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Form 990-PF

Department of the Treasury
Internal Revenue Service

EXTENDED TO MAY 15, 2017

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

OMB No. 1545-0052

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning JUL 1, 2015, and ending JUN 30, 2016

Name of foundation

A Employer identification number

COOKE FOUNDATION, LIMITED

23-7120804

Number and street (or P.O. box number if mail is not delivered to street address)

Room/suite

B Telephone number

827 FORT STREET MALL

(808) 566-5524

City or town, state or province, country, and ZIP or foreign postal code

C If exemption application is pending, check here ☐

HONOLULU, HI 96813

G Check all that apply

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeD 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test
check here and attach computation ☐

H Check type of organization.

☒ Section 501(c)(3) exempt private foundationE If private foundation status was terminated
under section 507(b)(1)(A), check here ☐☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationF If the foundation is in a 60-month termination
under section 507(b)(1)(B), check here ☐

I Fair market value of all assets at end of year

J Accounting method

☒ Cash☐ Accrual

(from Part II, col (c), line 16)

☐ Other (specify)

\$ 22,789,472. (Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not
necessarily equal the amounts in column (a).)(a) Revenue and
expenses per books(b) Net investment
income(c) Adjusted net
income(d) Disbursements
for charitable purposes
(cash basis only)

| | | | | | | |
|---------------------------------------|-----|--|------------|------------|-----|-------------|
| Revenue | 1 | Contributions, gifts, grants, etc., received | 500. | | N/A | |
| | 2 | Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B | | | | |
| | 3 | Interest on savings and temporary cash investments | | | | |
| | 4 | Dividends and interest from securities | 1,094,903. | 1,094,903. | | STATEMENT 1 |
| | 5a | Gross rents | | | | |
| | b | Net rental income or (loss) | | | | |
| | 6a | Net gain or (loss) from sale of assets not on line 10 | 102,078. | | | |
| | b | Gross sales price for all assets on line 6a | 4,922,690. | | | |
| | 7 | Capital gain net income (from Part IV, line 2) | | 102,078. | | |
| | 8 | Net short-term capital gain | | | | |
| | 9 | Income modifications | | | | |
| | 10a | Gross sales less returns and allowances | | | | |
| Operating and Administrative Expenses | b | Less: Cost of goods sold | | | | |
| | c | Gross profit or (loss) | | | | |
| | 11 | Other income | <16,032.> | <16,032.> | | STATEMENT 2 |
| | 12 | Total. Add lines 1 through 11 | 1,181,449. | 1,180,949. | | |
| | 13 | Compensation of officers, directors, trustees, etc. | 0. | 0. | | 0. |
| | 14 | Other employee salaries and wages | | | | |
| | 15 | Pension plans, employee benefits | | | | |
| | 16a | Legal fees | | | | |
| | b | Accounting fees | 15,925. | 7,962. | | 7,963. |
| | c | Other professional fees | | | | |
| | 17 | Interest | | | | |
| | 18 | Taxes | 14,172. | 0. | | 0. |
| | 19 | Depreciation and amortization | | | | |
| | 20 | Occupancy | | | | |
| | 21 | Travel, conferences, and meetings | 941. | 0. | | 941. |
| | 22 | Printing and publications | 2,650. | 0. | | 2,650. |
| | 23 | Other expenses | 263,259. | 169,994. | | 93,265. |
| | 24 | Total operating and administrative expenses. Add lines 13 through 23 | 296,947. | 177,956. | | 104,819. |
| | 25 | Contributions, gifts, grants paid | 1,015,731. | | | 1,015,731. |
| | 26 | Total expenses and disbursements. Add lines 24 and 25 | 1,312,678. | 177,956. | | 1,120,550. |
| | 27 | Subtract line 26 from line 12 | | | | |
| | a | Excess of revenue over expenses and disbursements | <131,229.> | | | |
| | b | Net investment income (if negative, enter -0-) | | 1,002,993. | | |
| | c | Adjusted net income (if negative, enter -0-) | | | N/A | |

523501
11-24-15

LHA For Paperwork Reduction Act Notice, see instructions

1

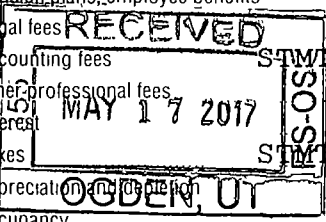
Form 990-PF (2015)

10510426 757554 36970

2015 05060 COOKE FOUNDATION LIMITED

36970 1

SCANNED MAY 23 2017



| Part II Balance Sheets | | Attached schedules and amounts in the description column should be for end of year amounts only | | |
|-----------------------------|---|---|----------------|-----------------------|
| | | Beginning of year | End of year | |
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 Cash - non-interest-bearing | | | |
| | 2 Savings and temporary cash investments | 242,081. | 1,025,897. | 1,025,897. |
| | 3 Accounts receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 4 Pledges receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons | | | |
| | 7 Other notes and loans receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | | | |
| | 10a Investments - U.S. and state government obligations STMT 7 | 1,030,590. | 612,925. | 658,613. |
| | b Investments - corporate stock STMT 8 | 10,275,102. | 9,493,861. | 10,527,936. |
| | c Investments - corporate bonds STMT 9 | 4,794,489. | 4,899,859. | 5,008,420. |
| | 11 Investments - land, buildings and equipment basis ▶ | | | |
| Liabilities | Less: accumulated depreciation ▶ | | | |
| | 12 Investments - mortgage loans | | | |
| | 13 Investments - other STMT 10 | 5,636,588. | 5,828,863. | 5,568,606. |
| | 14 Land, buildings, and equipment basis ▶ | | | |
| | Less: accumulated depreciation ▶ | | | |
| | 15 Other assets (describe ▶) | | | |
| | 16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I) | 21,978,850. | 21,861,405. | 22,789,472. |
| | 17 Accounts payable and accrued expenses | | | |
| | 18 Grants payable | | | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable | | | |
| | 22 Other liabilities (describe ▶) | | | |
| | 23 Total liabilities (add lines 17 through 22) | 0. | 0. | |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31 | | | |
| | 24 Unrestricted | 21,978,850. | 21,861,405. | |
| | 25 Temporarily restricted | | | |
| | 26 Permanently restricted | | | |
| | Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31 | | | |
| | 27 Capital stock, trust principal, or current funds | | | |
| | 28 Paid-in or capital surplus, or land, bldg., and equipment fund | | | |
| | 29 Retained earnings, accumulated income, endowment, or other funds | | | |
| | 30 Total net assets or fund balances | 21,978,850. | 21,861,405. | |
| | 31 Total liabilities and net assets/fund balances | 21,978,850. | 21,861,405. | |

Part III Analysis of Changes in Net Assets or Fund Balances

| | | |
|---|---|-------------|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 21,978,850. |
| 2 Enter amount from Part I, line 27a | 2 | <131,229.> |
| 3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 6 | 3 | 13,784. |
| 4 Add lines 1, 2, and 3 | 4 | 21,861,405. |
| 5 Decreases not included in line 2 (itemize) ▶ | 5 | 0. |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 21,861,405. |

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.) | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|--|--------------------------------------|----------------------------------|
| 1a SEE ATTACHED SCHEDULE | P | VARIOUS | VARIOUS |
| b SEE ATTACHED SCHEDULE | P | VARIOUS | VARIOUS |
| c | | | |
| d | | | |
| e | | | |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 269,064. | | 254,017. | 15,047. |
| b 4,653,626. | | 4,566,595. | 87,031. |
| c | | | |
| d | | | |
| e | | | |

