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## Form 990-PF

Department of the Treasury  
Internal Revenue Service

## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning JUL 1, 2015, and ending JUN 30, 2016

Name of foundation

RICH FOUNDATION, INC.  
C/O FD RICH COMPANY

A Employer identification number

22-2544173

Number and street (or P O box number if mail is not delivered to street address)

222 SUMMER STREET

Room/suite

B Telephone number

203-359-2900

City or town, state or province, country, and ZIP or foreign postal code

STAMFORD, CT 06901

C If exemption application is pending, check here ☐

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeD 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationE If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year

J Accounting method: ☒ Cash ☐ AccrualF If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

(from Part II, col. (c), line 16)

☐ Other (specify)

\$ 1291055. (Part I, column (d) must be on cash basis)

## Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

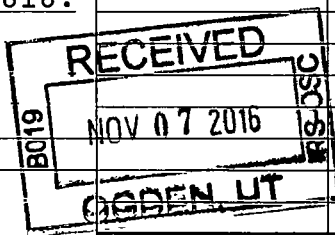
(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

Revenue	1	Contributions, gifts, grants, etc., received	5000.		N/A	
	2	Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	86.	86.		Statement 1
	4	Dividends and interest from securities	22893.	22893.		Statement 2
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	<8972.>			
	b	Gross sales price for all assets on line 6a	606818.			
	7	Capital gain net income (from Part IV, line 2)		0.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
Operating and Administrative Expenses	b	Less Cost of goods sold				
	c	Gross profit or (loss)				
	11	Other income				
	12	Total. Add lines 1 through 11	19007.	22979.		
	13	Compensation of officers, directors, trustees, etc	0.	0.		0.
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees				
	b	Accounting fees Stmt 3	2600.	0.		0.
	c	Other professional fees Stmt 4	800.	0.		0.
	17	Interest				
	18	Taxes Stmt 5	6199.	0.		0.
	19	Depreciation and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses Stmt 6	12162.	0.		0.
	24	Total operating and administrative expenses. Add lines 13 through 23	21761.	0.		0.
	25	Contributions, gifts, grants paid	89565.			89565.
	26	Total expenses and disbursements. Add lines 24 and 25	111326.	0.		89565.
	27	Subtract line 26 from line 12:				
	a	Excess of revenue over expenses and disbursements	<92319.>			
	b	Net investment income (if negative, enter -0-)		22979.		
	c	Adjusted net income (if negative, enter -0-)			N/A	



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11-24-15

LHA For Paperwork Reduction Act Notice, see instructions.

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**Part II Balance Sheets**

Attached schedules and amounts in the description column should be for end-of-year amounts only

Beginning of year

End of year

(a) Book Value

(b) Book Value

(c) Fair Market Value

Assets

1	Cash - non-interest-bearing	28308.	17478.	17478.
2	Savings and temporary cash investments		100043.	100043.
3	Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons			
7	Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments - U.S. and state government obligations			
b	Investments - corporate stock			
c	Investments - corporate bonds			
11	Investments - land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation ▶			
12	Investments - mortgage loans			
13	Investments - other Stmt 7	1334948.	1153061.	1115144.
14	Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation ▶			
15	Other assets (describe ▶ LINCOLN SCULPTURE )	58390.	58390.	58390.
16	<b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I)	1421646.	1328972.	1291055.

Liabilities

17	Accounts payable and accrued expenses	505.	150.	
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable			
22	Other liabilities (describe ▶ )			
23	<b>Total liabilities</b> (add lines 17 through 22)	505.	150.	

Net Assets or Fund Balances

	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
24	Unrestricted			
25	Temporarily restricted	1421141.	1328822.	
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, bldg., and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	<b>Total net assets or fund balances</b>	1421141.	1328822.	
31	<b>Total liabilities and net assets/fund balances</b>	1421646.	1328972.	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1421141.
2	Enter amount from Part I, line 27a	2	<92319.>
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	1328822.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 30	6	1328822.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a PEOPLES UNITED BANK - SEE ATTACHED</b>	<b>P</b>	<b>10/01/14</b>	<b>06/30/16</b>
<b>b Capital Gains Dividends</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a 585782.</b>		<b>615790.</b>	<b>&lt;30008.&gt;</b>
<b>b 21036.</b>			<b>21036.</b>
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
<b>a</b>			<b>&lt;30008.&gt;</b>
<b>b</b>			<b>21036.</b>
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	<b>2</b>	<b>&lt;8972.&gt;</b>
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	<b>3</b>	<b>N/A</b>

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	127625.	57514.	2.219025
2013	134348.	57514.	2.335918
2012	102379.	57514.	1.780071
2011	49350.	57514.	.858052
2010	38270.	57514.	.665403

<b>2</b> Total of line 1, column (d)	<b>2</b>	<b>7.858469</b>
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	<b>1.571694</b>
<b>4</b> Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	<b>4</b>	<b>57514.</b>
<b>5</b> Multiply line 4 by line 3	<b>5</b>	<b>90394.</b>
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	<b>230.</b>
<b>7</b> Add lines 5 and 6	<b>7</b>	<b>90624.</b>
<b>8</b> Enter qualifying distributions from Part XII, line 4	<b>8</b>	<b>89565.</b>

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions.

