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Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

## or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

**2015**

**Open to Public Inspection**

**For calendar year 2015, or tax year beginning 07-01-2015 , and ending 06-30-2016**

Name of foundation
RANAE DESANTIS FOUNDATION

**A Employer identification number**
20-7114699

Number and street (or P O box number if mail is not delivered to street address) C/O 500 LA TERRAZA BLVD SUITE 150
Room/suite

**B** Telephone number (see instructions)
(858) 263-1647

City or town, state or province, country, and ZIP or foreign postal code
ESCONDIDO, CA 92025

**C** If exemption application is pending, check here ▶ ☐

**G** Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

**D 1.** Foreign organizations, check here ▶ ☐
**2.** Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

**H** Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**E** If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

**I** Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 8,550,426

**J** Accounting method ☑ Cash ☐ Accrual
☐ Other (specify)
*(Part I, column (d) must be on cash basis.)*

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

**Part I** **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )*

| | Line | Description | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|---|
| Revenue | 1 | Contributions, gifts, grants, etc , received (attach schedule) | 261 | | | |
| | 2 | Check ▶ ☑ if the foundation is **not** required to attach Sch B | | | | |
| | 3 | Interest on savings and temporary cash investments | 40 | 40 | | |
| | 4 | Dividends and interest from securities | 227,691 | 227,691 | | |
| | 5a | Gross rents | | | | |
| | b | Net rental income or (loss) | | | | |
| | 6a | Net gain or (loss) from sale of assets not on line 10 | -48,939 | | | |
| | b | Gross sales price for all assets on line 6a 280,662 | | | | |
| | 7 | Capital gain net income (from Part IV, line 2) | | 0 | | |
| | 8 | Net short-term capital gain | | | | |
| | 9 | Income modifications | | | | |
| | 10a | Gross sales less returns and allowances | | | | |
| | b | Less Cost of goods sold | | | | |
| | c | Gross profit or (loss) (attach schedule) | | | | |
| | 11 | Other income (attach schedule) | | | | |
| | 12 | **Total.** Add lines 1 through 11 | 179,053 | 227,731 | 0 | |
| Operating and Administrative Expenses | 13 | Compensation of officers, directors, trustees, etc | 0 | 0 | 0 | 0 |
| | 14 | Other employee salaries and wages | | | | |
| | 15 | Pension plans, employee benefits | | | | |
| | 16a | Legal fees (attach schedule) | | | | |
| | b | Accounting fees (attach schedule) | 16,843 | 16,843 | 0 | 0 |
| | c | Other professional fees (attach schedule) | | | | |
| | 17 | Interest | | | | |
| | 18 | Taxes (attach schedule) (see instructions) | 2,608 | 2,608 | 0 | 0 |
| | 19 | Depreciation (attach schedule) and depletion | | | | |
| | 20 | Occupancy | | | | |
| | 21 | Travel, conferences, and meetings | | | | |
| | 22 | Printing and publications | | | | |
| | 23 | Other expenses (attach schedule) | 69,752 | 69,752 | 0 | 0 |
| | 24 | **Total operating and administrative expenses.** Add lines 13 through 23 | 89,203 | 89,203 | 0 | 0 |
| | 25 | Contributions, gifts, grants paid | 291,120 | | | 291,120 |
| | 26 | **Total expenses and disbursements.** Add lines 24 and 25 | 380,323 | 89,203 | 0 | 291,120 |
| | 27 | Subtract line 26 from line 12 | | | | |
| | a | **Excess of revenue over expenses and disbursements** | -201,270 | | | |
| | b | **Net investment income** (if negative, enter -0-) | | 138,528 | | |
| | c | **Adjusted net income** (if negative, enter -0-) | | | 0 | |

**Part II** **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )

| | | | Beginning of year | End of year | |
|---|---|---|---|---|---|
| | | | **(a)** Book Value | **(b)** Book Value | **(c)** Fair Market Value |
| Assets | 1 | Cash—non-interest-bearing | 370,786 | 362,385 | 362,385 |
| | 2 | Savings and temporary cash investments | | | |
| | 3 | Accounts receivable ▶ 20 | | | |
| | | Less allowance for doubtful accounts ▶ | | 20 | 20 |
| | 4 | Pledges receivable ▶ | | | |
| | | Less allowance for doubtful accounts ▶ | | | |
| | 5 | Grants receivable | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| | 7 | Other notes and loans receivable (attach schedule) ▶ | | | |
| | | Less allowance for doubtful accounts ▶ | | | |
| | 8 | Inventories for sale or use | | | |
| | 9 | Prepaid expenses and deferred charges | | | |
| | 10a | Investments—U S and state government obligations (attach schedule) | | | |
| | b | Investments—corporate stock (attach schedule) | | | |
| | c | Investments—corporate bonds (attach schedule) | | | |
| | 11 | Investments—land, buildings, and equipment basis ▶ | | | |
| | | Less accumulated depreciation (attach schedule) ▶ | | | |
| | 12 | Investments—mortgage loans | | | |
| | 13 | Investments—other (attach schedule) | 8,217,638 | 8,024,749 | 8,188,021 |
| | 14 | Land, buildings, and equipment basis ▶ | | | |
| | | Less accumulated depreciation (attach schedule) ▶ | | | |
| | 15 | Other assets (describe ▶ ) | | | |
| | 16 | **Total assets**(to be completed by all filers—see the instructions Also, see page 1, item I) | 8,588,424 | 8,387,154 | 8,550,426 |
| Liabilities | 17 | Accounts payable and accrued expenses | | | |
| | 18 | Grants payable | | | |
| | 19 | Deferred revenue | | | |
| | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 | Mortgages and other notes payable (attach schedule) | | | |
| | 22 | Other liabilities (describe ▶ ) | | | |
| | 23 | **Total liabilities**(add lines 17 through 22) | 0 | 0 | |
| Net Assets or Fund Balances | | **Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.** | | | |
| | 24 | Unrestricted | | | |
| | 25 | Temporarily restricted | | | |
| | 26 | Permanently restricted | | | |
| | | **Foundations that do not follow SFAS 117, check here** ▶ ☑ **and complete lines 27 through 31.** | | | |
| | 27 | Capital stock, trust principal, or current funds | 0 | 0 | |
| | 28 | Paid-in or capital surplus, or land, bldg , and equipment fund | 0 | 0 | |
| | 29 | Retained earnings, accumulated income, endowment, or other funds | 8,588,424 | 8,387,154 | |
| | 30 | **Total net assets or fund balances**(see instructions) | 8,588,424 | 8,387,154 | |
| | 31 | **Total liabilities and net assets/fund balances**(see instructions) | 8,588,424 | 8,387,154 | |

**Part III** **Analysis of Changes in Net Assets or Fund Balances**

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 8,588,424 |
| 2 | Enter amount from Part I, line 27a | 2 | -201,270 |
| 3 | Other increases not included in line 2 (itemize) ▶ | 3 | 0 |
| 4 | Add lines 1, 2, and 3 | 4 | 8,387,154 |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | 0 |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 | 6 | 8,387,154 |

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| 1 a | UBS-SEE ATTACHED | P | | |
| b | UBS-SEE ATTACHED | P | | |
| c | 1000 SH LINKEDIN | P | 2004-09-22 | 2015-11-03 |
| d | CAPITAL GAINS DIVIDENDS | P | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | | | 169,876 | -169,876 |
| b | | | 159,464 | -159,464 |
| c | 249,890 | | 261 | 249,629 |
| d | 30,772 | | | 30,772 |
| e | | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|---|
| a | | | | -169,876 |
| b | | | | -159,464 |
| c | | | | 249,629 |
| d | | | | 30,772 |
| e | | | | |

| | | | |
|---|---|---|---|
| 2 | Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } | 2 | -48,939 |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . . . . . . . . . . . . . | 3 | |

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|---|---|---|---|
| 2014 | 300,419 | 8,684,474 | 0 034593 |
| 2013 | 148,070 | 7,167,601 | 0 020658 |
| 2012 | 133,483 | 6,143,853 | 0 021726 |
| 2011 | 123,032 | 2,067,197 | 0 059516 |
| 2010 | 36,281 | 411,079 | 0 088258 |

| | | | |
|---|---|---|---|
| 2 | **Total** of line 1, column (d). . . . . . . . . . . . . . . . . . . . . . . . | 2 | 0 224751 |
| 3 | Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0 044950 |
| 4 | Enter the net value of noncharitable-use assets for 2015 from Part X, line 5. . . . . | 4 | 8,394,644 |
| 5 | Multiply line 4 by line 3. . . . . . . . . . . . . . . . . . . . . . . . | 5 | 377,339 |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b). . . . . . . . . . . | 6 | 1,385 |
| 7 | Add lines 5 and 6. . . . . . . . . . . . . . . . . . . . . . . . . | 7 | 378,724 |
| 8 | Enter qualifying distributions from Part XII, line 4. . . . . . . . . . . . . . . | 8 | 291,120 |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

| | | | |
|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1<br>Date of ruling or determination letter ____________<br>**(attach copy of letter if necessary–see instructions)** | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b | 1 | 2,771 |
| c | All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b) | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | 2 | 0 |
| 3 | Add lines 1 and 2 | 3 | 2,771 |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | 4 | 0 |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0- | 5 | 2,771 |
| 6 | Credits/Payments | | |
| a | 2015 estimated tax payments and 2014 overpayment credited to 2015 — 6a: 14,630 | | |
| b | Exempt foreign organizations—tax withheld at source — 6b | | |
| c | Tax paid with application for extension of time to file (Form 8868) — 6c | | |
| d | Backup withholding erroneously withheld — 6d | | |
| 7 | Total credits and payments Add lines 6a through 6d | 7 | 14,630 |
| 8 | Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached | 8 | |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | 9 | |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | 10 | 11,859 |
| 11 | Enter the amount of line 10 to be **Credited to 2015 estimated tax** ▶ 11,859 **Refunded** ▶ | 11 | 0 |

## Part VII-A Statements Regarding Activities

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | No |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? | 1b | | No |
| | *If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.* | | | |
| c | Did the foundation file **Form 1120-POL** for this year? | 1c | | No |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0 | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0 | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.* | 2 | | No |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | No |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | No |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? | 4b | | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.* | 5 | | No |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | Yes | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.* | 7 | Yes | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation* | 8b | Yes | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If "Yes," complete Part XIV* | 9 | | No |
| 10 | Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.* | 10 | Yes | |

**Part VII-A Statements Regarding Activities *(continued)***

| | | | | |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). | 11 | | No |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | No |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | Yes | |

Website address ▶N/A

14 The books are in care of ▶TIM WINTER STEWARD FAMILY OFFICE Telephone no ▶(858) 263-1647

Located at ▶500 LA TERRAZA BLVD SUITE 150 ESCONDIDO CA ZIP+4 ▶92025

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

| | | | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | No |
| | See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶ | | | |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

| | **File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.** | | | Yes | No |
|---|---|---|---|---|---|
| 1a | During the year did the foundation (either directly or indirectly) | | | | |
| | **(1)** Engage in the sale or exchange, or leasing of property with a disqualified person? | ☐ Yes ☑ No | | | |
| | **(2)** Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? | ☐ Yes ☑ No | | | |
| | **(3)** Furnish goods, services, or facilities to (or accept them from) a disqualified person? | ☐ Yes ☑ No | | | |
| | **(4)** Pay compensation to, or pay or reimburse the expenses of, a disqualified person? | ☐ Yes ☑ No | | | |
| | **(5)** Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? | ☐ Yes ☑ No | | | |
| | **(6)** Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). | ☐ Yes ☑ No | | | |
| b | If any answer is "Yes" to 1a(1)–(6), did **any**of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? | | 1b | | |
| | Organizations relying on a current notice regarding disaster assistance check here. ▶☐ | | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? | | 1c | | No |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | | | |
| a | At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? | ☐ Yes ☑ No | | | |
| | If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____ | | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all**years listed, answer "No" and attach statement—see instructions ) | | 2b | | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____ | | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? | ☐ Yes ☑ No | | | |
| b | If "Yes," did it have excess business holdings in 2015 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)*. | | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | | 4a | | No |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015? | | 4b | | No |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(Continued)***

| | | | | |
|---|---|---|---|---|
| **5a** | During the year did the foundation pay or incur any amount to | | | |
| | **(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ **Yes** ☑ **No** | | | |
| | **(2)** Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ **Yes** ☑ **No** | | | |
| | **(3)** Provide a grant to an individual for travel, study, or other similar purposes? ☐ **Yes** ☑ **No** | | | |
| | **(4)** Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions). ☐ **Yes** ☑ **No** | | | |
| | **(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ **Yes** ☑ **No** | | | |
| **b** | If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? | **5b** | | |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| **c** | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ **Yes** ☐ **No** | | | |
| | *If "Yes," attach the statement required by Regulations section 53.4945–5(d).* | | | |
| **6a** | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ **Yes** ☑ **No** | | | |
| **b** | Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | **6b** | | **No** |
| | *If "Yes" to 6b, file Form 8870.* | | | |
| **7a** | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ **Yes** ☑ **No** | | | |
| **b** | If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? | **7b** | | |

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

| **(a)** Name and address | **(b)** Title, and average hours per week devoted to position | **(c)** Compensation **(If not paid, enter -0-)** | **(d)** Contributions to employee benefit plans and deferred compensation | **(e)** Expense account, other allowances |
|---|---|---|---|---|
| ANN RANAE DESANTIS<br>200 FORRESTER ROAD<br>LOS GATOS, CA 95032 | TRUSTEE<br>0 00 | 0 | 0 | 0 |

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

| **(a)** Name and address of each employee paid more than $50,000 | **(b)** Title, and average hours per week devoted to position | **(c)** Compensation | **(d)** Contributions to employee benefit plans and deferred compensation | **(e)** Expense account, other allowances |
|---|---|---|---|---|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶ 0

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

| **(a)** Name and address of each person paid more than $50,000 | **(b)** Type of service | **(c)** Compensation |
|---|---|---|
| STEWARD FAMILY OFFICE<br>500 LA TERRAZA BLVD SUITE 150<br>ESCONDIDO, CA 92025 | MANAGEMENT | 20,000 |
| NOMI TAKAICHI LLP<br>2105 S BASCOM AVENUE SUITE 225<br>CAMPBELL, CA 95008 | ACCOUNTING | 16,843 |
| | | |
| | | |
| | | |
| **Total** number of others receiving over $50,000 for professional services. . . . . . . . . . . . . . . ▶ | | 0 |

**Part IX-A** **Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|---|
| 1 | |
| 2 | |
| 3 | |
| 4 | |

**Part IX-B** **Summary of Program-Related Investments** (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|---|
| 1 | |
| 2 | |
| All other program-related investments See instructions<br>3 | |
| **Total.** Add lines 1 through 3 . . . . . . . . . . . . . . . . . . . . . . . . ▶ | 0 |

## Part X Minimum Investment Return

(All domestic foundations must complete this part. Foreign foundations,see instructions.)

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes | | |
| a | Average monthly fair market value of securities | 1a | 8,056,712 |
| b | Average of monthly cash balances | 1b | 465,769 |
| c | Fair market value of all other assets (see instructions) | 1c | 0 |
| d | **Total** (add lines 1a, b, and c) | 1d | 8,522,481 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0 | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0 |
| 3 | Subtract line 2 from line 1d | 3 | 8,522,481 |
| 4 | Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 | 127,837 |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4 | 5 | 8,394,644 |
| 6 | **Minimum investment return.** Enter 5% of line 5 | 6 | 419,732 |

## Part XI Distributable Amount

(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

| | | | |
|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | 1 | 419,732 |
| 2a | Tax on investment income for 2015 from Part VI, line 5 — 2a: 2,771 | | |
| b | Income tax for 2015 (This does not include the tax from Part VI ) — 2b: | | |
| c | Add lines 2a and 2b | 2c | 2,771 |
| 3 | Distributable amount before adjustments Subtract line 2c from line 1 | 3 | 416,961 |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | 0 |
| 5 | Add lines 3 and 4 | 5 | 416,961 |
| 6 | Deduction from distributable amount (see instructions) | 6 | 0 |
| 7 | **Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1 | 7 | 416,961 |

## Part XII Qualifying Distributions (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes | | |
| a | Expenses, contributions, gifts, etc —total from Part I, column (d), line 26 | 1a | 291,120 |
| b | Program-related investments—total from Part IX-B | 1b | 0 |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | **Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 291,120 |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions) | 5 | 0 |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 291,120 |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

## Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2014 | (c) 2014 | (d) 2015 |
|---|---|---|---|---|
| 1 Distributable amount for 2015 from Part XI, line 7 | | | | 416,961 |
| 2 Undistributed income, if any, as of the end of 2015 | | | | |
| a Enter amount for 2014 only. . . . . . . | | | 290,266 | |
| b Total for prior years 20___, 20___, 20___ | | 0 | | |
| 3 Excess distributions carryover, if any, to 2015 | | | | |
| a From 2010. . . . . | | | | |
| b From 2011. . . . . . | | | | |
| c From 2012. . . . . . | | | | |
| d From 2013. . . . . . | | | | |
| e From 2014. . . . . . | | | | |
| f **Total** of lines 3a through e. . . . . . . . . | 0 | | | |
| 4 Qualifying distributions for 2015 from Part XII, line 4 ▶ $ 291,120 | | | | |
| a Applied to 2014, but not more than line 2a | | | 290,266 | |
| b Applied to undistributed income of prior years (Election required—see instructions). . . . . | | 0 | | |
| c Treated as distributions out of corpus (Election required—see instructions). . . . . | 0 | | | |
| d Applied to 2015 distributable amount. . . . . | | | | 854 |
| e Remaining amount distributed out of corpus | 0 | | | |
| 5 Excess distributions carryover applied to 2015 *(If an amount appears in column (d), the same amount must be shown in column (a).)* | 0 | | | 0 |
| 6 **Enter the net total of each column as indicated below:** | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 0 | | | |
| b Prior years' undistributed income Subtract line 4b from line 2b . . . . . . . . . . . . | | 0 | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . . . | | 0 | | |
| d Subtract line 6c from line 6b Taxable amount —see instructions . . . . . . . . . . . . | | 0 | | |
| e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions . . . . . . . . . . . . . . . | | | 0 | |
| f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015 . . . . . . . . . . . | | | | 416,107 |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . . . . | 0 | | | |
| 8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions) . . . | 0 | | | |
| 9 **Excess distributions carryover to 2016.** Subtract lines 7 and 8 from line 6a . . . . . . | 0 | | | |
| 10 Analysis of line 9 | | | | |
| a Excess from 2011. . . . | | | | |
| b Excess from 2012. . . . | | | | |
| c Excess from 2013. . . . | | | | |
| d Excess from 2014. . . . | | | | |
| e Excess from 2015. . . . | | | | |

**Part XIV** **Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling. . . . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | **(a)** 2015 | **(b)** 2014 | **(c)** 2013 | **(d)** 2012 | **(e) Total** |
| **2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| **b** 85% of line 2a | | | | | |
| **c** Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| **d** Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| **e** Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c | | | | | |
| **3** Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets | | | | | |
| **(2)** Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| **b** "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| **c** "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| **(2)** Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| **(3)** Largest amount of support from an exempt organization | | | | | |
| **(4)** Gross investment income | | | | | |

**Part XV** **Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2) )

ANN RANAE DESANTIS

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include

**c** Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| See Additional Data Table | | | | |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 291,120 |
| **b** *Approved for future payment* | | | | |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3b** | | | | 0 |

**Part XVI-A Analysis of Income-Producing Activities**

| Enter gross amounts unless otherwise indicated | Unrelated business income (a) Business code | Unrelated business income (b) Amount | Excluded by section 512, 513, or 514 (c) Exclusion code | Excluded by section 512, 513, or 514 (d) Amount | (e) Related or exempt function income (See instructions ) |
|---|---|---|---|---|---|
| 1 Program service revenue | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments. . . . | | | | | |
| 3 Interest on savings and temporary cash investments . . . . . . . . . . . . . | | | 14 | 40 | |
| 4 Dividends and interest from securities. . . | | | 14 | 227,691 | |
| 5 Net rental income or (loss) from real estate | | | | | |
| a Debt-financed property. . . . . . | | | | | |
| b Not debt-financed property. . . . . | | | | | |
| 6 Net rental income or (loss) from personal property . . . . . . . . . . . . . . | | | | | |
| 7 Other investment income. . . . . | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory . . . . . . . . . . . . . . | | | 18 | -48,939 | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory . . | | | | | |
| 11 Other revenue a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal Add columns (b), (d), and (e). . | | 0 | | 178,792 | 0 |

**13 Total.** Add line 12, columns (b), (d), and (e). . . . . . . . . . . . . . . . . . . . . 13 178,792

(See worksheet in line 13 instructions to verify calculations )

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions ) |
|---|---|
| 3 | FOUNDATION IS A NONOPERATING FOUNDATION AND HAS NO ACTIVITIES OTHER |
| 4 | THAN INVESTMENT INCOME EARNED ON VARIOUS ASSETS SUCH FUNDS ARE |
| 8 | FOR THE SOLE PURPOSE OF MAKING DONATIONS TO QUALIFYING CHARITABLE ORGANIZATIONS UNDER IRC SECTION 501(C)(3) |

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | Yes | No |
|---|---|---|---|
| **1** Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| **a** Transfers from the reporting foundation to a noncharitable exempt organization of | | | |
| **(1)** Cash. | **1a(1)** | | **No** |
| **(2)** Other assets. | **1a(2)** | | **No** |
| **b** Other transactions | | | |
| **(1)** Sales of assets to a noncharitable exempt organization. | **1b(1)** | | **No** |
| **(2)** Purchases of assets from a noncharitable exempt organization. | **1b(2)** | | **No** |
| **(3)** Rental of facilities, equipment, or other assets. | **1b(3)** | | **No** |
| **(4)** Reimbursement arrangements. | **1b(4)** | | **No** |
| **(5)** Loans or loan guarantees. | **1b(5)** | | **No** |
| **(6)** Performance of services or membership or fundraising solicitations. | **1b(6)** | | **No** |
| **c** Sharing of facilities, equipment, mailing lists, other assets, or paid employees. | **1c** | | **No** |

**d** If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

| **(a)** Line No | **(b)** Amount involved | **(c)** Name of noncharitable exempt organization | **(d)** Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | | |

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

**b** If "Yes," complete the following schedule

| **(a)** Name of organization | **(b)** Type of organization | **(c)** Description of relationship |
|---|---|---|
| | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

| Signature of officer or trustee | 2016-08-30<br>Date | Title | May the IRS discuss this return with the preparer shown below (see instr )? ☑ Yes ☐ No |
|---|---|---|---|

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's Signature | Date | Check if self-employed | PTIN |
|---|---|---|---|---|
| M SUSAN TAKAICHI CPA | | | ☑ | P00367815 |

Firm's name ▶ NOMI TAKAICHI LLP

Firm's EIN ▶ 77-0356600

Firm's address ▶ 2105 S BASCOM AVE SUITE 225 CAMPBELL, CA 95008

Phone no (408) 369-9600

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| ACTERRA 3921 E BAYSHORE RD<br>PALO ALTO, CA 94303 | NONE | PUBLIC CHARITY | GENERAL FUND | 24,000 |
| BREAKTHROUGH SILICON VALLEY<br>1635 PARK AVENUE<br>SAN JOSE, CA 95126 | NONE | PUBLIC CHARITY | GENERAL FUND | 10,000 |
| CENTER FOR SCIENCE IN THE PUBLIC INTEREST NUTRITION ACTION<br>1220 L STREET NW SUITE 300<br>WASHINGTON, DC 20005 | NONE | PUBLIC CHARITY | GENERAL FUND | 1,000 |
| CHILDREN'S MUSICAL THEATER SAN JOSE<br>1401 PARKMOOR AVENUE<br>SAN JOSE, CA 95126 | NONE | PUBLIC CHARITY | GENERAL FUND | 2,000 |
| COLORADO FEEDLOT HORSES CORP<br>18288 W 58TH PLACE APT 11<br>GOLDEN, CO 80403 | NONE | PUBLIC CHARITY | GENERAL FUND | 600 |
| COMMERCIAL FREE CHILDHOOD<br>89 SOUTH ST STE 403<br>BOSTON, MA 02111 | NONE | PUBLIC CHARITY | GENERAL FUND | 85,000 |
| ENVIRONMENTAL DEFENSE FUND<br>1875 CONNECTICUT AVE NW SUITE 600<br>WASHINGTON, DC 20009 | NONE | PUBLIC CHARITY | GENERAL FUND | 1,100 |
| GLOBALGIVING FOUNDATION INC<br><br>1110 VERMONT AVE NW SUITE 550<br>WASHINGTON, DC 20005 | NONE | PUBLIC CHARITY | GENERAL FUND | 3,315 |
| GREEN FOOTHILLS FOUNDATION<br>3921 E BAYSHORE ROAD<br>PALO ALTO, CA 94303 | NONE | PUBLIC CHARITY | GENERAL FUND | 1,016 |
| HAPPY HEN CHICKEN RESCUE<br>2375 CORBETT CANYON ROAD<br>SAN LUIS OBISPO, CA 93401 | NONE | PUBLIC CHARITY | GENERAL FUND | 1,016 |
| HILLBROOK SCHOOL<br>300 MARCHMONT DRIVE<br>LOS GATOS, CA 950325659 | NONE | SCHOOL | GENERAL FUND | 21,165 |
| HUMANE FARMING ASSOCIATION<br>76 BELVEDERE ST D<br>SAN RAFAEL, CA 94901 | NONE | PUBLIC CHARITY | GENERAL FUND | 1,000 |
| HUMANE SOCIETY OF SILICON VALLEY<br>901 AMES AVE<br>MILPITAS, CA 95035 | NONE | PUBLIC CHARITY | GENERAL FUND | 30,000 |
| IN DEFENSE OF ANIMALS<br>3010 KERNER BLVD<br>SAN RAFAEL, CA 94901 | NONE | PUBLIC CHARITY | GENERAL FUND | 1,000 |
| INTERNATIONAL PLANNED PARENTHOOD<br>125 MAIDEN LANE<br>NEW YORK, NY 10038 | NONE | PUBLIC CHARITY | GENERAL FUND | 17,500 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 291,120 |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| KQED 2601 MARIPOSA STREET<br>SAN FRANCISCO, CA 94110 | NONE | PUBLIC CHARITY | GENERAL FUND | 10,000 |
| LOS GATOS EDUCATION FOUNDATION<br>17010 ROBERTS ROAD<br>LOS GATOS, CA 95032 | NONE | PUBLIC CHARITY | GENERAL FUND | 10,000 |
| LOS GATOS HIGH SCHOOL NEW MILLENIUM FOUNDATION INC<br>20 HIGH SCHOOL COURT<br>LOS GATOS, CA 95030 | NONE | PUBLIC CHARITY | GENERAL FUND | 5,000 |
| MONTALVO ASSOCIATION<br>15400 MONTALVO ROAD<br>SARATOGA, CA 95071 | NONE | PUBLIC CHARITY | GENERAL FUND | 5,000 |
| NATIONAL CHRISTIAN FOUNDATION<br>11625 RAINWATER DRIVE SUITE 500<br>AIPHARETTA, GA 30009 | NONE | PUBLIC CHARITY | GENERAL FUND | 6,000 |
| PENINSULA OPEN SPACE TRUST<br>222 HIGH STREET<br>PALO ALTO, CA 94301 | NONE | PUBLIC CHARITY | GENERAL FUND | 5,000 |
| POPULATION CONNECTION<br>2120 L STREET NORTHWEST 500<br>WASHINGTON, DC 20037 | NONE | PUBLIC CHARITY | GENRAL FUND | 10,000 |
| SILICON VALLEY SOCIAL VENTURES<br>PO BOX 1792<br>LOS ALTOS, CA 94023 | NONE | PUBLIC CHARITY | GENERAL FUND | 30,000 |
| THEATREWORKS<br>350 TWIN DOLPHIN DRIVE SUITE 127<br>REDWOOD CITY, CA 94065 | NONE | PUBLIC CHARITY | GENERAL FUND | 10,000 |
| TREES FOR THE FUTURE<br>1400 SPRING STREET SUITE 150<br>SILVER SPRING, MD 20910 | NONE | PUBLIC CHARITY | GENERAL FUND | 408 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 291,120 |

# TY 2015 Accounting Fees Schedule

**Name:** RANAE DESANTIS FOUNDATION

**EIN:** 20-7114699

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| ACCOUNTING FEES | 16,843 | 16,843 | 0 | 0 |

# TY 2015 Investments - Other Schedule

**Name:** RANAE DESANTIS FOUNDATION

**EIN:** 20-7114699

| Category/ Item | Listed at Cost or FMV | Book Value | End of Year Fair Market Value |
|---|---|---|---|
| MUTUAL FUNDS/SECURITIES | AT COST | 8,011,817 | 8,175,089 |
| UNSETTLED TRADES | AT COST | 12,932 | 12,932 |

# TY 2015 Other Expenses Schedule

**Name:** RANAE DESANTIS FOUNDATION

**EIN:** 20-7114699

| Description | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| BROKERAGE FEES | 49,002 | 49,002 | 0 | 0 |
| MISCELLANEOUS FEES | 750 | 750 | 0 | 0 |
| MANAGEMENT FEES | 20,000 | 20,000 | 0 | 0 |

# TY 2015 Substantial Contributors Schedule

**Name:** RANAE DESANTIS FOUNDATION

**EIN:** 20-7114699

| Name | Address |
|---|---|
| ANN RANAE DESANTIS | 200 FORRESTER ROAD<br>LOS GATOS,CA 95032 |

# TY 2015 Taxes Schedule

**Name:** RANAE DESANTIS FOUNDATION

**EIN:** 20-7114699

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| FOREIGN TAX | 2,608 | 2,608 | 0 | 0 |

| efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491245007026 |
|---|---|---|

**Schedule B**
**(Form 990, 990-EZ, or 990-PF)**
Department of the Treasury
Internal Revenue Service

# Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

**2015**

| Name of the organization | Employer identification number |
|---|---|
| RANAE DESANTIS FOUNDATION | 20-7114699 |

**Organization type** (check one)

| Filers of: | Section: |
|---|---|
| Form 990 or 990-EZ | ☐ 501(c)( ) (enter number) organization |
| | ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation |
| | ☐ 527 political organization |
| Form 990-PF | ☑ 501(c)(3) exempt private foundation |
| | ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation |
| | ☐ 501(c)(3) taxable private foundation |

Check if your organization is covered by the **General Rule** or a **Special Rule.**
**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

**General Rule**

☐ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

**Special Rules**

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . . . . . . . . . ▶ $ ____________

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

**Name of organization**
RANAE DESANTIS FOUNDATION

**Employer identification number**
20-7114699

**Part I** Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
|---|---|---|---|
| 1 | ANN RANAE DESANTIS<br>200 FORRESTER ROAD<br>LOS GATOS, CA 95032 | $ 261 | Person ☐<br>Payroll ☐<br>Noncash ☑<br>(Complete Part II for noncash contributions ) |
| | | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II for noncash contributions ) |
| | | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II for noncash contributions ) |
| | | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II for noncash contributions ) |
| | | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II for noncash contributions ) |
| | | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II for noncash contributions ) |

**Name of organization**
RANAE DESANTIS FOUNDATION

**Employer identification number**
20-7114699

**Part II** Noncash Property
(see instructions) Use duplicate copies of Part II if additional space is needed

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| 1 | 1,000 SHARES LINKEDIN CORPORATION | $ 244,500 | 2015-11-03 |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

**Name of organization**
RANAE DESANTIS FOUNDATION

**Employer identification number**
20-7114699

**Part III** *Exclusively* **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of** *exclusively* **religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once. See instructions.) ▶ $ ____________**
Use duplicate copies of Part III if additional space is needed

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| - | | | |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP 4 | Relationship of transferor to transferee | |
| | | | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| - | | | |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP 4 | Relationship of transferor to transferee | |
| | | | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| - | | | |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP 4 | Relationship of transferor to transferee | |
| | | | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| - | | | |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP 4 | Relationship of transferor to transferee | |
| | | | |

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| YX XX289 Main | YX XX290 Trillium SRI | YX XX291 Equity-Atlanta | YX XX292 Taxable FI | YX XX293 Estabrook SRI | | | |
| YX XX294 Equity-MFS | YX XX295 Equity-Rothchld | YX XX296 NB SRI | YX XX297 Equity-Cambiar | YX XX298 Equity-Funds | | | |
| YX XX299 Alt Inv-Main | YX XX300 UBS SRI | YX XX301 NA SRI | YX XX887 Equity-Non-Fee | YX XX888 Equity-APEX | | | |
| YX XX176 Yield Oriented | | | | | | | |

# Realized gain/loss

from 07/01/2015 to 06/30/2016

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| 3M CO | 88579Y101 | MMM | 12 00 | 01/15/2015 | 1,926 83 | 01/07/2016 | 1,712 30 | -214 53 | -11 13% | S |
| 3M CO | 88579Y101 | MMM | 12 00 | 01/15/2015 | 1,926 83 | 01/12/2016 | 1,681 76 | -245 07 | -12 72% | S |
| 3M CO | 88579Y101 | MMM | 24 00 | 01/15/2015 | 3,853 67 | 01/22/2016 | 3,350 86 | -502 81 | -13 05% | L |
| AAON INC NEW | 000360206 | AAON | 12 00 | 09/24/2012 | 106 83 | 04/14/2016 | 328 92 | 222 09 | 207 89% | L |
| ABBVIE INC COM | 00287Y109 | ABBV | 74 00 | 04/15/2015 | 4,617 27 | 08/25/2015 | 4,511 70 | -105 57 | -2 29% | S |
| ABERDEEN ASSET MGMT PLC UNSPONSORED ADR | 00300A104 | ABDNY | 119 00 | 02/03/2014 | 1,511.30 | 09/09/2015 | 1,182 62 | -328.68 | -21 75% | L |
| ABERDEEN ASSET MGMT PLC UNSPONSORED ADR | 00300A104 | ABDNY | 81 00 | 02/18/2014 | 1,093 50 | 09/09/2015 | 804 98 | -288 52 | -26 39% | L |
| ABERDEEN ASSET MGMT PLC UNSPONSORED ADR | 00300A104 | ABDNY | 66 00 | 04/06/2015 | 926 31 | 09/09/2015 | 655 91 | -270 40 | -29 19% | S |
| ACCENTURE PLC IRELAND CL A | G1151C101 | ACN | 28 00 | 05/31/2012 | 1,591 67 | 08/19/2015 | 2,885 24 | 1,293 57 | 81 27% | L |
| ACCENTURE PLC IRELAND CL A | G1151C101 | ACN | 19 00 | 05/31/2012 | 1,080 06 | 10/28/2015 | 2,071 18 | 991 12 | 91 77% | L |
| ACCENTURE PLC IRELAND CL A | G1151C101 | ACN | 6 00 | 09/24/2012 | 393 09 | 10/28/2015 | 654 06 | 260 97 | 66 39% | L |
| ACCENTURE PLC IRELAND CL A | G1151C101 | ACN | 22 00 | 09/24/2012 | 1,441.33 | 02/03/2016 | 2,234 02 | 792.69 | 55 00% | L |
| ACCOR SA SPON ADR | 00435F309 | ACCYY | 114 00 | 11/24/2015 | 948 46 | 01/27/2016 | 841 16 | -107 30 | -11 31% | S |
| ACCOR SA SPON ADR | 00435F309 | ACCYY | 129 00 | 11/24/2015 | 1,073 26 | 01/29/2016 | 965 71 | -107 55 | -10 02% | S |
| ACORDA THERAPEUTICS INC | 00484M106 | ACOR | 61 00 | 03/21/2013 | 1,853 81 | 08/26/2015 | 1,929 34 | 75 53 | 4 07% | L |
| ACORDA THERAPEUTICS INC | 00484M106 | ACOR | 18 00 | 03/21/2013 | 547 02 | 08/27/2015 | 565 34 | 18 32 | 3 35% | L |
| ACORDA THERAPEUTICS INC | 00484M106 | ACOR | 9 00 | 06/07/2013 | 308 70 | 08/27/2015 | 282 67 | -26 03 | -8 43% | L |
| ACORDA THERAPEUTICS INC | 00484M106 | ACOR | 7 00 | 11/07/2013 | 227.23 | 08/27/2015 | 219 86 | -7.37 | -3 24% | L |
| ACORDA THERAPEUTICS INC | 00484M106 | ACOR | 5 00 | 02/18/2014 | 172 79 | 08/27/2015 | 157 04 | -15 75 | -9 12% | L |
| ACORDA THERAPEUTICS INC | 00484M106 | ACOR | 21 00 | 02/18/2014 | 725 70 | 08/28/2015 | 675 12 | -50 58 | -6 97% | L |
| ACORDA THERAPEUTICS INC | 00484M106 | ACOR | 57 00 | 02/18/2014 | 1,969 76 | 09/30/2015 | 1,504 09 | -465 67 | -23 64% | L |
| ACORDA THERAPEUTICS INC | 00484M106 | ACOR | 8 00 | 02/18/2014 | 276 46 | 10/01/2015 | 213 15 | -63 31 | -22 90% | L |
| ACORDA THERAPEUTICS INC | 00484M106 | ACOR | 70 00 | 04/06/2015 | 2,314 90 | 10/01/2015 | 1,865 04 | -449 86 | -19 43% | S |
| ACORDA THERAPEUTICS INC | 00484M106 | ACOR | 8 00 | 04/29/2015 | 252.25 | 10/01/2015 | 213 15 | -39.10 | -15 50% | S |
| ACORDA THERAPEUTICS INC | 00484M106 | ACOR | 7 00 | 04/29/2015 | 220 72 | 10/02/2015 | 194 78 | -25 94 | -11 75% | S |
| ACORDA THERAPEUTICS INC | 00484M106 | ACOR | 2 00 | 04/30/2015 | 62 67 | 10/02/2015 | 55 65 | -7 02 | -11 20% | S |

# Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| ACUITY BRANDS INC | 00508Y102 | AYI | 10 00 | 05/21/2015 | 1,825 96 | 05/24/2016 | 2,558 22 | 732 26 | 40 10% | L |
| ADIDAS AG SPON ADR | 00687A107 | ADDYY | 24 00 | 11/24/2015 | 1,121 31 | 12/04/2015 | 1,187 52 | 66.21 | 5 90% | S |
| ADIDAS AG SPON ADR | 00687A107 | ADDYY | 9 00 | 11/24/2015 | 420 50 | 05/26/2016 | 581 14 | 160 64 | 38 20% | S |
| ADOBE SYSTEMS INC (DELAWARE) | 00724F101 | ADBE | 36.00 | 03/18/2015 | 2,739 89 | 01/12/2016 | 3,220 51 | 480 62 | 17 54% | S |
| ADOBE SYSTEMS INC (DELAWARE) | 00724F101 | ADBE | 40 00 | 03/18/2015 | 3,044 33 | 01/13/2016 | 3,614 87 | 570 54 | 18 74% | S |
| ADOBE SYSTEMS INC (DELAWARE) | 00724F101 | ADBE | 3 00 | 04/06/2015 | 228 90 | 01/13/2016 | 271 11 | 42 21 | 18 44% | S |
| ADOBE SYSTEMS INC (DELAWARE) | 00724F101 | ADBE | 28 00 | 04/06/2015 | 2,136 38 | 01/19/2016 | 2,518 01 | 381 63 | 17 86% | S |
| ADOBE SYSTEMS INC (DELAWARE) | 00724F101 | ADBE | 59 00 | 04/16/2015 | 4,446 84 | 01/19/2016 | 5,305 81 | 858.97 | 19 32% | S |
| ADOBE SYSTEMS INC (DELAWARE) | 00724F101 | ADBE | 31 00 | 07/21/2014 | 2,226 30 | 02/22/2016 | 2,600 39 | 374 09 | 16 80% | L |
| ADVENT SOFTWARE INC **MERGER EFF 07/2015** | 007974108 | | 2.00 | 09/25/2014 | 61 86 | 07/09/2015 | 88 50 | 26 64 | 43 06% | S |
| ADVENT SOFTWARE INC **MERGER EFF 07/2015** | 007974108 | | 33 00 | 10/07/2014 | 1,063 12 | 07/09/2015 | 1,460 25 | 397 13 | 37 36% | S |
| AEGON NV ADR N Y SHS NETHERLANDS ADR | 007924103 | AEG | 60 00 | 03/18/2015 | 488 09 | 11/03/2015 | 377 40 | -110 69 | -22 68% | S |
| AEGON NV ADR N Y SHS NETHERLANDS ADR | 007924103 | AEG | 116 00 | 03/18/2015 | 935 66 | 11/03/2015 | 729 63 | -206 03 | -22 02% | S |
| AEGON NV ADR N Y SHS NETHERLANDS ADR | 007924103 | AEG | 41 00 | 03/19/2015 | 330 44 | 11/03/2015 | 257 89 | -72.55 | -21 96% | S |
| AETNA INC | 00817Y108 | AET | 20 00 | 04/07/2015 | 2,139 71 | 11/16/2015 | 2,087 45 | -52 26 | -2 44% | S |
| AETNA INC | 00817Y108 | AET | 29.00 | 04/07/2015 | 3,102 57 | 12/18/2015 | 3,156 94 | 54 37 | 1 75% | S |
| ALBEMARLE CORP | 012653101 | ALB | 92 00 | 10/20/2015 | 4,862 59 | 03/14/2016 | 5,501 52 | 638 93 | 13 14% | S |
| ALCOA INC | 013817101 | AA | 309 00 | 01/15/2015 | 4,658 73 | 11/12/2015 | 2,426 17 | -2,232 56 | -47 92% | S |
| ALLSTATE CORP | 020002101 | ALL | 107 00 | 02/14/2014 | 5,648 53 | 09/29/2015 | 6,179 14 | 530 61 | 9 39% | L |
| ALLSTATE CORP | 020002101 | ALL | 51 00 | 02/14/2014 | 2,692 29 | 10/05/2015 | 3,056 02 | 363.73 | 13 51% | L |
| ALLSTATE CORP | 020002101 | ALL | 71 00 | 04/06/2015 | 5,080 45 | 10/05/2015 | 4,254 46 | -825 99 | -16 26% | S |
| ALLSTATE CORP | 020002101 | ALL | 30.00 | 03/25/2015 | 2,135 68 | 03/10/2016 | 1,925 94 | -209 74 | -9 82% | S |
| ALNYLAM PHARMACEUTICALS INC | 02043Q107 | ALNY | 1 00 | 03/21/2013 | 23 67 | 01/08/2016 | 85 21 | 61 54 | 259 99% | L |
| ALNYLAM PHARMACEUTICALS INC | 02043Q107 | ALNY | 34 00 | 02/18/2014 | 2,767 94 | 01/08/2016 | 2,897 06 | 129 12 | 4 66% | L |
| AMAG PHARMACEUTICALS INC | 00163U106 | AMAG | 9 00 | 05/20/2015 | 605 18 | 05/12/2016 | 166 48 | -438 70 | -72 49% | S |
| AMAZON COM INC | 023135106 | AMZN | 3 00 | 03/21/2013 | 760 26 | 09/09/2015 | 1,567 96 | 807.70 | 106 24% | L |

## Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| AMAZON COM INC | 023135106 | AMZN | 11 00 | 02/18/2014 | 3,883 44 | 09/09/2015 | 5,749 17 | 1,865 73 | 48 04% | L |
| AMER EXPRESS CO | 025816109 | AXP | 113 00 | 02/14/2014 | 10,011 14 | 07/09/2015 | 8,688 85 | -1,322.29 | -13 21% | L |
| AMER EXPRESS CO | 025816109 | AXP | 18 00 | 02/14/2014 | 1,594 70 | 07/24/2015 | 1,372 19 | -222 51 | -13 95% | L |
| AMER EXPRESS CO | 025816109 | AXP | 58.00 | 04/06/2015 | 4,599 40 | 07/24/2015 | 4,421 52 | -177 88 | -3 87% | S |
| AMER EXPRESS CO | 025816109 | AXP | 42 00 | 04/22/2014 | 3,660 33 | 08/21/2015 | 3,254 54 | -405 79 | -11 09% | L |
| AMER EXPRESS CO | 025816109 | AXP | 15 00 | 04/10/2015 | 1,193 10 | 08/21/2015 | 1,162 34 | -30 76 | -2 58% | S |
| AMER EXPRESS CO | 025816109 | AXP | 29 00 | 02/18/2015 | 2,305 57 | 10/29/2015 | 2,159 91 | -145 66 | -6 32% | S |
| AMER EXPRESS CO | 025816109 | AXP | 50 00 | 02/18/2015 | 3,975 12 | 12/04/2015 | 3,547 72 | -427.40 | -10 75% | S |
| AMER EXPRESS CO | 025816109 | AXP | 63 00 | 01/14/2015 | 5,481 72 | 01/08/2016 | 4,029 21 | -1,452 51 | -26 50% | S |
| AMER EXPRESS CO | 025816109 | AXP | 11.00 | 04/06/2015 | 876 15 | 01/08/2016 | 703 51 | -172 64 | -19 70% | S |
| AMER EXPRESS CO | 025816109 | AXP | 160 00 | 02/21/2014 | 14,202 51 | 01/22/2016 | 8,974 15 | -5,228 36 | -36 81% | L |
| AMER EXPRESS CO | 025816109 | AXP | 9 00 | 04/06/2015 | 716 85 | 02/02/2016 | 485 50 | -231 35 | -32 27% | S |
| AMER EXPRESS CO | 025816109 | AXP | 42 00 | 04/29/2015 | 3,242 72 | 02/02/2016 | 2,265 66 | -977 06 | -30 13% | S |
| AMER EXPRESS CO | 025816109 | AXP | 4 00 | 04/30/2015 | 309 50 | 02/02/2016 | 215 78 | -93.72 | -30 28% | S |
| AMER EXPRESS CO | 025816109 | AXP | 23 00 | 07/29/2015 | 1,739 01 | 02/02/2016 | 1,240 72 | -498 29 | -28 65% | S |
| AMERICA MOVIL S.A B DE C V SER L SPON ADR | 02364W105 | AMX | 12.00 | 12/30/2014 | 265 19 | 11/03/2015 | 211 25 | -53 94 | -20 34% | S |
| AMERICA MOVIL S A B DE C V SER L SPON ADR | 02364W105 | AMX | 82 00 | 01/02/2015 | 1,786 60 | 11/03/2015 | 1,443 58 | -343 02 | -19 20% | S |
| AMERICA MOVIL S A B DE C V SER L SPON ADR | 02364W105 | AMX | 86 00 | 01/02/2015 | 1,873 75 | 11/24/2015 | 1,464 20 | -409 55 | -21 86% | S |
| AMERICA MOVIL S A B DE C V SER L SPON ADR | 02364W105 | AMX | 17 00 | 01/05/2015 | 363 58 | 11/24/2015 | 289 43 | -74 15 | -20 39% | S |
| AMERICA MOVIL S A B DE C V SER L SPON ADR | 02364W105 | AMX | 11 00 | 01/06/2015 | 236 44 | 11/24/2015 | 187 28 | -49.16 | -20 79% | S |
| AMERICAN FINANCIAL GROUP INC (HOLDING CO) | 025932104 | AFG | 21 00 | 02/11/2014 | 1,167 32 | 03/02/2016 | 1,425 30 | 257 98 | 22 10% | L |
| AMERICAN FINANCIAL GROUP INC (HOLDING CO) | 025932104 | AFG | 24.00 | 02/11/2014 | 1,334 07 | 04/04/2016 | 1,690 76 | 356 69 | 26 74% | L |
| AMERICAN FINANCIAL GROUP INC (HOLDING CO) | 025932104 | AFG | 30 00 | 02/18/2014 | 1,695 11 | 04/04/2016 | 2,113 46 | 418 35 | 24 68% | L |
| AMERICAN FINANCIAL GROUP INC (HOLDING CO) | 025932104 | AFG | 44 00 | 04/06/2015 | 2,850 76 | 04/04/2016 | 3,099 73 | 248 97 | 8 73% | S |
| AMERICAN FINANCIAL GROUP INC (HOLDING CO) | 025932104 | AFG | 10 00 | 07/07/2015 | 663 58 | 04/04/2016 | 704 48 | 40 90 | 6 16% | S |
| AMERICAN INTL GROUP INC COM NEW | 026874784 | AIG | 54 00 | 01/15/2015 | 2,740 01 | 08/12/2015 | 3,392 95 | 652.94 | 23 83% | S |

# Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| AMERICAN INTL GROUP INC COM NEW | 026874784 | AIG | 53 00 | 01/15/2015 | 2,689 27 | 08/21/2015 | 3,233 84 | 544 57 | 20 25% | S |
| AMGEN INC | 031162100 | AMGN | 12 00 | 01/15/2015 | 1,831 32 | 03/16/2016 | 1,715 11 | -116.21 | -6 35% | L |
| AMTRUST FINANCIAL SERVICES INC | 032359309 | AFSI | 75 00 | 02/11/2014 | 2,287 74 | 10/15/2015 | 4,960 06 | 2,672 32 | 116 81% | L |
| AMTRUST FINANCIAL SERVICES INC | 032359309 | AFSI | 44.00 | 02/18/2014 | 1,486 76 | 10/15/2015 | 2,909 90 | 1,423 14 | 95 72% | L |
| AMTRUST FINANCIAL SERVICES INC | 032359309 | AFSI | 33 00 | 04/06/2015 | 1,917 29 | 10/15/2015 | 2,182 43 | 265 14 | 13 83% | S |
| ANACOR PHARMACEUTICALS INC **MERGER EFF 06/2016** | 032420101 | | 6 00 | 12/15/2015 | 677 87 | 06/29/2016 | 595 50 | -82.37 | -12 15% | S |
| ANACOR PHARMACEUTICALS INC **MERGER EFF 06/2016** | 032420101 | | 2 00 | 05/10/2016 | 137 18 | 06/29/2016 | 198 50 | 61 32 | 44 70% | S |
| ANHUI CONCH CEM CO LTD ADR | 035243104 | AHCHY | 11 00 | 05/09/2014 | 196 84 | 11/03/2015 | 167 20 | -29 64 | -15 06% | L |
| ANHUI CONCH CEM CO LTD ADR | 035243104 | AHCHY | 48 00 | 05/12/2014 | 846 96 | 11/03/2015 | 729 58 | -117 38 | -13 86% | L |
| ANHUI CONCH CEM CO LTD ADR | 035243104 | AHCHY | 40 00 | 05/13/2014 | 729 84 | 11/03/2015 | 607 99 | -121 85 | -16 70% | L |
| ANHUI CONCH CEM CO LTD ADR | 035243104 | AHCHY | 6 00 | 05/13/2014 | 109 48 | 11/24/2015 | 84 43 | -25 05 | -22 88% | L |
| ANHUI CONCH CEM CO LTD ADR | 035243104 | AHCHY | 23 00 | 05/14/2014 | 423 73 | 11/24/2015 | 323 63 | -100 10 | -23 62% | L |
| ANHUI CONCH CEM CO LTD ADR | 035243104 | AHCHY | 7 00 | 05/15/2014 | 130 07 | 11/24/2015 | 98 50 | -31 57 | -24 27% | L |
| ANHUI CONCH CEM CO LTD ADR | 035243104 | AHCHY | 37 00 | 05/16/2014 | 681 78 | 11/24/2015 | 520 63 | -161 15 | -23 64% | L |
| ANHUI CONCH CEM CO LTD ADR | 035243104 | AHCHY | 13 00 | 05/19/2014 | 232 94 | 11/24/2015 | 182 93 | -50 01 | -21 47% | L |
| ANHUI CONCH CEM CO LTD ADR | 035243104 | AHCHY | 46 00 | 05/21/2014 | 793 59 | 11/24/2015 | 647 27 | -146 32 | -18 44% | L |
| APPLE INC | 037833100 | AAPL | 35 00 | 03/21/2013 | 2,278 28 | 11/20/2015 | 4,179 01 | 1,900 73 | 83 43% | L |
| APPLE INC | 037833100 | AAPL | 13 00 | 02/19/2014 | 1,001 71 | 11/20/2015 | 1,552 21 | 550 50 | 54 96% | L |
| APPLE INC | 037833100 | AAPL | 22 00 | 03/21/2013 | 1,425 54 | 12/18/2015 | 2,356 39 | 930 85 | 65 30% | L |
| APPLE INC | 037833100 | AAPL | 7 00 | 06/19/2013 | 427 84 | 12/18/2015 | 749 77 | 321 93 | 75 25% | L |
| APPLE INC | 037833100 | AAPL | 7 00 | 01/28/2014 | 506 93 | 12/18/2015 | 749 76 | 242 83 | 47 90% | L |
| APPLE INC | 037833100 | AAPL | 42 00 | 02/18/2014 | 3,284 82 | 12/18/2015 | 4,498 57 | 1,213 75 | 36 95% | L |
| APPLE INC | 037833100 | AAPL | 27 00 | 04/06/2015 | 3,432 24 | 12/18/2015 | 2,891 94 | -540 30 | -15 74% | S |
| APPLE INC | 037833100 | AAPL | 17 00 | 07/29/2015 | 2,088 20 | 12/18/2015 | 1,820 85 | -267 35 | -12 80% | S |
| APPLE INC | 037833100 | AAPL | 42 00 | 02/19/2014 | 3,236 28 | 02/22/2016 | 4,048 57 | 812 29 | 25 10% | L |
| APPLE INC | 037833100 | AAPL | 15 00 | 02/19/2014 | 1,155 82 | 05/23/2016 | 1,452 45 | 296 63 | 25 66% | L |

YX XX289 Main
YX XX290 Trillium SRI
YX XX291 Equity-Atlanta
YX XX292 Taxable FI
YX XX293 Estabrook SRI
YX XX294 Equity-MFS
YX XX295 Equity-Rothchld
YX XX296 NB SRI
YX XX297 Equity-Cambiar
YX XX298 Equity-Funds
YX XX299 Alt Inv-Main
YX XX300 UBS SRI
YX XX301 NA SRI
YX XX887 Equity-Non-Fee
YX XX888 Equity-APEX
YX XX176 Yield Oriented

# Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| APPLE INC | 037833100 | AAPL | 33 00 | 04/10/2015 | 4,185 72 | 05/23/2016 | 3,195 39 | -990 33 | -23 66% | L |
| APPLIED MATERIALS INC | 038222105 | AMAT | 84 00 | 02/03/2014 | 1,406 64 | 07/29/2015 | 1,467 76 | 61.12 | 4 35% | L |
| APPLIED MATERIALS INC | 038222105 | AMAT | 150 00 | 02/18/2014 | 2,838 00 | 07/29/2015 | 2,620 99 | -217 01 | -7 65% | L |
| APPLIED MATERIALS INC | 038222105 | AMAT | 139.00 | 04/06/2015 | 3,071 32 | 07/29/2015 | 2,428 78 | -642 54 | -20 92% | S |
| APPLIED MATERIALS INC | 038222105 | AMAT | 102 00 | 04/29/2015 | 2,034 74 | 07/29/2015 | 1,782 28 | -252 46 | -12 41% | S |
| APPLIED MATERIALS INC | 038222105 | AMAT | 10 00 | 04/30/2015 | 198 51 | 07/29/2015 | 174 73 | -23 78 | -11 98% | S |
| ARCHER DANIELS MIDLAND CO | 039483102 | ADM | 110 00 | 09/21/2015 | 4,777 20 | 02/19/2016 | 3,564 76 | -1,212 44 | -25 38% | S |
| ARROW ELECTRONICS INC | 042735100 | ARW | 62 00 | 02/11/2014 | 3,320 09 | 11/24/2015 | 3,453 06 | 132.97 | 4 01% | L |
| ARROW ELECTRONICS INC | 042735100 | ARW | 40 00 | 02/18/2014 | 2,245 60 | 11/24/2015 | 2,227 78 | -17 82 | -0 79% | L |
| ARROW ELECTRONICS INC | 042735100 | ARW | 50.00 | 04/06/2015 | 3,041 50 | 11/24/2015 | 2,784 73 | -256 77 | -8 44% | S |
| ATARA BIOTHERAPEUTICS INC | 046513107 | ATRA | 19 00 | 07/29/2015 | 1,127 30 | 12/16/2015 | 432 99 | -694 31 | -61 59% | S |
| ATARA BIOTHERAPEUTICS INC | 046513107 | ATRA | 11 00 | 07/29/2015 | 652 65 | 12/17/2015 | 252 83 | -399 82 | -61 26% | S |
| ATARA BIOTHERAPEUTICS INC | 046513107 | ATRA | 33 00 | 07/29/2015 | 1,957 94 | 12/18/2015 | 797 25 | -1,160 69 | -59 28% | S |
| ATARA BIOTHERAPEUTICS INC | 046513107 | ATRA | 4 00 | 07/29/2015 | 237 33 | 12/21/2015 | 96 07 | -141.26 | -59 52% | S |
| ATARA BIOTHERAPEUTICS INC | 046513107 | ATRA | 1 00 | 09/30/2015 | 32 28 | 12/21/2015 | 24 02 | -8 26 | -25 59% | S |
| ATARA BIOTHERAPEUTICS INC | 046513107 | ATRA | 1.00 | 09/30/2015 | 32 28 | 12/22/2015 | 23 76 | -8 52 | -26 39% | S |
| ATARA BIOTHERAPEUTICS INC | 046513107 | ATRA | 13 00 | 09/30/2015 | 419 62 | 12/29/2015 | 315 89 | -103 73 | -24 72% | S |
| ATARA BIOTHERAPEUTICS INC | 046513107 | ATRA | 15 00 | 10/01/2015 | 447 81 | 12/29/2015 | 364 48 | -83 33 | -18 61% | S |
| ATOS ORIGIN SA ADR | 04962A105 | AEXAY | 48 00 | 06/22/2015 | 740 01 | 11/03/2015 | 773 93 | 33 92 | 4 58% | S |
| ATOS ORIGIN SA ADR | 04962A105 | AEXAY | 16 00 | 06/23/2015 | 251 18 | 11/03/2015 | 257 98 | 6.80 | 2 71% | S |
| ATOS ORIGIN SA ADR | 04962A105 | AEXAY | 14 00 | 06/24/2015 | 220 32 | 11/03/2015 | 225 73 | 5 41 | 2 46% | S |
| ATOS ORIGIN SA ADR | 04962A105 | AEXAY | 19.00 | 06/25/2015 | 294 42 | 11/03/2015 | 306 35 | 11 93 | 4 05% | S |
| ATOS ORIGIN SA ADR | 04962A105 | AEXAY | 12 00 | 06/26/2015 | 186 93 | 11/03/2015 | 193 48 | 6 55 | 3 50% | S |
| ATOS ORIGIN SA ADR | 04962A105 | AEXAY | 16 00 | 06/29/2015 | 245 43 | 11/03/2015 | 257 98 | 12 55 | 5 11% | S |
| AU OPTRONICS CORP ADS SPON ADR | 002255107 | AUO | 51 00 | 01/30/2015 | 285 11 | 09/30/2015 | 151 40 | -133 71 | -46 90% | S |
| AU OPTRONICS CORP ADS SPON ADR | 002255107 | AUO | 21 00 | 02/02/2015 | 113 35 | 09/30/2015 | 62 34 | -51.01 | -45 00% | S |

# Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| AU OPTRONICS CORP ADS SPON ADR | 002255107 | AUO | 93 00 | 02/02/2015 | 502 00 | 10/02/2015 | 277 97 | -224 03 | -44 63% | S |
| AU OPTRONICS CORP ADS SPON ADR | 002255107 | AUO | 26 00 | 02/02/2015 | 140 34 | 10/06/2015 | 78 91 | -61.43 | -43 77% | S |
| AU OPTRONICS CORP ADS SPON ADR | 002255107 | AUO | 34 00 | 02/02/2015 | 183 53 | 10/08/2015 | 104 45 | -79 08 | -43 09% | S |
| AU OPTRONICS CORP ADS SPON ADR | 002255107 | AUO | 15.00 | 02/03/2015 | 82 36 | 10/08/2015 | 46 08 | -36 28 | -44 05% | S |
| AU OPTRONICS CORP ADS SPON ADR | 002255107 | AUO | 50 00 | 02/03/2015 | 274 54 | 10/21/2015 | 149 60 | -124 94 | -45 51% | S |
| AU OPTRONICS CORP ADS SPON ADR | 002255107 | AUO | 20 00 | 02/03/2015 | 109 81 | 10/22/2015 | 59 62 | -50 19 | -45 71% | S |
| AU OPTRONICS CORP ADS SPON ADR | 002255107 | AUO | 87 00 | 02/03/2015 | 477 69 | 10/23/2015 | 259 71 | -217 98 | -45 63% | S |
| AU OPTRONICS CORP ADS SPON ADR | 002255107 | AUO | 23 00 | 02/04/2015 | 126 55 | 10/23/2015 | 68 66 | -57.89 | -45 74% | S |
| AU OPTRONICS CORP ADS SPON ADR | 002255107 | AUO | 14 00 | 02/04/2015 | 77 04 | 10/28/2015 | 41 92 | -35 12 | -45 59% | S |
| AU OPTRONICS CORP ADS SPON ADR | 002255107 | AUO | 23.00 | 02/04/2015 | 126 55 | 11/03/2015 | 68 65 | -57 90 | -45 75% | S |
| AU OPTRONICS CORP ADS SPON ADR | 002255107 | AUO | 11 00 | 02/05/2015 | 60 82 | 11/03/2015 | 32 84 | -27 98 | -46 00% | S |
| AU OPTRONICS CORP ADS SPON ADR | 002255107 | AUO | 53 00 | 02/06/2015 | 294 44 | 11/03/2015 | 158 20 | -136 24 | -46 27% | S |
| AU OPTRONICS CORP ADS SPON ADR | 002255107 | AUO | 35 00 | 02/06/2015 | 194 44 | 11/05/2015 | 104 70 | -89 74 | -46 15% | S |
| AU OPTRONICS CORP ADS SPON ADR | 002255107 | AUO | 4 00 | 02/06/2015 | 22 35 | 11/05/2015 | 11 97 | -10.38 | -46 44% | S |
| AU OPTRONICS CORP ADS SPON ADR | 002255107 | AUO | 77 00 | 02/09/2015 | 426 01 | 11/05/2015 | 230 34 | -195 67 | -45 93% | S |
| AU OPTRONICS CORP ADS SPON ADR | 002255107 | AUO | 5.00 | 02/10/2015 | 27 01 | 11/05/2015 | 14 96 | -12 05 | -44 61% | S |
| AU OPTRONICS CORP ADS SPON ADR | 002255107 | AUO | 40 00 | 02/10/2015 | 216 09 | 11/13/2015 | 106 46 | -109 63 | -50 73% | S |
| AU OPTRONICS CORP ADS SPON ADR | 002255107 | AUO | 51 00 | 02/10/2015 | 275 51 | 11/16/2015 | 136 70 | -138 81 | -50 38% | S |
| AU OPTRONICS CORP ADS SPON ADR | 002255107 | AUO | 1 00 | 02/10/2015 | 5 40 | 11/19/2015 | 2 76 | -2 64 | -48 89% | S |
| AU OPTRONICS CORP ADS SPON ADR | 002255107 | AUO | 55 00 | 02/10/2015 | 297 13 | 11/20/2015 | 153 05 | -144.08 | -48 49% | S |
| AU OPTRONICS CORP ADS SPON ADR | 002255107 | AUO | 1 00 | 02/10/2015 | 5 40 | 11/23/2015 | 2 77 | -2 63 | -48 70% | S |
| AU OPTRONICS CORP ADS SPON ADR | 002255107 | AUO | 10.00 | 02/10/2015 | 54 02 | 11/24/2015 | 27 47 | -26 55 | -49 15% | S |
| AU OPTRONICS CORP ADS SPON ADR | 002255107 | AUO | 65 00 | 02/26/2015 | 342 66 | 11/24/2015 | 178 57 | -164 09 | -47 89% | S |
| AUSTRALIA & NEW ZEALAND BKG GRP LTD SPONSRD ADR AUSTRALIA ADR | 052528304 | ANZBY | 47 00 | 07/02/2012 | 1,075 36 | 11/03/2015 | 917 19 | -158.17 | -14 71% | L |
| AUSTRALIA & NEW ZEALAND BKG GRP LTD SPONSRD ADR AUSTRALIA ADR | 052528304 | ANZBY | 8 00 | 07/02/2012 | 183 04 | 11/24/2015 | 160 84 | -22 20 | -12 13% | L |

# Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| AUSTRALIA & NEW ZEALAND BKG GRP LTD SPONSRD ADR AUSTRALIA ADR | 052528304 | ANZBY | 31 00 | 01/28/2014 | 820 26 | 11/24/2015 | 623 26 | -197 00 | -24 02% | L |
| AUTOLIV INC $0 20 | 052800109 | ALV | 8 00 | 03/17/2014 | 779 04 | 04/15/2016 | 912 39 | 133.35 | 17 12% | L |
| AVIS BUDGET GROUP INC | 053774105 | CAR | 26 00 | 03/18/2015 | 1,553 20 | 01/14/2016 | 696 98 | -856 22 | -55 13% | S |
| AVIS BUDGET GROUP INC | 053774105 | CAR | 252 00 | 02/17/2016 | 7,552 16 | 05/26/2016 | 7,131 47 | -420 69 | -5 57% | S |
| AXA ADR | 054536107 | AXAHY | 86 00 | 05/26/2015 | 2,237 73 | 11/03/2015 | 2,310 78 | 73 05 | 3 26% | S |
| AXA ADR | 054536107 | AXAHY | 5 00 | 05/27/2015 | 131.81 | 11/03/2015 | 134 35 | 2.54 | 1 93% | S |
| AXA ADR | 054536107 | AXAHY | 37 00 | 05/27/2015 | 975 37 | 11/24/2015 | 965 20 | -10 17 | -1 04% | S |
| AXA ADR | 054536107 | AXAHY | 35 00 | 05/28/2015 | 904 74 | 11/24/2015 | 913 04 | 8.30 | 0 92% | S |
| AXA ADR | 054536107 | AXAHY | 9 00 | 05/29/2015 | 228 98 | 11/24/2015 | 234 78 | 5 80 | 2 53% | S |
| AXA ADR | 054536107 | AXAHY | 7 00 | 10/20/2015 | 182 48 | 11/24/2015 | 182 60 | 0 12 | 0 07% | S |
| AXA ADR | 054536107 | AXAHY | 2 00 | 10/21/2015 | 52 52 | 11/24/2015 | 52 17 | -0 35 | -0 67% | S |
| AXA ADR | 054536107 | AXAHY | 15 00 | 10/27/2015 | 397.33 | 11/24/2015 | 391 30 | -6.03 | -1 52% | S |
| AXA ADR | 054536107 | AXAHY | 9 00 | 10/29/2015 | 240 48 | 11/24/2015 | 234 78 | -5 70 | -2 37% | S |
| AXA ADR | 054536107 | AXAHY | 1 00 | 11/04/2015 | 26 62 | 11/24/2015 | 26 09 | -0.53 | -1 99% | S |
| AXIS CAPITAL HOLDINGS LTD SHS | G0692U109 | AXS | 20 00 | 09/24/2012 | 707 60 | 11/03/2015 | 1,091 77 | 384 17 | 54 29% | L |
| AXIS CAPITAL HOLDINGS LTD SHS | G0692U109 | AXS | 3 00 | 01/28/2014 | 135 35 | 11/03/2015 | 163 77 | 28 42 | 21 00% | L |
| AXIS CAPITAL HOLDINGS LTD SHS | G0692U109 | AXS | 18 00 | 01/28/2014 | 812 07 | 11/24/2015 | 1,009 36 | 197 29 | 24 29% | L |
| BAE SYSTEMS PLC SPON ADR | 05523R107 | BAESY | 22 00 | 07/02/2012 | 405.46 | 11/03/2015 | 602 79 | 197.33 | 48 67% | L |
| BAE SYSTEMS PLC SPON ADR | 05523R107 | BAESY | 39 00 | 02/13/2013 | 805 35 | 11/03/2015 | 1,068 58 | 263 23 | 32 69% | L |
| BAE SYSTEMS PLC SPON ADR | 05523R107 | BAESY | 74 00 | 02/13/2013 | 1,528 10 | 11/24/2015 | 2,233 19 | 705.09 | 46 14% | L |
| BANCO BILBAO VIZCAYA ARGENTARIA S A SPON ADR | 05946K101 | BBVA | 139 00 | 01/28/2014 | 1,673 09 | 06/08/2016 | 890 24 | -782 85 | -46 79% | L |
| BANCO BILBAO VIZCAYA ARGENTARIA S A. SPON ADR | 05946K101 | BBVA | 33 00 | 02/18/2014 | 403 59 | 06/08/2016 | 211.35 | -192 24 | -47.63% | L |
| BANCO BILBAO VIZCAYA ARGENTARIA S A SPON ADR | 05946K101 | BBVA | 35 00 | 02/18/2014 | 428 05 | 06/09/2016 | 221 19 | -206 86 | -48 33% | L |
| BANCO BILBAO VIZCAYA ARGENTARIA S A SPON ADR | 05946K101 | BBVA | 20 00 | 02/18/2014 | 244 60 | 06/10/2016 | 120 01 | -124.59 | -50 94% | L |

# Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| BANCO BILBAO VIZCAYA ARGENTARIA S A SPON ADR | 05946K101 | BBVA | 71 00 | 08/22/2014 | 846 25 | 06/13/2016 | 412 23 | -434 02 | -51 29% | L |
| BANCO BILBAO VIZCAYA ARGENTARIA S A SPON ADR | 05946K101 | BBVA | 70 00 | 01/14/2015 | 613 05 | 06/13/2016 | 406 43 | -206 62 | -33 70% | L |
| BANCO BILBAO VIZCAYA ARGENTARIA S A SPON ADR | 05946K101 | BBVA | 32 00 | 04/06/2015 | 339 99 | 06/13/2016 | 185.79 | -154 20 | -45.35% | L |
| BANCO BILBAO VIZCAYA ARGENTARIA S A SPON ADR | 05946K101 | BBVA | 116 00 | 04/06/2015 | 1,232 48 | 06/14/2016 | 655 46 | -577 02 | -46 82% | L |
| BANCO BILBAO VIZCAYA ARGENTARIA S A SPON ADR | 05946K101 | BBVA | 7 00 | 12/16/2015 | 54 35 | 06/14/2016 | 39.55 | -14 80 | -27.23% | S |
| BANCO BILBAO VIZCAYA ARGENTARIA S A SPON ADR | 05946K101 | BBVA | 24 00 | 12/17/2015 | 186 52 | 06/14/2016 | 135 61 | -50 91 | -27 29% | S |
| BANCO BRADESCO S A NEW SPON ADR | 059460303 | BBD | 94 20 | 09/24/2012 | 1,258 08 | 09/29/2015 | 491 32 | -766 76 | -60 95% | L |
| BANCO BRADESCO S A NEW SPON ADR | 059460303 | BBD | 46 80 | 07/16/2013 | 487 72 | 09/29/2015 | 244 10 | -243 62 | -49 95% | L |
| BANCO BRADESCO S A NEW SPON ADR | 059460303 | BBD | 98 00 | 07/16/2013 | 1,021 30 | 10/20/2015 | 550 58 | -470 72 | -46 09% | L |
| BANCO BRADESCO S A NEW SPON ADR | 059460303 | BBD | 74 00 | 07/16/2013 | 771 19 | 10/23/2015 | 417 11 | -354 08 | -45 91% | L |
| BANCO BRADESCO S A. NEW SPON ADR | 059460303 | BBD | 4 40 | 07/16/2013 | 45 85 | 10/28/2015 | 25 18 | -20 67 | -45 08% | L |
| BANCO BRADESCO S A NEW SPON ADR | 059460303 | BBD | 165 60 | 01/28/2014 | 1,518 00 | 10/28/2015 | 947 59 | -570 41 | -37 58% | L |
| BANCO SANTANDER S A SPON ADR | 05964H105 | SAN | 334 00 | 01/28/2014 | 2,924 70 | 03/24/2016 | 1,473 31 | -1,451 39 | -49 63% | L |
| BANCO SANTANDER S A SPON ADR | 05964H105 | SAN | 217 00 | 02/18/2014 | 1,947 66 | 03/24/2016 | 957 21 | -990 45 | -50 85% | L |
| BANCO SANTANDER S A SPON ADR | 05964H105 | SAN | 11 00 | 05/12/2014 | 106 48 | 03/24/2016 | 48 52 | -57 96 | -54 43% | L |
| BANCO SANTANDER S A SPON ADR | 05964H105 | SAN | 11 00 | 08/11/2014 | 109 23 | 03/24/2016 | 48 52 | -60 71 | -55 58% | L |
| BANCO SANTANDER S A SPON ADR | 05964H105 | SAN | 12 00 | 11/13/2014 | 104 16 | 03/24/2016 | 52 93 | -51 23 | -49 18% | L |
| BANCO SANTANDER S A SPON ADR | 05964H105 | SAN | 13 00 | 02/09/2015 | 89 44 | 03/24/2016 | 57 35 | -32 09 | -35 88% | L |
| BANCO SANTANDER S A SPON ADR | 05964H105 | SAN | 168 00 | 04/06/2015 | 1,302 84 | 03/24/2016 | 741 06 | -561 78 | -43 12% | S |
| BANCO SANTANDER S A SPON ADR | 05964H105 | SAN | 16 00 | 05/11/2015 | 112 64 | 03/24/2016 | 70 58 | -42 06 | -37 34% | S |
| BANCO SANTANDER S A SPON ADR | 05964H105 | SAN | 7 00 | 11/16/2015 | 38 85 | 03/24/2016 | 30 88 | -7 97 | -20 51% | S |
| BANCO SANTANDER S A SPON ADR | 05964H105 | SAN | 9 00 | 12/16/2015 | 46 30 | 03/24/2016 | 39 70 | -6 60 | -14 25% | S |
| BANCO SANTANDER S A SPON ADR | 05964H105 | SAN | 428 00 | 12/17/2015 | 2,210 02 | 03/24/2016 | 1,887 95 | -322 07 | -14 57% | S |
| BANCO SANTANDER S A SPON ADR | 05964H105 | SAN | 100 00 | 12/31/2015 | 488 50 | 03/24/2016 | 441 11 | -47 39 | -9 70% | S |

# Realızed gaın/loss - from 07/01/2015 to 06/30/2016 (contınued)

| | CUSIP | Symbol | Quantıty | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realızed gaın/(loss) ($) | Percent gaın/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| BANK OF AMER CORP | 060505104 | BAC | 171.00 | 01/15/2015 | 2,615 98 | 05/05/2016 | 2,421 36 | -194 62 | -7 44% | L |
| BARCLAYS PLC ADR | 06738E204 | BCS | 140 00 | 11/24/2015 | 1,861 56 | 02/18/2016 | 1,316 87 | -544 69 | -29 26% | S |
| BARCLAYS PLC ADR | 06738E204 | BCS | 11 00 | 11/24/2015 | 146 27 | 02/19/2016 | 100 88 | -45 39 | -31 03% | S |
| BEACH ENERGY LTD UNSPONSORED ADR | 073333106 | BCHEY | 8 00 | 07/14/2014 | 247 94 | 08/13/2015 | 99 83 | -148 11 | -59 74% | L |
| BEACH ENERGY LTD UNSPONSORED ADR | 073333106 | BCHEY | 4 00 | 07/15/2014 | 127 42 | 08/13/2015 | 49 91 | -77.51 | -60 83% | L |
| BEACH ENERGY LTD UNSPONSORED ADR | 073333106 | BCHEY | 3 00 | 07/15/2014 | 95 57 | 08/14/2015 | 36 53 | -59 04 | -61 78% | L |
| BEACH ENERGY LTD UNSPONSORED ADR | 073333106 | BCHEY | 2.00 | 07/15/2014 | 63 71 | 08/17/2015 | 23 85 | -39 86 | -62 56% | L |
| BEACH ENERGY LTD UNSPONSORED ADR | 073333106 | BCHEY | 3 00 | 07/15/2014 | 95 88 | 08/17/2015 | 35 78 | -60 10 | -62 68% | L |
| BEACH ENERGY LTD UNSPONSORED ADR | 073333106 | BCHEY | 2 00 | 07/15/2014 | 63 92 | 08/18/2015 | 22 66 | -41 26 | -64 55% | L |
| BEACH ENERGY LTD UNSPONSORED ADR | 073333106 | BCHEY | 3 00 | 07/16/2014 | 95 22 | 08/18/2015 | 34 00 | -61 22 | -64 29% | L |
| BEACH ENERGY LTD UNSPONSORED ADR | 073333106 | BCHEY | 1 00 | 07/16/2014 | 31 74 | 08/20/2015 | 10 79 | -20.95 | -66 01% | L |
| BEACH ENERGY LTD UNSPONSORED ADR | 073333106 | BCHEY | 1 00 | 07/16/2014 | 31 74 | 08/21/2015 | 10 37 | -21 37 | -67 33% | L |
| BEACH ENERGY LTD UNSPONSORED ADR | 073333106 | BCHEY | 3.00 | 07/16/2014 | 95 22 | 08/25/2015 | 29 28 | -65 94 | -69 25% | L |
| BEACH ENERGY LTD UNSPONSORED ADR | 073333106 | BCHEY | 1 00 | 07/16/2014 | 31 74 | 08/26/2015 | 9 40 | -22 34 | -70 38% | L |
| BEACH ENERGY LTD UNSPONSORED ADR | 073333106 | BCHEY | 1 00 | 07/17/2014 | 32 54 | 08/27/2015 | 9 46 | -23 08 | -70 93% | L |
| BEACH ENERGY LTD UNSPONSORED ADR | 073333106 | BCHEY | 1 00 | 07/17/2014 | 32 55 | 08/28/2015 | 10 09 | -22 46 | -69 00% | L |
| BEACH ENERGY LTD UNSPONSORED ADR | 073333106 | BCHEY | 3 00 | 07/17/2014 | 97 62 | 08/31/2015 | 29 15 | -68.47 | -70 14% | L |
| BEACH ENERGY LTD UNSPONSORED ADR | 073333106 | BCHEY | 2 00 | 07/17/2014 | 65 09 | 09/01/2015 | 18 71 | -46 38 | -71 26% | L |
| BEACH ENERGY LTD UNSPONSORED ADR | 073333106 | BCHEY | 1.00 | 07/17/2014 | 32 54 | 09/02/2015 | 8 72 | -23 82 | -73 20% | L |
| BEACH ENERGY LTD UNSPONSORED ADR | 073333106 | BCHEY | 1 00 | 07/17/2014 | 32 52 | 09/02/2015 | 8 72 | -23 80 | -73 19% | L |
| BEACH ENERGY LTD UNSPONSORED ADR | 073333106 | BCHEY | 2 00 | 07/17/2014 | 65 05 | 09/03/2015 | 17 37 | -47 68 | -73 30% | L |
| BEACH ENERGY LTD UNSPONSORED ADR | 073333106 | BCHEY | 2 00 | 07/18/2014 | 64 85 | 09/04/2015 | 17 08 | -47 77 | -73 66% | L |
| BEACH ENERGY LTD UNSPONSORED ADR | 073333106 | BCHEY | 2 00 | 07/18/2014 | 64 85 | 09/08/2015 | 16 52 | -48.33 | -74 53% | L |
| BEACH ENERGY LTD UNSPONSORED ADR | 073333106 | BCHEY | 1 00 | 07/21/2014 | 33 02 | 09/08/2015 | 8 26 | -24 76 | -74 98% | L |
| BEACH ENERGY LTD UNSPONSORED ADR | 073333106 | BCHEY | 2.00 | 07/21/2014 | 65 93 | 09/08/2015 | 16 51 | -49 42 | -74 96% | L |
| BEACH ENERGY LTD UNSPONSORED ADR | 073333106 | BCHEY | 4 00 | 07/21/2014 | 132 06 | 09/09/2015 | 34 17 | -97 89 | -74 13% | L |

## Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| BEACH ENERGY LTD UNSPONSORED ADR | 073333106 | BCHEY | 2.00 | 07/24/2014 | 66 28 | 09/09/2015 | 17 09 | -49 19 | -74 22% | L |
| BEACH ENERGY LTD UNSPONSORED ADR | 073333106 | BCHEY | 1 00 | 07/24/2014 | 33 13 | 09/10/2015 | 8 34 | -24 79 | -74 83% | L |
| BEACH ENERGY LTD UNSPONSORED ADR | 073333106 | BCHEY | 2 00 | 07/24/2014 | 66 28 | 09/11/2015 | 16 30 | -49 98 | -75 41% | L |
| BEACH ENERGY LTD UNSPONSORED ADR | 073333106 | BCHEY | 3 00 | 07/25/2014 | 99 31 | 09/28/2015 | 21 60 | -77 71 | -78 25% | L |
| BEACH ENERGY LTD UNSPONSORED ADR | 073333106 | BCHEY | 3 00 | 07/28/2014 | 99 02 | 09/29/2015 | 19 81 | -79.21 | -79 99% | L |
| BEACH ENERGY LTD UNSPONSORED ADR | 073333106 | BCHEY | 2 00 | 07/28/2014 | 66 01 | 09/30/2015 | 13 00 | -53 01 | -80 31% | L |
| BEACH ENERGY LTD UNSPONSORED ADR | 073333106 | BCHEY | 5.00 | 07/28/2014 | 165 03 | 10/01/2015 | 33 03 | -132 00 | -79 99% | L |
| BEACH ENERGY LTD UNSPONSORED ADR | 073333106 | BCHEY | 5 00 | 07/28/2014 | 165 04 | 10/02/2015 | 31 50 | -133 54 | -80 91% | L |
| BEACH ENERGY LTD UNSPONSORED ADR | 073333106 | BCHEY | 3 00 | 07/28/2014 | 99 02 | 10/05/2015 | 19 95 | -79 07 | -79 85% | L |
| BEACH ENERGY LTD UNSPONSORED ADR | 073333106 | BCHEY | 3 00 | 07/28/2014 | 99 01 | 10/06/2015 | 20 57 | -78 44 | -79 22% | L |
| BEACH ENERGY LTD UNSPONSORED ADR | 073333106 | BCHEY | 4 00 | 07/28/2014 | 132 03 | 10/07/2015 | 29 67 | -102.36 | -77 53% | L |
| BEACH ENERGY LTD UNSPONSORED ADR | 073333106 | BCHEY | 2 00 | 07/29/2014 | 64 09 | 10/07/2015 | 14 83 | -49 26 | -76 86% | L |
| BEACH ENERGY LTD UNSPONSORED ADR | 073333106 | BCHEY | 3.00 | 07/29/2014 | 96 13 | 10/08/2015 | 22 48 | -73 65 | -76 62% | L |
| BEACH ENERGY LTD UNSPONSORED ADR | 073333106 | BCHEY | 1 00 | 07/30/2014 | 31 73 | 10/08/2015 | 7 49 | -24 24 | -76 39% | L |
| BEACH ENERGY LTD UNSPONSORED ADR | 073333106 | BCHEY | 7 00 | 07/30/2014 | 222 12 | 10/09/2015 | 59 14 | -162 98 | -73 37% | L |
| BEACH ENERGY LTD UNSPONSORED ADR | 073333106 | BCHEY | 9 00 | 07/31/2014 | 283 45 | 10/09/2015 | 76 03 | -207 42 | -73 18% | L |
| BEACH ENERGY LTD UNSPONSORED ADR | 073333106 | BCHEY | 5 00 | 07/31/2014 | 157 47 | 10/12/2015 | 43 96 | -113.51 | -72 08% | L |
| BEACH ENERGY LTD UNSPONSORED ADR | 073333106 | BCHEY | 5 00 | 08/01/2014 | 156 44 | 10/12/2015 | 43 96 | -112 48 | -71 90% | L |
| BEACH ENERGY LTD UNSPONSORED ADR | 073333106 | BCHEY | 4.00 | 08/04/2014 | 124 19 | 10/12/2015 | 35 17 | -89 02 | -71 68% | L |
| BEACH ENERGY LTD UNSPONSORED ADR | 073333106 | BCHEY | 4 00 | 08/05/2014 | 124 18 | 10/12/2015 | 35 17 | -89 01 | -71 68% | L |
| BEACH ENERGY LTD UNSPONSORED ADR | 073333106 | BCHEY | 1 00 | 08/05/2014 | 31 05 | 10/13/2015 | 8 80 | -22 25 | -71 66% | L |
| BECTON DICKINSON & CO | 075887109 | BDX | 34 00 | 02/27/2015 | 5,005 93 | 10/07/2015 | 4,612 48 | -393 45 | -7 86% | S |
| BECTON DICKINSON & CO | 075887109 | BDX | 10 00 | 03/21/2013 | 921 50 | 11/20/2015 | 1,518 71 | 597.21 | 64 81% | L |
| BECTON DICKINSON & CO | 075887109 | BDX | 20 00 | 02/19/2014 | 2,291 20 | 11/20/2015 | 3,037 41 | 746 21 | 32 57% | L |
| BECTON DICKINSON & CO | 075887109 | BDX | 10.00 | 04/10/2015 | 1,438 10 | 11/20/2015 | 1,518 70 | 80 60 | 5 60% | S |
| BEIJING ENTERPRISES HOLDINGS LTD SPON ADR | 07725Q200 | BJINY | 6 00 | 11/16/2015 | 367 84 | 11/24/2015 | 390 11 | 22 27 | 6 05% | S |

## Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| BEIJING ENTERPRISES HOLDINGS LTD SPON ADR | 07725Q200 | BJINY | 2.00 | 11/19/2015 | 128 15 | 11/24/2015 | 130 04 | 1 89 | 1 47% | S |
| BEIJING ENTERPRISES HOLDINGS LTD SPON ADR | 07725Q200 | BJINY | 1 00 | 11/20/2015 | 65 56 | 11/24/2015 | 65 02 | -0 54 | -0 82% | S |
| BEIJING ENTERPRISES HOLDINGS LTD SPON ADR | 07725Q200 | BJINY | 1 00 | 11/23/2015 | 65 69 | 11/24/2015 | 65 02 | -0 67 | -1 02% | S |
| BEIJING ENTERPRISES HOLDINGS LTD SPON ADR | 07725Q200 | BJINY | 1 00 | 11/24/2015 | 65 22 | 12/10/2015 | 59 24 | -5 98 | -9 17% | S |
| BELLE INTL HLDGS LTD ADR | 078454105 | BELLY | 9 00 | 01/07/2015 | 102 34 | 11/03/2015 | 86 76 | -15.58 | -15 22% | S |
| BELLE INTL HLDGS LTD ADR | 078454105 | BELLY | 14 00 | 01/08/2015 | 157 66 | 11/03/2015 | 134 96 | -22 70 | -14 40% | S |
| BELLE INTL HLDGS LTD ADR | 078454105 | BELLY | 16.00 | 01/09/2015 | 184 63 | 11/03/2015 | 154 24 | -30 39 | -16 46% | S |
| BELLE INTL HLDGS LTD ADR | 078454105 | BELLY | 12 00 | 01/12/2015 | 136 97 | 11/03/2015 | 115 67 | -21 30 | -15 55% | S |
| BELLE INTL HLDGS LTD ADR | 078454105 | BELLY | 2 00 | 01/13/2015 | 23 33 | 11/03/2015 | 19 28 | -4 05 | -17 36% | S |
| BELLE INTL HLDGS LTD ADR | 078454105 | BELLY | 6 00 | 01/15/2015 | 71 16 | 11/03/2015 | 57 84 | -13 32 | -18 72% | S |
| BELLE INTL HLDGS LTD ADR | 078454105 | BELLY | 11 00 | 01/16/2015 | 128 89 | 11/03/2015 | 106 04 | -22.85 | -17 73% | S |
| BELLE INTL HLDGS LTD ADR | 078454105 | BELLY | 11 00 | 01/20/2015 | 128 74 | 11/03/2015 | 106 04 | -22 70 | -17 63% | S |
| BELLE INTL HLDGS LTD ADR | 078454105 | BELLY | 24.00 | 01/20/2015 | 280 89 | 11/24/2015 | 215 16 | -65 73 | -23 40% | S |
| BELLE INTL HLDGS LTD ADR | 078454105 | BELLY | 21 00 | 01/21/2015 | 246 84 | 11/24/2015 | 188 27 | -58 57 | -23 73% | S |
| BELLE INTL HLDGS LTD ADR | 078454105 | BELLY | 26 00 | 01/22/2015 | 305 92 | 11/24/2015 | 233 09 | -72 83 | -23 81% | S |
| BELLE INTL HLDGS LTD ADR | 078454105 | BELLY | 31 00 | 01/23/2015 | 367 63 | 11/24/2015 | 277 91 | -89 72 | -24 40% | S |
| BERKELEY GROUP HLDGS PLC ADR | 08425P104 | BKGFY | 18 00 | 04/30/2015 | 697 86 | 07/30/2015 | 921 43 | 223.57 | 32 04% | S |
| BERKELEY GROUP HLDGS PLC ADR | 08425P104 | BKGFY | 4 00 | 05/01/2015 | 153 58 | 07/30/2015 | 204 76 | 51 18 | 33 32% | S |
| BERKELEY GROUP HLDGS PLC ADR | 08425P104 | BKGFY | 62.00 | 05/01/2015 | 2,380 50 | 03/10/2016 | 2,640 47 | 259 97 | 10 92% | S |
| BERKELEY GROUP HLDGS PLC ADR | 08425P104 | BKGFY | 69 00 | 05/05/2015 | 2,645 83 | 03/10/2016 | 2,938 58 | 292 75 | 11 06% | S |
| BEST BUY CO INC | 086516101 | BBY | 101 00 | 01/15/2015 | 3,521 87 | 05/03/2016 | 3,206 11 | -315 76 | -8 97% | L |
| BITAUTO HLDGS LTD SPON ADR | 091727107 | BITA | 2 00 | 06/06/2014 | 81 59 | 01/13/2016 | 46 19 | -35 40 | -43 39% | L |
| BITAUTO HLDGS LTD SPON ADR | 091727107 | BITA | 18 00 | 06/06/2014 | 734 39 | 01/14/2016 | 416 99 | -317.40 | -43 22% | L |
| BLACKBAUD INC | 09227Q100 | BLKB | 10 00 | 06/28/2012 | 249 82 | 07/20/2015 | 607 63 | 357 81 | 143 23% | L |
| BLACKROCK GLOBAL ALLOCATION FUND INC INSTITUTIONAL | 09251T509 | MFBCQY | 1,369 26 | 05/30/2012 | 25,166 94 | 02/25/2016 | 23,496 45 | -1,670 49 | -6 64% | L |
| BLACKROCK GLOBAL ALLOCATION FUND INC INSTITUTIONAL | 09251T509 | MFBCQY | 2,518 00 | 09/21/2012 | 49,982 30 | 02/25/2016 | 43,208 88 | -6,773 42 | -13 55% | L |

## Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| BLACKROCK GLOBAL ALLOCATION FUND INC INSTITUTIONAL | 09251T509 | MFBCQY | 1 00 | 09/21/2012 | 19 34 | 02/25/2016 | 17 16 | -2 18 | -11 27% | L |
| BLACKROCK GLOBAL ALLOCATION FUND INC INSTITUTIONAL | 09251T509 | MFBCQY | 178 07 | 12/17/2013 | 3,723 36 | 02/25/2016 | 3,055 61 | -667 75 | -17 93% | L |
| BLACKROCK GLOBAL ALLOCATION FUND INC INSTITUTIONAL | 09251T509 | MFBCQY | 63 42 | 12/17/2013 | 1,326 14 | 02/25/2016 | 1,088.31 | -237 83 | -17.93% | L |
| BLACKROCK GLOBAL ALLOCATION FUND INC INSTITUTIONAL | 09251T509 | MFBCQY | 38 14 | 12/17/2013 | 797 55 | 02/25/2016 | 654 51 | -143 04 | -17 93% | L |
| BLUEBIRD BIO INC | 09609G100 | BLUE | 3 00 | 01/14/2015 | 313 80 | 08/10/2015 | 421 41 | 107.61 | 34 29% | S |
| BLUEBIRD BIO INC | 09609G100 | BLUE | 8 00 | 04/06/2015 | 965 46 | 08/10/2015 | 1,123 75 | 158 29 | 16 40% | S |
| BOC HONG KONG HOLDINGS LTD SPON ADR | 096813209 | BHKLY | 23.00 | 11/29/2013 | 1,559 56 | 11/03/2015 | 1,494 06 | -65 50 | -4 20% | L |
| BOC HONG KONG HOLDINGS LTD SPON ADR | 096813209 | BHKLY | 5 00 | 01/28/2014 | 307 20 | 11/03/2015 | 324 79 | 17 59 | 5 73% | L |
| BOC HONG KONG HOLDINGS LTD SPON ADR | 096813209 | BHKLY | 31 00 | 01/28/2014 | 1,904 63 | 11/24/2015 | 1,960 40 | 55 77 | 2 93% | L |
| BOEING COMPANY | 097023105 | BA | 28 00 | 01/15/2015 | 3,663 86 | 03/31/2016 | 3,532 64 | -131 22 | -3 58% | L |
| BOEING COMPANY | 097023105 | BA | 17 00 | 01/15/2015 | 2,224 48 | 05/26/2016 | 2,193 17 | -31.31 | -1 41% | L |
| BOFI HLDG INC | 05566U108 | BOFI | 25 00 | 04/22/2014 | 2,150 57 | 09/14/2015 | 3,036 31 | 885 74 | 41 19% | L |
| BOFI HLDG INC | 05566U108 | BOFI | 22.00 | 12/19/2014 | 1,753 51 | 09/14/2015 | 2,671 95 | 918 44 | 52 38% | S |
| BOFI HLDG INC | 05566U108 | BOFI | 20 00 | 04/06/2015 | 1,836 40 | 09/14/2015 | 2,429 04 | 592 64 | 32 27% | S |
| BOFI HLDG INC | 05566U108 | BOFI | 13 00 | 07/07/2015 | 1,375 39 | 09/14/2015 | 1,578 88 | 203 49 | 14 80% | S |
| BORGWARNER INC | 099724106 | BWA | 124 00 | 02/21/2014 | 7,570 20 | 11/18/2015 | 5,287 12 | -2,283 08 | -30 16% | L |
| BORGWARNER INC | 099724106 | BWA | 18 00 | 10/02/2014 | 967 96 | 11/18/2015 | 767 49 | -200.47 | -20 71% | L |
| BORGWARNER INC | 099724106 | BWA | 55 00 | 09/09/2015 | 2,443 08 | 03/24/2016 | 2,012 80 | -430 28 | -17 61% | S |
| BORGWARNER INC | 099724106 | BWA | 27.00 | 09/09/2015 | 1,199 33 | 03/24/2016 | 988 10 | -211 23 | -17 61% | S |
| BORGWARNER INC | 099724106 | BWA | 42 00 | 09/09/2015 | 1,865 63 | 03/28/2016 | 1,551 91 | -313 72 | -16 82% | S |
| BRINKER INTL INC | 109641100 | EAT | 76 00 | 01/15/2015 | 4,567 88 | 07/14/2015 | 4,445 08 | -122 80 | -2 69% | S |
| BROADCOM CORP MERGER EFF 02/2016 CL A | 111320107 | | 90 00 | 02/18/2014 | 2,733 30 | 07/29/2015 | 4,630 40 | 1,897 10 | 69 41% | L |
| BROADCOM CORP MERGER EFF 02/2016 CL A | 111320107 | | 65 00 | 04/06/2015 | 2,738 03 | 07/29/2015 | 3,344 18 | 606.15 | 22 14% | S |
| BUCKLE CO | 118440106 | BKE | 84 00 | 12/23/2014 | 4,383 83 | 11/23/2015 | 2,605 02 | -1,778 81 | -40 58% | S |
| BUCKLE CO | 118440106 | BKE | 24.00 | 01/30/2015 | 1,216 08 | 11/23/2015 | 744 29 | -471 79 | -38 80% | S |

| | | | |
|---|---|---|---|
| YX XX289 Main | YX XX294 Equity-MFS | YX XX299 Alt Inv-Main | YX XX176 Yield Oriented |
| YX XX290 Trillium SRI | YX XX295 Equity-Rothchld | YX XX300 UBS SRI | |
| YX XX291 Equity-Atlanta | YX XX296 NB SRI | YX XX301 NA SRI | |
| YX XX292 Taxable FI | YX XX297 Equity-Cambiar | YX XX887 Equity-Non-Fee | |
| YX XX293 Estabrook SRI | YX XX298 Equity-Funds | YX XX888 Equity-APEX | |

## Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| BUCKLE CO | 118440106 | BKE | 48 00 | 04/06/2015 | 2,422 73 | 11/23/2015 | 1,488 58 | -934 15 | -38 56% | S |
| CALIFORNIA RESOURCES CORP **REVERSE SPLIT EFF 06/2016** | 13057Q107 | | 0 48 | 05/31/2012 | 0 65 | 03/24/2016 | 0.58 | -0 07 | -10.77% | L |
| CALIFORNIA RESOURCES CORP **REVERSE SPLIT EFF 06/2016** | 13057Q107 | | 0 93 | 02/04/2014 | 1 38 | 03/24/2016 | 1 12 | -0 26 | -18 84% | L |
| CALIFORNIA RESOURCES CORP **REVERSE SPLIT EFF 06/2016** | 13057Q107 | | 0 46 | 05/31/2012 | 0 62 | 03/31/2016 | 0.49 | -0 13 | -20.97% | L |
| CALIFORNIA RESOURCES CORP **REVERSE SPLIT EFF 06/2016** | 13057Q107 | | 1 78 | 09/24/2012 | 2 64 | 03/31/2016 | 1 90 | -0 74 | -28 03% | L |
| CALIFORNIA RESOURCES CORP **REVERSE SPLIT EFF 06/2016** | 13057Q107 | | 1 87 | 02/14/2013 | 2 79 | 03/31/2016 | 2 00 | -0.79 | -28 32% | L |
| CALIFORNIA RESOURCES CORP **REVERSE SPLIT EFF 06/2016** | 13057Q107 | | 1 23 | 02/04/2014 | 1 83 | 03/31/2016 | 1 26 | -0 57 | -31 15% | L |
| CALIFORNIA RESOURCES CORP **REVERSE SPLIT EFF 06/2016** | 13057Q107 | | 2 90 | 05/21/2014 | 4 78 | 03/31/2016 | 3 10 | -1 68 | -35 15% | L |
| CALIFORNIA RESOURCES CORP **REVERSE SPLIT EFF 06/2016** | 13057Q107 | | 3 37 | 11/19/2014 | 4 94 | 03/31/2016 | 3 48 | -1 46 | -29 55% | L |
| CALIFORNIA RESOURCES CORP **REVERSE SPLIT EFF 06/2016** | 13057Q107 | | 3 09 | 04/15/2015 | 4 45 | 03/31/2016 | 3 31 | -1 14 | -25 62% | S |
| CALIFORNIA RESOURCES CORP **REVERSE SPLIT EFF 06/2016** | 13057Q107 | | 2 90 | 10/14/2015 | 3 78 | 03/31/2016 | 3 10 | -0 68 | -17 99% | S |
| CALIFORNIA RESOURCES CORP **REVERSE SPLIT EFF 06/2016** | 13057Q107 | | 1 40 | 02/19/2016 | 1 73 | 03/31/2016 | 1.45 | -0 28 | -16.18% | S |
| CAPITAL ONE FINCL CORP | 14040H105 | COF | 73 00 | 01/15/2015 | 5,654 09 | 01/25/2016 | 4,277 32 | -1,376 77 | -24 35% | L |
| CARRIZO OIL & GAS INC | 144577103 | CRZO | 18 00 | 03/17/2014 | 887.53 | 04/08/2016 | 571 31 | -316.22 | -35 63% | L |
| CARRIZO OIL & GAS INC | 144577103 | CRZO | 13 00 | 03/25/2014 | 676 27 | 04/08/2016 | 412 62 | -263 65 | -38 99% | L |
| CBOE HLDGS INC COM | 12503M108 | CBOE | 134 00 | 10/15/2015 | 8,866 86 | 04/27/2016 | 8,708 64 | -158 22 | -1 78% | S |
| CEB INC COM | 125134106 | CEB | 7 00 | 04/24/2014 | 496 15 | 02/09/2016 | 361 85 | -134 30 | -27 07% | L |
| CEB INC COM | 125134106 | CEB | 1 00 | 04/24/2014 | 70 88 | 02/12/2016 | 50 26 | -20 62 | -29 09% | L |
| CEB INC COM | 125134106 | CEB | 10 00 | 04/30/2014 | 683 88 | 02/12/2016 | 502 55 | -181 33 | -26 51% | L |
| CEB INC COM | 125134106 | CEB | 3 00 | 05/14/2015 | 250.89 | 02/12/2016 | 150 76 | -100.13 | -39 91% | S |
| CEB INC COM | 125134106 | CEB | 3 00 | 09/16/2015 | 216 24 | 02/12/2016 | 150 77 | -65 47 | -30 28% | S |
| CHECK POINT SOFTWARE TECH LTD ILS | M22465104 | CHKP | 54 00 | 06/07/2013 | 2,689 11 | 05/03/2016 | 4,434 41 | 1,745 30 | 64 90% | L |

# Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| CHECK POINT SOFTWARE TECH LTD ILS | M22465104 | CHKP | 36 00 | 02/18/2014 | 2,398 91 | 05/03/2016 | 2,956 28 | 557 37 | 23 23% | L |
| CHECK POINT SOFTWARE TECH LTD ILS | M22465104 | CHKP | 2 00 | 02/18/2014 | 133 27 | 05/04/2016 | 163 33 | 30.06 | 22 56% | L |
| CHECK POINT SOFTWARE TECH LTD ILS | M22465104 | CHKP | 32 00 | 04/06/2015 | 2,644 48 | 05/04/2016 | 2,613 27 | -31 21 | -1 18% | L |
| CHEMED CORP NEW | 16359R103 | CHE | 20.00 | 04/11/2014 | 1,783 58 | 03/02/2016 | 2,603 49 | 819 91 | 45 97% | L |
| CHEMED CORP NEW | 16359R103 | CHE | 9 00 | 04/11/2014 | 802 61 | 03/15/2016 | 1,215 82 | 413 21 | 51 48% | L |
| CHEMED CORP NEW | 16359R103 | CHE | 14 00 | 04/06/2015 | 1,667 26 | 03/15/2016 | 1,891 28 | 224 02 | 13 44% | S |
| CHEMOURS CO | 163851108 | CC | 50 20 | 02/14/2014 | 822 91 | 07/09/2015 | 630 15 | -192 76 | -23 42% | L |
| CHEMOURS CO | 163851108 | CC | 22 80 | 04/06/2015 | 413 89 | 07/09/2015 | 286 20 | -127.69 | -30 85% | S |
| CHIMERIX INC | 16934W106 | CMRX | 12 00 | 08/22/2014 | 284 38 | 05/10/2016 | 57 50 | -226 88 | -79 78% | L |
| CHIMERIX INC | 16934W106 | CMRX | 9.00 | 08/25/2014 | 227 95 | 05/10/2016 | 43 13 | -184 82 | -81 08% | L |
| CHIMERIX INC | 16934W106 | CMRX | 7 00 | 08/25/2014 | 177 29 | 05/11/2016 | 34 08 | -143 21 | -80 78% | L |
| CHIMERIX INC | 16934W106 | CMRX | 8 00 | 08/26/2014 | 205 70 | 05/12/2016 | 37 13 | -168 57 | -81 95% | L |
| CHIMERIX INC | 16934W106 | CMRX | 8 00 | 08/26/2014 | 205 70 | 05/13/2016 | 36 69 | -169 01 | -82 16% | L |
| CHIMERIX INC | 16934W106 | CMRX | 23 00 | 08/27/2014 | 584 95 | 05/16/2016 | 108 74 | -476.21 | -81 41% | L |
| CHIMERIX INC | 16934W106 | CMRX | 4 00 | 08/27/2014 | 101 73 | 05/17/2016 | 18 99 | -82 74 | -81 33% | L |
| CHIMERIX INC | 16934W106 | CMRX | 10.00 | 08/28/2014 | 254 70 | 05/17/2016 | 47 46 | -207 24 | -81 37% | L |
| CHIMERIX INC | 16934W106 | CMRX | 5 00 | 08/28/2014 | 127 35 | 05/18/2016 | 23 41 | -103 94 | -81 62% | L |
| CHIMERIX INC | 16934W106 | CMRX | 5 00 | 08/29/2014 | 127 88 | 05/18/2016 | 23 40 | -104 48 | -81 70% | L |
| CHIMERIX INC | 16934W106 | CMRX | 8 00 | 08/29/2014 | 204 60 | 05/19/2016 | 36 46 | -168 14 | -82 18% | L |
| CHIMERIX INC | 16934W106 | CMRX | 6 00 | 08/29/2014 | 153 45 | 05/20/2016 | 28 06 | -125.39 | -81 71% | L |
| CHIMERIX INC | 16934W106 | CMRX | 9 00 | 10/31/2014 | 280 78 | 05/20/2016 | 42 10 | -238 68 | -85 01% | L |
| CHIMERIX INC | 16934W106 | CMRX | 20.00 | 10/31/2014 | 623 95 | 05/23/2016 | 95 90 | -528 05 | -84 63% | L |
| CHIMERIX INC | 16934W106 | CMRX | 9 00 | 10/31/2014 | 280 78 | 05/24/2016 | 42 68 | -238 10 | -84 80% | L |
| CHIMERIX INC | 16934W106 | CMRX | 2 00 | 11/03/2014 | 62 03 | 05/24/2016 | 9 48 | -52 55 | -84 72% | L |
| CHIMERIX INC | 16934W106 | CMRX | 9 00 | 11/03/2014 | 279 11 | 05/25/2016 | 43 15 | -235 96 | -84 54% | L |
| CHIMERIX INC | 16934W106 | CMRX | 6 00 | 11/04/2014 | 187 72 | 05/25/2016 | 28 77 | -158.95 | -84 67% | L |

# Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| CHIMERIX INC | 16934W106 | CMRX | 4 00 | 11/04/2014 | 125 15 | 05/27/2016 | 19 10 | -106 05 | -84 74% | L |
| CHIMERIX INC | 16934W106 | CMRX | 7 00 | 04/06/2015 | 260 26 | 05/27/2016 | 33 43 | -226.83 | -87 16% | L |
| CHIMERIX INC | 16934W106 | CMRX | 16 00 | 04/06/2015 | 594 87 | 05/31/2016 | 78 80 | -516 07 | -86 75% | L |
| CHIMERIX INC | 16934W106 | CMRX | 14.00 | 04/06/2015 | 520 51 | 06/01/2016 | 69 03 | -451 48 | -86 74% | L |
| CHIMERIX INC | 16934W106 | CMRX | 23 00 | 04/06/2015 | 855 13 | 06/02/2016 | 114 54 | -740 59 | -86 61% | L |
| CHIMERIX INC | 16934W106 | CMRX | 9 00 | 04/06/2015 | 334 62 | 06/03/2016 | 43 20 | -291 42 | -87 09% | L |
| CHIMERIX INC | 16934W106 | CMRX | 2 00 | 04/06/2015 | 74 36 | 06/06/2016 | 9 81 | -64 55 | -86 81% | L |
| CHIMERIX INC | 16934W106 | CMRX | 14 00 | 04/29/2015 | 493 07 | 06/06/2016 | 68 65 | -424.42 | -86 08% | L |
| CHIMERIX INC | 16934W106 | CMRX | 8 00 | 04/29/2015 | 281 76 | 06/07/2016 | 38 19 | -243 57 | -86 45% | L |
| CHIMERIX INC | 16934W106 | CMRX | 3.00 | 04/29/2015 | 105 66 | 06/08/2016 | 14 14 | -91 52 | -86 62% | L |
| CHIMERIX INC | 16934W106 | CMRX | 3 00 | 04/30/2015 | 105 30 | 06/08/2016 | 14 14 | -91 16 | -86 57% | L |
| CHINA CONSTR BK CORP ADR | 168919108 | CICHY | 176 00 | 07/31/2013 | 2,631 36 | 11/03/2015 | 2,532 15 | -99 21 | -3 77% | L |
| CHINA CONSTR BK CORP ADR | 168919108 | CICHY | 12 00 | 07/31/2013 | 179 41 | 11/24/2015 | 168 12 | -11 29 | -6 29% | L |
| CHINA CONSTR BK CORP ADR | 168919108 | CICHY | 76 00 | 01/28/2014 | 1,039 60 | 11/24/2015 | 1,064 74 | 25.14 | 2 42% | L |
| CHINA CONSTR BK CORP ADR | 168919108 | CICHY | 21 00 | 10/22/2015 | 312 24 | 11/24/2015 | 294 20 | -18 04 | -5 78% | S |
| CHINA CONSTR BK CORP ADR | 168919108 | CICHY | 30.00 | 10/23/2015 | 452 52 | 11/24/2015 | 420 30 | -32 22 | -7 12% | S |
| CHINA CONSTR BK CORP ADR | 168919108 | CICHY | 1 00 | 10/26/2015 | 15 07 | 11/24/2015 | 14 01 | -1 06 | -7 03% | S |
| CHINA CONSTR BK CORP ADR | 168919108 | CICHY | 25 00 | 10/28/2015 | 370 45 | 11/24/2015 | 350 24 | -20 21 | -5 46% | S |
| CHINA CONSTR BK CORP ADR | 168919108 | CICHY | 23 00 | 10/30/2015 | 335 48 | 11/24/2015 | 322 23 | -13 25 | -3 95% | S |
| CHINA CONSTR BK CORP ADR | 168919108 | CICHY | 24 00 | 11/02/2015 | 345 48 | 11/24/2015 | 336 23 | -9.25 | -2 68% | S |
| CHINA MOBILE LTD SPON ADR | 16941M109 | CHL | 34 00 | 11/27/2013 | 1,842 61 | 11/03/2015 | 2,065 17 | 222 56 | 12 08% | L |
| CHURCH & DWIGHT CO INC | 171340102 | CHD | 52.00 | 10/24/2014 | 3,680 78 | 11/20/2015 | 4,497 45 | 816 67 | 22 19% | L |
| CHURCH & DWIGHT CO INC | 171340102 | CHD | 19 00 | 04/10/2015 | 1,637 04 | 11/20/2015 | 1,643 30 | 6 26 | 0 38% | S |
| CIENA CORP NEW | 171779309 | CIEN | 23 00 | 03/19/2014 | 539 51 | 04/21/2016 | 385 94 | -153 57 | -28 46% | L |
| CIENA CORP NEW | 171779309 | CIEN | 25 00 | 03/25/2014 | 585 43 | 04/21/2016 | 419 49 | -165 94 | -28 34% | L |
| CIENA CORP NEW | 171779309 | CIEN | 18 00 | 12/16/2014 | 327 18 | 04/21/2016 | 302 04 | -25.14 | -7 68% | L |

# Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| CISCO SYSTEMS INC | 17275R102 | CSCO | 93 00 | 01/15/2015 | 2,568 04 | 05/12/2016 | 2,469 75 | -98 29 | -3 83% | L |
| CITIGROUP INC | 172967424 | C | 49 00 | 05/06/2014 | 2,296 25 | 03/09/2016 | 2,016 27 | -279.98 | -12 19% | L |
| CITIGROUP INC | 172967424 | C | 18 00 | 05/06/2014 | 843 52 | 03/30/2016 | 757 14 | -86 38 | -10 24% | L |
| CITIGROUP INC | 172967424 | C | 17.00 | 04/06/2015 | 878 90 | 03/30/2016 | 715 07 | -163 83 | -18 64% | S |
| CITIGROUP INC | 172967424 | C | 6 00 | 04/06/2015 | 310 20 | 05/26/2016 | 277 41 | -32 79 | -10 57% | L |
| CITIGROUP INC | 172967424 | C | 33 00 | 09/09/2015 | 1,705 32 | 05/26/2016 | 1,525 74 | -179 58 | -10 53% | S |
| CITIGROUP INC | 172967424 | C | 12 00 | 09/09/2015 | 620 11 | 06/08/2016 | 546 82 | -73 29 | -11 82% | S |
| CITIGROUP INC | 172967424 | C | 5 00 | 09/09/2015 | 258 39 | 06/10/2016 | 219 57 | -38.82 | -15 02% | S |
| CITIGROUP INC | 172967424 | C | 12 00 | 09/09/2015 | 620 11 | 06/13/2016 | 523 30 | -96 81 | -15 61% | S |
| CITIGROUP INC | 172967424 | C | 15.00 | 09/09/2015 | 775 15 | 06/21/2016 | 642 67 | -132 48 | -17 09% | S |
| CITIGROUP INC | 172967424 | C | 2 00 | 09/09/2015 | 103 35 | 06/22/2016 | 86 05 | -17 30 | -16 74% | S |
| CK HUTCHISON HLDGS LTD UNSPONSORED ADR | 12562Y100 | CKHUY | 62 00 | 10/27/2014 | 1,056 97 | 11/03/2015 | 838 84 | -218 13 | -20 64% | L |
| CK HUTCHISON HLDGS LTD UNSPONSORED ADR | 12562Y100 | CKHUY | 5 00 | 10/27/2014 | 85 24 | 11/24/2015 | 66 55 | -18 69 | -21 93% | L |
| CK HUTCHISON HLDGS LTD UNSPONSORED ADR | 12562Y100 | CKHUY | 14 00 | 10/28/2014 | 240 85 | 11/24/2015 | 186 33 | -54.52 | -22 64% | L |
| CK HUTCHISON HLDGS LTD UNSPONSORED ADR | 12562Y100 | CKHUY | 29 00 | 10/29/2014 | 507 61 | 11/24/2015 | 385 99 | -121 62 | -23 96% | L |
| CK HUTCHISON HLDGS LTD UNSPONSORED ADR | 12562Y100 | CKHUY | 48.00 | 10/30/2014 | 844 04 | 11/24/2015 | 638 86 | -205 18 | -24 31% | L |
| CK HUTCHISON HLDGS LTD UNSPONSORED ADR | 12562Y100 | CKHUY | 53 00 | 10/31/2014 | 935 19 | 11/24/2015 | 705 42 | -229 77 | -24 57% | L |
| COCA-COLA ENTERPRISES INC NEW *MERGER EFF 05/2016* | 19122T109 | | 12 00 | 01/15/2015 | 507 81 | 05/31/2016 | 637 38 | 129.57 | 25 52% | L |
| COCA-COLA ENTERPRISES INC NEW *MERGER EFF 05/2016* | 19122T109 | | 89 00 | 02/27/2015 | 4,088 30 | 05/31/2016 | 4,727 24 | 638 94 | 15 63% | L |
| COLFAX CORP | 194014106 | CFX | 53 00 | 01/14/2015 | 2,441 48 | 01/08/2016 | 1,094 53 | -1,346 95 | -55 17% | S |
| COLFAX CORP | 194014106 | CFX | 16 00 | 04/06/2015 | 789 92 | 01/08/2016 | 330 43 | -459 49 | -58 17% | S |
| COLUMBIA CONVERTIBLE SECURITIES FUND CLASS Z | 19765H727 | MFCOGC | 5,159 96 | 03/27/2015 | 100,000 00 | 12/08/2015 | 89,886 49 | -10,113 51 | -10 11% | S |
| COMPASS GROUP PLC SPON ADR | 20449X302 | CMPGY | 103 00 | 01/28/2014 | 1,705 04 | 09/09/2015 | 1,639 21 | -65 83 | -3 86% | L |
| COMPASS GROUP PLC SPON ADR | 20449X302 | CMPGY | 111 41 | 01/28/2014 | 1,844 28 | 09/10/2015 | 1,770 71 | -73 57 | -3 99% | L |
| COMPASS GROUP PLC SPON ADR | 20449X302 | CMPGY | 134 59 | 02/18/2014 | 2,240 81 | 09/10/2015 | 2,139 07 | -101 74 | -4 54% | L |
| COMPASS GROUP PLC SPON ADR | 20449X302 | CMPGY | 124 00 | 04/06/2015 | 2,213 29 | 09/10/2015 | 1,970 78 | -242 51 | -10 96% | S |

# Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| CONOCOPHILLIPS | 20825C104 | COP | 38 00 | 09/24/2012 | 2,182 95 | 06/06/2016 | 1,732 52 | -450 43 | -20 63% | L |
| CONOCOPHILLIPS | 20825C104 | COP | 14 00 | 02/04/2014 | 894 95 | 06/06/2016 | 638 29 | -256.66 | -28 68% | L |
| CUMMINS INC | 231021106 | CMI | 40 00 | 12/16/2015 | 3,507 04 | 04/20/2016 | 4,652 61 | 1,145 57 | 32 66% | S |
| CVS HEALTH CORP | 126650100 | CVS | 7.00 | 09/24/2012 | 336 03 | 11/04/2015 | 690 68 | 354 65 | 105 54% | L |
| CVS HEALTH CORP | 126650100 | CVS | 16 00 | 11/14/2012 | 728 95 | 11/04/2015 | 1,578 69 | 849 74 | 116 57% | L |
| CVS HEALTH CORP | 126650100 | CVS | 25 00 | 11/14/2012 | 1,138 99 | 11/11/2015 | 2,419 55 | 1,280 56 | 112 43% | L |
| DANAHER CORP | 235851102 | DHR | 22 00 | 05/31/2012 | 1,140 07 | 05/04/2016 | 2,111 73 | 971 66 | 85 23% | L |
| DANAHER CORP | 235851102 | DHR | 6 00 | 09/24/2012 | 327 76 | 05/04/2016 | 575 93 | 248.17 | 75 72% | L |
| DANONE SPON ADR | 23636T100 | DANOY | 9 00 | 01/14/2015 | 115 26 | 12/16/2015 | 122 75 | 7 49 | 6 50% | S |
| DANONE SPON ADR | 23636T100 | DANOY | 247.00 | 01/14/2015 | 3,163 32 | 12/17/2015 | 3,369 34 | 206 02 | 6 51% | S |
| DANONE SPON ADR | 23636T100 | DANOY | 83 00 | 04/06/2015 | 1,165 58 | 12/17/2015 | 1,132 21 | -33 37 | -2 86% | S |
| DANONE SPON ADR | 23636T100 | DANOY | 114 00 | 09/09/2015 | 1,401 84 | 12/17/2015 | 1,555 08 | 153 24 | 10 93% | S |
| DANONE SPON ADR | 23636T100 | DANOY | 69 00 | 09/09/2015 | 848 48 | 12/18/2015 | 923 29 | 74 81 | 8 82% | S |
| DECKERS OUTDOOR CORP | 243537107 | DECK | 17 00 | 02/03/2014 | 1,292 17 | 05/23/2016 | 853 18 | -438.99 | -33 97% | L |
| DECKERS OUTDOOR CORP | 243537107 | DECK | 36 00 | 02/19/2014 | 3,135 60 | 05/23/2016 | 1,806 73 | -1,328 87 | -42 38% | L |
| DECKERS OUTDOOR CORP | 243537107 | DECK | 19.00 | 04/10/2015 | 1,443 05 | 05/23/2016 | 953 55 | -489 50 | -33 92% | L |
| DECKERS OUTDOOR CORP | 243537107 | DECK | 29 00 | 11/20/2014 | 2,761 34 | 05/24/2016 | 1,423 59 | -1,337 75 | -48 45% | L |
| DECKERS OUTDOOR CORP | 243537107 | DECK | 17 00 | 01/13/2015 | 1,593 65 | 05/24/2016 | 834 51 | -759 14 | -47 64% | L |
| DECKERS OUTDOOR CORP | 243537107 | DECK | 19 00 | 04/06/2015 | 1,434 50 | 05/24/2016 | 932 69 | -501 81 | -34 98% | L |
| DECKERS OUTDOOR CORP | 243537107 | DECK | 51 00 | 05/21/2015 | 3,758 36 | 05/24/2016 | 2,503 55 | -1,254.81 | -33 39% | L |
| DELPHI AUTOMOTIVE PLC | G27823106 | DLPH | 29 00 | 02/14/2013 | 1,158 26 | 12/09/2015 | 2,464 62 | 1,306 36 | 112 79% | L |
| DENSO CORP ADR | 24872B100 | DNZOY | 39.00 | 11/24/2015 | 933 73 | 01/27/2016 | 823 71 | -110 02 | -11 78% | S |
| DENSO CORP ADR | 24872B100 | DNZOY | 45 00 | 11/24/2015 | 1,077 38 | 01/29/2016 | 944 45 | -132 93 | -12 34% | S |
| DIAGEO PLC NEW GB SPON ADR | 25243Q205 | DEO | 2 00 | 05/31/2012 | 190 94 | 07/09/2015 | 230 96 | 40 02 | 20 96% | L |
| DIAGEO PLC NEW GB SPON ADR | 25243Q205 | DEO | 17 00 | 09/24/2012 | 1,897 20 | 07/09/2015 | 1,963 19 | 65 99 | 3 48% | L |
| DIAGEO PLC NEW GB SPON ADR | 25243Q205 | DEO | 11 00 | 02/14/2013 | 1,315 05 | 07/09/2015 | 1,270 30 | -44.75 | -3 40% | L |

# Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| DIGITAL REALTY TRUST INC REIT | 253868103 | DLR | 16 00 | 03/21/2013 | 1,060 00 | 05/26/2016 | 1,516 30 | 456 30 | 43 05% | L |
| DIGITAL REALTY TRUST INC REIT | 253868103 | DLR | 9 00 | 03/21/2013 | 596 25 | 06/08/2016 | 914 58 | 318.33 | 53 39% | L |
| DIGITAL REALTY TRUST INC REIT | 253868103 | DLR | 2 00 | 10/25/2013 | 114 50 | 06/08/2016 | 203 24 | 88 74 | 77 50% | L |
| DILLARDS INC CL A | 254067101 | DDS | 87.00 | 10/06/2015 | 7,907 66 | 01/20/2016 | 5,636 81 | -2,270 85 | -28 72% | S |
| DILLARDS INC CL A | 254067101 | DDS | 6 00 | 03/17/2014 | 548 64 | 04/15/2016 | 430 57 | -118 07 | -21 52% | L |
| DILLARDS INC CL A | 254067101 | DDS | 4 00 | 03/25/2014 | 353 51 | 04/15/2016 | 287 04 | -66 47 | -18 80% | L |
| DISCOVER FINANCIAL SERVICES | 254709108 | DFS | 38 00 | 12/18/2015 | 2,002 44 | 03/24/2016 | 1,872 39 | -130 05 | -6 49% | S |
| DISCOVER FINANCIAL SERVICES | 254709108 | DFS | 52 00 | 12/18/2015 | 2,740 19 | 06/16/2016 | 2,683 67 | -56.52 | -2 06% | S |
| DNB ASA SPON ADR | 23328E106 | DNHBY | 1 00 | 01/02/2015 | 147 43 | 11/03/2015 | 127 62 | -19 81 | -13 44% | S |
| DNB ASA SPON ADR | 23328E106 | DNHBY | 4.00 | 01/05/2015 | 566 27 | 11/03/2015 | 510 50 | -55 77 | -9 85% | S |
| DNB ASA SPON ADR | 23328E106 | DNHBY | 4 00 | 01/05/2015 | 566 26 | 11/24/2015 | 514 17 | -52 09 | -9 20% | S |
| DNB ASA SPON ADR | 23328E106 | DNHBY | 4 00 | 01/06/2015 | 554 15 | 11/24/2015 | 514 17 | -39 98 | -7 21% | S |
| DOLBY LABORATORIES INC CL A | 25659T107 | DLB | 13 00 | 08/25/2015 | 416 84 | 05/03/2016 | 604 57 | 187 73 | 45 04% | S |
| DOLBY LABORATORIES INC CL A | 25659T107 | DLB | 4 00 | 08/25/2015 | 128 26 | 05/04/2016 | 184 80 | 56.54 | 44 08% | S |
| DORMAN PRODUCTS INC | 258278100 | DORM | 3 00 | 07/31/2012 | 83 22 | 04/14/2016 | 159 33 | 76 11 | 91 46% | L |
| DORMAN PRODUCTS INC | 258278100 | DORM | 5.00 | 09/24/2012 | 153 15 | 04/14/2016 | 265 55 | 112 40 | 73 39% | L |
| DOVER CORP | 260003108 | DOV | 38 00 | 09/03/2015 | 2,263 60 | 02/12/2016 | 2,243 27 | -20 33 | -0 90% | S |
| DOVER CORP | 260003108 | DOV | 36 00 | 09/03/2015 | 2,144 47 | 02/19/2016 | 2,174 55 | 30 08 | 1 40% | S |
| DOW CHEMICAL | 260543103 | DOW | 15 00 | 02/03/2016 | 697 82 | 06/27/2016 | 748 78 | 50 96 | 7 30% | S |
| DRIL-QUIP INC | 262037104 | DRQ | 80 00 | 05/29/2015 | 6,089 30 | 10/07/2015 | 5,255 10 | -834.20 | -13 70% | S |
| DST SYSTEMS INC DELA | 233326107 | DST | 32 00 | 02/11/2014 | 2,931 52 | 01/22/2016 | 3,408 10 | 476 58 | 16 26% | L |
| DST SYSTEMS INC DELA | 233326107 | DST | 30.00 | 02/18/2014 | 2,844 60 | 01/22/2016 | 3,195 10 | 350 50 | 12 32% | L |
| DST SYSTEMS INC DELA | 233326107 | DST | 31 00 | 04/06/2015 | 3,489 98 | 01/22/2016 | 3,301 60 | -188 38 | -5 40% | S |
| DU PONT DE NEMOURS | 263534109 | DD | 33 00 | 02/14/2014 | 2,019 32 | 11/18/2015 | 2,220 87 | 201 55 | 9 98% | L |
| DU PONT DE NEMOURS | 263534109 | DD | 70 00 | 02/14/2014 | 4,283 40 | 12/09/2015 | 5,237 97 | 954 57 | 22 29% | L |
| DU PONT DE NEMOURS | 263534109 | DD | 44 00 | 02/14/2014 | 2,692 43 | 03/07/2016 | 2,846 41 | 153.98 | 5 72% | L |

# Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| EATON CORP PLC | G29183103 | ETN | 161 00 | 02/14/2014 | 11,147 21 | 08/19/2015 | 9,411 89 | -1,735 32 | -15 57% | L |
| EATON CORP PLC | G29183103 | ETN | 69 00 | 04/06/2015 | 4,613 34 | 08/19/2015 | 4,033 66 | -579.68 | -12 57% | S |
| EATON CORP PLC | G29183103 | ETN | 19 00 | 07/29/2013 | 1,214 52 | 02/22/2016 | 1,097 48 | -117 04 | -9 64% | L |
| EATON CORP PLC | G29183103 | ETN | 15.00 | 02/19/2014 | 1,029 00 | 02/22/2016 | 866 43 | -162 57 | -15 80% | L |
| EMBRAER S A SPON ADR | 29082A107 | ERJ | 5 00 | 11/24/2015 | 159 24 | 05/02/2016 | 115 26 | -43 98 | -27 62% | S |
| EMBRAER S A SPON ADR | 29082A107 | ERJ | 6 00 | 11/24/2015 | 191 10 | 05/03/2016 | 137 01 | -54 09 | -28 30% | S |
| EMBRAER S A SPON ADR | 29082A107 | ERJ | 6 00 | 11/24/2015 | 191 09 | 05/04/2016 | 135 85 | -55 24 | -28 91% | S |
| EMBRAER S A SPON ADR | 29082A107 | ERJ | 12 00 | 11/24/2015 | 382 18 | 06/09/2016 | 260 28 | -121.90 | -31 90% | S |
| EMBRAER S A SPON ADR | 29082A107 | ERJ | 11 00 | 11/24/2015 | 350 34 | 06/13/2016 | 224 42 | -125 92 | -35 94% | S |
| EMBRAER S A SPON ADR | 29082A107 | ERJ | 8.00 | 11/24/2015 | 254 79 | 06/14/2016 | 160 21 | -94 58 | -37 12% | S |
| EMBRAER S A SPON ADR | 29082A107 | ERJ | 10 00 | 11/24/2015 | 318 49 | 06/15/2016 | 201 78 | -116 71 | -36 64% | S |
| EMBRAER S A SPON ADR | 29082A107 | ERJ | 5 00 | 11/24/2015 | 159 24 | 06/21/2016 | 105 92 | -53 32 | -33 48% | S |
| EMC CORP MASS | 268648102 | EMC | 81 00 | 02/14/2014 | 2,070 35 | 10/08/2015 | 2,213 78 | 143 43 | 6 93% | L |
| EMC CORP MASS | 268648102 | EMC | 188 00 | 02/14/2014 | 4,805 26 | 01/08/2016 | 4,635 99 | -169.27 | -3 52% | L |
| EMC CORP MASS | 268648102 | EMC | 120 00 | 02/14/2014 | 3,067 19 | 02/11/2016 | 2,910 00 | -157 19 | -5 12% | L |
| EMC CORP MASS | 268648102 | EMC | 172.00 | 04/06/2015 | 4,423 12 | 02/11/2016 | 4,171 00 | -252 12 | -5 70% | S |
| ENANTA PHARMACEUTICALS INC | 29251M106 | ENTA | 3 00 | 12/19/2014 | 136 43 | 05/18/2016 | 71 21 | -65 22 | -47 80% | L |
| ENANTA PHARMACEUTICALS INC | 29251M106 | ENTA | 2 00 | 12/19/2014 | 90 96 | 05/19/2016 | 46 21 | -44 75 | -49 20% | L |
| ENANTA PHARMACEUTICALS INC | 29251M106 | ENTA | 7 00 | 03/20/2015 | 244 67 | 05/19/2016 | 161 75 | -82 92 | -33 89% | L |
| ENANTA PHARMACEUTICALS INC | 29251M106 | ENTA | 5 00 | 03/20/2015 | 174 76 | 05/20/2016 | 118 92 | -55.84 | -31 95% | L |
| ENANTA PHARMACEUTICALS INC | 29251M106 | ENTA | 3 00 | 03/20/2015 | 104 85 | 05/25/2016 | 71 69 | -33 16 | -31 63% | L |
| ENANTA PHARMACEUTICALS INC | 29251M106 | ENTA | 4.00 | 03/20/2015 | 139 81 | 05/26/2016 | 93 19 | -46 62 | -33 35% | L |
| ENANTA PHARMACEUTICALS INC | 29251M106 | ENTA | 5 00 | 03/20/2015 | 174 76 | 05/27/2016 | 120 52 | -54 24 | -31 04% | L |
| ENANTA PHARMACEUTICALS INC | 29251M106 | ENTA | 4 00 | 03/20/2015 | 139 81 | 06/01/2016 | 99 85 | -39 96 | -28 58% | L |
| ENN ENERGY HOLDINGS LTD SPON ADR | 26876F102 | XNGSY | 0 75 | 08/27/2015 | 15 24 | 10/14/2015 | 17 58 | 2 34 | 15 35% | S |
| ENN ENERGY HOLDINGS LTD SPON ADR | 26876F102 | XNGSY | 5 50 | 08/27/2015 | 111 80 | 11/03/2015 | 121 41 | 9.61 | 8 60% | S |

YX XX289 Main
YX XX290 Trillium SRI
YX XX291 Equity-Atlanta
YX XX292 Taxable FI
YX XX293 Estabrook SRI
YX XX294 Equity-MFS
YX XX295 Equity-Rothchld
YX XX296 NB SRI
YX XX297 Equity-Cambiar
YX XX298 Equity-Funds
YX XX299 Alt Inv-Main
YX XX300 UBS SRI
YX XX301 NA SRI
YX XX887 Equity-Non-Fee
YX XX888 Equity-APEX
YX XX176 Yield Oriented

# Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| ENN ENERGY HOLDINGS LTD SPON ADR | 26876F102 | XNGSY | 6 25 | 08/28/2015 | 132 97 | 11/03/2015 | 137 97 | 5 00 | 3 76% | S |
| ENN ENERGY HOLDINGS LTD SPON ADR | 26876F102 | XNGSY | 6 25 | 08/31/2015 | 130 08 | 11/03/2015 | 137 96 | 7.88 | 6 06% | S |
| ENN ENERGY HOLDINGS LTD SPON ADR | 26876F102 | XNGSY | 6 25 | 09/01/2015 | 126 57 | 11/03/2015 | 137 97 | 11 40 | 9 01% | S |
| ENN ENERGY HOLDINGS LTD SPON ADR | 26876F102 | XNGSY | 6.25 | 09/02/2015 | 126 43 | 11/03/2015 | 137 96 | 11 53 | 9 12% | S |
| ENN ENERGY HOLDINGS LTD SPON ADR | 26876F102 | XNGSY | 6 25 | 09/14/2015 | 132 35 | 11/03/2015 | 137 97 | 5 62 | 4 25% | S |
| ENN ENERGY HOLDINGS LTD SPON ADR | 26876F102 | XNGSY | 6 25 | 09/15/2015 | 128 07 | 11/03/2015 | 137 97 | 9 90 | 7 73% | S |
| ENN ENERGY HOLDINGS LTD SPON ADR | 26876F102 | XNGSY | 5 00 | 09/16/2015 | 101 02 | 11/03/2015 | 110 37 | 9 35 | 9 26% | S |
| ENN ENERGY HOLDINGS LTD SPON ADR | 26876F102 | XNGSY | 7 50 | 09/16/2015 | 151 53 | 11/24/2015 | 158 32 | 6.79 | 4 48% | S |
| ENN ENERGY HOLDINGS LTD SPON ADR | 26876F102 | XNGSY | 12 50 | 09/17/2015 | 258 65 | 11/24/2015 | 263 87 | 5 22 | 2 02% | S |
| ENN ENERGY HOLDINGS LTD SPON ADR | 26876F102 | XNGSY | 12.50 | 09/18/2015 | 260 46 | 11/24/2015 | 263 87 | 3 41 | 1 31% | S |
| ENN ENERGY HOLDINGS LTD SPON ADR | 26876F102 | XNGSY | 12 50 | 09/25/2015 | 242 80 | 11/24/2015 | 263 87 | 21 07 | 8 68% | S |
| EQUITY RESIDENTIAL SBI | 29476L107 | EQR | 67 00 | 04/15/2015 | 5,046 09 | 02/25/2016 | 4,945 72 | -100 37 | -1 99% | S |
| ESSENDANT INC COM | 296689102 | ESND | 139 00 | 11/06/2014 | 5,844 30 | 10/06/2015 | 4,635 97 | -1,208 33 | -20 68% | S |
| ESSENDANT INC COM | 296689102 | ESND | 63 00 | 04/06/2015 | 2,683 80 | 10/06/2015 | 2,101 20 | -582.60 | -21 71% | S |
| EVERBANK FINL CORP | 29977G102 | EVER | 397 00 | 09/14/2015 | 7,977 40 | 12/23/2015 | 6,472 61 | -1,504 79 | -18 86% | S |
| EXPEDIA INC | 30212P303 | EXPE | 6.00 | 03/17/2014 | 459 48 | 11/09/2015 | 779 66 | 320 18 | 69 68% | L |
| EXPEDIA INC | 30212P303 | EXPE | 17 00 | 03/25/2014 | 1,231 18 | 11/09/2015 | 2,209 05 | 977 87 | 79 43% | L |
| EXPONENT INC | 30214U102 | EXPO | 4 00 | 06/28/2012 | 101 60 | 11/20/2015 | 204 48 | 102 88 | 101 26% | L |
| EXPONENT INC | 30214U102 | EXPO | 9 00 | 09/24/2012 | 254 25 | 11/20/2015 | 460 08 | 205 83 | 80 96% | L |
| EXXON MOBIL CORP | 30231G102 | XOM | 11 00 | 02/14/2013 | 969 73 | 09/09/2015 | 819 65 | -150.08 | -15 48% | L |
| EXXON MOBIL CORP | 30231G102 | XOM | 28 00 | 05/08/2013 | 2,556 74 | 09/09/2015 | 2,086 37 | -470 37 | -18 40% | L |
| EXXON MOBIL CORP | 30231G102 | XOM | 1.00 | 11/06/2013 | 93 20 | 09/09/2015 | 74 51 | -18 69 | -20 05% | L |
| EXXON MOBIL CORP | 30231G102 | XOM | 45 00 | 11/06/2013 | 4,193 94 | 10/14/2015 | 3,605 38 | -588 56 | -14 03% | L |
| F5 NETWORKS INC | 315616102 | FFIV | 21 00 | 07/21/2014 | 2,302 05 | 08/21/2015 | 2,585 69 | 283 64 | 12 32% | L |
| FACTSET RESH SYSTEMS INC | 303075105 | FDS | 20 00 | 03/04/2015 | 3,073 40 | 06/15/2016 | 3,178 14 | 104 74 | 3 41% | L |
| FACTSET RESH SYSTEMS INC | 303075105 | FDS | 9 00 | 04/06/2015 | 1,441 44 | 06/15/2016 | 1,430 17 | -11.27 | -0 78% | L |

# Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| FACTSET RESH SYSTEMS INC | 303075105 | FDS | 9 00 | 10/20/2015 | 1,510 45 | 06/15/2016 | 1,430 16 | -80 29 | -5 32% | S |
| FACTSET RESH SYSTEMS INC | 303075105 | FDS | 12 00 | 05/05/2016 | 1,788 18 | 06/15/2016 | 1,906 89 | 118.71 | 6 64% | S |
| FAIR ISAAC CORP | 303250104 | FICO | 2 00 | 06/28/2012 | 81 76 | 02/10/2016 | 186 70 | 104 94 | 128 35% | L |
| FAIR ISAAC CORP | 303250104 | FICO | 5.00 | 09/24/2012 | 229 10 | 02/10/2016 | 466 75 | 237 65 | 103 73% | L |
| FEDERATED INVESTORS INC PA CL B | 314211103 | FII | 58 00 | 01/15/2015 | 1,792 78 | 10/09/2015 | 1,722 91 | -69 87 | -3 90% | S |
| FEDERATED INVESTORS INC PA CL B | 314211103 | FII | 71 00 | 01/15/2015 | 2,194 60 | 01/22/2016 | 1,827 18 | -367 42 | -16 74% | L |
| FIDELITY NATL INFORMATION SVCS | 31620M106 | FIS | 4 00 | 05/08/2013 | 173 89 | 09/03/2015 | 273 03 | 99 14 | 57 01% | L |
| FIDELITY NATL INFORMATION SVCS | 31620M106 | FIS | 30 00 | 07/10/2013 | 1,342 59 | 09/03/2015 | 2,047 71 | 705.12 | 52 52% | L |
| FIDELITY NATL INFORMATION SVCS | 31620M106 | FIS | 26 00 | 07/10/2013 | 1,163 57 | 04/13/2016 | 1,670 40 | 506 83 | 43 56% | L |
| FIDELITY NATL INFORMATION SVCS | 31620M106 | FIS | 4.00 | 07/11/2013 | 181 46 | 04/13/2016 | 256 98 | 75 52 | 41 62% | L |
| FIDELITY NATL INFORMATION SVCS | 31620M106 | FIS | 27 00 | 04/30/2014 | 1,428 84 | 04/13/2016 | 1,734 65 | 305 81 | 21 40% | L |
| FIDELITY NATL INFORMATION SVCS | 31620M106 | FIS | 28 00 | 04/30/2014 | 1,481 76 | 05/11/2016 | 2,056 71 | 574 95 | 38 80% | L |
| FIDELITY NATL INFORMATION SVCS | 31620M106 | FIS | 14 00 | 05/29/2014 | 757 40 | 05/11/2016 | 1,028 36 | 270 96 | 35 78% | L |
| FIREEYE INC | 31816Q101 | FEYE | 27 00 | 03/18/2015 | 1,165 28 | 04/15/2016 | 485 04 | -680.24 | -58 38% | L |
| FIRST REP BANK | 33616C100 | FRC | 36 00 | 02/03/2014 | 1,719 00 | 05/23/2016 | 2,517 46 | 798 46 | 46 45% | L |
| FIRST REP BANK | 33616C100 | FRC | 11.00 | 02/19/2014 | 555 47 | 05/23/2016 | 769 22 | 213 75 | 38 48% | L |
| FIRST SOLAR INC | 336433107 | FSLR | 17 00 | 04/17/2015 | 829 09 | 02/03/2016 | 1,128 06 | 298 97 | 36 06% | S |
| FIRST SOLAR INC | 336433107 | FSLR | 25 00 | 05/13/2015 | 1,219 25 | 02/03/2016 | 1,658 90 | 439 65 | 36 06% | S |
| FLUOR CORP NEW | 343412102 | FLR | 36 00 | 09/14/2015 | 1,629 89 | 05/06/2016 | 1,862 61 | 232 72 | 14 28% | S |
| FORD MOTOR CO COM NEW | 345370860 | F | 147 00 | 02/14/2014 | 2,241 21 | 10/15/2015 | 2,207 91 | -33.30 | -1 49% | L |
| FORD MOTOR CO COM NEW | 345370860 | F | 186 00 | 01/15/2015 | 2,774 21 | 06/06/2016 | 2,450 44 | -323 77 | -11 67% | L |
| FORD MOTOR CO COM NEW | 345370860 | F | 194.00 | 01/15/2015 | 2,893 53 | 06/09/2016 | 2,555 68 | -337 85 | -11 68% | L |
| FOREST CITY ENTERPRISES INC CL A *MERGER EFF 01/2016* | 345550107 | | 18 00 | 09/24/2012 | 284 88 | 09/28/2015 | 353 35 | 68 47 | 24 03% | L |
| FOREST CITY ENTERPRISES INC CL A *MERGER EFF 01/2016* | 345550107 | | 11 00 | 09/24/2012 | 174 09 | 10/09/2015 | 237 89 | 63 80 | 36 65% | L |
| FOREST CITY ENTERPRISES INC CL A *MERGER EFF 01/2016* | 345550107 | | 7 00 | 01/31/2014 | 126 69 | 10/09/2015 | 151 38 | 24 69 | 19 49% | L |

# Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| FOREST CITY REALTY TRUST INC REIT CL A | 345605109 | FCEA | 34.00 | 01/31/2014 | 615 34 | 01/26/2016 | 672 20 | 56 86 | 9 24% | L |
| FOREST CITY REALTY TRUST INC REIT CL A | 345605109 | FCEA | 14 00 | 09/16/2015 | 288 89 | 01/26/2016 | 276 79 | -12 10 | -4 19% | S |
| FOSSIL GROUP INC COM | 34988V106 | FOSL | 52 00 | 10/13/2014 | 5,042 44 | 06/09/2016 | 1,477 16 | -3,565 28 | -70 71% | L |
| FOSSIL GROUP INC COM | 34988V106 | FOSL | 24 00 | 04/06/2015 | 2,033 28 | 06/09/2016 | 681 76 | -1,351 52 | -66 47% | L |
| FPA CRESCENT FUND | 30254T759 | MFFPCD | 2,591 42 | 06/23/2014 | 90,000 00 | 02/25/2016 | 76,369 15 | -13,630.85 | -15 15% | L |
| FRANKLIN RESOURCES INC | 354613101 | BEN | 62 00 | 06/12/2013 | 3,024 77 | 03/10/2016 | 2,266 85 | -757 92 | -25 06% | L |
| FRANKLIN RESOURCES INC | 354613101 | BEN | 8.00 | 06/12/2013 | 390 29 | 05/11/2016 | 288 71 | -101 58 | -26 03% | L |
| FRANKLIN RESOURCES INC | 354613101 | BEN | 48 00 | 07/10/2013 | 2,210 08 | 05/11/2016 | 1,732 23 | -477 85 | -21 62% | L |
| FRANKLIN RESOURCES INC | 354613101 | BEN | 34 00 | 06/18/2014 | 1,959 99 | 05/11/2016 | 1,227 00 | -732 99 | -37 40% | L |
| FRANKLIN RESOURCES INC | 354613101 | BEN | 35 00 | 06/18/2014 | 2,017 63 | 06/01/2016 | 1,290 93 | -726 70 | -36 02% | L |
| FRANKLIN RESOURCES INC | 354613101 | BEN | 62 00 | 07/31/2014 | 3,391 17 | 06/01/2016 | 2,286 79 | -1,104.38 | -32 57% | L |
| GANNETT CO INC | 36473H104 | GCI | 37 50 | 02/11/2014 | 380 79 | 07/28/2015 | 497 93 | 117 14 | 30 76% | L |
| GANNETT CO INC | 36473H104 | GCI | 39.00 | 02/18/2014 | 405 74 | 07/28/2015 | 517 85 | 112 11 | 27 63% | L |
| GANNETT CO INC | 36473H104 | GCI | 41 50 | 04/06/2015 | 553 52 | 07/28/2015 | 551 04 | -2 48 | -0 45% | S |
| GENL MILLS INC | 370334104 | GIS | 48 00 | 05/31/2012 | 1,840 50 | 04/06/2016 | 3,076 73 | 1,236 23 | 67 17% | L |
| GILEAD SCIENCES INC | 375558103 | GILD | 23 00 | 02/19/2014 | 1,915 67 | 11/20/2015 | 2,478 98 | 563 31 | 29 41% | L |
| GLOBAL LOGISTIC PPTYS LTD UNSPONSORED ADR SGD | 379411101 | GBTZY | 53 00 | 11/24/2015 | 801 18 | 01/27/2016 | 615.09 | -186 09 | -23.23% | S |
| GLOBAL LOGISTIC PPTYS LTD UNSPONSORED ADR SGD | 379411101 | GBTZY | 79 00 | 11/24/2015 | 1,194 21 | 01/29/2016 | 917 70 | -276 51 | -23 15% | S |
| GLOBAL PAYMENTS INC | 37940X102 | GPN | 24 00 | 03/17/2014 | 857 52 | 03/17/2016 | 1,426 76 | 569 24 | 66 38% | L |
| GLOBAL PAYMENTS INC | 37940X102 | GPN | 22 00 | 03/25/2014 | 776 47 | 03/17/2016 | 1,307 86 | 531 39 | 68 44% | L |
| GLOBAL PAYMENTS INC | 37940X102 | GPN | 0 06 | 03/17/2014 | 3 99 | 04/25/2016 | 4 48 | 0 49 | 12 28% | L |
| GOLDMAN SACHS ROS RTY 01/27/2016 | 38148K496 | | 20,000 00 | 11/21/2014 | 200,000 00 | 01/27/2016 | 170,134 50 | -29,865 50 | -14 93% | L |
| GOOGLE INC **NAME CHANGE 10/2015** CL A | 38259P508 | | 2 00 | 03/21/2013 | 814 60 | 08/21/2015 | 1,295 09 | 480 49 | 58 98% | L |
| GOOGLE INC **NAME CHANGE 10/2015** CL A | 38259P508 | | 2 00 | 02/19/2014 | 1,207 03 | 08/21/2015 | 1,295 09 | 88 06 | 7 30% | L |
| GRACO INC | 384109104 | GGG | 14 00 | 10/06/2015 | 991 85 | 02/19/2016 | 1,057 55 | 65 70 | 6 62% | S |
| GRACO INC | 384109104 | GGG | 28 00 | 10/06/2015 | 1,983 71 | 04/01/2016 | 2,392 22 | 408 51 | 20 59% | S |

# Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| GRACO INC | 384109104 | GGG | 4.00 | 06/28/2012 | 173 40 | 04/14/2016 | 335 63 | 162 23 | 93 56% | L |
| GRACO INC | 384109104 | GGG | 51 00 | 10/06/2015 | 3,613 19 | 04/19/2016 | 4,393 00 | 779 81 | 21 58% | S |
| GRACO INC | 384109104 | GGG | 14 00 | 11/18/2015 | 1,031 25 | 04/19/2016 | 1,205 92 | 174 67 | 16 94% | S |
| HCC INSURANCE HLDGS INC **MERGER EFF 10/2015** | 404132102 | | 10 00 | 09/24/2012 | 340 40 | 07/21/2015 | 772 34 | 431 94 | 126 89% | L |
| HCC INSURANCE HLDGS INC **MERGER EFF 10/2015** | 404132102 | | 2 00 | 09/24/2012 | 68 08 | 08/10/2015 | 154 53 | 86 45 | 126 98% | L |
| HCC INSURANCE HLDGS INC **MERGER EFF 10/2015** | 404132102 | | 7 00 | 01/31/2014 | 301 83 | 08/10/2015 | 540 85 | 239 02 | 79 19% | L |
| HEARTLAND PMT SYSTEMS INC **MERGER EFF 04/2016** | 42235N108 | | 17.00 | 03/17/2014 | 743 41 | 04/25/2016 | 1,649 17 | 905 76 | 121 84% | L |
| HEARTLAND PMT SYSTEMS INC **MERGER EFF 04/2016** | 42235N108 | | 13 00 | 03/25/2014 | 545 95 | 04/25/2016 | 1,238 59 | 692 64 | 126 87% | L |
| HEARTWARE INTL INC | 422368100 | HTWR | 27 00 | 12/16/2015 | 1,275.84 | 03/24/2016 | 807 16 | -468.68 | -36 74% | S |
| HEARTWARE INTL INC | 422368100 | HTWR | 30 00 | 12/16/2015 | 1,417 60 | 03/28/2016 | 893 11 | -524 49 | -37 00% | S |
| HEIDELBERGCEMENT AG SHS ORD EUR | D31709104 | HLBZF | 38 00 | 03/21/2013 | 2,811 62 | 09/09/2015 | 2,788 55 | -23.07 | -0 82% | L |
| HEIDELBERGCEMENT AG SHS ORD EUR | D31709104 | HLBZF | 23 00 | 02/18/2014 | 1,820 45 | 09/09/2015 | 1,687 81 | -132 64 | -7 29% | L |
| HEIDELBERGCEMENT AG SHS ORD EUR | D31709104 | HLBZF | 12 00 | 08/14/2014 | 893 17 | 09/09/2015 | 880 60 | -12 57 | -1 41% | L |
| HEIDELBERGCEMENT AG SHS ORD EUR | D31709104 | HLBZF | 2 00 | 10/16/2014 | 127 98 | 09/09/2015 | 146 76 | 18 78 | 14 67% | S |
| HEIDELBERGCEMENT AG SHS ORD EUR | D31709104 | HLBZF | 28 00 | 10/17/2014 | 1,852.09 | 09/09/2015 | 2,054 72 | 202.63 | 10 94% | S |
| HEIDELBERGCEMENT AG SHS ORD EUR | D31709104 | HLBZF | 34 00 | 04/06/2015 | 2,786 64 | 09/09/2015 | 2,495 02 | -291 62 | -10 46% | S |
| HEIDELBERGCEMENT AG SHS ORD EUR | D31709104 | HLBZF | 29 00 | 07/29/2015 | 2,151 39 | 09/09/2015 | 2,128 11 | -23.28 | -1 08% | S |
| HENRY JACK & ASSOC INC | 426281101 | JKHY | 10 00 | 06/28/2012 | 332 60 | 02/09/2016 | 761 93 | 429 33 | 129 08% | L |
| HENRY JACK & ASSOC INC | 426281101 | JKHY | 2 00 | 09/24/2012 | 75 46 | 02/09/2016 | 152 38 | 76 92 | 101 93% | L |
| HENRY JACK & ASSOC INC | 426281101 | JKHY | 4 00 | 09/24/2012 | 150 92 | 04/06/2016 | 335 08 | 184 16 | 122 02% | L |
| HENRY JACK & ASSOC INC | 426281101 | JKHY | 3 00 | 09/24/2012 | 113.19 | 04/18/2016 | 246 77 | 133.58 | 118 01% | L |
| HENRY JACK & ASSOC INC | 426281101 | JKHY | 22 00 | 09/24/2012 | 830 06 | 06/10/2016 | 1,857 53 | 1,027 47 | 123 78% | L |
| HERMAN MILLER INC | 600544100 | MLHR | 201 00 | 02/21/2014 | 5,617 95 | 09/24/2015 | 5,646 35 | 28.40 | 0 51% | L |
| HERMAN MILLER INC | 600544100 | MLHR | 88 00 | 12/19/2014 | 2,611 08 | 09/24/2015 | 2,472 03 | -139 05 | -5 33% | S |
| HERMAN MILLER INC | 600544100 | MLHR | 122 00 | 04/06/2015 | 3,463 25 | 09/24/2015 | 3,427 14 | -36 11 | -1 04% | S |

# Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| HIBBETT SPORTS INC | 428567101 | HIBB | 9 00 | 01/31/2014 | 540 57 | 04/14/2016 | 310 36 | -230 21 | -42 59% | L |
| HINO MOTORS LTD ADR ADR | 433406204 | HINOY | 3 00 | 05/07/2014 | 369 64 | 05/04/2016 | 278 43 | -91.21 | -24 68% | L |
| HINO MOTORS LTD ADR ADR | 433406204 | HINOY | 3 00 | 05/07/2014 | 369 63 | 05/05/2016 | 280 40 | -89 23 | -24 14% | L |
| HINO MOTORS LTD ADR ADR | 433406204 | HINOY | 6.00 | 05/07/2014 | 739 27 | 05/06/2016 | 556 82 | -182 45 | -24 68% | L |
| HINO MOTORS LTD ADR ADR | 433406204 | HINOY | 10 00 | 05/07/2014 | 1,232 12 | 05/09/2016 | 936 70 | -295 42 | -23 98% | L |
| HINO MOTORS LTD ADR ADR | 433406204 | HINOY | 2 00 | 05/07/2014 | 246 42 | 05/10/2016 | 192 20 | -54 22 | -22 00% | L |
| HINO MOTORS LTD ADR ADR | 433406204 | HINOY | 3 00 | 05/28/2014 | 380 37 | 05/10/2016 | 288 29 | -92 08 | -24 21% | L |
| HINO MOTORS LTD ADR ADR | 433406204 | HINOY | 3 00 | 05/29/2014 | 384 96 | 05/10/2016 | 288 29 | -96.67 | -25 11% | L |
| HINO MOTORS LTD ADR ADR | 433406204 | HINOY | 4 00 | 04/06/2015 | 557 74 | 05/10/2016 | 384 39 | -173 35 | -31 08% | L |
| HINO MOTORS LTD ADR ADR | 433406204 | HINOY | 8.00 | 04/06/2015 | 1,115 48 | 05/11/2016 | 766 98 | -348 50 | -31 24% | L |
| HOLOGIC INC | 436440101 | HOLX | 80 00 | 01/07/2016 | 3,019 95 | 03/18/2016 | 2,762 91 | -257 04 | -8 51% | S |
| HOME DEPOT INC | 437076102 | HD | 16 00 | 01/15/2015 | 1,632 08 | 12/18/2015 | 2,094 13 | 462 05 | 28 31% | S |
| HOME DEPOT INC | 437076102 | HD | 22 00 | 02/19/2014 | 1,689 32 | 05/23/2016 | 2,904 89 | 1,215 57 | 71 96% | L |
| HONEYWELL INTL INC | 438516106 | HON | 18 00 | 05/31/2012 | 1,000 55 | 07/22/2015 | 1,885 47 | 884.92 | 88 44% | L |
| HONEYWELL INTL INC | 438516106 | HON | 24 00 | 05/31/2012 | 1,334 06 | 09/02/2015 | 2,340 11 | 1,006 05 | 75 41% | L |
| HONEYWELL INTL INC | 438516106 | HON | 2.00 | 09/24/2012 | 119 98 | 09/02/2015 | 195 01 | 75 03 | 62 54% | L |
| HSBC HOLDINGS PLC NEW GB SPON ADR | 404280406 | HSBC | 145 00 | 05/21/2015 | 6,960 00 | 02/22/2016 | 4,576 38 | -2,383 62 | -34 25% | S |
| HUB GROUP INC CL A | 443320106 | HUBG | 1 00 | 09/17/2014 | 41 59 | 02/04/2016 | 32 59 | -9 00 | -21 64% | L |
| HUB GROUP INC CL A | 443320106 | HUBG | 2 00 | 10/10/2014 | 76 04 | 02/04/2016 | 66 24 | -9 80 | -12 89% | L |
| HUB GROUP INC CL A | 443320106 | HUBG | 12 00 | 10/10/2014 | 456 22 | 02/04/2016 | 391 07 | -65.15 | -14 28% | L |
| HUB GROUP INC CL A | 443320106 | HUBG | 12 00 | 01/08/2015 | 430 38 | 02/04/2016 | 397 46 | -32 92 | -7 65% | L |
| HUB GROUP INC CL A | 443320106 | HUBG | 10.00 | 01/08/2015 | 363 41 | 02/04/2016 | 331 23 | -32 18 | -8 86% | L |
| IAC INTERACTIVECORP | 44919P508 | IAC | 13 00 | 03/17/2014 | 967 59 | 04/08/2016 | 610 18 | -357 41 | -36 94% | L |
| ICICI BANK LTD SPON ADR | 45104G104 | IBN | 99 00 | 06/22/2015 | 1,028 22 | 11/03/2015 | 850 64 | -177 58 | -17 27% | S |
| ICICI BANK LTD SPON ADR | 45104G104 | IBN | 19 00 | 06/26/2015 | 198 19 | 11/03/2015 | 163 26 | -34 93 | -17 62% | S |
| ICICI BANK LTD SPON ADR | 45104G104 | IBN | 30 00 | 06/30/2015 | 308 93 | 11/03/2015 | 257 77 | -51.16 | -16 56% | S |

# Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| ICICI BANK LTD SPON ADR | 45104G104 | IBN | 20 00 | 07/02/2015 | 207 39 | 11/03/2015 | 171 85 | -35 54 | -17 14% | S |
| ICICI BANK LTD SPON ADR | 45104G104 | IBN | 32 00 | 07/06/2015 | 331 62 | 11/03/2015 | 274 95 | -56.67 | -17 09% | S |
| ICICI BANK LTD SPON ADR | 45104G104 | IBN | 7 00 | 08/14/2015 | 68 17 | 11/03/2015 | 60 15 | -8 02 | -11 76% | S |
| ICICI BANK LTD SPON ADR | 45104G104 | IBN | 13.00 | 08/14/2015 | 126 59 | 11/24/2015 | 105 05 | -21 54 | -17 02% | S |
| ICICI BANK LTD SPON ADR | 45104G104 | IBN | 46 00 | 08/24/2015 | 391 87 | 11/24/2015 | 371 70 | -20 17 | -5 15% | S |
| ICICI BANK LTD SPON ADR | 45104G104 | IBN | 92 00 | 08/25/2015 | 807 33 | 11/24/2015 | 743 40 | -63 93 | -7 92% | S |
| ICICI BANK LTD SPON ADR | 45104G104 | IBN | 83 00 | 08/26/2015 | 715 68 | 11/24/2015 | 670 68 | -45 00 | -6 29% | S |
| ILLINOIS TOOL WORKS INC | 452308109 | ITW | 32 00 | 01/15/2015 | 2,964 33 | 07/14/2015 | 3,008 26 | 43.93 | 1 48% | S |
| IMPAX LABORATORIES INC | 45256B101 | IPXL | 138 00 | 03/01/2016 | 4,570 56 | 04/05/2016 | 4,422 15 | -148 41 | -3 25% | S |
| INFINEON TECHNOLOGIES ADR | 45662N103 | IFNNY | 28.00 | 11/24/2015 | 340 11 | 12/03/2015 | 402 78 | 62 67 | 18 43% | S |
| INFORMATICA CORP **MERGER EFF 08/2015** | 45666Q102 | | 12 00 | 03/25/2014 | 465 00 | 08/07/2015 | 585 00 | 120 00 | 25 81% | L |
| INFORMATICA CORP **MERGER EFF 08/2015** | 45666Q102 | | 30 00 | 03/18/2015 | 1,319 72 | 08/07/2015 | 1,462 50 | 142 78 | 10 82% | S |
| INTEL CORP | 458140100 | INTC | 42 00 | 09/24/2012 | 953 66 | 02/19/2016 | 1,222 02 | 268 36 | 28 14% | L |
| INTEL CORP | 458140100 | INTC | 48 00 | 02/04/2014 | 1,149 38 | 02/19/2016 | 1,396 60 | 247.22 | 21 51% | L |
| INTEL CORP | 458140100 | INTC | 10 00 | 01/15/2015 | 365 20 | 02/19/2016 | 290 96 | -74 24 | -20 33% | L |
| INTEL CORP | 458140100 | INTC | 70.00 | 01/15/2015 | 2,556 40 | 04/08/2016 | 2,218 12 | -338 28 | -13 23% | L |
| INTL BUSINESS MACH | 459200101 | IBM | 15 00 | 07/14/2015 | 2,528 95 | 12/18/2015 | 2,025 66 | -503 29 | -19 90% | S |
| INTL BUSINESS MACH | 459200101 | IBM | 44 00 | 02/14/2014 | 8,093 80 | 01/20/2016 | 5,375 86 | -2,717 94 | -33 58% | L |
| INTL BUSINESS MACH | 459200101 | IBM | 33 00 | 07/14/2015 | 5,563 70 | 01/22/2016 | 4,035 55 | -1,528 15 | -27 47% | S |
| INTL BUSINESS MACH | 459200101 | IBM | 1 00 | 09/24/2012 | 205 41 | 06/01/2016 | 152 00 | -53.41 | -26 00% | L |
| INTL BUSINESS MACH | 459200101 | IBM | 16 00 | 01/17/2013 | 3,106 25 | 06/01/2016 | 2,431 95 | -674 30 | -21 71% | L |
| INTL BUSINESS MACH | 459200101 | IBM | 6.00 | 01/17/2013 | 1,164 85 | 06/08/2016 | 921 18 | -243 67 | -20 92% | L |
| INTL BUSINESS MACH | 459200101 | IBM | 12 00 | 02/14/2013 | 2,399 30 | 06/08/2016 | 1,842 35 | -556 95 | -23 21% | L |
| INTUIT | 461202103 | INTU | 39 00 | 02/21/2014 | 3,036 64 | 04/06/2016 | 4,078 20 | 1,041 56 | 34 30% | L |
| INTUIT | 461202103 | INTU | 19 00 | 02/21/2014 | 1,479 39 | 05/31/2016 | 2,019 48 | 540 09 | 36 51% | L |
| INTUIT | 461202103 | INTU | 26 00 | 02/21/2014 | 2,024 43 | 06/23/2016 | 2,803 21 | 778.78 | 38 47% | L |

| | | | | | | |
|---|---|---|---|---|---|---|
| YX XX289 Main | YX XX294 Equity-MFS | YX XX299 Alt Inv-Main | YX XX176 Yield Oriented |
| YX XX290 Trillium SRI | YX XX295 Equity-Rothchld | YX XX300 UBS SRI | |
| YX XX291 Equity-Atlanta | YX XX296 NB SRI | YX XX301 NA SRI | |
| YX XX292 Taxable FI | YX XX297 Equity-Cambiar | YX XX887 Equity-Non-Fee | |
| YX XX293 Estabrook SRI | YX XX298 Equity-Funds | YX XX888 Equity-APEX | |

# Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| ISHARES MSCI EAFE ETF | 464287465 | EFA | 1,615 00 | 02/10/2014 | 104,787 66 | 08/04/2015 | 104,592 26 | -195 40 | -0 19% | L |
| ISHARES MSCI EAFE ETF | 464287465 | EFA | 1,477 00 | 03/07/2014 | 99,785 97 | 08/04/2015 | 95,654 97 | -4,131.00 | -4 14% | L |
| ISHARES TRUST CORE S&P 500 ETF | 464287200 | IVV | 645 00 | 02/10/2014 | 116,518 96 | 09/24/2015 | 124,976 77 | 8,457 81 | 7 26% | L |
| ISHARES TRUST CORE S&P 500 ETF | 464287200 | IVV | 515.00 | 02/10/2014 | 93,034 52 | 02/25/2016 | 100,391 91 | 7,357 39 | 7 91% | L |
| ISHARES TRUST CORE S&P MID-CAP ETF | 464287507 | IJH | 710 00 | 02/10/2014 | 92,581 44 | 06/27/2016 | 99,950 27 | 7,368 83 | 7 96% | L |
| ISRAEL CHEMICALS LTD ILS | M5920A109 | ICL | 30 00 | 02/03/2015 | 222 88 | 11/03/2015 | 166 98 | -55 90 | -25 08% | S |
| ISRAEL CHEMICALS LTD ILS | M5920A109 | ICL | 8 00 | 02/05/2015 | 60 50 | 11/03/2015 | 44 53 | -15 97 | -26 40% | S |
| ISRAEL CHEMICALS LTD ILS | M5920A109 | ICL | 22 00 | 02/06/2015 | 165 22 | 11/03/2015 | 122 45 | -42.77 | -25 89% | S |
| ISRAEL CHEMICALS LTD ILS | M5920A109 | ICL | 36 00 | 02/09/2015 | 270 22 | 11/03/2015 | 200 37 | -69 85 | -25 85% | S |
| ISRAEL CHEMICALS LTD ILS | M5920A109 | ICL | 26.00 | 02/10/2015 | 191 99 | 11/03/2015 | 144 72 | -47 27 | -24 62% | S |
| ISRAEL CHEMICALS LTD ILS | M5920A109 | ICL | 39 00 | 02/10/2015 | 287 98 | 11/24/2015 | 190 04 | -97 94 | -34 01% | S |
| ISRAEL CHEMICALS LTD ILS | M5920A109 | ICL | 66 00 | 02/11/2015 | 466 61 | 11/24/2015 | 321 60 | -145 01 | -31 08% | S |
| ISRAEL CHEMICALS LTD ILS | M5920A109 | ICL | 66 00 | 02/12/2015 | 468 65 | 11/24/2015 | 321 61 | -147 04 | -31 38% | S |
| ISRAEL CHEMICALS LTD ILS | M5920A109 | ICL | 5 00 | 02/13/2015 | 35 64 | 11/24/2015 | 24 36 | -11.28 | -31 65% | S |
| ISUZU MOTOR CO LTD ADR ADR | 465254209 | ISUZY | 147 00 | 09/05/2013 | 1,784 18 | 11/03/2015 | 1,699 82 | -84 36 | -4 73% | L |
| ISUZU MOTOR CO LTD ADR ADR | 465254209 | ISUZY | 124.00 | 09/05/2013 | 1,505 03 | 11/24/2015 | 1,398 88 | -106 15 | -7 05% | L |
| ISUZU MOTOR CO LTD ADR ADR | 465254209 | ISUZY | 50 00 | 01/28/2014 | 598 70 | 11/24/2015 | 564 06 | -34 64 | -5 79% | L |
| ITC HOLDINGS CORP | 465685105 | ITC | 63 00 | 03/13/2015 | 2,262 37 | 09/14/2015 | 2,015 29 | -247 08 | -10 92% | S |
| ITC HOLDINGS CORP | 465685105 | ITC | 26 00 | 04/06/2015 | 960 44 | 09/14/2015 | 831 71 | -128 73 | -13 40% | S |
| ITC HOLDINGS CORP | 465685105 | ITC | 42 00 | 06/19/2013 | 1,221 26 | 02/22/2016 | 1,738 59 | 517.33 | 42 36% | L |
| ITC HOLDINGS CORP | 465685105 | ITC | 90 00 | 02/19/2014 | 3,132 90 | 02/22/2016 | 3,725 55 | 592 65 | 18 92% | L |
| ITC HOLDINGS CORP | 465685105 | ITC | 46.00 | 04/10/2015 | 1,666 58 | 02/22/2016 | 1,904 17 | 237 59 | 14 26% | S |
| JARDEN CORP | 471109108 | | 159 00 | 07/29/2015 | 8,769 26 | 09/09/2015 | 8,308 86 | -460 40 | -5 25% | S |
| JETBLUE AIRWAYS CORP | 477143101 | JBLU | 43 00 | 01/15/2015 | 641 71 | 08/06/2015 | 995 19 | 353 48 | 55 08% | S |
| JETBLUE AIRWAYS CORP | 477143101 | JBLU | 25 00 | 02/09/2015 | 409 10 | 08/06/2015 | 578 60 | 169 50 | 41 43% | S |
| JETBLUE AIRWAYS CORP | 477143101 | JBLU | 77 00 | 03/27/2015 | 1,459 00 | 10/27/2015 | 1,839 88 | 380.88 | 26 11% | S |

# Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| JETBLUE AIRWAYS CORP | 477143101 | JBLU | 102 00 | 02/09/2015 | 1,669 14 | 12/04/2015 | 2,594 98 | 925 84 | 55 47% | S |
| JETBLUE AIRWAYS CORP | 477143101 | JBLU | 75 00 | 03/27/2015 | 1,421 10 | 02/19/2016 | 1,603 67 | 182.57 | 12 85% | S |
| JETBLUE AIRWAYS CORP | 477143101 | JBLU | 84 00 | 02/09/2015 | 1,374 59 | 03/10/2016 | 1,662 62 | 288 03 | 20 95% | L |
| JETBLUE AIRWAYS CORP | 477143101 | JBLU | 185.00 | 03/27/2015 | 3,505 38 | 04/15/2016 | 3,796 16 | 290 78 | 8 30% | L |
| JETBLUE AIRWAYS CORP | 477143101 | JBLU | 146 00 | 04/06/2015 | 2,710 29 | 04/15/2016 | 2,995 88 | 285 59 | 10 54% | L |
| JOHNSON & JOHNSON COM | 478160104 | JNJ | 6 00 | 09/24/2012 | 413 32 | 09/21/2015 | 556 89 | 143 57 | 34 74% | L |
| JOHNSON & JOHNSON COM | 478160104 | JNJ | 37 00 | 02/04/2014 | 3,202 23 | 09/21/2015 | 3,434 15 | 231 92 | 7 24% | L |
| JOHNSON & JOHNSON COM | 478160104 | JNJ | 46 00 | 01/15/2015 | 4,732 07 | 09/21/2015 | 4,269 49 | -462.58 | -9 78% | S |
| JOHNSON CONTROLS INC | 478366107 | JCI | 63 00 | 05/31/2012 | 1,895 77 | 12/16/2015 | 2,475 48 | 579 71 | 30 58% | L |
| JOHNSON CONTROLS INC | 478366107 | JCI | 5.00 | 09/24/2012 | 141 25 | 12/16/2015 | 196 47 | 55 22 | 39 09% | L |
| JONES LANG LASALLE INC | 48020Q107 | JLL | 12 00 | 02/11/2014 | 1,416 36 | 03/17/2016 | 1,374 11 | -42 25 | -2 98% | L |
| JONES LANG LASALLE INC | 48020Q107 | JLL | 8 00 | 02/18/2014 | 961 04 | 03/17/2016 | 916 07 | -44 97 | -4 68% | L |
| JONES LANG LASALLE INC | 48020Q107 | JLL | 15 00 | 10/14/2014 | 1,855 50 | 03/17/2016 | 1,717 63 | -137 87 | -7 43% | L |
| JONES LANG LASALLE INC | 48020Q107 | JLL | 5 00 | 10/27/2014 | 641 50 | 03/17/2016 | 572 54 | -68.96 | -10 75% | L |
| JONES LANG LASALLE INC | 48020Q107 | JLL | 14 00 | 04/06/2015 | 2,394 42 | 03/17/2016 | 1,603 13 | -791 29 | -33 05% | S |
| JONES LANG LASALLE INC | 48020Q107 | JLL | 6.00 | 10/08/2015 | 879 48 | 03/17/2016 | 687 05 | -192 43 | -21 88% | S |
| JPMORGAN CHASE & CO | 46625H100 | JPM | 26 00 | 05/31/2012 | 861 88 | 07/16/2015 | 1,809 05 | 947 17 | 109 90% | L |
| JPMORGAN CHASE & CO | 46625H100 | JPM | 22 00 | 02/21/2014 | 1,266 04 | 02/19/2016 | 1,269 88 | 3 84 | 0 30% | L |
| JUNIPER NETWORKS INC | 48203R104 | JNPR | 34 00 | 08/07/2015 | 949 65 | 03/31/2016 | 868 47 | -81 18 | -8 55% | S |
| JUNIPER NETWORKS INC | 48203R104 | JNPR | 83 00 | 08/07/2015 | 2,318 25 | 05/10/2016 | 1,910 67 | -407.58 | -17 58% | S |
| JUNIPER NETWORKS INC | 48203R104 | JNPR | 9 00 | 08/07/2015 | 251 38 | 06/30/2016 | 202 51 | -48 87 | -19 44% | S |
| JUNIPER NETWORKS INC | 48203R104 | JNPR | 39.00 | 08/18/2015 | 1,100 58 | 06/30/2016 | 877 56 | -223 02 | -20 26% | S |
| JUNIPER NETWORKS INC | 48203R104 | JNPR | 34 00 | 10/07/2015 | 978 06 | 06/30/2016 | 765 05 | -213 01 | -21 78% | S |
| KAO CORP REPSTG 10 SHS COM SPON ADR | 485537302 | KCRPY | 7 00 | 01/28/2014 | 221 51 | 03/24/2016 | 365 93 | 144 42 | 65 20% | L |
| KAO CORP REPSTG 10 SHS COM SPON ADR | 485537302 | KCRPY | 9 00 | 02/18/2014 | 290 39 | 03/24/2016 | 470 47 | 180 08 | 62 01% | L |
| KAO CORP REPSTG 10 SHS COM SPON ADR | 485537302 | KCRPY | 8 00 | 02/18/2014 | 258 13 | 03/28/2016 | 422 96 | 164.83 | 63 86% | L |

# Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| KDDI CORP ADR | 48667L106 | KDDIY | 135 00 | 01/28/2014 | 1,272 60 | 07/29/2015 | 1,732 02 | 459 42 | 36 10% | L |
| KDDI CORP ADR | 48667L106 | KDDIY | 121 00 | 06/23/2015 | 1,440 06 | 11/03/2015 | 1,470 15 | 30.09 | 2 09% | S |
| KDDI CORP ADR | 48667L106 | KDDIY | 32 00 | 06/24/2015 | 382 27 | 11/03/2015 | 388 80 | 6 53 | 1 71% | S |
| KDDI CORP ADR | 48667L106 | KDDIY | 28.00 | 06/30/2015 | 334 31 | 11/03/2015 | 340 20 | 5 89 | 1 76% | S |
| KDDI CORP ADR | 48667L106 | KDDIY | 8 00 | 10/01/2015 | 88 96 | 11/03/2015 | 97 20 | 8 24 | 9 26% | S |
| KDDI CORP ADR | 48667L106 | KDDIY | 28 00 | 10/01/2015 | 311 34 | 11/24/2015 | 337 40 | 26 06 | 8 37% | S |
| KDDI CORP ADR | 48667L106 | KDDIY | 36 00 | 10/06/2015 | 411 84 | 11/24/2015 | 433 80 | 21 96 | 5 33% | S |
| KDDI CORP ADR | 48667L106 | KDDIY | 35 00 | 10/07/2015 | 400 57 | 11/24/2015 | 421 76 | 21.19 | 5 29% | S |
| KDDI CORP ADR | 48667L106 | KDDIY | 16 00 | 10/08/2015 | 183 56 | 11/24/2015 | 192 80 | 9 24 | 5 03% | S |
| KDDI CORP ADR | 48667L106 | KDDIY | 51.00 | 10/19/2015 | 569 54 | 11/24/2015 | 614 55 | 45 01 | 7 90% | S |
| KDDI CORP ADR | 48667L106 | KDDIY | 283 50 | 01/28/2014 | 2,672 46 | 02/12/2016 | 3,443 06 | 770 60 | 28 83% | L |
| KDDI CORP ADR | 48667L106 | KDDIY | 264 00 | 02/18/2014 | 2,455 02 | 02/12/2016 | 3,206 24 | 751 22 | 30 60% | L |
| KDDI CORP ADR | 48667L106 | KDDIY | 82 50 | 11/17/2014 | 875 86 | 02/12/2016 | 1,001 95 | 126 09 | 14 40% | L |
| KDDI CORP ADR | 48667L106 | KDDIY | 81 00 | 11/18/2014 | 882 86 | 02/12/2016 | 983 73 | 100.87 | 11 43% | L |
| KDDI CORP ADR | 48667L106 | KDDIY | 253 00 | 04/06/2015 | 3,030 94 | 02/12/2016 | 3,072 64 | 41 70 | 1 38% | S |
| KEPPEL CORP LTD SPON ADR | 492051305 | KPELY | 14.00 | 05/19/2015 | 186 52 | 11/03/2015 | 142 66 | -43 86 | -23 51% | S |
| KEPPEL CORP LTD SPON ADR | 492051305 | KPELY | 46 00 | 05/20/2015 | 604 07 | 11/03/2015 | 468 73 | -135 34 | -22 40% | S |
| KEPPEL CORP LTD SPON ADR | 492051305 | KPELY | 89 00 | 05/21/2015 | 1,170 75 | 11/03/2015 | 906 89 | -263 86 | -22 54% | S |
| KEPPEL CORP LTD SPON ADR | 492051305 | KPELY | 4 00 | 05/21/2015 | 52 62 | 11/24/2015 | 38 60 | -14 02 | -26 64% | S |
| KEPPEL CORP LTD SPON ADR | 492051305 | KPELY | 16 00 | 05/22/2015 | 214 06 | 11/24/2015 | 154 40 | -59.66 | -27 87% | S |
| KEPPEL CORP LTD SPON ADR | 492051305 | KPELY | 10 00 | 05/26/2015 | 132 01 | 11/24/2015 | 96 50 | -35 51 | -26 90% | S |
| KEPPEL CORP LTD SPON ADR | 492051305 | KPELY | 17.00 | 05/27/2015 | 223 04 | 11/24/2015 | 164 04 | -59 00 | -26 45% | S |
| KEPPEL CORP LTD SPON ADR | 492051305 | KPELY | 48 00 | 05/28/2015 | 627 41 | 11/24/2015 | 463 20 | -164 21 | -26 17% | S |
| KEPPEL CORP LTD SPON ADR | 492051305 | KPELY | 102 00 | 05/29/2015 | 1,332 43 | 11/24/2015 | 984 28 | -348 15 | -26 13% | S |
| KERYX BIOPHARMACEUTICALS INC | 492515101 | KERX | 2 00 | 03/17/2014 | 30 64 | 10/23/2015 | 8 95 | -21 69 | -70 79% | L |
| KERYX BIOPHARMACEUTICALS INC | 492515101 | KERX | 17 00 | 03/25/2014 | 238 29 | 10/23/2015 | 76 10 | -162.19 | -68 06% | L |

# Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| KERYX BIOPHARMACEUTICALS INC | 492515101 | KERX | 26 00 | 09/04/2014 | 467 71 | 10/23/2015 | 116 38 | -351 33 | -75 12% | L |
| KERYX BIOPHARMACEUTICALS INC | 492515101 | KERX | 10 00 | 09/04/2014 | 179 89 | 10/26/2015 | 43 19 | -136.70 | -75 99% | L |
| KEURIG GREEN MTN INC COM *MERGER EFF 03/2016* | 49271M100 | | 80 00 | 05/15/2015 | 7,586 04 | 10/09/2015 | 4,592 77 | -2,993 27 | -39 46% | S |
| KEURIG GREEN MTN INC COM *MERGER EFF. 03/2016* | 49271M100 | | 17 00 | 02/19/2014 | 2,000 90 | 11/17/2015 | 688 23 | -1,312 67 | -65 60% | L |
| KEURIG GREEN MTN INC COM *MERGER EFF 03/2016* | 49271M100 | | 5 00 | 04/10/2015 | 586 00 | 11/17/2015 | 202 42 | -383 58 | -65 46% | S |
| KEURIG GREEN MTN INC COM *MERGER EFF 03/2016* | 49271M100 | | 53 00 | 05/15/2015 | 5,025 75 | 11/18/2015 | 2,154 42 | -2,871 33 | -57 13% | S |
| KEURIG GREEN MTN INC COM *MERGER EFF 03/2016* | 49271M100 | | 27 00 | 06/03/2015 | 2,302 11 | 11/18/2015 | 1,097 53 | -1,204 58 | -52 33% | S |
| KEURIG GREEN MTN INC COM *MERGER EFF 03/2016* | 49271M100 | | 36 00 | 06/03/2015 | 3,069 47 | 12/07/2015 | 3,222 10 | 152 63 | 4 97% | S |
| KEURIG GREEN MTN INC COM *MERGER EFF 03/2016* | 49271M100 | | 102 00 | 06/16/2015 | 8,529 41 | 12/07/2015 | 9,129 30 | 599 89 | 7 03% | S |
| KEURIG GREEN MTN INC COM *MERGER EFF 03/2016* | 49271M100 | | 61.00 | 08/04/2015 | 4,673 19 | 12/07/2015 | 5,459 67 | 786 48 | 16 83% | S |
| KOBE STEEL LTD SPON ADR JAPAN ADR | 499892107 | KBSTY | 77 00 | 05/12/2015 | 706 61 | 11/03/2015 | 462 76 | -243 85 | -34 51% | S |
| KOBE STEEL LTD SPON ADR JAPAN ADR | 499892107 | KBSTY | 9 00 | 05/12/2015 | 82 59 | 11/24/2015 | 52 29 | -30 30 | -36 69% | S |
| KOBE STEEL LTD SPON ADR JAPAN ADR | 499892107 | KBSTY | 78 00 | 05/15/2015 | 723 09 | 11/24/2015 | 453 17 | -269 92 | -37 33% | S |
| KOBE STEEL LTD SPON ADR JAPAN ADR | 499892107 | KBSTY | 76 00 | 05/19/2015 | 709 16 | 11/24/2015 | 441 55 | -267.61 | -37 74% | S |
| KOC HOLDINGS ADR | 49989A109 | KHOLY | 16 00 | 07/02/2012 | 303 36 | 11/03/2015 | 385 00 | 81 64 | 26 91% | L |
| KOC HOLDINGS ADR | 49989A109 | KHOLY | 48.00 | 09/24/2012 | 964 80 | 11/03/2015 | 1,155 01 | 190 21 | 19 71% | L |
| KOC HOLDINGS ADR | 49989A109 | KHOLY | 47 00 | 01/28/2014 | 794 58 | 11/03/2015 | 1,130 94 | 336 36 | 42 33% | L |
| KOC HOLDINGS ADR | 49989A109 | KHOLY | 12 00 | 01/28/2014 | 202 87 | 11/18/2015 | 268 64 | 65 77 | 32 42% | L |
| KOC HOLDINGS ADR | 49989A109 | KHOLY | 9 00 | 01/28/2014 | 152 15 | 11/19/2015 | 202 58 | 50 43 | 33 14% | L |
| KOC HOLDINGS ADR | 49989A109 | KHOLY | 3 00 | 03/23/2015 | 70 13 | 11/19/2015 | 67 52 | -2.61 | -3 72% | S |
| KOC HOLDINGS ADR | 49989A109 | KHOLY | 14 00 | 03/23/2015 | 327 29 | 11/20/2015 | 315 34 | -11 95 | -3 65% | S |
| KOC HOLDINGS ADR | 49989A109 | KHOLY | 3.00 | 03/23/2015 | 70 13 | 11/23/2015 | 67 32 | -2 81 | -4 01% | S |
| KOC HOLDINGS ADR | 49989A109 | KHOLY | 3 00 | 03/23/2015 | 70 13 | 11/24/2015 | 63 87 | -6 26 | -8 93% | S |

YX XX289 Main
YX XX290 Trillium SRI
YX XX291 Equity-Atlanta
YX XX292 Taxable FI
YX XX293 Estabrook SRI
YX XX294 Equity-MFS
YX XX295 Equity-Rothchld
YX XX296 NB SRI
YX XX297 Equity-Cambiar
YX XX298 Equity-Funds
YX XX299 Alt Inv-Main
YX XX300 UBS SRI
YX XX301 NA SRI
YX XX887 Equity-Non-Fee
YX XX888 Equity-APEX
YX XX176 Yield Oriented

## Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| KOC HOLDINGS ADR | 49989A109 | KHOLY | 26.00 | 03/24/2015 | 608 45 | 11/24/2015 | 553 53 | -54 92 | -9 03% | S |
| KOC HOLDINGS ADR | 49989A109 | KHOLY | 3 00 | 03/25/2015 | 70 80 | 11/24/2015 | 63 87 | -6 93 | -9 79% | S |
| KOC HOLDINGS ADR | 49989A109 | KHOLY | 4 00 | 03/26/2015 | 92 37 | 11/24/2015 | 85 15 | -7 22 | -7 82% | S |
| KOC HOLDINGS ADR | 49989A109 | KHOLY | 1 00 | 04/13/2015 | 23 10 | 11/24/2015 | 21 29 | -1 81 | -7 84% | S |
| KOC HOLDINGS ADR | 49989A109 | KHOLY | 2 00 | 04/14/2015 | 45 28 | 11/24/2015 | 42 58 | -2.70 | -5 96% | S |
| KOC HOLDINGS ADR | 49989A109 | KHOLY | 4 00 | 04/15/2015 | 88 62 | 11/24/2015 | 85 16 | -3 46 | -3 90% | S |
| KOMATSU LTD SPON ADR NEW | 500458401 | KMTUY | 38.00 | 09/24/2012 | 756 58 | 08/14/2015 | 696 12 | -60 46 | -7 99% | L |
| KOMATSU LTD SPON ADR NEW | 500458401 | KMTUY | 32 00 | 09/24/2012 | 637 12 | 08/18/2015 | 579 13 | -57 99 | -9 10% | L |
| KOMATSU LTD SPON ADR NEW | 500458401 | KMTUY | 8 00 | 09/24/2012 | 159 28 | 08/20/2015 | 140 77 | -18 51 | -11 62% | L |
| KOMATSU LTD SPON ADR NEW | 500458401 | KMTUY | 24 00 | 01/28/2014 | 484 80 | 08/20/2015 | 422 31 | -62 49 | -12 89% | L |
| KOMATSU LTD SPON ADR NEW | 500458401 | KMTUY | 1 00 | 01/28/2014 | 20 20 | 08/24/2015 | 16 76 | -3.44 | -17 03% | L |
| KROGER COMPANY | 501044101 | KR | 39 00 | 01/15/2015 | 1,300 77 | 09/10/2015 | 1,383 96 | 83 19 | 6 40% | S |
| LABORATORY CORP AMER HLDGS NEW | 50540R409 | LH | 13.00 | 07/18/2014 | 1,336 16 | 01/22/2016 | 1,452 46 | 116 30 | 8 70% | L |
| LANCASTER COLONY CORP | 513847103 | LANC | 2 00 | 04/03/2014 | 194 90 | 09/28/2015 | 198 72 | 3 82 | 1 96% | L |
| LANDSTAR SYSTEMS INC | 515098101 | LSTR | 102 00 | 08/28/2015 | 6,785 91 | 03/02/2016 | 6,096 20 | -689 71 | -10 16% | S |
| LANNETT CO INC | 516012101 | LCI | 71 00 | 10/13/2014 | 3,060 81 | 03/01/2016 | 1,797 33 | -1,263 48 | -41 28% | L |
| LANNETT CO INC | 516012101 | LCI | 20 00 | 11/20/2014 | 928 97 | 03/01/2016 | 506 29 | -422.68 | -45 50% | L |
| LANNETT CO INC | 516012101 | LCI | 42 00 | 04/06/2015 | 2,792 58 | 03/01/2016 | 1,063 20 | -1,729 38 | -61 93% | S |
| LANNETT CO INC | 516012101 | LCI | 49.00 | 01/22/2016 | 1,752 71 | 03/01/2016 | 1,240 41 | -512 30 | -29 23% | S |
| LEGG MASON BW ALTERNATIVE ALTERNATIVE CREDIT FUND CLASS I | 52471E829 | MFDUDX | 18,814 68 | 03/27/2015 | 200,000 00 | 08/31/2015 | 190,216 36 | -9,783 64 | -4 89% | S |
| LEGG MASON INC | 524901105 | LM | 85 00 | 06/09/2015 | 4,592.23 | 12/04/2015 | 3,760 97 | -831.26 | -18 10% | S |
| LENNOX INTL INC | 526107107 | LII | 32 00 | 06/18/2015 | 3,601 66 | 04/18/2016 | 4,492 62 | 890 96 | 24 74% | S |
| LEXICON PHARMACEUTICALS INC COM NEW | 528872302 | LXRX | 9 43 | 02/18/2014 | 123 12 | 01/08/2016 | 109 60 | -13.52 | -10 98% | L |
| LEXICON PHARMACEUTICALS INC COM NEW | 528872302 | LXRX | 8 57 | 07/08/2014 | 93 43 | 01/08/2016 | 99 63 | 6 20 | 6 64% | L |
| LEXICON PHARMACEUTICALS INC COM NEW | 528872302 | LXRX | 13 00 | 07/08/2014 | 141 70 | 01/11/2016 | 140 03 | -1 67 | -1 18% | L |
| LEXICON PHARMACEUTICALS INC COM NEW | 528872302 | LXRX | 9 29 | 07/08/2014 | 101 22 | 01/12/2016 | 102 76 | 1 54 | 1 52% | L |

## Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| LEXICON PHARMACEUTICALS INC COM NEW | 528872302 | LXRX | 22.71 | 07/09/2014 | 263 35 | 01/12/2016 | 251 38 | -11 97 | -4 55% | L |
| LEXICON PHARMACEUTICALS INC COM NEW | 528872302 | LXRX | 3 00 | 07/10/2014 | 34 72 | 01/12/2016 | 33 20 | -1 52 | -4 38% | L |
| LEXICON PHARMACEUTICALS INC COM NEW | 528872302 | LXRX | 8 86 | 07/10/2014 | 102 51 | 01/13/2016 | 93 97 | -8 54 | -8 33% | L |
| LEXICON PHARMACEUTICALS INC COM NEW | 528872302 | LXRX | 2 14 | 07/11/2014 | 24 93 | 01/13/2016 | 22 74 | -2 19 | -8 78% | L |
| LEXICON PHARMACEUTICALS INC COM NEW | 528872302 | LXRX | 2 00 | 07/11/2014 | 23 27 | 01/14/2016 | 21 12 | -2.15 | -9 24% | L |
| LEXICON PHARMACEUTICALS INC COM NEW | 528872302 | LXRX | 4 86 | 07/14/2014 | 58 04 | 01/14/2016 | 51 28 | -6 76 | -11 65% | L |
| LEXICON PHARMACEUTICALS INC COM NEW | 528872302 | LXRX | 4.43 | 07/15/2014 | 50 74 | 01/14/2016 | 46 76 | -3 98 | -7 84% | L |
| LEXICON PHARMACEUTICALS INC COM NEW | 528872302 | LXRX | 9 71 | 10/16/2014 | 82 80 | 01/14/2016 | 102 56 | 19 76 | 23 86% | L |
| LEXICON PHARMACEUTICALS INC COM NEW | 528872302 | LXRX | 9 14 | 10/16/2014 | 77 92 | 01/15/2016 | 93 05 | 15 13 | 19 42% | L |
| LEXICON PHARMACEUTICALS INC COM NEW | 528872302 | LXRX | 7 86 | 10/17/2014 | 72 58 | 01/15/2016 | 79 97 | 7 39 | 10 18% | L |
| LEXICON PHARMACEUTICALS INC COM NEW | 528872302 | LXRX | 10 00 | 10/17/2014 | 92 38 | 01/19/2016 | 98 00 | 5.62 | 6 08% | L |
| LEXICON PHARMACEUTICALS INC COM NEW | 528872302 | LXRX | 15 00 | 10/17/2014 | 138 57 | 01/20/2016 | 153 20 | 14 63 | 10 56% | L |
| LEXICON PHARMACEUTICALS INC COM NEW | 528872302 | LXRX | 10.00 | 10/17/2014 | 92 38 | 01/21/2016 | 102 07 | 9 69 | 10 49% | L |
| LEXICON PHARMACEUTICALS INC COM NEW | 528872302 | LXRX | 2 57 | 10/17/2014 | 23 75 | 01/22/2016 | 26 64 | 2 89 | 12 17% | L |
| LEXICON PHARMACEUTICALS INC COM NEW | 528872302 | LXRX | 24 43 | 10/20/2014 | 220 98 | 01/22/2016 | 253 10 | 32 12 | 14 54% | L |
| LEXICON PHARMACEUTICALS INC COM NEW | 528872302 | LXRX | 12 86 | 10/20/2014 | 116 31 | 01/25/2016 | 135 81 | 19 50 | 16 77% | L |
| LEXICON PHARMACEUTICALS INC COM NEW | 528872302 | LXRX | 2 14 | 10/21/2014 | 19 82 | 01/25/2016 | 22 63 | 2.81 | 14 18% | L |
| LEXICON PHARMACEUTICALS INC COM NEW | 528872302 | LXRX | 10 00 | 10/21/2014 | 92 49 | 01/26/2016 | 102 19 | 9 70 | 10 49% | L |
| LEXICON PHARMACEUTICALS INC COM NEW | 528872302 | LXRX | 3.86 | 10/22/2014 | 36 23 | 01/26/2016 | 39 42 | 3 19 | 8 80% | L |
| LEXICON PHARMACEUTICALS INC COM NEW | 528872302 | LXRX | 11 86 | 10/23/2014 | 111 93 | 01/26/2016 | 121 16 | 9 23 | 8 25% | L |
| LEXICON PHARMACEUTICALS INC COM NEW | 528872302 | LXRX | 3 29 | 10/24/2014 | 31 48 | 01/26/2016 | 33 58 | 2 10 | 6 67% | L |
| LEXICON PHARMACEUTICALS INC COM NEW | 528872302 | LXRX | 2 14 | 10/24/2014 | 20 53 | 01/27/2016 | 22 24 | 1 71 | 8 33% | L |
| LEXICON PHARMACEUTICALS INC COM NEW | 528872302 | LXRX | 8 86 | 10/27/2014 | 84 01 | 01/27/2016 | 91 93 | 7.92 | 9 43% | L |
| LEXICON PHARMACEUTICALS INC COM NEW | 528872302 | LXRX | 2 00 | 10/27/2014 | 18 97 | 01/28/2016 | 19 61 | 0 64 | 3 37% | L |
| LEXICON PHARMACEUTICALS INC COM NEW | 528872302 | LXRX | 3.86 | 10/27/2014 | 36 59 | 03/24/2016 | 44 21 | 7 62 | 20 83% | L |
| LEXICON PHARMACEUTICALS INC COM NEW | 528872302 | LXRX | 7 86 | 10/28/2014 | 76 61 | 03/24/2016 | 90 04 | 13 43 | 17 53% | L |

# Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| LEXICON PHARMACEUTICALS INC COM NEW | 528872302 | LXRX | 5.57 | 10/29/2014 | 54 60 | 03/24/2016 | 63 86 | 9 26 | 16 96% | L |
| LEXICON PHARMACEUTICALS INC COM NEW | 528872302 | LXRX | 0 57 | 10/30/2014 | 5 60 | 03/24/2016 | 6 55 | 0 95 | 16 96% | L |
| LEXICON PHARMACEUTICALS INC COM NEW | 528872302 | LXRX | 5 57 | 10/31/2014 | 57 20 | 03/24/2016 | 63 85 | 6 65 | 11 63% | L |
| LEXICON PHARMACEUTICALS INC COM NEW | 528872302 | LXRX | 8 14 | 11/03/2014 | 81 08 | 03/24/2016 | 93 32 | 12 24 | 15 10% | L |
| LEXICON PHARMACEUTICALS INC COM NEW | 528872302 | LXRX | 13 57 | 11/04/2014 | 136 24 | 03/24/2016 | 155 54 | 19.30 | 14 17% | L |
| LEXICON PHARMACEUTICALS INC COM NEW | 528872302 | LXRX | 7 86 | 11/21/2014 | 51 13 | 03/24/2016 | 90 05 | 38 92 | 76 12% | L |
| LEXICON PHARMACEUTICALS INC COM NEW | 528872302 | LXRX | 42.00 | 11/21/2014 | 273 30 | 03/28/2016 | 485 51 | 212 21 | 77 65% | L |
| LEXICON PHARMACEUTICALS INC COM NEW | 528872302 | LXRX | 60 86 | 11/21/2014 | 396 01 | 03/29/2016 | 711 84 | 315 83 | 79 75% | L |
| LEXICON PHARMACEUTICALS INC COM NEW | 528872302 | LXRX | 48 14 | 11/24/2014 | 332 25 | 03/29/2016 | 563 12 | 230 87 | 69 49% | L |
| LEXICON PHARMACEUTICALS INC COM NEW | 528872302 | LXRX | 7 00 | 11/24/2014 | 48 31 | 03/30/2016 | 84 79 | 36 48 | 75 51% | L |
| LILLY ELI & CO | 532457108 | LLY | 20 00 | 05/06/2014 | 1,185 49 | 07/29/2015 | 1,705 64 | 520.15 | 43 88% | L |
| LILLY ELI & CO | 532457108 | LLY | 108 00 | 05/06/2014 | 6,401 66 | 08/24/2015 | 8,831 54 | 2,429 88 | 37 96% | L |
| LILLY ELI & CO | 532457108 | LLY | 47.00 | 04/06/2015 | 3,355 26 | 08/24/2015 | 3,843 36 | 488 10 | 14 55% | S |
| LINCOLN ELEC HOLDINGS NEW | 533900106 | LECO | 13 00 | 03/21/2013 | 723 97 | 11/20/2015 | 729 29 | 5 32 | 0 73% | L |
| LINCOLN ELEC HOLDINGS NEW | 533900106 | LECO | 28 00 | 02/19/2014 | 2,055 78 | 11/20/2015 | 1,570 79 | -484 99 | -23 59% | L |
| LINCOLN ELEC HOLDINGS NEW | 533900106 | LECO | 15 00 | 04/10/2015 | 964 05 | 11/20/2015 | 841 49 | -122 56 | -12 71% | S |
| LINKEDIN CORP CL A | 53578A108 | LNKD | 1,000 00 | 01/01/1111 | 0 00 | 11/03/2015 | 249,890 15 | 0.00 | 0 00% | L |
| LITHIA MOTORS INC CL A | 536797103 | LAD | 21 00 | 02/11/2014 | 1,216 11 | 06/13/2016 | 1,567 92 | 351 81 | 28 93% | L |
| LITHIA MOTORS INC CL A | 536797103 | LAD | 29.00 | 02/18/2014 | 1,701 14 | 06/13/2016 | 2,165 22 | 464 08 | 27 28% | L |
| LITHIA MOTORS INC CL A | 536797103 | LAD | 30 00 | 04/06/2015 | 3,001 08 | 06/13/2016 | 2,239 88 | -761 20 | -25 36% | L |
| LIXIL GROUP CORP UNSPONSORED ADR | 53931R103 | JSGRY | 9 00 | 11/24/2015 | 426 69 | 05/18/2016 | 329 62 | -97 07 | -22 75% | S |
| LIXIL GROUP CORP UNSPONSORED ADR | 53931R103 | JSGRY | 5 00 | 11/24/2015 | 237 05 | 05/19/2016 | 176 40 | -60 65 | -25 59% | S |
| LIXIL GROUP CORP UNSPONSORED ADR | 53931R103 | JSGRY | 9 00 | 11/24/2015 | 426 69 | 05/20/2016 | 312 65 | -114.04 | -26 73% | S |
| LIXIL GROUP CORP UNSPONSORED ADR | 53931R103 | JSGRY | 19 00 | 11/24/2015 | 900 79 | 05/23/2016 | 649 24 | -251 55 | -27 93% | S |
| LOOMIS SAYLES BOND FUND CLASS INSTITUTIONAL | 543495840 | MFLOAA | 9,049.63 | 05/30/2012 | 129,138 21 | 01/20/2016 | 111,672 42 | -17,465 79 | -13 52% | L |
| LOOMIS SAYLES BOND FUND CLASS INSTITUTIONAL | 543495840 | MFLOAA | 13,289 00 | 09/21/2012 | 199,999 45 | 01/20/2016 | 163,986 26 | -36,013 19 | -18 01% | L |

## Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| LOOMIS SAYLES BOND FUND CLASS INSTITUTIONAL | 543495840 | MFLOAA | 6,540.00 | 02/08/2013 | 99,996 60 | 01/20/2016 | 80,703 61 | -19,292 99 | -19 29% | L |
| LOOMIS SAYLES BOND FUND CLASS INSTITUTIONAL | 543495840 | MFLOAA | 243 41 | 12/16/2013 | 3,670 60 | 01/20/2016 | 3,003 65 | -666 95 | -18 17% | L |
| LOOMIS SAYLES BOND FUND CLASS INSTITUTIONAL | 543495840 | MFLOAA | 412 59 | 12/16/2013 | 6,221 92 | 01/20/2016 | 5,091 41 | -1,130 51 | -18 17% | L |
| LUKOIL PJSC SPON ADR | 69343P105 | LUKOY | 44 00 | 01/28/2014 | 2,520 14 | 11/03/2015 | 1,748 97 | -771 17 | -30 60% | L |
| LUKOIL PJSC SPON ADR | 69343P105 | LUKOY | 32 00 | 01/28/2014 | 1,832 83 | 11/24/2015 | 1,259 98 | -572.85 | -31 25% | L |
| LUKOIL PJSC SPON ADR | 69343P105 | LUKOY | 19 00 | 03/06/2014 | 999 15 | 11/24/2015 | 748 11 | -251 04 | -25 13% | L |
| LUNDIN PETROLEUM AB SEK | W64566107 | LNDNF | 118.00 | 03/21/2013 | 2,564 14 | 08/26/2015 | 1,449 99 | -1,114 15 | -43 45% | L |
| LUNDIN PETROLEUM AB SEK | W64566107 | LNDNF | 66 00 | 02/18/2014 | 1,290 96 | 08/26/2015 | 811 00 | -479 96 | -37 18% | L |
| LUNDIN PETROLEUM AB SEK | W64566107 | LNDNF | 52 00 | 08/25/2014 | 961 56 | 08/26/2015 | 638 98 | -322 58 | -33 55% | L |
| LUNDIN PETROLEUM AB SEK | W64566107 | LNDNF | 17 00 | 08/25/2014 | 314 36 | 08/28/2015 | 231 11 | -83 25 | -26 48% | L |
| LUNDIN PETROLEUM AB SEK | W64566107 | LNDNF | 91 00 | 04/06/2015 | 1,288 56 | 08/28/2015 | 1,237 14 | -51.42 | -3 99% | S |
| LYONDELLBASELL INDUSTRIES N V SHS - A - CL A EUR | N53745100 | LYB | 22 00 | 01/15/2015 | 1,669 62 | 11/12/2015 | 1,959 48 | 289 86 | 17 36% | S |
| LYONDELLBASELL INDUSTRIES N V SHS - A - CL A EUR | N53745100 | LYB | 25.00 | 01/15/2015 | 1,897 29 | 02/11/2016 | 1,834 00 | -63 29 | -3 34% | L |
| M & T BANK CORP | 55261F104 | MTB | 15 00 | 01/25/2016 | 1,526 25 | 06/09/2016 | 1,760 77 | 234 52 | 15 37% | S |
| MACERICH COMPANY | 554382101 | MAC | 28 00 | 08/21/2015 | 2,253 39 | 05/23/2016 | 2,095 30 | -158 09 | -7 02% | S |
| MACY'S INC | 55616P104 | M | 3 00 | 10/02/2013 | 130 39 | 07/29/2015 | 207 18 | 76 79 | 58 89% | L |
| MACY'S INC | 55616P104 | M | 69 00 | 02/14/2014 | 3,697 71 | 07/29/2015 | 4,757 10 | 1,059.39 | 28 65% | L |
| MACY'S INC | 55616P104 | M | 48 00 | 02/18/2014 | 2,553 60 | 07/29/2015 | 3,314 89 | 761 29 | 29 81% | L |
| MACY'S INC | 55616P104 | M | 14.00 | 11/20/2014 | 883 20 | 07/29/2015 | 966 84 | 83 64 | 9 47% | S |
| MACY'S INC | 55616P104 | M | 26 00 | 11/20/2014 | 1,640 24 | 09/09/2015 | 1,544 02 | -96 22 | -5 87% | S |
| MACY'S INC | 55616P104 | M | 35 00 | 04/06/2015 | 2,380 09 | 09/09/2015 | 2,078 49 | -301 60 | -12 67% | S |
| MACY'S INC | 55616P104 | M | 74 00 | 01/15/2015 | 4,644 97 | 03/16/2016 | 3,178 93 | -1,466 04 | -31 56% | L |
| MAGNA INTL INC CL A SUB VTG CANADA ORD CAD | 559222401 | MGA | 10 00 | 07/02/2012 | 196 35 | 11/03/2015 | 532 06 | 335.71 | 170 98% | L |
| MAGNA INTL INC CL A SUB VTG CANADA ORD CAD | 559222401 | MGA | 3 00 | 09/24/2012 | 67 20 | 11/03/2015 | 159 62 | 92 42 | 137 53% | L |
| MAGNA INTL INC CL A SUB VTG CANADA ORD CAD | 559222401 | MGA | 27.00 | 09/24/2012 | 604 80 | 11/24/2015 | 1,205 08 | 600 28 | 99 25% | L |
| MAGNA INTL INC CL A SUB VTG CANADA ORD CAD | 559222401 | MGA | 11 00 | 09/24/2015 | 518 45 | 11/24/2015 | 490 96 | -27 49 | -5 30% | S |

## Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| MAGNA INTL INC CL A SUB VTG CANADA ORD CAD | 559222401 | MGA | 2.00 | 11/09/2015 | 95 29 | 11/24/2015 | 89 26 | -6 03 | -6 33% | S |
| MAGNA INTL INC CL A SUB VTG CANADA ORD CAD | 559222401 | MGA | 2 00 | 11/10/2015 | 93 07 | 11/24/2015 | 89 27 | -3 80 | -4 08% | S |
| MAKITA CORP *FOREIGN SEC ORD JPY | J39584107 | MKEWF | 67 00 | 03/21/2013 | 3,098 75 | 12/31/2015 | 3,879 23 | 780 48 | 25 19% | L |
| MALLINCKRODT PUB LTD CO | G5785G107 | MNK | 27 00 | 08/21/2015 | 2,377 55 | 10/29/2015 | 1,909 13 | -468 42 | -19 70% | S |
| MALLINCKRODT PUB LTD CO | G5785G107 | MNK | 8 00 | 08/25/2015 | 635 53 | 10/29/2015 | 565 67 | -69.86 | -10 99% | S |
| MALLINCKRODT PUB LTD CO | G5785G107 | MNK | 18 00 | 08/25/2015 | 1,429 93 | 11/12/2015 | 978 80 | -451 13 | -31 55% | S |
| MALLINCKRODT PUB LTD CO | G5785G107 | MNK | 14.00 | 09/14/2015 | 1,140 43 | 11/12/2015 | 761 28 | -379 15 | -33 25% | S |
| MALLINCKRODT PUB LTD CO | G5785G107 | MNK | 83 00 | 09/16/2015 | 6,880 44 | 12/16/2015 | 6,105 59 | -774 85 | -11 26% | S |
| MALLINCKRODT PUB LTD CO | G5785G107 | MNK | 31 00 | 09/17/2015 | 2,621 64 | 12/16/2015 | 2,280 40 | -341 24 | -13 02% | S |
| MALLINCKRODT PUB LTD CO | G5785G107 | MNK | 3 00 | 09/30/2015 | 187 42 | 12/16/2015 | 220 69 | 33 27 | 17 75% | S |
| MALLINCKRODT PUB LTD CO | G5785G107 | MNK | 3 00 | 10/01/2015 | 187 49 | 12/16/2015 | 220 68 | 33.19 | 17 70% | S |
| MAN GROUP PLC ADR | 56164U107 | MNGPY | 54 00 | 05/22/2015 | 150 51 | 11/03/2015 | 133 08 | -17 43 | -11 58% | S |
| MAN GROUP PLC ADR | 56164U107 | MNGPY | 106.00 | 05/26/2015 | 289 67 | 11/03/2015 | 261 22 | -28 45 | -9 82% | S |
| MAN GROUP PLC ADR | 56164U107 | MNGPY | 45 00 | 05/28/2015 | 123 17 | 11/03/2015 | 110 90 | -12 27 | -9 96% | S |
| MAN GROUP PLC ADR | 56164U107 | MNGPY | 61 00 | 05/29/2015 | 166 51 | 11/03/2015 | 150 32 | -16 19 | -9 72% | S |
| MAN GROUP PLC ADR | 56164U107 | MNGPY | 37 00 | 06/01/2015 | 102 79 | 11/03/2015 | 91 18 | -11 61 | -11 29% | S |
| MAN GROUP PLC ADR | 56164U107 | MNGPY | 61 00 | 06/02/2015 | 169 45 | 11/03/2015 | 150 33 | -19.12 | -11 28% | S |
| MAN GROUP PLC ADR | 56164U107 | MNGPY | 61 00 | 06/03/2015 | 170 34 | 11/03/2015 | 150 33 | -20 01 | -11 75% | S |
| MAN GROUP PLC ADR | 56164U107 | MNGPY | 65.00 | 06/04/2015 | 177 57 | 11/03/2015 | 160 18 | -17 39 | -9 79% | S |
| MAN GROUP PLC ADR | 56164U107 | MNGPY | 55 00 | 06/05/2015 | 147 14 | 11/24/2015 | 127 67 | -19 47 | -13 23% | S |
| MAN GROUP PLC ADR | 56164U107 | MNGPY | 56 00 | 06/08/2015 | 145 74 | 11/24/2015 | 129 99 | -15 75 | -10 81% | S |
| MAN GROUP PLC ADR | 56164U107 | MNGPY | 56 00 | 06/09/2015 | 144 67 | 11/24/2015 | 129 99 | -14 68 | -10 15% | S |
| MAN GROUP PLC ADR | 56164U107 | MNGPY | 47 00 | 06/10/2015 | 122 23 | 11/24/2015 | 109 10 | -13.13 | -10 74% | S |
| MAN GROUP PLC ADR | 56164U107 | MNGPY | 27 00 | 06/11/2015 | 70 62 | 11/24/2015 | 62 67 | -7 95 | -11 26% | S |
| MAN GROUP PLC ADR | 56164U107 | MNGPY | 53.00 | 06/12/2015 | 136 18 | 11/24/2015 | 123 02 | -13 16 | -9 66% | S |
| MAN GROUP PLC ADR | 56164U107 | MNGPY | 35 00 | 06/15/2015 | 87 22 | 11/24/2015 | 81 25 | -5 97 | -6 84% | S |

# Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| MAN GROUP PLC ADR | 56164U107 | MNGPY | 66.00 | 06/16/2015 | 169 45 | 11/24/2015 | 153 20 | -16 25 | -9 59% | S |
| MANHATTAN ASSOC INC | 562750109 | MANH | 14 00 | 06/28/2012 | 148 89 | 09/30/2015 | 876 93 | 728 04 | 488 98% | L |
| MANHATTAN ASSOC INC | 562750109 | MANH | 9 00 | 06/28/2012 | 95 72 | 02/25/2016 | 491 66 | 395 94 | 413 64% | L |
| MANHATTAN ASSOC INC | 562750109 | MANH | 14 00 | 06/28/2012 | 148 89 | 05/20/2016 | 873 02 | 724 13 | 486 35% | L |
| MANPOWERGROUP INC | 56418H100 | MAN | 18 00 | 01/15/2015 | 1,166 00 | 08/06/2015 | 1,636 96 | 470.96 | 40 39% | S |
| MANPOWERGROUP INC | 56418H100 | MAN | 15 00 | 01/15/2015 | 971 66 | 06/24/2016 | 999 13 | 27 47 | 2 83% | L |
| MANULIFE FINANCIAL CORP CAD | 56501R106 | MFC | 107.00 | 07/02/2012 | 1,167 37 | 11/03/2015 | 1,787 95 | 620 58 | 53 16% | L |
| MANULIFE FINANCIAL CORP CAD | 56501R106 | MFC | 25 00 | 09/24/2012 | 302 88 | 11/03/2015 | 417 74 | 114 86 | 37 92% | L |
| MANULIFE FINANCIAL CORP CAD | 56501R106 | MFC | 120 00 | 09/24/2012 | 1,453 80 | 11/24/2015 | 1,973 94 | 520 14 | 35 78% | L |
| MARATHON OIL CORP | 565849106 | MRO | 419 00 | 09/09/2015 | 6,579 31 | 12/16/2015 | 5,899 62 | -679 69 | -10 33% | S |
| MARATHON PETROLEUM CO | 56585A102 | MPC | 47 00 | 02/19/2014 | 2,073 17 | 08/21/2015 | 2,283 22 | 210.05 | 10 13% | L |
| MARATHON PETROLEUM CO | 56585A102 | MPC | 27 00 | 02/19/2014 | 1,190 97 | 11/20/2015 | 1,513 14 | 322 17 | 27 05% | L |
| MARATHON PETROLEUM CO | 56585A102 | MPC | 3.00 | 04/10/2015 | 150 27 | 11/20/2015 | 168 13 | 17 86 | 11 89% | S |
| MARATHON PETROLEUM CO | 56585A102 | MPC | 28 00 | 01/15/2015 | 1,086 86 | 01/12/2016 | 1,288 25 | 201 39 | 18 53% | S |
| MARATHON PETROLEUM CO | 56585A102 | MPC | 80 00 | 01/15/2015 | 3,105 29 | 05/03/2016 | 3,030 23 | -75 06 | -2 42% | L |
| MARKIT LTD **NAME CHANGE EFF 07/2016** | G58249106 | | 11 00 | 11/24/2015 | 317 80 | 03/22/2016 | 375 52 | 57 72 | 18 16% | S |
| MARKS & SPENCER GROUP INC SPON ADR | 570912105 | MAKSY | 30 00 | 10/16/2014 | 383 67 | 11/03/2015 | 480 45 | 96.78 | 25 22% | L |
| MARKS & SPENCER GROUP INC SPON ADR | 570912105 | MAKSY | 116 00 | 10/17/2014 | 1,522 61 | 11/03/2015 | 1,857 76 | 335 15 | 22 01% | L |
| MARKS & SPENCER GROUP INC SPON ADR | 570912105 | MAKSY | 31.00 | 10/17/2014 | 406 90 | 11/24/2015 | 462 10 | 55 20 | 13 57% | L |
| MARKS & SPENCER GROUP INC SPON ADR | 570912105 | MAKSY | 35 00 | 10/20/2014 | 460 02 | 11/24/2015 | 521 72 | 61 70 | 13 41% | L |
| MARKS & SPENCER GROUP INC SPON ADR | 570912105 | MAKSY | 60 00 | 10/21/2014 | 810 00 | 11/24/2015 | 894 39 | 84 39 | 10 42% | L |
| MCKESSON CORP | 58155Q103 | MCK | 21 00 | 01/15/2015 | 4,459 98 | 03/16/2016 | 3,283 12 | -1,176 86 | -26 39% | L |
| MEDIASET ESPANA COMUNICACION SA EUR | E7418Y101 | GETVF | 54 00 | 08/25/2014 | 624 15 | 03/24/2016 | 610 38 | -13.77 | -2 21% | L |
| MEDIASET ESPANA COMUNICACION SA EUR | E7418Y101 | GETVF | 150 00 | 08/25/2014 | 1,733 77 | 03/29/2016 | 1,689 77 | -44 00 | -2 54% | L |
| MEDICINES CO | 584688105 | MDCO | 35.00 | 08/26/2015 | 1,035 14 | 02/11/2016 | 1,021 12 | -14 02 | -1 35% | S |
| MEDICINES CO | 584688105 | MDCO | 20 00 | 08/26/2015 | 591 51 | 02/12/2016 | 580 41 | -11 10 | -1 88% | S |

## Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| MEDTRONIC PLC | G5960L103 | MDT | 41.00 | 01/27/2015 | 3,135 73 | 08/12/2015 | 3,153 59 | 17 86 | 0 57% | S |
| MEDTRONIC PLC | G5960L103 | MDT | 36 00 | 02/24/2015 | 2,817 22 | 11/20/2015 | 2,745 07 | -72 15 | -2 56% | S |
| MELLANOX TECHNOLOGIES LTD ILS | M51363113 | MLNX | 25 00 | 01/28/2014 | 1,022 81 | 01/08/2016 | 976 91 | -45 90 | -4 49% | L |
| MELLANOX TECHNOLOGIES LTD ILS | M51363113 | MLNX | 8 00 | 01/28/2014 | 327 30 | 03/10/2016 | 388 17 | 60 87 | 18 60% | L |
| MELLANOX TECHNOLOGIES LTD ILS | M51363113 | MLNX | 7 00 | 01/28/2014 | 286 39 | 03/11/2016 | 346 55 | 60.16 | 21 01% | L |
| MELLANOX TECHNOLOGIES LTD ILS | M51363113 | MLNX | 10 00 | 02/18/2014 | 378 00 | 03/11/2016 | 495 07 | 117 07 | 30 97% | L |
| MELLANOX TECHNOLOGIES LTD ILS | M51363113 | MLNX | 8.00 | 02/18/2014 | 302 40 | 03/14/2016 | 396 02 | 93 62 | 30 96% | L |
| MELLANOX TECHNOLOGIES LTD ILS | M51363113 | MLNX | 4 00 | 02/18/2014 | 151 20 | 03/15/2016 | 195 43 | 44 23 | 29 25% | L |
| MELLANOX TECHNOLOGIES LTD ILS | M51363113 | MLNX | 16 00 | 02/18/2014 | 604 80 | 03/16/2016 | 784 91 | 180 11 | 29 78% | L |
| MELLANOX TECHNOLOGIES LTD ILS | M51363113 | MLNX | 1 00 | 10/16/2014 | 42 62 | 03/16/2016 | 49 06 | 6 44 | 15 11% | L |
| MELLANOX TECHNOLOGIES LTD ILS | M51363113 | MLNX | 25 00 | 10/16/2014 | 1,065 45 | 03/17/2016 | 1,244 45 | 179.00 | 16 80% | L |
| MELLANOX TECHNOLOGIES LTD ILS | M51363113 | MLNX | 9 00 | 10/16/2014 | 383 56 | 03/24/2016 | 487 33 | 103 77 | 27 05% | L |
| MELLANOX TECHNOLOGIES LTD ILS | M51363113 | MLNX | 31.00 | 04/06/2015 | 1,424 76 | 03/24/2016 | 1,678 58 | 253 82 | 17 81% | S |
| MELLANOX TECHNOLOGIES LTD ILS | M51363113 | MLNX | 11 00 | 04/06/2015 | 505 56 | 05/03/2016 | 464 55 | -41 01 | -8 11% | L |
| MELLANOX TECHNOLOGIES LTD ILS | M51363113 | MLNX | 26 00 | 07/29/2015 | 1,166 49 | 05/03/2016 | 1,098 02 | -68 47 | -5 87% | S |
| MELLANOX TECHNOLOGIES LTD ILS | M51363113 | MLNX | 38 00 | 07/29/2015 | 1,704 86 | 05/04/2016 | 1,587 22 | -117 64 | -6 90% | S |
| MELLANOX TECHNOLOGIES LTD ILS | M51363113 | MLNX | 41 00 | 07/29/2015 | 1,839 47 | 05/05/2016 | 1,707 32 | -132.15 | -7 18% | S |
| MELLANOX TECHNOLOGIES LTD ILS | M51363113 | MLNX | 21 00 | 07/29/2015 | 942 16 | 05/06/2016 | 863 72 | -78 44 | -8 33% | S |
| METHANEX CORP | 59151K108 | MEOH | 1.00 | 09/08/2014 | 68 99 | 08/13/2015 | 44 22 | -24 77 | -35 90% | S |
| METHANEX CORP | 59151K108 | MEOH | 12 00 | 09/08/2014 | 827 87 | 08/21/2015 | 475 90 | -351 97 | -42 52% | S |
| METHANEX CORP | 59151K108 | MEOH | 7 00 | 09/08/2014 | 482 93 | 08/24/2015 | 268 92 | -214 01 | -44 31% | S |
| METHANEX CORP | 59151K108 | MEOH | 8 00 | 09/09/2014 | 547 30 | 08/24/2015 | 307 34 | -239 96 | -43 84% | S |
| METHANEX CORP | 59151K108 | MEOH | 11 00 | 09/09/2014 | 752 53 | 08/26/2015 | 407 83 | -344.70 | -45 81% | S |
| MFS UTILITIES FUND CLASS I | 552986879 | MFMFAS | 4,657 66 | 03/27/2015 | 100,000 00 | 02/25/2016 | 77,876 11 | -22,123 89 | -22 12% | S |
| MICRON TECHNOLOGY INC | 595112103 | MU | 124.00 | 01/15/2015 | 3,679 76 | 08/21/2015 | 1,848 24 | -1,831 52 | -49 77% | S |
| MICRON TECHNOLOGY INC | 595112103 | MU | 120 00 | 01/14/2015 | 3,675 35 | 09/16/2015 | 1,974 02 | -1,701 33 | -46 29% | S |

## Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| MICRON TECHNOLOGY INC | 595112103 | MU | 39.00 | 04/06/2015 | 1,057 87 | 09/16/2015 | 641 56 | -416 31 | -39 35% | S |
| MICRON TECHNOLOGY INC | 595112103 | MU | 94 00 | 04/29/2015 | 2,714 48 | 09/16/2015 | 1,546 32 | -1,168 16 | -43 03% | S |
| MICRON TECHNOLOGY INC | 595112103 | MU | 8 00 | 04/30/2015 | 230 34 | 09/16/2015 | 131 60 | -98 74 | -42 87% | S |
| MICRON TECHNOLOGY INC | 595112103 | MU | 213 00 | 06/26/2015 | 4,171 56 | 09/16/2015 | 3,503 89 | -667 67 | -16 01% | S |
| MICRON TECHNOLOGY INC | 595112103 | MU | 24 00 | 07/29/2015 | 479 47 | 09/16/2015 | 394 80 | -84.67 | -17 66% | S |
| MICRON TECHNOLOGY INC | 595112103 | MU | 50 00 | 08/24/2015 | 753 24 | 09/16/2015 | 822 51 | 69 27 | 9 20% | S |
| MICROSOFT CORP | 594918104 | MSFT | 124.00 | 02/14/2014 | 4,664 88 | 10/23/2015 | 6,596 37 | 1,931 49 | 41 40% | L |
| MICROSOFT CORP | 594918104 | MSFT | 3 00 | 02/14/2014 | 112 86 | 11/04/2015 | 162 90 | 50 04 | 44 34% | L |
| MICROSOFT CORP | 594918104 | MSFT | 37 00 | 01/15/2015 | 1,691 52 | 05/03/2016 | 1,843 54 | 152 02 | 8 99% | L |
| MICROSTRATEGY INC NEW CL A | 594972408 | MSTR | 23 00 | 03/09/2016 | 3,845 17 | 05/17/2016 | 4,116 34 | 271 17 | 7 05% | S |
| MINERALS TECHNOLOGIES INC | 603158106 | MTX | 31 00 | 03/21/2013 | 1,317 49 | 05/23/2016 | 1,752 65 | 435.16 | 33 03% | L |
| MINERALS TECHNOLOGIES INC | 603158106 | MTX | 62 00 | 02/19/2014 | 3,291 58 | 05/23/2016 | 3,505 31 | 213 73 | 6 49% | L |
| MINERALS TECHNOLOGIES INC | 603158106 | MTX | 32.00 | 04/10/2015 | 2,344 96 | 05/23/2016 | 1,809 19 | -535 77 | -22 85% | L |
| MITSUBISHI ELEC CORP ADR JAPAN ADR | 606776201 | MIELY | 30 00 | 08/14/2015 | 661 13 | 11/03/2015 | 627 14 | -33 99 | -5 14% | S |
| MITSUBISHI ELEC CORP ADR JAPAN ADR | 606776201 | MIELY | 13 00 | 08/18/2015 | 284 89 | 11/03/2015 | 271 76 | -13 13 | -4 61% | S |
| MITSUBISHI ELEC CORP ADR JAPAN ADR | 606776201 | MIELY | 13 00 | 08/18/2015 | 284 89 | 11/24/2015 | 287 55 | 2 66 | 0 93% | S |
| MITSUBISHI ELEC CORP ADR JAPAN ADR | 606776201 | MIELY | 34 00 | 08/20/2015 | 709 68 | 11/24/2015 | 752 07 | 42.39 | 5 97% | S |
| MIZUHO FINANCIAL GROUP INC SPON ADR | 60687Y109 | MFG | 235 00 | 07/02/2012 | 782 71 | 07/01/2015 | 1,007 96 | 225 25 | 28 78% | L |
| MIZUHO FINANCIAL GROUP INC SPON ADR | 60687Y109 | MFG | 234.00 | 09/24/2012 | 790 41 | 07/01/2015 | 1,003 68 | 213 27 | 26 98% | L |
| MIZUHO FINANCIAL GROUP INC SPON ADR | 60687Y109 | MFG | 15 00 | 09/24/2012 | 50 66 | 07/09/2015 | 62 10 | 11 44 | 22 58% | L |
| MIZUHO FINANCIAL GROUP INC SPON ADR | 60687Y109 | MFG | 9 00 | 09/24/2012 | 30 40 | 07/13/2015 | 38 51 | 8 11 | 26 68% | L |
| MIZUHO FINANCIAL GROUP INC SPON ADR | 60687Y109 | MFG | 9 00 | 09/24/2012 | 30 40 | 07/14/2015 | 38 60 | 8 20 | 26 97% | L |
| MIZUHO FINANCIAL GROUP INC SPON ADR | 60687Y109 | MFG | 48 00 | 09/24/2012 | 162 14 | 07/15/2015 | 205 55 | 43.41 | 26 77% | L |
| MIZUHO FINANCIAL GROUP INC SPON ADR | 60687Y109 | MFG | 30 00 | 09/24/2012 | 101 33 | 07/17/2015 | 129 95 | 28 62 | 28 24% | L |
| MIZUHO FINANCIAL GROUP INC SPON ADR | 60687Y109 | MFG | 27.00 | 09/24/2012 | 91 20 | 07/20/2015 | 116 92 | 25 72 | 28 20% | L |
| MIZUHO FINANCIAL GROUP INC SPON ADR | 60687Y109 | MFG | 20 00 | 09/24/2012 | 67 56 | 07/22/2015 | 85 41 | 17 85 | 26 42% | L |

| | | | | | | |
|---|---|---|---|---|---|---|
| YX XX289 Main | YX XX294 Equity-MFS | YX XX299 Alt Inv-Main | YX XX176 Yield Oriented |
| YX XX290 Trillium SRI | YX XX295 Equity-Rothchld | YX XX300 UBS SRI | |
| YX XX291 Equity-Atlanta | YX XX296 NB SRI | YX XX301 NA SRI | |
| YX XX292 Taxable FI | YX XX297 Equity-Cambiar | YX XX887 Equity-Non-Fee | |
| YX XX293 Estabrook SRI | YX XX298 Equity-Funds | YX XX888 Equity-APEX | |

# Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| MIZUHO FINANCIAL GROUP INC SPON ADR | 60687Y109 | MFG | 6.00 | 09/24/2012 | 20 27 | 07/23/2015 | 25 76 | 5 49 | 27 08% | L |
| MIZUHO FINANCIAL GROUP INC SPON ADR | 60687Y109 | MFG | 1 00 | 09/24/2012 | 3 38 | 07/30/2015 | 4 27 | 0 89 | 26 33% | L |
| MIZUHO FINANCIAL GROUP INC SPON ADR | 60687Y109 | MFG | 5 00 | 09/24/2012 | 16 88 | 08/14/2015 | 20 59 | 3 71 | 21 98% | L |
| MIZUHO FINANCIAL GROUP INC SPON ADR | 60687Y109 | MFG | 31 00 | 09/24/2012 | 104 72 | 08/26/2015 | 121 22 | 16 50 | 15 76% | L |
| MIZUHO FINANCIAL GROUP INC SPON ADR | 60687Y109 | MFG | 42 00 | 09/24/2012 | 141 86 | 08/27/2015 | 170 31 | 28.45 | 20 05% | L |
| MIZUHO FINANCIAL GROUP INC SPON ADR | 60687Y109 | MFG | 51 00 | 09/24/2012 | 172 27 | 08/28/2015 | 209 66 | 37 39 | 21 70% | L |
| MIZUHO FINANCIAL GROUP INC SPON ADR | 60687Y109 | MFG | 71.00 | 09/24/2012 | 239 83 | 08/31/2015 | 289 22 | 49 39 | 20 59% | L |
| MIZUHO FINANCIAL GROUP INC SPON ADR | 60687Y109 | MFG | 21 00 | 09/24/2012 | 70 93 | 09/02/2015 | 83 42 | 12 49 | 17 61% | L |
| MIZUHO FINANCIAL GROUP INC SPON ADR | 60687Y109 | MFG | 2 00 | 09/24/2012 | 6 76 | 09/04/2015 | 7 67 | 0 91 | 13 46% | L |
| MIZUHO FINANCIAL GROUP INC SPON ADR | 60687Y109 | MFG | 36 00 | 09/24/2012 | 121 60 | 09/09/2015 | 143 28 | 21 68 | 17 83% | L |
| MIZUHO FINANCIAL GROUP INC SPON ADR | 60687Y109 | MFG | 17 00 | 09/24/2012 | 57 42 | 09/14/2015 | 66 70 | 9.28 | 16 16% | L |
| MIZUHO FINANCIAL GROUP INC SPON ADR | 60687Y109 | MFG | 10 00 | 01/28/2014 | 43 27 | 09/14/2015 | 39 23 | -4 04 | -9 34% | L |
| MIZUHO FINANCIAL GROUP INC SPON ADR | 60687Y109 | MFG | 11.00 | 01/28/2014 | 47 59 | 09/15/2015 | 43 40 | -4 19 | -8 80% | L |
| MIZUHO FINANCIAL GROUP INC SPON ADR | 60687Y109 | MFG | 33 00 | 01/28/2014 | 142 79 | 09/16/2015 | 131 70 | -11 09 | -7 77% | L |
| MIZUHO FINANCIAL GROUP INC SPON ADR | 60687Y109 | MFG | 2 00 | 01/28/2014 | 8 65 | 09/25/2015 | 7 75 | -0 90 | -10 40% | L |
| MIZUHO FINANCIAL GROUP INC SPON ADR | 60687Y109 | MFG | 173 00 | 01/28/2014 | 748 54 | 09/30/2015 | 634 40 | -114 14 | -15 25% | L |
| MONSANTO CO NEW | 61166W101 | MON | 30 00 | 07/08/2015 | 3,185 50 | 01/06/2016 | 2,894 05 | -291.45 | -9 15% | S |
| MONSANTO CO NEW | 61166W101 | MON | 6 00 | 08/12/2015 | 615 20 | 01/06/2016 | 578 81 | -36 39 | -5 92% | S |
| MONSANTO CO NEW | 61166W101 | MON | 25.00 | 08/12/2015 | 2,563 32 | 05/25/2016 | 2,798 33 | 235 01 | 9 17% | S |
| MONSANTO CO NEW | 61166W101 | MON | 8 00 | 08/19/2015 | 820 96 | 05/25/2016 | 895 47 | 74 51 | 9 08% | S |
| MONSANTO CO NEW | 61166W101 | MON | 21 00 | 08/19/2015 | 2,155 02 | 06/22/2016 | 2,258 71 | 103 69 | 4 81% | S |
| MONSANTO CO NEW | 61166W101 | MON | 22 00 | 03/02/2016 | 1,885 71 | 06/22/2016 | 2,366 27 | 480 56 | 25 48% | S |
| MOSAIC CO | 61945C103 | MOS | 94 00 | 02/18/2015 | 4,899 16 | 09/14/2015 | 3,643 52 | -1,255.64 | -25 63% | S |
| NASDAQ INC | 631103108 | NDAQ | 32 00 | 01/24/2014 | 1,231 21 | 03/02/2016 | 2,051 48 | 820 27 | 66 62% | L |
| NATIONAL GRID PLC NEW SPON ADR | 636274300 | NGG | 36.00 | 02/22/2016 | 2,501 22 | 05/23/2016 | 2,594 83 | 93 61 | 3 74% | S |
| NATL FUEL GAS CO | 636180101 | NFG | 36 00 | 02/19/2014 | 2,756 16 | 05/23/2016 | 1,935 78 | -820 38 | -29 77% | L |

## Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| NATL FUEL GAS CO | 636180101 | NFG | 12.00 | 04/10/2015 | 758 40 | 05/23/2016 | 645 26 | -113 14 | -14 92% | L |
| NETSCOUT SYSTEMS INC | 64115T104 | NTCT | 0 60 | 02/21/2014 | 19 00 | 07/20/2015 | 23 92 | 4 92 | 25 89% | L |
| NEW YORK CMNTY BANCORP | 649445103 | NYCB | 132 00 | 03/24/2016 | 2,059 16 | 06/30/2016 | 1,969 25 | -89 91 | -4 37% | S |
| NEWELL BRANDS INC | 651229106 | NWL | 119 00 | 02/21/2014 | 3,798 48 | 02/01/2016 | 4,674 87 | 876 39 | 23 07% | L |
| NEXT GROUP PLC ORD GBP | G6500M106 | NXGPF | 38 00 | 10/03/2014 | 4,052 71 | 03/31/2016 | 2,973 75 | -1,078.96 | -26 62% | L |
| NEXT GROUP PLC ORD GBP | G6500M106 | NXGPF | 4 00 | 11/21/2014 | 423 99 | 03/31/2016 | 313 03 | -110 96 | -26 17% | L |
| NEXT GROUP PLC ORD GBP | G6500M106 | NXGPF | 18.00 | 11/21/2014 | 1,907 94 | 05/20/2016 | 1,395 80 | -512 14 | -26 84% | L |
| NEXT GROUP PLC ORD GBP | G6500M106 | NXGPF | 25 00 | 04/06/2015 | 2,697 25 | 05/20/2016 | 1,938 61 | -758 64 | -28 13% | L |
| NEXT GROUP PLC ORD GBP | G6500M106 | NXGPF | 22 00 | 12/31/2015 | 2,392 09 | 05/20/2016 | 1,705 97 | -686 12 | -28 68% | S |
| NORDEA BANK SWED ADR | 65557A206 | NRBAY | 5 00 | 05/15/2015 | 65 87 | 11/03/2015 | 56 52 | -9 35 | -14 19% | S |
| NORDEA BANK SWED ADR | 65557A206 | NRBAY | 39 00 | 05/18/2015 | 515 27 | 11/03/2015 | 440 86 | -74.41 | -14 44% | S |
| NORDEA BANK SWED ADR | 65557A206 | NRBAY | 119 00 | 05/20/2015 | 1,568 41 | 11/03/2015 | 1,345 19 | -223 22 | -14 23% | S |
| NORDEA BANK SWED ADR | 65557A206 | NRBAY | 16.00 | 05/20/2015 | 210 88 | 11/24/2015 | 172 64 | -38 24 | -18 13% | S |
| NORDEA BANK SWED ADR | 65557A206 | NRBAY | 34 00 | 05/26/2015 | 449 65 | 11/24/2015 | 366 85 | -82 80 | -18 41% | S |
| NORDEA BANK SWED ADR | 65557A206 | NRBAY | 37 00 | 05/27/2015 | 487 82 | 11/24/2015 | 399 22 | -88 60 | -18 16% | S |
| NORDEA BANK SWED ADR | 65557A206 | NRBAY | 45 00 | 05/28/2015 | 594 49 | 11/24/2015 | 485 54 | -108 95 | -18 33% | S |
| NORDEA BANK SWED ADR | 65557A206 | NRBAY | 20 00 | 05/29/2015 | 262 03 | 11/24/2015 | 215 80 | -46.23 | -17 64% | S |
| NORDEA BANK SWED ADR | 65557A206 | NRBAY | 26 00 | 06/01/2015 | 338 17 | 11/24/2015 | 280 53 | -57 64 | -17 04% | S |
| NORSK HYDRO A S NEW NORWAY SPON ADR | 656531605 | NHYDY | 63.00 | 03/23/2015 | 338 21 | 08/28/2015 | 215 58 | -122 63 | -36 26% | S |
| NORSK HYDRO A S NEW NORWAY SPON ADR | 656531605 | NHYDY | 37 00 | 03/23/2015 | 198 63 | 08/31/2015 | 124 48 | -74 15 | -37 33% | S |
| NORSK HYDRO A S NEW NORWAY SPON ADR | 656531605 | NHYDY | 1 00 | 03/24/2015 | 5 43 | 08/31/2015 | 3 36 | -2 07 | -38 12% | S |
| NORSK HYDRO A S NEW NORWAY SPON ADR | 656531605 | NHYDY | 60 00 | 03/24/2015 | 325 78 | 09/02/2015 | 198 48 | -127 30 | -39 08% | S |
| NORSK HYDRO A S NEW NORWAY SPON ADR | 656531605 | NHYDY | 60 00 | 03/24/2015 | 325 79 | 09/03/2015 | 201 40 | -124.39 | -38 18% | S |
| NORSK HYDRO A S NEW NORWAY SPON ADR | 656531605 | NHYDY | 19 00 | 03/24/2015 | 103 16 | 09/08/2015 | 65 77 | -37 39 | -36 24% | S |
| NORSK HYDRO A S NEW NORWAY SPON ADR | 656531605 | NHYDY | 39.00 | 03/25/2015 | 212 21 | 09/08/2015 | 135 00 | -77 21 | -36 38% | S |
| NORSK HYDRO A S NEW NORWAY SPON ADR | 656531605 | NHYDY | 67 00 | 03/25/2015 | 364 58 | 09/09/2015 | 245 83 | -118 75 | -32 57% | S |

## Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| NORSK HYDRO A S NEW NORWAY SPON ADR | 656531605 | NHYDY | 13.00 | 03/25/2015 | 70 74 | 09/11/2015 | 46 06 | -24 68 | -34 89% | S |
| NORSK HYDRO A S NEW NORWAY SPON ADR | 656531605 | NHYDY | 23 00 | 03/25/2015 | 125 15 | 10/21/2015 | 87 13 | -38 02 | -30 38% | S |
| NORSK HYDRO A S NEW NORWAY SPON ADR | 656531605 | NHYDY | 33 00 | 03/30/2015 | 176 86 | 10/21/2015 | 125 02 | -51 84 | -29 31% | S |
| NORSK HYDRO A S NEW NORWAY SPON ADR | 656531605 | NHYDY | 40 00 | 03/31/2015 | 212 09 | 10/21/2015 | 151 53 | -60 56 | -28 55% | S |
| NORSK HYDRO A S NEW NORWAY SPON ADR | 656531605 | NHYDY | 9 00 | 04/01/2015 | 47 95 | 10/21/2015 | 34 10 | -13.85 | -28 88% | S |
| NORSK HYDRO A S NEW NORWAY SPON ADR | 656531605 | NHYDY | 6 00 | 04/07/2015 | 32 65 | 10/21/2015 | 22 73 | -9 92 | -30 38% | S |
| NORSK HYDRO A S NEW NORWAY SPON ADR | 656531605 | NHYDY | 50.00 | 04/07/2015 | 272 07 | 10/26/2015 | 182 69 | -89 38 | -32 85% | S |
| NORSK HYDRO A S NEW NORWAY SPON ADR | 656531605 | NHYDY | 37 00 | 04/08/2015 | 203 95 | 10/26/2015 | 135 18 | -68 77 | -33 72% | S |
| NORSK HYDRO A S NEW NORWAY SPON ADR | 656531605 | NHYDY | 79 00 | 04/09/2015 | 433 10 | 10/26/2015 | 288 65 | -144 45 | -33 35% | S |
| NORSK HYDRO A S NEW NORWAY SPON ADR | 656531605 | NHYDY | 44 00 | 04/10/2015 | 241 26 | 10/26/2015 | 160 76 | -80 50 | -33 37% | S |
| NORSK HYDRO A S NEW NORWAY SPON ADR | 656531605 | NHYDY | 26 00 | 04/13/2015 | 143 27 | 10/26/2015 | 95 00 | -48.27 | -33 69% | S |
| NORSK HYDRO A S NEW NORWAY SPON ADR | 656531605 | NHYDY | 34 00 | 04/13/2015 | 187 36 | 10/28/2015 | 121 20 | -66 16 | -35 31% | S |
| NORSK HYDRO A S NEW NORWAY SPON ADR | 656531605 | NHYDY | 26.00 | 04/14/2015 | 146 30 | 10/28/2015 | 92 69 | -53 61 | -36 64% | S |
| NORSK HYDRO A S NEW NORWAY SPON ADR | 656531605 | NHYDY | 13 00 | 04/15/2015 | 74 08 | 10/28/2015 | 46 34 | -27 74 | -37 45% | S |
| NORSK HYDRO A S NEW NORWAY SPON ADR | 656531605 | NHYDY | 12 00 | 04/15/2015 | 68 38 | 10/30/2015 | 42 45 | -25 93 | -37 92% | S |
| NORSK HYDRO A S NEW NORWAY SPON ADR | 656531605 | NHYDY | 35 00 | 04/23/2015 | 179 46 | 10/30/2015 | 123 83 | -55 63 | -31 00% | S |
| NORSK HYDRO A S NEW NORWAY SPON ADR | 656531605 | NHYDY | 76 00 | 04/29/2015 | 375 23 | 10/30/2015 | 268 87 | -106.36 | -28 35% | S |
| NORSK HYDRO A S NEW NORWAY SPON ADR | 656531605 | NHYDY | 5 00 | 05/07/2015 | 24 14 | 10/30/2015 | 17 69 | -6 45 | -26 72% | S |
| NOVARTIS AG SPON ADR | 66987V109 | NVS | 43.00 | 09/26/2014 | 4,010 17 | 02/22/2016 | 3,187 81 | -822 36 | -20 51% | L |
| NOVARTIS AG SPON ADR | 66987V109 | NVS | 13 00 | 04/10/2015 | 1,348 62 | 02/22/2016 | 963 75 | -384 87 | -28 54% | S |
| NOVARTIS AG SPON ADR | 66987V109 | NVS | 35 00 | 01/28/2014 | 2,774 45 | 06/22/2016 | 2,783 62 | 9 17 | 0 33% | L |
| NOVARTIS AG SPON ADR | 66987V109 | NVS | 5 00 | 02/18/2014 | 414 15 | 06/22/2016 | 397 66 | -16 49 | -3 98% | L |
| NOVARTIS AG SPON ADR | 66987V109 | NVS | 17 00 | 02/18/2014 | 1,408 11 | 06/27/2016 | 1,310 33 | -97.78 | -6 94% | L |
| NOVOZYMES A/S ADR | 670108109 | NVZMY | 115 00 | 02/21/2014 | 5,295 75 | 08/21/2015 | 4,885 22 | -410 53 | -7 75% | L |
| NOVOZYMES A/S ADR | 670108109 | NVZMY | 48.00 | 04/06/2015 | 2,303 76 | 08/21/2015 | 2,039 05 | -264 71 | -11 49% | S |
| NOW INC COM | 67011P100 | DNOW | 146 00 | 07/24/2014 | 4,890 01 | 08/06/2015 | 2,588 62 | -2,301 39 | -47 06% | L |

## Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| NOW INC COM | 67011P100 | DNOW | 13.00 | 07/24/2014 | 435 41 | 04/20/2016 | 233 68 | -201 73 | -46 33% | L |
| NOW INC COM | 67011P100 | DNOW | 92 00 | 09/15/2014 | 2,948 34 | 04/20/2016 | 1,653 72 | -1,294 62 | -43 91% | L |
| NOW INC COM | 67011P100 | DNOW | 30 00 | 10/06/2014 | 871 30 | 04/20/2016 | 539 26 | -332 04 | -38 11% | L |
| NOW INC COM | 67011P100 | DNOW | 86 00 | 10/06/2014 | 2,497 72 | 06/22/2016 | 1,612 59 | -885 13 | -35 44% | L |
| NOW INC COM | 67011P100 | DNOW | 54 00 | 11/14/2014 | 1,512 88 | 06/22/2016 | 1,012 55 | -500.33 | -33 07% | L |
| NSK LTD ADR ADR | 670184100 | NPSKY | 13 00 | 08/13/2015 | 338 57 | 11/03/2015 | 311 15 | -27 42 | -8 10% | S |
| NSK LTD ADR ADR | 670184100 | NPSKY | 27.00 | 08/14/2015 | 728 88 | 11/03/2015 | 646 23 | -82 65 | -11 34% | S |
| NSK LTD ADR ADR | 670184100 | NPSKY | 12 00 | 08/17/2015 | 323 65 | 11/03/2015 | 287 22 | -36 43 | -11 26% | S |
| NSK LTD ADR ADR | 670184100 | NPSKY | 8 00 | 08/18/2015 | 216 37 | 11/03/2015 | 191 47 | -24 90 | -11 51% | S |
| NSK LTD ADR ADR | 670184100 | NPSKY | 10 00 | 08/19/2015 | 270 53 | 11/03/2015 | 239 35 | -31 18 | -11 53% | S |
| NSK LTD ADR ADR | 670184100 | NPSKY | 3 00 | 08/19/2015 | 81 16 | 11/24/2015 | 71 98 | -9.18 | -11 31% | S |
| NSK LTD ADR ADR | 670184100 | NPSKY | 8 00 | 08/20/2015 | 212 55 | 11/24/2015 | 191 96 | -20 59 | -9 69% | S |
| NSK LTD ADR ADR | 670184100 | NPSKY | 20.00 | 08/21/2015 | 524 17 | 11/24/2015 | 479 90 | -44 27 | -8 45% | S |
| NSK LTD ADR ADR | 670184100 | NPSKY | 23 00 | 08/24/2015 | 590 33 | 11/24/2015 | 551 88 | -38 45 | -6 51% | S |
| NSK LTD ADR ADR | 670184100 | NPSKY | 30 00 | 08/25/2015 | 769 44 | 11/24/2015 | 719 85 | -49 59 | -6 44% | S |
| NUANCE COMMUNICATIONS INC | 67020Y100 | NUAN | 98 00 | 12/04/2015 | 2,099 45 | 03/31/2016 | 1,826 03 | -273 42 | -13 02% | S |
| NUANCE COMMUNICATIONS INC | 67020Y100 | NUAN | 1 00 | 12/04/2015 | 21 42 | 05/10/2016 | 16 89 | -4.53 | -21 15% | S |
| NUANCE COMMUNICATIONS INC | 67020Y100 | NUAN | 112 00 | 12/18/2015 | 2,281 64 | 05/10/2016 | 1,891 20 | -390 44 | -17 11% | S |
| NXP SEMICONDUCTORS N V COM EUR | N6596X109 | NXPI | 59.00 | 04/22/2014 | 3,599 90 | 07/23/2015 | 5,506 97 | 1,907 07 | 52 98% | L |
| NXP SEMICONDUCTORS N V COM EUR | N6596X109 | NXPI | 10 00 | 03/21/2013 | 295 70 | 09/16/2015 | 898 32 | 602 62 | 203 79% | L |
| NXP SEMICONDUCTORS N V COM EUR | N6596X109 | NXPI | 44 00 | 02/18/2014 | 2,493 48 | 09/16/2015 | 3,952 59 | 1,459 11 | 58 52% | L |
| NXP SEMICONDUCTORS N V COM EUR | N6596X109 | NXPI | 6 00 | 02/18/2014 | 340 02 | 03/24/2016 | 484 80 | 144 78 | 42 58% | L |
| NXP SEMICONDUCTORS N V COM EUR | N6596X109 | NXPI | 9 00 | 10/16/2014 | 527 84 | 03/24/2016 | 727 19 | 199.35 | 37 77% | L |
| O REILLY AUTOMOTIVE INC | 67103H107 | ORLY | 8 00 | 02/21/2014 | 1,226 48 | 09/23/2015 | 1,963 46 | 736 98 | 60 09% | L |
| O REILLY AUTOMOTIVE INC | 67103H107 | ORLY | 19.00 | 02/21/2014 | 2,912 89 | 01/29/2016 | 4,926 02 | 2,013 13 | 69 11% | L |
| O REILLY AUTOMOTIVE INC | 67103H107 | ORLY | 8 00 | 02/21/2014 | 1,226 48 | 02/09/2016 | 1,912 50 | 686 02 | 55 93% | L |

# Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| O REILLY AUTOMOTIVE INC | 67103H107 | ORLY | 5.00 | 04/06/2015 | 1,084 60 | 02/09/2016 | 1,195 31 | 110 71 | 10 21% | S |
| O REILLY AUTOMOTIVE INC | 67103H107 | ORLY | 16 00 | 04/06/2015 | 3,470 72 | 02/10/2016 | 3,845 76 | 375 04 | 10 81% | S |
| OGE ENERGY CORP | 670837103 | OGE | 117 00 | 09/14/2015 | 3,144 41 | 11/23/2015 | 3,036 11 | -108 30 | -3 44% | S |
| OLD DOMINION FREIGHT LINES INC | 679580100 | ODFL | 2 00 | 03/17/2014 | 114 30 | 04/08/2016 | 135 23 | 20 93 | 18 31% | L |
| OLD DOMINION FREIGHT LINES INC | 679580100 | ODFL | 5 00 | 03/19/2014 | 284 81 | 04/08/2016 | 338 07 | 53.26 | 18 70% | L |
| OLD DOMINION FREIGHT LINES INC | 679580100 | ODFL | 1 00 | 03/25/2014 | 55 90 | 04/08/2016 | 67 61 | 11 71 | 20 95% | L |
| OMV AG SPON ADR NEW | 670875509 | OMVKY | 129.00 | 08/25/2014 | 4,953 54 | 12/17/2015 | 3,533 24 | -1,420 30 | -28 67% | L |
| OMV AG SPON ADR NEW | 670875509 | OMVKY | 3 00 | 04/06/2015 | 86 30 | 12/17/2015 | 82 17 | -4 13 | -4 79% | S |
| OMV AG SPON ADR NEW | 670875509 | OMVKY | 38 00 | 04/06/2015 | 1,093 07 | 12/18/2015 | 1,040 97 | -52 10 | -4 77% | S |
| OMV AG SPON ADR NEW | 670875509 | OMVKY | 4 00 | 08/25/2015 | 98 93 | 12/18/2015 | 109 58 | 10 65 | 10 77% | S |
| OMV AG SPON ADR NEW | 670875509 | OMVKY | 71 00 | 08/26/2015 | 1,775 23 | 12/18/2015 | 1,944 97 | 169.74 | 9 56% | S |
| ON SEMICONDUCTOR CORP | 682189105 | ON | 329 00 | 07/29/2015 | 3,498 32 | 11/24/2015 | 3,376 40 | -121 92 | -3 49% | S |
| ON SEMICONDUCTOR CORP | 682189105 | ON | 245.00 | 08/24/2015 | 2,236 33 | 11/24/2015 | 2,514 34 | 278 01 | 12 43% | S |
| ON SEMICONDUCTOR CORP | 682189105 | ON | 240 00 | 08/24/2015 | 2,190 70 | 11/25/2015 | 2,511 29 | 320 59 | 14 63% | S |
| OPEN TEXT CORP CAD | 683715106 | OTEX | 2 00 | 07/14/2014 | 94 06 | 11/03/2015 | 95 07 | 1 01 | 1 07% | L |
| OPEN TEXT CORP CAD | 683715106 | OTEX | 8 00 | 07/15/2014 | 375 75 | 11/03/2015 | 380 29 | 4 54 | 1 21% | L |
| OPEN TEXT CORP CAD | 683715106 | OTEX | 2 00 | 07/16/2014 | 94 00 | 11/03/2015 | 95 07 | 1.07 | 1 14% | L |
| OPEN TEXT CORP CAD | 683715106 | OTEX | 2 00 | 07/17/2014 | 94 39 | 11/03/2015 | 95 07 | 0 68 | 0 72% | L |
| OPEN TEXT CORP CAD | 683715106 | OTEX | 1.00 | 07/17/2014 | 47 27 | 11/03/2015 | 47 53 | 0 26 | 0 55% | L |
| OPEN TEXT CORP CAD | 683715106 | OTEX | 1 00 | 07/22/2014 | 47 91 | 11/03/2015 | 47 54 | -0 37 | -0 77% | L |
| OPEN TEXT CORP CAD | 683715106 | OTEX | 2 00 | 07/23/2014 | 95 50 | 11/03/2015 | 95 07 | -0 43 | -0 45% | L |
| OPEN TEXT CORP CAD | 683715106 | OTEX | 1 00 | 07/23/2014 | 47 75 | 11/24/2015 | 47 61 | -0 14 | -0 29% | L |
| OPEN TEXT CORP CAD | 683715106 | OTEX | 3 00 | 07/24/2014 | 142 98 | 11/24/2015 | 142 82 | -0.16 | -0 11% | L |
| OPEN TEXT CORP CAD | 683715106 | OTEX | 3 00 | 07/25/2014 | 142 26 | 11/24/2015 | 142 83 | 0 57 | 0 40% | L |
| OPEN TEXT CORP CAD | 683715106 | OTEX | 2.00 | 07/28/2014 | 95 21 | 11/24/2015 | 95 21 | 0 00 | 0 00% | L |
| OPEN TEXT CORP CAD | 683715106 | OTEX | 4 00 | 07/29/2014 | 189 67 | 11/24/2015 | 190 44 | 0 77 | 0 41% | L |

# Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| OPEN TEXT CORP CAD | 683715106 | OTEX | 8.00 | 08/01/2014 | 442 46 | 11/24/2015 | 380 86 | -61 60 | -13 92% | L |
| ORACLE CORP | 68389X105 | ORCL | 49 00 | 07/10/2013 | 1,528 13 | 03/16/2016 | 1,968 74 | 440 61 | 28 83% | L |
| OSHKOSH CORP | 688239201 | OSK | 208 00 | 10/15/2015 | 8,234 89 | 02/17/2016 | 7,087 53 | -1,147 36 | -13 93% | S |
| OWENS ILLINOIS INC NEW | 690768403 | OI | 354 00 | 04/16/2015 | 8,548 85 | 04/07/2016 | 5,763 03 | -2,785 82 | -32 59% | S |
| PANERA BREAD COMP CL A | 69840W108 | PNRA | 4 00 | 10/14/2013 | 637 20 | 08/21/2015 | 743 89 | 106.69 | 16 74% | L |
| PANERA BREAD COMP CL A | 69840W108 | PNRA | 6 00 | 02/03/2014 | 1,005 00 | 08/21/2015 | 1,115 85 | 110 85 | 11 03% | L |
| PANERA BREAD COMP CL A | 69840W108 | PNRA | 17.00 | 02/19/2014 | 3,082 47 | 08/21/2015 | 3,161 55 | 79 08 | 2 57% | L |
| PAYPAL HOLDINGS INC | 70450Y103 | PYPL | 64 00 | 02/21/2014 | 2,132 07 | 10/23/2015 | 2,254 39 | 122 32 | 5 74% | L |
| PAYPAL HOLDINGS INC | 70450Y103 | PYPL | 186 00 | 02/21/2014 | 6,196 32 | 01/29/2016 | 6,490 96 | 294 64 | 4 76% | L |
| PAYPAL HOLDINGS INC | 70450Y103 | PYPL | 46 00 | 04/30/2014 | 1,452 38 | 01/29/2016 | 1,605 29 | 152 91 | 10 53% | L |
| PAYPAL HOLDINGS INC | 70450Y103 | PYPL | 14 00 | 06/20/2014 | 417 36 | 01/29/2016 | 488 57 | 71.21 | 17 06% | L |
| PAYPAL HOLDINGS INC | 70450Y103 | PYPL | 17 00 | 06/20/2014 | 506 80 | 02/10/2016 | 568 74 | 61 94 | 12 22% | L |
| PAYPAL HOLDINGS INC | 70450Y103 | PYPL | 43.00 | 10/17/2014 | 1,262 96 | 02/10/2016 | 1,438 59 | 175 63 | 13 91% | L |
| PAYPAL HOLDINGS INC | 70450Y103 | PYPL | 46 00 | 04/06/2015 | 1,590 33 | 02/10/2016 | 1,538 95 | -51 38 | -3 23% | S |
| PAYPAL HOLDINGS INC | 70450Y103 | PYPL | 110 00 | 04/06/2015 | 3,802 97 | 02/17/2016 | 4,005 82 | 202 85 | 5 33% | S |
| PDC ENERGY INC COM | 69327R101 | PDCE | 24 00 | 01/14/2015 | 1,003 06 | 01/08/2016 | 1,250 54 | 247 48 | 24 67% | S |
| PDC ENERGY INC COM | 69327R101 | PDCE | 34 00 | 01/14/2015 | 1,421 01 | 03/24/2016 | 1,916 72 | 495.71 | 34 88% | L |
| PDC ENERGY INC COM | 69327R101 | PDCE | 31 00 | 01/14/2015 | 1,295 63 | 06/21/2016 | 1,722 00 | 426 37 | 32 91% | L |
| PDC ENERGY INC COM | 69327R101 | PDCE | 10.00 | 01/14/2015 | 417 94 | 06/22/2016 | 566 02 | 148 08 | 35 43% | L |
| PDC ENERGY INC COM | 69327R101 | PDCE | 23 00 | 04/06/2015 | 1,254 42 | 06/27/2016 | 1,248 44 | -5 98 | -0 48% | L |
| PENTAIR PLC | G7S00T104 | PNR | 26 00 | 03/21/2013 | 1,384 76 | 08/21/2015 | 1,490 00 | 105 24 | 7 60% | L |
| PENTAIR PLC | G7S00T104 | PNR | 54 00 | 02/19/2014 | 4,231 44 | 08/21/2015 | 3,094 61 | -1,136 83 | -26 87% | L |
| PENTAIR PLC | G7S00T104 | PNR | 27 00 | 04/10/2015 | 1,695 61 | 08/21/2015 | 1,547 31 | -148.30 | -8 75% | S |
| PENTAIR PLC | G7S00T104 | PNR | 49 00 | 04/06/2015 | 3,182 94 | 02/10/2016 | 2,160 18 | -1,022 76 | -32 13% | S |
| PERSIMMON ADR | 715318101 | PSMMY | 8.00 | 05/29/2014 | 292 46 | 11/03/2015 | 472 43 | 179 97 | 61 54% | L |
| PERSIMMON ADR | 715318101 | PSMMY | 3 00 | 05/30/2014 | 111 35 | 11/03/2015 | 177 16 | 65 81 | 59 10% | L |

# Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| PERSIMMON ADR | 715318101 | PSMMY | 9.00 | 06/17/2014 | 298 99 | 11/03/2015 | 531 49 | 232 50 | 77 76% | L |
| PERSIMMON ADR | 715318101 | PSMMY | 6 00 | 06/18/2014 | 198 93 | 11/03/2015 | 354 32 | 155 39 | 78 11% | L |
| PERSIMMON ADR | 715318101 | PSMMY | 21 00 | 06/19/2014 | 718 60 | 11/03/2015 | 1,240 13 | 521 53 | 72 58% | L |
| PERSIMMON ADR | 715318101 | PSMMY | 4 00 | 06/20/2014 | 136 78 | 11/03/2015 | 236 22 | 99 44 | 72 70% | L |
| PERSIMMON ADR | 715318101 | PSMMY | 22 00 | 06/20/2014 | 752 29 | 11/24/2015 | 1,183 80 | 431.51 | 57 36% | L |
| PERSIMMON ADR | 715318101 | PSMMY | 12 00 | 06/23/2014 | 400 88 | 11/24/2015 | 645 71 | 244 83 | 61 07% | L |
| PILGRIMS PRIDE CORP NEW COM | 72147K108 | PPC | 175.00 | 05/05/2015 | 4,403 10 | 11/13/2015 | 3,269 06 | -1,134 04 | -25 76% | S |
| PINNACLE FOODS INC DEL | 72348P104 | PF | 45 00 | 01/29/2015 | 1,633 85 | 01/19/2016 | 1,867 65 | 233 80 | 14 31% | S |
| PINNACLE FOODS INC DEL | 72348P104 | PF | 53 00 | 02/27/2015 | 1,916 93 | 01/19/2016 | 2,199 68 | 282 75 | 14 75% | S |
| PITNEY BOWES INC | 724479100 | PBI | 180 00 | 01/15/2015 | 4,249 19 | 02/11/2016 | 2,964 67 | -1,284 52 | -30 23% | L |
| PNC FINANCIAL SERVICES GROUP | 693475105 | PNC | 37 00 | 03/21/2013 | 2,440 89 | 02/11/2016 | 2,895 90 | 455.01 | 18 64% | L |
| PNC FINANCIAL SERVICES GROUP | 693475105 | PNC | 17 00 | 03/21/2013 | 1,121 49 | 02/12/2016 | 1,356 05 | 234 56 | 20 92% | L |
| PNC FINANCIAL SERVICES GROUP | 693475105 | PNC | 5.00 | 02/18/2014 | 408 05 | 02/12/2016 | 398 84 | -9 21 | -2 26% | L |
| PNC FINANCIAL SERVICES GROUP | 693475105 | PNC | 27 00 | 02/18/2014 | 2,203 47 | 03/09/2016 | 2,293 78 | 90 31 | 4 10% | L |
| PNC FINANCIAL SERVICES GROUP | 693475105 | PNC | 31 00 | 04/06/2015 | 2,875 96 | 03/09/2016 | 2,633 60 | -242 36 | -8 43% | S |
| POLARIS INDUSTRIES INC (MINN) | 731068102 | PII | 27 00 | 02/11/2014 | 3,380 67 | 01/20/2016 | 2,085 93 | -1,294 74 | -38 30% | L |
| POLARIS INDUSTRIES INC (MINN) | 731068102 | PII | 17 00 | 02/18/2014 | 2,230 74 | 01/20/2016 | 1,313 37 | -917.37 | -41 12% | L |
| POLARIS INDUSTRIES INC (MINN) | 731068102 | PII | 14 00 | 04/06/2015 | 1,981 56 | 01/20/2016 | 1,081 59 | -899 97 | -45 42% | S |
| POOL CORP | 73278L105 | POOL | 3.00 | 03/28/2014 | 182 45 | 04/14/2016 | 271 85 | 89 40 | 49 00% | L |
| POWERSHARES DYNAMIC BIOTECHNOLOGY & GENOME PTFLO | 73935X856 | PBE | 11 00 | 03/21/2013 | 282 70 | 05/23/2016 | 427 97 | 145 27 | 51 39% | L |
| POWERSHARES DYNAMIC BIOTECHNOLOGY & GENOME PTFLO | 73935X856 | PBE | 76 00 | 02/19/2014 | 3,421 52 | 05/23/2016 | 2,956 89 | -464 63 | -13 58% | L |
| POWERSHARES DYNAMIC BIOTECHNOLOGY & GENOME PTFLO | 73935X856 | PBE | 29 00 | 04/10/2015 | 1,687 97 | 05/23/2016 | 1,128 28 | -559 69 | -33 16% | L |
| PRAXAIR INC | 74005P104 | PX | 60 00 | 02/21/2014 | 7,676.72 | 07/16/2015 | 7,090 43 | -586.29 | -7 64% | L |
| PRAXAIR INC | 74005P104 | PX | 18 00 | 02/21/2014 | 2,303 02 | 07/31/2015 | 2,057 16 | -245 86 | -10 68% | L |
| PRAXAIR INC | 74005P104 | PX | 4 00 | 09/25/2014 | 528 66 | 07/31/2015 | 457 14 | -71 52 | -13 53% | S |

## Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| PRAXAIR INC | 74005P104 | PX | 9 00 | 02/05/2015 | 1,118 73 | 07/31/2015 | 1,028 58 | -90 15 | -8 06% | S |
| PRAXAIR INC | 74005P104 | PX | 40 00 | 04/06/2015 | 4,905 20 | 07/31/2015 | 4,571 46 | -333.74 | -6 80% | S |
| PREMIER OIL PLC SPON ADR | 740536107 | PMOIY | 360 00 | 01/28/2014 | 1,702 80 | 08/26/2015 | 508 78 | -1,194 02 | -70 12% | L |
| PREMIER OIL PLC SPON ADR | 740536107 | PMOIY | 225.00 | 02/18/2014 | 1,118 25 | 08/26/2015 | 317 99 | -800 26 | -71 56% | L |
| PREMIER OIL PLC SPON ADR | 740536107 | PMOIY | 337 00 | 05/07/2014 | 2,050 14 | 08/26/2015 | 476 27 | -1,573 87 | -76 77% | L |
| PREMIER OIL PLC SPON ADR | 740536107 | PMOIY | 329 00 | 04/06/2015 | 639 91 | 08/26/2015 | 464 97 | -174 94 | -27 34% | S |
| PROCTER & GAMBLE CO | 742718109 | PG | 60 00 | 03/21/2013 | 4,636 20 | 05/26/2016 | 4,873 95 | 237 75 | 5 13% | L |
| PROCTER & GAMBLE CO | 742718109 | PG | 2 00 | 02/18/2014 | 156 02 | 05/26/2016 | 162 47 | 6.45 | 4 13% | L |
| PROGRESSIVE CORP OHIO | 743315103 | PGR | 72 00 | 02/21/2014 | 1,733 04 | 02/09/2016 | 2,210 38 | 477 34 | 27 54% | L |
| PROTO LABS INC | 743713109 | PRLB | 98.00 | 04/19/2016 | 7,916 41 | 05/26/2016 | 6,224 30 | -1,692 11 | -21 37% | S |
| PRUDENTIAL FINANCIAL INC | 744320102 | PRU | 90 00 | 06/23/2015 | 8,189 00 | 01/29/2016 | 6,255 41 | -1,933 59 | -23 61% | S |
| PRUDENTIAL FINANCIAL INC | 744320102 | PRU | 43 00 | 06/26/2015 | 3,903 86 | 01/29/2016 | 2,988 70 | -915 16 | -23 44% | S |
| PT BK RAKYAT ADR | 69366X100 | BKRKY | 2 00 | 10/06/2014 | 33 51 | 11/03/2015 | 31 71 | -1 80 | -5 37% | L |
| PT BK RAKYAT ADR | 69366X100 | BKRKY | 41 00 | 10/07/2014 | 705 83 | 11/03/2015 | 650 05 | -55.78 | -7 90% | L |
| PT BK RAKYAT ADR | 69366X100 | BKRKY | 62 00 | 10/08/2014 | 1,046 42 | 11/24/2015 | 992 23 | -54 19 | -5 18% | L |
| PUTNAM CAPITAL SPECTRUM FUND CLASS Y | 74676P151 | MFPUDY | 2,358.49 | 06/23/2014 | 90,000 00 | 10/21/2015 | 83,891 52 | -6,108 48 | -6 79% | L |
| PUTNAM DIVERSIFIED INCOME FUND CLASS Y | 746704501 | MFPULT | 3,635 20 | 07/22/2014 | 28,500 00 | 02/25/2016 | 23,156 25 | -5,343 75 | -18 75% | L |
| PUTNAM DIVERSIFIED INCOME FUND CLASS Y | 746704501 | MFPULT | 39,811 07 | 03/18/2015 | 295,000 00 | 02/25/2016 | 253,596 49 | -41,403 51 | -14 04% | S |
| QUALCOMM INC | 747525103 | QCOM | 22 00 | 03/21/2013 | 1,439 65 | 08/21/2015 | 1,275 78 | -163 87 | -11 38% | L |
| QUALCOMM INC | 747525103 | QCOM | 45 00 | 02/19/2014 | 3,410 10 | 08/21/2015 | 2,609 55 | -800.55 | -23 48% | L |
| QUALCOMM INC | 747525103 | QCOM | 23 00 | 04/10/2015 | 1,585 85 | 08/21/2015 | 1,333 77 | -252 08 | -15 90% | S |
| QUALCOMM INC | 747525103 | QCOM | 147.00 | 02/14/2014 | 11,204 34 | 12/02/2015 | 7,772 88 | -3,431 46 | -30 63% | L |
| QUALCOMM INC | 747525103 | QCOM | 31 00 | 02/14/2014 | 2,362 82 | 12/15/2015 | 1,506 48 | -856 34 | -36 24% | L |
| QUALCOMM INC | 747525103 | QCOM | 79 00 | 04/06/2015 | 5,294 57 | 12/15/2015 | 3,839 11 | -1,455 46 | -27 49% | S |
| QUESTAR CORP | 748356102 | STR | 359 00 | 02/14/2014 | 8,596 83 | 09/21/2015 | 6,968 06 | -1,628 77 | -18 95% | L |
| QUESTAR CORP | 748356102 | STR | 159 00 | 04/06/2015 | 3,848 40 | 09/21/2015 | 3,086 13 | -762.27 | -19 81% | S |

# Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| QUESTAR CORP | 748356102 | STR | 168 00 | 11/23/2015 | 3,154 94 | 02/18/2016 | 4,190 23 | 1,035 29 | 32 81% | S |
| QUINTILES TRANSNATIONAL HLDGS INC | 74876Y101 | Q | 15 00 | 09/14/2015 | 1,133 86 | 11/16/2015 | 999 53 | -134.33 | -11 85% | S |
| QUINTILES TRANSNATIONAL HLDGS INC | 74876Y101 | Q | 40 00 | 09/14/2015 | 3,023 63 | 02/03/2016 | 2,345 24 | -678 39 | -22 44% | S |
| RAYTHEON CO NEW | 755111507 | RTN | 22.00 | 01/15/2015 | 2,339 58 | 02/12/2016 | 2,644 05 | 304 47 | 13 01% | L |
| RAYTHEON CO NEW | 755111507 | RTN | 23 00 | 01/15/2015 | 2,445 92 | 03/01/2016 | 2,869 49 | 423 57 | 17 32% | L |
| RECEPTOS INC | 756207106 | | 3 00 | 05/26/2015 | 491 14 | 09/03/2015 | 696 00 | 204 86 | 41 71% | S |
| RECEPTOS INC | 756207106 | | 2 00 | 06/12/2015 | 365 41 | 09/03/2015 | 464 00 | 98 59 | 26 98% | S |
| RECKITT BENCKISER PLC SPON ADR | 756255204 | RBGLY | 180 00 | 01/08/2016 | 3,200 85 | 05/04/2016 | 3,502 85 | 302.00 | 9 43% | S |
| REGIONS FINANCIAL CORP | 7591EP100 | RF | 438 00 | 01/15/2015 | 3,963 33 | 11/12/2015 | 4,317 29 | 353 96 | 8 93% | S |
| REGULUS THERAPEUTICS INC | 75915K101 | RGLS | 13.00 | 12/17/2015 | 114 44 | 05/03/2016 | 71 50 | -42 94 | -37 52% | S |
| REGULUS THERAPEUTICS INC | 75915K101 | RGLS | 17 00 | 12/17/2015 | 149 65 | 05/04/2016 | 90 87 | -58 78 | -39 28% | S |
| REGULUS THERAPEUTICS INC | 75915K101 | RGLS | 1 00 | 12/18/2015 | 9 36 | 05/04/2016 | 5 35 | -4 01 | -42 84% | S |
| REGULUS THERAPEUTICS INC | 75915K101 | RGLS | 15 00 | 12/18/2015 | 140 43 | 05/05/2016 | 80 51 | -59 92 | -42 67% | S |
| REGULUS THERAPEUTICS INC | 75915K101 | RGLS | 6 00 | 12/18/2015 | 56 17 | 05/06/2016 | 31 56 | -24.61 | -43 81% | S |
| REGULUS THERAPEUTICS INC | 75915K101 | RGLS | 10 00 | 12/18/2015 | 93 62 | 05/09/2016 | 55 37 | -38 25 | -40 86% | S |
| REGULUS THERAPEUTICS INC | 75915K101 | RGLS | 13.00 | 12/18/2015 | 121 70 | 05/10/2016 | 72 15 | -49 55 | -40 71% | S |
| REGULUS THERAPEUTICS INC | 75915K101 | RGLS | 5 00 | 12/21/2015 | 46 71 | 05/10/2016 | 27 75 | -18 96 | -40 59% | S |
| REGULUS THERAPEUTICS INC | 75915K101 | RGLS | 5 00 | 12/21/2015 | 46 71 | 05/12/2016 | 25 40 | -21 31 | -45 62% | S |
| REGULUS THERAPEUTICS INC | 75915K101 | RGLS | 8 00 | 12/21/2015 | 74 74 | 05/13/2016 | 42 03 | -32 71 | -43 77% | S |
| REGULUS THERAPEUTICS INC | 75915K101 | RGLS | 3 00 | 12/21/2015 | 28 03 | 05/16/2016 | 16 47 | -11.56 | -41 24% | S |
| REGULUS THERAPEUTICS INC | 75915K101 | RGLS | 23 00 | 12/22/2015 | 214 42 | 05/16/2016 | 126 27 | -88 15 | -41 11% | S |
| REGULUS THERAPEUTICS INC | 75915K101 | RGLS | 1.00 | 12/22/2015 | 9 32 | 05/17/2016 | 5 41 | -3 91 | -41 95% | S |
| REGULUS THERAPEUTICS INC | 75915K101 | RGLS | 7 00 | 12/23/2015 | 68 90 | 05/17/2016 | 37 87 | -31 03 | -45 04% | S |
| REGULUS THERAPEUTICS INC | 75915K101 | RGLS | 3 00 | 12/23/2015 | 29 53 | 05/18/2016 | 16 12 | -13 41 | -45 41% | S |
| REGULUS THERAPEUTICS INC | 75915K101 | RGLS | 1 00 | 12/24/2015 | 9 99 | 05/18/2016 | 5 37 | -4 62 | -46 25% | S |
| REGULUS THERAPEUTICS INC | 75915K101 | RGLS | 3 00 | 12/24/2015 | 29 98 | 05/19/2016 | 16 19 | -13.79 | -46 00% | S |

## Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| REGULUS THERAPEUTICS INC | 75915K101 | RGLS | 13 00 | 12/28/2015 | 125 22 | 05/19/2016 | 70 16 | -55 06 | -43 97% | S |
| REGULUS THERAPEUTICS INC | 75915K101 | RGLS | 14 00 | 12/28/2015 | 134 85 | 05/20/2016 | 77 66 | -57.19 | -42 41% | S |
| REGULUS THERAPEUTICS INC | 75915K101 | RGLS | 12 00 | 12/28/2015 | 115 59 | 05/23/2016 | 69 16 | -46 43 | -40 17% | S |
| REGULUS THERAPEUTICS INC | 75915K101 | RGLS | 3.00 | 12/29/2015 | 28 13 | 05/23/2016 | 17 29 | -10 84 | -38 54% | S |
| REGULUS THERAPEUTICS INC | 75915K101 | RGLS | 14 00 | 12/29/2015 | 131 26 | 05/24/2016 | 81 56 | -49 70 | -37 86% | S |
| REGULUS THERAPEUTICS INC | 75915K101 | RGLS | 8 00 | 12/29/2015 | 75 01 | 05/26/2016 | 46 59 | -28 42 | -37 89% | S |
| REGULUS THERAPEUTICS INC | 75915K101 | RGLS | 8 00 | 12/29/2015 | 75 01 | 05/27/2016 | 46 39 | -28 62 | -38 15% | S |
| REGULUS THERAPEUTICS INC | 75915K101 | RGLS | 22 00 | 12/29/2015 | 206 27 | 05/31/2016 | 133 72 | -72.55 | -35 17% | S |
| REGULUS THERAPEUTICS INC | 75915K101 | RGLS | 11 00 | 12/29/2015 | 103 14 | 06/01/2016 | 65 47 | -37 67 | -36 52% | S |
| REGULUS THERAPEUTICS INC | 75915K101 | RGLS | 18.00 | 12/29/2015 | 168 77 | 06/02/2016 | 111 09 | -57 68 | -34 18% | S |
| REGULUS THERAPEUTICS INC | 75915K101 | RGLS | 10 00 | 12/29/2015 | 93 76 | 06/03/2016 | 59 14 | -34 62 | -36 92% | S |
| REGULUS THERAPEUTICS INC | 75915K101 | RGLS | 10 00 | 12/29/2015 | 93 76 | 06/06/2016 | 59 57 | -34 19 | -36 47% | S |
| REGULUS THERAPEUTICS INC | 75915K101 | RGLS | 5 00 | 12/30/2015 | 46 27 | 06/06/2016 | 29 79 | -16 48 | -35 62% | S |
| REGULUS THERAPEUTICS INC | 75915K101 | RGLS | 5 00 | 12/30/2015 | 46 27 | 06/07/2016 | 28 77 | -17.50 | -37 82% | S |
| REGULUS THERAPEUTICS INC | 75915K101 | RGLS | 10 00 | 12/30/2015 | 92 55 | 06/09/2016 | 53 50 | -39 05 | -42 19% | S |
| REGULUS THERAPEUTICS INC | 75915K101 | RGLS | 9.00 | 12/30/2015 | 83 29 | 06/13/2016 | 48 78 | -34 51 | -41 43% | S |
| REGULUS THERAPEUTICS INC | 75915K101 | RGLS | 4 00 | 12/31/2015 | 35 76 | 06/13/2016 | 21 68 | -14 08 | -39 37% | S |
| REYNOLDS AMERN INC (HOLDING CO) | 761713106 | RAI | 69 00 | 04/22/2015 | 2,611 78 | 09/16/2015 | 2,919 78 | 308 00 | 11 79% | S |
| REYNOLDS AMERN INC (HOLDING CO) | 761713106 | RAI | 70 00 | 04/22/2015 | 2,649 64 | 09/24/2015 | 2,976 63 | 326 99 | 12 34% | S |
| REYNOLDS AMERN INC (HOLDING CO) | 761713106 | RAI | 9 00 | 04/22/2015 | 340 74 | 10/07/2015 | 403 78 | 63.04 | 18 50% | S |
| REYNOLDS AMERN INC (HOLDING CO) | 761713106 | RAI | 71 00 | 06/02/2015 | 2,682 28 | 10/07/2015 | 3,184 58 | 502 30 | 18 73% | S |
| REYNOLDS AMERN INC (HOLDING CO) | 761713106 | RAI | 21.00 | 06/02/2015 | 793 45 | 10/14/2015 | 967 57 | 174 12 | 21 94% | S |
| REYNOLDS AMERN INC (HOLDING CO) | 761713106 | RAI | 14 54 | 06/12/2015 | 524 71 | 10/14/2015 | 670 10 | 145 39 | 27 71% | S |
| REYNOLDS AMERN INC (HOLDING CO) | 761713106 | RAI | 49 45 | 06/12/2015 | 1,784 02 | 10/14/2015 | 2,278 32 | 494 30 | 27 71% | S |
| RIO TINTO PLC SPON ADR | 767204100 | RIO | 46 00 | 07/02/2012 | 2,207 43 | 11/03/2015 | 1,672 24 | -535 19 | -24 24% | L |
| RIO TINTO PLC SPON ADR | 767204100 | RIO | 11 00 | 07/02/2012 | 527 87 | 11/24/2015 | 378 34 | -149.53 | -28 33% | L |

# Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| RIO TINTO PLC SPON ADR | 767204100 | RIO | 45 00 | 09/24/2012 | 2,172 45 | 11/24/2015 | 1,547 77 | -624 68 | -28 75% | L |
| RLI CORP | 749607107 | RLI | 1 00 | 06/28/2012 | 33 29 | 09/28/2015 | 53 87 | 20.58 | 61 82% | L |
| RLI CORP | 749607107 | RLI | 4 00 | 09/24/2012 | 136 68 | 09/28/2015 | 215 50 | 78 82 | 57 67% | L |
| RLI CORP | 749607107 | RLI | 11.00 | 09/24/2012 | 375 87 | 02/10/2016 | 676 79 | 300 92 | 80 06% | L |
| ROBERT HALF INTL INC | 770323103 | RHI | 109 00 | 02/07/2014 | 4,354 70 | 10/12/2015 | 5,768 85 | 1,414 15 | 32 47% | L |
| ROBERT HALF INTL INC | 770323103 | RHI | 11 00 | 03/17/2014 | 452 65 | 04/21/2016 | 477 18 | 24 53 | 5 42% | L |
| ROBERT HALF INTL INC | 770323103 | RHI | 23 00 | 03/25/2014 | 971 64 | 04/21/2016 | 997 73 | 26 09 | 2 69% | L |
| ROSS STORES INC | 778296103 | ROST | 8 00 | 03/21/2013 | 232 85 | 04/26/2016 | 459 20 | 226.35 | 97 21% | L |
| ROSS STORES INC | 778296103 | ROST | 6 00 | 04/30/2013 | 197 66 | 04/26/2016 | 344 40 | 146 74 | 74 24% | L |
| ROSS STORES INC | 778296103 | ROST | 6.00 | 08/26/2013 | 207 51 | 04/26/2016 | 344 40 | 136 89 | 65 97% | L |
| ROSS STORES INC | 778296103 | ROST | 54 00 | 02/11/2014 | 1,886 76 | 04/26/2016 | 3,099 59 | 1,212 83 | 64 28% | L |
| ROSS STORES INC | 778296103 | ROST | 62 00 | 02/18/2014 | 2,119 78 | 04/26/2016 | 3,558 79 | 1,439 01 | 67 88% | L |
| ROSS STORES INC | 778296103 | ROST | 58 00 | 04/06/2015 | 3,021 70 | 04/26/2016 | 3,329 19 | 307 49 | 10 18% | L |
| ROTORK PLC UNSPONSORED ADR | 77880Q105 | RTOXY | 79 50 | 04/29/2015 | 1,195 04 | 11/11/2015 | 888 55 | -306.49 | -25 65% | S |
| ROTORK PLC UNSPONSORED ADR | 77880Q105 | RTOXY | 64 50 | 04/30/2015 | 959 43 | 11/11/2015 | 720 89 | -238 54 | -24 86% | S |
| ROTORK PLC UNSPONSORED ADR | 77880Q105 | RTOXY | 29.00 | 04/30/2015 | 431 38 | 11/12/2015 | 306 41 | -124 97 | -28 97% | S |
| ROTORK PLC UNSPONSORED ADR | 77880Q105 | RTOXY | 73 00 | 04/30/2015 | 1,085 87 | 11/13/2015 | 755 90 | -329 97 | -30 39% | S |
| ROTORK PLC UNSPONSORED ADR | 77880Q105 | RTOXY | 24 00 | 04/30/2015 | 357 00 | 11/16/2015 | 250 23 | -106 77 | -29 91% | S |
| ROTORK PLC UNSPONSORED ADR | 77880Q105 | RTOXY | 96 00 | 04/30/2015 | 1,428 00 | 11/23/2015 | 1,019 91 | -408 09 | -28 58% | S |
| ROTORK PLC UNSPONSORED ADR | 77880Q105 | RTOXY | 67 00 | 04/30/2015 | 996 62 | 11/25/2015 | 731 91 | -264.71 | -26 56% | S |
| ROTORK PLC UNSPONSORED ADR | 77880Q105 | RTOXY | 78 00 | 04/30/2015 | 1,160 24 | 11/27/2015 | 843 39 | -316 85 | -27 31% | S |
| ROTORK PLC UNSPONSORED ADR | 77880Q105 | RTOXY | 26.00 | 04/30/2015 | 386 75 | 11/30/2015 | 282 73 | -104 02 | -26 90% | S |
| ROTORK PLC UNSPONSORED ADR | 77880Q105 | RTOXY | 32 50 | 04/30/2015 | 483 44 | 12/01/2015 | 355 33 | -128 11 | -26 50% | S |
| ROTORK PLC UNSPONSORED ADR | 77880Q105 | RTOXY | 27 50 | 05/05/2015 | 404 76 | 12/01/2015 | 300 67 | -104 09 | -25 72% | S |
| ROTORK PLC UNSPONSORED ADR | 77880Q105 | RTOXY | 27 00 | 05/05/2015 | 397 40 | 12/08/2015 | 290 38 | -107 02 | -26 93% | S |
| ROTORK PLC UNSPONSORED ADR | 77880Q105 | RTOXY | 18 00 | 05/05/2015 | 264 94 | 12/09/2015 | 196 11 | -68.83 | -25 98% | S |

# Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| ROTORK PLC UNSPONSORED ADR | 77880Q105 | RTOXY | 1 00 | 07/29/2015 | 13 35 | 12/09/2015 | 10 90 | -2 45 | -18 35% | S |
| ROTORK PLC UNSPONSORED ADR | 77880Q105 | RTOXY | 3 00 | 07/30/2015 | 40 17 | 12/09/2015 | 32 68 | -7.49 | -18 65% | S |
| ROTORK PLC UNSPONSORED ADR | 77880Q105 | RTOXY | 72 00 | 07/30/2015 | 964 04 | 12/18/2015 | 740 58 | -223 46 | -23 18% | S |
| ROYAL DSM N V SPON ADR | 780249108 | RDSMY | 14.00 | 01/28/2014 | 235 20 | 05/03/2016 | 208 31 | -26 89 | -11 43% | L |
| ROYAL DSM N V SPON ADR | 780249108 | RDSMY | 31 00 | 01/28/2014 | 520 80 | 05/04/2016 | 456 55 | -64 25 | -12 34% | L |
| ROYAL DUTCH SHELL PLC CL A SPON ADR | 780259206 | RDSA | 22 00 | 07/02/2012 | 1,492 18 | 11/03/2015 | 1,212 84 | -279 34 | -18 72% | L |
| ROYAL DUTCH SHELL PLC CL A SPON ADR | 780259206 | RDSA | 30 00 | 09/24/2012 | 2,134 51 | 11/03/2015 | 1,653 88 | -480 63 | -22 52% | L |
| RYANAIR HOLDINGS PLC SPON ADR | 783513203 | RYAAY | 0 95 | 02/21/2014 | 54 86 | 11/02/2015 | 76 82 | 21.96 | 40 03% | L |
| RYANAIR HOLDINGS PLC SPON ADR | 783513203 | RYAAY | 32 00 | 02/21/2014 | 1,847 80 | 11/03/2015 | 2,660 20 | 812 40 | 43 97% | L |
| SANOFI SPON ADR | 80105N105 | SNY | 46.00 | 07/02/2012 | 1,746 41 | 11/03/2015 | 2,310 98 | 564 57 | 32 33% | L |
| SANOFI SPON ADR | 80105N105 | SNY | 9 00 | 07/02/2012 | 341 69 | 11/24/2015 | 392 75 | 51 06 | 14 94% | L |
| SANOFI SPON ADR | 80105N105 | SNY | 31 00 | 09/24/2012 | 1,373 81 | 11/24/2015 | 1,352 82 | -20 99 | -1 53% | L |
| SASOL LTD SPON ADR | 803866300 | SSL | 7 00 | 07/02/2012 | 298 29 | 09/29/2015 | 189 50 | -108 79 | -36 47% | L |
| SASOL LTD SPON ADR | 803866300 | SSL | 2 00 | 07/02/2012 | 85 22 | 09/30/2015 | 55 63 | -29.59 | -34 72% | L |
| SASOL LTD SPON ADR | 803866300 | SSL | 4 00 | 07/02/2012 | 170 46 | 10/01/2015 | 115 71 | -54 75 | -32 12% | L |
| SASOL LTD SPON ADR | 803866300 | SSL | 7.00 | 07/02/2012 | 298 29 | 10/02/2015 | 202 00 | -96 29 | -32 28% | L |
| SASOL LTD SPON ADR | 803866300 | SSL | 6 00 | 07/02/2012 | 255 68 | 10/05/2015 | 185 00 | -70 68 | -27 64% | L |
| SASOL LTD SPON ADR | 803866300 | SSL | 6 00 | 07/02/2012 | 255 68 | 10/06/2015 | 188 59 | -67 09 | -26 24% | L |
| SASOL LTD SPON ADR | 803866300 | SSL | 7 00 | 07/02/2012 | 298 29 | 10/07/2015 | 224 07 | -74 22 | -24 88% | L |
| SASOL LTD SPON ADR | 803866300 | SSL | 5 00 | 07/02/2012 | 213 07 | 10/08/2015 | 164 12 | -48.95 | -22 97% | L |
| SASOL LTD SPON ADR | 803866300 | SSL | 6 00 | 07/02/2012 | 255 68 | 10/09/2015 | 204 77 | -50 91 | -19 91% | L |
| SASOL LTD SPON ADR | 803866300 | SSL | 2.00 | 07/02/2012 | 85 22 | 10/12/2015 | 66 65 | -18 57 | -21 79% | L |
| SASOL LTD SPON ADR | 803866300 | SSL | 3 00 | 07/02/2012 | 127 84 | 10/13/2015 | 98 41 | -29 43 | -23 02% | L |
| SASOL LTD SPON ADR | 803866300 | SSL | 3 00 | 07/02/2012 | 127 84 | 10/14/2015 | 97 89 | -29 95 | -23 43% | L |
| SASOL LTD SPON ADR | 803866300 | SSL | 4 00 | 07/02/2012 | 170 45 | 10/15/2015 | 129 64 | -40 81 | -23 94% | L |
| SASOL LTD SPON ADR | 803866300 | SSL | 5 00 | 07/02/2012 | 213 07 | 10/16/2015 | 164 85 | -48.22 | -22 63% | L |

# Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| SASOL LTD SPON ADR | 803866300 | SSL | 53 00 | 07/02/2012 | 2,258 50 | 11/03/2015 | 1,810 68 | -447 82 | -19 83% | L |
| SASOL LTD SPON ADR | 803866300 | SSL | 8 00 | 09/24/2012 | 358 46 | 11/03/2015 | 273 31 | -85.15 | -23 75% | L |
| SASOL LTD SPON ADR | 803866300 | SSL | 59 00 | 09/24/2012 | 2,643 61 | 11/24/2015 | 1,763 65 | -879 96 | -33 29% | L |
| SCANSOURCE INC | 806037107 | SCSC | 3.00 | 08/08/2012 | 90 74 | 04/14/2016 | 122 59 | 31 85 | 35 10% | L |
| SCANSOURCE INC | 806037107 | SCSC | 8 00 | 09/24/2012 | 264 24 | 04/14/2016 | 326 92 | 62 68 | 23 72% | L |
| SCHNEIDER ELEC SE UNSPONSORED ADR | 80687P106 | SBGSY | 71 00 | 01/28/2014 | 1,175 98 | 06/22/2016 | 918 35 | -257 63 | -21 91% | L |
| SEALED AIR CORP NEW | 81211K100 | SEE | 48 00 | 03/21/2013 | 1,139 28 | 11/20/2015 | 2,193 73 | 1,054 45 | 92 55% | L |
| SEALED AIR CORP NEW | 81211K100 | SEE | 64 00 | 02/19/2014 | 2,090 24 | 11/20/2015 | 2,924 97 | 834.73 | 39 93% | L |
| SECOM LTD ADR JAPAN ADR | 813113206 | SOMLY | 12 00 | 11/24/2015 | 204 77 | 03/31/2016 | 224 43 | 19 66 | 9 60% | S |
| SERVICE SOURCE INTL | 81763U100 | SREV | 62.00 | 03/21/2013 | 397 23 | 08/25/2015 | 305 72 | -91 51 | -23 04% | L |
| SERVICE SOURCE INTL | 81763U100 | SREV | 104 00 | 03/21/2013 | 666 33 | 08/26/2015 | 519 12 | -147 21 | -22 09% | L |
| SERVICE SOURCE INTL | 81763U100 | SREV | 23 00 | 03/21/2013 | 147 36 | 08/27/2015 | 109 43 | -37 93 | -25 74% | L |
| SERVICE SOURCE INTL | 81763U100 | SREV | 43 00 | 11/07/2013 | 396 25 | 08/27/2015 | 204 58 | -191 67 | -48 37% | L |
| SERVICE SOURCE INTL | 81763U100 | SREV | 5 00 | 02/18/2014 | 39 73 | 08/27/2015 | 23 79 | -15.94 | -40 12% | L |
| SERVICE SOURCE INTL | 81763U100 | SREV | 74 00 | 02/18/2014 | 587 94 | 08/28/2015 | 359 81 | -228 13 | -38 80% | L |
| SERVICE SOURCE INTL | 81763U100 | SREV | 30.00 | 02/18/2014 | 238 36 | 08/31/2015 | 142 49 | -95 87 | -40 22% | L |
| SERVICE SOURCE INTL | 81763U100 | SREV | 47 00 | 02/18/2014 | 373 42 | 09/03/2015 | 210 88 | -162 54 | -43 53% | L |
| SERVICE SOURCE INTL | 81763U100 | SREV | 31 00 | 04/06/2015 | 95 79 | 09/04/2015 | 138 14 | 42 35 | 44 21% | S |
| SERVICE SOURCE INTL | 81763U100 | SREV | 30 00 | 04/06/2015 | 92 70 | 09/08/2015 | 132 12 | 39 42 | 42 52% | S |
| SERVICE SOURCE INTL | 81763U100 | SREV | 24 00 | 04/06/2015 | 74 16 | 09/09/2015 | 104 93 | 30.77 | 41 49% | S |
| SERVICE SOURCE INTL | 81763U100 | SREV | 51 00 | 04/06/2015 | 157 59 | 09/10/2015 | 224 44 | 66 85 | 42 42% | S |
| SERVICE SOURCE INTL | 81763U100 | SREV | 30.00 | 04/06/2015 | 92 70 | 09/11/2015 | 131 23 | 38 53 | 41 56% | S |
| SEVEN & I HLDGS CO LTD ADR | 81783H105 | SVNDY | 39 00 | 11/24/2015 | 924 27 | 04/18/2016 | 842 48 | -81 79 | -8 85% | S |
| SEVEN & I HLDGS CO LTD ADR | 81783H105 | SVNDY | 45 00 | 11/24/2015 | 1,066 46 | 04/19/2016 | 980 31 | -86 15 | -8 08% | S |
| SHIRE PLC SPON ADR | 82481R106 | SHPG | 1 00 | 02/19/2014 | 166 72 | 02/22/2016 | 163 71 | -3 01 | -1 81% | L |
| SHIRE PLC SPON ADR | 82481R106 | SHPG | 10 00 | 04/22/2014 | 1,603 67 | 02/22/2016 | 1,637 07 | 33.40 | 2 08% | L |

## Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| SHIRE PLC SPON ADR | 82481R106 | SHPG | 6 00 | 04/22/2014 | 962 20 | 05/23/2016 | 1,116 42 | 154 22 | 16 03% | L |
| SHIRE PLC SPON ADR | 82481R106 | SHPG | 14 00 | 10/24/2014 | 2,719 10 | 05/23/2016 | 2,604 97 | -114.13 | -4 20% | L |
| SHIRE PLC SPON ADR | 82481R106 | SHPG | 11 00 | 04/10/2015 | 2,750 11 | 05/23/2016 | 2,046 77 | -703 34 | -25 57% | L |
| SIEMENS A G SPON ADR | 826197501 | SIEGY | 7.00 | 07/30/2012 | 572 10 | 08/17/2015 | 735 24 | 163 14 | 28 52% | L |
| SIEMENS A G SPON ADR | 826197501 | SIEGY | 4 00 | 07/30/2012 | 326 92 | 08/18/2015 | 417 05 | 90 13 | 27 57% | L |
| SIEMENS A G SPON ADR | 826197501 | SIEGY | 1 00 | 07/31/2012 | 81 49 | 08/18/2015 | 104 26 | 22 77 | 27 94% | L |
| SIEMENS A G SPON ADR | 826197501 | SIEGY | 2 00 | 09/24/2012 | 197 69 | 08/18/2015 | 208 53 | 10 84 | 5 48% | L |
| SIEMENS A G SPON ADR | 826197501 | SIEGY | 8 00 | 09/24/2012 | 790 76 | 08/19/2015 | 817 52 | 26.76 | 3 38% | L |
| SIRONA DENTAL SYSTEMS INC *MERGER EFF 03/2016* | 82966C103 | | 17 00 | 09/21/2015 | 1,685 44 | 12/15/2015 | 1,826 81 | 141 37 | 8 39% | S |
| SIRONA DENTAL SYSTEMS INC *MERGER EFF 03/2016* | 82966C103 | | 25 00 | 09/21/2015 | 2,478 60 | 01/07/2016 | 2,642 68 | 164 08 | 6 62% | S |
| SKECHERS USA INC CL A | 830566105 | SKX | 11 00 | 05/20/2015 | 390 51 | 04/15/2016 | 315 26 | -75 25 | -19 27% | S |
| SKY PLC SPON ADR | 83084V106 | SKYAY | 3 00 | 09/03/2014 | 176 90 | 11/03/2015 | 201 67 | 24 77 | 14 00% | L |
| SKY PLC SPON ADR | 83084V106 | SKYAY | 3 00 | 09/05/2014 | 174 46 | 11/03/2015 | 201 66 | 27 20 | 15 59% | L |
| SKY PLC SPON ADR | 83084V106 | SKYAY | 6 00 | 09/08/2014 | 344 68 | 11/03/2015 | 403 33 | 58 65 | 17 02% | L |
| SKY PLC SPON ADR | 83084V106 | SKYAY | 8 00 | 09/09/2014 | 458 40 | 11/03/2015 | 537 78 | 79 38 | 17 32% | L |
| SKY PLC SPON ADR | 83084V106 | SKYAY | 7 00 | 09/10/2014 | 401 91 | 02/12/2016 | 394 99 | -6 92 | -1 72% | L |
| SKY PLC SPON ADR | 83084V106 | SKYAY | 4 00 | 09/10/2014 | 228 93 | 02/12/2016 | 225 71 | -3 22 | -1 41% | L |
| SKY PLC SPON ADR | 83084V106 | SKYAY | 2 00 | 09/11/2014 | 114 49 | 02/12/2016 | 112 86 | -1 63 | -1 42% | L |
| SKYWORKS SOLUTIONS INC | 83088M102 | SWKS | 47 00 | 07/29/2015 | 4,443 57 | 01/08/2016 | 3,184 27 | -1,259 30 | -28 34% | S |
| SKYWORKS SOLUTIONS INC | 83088M102 | SWKS | 51 00 | 08/24/2015 | 4,199 61 | 01/08/2016 | 3,455 27 | -744 34 | -17 72% | S |
| SM ENERGY CO | 78454L100 | SM | 38 00 | 02/11/2014 | 3,209 10 | 07/07/2015 | 1,575 50 | -1,633 60 | -50 91% | L |
| SM ENERGY CO | 78454L100 | SM | 23 00 | 02/18/2014 | 2,027 94 | 07/07/2015 | 953 60 | -1,074 34 | -52 98% | L |
| SM ENERGY CO | 78454L100 | SM | 23 00 | 10/13/2014 | 1,335 95 | 07/07/2015 | 992 44 | -343 51 | -25 71% | S |
| SM ENERGY CO | 78454L100 | SM | 1 00 | 10/13/2014 | 58 08 | 07/07/2015 | 41 46 | -16 62 | -28 62% | S |
| SM ENERGY CO | 78454L100 | SM | 34 00 | 04/06/2015 | 1,840 75 | 07/07/2015 | 1,467 08 | -373 67 | -20 30% | S |
| SMURFIT KAPPA GROUP PLC UN SPON ADR | 83272W106 | SMFKY | 11 00 | 05/21/2014 | 244 97 | 11/03/2015 | 306 51 | 61 54 | 25 12% | L |

## Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| SMURFIT KAPPA GROUP PLC UN SPON ADR | 83272W106 | SMFKY | 18 00 | 05/22/2014 | 410 20 | 11/03/2015 | 501 56 | 91 36 | 22 27% | L |
| SMURFIT KAPPA GROUP PLC UN SPON ADR | 83272W106 | SMFKY | 8 00 | 06/20/2014 | 182 32 | 11/03/2015 | 222 92 | 40.60 | 22 27% | L |
| SMURFIT KAPPA GROUP PLC UN SPON ADR | 83272W106 | SMFKY | 3 00 | 06/23/2014 | 68 33 | 11/03/2015 | 83 59 | 15 26 | 22 33% | L |
| SMURFIT KAPPA GROUP PLC UN SPON ADR | 83272W106 | SMFKY | 1.00 | 06/23/2014 | 22 78 | 11/24/2015 | 26 46 | 3 68 | 16 15% | L |
| SMURFIT KAPPA GROUP PLC UN SPON ADR | 83272W106 | SMFKY | 6 00 | 06/25/2014 | 136 71 | 11/24/2015 | 158 76 | 22 05 | 16 13% | L |
| SMURFIT KAPPA GROUP PLC UN SPON ADR | 83272W106 | SMFKY | 6 00 | 06/27/2014 | 137 95 | 11/24/2015 | 158 75 | 20 80 | 15 08% | L |
| SMURFIT KAPPA GROUP PLC UN SPON ADR | 83272W106 | SMFKY | 22 00 | 07/01/2014 | 497 15 | 11/24/2015 | 582 11 | 84 96 | 17 09% | L |
| SONOCO PRODUCTS CO | 835495102 | SON | 74 00 | 02/14/2014 | 3,116 14 | 03/17/2016 | 3,603 93 | 487.79 | 15 65% | L |
| SOUTHWESTERN ENERGY CO | 845467109 | SWN | 86 00 | 04/22/2014 | 4,197 41 | 11/20/2015 | 796 86 | -3,400 55 | -81 02% | L |
| SOUTHWESTERN ENERGY CO | 845467109 | SWN | 29.00 | 04/10/2015 | 701 22 | 11/20/2015 | 268 71 | -432 51 | -61 68% | S |
| SPIRIT AEROSYSTEMS HOLDINGS INC CL A | 848574109 | SPR | 50 00 | 10/26/2015 | 2,560 84 | 05/10/2016 | 2,337 00 | -223 84 | -8 74% | S |
| SPIRIT AEROSYSTEMS HOLDINGS INC CL A | 848574109 | SPR | 35 00 | 10/26/2015 | 1,792 59 | 05/26/2016 | 1,640 05 | -152 54 | -8 51% | S |
| SPIRIT AEROSYSTEMS HOLDINGS INC CL A | 848574109 | SPR | 18 00 | 02/19/2016 | 811 78 | 05/26/2016 | 843 46 | 31 68 | 3 90% | S |
| STATE STREET CORP | 857477103 | STT | 85 00 | 03/20/2013 | 5,136 39 | 03/30/2016 | 5,024 38 | -112.01 | -2 18% | L |
| STEPAN CO | 858586100 | SCL | 6 00 | 08/12/2013 | 353 49 | 04/14/2016 | 346 73 | -6 76 | -1 91% | L |
| STERIS CORP **MERGER EFF 11/2015** | 859152100 | | 21.00 | 08/06/2015 | 1,427 97 | 11/03/2015 | 1,561 56 | 133 59 | 9 36% | S |
| STERIS CORP **MERGER EFF 11/2015** | 859152100 | | 1 00 | 09/16/2015 | 63 47 | 11/03/2015 | 74 36 | 10 89 | 17 16% | S |
| STERIS PLC GBP | G84720104 | STE | 21 00 | 11/03/2015 | 1,561 56 | 11/24/2015 | 1,567 76 | 6 20 | 0 40% | S |
| STERIS PLC GBP | G84720104 | STE | 1 00 | 11/03/2015 | 74 36 | 11/24/2015 | 74 66 | 0 30 | 0 40% | S |
| SUMITOMO CHEM CO LTD ADR | 865612105 | SOMMY | 4 00 | 09/30/2015 | 99 40 | 11/03/2015 | 111 35 | 11.95 | 12 02% | S |
| SUMITOMO CHEM CO LTD ADR | 865612105 | SOMMY | 17 00 | 10/01/2015 | 442 12 | 11/24/2015 | 489 68 | 47 56 | 10 76% | S |
| SUMITOMO CHEM CO LTD ADR | 865612105 | SOMMY | 17.00 | 10/02/2015 | 460 35 | 11/24/2015 | 489 67 | 29 32 | 6 37% | S |
| SUMITOMO CHEM CO LTD ADR | 865612105 | SOMMY | 8 00 | 10/05/2015 | 220 87 | 11/24/2015 | 230 44 | 9 57 | 4 33% | S |
| SUMITOMO CHEM CO LTD ADR | 865612105 | SOMMY | 17 00 | 10/07/2015 | 482 17 | 11/24/2015 | 489 68 | 7 51 | 1 56% | S |
| SUMITOMO CHEM CO LTD ADR | 865612105 | SOMMY | 14 00 | 10/20/2015 | 398 77 | 11/24/2015 | 403 26 | 4 49 | 1 13% | S |
| SUMITOMO METAL MNG CO LTD UNSPONSORED ADR | 86563T104 | SMMYY | 105 00 | 02/26/2015 | 1,649 48 | 11/03/2015 | 1,287 80 | -361.68 | -21 93% | S |

# Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| SUMITOMO METAL MNG CO LTD UNSPONSORED ADR | 86563T104 | SMMYY | 14 00 | 03/03/2015 | 224 37 | 11/03/2015 | 171 71 | -52 66 | -23 47% | S |
| SUMITOMO METAL MNG CO LTD UNSPONSORED ADR | 86563T104 | SMMYY | 34 00 | 03/03/2015 | 544 91 | 11/16/2015 | 367 21 | -177.70 | -32 61% | S |
| SUMITOMO METAL MNG CO LTD UNSPONSORED ADR | 86563T104 | SMMYY | 42 00 | 03/03/2015 | 673 11 | 11/18/2015 | 456 09 | -217 02 | -32 24% | S |
| SUMITOMO METAL MNG CO LTD UNSPONSORED ADR | 86563T104 | SMMYY | 4.00 | 03/03/2015 | 64 11 | 11/24/2015 | 43 42 | -20 69 | -32 27% | S |
| SUMITOMO METAL MNG CO LTD UNSPONSORED ADR | 86563T104 | SMMYY | 84 00 | 03/04/2015 | 1,339 86 | 11/24/2015 | 911 79 | -428 07 | -31 95% | S |
| SUMITOMO MITSUI FINANCIAL SPON ADR | 86562M209 | SMFG | 99 00 | 11/24/2015 | 790 37 | 01/27/2016 | 676 68 | -113 69 | -14 38% | S |
| SUMITOMO MITSUI FINANCIAL SPON ADR | 86562M209 | SMFG | 243 00 | 11/24/2015 | 1,939 98 | 02/22/2016 | 1,291 52 | -648 46 | -33 43% | S |
| SUMITOMO MITSUI FINANCIAL SPON ADR | 86562M209 | SMFG | 36 00 | 11/24/2015 | 287 41 | 02/23/2016 | 191 85 | -95.56 | -33 25% | S |
| SUMITOMO MITSUI FINANCIAL SPON ADR | 86562M209 | SMFG | 204 00 | 01/28/2014 | 1,982 88 | 03/24/2016 | 1,229 93 | -752 95 | -37 97% | L |
| SUMITOMO MITSUI FINANCIAL SPON ADR | 86562M209 | SMFG | 36.00 | 01/28/2014 | 349 92 | 03/28/2016 | 218 28 | -131 64 | -37 62% | L |
| SUMITOMO MITSUI FINANCIAL SPON ADR | 86562M209 | SMFG | 80 00 | 01/28/2014 | 777 60 | 06/14/2016 | 454 37 | -323 23 | -41 57% | L |
| SUMITOMO MITSUI FINANCIAL SPON ADR | 86562M209 | SMFG | 116 00 | 02/18/2014 | 1,113 07 | 06/14/2016 | 658 83 | -454 24 | -40 81% | L |
| SUNTORY BEVERAGE & FOOD L UNSPONSORED ADR | 86803T104 | STBFY | 15 00 | 11/24/2015 | 300 93 | 05/26/2016 | 346 28 | 45 35 | 15 07% | S |
| SUPER MICRO COMPUTER INC | 86800U104 | SMCI | 55 00 | 10/16/2015 | 1,432 84 | 03/09/2016 | 1,750 81 | 317.97 | 22 19% | S |
| SVENSKA CELLULOSA AB (SCA) SPON ADR | 869587402 | SVCBY | 58 00 | 07/02/2012 | 882 76 | 11/03/2015 | 1,716 19 | 833 43 | 94 41% | L |
| SVENSKA CELLULOSA AB (SCA) SPON ADR | 869587402 | SVCBY | 9.00 | 07/02/2012 | 136 98 | 11/24/2015 | 256 81 | 119 83 | 87 48% | L |
| SVENSKA CELLULOSA AB (SCA) SPON ADR | 869587402 | SVCBY | 24 00 | 09/24/2012 | 455 76 | 11/24/2015 | 684 83 | 229 07 | 50 26% | L |
| SYMANTEC CORP | 871503108 | SYMC | 119 00 | 03/21/2013 | 2,906 66 | 08/25/2015 | 2,429 55 | -477 11 | -16 41% | L |
| SYMANTEC CORP | 871503108 | SYMC | 20 00 | 06/19/2013 | 458 30 | 08/25/2015 | 408 33 | -49 97 | -10 90% | L |
| SYMANTEC CORP | 871503108 | SYMC | 105 00 | 02/18/2014 | 2,172 44 | 08/25/2015 | 2,143 73 | -28.71 | -1 32% | L |
| SYMANTEC CORP | 871503108 | SYMC | 74 00 | 04/06/2015 | 1,763 42 | 08/25/2015 | 1,510 81 | -252 61 | -14 33% | S |
| SYNAPTICS INC | 87157D109 | SYNA | 34.00 | 02/11/2014 | 1,929 84 | 10/20/2015 | 2,931 87 | 1,002 03 | 51 92% | L |
| SYNAPTICS INC | 87157D109 | SYNA | 6 00 | 02/11/2014 | 340 56 | 11/05/2015 | 542 41 | 201 85 | 59 27% | L |
| SYNAPTICS INC | 87157D109 | SYNA | 13 00 | 02/18/2014 | 836 42 | 11/05/2015 | 1,175 23 | 338 81 | 40 51% | L |
| SYNAPTICS INC | 87157D109 | SYNA | 22 00 | 02/18/2014 | 1,415 48 | 11/18/2015 | 1,906 55 | 491 07 | 34 69% | L |
| SYNGENTA AG SPON ADR | 87160A100 | SYT | 5 00 | 11/24/2015 | 368 73 | 01/25/2016 | 352 68 | -16.05 | -4 35% | S |

# Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| SYNGENTA AG SPON ADR | 87160A100 | SYT | 10 00 | 11/24/2015 | 737 46 | 01/26/2016 | 701 85 | -35 61 | -4 83% | S |
| SYNGENTA AG SPON ADR | 87160A100 | SYT | 8 00 | 11/24/2015 | 589 97 | 05/11/2016 | 643 00 | 53.03 | 8 99% | S |
| SYNGENTA AG SPON ADR | 87160A100 | SYT | 16 00 | 11/24/2015 | 1,179 94 | 05/12/2016 | 1,288 54 | 108 60 | 9 20% | S |
| SYNGENTA AG SPON ADR | 87160A100 | SYT | 2.00 | 11/24/2015 | 147 49 | 05/13/2016 | 161 26 | 13 77 | 9 34% | S |
| SYNTEL INC | 87162H103 | SYNT | 78 00 | 02/11/2014 | 3,225 30 | 05/09/2016 | 3,310 64 | 85 34 | 2 65% | L |
| SYNTEL INC | 87162H103 | SYNT | 52 00 | 02/18/2014 | 2,348 58 | 05/09/2016 | 2,207 09 | -141 49 | -6 02% | L |
| SYNTEL INC | 87162H103 | SYNT | 77 00 | 04/06/2015 | 3,960 02 | 05/09/2016 | 3,268 20 | -691 82 | -17 47% | L |
| T&D HOLDINGS INC ADR | 872120100 | TDHOY | 126 00 | 03/23/2015 | 847 40 | 11/03/2015 | 820 12 | -27.28 | -3 22% | S |
| T&D HOLDINGS INC ADR | 872120100 | TDHOY | 85 00 | 03/24/2015 | 579 00 | 11/03/2015 | 553 25 | -25 75 | -4 45% | S |
| T&D HOLDINGS INC ADR | 872120100 | TDHOY | 39.00 | 03/25/2015 | 268 85 | 11/03/2015 | 253 85 | -15 00 | -5 58% | S |
| T&D HOLDINGS INC ADR | 872120100 | TDHOY | 48 00 | 03/25/2015 | 330 90 | 11/24/2015 | 344 28 | 13 38 | 4 04% | S |
| T&D HOLDINGS INC ADR | 872120100 | TDHOY | 2 00 | 03/31/2015 | 13 69 | 11/24/2015 | 14 35 | 0 66 | 4 82% | S |
| T&D HOLDINGS INC ADR | 872120100 | TDHOY | 143 00 | 10/21/2015 | 939 61 | 11/24/2015 | 1,025 67 | 86 06 | 9 16% | S |
| T&D HOLDINGS INC ADR | 872120100 | TDHOY | 23 00 | 10/23/2015 | 154 24 | 11/24/2015 | 164 97 | 10.73 | 6 96% | S |
| T&D HOLDINGS INC ADR | 872120100 | TDHOY | 30 00 | 10/26/2015 | 202 60 | 11/24/2015 | 215 18 | 12 58 | 6 21% | S |
| T&D HOLDINGS INC ADR | 872120100 | TDHOY | 20.00 | 10/29/2015 | 131 24 | 11/24/2015 | 143 45 | 12 21 | 9 30% | S |
| T&D HOLDINGS INC ADR | 872120100 | TDHOY | 20 00 | 10/30/2015 | 131 14 | 11/24/2015 | 143 45 | 12 31 | 9 39% | S |
| T&D HOLDINGS INC ADR | 872120100 | TDHOY | 23 00 | 11/02/2015 | 148 49 | 11/24/2015 | 164 97 | 16 48 | 11 10% | S |
| TAIWAN SEMICONDUCTOR MFG CO LTD ADR | 874039100 | TSM | 20 00 | 07/02/2012 | 284 75 | 11/03/2015 | 455 39 | 170 64 | 59 93% | L |
| TAIWAN SEMICONDUCTOR MFG CO LTD ADR | 874039100 | TSM | 71 00 | 09/24/2012 | 1,047 64 | 11/03/2015 | 1,616 65 | 569.01 | 54 31% | L |
| TAIWAN SEMICONDUCTOR MFG CO LTD ADR | 874039100 | TSM | 13 00 | 04/15/2015 | 305 51 | 11/03/2015 | 296 01 | -9 50 | -3 11% | S |
| TAIWAN SEMICONDUCTOR MFG CO LTD ADR | 874039100 | TSM | 37.00 | 04/15/2015 | 869 54 | 11/24/2015 | 831 38 | -38 16 | -4 39% | S |
| TAIWAN SEMICONDUCTOR MFG CO LTD ADR | 874039100 | TSM | 25 00 | 04/16/2015 | 584 09 | 11/24/2015 | 561 74 | -22 35 | -3 83% | S |
| TAIWAN SEMICONDUCTOR MFG CO LTD ADR | 874039100 | TSM | 4 00 | 04/17/2015 | 92 64 | 11/24/2015 | 89 88 | -2 76 | -2 98% | S |
| TAIWAN SEMICONDUCTOR MFG CO LTD ADR | 874039100 | TSM | 21 00 | 04/20/2015 | 491 16 | 11/24/2015 | 471 86 | -19 30 | -3 93% | S |
| TAIWAN SEMICONDUCTOR MFG CO LTD ADR | 874039100 | TSM | 1 00 | 04/21/2015 | 23 32 | 11/24/2015 | 22 47 | -0.85 | -3 64% | S |

# Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| TAIWAN SEMICONDUCTOR MFG CO LTD ADR | 874039100 | TSM | 97 00 | 02/24/2014 | 1,719 91 | 03/24/2016 | 2,476 87 | 756 96 | 44 01% | L |
| TANDEM DIABETES CARE INC | 875372104 | TNDM | 3 00 | 10/09/2014 | 38 49 | 06/07/2016 | 22 36 | -16.13 | -41 91% | L |
| TANDEM DIABETES CARE INC | 875372104 | TNDM | 2 00 | 10/09/2014 | 25 65 | 06/08/2016 | 14 91 | -10 74 | -41 87% | L |
| TANDEM DIABETES CARE INC | 875372104 | TNDM | 2.00 | 10/10/2014 | 26 27 | 06/08/2016 | 14 91 | -11 36 | -43 24% | L |
| TANDEM DIABETES CARE INC | 875372104 | TNDM | 3 00 | 10/16/2014 | 40 58 | 06/08/2016 | 22 37 | -18 21 | -44 87% | L |
| TANDEM DIABETES CARE INC | 875372104 | TNDM | 2 00 | 10/16/2014 | 27 05 | 06/09/2016 | 14 74 | -12 31 | -45 51% | L |
| TANDEM DIABETES CARE INC | 875372104 | TNDM | 8 00 | 10/17/2014 | 109 84 | 06/09/2016 | 58 94 | -50 90 | -46 34% | L |
| TARGET CORP | 87612E106 | TGT | 36 00 | 05/28/2015 | 2,894 60 | 05/11/2016 | 2,748 69 | -145.91 | -5 04% | S |
| TATA MOTORS LTD SPON ADR | 876568502 | TTM | 7 00 | 07/02/2012 | 152 57 | 08/12/2015 | 189 33 | 36 76 | 24 09% | L |
| TATA MOTORS LTD SPON ADR | 876568502 | TTM | 1.00 | 07/02/2012 | 21 79 | 08/13/2015 | 27 00 | 5 21 | 23 91% | L |
| TATA MOTORS LTD SPON ADR | 876568502 | TTM | 11 00 | 07/02/2012 | 239 75 | 08/19/2015 | 291 75 | 52 00 | 21 69% | L |
| TATA MOTORS LTD SPON ADR | 876568502 | TTM | 28 00 | 09/24/2012 | 717 08 | 08/19/2015 | 742 65 | 25 57 | 3 57% | L |
| TEAM HEALTH HOLDINGS INC | 87817A107 | TMH | 111 00 | 04/05/2016 | 4,422 02 | 06/09/2016 | 5,050 83 | 628 81 | 14 22% | S |
| TECHNIP SA NEW SPON ADR | 878546209 | TKPPY | 15 00 | 10/01/2014 | 309 67 | 11/03/2015 | 204 16 | -105.51 | -34 07% | L |
| TECHNIP SA NEW SPON ADR | 878546209 | TKPPY | 47 00 | 10/02/2014 | 971 08 | 11/03/2015 | 639 72 | -331 36 | -34 12% | L |
| TECHNIP SA NEW SPON ADR | 878546209 | TKPPY | 35.00 | 10/02/2014 | 723 15 | 11/24/2015 | 452 23 | -270 92 | -37 46% | L |
| TECHNIP SA NEW SPON ADR | 878546209 | TKPPY | 21 00 | 10/03/2014 | 425 13 | 11/24/2015 | 271 34 | -153 79 | -36 17% | L |
| TECHNIP SA NEW SPON ADR | 878546209 | TKPPY | 16 00 | 10/06/2014 | 320 43 | 11/24/2015 | 206 73 | -113 70 | -35 48% | L |
| TECK RESOURCES LTD CL B ORD CAD | 878742204 | TCK | 50 00 | 03/21/2013 | 1,408 50 | 12/16/2015 | 166 17 | -1,242 33 | -88 20% | L |
| TECK RESOURCES LTD CL B ORD CAD | 878742204 | TCK | 22 00 | 10/25/2013 | 645 22 | 12/16/2015 | 73 12 | -572.10 | -88 67% | L |
| TECK RESOURCES LTD CL B ORD CAD | 878742204 | TCK | 48 00 | 02/18/2014 | 1,136 16 | 12/16/2015 | 159 53 | -976 63 | -85 96% | L |
| TECK RESOURCES LTD CL B ORD CAD | 878742204 | TCK | 39.00 | 04/06/2015 | 542 49 | 12/16/2015 | 129 61 | -412 88 | -76 11% | S |
| TEGNA INC | 87901J105 | TGNA | 76 00 | 02/11/2014 | 1,727 54 | 09/10/2015 | 1,749 63 | 22 09 | 1 28% | L |
| TEGNA INC | 87901J105 | TGNA | 78 00 | 02/18/2014 | 1,816 48 | 09/10/2015 | 1,795 66 | -20 82 | -1 15% | L |
| TEGNA INC | 87901J105 | TGNA | 83 00 | 04/06/2015 | 2,478 11 | 09/10/2015 | 1,910 78 | -567 33 | -22 89% | S |
| TELIA CO AB ADR | 87960M205 | TLSNY | 50 00 | 05/19/2015 | 616 93 | 11/03/2015 | 501 99 | -114.94 | -18 63% | S |

# Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| TELIA CO AB ADR | 87960M205 | TLSNY | 32 00 | 05/21/2015 | 397 24 | 11/03/2015 | 321 27 | -75 97 | -19 12% | S |
| TELIA CO AB ADR | 87960M205 | TLSNY | 4 00 | 05/22/2015 | 49 76 | 11/03/2015 | 40 16 | -9.60 | -19 29% | S |
| TELIA CO AB ADR | 87960M205 | TLSNY | 11 00 | 05/22/2015 | 136 84 | 11/24/2015 | 105 52 | -31 32 | -22 89% | S |
| TELIA CO AB ADR | 87960M205 | TLSNY | 24.00 | 05/26/2015 | 291 67 | 11/24/2015 | 230 22 | -61 45 | -21 07% | S |
| TELIA CO AB ADR | 87960M205 | TLSNY | 25 00 | 05/27/2015 | 297 75 | 11/24/2015 | 239 81 | -57 94 | -19 46% | S |
| TELIA CO AB ADR | 87960M205 | TLSNY | 37 00 | 05/28/2015 | 443 79 | 11/24/2015 | 354 92 | -88 87 | -20 03% | S |
| TEMPLETON GLBAL BOND ADV | 880208400 | MFFKIM | 16,207 00 | 05/30/2012 | 199,994 38 | 08/31/2015 | 188,325 34 | -11,669 04 | -5 83% | L |
| TEMPLETON GLBAL BOND ADV | 880208400 | MFFKIM | 7,507 00 | 09/21/2012 | 99,993 24 | 08/31/2015 | 87,231 34 | -12,761.90 | -12 76% | L |
| TEMPLETON GLBAL BOND ADV | 880208400 | MFFKIM | 7,462 00 | 02/08/2013 | 99,990 80 | 08/31/2015 | 86,708 44 | -13,282 36 | -13 28% | L |
| TEMPLETON GLBAL BOND ADV | 880208400 | MFFKIM | 1.00 | 02/08/2013 | 12 88 | 08/31/2015 | 11 62 | -1 26 | -9 78% | L |
| TEMPLETON GLBAL BOND ADV | 880208400 | MFFKIM | 7 44 | 12/16/2013 | 96 65 | 08/31/2015 | 86 39 | -10 26 | -10 62% | L |
| TEMPLETON GLBAL BOND ADV | 880208400 | MFFKIM | 216 56 | 12/16/2013 | 2,815 28 | 08/31/2015 | 2,516 43 | -298 85 | -10 62% | L |
| TEVA PHARMACEUTICALS IND LTD ISRAEL ADR | 881624209 | TEVA | 4 00 | 09/24/2012 | 159 87 | 10/28/2015 | 248 31 | 88 44 | 55 32% | L |
| TEVA PHARMACEUTICALS IND LTD ISRAEL ADR | 881624209 | TEVA | 39 00 | 09/24/2012 | 1,558 70 | 11/03/2015 | 2,419 52 | 860.82 | 55 23% | L |
| TEVA PHARMACEUTICALS IND LTD ISRAEL ADR | 881624209 | TEVA | 12 00 | 09/30/2014 | 646 85 | 11/03/2015 | 744 47 | 97 62 | 15 09% | L |
| TEVA PHARMACEUTICALS IND LTD ISRAEL ADR | 881624209 | TEVA | 49.00 | 09/30/2014 | 2,641 29 | 11/24/2015 | 3,044 09 | 402 80 | 15 25% | L |
| TEXAS INSTRUMENTS | 882508104 | TXN | 76 00 | 04/16/2015 | 4,438 34 | 08/21/2015 | 3,597 29 | -841 05 | -18 95% | S |
| TEXAS INSTRUMENTS | 882508104 | TXN | 75 00 | 02/21/2014 | 3,319 29 | 10/21/2015 | 3,966 64 | 647 35 | 19 50% | L |
| TEXAS INSTRUMENTS | 882508104 | TXN | 42 00 | 02/21/2014 | 1,858 80 | 10/29/2015 | 2,392 01 | 533 21 | 28 69% | L |
| TEXAS INSTRUMENTS | 882508104 | TXN | 64 00 | 02/21/2014 | 2,832 46 | 04/08/2016 | 3,713 10 | 880.64 | 31 09% | L |
| TEXTRON INC | 883203101 | TXT | 104 00 | 01/15/2015 | 4,431 19 | 11/05/2015 | 4,362 29 | -68 90 | -1 55% | S |
| TIME WARNER INC NEW | 887317303 | TWX | 74.00 | 01/15/2015 | 6,131 63 | 10/07/2015 | 5,337 05 | -794 58 | -12 96% | S |
| TIME WARNER INC NEW | 887317303 | TWX | 23 00 | 06/11/2014 | 1,585 47 | 01/13/2016 | 1,644 38 | 58 91 | 3 72% | L |
| TIME WARNER INC NEW | 887317303 | TWX | 13 00 | 06/25/2014 | 883 17 | 01/13/2016 | 929 43 | 46 26 | 5 24% | L |
| TIME WARNER INC NEW | 887317303 | TWX | 30 00 | 06/25/2014 | 2,038 09 | 01/20/2016 | 2,038 07 | -0 02 | 0 00% | L |
| TJX COS INC NEW | 872540109 | TJX | 37 00 | 02/21/2014 | 2,219 26 | 09/23/2015 | 2,634 61 | 415.35 | 18 72% | L |

## Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| TJX COS INC NEW | 872540109 | TJX | 32 00 | 02/21/2014 | 1,919 36 | 03/03/2016 | 2,391 06 | 471 70 | 24 58% | L |
| TJX COS INC NEW | 872540109 | TJX | 31 00 | 05/20/2014 | 1,710 89 | 03/03/2016 | 2,316 34 | 605.45 | 35 39% | L |
| TJX COS INC NEW | 872540109 | TJX | 35 00 | 05/20/2014 | 1,931 66 | 03/11/2016 | 2,625 29 | 693 63 | 35 91% | L |
| TJX COS INC NEW | 872540109 | TJX | 8.00 | 06/19/2014 | 442 11 | 03/11/2016 | 600 06 | 157 95 | 35 73% | L |
| TJX COS INC NEW | 872540109 | TJX | 14 00 | 07/16/2014 | 742 98 | 03/11/2016 | 1,050 12 | 307 14 | 41 34% | L |
| TJX COS INC NEW | 872540109 | TJX | 44 00 | 07/16/2014 | 2,335 07 | 05/17/2016 | 3,344 20 | 1,009 13 | 43 22% | L |
| TJX COS INC NEW | 872540109 | TJX | 5 00 | 07/17/2014 | 262 35 | 05/17/2016 | 380 02 | 117 67 | 44 85% | L |
| TJX COS INC NEW | 872540109 | TJX | 29 00 | 07/17/2014 | 1,521 65 | 06/28/2016 | 2,154 82 | 633.17 | 41 61% | L |
| TJX COS INC NEW | 872540109 | TJX | 21 00 | 07/23/2014 | 1,112 84 | 06/28/2016 | 1,560 38 | 447 54 | 40 22% | L |
| TJX COS INC NEW | 872540109 | TJX | 5.00 | 04/06/2015 | 348 15 | 06/28/2016 | 371 52 | 23 37 | 6 71% | L |
| TOKIO MARINE HOLDINGS INC SPON ADR | 889094108 | TKOMY | 57 00 | 02/25/2014 | 1,722 40 | 07/29/2015 | 2,344 23 | 621 83 | 36 10% | L |
| TOKIO MARINE HOLDINGS INC SPON ADR | 889094108 | TKOMY | 70 00 | 02/25/2014 | 2,115 22 | 01/11/2016 | 2,502 92 | 387 70 | 18 33% | L |
| TOKIO MARINE HOLDINGS INC SPON ADR | 889094108 | TKOMY | 27 00 | 11/17/2014 | 851 77 | 01/11/2016 | 965 42 | 113 65 | 13 34% | L |
| TOKIO MARINE HOLDINGS INC SPON ADR | 889094108 | TKOMY | 14 00 | 11/18/2014 | 447 15 | 01/11/2016 | 500 58 | 53.43 | 11 95% | L |
| TOKIO MARINE HOLDINGS INC SPON ADR | 889094108 | TKOMY | 14 00 | 11/18/2014 | 447 15 | 01/12/2016 | 500 19 | 53 04 | 11 86% | L |
| TOKIO MARINE HOLDINGS INC SPON ADR | 889094108 | TKOMY | 56.00 | 04/06/2015 | 2,198 56 | 01/12/2016 | 2,000 78 | -197 78 | -9 00% | S |
| TORAY IND ADR JAPAN ADR | 890880206 | TRYIY | 55 00 | 11/24/2015 | 1,013 13 | 01/27/2016 | 952 95 | -60 18 | -5 94% | S |
| TORAY IND ADR JAPAN ADR | 890880206 | TRYIY | 54 00 | 11/24/2015 | 994 70 | 01/29/2016 | 894 87 | -99 83 | -10 04% | S |
| TORO CO | 891092108 | TTC | 38 00 | 01/15/2015 | 2,337 41 | 10/15/2015 | 2,755 43 | 418 02 | 17 88% | S |
| TORO CO | 891092108 | TTC | 34 00 | 01/29/2015 | 2,231 24 | 10/15/2015 | 2,465 38 | 234.14 | 10 49% | S |
| TORO CO | 891092108 | TTC | 13 00 | 03/25/2015 | 899 35 | 10/15/2015 | 942 65 | 43 30 | 4 81% | S |
| TORO CO | 891092108 | TTC | 36.00 | 04/06/2015 | 2,523 60 | 10/15/2015 | 2,610 40 | 86 80 | 3 44% | S |
| TORONTO DOMINION BK NEW CANADA CAD | 891160509 | TD | 39 00 | 07/02/2012 | 1,521 18 | 11/03/2015 | 1,615 75 | 94 57 | 6 22% | L |
| TORONTO DOMINION BK NEW CANADA CAD | 891160509 | TD | 13 00 | 09/24/2012 | 546 20 | 11/03/2015 | 538 58 | -7 62 | -1 40% | L |
| TORONTO DOMINION BK NEW CANADA CAD | 891160509 | TD | 33 00 | 09/24/2012 | 1,386 49 | 11/24/2015 | 1,356 58 | -29 91 | -2 16% | L |
| TORONTO DOMINION BK NEW CANADA CAD | 891160509 | TD | 16 00 | 01/28/2014 | 698 08 | 11/24/2015 | 657 73 | -40.35 | -5 78% | L |

## Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| TOTAL SYSTEM SERVICES INC | 891906109 | TSS | 27 00 | 03/17/2014 | 815 67 | 04/08/2016 | 1,310 50 | 494 83 | 60 67% | L |
| TOWERS WATSON & CO **MERGER EFF 01/2016** CL A | 891894107 | | 8 00 | 03/17/2014 | 910 32 | 01/05/2016 | 1,001.75 | 91 43 | 10.04% | L |
| TOWERS WATSON & CO **MERGER EFF 01/2016** CL A | 891894107 | | 8 00 | 03/25/2014 | 907 59 | 01/05/2016 | 1,001 74 | 94 15 | 10 37% | L |
| TOYOTA MOTOR CORP NEW JAPAN SPON ADR | 892331307 | TM | 21 00 | 01/28/2014 | 2,476 58 | 03/30/2016 | 2,259 44 | -217 14 | -8 77% | L |
| TRAVELERS COS INC/THE | 89417E109 | TRV | 21 00 | 09/24/2012 | 1,437 45 | 01/13/2016 | 2,276 58 | 839 13 | 58 38% | L |
| TRAVELERS COS INC/THE | 89417E109 | TRV | 4 00 | 09/24/2012 | 273 80 | 03/23/2016 | 462 05 | 188 25 | 68 75% | L |
| TRAVELERS COS INC/THE | 89417E109 | TRV | 15 00 | 02/14/2013 | 1,205 67 | 03/23/2016 | 1,732 71 | 527 04 | 43 71% | L |
| TRAVELERS COS INC/THE | 89417E109 | TRV | 7 00 | 02/14/2013 | 562 64 | 04/06/2016 | 810 30 | 247 66 | 44 02% | L |
| TRAVELERS COS INC/THE | 89417E109 | TRV | 17 00 | 05/29/2013 | 1,413 65 | 04/06/2016 | 1,967 88 | 554 23 | 39 21% | L |
| TRIMBLE NAVIGATION LTD | 896239100 | TRMB | 34 00 | 05/03/2013 | 916 54 | 08/21/2015 | 643 78 | -272 76 | -29 76% | L |
| TRIMBLE NAVIGATION LTD | 896239100 | TRMB | 3 00 | 02/03/2014 | 92 67 | 08/21/2015 | 56 80 | -35 87 | -38 71% | L |
| TRIMBLE NAVIGATION LTD | 896239100 | TRMB | 75 00 | 02/19/2014 | 2,861 24 | 08/21/2015 | 1,420 09 | -1,441 15 | -50 37% | L |
| TRIMBLE NAVIGATION LTD | 896239100 | TRMB | 39 00 | 04/10/2015 | 998 40 | 08/21/2015 | 738 45 | -259 95 | -26 04% | S |
| TYCO INTL PLC | G91442106 | TYC | 74 00 | 02/25/2015 | 3,183 29 | 10/21/2015 | 2,698 18 | -485 11 | -15 24% | S |
| TYCO INTL PLC | G91442106 | TYC | 11 00 | 02/25/2015 | 473 19 | 12/02/2015 | 377 64 | -95 55 | -20 19% | S |
| TYCO INTL PLC | G91442106 | TYC | 60 00 | 04/08/2015 | 2,574 34 | 12/02/2015 | 2,059 83 | -514 51 | -19 99% | S |
| TYCO INTL PLC | G91442106 | TYC | 14 00 | 04/08/2015 | 600 68 | 01/06/2016 | 430 47 | -170 21 | -28 34% | S |
| TYCO INTL PLC | G91442106 | TYC | 61 00 | 05/06/2015 | 2,418 71 | 01/06/2016 | 1,875 61 | -543 10 | -22 45% | S |
| UBIQUITI NETWORKS INC | 90347A100 | UBNT | 78 00 | 02/11/2014 | 3,406 26 | 10/16/2015 | 2,650 61 | -755 65 | -22 18% | L |
| UBIQUITI NETWORKS INC | 90347A100 | UBNT | 46 00 | 02/18/2014 | 2,179 48 | 10/16/2015 | 1,563 18 | -616 30 | -28 28% | L |
| UBIQUITI NETWORKS INC | 90347A100 | UBNT | 72 00 | 04/06/2015 | 2,065 33 | 10/16/2015 | 2,446 72 | 381 39 | 18 47% | S |
| ULTA SALON COSMETICS & FRAGRANCE INC | 90384S303 | ULTA | 27 00 | 02/11/2014 | 2,355 48 | 10/06/2015 | 4,501 60 | 2,146 12 | 91 11% | L |
| ULTA SALON COSMETICS & FRAGRANCE INC | 90384S303 | ULTA | 17 00 | 02/18/2014 | 1,489 71 | 10/06/2015 | 2,834 34 | 1,344 63 | 90 26% | L |
| ULTA SALON COSMETICS & FRAGRANCE INC | 90384S303 | ULTA | 24 00 | 04/06/2015 | 3,662 40 | 10/06/2015 | 4,001 42 | 339 02 | 9 26% | S |
| UMPQUA HOLDINGS CORP OR | 904214103 | UMPQ | 112 00 | 07/29/2013 | 1,864 91 | 11/20/2015 | 1,990 37 | 125 46 | 6 73% | L |
| UMPQUA HOLDINGS CORP OR | 904214103 | UMPQ | 1 00 | 02/03/2014 | 16 79 | 11/20/2015 | 17 78 | 0 99 | 5 90% | L |

## Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| UMPQUA HOLDINGS CORP OR | 904214103 | UMPQ | 37 00 | 02/19/2014 | 626 41 | 11/20/2015 | 657 53 | 31 12 | 4 97% | L |
| UMPQUA HOLDINGS CORP OR | 904214103 | UMPQ | 188 00 | 02/19/2014 | 3,182 84 | 05/23/2016 | 2,882 19 | -300.65 | -9 45% | L |
| UMPQUA HOLDINGS CORP OR | 904214103 | UMPQ | 118 00 | 04/10/2015 | 2,037 31 | 05/23/2016 | 1,809 03 | -228 28 | -11 20% | L |
| UNITED PARCEL SERVICE INC CL B | 911312106 | UPS | 11.00 | 09/24/2012 | 791 45 | 07/22/2015 | 1,068 63 | 277 18 | 35 02% | L |
| UNITED PARCEL SERVICE INC CL B | 911312106 | UPS | 9 00 | 02/14/2013 | 744 85 | 07/22/2015 | 874 33 | 129 48 | 17 38% | L |
| UNITED PARCEL SERVICE INC CL B | 911312106 | UPS | 9 00 | 02/14/2013 | 744 84 | 07/29/2015 | 907 16 | 162 32 | 21 79% | L |
| UNITED PARCEL SERVICE INC CL B | 911312106 | UPS | 41 00 | 03/06/2013 | 3,467 74 | 07/29/2015 | 4,132 60 | 664 86 | 19 17% | L |
| UNITED THERAPEUTICS CORP | 91307C102 | UTHR | 9 00 | 02/18/2014 | 925 56 | 10/26/2015 | 1,150 49 | 224.93 | 24 30% | L |
| UNITED THERAPEUTICS CORP | 91307C102 | UTHR | 12 00 | 01/15/2015 | 1,644 60 | 11/12/2015 | 1,765 26 | 120 66 | 7 34% | S |
| UNIVERSAL HEALTH SVCS INC CL B | 913903100 | UHS | 11.00 | 01/15/2015 | 1,164 71 | 11/16/2015 | 1,318 14 | 153 43 | 13 17% | S |
| UNIVERSAL HEALTH SVCS INC CL B | 913903100 | UHS | 19 00 | 01/15/2015 | 2,011 78 | 03/18/2016 | 2,210 02 | 198 24 | 9 85% | L |
| UNTD OVERSEAS BK LTD SPONS ADR SINGAPORE ADR | 911271302 | UOVEY | 35 00 | 07/02/2012 | 1,038 10 | 11/03/2015 | 1,025 48 | -12 62 | -1 22% | L |
| UNTD OVERSEAS BK LTD SPONS ADR SINGAPORE ADR | 911271302 | UOVEY | 23 00 | 09/24/2012 | 739 22 | 11/03/2015 | 673 89 | -65 33 | -8 84% | L |
| UNTD OVERSEAS BK LTD SPONS ADR SINGAPORE ADR | 911271302 | UOVEY | 36 00 | 09/24/2012 | 1,157 04 | 11/24/2015 | 1,016 18 | -140.86 | -12 17% | L |
| UNTD OVERSEAS BK LTD SPONS ADR SINGAPORE ADR | 911271302 | UOVEY | 33 00 | 01/28/2014 | 1,057 98 | 11/24/2015 | 931 49 | -126 49 | -11 96% | L |
| UNTD RENTALS INC | 911363109 | URI | 29.00 | 01/14/2015 | 2,424 47 | 12/16/2015 | 1,981 07 | -443 40 | -18 29% | S |
| UNTD RENTALS INC | 911363109 | URI | 9 00 | 04/06/2015 | 838 71 | 12/16/2015 | 614 82 | -223 89 | -26 69% | S |
| US BANCORP DEL (NEW) | 902973304 | USB | 173 00 | 02/14/2014 | 7,028 99 | 07/15/2015 | 7,847 13 | 818 14 | 11 64% | L |
| US BANCORP DEL (NEW) | 902973304 | USB | 158 00 | 04/06/2015 | 6,808 22 | 07/15/2015 | 7,166 75 | 358 53 | 5 27% | S |
| US BANCORP DEL (NEW) | 902973304 | USB | 134 00 | 03/21/2013 | 4,490 34 | 09/09/2015 | 5,542 11 | 1,051.77 | 23 42% | L |
| US BANCORP DEL (NEW) | 902973304 | USB | 17 00 | 05/31/2013 | 601 97 | 09/09/2015 | 703 11 | 101 14 | 16 80% | L |
| US BANCORP DEL (NEW) | 902973304 | USB | 10.00 | 06/07/2013 | 357 30 | 09/09/2015 | 413 59 | 56 29 | 15 75% | L |
| US BANCORP DEL (NEW) | 902973304 | USB | 6 00 | 01/28/2014 | 241 92 | 09/09/2015 | 248 15 | 6 23 | 2 58% | L |
| US BANCORP DEL (NEW) | 902973304 | USB | 130 00 | 02/21/2014 | 5,255 57 | 06/28/2016 | 5,030 50 | -225 07 | -4 28% | L |
| VALMONT INDUSTRIES INC | 920253101 | VMI | 12 00 | 03/21/2013 | 1,947 24 | 08/21/2015 | 1,276 19 | -671 05 | -34 46% | L |
| VALMONT INDUSTRIES INC | 920253101 | VMI | 26 00 | 02/19/2014 | 3,961 49 | 08/21/2015 | 2,765 08 | -1,196.41 | -30 20% | L |

## Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| VALMONT INDUSTRIES INC | 920253101 | VMI | 13 00 | 04/10/2015 | 1,575 08 | 08/21/2015 | 1,382 54 | -192 54 | -12 22% | S |
| VANGUARD FTSE EMERGING MARKETS ETF | 922042858 | VWO | 1,320 00 | 05/30/2012 | 49,866 70 | 11/04/2015 | 47,312 81 | -2,553.89 | -5 12% | L |
| VANGUARD FTSE EMERGING MARKETS ETF | 922042858 | VWO | 75 00 | 02/08/2013 | 3,316 34 | 11/04/2015 | 2,688 23 | -628 11 | -18 94% | L |
| VCA INC | 918194101 | WOOF | 4.00 | 03/24/2014 | 128 64 | 04/14/2016 | 239 03 | 110 39 | 85 81% | L |
| VCA INC | 918194101 | WOOF | 4 00 | 03/24/2014 | 128 62 | 05/20/2016 | 257 29 | 128 67 | 100 04% | L |
| VCA INC | 918194101 | WOOF | 3 00 | 03/24/2014 | 96 48 | 05/20/2016 | 192 97 | 96 49 | 100 01% | L |
| VERINT SYSTEMS INC | 92343X100 | VRNT | 1 00 | 11/25/2014 | 59 86 | 04/21/2016 | 34 93 | -24 93 | -41 65% | L |
| VERINT SYSTEMS INC | 92343X100 | VRNT | 14 00 | 11/26/2014 | 841 74 | 04/21/2016 | 489 08 | -352.66 | -41 90% | L |
| VERINT SYSTEMS INC | 92343X100 | VRNT | 5 00 | 11/26/2014 | 300 62 | 04/22/2016 | 173 42 | -127 20 | -42 31% | L |
| VERIZON COMMUNICATIONS INC | 92343V104 | VZ | 38.00 | 11/20/2013 | 1,940 69 | 02/24/2016 | 1,910 60 | -30 09 | -1 55% | L |
| VISTEON CORP COM NEW | 92839U206 | VC | 41 00 | 11/23/2015 | 4,926 54 | 04/01/2016 | 3,192 80 | -1,733 74 | -35 19% | S |
| VISTEON CORP COM NEW | 92839U206 | VC | 27 00 | 01/20/2016 | 2,675 56 | 04/01/2016 | 2,102 58 | -572 98 | -21 42% | S |
| VISTEON CORP COM NEW | 92839U206 | VC | 36 00 | 02/03/2016 | 2,359 86 | 04/01/2016 | 2,803 43 | 443 57 | 18 80% | S |
| VOLKSWAGEN A G SPON ADR | 928662402 | VLKPY | 107 00 | 08/25/2014 | 4,955 06 | 09/09/2015 | 4,050 14 | -904.92 | -18 26% | L |
| VOLKSWAGEN A G SPON ADR | 928662402 | VLKPY | 28 00 | 10/16/2014 | 1,098 53 | 09/09/2015 | 1,059 84 | -38 69 | -3 52% | S |
| VOLKSWAGEN A G SPON ADR | 928662402 | VLKPY | 19.00 | 10/17/2014 | 761 52 | 09/09/2015 | 719 19 | -42 33 | -5 56% | S |
| VOLKSWAGEN A G SPON ADR | 928662402 | VLKPY | 53 00 | 04/06/2015 | 2,859 35 | 09/09/2015 | 2,006 14 | -853 21 | -29 84% | S |
| VOLKSWAGEN A G SPON ADR | 928662402 | VLKPY | 29 00 | 07/29/2015 | 1,195 03 | 09/09/2015 | 1,097 70 | -97 33 | -8 14% | S |
| VOLKSWAGEN A G SPON ADR | 928662402 | VLKPY | 8 00 | 04/09/2013 | 318 07 | 09/24/2015 | 201 25 | -116 82 | -36 73% | L |
| VOLKSWAGEN A G SPON ADR | 928662402 | VLKPY | 73 00 | 04/09/2013 | 2,902 43 | 09/30/2015 | 1,568 16 | -1,334.27 | -45 97% | L |
| WABTEC INC | 929740108 | WAB | 26 00 | 03/21/2013 | 1,298 57 | 08/21/2015 | 2,455 76 | 1,157 19 | 89 11% | L |
| WABTEC INC | 929740108 | WAB | 9.00 | 03/17/2014 | 721 89 | 04/15/2016 | 731 67 | 9 78 | 1 35% | L |
| WALT DISNEY CO (HOLDING CO) DISNEY COM | 254687106 | DIS | 12 00 | 09/24/2012 | 633 54 | 07/14/2015 | 1,414 53 | 780 99 | 123 27% | L |
| WALT DISNEY CO (HOLDING CO) DISNEY COM | 254687106 | DIS | 7 00 | 09/24/2012 | 369 57 | 05/11/2016 | 714 06 | 344 49 | 93 21% | L |
| WALT DISNEY CO (HOLDING CO) DISNEY COM | 254687106 | DIS | 27 00 | 09/09/2015 | 2,783 79 | 06/08/2016 | 2,659 19 | -124 60 | -4 48% | S |
| WELLS FARGO & CO NEW | 949746101 | WFC | 28 00 | 02/14/2013 | 985 32 | 03/23/2016 | 1,399 64 | 414.32 | 42 05% | L |

## Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| WELLS FARGO & CO NEW | 949746101 | WFC | 29 00 | 02/21/2013 | 1,030 09 | 03/23/2016 | 1,449 63 | 419 54 | 40 73% | L |
| WELLS FARGO & CO NEW | 949746101 | WFC | 53 00 | 02/21/2013 | 1,882 58 | 04/27/2016 | 2,691 78 | 809.20 | 42 98% | L |
| WELLS FARGO & CO NEW | 949746101 | WFC | 2 00 | 02/21/2013 | 71 04 | 06/08/2016 | 99 81 | 28 77 | 40 50% | L |
| WELLS FARGO & CO NEW | 949746101 | WFC | 71.00 | 04/24/2013 | 2,646 01 | 06/08/2016 | 3,543 28 | 897 27 | 33 91% | L |
| WERNER ENTERPRISES INC | 950755108 | WERN | 268 00 | 06/01/2015 | 7,338 03 | 08/28/2015 | 7,143 14 | -194 89 | -2 66% | S |
| WEST JAPAN RAILWAY CO ADR | 953432101 | WJRYY | 10 00 | 10/02/2015 | 652 36 | 11/03/2015 | 675 39 | 23 03 | 3 53% | S |
| WEST JAPAN RAILWAY CO ADR | 953432101 | WJRYY | 2 00 | 10/06/2015 | 131 96 | 11/03/2015 | 135 08 | 3 12 | 2 36% | S |
| WEST JAPAN RAILWAY CO ADR | 953432101 | WJRYY | 9 00 | 10/06/2015 | 593 84 | 11/24/2015 | 608 80 | 14.96 | 2 52% | S |
| WEST JAPAN RAILWAY CO ADR | 953432101 | WJRYY | 1 00 | 10/07/2015 | 66 24 | 11/24/2015 | 67 64 | 1 40 | 2 11% | S |
| WEST JAPAN RAILWAY CO ADR | 953432101 | WJRYY | 2.00 | 10/08/2015 | 133 91 | 11/24/2015 | 135 29 | 1 38 | 1 03% | S |
| WEST JAPAN RAILWAY CO ADR | 953432101 | WJRYY | 3 00 | 10/15/2015 | 200 26 | 11/24/2015 | 202 93 | 2 67 | 1 33% | S |
| WEST PHARMACEUTICAL SERVICES INC | 955306105 | WST | 6 00 | 10/18/2013 | 274 28 | 04/14/2016 | 426 60 | 152 32 | 55 53% | L |
| WEST PHARMACEUTICAL SERVICES INC | 955306105 | WST | 4 00 | 01/31/2014 | 190 76 | 06/06/2016 | 301 71 | 110 95 | 58 16% | L |
| WESTPORT FUEL SYSTEMS INC ORD CAD | 960908309 | WPRT | 22 00 | 10/14/2013 | 538 04 | 09/17/2015 | 68 25 | -469.79 | -87 32% | L |
| WESTPORT FUEL SYSTEMS INC ORD CAD | 960908309 | WPRT | 40 00 | 02/03/2014 | 665 60 | 09/17/2015 | 124 08 | -541 52 | -81 36% | L |
| WESTPORT FUEL SYSTEMS INC ORD CAD | 960908309 | WPRT | 128.00 | 02/19/2014 | 2,169 60 | 09/17/2015 | 397 08 | -1,772 52 | -81 70% | L |
| WESTPORT FUEL SYSTEMS INC ORD CAD | 960908309 | WPRT | 66 00 | 04/10/2015 | 287 75 | 09/17/2015 | 204 74 | -83 01 | -28 85% | S |
| WESTROCK CO | 96145D105 | WRK | 70 00 | 02/24/2014 | 3,850 47 | 02/18/2016 | 2,289 68 | -1,560 79 | -40 54% | L |
| WESTROCK CO | 96145D105 | WRK | 24 00 | 03/25/2014 | 1,244 13 | 02/18/2016 | 785 04 | -459 09 | -36 90% | L |
| WESTROCK CO | 96145D105 | WRK | 35 00 | 04/06/2015 | 2,184 13 | 02/18/2016 | 1,144 84 | -1,039.29 | -47 58% | S |
| WHIRLPOOL CORP | 963320106 | WHR | 23 00 | 02/24/2014 | 3,226 52 | 01/08/2016 | 3,152 15 | -74 37 | -2 30% | L |
| WILLIAM HILL PLC ADR | 96925P104 | WIMHY | 8.00 | 11/24/2015 | 168 07 | 02/18/2016 | 173 49 | 5 42 | 3 22% | S |
| WILLIS TOWERS WATSON PUB LTD CO | G96629103 | WLTW | 8 00 | 01/05/2016 | 1,001 74 | 04/15/2016 | 946 76 | -54 98 | -5 49% | S |
| WILLIS TOWERS WATSON PUB LTD CO | G96629103 | WLTW | 8 00 | 01/05/2016 | 1,001 75 | 04/15/2016 | 946 77 | -54 98 | -5 49% | S |
| WORTHINGTON INDUST INC | 981811102 | WOR | 92 00 | 06/16/2015 | 2,515 67 | 04/07/2016 | 3,200 64 | 684 97 | 27 23% | S |
| WORTHINGTON INDUST INC | 981811102 | WOR | 174 00 | 06/16/2015 | 4,757 89 | 04/20/2016 | 6,578 23 | 1,820.34 | 38 26% | S |

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| YX XX289 Main | YX XX290 Trillium SRI | YX XX291 Equity-Atlanta | YX XX292 Taxable FI | YX XX293 Estabrook SRI | YX XX294 Equity-MFS | YX XX295 Equity-Rothchld | YX XX296 NB SRI |
| YX XX297 Equity-Cambiar | YX XX298 Equity-Funds | YX XX299 Alt Inv-Main | YX XX300 UBS SRI | YX XX301 NA SRI | YX XX887 Equity-Non-Fee | YX XX888 Equity-APEX | YX XX176 Yield Oriented |

# Realized gain/loss - from 07/01/2015 to 06/30/2016 (continued)

| | CUSIP | Symbol | Quantity | Purchase date | Purchase amount ($) | Sale date | Sale amount ($) | Realized gain/(loss) ($) | Percent gain/(loss) (%) | Term |
|---|---|---|---|---|---|---|---|---|---|---|
| WPP PLC NEW SPON ADR | 92937A102 | WPPGY | 9 00 | 08/17/2015 | 1,000 37 | 11/03/2015 | 1,034 38 | 34 01 | 3 40% | S |
| WPP PLC NEW SPON ADR | 92937A102 | WPPGY | 11 00 | 08/18/2015 | 1,231 40 | 11/03/2015 | 1,264 24 | 32.84 | 2 67% | S |
| WPP PLC NEW SPON ADR | 92937A102 | WPPGY | 1 00 | 08/19/2015 | 110 29 | 05/26/2016 | 115 97 | 5 68 | 5 15% | S |
| WSTN DIGITAL CORP | 958102105 | WDC | 28.00 | 01/15/2015 | 2,960 74 | 11/12/2015 | 1,766 43 | -1,194 31 | -40 34% | S |
| WSTN DIGITAL CORP | 958102105 | WDC | 16 00 | 01/15/2015 | 1,691 85 | 01/12/2016 | 832 02 | -859 83 | -50 82% | S |
| WSTN DIGITAL CORP | 958102105 | WDC | 12 00 | 10/07/2015 | 999 90 | 01/12/2016 | 624 01 | -375 89 | -37 59% | S |
| WUXI PHARAMATECH CAYMAN INC*MERGER EFF 12/2015* SPON ADR | 929352102 | WX | 12 00 | 03/17/2014 | 445 57 | 12/14/2015 | 551 40 | 105 83 | 23 75% | L |
| WUXI PHARAMATECH CAYMAN INC*MERGER EFF 12/2015* SPON ADR | 929352102 | WX | 15 00 | 03/25/2014 | 535 00 | 12/14/2015 | 689 25 | 154 25 | 28 83% | L |
| WUXI PHARAMATECH CAYMAN INC*MERGER EFF 12/2015* SPON ADR | 929352102 | WX | 18 00 | 03/18/2015 | 651 94 | 12/14/2015 | 827 10 | 175 16 | 26 87% | S |
| ZIMMER BIOMET HOLDINGS INC | 98956P102 | ZBH | 40 00 | 01/15/2015 | 4,644 92 | 09/14/2015 | 3,951 07 | -693 85 | -14 94% | S |
| ZURICH INS GROUP LTD SPON ADR | 989825104 | ZURVY | 77 00 | 07/02/2012 | 1,606 07 | 11/03/2015 | 2,054 71 | 448 64 | 27 93% | L |
| ZURICH INS GROUP LTD SPON ADR | 989825104 | ZURVY | 21 00 | 07/02/2012 | 438 02 | 11/24/2015 | 544 13 | 106 11 | 24 22% | L |
| ZURICH INS GROUP LTD SPON ADR | 989825104 | ZURVY | 93 00 | 09/24/2012 | 2,203 87 | 11/24/2015 | 2,409 74 | 205 87 | 9 34% | L |
| ZURICH INS GROUP LTD SPON ADR | 989825104 | ZURVY | 49 00 | 02/03/2014 | 1,412.33 | 05/26/2016 | 1,206 95 | -205.38 | -14 54% | L |
| ZURICH INS GROUP LTD SPON ADR | 989825104 | ZURVY | 24 00 | 02/18/2014 | 730 25 | 05/26/2016 | 591 16 | -139 09 | -19 05% | L |
| **TOTAL REALIZED GAIN/(LOSS):** | | | | | **4,487,621.82** | | **4,408,172.63** | **-329,339.34** | **-7.34%** | |

| | |
|---|---|
| **TOTAL GAINS:** | 204,563 66 |
| **TOTAL LOSSES:** | -533,903 00 |
| **SHORT TERM - TOTAL REALIZED GAIN/(LOSS):** | -169,875 82 |
| **LONG TERM - TOTAL REALIZED GAIN/(LOSS):** | -159,463 52 |