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) |
|---|--------------------------------------|---|---|
| (j) F M V as of 12/31/69 | (k) Adjusted basis as of 12/31/69 | (l) Excess of col. (j) over col. (k), if any | |
| a | | | 15,047. |
| b | | | 87,031. |
| c | | | |
| d | | | |
| e | | | |

| | | | |
|--|---|---|----------|
| 2 Capital gain net income or (net capital loss) | { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 } | 2 | 102,078. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) | { If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8 } | 3 | N/A |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|---|---------------------------------------|---|--|
| 2014 | 882,939. | 23,110,370. | .038205 |
| 2013 | 1,287,695. | 22,721,590. | .056673 |
| 2012 | 1,219,561. | 22,105,168. | .055171 |
| 2011 | 958,458. | 21,257,828. | .045087 |
| 2010 | 1,127,786. | 21,508,616. | .052434 |

| | | |
|--|---|-------------|
| 2 Total of line 1, column (d) | 2 | .247570 |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | .049514 |
| 4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5 | 4 | 21,868,076. |
| 5 Multiply line 4 by line 3 | 5 | 1,082,776. |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 10,030. |
| 7 Add lines 5 and 6 | 7 | 1,092,806. |
| 8 Enter qualifying distributions from Part XII, line 4 | 8 | 1,120,550. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

| | | | |
|--|------------|----|---------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions) | | 1 | 10,030. |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b | | 2 | 0. |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b) | | 3 | 10,030. |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | 4 | 0. |
| 3 Add lines 1 and 2 | | 5 | 10,030. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | | |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- | | | |
| 6 Credits/Payments | | | |
| a 2015 estimated tax payments and 2014 overpayment credited to 2015 | 6a 18,640. | | |
| b Exempt foreign organizations - tax withheld at source | 6b | | |
| c Tax paid with application for extension of time to file (Form 8868) | 6c | | |
| d Backup withholding erroneously withheld | 6d | | |
| 7 Total credits and payments. Add lines 6a through 6d | | 7 | 18,640. |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached | | 8 | |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed | | 9 | |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid | | 10 | 8,610. |
| 11 Enter the amount of line 10 to be: Credited to 2016 estimated tax <input checked="" type="checkbox"/> 8,610. Refunded <input type="checkbox"/> | | 11 | 0. |

Part VII-A Statements Regarding Activities

| | Yes | No |
|---|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | | X |
| 1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities | | X |
| c Did the foundation file Form 1120-POL for this year? | | X |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers \$ 0. | | |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0. | | |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities | | X |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes | | X |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? | | X |
| b If "Yes," has it filed a tax return on Form 990-T for this year? | | |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T | | X |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | X | |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV | X | |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>HI</u> | | |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation | X | |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV | | X |
| 10 Did any persons become substantial contributors during the tax year? If Yes, attach a schedule listing their names and addresses | | X |

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Part VII-A Statements Regarding Activities (continued)

| | Yes | No |
|--|-----|----|
| 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | | X |
| 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | | X |
| 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>HTTP://WWW.COOKEFDN.ORG</u> | X | |
| 14 The books are in care of ► <u>HAWAII COMMUNITY FOUNDATION</u> Telephone no ► <u>(808) 566-5524</u> Located at ► <u>827 FORT STREET MALL, HONOLULU, HI</u> ZIP+4 ► <u>96813</u> | | |
| 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A | | |
| 16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ► | | X |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

| | Yes | No |
|---|-----|----|
| 1a During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception - Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A | 1b | |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? | 1c | X |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? If "Yes," list the years ► <u> </u> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► <u> </u> , <u> </u> , <u> </u> , <u> </u> | 2b | |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015) N/A | 3b | |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | X |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015? | 4b | X |

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|---|---|---|---------------------------------------|
| SEE STATEMENT 11 | | 0. | 0. | 0. |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

2 Compensation of five highest-paid employees (other than those included on line 1) If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|---|---------------------|------------------|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

| | Expenses |
|-------|----------|
| 1 N/A | |
| | |
| | |
| 2 | |
| | |
| | |
| 3 | |
| | |
| | |
| 4 | |
| | |
| | |

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

| | Amount |
|---|--------|
| 1 N/A | |
| | |
| | |
| 2 | |
| | |
| | |
| All other program-related investments. See instructions | |
| 3 | |
| | |
| | |
| Total. Add lines 1 through 3 | 0. |

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|---|--|----|-------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes | | |
| a | Average monthly fair market value of securities | 1a | 21,500,649. |
| b | Average of monthly cash balances | 1b | 700,443. |
| c | Fair market value of all other assets | 1c | |
| d | Total (add lines 1a, b, and c) | 1d | 22,201,092. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e | 0. |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0. |
| 3 | Subtract line 2 from line 1d | 3 | 22,201,092. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 | 333,016. |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 21,868,076. |
| 6 | Minimum investment return. Enter 5% of line 5 | 6 | 1,093,404. |

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

| | | | |
|----|--|----|------------|
| 1 | Minimum investment return from Part X, line 6 | 1 | 1,093,404. |
| 2a | Tax on investment income for 2015 from Part VI, line 5 | 2a | 10,030. |
| b | Income tax for 2015 (This does not include the tax from Part VI.) | 2b | |
| c | Add lines 2a and 2b | 2c | 10,030. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | 3 | 1,083,374. |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | 0. |
| 5 | Add lines 3 and 4 | 5 | 1,083,374. |
| 6 | Deduction from distributable amount (see instructions) | 6 | 0. |
| 7 | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7 | 1,083,374. |

Part XII**Qualifying Distributions** (see instructions)

| | | | |
|---|---|----|------------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 1,120,550. |
| b | Program-related investments - total from Part IX-B | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 1,120,550. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5 | 10,030. |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4 | 6 | 1,110,520. |

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2015)

Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2014 | (c) 2014 | (d) 2015 |
|--|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2015 from Part XI, line 7 | | | | 1,083,374. |
| 2 Undistributed income, if any, as of the end of 2015 | | | | |
| a Enter amount for 2014 only | | | 0. | |
| b Total for prior years | | 0. | | |
| 3 Excess distributions carryover, if any, to 2015 | | | | |
| a From 2010 | 27,705. | | | |
| b From 2011 | | | | |
| c From 2012 | 130,233. | | | |
| d From 2013 | 215,103. | | | |
| e From 2014 | | | | |
| f Total of lines 3a through e | 373,041. | | | |
| 4 Qualifying distributions for 2015 from Part XII, line 4. ► \$ 1,120,550. | | | | |
| a Applied to 2014, but not more than line 2a | | | 0. | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | 0. | | |
| c Treated as distributions out of corpus (Election required - see instructions) | 0. | | | |
| d Applied to 2015 distributable amount | | | | 1,083,374. |
| e Remaining amount distributed out of corpus | 37,176. | | | |
| 5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a)) | 0. | | | 0. |
| 6 Enter the net total of each column as indicated below | | | | |
| a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 | 410,217. | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0. | | |
| d Subtract line 6c from line 6b. Taxable amount - see instructions | | 0. | | |
| e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr | | | 0. | |
| f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016 | | | | 0. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) | 0. | | | |
| 8 Excess distributions carryover from 2010 not applied on line 5 or line 7 | 27,705. | | | |
| 9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a | 382,512. | | | |
| 10 Analysis of line 9 | | | | |
| a Excess from 2011 | | | | |
| b Excess from 2012 | 130,233. | | | |
| c Excess from 2013 | 215,103. | | | |
| d Excess from 2014 | | | | |
| e Excess from 2015 | 37,176. | | | |

| | |
|-----------------|--|
| Part XIV | Private Operating Foundations (see instructions and Part VII A, question 9) |
|-----------------|--|

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling

- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|--|--------------------------------------|-------------------------------------|------------|
| a Paid during the year | | | | |
| SEE ATTACHED SCHEDULE | | | | 1,015,731. |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| Total | | | 3a | 1,015,731. |
| b Approved for future payment | | | | |
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| Total | | | 3b | 0. |

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|---|--|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|--------------------------|--------------------------|---------------------------------|
| N/A | | |
| | | |
| | | |
| | | |
| | | |

**Sign
Here**

Under penalties of perjury I declare that I have examined this return including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee Bale A. Bachman Date 5-5-17 Title President

May the IRS discuss this return with the preparer shown below (see instr.)?

