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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning **JUL 1, 2015**, and ending **JUN 30, 2016**

Name of foundation

**CAITHNESS FOUNDATION
C/O DANIEL H. WEBB**

A Employer identification number

26-3917361

Number and street (or P.O. box number if mail is not delivered to street address)

67 LITTLE ISLAND ROAD

Room/suite

B Telephone number

508-566-1882

City or town, state or province, country, and ZIP or foreign postal code

FALMOUTH, MA 02540C If exemption application is pending, check here ☐

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeD 1 Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationE If private foundation status was terminated under section 507(b)(1)(A), check here ☐I Fair market value of all assets at end of year
(from Part II, col (c), line 16)**\$ 1,749,101.67** (Part I, column (d) must be on cash basis)J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) _____F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐**Part I Analysis of Revenue and Expenses**

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

Revenue

1 Contributions, gifts, grants, etc., received

2 Check ☒ if the foundation is not required to attach Sch. B

3 Interest on savings and temporary cash investments

4 Dividends and interest from securities

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

b Gross sales price for all assets on line 6a **2,291,663.48**

7 Capital gain net income (from Part IV, line 2)

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less: Cost of goods sold

c Gross profit or (loss)

11 Other income

12 Total. Add lines 1 through 11

Operating and Administrative Expenses

13 Compensation of officers, directors, trustees, etc

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees **STMT 3**b Accounting fees **STMT 4**c Other professional fees **STMT 5**

17 Interest

18 Taxes **STMT 6**

19 Depreciation and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses **STMT 7**

24 Total operating and administrative expenses. Add lines 13 through 23

25 Contributions, gifts, grants paid

26 Total expenses and disbursements. Add lines 24 and 25

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

b Net investment income (if negative, enter -0-)

c Adjusted net income (if negative, enter -0-)

N/A

46,920.00

46,920.00

STATEMENT 1

<59,641.39>

0.00

14,383.30

14,383.30

STATEMENT 2

1,661.91

61,303.30

0.00

0.00

0.00

4,130.30

0.00

0.00

1,225.00

0.00

0.00

12,017.15

0.00

0.00

1,883.31

0.00

0.00

85.45

0.00

0.00

19,341.21

0.00

0.00

90,600.00

90,600.00

109,941.21

0.00

90,600.00

<108,279.30>

61,303.30

N/A

523501
11-24-15

LHA For Paperwork Reduction Act Notice, see instructions

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

Beginning of year

End of year

(a) Book Value

(b) Book Value

(c) Fair Market Value

Assets

1 Cash - non-interest-bearing

2 Savings and temporary cash investments

3 Accounts receivable ▶

Less: allowance for doubtful accounts ▶

4 Pledges receivable ▶

Less: allowance for doubtful accounts ▶

5 Grants receivable

6 Receivables due from officers, directors, trustees, and other disqualified persons

7 Other notes and loans receivable ▶

Less: allowance for doubtful accounts ▶

8 Inventories for sale or use

9 Prepaid expenses and deferred charges

10a Investments - U.S. and state government obligations

b Investments - corporate stock

c Investments - corporate bonds

11 Investments - land, buildings, and equipment basis ▶

Less: accumulated depreciation ▶

12 Investments - mortgage loans

13 Investments - other

STMT 9

14 Land, buildings, and equipment: basis ▶

Less: accumulated depreciation ▶

15 Other assets (describe ▶)

16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)

1,687,475.52 1,580,442.76 1,749,101.67

Liabilities

17 Accounts payable and accrued expenses

18 Grants payable

19 Deferred revenue

20 Loans from officers, directors, trustees, and other disqualified persons

21 Mortgages and other notes payable

22 Other liabilities (describe ▶)

23 Total liabilities (add lines 17 through 22)

0.00 0.00

Net Assets or Fund Balances

Foundations that follow SFAS 117, check here ▶ ☐

and complete lines 24 through 26 and lines 30 and 31.

