

Form 990



Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)
▶ Do not enter social security numbers on this form as it may be made public
▶ Information about Form 990 and its instructions is at [www.irs.gov/form990](#)

OMB No 1545-0047

2015

Open to Public Inspection

A For the 2015 calendar year, or tax year beginning 07-01-2015 , and ending 06-30-2016

B Check if applicable

☐ Address change

☐ Name change

☐ Initial return

☐ Final return/terminated

☐ Amended return

☐ Application pending

C Name of organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK
% JULIA SHANAHAN

Doing business as

Number and street (or P O box if mail is not delivered to street address)Room/suite

615 WEST 131ST STREET MC 8741

City or town, state or province, country, and ZIP or foreign postal code
NEW YORK, NY 100277922

F Name and address of principal officer
Lee C Bollinger
615 West 131st St MC 8741
New York, NY 100277922

H(a) Is this a group return for subordinates?
No

☐ Yes ☒ No

H(b) Are all subordinates included?
If "No," attach a list (see instructions)

☐ Yes ☐ No

H(c) Group exemption number ▶

D Employer identification number
13-5598093

E Telephone number
(212) 854-4684

G Gross receipts \$ 6,284,244,530

I Tax-exempt status

☒ 501(c)(3) ☐ 501(c) () ◀(insert no) ☐ 4947(a)(1) or ☐ 527

J Website: ▶ www.columbia.edu

K Form of organization

☒ Corporation ☐ Trust ☐ Association ☐ Other ▶

L Year of formation 1754

M State of legal domicile NY

Part I

Summary

Activities & Governance

1 Briefly describe the organization's mission or most significant activities
SEE ATTACHMENT 1

2 Check this box ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets

3 Number of voting members of the governing body (Part VI, line 1a)

4 Number of independent voting members of the governing body (Part VI, line 1b)

5 Total number of individuals employed in calendar year 2015 (Part V, line 2a)

6 Total number of volunteers (estimate if necessary)

7a Total unrelated business revenue from Part VIII, column (C), line 12

7b Net unrelated business taxable income from Form 990-T, line 34

Revenue

8 Contributions and grants (Part VIII, line 1h)

9 Program service revenue (Part VIII, line 2g)

10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)

11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)

12 Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)

Prior Year

1,239,104,776

Current Year

1,357,673,833

13 Grants and similar amounts paid (Part IX, column (A), lines 1–3)

14 Benefits paid to or for members (Part IX, column (A), line 4)

15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)

16a Professional fundraising fees (Part IX, column (A), line 11e)

b Total fundraising expenses (Part IX, column (D), line 25) ▶111,051,256

17 Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e)

18 Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25)

19 Revenue less expenses Subtract line 18 from line 12

Prior Year

566,214,875

Current Year

596,757,558

20 Total assets (Part X, line 16)

21 Total liabilities (Part X, line 26)

22 Net assets or fund balances Subtract line 21 from line 20

Beginning of Current Year

16,779,372,320

End of Year

16,672,598,896

Expenses

13 Grants and similar amounts paid (Part IX, column (A), lines 1–3)

14 Benefits paid to or for members (Part IX, column (A), line 4)

15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)

16a Professional fundraising fees (Part IX, column (A), line 11e)

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Current Year

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Net Assets or Fund Balances

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Beginning of Current Year

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End of Year

16,672,598,896

Part II

Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

Sign Here

Signature of officer

2017-05-15

Date

BARBARA HOUGH VP, CONTROLLER & TREASURER

Type or print name and title

Paid Preparer Use Only

Print/Type preparer's name
ERIC M MCNEIL

Preparer's signature
ERIC M MCNEIL

Date

Check ☐ if self-employed

PTIN
P00460263

Firm's name ▶ PricewaterhouseCoopers LLP

Firm's EIN ▶

Firm's address ▶ 300 Madison Avenue

Phone no (646) 471-3000

New York, NY 10017

May the IRS discuss this return with the preparer shown above? (see instructions) ☒ Yes ☐ No

For Paperwork Reduction Act Notice, see the separate instructions.

Cat No 11282Y

Form 990(2015)

Part III

Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III

☐

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1 Briefly describe the organization's mission

COLUMBIA UNIVERSITY IS ONE OF THE WORLD'S MOST IMPORTANT CENTERS OF RESEARCH AND AT THE SAME TIME A DISTINCTIVE AND DISTINGUISHED LEARNING ENVIRONMENT FOR UNDERGRADUATES AND GRADUATE STUDENTS IN MANY SCHOLARLY AND PROFESSIONAL FIELDS THE UNIVERSITY RECOGNIZES THE IMPORTANCE OF ITS LOCATION IN NEW YORK CITY AND SEEKS TO LINK ITS RESEARCH AND TEACHING TO THE VAST RESOURCES OF A GREAT METROPOLIS IT SEEKS TO ATTRACT A DIVERSE AND INTERNATIONAL FACULTY AND STUDENT BODY, TO SUPPORT RESEARCH AND TEACHING ON GLOBAL ISSUES, AND TO CREATE ACADEMIC RELATIONSHIPS WITH MANY COUNTRIES AND REGIONS IT EXPECTS ALL AREAS OF THE UNIVERSITY TO ADVANCE KNOWLEDGE AND LEARNING AT THE HIGHEST LEVEL AND TO CONVEY THE PRODUCTS OF ITS EFFORTS TO THE WORLD

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No

If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No

If "Yes," describe these changes on Schedule O

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a	(Code) (Expenses \$ 1,815,964,918 including grants of \$ 472,644,946) (Revenue \$ 1,365,438,784)
THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK IS A PRIVATE, NONSECTARIAN, NONPROFIT INSTITUTION OF HIGHER EDUCATION WHOSE ACTIVITIES ARE CONCENTRATED AT TWO LOCATIONS IN NEW YORK CITY AND EXTEND ACROSS THE GLOBE. THE UNIVERSITY PROVIDES INSTRUCTION THROUGH SIXTEEN UNDERGRADUATE, GRADUATE, AND PROFESSIONAL SCHOOLS. IT OPERATES A VARIETY OF RESEARCH INSTITUTES AND A LIBRARY SYSTEM TO SUPPORT ITS TEACHING, LEARNING, AND RESEARCH ACTIVITIES. THE UNIVERSITY ENROLLS APPROXIMATELY 30,304 FULL-TIME AND PART-TIME STUDENTS AND EMPLOYS APPROXIMATELY 15,900 FULL-TIME EMPLOYEES, INCLUDING 5,837 FULL-TIME FACULTY MEMBERS AND RESEARCH STAFF.	

4b	(Code) (Expenses \$ 884,862,808 including grants of \$ 939,185) (Revenue \$ 1,103,434,541)
THE UNIVERSITY, THROUGH THE COLUMBIA UNIVERSITY MEDICAL CENTER AND ITS MEDICAL FACULTY PRACTICE PLAN, PROVIDES DIRECT PATIENT CARE AND OTHER CLINICAL AND EDUCATIONAL SERVICES TO HOSPITALS AND OTHER HEALTH CARE INSTITUTIONS THROUGH CONTRACTUAL AGREEMENTS FOR SERVICES. THE CLINICAL FACULTY HANDLED APPROXIMATELY 2.1 MILLION OUTPATIENT AND EMERGENCY ROOM VISITS AND PARTICIPATED IN INSTRUCTION AND SUPERVISION OF 648 UNIVERSITY MEDICAL STUDENTS AND 943 RESIDENTS AND FELLOWS AT NEW YORK PRESBYTERIAN HOSPITAL AND OTHER AFFILIATED HOSPITALS.	

4c	(Code) (Expenses \$ 538,295,262 including grants of \$ 109,491,894) (Revenue \$ 170,341,628)
THE UNIVERSITY PERFORMS RESEARCH, TRAINING, AND OTHER SERVICES UNDER GRANTS AND CONTRACTS WITH AGENCIES OF THE FEDERAL GOVERNMENT AND OTHER SPONSORING ORGANIZATIONS.	
See Additional Data	

4d	Other program services (Describe in Schedule O)
(Expenses \$ 700,180,311 including grants of \$ 12,106,976) (Revenue \$ 168,474,517)	

4e	Total program service expenses ▶ 3,939,303,299
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Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A	1 Yes	
2 Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)?	2	No
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I	3	No
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II	4 Yes	
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III	5	No
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I	6 Yes	
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II	7	No
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III	8 Yes	
9 Did the organization report an amount in Part X, line 21 for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV	9	No
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V	10 Yes	
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI	11a Yes	
b Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII	11b Yes	
c Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII	11c	No
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX	11d	No
e Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X	11e Yes	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X	11f	No
12a Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII	12a	No
b Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional	12b Yes	
13 Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13 Yes	
14a Did the organization maintain an office, employees, or agents outside of the United States?	14a Yes	
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV	14b Yes	
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If "Yes," complete Schedule F, Parts II and IV	15 Yes	
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV	16	No
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions)	17 Yes	
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II	18 Yes	
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III	19	No
20a Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H	20a	No
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b	

Part IV Checklist of Required Schedules (continued)

21	Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>	21	Yes	
22	Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>	22	Yes	
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a</i>	24a	Yes	
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		No
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c	Yes	
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		No
25a	Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>	25b		No
26	Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? <i>If "Yes," complete Schedule L, Part II</i>	26	Yes	
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i>	27		No
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28a		No
b	A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28b	Yes	
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i>	28c		No
29	Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>	29	Yes	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>	30	Yes	
31	Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>	33	Yes	
34	Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1</i>	34	Yes	
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a	Yes	
b	If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>	35b	Yes	
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? Note. All Form 990 filers are required to complete Schedule O	38	Yes	

Part V

Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V

☒

			Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable.	1a	35,900	
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable.	1b	0	
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1c	Yes	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return.	2a	34,437	
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions).	2b	Yes	
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a	Yes	
b	If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation in Schedule O.	3b	Yes	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a	Yes	
b	If "Yes," enter the name of the foreign country: See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).			
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a		No
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b		No
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?	5c		
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?	6a		No
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b		
7	Organizations that may receive deductible contributions under section 170(c).			
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a	Yes	
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b	Yes	
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7c		No
d	If "Yes," indicate the number of Forms 8282 filed during the year.	7d		
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e		No
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f		No
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7g		
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7h		
8	Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?	8		No
9a	Did the sponsoring organization make any taxable distributions under section 4966?	9a		No
b	Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?	9b		No
10	Section 501(c)(7) organizations. Enter			
a	Initiation fees and capital contributions included on Part VIII, line 12.	10a		
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities.	10b		
11	Section 501(c)(12) organizations. Enter			
a	Gross income from members or shareholders.	11a		
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them).	11b		
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year.	12b		
13	Section 501(c)(29) qualified nonprofit health insurance issuers.			
a	Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O.	13a		
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans.	13b		
c	Enter the amount of reserves on hand.	13c		
14a	Did the organization receive any payments for indoor tanning services during the tax year?	14a		No
b	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O.	14b		

Part VI Governance, Management, and Disclosure

For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI ☒

Section A. Governing Body and Management

		Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O	24	
1b	Enter the number of voting members included in line 1a, above, who are independent	22	
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	Yes	
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?		No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?		No
5	Did the organization become aware during the year of a significant diversion of the organization's assets?		No
6	Did the organization have members or stockholders?		No
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?		No
7b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?		No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following		
a	The governing body?	Yes	
b	Each committee with authority to act on behalf of the governing body?	Yes	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O		No

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

		Yes	No
10a	Did the organization have local chapters, branches, or affiliates?		No
10b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?		
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	Yes	
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990		
12a	Did the organization have a written conflict of interest policy? If "No," go to line 13	Yes	
12b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	Yes	
12c	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	Yes	
13	Did the organization have a written whistleblower policy?	Yes	
14	Did the organization have a written document retention and destruction policy?	Yes	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a	The organization's CEO, Executive Director, or top management official	Yes	
b	Other officers or key employees of the organization	Yes	
	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions)		
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?		No
16b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?		