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**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	460.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		3	460.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	460.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2015 estimated tax payments and 2014 overpayment credited to 2015	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7		0.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		460.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2016 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <b>CT</b>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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**Part VII-A Statements Regarding Activities** (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	X	
Website address ▶ N/A		
14 The books are in care of ▶ THOMAS L RICH Telephone no. ▶ 203-359-2900		
Located at ▶ 222 SUMMER STREET, STAMFORD, CT		ZIP+4 ▶ 06901
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	▶ 15 N/A	
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	Yes	No
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶	16	X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1b	
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):	1c	X
a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes," list the years ▶		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.	2b	
▶		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	3b	
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4a	X
	4b	X

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
THOMAS L. RICH 222 SUMMER STREET STAMFORD, CT 06901	PRESIDENT 0.50	0.	0.	0.
FRANK D. RICH III 222 SUMMER STREET STAMFORD, CT 06901	VICE PRESIDENT 0.50	0.	0.	0.
COLLEEN FRACCOLA 222 SUMMER STREET STAMFORD, CT 06901	TREASURER/SECRETARY 0.50	0.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

**Total** number of other employees paid over \$50,000

0

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0.



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**C/O FD RICH COMPANY**

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**Part X**

**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	0.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	
<b>c</b>	Fair market value of all other assets	<b>1c</b>	58390.
<b>d</b>	Total (add lines 1a, b, and c)	<b>1d</b>	58390.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	0.
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	58390.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	876.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	57514.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	2876.

**Part XI**

**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	2876.
<b>2a</b>	Tax on investment income for 2015 from Part VI, line 5	<b>2a</b>	460.
<b>b</b>	Income tax for 2015. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	460.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	2416.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	0.
<b>5</b>	Add lines 3 and 4	<b>5</b>	2416.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	0.
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	2416.

**Part XII**

**Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	89565.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	0.
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	89565.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	<b>5</b>	0.
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	89565.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2015)

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
<b>1</b> Distributable amount for 2015 from Part XI, line 7				2416.
<b>2</b> Undistributed income, if any, as of the end of 2015				
<b>a</b> Enter amount for 2014 only			0.	
<b>b</b> Total for prior years:		0.		
<b>3</b> Excess distributions carryover, if any, to 2015:				
<b>a</b> From 2010	38454.			
<b>b</b> From 2011	50550.			
<b>c</b> From 2012	99845.			
<b>d</b> From 2013	133530.			
<b>e</b> From 2014	133800.			
<b>f</b> Total of lines 3a through e	456179.			
<b>4</b> Qualifying distributions for 2015 from Part XII, line 4: ► \$	89565.			
<b>a</b> Applied to 2014, but not more than line 2a			0.	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions)		0.		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions)	0.			
<b>d</b> Applied to 2015 distributable amount				2416.
<b>e</b> Remaining amount distributed out of corpus	87149.			
<b>5</b> Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	543328.			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
<b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
<b>e</b> Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
<b>f</b> Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				0.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
<b>8</b> Excess distributions carryover from 2010 not applied on line 5 or line 7	38454.			
<b>9</b> Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	504874.			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2011	50550.			
<b>b</b> Excess from 2012	99845.			
<b>c</b> Excess from 2013	133530.			
<b>d</b> Excess from 2014	133800.			
<b>e</b> Excess from 2015	87149.			

N/A

- ☐ 4942(j)(3) or ☐ 4942(j)(5)

- (4) Gross investment income

[illegible]Form **990-PF** (2015)

RICH FOUNDATION, INC.

Form 990-PF (2015)

C/O FD RICH COMPANY

22-2544173 Page 11

**Part XV** **Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
AMERICAN CANCER SOCIETY, NEW ENGLAND DIVISION 31 RICHARDS AVENUE NORWALK, CT 06854		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	2500.
AVON THEATRE FILM CENTER, INC. 8 SOUND SHORE DRIVE GREENWICH, CT 06830		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	1750.
BARTLETT ARBORETUM & GARDENS 151 BROOKDALE ROAD STAMFORD, CT 06903		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	2000.
BOYS & GIRLS CLUB OF STAMFORD, INC.,THE MARY C. RICH CLUBHOUSE 347 STILLWATER AVENUE STAMFORD, CT 06902		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	1750.
CITY OF NORWALK, CT(MAYORS YOUTH SUMMER EMPLOYMENT PROGRAM SYEP) 125 EAST AVENUE NORWALK, CT 06856-5125		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	1000.
Total			See continuation sheet(s) ▶ 3a	89565.
b Approved for future payment				
None				
Total			▶ 3b	0.

Unrelated business income		Excluded by section 512, 513, or 514		
(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	(e) Related or exempt function income
				86.
				22893.
				<8972.>
	0.		0.	14007.
				14007.

<b>Part XVII</b>	<b>Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations</b>
------------------	--

1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?	Yes	No
<b>a</b>	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
<b>b</b>	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
<b>c</b>	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
<b>d</b>	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

**b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date: \_\_\_\_\_

Title

PRESIDENT

May the IRS discuss this return with the preparer shown below (see instr)?

