576 |
| | 28 | Temporarily restricted net assets | | 2,305,986 | 28 | 4,143,493 |
| | 29 | Permanently restricted net assets | | | 29 | |
| | | **Organizations that do not follow SFAS 117 (ASC 958), check here ▶ ☐ and complete lines 30 through 34.** | | | | |
| | 30 | Capital stock or trust principal, or current funds | | | 30 | |
| | 31 | Paid-in or capital surplus, or land, building or equipment fund | | | 31 | |
| | 32 | Retained earnings, endowment, accumulated income, or other funds | | | 32 | |
| | 33 | Total net assets or fund balances | | 70,498,768 | 33 | 67,638,069 |
| | 34 | Total liabilities and net assets/fund balances | | 85,923,758 | 34 | 83,205,460 |

## Part XI Reconcilliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI . . . . . ☐

| | | | |
|---|---|---|---|
| 1 | Total revenue (must equal Part VIII, column (A), line 12) | 1 | 8,019,546 |
| 2 | Total expenses (must equal Part IX, column (A), line 25) | 2 | 7,844,460 |
| 3 | Revenue less expenses Subtract line 2 from line 1 | 3 | 175,086 |
| 4 | Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A)) | 4 | 70,498,768 |
| 5 | Net unrealized gains (losses) on investments | 5 | -3,035,785 |
| 6 | Donated services and use of facilities | 6 | |
| 7 | Investment expenses | 7 | |
| 8 | Prior period adjustments | 8 | |
| 9 | Other changes in net assets or fund balances (explain in Schedule O) | 9 | 0 |
| 10 | Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B)) | 10 | 67,638,069 |

## Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII . . . . . ☐

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Accounting method used to prepare the Form 990 ☐ Cash ☑ Accrual ☐ Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O | | | |
| 2a | Were the organization's financial statements compiled or reviewed by an independent accountant? | 2a | | No |
| | If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both<br>☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis | | | |
| b | Were the organization's financial statements audited by an independent accountant? | 2b | Yes | |
| | If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both<br>☐ Separate basis ☑ Consolidated basis ☐ Both consolidated and separate basis | | | |
| c | If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? | 2c | Yes | |
| | If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O | | | |
| 3a | As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133? | 3a | Yes | |
| b | If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits | 3b | Yes | |

## Additional Data

**Software ID:**
**Software Version:**
**EIN:** 41-1554455
**Name:** NORTHLAND FOUNDATION

**Form 990, Part III - 4 Program Service Accomplishments (See the Instructions)**

(Code ) (Expenses $ 910,734 including grants of $ ) (Revenue $ )

LAUNCHED BY THE NORTHLAND FOUNDATION IN 1990, THE GOAL OF THE KIDS PLUS PROGRAM IS TO IMPROVE THE WELL-BEING OF CHILDREN AND YOUTH IN NORTHEASTERN MINNESOTA AND THE BORDER COMMUNITY OF SUPERIOR, WISONSIN KIDS PLUS IS A FAMILY OF INITIATIVES DESIGNED TO SUPPORT CHILDREN FROM BIRTH TO ADULTHOOD IT IS A RESOURCE HAVING GREAT IMPACT IN A RURAL REGION OF 326,489 PEOPLE SPREAD OVER AN 18,185 SQ MILE EXPANSE DOTTED WITH 68 SMALL TOWNS AND 3 INDIAN RESERVATIONS FOCUS AREAS INCLUDE 1) TECHNICAL ASSISTANCE AND COMMUNITY-BASED PLANNING, 2) EARLY CHILDHOOD DEVELOPMENT, 3) YOUTH LEADERSHIP AND PHILANTHROPY, 4) INTERGENERATIONAL PROGRAMMING, AND 5) TRAINING AND CONFERENCING THROUGH THE KIDS PLUS FAMILY OF PROGRAMS, THOUSANDS OF COMMUNITY MEMBERS HAVE BEEN ENGAGED WITH LOCAL INITIATIVES THAT SUPPORT CHILDREN AND YOUTH

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93493033001177

# SCHEDULE A (Form 990 or 990EZ)

Department of the Treasury
Internal Revenue Service

## Public Charity Status and Public Support

**Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.**

▶ **Attach to Form 990 or Form 990-EZ.**

▶ **Information about Schedule A (Form 990 or 990-EZ) and its instructions is at *www.irs.gov/form990*.**

OMB No 1545-0047

**2015**

**Open to Public Inspection**

**Name of the organization**
NORTHLAND FOUNDATION

**Employer identification number**
41-1554455

**Part I** **Reason for Public Charity Status** (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is (For lines 1 through 11, check only one box )

1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**

2 ☐ A school described in **section 170(b)(1)(A)(ii).**(Attach Schedule E (Form 990 or 990-EZ))

3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state _____

5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II )

6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 ☑ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II )

8 ☐ A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II )

9 ☐ An organization that normally receives (1) more than 331/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 331/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See**section 509(a)(2).** (Complete Part III )

10 ☐ An organization organized and operated exclusively to test for public safety See **section 509(a)(4).**

11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box in lines 11a through 11d that describes the type of supporting organization and complete lines 11e, 11f, and 11g

a ☐ **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization **You must complete Part IV, Sections A and B.**

b ☐ **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s) **You must complete Part IV, Sections A and C.**

c ☐ **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions) **You must complete Part IV, Sections A, D, and E.**

d ☐ **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions) **You must complete Part IV, Sections A and D, and Part V.**

e ☐ Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization

f Enter the number of supported organizations . . . . . _____

g Provide the following information about the supported organization(s)

| (i) Name of supported organization | (ii)EIN | (iii) Type of organization (described on lines 1- 9 above (see instructions)) | (iv) Is the organization listed in your governing document? Yes | (iv) No | (v) Amount of monetary support (see instructions) | (vi) Amount of other support (see instructions) |
|---|---|---|---|---|---|---|
| | | | | | | |
| | | | | | | |
| **Total** | | | | | | |

**For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990EZ.** Cat No 11285F

**Schedule A (Form 990 or 990-EZ) 2015**

**Part II** **Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)** (Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

## Section A. Public Support

| Calendar year (or fiscal year beginning in) ▶ | (a) 2011 | (b) 2012 | (c) 2013 | (d) 2014 | (e) 2015 | (f) Total |
|---|---|---|---|---|---|---|
| 1 Gifts, grants, contributions, and membership fees received (Do not include any unusual grants ) | 2,157,962 | 2,278,338 | 2,812,933 | 3,389,776 | 4,745,539 | 15,384,548 |
| 2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf | | | | | | |
| 3 The value of services or facilities furnished by a governmental unit to the organization without charge | | | | | | |
| 4 **Total.** Add lines 1 through 3 | 2,157,962 | 2,278,338 | 2,812,933 | 3,389,776 | 4,745,539 | 15,384,548 |
| 5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) | | | | | | 9,769,546 |
| 6 **Public support.** Subtract line 5 from line 4 | | | | | | 5,615,002 |

## Section B. Total Support

| Calendar year (or fiscal year beginning in) ▶ | (a) 2011 | (b) 2012 | (c) 2013 | (d) 2014 | (e) 2015 | (f) Total |
|---|---|---|---|---|---|---|
| 7 Amounts from line 4 | 2,157,962 | 2,278,338 | 2,812,933 | 3,389,776 | 4,745,539 | 15,384,548 |
| 8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources | 1,652,661 | 1,412,765 | 1,594,630 | 1,575,542 | 1,655,477 | 7,891,075 |
| 9 Net income from unrelated business activities, whether or not the business is regularly carried on | | | | 19,123 | | 19,123 |
| 10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part VI ) | | | 34,000 | | | 34,000 |
| 11 **Total support.** Add lines 7 through 10 | | | | | | 23,328,746 |

| | | |
|---|---|---|
| 12 | Gross receipts from related activities, etc (see instructions) | 12 11,468,310 |

13 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** . . . . . ▶ ☐

## Section C. Computation of Public Support Percentage

| | | |
|---|---|---|
| 14 | Public support percentage for 2015 (line 6, column (f) divided by line 11, column (f)) | 14 24 070 % |
| 15 | Public support percentage for 2014 Schedule A, Part II, line 14 | 15 33 860 % |

16a **33 1/3% support test—2015.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

b **33 1/3% support test—2014.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☑

17a **10%-facts-and-circumstances test—2015.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the facts-and-circumstances test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization ▶ ☐

b **10%-facts-and-circumstances test—2014.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization ▶ ☐

18 **Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ▶ ☐

## Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

### Section A. Public Support

| Calendar year (or fiscal year beginning in) ▶ | (a) 2011 | (b) 2012 | (c) 2013 | (d) 2014 | (e) 2015 | (f) Total |
|---|---|---|---|---|---|---|
| 1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ") | | | | | | |
| 2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose | | | | | | |
| 3 Gross receipts from activities that are not an unrelated trade or business under section 513 | | | | | | |
| 4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf | | | | | | |
| 5 The value of services or facilities furnished by a governmental unit to the organization without charge | | | | | | |
| 6 **Total.** Add lines 1 through 5 | | | | | | |
| 7a Amounts included on lines 1, 2, and 3 received from disqualified persons | | | | | | |
| b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year | | | | | | |
| c Add lines 7a and 7b | | | | | | |
| 8 **Public support.** (Subtract line 7c from line 6 ) | | | | | | |

### Section B. Total Support

| Calendar year (or fiscal year beginning in) ▶ | (a) 2011 | (b) 2012 | (c) 2013 | (d) 2014 | (e) 2015 | (f) Total |
|---|---|---|---|---|---|---|
| 9 Amounts from line 6 | | | | | | |
| 10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources | | | | | | |
| b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975 | | | | | | |
| c Add lines 10a and 10b | | | | | | |
| 11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on | | | | | | |
| 12 Other income Do not include gain or loss from the sale of capital assets (Explain in Part VI ) | | | | | | |
| 13 **Total support.** (Add lines 9, 10c, 11, and 12 ) | | | | | | |

