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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning JUL 1, 2015, and ending JUN 30, 2016

Name of foundation
THE MAXIMILIAN E. AND MARION O. HOFFMAN FOUNDATION, INC.

Number and street (or P.O. box number if mail is not delivered to street address) Room/suite
970 FARMINGTON AVENUE 203

City or town, state or province, country, and ZIP or foreign postal code
WEST HARTFORD, CT 06107

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16)
\$ 54,977,439. (Part I, column (d) must be on cash basis)

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) _____

A Employer identification number
22-2648036

B Telephone number
860-521-2949

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐
2. Foreign organizations meeting the 85% test check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c) and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		285.	285.		STATEMENT 1
4 Dividends and interest from securities		1,514,916.	1,514,916.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		1,232,060.			
b Gross sales price for all assets on line 6a		3,357,020.			
7 Capital gain net income (from Part IV, line 2)			1,232,060.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		-133,318.	-133,318.		STATEMENT 3
12 Total Add lines 1 through 11		2,613,943.	2,613,943.		
13 Compensation of officers, directors, trustees, etc		391,300.	231,845.		159,455.
14 Other employee salaries and wages		63,400.	31,700.		31,700.
15 Pension plans, employee benefits		112,860.	112,860.		0.
16a Legal fees					
b Accounting fees STMT 4		13,450.	13,450.		0.
c Other professional fees STMT 5		48,088.	48,088.		0.
17 Interest					
18 Taxes STMT 6		32,432.	18,230.		12,702.
19 Depreciation and depletion		2,235.	0.		
20 Occupancy		47,278.	0.		0.
21 Travel, conferences, and meetings		4,853.	4,853.		0.
22 Printing and publications					
23 Other expenses STMT 7		25,865.	15,179.		10,686.
24 Total operating and administrative expenses Add lines 13 through 23		741,761.	476,205.		214,543.
25 Contributions, gifts, grants paid		2,898,000.			2,898,000.
26 Total expenses and disbursements Add lines 24 and 25		3,639,761.	476,205.		3,112,543.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-1,025,818.			
b Net investment income (if negative enter -0-)			2,137,738.		
c Adjusted net income (if negative, enter -0-)				N/A	

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LHA For Paperwork Reduction Act Notice, see instructions

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**THE MAXIMILIAN E. AND MARION O. HOFFMAN
FOUNDATION, INC.**

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	222,720.	451,719.	451,719.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 8	47,813,478.	47,102,692.	43,843,803.
	c Investments - corporate bonds			
	Liabilities	11 Investments land, buildings, and equipment basis ▶		
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 9		10,344,651.	9,800,953.	10,676,515.
14 Land, buildings, and equipment basis ▶ 61,418.				
Less accumulated depreciation STMT 10 ▶ 60,366.		1,386.	1,052.	1,052.
15 Other assets (describe ▶ SECURITY DEPOSIT)		4,350.	4,350.	4,350.
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		58,386,585.	57,360,766.	54,977,439.
17 Accounts payable and accrued expenses		63,706.	63,705.	
18 Grants payable				
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	63,706.	63,705.		
Foundations that follow SFAS 117, check here ▶ ☐	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	58,322,879.	57,297,061.	
	30 Total net assets or fund balances	58,322,879.	57,297,061.	
31 Total liabilities and net assets/fund balances	58,386,585.	57,360,766.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	58,322,879.
2 Enter amount from Part I, line 27a	2	-1,025,818.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	57,297,061.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	57,297,061.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a GMO - BENCHMARK FREE FUND	P	12/31/14	09/30/15
b GMO - BENCHMARK FREE FUND	P	12/31/14	01/29/16
c GMO - BENCHMARK FREE FUND	P	12/31/14	04/29/16
d GMO - BENCHMARK FREE FUND	P	12/31/14	05/31/16

e CAPITAL GAINS DIVIDENDS

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 174,106.		190,994.	-16,888.
b 372,297.		425,638.	-53,341.
c 883,754.		953,386.	-69,632.
d 512,689.		554,942.	-42,253.
e 1,414,174.			1,414,174.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			-16,888.
b			-53,341.
c			-69,632.
d			-42,253.
e			1,414,174.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,232,060.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	3,050,084.	62,525,427.	.048781
2013	3,077,423.	64,006,446.	.048080
2012	3,124,225.	62,469,261.	.050012
2011	3,176,266.	63,567,094.	.049967
2010	3,222,014.	63,954,210.	.050380

2 Total of line 1, column (d)	2	.247220
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.049444
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	56,044,813.
5 Multiply line 4 by line 3	5	2,771,080.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	21,377.
7 Add lines 5 and 6	7	2,792,457.
8 Enter qualifying distributions from Part XII, line 4	8	3,112,543.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)	1	21,377.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b).		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3 Add lines 1 and 2	3	21,377.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	21,377.
6 Credits/Payments:		
a 2015 estimated tax payments and 2014 overpayment credited to 2015	6a	85,590.
b Exempt foreign organizations - tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d	7	85,590.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	64,213.
11 Enter the amount of line 10 to be Credited to 2016 estimated tax ☒ Refunded ☒	11	0.

