

Department of the Treasury
Internal Revenue ServiceReturn of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning Jan 1, 2016, and ending Jun 30, 2016

Name of foundation RONALD J YORK SCHOLARSHIP FUND		A Employer identification number 27-0667297
Number and street (or P O box number if mail is not delivered to street address) PO BOX 473		B Telephone number (see instructions) (802) 479-2552
City or town, state or province, country, and ZIP or foreign postal code BARRE VT 05641-0473		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, column (c), line 16) \$ 3,921,636.		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
J Accounting method <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Other (specify) MODIFIED CASH (Part I, column (d) must be on cash basis)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule) . . .		45,000.			
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		10.	10.		
4 Dividends and interest from securities		44,560.	44,560.		
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		45,471.	L-6a Stmt		
b Gross sales price for all assets on line 6a 398,062.					
7 Capital gain net income (from Part IV, line 2)			45,471.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11.		135,041.	90,041.		
13 Compensation of officers, directors, trustees, etc.		14,100.	2,820.		11,280.
14 Other employee salaries and wages					
15 Pension plans, employee benefits.					
16a Legal fees (attach schedule).					
b Accounting fees (attach sch). L-16b Stmt.		2,865.	1,730.		1,135.
c Other professional fees (attach sch) L-16c Stmt.		1,951.			1,951.
17 Interest					
18 Taxes (attach schedule)(See Instrs) See Line 18 Stmt		13,502.	4,837.		
19 Depreciation (attach schedule) and depletion					
20 Occupancy					
21 Travel, conferences, and meetings.					
22 Printing and publications					
23 Other expenses (attach schedule) See Line 23 Stmt		144.	72.		72.
24 Total operating and administrative expenses Add lines 13 through 23		32,562.	9,459.		14,438.
25 Contributions, gifts, grants paid		190,000.			190,000.
26 Total expenses and disbursements Add lines 24 and 25		222,562.	9,459.		204,438.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-87,521.			
b Net investment income (if negative, enter -0-).			80,582.		
c Adjusted net income (if negative, enter -0-).					

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
A S S E T S	1 Cash — non-interest-bearing			
	2 Savings and temporary cash investments	212,130.	73,744.	73,957.
	3 Accounts receivable			
	Less allowance for doubtful accounts			
	4 Pledges receivable			
	Less allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U S and state government obligations (attach schedule) L-10a Stmt	100,644.	82,450.	82,976.
	b Investments — corporate stock (attach schedule) L-10b Stmt	862,944.	844,567.	1,140,774.
	c Investments — corporate bonds (attach schedule) L-10c Stmt	446,435.	360,880.	372,622.
	11 Investments — land, buildings, and equipment basis			
Less accumulated depreciation (attach schedule)				
12 Investments — mortgage loans				
13 Investments — other (attach schedule) L-13 Stmt	1,926,009.	2,105,791.	2,251,307.	
14 Land, buildings, and equipment basis				
Less accumulated depreciation (attach schedule)				
15 Other assets (describe)				
16 Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I).	3,548,162.	3,467,432.	3,921,636.	
L I A B I L I T I E S	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe)			
	23 Total liabilities (add lines 17 through 22)			
F U N D A S S E T A N C E S	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	3,548,162.	3,467,432.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	3,548,162.	3,467,432.	
	31 Total liabilities and net assets/fund balances (see instructions)	3,548,162.	3,467,432.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,548,162.
2 Enter amount from Part I, line 27a	2	-87,521.
3 Other increases not included in line 2 (itemize) <u>BROKERAGE ADJUSTMENT TO SECURITY COST BASIS</u>	3	6,791.
4 Add lines 1, 2, and 3	4	3,467,432.
5 Decreases not included in line 2 (itemize)	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	3,467,432.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a BANK OF INDIA CD	P	11/09/15	05/11/16
b 201 shs PRECISION CASTPARTS	P	01/04/11	02/01/16
c CHICAGO, IL G/O BOND	P	11/16/11	06/08/16
d CITIGROUP, INC GLOBAL NOTE	P	02/07/12	01/07/16
e See Columns (a) thru (d)			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 150,000.		150,000.	0.
b 47,235.		28,387.	18,848.
c 15,045.		17,960.	-2,915.
d 20,000.		20,000.	0.
e See Columns (e) thru (h)		136,244.	29,538.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			0.
b			18,848.
c			-2,915.
d			0.
e See Columns (i) thru (l)			29,538.

