EXTENDED TO FEBRUARY 15, 2017

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning JUL 1, 2015 , and ending JUN 30, 2016

Name of foundation: THEODORE A. RAPP FOUNDATION

A Employer identification number: 33-1013781

Number and street (or P O box number if mail is not delivered to street address): C/O M. ADAME, 514 INDIAN FIELD RD

Room/suite:

B Telephone number: 203-661-2474

City or town, state or province, country, and ZIP or foreign postal code: GREENWICH, CT 06830

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 4,001,540.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received	125,000.		N/A	
	2	Check ▶ ☐ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	3,423.	3,423.		STATEMENT 1
	4	Dividends and interest from securities	83,898.	83,898.		STATEMENT 2
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	-44,948.			
	b	Gross sales price for all assets on line 6a 145,579.				
	7	Capital gain net income (from Part IV, line 2)		0.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss)				
	11	Other income	632.	632.		STATEMENT 3
	12	**Total** Add lines 1 through 11	168,005.	87,953.		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	15,000.	0.		15,000.
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees				
	b	Accounting fees STMT 4	10,888.	0.		10,888.
	c	Other professional fees				
	17	Interest				
	18	Taxes STMT 5	736.	0.		736.
	19	Depreciation and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses STMT 6	955.	0.		955.
	24	**Total operating and administrative expenses** Add lines 13 through 23	27,579.	0.		27,579.
	25	Contributions, gifts, grants paid	323,626.			323,626.
	26	**Total expenses and disbursements** Add lines 24 and 25	351,205.	0.		351,205.
	27	Subtract line 26 from line 12:				
	a	Excess of revenue over expenses and disbursements	-183,200.			
	b	**Net investment income** (if negative, enter -0-)		87,953.		
	c	**Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets (Attached schedules and amounts in the description column should be for end-of-year amounts only)		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	91,204.	67,826.	67,826.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S and state government obligations			
	b Investments - corporate stock STMT 7	3,248,262.	3,087,704.	3,868,083.
	c Investments - corporate bonds STMT 8	65,000.	65,000.	65,631.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	3,404,466.	3,220,530.	4,001,540.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	3,404,466.	3,220,530.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30 Total net assets or fund balances	3,404,466.	3,220,530.	
	31 Total liabilities and net assets/fund balances	3,404,466.	3,220,530.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,404,466.
2 Enter amount from Part I, line 27a	2	-183,200.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	3,221,266.
5 Decreases not included in line 2 (itemize) ▶ COST BASIS ADJUSTMENTS	5	736.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,220,530.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENT			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	145,579.		191,331.	-44,948.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				-44,948.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } **2** -44,948.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 **3** N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	385,148.	4,353,137.	.088476
2013	258,069.	4,006,267.	.064416
2012	192,668.	3,257,781.	.059141
2011	140,644.	2,799,855.	.050233
2010	92,184.	2,767,496.	.033310

2	**Total** of line 1, column (d)	2	.295576
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.059115
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	4,052,233.
5	Multiply line 4 by line 3	5	239,548.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	880.
7	Add lines 5 and 6	7	240,428.
8	Enter qualifying distributions from Part XII, line 4	8	351,205.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ [ ] and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b			1	880.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3	Add lines 1 and 2			3	880.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5	**Tax based on investment income** Subtract line 4 from line 3. If zero or less, enter -0-			5	880.
6	Credits/Payments:				
a	2015 estimated tax payments and 2014 overpayment credited to 2015	6a	1,737.		
b	Exempt foreign organizations - tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments. Add lines 6a through 6d			7	1,737.
8	Enter any **penalty** for underpayment of estimated tax. Check here [ ] if Form 2220 is attached			8	
9	**Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	857.
11	Enter the amount of line 10 to be: **Credited to 2016 estimated tax** ▶ 857. **Refunded** ▶			11	0.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CT			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
	Website address ▶ N/A			
14	The books are in care of ▶ MARGARET ADAME Telephone no. ▶ 203-661-2474			
	Located at ▶ 514 INDIAN FIELD RD, GREENWICH, CT ZIP+4 ▶ 06830			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐			
	and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A			
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes ☒ No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	N/A	5b	
Organizations relying on a current notice regarding disaster assistance check here	▶ ☐		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No		
If "Yes," attach the statement required by Regulations section 53 4945-5(d)			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	X
If "Yes" to 6b, file Form 8870			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
OMAR R. ADAME 514 INDIAN FIELD RD. GREENWICH, CT 06830	TRUSTEE VARIOUS	5,000.	0.	0.
MARGARET HAYES ADAME 514 INDIAN FIELD RD. GREENWICH, CT 06830	TRUSTEE VARIOUS	5,000.	0.	0.
BRIAN E. SKINNER 381 POST ROAD DARIEN, CT 06820	TRUSTEE VARIOUS	5,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

