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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2015

Open to Public Inspection

► Do not enter social security numbers on this form as it may be made public.
► Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.For calendar year 2015 or tax year beginning **JUL 1, 2015**, and ending **JUN 30, 2016**

Name of foundation

RICH FOUNDATION, INC.
C/O FD RICH COMPANY

Number and street (or P O box number if mail is not delivered to street address)

222 SUMMER STREET

Room/suite

A Employer identification number

22-2544173

City or town, state or province, country, and ZIP or foreign postal code

STAMFORD, CT 06901G Check all that apply: Initial return Initial return of a former public charity
 Final return Amended return
 Address change Name changeH Check type of organization: Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundationI Fair market value of all assets at end of year **\$ 1291055.** (Part I, column (d) must be on cash basis)
J Accounting method: Cash Accrual
 Other (specify) _____

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		5000.		N/A	
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B					
Interest on savings and temporary cash investments		86.	86.		Statement 1
4 Dividends and interest from securities		22893.	22893.		Statement 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		<8972.0>			
b Gross sales price for all assets on line 6a		606818.			
7 Capital gain net income (from Part IV, line 2)				0.	
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		19007.	22979.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees Stmt 3		2600.	0.		0.
c Other professional fees Stmt 4		800.	0.		0.
17 Interest					
18 Taxes Stmt 5		6199.	0.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses Stmt 6		12162.	0.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		21761.	0.		0.
25 Contributions, gifts, grants paid		89565.			89565.
26 Total expenses and disbursements. Add lines 24 and 25		111326.	0.		89565.
27 Subtract line 26 from line 12:		<92319.0>			
a Excess of revenue over expenses and disbursements					
b Net investment income (if negative, enter -0-)			22979.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets <small>Attached schedules and amounts in the description column should be for end-of-year amounts only</small>		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	28308.	17478.	17478.
	2 Savings and temporary cash investments		100043.	100043.
	3 Accounts receivable ►			
	Less: allowance for doubtful accounts ►			
	4 Pledges receivable ►			
	Less: allowance for doubtful accounts ►			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ►			
	Less: allowance for doubtful accounts ►			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ►	Stmt 7	1334948.	1153061.
	Less accumulated depreciation ►			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ►			
	Less accumulated depreciation ►			
	15 Other assets (describe ► <u>LINCOLN SCULPTURE</u>)	58390.	58390.	58390.
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	1421646.	1328972.	1291055.
Liabilities	17 Accounts payable and accrued expenses	505.	150.	
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ►)			
Net Assets or Fund Balances	23 Total liabilities (add lines 17 through 22)	505.	150.	
	Foundations that follow SFAS 117, check here ► <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
Net Assets or Fund Balances	24 Unrestricted	1421141.	1328822.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ► <input type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances	1421141.	1328822.	
	31 Total liabilities and net assets/fund balances	1421646.	1328972.	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1 1421141.
2 Enter amount from Part I, line 27a	2 <92319. >
3 Other increases not included in line 2 (itemize) ►	3 0.
4 Add lines 1, 2, and 3	4 1328822.
5 Decreases not included in line 2 (itemize) ►	5 0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6 1328822.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PEOPLES UNITED BANK - SEE ATTACHED			P	10/01/14	06/30/16
b Capital Gains Dividends					
c					
d					
e					
(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a 585782.			615790.	<30008.> 21036.	
b 21036.				21036.	
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) F.M.V. as of 12/31/69		(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				<30008.> 21036.	
b				21036.	
c					
d					
e					
2 Capital gain net income or (net capital loss) } If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7				2	<8972.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 } If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7				3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	127625.	57514.	2.219025
2013	134348.	57514.	2.335918
2012	102379.	57514.	1.780071
2011	49350.	57514.	.858052
2010	38270.	57514.	.665403
2 Total of line 1, column (d)		2	7.858469
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years		3	1.571694
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5		4	57514.
5 Multiply line 4 by line 3		5	90394.
6 Enter 1% of net investment income (1% of Part I, line 27b)		6	230.
7 Add lines 5 and 6		7	90624.
8 Enter qualifying distributions from Part XII, line 4		8	89565.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1.	}	460.	
Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input type="checkbox"/> and enter 1% of Part I, line 27b			
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
3	Add lines 1 and 2			
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5	Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-			
6	Credits/Payments:			
a	2015 estimated tax payments and 2014 overpayment credited to 2015			6a
b	Exempt foreign organizations - tax withheld at source			6b
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7	0.	
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	460.	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	10	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	11	
11	Enter the amount of line 10 to be: Credited to 2016 estimated tax ►	Refunded	Refunded	