24 Unrestricted

25 Temporarily restricted

26 Permanently restricted

Foundations that do not follow SFAS 117, check here ▶ ☒

27 Capital stock, trust principal, or current funds

28 Paid-in or capital surplus, or land, bldg., and equipment fund

29 Retained earnings, accumulated income, endowment, or other funds

30 Total net assets or fund balances

0.00 0.00

0.00 0.00

1,687,475.52 1,580,442.76

1,687,475.52 1,580,442.76

31 Total liabilities and net assets/fund balances

1,687,475.52 1,580,442.76

Part III Analysis of Changes in Net Assets or Fund Balances1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30
(must agree with end-of-year figure reported on prior year's return)

2 Enter amount from Part I, line 27a

3 Other increases not included in line 2 (itemize) ▶

SEE STATEMENT 8

4 Add lines 1, 2, and 3

5 Decreases not included in line 2 (itemize) ▶

6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30

1 1,687,475.52

2 <108,279.30>

3 1,246.54

4 1,580,442.76

5 0.00

6 1,580,442.76

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b SEE ATTACHED STATEMENTS				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e 2,291,663.48		2,351,304.87	<59,641.39>	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				
b				
c				
d				
e			<59,641.39>	
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2 <59,641.39>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):		{ If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	94,150.00	1,904,185.46	.049444
2013	110,569.25	1,899,013.94	.058225
2012	138,941.58	1,893,157.37	.073391
2011	82,239.35	1,854,107.08	.044355
2010	105,689.51	1,869,748.94	.056526
2 Total of line 1, column (d)			2 .281941
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 .056388
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5			4 1,761,538.01
5 Multiply line 4 by line 3			5 99,329.61
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 613.03
7 Add lines 5 and 6			7 99,942.64
8 Enter qualifying distributions from Part XII, line 4			8 90,600.00

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	1,226.07
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.00
3 Add lines 1 and 2		3	1,226.07
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.00
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,226.07
6 Credits/Payments:			
a 2015 estimated tax payments and 2014 overpayment credited to 2015	6a	1,756.00	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	1,756.00	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	0.01	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	529.92	
11 Enter the amount of line 10 to be: Credited to 2016 estimated tax <input type="checkbox"/> 529.92 Refunded <input checked="" type="checkbox"/>	11	0.00	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0.00 (2) On foundation managers. <input type="checkbox"/> \$ 0.00		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.00		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV		X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> MA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation		X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	X	
Website address N/A		
14 The books are in care of DANIEL H. WEBB	Telephone no. 508-566-1882	
Located at 67 LITTLE ISLAND ROAD, FALMOUTH, MA	ZIP+4 02540	
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here	<input type="checkbox"/>	
and enter the amount of tax-exempt interest received or accrued during the year	15	N/A
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	Yes	No
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country	16	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	
Organizations relying on a current notice regarding disaster assistance check here	<input type="checkbox"/>	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1b	
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):	1c	X
a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes," list the years		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.	2b	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	3b	
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4a	X
	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

If "Yes" to 6b, file Form 8870

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DANIEL H. WEBB	PRESIDENT, TREASURER, DIREC			
67 LITTLE ISLAND ROAD				
FALMOUTH, MA 02540	1.00	0.00	0.00	0.00
DOUGLAS C. WEBB	CLERK/DIRECTOR			
769 PALMER AVE.				
FALMOUTH, MA 02540	1.00	0.00	0.00	0.00

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
N/A				
	0.00	0.00	0.00	0.00

Total number of other employees paid over \$50,000

0

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,788,363.46
b	Average of monthly cash balances	1b	
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	1,788,363.46
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.00
2	Acquisition indebtedness applicable to line 1 assets	2	0.00
3	Subtract line 2 from line 1d	3	1,788,363.46
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	26,825.45
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,761,538.01
6	Minimum investment return. Enter 5% of line 5	6	88,076.90

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	88,076.90
2a	Tax on investment income for 2015 from Part VI, line 5	2a	1,226.07
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,226.07
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	86,850.83
4	Recoveries of amounts treated as qualifying distributions	4	0.00
5	Add lines 3 and 4	5	86,850.83
6	Deduction from distributable amount (see instructions)	6	0.00
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	86,850.83

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	90,600.00
b	Program-related investments - total from Part IX-B	1b	0.00
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	90,600.00
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.00
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	90,600.00

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				86,850.83
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			0.00	
b Total for prior years:		0.00		
3 Excess distributions carryover, if any, to 2015:				
a From 2010				
b From 2011				
c From 2012				
d From 2013	1,964.59			
e From 2014	696.04			
f Total of lines 3a through e	2,660.63			
4 Qualifying distributions for 2015 from Part XII, line 4: ▶ \$ 90,600.00				
a Applied to 2014, but not more than line 2a			0.00	
b Applied to undistributed income of prior years (Election required - see instructions)		0.00		
c Treated as distributions out of corpus (Election required - see instructions)	0.00			
d Applied to 2015 distributable amount				86,850.83
e Remaining amount distributed out of corpus	3,749.17			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))	0.00			0.00
6 Enter the net total of each column as indicated below:	6,409.80			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.00		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.00		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.00		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr.			0.00	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				0.00
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.00			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7	0.00			
9 Excess distributions carryover to 2016 Subtract lines 7 and 8 from line 6a	6,409.80			
10 Analysis of line 9:				
a Excess from 2011				
b Excess from 2012				
c Excess from 2013	1,964.59			
d Excess from 2014	696.04			
e Excess from 2015	3,749.17			