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Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2 NOT APPLICABLE	0.
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2 NOT APPLICABLE	0.
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0.

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Part X **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities		1a	4,034,706.
b	Average of monthly cash balances		1b	79,236.
c	Fair market value of all other assets		1c	
d	**Total** (add lines 1a, b, and c)		1d	4,113,942.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	4,113,942.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	61,709.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	4,052,233.
6	**Minimum investment return.** Enter 5% of line 5		6	202,612.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	202,612.
2a	Tax on investment income for 2015 from Part VI, line 5	2a 880.		
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b		
c	Add lines 2a and 2b		2c	880.
3	Distributable amount before adjustments. Subtract line 2c from line 1		3	201,732.
4	Recoveries of amounts treated as qualifying distributions		4	0.
5	Add lines 3 and 4		5	201,732.
6	Deduction from distributable amount (see instructions)		6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	201,732.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	351,205.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	351,205.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	880.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	350,325.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				201,732.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			0.	
b Total for prior years: ____ , ____ , ____		0.		
3 Excess distributions carryover, if any, to 2015:				
a From 2010				
b From 2011				
c From 2012				
d From 2013 5,629.				
e From 2014 169,121.				
f **Total** of lines 3a through e	174,750.			
4 Qualifying distributions for 2015 from Part XII, line 4: ▶ $ 351,205.				
a Applied to 2014, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2015 distributable amount				201,732.
e Remaining amount distributed out of corpus	149,473.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	324,223.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2016.** Subtract lines 7 and 8 from line 6a	324,223.			
10 Analysis of line 9:				
a Excess from 2011				
b Excess from 2012				
c Excess from 2013 5,629.				
d Excess from 2014 169,121.				
e Excess from 2015 149,473.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

MARGARET HAYES ADAME

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

MARGARET ADAME, 203-661-2474
514 INDIAN FIELD RD, GREENWICH, CT 06830

b The form in which applications should be submitted and information and materials they should include:

THE APPLICANT MUST VERIFY THAT IT IS A QUALIFIED CHARITABLE ORGANIZATION.

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

NONE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient: Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
WESTHAMPTON BEACH PERFORMING ARTS CENTER 76 MAIN ST WESTHAMPTON BEACH, NY 11978	N/A	N/A	SUPPORT OF ORGANIZATION	125.
ST. CATHERINE OF SIENA 4 RIVERSIDE AVE RIVERSIDE, CT 06878	N/A	N/A	SUPPORT OF ORGANIZATION	10,000.
LIM FASHION EDUCATION FOUNDATION 216 E. 45TH STREET, 14TH FL NEW YORK, NY 10017	N/A	N/A	SUPPORT OF ORGANIZATION	6,000.
BREAST CANCER RESEARCH FOUNDATION 60 EAST 56TH STREET NEW YORK, NY 10022	N/A	N/A	SUPPORT OF ORGANIZATION	42,500.
CATHOLIC CHARITIES OF BROOKLYN 191 JORALEMON STREET BROOKLYN, NY 11201	N/A	N/A	SUPPORT OF ORGANIZATION	5,000.
Total SEE CONTINUATION SHEET(S)			▶ 3a	323,626.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

523611
11-24-15

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	(b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	(d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	3,423.	
4 Dividends and interest from securities			14	83,898.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			16	632.	
8 Gain or (loss) from sales of assets other than inventory			18	-44,948.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		43,005.	0.

