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OMB No 1545-0052

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
▶ **Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.**

2015

Open to Public Inspection

For calendar year 2015, or tax year beginning 07-01-2015 , and ending 06-30-2016

Name of foundation
George Barrie Foundation Inc
c/o Caryl Barrie Kaplan
% GEORGE BARRIE FOUNDATION IN

A Employer identification number
22-6042649

Number and street (or P O box number if mail is not delivered to street address)
990 Boulevard Of The Arts Suite 802

Room/suite

B Telephone number (see instructions)

City or town, state or province, country, and ZIP or foreign postal code
Sarasota, FL 342364875

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 539,117

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify) ____________
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	**(b)** Net investment income	**(c)** Adjusted net income	**(d)** Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	0			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	9,936	9,936		
	5a	Gross rents				
	b	Net rental income or (loss) ____________				
	6a	Net gain or (loss) from sale of assets not on line 10	20,609			
	b	Gross sales price for all assets on line 6a 262,884				
	7	Capital gain net income (from Part IV, line 2)		20,609		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	30,545	30,545		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	18,000			3,600
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	4,798	2,399	0	2,399
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	290			
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	6,216	6,166		50
	24	**Total operating and administrative expenses.** Add lines 13 through 23	29,304	8,565	0	6,049
	25	Contributions, gifts, grants paid	26,125			26,125
	26	**Total expenses and disbursements.** Add lines 24 and 25	55,429	8,565	0	32,174
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-24,884			
	b	**Net investment income** (if negative, enter -0-)		21,980		
	c	**Adjusted net income** (if negative, enter -0-)				

For Paperwork Reduction Act Notice, see instructions. Cat No 11289X Form **990-PF** (2015)

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	**(b)** Book Value	**(c)** Fair Market Value
Assets	1	Cash—non-interest-bearing	36,755	23,326	23,326
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	228,961	236,063	273,360
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	256,998	238,441	242,431
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets**(to be completed by all filers—see the instructions Also, see page 1, item I)	522,714	497,830	539,117
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities**(add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶** ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds	463,244	463,244	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	59,470	34,586	
	30	**Total net assets or fund balances**(see instructions)	522,714	497,830	
	31	**Total liabilities and net assets/fund balances**(see instructions)	522,714	497,830	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	522,714
2	Enter amount from Part I, line 27a	2	-24,884
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	497,830
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	497,830

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	SEE ATTACHMENT A - SHORT TERM REALIZED GAIN/LOSS	P		
b	SEE ATTACHMENT A - LONG TERM REALIZED GAIN/LOSS	P		
c	CAPITAL GAIN DIVIDENDS	P		
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	55,157		58,739	-3,582
b	207,727		186,646	21,081
c				3,110
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a				-3,582
b				21,081
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 } 2 20,609

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	31,995	628,520	0 050905
2013	24,500	637,321	0 038442
2012	23,200	634,851	0 036544
2011	29,900	625,558	0 047797
2010	30,750	662,237	0 046434

2	**Total** of line 1, column (d).	2	0 220122
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 044024
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5.	4	558,467
5	Multiply line 4 by line 3.	5	24,586
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	220
7	Add lines 5 and 6.	7	24,806
8	Enter qualifying distributions from Part XII, line 4.	8	32,174

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ____________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b			**1**	220
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			**2**	
3	Add lines 1 and 2.			**3**	220
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			**4**	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			**5**	220
6	Credits/Payments				
a	2015 estimated tax payments and 2014 overpayment credited to 2015	**6a**			
b	Exempt foreign organizations—tax withheld at source	**6b**			
c	Tax paid with application for extension of time to file (Form 8868). . .	**6c**			
d	Backup withholding erroneously withheld	**6d**			
7	Total credits and payments Add lines 6a through 6d.			**7**	0
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			**8**	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** . . . . ▶			**9**	220
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid.** . . ▶			**10**	
11	Enter the amount of line 10 to be **Credited to 2015 estimated tax** ▶ **Refunded** ▶			**11**	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	**1a**		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?.	**1b**		No
	If the answer is "Yes" to ***1a*** *or* ***1b,*** *attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?.	**1c**		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________________ **(2)** On foundation managers ▶ $ ________________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . *If "Yes," attach a detailed description of the activities*	**2**		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	**3**		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?.	**4a**		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?.	**4b**		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . *If "Yes," attach the statement required by General Instruction T*	**5**		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	**6**	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	**7**	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation* .	**8b**	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	**9**		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	**10**		No