Section C. Disclosure

17 List the States with which a copy of this Form 990 is required to be filed **CA**

18 Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.
☐ Own website ☐ Another's website ☒ Upon request ☐ Other (explain in Schedule O)

19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year

20 State the name, address, and telephone number of the person who possesses the organization's books and records
JULIA SHANAHAN 615 WEST 131ST STREET MC 8741 New York, NY 10027 (212) 851-7348

Check if Schedule O contains a response or note to any line in this Part VII ☒

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

Form **990** (2015)

Part VII **Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** *(continued)*

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
See Additional Data Table										
1b Sub-Total										
c Total from continuation sheets to Part VII, Section A										
d Total (add lines 1b and 1c)								34,054,190	0	7,398,351

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization ► 4,928

		Yes	No
3	Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>	3 Yes	
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>	4 Yes	
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>	5	No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A)	(B)	(C)
Name and business address	Description of services	Compensation
DAVIS BRODY BOND LLP, 315 HUDSON STREET NEW YORK, NY 10013	ARCHITECTURAL SRVCS	7,974,257
SYSCO FOOD SERVICES OF METRO NEW YO, 20 THEODORE CONRAD DRIVE JERSEY CITY, NJ 07305	FOOD SERVICES	6,690,631
HUDSON HEART MANAGEMENT LLC, 222 ROUTE 59 SUITE 302 SUFFERN, NY 10901	MEDICAL SERVICES	5,226,039
WILMER CUTLER PICKERING HALE DORR, PO BOX 7247-8760 PHILADELPHIA, PA 19170	LEGAL SERVICES	5,069,816
ACCENTURE LLP, 161 N CLARK ST CHICAGO, IL 60601	CONSULTING SERVICES	5,057,717

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization ▶ 300

Part VIII

Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII

☒

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514	
Contributions, Gifts, Grants and Other Similar Amounts	1a	Federated campaigns . . .	1a					
	b	Membership dues	1b					
	c	Fundraising events	1c	8,319,201				
	d	Related organizations	1d					
	e	Government grants (contributions)	1e	800,463,372				
	f	All other contributions, gifts, grants, and similar amounts not included above	1f	548,891,260				
	g	Noncash contributions included in lines 1a-1f \$		46,072,017				
	h	Total. Add lines 1a-1f			1,357,673,833			
Program Service Revenue	2a	TUITION & FEES	Business Code 611600	1,365,438,784	1,365,438,784			
	b	OTHER EDUCATION & RESEARCH	541700	170,341,628	170,341,628			
	c	PATIENT CARE REVENUE	621110	1,103,434,541	1,103,434,541			
	d	AUXILIARY ENTERPRISES	900099	166,173,064	162,138,116	4,034,948		
	e	OTHER SOURCES	900099	2,301,453	2,301,453			
	f	All other program service revenue						
	g	Total. Add lines 2a-2f			2,807,689,470			
	Other Revenue	3	Investment income (including dividends, interest, and other similar amounts)		37,517,545	0		37,517,545
4		Income from investment of tax-exempt bond proceeds . . .		0				
5		Royalties		68,748,368			68,748,368	
6a		(i) Real						
		168,329,539						
		(ii) Personal						
		142,742,752						
b		Less rental expenses						
c		Rental income or (loss)		25,586,787	0			
d		Net rental income or (loss)		25,586,787			25,586,787	
7a		(i) Securities						
		1,762,170,618						
		(ii) Other						
		1,431,807,378						
b		Less cost or other basis and sales expenses						
c		Gain or (loss)		330,363,240				
d		Net gain or (loss)		330,363,240			330,363,240	
8a		Gross income from fundraising events (not including \$ 8,319,201 of contributions reported on line 1c) See Part IV, line 18		933,614				
		a						
		b	1,468,812					
c		Net income or (loss) from fundraising events . . .		-535,198			-535,198	
9a		Gross income from gaming activities See Part IV, line 19						
	a							
	b							
c	Net income or (loss) from gaming activities		0					
10a	Gross sales of inventory, less returns and allowances							
	a							
	b							
	c							
b	Less cost of goods sold							
c	Net income or (loss) from sales of inventory . . .		0					
Miscellaneous Revenue		Business Code						
11a	PARTNERSHIP INCOME		900099	81,181,543		-63,098,389	144,279,932	
b								
c								
d	All other revenue							
e	Total. Add lines 11a-11d			81,181,543				
12	Total revenue. See Instructions			4,708,225,588	2,803,654,522	-59,063,441	605,960,674	

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX ☒

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.		(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21	87,202,459	87,202,459		
2	Grants and other assistance to domestic individuals. See Part IV, line 22	472,210,783	472,210,783		
3	Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16	37,344,316	37,344,316		
4	Benefits paid to or for members	0			
5	Compensation of current officers, directors, trustees, and key employees	21,809,166	5,646,279	16,162,887	
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	428,245	101,823	326,422	
7	Other salaries and wages	2,094,149,308	1,902,702,419	135,882,286	55,564,603
8	Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	146,846,981	133,422,247	9,528,405	3,896,329
9	Other employee benefits	231,685,871	165,835,750	48,987,709	16,862,412
10	Payroll taxes	111,899,822	101,669,953	7,260,802	2,969,067
11	Fees for services (non-employees)				
a	Management	0			
b	Legal	29,853,409		29,853,409	
c	Accounting	2,799,702		2,799,702	
d	Lobbying	509,928		509,928	
e	Professional fundraising services. See Part IV, line 17	814,808			814,808
f	Investment management fees	8,688,753		8,688,753	
g	Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O)	215,410,417	185,367,325	21,836,206	8,206,886
12	Advertising and promotion	10,609,763	9,160,637	518,740	930,386
13	Office expenses	223,000,013	208,917,253	8,646,540	5,436,220
14	Information technology	25,726,448	13,999,185	10,475,505	1,251,758
15	Royalties	0			
16	Occupancy	193,850,975	185,662,383	6,720,534	1,468,058
17	Travel	71,261,478	63,716,643	5,421,848	2,122,987
18	Payments of travel or entertainment expenses for any federal, state, or local public officials	0			
19	Conferences, conventions, and meetings	59,846,067	48,610,039	3,881,206	7,354,822
20	Interest	44,116,315	42,179,609	1,658,773	277,933
21	Payments to affiliates	0			
22	Depreciation, depletion, and amortization	206,934,300	197,849,884	7,780,730	1,303,686
23	Insurance	30,440,807	26,412,273	4,028,534	
24	Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O)				
a	LIBRARY	27,606,455	27,606,455		
b	BANK AND CREDIT CARD FEES	4,634,756	3,891,199	726,571	16,986
c	DUES & SUBSCRIPTIONS	10,172,501	7,281,848	2,398,750	491,903
d	UNRELATED BUS INCOME TAX EXP	456,038		456,038	
e	All other expenses	22,483,948	12,512,537	7,888,999	2,082,412
25	Total functional expenses. Add lines 1 through 24e	4,392,793,832	3,939,303,299	342,439,277	111,051,256
26	Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720)				

Part X Balance SheetCheck if Schedule O contains a response or note to any line in this Part X ☒

				(A) Beginning of year		(B) End of year
Assets	1	Cash—non-interest-bearing		0	1	0
	2	Savings and temporary cash investments		1,741,511,978	2	1,315,203,659
	3	Pledges and grants receivable, net		766,698,032	3	758,759,332
	4	Accounts receivable, net		354,005,111	4	365,534,503
	5	Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L		2,137,730	5	2,144,141
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Complete Part II of Schedule L		0	6	0
	7	Notes and loans receivable, net		129,844,514	7	132,926,704
	8	Inventories for sale or use		2,353,460	8	3,039,389
	9	Prepaid expenses and deferred charges		48,006,594	9	59,455,197
	10a	Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a 7,922,558,282			
	b	Less: accumulated depreciation	10b 2,972,393,852	4,606,004,183	10c	4,950,164,430
	11	Investments—publicly traded securities		2,725,183,358	11	2,479,187,095
	12	Investments—other securities. See Part IV, line 11		5,955,138,791	12	6,054,622,193
	13	Investments—program-related. See Part IV, line 11		0	13	0
	14	Intangible assets		0	14	0
	15	Other assets. See Part IV, line 11		448,488,569	15	551,562,253
16	Total assets. Add lines 1 through 15 (must equal line 34)		16,779,372,320	16	16,672,598,896	
Liabilities	17	Accounts payable and accrued expenses		305,201,561	17	289,156,044
	18	Grants payable		0	18	0
	19	Deferred revenue		305,986,705	19	418,462,928
	20	Tax-exempt bond liabilities		1,301,576,000	20	1,417,357,000
	21	Escrow or custodial account liability. Complete Part IV of Schedule D		0	21	0
	22	Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		0	22	0
	23	Secured mortgages and notes payable to unrelated third parties		0	23	0
	24	Unsecured notes and loans payable to unrelated third parties		240,179,000	24	212,435,000
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17–24). Complete Part X of Schedule D		1,035,805,017	25	1,118,687,598
	26	Total liabilities. Add lines 17 through 25		3,188,748,283	26	3,456,098,570
Net Assets or Fund Balances	Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.					
	27	Unrestricted net assets		6,017,899,879	27	5,959,106,072
	28	Temporarily restricted net assets		4,719,353,717	28	4,268,221,155
	29	Permanently restricted net assets		2,853,370,441	29	2,989,173,099
	Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.					
	30	Capital stock or trust principal, or current funds			30	
	31	Paid-in or capital surplus, or land, building or equipment fund			31	
	32	Retained earnings, endowment, accumulated income, or other funds			32	
	33	Total net assets or fund balances		13,590,624,037	33	13,216,500,326
	34	Total liabilities and net assets/fund balances		16,779,372,320	34	16,672,598,896

Part XI **Reconciliation of Net Assets**

Check if Schedule O contains a response or note to any line in this Part XI ☒

1	Total revenue (must equal Part VIII, column (A), line 12)	1	4,708,225,588
2	Total expenses (must equal Part IX, column (A), line 25)	2	4,392,793,832
3	Revenue less expenses Subtract line 2 from line 1	3	315,431,756
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A)) . .	4	13,590,624,037
5	Net unrealized gains (losses) on investments	5	-653,046,881
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	-36,508,586
10	Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	13,216,500,326

Part XII **Financial Statements and Reporting**

Check if Schedule O contains a response or note to any line in this Part XII ☒

		Yes	No
1	Accounting method used to prepare the Form 990 <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O		
2a	Were the organization's financial statements compiled or reviewed by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		No
2b	Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both <input type="checkbox"/> Separate basis <input checked="" type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	Yes	
2c	If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O	Yes	
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	Yes	
3b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	Yes	

Additional Data

Software ID:
Software Version:
EIN: 13-5598093
Name: THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Form 990, Part III - 4 Program Service Accomplishments (See the Instructions)

(Code LIBRARY) (Expenses \$ 66,635,434	including grants of \$ 0) (Revenue \$ 0)
(Code CAMPUS OPERATIONS) (Expenses \$ 9,861,774	including grants of \$ 0) (Revenue \$ 0)

Form 990, Part III - 4 Program Service Accomplishments (See the Instructions)

(Code) (Expenses \$	333,235,605	including grants of \$	0) (Revenue \$	0)
INSTITUTIONAL SUPPORT					

(Code) (Expenses \$	115,990,664	including grants of \$	0) (Revenue \$	166,173,064)
AUXILIARY ENTERPRISES					

Form 990, Part III - 4 Program Service Accomplishments (See the Instructions)

(Code) (Expenses \$	174,456,834	including grants of \$	12,106,976) (Revenue \$	2,301,453)
OTHER SOURCES							