13 **Total** Add line 12, columns (b), (d), and (e) 13 43,005.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
	NOT APPLICABLE

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee | Date 12/13/17 | Title TRUSTEE

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
BRIAN E. SKINNER		2/13/17		P01378030
Firm's name ▶ WILLIAM H. PARR & COMPANY, LLP			Firm's EIN ▶ 06-0727429	
Firm's address ▶ 381 POST ROAD DARIEN, CT 06820			Phone no. 203-655-8261	

523622
11-24-15

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	KKR & CO LLP			
b	KKR & CO LLP			
c	62 SHS MALLINCKRODT	P	01/25/12	09/15/15
d	500 SHS SEADRILL LTD	P	01/31/12	09/15/15
e	500 SHS SEADRILL LTD	P	08/29/13	09/15/15
f	1,000 SHS TRANSOCEAN LTD	P	02/29/12	09/15/15
g	120 SHS FRONTIER COMMUNICATIONS CORP	P	01/20/09	09/15/15
h	50 SHS TRAVELCENTERS AMER LLC	P	02/06/07	09/15/15
i	500 SHS DARLING INGREDIENTS INC	P	02/09/11	03/09/16
j	400 SHS GOLDCORP INC	P	05/06/08	03/09/16
k	500 SHS MERCK AND CO INC	P	01/20/09	03/09/16
l	700 SHS NOAH HOLDINGS LTD	P	11/21/13	03/09/16
m	500 SHS BOEING COMPANY	P	05/24/11	05/23/16
n	CEMEX SAB DE CV SPND ADR - CASH IN LIEU	P		05/11/16
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				-39.
b				843.
c	5,048.		2,142.	2,906.
d	3,413.		18,792.	-15,379.
e	3,413.		23,286.	-19,873.
f	15,126.		54,699.	-39,573.
g	556.		853.	-297.
h	534.		1,617.	-1,083.
i	5,715.		7,390.	-1,675.
j	6,090.		15,287.	-9,197.
k	25,940.		14,575.	11,365.
l	16,240.		14,799.	1,441.
m	63,500.		37,891.	25,609.
n	4.			4.
o				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				-39.
b				843.
c				2,906.
d				-15,379.
e				-19,873.
f				-39,573.
g				-297.
h				-1,083.
i				-1,675.
j				-9,197.
k				11,365.
l				1,441.
m				25,609.
n				4.
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	-44,948.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
INNER-CITY SCHOLARSHIP FUND 1011 1ST AVENUE #1400 NEW YORK, NY 10022	N/A	N/A	SUPPORT OF ORGANIZATION	35,000.
CONVENT OF THE SACRED HEART 1 E 91ST ST NEW YORK, NY 10128	N/A	N/A	SUPPORT OF ORGANIZATION	5,000.
CT FOOD BANK 461 GLENBROOK ROAD STAMFORD, CT 06906	N/A	N/A	SUPPORT OF ORGANIZATION	2,000.
DOCTORS WITHOUT BORDERS 333 7TH AVENUE NEW YORK, NY 10001	N/A	N/A	SUPPORT OF ORGANIZATION	5,000.
FASHION INSTITUTE OF TECHNOLOGY FOUNDATION 227 WEST 27TH STREET NEW YORK, NY 10001	N/A	N/A	SUPPORT OF ORGANIZATION	5,700.
FRESH AIR FUND 633 3RD AVENUE NEW YORK, NY 10017	N/A	N/A	SUPPORT OF ORGANIZATION	1,000.
PALLADIUM MUSICUM, INC. 6 STANWICH RD GREENWICH, CT 06830	N/A	N/A	SUPPORT OF ORGANIZATION	450.
PHOENIX HOUSE 3151 STONEY ST MOHEGAN LAKE, NY 10547	N/A	N/A	SUPPORT OF ORGANIZATION	3,000.
JAMES E. MARSHALL FOUNDATION 203 LEGION STREET VERONA, WI 53593	N/A	N/A	SUPPORT OF ORGANIZATION	5,000.
HANDCRAFTING JUSTICE 25-30 21ST AVE ASTORIA, NY 11105	N/A	N/A	SUPPORT OF ORGANIZATION	400.
Total from continuation sheets				260,001.