14 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ▶ ☐

### Section C. Computation of Public Support Percentage

| | | |
|---|---|---|
| 15 | Public support percentage for 2015 (line 8, column (f) divided by line 13, column (f)) | 15 |
| 16 | Public support percentage from 2014 Schedule A, Part III, line 15 | 16 |

### Section D. Computation of Investment Income Percentage

| | | |
|---|---|---|
| 17 | Investment income percentage for **2015** (line 10c, column (f) divided by line 13, column (f)) | 17 |
| 18 | Investment income percentage from **2014** Schedule A, Part III, line 17 | 18 |

19a **33 1/3% support tests—2015.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

b **33 1/3% support tests—2014.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

20 **Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶ ☐

## Part IV Supporting Organizations

(Complete only if you checked a box on line 11 of Part I If you checked 11a of Part I, complete Sections A and B If you checked 11b of Part I, complete Sections A and C If you checked 11c of Part I, complete Sections A, D, and E If you checked 11d of Part I, complete Sections A and D, and complete Part V )

### Section A. All Supporting Organizations

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Are all of the organization's supported organizations listed by name in the organization's governing documents? *If "No," describe in* ***Part VI*** *how the supported organizations are designated If designated by class or purpose, describe the designation If historic and continuing relationship, explain* | 1 | | |
| 2 | Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? *If "Yes," explain in* ***Part VI*** *how the organization determined that the supported organization was described in section 509(a)(1) or (2)* | 2 | | |
| 3a | Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? *If "Yes," answer (b) and (c) below* | 3a | | |
| b | Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? *If "Yes," describe in* ***Part VI*** *when and how the organization made the determination* | 3b | | |
| c | Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? *If "Yes," explain in* ***Part VI*** *what controls the organization put in place to ensure such use* | 3c | | |
| 4a | Was any supported organization not organized in the United States ("foreign supported organization")? *If "Yes" and if you checked 11a or 11b in Part I, answer (b) and (c) below* | 4a | | |
| b | Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? *If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations* | 4b | | |
| c | Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? *If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes* | 4c | | |
| 5a | Did the organization add, substitute, or remove any supported organizations during the tax year? *If "Yes," answer (b) and (c) below (if applicable) Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed, (ii) the reasons for each such action, (iii) the authority under the organization's organizing document authorizing such action, and (iv) how the action was accomplished (such as by amendment to the organizing document)* | 5a | | |
| b | **Type I or Type II only.** Was any added or substituted supported organization part of a class already designated in the organization's organizing document? | 5b | | |
| c | **Substitutions only.** Was the substitution the result of an event beyond the organization's control? | 5c | | |
| 6 | Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (a) its supported organizations, (b) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (c) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? *If "Yes," provide detail in* ***Part VI.*** | 6 | | |
| 7 | Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in IRC 4958(c)(3)(C)), a family member of a substantial contributor, or a 35-percent controlled entity with regard to a substantial contributor? *If "Yes," complete Part I of Schedule L (Form 990)* | 7 | | |
| 8 | Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? *If "Yes," complete Part II of Schedule L (Form 990)* | 8 | | |
| 9a | Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509 (a)(1) or (2))? *If "Yes," provide detail in* ***Part VI.*** | 9a | | |
| b | Did one or more disqualified persons (as defined in line 9(a)) hold a controlling interest in any entity in which the supporting organization had an interest? *If "Yes," provide detail in* ***Part VI.*** | 9b | | |
| c | Did a disqualified person (as defined in line 9(a)) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? *If "Yes," provide detail in* ***Part VI.*** | 9c | | |
| 10a | Was the organization subject to the excess business holdings rules of IRC 4943 because of IRC 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? *If "Yes," answer b below* | 10a | | |
| b | Did the organization have any excess business holdings in the tax year? *(Use Schedule C, Form 4720, to determine whether the organization had excess business holdings)* | 10b | | |
| 11 | Has the organization accepted a gift or contribution from any of the following persons? | | | |
| a | A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization? | 11a | | |
| b | A family member of a person described in (a) above? | 11b | | |
| c | A 35% controlled entity of a person described in (a) or (b) above? *If "Yes" to a, b, or c, provide detail in Part VI* | 11c | | |

## Part IV Supporting Organizations (continued)

### Section B. Type I Supporting Organizations

| | | Yes | No |
|---|---|---|---|
| 1 | Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? *If "No," describe in* ***Part VI*** *how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year* | 1 | | |
| 2 | Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? *If "Yes," explain in* ***Part VI*** *how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised or controlled the supporting organization* | 2 | | |

### Section C. Type II Supporting Organizations

| | | Yes | No |
|---|---|---|---|
| 1 | Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? *If "No," describe in* ***Part VI*** *how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s)* | 1 | | |

### Section D. All Type III Supporting Organizations

| | | Yes | No |
|---|---|---|---|
| 1 | Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (1) a written notice describing the type and amount of support provided during the prior tax year, (2) a copy of the Form 990 that was most recently filed as of the date of notification, and (3) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided? | 1 | | |
| 2 | Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? *If "No," explain in* ***Part VI*** *how the organization maintained a close and continuous working relationship with the supported organization(s)* | 2 | | |
| 3 | By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? *If "Yes," describe in* ***Part VI*** *the role the organization's supported organizations played in this regard* | 3 | | |

### Section E. Type III Functionally-Integrated Supporting Organizations

1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year **(see instructions)**

- **a** ☐ The organization satisfied the Activities Test. Complete **line 2** below.
- **b** ☐ The organization is the parent of each of its supported organizations. Complete **line 3** below.
- **c** ☐ The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions).

| | | | Yes | No |
|---|---|---|---|---|
| 2 | Activities Test. **Answer (a) and (b) below.** | | | |
| **a** | Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? *If "Yes," then in* ***Part VI identify those supported organizations and explain*** *how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities* | 2a | | |
| **b** | Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? *If "Yes," explain in* ***Part VI*** *the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement* | 2b | | |
| 3 | Parent of Supported Organizations. **Answer (a) and (b) below.** | | | |
| **a** | Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? *Provide details in Part VI* | 3a | | |
| **b** | Did the organization exercise a substantial degree of direction over the policies, programs and activities of each of its supported organizations? *If "Yes," describe in Part VI the role played by the organization in this regard* | 3b | | |

## Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

1 Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov 20, 1970 **See instructions.** All other Type III non-functionally integrated supporting organizations must complete Sections A through E ☐

### Section A - Adjusted Net Income

| | | | (A) Prior Year | (B) Current Year (optional) |
|---|---|---|---|---|
| 1 | Net short-term capital gain | 1 | | |
| 2 | Recoveries of prior-year distributions | 2 | | |
| 3 | Other gross income (see instructions) | 3 | | |
| 4 | Add lines 1 through 3 | 4 | | |
| 5 | Depreciation and depletion | 5 | | |
| 6 | Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions) | 6 | | |
| 7 | Other expenses (see instructions) | 7 | | |
| 8 | **Adjusted Net Income** (subtract lines 5, 6 and 7 from line 4) | 8 | | |

### Section B - Minimum Asset Amount

| | | | (A) Prior Year | (B) Current Year (optional) |
|---|---|---|---|---|
| 1 | Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year) | 1 | | |
| a | Average monthly value of securities | 1a | | |
| b | Average monthly cash balances | 1b | | |
| c | Fair market value of other non-exempt-use assets | 1c | | |
| d | **Total** (add lines 1a, 1b, and 1c) | 1d | | |
| e | **Discount** claimed for blockage or other factors (explain in detail in Part VI) _____ | | | |
| 2 | Acquisition indebtedness applicable to non-exempt use assets | 2 | | |
| 3 | Subtract line 2 from line 1d | 3 | | |
| 4 | Cash deemed held for exempt use Enter 1-1/2% of line 3 (for greater amount, see instructions) | 4 | | |
| 5 | Net value of non-exempt-use assets (subtract line 4 from line 3) | 5 | | |
| 6 | Multiply line 5 by 035 | 6 | | |
| 7 | Recoveries of prior-year distributions | 7 | | |
| 8 | **Minimum Asset Amount** (add line 7 to line 6) | 8 | | |

### Section C - Distributable Amount

| | | | | Current Year |
|---|---|---|---|---|
| 1 | Adjusted net income for prior year (from Section A, line 8, Column A) | 1 | | |
| 2 | Enter 85% of line 1 | 2 | | |
| 3 | Minimum asset amount for prior year (from Section B, line 8, Column A) | 3 | | |
| 4 | Enter greater of line 2 or line 3 | 4 | | |
| 5 | Income tax imposed in prior year | 5 | | |
| 6 | **Distributable Amount.** Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions) | 6 | | |

7 Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions) ☐

**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)**

| Section D - Distributions | Current Year |
|---|---|
| 1 Amounts paid to supported organizations to accomplish exempt purposes | |
| 2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity | |
| 3 Administrative expenses paid to accomplish exempt purposes of supported organizations | |
| 4 Amounts paid to acquire exempt-use assets | |
| 5 Qualified set-aside amounts (prior IRS approval required) | |
| 6 Other distributions (describe in Part VI) See instructions | |
| 7 **Total annual distributions.** Add lines 1 through 6 | |
| 8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI) See instructions | |
| 9 Distributable amount for 2015 from Section C, line 6 | |
| 10 Line 8 amount divided by Line 9 amount | |

| Section E - Distribution Allocations (see instructions) | (i) Excess Distributions | (ii) Underdistributions Pre-2015 | (iii) Distributable Amount for 2015 |
|---|---|---|---|
| 1 Distributable amount for 2015 from Section C, line 6 | | | |
| 2 Underdistributions, if any, for years prior to 2015 (reasonable cause required--see instructions) | | | |
| 3 Excess distributions carryover, if any, to 2015 | | | |
| **a** | | | |
| **b** | | | |
| **c** | | | |
| **d** From 2013. . . . . | | | |
| **e** From 2014. . . . . | | | |
| **f** **Total** of lines 3a through e | | | |
| **g** Applied to underdistributions of prior years | | | |
| **h** Applied to 2015 distributable amount | | | |
| **i** Carryover from 2010 not applied (see instructions) | | | |
| **j** Remainder Subtract lines 3g, 3h, and 3i from 3f | | | |
| 4 Distributions for 2015 from Section D, line 7 $ | | | |
| **a** Applied to underdistributions of prior years | | | |
| **b** Applied to 2015 distributable amount | | | |
| **c** Remainder Subtract lines 4a and 4b from 4 | | | |
| 5 Remaining underdistributions for years prior to 2015, if any Subtract lines 3g and 4a from line 2 (if amount greater than zero, see instructions) | | | |
| 6 Remaining underdistributions for 2015 Subtract lines 3h and 4b from line 1 (if amount greater than zero, see instructions) | | | |
| 7 **Excess distributions carryover to 2016.** Add lines 3j and 4c | | | |
| 8 Breakdown of line 7 | | | |
| **a** | | | |
| **b** | | | |
| **c** Excess from 2013. . . . . | | | |
| **d** From 2014. . . . . | | | |
| **e** From 2015. . . . . | | | |

**Part VI** **Supplemental Information.**

Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions).