N/A

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

b 85% of line 2a

c Qualifying distributions from Part XII,
line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:
(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV	Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)
----------------	--

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

SEE STATEMENT 10

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

CAITHNESS FOUNDATION

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C/O DANIEL H. WEBB

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Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
AMERICAN FRIENDS OF THE BUGATTI TRUST PRESCOTT HILL GOTHERINGTON GLOUCESTERSHIRE, ENGLAND, GABON GL529RD	N/A	PUBLIC CHARITY		1,000.00
ASSOCIATION TO PRESERVE CAPE COD 3010 MAIN ST., P.O. BOX 398 BARNSTABLE, MA 02630	N/A	NON PROFIT		2,000.00
BOYS & GIRLS CLUBS OF AMERICA 1275 PEACHTREE ST. NE ATLANTA, GA 30309	N/A	NON PROFIT		1,000.00
BRIGHAM & WOMEN'S 116 HUNTINGTON AVE. BOSTON, MA 02116	N/A	PUBLIC CHARITY	MEDICAL	3,000.00
CAPE COD ACADEMY 50 OSTERVILLE-WEST BARNSTABLE RD. OSTERVILLE, MA 02655	N/A	EDUCATIONAL	EDUCATION	2,500.00
Total			SEE CONTINUATION SHEET(S) ▶ 3a	90,600.00
b Approved for future payment				
NONE				
Total			▶ 3b	0.00

[illegible]

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 161 SHS. JPM ALERIAN MLP		P	07/17/13	07/06/15
b 191.491 SHS. LORD ABBETT INCOME		P	01/03/14	06/23/15
c 142.515 SHS. LORD ABBETT INCOME		P	01/03/14	06/23/15
d 379 SHS. VANGUARD DIVIDEND APPRECIATION		P	06/06/11	07/30/15
e 10,757.353 SHS. AB HIGH INCOME ADV		P	01/27/15	07/30/15
f 135.552 SHS. AB HIGH INCOME ADV.		P	01/27/15	07/30/15
g 2511.677 SHS. AB HIGH INCOME ADV.		P	06/16/15	07/30/15
h 7 SHS. AMERICAN AIRLINES		P	02/23/15	07/23/15
i 757.172 SHS. INVESCO INTERNATIONAL		P	01/27/15	07/30/15
j 733 SHS. JPM ALERIAN		P	10/14/14	07/06/15
k 2,592.449 SHS. THORNBURG DEVELOPING WORLD		P	12/22/14	07/27/15
l 1.001 SHS. THORNBURG DEVELOPING WORLD		P	12/24/14	07/27/15
m 1,281.687 SHS. AB SEL US		P	04/02/14	08/24/15
n 132.158 SHS. AB SEL US		P	12/17/14	08/24/15
o 3290.372 SHS. AB SEL US		P	01/06/15	08/24/15

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 6,328.92		6,962.62	<633.70>
b 538.09		540.00	<1.91>
c 400.47		401.89	<1.42>
d 30,309.02		20,737.06	9,571.96
e 94,449.56		96,493.46	<2,043.90>
f 1,190.15		1,213.19	<23.04>
g 22,052.52		22,303.69	<251.17>
h 304.55		363.76	<59.21>
i 25,122.97		24,895.81	227.16
j 28,814.35		33,140.47	<4,326.12>
k 45,056.74		48,038.05	<2,981.31>
l 17.42		18.51	<1.09>
m 14,636.87		15,380.24	<743.37>
n 1,509.24		1,554.18	<44.94>
o 37,576.05		38,497.32	<921.27>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<633.70>
b			<1.91>
c			<1.42>
d			9,571.96
e			<2,043.90>
f			<23.04>
g			<251.17>
h			<59.21>
i			227.16
j			<4,326.12>
k			<2,981.31>
l			<1.09>
m			<743.37>
n			<44.94>
o			<921.27>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	2,803.488 SHS. INVESCO INTERNATIONAL	P	01/27/15	08/24/15
b	8,607.14 SHS. NATIXIS LOOMIS SAY	P	12/24/15	08/17/15
c	3,977.588 SHS. NATIXIS LOOMIS SAV.	P	01/06/15	08/17/15
d	1312. SHS. ALERIAN MLP	P	07/06/15	09/23/15
e	1066 SHS. ALERIAN MLP	P	07/06/15	09/23/15
f	1317 SHS. ALLERIAN MLP	P	08/05/15	09/23/15
g	971 SHS. ISHARES MSCI INDIA	P	05/06/15	09/14/15
h	665 SHS. ISHARES MSCI INDIA	P	05/06/15	09/14/15
i	735 SHS. WISDOMTREE TRUST JAPAN	P	08/24/15	09/25/15
j	2401.435 SHS. LORD AT INCOME	P	01/03/14	10/12/15
k	333 SHS. VANGUARD DIVIDEND APPRECIATION	P	06/06/11	10/08/15
l	100 SHS. VANGUARD EXTENDED MARKET	P	10/03/14	10/08/15
m	301.491 SHS. VANGUARD WELLESLEY, INC.	P	10/07/11	10/12/15
n	82 SHS. ISHARES CORE US	P	07/30/15	10/22/15
o	624 SHS. JPM ALERIAN MLP	P	09/23/15	10/08/15