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
LEE C BOLLINGER PRESIDENT	60 0 0 0	X		X				2,088,896	0	445,941
KENNETH A FORDE TRUSTEE	4 0 0 0	X						796,955	0	2,592
ROLANDO T ACOSTA TRUSTEE	4 0 0 0	X						0	0	0
ARMEN A AVANESSIANS TRUSTEE	4 0 0 0	X						0	0	0
A'LELIA BUNDLES TRUSTEE	4 0 0 0	X						0	0	0
WILLIAM V CAMPBELL TRUSTEE THRU 9/7/15	4 0 0 0	X						0	0	0
LISA CARNOY TRUSTEE	4 0 0 0	X						0	0	0
NOAM GOTTESMAN TRUSTEE	4 0 0 0	X						0	0	0
JOSEPH A GREENAWAY JR TRUSTEE	4 0 0 0	X						0	0	0
JAMES HARDEN TRUSTEE	4 0 0 0	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
BENJAMIN HOROWITZ TRUSTEE	4 0 0 0	X						0	0	0
ANN F KAPLAN TRUSTEE	4 0 0 0	X						0	0	0
MARK E KINGDON TRUSTEE	4 0 0 0	X						0	0	0
JONATHAN LAVINE TRUSTEE	4 0 0 0	X						0	0	0
CHARLES LI TRUSTEE	4 0 0 0	X						0	0	0
PAUL J MADDON TRUSTEE	4 0 0 0	X						0	0	0
VIKRAM PANDIT TRUSTEE	4 0 0 0	X						0	0	0
MICHAEL B ROTHFELD TRUSTEE	4 0 0 0	X						0	0	0
JONATHAN D SCHILLER TRUSTEE	4 0 0 0	X						0	0	0
ESTA STECHER TRUSTEE	4 0 0 0	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
KYRIAKOS TSAKOPOULOS TRUSTEE	4 0 0 0	X						0	0	0
CLAIRE SHIPMAN TRUSTEE	4 0 0 0	X						0	0	0
ANDREW BARTH TRUSTEE	4 0 0 0	X						0	0	0
MARC HOLLIDAY TRUSTEE	4 0 0 0	X						0	0	0
WANDA HOLLAND GREENE TRUSTEE AS OF 12/16/15	4 0 0 0	X						0	0	0
JANE E BOOTH GENERAL COUNSEL	60 0 0 0			X				629,427	0	34,868
JOHN COATSWORTH PROVOST	60 0 0 0			X				741,198	0	44,006
JEROME DAVIS SECRETARY	60 0 0 0			X				387,696	0	44,438
DAVID MADIGAN EXEC VP - ARTS & SCIENCES	60 0 0 0			X				517,776	0	125,795
ANNE R SULLIVAN EXECUTIVE VP FOR FINANCE & IT	60 0 0 0			X				646,360	0	52,033

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
LEE GOLDMAN EXEC VP FOR HEALTH SCIENCES	60 0 0 0				X			4,707,601	0	34,868
PETER HOLLAND EXEC VP OF INVESTMENT MGMT	60 0 0 0				X			3,871,602	0	2,991,664
JOSEPH A IENUSO EXC VP FACILITIES THRU 8/30/15	60 0 0 0				X			582,290	0	54,306
NIRMAL NARVEKAR PRES INVST MGMT THRU 11/16/16	60 0 0 0				X			4,312,957	0	1,678,740
AMELIA ALVERSON EXEC VP - UNIV DVLPA&ALUM RLTS	60 0 0 0				X			670,494	0	37,094
DAVID GREENBERG EXC VP FACILITIES AS OF 8/1/15	60 0 0 0				X			394,513	0	31,922
DAVID N SILVERS CLINICAL PROFESSOR	60 0 0 0					X		4,437,855	0	38,691
JEFFREY W MOSES PROFESSOR OF MEDICINE	60 0 0 0					X		2,289,056	0	68,207
CRAIG R SMITH PROFESSOR OF SURGERY	60 0 0 0					X		2,168,259	0	40,932
GREGG STONE PROFESSOR OF SURGERY	60 0 0 0					X		1,997,792	0	56,818

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
TIMOTHY M DONOHUE MANAGING DIRECTOR - IMC	60 0 0 0					X		2,010,939	0	1,499,813
ROBERT KASDIN SR EXEC VP THRU 6/30/15 (FRMR)	60 0 0 0						X	411,071	0	82,456
SUSAN K FEAGIN EXEC VP-UNIV DEV&ALUM(FORMER)	50 0 0 0						X	391,453	0	33,167

SCHEDULE A
(Form 990 or 990EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.
▶ Attach to Form 990 or Form 990-EZ.
▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2015

Open to Public Inspection

Name of the organization THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK	Employer identification number 13-5598093
--	--

Part I

Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is (For lines 1 through 11, check only one box)

1

☐

A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**

2

☒

A school described in **section 170(b)(1)(A)(ii).**(Attach Schedule E (Form 990 or 990-EZ))

3

☐

A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4

☐

A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state _____

5

☐

An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II)

6

☐

A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7

☐

An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II)

8

☐

A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II)

9

☐

An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See**section 509(a)(2).** (Complete Part III)

10

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An organization organized and operated exclusively to test for public safety See **section 509(a)(4).**

11

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An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box in lines 11a through 11d that describes the type of supporting organization and complete lines 11e, 11f, and 11g

a

☐

Type I. A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization **You must complete Part IV, Sections A and B.**

b

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Type II. A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s) **You must complete Part IV, Sections A and C.**

c

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Type III functionally integrated. A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions) **You must complete Part IV, Sections A, D, and E.**

d

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Type III non-functionally integrated. A supporting organization operated in connection with its supported organization(s) that is not functionally integrated The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions) **You must complete Part IV, Sections A and D, and Part V.**

e

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Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization

f

Enter the number of supported organizations _____

g

Provide the following information about the supported organization(s)

(i) Name of supported organization	(ii)EIN	(iii) Type of organization (described on lines 1- 9 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
Total						

Part II **Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a)2011	(b)2012	(c)2013	(d)2014	(e)2015	(f)Total
1 Gifts, grants, contributions, and membership fees received (Do not include any unusual grants.)	1,419,840,375	1,427,694,060	1,234,408,267	1,239,104,776	1,357,673,833	6,678,721,311
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						0
3 The value of services or facilities furnished by a governmental unit to the organization without charge						0
4 Total. Add lines 1 through 3	1,419,840,375	1,427,694,060	1,234,408,267	1,239,104,776	1,357,673,833	6,678,721,311
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						57,623,440
6 Public support. Subtract line 5 from line 4						6,621,097,871

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a)2011	(b)2012	(c)2013	(d)2014	(e)2015	(f)Total
7 Amounts from line 4	1,419,840,375	1,427,694,060	1,234,408,267	1,239,104,776	1,357,673,833	6,678,721,311
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	274,663,421	252,902,435	294,632,895	293,561,605	274,595,453	1,390,355,809
9 Net income from unrelated business activities, whether or not the business is regularly carried on						0
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)	72,303,940	58,925,715	102,676,428	120,213,561	144,279,932	498,399,576
11 Total support. Add lines 7 through 10						8,567,476,696

12 Gross receipts from related activities, etc. (see instructions) **12** 12,299,677,027

13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ☐

Section C. Computation of Public Support Percentage

14 Public support percentage for 2015 (line 6, column (f) divided by line 11, column (f))	14	77.282 %
15 Public support percentage for 2014 Schedule A, Part II, line 14	15	79.895 %

- 16a 33 1/3% support test—2015.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization. ☒
- b 33 1/3% support test—2014.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization. ☐
- 17a 10%-facts-and-circumstances test—2015.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the facts-and-circumstances test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization. ☐
- b 10%-facts-and-circumstances test—2014.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization. ☐
- 18 Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions. ☐

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a)2011	(b)2012	(c)2013	(d)2014	(e)2015	(f)Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support. (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a)2011	(b)2012	(c)2013	(d)2014	(e)2015	(f)Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
13 Total support. (Add lines 9, 10c, 11, and 12.)						
14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here ► <input type="checkbox"/>						

Section C. Computation of Public Support Percentage

15 Public support percentage for 2015 (line 8, column (f) divided by line 13, column (f))	15	
16 Public support percentage from 2014 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2015 (line 10c, column (f) divided by line 13, column (f))	17	
18 Investment income percentage from 2014 Schedule A, Part III, line 17	18	

19a 33 1/3% support tests—2015. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ► ☐

b 33 1/3% support tests—2014. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ► ☐

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ► ☐

Part IV Supporting Organizations

(Complete only if you checked a box on line 11 of Part I. If you checked 11a of Part I, complete Sections A and B. If you checked 11b of Part I, complete Sections A and C. If you checked 11c of Part I, complete Sections A, D, and E. If you checked 11d of Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

	Yes	No
1 Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.		
2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).		
3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer (b) and (c) below.		
b Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in Part VI when and how the organization made the determination.		
c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in Part VI what controls the organization put in place to ensure such use.		
4a Was any supported organization not organized in the United States ("foreign supported organization")? If "Yes" and if you checked 11a or 11b in Part I, answer (b) and (c) below.		
b Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.		
c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.		
5a Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed, (ii) the reasons for each such action, (iii) the authority under the organization's organizing document authorizing such action, and (iv) how the action was accomplished (such as by amendment to the organizing document).		
b Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
c Substitutions only. Was the substitution the result of an event beyond the organization's control?		
6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (a) its supported organizations, (b) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (c) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in Part VI .		
7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in IRC 4958(c)(3)(C)), a family member of a substantial contributor, or a 35-percent controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990).		
8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If "Yes," complete Part II of Schedule L (Form 990).		
9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in Part VI .		
b Did one or more disqualified persons (as defined in line 9(a)) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes," provide detail in Part VI .		
c Did a disqualified person (as defined in line 9(a)) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in Part VI .		
10a Was the organization subject to the excess business holdings rules of IRC 4943 because of IRC 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If "Yes," answer b below.		
b Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)		
11 Has the organization accepted a gift or contribution from any of the following persons?		
a A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?		
b A family member of a person described in (a) above?		
c A 35% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in Part VI.		

Part IV

Supporting Organizations (continued)

Section B. Type I Supporting Organizations

	Yes	No
1 Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? <i>If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.</i>		
2 Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? <i>If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised or controlled the supporting organization.</i>		

Section C. Type II Supporting Organizations

	Yes	No
1 Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? <i>If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).</i>		

Section D. All Type III Supporting Organizations

	Yes	No
1 Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (1) a written notice describing the type and amount of support provided during the prior tax year, (2) a copy of the Form 990 that was most recently filed as of the date of notification, and (3) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?		
2 Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? <i>If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).</i>		
3 By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? <i>If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.</i>		

Section E. Type III Functionally-Integrated Supporting Organizations

1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions)			
a	<input type="checkbox"/> The organization satisfied the Activities Test. Complete line 2 below.		
b	<input type="checkbox"/> The organization is the parent of each of its supported organizations. Complete line 3 below.		
c	<input type="checkbox"/> The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions).		
2 Activities Test. Answer (a) and (b) below.			
a	Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? <i>If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.</i>		
b	Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? <i>If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.</i>		
3 Parent of Supported Organizations. Answer (a) and (b) below.			
a	Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? <i>Provide details in Part VI.</i>		
b	Did the organization exercise a substantial degree of direction over the policies, programs and activities of each of its supported organizations? <i>If "Yes," describe in Part VI the role played by the organization in this regard.</i>		

Part V **Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations**

1 Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 **See instructions.** All other Type III non-functionally integrated supporting organizations must complete Sections A through E ☐

Section A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain	1	
2	Recoveries of prior-year distributions	2	
3	Other gross income (see instructions)	3	
4	Add lines 1 through 3	4	
5	Depreciation and depletion	5	
6	Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6	
7	Other expenses (see instructions)	7	
8	Adjusted Net Income (subtract lines 5, 6 and 7 from line 4)	8	