**Facts And Circumstances Test**

| Return Reference | Explanation |
|---|---|
| SCHEDULE A, PART II, LINE 10, EXPLANATION OF OTHER INCOME | INSURANCE PROCEEDS - 2013 AMOUNT $ 34,000 |

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93493033001177

**SCHEDULE D** (Form 990)

Department of the Treasury
Internal Revenue Service

# Supplemental Financial Statements

▶ Complete if the organization answered "Yes," on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.
▶ Attach to Form 990.
Information about Schedule D (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

**2015**

**Open to Public Inspection**

**Name of the organization**
NORTHLAND FOUNDATION

**Employer identification number**
41-1554455

## Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.
Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

| | | (a) Donor advised funds | (b) Funds and other accounts |
|---|---|---|---|
| 1 | Total number at end of year | | |
| 2 | Aggregate value of contributions to (during year) | | |
| 3 | Aggregate value of grants from (during year) | | |
| 4 | Aggregate value at end of year | | |

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? ☐ Yes ☐ No

## Part II Conservation Easements. Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply)

☐ Preservation of land for public use (e g , recreation or education)
☐ Preservation of an historically important land area
☐ Protection of natural habitat
☐ Preservation of a certified historic structure
☐ Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

| | | Held at the End of the Year |
|---|---|---|
| a | Total number of conservation easements | 2a |
| b | Total acreage restricted by conservation easements | 2b |
| c | Number of conservation easements on a certified historic structure included in (a) | 2c |
| d | Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register | 2d |

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ _____

4 Number of states where property subject to conservation easement is located ▶ _____

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ _____

7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ $ _____

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

## Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.
Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenue included on Form 990, Part VIII, line 1 ▶ $ _____

(ii) Assets included in Form 990, Part X ▶ $ _____

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items

a Revenue included on Form 990, Part VIII, line 1 ▶ $ _____

b Assets included in Form 990, Part X ▶ $ _____

## Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets *(continued)*

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)

- a ☐ Public exhibition
- b ☐ Scholarly research
- c ☐ Preservation for future generations
- d ☐ Loan or exchange programs
- e ☐ Other

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII

5 During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

## Part IV Escrow and Custodial Arrangements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

| | | | Amount |
|---|---|---|---|
| b | If "Yes," explain the arrangement in Part XIII and complete the following table | | |
| c | Beginning balance | 1c | |
| d | Additions during the year | 1d | |
| e | Distributions during the year | 1e | |
| f | Ending balance | 1f | |

2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII Check here if the explanation has been provided in Part XIII . . . . . ☐

## Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

| | | (a)Current year | (b)Prior year | b (c)Two years back | (d)Three years back | (e)Four years back |
|---|---|---|---|---|---|---|
| 1a | Beginning of year balance | 60,148,388 | 62,346,271 | 53,779,082 | 46,186,545 | 43,950,194 |
| b | Contributions | 11,930 | 21,103 | | | |
| c | Net investment earnings, gains, and losses | -2,260,951 | 375,024 | 9,794,644 | 8,669,107 | 3,963,954 |
| d | Grants or scholarships | 249,725 | 278,146 | | | |
| e | Other expenditures for facilities and programs | 1,037,890 | 977,227 | 1,227,455 | 1,076,570 | 1,727,603 |
| f | Administrative expenses | 913,176 | 1,338,637 | | | |
| g | End of year balance | 55,698,576 | 60,148,388 | 62,346,271 | 53,779,082 | 46,186,545 |

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as

- a Board designated or quasi-endowment ▶ 100 000 %
- b Permanent endowment ▶
- c Temporarily restricted endowment ▶

The percentages on lines 2a, 2b, and 2c should equal 100%

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by

| | | Yes | No |
|---|---|---|---|
| (i) unrelated organizations | 3a(i) | | No |
| (ii) related organizations | 3a(ii) | | No |
| b If "Yes" on 3a(ii), are the related organizations listed as required on Schedule R? | 3b | | |

4 Describe in Part XIII the intended uses of the organization's endowment funds

## Part VI Land, Buildings, and Equipment.

Complete if the organization answered 'Yes' to Form 990, Part IV, line 11a.See Form 990, Part X, line 10.

| Description of property | (a) Cost or other basis (investment) | (b) Cost or other basis (other) | Accumulated (c)depreciation | (d)Book value |
|---|---|---|---|---|
| 1a Land | | 317,602 | | 317,602 |
| b Buildings | | 4,906,977 | 1,283,544 | 3,623,433 |
| c Leasehold improvements | | 57,484 | 40,738 | 16,746 |
| d Equipment | | 1,062,966 | 793,741 | 269,225 |
| e Other | | 819,229 | 227,308 | 591,921 |
| **Total.** Add lines 1a through 1e *(Column (d) must equal Form 990, Part X, column (B), line 10(c) )* ▶ | | | | 4,818,927 |

**Part VII** **Investments—Other Securities.** Complete if the organization answered 'Yes' on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

| (a) Description of security or category (including name of security) | (b) Book value | (c) Method of valuation Cost or end-of-year market value |
|---|---|---|
| (1) Financial derivatives | | |
| (2) Closely-held equity interests | | |
| (3) Other | | |
| | | |
| | | |
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| | | |
| | | |
| | | |
| **Total.** *(Column (b) must equal Form 990, Part X, col (B) line 12 )* ▶ | | |

**Part VIII** **Investments—Program Related.** Complete if the organization answered 'Yes' on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

| (a) Description of investment | (b) Book value | (c) Method of valuation Cost or end-of-year market value |
|---|---|---|
| | | |
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| | | |
| **Total.** *(Column (b) must equal Form 990, Part X, col (B) line 13 )* ▶ | | |

**Part IX** **Other Assets.** Complete if the organization answered 'Yes' on Form 990, Part IV, line 11d See Form 990, Part X, line 15

| (a) Description | (b) Book value |
|---|---|
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| **Total.** *(Column (b) must equal Form 990, Part X, col (B) line 15 )* . . . . . ▶ | |

**Part X** **Other Liabilities.** Complete if the organization answered 'Yes' on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

| 1. (a) Description of liability | (b) Book value |
|---|---|
| Federal income taxes | |
| | |
| | |
| | |
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| | |
| | |
| | |
| **Total.** *(Column (b) must equal Form 990, Part X, col (B) line 25 )* ▶ | |

**2.** Liability for uncertain tax positions In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740) Check here if the text of the footnote has been provided in Part XIII ☑

## Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

| | | | | | |
|---|---|---|---|---|---|
| 1 | Total revenue, gains, and other support per audited financial statements | | | 1 | 4,985,021 |
| 2 | Amounts included on line 1 but not on Form 990, Part VIII, line 12 | | | | |
| a | Net unrealized gains (losses) on investments | 2a | -3,035,785 | | |
| b | Donated services and use of facilities | 2b | | | |
| c | Recoveries of prior year grants | 2c | | | |
| d | Other (Describe in Part XIII ) | 2d | 1,260 | | |
| e | Add lines **2a** through **2d** | | | 2e | -3,034,525 |
| 3 | Subtract line **2e** from line **1** | | | 3 | 8,019,546 |
| 4 | Amounts included on Form 990, Part VIII, line 12, but not on line **1** | | | | |
| a | Investment expenses not included on Form 990, Part VIII, line 7b | 4a | | | |
| b | Other (Describe in Part XIII ) | 4b | | | |
| c | Add lines **4a** and **4b** | | | 4c | 0 |
| 5 | Total revenue Add lines **3** and **4c.**(This must equal Form 990, Part I, line 12 ) | | | 5 | 8,019,546 |

## Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

| | | | | | |
|---|---|---|---|---|---|
| 1 | Total expenses and losses per audited financial statements | | | 1 | 7,845,997 |
| 2 | Amounts included on line 1 but not on Form 990, Part IX, line 25 | | | | |
| a | Donated services and use of facilities | 2a | | | |
| b | Prior year adjustments | 2b | | | |
| c | Other losses | 2c | | | |
| d | Other (Describe in Part XIII ) | 2d | 1,537 | | |
| e | Add lines **2a** through **2d** | | | 2e | 1,537 |
| 3 | Subtract line **2e** from line **1** | | | 3 | 7,844,460 |
| 4 | Amounts included on Form 990, Part IX, line 25, but not on line **1:** | | | | |
| a | Investment expenses not included on Form 990, Part VIII, line 7b | 4a | | | |
| b | Other (Describe in Part XIII ) | 4b | | | |
| c | Add lines **4a** and **4b** | | | 4c | 0 |
| 5 | Total expenses Add lines **3** and **4c.** (This must equal Form 990, Part I, line 18 ) | | | 5 | 7,844,460 |

## Part XIII Supplemental Information

Provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b Also complete this part to provide any additional information

| Return Reference | Explanation |
|---|---|
| PART V, LINE 4 | THE PURPOSE OF THE ENDOWMENT IS TO CONTINUE TO GROW OUR CAPACITY FOR FUTURE GENERATIONS AND ENSURE THE STABILITY OF THE NORTHLAND FOUNDATION TO MEET OUR MISSION AS IT RELATES TO THE NEEDS OF THE REGION |

**Part XIII Supplemental Information *(continued)***

| Return Reference | Explanation |
|---|---|
| PART XI, LINE 2D - OTHER ADJUSTMENTS | NORTHLAND INSTITUTE REVENUE 1,260 |
| PART XII, LINE 2D - OTHER ADJUSTMENTS | NORTHLAND INSTITUTE EXPENSE 1,537 |
| | |
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**Schedule I (Form 990)**

Department of the Treasury
Internal Revenue Service

# Grants and Other Assistance to Organizations, Governments and Individuals in the United States

**Complete if the organization answered "Yes," on Form 990, Part IV, line 21 or 22.**

▶ **Attach to Form 990.**

▶ **Information about Schedule I (Form 990) and its instructions is at *www.irs.gov/form990*.**

OMB No 1545-0047

**2015**

**Open to Public Inspection**

Name of the organization
NORTHLAND FOUNDATION

**Employer identification number**
41-1554455

## Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . . ☑ Yes ☐ No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

## Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.

Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than $5,000 Part II can be duplicated if additional space is needed

| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| See Additional Data Table | | | | | | | |
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2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . . . ▶ 70

3 Enter total number of other organizations listed in the line 1 table . . . . . ▶

**Part III** **Grants and Other Assistance to Domestic Individuals.** Complete if the organization answered "Yes" on Form 990, Part IV, line 22
Part III can be duplicated if additional space is needed

| (a)Type of grant or assistance | (b)Number of recipients | (c)Amount of cash grant | (d)Amount of non-cash assistance | (e)Method of valuation (book, FMV, appraisal, other) | (f)Description of non-cash assistance |
|---|---|---|---|---|---|
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**Part IV** **Supplemental Information.** Provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

| Return Reference | Explanation |
|---|---|
| PART I, LINE 2 | ORGANIZATIONS ARE REQUIRED TO SUBMIT INTERIM AND FINAL REPORTS DETAILING PROGRAM ACCOMPLISHMENTS AND USE OF GRANT FUNDS ORGANIZATIONS ITEMIZE ACTUAL EXPENDITURES COMPARED TO THE BUDGET THEY SUBMITTED WITH THEIR GRANT APPLICATION ORGANIZATIONS REPORT ON HOW NORTHLAND FOUNDATION FUNDS WERE SPENT AS WELL AS ADDITIONAL FUNDS PROVIDED BY THE GRANTEE AND OTHER SOURCES ORGANIZATIONS REPORT ON SOURCES OF FUNDING FOR THE PROJECT BOTH AS PROJECTED IN THE GRANT APPLICATION AND THE ACTUAL RECEIPTS OVER THE COURSE OF THE GRANT PERIOD ORGANIZATIONS RECEIVING GRANTS $5,000 AND UNDER SUBMIT A FINAL REPORT ORGANIZATIONS THAT RECEIVE GRANTS OVER $5,000 SUBMIT AN INTERIM REPORT IN ADDITION TO A FINAL REPORT BOTH THE DIRECTOR OF GRANTMAKING AND THE GRANTS MANAGER REVIEW THESE REPORTS AS THEY COME IN |

## Additional Data

**Software ID:**
**Software Version:**
**EIN:** 41-1554455
**Name:** NORTHLAND FOUNDATION

**Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| AITKIN PUBLIC SCHOOLS - ISD #002<br>226 2ND AVENUE SW<br>AITKIN,MN 56432 | 41-6000001 | GOVERNMENTAL | 41,500 | | | | TO SUPPORT OUT OF SCHOOL TIME ACTIVITIES FOR AITKIN AREA YOUTH, TO ENGAGE OLDER ADULTS IN HELPING BOOST ACADEMIC ACHIEVEMENT, AND RURAL AGING INITIATIVE - AGE TO AGE COLLEGE INTERN PROGRAM 2016 |
| ALL NATIONS INDIGENOUS CENTER INC<br>1317 SHILHON RD<br>DULUTH,MN 55804 | 47-1468841 | 501(C)(3) | 5,000 | | | | TO HELP IN THE FORMATION AND STRENGTHENING OF AN ORGANIZATION DEDICATED TO ADDRESSING ISSUES FACING INDIGENOUS PEOPLE |
| APEX<br>306 WEST SUPERIOR STREET SUITE 902<br>DULUTH,MN 55802 | 32-0079166 | 501(C)(3) | 15,000 | | | | TO SUPPORT ECONOMIC DEVELOPMENT EFFORTS IN DULUTH AND THE SURROUNDING AREA |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| ARC NORTHLAND<br>424 WEST SUPERIOR STREET STE 201<br>DULUTH,MN 55802 | 41-6042720 | 501(C)(3) | 15,000 | | | | TO PROVIDE SUPPORT AND ADVOCACY FOR CHILDREN WITH DISABILITIES AND THEIR FAMILIES |
| ARMORY ARTS & MUSIC CENTER<br>1626 E LONDON ROAD 779<br>DULUTH,MN 55812 | 68-0497248 | 501(C)(3) | 5,000 | | | | TO SUPPORT EXPANDED HOURS FOR THE MUSIC RESOURCE CENTER FOR AREA YOUTH |
| ARROWHEAD REGIONAL DEVELOPMENT COMMISSION<br>221 WEST 1ST STREET<br>DULUTH,MN 55802 | 41-0914274 | 501(C)(3) | 25,000 | | | | TO SUPPORT WORK TO IMPROVE THE DELIVERY OF SERVICES TO OLDER ADULTS AND THEIR CARE GIVERS ON THE IRON RANGE |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| CHILDREN'S DENTAL SERVICES INC<br>636 BROADWAY STREET NE<br>MINNEAPOLIS,MN 55413 | 41-0857929 | 501(C)(3) | 10,000 | | | | TO PROVIDE LOW-INCOME CHILDREN ACROSS NORTHEAST MINNESOTA WITH ACCESS TO DENTAL CARE |
| CHILDREN'S MENTAL HEALTH SERVICES INC<br>35382 US HWY 2<br>GRAND RAPIDS,MN 55744 | 41-1742282 | 501(C)(3) | 5,000 | | | | TO SUPPORT STAFF TRAINING IN TRAUMA -FOCUSED COGNITIVE BEHAVIORAL THERAPY |
| CHISHOLM KIDS PLUS<br>301 4TH ST SW<br>CHISHOLM,MN 55719 | 20-1241174 | 501(C)(3) | 15,000 | | | | TO SUPPORT THE COORDINATION AND IMPLEMENTATION OF ACTIVITIES THAT TAP THE TALENTS OF OLDER ADULTS TO HELP YOUTH AND COMMUNITY THRIVE |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| CITIZENS LEAGUE<br>213 4TH STREET EAST<br>SUITE 425<br>ST PAUL,MN 55101 | 41-0722696 | 501(C)(3) | 35,000 | | | | SUPPORT FOR A COMMUNITY ENGAGEMENT EFFORT FOCUSED ON THE ISSUES OF AGING AND HOUSING |
| CLIMB THEATRE INC<br>6415 CARMEN AVENUE EAST<br>INVER GROVE HEIGHTS, MN 55076 | 51-0189494 | 501(C)(3) | 17,000 | | | | TO PROVIDE EDUCATIONAL PROGRAMMING ON THE DANGERS OF HEROIN AND METH IN AREA SCHOOLS |
| CLOQUET PUBLIC SCHOOLS - ISD #095<br>303 14TH STREET<br>CLOQUET,MN 55721 | 41-6000450 | GOVERNMENTAL | 20,500 | | | | RURAL AGING INITIATIVE - AGE TO AGE COLLEGE INTERN PROGRAM 2016 AND TO SUPPORT THE COORDINATION AND IMPLEMENTATION OF ACTIVITIES THAT TAP THE TALENTS OF OLDER ADULTS TO HELP YOUTH AND COMMUNITY THRIVE |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| COMMUNICATION CENTER STATE SERVICES FOR THE BLIND<br>402 WEST FIRST STREET<br>DULUTH,MN 55802 | 41-6031510 | 501(C)(3) | 12,500 | | | | TO PROVIDE SERVICES TO OLDER ADULTS EXPERIENCING VISION LOSS |
| COMMUNITY ACTION DULUTH<br>2424 WEST 5TH STREET<br>SUITE 102<br>DULUTH,MN 55806 | 41-1410670 | 501(C)(3) | 65,000 | | | | SUPPORT FOR COMMUNITY ENGAGEMENT AND EMPOWERMENT PROGRAMMING FOR LOW-INCOME FAMILIES AND TO PROVIDE FREE INCOME TAX PREPERATION SERVICES FOR PEOPLE WITH LOW-INCOMES |
| COOK COUNTY COMMUNITY YMCA<br>105 WEST 5TH STREET<br>GRAND MARAIS,MN 55604 | 41-0693931 | 501(C)(3) | 20,000 | | | | TO SUPPORT OUT OF SCHOOL TIME PROGRAMMING FOR YOUTH IN COOK COUNTY |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| COOK COUNTY SCHOOLS - ISD #166<br>101 WEST 5TH STREET<br>GRAND MARAIS,MN 55604 | 41-6000677 | GOVERNMENTAL | 20,000 | | | | TO SUPPORT THE ADOPTION OF THE ORTON-GILLINGHAM READING CURRICULLUM ACROSS COOK COUNTY SCHOOLS |
| DAMIANO OF DULUTH INC<br>206 W 4TH ST RM 201<br>DULUTH,MN 55806 | 41-1453521 | 501(C)(3) | 35,000 | | | | TO INCREASE THE STAFF CAPACITY OF THE DAMIANO CENTER AND TO SUPPORT THE PROGRAMS OF THE DAMIANO CENTER |
| DEPOT COMMONS ASSOCIATION OF GRAND RAPIDS<br>1 NW 3RD STREET<br>GRAND RAPIDS,MN 55744 | 41-1424638 | 501(C)(3) | 20,000 | | | | TO SUPPORT A PROGRAM TO PROVIDE SOFT SKILLS EMPLOYMENT TRAINING TO YOUTH IN THE DEER RIVER AREA |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| DULUTH AREA FAMILY YMCA<br>302 WEST 1ST STREET<br>DULUTH,MN 55802 | 41-0693931 | 501(C)(3) | 45,000 | | | | TO ESTABLISH A NEW STAFF POSITION TO INCREASE OPPORTUNITIES FOR YOUTH TO ENGAGE IN OUTDOOR RECREATION ACTIVITIES AND TO SUPPORT THE MENTOR DULUTH PROGRAM THAT CONNECTS AT-RISK YOUTH WITH CARING ADULTS |
| DULUTH ART INSTITUTE ASSOCIATION<br>506 W MICHIGAN ST<br>DULUTH,MN 55802 | 41-0945449 | 501(C)(3) | 5,000 | | | | TO PROVIDE ARTS PROGRAMMING TO OLDER ADULTS WITH MEMORY LOSS |
| DULUTH PUBLIC SCHOOLS - ISD #709<br>215 N 1ST AVENUE EAST<br>DULUTH,MN 55802 | 41-6003776 | GOVERNMENTAL | 10,000 | | | | TO PROVIDE SUPPORTIVE SERVICES TO FIRST TIME PARENTS WITH SPECIAL NEEDS, AND TO INCREASE THE CULTURAL COMPETENCY OF DULUTH HEAD START STAFF AND TO PILOT A DAD'S PARENT GROUP |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.**

| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| FALLS HUNGER COALITION INC<br>1000 5TH STREET<br>INTL FALLS,MN 56649 | 36-3602229 | 501(C)(3) | 15,000 | | | | TO PROVIDE FUNDING FOR A VOUCHER PROGRAM TO PROVIDE FRESH PRODUCE AND DAIRY PRODUCTS FOR FOOD SHELF USERS |
| FLOODWOOD SERVICES AND TRAINING INC<br>601 ASH STREET/PO BOX 347<br>FLOODWOOD,MN 55736 | 41-1296075 | 501(C)(3) | 15,000 | | | | TO SUPPORT THE COORDINATION AND IMPLEMENTATION OF ACTIVITIES THAT TAP THE TALENTS OF OLDER ADULTS TO HELP YOUTH AND COMMUNITY THRIVE |
| FRIENDS OF THE FINLAND COMMUNITY<br>6866 CRAMER ROAD/PO BOX 582<br>FINLAND,MN 55603 | 83-0494175 | 501(C)(3) | 15,000 | | | | TO SUPPORT OUT OF SCHOOL TIME PROGRAMMING FOR YOUTH IN THE FINLAND AREA |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| GND DEVELOPMENT ALLIANCE<br>321-101 AVENUE WEST<br>DULUTH,MN 55808 | 80-0818916 | 501(C)(3) | 15,000 | | | | TO SUPPORT COMMUNITY ENRICHMENT PROGRAMMING IN THE GARY-NEW DULUTH NEIGHBORHOOD |
| GRACE HOUSE OF ITASCA COUNTY<br>501 SW 1ST AVE<br>GRAND RAPIDS,MN 55744 | 14-1974011 | 501(C)(3) | 5,000 | | | | TO PROVIDE SHELTER AND SERVICES FOR PEOPLE EXPERIENCING HOMELESSNESS IN THE GRAND RAPIDS AREA |
| HARBOR CITY INTERNATIONAL CHARTER SCHOOL<br>332 WEST MICHIGAN ST<br>DULUTH,MN 55802 | 41-1998054 | GOVERNMENTAL | 5,000 | | | | TO SUPPORT THE LITERACY INTERVENTION PROGRAM FOR HIGH SCHOOL STUDENTS READING BELOW GRADE LEVEL |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| HARTLEY NATURE CENTER<br>3001 WOODLAND AVE<br>DULUTH,MN 558031980 | 36-3507636 | 501(C)(3) | 15,257 | | | | TO PROVIDE RESOURCES TO ENCOURAGE THE REGULAR INCORPORATION OF NATURE PLAY INTO EARLY CHILDHOOD EDUCATION PROGRAMS SERVING LOW-INCOME CHILDREN |
| ISD #2142 ST LOUIS COUNTY SCHOOLS<br>1701 NORTH 9TH AVENUE<br>VIRGINIA,MN 55792 | 41-1744884 | GOVERNMENTAL | 5,000 | | | | TO PROVIDE PROFESSIONAL DEVELOPMENT FOR EDUCATORS TO IMPLEMENT A PROGRAM TO IMPROVE STUDENT ENGAGEMENT AND MOTIVATION |
| KAIROS ALIVE<br>4316 UPTON AV S<br>MINNEAPOLIS,MN 55410 | 81-0551482 | 501(C)(3) | 5,000 | | | | TO SUPPORT INTER-GENERATIONAL ARTS PROGRAMMING IN AITKIN COUNTY AND THE CITY OF DULUTH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.**

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|---|---|---|---|---|---|---|---|
| KIDS CLOSET OF DULUTH<br>11520 HIGHWAY 8<br>FLOODWOOD,MN 55736 | 20-1878745 | 501(C)(3) | 5,000 | | | | TO PURCHASE OUTERWEAR AND OTHER CLOTHING FOR CHILDREN IN NEED |
| LAKE COUNTY DEVELOPMENTAL ACHIEVEMENT CENTER<br>PO BOX 143<br>KNIFE RIVER,MN 55609 | 41-0973700 | 501(C)(3) | 9,100 | | | | TO SUPORT THE CREATION OF A VOLUNTEER PROGRAM |
| LAKE SUPERIOR SCHOOL DISTRICT - ISD #381<br>1640 HIGHWAY 2<br>TWO HARBORS,MN 55616 | 41-6001896 | GOVERNMENTAL | 11,500 | | | | TO ENGAGE OLDER ADULTS IN HELPING BOOST ACADEMIC ACHIEVEMENT AND RURAL AGING INITIATIVE-AGE TO AGE COLLEGE INTERN PROGRAM 2016 |

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|---|---|---|---|---|---|---|---|
| LAKE SUPERIOR ZOOLOGICAL SOCIETY<br>7210 FREMONT ST<br>DULUTH,MN 55807 | 41-0944885 | 501(C)(3) | 15,000 | | | | TO PROVIDE OUT OF SCHOOL AND IN SCHOOL ENRICHMENT ACTIVITIES TO HELP YOUTH LEARN ABOUT ANIMALS AND CONSERVATION |
| LIFE HOUSE INC<br>102 WEST 1ST STREET<br>DULUTH,MN 55802 | 41-1704840 | 501(C)(3) | 20,000 | | | | TO SUPPORT PROGRAMMING TO ADDRESS THE EDUCATIONAL NEEDS OF YOUTH WHO ARE AT-RISK OF OR EXPERIENCING HOMELESSNESS |
| LINCOLN PARK CHILDREN AND FAMILIES COLLABORATIVE<br>2424 WEST 5TH STREET<br>SUITE 10<br>DULUTH,MN 55806 | 27-4990487 | 501(C)(3) | 15,000 | | | | SUPPORT FOR EARLY CHILDHOOD PROGRAMMING IN THE LINCOLN PARK NEIGHBORHOOD |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| LUTHERAN SOCIAL SERVICE OF MINNESOTA 424 WEST SUPERIOR STREET STE 105 DULUTH,MN 55802 | 41-0872993 | 501(C)(3) | 60,000 | | | | TO PROVIDE SERVICES TO YOUTH WHO ARE HOMELESS OR AT RISK OF HOMELESSNESS |
| MCGREGOR KIDS PLUS SOMETHING COOL INC 94 NORTH MADDY STREET/PO BOX 99 MCGREGOR,MN 55760 | 41-1941630 | 501(C)(3) | 23,000 | | | | RURAL AGING INITIATIVE - AGE TO AGE COLLEGE INTERN PROGRAM 2016 AND TO SUPPORT THE COORDINATION AND IMPLEMENTATION OF ACTIVITIES THAT TAP THE TALENTS OF OLDER ADULTS TO HELP YOUTH AND COMMUNITY THRIVE |
| MINNESOTA ASSISTANCE COUNCIL FOR VETERANS 360 ROBERT STREET NORTH SUITE 306 SAINT PAUL,MN 55101 | 41-1694717 | 501(C)(3) | 10,000 | | | | TO PROVIDE EMERGENCY ASSISTANCE TO VETERANS AND THEIR FAMILIES IN CRISIS |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.**

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|---|---|---|---|---|---|---|---|
| MINNESOTA COALITION FOR THE HOMELESS<br>2233 UNIVERSITY AVE W 434<br>ST PAUL,MN 55114 | 41-1601248 | 501(C)(3) | 5,000 | | | | TO SUPPORT EFFORTS TO WORK WITH NATIVE NATIONS IN NORTHEAST MINNESOTA TO ADDRESS ISSUES OF HOMELESSNESS |
| MINNESOTA COUNCIL OF NONPROFITS<br>2314 UNIVERSITY AVE WEST SUITE 20<br>SAINT PAUL,MN 55114 | 36-3501477 | 501(C)(3) | 5,000 | | | | SUPPORT FOR SERVICES TO INCREASE ACCESS TO QUALITY NONPROFIT MANAGEMENT CAPACITY BUILDING RESOURCES IN NORTHEAST MINNESOTA |
| MOOSE LAKE SCHOOLS - ISD #097<br>413 BIRCH AVENUE/PO BOX 489<br>MOOSE LAKE,MN 55767 | 41-6000446 | GOVERNMENTAL | 20,500 | | | | RURAL AGING INITIATIVE - AGE TO AGE COLLEGE INTERN PROGRAM 2016 AND TO SUPPORT THE COORDINATION AND IMPLEMENTATION OF ACTIVITIES THAT TAP THE TALENTS OF OLDER ADULTS TO HELP YOUTH AND COMMUNITY THRIVE |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.**