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
LINCOLN CENTER CORPORATE FUND 70 LINCOLN CENTER PLAZA, 9TH FL NEW YORK, NY 10023	N/A	N/A	SUPPORT OF ORGANIZATION	10,000.
VETERANS OF FOREIGN WARS 69 SAND CREEK ROAD ALBANY, NY 12205	N/A	N/A	SUPPORT OF ORGANIZATION	500.
MICHAEL J. FOX FOUNDATION GRAND CENTRAL STATION, PO BOX 4777 NEW YORK, NY 10163	N/A	N/A	SUPPORT OF ORGANIZATION	43,500.
MONTEFIORE MEDICAL CENTER 111 EAST 210TH STREET BRONX, NY 10467	N/A	N/A	SUPPORT OF ORGANIZATION	50,000.
COS COB VOLUNTEER FIRE DEPT 551 E PUTNAM AVE #A COS COB, CT 06807	N/A	N/A	SUPPORT OF ORGANIZATION	200.
NEIGHBOR TO NEIGHBOR 248 E PUTNAM AVENUE GREENWICH, CT 06830	N/A	N/A	SUPPORT OF ORGANIZATION	9,000.
QUEENS COLLEGE FOUNDATION 65-30 KISSENA BLVD FLUSHING, NY 11367	N/A	N/A	SUPPORT OF ORGANIZATION	11,000.
SALVATION ARMY 855 ASYLUM AVENUE HARTFORD, CT 06105	N/A	N/A	SUPPORT OF ORGANIZATION	2,000.
ST. JOSEPH'S INDIAN SCHOOL 1301 N MAIN ST CHAMBERLAIN, SD 57325	N/A	N/A	SUPPORT OF ORGANIZATION	500.
THE SCHOOL OF AMERICAN BALLET 70 LINCOLN CENTER PLAZA NEW YORK, NY 10023	N/A	N/A	SUPPORT OF ORGANIZATION	5,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
WOMEN IN NEED 115 W 31ST STREET NEW YORK, NY 10001	N/A	N/A	SUPPORT OF ORGANIZATION	5,000.
WOUNDED WARRIOR PROJECT PO BOX 758517 TOPEKA, KS 66675	N/A	N/A	SUPPORT OF ORGANIZATION	3,000.
JOHNS HOPKINS DEPT OF PSYCHIATRY 1800 ORLEANS ST BALTIMORE, MD 21287	N/A	N/A	SUPPORT OF ORGANIZATION	5,000.
NORWALK GRASSROOTS TENNIS 11 INGALLS AVE NORWALK, CT 06854	N/A	N/A	SUPPORT OF ORGANIZATION	5,000.
AMERICAN MUSEUM OF NATURAL HISTORY CENTRAL PARK WEST & 79TH ST NEW YORK, NY 10024	N/A	N/A	SUPPORT OF ORGANIZATION	1,000.
EL MUSEO DEL BARRIO 1230 5TH AVE NEW YORK, NY 10029	N/A	N/A	SUPPORT OF ORGANIZATION	7,000.
MOWGLIS SCHOOL OF THE OPEN PO BOX 9 HEBRON, NH 03241	N/A	N/A	SUPPORT OF ORGANIZATION	1,000.
CHILDREN'S CANCER & BLOOD FOUNDATION 333 E 38TH ST #830 NEW YORK, NY 10016	N/A	N/A	SUPPORT OF ORGANIZATION	25,000.
INNER-CITY FOUNDATION FOR CHARITY & EDUCATION 238 JEWETT AVE BRIDGEPORT, CT 06606	N/A	N/A	SUPPORT OF ORGANIZATION	250.
CFDA FOUNDATION 65 BLEECKER ST, 11TH FL NEW YORK, NY 10012	N/A	N/A	SUPPORT OF ORGANIZATION	10,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
FOUNDATION FIGHTING BLINDNESS 7168 COLUMBIA GATEWAY DRIVE, SUITE 100 COLUMBIA, MD 21046	N/A	N/A	SUPPORT OF ORGANIZATION	3,500.
PARALYZED VETERANS OF AMERICA 801 EIGHTEENTH ST, NW WASHINGTON, DC 20006	N/A	N/A	SUPPORT OF ORGANIZATION	1.
Total from continuation sheets				