Part VII-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i> 1c Did the foundation file Form 1120-POL for this year? d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation. ☐ \$ 0. (2) On foundation managers ☐ \$ 0. e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0. 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i> 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? b If "Yes," has it filed a tax return on Form 990-T for this year? 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i> 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? 7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i> 8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ <u>CT, NY</u> b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i> 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i> 10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	Yes 1a 1b 1c 2 3 4a 4b 5 6 7 8b 9 10	No X X X X X X X X X X
--	---	--

N/A

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	X	
14 The books are in care of ► <u>JUNE KWIATKOWSKI</u> Telephone no. ► <u>860-521-2949</u> Located at ► <u>970 FARMINGTON AVE., WEST HARTFORD, CT</u> ZIP+4 ► <u>06107</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 <u>N/A</u>		
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No If "Yes," list the years ► _____ b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) <u>N/A</u> c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____	2b	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015) <u>N/A</u>	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4a	X
	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A
▶ ☐

5b

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

6b

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		391,300.	54,195.	46,088.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ISABELLA J. KWIATKOWSKI - 382 WARD STREET, WALLINGFORD, CT 06492	BOOKKEEPER 40.00	63,400.	9,510.	3,067.

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	55,678,007.
b	Average of monthly cash balances	1b	1,220,280.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	56,898,287.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	56,898,287.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	853,474.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	56,044,813.
6	Minimum investment return. Enter 5% of line 5	6	2,802,241.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	2,802,241.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	21,377.
b	Income tax for 2015 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	21,377.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	2,780,864.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	2,780,864.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2,780,864.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	3,112,543.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	3,112,543.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	21,377.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	3,091,166.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				2,780,864.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			2,895,391.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2015				
a From 2010				
b From 2011				
c From 2012				
d From 2013				
e From 2014				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2015 from Part XII, line 4: ▶ \$ 3,112,543.				
a Applied to 2014, but not more than line 2a			2,895,391.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2015 distributable amount				217,152.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2014 Subtract line 4a from line 2a. Taxable amount - see instr			0.	
f Undistributed income for 2015 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				2,563,712.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2016 Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2011				
b Excess from 2012				
c Excess from 2013				
d Excess from 2014				
e Excess from 2015				

**THE MAXIMILIAN E. AND MARION O. HOFFMAN
FOUNDATION, INC.**

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED LIST FOR DETAIL	NONE	PUBLIC CHARITY	GENERAL	2,898,000.
Total			▶ 3a	2,898,000.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A. Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		Related or exempt function income
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments			14	285.		
4 Dividends and interest from securities			14	1,514,916.		
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income			14	-133,318.		
8 Gain or (loss) from sales of assets other than inventory			18	1,232,060.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal Add columns (b), (d), and (e)		0.		2,613,943.		0.
13 Total Add line 12, columns (b), (d), and (e)					13	2,613,943.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII

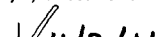
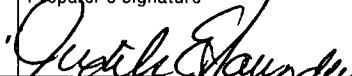
Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|---|--------------|----------|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge				May the IRS discuss this return with the preparer shown below (see instr.)? ☒ Yes ☐ No
	 Signature of officer or trustee	 Date	 Title		
Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
	JUDITH E. SAUNDERS, CPA		11/3/16		P00358546
	Firm's name ▶ FILOMENO & COMPANY, P.C., CPAs			Firm's EIN ▶ 06-0934773	
	Firm's address ▶ 80 SOUTH MAIN STREET WEST HARTFORD, CT 06107			Phone no 860.561.0020	

Form **990-PF** (2015)

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
BANK OF AMERICA CHECKING	70.	70.	
BANK OF AMERICA MMKT FUND	215.	215.	
TOTAL TO PART I, LINE 3	285.	285.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
GMO	2,929,090.	1,414,174.	1,514,916.	1,514,916.	
TO PART I, LINE 4	2,929,090.	1,414,174.	1,514,916.	1,514,916.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MSF ORDINARY LOSSES	-133,318.	-133,318.	
TOTAL TO FORM 990-PF, PART I, LINE 11	-133,318.	-133,318.	

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FILOMENO & CO	13,450.	13,450.		0.
TO FORM 990-PF, PG 1, LN 16B	13,450.	13,450.		0.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
GREER BENEFIT CONSULTANTS, INC	2,200.	2,200.		0.
BANK & CUSTODIAL FEES	3,454.	3,454.		0.
GRANTHAM, MAYO, VAN OTTERLOO & CO	42,434.	42,434.		0.
TO FORM 990-PF, PG 1, LN 16C	48,088.	48,088.		0.

FORM 990-PF	TAXES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PAYROLL TAXES	30,932.	18,230.		12,702.
STATE FILING TAXES	1,500.	0.		0.
TO FORM 990-PF, PG 1, LN 18	32,432.	18,230.		12,702.