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|---|---|---|---|---|---|---|---|
| MT IRON-BUHL HIGH SCHOOL<br>5720 MARBLE AVE<br>MOUNTAIN IRON,MN<br>55768 | 41-1521544 | GOVERNMENTAL | 5,000 | | | | TO PROVIDE ACADEMIC SUPPORT PROGRAMMING TO AT-RISK MIDDLE SCHOOL STUDENTS |
| MYERS-WILKINS COMMUNITY SCHOOL COLLABORATIVE<br>1027 NORTH 8TH AVENUE EAST<br>DULUTH,MN 55805 | 41-2002724 | GOVERNMENTAL | 25,000 | | | | TO SUPPORT THE CREATION OF A DEVELOPMENT COORDINATOR POSITION |
| VALLEY YOUTH CENTERS OF DULUTH<br>720 NORTH CENTRAL AVENUE<br>DULUTH,MN 55807 | 41-0850223 | 501(C)(3) | 5,000 | | | | TO SUPPORT A WEEK LONG FESTIVAL HONORING MARTIN LUTHER KING JR AND CELEBRATING AFRICAN AMERICAN CULTURE |

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|---|---|---|---|---|---|---|---|
| NORTH SHORE HEALTH CARE FOUNDATION<br>PO BOX 454<br>GRAND MARAIS,MN 55604 | 41-1694904 | 501(C)(3) | 10,000 | | | | TO SUPPORT EFFORTS TO IMPROVE THE ORAL HEALTH OF CHILDREN AND YOUTH IN COOK COUNTY |
| NORTH ST LOUIS COUNTY HABITAT FOR HUMANITY<br>PO BOX 24<br>VIRGINIA,MN 55792 | 41-1791050 | 501(C)(3) | 30,000 | | | | TO CREATE A NEW STAFF POSITION TO BETTER SERVE HABITAT PARTNER FAMILIES |
| NORTHOME KIDS PLUSNORTHOME SCHOOL<br>PO BOX 465/HWY 1<br>NORTHOME,MN 56661 | 41-0853044 | 501(C)(3) | 5,000 | | | | TO SUPPORT SUMMER PROGRAMMING FOR AT-RISK YOUTH IN THE NORTHOME AREA |

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|---|---|---|---|---|---|---|---|
| ONE ROOF COMMUNITY HOUSING<br>12 EAST 4TH STREET<br>DULUTH,MN 55805 | 41-1678328 | 501(C)(3) | 50,000 | | | | SUPPORT FOR A NEW LENDING DIRECTOR POSITION |
| OSHKI OGIMAAG CHARTER SCHOOL<br>73 UPPER ROAD/PO BOX 320<br>GRAND PORTAGE,MN 55605 | 80-0272550 | GOVERNMENTAL | 15,000 | | | | TO SUPPORT THE COORDINATION AND IMPLEMENTATION OF ACTIVITIES THAT TAP THE TALENTS OF OLDER ADULTS TO HELP YOUTH AND COMMUNITY THRIVE |
| PROCTOR PUBLIC SCHOOLS - ISD #704<br>131 9TH AVENUE<br>PROCTOR,MN 55810 | 41-6003748 | GOVERNMENTAL | 15,000 | | | | TO SUPPORT THE COORDINATION AND IMPLEMENTATION OF ACTIVITIES THAT TAP THE TALENTS OF OLDER ADULTS TO HELP YOUTH AND COMMUNITY THRIVE |

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|---|---|---|---|---|---|---|---|
| PROGRAM FOR AID TO VICTIMS OF SEXUAL ASSAULT<br>32 EAST FIRST ST STE 200<br>DULUTH,MN 55802 | 41-1350021 | 501(C)(3) | 30,000 | | | | TO SUPPORT EFFORTS TO PREVENT SEXUAL EXPLOITATION AND TRAFFICKING AND IMPROVE THE DELIVERY OF INCLUSIVE SERVICES, PARTICULARLY TO LGBTQ YOUTH AND VULNERABLE ADULTS |
| PROJECT CARE FREE CLINIC<br>3112 6TH AVENUE EAST<br>HIBBING,MN 55746 | 27-3176137 | 501(C)(3) | 25,000 | | | | TO SUPPORT ACCESS TO HEALTH CARE FOR THE UNINSURED IN HIBBING, VIRGINIA AND GRAND RAPIDS |
| RURAL AND AMERICAN INDIGENOUS LEADERSHIP<br>222 SOUTH 8TH ST<br>VIRGINIA,MN 55792 | 47-2887868 | 501(C)(3) | 20,000 | | | | TO ESTABLISH PROGRAMMING TO STRENGTHEN WOMEN'S LEADERSHIP IN RURAL AND AMERICAN INDIGENOUS COMMUNITIES |

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|---|---|---|---|---|---|---|---|
| SCOTTISH RITE FOUNDATION OF DULUTH<br>28 WEST 2ND STREET<br>DULUTH,MN 55802 | 41-1420162 | 501(C)(3) | 20,000 | | | | TO PROVIDE EDUCATION CLASSES TO PARENTS WITH CHILDREN EXPERIENCING SPEECH AND LANGUAGE DISORDERS |
| SECOND HARVEST NORTH CENTRAL FOOD BANK<br>2222 CROMELL DRIVE/BOX 5130<br>GRAND RAPIDS,MN 55744 | 41-1782776 | 501(C)(3) | 10,000 | | | | SUPPORT FOR THE BACKPACK PROGRAM TO PROVIDE FOOD FOR CHILDREN IN NEED IN AITKIN AND ITASCA COUNTIES |
| SOAR CAREER SOLUTIONS<br>205 WEST 2ND STREET<br>SUITE 101<br>DULUTH,MN 55802 | 41-1449179 | 501(C)(3) | 5,000 | | | | TO PROVIDE GAP FUNDING FOR SERVICES TO PEOPLE EXITING INCARCERTION |

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|---|---|---|---|---|---|---|---|
| TWIN CITY CHRISTIAN HOMES DBA AVINITY 7645 LYNDALE AVE S RICHFIELD,MN 55423 | 41-1538892 | 501(C)(3) | 30,000 | | | | TO ESTABLISH A NEW PROGRAM USING TECHNOLOGY TO HELP OLDER ADULTS MAINTAIN THEIR INDEPENDENCE |
| TWO HARBORS COMMUNITY RADIO PO BOX 622 TWO HARBORS,MN 55616 | 35-2458586 | 501(C)(3) | 5,000 | | | | TO SUPPORT INTERGENERATIONAL YOUTH RADIO PROGRAMMING |
| UDAC INC 500 EAST 10TH STREET DULUTH,MN 55805 | 41-0856115 | 501(C)(3) | 5,000 | | | | TO SUPPORT THE DEVELOPMENT OF A NEW STRATEGIC PLAN |

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|---|---|---|---|---|---|---|---|
| UNITED WAY OF NORTHEASTERN MINNESOTA<br>229 WEST LAKE ST<br>CHISHOLM,MN 557190066 | 41-0908454 | 501(C)(3) | 106,250 | | | | SUPPORT FOR TECHNOLOGY UPGRADES AND TO SUPPORT CRITICAL NEED PROGRAMS ACROSS THE IRON RANGE |
| UNIVERSITY OF MINNESOTA DULUTH<br>1023 UNIVERSITY DR 371<br>MARSHALL W<br>ALWORTH HALL<br>DULUTH,MN 558123009 | 41-6007513 | GOVERNMENTAL | 5,000 | | | | TO SUPPORT PROGRAMMING THAT TEACHES ASTRONOMY AND STORY TELLING TO NATIVE AMERICAN STUDENTS |
| UNIVERSITY OF WISCONSIN SUPERIOR FOUNDATION INC<br>OLD MAIN 237 BELKNAP<br>CATLIN/PO BOX<br>2000<br>SUPERIOR,WI 548804500 | 39-6088707 | 501(C)(3) | 15,000 | | | | TO SUPPORT A PAID CAREER-READY INTERNSHIP PROGRAM FOR LOW-INCOME STUDENTS |

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|---|---|---|---|---|---|---|---|
| US FIRST<br>200 BEDFORD STREET<br>MANCHESTER,NH 03101 | 22-2990908 | 501(C)(3) | 5,000 | | | | IN SUPPORT OF THE 2016 REGIONAL ROBOTICS COMPETITION IN NORTHEAST MINNESOTA |
| VOLUNTEERS IN EDUCATION INC<br>30 THIRD AVE/PO BOX 65<br>SOUDAN,MN 557820065 | 45-0578555 | 501(C)(3) | 20,000 | | | | TO SUPPORT THE HIRING OF A NEW EXECUTIVE DIRECTOR |
| WELL BEING DEVELOPMENT<br>PO BOX 714<br>ELY,MN 55731 | 27-2987032 | 501(C)(3) | 14,963 | | | | TO SUPPORT PROGRAMMING FOR ADULTS WITH MENTAL ILLNESS IN THE ELY AREA |

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|---|---|---|---|---|---|---|---|
| WESTERN LAKE SUPERIOR HABITAT FOR HUMANITY<br>2002 W SUPERIOR ST<br>SUITE 9<br>DULUTH,MN 55806 | 41-1631246 | 501(C)(3) | 15,000 | | | | TO EXPAND THE CAPACITY OF HABITAT FOR HUMANITY IN THE TWIN PORTS TO PROVIDE AFFORDABLE HOUSING |
| WHITE COMMUNITY HOSPITAL FOUNDATION<br>5211 HIGHWAY 110<br>AURORA,MN 55705 | 30-0626651 | 501(C)(3) | 5,000 | | | | TO SUPPORT THE IMPLEMENTATION OF THE VIAL OF LIFE PROGRAM IN THE HOYT LAKES AREA |
| WOODLAND HILLS<br>4321 ALLENDALE AVENUE<br>DULUTH,MN 55803 | 41-0693848 | 501(C)(3) | 30,000 | | | | TO PROVIDE OUT OF SCHOOL TIME PROGRAMS TO YOUTH IN DULUTH'S CENTRAL HILLSIDE |