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990* .

OMB No 1545-0047

2015

Name of the organization	Employer identification number
THEODORE A. RAPP FOUNDATION	33-1013781

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization	Employer identification number
THEODORE A. RAPP FOUNDATION	33-1013781

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ESTATE OF THEODORE A. RAPP C/O ADAME, 514 INDIAN FIELD RD GREENWICH, CT 06830	$ 125,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)

Name of organization	Employer identification number
THEODORE A. RAPP FOUNDATION	33-1013781

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization	Employer identification number
THEODORE A. RAPP FOUNDATION	33-1013781

Part III *Exclusively* religious, charitable, etc , contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc , contributions of $1,000 or less for the year (Enter this info once) ▶ $

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
KKR & CO LP	643.	643.	
MERRILL LYNCH	2,780.	2,780.	
TOTAL TO PART I, LINE 3	3,423.	3,423.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
KKR & CO LP	1,173.	0.	1,173.	1,173.	
MERRILL LYNCH	82,725.	0.	82,725.	82,725.	
TO PART I, LINE 4	83,898.	0.	83,898.	83,898.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
KKR & CO LP - ORDINARY BUSINESS INCOME	221.	221.	
KKR & CO LP - ROYALTIES	51.	51.	
KKR & CO LP - OTHER INCOME	352.	352.	
KKR & CO LP - NET RENTAL REAL ESTATE INCOME	8.	8.	
TOTAL TO FORM 990-PF, PART I, LINE 11	632.	632.	

FORM 990-PF	ACCOUNTING FEES	STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	10,888.	0.		10,888.
TO FORM 990-PF, PG 1, LN 16B	10,888.	0.		10,888.

FORM 990-PF	TAXES	STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	736.	0.		736.
TO FORM 990-PF, PG 1, LN 18	736.	0.		736.

FORM 990-PF	OTHER EXPENSES	STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OTHER	18.	0.		18.
KKR & CO LP - DEDUCTIONS	937.	0.		937.
TO FORM 990-PF, PG 1, LN 23	955.	0.		955.

FORM 990-PF	CORPORATE STOCK	STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED SCHEDULE	3,087,704.	3,868,083.
TOTAL TO FORM 990-PF, PART II, LINE 10B	3,087,704.	3,868,083.

FORM 990-PF CORPORATE BONDS STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED SCHEDULE	65,000.	65,631.
TOTAL TO FORM 990-PF, PART II, LINE 10C	65,000.	65,631.

Theodore A. Rapp Foundation

June 30, 2016

CORPORATE BONDS Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
GOLDMAN SACHS GROUP INC *SER NOTZ 04.250% FEB 15 2038* *MOODY'S: A3 S&P: BBB+ CUSIP: 38143CBM2*	02/25/13	65,000	65,000.00	100.9700	**65,630.50**	630.50	115.10	**2,763**	4.20
TOTAL		65,000	65,000.00		**65,630.50**	630.50	115.10	**2,763**	4.21

Stmt 8 Corporate Bonds

PLEASE REFER TO NOTES BELOW FOR INFORMATION REGARDING CREDIT RATINGS.