☒ Yes ☐ No

**Paid  
Preparer  
Use Only**

Print/Type preparer's name

LOUIS W. FIGLIUZZI,  
CPA

Preparer's signature

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64	65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80	81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96	97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112	113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128	129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144	145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160	161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176	177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192	193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208	209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224	225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240	241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256	257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272	273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288	289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304	305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320	321	322	323	324	325	326	327	328	329	330	331	332	333	334	335	336	337	338	339	340	341	342	343	344	345	346	347	348	349	350	351	352	353	354	355	356	357	358	359	360	361	362	363	364	365	366	367	368	369	370	371	372	373	374	375	376	377	378	379	380	381	382	383	384	385	386	387	388	389	390	391	392	393	394	395	396	397	398	399	400	401	402	403	404	405	406	407	408	409	410	411	412	413	414	415	416	417	418	419	420	421	422	423	424	425	426	427	428	429	430	431	432	433	434	435	436	437	438	439	440	441	442	443	444	445	446	447	448	449	450	451	452	453	454	455	456	457	458	459	460	461	462	463	464	465	466
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10/26/16

Check ☒ if self-employed

PTIN

P01384801

Firm's name ▶ LOUIS W. FIGLIUZZI, CPA

Firm's EIN ▶

Firm's address ► 21 APRIL DRIVE  
EASTON, CT 06612

Phone no. 203-220-9050

RICH FOUNDATION, INC.  
C/O FD RICH COMPANY

22-2544173

**Part XV Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CITY OF STAMFORD (FIREWORKS DEPT.), STAMFORD GOVT CENTER 888 WASHINGTON BOULEVARD STAMFORD, CT 06902		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	2500.
CT BALLET 20 ACOSTA STREET STAMFORD, CT 06902		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	1500.
CONNECTICUT FOOD BANK PO BOX 8686 NEW HAVEN, CT 06531-0686		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	100.
FAIRFIELD COUNTY COMMUNITY FOUNDATION 383 MAIN AVENUE, #401 NORWALK, CT 06851-1543		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	1500.
FERGUSON LIBRARY FOUNDATION ONE PUBLIC LIBRARY PLAZA STAMFORD, CT 06904		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	1000.
HOUSING DEVELOPMENT FUND 100 PROSPECT STREET STAMFORD, CT 06905		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	500.
HUMAN SERVICES COUNCIL ONE PARK STREET NORWALK, CT 06851		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	500.
LAUREL HOUSE 1616 WASHINGTON BLVD STAMFORD, CT 06902		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	1000.
LIBERATION PROGRAMS 129 GLOVER AVENUE NORWALK, CT 06850		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	500.
LITERACY VOLUNTEERS OF FAMILY CENTER 60 PALMERS HILL ROAD STAMFORD, CT 06902		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	1000.
<b>Total from continuation sheets</b>				<b>80565.</b>

RICH FOUNDATION, INC.  
C/O FD RICH COMPANY

22-2544173

**Part XV Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
LITTLE WORKERS OF THE SACRED HEARTS 635 GLENBROOK ROAD STAMFORD, CT 06906-1409		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	100.
MALTA HOUSE INC. 5 PROWITT STREET NORWALK, CT 06855		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	2500.
MEMORIAL SLOAN KETTERING CANCER CENTER 1275 YORK AVENUE NEW YORK, NY 10065		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	1065.
MILL RIVER COLLABORATIVE 1010 WASHINGTON BOULEVARD STAMFORD, CT 06901		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	25000.
NEW COVENANT HOUSE (CATHOLIC CHARITIES) 174 RICHMOND HILL AVENUE STAMFORD, CT 06902		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	500.
NEW NEIGHBORHOODS, INC. 76 PROGRESS DRIVE, SUITE 140 STAMFORD, CT 06902		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	1000.
NORWALK HOSPITAL FOUNDATION 34 MAPLE STREET NORWALK, CT 06856		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	2500.
REGIONAL PLAN ASSOCIATION 4 IRVING PLACE, 7TH FLOOR NEW YORK, NY 10003		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	1000.
SACRED HEART UNIVERSITY 5151 PARK AVENUE FAIRFIELD, CT 06825		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	2500.
SHATTERPROOF CHALLENGE 101 MERRITT 7 CORPORATE, 1ST FLOOR NORWALK, CT 06851		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	2500.
Total from continuation sheets				



RICH FOUNDATION, INC.  
C/O FD RICH COMPANY

22-2544173

**Part XV Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SONO ARTS CELEBRATION, INC. PO BOX 600 SOUTH NORWALK, CT 06856		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	2000.
STAMFORD CENTER FOR THE ARTS 61 ATLANTIC STREET STAMFORD, CT 06901		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	5000.
STAMFORD DOWNTOWN SPECIAL SERVICES DISTRICT(DSSD) 5 LANDMARK SQUARE, SUITE 110 STAMFORD, CT 06902		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	8450.
STAMFORD HISTORICAL SOCIETY 1508 HIGH RIDGE ROAD STAMFORD, CT 06903		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	500.
STAMFORD HOSPITAL FOUNDATION 1351 WASHINGTON BOULEVARD, #202 STAMFORD, CT 06902		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	10000.
STAMFORD MUSEUM & NATURE CENTER 39 SCOFIELDTOWN ROAD STAMFORD, CT 06903		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	1500.
STAMFORD POLICE FOUNDATION 805 BEDFORD STREET STAMFORD, CT 06901		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	250.
STAMFORD PUBLIC EDUCATION FOUNDATION 733 SUMMER STREET, SUITE 203 FAIRFIELD, CT 06902		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	500.
STAMFORD SYMPHONY ORCHESTRA 263 TRESSER BOULEVARD STAMFORD, CT 06901		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	1000.
STARFISH CONNECTION INC. 1127 HIGH RIDGE ROAD, #255 STAMFORD, CT 06905		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	1000.
Total from continuation sheets				