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶

14 The books are in care of ▶GEORGE BARRIE FOUNDATION INC Telephone no ▶(941) 952-1739

Located at ▶990 BOULEVARD OF THE ARTS APT 802 SARASOTA FL ZIP+4 ▶342364875

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here . . . . ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year . . . . . . ▶ | 15 |

			Yes	No
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any**of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here. . . . . ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015?. ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all**years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015).*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(Continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ **Yes** ☑ **No**

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?. . . . . ☐ **Yes** ☑ **No**

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ **Yes** ☑ **No**

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions). . . . . ☐ **Yes** ☑ **No**

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?. . . . . . ☐ **Yes** ☑ **No**

b If any answer is "Yes" to 5a(1)–(5), did **any**of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here. . . . . ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?. . . . . ☐ **Yes** ☐ **No**

If "Yes," attach the statement required by Regulations section 53 4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?. . . . . ☐ **Yes** ☑ **No**

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?. . . . **6b** **No**

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ **Yes** ☑ **No**

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
CARYL BARRIE KAPLAN 990 Boulevard Of The Arts Sarasota, FL 342364875	DIRECTOR 1 0	6,000		
RICHARD BARRIE 990 Boulevard Of The Arts Sarasota, FL 342364875	DIRECTOR 1 0	6,000		
TERRI BAIRD 990 Boulevard Of The Arts Sarasota, FL 342364875	DIRECTOR 1 0	6,000		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances

Total number of other employees paid over $50,000. ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation

Total number of others receiving over $50,000 for professional services. . . . . ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	

Total. Add lines 1 through 3 . . . . ▶

Part X Minimum Investment Return

(All domestic foundations must complete this part. Foreign foundations,see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes				
a	Average monthly fair market value of securities.			**1a**	536,178
b	Average of monthly cash balances.			**1b**	30,794
c	Fair market value of all other assets (see instructions).			**1c**	0
d	**Total** (add lines 1a, b, and c).			**1d**	566,972
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	**1e**			
2	Acquisition indebtedness applicable to line 1 assets.			**2**	0
3	Subtract line 2 from line 1d.			**3**	566,972
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).			**4**	8,505
5	**Net value of noncharitable-use assets.**Subtract line 4 from line 3 Enter here and on Part V, line 4			**5**	558,467
6	**Minimum investment return.**Enter 5% of line 5.			**6**	27,923

Part XI Distributable Amount

(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.			**1**	27,923
2a	Tax on investment income for 2015 from Part VI, line 5.	**2a**	220		
b	Income tax for 2015 (This does not include the tax from Part VI).	**2b**			
c	Add lines 2a and 2b.			**2c**	220
3	Distributable amount before adjustments Subtract line 2c from line 1.			**3**	27,703
4	Recoveries of amounts treated as qualifying distributions.			**4**	
5	Add lines 3 and 4.			**5**	27,703
6	Deduction from distributable amount (see instructions).			**6**	
7	**Distributable amount**as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.			**7**	27,703

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	**1a**	32,174
b	Program-related investments—total from Part IX-B.	**1b**	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	**2**	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	**3a**	0
b	Cash distribution test (attach the required schedule).	**3b**	0
4	**Qualifying distributions.**Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	**4**	32,174
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	**5**	220
6	**Adjusted qualifying distributions.**Subtract line 5 from line 4.	**6**	31,954

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7					27,703
2 Undistributed income, if any, as of the end of 2015					
a Enter amount for 2014 only.				0	
b Total for prior years 2013 , 2012 , 2011			0		
3 Excess distributions carryover, if any, to 2015					
a From 2010.					
b From 2011.					
c From 2012.					
d From 2013.					
e From 2014.	542				
f **Total** of lines 3a through e.		542			
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ $ 32,174					
a Applied to 2014, but not more than line 2a				0	
b Applied to undistributed income of prior years (Election required—see instructions).					
c Treated as distributions out of corpus (Election required—see instructions).					
d Applied to 2015 distributable amount.					27,703
e Remaining amount distributed out of corpus		4,471			
5 Excess distributions carryover applied to 2015 *(If an amount appears in column (d), the same amount must be shown in column (a))*					
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		5,013			
b Prior years' undistributed income Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.					
d Subtract line 6c from line 6b Taxable amount —see instructions			0		
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions				0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)					
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions) . . .					
9 **Excess distributions carryover to 2016.** Subtract lines 7 and 8 from line 6a		5,013			
10 Analysis of line 9					
a Excess from 2011. . . .					
b Excess from 2012. . . .					
c Excess from 2013. . . .					
d Excess from 2014. . . .	542				
e Excess from 2015. . . .	4,471				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2015	**(b)** 2014	**(c)** 2013	**(d)** 2012	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