YOUR CMA FOR TRUST ASSETS

June 01, 2016 - June 30, 2016

EQUITIES *Description*	*Symbol*	*Acquired*	*Quantity*	*Unit Cost Basis*	*Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Estimated Annual Income*	*Current Yield%*
AMERICAN INTERNATIONAL	AIG	03/28/12	1,000	30.2129	30,212.93	52.8900	52,890.00	22,677.07	1,280	2.42
GROUP INC		04/04/12	1,000	31.6755	31,675.55	52.8900	52,890.00	21,214.45	1,280	2.42
		08/08/12	900	32.9632	29,666.92	52.8900	47,601.00	17,934.08	1,152	2.42
Subtotal			*2,900*		*91,555.40*		**153,381.00**	*61,825.60*	**3,712**	*2.42*
APPLE INC	AAPL	05/23/12	1,750	80.5360	140,938.09	95.6000	167,300.00	26,361.91	3,990	2.38
		06/04/13	1,750	65.1701	114,047.80	95.6000	167,300.00	53,252.20	3,990	2.38
		05/06/14	2,100	86.7006	182,071.39	95.6000	200,760.00	18,688.61	4,788	2.38
		06/18/14	500	92.7341	46,367.07	95.6000	47,800.00	1,432.93	1,140	2.38
Subtotal			*6,100*		*483,424.35*		**583,160.00**	*99,735.65*	**13,908**	*2.38*
BANK NEW YORK MELLON	BK	09/01/11	900	21.1777	19,059.99	38.8500	34,965.00	15,905.01	612	1.75
CORP		09/01/11	1,100	21.1744	23,291.86	38.8500	42,735.00	19,443.14	748	1.75
Subtotal			*2,000*		*42,351.85*		**77,700.00**	*35,348.15*	**1,360**	*1.75*
BANK OF AMERICA CORP	BAC	08/13/09	5,000	16.9301	84,650.88	13.2700	66,350.00	(18,300.88)	1,001	1.50
		08/28/09	5,000	17.9947	89,973.62	13.2700	66,350.00	(23,623.62)	1,001	1.50
Subtotal			*10,000*		*174,624.50*		**132,700.00**	*(41,924.50)*	**2,002**	*1.50*
BOEING COMPANY	BA	05/24/11	500	75.7836	37,891.83	129.8700	64,935.00	27,043.17	2,180	3.35
		02/04/14	500	124.5824	62,291.21	129.8700	64,935.00	2,643.79	2,180	3.35
Subtotal			*1,000*		*100,183.04*		**129,870.00**	*29,686.96*	**4,360**	*3.35*
CARDINAL HEALTH INC OHIO	CAH	02/12/13	1,200	46.0715	55,285.90	78.0100	**93,612.00**	38,326.10	**2,155**	2.30
CEMEX SAB DE CV SPND ADR	CX	02/02/12	152	6.3817	970.02	6.1700	937.84	(32.18)		
		02/02/12	1,946	6.3836	12,422.54	6.1700	12,006.82	(415.72)		
		08/28/12	7,120	6.8249	48,593.77	6.1700	43,930.40	(4,663.37)		
Subtotal			*9,218*		*61,986.33*		**56,875.06**	*(5,111.27)*		
CENTENE CORP	CNC	05/02/13	2,000	23.8262	47,652.54	71.3700	**142,740.00**	95,087.46		
CHARLES RIVER LABS INTL	CRL	05/14/13	1,000	45.7414	45,741.44	82.4400	**82,440.00**	36,698.56		