22-2544173

**3 Grants and Contributions Paid During the Year (Continuation)****Total from continuation sheets**

**Schedule B**(Form 990, 990-EZ,  
or 990-PF)Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

► Attach to Form 990, Form 990-EZ, or Form 990-PF.  
► Information about Schedule B (Form 990, 990-EZ, or 990-PF) and  
its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

**2015**

Name of the organization

RICH FOUNDATION, INC.  
C/O FD RICH COMPANY

Employer identification number

22-2544173

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ► \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2015)

## Name of organization

**RICH FOUNDATION, INC.**  
**C/O FD RICH COMPANY**

## Employer identification number

**22-2544173****Part I Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<b>1</b>	<b>33 BROAD STREET ASSOCIATE II</b> <b>222 SUMMER STREET</b> <b>STAMFORD, CT 06901</b>	\$ <b>5000.</b>	Person <input checked="checked" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Employer identification number

22-2544173

22-2544173

**Part II    Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

[illegible]

Name of organization

Employer identification number

RICH FOUNDATION, INC.

22-2544173

C/O FD RICH COMPANY

**Part III**

Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

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Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

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Source	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
PEOPLES INVESTMENTS	43.	43.	
SIGNATURE BANK	43.	43.	
Total to Part I, line 3	86.	86.	

---

Form 990-PF Dividends and Interest from Securities Statement 2

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Source	Gross Amount	Capital Gains Dividends	(a) Revenue Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income
PEOPLES INVESTMENT	43929.	21036.	22893.	22893.	
To Part I, line 4	43929.	21036.	22893.	22893.	

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Form 990-PF Accounting Fees Statement 3

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Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
ACCOUNTING	2600.	0.		0.
To Form 990-PF, Pg 1, ln 16b	2600.	0.		0.

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Form 990-PF Other Professional Fees Statement 4

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Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
ADVERTISING	800.	0.		0.
To Form 990-PF, Pg 1, ln 16c	800.	0.		0.

Form 990-PF	Taxes	Statement	5
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Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
FEDERAL TAXES	6199.	0.		0.
To Form 990-PF, Pg 1, ln 18	6199.	0.		0.

Form 990-PF	Other Expenses	Statement	6
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Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
STATE FILING FEES	100.	0.		0.
INSURANCE	505.	0.		0.
INVESTMENT FEES	10852.	0.		0.
OFFICE EXPENSES	705.	0.		0.
To Form 990-PF, Pg 1, ln 23	12162.	0.		0.

Form 990-PF	Other Investments	Statement	7
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Description	Valuation Method	Book Value	Fair Market Value
PEOPLES INVESTMENTS	COST	1128061.	1090144.
OTHER	COST	25000.	25000.
Total to Form 990-PF, Part II, line 13		1153061.	1115144.



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Form 990-PF	Grant Application Submission Information	Statement	8
	Part XV, Lines 2a through 2d		

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Name and Address of Person to Whom Applications Should be Submitted

---

THE RICH FOUNDATION  
222 SUMMER STREET  
STAMFORD, CT 06901

---

Telephone Number

---

203-359-2900

---

Form and Content of Applications

---

THE NAME, ADDRESS AND TELEPHONE NUMBERS OF THE ORGANIZATION REQUESTING THE FUNDS.

---

Any Submission Deadlines

---

NONE

---

Restrictions and Limitations on Awards

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NONE

SCHEDULE G - SALES AND REDEMPTIONS  
FOR PERIOD 07/01/15 THRU 06/30/16

ACCOUNT NO. 62-P043-13-6

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PEOPLE'S UNITED BANK AGENT FOR  
THE RICH FOUNDATION

DATE	DESCRIPTION	BROKER COMMISSION	PROCEEDS	COST	REALIZED GAIN/LOSS
EQUITY					
05/16/16	MEDTRONIC PLC SOLD 13 SHS 05/11/16 TO FOX RIVER @ 81.1201	.65	1,053.88	958.49-	95.39
01/25/16	CHUBB LTD SOLD .503 SHS 01/25/16 CASH IN LIEU OF FRACTION	.00	54.89	55.02-	.13-
05/16/16	SOLD 6 SHS 05/11/16 TO FOX RIVER @ 123.7101	.30	741.94	666.90-	75.04
-----					
03/30/16	ABBOTT LABS SOLD 30 SHS 03/29/16 TO ISI GROUP INC. @ 40.6845	1.50	1,219.01	1,281.90-	62.89-
05/16/16	SOLD 15 SHS 05/11/16 TO FOX RIVER @ 38.07	.75	570.28	640.95-	70.67-
-----					
11/04/15	ALPHABET INC CL C SOLD 3 SHS 10/30/15 TO BNY BROKERAGE @ 710.25	.15	2,130.56	1,922.85-	133.56-
11/04/15	ALPHABET INC CL A SOLD 3 SHS 10/30/15 TO BNY BROKERAGE @ 736.9401	.15	2,210.63	1,797.24-	413.39
11/04/15	AMGEN INC SOLD 6 SHS 10/30/15 TO BNY BROKERAGE @ 158.14	.30	948.52	854.28-	94.24
10/23/15	BIOMER INC SOLD 7 SHS 10/20/15 TO FIN TECH @ 273.25	.35	1,912.36	2,377.51-	465.15-