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

CHRIS O.Y. YUEN

Preparer's signature

IN LLP

Date _____

04/26/17

Check ☐ self-employed

| |
|------|
| PTIN |
|------|

P00646551

Firm's name ► OHATA CHUN YUEN LLP

Firm's address ► 3684 WAIALAE AVENUE
HONOLULU, HI 96816

Firm's EIN ► 99-0237347

Phone no 808 735-8585

Form **990-PF** (2015)

| | | | |
|-------------|--|-----------|---|
| FORM 990-PF | DIVIDENDS AND INTEREST FROM SECURITIES | STATEMENT | 1 |
|-------------|--|-----------|---|

| SOURCE | GROSS AMOUNT | CAPITAL GAINS DIVIDENDS | (A) REVENUE PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME |
|-------------------|-----------------|-------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| MORGAN STANLEY | 1,094,903. | 0. | 1,094,903. | 1,094,903. | |
| TO PART I, LINE 4 | 1,094,903. | 0. | 1,094,903. | 1,094,903. | |

| | | | |
|-------------|--------------|-----------|---|
| FORM 990-PF | OTHER INCOME | STATEMENT | 2 |
|-------------|--------------|-----------|---|

| DESCRIPTION | (A) REVENUE PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME |
|---------------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| ENBRIDGE ENERGY PARTNERS LP - K-1 | <4,857.> | <4,857.> | |
| ONEOK PARTNERS LP - K-1 | <15,208.> | <15,208.> | |
| LITIGATION SETTLEMENT PROCEEDS | 4,033. | 4,033. | |
| TOTAL TO FORM 990-PF, PART I, LINE 11 | <16,032.> | <16,032.> | |

| | | | |
|-------------|-----------------|-----------|---|
| FORM 990-PF | ACCOUNTING FEES | STATEMENT | 3 |
|-------------|-----------------|-----------|---|

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| ACCOUNTING FEES | 15,925. | 7,962. | | 7,963. |
| TO FORM 990-PF, PG 1, LN 16B | 15,925. | 7,962. | | 7,963. |

| | | | |
|-------------|-------|-----------|---|
| FORM 990-PF | TAXES | STATEMENT | 4 |
|-------------|-------|-----------|---|

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| FOREIGN TAXES WITHHELD | 7,225. | 0. | | 0. |
| EXCISE TAXES | 6,947. | 0. | | 0. |
| TO FORM 990-PF, PG 1, LN 18 | 14,172. | 0. | | 0. |

| FORM 990-PF | OTHER EXPENSES | | | STATEMENT | 5 |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|---|
| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES | |
| GRANTS ADMINISTRATIVE FEES | 85,000. | 0. | | 85,000. | |
| INVESTMENT MANAGEMENT FEES | 145,200. | 145,200. | | 0. | |
| INVESTMENT CONSULTING FEES | 33,059. | 24,794. | | 8,265. | |
| TO FORM 990-PF, PG 1, LN 23 | 263,259. | 169,994. | | 93,265. | |

| FORM 990-PF | OTHER INCREASES IN NET ASSETS OR FUND BALANCES | STATEMENT | 6 |
|--|--|-----------|---|
| DESCRIPTION | | AMOUNT | |
| RETURN OF PRIOR YEAR GRANTS | | 40. | |
| ADJUSTMENTS TO BASIS FOR BOND AMORTIZATION & NON-DIV DISTRIB | | 13,744. | |
| TOTAL TO FORM 990-PF, PART III, LINE 3 | | 13,784. | |

| FORM 990-PF | U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS | | | STATEMENT | 7 |
|--|--|----------------|------------|----------------------|---|
| DESCRIPTION | U.S. GOV'T | OTHER GOV'T | BOOK VALUE | FAIR MARKET VALUE | |
| GOVERNMENT SECURITIES | | X | 612,925. | 658,613. | |
| TOTAL U.S. GOVERNMENT OBLIGATIONS | | | | | |
| TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS | | | 612,925. | 658,613. | |
| TOTAL TO FORM 990-PF, PART II, LINE 10A | | | 612,925. | 658,613. | |

| | | | |
|-------------|-----------------|-----------|---|
| FORM 990-PF | CORPORATE STOCK | STATEMENT | 8 |
|-------------|-----------------|-----------|---|

| DESCRIPTION | BOOK VALUE | FAIR MARKET VALUE |
|---|------------|-------------------|
| CORPORATE STOCKS | 9,493,861. | 10,527,936. |
| TOTAL TO FORM 990-PF, PART II, LINE 10B | 9,493,861. | 10,527,936. |

| | | | |
|-------------|-----------------|-----------|---|
| FORM 990-PF | CORPORATE BONDS | STATEMENT | 9 |
|-------------|-----------------|-----------|---|

| DESCRIPTION | BOOK VALUE | FAIR MARKET VALUE |
|---|------------|-------------------|
| CORPORATE BONDS | 4,899,859. | 5,008,420. |
| TOTAL TO FORM 990-PF, PART II, LINE 10C | 4,899,859. | 5,008,420. |

| | | | |
|-------------|-------------------|-----------|----|
| FORM 990-PF | OTHER INVESTMENTS | STATEMENT | 10 |
|-------------|-------------------|-----------|----|

| DESCRIPTION | VALUATION METHOD | BOOK VALUE | FAIR MARKET VALUE |
|--|------------------|------------|-------------------|
| EXCHANGE-TRADED & CLOSED-END FUNDS | COST | 5,828,863. | 5,568,606. |
| TOTAL TO FORM 990-PF, PART II, LINE 13 | | 5,828,863. | 5,568,606. |

| | | |
|-------------|---|--------------|
| FORM 990-PF | PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS | STATEMENT 11 |
|-------------|---|--------------|

| NAME AND ADDRESS | TITLE AND AVRG HRS/WK | COMPEN- SATION | EMPLOYEE BEN PLAN CONTRIB | EXPENSE ACCOUNT |
|--|------------------------------------|-------------------|------------------------------|--------------------|
| DALE S. BACHMAN 827 FORT STREET MALL HONOLULU, HI 96813 | PRESIDENT/TRUSTEE 1.00 | 0. | 0. | 0. |
| CATHERINE COOKE 827 FORT STREET MALL HONOLULU, HI 96813 | VICE PRES/TRUSTEE 1.00 | 0. | 0. | 0. |
| ELIZABETH DUNFORD 827 FORT STREET MALL HONOLULU, HI 96813 | VICE PRES/TRUSTEE 1.00 | 0. | 0. | 0. |
| GREGORY WRENN 827 FORT STREET MALL HONOLULU, HI 96813 | VICE PRES/TRUSTEE 1.00 | 0. | 0. | 0. |
| CAROLINE BOND DAVIS 827 FORT STREET MALL HONOLULU, HI 96813 | VICE PRES/SECRETARY/TRUSTE 1.00 | 0. | 0. | 0. |
| CHARLES SPALDING JR. 827 FORT STREET MALL HONOLULU, HI 96813 | VICE PRES/TREASURER/TRUSTE 1.00 | 0. | 0. | 0. |
| TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII | | 0. | 0. | 0. |