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 84,020.54		92,178.66	<8,158.12>
b 91,239.27		95,595.66	<4,356.39>
c 44,986.60		47,094.60	<2,108.00>
d 17,677.82		20,335.74	<2,657.92>
e 14,370.69		16,522.79	<2,152.10>
f 17,745.19		19,978.89	<2,233.70>
g 26,789.49		28,461.47	<1,671.98>
h 18,347.07		19,447.99	<1,100.92>
i 37,025.67		35,205.54	1,820.13
j 6,603.95		6,772.05	<168.10>
k 25,462.07		18,220.16	7,241.91
l 8,510.41		8,340.75	169.66
m 7,588.53		6,581.55	1,006.98
n 9,010.82		8,940.46	70.36
o 21,081.70		20,380.71	700.99

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<8,158.12>
b			<4,356.39>
c			<2,108.00>
d			<2,657.92>
e			<2,152.10>
f			<2,233.70>
g			<1,671.98>
h			<1,100.92>
i			1,820.13
j			<168.10>
k			7,241.91
l			169.66
m			1,006.98
n			70.36
o			700.99

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	272 SHS. VANGUARD DIVIDEND APPRECIATION	P	08/24/15	10/08/15
b	175 SHS. VANGUARD EUROPEAN MSCI	P	01/27/15	10/12/15
c	132 SHS. VANGUARD EXTENDED MKT.	P	08/24/15	10/08/15
d	67 SHS. VANGUARD EXTENDED MKT.	P	08/24/15	10/08/15
e	253 SHS. WISDOMTREE EUROPE	P	06/25/15	10/08/15
f	LORD ABBETT INCOME FUND	P	VARIOUS	VARIOUS
g	1,135.028 SHS. PUTNAM EQUITY SPECTRUM	P	10/14/14	11/24/15
h	275.156 SHS. PUTNAM EQUITY SPECTRUM	P	11/13/14	11/24/15
i	342 SHS. VANGUARD EXTENDED MKT	P	10/02/14	11/24/15
j	562 SHS. ISHARES CORE US	P	07/30/15	11/24/15
k	23,640.62 SHS. LORD ABBETT INCOME	P	VARIOUS	VARIOUS
l	398.176 SHS. PUTNAM EQUITY SPECTRUM	P	12/22/14	11/24/15
m	427.374 SHS. PUTNAM EQUITY SPECTRUM	P	07/30/15	11/24/15
n	380 SHS. UTILITIES SEL SECT.	P	09/14/15	11/24/15
o	477 SHS. VANGUARD FTSE EUROPE	P	01/27/15	11/24/15