SCHEDULE G - SALES AND REDEMPTIONS  
FOR PERIOD 07/01/15 THRU 06/30/16

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ACCOUNT NO. 62-P043-13-6

PEOPLE'S UNITED BANK AGENT FOR  
THE RICH FOUNDATION

DATE	DESCRIPTION	BROKER COMMISSION	PROCEEDS	COST	REALIZED GAIN/LOSS
05/16/16	BLACKROCK INC SOLD 3 SHS 05/11/16 TO FOX RIVER @ 354.01	.15	1,061.85	968.07-	93.78
11/04/15	BOEING CO SOLD 8 SHS 10/30/15 TO BNY BROKERAGE @ 148.57	.40	1,188.13	1,029.68-	158.45
11/04/15	C V S HEALTH CORP SOLD 14 SHS 10/30/15 TO BNY BROKERAGE @ 99.08	.70	1,386.39	1,131.76-	254.63
05/16/16	SOLD 6 SHS 05/11/16 TO FOX RIVER @ 104.2801	.30	625.36	485.04-	140.32
04/01/16	CALIFORNIA RES CORP SOLD .273 SHS 04/01/16 CASH IN LIEU OF FRACTION	.00	2,011.75	1,616.80-	394.95
05/16/16	SOLD 6 SHS 05/11/16 TO ISI GROUP INC. @ 1.59	.30	9.24	2.42-	6.82
11/04/15	CELGENE CORP SOLD 10 SHS 10/30/15 TO BNY BROKERAGE @ 122.91	.50	1,228.57	959.20-	269.37
07/13/15	CHEMOURS CO SOLD .600 SHS 07/13/15 CASH IN LIEU OF FRACTION	.00	8.10	10.95-	2.85-
10/02/15	SOLD 16 SHS 09/29/15 TO BNY BROKERAGE @ 6.3901	.80	101.43	289.63-	188 20-
			109.53	300.58-	191.05-

SCHEDULE G - SALES AND REDEMPTIONS  
FOR PERIOD 07/01/15 THRU 06/30/16

ACCOUNT NO. 62-P043-13-6

PEOPLE'S UNITED BANK AGENT FOR  
THE RICH FOUNDATION

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DATE	DESCRIPTION	BROKER COMMISSION	PROCEEDS	COST	REALIZED GAIN/LOSS
11/04/15	CHUBB CORPORATION SOLD 29 SHS 10/30/15 TO BNY BROKERAGE @ 129.89	1.45	3,765.29	2,664.81-	1,100.48
01/20/16	CASH AND STOCK MERGER AT CASH RATE \$62.93 AND STOCK RATE 0.6019 SHARES PER SHARE	.00	6,953.35	4,962.05-	1,991.30
			-----	-----	-----
			10,718.64	7,626.86-	3,091.78
11/04/15	CISCO SYSTEMS INC SOLD 35 SHS 10/30/15 TO BNY BROKERAGE @ 28.8601	1.75	1,008.33	875.70-	132.63
10/02/15	CONOCOPHILLIPS SOLD 92 SHS 09/29/15 TO BNY BROKERAGE @ 46.2101	4.60	4,246.65	7,143.95-	2,897.30-
11/04/15	COSTCO WHSL CORP NEW SOLD 11 SHS 10/30/15 TO BNY BROKERAGE @ 158.47	.55	1,742.58	1,402.39-	340.19
05/16/16	SOLD 4 SHS 05/11/16 TO FOX RIVER @ 147.3801	.20	589.30	509.96-	79.34
			-----	-----	-----
			2,331.88	1,912.35-	419.53
05/16/16	DANAHER CORP SOLD 9 SHS 05/11/16 TO FOX RIVER @ 97.9301	.45	880.90	775.89-	105.01
05/16/16	DISNEY (WALT) COMPANY HOLDING CO SOLD 8 SHS 05/11/16 TO FOX RIVER @ 102.1801	.40	817.02	793.20-	23.82
10/02/15	DOVER CORP SOLD 57 SHS 09/29/15 TO BNY BROKERAGE @ 56.3801	2.85	3,210.76	4,639.56-	1,428.80-