FORM 990-PF	OTHER EXPENSES	STATEMENT	7
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INSURANCE	11,939.	4,061.		7,878.
TRUSTEE EXPENSES	1,760.	0.		1,760.
OFFICE EXPENSE	6,875.	5,827.		1,048.
TELEPHONE	2,720.	2,720.		0.
PAYROLL PROCESSING FEES	2,361.	2,361.		0.
DUES & SUBSCRIPTIONS	210.	210.		0.
TO FORM 990-PF, PG 1, LN 23	25,865.	15,179.		10,686.

FORM 990-PF	CORPORATE STOCK	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
BENCHMARK FREE FUND III	47,102,692.	43,843,803.
TOTAL TO FORM 990-PF, PART II, LINE 10B	47,102,692.	43,843,803.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	9
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
GMO MULTI STRATEGY HEDGE FUND	COST	9,800,953.	10,676,515.
TOTAL TO FORM 990-PF, PART II, LINE 13		9,800,953.	10,676,515.

FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT	STATEMENT	10
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DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
FURNITURE & FIXTURES	17,627.	17,627.	0.
FURNITURE & FIXTURES	2,175.	2,175.	0.
OFFICE EQUIPMENT	3,664.	3,631.	33.
OFFICE EQUIPMENT & FURNITURE	21,022.	21,022.	0.
OFFICE FURNITURE	805.	805.	0.
OFFICE FURNITURE	1,366.	1,366.	0.
APPLE COMPUTER	2,506.	2,506.	0.
SOFTWARE	725.	725.	0.
IMAC COMPUTER	2,617.	2,618.	-1.
OFFICE RENOVATIONS	2,523.	1,937.	586.
OFFICE CHAIR-MICHAEL	1,264.	1,257.	7.
ACER PC COMPUTER	1,127.	1,127.	0.
OFFICE EQUIPMENT	1,478.	1,467.	11.
PHONE SYSTEM	4,012.	3,565.	447.
PPM MONO PRINTER	1,900.	1,900.	0.
TOTAL TO FM 990-PF, PART II, LN 14	64,811.	63,728.	1,083.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS STATEMENT 11
 TRUSTEES AND FOUNDATION MANAGERS

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
ROBERT M. JERESATY, M.D. 36 LAKEVIEW DRIVE WEST HARTFORD, CT 06117	DIRECTOR 2.00	10,000.	0.	0.
MARIE GUSTIN, PHD 365 SHUTTLEMEADOW AVENUE NEW BRITAIN, CT 06052	DIRECTOR 2.00	10,000.	0.	0.
MICHAEL B. CHAHO 60 EDDY STREET AVON, CT 06001	TREASURER/CFO 40.00	122,200.	18,330.	17,678.
JOSEPH B. CHAHO 8 PORTER DRIVE WEST HARTFORD, CT 06117	V. PRES./SECRETARY 40.00	102,300.	15,345.	6,787.
MARION L. BARRAK 66 EDDY STREET AVON, CT 06001	PRESIDENT/DIRECTOR 40.00	136,800.	20,520.	21,623.
ANNE MARIE FAULISO 799 PROSPECT AVENUE WEST HARTFORD, CT 06105	DIRECTOR 2.00	10,000.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		391,300.	54,195.	46,088.

2015 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1

990-PF

Asset No	Description	Date Acquired	Method	Life	C o n v	Line No	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	* Reduction In Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
1	FURNITURE & FIXTURES	06/30/87	SL	5.00		16	17,627.				17,627.	17,627.		0.	17,627.
2	FURNITURE & FIXTURES	06/01/88	SL	5.00		16	2,175.				2,175.	2,175.		0.	2,175.
3	OFFICE EQUIPMENT	11/05/93	SL	5.00		16	3,664.				3,664.	3,631.		0.	3,631.
4	OFFICE EQUIPMENT & FURNITURE	09/01/94	SL	7.00		16	21,022.				21,022.	21,022.		0.	21,022.
5	OFFICE FURNITURE	10/01/95	SL	7.00		16	805.				805.	805.		0.	805.
9	OFFICE FURNITURE	12/12/05	SL	7.00		16	1,366.				1,366.	1,366.		0.	1,366.
11	APPLE COMPUTER	12/05/05	SL	5.00		16	2,506.				2,506.	2,506.		0.	2,506.
13	SOFTWARE	03/12/07	SL	3.00		16	725.				725.	725.		0.	725.
14	IMAC COMPUTER	11/02/07	SL	5.00		16	2,617.				2,617.	2,618.		0.	2,618.
15	OFFICE RENOVATIONS	05/14/09	150DB	15.00	MC17		2,523.			1,262.	1,261.	601.		74.	675.
16	OFFICE CHAIR-MICHAEL	07/12/09	200DB	7.00	MC17		1,264.			632.	632.	569.		56.	625.
17	ACER PC COMPUTER	12/31/09	200DB	5.00	MC17		1,127.			564.	563.	563.		0.	563.
19	OFFICE EQUIPMENT	04/01/99	SL	7.00	16		1,478.				1,478.	1,467.		0.	1,467.
20	(D)LEXMARK PRINTER	01/06/11	200DB	5.00	HY17		900.			450.	450.	424.		26.	
21	PHONE SYSTEM	02/29/12	200DB	7.00	HY17		4,012.			2,006.	2,006.	1,380.		179.	1,559.
22	PPM MONO PRINTER	11/13/15	200DB	5.00	HY19B		1,900.		1,900.				1,900.	1,900.	
	* TOTAL 990-PF PG 1 DEPR						65,711.		1,900.	4,914.	58,897.	57,479.	1,900.	2,235.	57,364.