Section B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1	Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year)	1	
a	Average monthly value of securities	1a	
b	Average monthly cash balances	1b	
c	Fair market value of other non-exempt-use assets	1c	
d	Total (add lines 1a, 1b, and 1c)	1d	
e	Discount claimed for blockage or other factors (explain in detail in Part VI) _____		
2	Acquisition indebtedness applicable to non-exempt use assets	2	
3	Subtract line 2 from line 1d	3	
4	Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5	
6	Multiply line 5 by .035	6	
7	Recoveries of prior-year distributions	7	
8	Minimum Asset Amount (add line 7 to line 6)	8	

Section C - Distributable Amount		Current Year	
1	Adjusted net income for prior year (from Section A, line 8, Column A)	1	
2	Enter 85% of line 1	2	
3	Minimum asset amount for prior year (from Section B, line 8, Column A)	3	
4	Enter greater of line 2 or line 3	4	
5	Income tax imposed in prior year	5	
6	Distributable Amount. Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	6	
7	Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions) <input type="checkbox"/>		

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)

Section D - Distributions	Current Year
1 Amounts paid to supported organizations to accomplish exempt purposes	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	
4 Amounts paid to acquire exempt-use assets	
5 Qualified set-aside amounts (prior IRS approval required)	
6 Other distributions (describe in Part VI) See instructions	
7 Total annual distributions. Add lines 1 through 6	
8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI) See instructions	
9 Distributable amount for 2015 from Section C, line 6	
10 Line 8 amount divided by Line 9 amount	

Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2015	(iii) Distributable Amount for 2015
1 Distributable amount for 2015 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2015 (reasonable cause required--see instructions)			
3 Excess distributions carryover, if any, to 2015			
a			
b			
c			
d From 2013.			
e From 2014.			
f Total of lines 3a through e			
g Applied to underdistributions of prior years			
h Applied to 2015 distributable amount			
i Carryover from 2010 not applied (see instructions)			
j Remainder Subtract lines 3g, 3h, and 3i from 3f			
4 Distributions for 2015 from Section D, line 7 \$			
a Applied to underdistributions of prior years			
b Applied to 2015 distributable amount			
c Remainder Subtract lines 4a and 4b from 4			
5 Remaining underdistributions for years prior to 2015, if any Subtract lines 3g and 4a from line 2 (if amount greater than zero, see instructions)			
6 Remaining underdistributions for 2015 Subtract lines 3h and 4b from line 1 (if amount greater than zero, see instructions)			
7 Excess distributions carryover to 2016. Add lines 3j and 4c			
8 Breakdown of line 7			
a			
b			
c Excess from 2013.			
d From 2014.			
e From 2015.			

Part VI Supplemental Information.

Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

Return Reference

Explanation

SCHEDULE C
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527
▶Complete if the organization is described below. ▶Attach to Form 990 or Form 990-EZ.
▶Information about Schedule C (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2015

Open to Public Inspection

If the organization answered "Yes" on Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

If the organization answered "Yes" on Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

If the organization answered "Yes" on Form 990, Part IV, Line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then

- Section 501(c)(4), (5), or (6) organizations Complete Part III

Name of the organization THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK	Employer identification number 13-5598093
--	--

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1	Provide a description of the organization's direct and indirect political campaign activities in Part IV	
2	Political expenditures	\$
3	Volunteer hours	

Part I-B Complete if the organization is exempt under section 501(c)(3).

1	Enter the amount of any excise tax incurred by the organization under section 4955	\$
2	Enter the amount of any excise tax incurred by organization managers under section 4955	\$
3	If the organization incurred a section 4955 tax, did it file Form 4720 for this year?	<input type="checkbox"/> Yes <input type="checkbox"/> No
4a	Was a correction made?	<input type="checkbox"/> Yes <input type="checkbox"/> No
b	If "Yes," describe in Part IV	

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1	Enter the amount directly expended by the filing organization for section 527 exempt function activities	\$
2	Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities	\$
3	Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b	\$
4	Did the filing organization file Form 1120-POL for this year?	<input type="checkbox"/> Yes <input type="checkbox"/> No
5	Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments For each organization listed, enter the amount paid from the filing organization's funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV	

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds If none, enter -0-	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0-
2				
3				
4				
5				
6				

Part II-A

Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

A

Check

☐

if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures)

B

Check

☐

if the filing organization checked box A and "limited control" provisions apply

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)		(a) Filing organization's totals	(b) Affiliated group totals												
1a	Total lobbying expenditures to influence public opinion (grass roots lobbying)														
b	Total lobbying expenditures to influence a legislative body (direct lobbying)														
c	Total lobbying expenditures (add lines 1a and 1b)														
d	Other exempt purpose expenditures														
e	Total exempt purpose expenditures (add lines 1c and 1d)														
f	Lobbying nontaxable amount Enter the amount from the following table in both columns														
<table><tr><th>If the amount on line 1e, column (a) or (b) is:</th><th>The lobbying nontaxable amount is:</th></tr><tr><td>Not over \$500,000</td><td>20% of the amount on line 1e</td></tr><tr><td>Over \$500,000 but not over \$1,000,000</td><td>\$100,000 plus 15% of the excess over \$500,000</td></tr><tr><td>Over \$1,000,000 but not over \$1,500,000</td><td>\$175,000 plus 10% of the excess over \$1,000,000</td></tr><tr><td>Over \$1,500,000 but not over \$17,000,000</td><td>\$225,000 plus 5% of the excess over \$1,500,000</td></tr><tr><td>Over \$17,000,000</td><td>\$1,000,000</td></tr></table>		If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000	Over \$17,000,000	\$1,000,000		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:														
Not over \$500,000	20% of the amount on line 1e														
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000														
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000														
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000														
Over \$17,000,000	\$1,000,000														
g	Grassroots nontaxable amount (enter 25% of line 1f)														
h	Subtract line 1g from line 1a If zero or less, enter -0-														
i	Subtract line 1f from line 1c If zero or less, enter -0-														
j	If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?														

☐ Yes

☐ No

4-Year Averaging Period Under section 501(h)

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a)2012	(b)2013	(c)2014	(d)2015	(e) Total
2a Lobbying nontaxable amount					
b Lobbying ceiling amount (150% of line 2a, column(e))					
c Total lobbying expenditures					
d Grassroots nontaxable amount					
e Grassroots ceiling amount (150% of line 2d, column (e))					
f Grassroots lobbying expenditures					

Part II-B

Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes" response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity		(a)		(b)
		Yes	No	Amount
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of			
a	Volunteers?		No	
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?	Yes		
c	Media advertisements?		No	
d	Mailings to members, legislators, or the public?		No	
e	Publications, or published or broadcast statements?		No	
f	Grants to other organizations for lobbying purposes?	Yes		11,935
g	Direct contact with legislators, their staffs, government officials, or a legislative body?	Yes		32,243
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?		No	
i	Other activities?	Yes		465,750
j	Total Add lines 1c through 1i			509,928
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		No	
b	If "Yes," enter the amount of any tax incurred under section 4912			
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A

Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

	Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1
2	Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2
3	Did the organization agree to carry over lobbying and political expenditures from the prior year?	3

Part III-B

Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).	2a	
a	Current year	2b	
b	Carryover from last year	2c	
c	Total	3	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues		
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV

Supplemental Information

Provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, Part II-A (affiliated group list), Part II-A, lines 1 and 2 (see instructions), and Part II-B, line 1 Also, complete this part for any additional information

Return Reference	Explanation
SCHEDULE C, PART II-B	LINE 1A - VOLUNTEERS N/A LINE 1B - PAID STAFF OR MANAGEMENT THE UNIVERSITY HAS OFFICES OF GOVERNMENT AND COMMUNITY AFFAIRS AT BOTH ITS MORNINGSIDE AND MEDICAL CENTER CAMPUSES WHOSE FUNCTION IS TO KEEP THE UNIVERSITY COMMUNITY INFORMED ABOUT NATIONAL, STATE AND LOCAL LEGISLATION AND POLICY THAT HAS AN IMPACT ON THE UNIVERSITY AND, WHEN APPROPRIATE, TO ADVOCATE FOR THE UNIVERSITY'S INTERESTS WITH RESPECT TO THIS POLICY EMPLOYEES REGULARLY INVOLVED IN THESE ACTIVITIES INCLUDE BUT ARE NOT LIMITED TO EXECUTIVE VICE PRESIDENT FOR GOVERNMENT AND COMMUNITY AFFAIRS, ASSOCIATE VICE PRESIDENT FOR GOVERNMENT RELATIONS, ASSISTANT VICE PRESIDENT FOR GOVERNMENT RELATIONS, DEPUTY VICE PRESIDENT AND ASSOCIATE DEAN FOR GOVERNMENT AND COMMUNITY AFFAIRS, COLUMBIA UNIVERSITY MEDICAL CENTER, ASSISTANT VICE PRESIDENT FOR GOVERNMENT AND COMMUNITY AFFAIRS, COLUMBIA UNIVERSITY MEDICAL CENTER, AND PROJECT COORDINATOR FOR GOVERNMENT RELATIONS LINE 1C - MEDIA ADVERTISEMENTS N/A LINE 1D - MAILINGS TO MEMBERS, LEGISLATORS OR THE PUBLIC N/A LINE 1E - PUBLICATIONS, OR PUBLISHED OR BROADCAST STATEMENTS N/A LINE 1F - GRANTS TO OTHER ORGANIZATIONS FOR LOBBYING PURPOSES THE UNIVERSITY BELONGS TO CERTAIN HIGHER EDUCATION TRADE ASSOCIATIONS A SMALL PORTION OF THE MEMBERSHIP FEES PAID TO CERTAIN OF THESE ORGANIZATIONS GOES TO SUPPORT LOBBYING-RELATED ACTIVITIES THESE FEES ARE REGULARLY REPORTED ON THE UNIVERSITY'S LOBBYING DISCLOSURE FILINGS LINE 1G - DIRECT CONTACT WITH LEGISLATORS, THEIR STAFFS, GOVERNMENT OFFICIALS, OR A LEGISLATIVE BODY THE PRESIDENT, THE EXECUTIVE VICE PRESIDENT FOR GOVERNMENT AND COMMUNITY AFFAIRS, THE ASSOCIATE VICE PRESIDENT FOR GOVERNMENT RELATIONS, THE ASSISTANT VICE PRESIDENT FOR PLANNING AND PROJECT COORDINATION, THE ASSISTANT VICE PRESIDENT FOR GOVERNMENT RELATIONS, THE PROJECT COORDINATOR FOR GOVERNMENT RELATIONS, AMONG OTHER COLUMBIA UNIVERSITY EMPLOYEES, CONTACTED FEDERAL, STATE, AND LOCAL LEGISLATORS AND THEIR STAFFS ON HIGHER EDUCATION ISSUES THE EXECUTIVE VICE PRESIDENT FOR HEALTH SCIENCE, THE DEPUTY VICE PRESIDENT AND ASSOCIATE DEAN FOR GOVERNMENT AND COMMUNITY AFFAIRS, COLUMBIA UNIVERSITY MEDICAL CENTER, THE ASSISTANT VICE PRESIDENT FOR GOVERNMENT AND COMMUNITY AFFAIRS, COLUMBIA UNIVERSITY MEDICAL CENTER, AND OTHER COLUMBIA UNIVERSITY MEDICAL CENTER EMPLOYEES CONTACTED FEDERAL, STATE AND LOCAL LEGISLATORS AND THEIR STAFFS ON HIGHER EDUCATION ISSUES, HEALTH SCIENCES ISSUES AND OTHER ISSUES AFFECTING ACADEMIC MEDICAL CENTERS THE AMOUNTS SHOWN ON LINE 1G REFLECT PAID STAFF AND MANAGEMENT ACTIVITIES LINE 1H - RALLIES, DEMONSTRATIONS, SEMINARS, CONVENTIONS, SPEECHES, LECTURES OR ANY OTHER MEANS N/A LINE 1I - OTHER ACTIVITIES DURING THE REPORTING PERIOD, THE UNIVERSITY RETAINED OUTSIDE FIRMS FOR CONSULTING AND ADVOCACY AT THE FEDERAL, STATE AND LOCAL LEVELS OF GOVERNMENT THE AMOUNTS SHOWN ON LINE 1I INCLUDE AMOUNTS PAID TO SUCH OUTSIDE FIRMS FOR ALL ACTIVITIES CONDUCTED BY SUCH FIRMS, INCLUDING CERTAIN OF THE ACTIVITIES DESCRIBED ABOVE AND GENERAL ADVISORY SERVICES TO THE UNIVERSITY IN CONNECTION WITH ITS LOBBYING ACTIVITIES

Name of the organization THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK	Employer identification number 13-5598093
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Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.

Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year	8
2	Aggregate value of contributions to (during year)	6,769,737
3	Aggregate value of grants from (during year)	11,305,000
4	Aggregate value at end of year	52,421,540
5	Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? <div><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</div>	
6	Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? <div><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</div>	

Part II Conservation Easements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

1	Purpose(s) of conservation easements held by the organization (check all that apply) <div><input type="checkbox"/> Preservation of land for public use (e g , recreation or education)<div><input type="checkbox"/> Protection of natural habitat <input type="checkbox"/> Preservation of open space</div><input type="checkbox"/> Preservation of an historically important land area <input type="checkbox"/> Preservation of a certified historic structure</div>	
2	Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year	
a	Total number of conservation easements	
b	Total acreage restricted by conservation easements	
c	Number of conservation easements on a certified historic structure included in (a)	
d	Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	
3	Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ►	
4	Number of states where property subject to conservation easement is located ►	
5	Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? <div><input type="checkbox"/> Yes <input type="checkbox"/> No</div>	
6	Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ►	
7	Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ► \$	
8	Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? <div><input type="checkbox"/> Yes <input type="checkbox"/> No</div>	
9	In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements	

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

1a	If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items	
b	If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items	
(i)	Revenue included on Form 990, Part VIII, line 1	► \$
(ii)	Assets included in Form 990, Part X	► \$
2	If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items	
a	Revenue included on Form 990, Part VIII, line 1	► \$
b	Assets included in Form 990, Part X	► \$

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets

(continued)

3

Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)

a

☒ Public exhibition

b

☒ Scholarly research

c

☒ Preservation for future generations

d

☒ Loan or exchange programs

e

☐ Other

4

Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII

5

During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?

☒ Yes

☐ No

Part IV

Escrow and Custodial Arrangements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a

Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes

☐ No

b

If "Yes," explain the arrangement in Part XIII and complete the following table

c

Beginning balance

d

Additions during the year

e

Distributions during the year

f

Ending balance

	Amount
1c	
1d	
1e	
1f	

2a

Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability?

☐ Yes

☐ No

b

If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII

☐

Part V

Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a)Current year	(b)Prior year	b (c)Two years back	(d)Three years back	(e)Four years back
1a Beginning of year balance	9,639,065,000	9,223,047,000	8,197,880,000	7,654,152,000	7,789,579,000
b Contributions	176,354,000	270,192,000	173,837,000	165,932,000	183,419,000
c Net investment earnings, gains, and losses	-173,840,000	739,891,000	1,424,763,060	871,686,632	161,239,000
d Grants or scholarships	106,989,000	99,309,000	92,209,000	81,338,000	84,742,000
e Other expenditures for facilities and programs	449,177,000	449,275,000	440,268,000	374,447,000	363,392,000
f Administrative expenses	44,386,000	45,481,000	40,956,060	38,105,632	31,951,000
g End of year balance	9,041,027,000	9,639,065,000	9,223,047,000	8,197,880,000	7,654,152,000

2

Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as

a

Board designated or quasi-endowment

36 000 %

b

Permanent endowment

64 000 %

c

Temporarily restricted endowment

0 %

The percentages on lines 2a, 2b, and 2c should equal 100%

3a

Are there endowment funds not in the possession of the organization that are held and administered for the organization by

(i) unrelated organizations

3a(i)

Yes

No

(ii) related organizations

3a(ii)

No

No

b

If "Yes" on 3a(ii), are the related organizations listed as required on Schedule R?

3b

4

Describe in Part XIII the intended uses of the organization's endowment funds

Part VI

Land, Buildings, and Equipment.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a)Cost or other basis (investment)	(b)Cost or other basis (other)	(c)Accumulated depreciation	(d)Book value
1a Land		460,168,000		460,168,000
b Buildings		5,779,334,070	2,359,880,638	3,419,453,432
c Leasehold improvements				
d Equipment		422,366,665	245,783,709	176,582,956
e Other	1,260,689,547		366,729,505	893,960,042
Total. Add lines 1a through 1e (Column (d) must equal Form 990, Part X, column (B), line 10(c))				4,950,164,430

Part VII

Investments—Other Securities. Complete if the organization answered 'Yes' on Form 990, Part IV, line 11b.
See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
(A) GLOBAL EQUITIES	433,104,954	F
(B) ABSOLUTE RETURN STRATEGIES	2,031,622,591	F
(C) PRIVATE EQUITY	1,979,479,425	F
(D) REAL ESTATE ASSETS	1,609,225,231	F
(E) FIXED INCOME	1,189,992	F
Total. (Column (b) must equal Form 990, Part X, col (B) line 12)	6,054,622,193	

Part VIII

Investments—Program Related. Complete if the organization answered 'Yes' on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation Cost or end-of-year market value
Total. (Column (b) must equal Form 990, Part X, col (B) line 13)		

Part IX

Other Assets. Complete if the organization answered 'Yes' on Form 990, Part IV, line 11d. See Form 990, Part X, line 15

(a) Description	(b) Book value
Total. (Column (b) must equal Form 990, Part X, col (B) line 15)	

Part X

Other Liabilities. Complete if the organization answered 'Yes' on Form 990, Part IV, line 11e or 11f.
See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
Federal income taxes	0
LIABILITY FOR SECURITIES PURCH	8,304,575
CAPITAL LEASE OBLIGATIONS	122,946,805
COND'L ASSET RETIREMENT OBLIGA	121,420,003
ACCRUED EMPLOYEE BENEFIT LIAB	210,040,967
FEDERAL STUDENT LOAN FUNDS	85,039,219
ACTUARIAL LIAB- SPLIT INT AGRM	56,168,666
OTHER LONG TERM LIABILITIES	514,767,363
Total. (Column (b) must equal Form 990, Part X, col (B) line 25)	1,118,687,598

2. Liability for uncertain tax positions In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740) Check here if the text of the footnote has been provided in Part XIII ☒

Part XI

Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements		1		
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12				
a	Net unrealized gains (losses) on investments	2a			
b	Donated services and use of facilities	2b			
c	Recoveries of prior year grants	2c			
d	Other (Describe in Part XIII)	2d			
e	Add lines 2a through 2d		2e		
3	Subtract line 2e from line 1		3		
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIII)	4b			
c	Add lines 4a and 4b				4c
5	Total revenue Add lines 3 and 4c.(This must equal Form 990, Part I, line 12)		5		

Part XII

Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements		1		
2	Amounts included on line 1 but not on Form 990, Part IX, line 25				
a	Donated services and use of facilities	2a			
b	Prior year adjustments	2b			
c	Other losses	2c			
d	Other (Describe in Part XIII)	2d			
e	Add lines 2a through 2d		2e		
3	Subtract line 2e from line 1		3		
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIII)	4b			
c	Add lines 4a and 4b				4c
5	Total expenses Add lines 3 and 4c.(This must equal Form 990, Part I, line 18)		5		

Part XIII

Supplemental Information

Provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Return Reference	Explanation
DETAIL OF UNIVERSITY COLLECTIONS/TEXT OF AUDIT FOOTNOTE	SCHEDULE D, PART III, LINES 1A, 2A & 4 COLLECTIONS AT THE UNIVERSITY INCLUDE WORKS OF ART, LITERARY WORKS, HISTORICAL TREASURES, AND ARTIFACTS THAT ARE MAINTAINED IN THE UNIVERSITY'S GALLERIES, LIBRARIES, AND BUILDINGS. THESE COLLECTIONS ARE PROTECTED AND PRESERVED FOR PUBLIC EXHIBITION, EDUCATION, RESEARCH, AND THE FURTHERANCE OF PUBLIC SERVICE AND, THEREFORE, ARE NOT RECOGNIZED AS ASSETS ON THE CONSOLIDATED BALANCE SHEET. COSTS ASSOCIATED WITH PURCHASING ADDITIONS AND MAINTAINING THESE COLLECTIONS ARE RECORDED AS OPERATING EXPENSES IN THE PERIOD IN WHICH THE ITEMS ARE ACQUIRED.

Part XIII **Supplemental Information** *(continued)*

Return Reference	Explanation
FIN 48	SCHEDULE D, PART X, LINE 2 THE UNIVERSITY BELIEVES THAT ITS TAX POSITIONS AS THEY RELATE TO THE IMPACT ON THE FINANCIAL STATEMENTS OF FIN 48 ARE HIGHLY CERTAIN

SCHEDULE E
(Form 990 or
990-EZ)

Department of the
Treasury
Internal Revenue
Service

Schools

►Complete if the organization answered "Yes" on Form 990,
Part IV, line 13, or Form 990-EZ, Part VI, line 48.
► Attach to Form 990 or Form 990-EZ.
► Information about Schedule E (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2015

Open to Public
Inspection

Name of the organization THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK	Employer identification number 13-5598093
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Part I

	YES	NO
1 Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?	Yes	
2 Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?	Yes	
3 Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe If "No," please explain If you need more space use Part II	Yes	
4 Does the organization maintain the following? a Records indicating the racial composition of the student body, faculty, and administrative staff? b Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis? c Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships? d Copies of all material used by the organization or on its behalf to solicit contributions? If you answered "No" to any of the above, please explain If you need more space, use Part II	Yes	
5 Does the organization discriminate by race in any way with respect to a Students' rights or privileges? b Admissions policies? c Employment of faculty or administrative staff? d Scholarships or other financial assistance? e Educational policies? f Use of facilities? g Athletic programs? h Other extracurricular activities? If you answered "Yes" to any of the above, please explain If you need more space, use Part II		No
6a Does the organization receive any financial aid or assistance from a governmental agency?	Yes	
b Has the organization's right to such aid ever been revoked or suspended? If you answered "Yes" to either line 6a or line 6b, explain on Part II		No
7 Does the organization certify that it has complied with the applicable requirements of sections 4 01 through 4 05 of Rev Proc 75-50, 1975-2 C B 587, covering racial nondiscrimination? If "No," explain on Part II	Yes	

Part II Supplemental Information.

Provide the explanations required by Part I, lines 3, 4d, 5h, 6b, and 7, as applicable. Also provide any other additional information (see instructions).

Return Reference	Explanation
SCHEDULE E, LINE 3	COLUMBIA UNIVERSITY CUSTOMARILY DRAWS A SUBSTANTIAL PERCENTAGE OF ITS STUDENTS FROM ACROSS THE NATION AND THE WORLD. ITS EDUCATIONAL PROGRAMS SEEK A BROAD CROSS-SECTION OF STUDENTS FROM THE METROPOLITAN AREA. IT FOLLOWS A RACIALLY NONDISCRIMINATORY POLICY OF ADMISSION AND INCLUDES A STATEMENT OF ITS RACIALLY NONDISCRIMINATORY POLICY TOWARDS STUDENTS IN ALL SCHOOL AND PROGRAM BULLETINS. IT ALSO REFERS TO THIS POLICY IN ALL WRITTEN ADVERTISING. IT THEREFORE MEETS THE CRITERIA UNDER REV. PROC. 75-50, SECTION 4.03-2(B) FOR EXEMPTION FROM THE PUBLICITY REQUIREMENTS OF SECTION 4.03-1.
SCHEDULE E, LINE 6A	COLUMBIA UNIVERSITY RECEIVES FEDERAL, STATE AND LOCAL GOVERNMENTAL FUNDING THAT SUPPORTS ITS CORE INSTRUCTIONAL AND RESEARCH PROGRAMS.