Part VII-A Statements Regarding Activities

	Yes	No
1a	X	
1b	X	
1c	X	
2	X	
3	X	
4a	X	
4b		
5	X	
N/A		
6	X	
7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ►	
	<u>CT</u>	
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? If "No," attach explanation	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV	
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	Yes	No
11		X	
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	Yes	No
12		X	
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	Yes	No
13	X		
14	The books are in care of ► <u>THOMAS L RICH</u> Located at ► <u>222 SUMMER STREET, STAMFORD, CT</u>	Telephone no. ► <u>203-359-2900</u> ZIP+4 ► <u>06901</u>	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	► <u>15</u>	► <u>N/A</u>
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	Yes	No
16		X	

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

<p>1a During the year did the foundation (either directly or indirectly):</p> <p>(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>1b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A</p> <p>► <input type="checkbox"/></p>
<p>1c Organizations relying on a current notice regarding disaster assistance check here X</p>	<p>2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):</p> <p>a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>If "Yes," list the years ► _____, _____, _____, _____</p>
<p>b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A</p>	<p>c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.</p> <p>► _____, _____, _____, _____</p>
<p>3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.) N/A</p>	<p>3b</p>
<p>4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a</p> <p>b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015? X</p>	<p>4b</p>

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?
 Yes No
 (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?
 Yes No
 Yes No
 (3) Provide a grant to an individual for travel, study, or other similar purposes?
 Yes No
 (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)
 Yes No
 (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?
 Yes No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A



5b

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

 Yes No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

 Yes No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

 Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
THOMAS L. RICH 222 SUMMER STREET STAMFORD, CT 06901	PRESIDENT 0.50	0.	0.	0.
FRANK D. RICH III 222 SUMMER STREET STAMFORD, CT 06901	VICE PRESIDENT 0.50	0.	0.	0.
COLLEEN FRACCOLA 222 SUMMER STREET STAMFORD, CT 06901	TREASURER/SECRETARY 0.50	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000



0

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Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)****3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000

(b) Type of service

(c) Compensation

NONE

Total number of others receiving over \$50,000 for professional services

►

0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 N/A

2

3

4

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1 N/A

2

All other program-related investments. See instructions.

3

Total. Add lines 1 through 3

►

0.

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Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	0.
b	Average of monthly cash balances	1b	
c	Fair market value of all other assets	1c	58390.
d	Total (add lines 1a, b, and c)	1d	58390.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	58390.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	876.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	57514.
6	Minimum investment return. Enter 5% of line 5	6	2876.

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	2876.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	460.
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	460.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	2416.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	2416.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2416.

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	89565.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	89565.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	89565.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				2416.
2 Undistributed income, if any, as of the end of 2015			0.	
a Enter amount for 2014 only				
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2015:				
a From 2010	38454.			
b From 2011	50550.			
c From 2012	99845.			
d From 2013	133530.			
e From 2014	133800.			
f Total of lines 3a through e	456179.			
4 Qualifying distributions for 2015 from Part XII, line 4: ► \$ 89565.			0.	
a Applied to 2014, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)		0.		
d Applied to 2015 distributable amount				2416.
e Remaining amount distributed out of corpus		87149.		
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))		0.		0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	543328.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr.				0.
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		0.		
8 Excess distributions carryover from 2010 not applied on line 5 or line 7	38454.			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	504874.			
10 Analysis of line 9:				
a Excess from 2011	50550.			
b Excess from 2012	99845.			
c Excess from 2013	133530.			
d Excess from 2014	133800.			
e Excess from 2015	87149.			

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22-2544173

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Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling
b Check box to indicate whether the foundation is a private operating foundation described

4942(j)(3) or 4942(j)(5)

- 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed
- b 85% of line 2a
- c Qualifying distributions from Part XI line 4 for each year listed
- d Amounts included in line 2c not used directly for active conduct of exempt activities
- e Qualifying distributions made directly for active conduct of exempt activities

Subtract line 2d from line 2c.