GEORGE BARRIE FOUNDATION INC
990 Boulevard of the arts apt 802
SARASOTA, FL 34236
(941) 952-1739

b The form in which applications should be submitted and information and materials they should include

BRIEF SUMMARY OF QUALIFICATIONS

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV **Supplementary Information**(continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				26,125
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		**(e)** Related or exempt function income (See instructions)
	(a) Business code	**(b)** Amount	**(c)** Exclusion code	**(d)** Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . .			14	9,936	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	20,609	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory . .					
11 Other revenue **a**					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				30,545	

13 Total. Add line 12, columns (b), (d), and (e). **13** 30,545

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	**1a(1)**		**No**
(2) Other assets	**1a(2)**		**No**
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	**1b(1)**		**No**
(2) Purchases of assets from a noncharitable exempt organization	**1b(2)**		**No**
(3) Rental of facilities, equipment, or other assets	**1b(3)**		**No**
(4) Reimbursement arrangements	**1b(4)**		**No**
(5) Loans or loan guarantees	**1b(5)**		**No**
(6) Performance of services or membership or fundraising solicitations	**1b(6)**		**No**
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	**1c**		**No**

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

****** 2017-01-24 ******

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
Jeffrey M Mutnik CPA				P00047373

Firm's name ▶ BERKOWITZ POLLACK BRANT LLP

Firm's EIN ▶

Firm's address ▶ 200 S BISCAYNE BLVD SIXTH FLOOR MIAMI, FL 33131

Phone no (305) 379-7000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a *Paid during the year*				
AMERICAN CANCER SOCIETY 250 WILLIAMS STREET NW ATLANTA,GA 30303	NONE	PC	TO ASSIST WITH MEDICAL RESEARCH AND TREATMENT	500
PLANNED PARENTHOOD 736 CENTRAL AVE SARASOTA,FL 34236	NONE	PC	TO ASSIST WITH MEDICAL RESEARCH AND TREATMENT	500
WILLOWS COMM SCHOOL 8509 HIGUERA STREET CULVER CITY,CA 902322521	NONE	PC	TO PROVIDE SCHOLARSHIPS FOR EDUCATIONAL PURPOSES	5,000
PITZER COLLEGE 1050 N MILLS AVE CLAREMONT,CA 91711	NONE	PC	TO PROVIDE SCHOLARSHIPS FOR EDUCATIONAL PURPOSES	1,000
CROSSROADS SCHOOL 1714 21ST STREET SANTA MONICA,CA 90404	NONE	PC	TO PROVIDE SCHOLARSHIPS FOR EDUCATIONAL PURPOSES	1,000
HONOR ANIMAL RESCUE 131 N CATTLEMAN RD SARASOTA,FL 34243	NONE	PC	TO ASSIST WITH ANIMAL RESCUE	1,000
CONNECTING TO CURE CROHN'S & COLITIS 269 S BEVERLY DRIVE 243 BEVERLY DRIVE,CA 90212	NONE	PC	TO ASSIST WITH MEDICAL RESEARCH AND TREATMENT	1,500
CASA DE LAS ANGELES VELASCO IBARRA 7 SANTA JULIA 37734 MX	NONE	PC	TO PROVIDE CHILDREN WITH A LOVING, CARING ENVIRONMENT	1,500
URBANITE THEATRE 1487 2ND ST SARASOTA,FL 34236	NONE	PC	TO SUPPORT THE THEATER	1,000
EAST LAS ANGELES WOMEN'S CENTER 1255 PALMETTO AVE OSPREY,FL 34229	NONE	PC	TO ASSIST WITH MEDICAL RESEARCH AND TREATMENT	500
SARASOTA CREW 343 PALMETTO AVE OSPREY,FL 34229	NONE	PC	TO PROMOTE A HEALTHFUL LIFESTYLE FOR AT-RISK ATHLETES IN THE COMMUNITY	1,000
TEAM PRIME TIME 3740 OVERLAND AVE F LOS ANGELES,CA 90034	NONE	PC	TO PROVIDE AT-RISK YOUTH WITH OPPORTUNITES TO DEVELOP AS A PERSON AND CONTRIBUTE TO THE COMMUNITY	500
INTERNATIONAL DOCUMENTARY ASSOCIATION 3470 WILSHIRE BLVD 980 LOS ANGELES,CA 90010	NONE	PC	TO ASSIST IN BUILDING AND SERVING THE NEEDS OF A THRIVING DOCUMENTARY CULTURE	3,000
WORLD BICYCLE RELIEF 1000 W FULTON MARKET 4TH FLOOR CHICAGO,IL 60607	NONE	PC	TO PROVIDE TRANSPORTATION TO PEOPLE IN LOW-INCOME AREAS	500
COMMUNITY HAVEN 4405 DESOTO RD SARASOTA,FL 34235	NONE	PC	TO SUPPORT THE INCLUSION OF PEOPLE WITH DISABILITIES	1,000
Total . . . . ▶ **3a**				26,125