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 20,797.85		20,262.34	535.51
b 9,096.00		9,372.60	<276.60>
c 11,233.74		11,113.20	120.54
d 5,701.98		5,537.68	164.30
e 5,316.67		5,973.44	<656.77>
f 77,812.71		80,980.63	<3,167.92>
g 43,800.73		45,537.31	<1,736.58>
h 10,618.27		12,071.08	<1,452.81>
i 29,846.03		28,084.97	1,761.06
j 61,106.37		61,274.86	<168.49>
k 63,794.87		67,544.60	<3,749.73>
l 15,365.61		17,304.74	<1,939.13>
m 16,492.37		17,804.42	<1,312.05>
n 16,301.78		15,806.94	494.84
o 24,206.06		25,547.02	<1,340.96>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			535.51
b			<276.60>
c			120.54
d			164.30
e			<656.77>
f			<3,167.92>
g			<1,736.58>
h			<1,452.81>
i			1,761.06
j			<168.49>
k			<3,749.73>
l			<1,939.13>
m			<1,312.05>
n			494.84
o			<1,340.96>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 396 SHS. VANGUARD FTSE EUROPE		P	08/24/15	11/24/15
b 798.904 SHS. VANGUARD WELLESLEY, INC.		P	10/07/11	12/03/15
c 10,719.615 SHS..AB BLOBAL BOND ADV.		P	08/17/15	12/23/15
d 356.053 SHS. AB GLOBAL BOND ADV.		P	08/17/15	12/23/15
e 13.652 SHS. AB GLOBAL BOND ADV.		P	08/17/15	12/23/15
f 36.669 SHS. AB GLOBAL BOND ADV.		P	11/30/15	12/23/15
g 536 SHS. JPM ALERIAN, MLP		P	09/23/15	12/02/15
h 181 SHS. JPM ALERIAN, MLP		P	09/23/15	12/02/15
i 336 SHS. JPM ALERIAN, MLP		P	09/25/15	12/02/15
j 575 SHS. JPM ALERIAN, MLP		P	10/22/15	12/02/15
k 293 SHS. WISDOMTREE EUROPE HEDGE		P	10/22/15	12/02/15
l 536 SHS. ISHARES US REAL ESTATE		P	06/26/13	01/07/16
m 121 SHS. ISHARES US EAL ESTATE		P	06/26/13	01/07/16
n 213 SHS. ISHARES US REAL ESTATE		P	06/26/13	01/14/16
o 216 SHS. ISHARES US REAL ESTATE		P	10/03/14	01/14/16

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 20,095.60		19,984.22	111.38
b 20,228.25		17,440.07	2,788.18
c 87,257.67		89,508.79	<2,251.12>
d 2,898.27		2,973.04	<74.77>
e 111.12		113.99	<2.87>
f 298.49		306.19	<7.70>
g 15,324.92		17,501.04	<2,176.12>
h 5,175.02		5,911.71	<736.69>
i 9,606.67		10,611.25	<1,004.58>
j 16,439.98		18,658.06	<2,218.08>
k 15,956.01		18,484.26	<2,528.25>
l 39,556.50		34,930.96	4,625.54
m 8,916.67		7,885.53	1,031.14
n 15,165.32		13,881.15	1,284.17
o 15,378.91		14,960.16	418.75

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			111.38
b			2,788.18
c			<2,251.12>
d			<74.77>
e			<2.87>
f			<7.70>
g			<2,176.12>
h			<736.69>
i			<1,004.58>
j			<2,218.08>
k			<2,528.25>
l			4,625.54
m			1,031.14
n			1,284.17
o			418.75

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 1,163 SHS. ALERIAN MLP		P	12/02/15	01/21/16
b 2759 SHS. ALERIAN MLP		P	12/02/15	01/22/16
c 469 SHS. ISHARES CORE US		P	07/30/15	01/14/16
d 367 SHS. ISHARES CORE US		P	10/08/15	01/14/16
e 329 SHS. ISHARES IBOXX		P	12/23/15	01/07/16
f 221 SHS. ISHARES IBOXX		P	12/23/15	01/07/16
g 1332 SHS. ISHARES MSCI INDIA		P	01/24/15	01/07/16
h 168 SHS. ISHARES US REAL ESTATE		P	07/30/15	01/14/16
i 206 SHS. UTILITIES SEL SECT.		P	09/14/15	01/07/16
j 39 SHS. UTILITIES SEL SECT SPDR		P	09/14/15	01/07/16
k 448 SHS. UTILITIES SEL SELECT		P	09/14/15	01/14/16
l 156 SHS. UTILITIES SEL SECT		P	10/05/15	01/14/16
m 420 SHS. UTILITIES SEL SECT.		P	10/08/15	01/14/15
n 472 SHS. VANGUARD INDEX FDS		P	11/24/15	01/15/16
o 228 SHS. VANGUARD EXTENDED MKT.		P	10/02/14	02/08/16