2 Capital gain net income or (net capital loss)	[If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7]	2	45,471.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	[]	3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☒ Yes☐ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015			
2014			
2013			
2012			
2011			

2 Total of line 1, column (d)	2	
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5.	4	
5 Multiply line 4 by line 3	5	
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	
7 Add lines 5 and 6	7	
8 Enter qualifying distributions from Part XII, line 4	8	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 — see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary — see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	1,612.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	1,612.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,612.
6 Credits/Payments			
a 2016 estimated tax pmts and 2015 overpayment credited to 2016	6 a		
b Exempt foreign organizations — tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c	1,800.	
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments. Add lines 6a through 6d	7	1,800.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	188.	
11 Enter the amount of line 10 to be Credited to 2017 estimated tax	11	188.	
Refunded			

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation . . . \$ (2) On foundation managers . . . \$		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers . . . \$		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, col (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) _____ VERMONT		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designee) of each state as required by General Instruction G? If 'No,' attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If 'Yes,' complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule (see instructions)	11	Yes	No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	X	
14	The books are in care of DAVID A OTTERMAN, ESQ Telephone no (802) 479-2552 Located at PO BOX 473 BARRE VT ZIP + 4 05641-0473			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 15			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for FinCEN Form 114. If 'Yes,' enter the name of the foreign country				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

1 a	During the year did the foundation (either directly or indirectly)		Yes	No
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?		Yes	X No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?		Yes	X No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?		Yes	X No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?		Yes	X No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?		Yes	X No
(6)	Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)		Yes	X No
b	If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1 b		
	Organizations relying on a current notice regarding disaster assistance check here			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1 c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?		Yes	X No
	If 'Yes,' list the years 20 __ , 20 __ , 20 __ , 20 __			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)	2 b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 __ , 20 __ , 20 __ , 20 __			
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?		Yes	X No
b	If 'Yes,' did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	3 b		
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4 b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5 a** During the year did the foundation pay or incur any amount to(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945–5(d)

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If 'Yes' to 6b, file Form 8870

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DAVID A OTTERMAN, ESQ PO BOX 473 BARRE VT 05641	TRUSTEE 1.00	14,100.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐ None

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1 a	3,727,651.
b	Average of monthly cash balances	1 b	300,291.
c	Fair market value of all other assets (see instructions)	1 c	0.
d	Total (add lines 1a, b, and c)	1 d	4,027,942.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	4,027,942.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	60,419.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	3,967,523.
6	Minimum investment return. Enter 5% of line 5.	6	98,646.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	98,646.
2a	Tax on investment income for 2016 from Part VI, line 5	2 a	1,612.
b	Income tax for 2016 (This does not include the tax from Part VI)	2 b	
c	Add lines 2a and 2b	2 c	1,612.
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	97,034.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	97,034.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	97,034.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	204,438.
b	Program-related investments — total from Part IX-B	1 b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	204,438.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	204,438.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				97,034.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			200,823.	
b Total for prior years 20 , 20 , 20				
3 Excess distributions carryover, if any, to 2016.				
a From 2011 0.				
b From 2012 0.				
c From 2013 0.				
d From 2014 0.				
e From 2015 0.				
f Total of lines 3a through e 0.				
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 204,438.				
a Applied to 2015, but not more than line 2a			200,823.	
b Applied to undistributed income of prior years (Election required — see instructions)				
c Treated as distributions out of corpus (Election required — see instructions)				
d Applied to 2016 distributable amount				3,615.
e Remaining amount distributed out of corpus 0.				
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5 0.				
b Prior years' undistributed income Subtract line 4b from line 2b 0.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount — see instructions 0.				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount — see instructions 0.				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017 93,419.				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required — see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) 0.				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a 0.				
10 Analysis of line 9				
a Excess from 2012 0.				
b Excess from 2013 0.				
c Excess from 2014 0.				
d Excess from 2015 0.				
e Excess from 2016 0.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling.