3 Subtract line 2d from line 2c

Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ► if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

See Statement 8

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors;

RICH FOUNDATION, INC.
C/O FD RICH COMPANY

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Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
AMERICAN CANCER SOCIETY, NEW ENGLAND DIVISION 31 RICHARDS AVENUE NORWALK, CT 06854		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	2500.
AVON THEATRE FILM CENTER, INC. 8 SOUND SHORE DRIVE GREENWICH, CT 06830		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	1750.
BARTLETT ARBORETUM & GARDENS 151 BROOKDALE ROAD STAMFORD, CT 06903		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	2000.
BOYS & GIRLS CLUB OF STAMFORD, INC., THE MARY C. RICH CLUBHOUSE 347 STILLWATER AVENUE STAMFORD, CT 06902		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	1750.
CITY OF NORWALK, CT (MAYORS YOUTH SUMMER EMPLOYMENT PROGRAM SYEP) 125 EAST AVENUE NORWALK, CT 06856-5125		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	1000.
Total	See continuation sheet(s)			► 3a 89565.
b Approved for future payment				
None				
Total				► 3b 0.

Form 990-PF (2015)

Part XVI-A Analysis of Income-Producing Activities

- Enter gross amounts unless otherwise indicated.

(See worksheet in line 13 instructions to verify calculations.)

Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
3	NOT APPLICABLE
4	NOT APPLICABLE
8	NOT APPLICABLE

RICH FOUNDATION, INC.
C/O FD RICH COMPANY

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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CITY OF STAMFORD (FIREWORKS DEPT.), STAMFORD GOVT CENTER 888 WASHINGTON BOULEVARD STAMFORD, CT 06902		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	2500.
CT BALLET 20 ACOSTA STREET STAMFORD, CT 06902		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	1500.
CONNECTICUT FOOD BANK PO BOX 8686 NEW HAVEN, CT 06531-0686		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	100.
FAIRFIELD COUNTY COMMUNITY FOUNDATION 383 MAIN AVENUE, #401 NORWALK, CT 06851-1543		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	1500.
FERGUSON LIBRARY FOUNDATION ONE PUBLIC LIBRARY PLAZA STAMFORD, CT 06904		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	1000.
HOUSING DEVELOPMENT FUND 100 PROSPECT STREET STAMFORD, CT 06905		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	500.
HUMAN SERVICES COUNCIL ONE PARK STREET NORWALK, CT 06851		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	500.
LAUREL HOUSE 1616 WASHINGTON BLVD STAMFORD, CT 06902		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	1000.
LIBERATION PROGRAMS 129 GLOVER AVENUE NORWALK, CT 06850		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	500.
LITERACY VOLUNTEERS OF FAMILY CENTER 60 PALMERS HILL ROAD STAMFORD, CT 06902		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	1000.
Total from continuation sheets				80565.

RICH FOUNDATION, INC.
C/O FD RICH COMPANY

22-2544173

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
LITTLE WORKERS OF THE SACRED HEARTS 635 GLENBROOK ROAD <u>STAMFORD, CT 06906-1409</u>		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	100.
MALTA HOUSE INC. 5 PROWITT STREET <u>NORWALK, CT 06855</u>		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	2500.
MEMORIAL SLOAN KETTERING CANCER CENTER 1275 YORK AVENUE <u>NEW YORK, NY 10065</u>		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	1065.
MILL RIVER COLLABORATIVE 1010 WASHINGTON BOULEVARD <u>STAMFORD, CT 06901</u>		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	25000.
NEW COVENANT HOUSE (CATHOLIC CHARITIES) 174 RICHMOND HILL AVENUE <u>STAMFORD, CT 06902</u>		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	500.
NEW NEIGHBORHOODS, INC. 76 PROGRESS DRIVE, SUITE 140 <u>STAMFORD, CT 06902</u>		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	1000.
NORWALK HOSPITAL FOUNDATION 34 MAPLE STREET <u>NORWALK, CT 06856</u>		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	2500.
REGIONAL PLAN ASSOCIATION 4 IRVING PLACE, 7TH FLOOR <u>NEW YORK, NY 10003</u>		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	1000.
SACRED HEART UNIVERSITY 5151 PARK AVENUE <u>FAIRFIELD, CT 06825</u>		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	2500.
SHATTERPROOF CHALLENGE 101 MERRITT 7 CORPORATE, 1ST FLOOR <u>NORWALK, CT 06851</u>		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	2500.
Total from continuation sheets				