Cooke Foundation, Limited

2016 Grants List

June 30, 2016

EIN 23-7120804

| Organization | Address | Project Description | Payment Amount |
|---|--|---|----------------|
| Ballet Hawaii | 777 South Hotel Street Ste 101 Honolulu, HI 96813 | New Nutcracker Set and Costumes | 10,000 00 |
| Big Brothers Big Sisters Hawaii, Inc | 418 Kuwili St , Ste 106 Honolulu, HI 96817 | Expansion of West Hawaii Island Pilot | 2,500 00 |
| Big Island Mediation, Inc. | PO Box 7020 Kamuela, HI 96743 | Phase 3 Middle/High School Pilot Program Conflict Resolution Education and Peer Mediation | 5,000 00 |
| Bishop Museum | 1525 Bernice St Honolulu, HI 96817-2704 | Comprehensive Architectural and Programmatic Renovation of the Historic 120-year old Pacific Hall | 25,000 00 |
| Blue Planet Foundation | 55 Merchant St , Ste 1700 Honolulu, HI 96813 | Student Energy Innovation Pathway (SEIP) | 10,000 00 |
| Boys & Girls Club of Hawaii | 1523 Kalakaua Ave , Rm 202 Honolulu, HI 96826 | General Operations | 25,000 00 |
| Cathedral Church of St Andrew | 229 Queen Emma Square Honolulu, HI 96813 | Restoring the Cathedral's Aeolian Skinner Organ | 25,000 00 |
| Chamber Music Hawaii | PO Box 61939 Honolulu, HI 96839 | Big Island Performance of Hawaiian-Narrated Pakaa Lanakila! Part of CMH Pakaa Project | 5,000 00 |
| Church of the Crossroads | 1212 University Ave Honolulu, HI 96826 | Crossroads Renovation Project | 10,000 00 |
| Conservation International Foundation | 2011 Crystal Dr , Ste 500 Arlington, VA 22202 | Building the Sustainability of Community-Based Fisheries in Miloliu South Kona, Hawaii | 5,000 00 |
| Family Life Center | 95 S Kane Street Kahului, HI 96732 | Repair of Men's Outside Bathroom | 5,000 00 |
| Friends of the Children's Justice Center of Maui, Inc | 1773-A Wili Pa Loop Wailuku, HI 96793 | Fulfill Clothing Requests of Abused Children and Awareness and Prevention Training | 15,000 00 |
| Grow Some Good | 1215 S Kihei Rd Suite O #1047 Kihei, HI 96753 | Grow Some Good School Gardens Program | 10,000 00 |
| Guide Dogs of Hawaii (Adaptive Aids, Canines & Advocacy for | 747 Amana St , Apt 407 Honolulu, HI 96814 | Get Ahead with Technology | 5,000 00 |
| Habitat for Humanity West Hawaii | PO Box 4619 Kailua-Kona, HI 96745 | Financial Literacy Program | 10,000 00 |
| Hana Arts | PO Box 686 Hana, HI 96713 | Hana Community Theatre present Hana Legends | 5,000 00 |
| Hawaii Alliance for Arts in Education | PO Box 3948 Honolulu, HI 96812-3948 | Turnaround Arts Hawaii | 15,000 00 |
| Hawaii Community Foundation | 827 Fort Street Mall Honolulu, HI 96813 | Pathways to Resilient Communities | 33,334 00 |
| Hawaii Craftsmen | 1159 Nuuanu Ave Honolulu, HI 96817 | Basic Program Expansion | 8,325 00 |
| Hawaii Forest Institute | P O Box 66 Ookala, HI 96774 | Keauhou Bird Conservation Center Discovery Forest | 8,670 00 |

Cooke Foundation, Limited

2016 Grants List

June 30, 2016

EIN 23-7120804

| Organization | Address | Project Description | Payment Amount |
|---|--|--|----------------|
| Hawaii Island Community Development Corporation | 100 Pauahi Street, Ste 204 Hilo, HI 96720 | Hilo Adult Day Center | 25,000 00 |
| Hawaii Opera Theatre | 848 S Beretania St , Ste 301 Honolulu, HI 96813 | Hawaii Opera Theatre Production of A Midsummer Night's Dream by Benjamin Britten | 20,000 00 |
| Hawaii Pacific University | One Aloha Tower, Suite 3100 Honolulu, HI 96813 | Coral Reef Conservation Through Applied Research and Education | 5,000 00 |
| Hawaii Performing Arts Company, Ltd | 2833 East Manoa Rd Honolulu, HI 96822 | Manoa Valley Theatre Website Redesign | 4,500 00 |
| Hawaii Symphony Orchestra | 3610 Waiialae Ave Honolulu, HI 96816 | Hawaii Symphony Orchestra Season | 25,000 00 |
| Hawaii Youth Opera Chorus | P O Box 22304 Honolulu, HI 96823 | Music Education for Oahu Elementary Schools | 10,000 00 |
| Hawaiian Mission Children's Society | 553 S King St Honolulu, HI 96813 | Preservation and Access The Missionary Letters and Photographs | 17,000 00 |
| Honolulu Academy of Arts dba Honolulu Museum of Art | 900 S. Beretania St Honolulu, HI 96814 | Annual Grant | 100,000.00 |
| Honolulu Community Action Program | 33 South King St Ste 300 Honolulu, HI 96813 | Youth Services | 13,720 00 |
| Honolulu Habitat for Humanity | 922 Austin Lane, #C1 Honolulu, HI 96817 | Senior Home Preservation Program | 10,000 00 |
| HOPE Services Hawaii, Inc | 296 Kilauea Ave Hilo, HI 96720 | Hawaii Island Homeless Service Expansion Project | 50,000 00 |
| Hospice Maui, Inc | 400 Mahalani St. Wailuku, HI 96793 | Hale Hooluolu 5-Bed Residential Hospice Facility | 20,000 00 |
| Hui Noeau | 2841 Baldwin Ave. Makawao, HI 96768 | Print Studio Rehabilitation Project | 10,000 00 |
| Iao United Church of Christ | 2371 West Vineyard St Wailuku, HI 96793 | Iao Preschool's Artificial Turf for Playground Areas Project | 10,000 00 |
| Imua Family Services | 161 S Wakea Ave Kahului, HI 96732 | Early Childhood Development Program | 20,000 00 |
| Innovations Public Charter School | 75-5815 Queen Kaahumanu Hwy Kailua-Kona, HI 96740 | Innovations Public Charter School Middle School Outdoor Learning Laboratories | 5,000 00 |
| Island School | 3-1875 Kaumualii Hwy Lihue, HI 96766-9597 | Bus Fleet Update | 20,000 00 |
| Junior Achievement of Hawaii, Inc | 1888 Kalakaua Avenue, #C-312 Honolulu, HI 96815 | Financial Literacy in Title I Schools | 10,000 00 |
| Kanu o ka Aina Learning Ohana | P O Box 6511 Kamuela, HI 96743 | Outdoor STEAM Learning Labratory | 8,500 00 |
| Kauai Habitat for Humanity | P O Box 28 Eleele, HI 96705 | Eleele Iluna Subdivision Infrastructure | 25,000 00 |
| KCAA Preschools of Hawaii | 2707 S King Street Honolulu, HI 96826 | Improvements to KCAA Na Lei Preschool in Kalihi | 10,000 00 |