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
FLORIDA CRIME PREVENTION TRAINING INSTITUTE 400 S MONROE ST TALLAHASSEE,FL 32399	NONE	PC	TO ASSIST IN MAKING CRIME PREVENTION AN INTEGRAL PART OF LAW ENFORCEMENT	500
GIRLS INCORPORATED OF SARASOTA COUNTY 201 S TUTTLE AVE SARASOTA,FL 34237	NONE	PC	TO PROVIDE SCHOLARSHIPS FOR EDUCATIONAL PURPOSES	1,000
SHIRTS ACROSS AMERICA 500 YALE AVE N 206 SEATTLE,WA 98109	NONE	PC	TO ASSIST FAMILIES MOVING BACK TO NEW ORLEANS AFTER HURRICANE KATRINA	125
FSU FOUNDATION 2010 LEVY AVE 300 TALLAHASSEE,FL 32310	NONE	PC	TO ASSIST IN THE ENHANCEMENT OF FSU'S ACADEMIC VISION	2,000
MULTIPLE MYELOMA RESEARCH FOUNDATION 383 MAIN AVENUE 5TH FLOOR NORWALK,CT 06851	NONE	PC	TO ASSIST WITH MEDICAL RESEARCH AND TREATMENT	500
BRIAN BILL FOUNDATION 1680 FRUITVILLE RD 213 SARASOTA,FL 34236	NONE	PC	TO SUPPORT THE VISION OF THE DECEASED BRIAN BILL	1,000
MAKE-A-WISH FOUNDATION 4742 N 24TH ST SUITE 400 PHOENIX,AZ 85016	NONE	PC	TO SUPPORT CHILDREN IN THEIR BATTLE AGAINST LIFE-THREATENING ILLNESSES	1,500
Total . . . . ▶ **3a**				26,125

TY 2015 Accounting Fees Schedule

Name: George Barrie Foundation Inc
c/o Caryl Barrie Kaplan
EIN: 22-6042649

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING AND TAX PREPARATION	4,798	2,399		2,399

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2015 Depreciation Schedule

Name: George Barrie Foundation Inc
c/o Caryl Barrie Kaplan
EIN: 22-6042649

TY 2015 Investments Corporate Stock Schedule

Name: George Barrie Foundation Inc
c/o Caryl Barrie Kaplan
EIN: 22-6042649

Name of Stock	End of Year Book Value	End of Year Fair Market Value
MORGAN STANLEY ETF'S & CEF'S	236,063	273,360

TY 2015 Investments - Other Schedule

Name: George Barrie Foundation Inc
c/o Caryl Barrie Kaplan

EIN: 22-6042649

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
MORGAN STANLEY - MUTUAL FUNDS		238,441	242,431

TY 2015 Other Expenses Schedule

Name: George Barrie Foundation Inc
c/o Caryl Barrie Kaplan
EIN: 22-6042649

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT FEES	6,116	6,116		
LICENSES & PERMITS	100	50		50

TY 2015 Taxes Schedule

Name: George Barrie Foundation Inc
c/o Caryl Barrie Kaplan
EIN: 22-6042649

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAXES	290			

Attachment A

George Barrie Foundation, Inc.
For the Year Ended 06/30/2016
Schedule D Attachment
Short Term