RICH FOUNDATION, INC.
C/O FD RICH COMPANY

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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<u>SONO ARTS CELEBRATION, INC.</u> <u>PO BOX 600</u> <u>SOUTH NORWALK, CT 06856</u>		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	2000.
<u>STAMFORD CENTER FOR THE ARTS</u> <u>61 ATLANTIC STREET</u> <u>STAMFORD, CT 06901</u>		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	5000.
<u>STAMFORD DOWNTOWN SPECIAL SERVICES DISTRICT(DSSD)</u> <u>5 LANDMARK SQUARE, SUITE 110</u> <u>STAMFORD, CT 06902</u>		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	8450.
<u>STAMFORD HISTORICAL SOCIETY</u> <u>1508 HIGH RIDGE ROAD</u> <u>STAMFORD, CT 06903</u>		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	500.
<u>STAMFORD HOSPITAL FOUNDATION</u> <u>1351 WASHINGTON BOULEVARD, #202</u> <u>STAMFORD, CT 06902</u>		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	10000.
<u>STAMFORD MUSEUM & NATURE CENTER</u> <u>39 SCOFIELDSTOWN ROAD</u> <u>STAMFORD, CT 06903</u>		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	1500.
<u>STAMFORD POLICE FOUNDATION</u> <u>805 BEDFORD STREET</u> <u>STAMFORD, CT 06901</u>		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	250.
<u>STAMFORD PUBLIC EDUCATION FOUNDATION</u> <u>733 SUMMER STREET, SUITE 203</u> <u>FAIRFIELD, CT 06902</u>		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	500.
<u>STAMFORD SYMPHONY ORCHESTRA</u> <u>263 TRESSER BOULEVARD</u> <u>STAMFORD, CT 06901</u>		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	1000.
<u>STARFISH CONNECTION INC.</u> <u>1127 HIGH RIDGE ROAD, #255</u> <u>STAMFORD, CT 06905</u>		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	1000.
Total from continuation sheets				

RICH FOUNDATION, INC.
C/O FD RICH COMPANY

22-2544173

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

OMB No 1545-0047

2015

► Attach to Form 990, Form 990-EZ, or Form 990-PF.
► Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

Name of the organization**RICH FOUNDATION, INC.
C/O FD RICH COMPANY****Employer identification number****22-2544173****Organization type (check one):****Filers of:**Form 990 or 990-EZ 501(c)() (enter number) organization 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation 527 political organizationForm 990-PF 501(c)(3) exempt private foundation 4947(a)(1) nonexempt charitable trust treated as a private foundation 501(c)(3) taxable private foundation**Check if your organization is covered by the General Rule or a Special Rule.****Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the General Rule applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ► \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2015)

Name of organization

RICH FOUNDATION, INC.
C/O FD RICH COMPANY

Employer identification number

22-2544173

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	33 BROAD STREET ASSOCIATE II 222 SUMMER STREET STAMFORD, CT 06901	\$ 5000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$ 1	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization

RICH FOUNDATION, INC.
C/O FD RICH COMPANY

Employer identification number

22-2544173

Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____

Name of organization

RICH FOUNDATION, INC.
C/O FD RICH COMPANY

Employer identification number

22-2544173

Part III *Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor* Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ► \$ _____
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

Source	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
PEOPLES INVESTMENTS	43.	43.	
SIGNATURE BANK	43.	43.	
Total to Part I, line 3	86.	86.	

Form 990-PF Dividends and Interest from Securities Statement 2

Source	Gross Amount	Capital Gains Dividends	(a) Revenue Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income
PEOPLES INVESTMENT	43929.	21036.	22893.	22893.	
To Part I, line 4	43929.	21036.	22893.	22893.	

Form 990-PF Accounting Fees Statement 3

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
ACCOUNTING	2600.	0.		0.
To Form 990-PF, Pg 1, ln 16b	2600.	0.		0.

Form 990-PF Other Professional Fees Statement 4

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
ADVERTISING	800.	0.		0.
To Form 990-PF, Pg 1, ln 16c	800.	0.		0.

Form 990-PF

Taxes

Statement 5

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
FEDERAL TAXES	6199.	0.		0.
To Form 990-PF, Pg 1, ln 18	6199.	0.		0.

Form 990-PF

Other Expenses

Statement 6

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
STATE FILING FEES	100.	0.		0.
INSURANCE	505.	0.		0.
INVESTMENT FEES	10852.	0.		0.
OFFICE EXPENSES	705.	0.		0.
To Form 990-PF, Pg 1, ln 23	12162.	0.		0.

Form 990-PF

Other Investments

Statement 7

Description	Valuation Method	Fair Market Value	
		Book Value	
PEOPLES INVESTMENTS	COST	1128061.	1090144.
OTHER	COST	25000.	25000.
Total to Form 990-PF, Part II, line 13		1153061.	1115144.

Form 990-PF

Grant Application Submission Information
Part XV, Lines 2a through 2d

Statement 8

Name and Address of Person to Whom Applications Should be Submitted

THE RICH FOUNDATION
222 SUMMER STREET
STAMFORD, CT 06901

Telephone Number

203-359-2900

Form and Content of Applications

THE NAME, ADDRESS AND TELEPHONE NUMBERS OF THE ORGANIZATION REQUESTING THE FUNDS.