Cooke Foundation, Limited

2016 Grants List

June 30, 2016

EIN 23-7120804

| Organization | Address | Project Description | Payment Amount |
|--|---|---|----------------|
| Kuikahi Mediation Center, Inc | 101 Aupuni St , Ste 1014 B2 Hilo, HI 96720 | East Hawaii Peer Mediation Elementary School Pilot Project - Year 2 | 5,000 00 |
| La'a Kea Foundation | PO Box 790994 Paia, HI 96779 | Creating Employment Solutions for Maui's Special Adults Along with Revenue for the Organization | 10,000 00 |
| Lanai High and Elementary School | PO Box 630630 Lanai City, HI 96763 | Lanai Mural Project | 5,000 00 |
| Lanai Union Church | PO Box 630519 Lanai City, HI 96763 | Lanai Union Church's Step-Up Afterschool Program | 5,000 00 |
| Lokelani 'Ohana | 2315 Kahekili Hwy Wailuku, HI 96793 | Hale Lokelani Ohana ADA Renovations | 16,127 00 |
| Makiki Christian Church | 829 Pensacola St Honolulu, HI 96814 | Makiki Christian Church Preschool Outdoor Classroom & Play Area Renovation | 5,000 00 |
| Malama Maunalua | 6600 Kalaniana'ole Highway #212 Honolulu, HI 96825 | Create a Site Model of Marine Restoration at Paiko Restoration Area | 20,000 00 |
| Manoa Heritage Center | 2859 Manoa Rd Honolulu, HI 96822 | Manoa Heritage Center Visitor Hale and Education Center | 50,000 00 |
| Moanalua Gardens Foundation, Inc | 1352 Pineapple Pl Honolulu, HI 96819 | Huakai o Kamananui No Na Keiki | 5,000 00 |
| Molokai Land Trust | PO Box 1884 Kaunakakai, HI 96748 | Molokai Land Trust Operations & Service Learning Center Capital Project | 25,000 00 |
| Na Keiki o Emalia | PO Box 1137 Wailuku, HI 96793 | Children's Bereavement Program | 10,555 00 |
| National Tropical Botanical Garden | 3530 Papalina Rd Kalaheo, HI 96741 | Cultivating Paradise The Campaign for National Tropical Botanical Garden | 25,000 00 |
| Paepae o He'eia | P O Box 6355 Kaneohe, HI 96744 | Aina Momona | 10,000 00 |
| Paniolo Preservation Society | PO Box 640 Kamuela, HI 96743 | Support Funds for the Build-Out of a Gift Shop at the Paniolo Heritage Center at Pukalani Stables | 5,000 00 |
| State of HI-DOE, Alvah A Scott Elementary School | 98-1230 Moanalua Rd Aiea, HI 96701 | Alvah A Scott Elementary Peace Garden | 5,000 00 |
| Teach For America | 500 Ala Moana Blvd , Ste 3-400 Honolulu, HI 96813 | Malama Napua | 10,000 00 |
| The Center for Independent Documentary, Inc | 1600 Providence Hwy Walpole, MA 02081 | Qwaves A Place In The Middle - (A Community Education Program of "Kumu Hina" by Qwaves) | 10,000 00 |
| Trust for Public Land | 1003 Bishop Street Suite 740 Honolulu, HI 96813 | Kanewai Spring | 35,000 00 |
| University of Hawaii Foundation | PO Box 11270 Honolulu, HI 96828 | Imayo Japan's New Traditionists | 20,000 00 |
| University of Hawaii Foundation | 2444 Dole Street, Bachman Hall 105, Honolulu, HI 96822 | Culinary Institute of the Pacific at Diamond Head | 25,000 00 |
| University of Hawaii Foundation | PO Box 11270 Honolulu, HI 96828 | Philosopher in Residence 2016-17 | 28,500 00 |

Cooke Foundation, Limited

2016 Grants List

June 30, 2016

EIN 23-7120804

| Organization | Address | Project Description | Payment Amount |
|---------------------------|--|------------------------------|----------------|
| Volcano Art Center | PO Box 129 Volcano, HI 96785 | Sculpture Garden at Niaulani | 5,000 00 |
| West Hawaii Dance Theatre | 74-5626 Alapa St , Bay 15 Kailua-Kona, HI 96740 | Dance Studio Renovation | 4,000 00 |
| Young of Heart Workshop | PO Box 3080 Honolulu, HI 96802 | CONTACT 2016 | 5,000 00 |
| YWCA of Oahu | 1040 Richards St Honolulu, HI 96813 | S E E D S Initiative | 15,000 00 |
| | | | 1,015,731 00 |
| | | | |
| | | | |

Cooke Foundation Limited

Short-Term Realized Gain (Loss) on Investments

Tax Year: 2015

EIN: 23-7120804

| SECURITY | QUANTITY | DATE ACQUIRED | DATE SOLD | PROCEEDS | ADJUSTED COST | G(L) AMOUNT |
|---|------------|------------------|-----------|------------|---------------|-------------|
| <u>Short Term Gain/Loss - Punch Investments</u> | | | | | | |
| Mcg Capital Corp G/L Amount \$7,471.52 | | | | | | |
| | | 3/3/15 | 8/20/15 | 53,153.39 | 46,183.36 | 6,970.03 |
| | 12,500.00 | 3/3/15 | 8/20/15 | 3,824.38 | 3,322.89 | 501.49 |
| SubTotal | 12,500.00 | | | 56,977.77 | 49,506.25 | 7,471.52 |
| Pennantpark Fltg Rt Cap Ltd G/L Amount: -\$0.02 | | | | | | |
| | 0.02 | 8/20/15 | 8/20/15 | 0.25 | 0.27 | (0.02) |
| Rbs Cap Fndg Trust VII 6.08% G G/L Amount: \$6,517.76 | | | | | | |
| | 6,400.00 | 4/18/16 | 6/13/16 | 160,000.00 | 153,482.24 | 6,517.76 |
| Short Term Total - Punch Investments | | | | | | |
| | | | | 216,978.02 | 202,988.76 | 13,989.26 |
| <u>Short Term Gain/Loss - Everett Harris</u> | | | | | | |
| Ge D80yh Exch 4 100 12-29-55 G/L Amount \$590.00 | | | | | | |
| | 118,000.00 | 12/3/15 | 1/21/16 | 590.00 | - | 590.00 |
| General Electric 4.100 1-29-56 G/L Amount: \$55.12 | | | | | | |
| | 430.00 | 12/3/15 | 1/22/16 | 433.52 | 378.40 | 55.12 |
| H J Heinz Compan 4 1/4 10-15-20 G/L Amount: \$411.99 | | | | | | |
| | 50,000.00 | 1/9/15 | 7/6/15 | 51,062.50 | 50,650.51 | 411.99 |
| Short Term Total - Everett Harris | | | | | | |
| | | | | 52,086.02 | 51,028.91 | 1,057.11 |
| Total Short Term Gain (Loss) | | | | | | |
| | | | | 269,064.04 | 254,017.67 | 15,046.37 |