528111
04-01-15

(D) - Asset disposed

* ITC, Salvage, Bonus, Commercial Revitalization Deduction, GO Zone

2015 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1

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Asset No	Description	Date Acquired	Method	Life	Conv	Line No	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	* Reduction In Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
	CURRENT ACTIVITY														
	BEGINNING BALANCE						63,811.			4,914.	58,897.	57,479.			
	ACQUISITIONS						1,900.			0.	0.	0.			
	DISPOSITIONS						900.			450.	450.	424.			
	ENDING BALANCE						64,811.			4,464.	58,447.	57,055.			
	ENDING ACCUM DEPR LESS DISPOSITIONS											63,728.			
	ENDING BOOK VALUE											1,083.			

GRANT LIST 2016
TOTAL OF GRANTS APPROVED TO DATE \$2,898,000
MINIMUM TO BE DISTRIBUTED: \$2,895,391
BALANCE TO BE DISTRIBUTED BEFORE JUNE 30, 2016: \$-0-

1) Multi – year 4 & 5 of 5	THE HOSPITAL OF CENTRAL CONNECTICUT AT NEW BRITAIN GENERAL AND BRADLEY MEMORIAL HOSPITAL 100 Grand Street, New Britain, Connecticut, 06050 (Funding for the Maximilian E & Marion O. Hoffman Foundation Inc., Breast Care Center.) REF. 062/13 Approved: May 14, 2013 \$1.5 million to be paid over five years. Implemented: 6/07/16 : CK # 5999 # 4 & 5 of 5.	\$420,000 # 4 & 5 of 5
2) Multi -year 3 & 4 of 4	SAINT FRANCIS HOSPITAL AND MEDICAL 114 Woodland Street, Hartford, Connecticut, 06105-1299 (Funding for the Maximilian E. & Marion O. Hoffman Foundation Comprehensive Breast Health Center) REF. 039/14 Contact: Christopher Dadlez, President. Approved: February 06, 2014. 4 grants of \$375,000 (Total \$1,500,000 over four years.) Implemented: 6/27/16. CK # 6025. #3 & 4 of 4	\$670,000 #3 & 4 of 4
3) Multi- year 3 of 5	ARCHDIOCESE OF THE SYRIAN ORTHODOX CHURCH OF ANTIOCH FOR THE EASTERN UNITED STATES, 260 Teaneck Avenue, Teaneck, NJ 07666. (Funding for renovations at the Archdiocesan Center.) Contact: Patriarch Mor Cyril Afrem Karim Ref. 042/14 Approved: May 14, 2014. 5 grants of \$200,000 (Total \$1,000,000 over five years). Implemented: 6/06/16 CK # 5993. # 3 of 5	\$120,000 # 3 of 5
4) Multi- year 3 of 5	CONNECTICUT SCIENCE CENTER, INC., 250 Columbus Boulevard, Hartford, CT 06103 (Funding for permanent naming for the Science Theater and for Hoffman Field Trip Scholarship Program). Contact: Matt Fleury, President & CEO Ref. 047/14 Approved: May 14, 2014. 4 grants of \$250,000 (\$1,000,000 over 4 years). Implemented: 6/09/16 CK #6001. #3 of 5	\$120,000 3 of 5
5)	AVON OLD FARMS SCHOOL 500 Old Farms Road, Avon, Connecticut, 06001 (Funding for the Hoffman Scholars Fund.) Contact: Kenneth H. Larocque, Headmaster. Ref. 001/16 Approved: October 30, 2015. Implemented: 1/6/16 CK # 5862	\$50,000
6)	BRISTOL BOYS AND GIRLS CLUB ASSOCIATION, INC., 255 West Street, Bristol, Connecticut, 06010. (Support for their Outreach Unit at Cambridge Park, public housing, Bristol.) Contact: Michael Suchopar, Chief Professional Officer. Ref. 002/16 Approved: October 30, 2015. Implemented: 1/6/15 CK # 5863	\$25,000