Any Submission Deadlines

NONE

Restrictions and Limitations on Awards

NONE

SCHEDULE G - SALES AND REDEMPTIONS
FOR PERIOD 07/01/15 THRU 06/30/16

ACCOUNT NO. 62-P043-113-6

PEOPLE'S UNITED BANK AGENT FOR
THE RICH FOUNDATION

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DATE	DESCRIPTION	BROKER COMMISSION	PROCEEDS	COST	REALIZED GAIN/LOSS
	EQUITY				
05/16/16	MEDTRONIC PLC SOLD 13 SHS 05/11/16 TO FOX RIVER @ 81.1201	.65	1,053.88	958.49-	95.39
01/25/16	CHUBB LTD SOLD .503 SHS 01/25/16 CASH IN LIEU OF FRACTION	.00	54.89	55.02-	.13-
05/16/16	SOLD 6 SHS 05/11/16 TO FOX RIVER @ 123.7101	.30	741.94	666.90-	75.04
03/30/16	ABBOTT LABS SOLD 30 SHS 03/29/16 TO ISI GROUP INC. @ 40.6845	1.50	1,219.01	1,281.90-	62.89-
05/16/16	SOLD 15 SHS 05/11/16 TO FOX RIVER @ 38.07	.75	570.28	640.95-	70.67-
11/04/15	ALPHABET INC CL C SOLD 3 SHS 10/30/15 TO BNY BROKERAGE @ 710.25	.15	1,789.29	1,922.85-	133.56-
11/04/15	ALPHABET INC CL A SOLD 3 SHS 10/30/15 TO BNY BROKERAGE @ 736.9401	.15	2,130.56	1,761.23-	369.33
11/04/15	AMGEN INC SOLD 6 SHS 10/30/15 TO BNY BROKERAGE @ 158.14	.30	2,210.63	1,797.24-	413.39
10/23/15	BIOGEN INC SOLD 7 SHS 10/20/15 TO FIN TECH @ 273.25	.35	1,912.36	2,377.51-	465.15-

SCHEDULE G - SALES AND REDEMPTIONS
FOR PERIOD 07/01/15 THRU 06/30/16

ACCOUNT NO. 62-P043-13-6

PEOPLE'S UNITED BANK AGENT FOR
THE RICH FOUNDATION

DATE	DESCRIPTION	BROKER COMMISSION	PROCEEDS	COST	REALIZED GAIN/LOSS	PAGE
05/16/16	BLACKROCK INC SOLD 3 SHS 05/11/16 TO FOX RIVER @ 354.01	.15	1,061.85	968.07-	93.78	34
11/04/15	BOEING CO SOLD 8 SHS 10/30/15 TO BNY BROKERAGE @ 148.57	.40	1,188.13	1,029.68-	158.45	
11/04/15	C V S HEALTH CORP SOLD 14 SHS 10/30/15 TO BNY BROKERAGE @ 99.08	.70	1,386.39	1,131.76-	254.63	
05/16/16	SOLD 6 SHS 05/11/16 TO FOX RIVER @ 104.2801	.30	625.36	485.04-	140.32	
		2,011.75	1,616.80-	-----	394.95	
04/01/16	CALIFORNIA RES CORP SOLD 273 SHS 04/01/16 CASH IN LIEU OF FRACTION	.00	.27	.11-	.16	
05/16/16	SOLD 6 SHS 05/11/16 TO ISI GROUP INC. @ 1.59	.30	9.24	2.42-	6.82	
		9.51	2.53-	-----	6.98	
11/04/15	CELGENE CORP SOLD 10 SHS 10/30/15 TO BNY BROKERAGE @ 122.91	.50	1,228.57	959.20-	269.37	
07/13/15	CHEMOURS CO SOLD .600 SHS 07/13/15 CASH IN LIEU OF FRACTION	.00	8.10	10.95-	2.85-	
10/02/15	SOLD 16 SHS 09/29/15 TO BNY BROKERAGE @ 6.3901	.80	101.43	289.63-	188.20-	
		109.53	30.58-	-----	191.05-	