Cooke Foundation Limited
Long-Term Realized Gain (Loss) on Investments
Tax Year: 2015
EIN: 23-7120804

| SECURITY | QUANTITY | DATE ACQUIRED | DATE SOLD | PROCEEDS | ADJUSTED COST | G(L) AMOUNT |
|--|-----------|------------------|-----------|------------|---------------|--------------|
| <u>Long Term Gain/Loss - Punch Investments</u> | | | | | | |
| Altisource Residential Corp B G/L Amount -\$108,244 14 | | | | | | |
| | 1,621 00 | 9/17/13 | 12/1/15 | 21,561 98 | 32,385 15 | (10,823 17) |
| | 106 00 | 9/17/13 | 12/2/15 | 1,355 87 | 2,117 72 | (761 85) |
| | 6,773 00 | 9/18/13 | 12/2/15 | 86,635 23 | 135,376 69 | (48,741 46) |
| | 4,100 00 | 8/29/14 | 12/2/15 | 52,444 19 | 100,361 85 | (47,917 66) |
| SubTotal | 12,600 00 | | | 161,997 27 | 270,241 41 | (108,244 14) |
| Blackrock Capital Investment C G/L Amount: \$10,406.67 | | | | | | |
| | 9,400.00 | 5/9/14 | 4/12/16 | 89,133 55 | 78,726 88 | 10,406 67 |
| Chimera Investment Corporation G/L Amount: -\$1,120 33 | | | | | | |
| | 1,000 00 | 8/5/14 | 5/10/16 | 14,829 67 | 15,950 00 | (1,120 33) |
| Chs Inc 8% Cum Redeemable Pfd G/L Amount -\$9,289.35 | | | | | | |
| | 1,100 00 | 9/19/13 | 10/14/15 | 32,835 38 | 34,684 76 | (1,849 38) |
| | 700 00 | 9/19/13 | 10/15/15 | 20,973 64 | 22,072 12 | (1,098 48) |
| | 500 00 | 9/19/13 | 10/16/15 | 15,016 92 | 15,765 80 | (748 88) |
| | 2,000 00 | 9/19/13 | 10/16/15 | 60,067 69 | 62,927 00 | (2,859 31) |
| | 2,000 00 | 9/19/13 | 10/16/15 | 60,067 70 | 62,801 00 | (2,733 30) |
| SubTotal | 6,300 00 | | | 188,961 33 | 198,250 68 | (9,289 35) |
| Eaton Vance Enhanced Eq Incm G/L Amount \$13,375.26 | | | | | | |
| | 1,295.00 | 9/23/13 | 12/21/15 | 17,145 48 | 15,331 12 | 1,814 36 |
| | 65.00 | 9/23/13 | 3/3/16 | 819 02 | 768 51 | 50 51 |
| | 3,038.00 | 9/23/13 | 3/3/16 | 38,279 78 | 35,899 86 | 2,379 92 |
| | 6,962.00 | 9/23/13 | 3/4/16 | 87,749 92 | 82,269 54 | 5,480 38 |
| | 2,090 00 | 9/24/13 | 3/4/16 | 26,342 62 | 24,656 11 | 1,686 51 |
| | 500.00 | 10/14/13 | 3/4/16 | 6,302 06 | 5,846 69 | 455 37 |
| | 1,750 00 | 10/15/13 | 3/4/16 | 22,057 22 | 20,549 01 | 1,508 21 |
| SubTotal | 15,700 00 | | | 198,696.10 | 185,320 84 | 13,375 26 |
| Gabelli Globaldeal Fund (The) G/L Amount -\$24,479 77 | | | | | | |
| | 1,383 00 | 9/16/13 | 5/16/16 | 13,832 60 | 14,638 50 | (805 90) |
| | 10,510 00 | 9/18/13 | 5/16/16 | 105,119 78 | 111,440 68 | (6,320 90) |
| | 4,373 00 | 9/19/13 | 5/16/16 | 43,738 23 | 46,833 96 | (3,095 73) |
| | 5,627 00 | 9/19/13 | 5/17/16 | 56,120 78 | 60,264 04 | (4,143 26) |
| | 10,000 00 | 9/20/13 | 5/17/16 | 99,734 82 | 107,406 98 | (7,672 16) |
| | 3,257 00 | 9/23/13 | 5/17/16 | 32,483 64 | 34,925 46 | (2,441 82) |
| SubTotal | 35,150 00 | | | 351,029 85 | 375,509 62 | (24,479 77) |

Cooke Foundation Limited
Long-Term Realized Gain (Loss) on Investments
Tax Year: 2015
EIN 23-7120804

| SECURITY | QUANTITY | DATE ACQUIRED | DATE SOLD | PROCEEDS | ADJUSTED COST | G(L) AMOUNT |
|--|-----------|------------------|-----------|------------|---------------|-------------|
| Hawaii St Hsg Be 2 600 7-01-37 G/L Amount \$158.06 | | | | | | |
| | 160.77 | 3/14/13 | 7/1/15 | 160.77 | 157.49 | 3.28 |
| | 1,130.52 | 3/14/13 | 8/1/15 | 1,130.52 | 1,107.43 | 23.09 |
| | 1,287.65 | 3/14/13 | 9/1/15 | 1,287.65 | 1,261.35 | 26.30 |
| | 156.55 | 3/14/13 | 10/1/15 | 156.55 | 153.35 | 3.20 |
| | 798.35 | 3/14/13 | 11/1/15 | 798.35 | 782.05 | 16.30 |
| | 796.48 | 3/14/13 | 12/1/15 | 796.48 | 780.21 | 16.27 |
| | 177.12 | 3/14/13 | 6/1/16 | 177.12 | 173.50 | 3.62 |
| | 319.38 | 3/14/13 | 1/1/16 | 319.38 | 312.86 | 6.52 |
| | 1,517.62 | 3/14/13 | 2/1/16 | 1,517.62 | 1,486.63 | 30.99 |
| | 327.19 | 3/14/13 | 3/1/16 | 327.19 | 320.51 | 6.68 |
| | 441.53 | 3/14/13 | 4/1/16 | 441.53 | 432.51 | 9.02 |
| | 626.06 | 3/14/13 | 5/1/16 | 626.06 | 613.27 | 12.79 |
| SubTotal | 7,739.22 | | | 7,739.22 | 7,581.16 | 158.06 |
| Honolulu Wstwt Be 6.114 7-01-29 G/L Amount \$2,826.50 | | | | | | |
| | 25,000.00 | 9/16/09 | 5/3/16 | 27,826.50 | 25,000.00 | 2,826.50 |
| Macquarie Infrastructure Co De G/L Amount: \$33,223.86 | | | | | | |
| | 600.00 | 12/17/13 | 9/9/15 | 47,399.72 | 27,473.40 | 19,926.32 |
| | 400.00 | 12/17/13 | 9/9/15 | 31,599.82 | 18,302.28 | 13,297.54 |
| SubTotal | 1,000.00 | | | 78,999.54 | 45,775.68 | 33,223.86 |
| Mcg Capital Corp G/L Amount \$61,718.84 | | | | | | |
| | | 5/13/14 | 8/20/15 | 12,756.81 | 9,535.60 | 3,221.21 |
| | | 5/13/14 | 8/20/15 | 12,756.81 | 9,461.43 | 3,295.38 |
| | | 5/13/14 | 8/20/15 | 12,756.81 | 9,516.29 | 3,240.52 |
| | | 5/13/14 | 8/20/15 | 17,009.08 | 12,691.74 | 4,317.34 |
| | | 3/24/14 | 8/20/15 | 42,522.71 | 32,787.24 | 9,735.47 |
| | | 3/24/14 | 8/20/15 | 42,522.71 | 32,973.81 | 9,548.90 |
| | | 3/24/14 | 8/20/15 | 106,306.79 | 82,089.38 | 24,217.41 |
| | 10,000.00 | 3/24/14 | 8/20/15 | 3,059.50 | 2,372.46 | 687.04 |
| | 25,000.00 | 3/24/14 | 8/20/15 | 7,648.75 | 5,906.31 | 1,742.44 |
| | 10,000.00 | 3/24/14 | 8/20/15 | 3,059.50 | 2,359.03 | 700.47 |
| | 3,000.00 | 5/13/14 | 8/20/15 | 917.85 | 686.08 | 231.77 |
| | 4,000.00 | 5/13/14 | 8/20/15 | 1,223.80 | 913.17 | 310.63 |
| | 3,000.00 | 5/13/14 | 8/20/15 | 917.85 | 684.69 | 233.16 |
| | 3,000.00 | 5/13/14 | 8/20/15 | 917.85 | 680.75 | 237.10 |
| SubTotal | 58,000.00 | | | 264,376.82 | 202,657.98 | 61,718.84 |
| Neuberger Berman Real Est G/L Amount \$5,585.44 | | | | | | |
| | 2,661.00 | 9/19/13 | 5/10/16 | 14,269.29 | 12,287.70 | 1,981.59 |
| | 3,634.00 | 9/19/13 | 5/12/16 | 19,155.12 | 16,780.72 | 2,374.40 |
| | 1,705.00 | 9/23/13 | 5/12/16 | 8,987.20 | 7,757.75 | 1,229.45 |
| SubTotal | 8,000.00 | | | 42,411.61 | 36,826.17 | 5,585.44 |