7)	HARTFORD AREA HABITAT FOR HUMANITY, PO BOX 1933, Hartford, Connecticut, 06144-1933 <i>(Support for 2015-2017 Neighborhood Build for homes in Hartford, and East Hartford.)</i> Contact: <i>Karraine Moody, Executive Director.</i> Ref. 003/16 <i>Approved: October 30, 2015. Implemented: 2/11/16 CK# 5909</i>	\$50,000
8)	ASSUMPTION SCHOOL 51 North Cliff Street, Ansonia, Connecticut, 06401 <i>(Support to upgrade school technology.)</i> Contact: <i>Kathleen Molner, Principal</i> Ref. 004/16 <i>Approved: October 30, 2015. Implemented: 1/06/16 CK# 5864</i>	\$25,000
9)	THE MARK TWAIN MEMORIAL (The Mark Twain House) 351 Farmington Avenue, Hartford, Connecticut, 06105 <i>(Funding for technology.)</i> Contact: <i>Cindy Lovell, Executive Director.</i> Ref. 006/16 <i>Approved: October 30, 2015. Implemented: 1/6/16 CK# 5865</i>	\$5,000
10)	SISTERS OF SAINT JOSEPH OF CHAMBREY CORPORATION 27 Park Road, West Hartford, Connecticut, 06119 <i>(Support to help complete a new chapel.)</i> Contact: <i>Sister Susan Cunningham, CSJ, President.</i> Ref. 007/16 <i>Approved: October 30, 2015. Implemented: 1/06/16 CK# 5866</i>	\$10,000
11)	SACRED HEART SCHOOL, 35 Orange Street, New Britain, Connecticut, 06053 <i>(Support for school library upgrades.)</i> Contact: <i>Sister May Janice Zdunczyk, School Advancement Director</i> <i>Msgr. Daniel J. Plocharczyk, Pastor.</i> Ref. 008/16 <i>Approved: October 30, 2015. Implemented: 1/6/16 CK # 5867</i>	\$25,000
12)	READ TO GROW, INC, 53 School Ground Road, Branford, Connecticut, 06450 <i>(Funding Books for Babies and Books for Kids.)</i> Contact: <i>Kyn Tolson, Executive Director</i> Ref. 009/16 <i>Approved: October 30, 2015. Implemented: 1/6/16 CK# 5868</i>	\$5,000
13)	HORIZONS, INC., PO BOX 323, South Windham, Connecticut, 06266-0323 <i>(Funding for "Sustaining a Trusted Lifeline in 2015-2016" and "Weekends in the Country", Summer Camp.)</i> Contact: <i>Chris McNaboe, CEO</i> Ref. 010/16 <i>Approved: October 30, 2015. Implemented: 1/6/16 CK# 5869</i>	\$10,000
14)	LEA'S FOUNDATION FOR LEUKEMIA RESEARCH, INC., 150 Trumbull Street, 2nd Floor, Hartford, Connecticut, 06013 <i>(Funding to manage and expand their financial assistance to patients and their families.)</i> Contact: <i>John J. Namnoum, Executive Director</i> Ref. 012/16 <i>Approved: October 30, 2015. Implemented: 1/12/16 CK# 5872</i>	\$10,000

15)	BATED BREATH THEATRE COMPANY City Arts on Pearl, 233 Pearl Street, PO BOX # 21, Hartford, Connecticut, 06103. (Funding to help development and presentation of a performance of "Beneath the Gavel".) Contact: Mara Lieberman, Executive Director Ref. 013/16 Approved: October 30, 2015. Implemented: 1/12/16 CK# 5873	\$5,000
16)	VOLUNTEERS IN PSYCHOTHERAPY, INC. 7 South Main Street, West Hartford, Connecticut, 06107. (Support for 260 free therapy sessions.) Contact: Richard Shulman, Executive Director. Ref. 014/16 Approved: October 30, 2015. Implemented: 1/12/16 CK# 5874	\$10,000
17)	THE CONNECTICUT RADIO INFORMATION SYSTEM, INC. (C.R.I.S.) 315 Windsor Avenue, Windsor, Connecticut, 06095 (Funding for the C.R.I.S. Streaming Initiative.) Contact: Diane Weaver Dunne, Executive Director Ref. 015/16 Approved: October 30, 2015. Implemented: 1/12/16 CK# 5875	\$10,000
18)	CONNECTICUT BURNS CARE FOUNDATION, INCORPORATED, 601 Boston Post Road, Suite 2, Milford, Connecticut, 06460. (Funding for the Children's Burn Camp for 2016.) Contact: Frank Szivos, Executive Director. Ref. 016/16 Approved: October 30, 2015. Implemented: 1/12/16 CK# 5876	\$10,000
19)	THE HOME FOR THE AGED (LITTLE SISTERS OF THE POOR) 1365 Enfield Street, Enfield, Connecticut, 06082-4900. (Support for various equipment for the Home.) Contact: Sister Genevieve Nugent, l.s.p, Administrator Ref. 017/16 Approved: October 30, 2015. Implemented: 1/12/16 CK# 5877	\$30,000
20)	GOODSPEED OPERA HOUSE FOUNDATION, PO BOX A, East Haddam, Connecticut, 06423-0281 (Support for education programs in underserved public schools in CT.) Contact: Michael Gennaro, Executive Director. Ref. 018/16 Approved: October 30, 2015. Implemented: 1/12/16 CK# 5878	\$10,000
21)	HARTFORD SYMPHONY ORCHESTRA, INC., 166 Capitol Avenue, Hartford, Connecticut, 06106. (General support.) Contact: Joyce Hodgson, Corporate & Foundation Relations, Manager Ref. 019/16 Approved: October 30, 2015 \$25,000 & 2/04/16 \$25,000. Implemented: 3/8/16 CK# 5918	\$50,000
22)	SAINT VINCENT DEPAUL MISSION OF WATERBURY, INC. PO BOX 1612, Waterbury, Connecticut, 06721. (Funding for Food Pantry & Soup Kitchen) Contact: Paul Iadarola, Executive Director. Ref. 020/16 Approved: October 30, 2015. Implemented: 12/16/14 CK# 5879	\$25,000
23)	THE PLAINVILLE FOOD PANTRY, INC. 54 South Canal Street, PO BOX 233, Plainville, Connecticut, 06062. (Funding to help support programs and staffing needs.) Contact: Suzie Woerz, Founding Executive Director. Ref. 22/16 Approved: October 30, 2015. Implemented: 1/14/16 CK # 5881	\$10,000