**SCHEDULE F
(Form 990)****Statement of Activities Outside the United States**

OMB No 1545-0047

2015**Open to Public
Inspection**

► Complete if the organization answered "Yes" to Form 990,
Part IV, line 14b, 15, or 16.

► Attach to Form 990.

► Information about Schedule F (Form 990) and its instructions is at www.irs.gov/form990.

Department of the Treasury
Internal Revenue Service

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number

13-5598093

Part I General Information on Activities Outside the United States.

Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

- 1 For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☒ **Yes** ☐ **No**
- 2 For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States
- 3** Activities per Region (The following Part I, line 3 table can be duplicated if additional space is needed)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(d) Activities conducted in region (by type) (e.g., fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for and investments in region
(1) See Add'l Data					
(2)					
(3)					
(4)					
(5)					
3a Sub-total	29	6,096			4,819,544,014
b Total from continuation sheets to Part I	59	3,923			262,612,254
c Totals (add lines 3a and 3b)	88	10,019			5,082,156,268

Part II

Grants and Other Assistance to Organizations or Entities Outside the United States.

Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)	See Add'l Data								
(2)									
(3)									
(4)									
(5)									
(6)									
(7)									
(8)									
(9)									
(10)									
(11)									
(12)									
(13)									
(14)									
(15)									
(16)									

2

Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter ▶

102

3

Enter total number of other organizations or entities ▶

5

Part III **Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 16.
Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
(1)							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							
(13)							
(14)							
(15)							
(16)							
(17)							
(18)							

Part IV Foreign Forms

- 1

Was the organization a U S transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U S Transferor of Property to a Foreign Corporation (see Instructions for Form 926)*

☒ Yes ☐ No
- 2

Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U S Owner (see Instructions for Forms 3520 and 3520-A, do not file with Form 990)*

☒ Yes ☐ No
- 3

Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U S Persons with Respect to Certain Foreign Corporations (see Instructions for Form 5471)*

☒ Yes ☐ No
- 4

Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621)*

☒ Yes ☐ No
- 5

Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U S Persons with Respect to Certain Foreign Partnerships (see Instructions for Form 8865)*

☒ Yes ☐ No
- 6

Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to file Form 5713, International Boycott Report (see Instructions for Form 5713, do not file with Form 990)*

☒ Yes ☐ No

Part V

Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

990 Schedule F, Supplemental Information

Return Reference	Explanation
SUPPLEMENTAL INFORMATION	<p>SCHEDULE F, PART I, LINE 2 ORGANIZATION'S PROCEDURES FOR MONITORING GRANT FUNDS THE UNIVERSITY MAINTAINS A WRITTEN POLICY THAT GOVERNS THE MONITORING OF ALL SPONSORED PROJECT GRANT FUNDING THIS POLICY IS CONTAINED IN THE "SPONSORED PROJECTS HANDBOOK" THE POLICY STATES THAT THE PRIMARY OBLIGATION FOR THE DAY-TO-DAY MANAGEMENT OF SPONSORED PROJECTS AND INSURING COMPLIANCE WITH FEDERAL AND OTHER SPONSOR REGULATIONS IS THE RESPONSIBILITY OF THE PRINCIPAL INVESTIGATOR SUPPORTED, AS NECESSARY, BY HIS OR HER ADMINISTRATIVE STAFF ADDITIONALLY, THE POLICY SPECIFIES THE FOLLOWING MONITORING PROCEDURES - MONTHLY ACCOUNT RECONCILIATION - REVIEW OF SALARY AND BENEFIT CHARGES - REVIEW OF VENDOR INVOICES - REVIEW OF CHARGES INITIATED BY SERVICE OR RECHARGE CENTERS - REVIEW OF FACILITIES AND ADMINISTRATIVE CHARGES - MONITORING OF SUB-AWARDS THROUGH REVIEW OF CHARGES AND TECHNICAL PERFORMANCE REPORTS, CONDUCTING PERIODIC ONSITE VISITS, AND INITIATING AUDITS/REVIEWS WHEN NECESSARY EXPENDITURES RELATED TO FOREIGN ACTIVITY ARE GENERATED FROM A NUMBER OF SCHOOLS AND CENTERS AS WELL AS THROUGH CERTAIN CENTRAL ADMINISTRATIVE ACTIVITY MOST OF THE ACTIVITY RELATES TO U.S. GOVERNMENT FUNDED PROGRAM SERVICES OTHER ACTIVITY INCLUDES FACULTY AND STAFF TRAVEL FOR EDUCATIONAL, RESEARCH, FUNDRAISING AND OTHER PROGRAM SERVICES NOT ALL OF THE OVERSEAS EXPENDITURES ARE SEPARATELY TRACKED AS FOREIGN ACTIVITY THE AMOUNTS REPRESENTED ON SCHEDULE F REPRESENT THE UNIVERSITY'S GOOD FAITH EFFORTS TO CAPTURE FOREIGN ACTIVITY ----- FORM 990, SCHEDULE F, PART I, COLUMN (F) & PART II, LINE 1 COLUMBIA UNIVERSITY ACCOUNTS FOR ALL FOREIGN EXPENDITURES, INCLUDING CASH GRANTS AND NONCASH ASSISTANCE, ON THE ORGANIZATION'S FINANCIAL STATEMENTS IN ACCORDANCE WITH GENERALLY ACCEPTED ACCOUNTING PRINCIPLES IN THE UNITED STATES ----- FORM 990, SCHEDULE F, PART I THE AMOUNTS LISTED ON SCHEDULE F, PART I AS TOTAL EXPENDITURES FOR INVESTMENTS FOR CERTAIN REGIONS INCLUDES BOTH THE BOOK VALUE OF INVESTMENTS AND SEPARATELY TRACKED INVESTMENT EXPENDITURES IN THAT REGION THE BREAKOUT OF THE AMOUNTS ARE AS FOLLOWS 1) CENTRAL AMERICA AND THE CARIBBEAN - BOOK VALUE \$4,070,786,941 2) EAST ASIA AND THE PACIFIC - BOOK VALUE \$391,470,604 AND EXPENDITURES \$226,603 3) EUROPE - BOOK VALUE \$318,794,442 AND EXPENDITURES \$174,757 4) MIDDLE EAST AND NORTH AFRICA - BOOK VALUE \$139,171 5) NORTH AMERICA - BOOK VALUE \$17,661,873 AND EXPENDITURES \$60 6) RUSSIA AND THE NEWLY INDEPENDENT STATES EXPENDITURES \$402 7) SOUTH ASIA EXPENDITURES \$1,168 8) SUB-SAHARAN AFRICA - BOOK VALUE \$91,386,495 -----</p>

Additional Data

Software ID:

Software Version:

EIN: 13-5598093

Name: THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Antarctica	0	6	Program Services	EDUC/RESEARCH/OUTREACH	7,925
Central America and the Caribbean	1	327	Program Services	EDUC/RESEARCH/OUTREACH	1,406,339
Central America and the Caribbean			Grantmaking		227,669

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Central America and the Caribbean			Fundraising		516
Central America and the Caribbean			Investments		4,070,786,941
East Asia and the Pacific	8	1,349	Program Services	EDUC/RESEARCH/OUTREACH	9,140,115

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service (s) in region	(f) Total expenditures for region
East Asia and the Pacific			Grantmaking		1,360,638
East Asia and the Pacific			Fundraising		588,630
East Asia and the Pacific			Investments		391,697,207

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Europe (Including Iceland and Greenland)	15	3,202	Program Services	EDUC/RESEARCH/OUTREACH	18,236,666
Europe (Including Iceland and Greenland)			Grantmaking		2,311,635
Europe (Including Iceland and Greenland)			Fundraising		514,751

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Europe (Including Iceland and Greenland)			Investments		318,969,199
Middle East and North Africa	4	493	Program Services	EDUC/RESEARCH/OUTREACH	2,707,440
Middle East and North Africa			Fundraising		128,694

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Middle East and North Africa			Investments		139,171
North America	1	719	Program Services	EDUC/RESEARCH/OUTREACH	1,320,478
North America			Grantmaking		650,960

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
North America			Fundraising		30,937
North America			Investments		17,661,933
Russia and the Newly Independent States	4	149	Program Services	EDUC/RESEARCH/OUTREACH	3,262,073

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Russia and the Newly Independent States			Grantmaking		271,914
Russia and the Newly Independent States			Fundraising		910
Russia and the Newly Independent States			Investments		402

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
South America	3	655	Program Services	EDUC/RESEARCH/OUTREACH	2,611,335
South America			Grantmaking		366,802
South America			Fundraising		66,214

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
South Asia	4	470	Program Services	EDUC/RESEARCH/OUTREACH	3,294,489
South Asia			Grantmaking		971,819
South Asia			Fundraising		58,111

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
South Asia			Investments		1,168
Sub-Saharan Africa	48	2,649	Program Services	EDUC/RESEARCH/OUTREACH	110,793,813
Sub-Saharan Africa			Grantmaking		31,182,879

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service (s) in region	(f) Total expenditures for region
Sub-Saharan Africa			Investments		91,386,495