SCHEDULE G - SALES AND REDEMPTIONS
FOR PERIOD 07/01/15 THRU 06/30/16

ACCOUNT NO. 62-P043-13-6

PEOPLE'S UNITED BANK AGENT FOR
THE RICH FOUNDATION

DATE	DESCRIPTION	BROKER COMMISSION	PROCEEDS	COST	REALIZED GAIN/LOSS
11/04/15	CHUBB CORPORATION SOLD 29 SHS 10/30/15 TO BNY BROKERAGE @ 129.89	1.45	3,765.29	2,664.81-	1,100.48
01/20/16	CASH AND STOCK MERGER AT CASH RATE \$62.93 AND STOCK RATE 0.6019 SHARES PER SHARE	.00	6,953.35	4,962.05-	1,991.30
11/04/15	----- CISCO SYSTEMS INC SOLD 35 SHS 10/30/15 TO BNY BROKERAGE @ 28.8601	----- 1.75	1,008.33	7,626.86- 875.70-	3,091.78 132.63
10/02/15	CONOCOPHILLIPS SOLD 92 SHS 09/29/15 TO BNY BROKERAGE @ 46.2101	4.60	4,246.65	7,143.95-	2,897.30-
11/04/15	COSTCO WHSL CORP NEW SOLD 11 SHS 10/30/15 TO BNY BROKERAGE @ 158.47	.55	1,742.58	1,402.39-	340.19
05/16/16	SOLD 4 SHS 05/11/16 TO FOX RIVER @ 147.3801	.20	589.30	509.96-	79.34
05/16/16	----- DANAHER CORP SOLD 9 SHS 05/11/16 TO FOX RIVER @ 97.9301	2,331.88	1,912.35-	419.53	
05/16/16	DISNEY (WALT) COMPANY HOLDING CO SOLD 8 SHS 05/11/16 TO FOX RIVER @ 102.1801	.45	880.90	775.89-	105.01
10/02/15	DOVER CORP SOLD 57 SHS 09/29/15 TO BNY BROKERAGE @ 56.3801	2.85	3,210.76	4,639.56-	1,428.80-

SCHEDULE G - SALES AND REDEMPTIONS
FOR PERIOD 07/01/15 THRU 06/30/16

ACCOUNT NO. 62-P043-13-6

PEOPLES UNITED BANK AGENT FOR
THE RICH FOUNDATION

DATE	DESCRIPTION	BROKER COMMISSION	PROCEEDS	COST	REALIZED GAIN/LOSS	PAGE
10/02/15	DUPONT E I DE NEMOURS & CO SOLD 83 SHS 09/29/15 TO BNY BROKERAGE @ 47.311	4.15	3,922.59	5,706.20-	1,783.61-	36
03/30/16	E M C CORP SOLD 272 SHS 03/29/16 TO CONVERGEX @ 26.5321	13.60	7,202.97	8,017.44-	814.47-	
05/16/16	EOG RESOURCES INC SOLD 11 SHS 05/11/16 TO FOX RIVER @ 80.6301	.55	886.36	1,129.81-	243.45-	
11/04/15	EXPRESS-SCRIPTS-HDG CO SOLD 16 SHS 10/30/15 TO BNY BROKERAGE @ 86.34	.80	1,380.61	1,164.80-	215.81	
02/12/16	SOLD 73 SHS 02/09/16 TO BNY CONVERGEX @ 67.6916	3.65	4,937.75	5,297.17-	359.42-	
05/16/16	EXXON MOBIL CORP SOLD 26 SHS 05/11/16 TO FOX RIVER @ 88.9001	1.30	2,310.04	2,498.60-	188.56-	
05/12/16	FIDELITY SMALL CAP DISCOVERY #384 SOLD 183.217 SHS 05/11/16	.00	5,000.00	5,428.72-	428.72-	
11/04/15	GENERAL MILLS INC SOLD 21 SHS 10/30/15 TO BNY BROKERAGE @ 58.32	1.05	1,223.64	1,062.18-	161.46	
05/16/16	SOLD 9 SHS 05/11/16 TO FOX RIVER @ 63.9001	.45	574.63	455.22-	119.41	
		1,798.27		1,517.40-	280.87	