Cooke Foundation Limited
Long-Term Realized Gain (Loss) on Investments
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EIN: 23-7120804

| SECURITY | QUANTITY | DATE ACQUIRED | DATE SOLD | PROCEEDS | ADJUSTED COST | G(L) AMOUNT |
|--|-----------|------------------|-----------|------------|---------------|-------------|
| Nuveen Build America Bonds Fd G/L Amount \$75,958.92 | | | | | | |
| | 4,300.00 | 9/16/13 | 1/29/16 | 88,943.86 | 76,656.96 | 12,286.90 |
| | 700.00 | 9/18/13 | 1/29/16 | 14,479.23 | 12,482.61 | 1,996.62 |
| | 1,300.00 | 9/18/13 | 3/14/16 | 27,329.43 | 23,181.99 | 4,147.44 |
| | 2,400.00 | 9/18/13 | 3/15/16 | 50,648.49 | 42,797.52 | 7,850.97 |
| | 1,295.00 | 9/18/13 | 3/21/16 | 27,563.73 | 23,092.83 | 4,470.90 |
| | 562.00 | 9/19/13 | 3/21/16 | 11,962.02 | 10,199.79 | 1,762.23 |
| | 343.00 | 9/19/13 | 3/21/16 | 7,300.66 | 6,215.91 | 1,084.75 |
| | 2,400.00 | 9/19/13 | 4/21/16 | 52,246.86 | 43,493.28 | 8,753.58 |
| | 962.00 | 9/19/13 | 5/26/16 | 21,089.37 | 17,433.56 | 3,655.81 |
| | 2,438.00 | 9/20/13 | 5/26/16 | 53,446.86 | 44,175.10 | 9,271.76 |
| | 800.00 | 9/20/13 | 5/26/16 | 17,537.94 | 14,424.00 | 3,113.94 |
| | 4,815.00 | 10/25/13 | 5/26/16 | 105,556.46 | 88,636.45 | 16,920.01 |
| | 185.00 | 10/25/13 | 5/27/16 | 4,049.56 | 3,405.55 | 644.01 |
| SubTotal | 22,500.00 | | | 482,154.47 | 406,195.55 | 75,958.92 |
| Nuveen Pfd & Inc Term Fd G/L Amount \$31,249.79 | | | | | | |
| | 2,000.00 | 11/12/13 | 10/28/15 | 46,605.34 | 44,457.80 | 2,147.54 |
| | 2,000.00 | 11/12/13 | 10/28/15 | 46,605.34 | 44,283.00 | 2,322.34 |
| | 2,500.00 | 11/13/13 | 10/28/15 | 58,256.68 | 55,149.25 | 3,107.43 |
| | 600.00 | 11/13/13 | 10/28/15 | 13,981.60 | 13,204.02 | 777.58 |
| | 2,000.00 | 11/13/13 | 10/28/15 | 46,605.34 | 43,996.00 | 2,609.34 |
| | 1,400.00 | 11/14/13 | 10/28/15 | 32,623.74 | 30,865.80 | 1,757.94 |
| | 1,000.00 | 11/14/13 | 1/22/16 | 23,153.87 | 22,047.00 | 1,106.87 |
| | 400.00 | 12/9/13 | 1/22/16 | 9,261.55 | 8,772.00 | 489.55 |
| | 600.00 | 12/9/13 | 1/29/16 | 14,207.26 | 13,158.00 | 1,049.26 |
| | 1,000.00 | 12/9/13 | 1/29/16 | 23,678.76 | 21,926.00 | 1,752.76 |
| | 1,000.00 | 12/9/13 | 1/29/16 | 23,678.76 | 21,907.00 | 1,771.76 |
| | 1,000.00 | 12/9/13 | 1/29/16 | 23,678.76 | 21,897.00 | 1,781.76 |
| | 200.00 | 12/9/13 | 1/29/16 | 4,735.76 | 4,372.60 | 363.16 |
| | 800.00 | 12/9/13 | 2/2/16 | 18,861.57 | 17,490.40 | 1,371.17 |
| | 2,000.00 | 12/30/13 | 2/2/16 | 47,153.93 | 43,980.00 | 3,173.93 |
| | 1,500.00 | 12/30/13 | 2/2/16 | 35,365.45 | 32,895.00 | 2,470.45 |
| | 1,000.00 | 12/30/13 | 2/2/16 | 23,576.97 | 21,920.00 | 1,656.97 |
| | 2,700.00 | 1/9/15 | 2/2/16 | 63,657.80 | 62,117.82 | 1,539.98 |
| SubTotal | 23,700.00 | | | 555,688.48 | 524,438.69 | 31,249.79 |
| Otter Tail Corp G/L Amount -\$6,465.49 | | | | | | |
| | 200.00 | 9/18/13 | 1/27/16 | 5,294.66 | 5,643.16 | (348.50) |
| | 3,200.00 | 9/18/13 | 1/27/16 | 84,714.60 | 90,065.28 | (5,350.68) |
| | 852.00 | 9/29/14 | 1/27/16 | 22,555.26 | 22,955.44 | (400.18) |
| | 748.00 | 9/30/14 | 1/27/16 | 19,802.04 | 20,168.17 | (366.13) |
| SubTotal | 5,000.00 | | | 132,366.56 | 138,832.05 | (6,465.49) |