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YOUR CMA FOR TRUST ASSETS

June 01, 2016 - June 30, 2016

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
CHEVRON CORP	CVX	12/01/06	600	73.4787	44,087.24	104.8300	62,898.00	18,810.76	2,568	4.08
		10/09/07	200	93.1050	18,621.01	104.8300	20,966.00	2,344.99	856	4.08
		10/09/07	500	93.0883	46,544.15	104.8300	52,415.00	5,870.85	2,140	4.08
		01/28/10	650	73.6739	47,888.09	104.8300	68,139.50	20,251.41	2,782	4.08
Subtotal			*1,950*		*157,140.49*		***204,418.50***	*47,278.01*	***8,346***	*4.08*
CITIGROUP INC COM NEW	C	11/26/08	370	65.6187	24,278.94	42.3900	15,684.30	(8,594.64)	74	.47
		11/26/08	30	65.5793	1,967.38	42.3900	1,271.70	(695.68)	6	.47
		07/13/09	500	27.2069	13,603.48	42.3900	21,195.00	7,591.52	100	.47
		08/28/09	1,000	51.9056	51,905.67	42.3900	42,390.00	(9,515.67)	200	.47
		03/10/10	1,100	40.5393	44,593.27	42.3900	46,629.00	2,035.73	220	.47
Subtotal			*3,000*		*136,348.74*		***127,170.00***	*(9,178.74)*	***600***	*.47*
CORNING INC	GLW	01/13/14	3,000	18.4479	55,343.85	20.4800	**61,440.00**	6,096.15	**1,620**	2.63
EBAY INC COM	EBAY	12/12/12	600	21.3240	12,794.42	23.4100	14,046.00	1,251.58		
		01/23/14	900	22.5174	20,265.69	23.4100	21,069.00	803.31		
Subtotal			*1,500*		*33,060.11*		***35,115.00***	*2,054.89*		
FACEBOOK INC CLASS A COMMON STOCK	FB	04/29/15	500	81.0633	40,531.65	114.2800	**57,140.00**	16,608.35		
FORD MOTOR CO	F	07/24/13	5,000	17.5463	87,731.95	12.5700	**62,850.00**	(24,881.95)	**3,001**	4.77
GENERAL ELECTRIC	GE	06/19/07	1,000	39.6638	39,663.88	31.4800	31,480.00	(8,183.88)	920	2.92
		07/17/07	1,000	40.6179	40,617.95	31.4800	31,480.00	(9,137.95)	920	2.92
		07/30/09	5,000	13.1641	65,820.94	31.4800	157,400.00	91,579.06	4,601	2.92
		09/17/09	3,000	17.1573	51,471.98	31.4800	94,440.00	42,968.02	2,761	2.92
Subtotal			*10,000*		*197,574.75*		***314,800.00***	*117,225.25*	***9,202***	*2.92*
HALLIBURTON COMPANY	HAL	05/14/13	1,000	44.4995	44,499.51	45.2900	**45,290.00**	790.49	**721**	1.58
HARTFORD FINL SVCS GROUP	HIG	08/21/12	2,000	18.8154	37,630.84	44.3800	**88,760.00**	51,129.16	**1,680**	1.89
HCA HOLDINGS INC SHS	HCA	07/10/13	1,000	37.5156	37,515.64	77.0100	**77,010.00**	39,494.36		
HEADWATERS INC DEL	HW	06/12/14	2,000	14.2637	28,527.49	17.9400	**35,880.00**	7,352.51		

