

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation
 Do not enter social security numbers on this form as it may be made public.
 Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052
2015
Open to Public Inspection

For calendar year 2015, or tax year beginning 07-01-2015 , and ending 06-30-2016

Name of foundation Nick Simons Foundation		A Employer identification number 20-3101239
% MARINA SHMOUKLER		B Telephone number (see instructions) (646) 362-0036
Number and street (or P O box number if mail is not delivered to street address) 25 EAST 22ND STREET	Room/suite	
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10010		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 75,698,761	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ <i>(Part I, column (d) must be on cash basis)</i>	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>		Revenue and expenses per books (a)	Net investment income (b)	Adjusted net income (c)	Disbursements for charitable purposes (d) (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)	4,550,000			
2	Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments	44	44		
4	Dividends and interest from securities				
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	4,057,237			
b	Gross sales price for all assets on line 6a 29,954,016				
7	Capital gain net income (from Part IV, line 2)		4,429,803		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)	61	-341,309	0	
12	Total. Add lines 1 through 11	8,607,342	4,088,538	0	
13	Compensation of officers, directors, trustees, etc	0			
14	Other employee salaries and wages	23,067	0	0	11,182
15	Pension plans, employee benefits	5,764	0	0	2,475
16a	Legal fees (attach schedule)	1,952	0	0	3,149
b	Accounting fees (attach schedule)	22,690	0	0	63,594
c	Other professional fees (attach schedule)	156,889	0	0	156,889
17	Interest				
18	Taxes (attach schedule) (see instructions)	253,264	26,374	0	0
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings	24,339	0	0	22,084
22	Printing and publications	21,207	0	0	21,207
23	Other expenses (attach schedule)	30,728	0	0	38,336
24	Total operating and administrative expenses. Add lines 13 through 23	539,900	26,374	0	318,916
25	Contributions, gifts, grants paid	11,035,491			3,425,491
26	Total expenses and disbursements. Add lines 24 and 25	11,575,391	26,374	0	3,744,407
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	-2,968,049			
b	Net investment income (if negative, enter -0-)		4,062,164		
c	Adjusted net income (if negative, enter -0-)			0	

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	887,380	1,510,175	1,510,175
	2 Savings and temporary cash investments	50,207	50,251	50,251
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____	10,134		
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions).			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	75,265	13,387	13,387
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)			
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	62,089,704	69,994,188	69,994,188
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)	3,259	4,130,760	4,130,760	
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	63,115,949	75,698,761	75,698,761	
Liabilities	17 Accounts payable and accrued expenses	45,477	18,959	
	18 Grants payable	110,000	6,855,408	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule).			
	22 Other liabilities (describe ▶ _____)	264,720	450,935	
	23 Total liabilities (add lines 17 through 22)	420,197	7,325,302	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	566,692	-5,064,001	
	25 Temporarily restricted	62,129,060	73,437,460	
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances (see instructions)	62,695,752	68,373,459		
31 Total liabilities and net assets/fund balances (see instructions)	63,115,949	75,698,761		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	62,695,752
2	Enter amount from Part I, line 27a	2	-2,968,049
3	Other increases not included in line 2 (itemize) ▶ _____	3	8,645,756
4	Add lines 1, 2, and 3	4	68,373,459
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	68,373,459

Part IV Capital Gains and Losses for Tax on Investment Income

Table with 4 columns: (a) 2-story brick warehouse, or common stock, 200 shs MLC Co; (b) How acquired (P-Purchase, D-Donation); (c) Date acquired (mo, day, yr); (d) Date sold (mo, day, yr). Rows include LONG-TERM CAPITAL GAIN FROM LPS, SHORT-TERM CAPITAL LOSS FROM LPS, and FUND-OF-FUND INVESTMENT SALE 1-3.

Table with 4 columns: (e) Gross sales price; (f) Depreciation allowed (or allowable); (g) Cost or other basis plus expense of sale; (h) Gain or (loss) (e) plus (f) minus (g). Rows a-e show sales prices ranging from 13,650,000 to 3,000,000 and gains/losses from 1,765,462 to 962,740.

Table with 4 columns: (i) FMV as of 12/31/69; (j) Adjusted basis as of 12/31/69; (k) Excess of col (i) over col (j), if any; (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)). Rows a-e show values consistent with the previous table.