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|---|---|---|---|---|---|---|---|
| YWCA OF DULUTH<br>32 EAST FIRST STREET<br>SUITE 202<br>DULUTH,MN 55802 | 41-0696493 | 501(C)(3) | 20,000 | | | | TO SUPPORT OUT OF SCHOOL TIME PROGRAMMING TO MIDDLE SCHOOL AGED GIRLS |

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**Schedule J** (Form 990)

Department of the Treasury
Internal Revenue Service

# Compensation Information

**For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

▶ **Complete if the organization answered "Yes" on Form 990, Part IV, line 23.**

▶ **Attach to Form 990.**

▶ **Information about Schedule J (Form 990) and its instructions is at *www.irs.gov/form990*.**

OMB No 1545-0047

**2015**

**Open to Public Inspection**

Name of the organization
NORTHLAND FOUNDATION

**Employer identification number**
41-1554455

## Part I Questions Regarding Compensation

| | | | Yes | No |
|---|---|---|---|---|
| **1a** | Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items | | | |
| | ☐ First-class or charter travel | ☐ Housing allowance or residence for personal use | | |
| | ☐ Travel for companions | ☐ Payments for business use of personal residence | | |
| | ☑ Tax idemnification and gross-up payments | ☑ Health or social club dues or initiation fees | | |
| | ☐ Discretionary spending account | ☐ Personal services (e g , maid, chauffeur, chef) | | |
| **b** | If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain | **1b** | Yes | |
| **2** | Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked in line 1a? | **2** | Yes | |
| **3** | Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director Check all that apply Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III | | | |
| | ☐ Compensation committee | ☐ Written employment contract | | |
| | ☐ Independent compensation consultant | ☑ Compensation survey or study | | |
| | ☐ Form 990 of other organizations | ☑ Approval by the board or compensation committee | | |
| **4** | During the year, did any person listed on Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization | | | |
| **a** | Receive a severance payment or change-of-control payment? | **4a** | | No |
| **b** | Participate in, or receive payment from, a supplemental nonqualified retirement plan? | **4b** | | No |
| **c** | Participate in, or receive payment from, an equity-based compensation arrangement? | **4c** | | No |
| | If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III | | | |
| | **Only 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.** | | | |
| **5** | For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of | | | |
| **a** | The organization? | **5a** | | No |
| **b** | Any related organization? | **5b** | | No |
| | If "Yes," on line 5a or 5b, describe in Part III | | | |
| **6** | For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of | | | |
| **a** | The organization? | **6a** | | No |
| **b** | Any related organization? | **6b** | | No |
| | If "Yes," on line 6a or 6b, describe in Part III | | | |
| **7** | For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III | **7** | | No |
| **8** | Were any amounts reported on Form 990, Part VII, paid or accured pursuant to a contract that was subject to the initial contract exception described in Regulations section 53 4958-4(a)(3)? If "Yes," describe in Part III | **8** | | No |
| **9** | If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53 4958-6(c)? | **9** | | |

**Part II** **Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

**Note.** The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual

| **(A)** Name and Title | | **(B)** Breakdown of W-2 and/or 1099-MISC compensation | | | **(C)** Retirement and other deferred compensation | **(D)** Nontaxable benefits | **(E)** Total of columns (B)(i)-(D) | **(F)** Compensation in column(B) reported as deferred on prior Form 990 |
|---|---|---|---|---|---|---|---|---|
| | | (i) Base compensation | (ii) Bonus & incentive compensation | (iii) Other reportable compensation | | | | |
| **1** LYNN HAGLIN<br>VICE PRESIDENT | (i) | 144,692 | 0 | 2,567 | 8,852 | 24,943 | 181,054 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| **2** ANTHONY SERTICH<br>PRESIDENT | (i) | 148,851 | 3,000 | 6,724 | 0 | 5,759 | 164,334 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

## Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II Also complete this part for any additional information

| Return Reference | Explanation |
|---|---|
| PART I, LINE 1A | THE PRESIDENT RECEIVES GROSS UP PAYMENTS ON HIS PERSONAL USE OF VEHICLE EXPENSE CAR ALLOWANCE SUBSTANTIATION IS REQUIRED AND PAYMENTS ARE TAXABLE TO THE RECIPIENT ALL STAFF RECIEVE A WELLNESS AMOUNT SUBSTANTIATION IS REQUIRED AND ALL PAYMENTS ARE TAXABLE TO THE RECIPIENT |
| PART I, LINE 1B | THERE IS A WRITTEN POLICY IN PLACE FOR THE WELLNESS PROGRAM AND SUBSTANTIATION IS REQUIRED THERE IS NOT A WRITTEN POLICY REGARDING THE PERSONAL USE OF AUTOS, BUT SUBSTANTIATION IS REQUIRED PRIOR TO REIMBURSEMENT |
| PART I, LINE 3 | THE CHAIR OF THE BOARD USES DATA FROM COMPENSATION SURVEYS TO DETERMINE COMPENSATION LEVELS, AND THEN MEETS WITH THE PRESIDENT TO DISCUSS AFTER THE MEETING A RECOMMENDATION IS BROUGHT TO THE BOARD OF TRUSTEES AND A DECISION IS MADE BY THE BOARD THE SURVEYS USED ARE ONES THAT ARE DONE BY SIMILAR NON-PROFITS IN THE STATE TO BRING RECOMMENDATIONS TO THE BOARD OF TRUSTEES FOR ALL EMPLOYEE WAGES THE BOARD OF TRUSTEES APPROVE AN ANNUAL BUDGET THAT INCLUDES A "STANDARD" INCREASE FOR THE ENTIRE STAFF IN CASES WHERE AN EMPLOYEE'S RESPONSIBILITIES HAVE INCREASE TO RECOMMEND A CORRESPONDING SALARY ADJUSTMENT, THE PRESIDENT HAS THE AUTHORITY FROM THE BOARD TO MAKE SUCH APPROPRIATE ADJUSTMENTS, IN LINE WITH THE BOARD APPROVED ANNUAL BUDGET |

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**SCHEDULE O (Form 990 or 990-EZ)**

Department of the Treasury
Internal Revenue Service

# Supplemental Information to Form 990 or 990-EZ

**Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.**
**▶ Attach to Form 990 or 990-EZ.**
**▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.**

OMB No 1545-0047

**2015**

**Open to Public Inspection**

| Name of the organization | Employer identification number |
|---|---|
| NORTHLAND FOUNDATION | 41-1554455 |

| Return Reference | Explanation |
|---|---|
| FORM 990, PART VI, SECTION A, LINE 3 | AVINITY MANAGEMENT COMPANY (AVINITY) HAS BEEN ENGAGED AS AN INDEPENDENT CONTRACTOR TO PROVIDE MANAGEMENT SERVICES TO NORTHLAND ASSISTED LIVING (NAL), WHICH OWNS THREE SENIOR HOUSING FACILITIES IN MINNESOTA AVINITY'S HAS THE AUTHORITY TO NEGOTIATE AND EXCUTE, ON BEHALF OF NAL, ALL LEASES, RENTAL AGREEMENTS, AND CONTRACTS FOR WORK OR SERVICES NECESSARY FOR OPERATION AND MANAGEMENT OF THE SENIOR HOUSING FACILITIES AVINITY SHALL FURNISH THE SERVICES OF ITS ORGANIZATION AND SHALL BE RESPONSIBLE FOR DAY-TO-DAY OPERATIONS OF THE SENIOR HOUSING FACILITIES INCLUDING BUT NOT LIMITED TO - SELECTING AND ADMITTING TENANTS - HIRING AND SUPERVISING ON-SITE STAFF - DEVELOPING AND MAINTAINING AN EMPLOYEE HANDBOOK - DEVELOPING AND RECOMMENDING A WRITTEN RESIDENT HANDBOOK EXPLAINING OPERATING POLICIES AND PROCEDURES, ADMISSION POLICIES AND SENIOR HOUSING RULES - PROVIDING AND MAINTAINING INSURANCE COVERAGE - MAINTAINING THE BUILDINGS, GROUNDS, EQUIPMENT, AND PLAN IN GOOD OPERATING CONDITION AND REPAIR AND IN THE SAFE, CLEAN AND ORDERLY STATUS - PROVIDING FOR ALL NECESSARY AND APPROPRIATE ACCOUNTING SERVICES AND SYSTEMS - MANAGING THE GENERAL OPERATING BANK ACCOUNT OR ACCOUNTS FOR THE SENIOR HOUSING FACILITIES - ADMINISTERING THE COLLECTION OF MONTHLY CHARGES AND ACCOUNTS FROM RESIDENTS AND OTHERS - DEVELOPING AND ADMINISTERING AN ON-SITE SAFETY PLAN THAT PROVIDES FOR THE EFFECTIVE EVACUATION OF ALL PERSON IN THE EVENT OF FIRE OR OTHER NATURAL DISASTER |

| Return Reference | Explanation |
| --- | --- |
| FORM 990, PART VI, SECTION B, LINE 11 | THE PRESIDENT AND THE CFO REVIEW A COPY OF THE 990 AFTER IT IS COMPLETED A COPY OF THE 990 IS THEN MADE AVAILABLE AT THE NEXT FINANCE COMMITTEE MEETING FOR REVIEW AND IS OPEN FOR DISCUSSION THE FINANCE COMMITTEE WILL THEN SUGGEST APPROVAL AT THE NEXT BOARD MEETING DURING THAT BOARD MEETING, IT IS OPEN FOR DISCUSSION AND APPROVED BY THE BOARD OF TRUSTEES |