24)	THE MARITIME AQUARIUM AT NORWALK, INC., 10 North Water Street, Norwalk, Connecticut, 06854 (Funding for school programs for K-8.) Contact: Tracey Flater, Executive Director. Ref. 023/16 Approved: October 30, 2015. Implemented: 1/14/16 CK # 5882	\$10,000
25)	HARTFORD CHORALE, INC. 233 Pearl Street, Mailbox # 17, Hartford, CT. 06103. (Funding for the orchestra and artistic costs.) Contact: Alan Mann Executive Director. REF. Ref. 024/16. Approved: October 30, 2015. Implemented: 1/14/16 CK # 5883	\$10,000
26)	THE FOREMAN SCHOOL, 12 Norfolk Road, PO BOX 80, Litchfield, Connecticut, 06759. (Funding for scholarships.) Contact: Adam Man, Headmaster. REF. 030/16 Approved: October 30, 2015. Implemented: 2/11/16 CK # 5910	\$10,000
27)	HOLY PASSIONIST RETREAT CENTER, 303 Tunxis Road, West Hartford, Connecticut, 06017 (Funding for an ADA compliant bedroom at the retreat center.) Contact: Rev. Cinquegrani, C.P., Retreat Director REF. 032/16 Approved: February 04, 2014. Implemented: 3/30/16 CK # 5961	\$25,000
28)	CONNECTICUT RED CROSS CONNECTICUT AND RHODE ISLAND CHAPTER, 209 Farmington Avenue, Farmington, Connecticut, 06032 (General support.) Contact: Mario J. Bruno, Regional Director REF. 033/16 Approved: February 04, 2016. Implemented: 3/16/16 CK # 5943	\$50,000
29)	NORTHWEST CATHOLIC HIGH SCHOOL, 29 Wampanoag Road, West Hartford, Connecticut, 06117 (Funding to help complete major renovations to the school auditorium.) Contact: David C. Eustis, President/ Chief Administrator. REF. 034/16 Approved: February 04, 2016. Implemented: 3/8/16 CK # 5927	\$25,000
30)	PROVIDENCE COLLEGE 549 River Street, Providence, Rhode Island, 02918-0001 (Funding for the Dominican Scholarship Fund.) Contact: Rev. Brian Shanley, O.P., Ph.D. REF. 035/16 Approved: February 04, 2016. Implemented: 4/12/16 CK # 5962	\$200,000 one-time
31)	FATHER NADOLNY GOOD NEWS FUND, INC., 467 Bloomfield Avenue, Bloomfield, Connecticut, 06002 (General support.) Contact: Father Edmund Nadolny, REF. 037/16 Approved: February 04, 2016. Implemented: 3/16/16 CK # 5935	\$10,000
32)	HOCKANUM VALLEY COMMUNITY COUNCIL, INC., 29 Neck Road, Suite 5A, Vernon, Connecticut, 06066 (Support for their Food Pantry & Counseling Programs.) Contact: David A. Engelson, CEO. REF. 038/16 Approved: February 04, 2016. Implemented: 3/16/16 CK # 5941	\$10,000