Table with 2 columns: Description of capital gain/loss and Amount. Row 2: Capital gain net income or (net capital loss) 4,429,803. Row 3: Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) -67,366.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income) If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? [] Yes [x] No If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

Table with 4 columns: (a) Base period years Calendar year (or tax year beginning in); (b) Adjusted qualifying distributions; (c) Net value of noncharitable-use assets; (d) Distribution ratio (col (b) divided by col (c)). Rows for years 2014, 2013, 2012, 2011, 2010.

Table with 2 columns: Description and Amount. Row 2: Total of line 1, column (d) 0 795972. Row 3: Average distribution ratio for the 5-year base period 0 159194. Row 4: Enter the net value of noncharitable-use assets for 2015 from Part X, line 5. 70,111,270. Row 5: Multiply line 4 by line 3. 11,161,294. Row 6: Enter 1% of net investment income (1% of Part I, line 27b). 40,622. Row 7: Add lines 5 and 6. 11,201,916. Row 8: Enter qualifying distributions from Part XII, line 4. 3,744,407.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

Table with 11 rows for excise tax calculation. Includes categories like 'Exempt operating foundations', 'Domestic foundations that meet the section 4940(e) requirements', and 'Tax based on investment income'. Total amount owed is 14,431.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Questions include 'During the tax year, did the foundation attempt to influence any national, state, or local legislation?', 'Did it spend more than \$100 during the year for political purposes?', and 'Did the foundation have unrelated business gross income of \$1,000 or more during the year?'. Responses are Yes/No.

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	Yes	
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address nicksimonsfoundation.org	13	Yes	
14	The books are in care of MARINA SHMOUKLER Telephone no (646) 362-0036 Located at 25 EAST 22ND STREET NEW YORK NY ZIP+4 10010			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here	15		<input type="checkbox"/>
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country NP	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years 20___, 20___, 20___, 20___			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20___, 20___, 20___, 20___			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		No

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

Part VIII

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
BHEKH THAPA 239 JANKPRASAD ROAD BANESOWAR HEIGHL, KATHMANDU NP	program consulting	84,000

Total number of others receiving over \$50,000 for professional services. ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	

Total. Add lines 1 through 3 ▶

Part X Minimum Investment Return

(All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	65,715,982
b	Average of monthly cash balances.	1b	1,332,972
c	Fair market value of all other assets (see instructions).	1c	4,130,000
d	Total (add lines 1a, b, and c).	1d	71,178,954
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	71,178,954
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	1,067,684
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	70,111,270
6	Minimum investment return. Enter 5% of line 5.	6	3,505,564

Part XI Distributable Amount

(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	3,505,564
2a	Tax on investment income for 2015 from Part VI, line 5.	2a	81,243
b	Income tax for 2015 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	81,243
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	3,424,321
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	3,424,321
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	3,424,321

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	3,744,407
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	3,744,407
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	3,744,407

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				3,424,321
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only.				
b Total for prior years 2013, 2012, 2011				
3 Excess distributions carryover, if any, to 2015				
a From 2010.				3,218,164
b From 2011.				3,746,956
c From 2012.				3,156,293
d From 2013.				3,169,886
e From 2014.				4,498,686
f Total of lines 3a through e.	17,789,985			
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ \$ <u>3,744,407</u>				
a Applied to 2014, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2015 distributable amount.				3,424,321
e Remaining amount distributed out of corpus	320,086			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	18,110,071			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions.				
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions.				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions).	3,218,164			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a.	14,891,907			
10 Analysis of line 9				
a Excess from 2011.	3,746,956			
b Excess from 2012.	3,156,293			
c Excess from 2013.	3,169,886			
d Excess from 2014.	4,498,686			
e Excess from 2015.	320,086			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling. . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2015	(b) 2014	(c) 2013	(d) 2012	
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon				
a "Assets" alternative test—enter				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.				
c "Support" alternative test—enter				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

JAMES SIMONS

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information(continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> see attachment 15 New York New York, NY 10010			see attachment 15	3,425,491
Total ▶ 3a				3,425,491
b <i>Approved for future payment</i> see attachment 16 New York New York, NY 10010			see attachment 16	6,900,000
Total ▶ 3b				6,900,000