SCHEDULE G - SALES AND REDEMPTIONS
FOR PERIOD 07/01/15 THRU 06/30/16

ACCOUNT NO. 62-P043-13-6

PEOPLE'S UNITED BANK AGENT FOR
THE RICH FOUNDATION

DATE	DESCRIPTION	BROKER COMMISSION	PROCEEDS	COST	REALIZED GAIN/LOSS
11/04/15	GOODYEAR TIRE & RUBR CO SOLD 41 SHS 10/30/15 TO BNY BROKERAGE @ 32.8601	2.05	1,345.18	955.70-	389.48
03/30/16	SOLD 96 SHS 03/24/16 TO ISI GROUP INC. @ 32.02	4.80	3,069.05	2,199.14-	869.91
11/04/15	HOME DEPOT INC SOLD 16 SHS 10/30/15 TO BNY BROKERAGE @ 123.7901	.80	4,414.23	3,154.84-	1,259.39
05/16/16	SOLD 10 SHS 05/11/16 TO FOX RIVER @ 134.34	.50	1,979.80	1,489.44-	490.36
05/16/16	HONEYWELL INTL INC SOLD 29 SHS 05/11/16 TO FOX RIVER @ 114.0301	1.45	3,322.67	2,420.34-	902.33
05/16/16	INTEL CORP SOLD 23 SHS 05/11/16 TO FOX RIVER @ 30.0701	1.15	3,305.34	2,784.84-	520.50
10/02/15	ISHARES GOLD TRUST SOLD 1370 SHS 09/29/15 TO BNY BROKERAGE @ 10.9	68.50	14,864.22	16,319.06-	1,454.84-
11/04/15	ISHARES U.S. HOME CONSTRUCTION ETF SOLD 38 SHS 10/30/15 TO BNY BROKERAGE @ 27.25	1.90	1,033.58	880.84-	152.74
05/16/16	SOLD 27 SHS 05/11/16 TO FOX RIVER @ 26.3801	1.35	710.89	625.86-	85.03
		1,744.47	1,506.70-		237.77

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SCHEDULE G - SALES AND REDEMPTIONS
FOR PERIOD 07/01/15 THRU 06/30/16

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PEOPLES UNITED BANK AGENT FOR
THE RICH FOUNDATION

DATE	DESCRIPTION	BROKER COMMISSION	PROCEEDS	COST	REALIZED GAIN/LOSS	PAGE
03/30/16	ITC HOLDINGS CORP SOLD 30 SHS 03/24/16 TO CONVERGEX @ 42.4901	1.50	1,273.17	1,247.70-	25.47	38
05/16/16	SOLD 17 SHS 05/11/16 TO FOX RIVER @ 44.4001	.85	753.93	695.57-	58.36	
05/16/16	JPMORGAN CHASE & CO SOLD 11 SHS 05/11/16 TO FOX RIVER	.55	680.33	676.83-	3.50	
05/16/16	KROGER CORP SOLD 17 SHS 05/11/16 TO CONVERGEX @ 34.78	5.85	4,063.32	3,404.43-	658.89	
05/16/16	L A M RESH CORP SOLD 19 SHS 05/11/16 TO FOX RIVER @ 75.84	.95	1,439.97	1,489.80-	49.83-	
11/04/15	MCDONALDS CORP SOLD 15 SHS 10/30/15 TO BNY BROKERAGE @ 112.544	75	1,687.38	1,429.35-	258.03	
03/30/16	SOLD 20 SHS 03/24/16 TO CONVERGEX @ 122.9551	1.00	2,458.05	1,905.80-	552.25	
05/16/16	SOLD 17 SHS 05/11/16 TO FOX RIVER @ 129.2801	.85	2,196.86	1,619.92-	576.94	
05/16/16	METLIFE INC SOLD 13 SHS 05/11/16 TO FOX RIVER @ 43.5201	.65	6,342.29	4,955.07-	1,387.22	
					719.55-	154.45-

SCHEDULE G - SALES AND REDEMPTIONS
FOR PERIOD 07/01/15 THRU 06/30/16

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PEOPLE'S UNITED BANK AGENT FOR
THE RICH FOUNDATION

DATE	DESCRIPTION	BROKER COMMISSION	PROCEEDS	COST	REALIZED GAIN/LOSS
11/04/15	MICROSOFT CORP SOLD 53 SHS 10/30/15 TO BNY BROKERAGE @ 52.9235	2.65	2,802.24	2,491.52-	310.72
05/16/16	SOLD 17 SHS 05/11/16 TO FOX RIVER @ 51.0701	.85	867.32	799.17-	68.15
10/02/15	MOTOROLA SOLUTIONS INC SOLD 124 SHS 09/29/15 TO BNY BROKERAGE @ 67.3201	6.20	3,669.56	3,290.69-	378.87
05/16/16	NOVARTIS ADR SOLD 11 SHS 05/11/16 TO FOX RIVER @ 75.26	.55	827.29	982.04-	154.75-
11/02/15	NUVEEN DIVIDEND VALUE I #6756 SOLD 188.089 SHS 10/30/15 @ 15.95	.00	3,000.00	3,334.82-	334.82-
04/08/16	SOLD 4170.995 SHS 04/07/16 @ 14.27	.00	59,520.10	73,378.96-	13,858.86-
05/16/16	Occidental Petroleum Corp SOLD 18 SHS 05/11/16 TO FOX RIVER @ 76.4	.90	62,520.10	76,713.78-	14,193.68-
10/02/15	OMNICOM GROUP SOLD 109 SHS 09/29/15 TO BNY BROKERAGE @ 64.7744	5.45	1,374.27	1,709.55-	335.28-
05/16/16	ORACLE CORPORATION SOLD 29 SHS 05/11/16 TO FOX RIVER @ 39.6801	1.45	7,054.83	7,530.78-	475.95-