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a 'Assets' alternative test — enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test — enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year — see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

DAVID A. OTTERMAN, TRUSTEE
PO BOX 473
BARRE VT 05641 (802) 479-2552

b The form in which applications should be submitted and information and materials they should include

SCHOLARSHIP APPLICATION FORM USED BY SPAULDING HIGH SCHOOL (BARRE, VT)

c Any submission deadlines

AS REQUIRED BY SPAULDING HIGH SCHOOL SCHOLARSHIP COMMITTEE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SCHOLARSHIPS TO 4 YEAR DEGREE PROGRAMS, PREFERABLY IN ENGINEERING, AVIATION OR SCIENCES

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
UNIVERSITY OF VERMONT 85 SOUTH PROSPECT ST BURLINGTON VT 05405		501(c)(3)	SCHOLARSHIP FUNDS	50,000.
UNIV OF MASS - LOWELL 1 UNIVERSITY AVE LOWELL MA 01854		501(c)(3)	SCHOLARSHIP FUNDS	50,000.
UNIV OF MASS - LOWELL 1 UNIVERSITY AVE LOWELL MA 01854		501(c)(3)	SCHOLARSHIP FUNDS	50,000.
RENSSELAER POLYTECHNIC INST 110 EIGHTH STREET TROY NY 12180		501(c)(3)	SCHOLARSHIP FUNDS	50,000.
GEORGIA INST OF TECHNOLOGY NORTH AVE ATLANTA GA 30332		501(c)(3)	RETURN OF PRIOR YEAR AWARDED FUNDS	-5,000.
LIBERTY UNIVERSITY 1971 UNIVERSITY BLVD LYNCHBURG VA 24515		501(c)(3)	RETURN OF PRIOR YEAR AWARDED FUNDS	-5,000.
Total			3 a	190,000.
b Approved for future payment				
Total			3 b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies . .					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	10.	
4 Dividends and interest from securities			14	44,560.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory . . .			18	45,471.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				90,041.	
13 Total. Add line 12, columns (b), (d), and (e)				13	90,041.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► Attach to Form 990, Form 990-EZ, or Form 990-PF.
► Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2016

Name of the organization

RONALD J YORK SCHOLARSHIP FUND

Employer identification number

27-0667297

Organization type (check one)

Filers of:

Form 990 or 990-EZ

Section:

- ☐ 501(c)() (enter number) organization
☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ► \$ _____

Caution. An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer 'No' on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Name of organization

Employer identification number

RONALD J YORK SCHOLARSHIP FUND

27-0667297

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ESTATE OF RONALD YORK PO BOX 473 BARRE VT 05641	\$ 45,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Form 990-PF
Part I, Line 6a

Net Gain or Loss From Sale of Assets

2016

Name RONALD J YORK SCHOLARSHIP FUND	Employer Identification Number 27-0667297
--	--

Asset Information:

Description of Property <u>MARKETABLE SECURITIES, SEE PART VI</u>	
Date Acquired	How Acquired
Date Sold	Name of Buyer
Sales Price <u>393,935.</u>	Cost or other basis (do not reduce by depreciation) <u>352,591.</u>
Sales Expense	Valuation Method
Total Gain (Loss) <u>41,344.</u>	Accumulation Depreciation
Description of Property <u>CAPITAL GAIN DISTRIBUTIONS</u>	
Date Acquired	How Acquired
Date Sold	Name of Buyer
Sales Price <u>4,127.</u>	Cost or other basis (do not reduce by depreciation) <u>0.</u>
Sales Expense	Valuation Method
Total Gain (Loss) <u>4,127.</u>	Accumulation Depreciation
Description of Property	
Date Acquired	How Acquired
Date Sold	Name of Buyer
Sales Price	Cost or other basis (do not reduce by depreciation)
Sales Expense	Valuation Method
Total Gain (Loss)	Accumulation Depreciation
Description of Property	
Date Acquired	How Acquired
Date Sold	Name of Buyer
Sales Price	Cost or other basis (do not reduce by depreciation)
Sales Expense	Valuation Method
Total Gain (Loss)	Accumulation Depreciation
Description of Property	
Date Acquired	How Acquired
Date Sold	Name of Buyer
Sales Price	Cost or other basis (do not reduce by depreciation)
Sales Expense	Valuation Method
Total Gain (Loss)	Accumulation Depreciation
Description of Property	
Date Acquired	How Acquired
Date Sold	Name of Buyer
Sales Price	Cost or other basis (do not reduce by depreciation)
Sales Expense	Valuation Method
Total Gain (Loss)	Accumulation Depreciation
Description of Property	
Date Acquired	How Acquired
Date Sold	Name of Buyer
Sales Price	Cost or other basis (do not reduce by depreciation)
Sales Expense	Valuation Method
Total Gain (Loss)	Accumulation Depreciation
Description of Property	
Date Acquired	How Acquired
Date Sold	Name of Buyer
Sales Price	Cost or other basis (do not reduce by depreciation)
Sales Expense	Valuation Method
Total Gain (Loss)	Accumulation Depreciation

Form 990-PF, Page 1, Part I, Line 18

Line 18 Stmt

Taxes	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
FOREIGN TAXES PAID	624.	624.		
FORM 990-PF EXCISE TAX	12,878.	4,213.		
Total	13,502.	4,837.		

Form 990-PF, Page 1, Part I, Line 23

Line 23 Stmt

Other expenses:	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
POSTAGE & PHOTOCOPIES	59.	30.		29.
COURT FEES	85.	42.		43.
Total	144.	72.		72.

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income

Columns (a) thru (d)

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
ENCANA CORP NOTE	P	02/14/12	01/19/16
5,975 sh INVESCO DIV GROWTH OPPTY 23	P	01/19/11	04/11/16
WEATHERFORD INT'L LTD SR NOTE	P	02/14/12	06/17/16
335 sh ENERGEN CORP	P	05/18/12	03/08/16
CAPITAL GAIN DISTRIBUTIONS	P	12/23/14	06/20/16

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income

Columns (e) thru (h)

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
26,770.		30,139.	-3,369.
97,075.		57,647.	39,428.
28,350.		33,500.	-5,150.
9,460.		14,958.	-5,498.
4,127.		0.	4,127.
Total		136,244.	29,538.

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income
Columns (i) thru (l)

Complete only for assets showing gain in column (h) and owned
by the foundation on 12/31/69

(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or losses (from column (h))
			-3,369.
			39,428.
			-5,150.
			-5,498.
			4,127.
Total			<u>29,538.</u>

Form 990-PF, Page 1, Part I
Line 16b - Accounting Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
SALVADOR AND BABIC	TAX PREPARATION	2,595.	1,730.		865.
SALVADOR AND BABIC	CONSULTATION	270.			270.
Total		<u>2,865.</u>	<u>1,730.</u>		<u>1,135.</u>

Form 990-PF, Page 1, Part I
Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OTTERMAN AND ALLEN	CLERICAL SUPPORT	1,951.			1,951.
Total		<u>1,951.</u>			<u>1,951.</u>

Form 990-PF, Page 2, Part II, Line 10a
L-10a Stmt

Line 10a - Investments - US and State Government Obligations:	End of Year		End of Year	
	State and Local Obligations Book Value	State and Local Obligations FMV	US Government Obligations Book Value	US Government Obligations FMV
SEE ATTACHED SCHEDULE	46,930.	51,706.	35,520.	31,270.
Total	<u>46,930.</u>	<u>51,706.</u>	<u>35,520.</u>	<u>31,270.</u>

Form 990-PF, Page 2, Part II, Line 10b

L-10b Stmt

Line 10b - Investments - Corporate Stock:	End of Year	
	Book Value	Fair Market Value
SEE ATTACHED SCHEDULE	844,567.	1,140,774.
Total	<u>844,567.</u>	<u>1,140,774.</u>