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
JAMES SIMONS 25 EAST 22ND STREET NEW YORK, NY 10010	PRESIDENT 1 0	0	0	0
MARILYN SIMONS 25 EAST 22ND STREET NEW YORK, NY 10010	SECRETARY & TREASURER 2 0	0	0	0
CAITLIN HEISING 25 EAST 22ND STREET NEW YORK, NY 10010	TRUSTEE 0 5	0	0	0
MARK HEISING 25 EAST 22ND STREET NEW YORK, NY 10010	TRUSTEE 0 5	0	0	0
AUDREY SIMONS 25 EAST 22ND STREET NEW YORK, NY 10010	TRUSTEE 0 5	0	0	0
LAURA BAXTER SIMONS 25 EAST 22ND STREET NEW YORK, NY 10010	TRUSTEE 0 5	0	0	0
LIZ SIMONS 25 EAST 22ND STREET NEW YORK, NY 10010	TRUSTEE 0 5	0	0	0
NAT SIMONS 25 EAST 22ND STREET NEW YORK, NY 10010	TRUSTEE 0 5	0	0	0

TY 2015 Accounting Fees Schedule**Name:** Nick Simons Foundation**EIN:** 20-3101239

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BDO SEIDMAN LLP	250	0	0	43,750
FISCAL MANAGEMENT ASSOCIATES	22,440	0	0	19,844

TY 2015 Investments - Other Schedule**Name:** Nick Simons Foundation**EIN:** 20-3101239

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
LIMITED PARTNERSHIPS		28,534,196	28,534,196
ALTERNATIVE INVESTMENTS		41,459,992	41,459,992

TY 2015 Legal Fees Schedule**Name:** Nick Simons Foundation**EIN:** 20-3101239

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LOEB, BLOCK & PARTNERS LLP	1,780	0	0	2,977
CORPORATION SERVICE COMPANY	172	0	0	172

TY 2015 Other Assets Schedule**Name:** Nick Simons Foundation**EIN:** 20-3101239

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
DUE FROM THIRD PARTIES	3,259	760	760
DUE FROM BROKER	0	4,130,000	4,130,000

TY 2015 Other Expenses Schedule**Name:** Nick Simons Foundation**EIN:** 20-3101239

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INSURANCE	21,143	0	0	29,593
COMMUNICATION EXPENSE	4,940	0	0	4,771
OFFICE EXPENSE	3,145	0	0	2,472
NYS FILING FEES	1,500	0	0	1,500

TY 2015 Other Income Schedule**Name:** Nick Simons Foundation**EIN:** 20-3101239

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
MISCELLANEOUS Income	61	61	0
portfolio loss from investments in			
limited partnerships	0	-341,370	0

TY 2015 Other Increases Schedule**Name:** Nick Simons Foundation**EIN:** 20-3101239

Description	Amount
UNREALIZED GAINS ON INVESTMENTS	8,601,164
DISCOUNT ON GRANTS PAYABLE	44,592

TY 2015 Other Liabilities Schedule**Name:** Nick Simons Foundation**EIN:** 20-3101239

Description	Beginning of Year - Book Value	End of Year - Book Value
DEFERRED EXCISE TAX LIABILITY	264,720	436,743
INCOME TAX PAYABLE	0	14,192

TY 2015 Other Professional Fees Schedule**Name:** Nick Simons Foundation**EIN:** 20-3101239

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BOARD DEVELOPMENT, TECHNICAL				
ADVISORY AND PROJECT				
MANAGEMENT SERVICES	128,418	0	0	128,418
HEALTH CARE WORKER DEVELOPMENT				
AND TRAINING	28,471	0	0	28,471

TY 2015 Taxes Schedule**Name:** Nick Simons Foundation**EIN:** 20-3101239

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX EXPENSE	253,264	0	0	0
FOREIGN TAXES	0	26,374	0	0

TY 2015 TransfersToControlledEntities**Name:** Nick Simons Foundation**EIN:** 20-3101239

Name	US / Foreign Address	EIN	Description	Amount
Nick Simons Institute	Box 8975 EPC 1813 Sanepa, Lalitpur NP	000000000	to train & support healthcare workers in the rural regions of nepal	1,350,000
Nick Simons Foundation International	25 EAST 22ND STREET New York, NY 10010	47-2836360	General Operating support	820,000
Total				2,170,000

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury Internal Revenue Service

Schedule of Contributors

OMB No 1545-0047

2015

Attach to Form 990, 990-EZ, or 990-PF Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990

Name of the organization Nick Simons Foundation

Employer identification number 20-3101239

Organization type (check one)

Filers of:

Section:

- Form 990 or 990-EZ [] 501(c)() (enter number) organization [] 4947(a)(1) nonexempt charitable trust not treated as a private foundation [] 527 political organization Form 990-PF [x] 501(c)(3) exempt private foundation [] 4947(a)(1) nonexempt charitable trust treated as a private foundation [] 501(c)(3) taxable private foundation

Check if your organization is covered by the General Rule or a Special Rule. Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

[x] For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

- [] For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II [] For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III [] For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions exclusively for religious, charitable, etc , purposes, but no such contributions totaled more than \$1,000 If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc , purpose Do not complete any of the parts unless the General Rule applies to this organization because it received nonexclusively religious, charitable, etc , contributions totaling \$5,000 or more during the year \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization
 Nick Simons Foundation

Employer identification number
 20-3101239

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JAMES SIMONS 160 FIFTH AVENUE 7TH FLOOR NEW YORK, NY 10010	\$ 4,550,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization

Nick Simons Foundation

Employer identification number

20-3101239

Part II**Noncash Property**

(see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	_____ _____ _____	_____ \$	_____
	_____ _____ _____	_____ \$	_____
	_____ _____ _____	_____ \$	_____
	_____ _____ _____	_____ \$	_____
	_____ _____ _____	_____ \$	_____
	_____ _____ _____	_____ \$	_____

Name of organization
Nick Simons Foundation

Employer identification number

20-3101239

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ _____

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-	_____ _____ _____	_____ _____ _____	_____ _____ _____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4 _____ _____ --	Relationship of transferor to transferee _____ _____ _____	
-	_____ _____ _____	_____ _____ _____	_____ _____ _____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4 _____ _____ --	Relationship of transferor to transferee _____ _____ _____	
-	_____ _____ _____	_____ _____ _____	_____ _____ _____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4 _____ _____ --	Relationship of transferor to transferee _____ _____ _____	
-	_____ _____ _____	_____ _____ _____	_____ _____ _____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4 _____ _____ --	Relationship of transferor to transferee _____ _____ _____	

Nick Simons Foundation
Expenditure Responsibility Statement
Form 990-PF, Part VII-B, Line 5c

RECIPIENT'S NAME AND ADDRESS	GRANT AMOUNT	DATE OF GRANT	GRANT PERIOD - START DATE	GRANT PERIOD - END DATE	AMOUNT EXPENDED	PURPOSE OF GRANT	DATE/(DUE) OF REPORTS BY GRANTEE	DIVERSIONS BY GRANTEE
Bhaskar Memorial Foundation 239 Tank Prasad Road, Baneshwar Height, Kathmandu, Nepal	\$20,000	4/21/2016	4/18/2016	6/30/2016	\$20,000	To support the Bhaskar Initiative for School Heart Health Empowerment Studies	10/24/2016	No
Hospice Nepal Lagankhel, Lalitpur Nepal	\$15,000	3/28/2016	3/28/2016	6/30/2016	\$15,000	To support Hospice's important mission to provide palliative care	3/8/2017	No
Lo Gyalpo Jigme Cultural Foundation PO Box 10489, Chabahil, Kathmandu, Nepal	\$5,000	3/28/2016	3/28/2016	6/30/2016	\$5,000	To support Foundation's restoration and education project in Mustang	12/11/2016	No
Nepal Ambulance Service House No 89, Kalkasthan, Dilibazar, Kathmandu, Nepal	\$5,000	3/28/2016	3/28/2016	6/30/2016	\$5,000	To support ambulatory care to kahtmandu and Nepal	3/8/2017	No
Nepal Orthopaedic Hospital Khagendra New Life Center, Jorpati-04 Kathmandu, Nepal	\$100,000	3/28/2016	3/28/2016	6/30/2016	\$100,000	To support Nepal Orthopaedic Hospital and its mission	Field Trip Report 3/21/16	No
Nick Simons Institute Box 8975, EPC 1813, Sanepa, Lalitpur, Nepal	\$1,350,000	VAR	8/6/2015	6/30/2016	\$1,350,000	To train & support skilled compassionate healthcare workers in the rural regions of Nepal	Quarterly & 6/30/16	No
Northern Ireland Hospice 18 O'Neill Road, Newtownabbey, BT36 6WB, Northern Ireland, UK	\$111,199	7/27/2015	7/27/2015	6/30/2016	\$111,199	To fund palliative care fellowship	1/26/2016	No
Public Health Concern Trust GPO Box 6064, Pradarshani Marg Kathmandu, Nepal	\$100,000	4/21/2016	4/18/2016	6/30/2016	\$100,000	To support Kathmandu Model Hospital and its mission	9/12/2016	No