SCHEDULE G - SALES AND REDEMPTIONS  
FOR PERIOD 07/01/15 THRU 06/30/16

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ACCOUNT NO. 62-P043-13-6

PEOPLE'S UNITED BANK AGENT FOR  
THE RICH FOUNDATION

DATE	DESCRIPTION	BROKER COMMISSION	PROCEEDS	COST	REALIZED GAIN/LOSS
10/02/15	DUPONT E I DE NEMOURS & CO SOLD 83 SHS 09/29/15 TO BNY BROKERAGE @ 47.311	4.15	3,922.59	5,706.20-	1,783.61-
03/30/16	E M C CORP SOLD 272 SHS 03/29/16 TO CONVERGEX @ 26.5321	13.60	7,202.97	8,017.44-	814.47-
05/16/16	EOG RESOURCES INC SOLD 11 SHS 05/11/16 TO FOX RIVER @ 80.6301	.55	886.36	1,129.81-	243.45-
11/04/15	EXPRESS SCRIPTS HLDG CO SOLD 16 SHS 10/30/15 TO BNY BROKERAGE @ 86.34	.80	1,380.61	1,164.80-	215.81
02/12/16	SOLD 73 SHS 02/09/16 TO BNY CONVERGEX @ 67.6916	3.65	4,937.75	5,297.17-	359.42-
05/16/16	EXXON MOBIL CORP SOLD 26 SHS 05/11/16 TO FOX RIVER @ 88.9001	1.30	2,310.04	2,498.60-	188.56-
05/12/16	FIDELITY SMALL CAP DISCOVERY #384 SOLD 183.217 SHS 05/11/16 @ 27.29	.00	5,000.00	5,428.72-	428.72-
11/04/15	GENERAL MILLS INC SOLD 21 SHS 10/30/15 TO BNY BROKERAGE @ 58.32	1.05	1,223.64	1,062.18-	161.46
05/16/16	SOLD 9 SHS 05/11/16 TO FOX RIVER @ 63.9001	.45	574.63	455.22-	119.41
			1,798.27	1,517.40-	280.87

SCHEDULE G - SALES AND REDEMPTIONS  
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PEOPLE'S UNITED BANK AGENT FOR  
THE RICH FOUNDATION

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DATE	DESCRIPTION	BROKER COMMISSION	PROCEEDS	COST	REALIZED GAIN/LOSS
11/04/15	GOODYEAR TIRE & RUBR CO SOLD 41 SHS 10/30/15 TO BNY BROKERAGE @ 32.8601	2.05	1,345.18	955.70-	389.48
03/30/16	SOLD 96 SHS 03/24/16 TO ISI GROUP INC. @ 32.02	4.80	3,069.05	2,199.14-	869.91
11/04/15	HOME DEPOT INC SOLD 16 SHS 10/30/15 TO BNY BROKERAGE @ 123.7901	.80	1,979.80	1,489.44-	490.36
05/16/16	SOLD 10 SHS 05/11/16 TO FOX RIVER @ 134.34	.50	1,342.87	930.90-	411.97
05/16/16	HONEYWELL INTL INC SOLD 29 SHS 05/11/16 TO FOX RIVER @ 114.0301	1.45	3,305.34	2,784.84-	520.50
05/16/16	INTEL CORP SOLD 23 SHS 05/11/16 TO FOX RIVER @ 30.0701	1.15	690.44	799.48-	109.04-
10/02/15	ISHARES GOLD TRUST SOLD 1370 SHS 09/29/15 TO BNY BROKERAGE @ 10.9	68.50	14,864.22	16,319.06-	1,454.84-
11/04/15	ISHARES U.S. HOME CONSTRUCTION ETF SOLD 38 SHS 10/30/15 TO BNY BROKERAGE @ 27.25	1.90	1,033.58	880.84-	152.74
05/16/16	SOLD 27 SHS 05/11/16 TO FOX RIVER @ 26.3801	1.35	710.89	625.86-	85.03
			1,744.47	1,506.70-	237.77

SCHEDULE G - SALES AND REDEMPTIONS  
FOR PERIOD 07/01/15 THRU 06/30/16

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PEOPLE'S UNITED BANK AGENT FOR  
THE RICH FOUNDATION

DATE	DESCRIPTION	BROKER COMMISSION	PROCEEDS	COST	REALIZED GAIN/LOSS
03/30/16	ITC HOLDINGS CORP SOLD 30 SHS 03/24/16 TO CONVERGEX @ 42.4901	1.50	1,273.17	1,247.70-	25.47
05/16/16	SOLD 17 SHS 05/11/16 TO FOX RIVER @ 44.4001	.85	753.93	695.57-	58.36
05/16/16	JPMORGAN CHASE & CO SOLD 11 SHS 05/11/16 TO FOX RIVER @ 61.9001	.55	2,027.10	1,943.27-	83.83
05/16/16	KROGER CORP SOLD 117 SHS 05/11/16 TO CONVERGEX @ 34.78	5.85	4,063.32	3,404.43-	658.89
05/16/16	L A M RESH CORP SOLD 19 SHS 05/11/16 TO FOX RIVER @ 75.84	.95	1,439.97	1,489.80-	49.83-
11/04/15	MCDONALDS CORP SOLD 15 SHS 10/30/15 TO BNY BROKERAGE @ 112.544	75	1,687.38	1,429.35-	258.03
03/30/16	SOLD 20 SHS 03/24/16 TO CONVERGEX @ 122.9551	1.00	2,458.05	1,905.80-	552.25
05/16/16	SOLD 17 SHS 05/11/16 TO FOX RIVER @ 129.2801	85	2,196.86	1,619.92-	576.94
05/16/16	METLIFE INC SOLD 13 SHS 05/11/16 TO FOX RIVER @ 43.5201	.65	6,342.29	4,955.07-	1,387.22
					154.45-