33)	SAINT JAMES SCHOOL 73 Park Street, Manchester, Connecticut, 06040-5926 Contact: Father Kevin P. Cavanaugh, Pastor. REF. 039/16 (Funding for a new computer server and related equipment.) Approved: February 04. 2016. Implemented: 3/16 /16 CK # 5942	\$10,000
34)	MYSTIC SEAPORT MUSEUM, INC., 75 Greenmanville Road, PO BOX 6000, Mystic, Connecticut, 06355-0990 Contact: Stephen C. White, President. REF. 040/16 (Funding for a temporary art exhibit.) Approved: February 04. 2016. Implemented: 3/8 /16 CK # 5922	\$5,000
35)	YOUNG MEN'S CHRISTIAN ASSOCIATION OF METROPOLITAN HARTFORD, INC., 241 Trumbull Street, Hartford, Connecticut, 06103 Contact: Harold Sparrow, President/CEO. REF. 041/16 (Funding for general support at Wheeler.) Approved: February 04. 2016. Implemented: 3/8 /16 CK # 5928	\$25,000
36)	ST. EDMUND'S RETREAT, INC., PO BOX 399, Mystic, Connecticut, 06355-0399 Contact: Father Thomas F.X. Hoar, SSE, Ph.D., President. REF. 042/16 (General support.) Approved: February 04. 2016. Implemented: 3/16 /16 CK # 5944	\$10,000
37)	MAKE-A-WISH FOUNDATION OF CONNECTICUT, INC., 126 Monroe Turnpike, Trumbull, Connecticut, 06611. Contact: Keith Herzog, Board Chair. REF. 045/16 (Funding to fulfill four wishes for a terminally ill child and their families.) Approved: February 04. 2016. Implemented: 3/16 /16 CK # 5936	\$40,000
38)	AID FOR ORPHANS RELIEF FOUNDATION, INC., 1952 Whitney Avenue, Hamden, Connecticut, 06517 Contact: Dr. Margaret I. Chusteki, MBA, Executive Director. REF. 046/16 (General support.) Approved: February 04. 2016. Implemented: 3/8 /16 CK # 5926	\$10,000
39)	MERCY HOUSING AND SHELTER CORPORATION, 211 Wethersfield Avenue, Hartford, Connecticut, 06114 Contact: David Martineau, Executive Director. REF. 047/16 (Support for the final phase of renovations to Elizabeth House.) Approved: February 04. 2016. Implemented: 3/8 /16 CK # 5924	\$50,000
40)	WOMEN COMPOSERS FESTIVAL OF HARTFORD, PO BOX 370632, West Hartford, Connecticut, 06137 Contact: Penny Brandt, President, CEO. REF. 048/16 (Funding for their 2016 festival.) Approved: February 04. 2016. Implemented: 3/16 /16 CK # 5937	\$3,000
41)	HARTFORD CITY BALLET, 85 Gillet Street, Hartford, Connecticut, 06015 Contact: Dulcie Giadone, Executive Director. REF. 049/16 (Funding for children's opportunities.) Approved: February 04. 2016. Implemented: 3/8 /16 CK # 5921	\$5,000

42)	ST. ANTHONY SCHOOL, 55 Oak Street, Winsted, Connecticut, 06098 Contact: Fr. Ronald Gliatta, O.F.M., Pastor. REF. 050/16 (Funding to help update the school's technology.) Approved: February 04. 2016. Implemented: 5/16 /16 CK # 5985	\$10,000
43)	CHILDREN'S COMMUNITY SCHOOL, INC., 31 Wolcott Street, PO BOX 1746, Waterbury, Connecticut, 06702-1746 Contact: Ellen Mary Lynch, Executive Director. REF. 051/16 (Funding to support their personal education model.) Approved: February 04. 2016. Implemented: 3/8 /16 CK # 5920	\$10,000
44)	BRISTOL SYMPHONY ORCHESTRA, INC., PO BOX 2154, Bristol, Connecticut, 06011-2154 Contact: Jeff Myshrall, President & Treasurer. REF. 053/16 (Funding for their Educational Children's Concerts.) Approved: February 04. 2016. Implemented: 3/30 /16 CK # 5957	\$5,000
45)	CONNECTICUT CHORAL ARTISTS, INC., 233 Pearl Street, Hartford, Connecticut, 06103 Contact: Ann L. Drinan, Executive Director. REF. 054/16 (Funding for their 2016 concert series.) Approved: February 04. 2016. Implemented: 3/30 /16 CK # 5956	\$5,000
46)	ST. PAUL'S SYRIAN ORTHODOX CHURCH, 8824 Cottonwood Avenue, Santee, CA., 92701 Contact: Rev. Fr. Louis Yacoub, Parish Priest. REF. 056/16 (Funding to help with renovations to the church.) Approved: February 04. 2016. Implemented: 3/30 /16 CK # 5958	\$25,000
47)	PARTNERS IN HEALTHCARE SYSTEMS, INC., Prudential Plaza, 800 Boylston Street, 11 th Floor, Boston MA., 02119. Contact: David F. Trochian, M.D. REF. 028/16 (Funding for a Parkinson's Disease Research Study at Mass General Hospital.) Approved: February 04. 2016. Implemented: 4/12 /16 CK # 5963	\$100,000
48)	CREATIVE YOUTH PRODUCTIONS, INC., 53 Davis Avenue, 1 st Floor, Bridgeport, CT., 06605. Contact: Donna Sue De Guzman, Executive Director. REF. 057/16 (Funding for After School Programs.) Approved: May 19, 2016. Implemented: 6/09/16 CK # 6003.	\$10,000
49)	ST. BERNADETTE CATHOLIC SCHOOL 20 Burr Street, New Haven, CT., 06512. Contact: Rev. Frank T. Carter, Pastor, Edwin Goad, Principal. REF. 058/16 (Funding for their Capital Campaign.) Approved: May 19, 2016. Implemented: 6/06/16 CK# 5994	\$25,000
50)	YOUNG MEN'S CHRISTIAN ASSOCIATION OF MIDDLESEX COUNTY, INC., 99 Union Street, Middletown, CT., 06457 Contact: Michele Rulnick, President & CEO REF. 059/16 (Funding for Summer Scholarships for the YMCA Camp.) Approved: May 19, 2016. Implemented: 6/14/16 CK # 6007	\$10,000