Form 990-PF, Page 2, Part II, Line 10c

L- 10c Stmt

Line 10c - Investments - Corporate Bonds:	End of Year	
	Book Value	Fair Market Value
SEE ATTACHED SCHEDULE	360,880.	372,622.
Total	<u>360,880.</u>	<u>372,622.</u>

Form 990-PF, Page 2, Part II, Line 13

L-13 Stmt

Line 13 - Investments - Other:	End of Year	
	Book Value	Fair Market Value
SEE ATTACHED SCHEDULE	2,105,791.	2,251,307.
Total	<u>2,105,791.</u>	<u>2,251,307.</u>

RONALD J YORK SCHOLARSHIP FUND
Schedule in Support of Form 990-PF for June 2016

27-0667297

Page 2, Part II - Balance Sheets

	<u>Shares</u>	<u>(b) Book value</u>	<u>(c) Fair Market Value</u>
Line 2 - Cash investments			
Cash and Money market account		48,744.21	48,744.21
Certificate of deposit - State Bank of India		25,000.00	25,212.50
Total cash investments		73,744.21	73,956.71
Line 10a - U.S. and state government obligations			
Riverside CA Elect Rev Bond	\$ 10,000.00	11,979.95	12,774.20
Jurupa CA Cmnty Svcs CTFS	\$ 20,000.00	23,284.95	26,847.60
No Illinois Mun Power B/A Bond	\$ 10,000.00	11,664.95	12,083.80
Federal Farm Cr Bank Consol Bonds	\$ 20,000.00	23,962.21	20,493.40
FHLMC Med Term Note	\$ 10,000.00	11,558.35	10,777.10
Total U.S. and state government obligations		82,450.41	82,976.10
Line 10c - Corporate bonds			
AT&T, Inc Global Note	\$ 10,000.00	12,226.57	11,076.00
Wal-Mart Stores, Inc Note	\$ 20,000.00	21,450.17	21,612.80
Hewlett Packard Co Global Note	\$ 5,000.00	5,192.47	5,260.80
Amgen, Inc Senior Note	\$ 30,000.00	32,631.46	32,764.50
Oneok, Inc New Note	\$ 30,000.00	31,416.94	27,000.00
Johnson & Johnson Note	\$ 20,000.00	27,151.38	29,113.00
Berkshire Hathaway Fin Corp Note	\$ 20,000.00	24,622.47	26,200.40
Merrill Lynch & Co Note	\$ 50,000.00	59,724.95	63,037.00
Bank of America Senior Note	\$ 50,000.00	51,058.45	53,245.00
AT&T, Inc Global Note	\$ 50,000.00	45,404.60	49,573.50
GE Capital Corp Internotes	\$ 50,000.00	50,000.00	53,738.50
Total corporate bonds		360,879.46	372,621.50