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)
ENERGY SEL SECT SPDR FD	12/18/14	07/22/15	66 000	4,690 74	5 206 67	(515 93)
	01/09/15	07/22/15	83 000	5,898 97	6 318 79	(419 82)
POWERSHARES S&P 500 LOW VOLA	02/02/15	07/22/15	298 000	11,277.06	11,264 40	12 66
RS INV QUALITY BOND A	09/26/14	07/22/15	1,511.869	15,043 08	15,466.42	(423 34)
	10/31/14	07/22/15	3 851	38 32	39 59	(1 27)
	11/28/14	07/22/15	3 516	34 98	36 29	(1 31)
	12/18/14	07/22/15	11 764	117 05	119.17	(2.12)
	12/18/14	07/22/15	5,753	57 24	58.28	(1 04)
	12/31/14	07/22/15	4.050	40 30	41 15	(0 85)
	01/30/15	07/22/15	4 463	44 41	46 10	(1 69)
	02/27/15	07/22/15	4 269	42 48	43 76	(1 28)
	03/31/15	07/22/15	4.203	41 82	43 08	(1 26)
	04/30/15	07/22/15	4.176	41.55	42 64	(1 09)
	05/29/15	07/22/15	3 885	38 66	39 51	(0 85)
	06/17/15	07/22/15	9 767	97 18	97 57	(0 39)
	06/17/15	07/22/15	1 320	13 13	13 19	(0 06)
	06/30/15	07/22/15	4 075	40 56	40 59	(0 03)
TEMPLETON GLOBAL BD FD ADV	08/15/14	07/22/15	5.388	65 73	71 50	(5 77)
	09/15/14	07/22/15	5 411	66 01	71 75	(5 74)
	10/15/14	07/22/15	5 462	66 64	71 28	(4 64)
	11/17/14	07/22/15	5 434	66 29	71 46	(5 17)
	12/15/14	07/22/15	88 429	1,078 83	1 086 79	(7.96)
	12/15/14	07/22/15	5.009	61 11	61 56	(0 45)
	01/15/15	07/22/15	6 043	73 72	74 69	(0 97)
	02/17/15	07/22/15	5 984	73 00	74 20	(1.20)
	03/16/15	07/22/15	6 085	74.24	74 85	(0 61)
	04/15/15	07/22/15	6 028	73.54	75 05	(1 51)
	05/15/15	07/22/15	5 773	70 43	71 58	(1.15)
	06/15/15	07/22/15	5 860	71 49	71.55	(0 06)
	07/15/15	07/22/15	5 775	70 48	70 74	(0 26)
FIRST TRUST LRG CAP VALUE AL	07/22/15	01/11/16	364 000	13 031 44	15,205 59	(2 174 15)
BLACKSTONE ALT MULT-STRAT INST	07/22/15	06/22/16	55.718	557 74	586 15	(28 41)
HARDING LOEVNER INTL EQTY INST	07/22/15	06/22/16	37.088	654.97	693 55	(38.58)
VANGUARD INDEX FDS S&P 500 ETF	07/22/15	06/22/16	4.000	766 44	775 00	(8 56)
VANGUARD VALUE ETF INDEX	01/11/16	06/22/16	8 000	677 08	614 52	62 56
				$ 55,156 71	$ 58,739 01	$ (3,582 30)

Attachment A

George Barrie Foundation, Inc.
For the Year Ended 06/30/2016
Schedule D Attachment
Long Term