51)	SAINT BERNARD CATHOLIC SCHOOL 232 Pearl Street, Enfield, CT., 06082. Contact: Rev. John Melnick. REF. 060/16 (Support for "Educational Technology Refresh Project.) Approved: May 19, 2016. Implemented: 6/06/16 CK # 5995	\$50,000
52)	MIDDLESEX COUNTY HISTORICAL SOCIETY 151 Main Street, Middletown, CT., 06457 Contact: Deborah D. Sharpiro, Executive Director. REF. 061/16 (Support for exhibition "A vanished Port: Middletown & the Caribbean". Approved: May 19, 2016. Implemented: 6/09/16. CK # 6002	\$10,000
53)	SAINT FRANCIS & SAINT ROSE OF LIMA SCHOOL 423 Ferry Road, Fair Haven, CT., 06513. Contact: Donald P. Deloge, School Board Chairperson. REF. 062/16 (To convert regular classroom to a dedicated science classroom.) Approved: May 19, 2016. Implemented: 6/06/16 CK # 5996.	\$30,000
54)	AMERICAN MURAL PROJECT. INC., PO BOX 538, 100 Whiting Street, Winsted, CT., 06098 Contact: Ellen Griesedieck, Artist, Executive Director. REF. 063/16 (Support for the Capital Campaign). Approved: May 19, 2016. Implemented: 6/21/16 CK # 6020	\$25,000
53)	SAINT MARY SCHOOL 62 Cedar Street, Branford, CT., 06405 Contact: Rev. George M. Couturier, Sr. Antoinette D'Antonio, Principal. (General support) REF. 064/16 Approved: May 19, 2016. Implemented: 6/01/16 CK # 5991	\$25,000
54)	JACKSON LABORATORY 600 Main Street, Bar Harbor, ME, 04609-1523 Contact: Edson Y. Liu, CEO REF. 065/16 (Funding for Postdoctoral fellows at Jackson Laboratory in Farmington, CT) Approved: May 19, 2016. Implemented: 6/21/16 CK # 6013	\$225,000
55)	KATHERINE HEPBURN CULTURAL ARTS CENTER, INC., 300 Main Street, Old Saybrook, CT., 06457. Contact: Brett Elliott, Executive Director. REF. 068/16 (Funding for Kate's Camp for Kids.) Approved: May 19, 2016. Implemented: 6/21/16 CK # 6019	\$10,000
56)	AMERICAN LIVER FOUNDATION 39 Broadway, New York, N.Y., 1006-3003. Contact: Kevin J. Nealon, CEO. REF. 069/16 (Funding for the Connecticut Div. ALF to expand programs and services) Approved: May 19, 2016. Implemented: 6/27/16 CK # 6026	\$30,000
57)	ARMENIAN CHURCH OF THE HOLY ASCENSION 1460 Huntington Turnpike, Trumbull, CT., 06611. Contact: Fr. Untzag Nakbandian, Pastor. REF. 070/16 (Funding for Elderly Programs in the Church.) Approved: May 19, 2016. Implemented: 6/21/16 CK # 6015.	\$10,000

58)	CONNECTICUT AERONAUTICAL HISTORICAL ASSOCIATION d/b/a NEW ENGLAND AIR MUSEUM, 36 Perimeter Road, Windsor Locks, CT., 06096. Contact: Jerry P. Roberts, Executive Director. REF. 072/16 (Funding for the SOAR for Science educational program.) Approved: May 19, 2016. Implemented: 6/07/16 CK # 5998.	\$5,000
59)	ST. ANNE CHURCH 481 Brewster Street, Bridgeport, CT., 06605. Contact: Fr. Peter J. Lynch, Pastor. REF. 073/16 (General support.) Approved: May 19, 2016. Implemented: 6/06/16. CK #5992	\$25,000
60)	GREATER NEW BRITAIN ARTS ALLIANCE, INC., Stockman Gallery at Trinity on Main, 19 Chestnut Street, New Britain, CT., 06051. Contact: Stephen Hard, Executive Director. REF. 075/16 (Funding for a partial match for a grant from GNBAA.) Approved: May 19, 2016. Implemented: 6/21/16. CK # 6021.	\$10,000
61)	ASYLUM HILL LEARNING ZONE, INC., 814 Asylum Avenue, Hartford, CT., 06105. Contact: Myron Congdon, Director. REF. 076/16 (Funding for the Baby Academy.) Approved: May 19, 2016. Implemented: 6/10/16. CK # 6004.	\$10,000
63)	HOLE IN THE WALL GANG FUND, INC., 555 Long Wharf Drive, New Haven, CT., 06511. Contact: James H. Canton, CEO. REF. 077/16 (Funding for Theater Lighting Project.) Approved: May 19, 2016. Implemented: 6/21/16. CK # 6014.	\$20,000
<u>MINIMUM DISTRIBUTION FYE JUNE 30, 2016: \$2,895,391</u> <u>TOTAL OF GRANTS APPROVED THRU JUNE 30, 2016: \$2,898,000</u>		