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 10,649.27		13,618.73	<2,969.46>
b 27,175.64		32,307.89	<5,132.25>
c 50,955.07		51,135.07	<180.00>
d 39,873.15		40,204.37	<331.22>
e 26,256.87		26,576.88	<320.01>
f 17,622.25		17,852.56	<230.31>
g 34,572.09		36,323.37	<1,751.28>
h 11,961.38		12,501.55	<540.17>
i 8,854.20		8,569.02	285.18
j 1,678.13		1,622.29	55.84
k 19,492.52		18,635.55	856.97
l 6,787.58		6,798.70	<11.12>
m 18,274.24		18,370.21	<95.97>
n 81,172.07		90,577.46	<9,405.39>
o 16,278.90		18,723.31	<2,444.41>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<2,969.46>
b			<5,132.25>
c			<180.00>
d			<331.22>
e			<320.01>
f			<230.31>
g			<1,751.28>
h			<540.17>
i			285.18
j			55.84
k			856.97
l			<11.12>
m			<95.97>
n			<9,405.39>
o			<2,444.41>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 1382.642 SHS. MATTHEWS ASIAN INDIA		P	01/07/16	02/08/16
b 3053 SHS. UNITED STATES OIL FUND		P	02/19/16	02/26/16
c 1,963 SHS. UNITED STATES OIL FUND		P	02/22/16	02/26/16
d 307 SHS. VANGUARD EXTENDED MARKET		P	08/24/15	02/08/16
e 432 SHS. POWERSHARES ETF		P	01/23/14	03/18/16
f 242 SHS. POWERSHARES ETF		P	01/29/14	03/18/16
g 217 SHS. POWERSHARES ETF		P	01/30/14	03/18/16
h 133 SHS. POWERSHARES ETF		P	01/30/14	03/18/16
i 109 SHS. VANGUARD EXTENDED MARKET		P	10/14/14	03/18/16
j 604 SHS. VANGUARD EXTENDED MARKET		P	10/14/14	03/18/16
k 199 SHS. WISDOMTREE EUROPE		P	06/16/15	03/18/16
l 454 SHS. WISDOMTREE EUROPE		P	08/24/15	03/18/16
m 53 SHS. WISDOMTREE EUROPE		P	02/08/16	03/18/16
n 2249.266 SHS. HENDERSON EUROPEAN FOCUS		P	VARIOUS	05/19/16
o 3,250.054 SHS. AB SEL US		P	10/08/15	06/27/16

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 33,694.99		35,215.88	<1,520.89>
b 27,910.84		25,734.35	2,176.49
c 17,945.94		17,518.40	427.54
d 21,919.39		25,374.17	<3,454.78>
e 19,642.61		18,204.48	1,438.13
f 11,003.50		9,924.11	1,079.39
g 9,866.77		8,981.54	885.23
h 6,047.38		5,466.04	581.34
i 8,951.45		8,951.06	0.39
j 49,602.54		48,253.14	1,349.40
k 10,459.21		12,554.15	<2,094.94>
l 23,861.72		24,828.03	<966.31>
m 2,785.62		2,544.92	240.70
n 70,874.37		75,745.78	<4,871.41>
o 36,433.11		37,830.60	<1,397.49>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<1,520.89>
b			2,176.49
c			427.54
d			<3,454.78>
e			1,438.13
f			1,079.39
g			885.23
h			581.34
i			0.39
j			1,349.40
k			<2,094.94>
l			<966.31>
m			240.70
n			<4,871.41>
o			<1,397.49>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 534.888 SHS. HENDERSON EUROPEAN FOCUS		P	03/18/16	06/27/16
b 577 SHS. VANGUARD FINANCIALS ETF		P	06/27/15	06/30/16
c 722 SHS. WISDOMTREE EUROPE HEDGED		P	06/27/16	06/30/16
d 205.801 SHS. LORD ABBOTT INCOME		P	01/03/14	10/12/15
e 253 SHS. WISDOMTREE EUROPE		P	06/16/15	10/08/15
f 1710.512 SHS. INVESCO INTERNATIONAL		P	11/12/15	05/19/16
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 15,190.82		17,185.94	<1,995.12>
b 27,187.52		25,732.18	1,455.34
c 36,507.06		34,356.01	2,151.05
d 565.95		580.36	<14.41>
e 14,463.64		15,960.81	<1,497.17>
f 52,478.51		54,582.44	<2,103.93>
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<1,995.12>
b			1,455.34
c			2,151.05
d			<14.41>
e			<1,497.17>
f			<2,103.93>
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	<59,641.39>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CAPE COD HEALTH CARE FOUNDATION P. O. BOX 370 HYANNIS, MA 02601	N/A	PUBLIC CHARITY	HEALTH CARE	2,500.00
CHILDREN'S HOSPITAL BOSTON 1 AUTUMN ST. #731 BOSTON, MA 02215	N/A	NON PROFIT	MEDICAL	2,000.00
DANA FARBER CANCER INSTITUTE P. O. BOX 849168 BOSTON, MA 02284	NA	MEDICAL	MEDICAL	3,000.00
DOCTORS WITHOUT BORDERS USA P. O. BOX 5030 HAGERSTOWN, MD 21741	N/A	MEDICAL	MEDICAL RESEARCH	5,000.00
ENVIRONMENTAL DEFENSE FUND 257 PARK AVENUE SOUTH NEW YORK, NY 10010	N/A	NON PROFIT	ENVIRONMENTAL SOLUTIONS	2,500.00
FALMOUTH FUND OF THE CAPE C/O CAPE COD FOUNDATION, 259 WILLOW ST. YARMOUTHPORT, MA 01615	N/A	NON PROFIT	SERVICES GENERAL NEEDS OF FALMOUTH, MA	4,500.00
FALMOUTH SERVICE CENTER 611 GIFFORD ST. FALMOUTH, MA 02540	N/A	NON PROFIT	FOOD PANTRY	2,000.00
FRIENDS OF FAIRWINDS P. O. BOX 2447 TEATICKET, MA 02536	N/A	NON PROFIT	SUPPORT FOR MENTAL ILLNESS	1,000.00
FRIENDS OF FALMOUTH DOGS P. O. BOX 438 FALMOUTH, MA 02541	N/A	NON PROFIT	ANIMAL CARE	500.00
HUMANE SOCIETY INTERNATIONAL 2100 L STREET, NW WASHINGTON, DC 20037	N/A	NON PROFIT		1,500.00
Total from continuation sheets				81,100.00