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SCHEDULE G - SALES AND REDEMPTIONS
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PEOPLE'S UNITED BANK AGENT FOR
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DATE	DESCRIPTION	BROKER COMMISSION	PROCEEDS	COST	REALIZED GAIN/LOSS
02/10/16	OPPENHEIMER DEVELOPING MARKETS I #799 SOLD 559.076 SHS 02/09/16	.00	15,000.00	22,168.47-	7,168.47-
06/10/16	@ 26.83 SOLD 160.308 SHS 06/09/16	.00	5,000.00	6,356.53-	1,356.53-
	@ 31.19				
05/16/16	PPG INDUSTRIES INC SOLD 11 SHS 05/11/16	.55	1,185.11	1,007.38-	177.73
	TO FOX RIVER				
05/16/16	@ 107.7901 PHILLIPS 66 SOLD 14 SHS 05/11/16	.70	1,114.51	1,165.08-	50.57-
	TO FOX RIVER				
11/02/15	@ 79.6601 PRINCIPAL MIDCAP INSTL #4749 SOLD 442.283 SHS 10/30/15	.00	10,000.00	9,672.73-	327.27
05/12/16	@ 22.61 SOLD 469.043 SHS 05/11/16	.00	10,000.00	10,257.97-	257.97-
	@ 21.32				
05/16/16	PROCTER & GAMBLE CO SOLD 14 SHS 05/11/16				
	TO FOX RIVER				
01/12/16	@ 82.2201 QUALCOMM INC SOLD 114 SHS 01/07/16	70	1,150.35	1,194.62-	44.27-
	TO FIN TECH				
	@ 47.12				
03/30/16	HEALTH CARE SELECT SECTOR ETF SPDR TR SBI SOLD 53 SHS 03/24/16	5.70	5,365.88	8,660.69-	3,294.81-
	TO CONVERGEX				
	@ 67.5805				
03/30/16	2.65	3,579.04	3,792.68-	213.64-	

SCHEDULE G - SALES AND REDEEMPTIONS
FOR PERIOD 07/01/15 THRU 06/30/16

ACCOUNT NO. 62-P043-13-6

PEOPLE'S UNITED BANK AGENT FOR
THE RICH FOUNDATION

DATE	DESCRIPTION	BROKER COMMISSION	PROCEEDS	COST	REALIZED GAIN/LOSS
10/02/15	ISHARES JP MORGAN USD EMERGING MKTS BOND ETF SOLD 144 SHS 09/29/15 TO BNY BROKERAGE @ 105.48	7.20	15,181.64	16,269.10-	1,087.46-
05/10/16	ISHARES MBS ETF SOLD 165 SHS 05/05/16 TO CONVERGEX @ 109.43	8.25	18,047.30	18,118.23-	70.93-
03/30/16	POWERSHARES TRUST II ETF SENIOR LN PORT SOLD 250 SHS 03/24/16 TO CONVERGEX @ 22.5312	12.50	5,620.17	6,084.98-	464.81-
02/12/16	SPDR BARCLAYS INT-TERM CORP BOND ETF SOLD 600 SHS 02/09/16 TO BNY CONVERGEX @ 33.587	30.00	20,121.83	20,617.73-	495.90-
03/30/16	SPDR BARCLAYS HIGH YIELD BOND ETF SOLD 120 SHS 03/24/16 TO CONVERGEX @ 34.1305	6.00	4,089.57	4,808.39-	718.82-
	TOTAL FIXED INCOME CASH EQUIVALENTS	97,114.57	100,059.00-	-----	2,944.43-
06/30/16	FEDERATED INST PRIME OBLIGATIONS SALES (21) 07/01/15 TO 06/30/16	.00	198,100.75	198,100.75-	.00
	TOTAL CASH EQUIVALENTS	-----	198,100.75	198,100.75-	.00
	TOTAL SALES AND REDEMPTIONS	585,781.82	615,790.48-	-----	30,008.66-

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