RONALD J YORK SCHOLARSHIP FUND
Schedule in Support of Form 990-PF for June 2016

27-0667297

Page 2, Part II - Balance Sheets

	<u>Shares</u>	<u>(b) Book value</u>	<u>(c) Fair Market Value</u>
Line 10b - Corporate stocks			
Abbott Laboratories	464.000	10,916.96	17,590.24
Abbvie Incorporated	464.000	11,838.50	27,775.04
Procter & Gamble Co	125.000	10,171.20	10,282.50
Oracle Corp	460.000	15,109.79	18,045.80
IBM Corp	80.000	15,071.22	11,727.20
Deere & Co	120.000	10,194.15	9,806.40
Accenture PLC Ireland	250.000	12,301.46	27,862.50
Allergan PLC	50.000	15,801.92	11,286.00
Allstate Corp	1113.000	32,496.28	73,747.38
Alphabet Inc.	20.000	15,634.30	13,704.00
Apple, Inc	469.000	22,566.72	43,804.60
AT&T, Inc	700.000	21,279.50	29,064.00
Berkshire Hathaway Inc	100.000	13,758.12	13,971.00
BP PLC Sponsored ADR	475.000	18,036.88	15,665.50
Chevron Corp	600.000	58,988.12	61,140.00
Church & Dwight, Inc	200.000	10,606.24	19,636.00
Comcast Corp	200.000	7,131.19	12,330.00
E U DuPont de Nemours	340.000	21,166.47	22,440.00
Emerson Electric Co	692.000	35,749.26	35,174.36
Express Scripts Holding Co.	150.000	12,999.92	11,310.00
Fastenal	533.000	24,968.28	23,318.75
General Mills, Inc	538.000	19,517.84	35,583.32
Invesco LTD	370.000	12,604.02	9,460.90
ITC Hldgs Corp.	300.000	10,403.24	13,683.00
MDU Resources Group	1297.000	27,309.30	30,518.41
Merck & Co, Inc	1038.000	35,778.28	58,003.44
Microsoft Corp	650.000	20,125.52	32,389.50
Netapp, Inc.	300.000	9,973.52	7,416.00
Nike, Inc	780.000	16,659.48	41,020.20
Novartis AG ADR	383.000	22,774.75	29,529.30
Omnicom Group, Inc	520.000	24,383.18	41,958.80
Pepsico, Inc	292.000	19,487.73	29,778.16
Philip Morris Int'l, Inc	389.000	23,339.53	38,009.19
Potash Corp	700.000	21,710.77	11,809.00
Priceline Group, Inc.	10.000	13,604.24	12,321.40
Qualcomm, Inc	440.000	22,735.07	22,932.80
Royal Dutch Shell PLC	397.000	27,287.45	20,493.14
State Street Corp	903.000	38,293.21	49,655.97
Stryker Corp	144.000	7,938.23	16,788.96
V F Corp	629.000	13,624.52	38,563.99
Vmware Inc.	200.000	12,118.18	11,708.00
Wells Fargo & Co	784.000	25,370.94	35,836.64
3M Company	258.000	22,741.91	43,632.96
Total corporate stocks		844,567.39	1,140,774.35

RONALD J YORK SCHOLARSHIP FUND
Schedule in Support of Form 990-PF for June 2016

27-0667297

Page 2, Part II - Balance Sheets

	<u>Shares</u>	<u>(b) Book value</u>	<u>(c) Fair Market Value</u>
Line 13- Investments - other			
Unit trusts:			
Invesco Invt Grade #51	10.000	10,888.50	10,900.80
Invesco Invt Grade #52	61.000	65,551.82	66,175.24
First Trust Strength Ser 27	9183.000	91,519.31	82,150.20
Invesco Dividend Susain 16-1	9300.000	100,001.81	95,153.88
Mutual funds:			
Amcap Fund	5812.155	124,915.64	148,384.32
American Global Balanced Fund	918.629	21,952.76	26,667.80
Short-Term Bond Fund of America	11000.000	110,501.54	110,110.00
American High Income Trust	10549.639	115,765.94	103,069.97
Bond Fund of America	2845.774	35,663.66	37,165.81
Capital World Bond Fund	5025.166	104,217.19	100,754.58
Capital World Growth & Income	3654.301	133,269.69	153,992.24
Developing World Growth & Income	2756.489	25,237.45	24,009.02
Europacific Growth Fund	2722.232	113,526.90	116,674.86
Franklin Income Fund	32011.396	70,549.68	68,504.39
Fundamental Investors	2901.902	117,750.93	146,371.94
Growth Fund of America	2102.793	68,336.59	84,973.87
Intermediate Bond Fund	12472.487	170,259.50	170,498.90
Investment Company of America	3656.026	113,944.92	125,876.98
New Economy Fund	2456.063	67,829.91	82,253.55
New Perspective Fund	2154.228	61,611.54	74,040.82
New World Fund	1525.896	83,786.61	75,531.85
Smallcap World Fund	3564.741	143,659.55	151,644.08
Templeton Growth Fund	3006.615	50,000.00	62,327.13
Washington Mutual Investors	3436.062	105,049.90	134,075.14
Total Investments - other		2,105,791.34	2,251,307.37