CAITHNESS FOUNDATION
C/O DANIEL H. WEBB

26-3917361

Part XV **Supplementary Information**

3 Grants and Contributions Paid During the Year (Continuation)				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NA FOUNDATION FOR UNIVERSITY OF MANCHESTER P. O. BOX 293 LITTLETON, MA 01460	N/A		EDUCATION	1,500.00
NATURAL RESOURCES DEFENSE COUNCIL 40 WEST 20TH ST. NEW YORK, NY 10011	N/A	NON PROFIT		2,000.00
NEIGHBORHOOD FALMOUTH P. O. BOX 435 FALMOUTH, MA 02541	N/A	PUBLIC CHARITY	SENIOR NEEDS	1,500.00
OXFAM AMERICA OF BOSTON, MA 226 CAUSEWAY ST. BOSTON, MA 02114	N/A	PUBLIC CHARITY	POVERTY AND HUNGER	10,000.00
PEOPLE FOR CATS P. O. BOX 422 W. FALMOUTH, MA 02574	N/A	PUBLIC CHARITY	SHELTER AND ADOPTION OF CATS	1,500.00
PMC JIMMY FUND 77 4TH AVE. NEEDHAM, MA 02494	N/A	PUBLIC CHARITY	CANCER RESEARCH	500.00
RICE UNIVERSITY P. O. BOX 1892 HOUSTON, TX 77251	N/A	PUBLIC CHARITY	EDUCATIONAL	1,000.00
ROCKY MOUNTAIN INSTITUTE 2317 SNOWMASS CREEK RD. SNOWMASS, CO 81554	N/A	NON PROFIT	ALTERNATIVE FUELS	1,000.00
SAMARITANS ON CAPE COD & ISLANDS P. O. BOX 65 FALMOUTH, MA 02541	N/A	NON PROFIT	PEOPLE IN NEED	1,000.00
THE 300 COMMITTEE 157 LOCUST ST. FALMOUTH, MA 02540	N/A	PUBLIC CHARITY	LAND TRUST PRESERVATION OF OPEN SPACE	2,000.00
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE COALITION FOR CHILDREN 340 TEATICKET HIGHWAY E. FALMOUTH, MA 02536	N/A	NON PROFIT	FAMILY NETWORK	10,000.00
THE ICSEE 81 KIRKLAND ST. NO. 2 CAMBRIDGE, MA 02138	N/A	NON PROFIT	ENERGY/ENVIRONMENT	1,000.00
THE POPULATION COUNCIL ONE DOUG HAMMARSKJOLD PLAZA NEW YORK, NY 10017	N/A	NON PROFIT	HEALTH CARE	2,000.00
THE SAMPSON FUND FOR VETERINARIANS P.O. BOX 1756 ORLEANS, MA 02653	N/A	NON PROFIT	CARE FOR ILL CATS AND DOGS	1,500.00
UNION OF CONCERNED SCIENTS 2 BRATTLE SQUARE CAMBRIDGE, MA 02138	N/A	NON PROFIT	RESEARCH	1,500.00
US FOUNDATION FOR QUEENS UNIVERSITY 5505 CONNECTICUT AVE. NW WASHINGTON, DC 20015	N/A	PUBLIC CHARITY	EDUCATIONAL	5,000.00
US FUND FOR UNICEF 125 MAIDEN LANE NEW YORK, NY 10038	N/A	NON PROFIT		2,000.00
WCAI 3 WATER STREET WOODS HOLE, MA 02543	N/A	NON PROFIT	PUBLIC RADIO	1,500.00
WIKIMEDIA FOUNDATION P. O. BOX 98204 SAN FRANCISCO, CA 94111	N/A	NON PROFIT		1,500.00
WEST FALLMOUTH LIBRARY 575 W. FALMOUTH HIGHWAY W. FALMOUTH, MA 02574	N/A	NON-PROFIT	EDUCATIONAL	1,500.00
Total from continuation sheets				