SCHEDULE G - SALES AND REDEMPTIONS  
FOR PERIOD 07/01/15 THRU 06/30/16

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PEOPLE'S UNITED BANK AGENT FOR  
THE RICH FOUNDATION

DATE	DESCRIPTION	BROKER COMMISSION	PROCEEDS	COST	REALIZED GAIN/LOSS
11/04/15	MICROSOFT CORP SOLD 53 SHS 10/30/15 TO BNY BROKERAGE @ 52.9235	2.65	2,802.24	2,491.52-	310.72
05/16/16	SOLD 17 SHS 05/11/16 TO FOX RIVER @ 51.0701	.85	867.32	799.17-	68.15
10/02/15	MOTOROLA SOLUTIONS INC SOLD 124 SHS 09/29/15 TO BNY BROKERAGE @ 67.3201	6.20	8,341.34	7,651.14-	690.20
05/16/16	NOVARTIS ADR SOLD 11 SHS 05/11/16 TO FOX RIVER @ 75.26	.55	827.29	982.04-	154.75-
11/02/15	NUVEEN DIVIDEND VALUE I #6756 SOLD 188.089 SHS 10/30/15 @ 15.95	.00	3,000.00	3,334.82-	334.82-
04/08/16	SOLD 4170.995 SHS 04/07/16 @ 14.27	.00	59,520.10	73,378.96-	13,858.86-
05/16/16	OCCIDENTAL PETROLEUM CORP SOLD 18 SHS 05/11/16 TO FOX RIVER @ 76.4	.90	62,520.10	76,713.78-	14,193.68-
10/02/15	OMNICOM GROUP SOLD 109 SHS 09/29/15 TO BNY BROKERAGE @ 64.7744	5.45	7,054.83	1,709.55-	335.28-
05/16/16	ORACLE CORPORATION SOLD 29 SHS 05/11/16 TO FOX RIVER @ 39.6801	1.45	1,149.24	1,144.92-	4.32



SCHEDULE G - SALES AND REDEMPTIONS  
FOR PERIOD 07/01/15 THRU 06/30/16

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PEOPLE'S UNITED BANK AGENT FOR  
THE RICH FOUNDATION

DATE	DESCRIPTION	BROKER COMMISSION	PROCEEDS	COST	REALIZED GAIN/LOSS
OPPENHEIMER DEVELOPING MARKETS I #799					
02/10/16	SOLD 559.076 SHS 02/09/16 @ 26.83	.00	15,000.00	22,168.47-	7,168.47-
06/10/16	SOLD 160.308 SHS 06/09/16 @ 31.19	.00	5,000.00	6,356.53-	1,356.53-
			-----	-----	-----
			20,000.00	28,525.00-	8,525.00-
PPG INDUSTRIES INC					
05/16/16	SOLD 11 SHS 05/11/16 TO FOX RIVER	.55	1,185.11	1,007.38-	177.73
-----					
PHILLIPS 66					
05/16/16	SOLD 14 SHS 05/11/16 TO FOX RIVER	.70	1,114.51	1,165.08-	50.57-
-----					
PRINCIPAL MIDCAP INSTL #4749					
11/02/15	SOLD 442.283 SHS 10/30/15 @ 22.61	.00	10,000.00	9,672.73-	327.27
05/12/16	SOLD 469.043 SHS 05/11/16 @ 21.32	.00	10,000.00	10,257.97-	257.97-
			-----	-----	-----
			20,000.00	19,930.70-	69.30
PROCTER & GAMBLE CO					
05/16/16	SOLD 14 SHS 05/11/16 TO FOX RIVER	70	1,150.35	1,194.62-	44.27-
-----					
QUALCOMM INC					
01/12/16	SOLD 114 SHS 01/07/16 TO FIN TECH	5.70	5,365.88	8,660.69-	3,294.81-
HEALTH CARE SELECT SECTOR ETF					
03/30/16	SPDR TR SBI SOLD 53 SHS 03/24/16 TO CONVERGEX	2.65	3,579.04	3,792.68-	213.64-
-----					
	@ 67.5805				