Nick Simons Foundation
 Schedule of 6/30/2016 Grants and Contributions Approved for Future Payment
 Form 990-PF, Part XV, Line 3b

Name	Address	Relationship to Foundation or Substantial Contributor	Foundation Status of Recipient	Purpose of Grant	Amount
Gradian Health Systems	915 Broadway, Suite 1001 New York, NY 10010 United States	None	POF	General Operating Support	\$6,400,000
Possible	30 Broad Street, 9th Fl New York, NY 10004	None	PC	Operating Support for hospital services and programs at Bayalpata Hospital and service expansion in the District of Achhaam, Far - Western, Nepal	\$500,000

Total Grants Approved During the Year for Future Payment \$6,900,000

Nick Simons Foundation
Schedule of 6/30/2016 Grant Payments
Form 990-PF, Part XV, Line 3a

Name	Address	Relationship to Foundation or Substantial Contributor	Foundation Status of Recipient	Purpose of Grant	Amount
American Himalayan Foundation	909 Montgomery Street, Suite 400, San Francisco, CA 94133	None	PC	Program & General Operating Support	\$234,792
America Nepal Medical Foundation	27 Gloria Drive, Westfield, VA 01085	None	PC	General Operating Support	\$2,500
Bhaskar Memorial Foundation	239 Tank Prasad Road, Banasowar Height, Kahtmandu, Nepal	None	NC	To support the Bhaskar Initiative for School Heart Health Empowerment Studies	\$20,000
Doctors Without Borders USA	333 Seventh Ave 2nd Floor New York, NY 10001	None	PC	To support Refugees Crisis in Europe	\$250,000
Friends of Patan Hospital	1980 Dahlia Street, Denver, CO 80220	None	PC	To support charitable activities of Patan Hospital	\$5,000
Future Generations	390 Road Less Traveled Franklin, WV 26807	None	PC	General Operating Support	\$25,000
General Board of Global Ministries - Covenant Relationship Office	475 Riverside Drive, Room 353, New York, NY 10115	None	PC	General Operating Support	\$45,000
Gradian Health Systems	915 Broadway, Suite 1001 New York, NY 10010 United States	None	POF	General Operating Support	\$700,000

Himalayan Cataract Project	P O Box 55, Waterbury, VT 05676	None	PC	General Operating Support	\$50,000
Hospice Nepal	Lagankhel, Lalitpur Nepal	None	NC	General Operating Support	\$15,000
Ipas	P O Box 9990 Chapel Hill, NC 27515	None	PC	General Operating Support	\$32,000
Kathmandu Valley Preservation Trust	36 West 25th Street, 17th Floor, New York, NY 10010	None	PC	Restoration of Patan Royal Palace and re-building fund for Kathmandu Monuments	\$5,000
Lo Gyalpo Jigme Cultural Foundation	P O Box 10489, Chabahil, Kahtmandu, Nepal	None	NC	General Operating Support	\$5,000
Nepal Ambulance Service	House No 89, Kalikasthan, Dillbazar, Kahtmandu, Nepal	None	NC	General Operating Support	\$5,000
Nepal Orthopaedic Hospital	Khagendra New Life Center, Jorpati- 04 Kathmandu, Nepal	None	NC	General Operating Support	\$100,000

Nick Simons Institute	Box 8975, EPC 1813, Sanepa, Lalitpur, Nepal	None	NC	Program & General Operating Support	\$1,350,000
Northern Ireland Hospice	18 O'Neill Road, Newtownabbey, BT36 6WB, Northern Ireland, UK	None	NC	Program Support	\$111,199
Partners Asia	220 Second Avenue South, Seattle, WA 98104	None	PC	General Operating Support	\$20,000
Possible	30 Broad Street, 9th Fl New York, NY 10004	None	PC	Operating Support for hospital services and programs at Bayalpata Hospital and service expansion in the District of Achhaam, Far - Western, Nepal	\$250,000
Project Prakash Foundation	955 Massachusetts Ave , Suite 351, Cambridge, MA 02139	None	PC	General Operating Support	\$100,000
Public Health Concern Trust	GPO Box 6064, Pradarshani Marg Kathmandu, Nepal	None	NC	To support Kathmandu Model Hospital and its mission	\$100,000

Total Grants Paid During the Year

\$3,425,491