Cooke Foundation Limited
Long-Term Realized Gain (Loss) on Investments
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|---|------------|------------------|-----------|--------------|---------------|-------------|
| Seaspan Corp 9 5% Perp Pfd C G/L Amount \$774 50 | | | | | | |
| | 2,317 00 | 9/25/13 | 6/3/16 | 57,925 00 | 57,804 47 | 120 53 |
| | 2,944 00 | 9/25/13 | 6/20/16 | 73,600 00 | 73,446 85 | 153 15 |
| | 288.00 | 9/18/13 | 5/27/16 | 7,200 00 | 7,157 25 | 42 75 |
| | 2,595 00 | 9/19/13 | 5/27/16 | 64,875 00 | 64,572 66 | 302 34 |
| | 907 00 | 9/23/13 | 5/27/16 | 22,675 00 | 22,521 82 | 153 18 |
| | 49 00 | 9/25/13 | 5/27/16 | 1,225 00 | 1,222 45 | 2 55 |
| SubTotal | 9,100 00 | | | 227,500 00 | 226,725 50 | 774 50 |
| Long Term Total - Punch Investments | | | | 2,823,710.97 | 2,738,032 21 | 85,678 76 |
| Long Term Gain/Loss - Everett Harris | | | | | | |
| Adt Lt Cu89p 4 1/8 4-15-19 G/L Amount \$6,201 00 | | | | | | |
| | 75,000 00 | 4/23/14 | 5/3/16 | 80,563 50 | 74,362 50 | 6,201 00 |
| African Dev Bank 6 7/8 10-15-15 G/L Amount \$0 00 | | | | | | |
| | 90,000 00 | 12/8/11 | 10/15/15 | 90,000 00 | 90,000 00 | - |
| Baxalta Inc Com G/L Amount \$5,491 77 | | | | | | |
| | 500 00 | 8/26/13 | 12/7/15 | 17,793 77 | 16,153 54 | 1,640 23 |
| | 500 00 | 9/19/13 | 12/7/15 | 17,793.77 | 16,016 90 | 1,776 87 |
| | 300 00 | 10/2/13 | 12/7/15 | 10,676 26 | 8,601 59 | 2,074 67 |
| SubTotal | 1,300 00 | | | 46,263 80 | 40,772 03 | 5,491 77 |
| Baxter Intl Inc G/L Amount \$8,192 62 | | | | | | |
| | 500 00 | 8/26/13 | 6/30/16 | 22,472 91 | 19,903 46 | 2,569 45 |
| | 500 00 | 9/19/13 | 6/30/16 | 22,472.91 | 19,735 10 | 2,737 81 |
| | 300 00 | 10/2/13 | 6/30/16 | 13,483 74 | 10,598 38 | 2,885 36 |
| SubTotal | 1,300 00 | | | 58,429 56 | 50,236 94 | 8,192 62 |
| Coca Cola Co G/L Amount \$31,824 04 | | | | | | |
| | 3,000.00 | 8/26/13 | 6/30/16 | 135,019 85 | 114,660 00 | 20,359 85 |
| | 500 00 | 9/13/13 | 6/30/16 | 22,503 31 | 19,229 00 | 3,274 31 |
| | 1,500 00 | 9/19/13 | 6/30/16 | 67,509 93 | 59,320 05 | 8,189 88 |
| SubTotal | 5,000 00 | | | 225,033 09 | 193,209 05 | 31,824 04 |
| Gecc 918 1-08-16 G/L Amount \$0 00 | | | | | | |
| | 50,000 00 | 1/3/13 | 1/8/16 | 50,000 00 | 50,000 00 | - |
| | 95,000 00 | 1/23/13 | 1/8/16 | 95,000 00 | 95,000 00 | - |
| SubTotal | 145,000 00 | | | 145,000 00 | 145,000 00 | - |
| General Elec Cap 6 1/4 12-04-55 G/L Amount \$316 32 | | | | | | |
| | 100,000 00 | 8/27/13 | 12/3/15 | 104,218 40 | 103,902 08 | 316 32 |
| Ilease 5 3/4 5-15-16 G/L Amount \$0 00 | | | | | | |
| | 75,000 00 | 9/13/13 | 5/16/16 | 75,000 00 | 75,000 00 | - |
| Kinder Morgan Fin 5 700 1-05-16 G/L Amount \$0 00 | | | | | | |
| | 75,000 00 | 1/2/14 | 1/5/16 | 75,000 00 | 75,000 00 | - |

Cooke Foundation Limited
Long-Term Realized Gain (Loss) on Investments
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| SECURITY | QUANTITY | DATE ACQUIRED | DATE SOLD | PROCEEDS | ADJUSTED COST | G(L) AMOUNT |
|--|------------|------------------|-----------|--------------|---------------|-------------|
| Landesbank Baden 5 050 12-30-15 G/L Amount \$0 00 | | | | | | |
| | 50,000 00 | 9/13/11 | 12/30/15 | 50,000 00 | 50,000 00 | - |
| | 5,000 00 | 9/16/11 | 12/30/15 | 5,000 00 | 5,000.00 | - |
| | 18,000 00 | 9/19/11 | 12/30/15 | 18,000 00 | 18,000.00 | - |
| SubTotal | 73,000 00 | | | 73,000 00 | 73,000 00 | - |
| National Rural 885 5-27-16 G/L Amount: \$0.00 | | | | | | |
| | 65,000 00 | 5/21/13 | 5/27/16 | 65,000.00 | 65,000 00 | - |
| New Jersey Eco Be 3 641 12-15-15 G/L Amount \$0 00 | | | | | | |
| | 65,000 00 | 12/6/11 | 12/15/15 | 65,000 00 | 65,000 00 | - |
| Petrochina Co Ltd Adr G/L Amount: -\$19,712 69 | | | | | | |
| | 500 00 | 12/19/13 | 8/25/15 | 39,832 11 | 54,663 65 | (14,831.54) |
| | 200 00 | 1/10/14 | 8/25/15 | 15,932 85 | 20,814 00 | (4,881 15) |
| SubTotal | 700 00 | | | 55,764 96 | 75,477.65 | (19,712 69) |
| Ppg Industries In 1 900 1-15-16 G/L Amount: \$0.00 | | | | | | |
| | 50,000 00 | 9/12/11 | 1/15/16 | 50,000 00 | 50,000.00 | - |
| Qualcomm Inc G/L Amount -\$37,610 51 | | | | | | |
| | 1,700 00 | 8/26/13 | 12/7/15 | 88,741.59 | 114,167 07 | (25,425 48) |
| | 500.00 | 7/24/14 | 12/7/15 | 26,100 47 | 38,285 50 | (12,185.03) |
| SubTotal | 2,200.00 | | | 114,842 06 | 152,452 57 | (37,610.51) |
| Shell Intl Fin 5/8 12-04-15 G/L Amount \$6.00 | | | | | | |
| | 25,000 00 | 11/29/12 | 12/4/15 | 25,000 00 | 24,994.00 | 6 00 |
| Telefonica Emison 6.421 6-20-16 G/L Amount: \$0 00 | | | | | | |
| | 75,000 00 | 9/13/13 | 6/20/16 | 75,000 00 | 75,000.00 | - |
| Tenn Valley Auth 1 7/8 8-15-22 G/L Amount \$3,823 95 | | | | | | |
| | 150,000 00 | 9/26/12 | 6/30/16 | 153,646.95 | 149,823 00 | 3,823 95 |
| The Adt Corp 2 1/4 7-15-17 G/L Amount: \$1,943 50 | | | | | | |
| | 50,000 00 | 1/9/15 | 6/1/16 | 50,693 50 | 48,750 00 | 1,943 50 |
| Us Tsy Note 4 1/4 8-15-15 G/L Amount: \$0 00 | | | | | | |
| | 25,000 00 | 7/11/08 | 8/17/15 | 25,000 00 | 25,000 00 | - |
| | 50,000 00 | 6/10/10 | 8/17/15 | 50,000 00 | 50,000 00 | - |
| | 85,000 00 | 6/21/10 | 8/17/15 | 85,000 00 | 85,000 00 | - |
| SubTotal | 160,000 00 | | | 160,000 00 | 160,000 00 | - |
| Varian Medical Sys Inc G/L Amount \$876 42 | | | | | | |
| | 500.00 | 7/24/14 | 4/18/16 | 42,459 42 | 41,583 00 | 876 42 |
| Long Term Total - Everett Harris | | | | | | |
| | | | | 1,829,915 24 | 1,828,562 82 | 1,352 42 |
| Total Long-Term Gain (Loss) | | | | 4,653,626 21 | 4,566,595 03 | 87,031 18 |