SCHEDULE G - SALES AND REDEMPTIONS  
FOR PERIOD 07/01/15 THRU 06/30/16

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PEOPLE'S UNITED BANK AGENT FOR  
THE RICH FOUNDATION

DATE	DESCRIPTION	BROKER COMMISSION	PROCEEDS	COST	REALIZED GAIN/LOSS
10/02/15	FINANCIAL SELECT SECTOR SPDR ETF FND SOLD 80 SHS 09/29/15 TO BNY BROKERAGE @ 22.2601	4.00	1,776.77	1,943.20-	166.43-
10/02/15	SOUTHWEST AIRLINES CO SOLD 131 SHS 09/29/15 TO BNY BROKERAGE @ 37.5101	6.55	4,907.18	4,455.93-	451.25
01/12/16	STATE STREET CORP SOLD 105 SHS 01/07/16 TO FIN TECH @ 61.28	5.25	6,429.03	7,806.32-	1,377.29-
05/13/16	STERICYCLE INC SOLD 41 SHS 05/10/16 TO CONVERGX @ 95.9776	2.05	3,932.95	4,752.72-	819.77-
11/04/15	T J X COS INC SOLD 19 SHS 10/30/15 TO BNY BROKERAGE @ 73.16	.95	1,389.06	1,147.70-	241.36
05/16/16	SOLD 7 SHS 05/11/16 TO FOX RIVER @ 74.3501	.35	520.08	422.10-	97.98
05/16/16	TARGET CORP SOLD 8 SHS 05/11/16 TO FOX RIVER @ 76.0001	.40	607.58	655.90-	48.32-
11/04/15	THERMO FISHER SCIENTIFIC INC SOLD 7 SHS 10/30/15 TO BNY BROKERAGE @ 130.9701	.35	916.42	862.26-	54.16
05/16/16	SOLD 8 SHS 05/11/16 TO FOX RIVER @ 148.3401	.40	1,186.29	985.44-	200.85
			2,102.71	1,847.70-	255.01

SCHEDULE G - SALES AND REDEMPTIONS  
FOR PERIOD 07/01/15 THRU 06/30/16

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PEOPLE'S UNITED BANK AGENT FOR  
THE RICH FOUNDATION

DATE	DESCRIPTION	BROKER COMMISSION	PROCEEDS	COST	REALIZED GAIN/LOSS
05/16/16	3M CO SOLD 9 SHS 05/11/16 TO FOX RIVER @ 169.85	.45	1,528.16	1,305.09-	223.07
10/02/15	UNITED TECHNOLOGIES CORP SOLD 47 SHS 09/29/15 TO BNY BROKERAGE @ 87.5502	2.35	4,112.43	4,958.62-	846.19-
05/16/16	VANGUARD REIT ETF SOLD 30 SHS 05/11/16 TO FOX RIVER @ 84.7901	1.50	2,542.15	2,378.84-	163.31
05/16/16	VISA INC CLASS A SHARES SOLD 19 SHS 05/11/16 TO FOX RIVER @ 77.5901	.95	1,473.22	1,292.48-	180.74
11/04/15	WELLS FARGO & CO NEW SOLD 25 SHS 10/30/15 TO BNY BROKERAGE @ 54.0511	1.25	1,350.00	1,302.37-	47.63
11/02/15	WELLS FARGO PREMIER LG CO GROWTH I #4123 SOLD 310.173 SHS 10/30/15 @ 16.12	.00	5,000.00	4,736.34-	263.66
05/12/16	SOLD 350.631 SHS 05/11/16 @ 14.26	.00	5,000.00	5,354.13-	354.13-
	TOTAL EQUITY		-----	-----	-----
	FIXED INCOME		10,000.00	10,090.47-	90.47-
	ISHARES IBOXX CORP BOND ETF		290,566.50	317,630.73-	27,064.23-
05/10/16	USD INVESTMENT GRADE SOLD 284 SHS 05/05/16 TO CONVERGEX @ 119.9613	14.20	34,054.06	34,160.57-	106.51-

SCHEDULE G - SALES AND REDEMPTIONS  
FOR PERIOD 07/01/15 THRU 06/30/16

ACCOUNT NO. 62-P043-13-6

PEOPLE'S UNITED BANK AGENT FOR  
THE RICH FOUNDATION

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DATE	DESCRIPTION	BROKER COMMISSION	PROCEEDS	COST	REALIZED GAIN/LOSS
10/02/15	ISHARES JP MORGAN USD EMERGING MKRKS BOND ETF				
	SOLD 144 SHS 09/29/15	7.20	15,181.64	16,269.10-	1,087.46-
	TO BNY BROKERAGE @ 105.48				
05/10/16	ISHARES MBS ETF				
	SOLD 165 SHS 05/05/16	8.25	18,047.30	18,118.23-	70.93-
	TO CONVERGEX @ 109.43				
	POWERSHARES TRUST II ETF SENIOR LN PORT				
03/30/16	SOLD 250 SHS 03/24/16	12.50	5,620.17	6,084.98-	464.81-
	TO CONVERGEX @ 22.5312				
02/12/16	SPDR BARCLAYS INT-TERM CORP BOND ETF				
	SOLD 600 SHS 02/09/16	30.00	20,121.83	20,617.73-	495.90-
	TO BNY CONVERGEX @ 33.587				
03/30/16	SPDR BARCLAYS HIGH YIELD BOND ETF				
	SOLD 120 SHS 03/24/16	6.00	4,089.57	4,808.39-	718.82-
	TO CONVERGEX @ 34.1305				
	TOTAL FIXED INCOME CASH EQUIVALENTS		97,114.57	100,059.00-	2,944.43-
06/30/16	FEDERATED INST PRIME OBLIGATIONS				
	SALES (21) 07/01/15 TO 06/30/16	.00	198,100.75	198,100.75-	.00
	TOTAL CASH EQUIVALENTS		198,100.75	198,100.75-	.00
	TOTAL SALES AND REDEMPTIONS		585,781.82	615,790.48-	30,008.66-