CAITHNESS FOUNDATION
C/O DANIEL H. WEBB

26-3917361

Part XV **Supplementary Information**

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
WILD AID 744 MONTGOMERY ST., SUITE 300 SAN FRANCISCO, CA 94111	N/A	NON PROFIT	ANIMALS	2,000.00
WOODS HOLE OCEANOGRAPHIC INSTITUTION MS#40 WOODS HOLE, MA 02543	N/A	NON PROFIT	OCEAN RESEARCH	1,500.00
CAPE COD CHARITABLE FUNDRAISERS P. O. BOX 362 CENTERVILLE, MA 02632		CHARITABLE		300.00
RED CROSS 2025 E. STREET, N W WASHINGTON, DC 20006		CHARITABLE		2,000.00
ASPCA P. O. BOX 96929 WASHINGTON, DC 20077		CHARITABLE		500.00
MEMORIAL SLOAN KETTERING CANCER CENTER P. O. BOX 27432 NEW YORK, NY 10087		MEDICAL		300.00
HISTORIC HIGHFIELD P. O. BOX 494, 56 HIGHFIELD DR. FALMOUTH, MA 02541	NA	NON PROFIT		500.00
Total from continuation sheets				

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
SECURITIES	46,920.00	0.00	46,920.00	46,920.00	
TO PART I, LINE 4	46,920.00	0.00	46,920.00	46,920.00	

FORM 990-PF	OTHER INCOME	STATEMENT	2
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
LONG TERM CAPITAL GAINS DISTRIBUTIONS	10,375.24	10,375.24	
SHORT TERM CAPITAL GAINS DISTRIBUTIONS	1,812.15	1,812.15	
CAPITAL GAIN DISTRIBUTIONS	2,165.81	2,165.81	
DISALLOWED LOSS	30.10	30.10	
TOTAL TO FORM 990-PF, PART I, LINE 11	14,383.30	14,383.30	

FORM 990-PF	LEGAL FEES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
GILMORE, REES & CARLSON, PC	4,130.30	0.00		0.00
TO FM 990-PF, PG 1, LN 16A	4,130.30	0.00		0.00

FORM 990-PF	ACCOUNTING FEES			STATEMENT 4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BARBARA J. MUNROE, BOOKKEEPER	1,225.00	0.00		0.00
TO FORM 990-PF, PG 1, LN 16B	1,225.00	0.00		0.00

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MORGAN STANLEY INVESTMENT	12,017.15	0.00		0.00
TO FORM 990-PF, PG 1, LN 16C	12,017.15	0.00		0.00

FORM 990-PF	TAXES			STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
- BALANCE DUE FY ENDING 201	127.31	0.00		0.00
INTERNAL REVENUE SERVICE - ESTIMATED TAX FY ENDING 2014	1,756.00	0.00		0.00
TO FORM 990-PF, PG 1, LN 18	1,883.31	0.00		0.00

FORM 990-PF	OTHER EXPENSES			STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FILING FEES	35.00	0.00		0.00	
OFFICE EXPENSE	50.45	0.00		0.00	
TO FORM 990-PF, PG 1, LN 23	85.45	0.00		0.00	

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	8
DESCRIPTION		AMOUNT	
ADJUSTMENT FOR ROUNDING CAPITAL GAINS		1,246.54	
TOTAL TO FORM 990-PF, PART III, LINE 3		1,246.54	

FORM 990-PF	OTHER INVESTMENTS		STATEMENT	9
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE	
STOCKS, BONDS & MUTUAL FUNDS	COST	1,514,697.36	1,683,356.27	
TOTAL TO FORM 990-PF, PART II, LINE 13		1,514,697.36	1,683,356.27	

FORM 990-PF	PART XV - LINE 1A LIST OF FOUNDATION MANAGERS	STATEMENT	10
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NAME OF MANAGER

DANIEL H. WEBB
DOUGLAS C. WEBB