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	RESEARCH	1,433,459	Check/Wire		N/A	N/A
		Sub-Saharan Africa	RESEARCH	810,005	Check/Wire		N/A	N/A
		Sub-Saharan Africa	RESEARCH	456,280	Check/Wire		N/A	N/A
		South Asia	RESEARCH	437,303	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	RESEARCH	424,999	Check/Wire		N/A	N/A
		East Asia and the Pacific	RESEARCH	275,940	Check/Wire		N/A	N/A
		Europe	RESEARCH	230,462	Check/Wire		N/A	N/A
		Sub-Saharan Africa	RESEARCH	228,609	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	RESEARCH	228,123	Check/Wire		N/A	N/A
		Sub-Saharan Africa	RESEARCH	226,712	Check/Wire		N/A	N/A
		South America	RESEARCH	220,176	Check/Wire		N/A	N/A
		East Asia and the Pacific	RESEARCH	206,374	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia and the Pacific	RESEARCH	203,670	Check/Wire		N/A	N/A
		East Asia and the Pacific	RESEARCH	199,157	Check/Wire		N/A	N/A
		Europe	RESEARCH	179,544	Check/Wire		N/A	N/A
		South Asia	RESEARCH	175,000	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		North America	RESEARCH	167,801	Check/Wire		N/A	N/A
		Sub-Saharan Africa	RESEARCH	150,868	Check/Wire		N/A	N/A
		Europe	RESEARCH	135,754	Check/Wire		N/A	N/A
		Europe	RESEARCH	131,134	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		South Asia	RESEARCH	128,692	Check/Wire		N/A	N/A
		Europe	RESEARCH	127,708	Check/Wire		N/A	N/A
		East Asia and the Pacific	RESEARCH	115,252	Check/Wire		N/A	N/A
		Europe	RESEARCH	106,571	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	RESEARCH	105,373	Check/Wire		N/A	N/A
		South America	RESEARCH	103,426	Check/Wire		N/A	N/A
		East Asia and the Pacific	RESEARCH	98,569	Check/Wire		N/A	N/A
		Sub-Saharan Africa	RESEARCH	95,779	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		North America	RESEARCH	90,040	Check/Wire		N/A	N/A
		Central America and the Caribbean	RESEARCH	89,345	Check/Wire		N/A	N/A
		North America	RESEARCH	83,274	Check/Wire		N/A	N/A
		Europe	RESEARCH	78,951	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia and the Pacific	RESEARCH	77,482	Check/Wire		N/A	N/A
		Central America and the Caribbean	RESEARCH	72,692	Check/Wire		N/A	N/A
		Central America and the Caribbean	RESEARCH	65,632	Check/Wire		N/A	N/A
		Europe	RESEARCH	60,000	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia and the Pacific	RESEARCH	58,587	Check/Wire		N/A	N/A
		Europe	RESEARCH	52,394	Check/Wire		N/A	N/A
		Europe	RESEARCH	51,000	Check/Wire		N/A	N/A
		Sub-Saharan Africa	RESEARCH	48,987	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	RESEARCH	48,600	Check/Wire		N/A	N/A
		Europe	RESEARCH	47,830	Check/Wire		N/A	N/A
		Europe	RESEARCH	45,629	Check/Wire		N/A	N/A
		Europe	RESEARCH	45,048	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Russia and the Newly Independent States	RESEARCH	43,305	Check/Wire		N/A	N/A
		South America	RESEARCH	43,200	Check/Wire		N/A	N/A
		East Asia and the Pacific	RESEARCH	37,500	Check/Wire		N/A	N/A
		Sub-Saharan Africa	RESEARCH	32,686	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	RESEARCH	26,500	Check/Wire		N/A	N/A
		East Asia and the Pacific	RESEARCH	24,491	Check/Wire		N/A	N/A
		Europe	RESEARCH	24,300	Check/Wire		N/A	N/A
		East Asia and the Pacific	RESEARCH	24,214	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	RESEARCH	23,334	Check/Wire		N/A	N/A
		East Asia and the Pacific	RESEARCH	22,246	Check/Wire		N/A	N/A
		East Asia and the Pacific	RESEARCH	20,950	Check/Wire		N/A	N/A
		Europe	RESEARCH	20,600	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia and the Pacific	RESEARCH	19,804	Check/Wire		N/A	N/A
		Europe	RESEARCH	17,704	Check/Wire		N/A	N/A
		South Asia	RESEARCH	16,628	Check/Wire		N/A	N/A
		North America	RESEARCH	15,198	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	RESEARCH	15,000	Check/Wire		N/A	N/A
		Sub-Saharan Africa	RESEARCH	14,777	Check/Wire		N/A	N/A
		Europe	RESEARCH	14,000	Check/Wire		N/A	N/A
		Sub-Saharan Africa	RESEARCH	13,570	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia and the Pacific	RESEARCH	12,701	Check/Wire		N/A	N/A
		Europe	RESEARCH	10,817	Check/Wire		N/A	N/A
		North America	RESEARCH	10,182	Check/Wire		N/A	N/A
		East Asia and the Pacific	RESEARCH	10,000	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		North America	RESEARCH	8,314	Check/Wire		N/A	N/A
		East Asia and the Pacific	RESEARCH	6,756	Check/Wire		N/A	N/A
		East Asia and the Pacific	RESEARCH	6,585	Check/Wire		N/A	N/A
		Sub-Saharan Africa	RESEARCH	6,426	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	RESEARCH	6,061	Check/Wire		N/A	N/A
		Europe	RESEARCH	6,061	Check/Wire		N/A	N/A
		North America	RESEARCH	5,600	Check/Wire		N/A	N/A
		Europe	RESEARCH	5,460	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	RESEARCH	138,635	Check/Wire		N/A	N/A
		Sub-Saharan Africa	RESEARCH	300,023	Check/Wire		N/A	N/A
		Sub-Saharan Africa	RESEARCH	1,470,391	Check/Wire		N/A	N/A
		Sub-Saharan Africa	RESEARCH	8,672,071	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	RESEARCH	381,685	Check/Wire		N/A	N/A
		Sub-Saharan Africa	RESEARCH	2,850,308	Check/Wire		N/A	N/A
		Sub-Saharan Africa	RESEARCH	48,702	Check/Wire		N/A	N/A
		Sub-Saharan Africa	RESEARCH	784,342	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	RESEARCH	2,384,576	Check/Wire		N/A	N/A
		Sub-Saharan Africa	RESEARCH	894,413	Check/Wire		N/A	N/A
		Sub-Saharan Africa	RESEARCH	1,599,103	Check/Wire		N/A	N/A
		Sub-Saharan Africa	RESEARCH	570,226	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	RESEARCH	1,810,181	Check/Wire		N/A	N/A
		Sub-Saharan Africa	RESEARCH	5,463,956	Check/Wire		N/A	N/A
		Sub-Saharan Africa	RESEARCH			13,786	Equipment	Book Value
		Sub-Saharan Africa	RESEARCH			143,419	Equipment	Book Value

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	RESEARCH			20,660	Equipment	Book Value
		Sub-Saharan Africa	RESEARCH			151,063	Vehicles	Book Value
		Sub-Saharan Africa	RESEARCH			13,235	Materials	Book Value
		Sub-Saharan Africa	RESEARCH			17,017	Equipment	Book Value

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	RESEARCH			17,399	Equipment	Book Value
		Sub-Saharan Africa	RESEARCH			67,569	Equipment	Book Value
		Sub-Saharan Africa	RESEARCH			13,235	Materials	Book Value
		Sub-Saharan Africa	RESEARCH			22,153	Equipment	Book Value

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	RESEARCH			30,624	Vehicles	Book Value
		Sub-Saharan Africa	RESEARCH			5,421	Vehicle	Book Value
		North America	Edu/Research	194,298	CHECK/WIRE		N/A	N/A
		East Asia and the Pacific	EDU/RESEARCH	144,030	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		North America	EDU/RESEARCH	75,000	CHECK/WIRE		N/A	N/A
		Europe (Including Iceland and Greenland)	EDU/RESEARCH	34,193	CHECK/WIRE		N/A	N/A
		South Asia	EDU/RESEARCH	10,526	CHECK/WIRE		N/A	N/A

SCHEDULE G
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information Regarding
Fundraising or Gaming Activities

Complete if the organization answered "Yes" on Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a
▶ Attach to Form 990 or Form 990-EZ
▶ Information about Schedule G (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990

OMB No 1545-0047

2015

Open to Public Inspection

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number
13-5598093

Part I Fundraising Activities.

Complete if the organization answered "Yes" on Form 990, Part IV, line 17.
Form 990-EZ filers are not required to complete this part.

- 1 Indicate whether the organization raised funds through any of the following activities. Check all that apply.
- a ☒ Mail solicitations

e ☒ Solicitation of non-government grants

b ☒ Internet and email solicitations

f ☒ Solicitation of government grants

c ☒ Phone solicitations

g ☒ Special fundraising events

d ☒ In-person solicitations
- 2a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? ☒ Yes ☐ No
- b If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
1 RUFFALO NOEL LEVITZ LLC 1025 KIRKWOOD PARKWAY SW CEDAR RAPIDS, IA 52404	CONSULTING		No	1,321,508	643,215	678,293
2 KIMBIA INC 1050 E 11TH ST SUITE 200 AUSTIN, TX 78702	CONSULTING		No	6,205,167	149,248	6,055,919
3 LESLIE KELLY 40 WITCH LANE ROWAYTON, CT 06853	CONSULTING		No	6,205,167	22,345	6,182,822
4						
5						
6						
7						
8						
9						
10						
Total ▶				13,731,842	814,808	12,917,034

3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

NY

Part II Fundraising Events.

Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

		(a)Event #1	(b)Event #2	(c)Other events	(d)
		ANNUAL DINNER (event type)	AWARDS DINNER (event type)	47 (total number)	Total events (add col (a) through col (c))
Revenue	1 Gross receipts	3,125,865	1,005,702	5,121,248	9,252,815
	2 Less Contributions	3,016,302	928,702	4,374,197	8,319,201
	3 Gross income (line 1 minus line 2)	109,563	77,000	747,051	933,614
Direct Expenses	4 Cash prizes				
	5 Noncash prizes	45	2,800		2,845
	6 Rent/facility costs	162,983	132,009		294,992
	7 Food and beverages	336,992			336,992
	8 Entertainment	1,650			1,650
	9 Other direct expenses	41,650	43,632	747,051	832,333
	10 Direct expense summary Add lines 4 through 9 in column (d) ▶				1,468,812
	11 Net income summary Subtract line 10 from line 3, column (d) ▶				-535,198

Part III Gaming.

Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a)Bingo	(b)Pull tabs/Instant bingo/progressive bingo	(c)Other gaming	(d)
					Total gaming (add col (a) through col (c))
Revenue	1 Gross revenue				
Direct Expenses	2 Cash prizes				
	3 Noncash prizes				
	4 Rent/facility costs				
	5 Other direct expenses				
	6 Volunteer labor	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	
	7 Direct expense summary Add lines 2 through 5 in column (d) ▶				
	8 Net gaming income summary Subtract line 7 from line 1, column (d) ▶				

9 Enter the state(s) in which the organization conducts gaming activities _____

a Is the organization licensed to conduct gaming activities in each of these states? ☐ Yes ☐ No

b If "No," explain _____

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? ☐ Yes ☐ No

b If "Yes," explain _____

11

Does the organization conduct gaming activities with nonmembers?

☐ **Yes** ☐ **No**

12

Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?

☐ **Yes** ☐ **No**

13

Indicate the percentage of gaming activity conducted in

a

The organization's facility

b

An outside facility

13a

%

13b

%

14

Enter the name and address of the person who prepares the organization's gaming/special events books and records

11

Does the organization conduct gaming activities with nonmembers?

☐ **Yes** ☐ **No**

12

Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?

☐ **Yes** ☐ **No**

13

Indicate the percentage of gaming activity conducted in

a

The organization's facility

b

An outside facility

13a

%

13b

%

14

Enter the name and address of the person who prepares the organization's gaming/special events books and records

Name ▶

Address ▶

15a

Does the organization have a contract with a third party from whom the organization receives gaming revenue?

☐ **Yes** ☐ **No**

b

If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ _____ and the amount of gaming revenue retained by the third party ▶ \$ _____

c

If "Yes," enter name and address of the third party

Name ▶

Address ▶

16

Gaming manager information

Name ▶

Gaming manager compensation ▶ \$

Description of services provided ▶

☐ **Director/officer**

☐ **Employee**

☐ **Independent contractor**

17

Mandatory distributions

a

Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?

☐ **Yes** ☐ **No**

b

Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$ _____

Part IV

Supplemental Information. Provide the explanations required by Part I, line 2b, columns (iii) and (v); and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also complete this part to provide any additional information (see instructions).