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)
BLACKROCK GLOBAL ALLOCATION I	02/27/09	07/22/15	938.534	$19,183.63	$12,721.14	$6,462.49
FIRST EAGLE GLOBAL I	02/27/09	07/22/15	362.220	19,299.06	10,558.09	8,740.97
FIRST TR EXCHANGE TRADED FD VI	07/24/13	07/22/15	402.000	12,437.64	10,794.39	1,643.25
FIRST TRUST DJ GL SEL DVD	07/24/13	07/22/15	310.000	7,548.98	7,539.95	9.03
	05/14/14	07/22/15	264.000	6,428.81	7,653.36	(1,224.55)
FIRST TRUST LARGE CAP GROWTH A	09/09/11	07/22/15	100.000	5,004.86	2,620.00	2,384.86
INVESCO BALANCED-RISK ALLOC Y	10/20/11	07/22/15	2,092.025	24,392.99	24,532.05	(139.06)
	12/09/11	07/22/15	75.779	883.58	898.53	(14.95)
	12/09/11	07/22/15	27.324	318.60	323.99	(5.39)
	10/15/12	07/22/15	2,011.403	23,452.98	26,248.81	(2,795.83)
IQ HEDGE MULTI-STRAT TRACKER	10/16/12	07/22/15	522.000	15,420.11	14,736.95	683.16
ISHARES SMALL CAP 600 G ETF	02/27/09	07/22/15	4.000	532.22	143.49	388.74
TEMPLETON GLOBAL BD FD ADV	02/07/13	07/22/15	1,889.104	23,047.07	25,332.87	(2,285.80)
	02/07/13	07/22/15	7.328	89.40	98.27	(8.87)
	02/07/13	07/22/15	7.156	87.30	95.53	(8.23)
	02/14/13	07/22/15	14.964	182.56	199.47	(16.91)
	02/15/13	07/22/15	6.882	83.96	92.50	(8.54)
	03/08/13	07/22/15	7.101	86.63	96.42	(9.79)
	03/15/13	07/22/15	6.952	84.81	93.44	(8.63)
	04/16/13	07/22/15	6.912	84.33	93.52	(9.19)
	05/15/13	07/22/15	6.889	84.05	94.04	(9.99)
	06/17/13	07/22/15	7.206	87.91	93.89	(5.98)
	07/15/13	07/22/15	7.246	88.40	94.20	(5.80)
	08/15/13	07/22/15	7.389	90.15	94.73	(4.58)
	12/16/13	07/22/15	0.514	6.27	6.68	(0.41)
	01/15/14	07/22/15	7.127	86.95	92.87	(5.92)
	02/18/14	07/22/15	7.206	87.91	92.52	(4.61)
	03/17/14	07/22/15	7.280	88.82	93.26	(4.44)
	04/15/14	07/22/15	5.504	67.15	71.66	(4.51)
	05/15/14	07/22/15	5.480	66.86	72.06	(5.20)
	06/16/14	07/22/15	5.440	66.37	72.24	(5.87)
	07/15/14	07/22/15	5.429	66.23	72.20	(5.97)
FIRST TRUST LRG CAP VALUE AL	09/09/11	12/14/15	275.000	$10,391.83	$6,662.26	$3,729.57
SPDR BARCLAYS CAPITAL HIGH YIE	09/28/10	12/14/15	233.000	7,819.71	9,254.30	(1,434.59)
	09/09/11	12/14/15	36.000	1,208.20	1,357.56	(149.36)
	09/21/11	12/14/15	14.000	469.85	533.82	(63.97)
	10/15/12	12/14/15	121.000	4,060.88	4,894.21	(833.33)
FIRST TRUST LRG CAP VALUE AL	09/09/11	01/11/16	276.000	$9,880.97	$6,686.49	$3,194.48
	09/21/11	01/11/16	19.000	680.21	473.67	206.54
	10/15/12	01/11/16	163.000	5,835.50	4,881.36	954.14
BLACKROCK GLOBAL ALLOCATION I	02/27/09	06/22/16	21.712	$390.17	$294.29	$95.88

Attachment A

DELAWARE DIVERSIFIED INC INST	08/21/13	06/22/16	93 673	824 38	869 90	(45 52)
FIRST EAGLE GLOBAL I	02/27/09	06/22/16	14 933	819 36	435 27	384 09
FIRST TRUST DORSEY WRIGHT FO	01/09/15	06/22/16	39 000	859 05	856 03	3 02
FIRST TRUST DW FOCUS 5 INTL	06/11/15	06/22/16	15 000	260 77	301 65	(40 88)
FIRST TRUST LARGE CAP GROWTH A	09/09/11	06/22/16	19 000	936 44	497 80	438 64
FIRST TRUST NORTH AMERICAN E	01/09/15	06/22/16	13 000	312 41	357 04	(44 63)
ISHARES JP MORGAN EM BOND ETF	09/28/10	06/22/16	2 000	225 66	221 14	4 52
ISHARES S&P MID-CAP 400 G ETF	02/27/09	06/22/16	2 000	335 90	97 12	238 78
ISHARES S&P SMLL-CAP 600 V ETF	02/27/09	06/22/16	1 000	117 15	37 07	80 08
	09/28/10	06/22/16	2 000	234 30	122 60	111 70
ISHARES SMALL CAP 600 G ETF	02/27/09	06/22/16	3 000	382 98	107 61	275 37
JANUS FLEXIBLE BOND I	07/24/13	06/22/16	86 228	909 71	907 12	2 59
VANGUARD MID CAP VALUE ETF	01/09/15	06/22/16	4 000	358 90	354 53	4 37
VANGUARD REIT ETF	06/19/09	06/22/16	5 000	426 54	135 27	291 27
VIRTUS INSIGHT EMERG MKTS I	02/02/15	06/22/16	46 134	439 66	474 72	(35 06)
				$ 207,727 12	$ 186,645 94	$ 21,081 18