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YOUR CMA FOR TRUST ASSETS

June 01, 2016 - June 30, 2016

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
HONEYWELL INTL INC DEL	HON	07/13/11	1,000	58.5413	58,541.31	116.3200	**116,320.00**	57,778.69	**2,381**	2.04
JPMORGAN CHASE & CO	JPM	11/26/13	1,000	57.8083	57,808.34	62.1400	**62,140.00**	4,331.66	**1,920**	3.08
KKR & CO L P DEL	KKR	05/09/11	1,300			12.3400	16,042.00	(7,508.11)	1,418	8.83
		05/09/11	700			12.3400	8,638.00	(4,046.95)	763	8.83
Subtotal			*2,000*		53,975.06		***24,680.00***	*(11,555.06)*	***2,181***	*8.83*
MEDTRONIC PLC SHS	MDT	01/28/15	1,000	76.2137	76,213.75	86.7700	**86,770.00**	10,556.25	**1,721**	1.98
PAYPAL HOLDINGS INC SHS	PYPL	12/12/12	600	31.4168	18,850.12	36.5100	21,906.00	3,055.88		
		01/23/14	900	33.1750	29,857.58	36.5100	32,859.00	3,001.42		
Subtotal			*1,500*		*48,707.70*		***54,765.00***	*6,057.30*		
PEPSICO INC	PEP	12/01/06	600	62.2306	37,338.41	105.9400	**63,564.00**	26,225.59	**1,806**	2.84
RITE AID CORPORATION	RAD	06/12/14	10,000	7.6134	76,134.33	7.4900	**74,900.00**	(1,234.33)		
STARBUCKS CORP	SBUX	09/15/15	450	57.8950	26,052.76	57.1200	**25,704.00**	(348.76)	**361**	1.40
TENET HEALTHCARE CORP	THC	10/09/13	1,000	46.1476	46,147.67	27.6400	27,640.00	(18,507.67)		
COM NEW		06/18/14	1,000	47.8001	47,800.18	27.6400	27,640.00	(20,160.18)		
Subtotal			*2,000*		*93,947.85*		***55,280.00***	*(38,667.85)*		
TRANSCANADA CORP	TRP	01/07/10	500	34.1080	17,054.00	45.2200	22,610.00	5,556.00	900	3.98
		01/07/10	1,600	34.1179	54,588.79	45.2200	72,352.00	17,763.21	2,880	3.98
		01/07/10	900	34.1235	30,711.18	45.2200	40,698.00	9,986.82	1,620	3.98
Subtotal			*3,000*		*102,353.97*		***135,660.00***	*33,306.03*	***5,400***	*3.98*
VCA INC	WOOF	05/08/13	2,000	24.4518	48,903.60	67.6100	**135,220.00**	86,316.40		
VERIZON COMMUNICATNS COM	VZ	01/20/09	500	28.7714	14,385.74	55.8400	**27,920.00**	13,534.26	**1,131**	4.04
TOTAL				1	2,653,073.19	2	**3,425,274.56**	789,941.37	**69,568**	2.03

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
HEALTH CARE SELECT SPDR	1,410	100,463.13	71.7050	**101,104.05**	640.92	100,463	**640**	**1,530**	1.51
SYMBOL: XLV *Initial Purchase:12/05/14*									
Equity 100%									
NUVEEN NASDAQ 100 DYNAMI	2,350	33,360.35	17.8600	**41,971.00**	8,610.65	16,000	**25,970**	**3,290**	7.83
OVERWRITE CLOSED END									
SYMBOL: QQQX *Initial Purchase:01/25/07*									
Equity 100%									
.1464 Fractional Share		2.66	17.8600	**2.61**	(0.05)			**1**	7.83
SECTOR SPDR INDUSTRIAL	1,740	100,584.45	56.0100	**97,457.40**	(3,127.05)	100,584	**(3,127)**	**2,069**	2.12
SYMBOL: XLI *Initial Purchase:12/05/14*									
Equity 100%									
VANGUARD DIVIDEND	2,430	200,193.68	83.2400	**202,273.20**	2,079.52	200,193	**2,079**	**4,311**	2.13
APPRECIATION ETF									
SYMBOL: VIG *Initial Purchase:12/05/14*									
Equity 100%									
Subtotal (Equities)				442,808.26					
TOTAL		434,604.27		② **442,808.26**	8,203.99		**25,562**	**11,201**	2.53

+ 26.78

① 434,631.05

Stmt 7
Corporate Stock

Cost
① 3,087,704.24

Market Value
② 3,868,082.82

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