| Return Reference | Explanation |
| --- | --- |
| FORM 990, PART VI, SECTION B, LINE 12C | THE BOARD OF TRUSTEES, COMMITTEE MEMBERS AND STAFF MAKE REGULAR DECISIONS REGARDING DISBURSEMENT OR ASSIGNMENT OF RESOURCES FROM THE FOUNDATION FOR BUSINESS LOANS, CONTRACTS, COMMUNITY AND ECONOMIC DEVELOPMENT GRANTS AND FOR THE ONGOING OPERATION OF THE FOUNDATION, AND SHALL REPRESENT THE ENTIRE REGION FAIRLY IT IS IMPERATIVE THAT DECISIONS AFFECTING THE FOUNDATION ARE NOT TAINTED BY REAL OR PERCEIVED CONFLICTS OF INTEREST MEMBERS OF THE BOARD OR COMMITTEES WILL NOT PARTICIPATE IN DISCUSSING OR VOTING UPON ANY PROPOSAL OR BUSINESS AGREEMENT IN WHICH THEY HAVE A DIRECT OR INDIRECT PERSONAL OR FINANCIAL INTEREST IN ADDITION, AS SOON AS A POTENTIAL CONFLICT OF INTERESTS BECOMES APPARENT, THE MEMBER MUST INFORM THE BOARD OR COMMITTEE OF THE POTENTIAL CONFLICT OF INTEREST STAFF WILL NOT PROMOTE PROPOSALS OR INITIATE BUSINESS AGREEMENTS FOR THE FOUNDATION THAT WOULD FINANCIALLY BENEFIT THEM OR MEMBERS OF THEIR FAMILY WITHOUT INFORMING THE PRESIDENT IF THE POTENTIAL CONFLICT OF INTEREST AFFECTS THE PRESIDENT, THE BOARD SHALL BE NOTIFIED OPTIONS FOR RESOLUTION IF A CONFLICT OF INTEREST IS DETERMINED TO EXIST, OPTIONS TO BE EMPLOYED, AT THE DISCRETION OF THE CHAIR AND/OR PRESIDENT WOULD INCLUDE, BUT NOT BE LIMITED TO 1 THE BOARD MEMBER, COMMITTEE MEMBER OR STAFF MEMBER WOULD NOT BE PERMITTED INPUT ON THE DECISION 2 THE MEMBER IN QUESTION MAY RESPOND TO SPECIFIC INQUIRIES REGARDING THE DECISION, BUT NOT PARTICIPATE IN MAKING THE DECISION 3 THE MEMBER IN QUESTION SHALL LEAVE THE ROOM WHILE THE ITEM IS UNDER DISCUSSION CONFLICT OF INTEREST DISCLOSURE FORM BOARD, COMMITTEE AND STAFF MEMBERS WILL ALSO BE EXPECTED TO COMPLETE A CONFLICT OF INTEREST DISCLOSURE FORM AT THE END OF EACH FISCAL YEAR OR UPON APPOINTMENT |

| Return Reference | Explanation |
| --- | --- |
| FORM 990, PART VI, SECTION B, LINE 15 | THE CHAIR OF THE BOARD USES DATA FROM COMPENSATION SURVEYS TO DETERMINE COMPENSATION LEVELS, AND THEN MEETS WITH THE PRESIDENT TO DISCUSS. AFTER THE MEETING A RECOMMENDATION IS BROUGHT TO THE BOARD OF TRUSTEES AND A DECISION IS MADE BY THE BOARD. THE SURVEYS USED ARE ONES THAT ARE DONE BY SIMILAR NON-PROFITS IN THE STATE TO BRING RECOMMENDATIONS TO THE BOARD OF TRUSTEES FOR ALL EMPLOYEE WAGES. THE BOARD OF TRUSTEES APPROVE AN ANNUAL BUDGET THAT MAY INCLUDE AN INCREASE FOR THE ENTIRE STAFF. IN CASES WHERE AN EMPLOYEE'S RESPONSIBILITIES HAVE INCREASE TO RECOMMEND A CORRESPONDING SALARY ADJUSTMENT, THE PRESIDENT HAS THE AUTHORITY FROM THE BOARD TO MAKE SUCH APPROPRIATE ADJUSTMENTS, IN LINE WITH THE BOARD APPROVED ANNUAL BUDGET |

| Return Reference | Explanation |
| --- | --- |
| FORM 990, PART VI, SECTION C, LINE 19 | THE GOVERNING DOCUMENTS, CONFLICT OF INTEREST POLICY, AND FINANCIAL STATEMENTS ARE NOT AVAILABLE TO THE PUBLIC AT THIS TIME |

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# SCHEDULE R (Form 990)

Department of the Treasury
Internal Revenue Service

## Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.

▶ Attach to Form 990. ▶ Information about Schedule R (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

**2015**

**Open to Public Inspection**

Name of the organization
NORTHLAND FOUNDATION

**Employer identification number**
41-1554455

**Part I Identification of Disregarded Entities** Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

| (a) Name, address, and EIN (if applicable) of disregarded entity | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Total income | (e) End-of-year assets | (f) Direct controlling entity |
|---|---|---|---|---|---|
| (1) NORTHLAND ASSISTED LIVING LLC<br>202 WEST SUPERIOR STREET<br>DULUTH, MN 55802<br>20-5440048 | ASSISTED LIVING ORGANIZATION | MN | 2,556,091 | 631,209 | N/A |
| (2) MCGREGOR PROPERTIES LLC<br>202 WEST SUPERIOR STREET<br>DULUTH, MN 55802<br>20-5490145 | PROPERTIES | MN | 1,357 | 1,263,994 | N/A |
| (3) BUHL PROPERTY DEVELOPMENT LLC<br>202 WEST SUPERIOR STREET<br>DULUTH, MN 55802<br>26-0558429 | PROPERTIES | MN | 97,230 | 1,380,030 | N/A |
| (4) HOYT LAKES PROPERTY DEVELOPMENT LLC<br>202 WEST SUPERIOR STREET<br>DULUTH, MN 55802<br>26-4816942 | PROPERTIES | MN | 96,862 | 1,963,453 | N/A |
| | | | | | |
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**Part II Identification of Related Tax-Exempt Organizations** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Exempt Code section | (e) Public charity status (if section 501(c)(3)) | (f) Direct controlling entity | (g) Section 512(b)(13) controlled entity? Yes | (g) No |
|---|---|---|---|---|---|---|---|
| (1) NORTHLAND INSTITUTE<br>13911 RIDGEDALE DRIVE 260<br><br>MINNEAPOLIS, MN 55305<br>31-1504160 | PROVIDE SUPPORT FOR SOCIAL AND ECONOMIC PROGRAMS IN THE AREA | MN | 501(C)(3) | 9 | NORTHLAND FOUNDATION | Yes | |
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**Part III** **Identification of Related Organizations Taxable as a Partnership** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Direct controlling entity | (e) Predominant income(related, unrelated, excluded from tax under sections 512-514) | (f) Share of total income | (g) Share of end-of-year assets | (h) Disproprtionate allocations? | | (i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065) | (j) General or managing partner? | | (k) Percentage ownership |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | Yes | No | | Yes | No | |
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**Part IV** **Identification of Related Organizations Taxable as a Corporation or Trust** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Direct controlling entity | (e) Type of entity (C corp, S corp, or trust) | (f) Share of total income | (g) Share of end-of-year assets | (h) Percentage ownership | (i) Section 512 (b)(13) controlled entity? | |
|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | | Yes | No |
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**Part V** **Transactions With Related Organizations** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

**Note.** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule

| | | Yes | No |
|---|---|---|---|
| **1** | During the tax year, did the orgranization engage in any of the following transactions with one or more related organizations listed in Parts II-IV? | | |
| **a** | Receipt of **(i)** interest, **(ii)**annuities, **(iii)**royalties, or**(iv)**rent from a controlled entity | **1a** | | **No** |
| **b** | Gift, grant, or capital contribution to related organization(s) | **1b** | | **No** |
| **c** | Gift, grant, or capital contribution from related organization(s) | **1c** | | **No** |
| **d** | Loans or loan guarantees to or for related organization(s) | **1d** | | **No** |
| **e** | Loans or loan guarantees by related organization(s) | **1e** | | **No** |
| **f** | Dividends from related organization(s) | **1f** | | **No** |
| **g** | Sale of assets to related organization(s) | **1g** | | **No** |
| **h** | Purchase of assets from related organization(s) | **1h** | | **No** |
| **i** | Exchange of assets with related organization(s) | **1i** | | **No** |
| **j** | Lease of facilities, equipment, or other assets to related organization(s) | **1j** | | **No** |
| **k** | Lease of facilities, equipment, or other assets from related organization(s) | **1k** | | **No** |
| **l** | Performance of services or membership or fundraising solicitations for related organization(s) | **1l** | **Yes** | |
| **m** | Performance of services or membership or fundraising solicitations by related organization(s) | **1m** | | **No** |
| **n** | Sharing of facilities, equipment, mailing lists, or other assets with related organization(s) | **1n** | | **No** |
| **o** | Sharing of paid employees with related organization(s) | **1o** | | **No** |
| **p** | Reimbursement paid to related organization(s) for expenses | **1p** | | **No** |
| **q** | Reimbursement paid by related organization(s) for expenses | **1q** | **Yes** | |
| **r** | Other transfer of cash or property to related organization(s) | **1r** | | **No** |
| **s** | Other transfer of cash or property from related organization(s) | **1s** | | **No** |

**2** If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

| (a) Name of related organization | (b) Transaction type (a-s) | (c) Amount involved | (d) Method of determining amount involved |
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**Part VI** **Unrelated Organizations Taxable as a Partnership** Complete if the organization answered "Yes" on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization See instructions regarding exclusion for certain investment partnerships

| (a) Name, address, and EIN of entity | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Predominant income (related, unrelated, excluded from tax under sections 512-514) | (e) Are all partners section 501(c)(3) organizations? | | (f) Share of total income | (g) Share of end-of-year assets | (h) Disproprtionate allocations? | | (i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065) | (j) General or managing partner? | | (k) Percentage ownership |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | Yes | No | | | Yes | No | | Yes | No | |
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## Part VII Supplemental Information

Provide additional information for responses to questions on Schedule R (see instructions)

| Return Reference | Explanation |
|---|---|