Return Reference	Explanation
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Schedule G (Form 990 or 990-EZ) 2015

2015

Open to Public Inspection

13-5598093

Schedule I (Form 990) 2015

Part III

Grants and Other Assistance to Domestic Individuals. Complete if the organization answered "Yes" on Form 990, Part IV, line 22
Part III can be duplicated if additional space is needed

(a)Type of grant or assistance	(b)Number of recipients	(c)Amount of cash grant	(d)Amount of non-cash assistance	(e)Method of valuation (book, FMV, appraisal, other)	(f)Description of non-cash assistance
(1) CCS NANCY RUPP SCHOLARSHIP	2	6,000			N/A
STUDENT TUITION GRANTS AND (2) SCHOLARSHIPS	26151	472,204,783			N/A

Part IV

Supplemental Information. Provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

Return Reference	Explanation
DESCRIPTION OF ORGANIZATION'S PROCEDURES FOR MONITORING THE USE OF GRANTS	FORM 990, SCHEDULE I THE UNIVERSITY MAINTAINS A WRITTEN POLICY THAT GOVERNS THE MONITORING OF THE USE OF SPONSORED PROJECT GRANT FUNDING BY SUBRECIPIENTS THE POLICY MANDATES MONITORING IN TWO AREAS (A) SUBRECIPIENT INSTITUTION COMPLIANCE WITH THE AUDITING REQUIREMENTS OF OMB CIRCULAR A-133, AND (B) SUBRECIPIENT INSTITUTION'S APPROPRIATE MANAGEMENT OF SUBAWARD THE UNIVERSITY'S SPONSORED PROJECTS FINANCE GROUP COLLECTS A-133 REPORTS FROM SUBRECIPIENT INSTITUTIONS, AND THE PRINCIPAL INVESTIGATORS CARRY OUT THE SECOND TYPE OF MONITORING, PRINCIPALLY BY - ROUTINELY GATHERING AND REVIEWING TECHNICAL PERFORMANCE REPORTS, - ROUTINELY REVIEWING INVOICES AND EXPENSES RELATIVE TO BUDGET, - CONDUCTING PERIODIC ON-SITE VISITS, WHEN NECESSARY, AND - INITIATING AUDITS, WHEN NECESSARY

Additional Data

Software ID:
Software Version:
EIN: 13-5598093
Name: THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF CHICAGO	36-2177139	501(C)(3)	3,435,015		N/A	N/A	RESEARCH
UNIVERSITY OF CALIFORNIA SAN DIEGO	95-6006144	501(C)(3)	3,106,531		N/A	N/A	RESEARCH
Research Foundation for Mental Hygiene	14-1410842	501(C)(3)	2,818,302		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
RESEARCH FOUNDATION OF THE STATE UNIV OF NY	14-1368361	501(C)(3)	2,571,765		N/A	N/A	RESEARCH
UNIVERSITY OF MICHIGAN 2800 PLYMOUTH ROAD ANN ARBOR, MI 48109	38-6006309	501(C)(3)	2,527,750		N/A	N/A	RESEARCH
CORNELL UNIVERSITY MEDICAL COLLEGE 575 LEXINGTON AVENUE NEW YORK NY New York, NY 10022	13-1623978	501(C)(3)	2,194,066		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
HARVARD UNIVERSITY	04-2103580	501(C)(3)	1,804,432		N/A	N/A	RESEARCH
UNIVERSITY OF PUERTO RICO	66-0433762	501(C)(3)	1,634,385		N/A	N/A	RESEARCH
UNIVERSITY OF PENNSYLVANIA	23-1352685	501(C)(3)	1,617,311		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ICAHN SCHOOL OF MEDICINE AT MOUNT SINAI	13-6171197	501(C)(3)	1,593,787		N/A	N/A	RESEARCH
MASSACHUSETTS INSTITUTE OF TECHNOLOGY	04-2103594	501(C)(3)	1,527,752		N/A	N/A	RESEARCH
UNIVERSITY OF WASHINGTON	91-6001537	501(C)(3)	1,511,670		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
FEDERATION OF STATE HUMANITIES COUNCILS	35-1409894	501(C)(3)	1,204,889		N/A	N/A	RESEARCH
YALE UNIVERSITY PO BOX 1873 NEW HAVEN CT 06508 NEW HAVEN, CT 06508	06-0646973	501(C)(3)	1,232,666		N/A	N/A	RESEARCH
BOSTON UNIVERSITY	04-2103547	501(C)(3)	1,200,547		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
STANFORD UNIVERSITY	94-1156365	501(C)(3)	1,118,283		N/A	N/A	RESEARCH
NEW YORK UNIVERSITY	13-5562308	501(C)(3)	1,105,996		N/A	N/A	RESEARCH
UNIVERSITY OF MIAMI	59-0624458	501(C)(3)	1,077,659		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF NORTH CAROLINA	56-6001393	501(C)(3)	985,051		N/A	N/A	RESEARCH
UNIVERSITY OF ARIZONA	86-6004791	501(C)(3)	959,242		N/A	N/A	RESEARCH
NORTHWESTERN UNIVERSITY	36-2167817	501(C)(3)	902,381		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
RUTGERS UNIVERSITY	22-6001086	501(C)(3)	871,417		N/A	N/A	RESEARCH
NEW YORK UNIVERSITY MEDICAL CENTER PO BOX 415026 BOSTON MA 02241 BOSTON, MA 02241	13-5562309	501(C)(3)	802,561		N/A	N/A	RESEARCH
JOHNS HOPKINS UNIVERSITY	52-0595110	501(C)(3)	782,082		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
WOODS HOLE OCEANOGRAPHIC INSTITUTION	04-2105850	501(C)(3)	755,066		N/A	N/A	RESEARCH
EMORY UNIVERSITY	58-0566256	501(C)(3)	731,099		N/A	N/A	RESEARCH
RESEARCH FOUNDATION OF THE CITY UNIV OF NY	13-1988190	501(C)(3)	722,271		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
DUKE UNIVERSITY	56-0532129	501(C)(3)	719,092		N/A	N/A	RESEARCH
Christiana Care Health System	51-0103684	501(C)(3)	678,551		N/A	N/A	RESEARCH
UNIVERSITY OF PITTSBURGH	25-0965591	501(C)(3)	630,575		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
MILLENNIUM PROMISE ALLIANCE	20-3042135	501(C)(3)	605,838		N/A	N/A	RESEARCH
MASSACHUSETTS GENERAL HOSPITAL	04-2697983	501(C)(3)	573,858		N/A	N/A	RESEARCH
RUTGERS THE STATE UNIVERSITY OF NJ	46-2354111	501(C)(3)	552,035		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
GEORGE WASHINGTON UNIVERSITY	53-0196584	501(C)(3)	547,602		N/A	N/A	RESEARCH
MEMORIAL SLOAN-KETTERING CANCER CENTER	13-1924236	501(C)(3)	522,940		N/A	N/A	RESEARCH
Health Research Incorporated	14-1402155	501(C)(3)	511,164		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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UNIVERSITY OF NEW MEXICO	85-6000642	501(C)(3)	498,576		N/A	N/A	RESEARCH
SWOG CLINICAL TRIALS INITIATIVE LLC	26-1146046	501(C)(3)	488,049		N/A	N/A	RESEARCH
UNIVERSITY OF ALABAMA AT BIRMINGHAM	63-6005396	501(C)(3)	478,717		N/A	N/A	RESEARCH

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TEACHERS COLLEGE	13-1624202	501(C)(3)	456,385		N/A	N/A	RESEARCH
Albany Medical CenterCollege	14-1338310	501(C)(3)	447,295		N/A	N/A	RESEARCH
UNIVERSITY OF CALIFORNIA BERKELEY	94-6002123	501(C)(3)	432,184		N/A	N/A	RESEARCH

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MICHIGAN STATE UNIVERSITY	38-6005984	501(C)(3)	417,898		N/A	N/A	RESEARCH
HOSPITAL FOR SPECIAL SURGERY	13-1624135	501(C)(3)	415,772		N/A	N/A	RESEARCH
VANDERBILT UNIVERSITY	62-0476822	501(C)(3)	402,381		N/A	N/A	RESEARCH

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CORNELL UNIVERSITY	15-0532082	501(C)(3)	399,643		N/A	N/A	RESEARCH
REGENTS OF THE UNIV OF CALIFORNIA AT IRVINE	95-2226406	501(C)(3)	399,317		N/A	N/A	RESEARCH
GEORGETOWN UNIVERSITY	53-0196603	501(C)(3)	393,933		N/A	N/A	RESEARCH

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MARY IMOGENE BASSETT HOPSITAL	13-5596796	501(C)(3)	381,019		N/A	N/A	RESEARCH
Beth Israel Deaconess Medical Center	04-2103881	501(C)(3)	378,887		N/A	N/A	RESEARCH
UNIVERSITY OF UTAH 201 S PRESIDENTS CIR SALT LAKE CITY SALT LAKE CITY, UT 84112	87-6000525	501(C)(3)	365,760		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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MAYO CLINIC IN ROCHESTER	41-6011702	501(C)(3)	362,310		N/A	N/A	RESEARCH
SAINT PETERS UNIVERSITY HOSPITAL	22-1487330	501(C)(3)	354,882		N/A	N/A	RESEARCH
Saint Lukes Roosevelt Hospital Center	13-2997301	501(C)(3)	347,974		N/A	N/A	RESEARCH

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Childrens Health Fund 215 West 125th St Ste 301 New York NEW YORK, NY 10027	13-3468427	501(C)(3)	345,800		N/A	N/A	RESEARCH
NEW YORK BLOOD CENTER	13-1949477	501(C)(3)	344,031		N/A	N/A	RESEARCH
JHPIEGO CORPORATION	23-7424444	501(C)(3)	326,238		N/A	N/A	RESEARCH

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BRANDEIS UNIVERSITY	04-2103552	501(C)(3)	325,443		N/A	N/A	RESEARCH
UNIVERSITY OF IOWA BUS OFFC B5 JESSUP HALL IOWA CITY IOWA CITY,IA 52242	42-6004813	501(C)(3)	317,543		N/A	N/A	RESEARCH
UNIVERSITY OF FLORIDA	59-6002052	501(C)(3)	310,143		N/A	N/A	RESEARCH

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UNIVERSITY OF TEXAS AT AUSTIN	74-6000203	501(C)(3)	309,233		N/A	N/A	RESEARCH
BAYLOR COLLEGE OF MEDICINE	74-1613878	501(C)(3)	303,934		N/A	N/A	RESEARCH
NEW YORK MEDICAL COLLEGE	13-1099420	501(C)(3)	303,685		N/A	N/A	RESEARCH

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UNIVERSITY OF CALIFORNIA LOS ANGELES	95-6006143	501(C)(3)	289,079		N/A	N/A	RESEARCH
HARLEM UNITED COMMUNITY AIDS CENTER INC	13-3461695	501(C)(3)	270,730		N/A	N/A	RESEARCH
UNIVERSITY OF MASSACHUSETTS	04-3167352	501(C)(3)	266,809		N/A	N/A	RESEARCH

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KAISER PERMANENTE	94-1105628	501(C)(3)	246,362		N/A	N/A	RESEARCH
MONTEFIORE MEDICAL CENTER	13-1740114	501(C)(3)	241,010		N/A	N/A	RESEARCH
UNIVERSITY OF CALIFORNIA AT SAN FRANCISCO ACT OFC EMF 0897 SAN FRANCISCO CA San Francisco, CA 94143	94-6036493	501(C)(3)	239,345		N/A	N/A	RESEARCH

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OREGON HEALTH AND SCIENCES UNIV	93-1176109	501(C)(3)	234,604		N/A	N/A	RESEARCH
Hebrew Home for the Aged at Riverdale	13-1739971	501(C)(3)	229,472		N/A	N/A	RESEARCH
Clinical Directors Network Inc	14-1717344	501(C)(3)	229,243		N/A	N/A	RESEARCH

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Cicatelli Associates Inc 505 8TH AVE 16TH FL NY NY 10018 NEW YORK, NY 10018	13-3020576	501(C)(3)	226,149		N/A	N/A	RESEARCH
BERMUDA INSTITUTE OF OCEAN SCIENCES	06-0706038	501(C)(3)	221,168		N/A	N/A	RESEARCH
PENNSYLVANIA STATE UNIVERSITY	24-6000376	501(C)(3)	214,997		N/A	N/A	RESEARCH

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OREGON STATE UNIVERSITY	48-1278540	501(C)(3)	207,142		N/A	N/A	RESEARCH
FEINSTEIN INSTITUTE FOR MEDICAL RESEARCH	11-2673595	501(C)(3)	206,701		N/A	N/A	RESEARCH
World Vision Inc	95-1922279	501(C)(3)	202,485		N/A	N/A	RESEARCH

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Research Triangle Institute	56-0686338	501(C)(3)	187,783		N/A	N/A	RESEARCH
ARIZONA STATE UNIVERSITY	86-0196696	501(C)(3)	180,943		N/A	N/A	RESEARCH
NORTHERN ILLINOIS UNIVERSITY	36-6008480	501(C)(3)	174,295		N/A	N/A	RESEARCH

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TRUSTEES OF TUFTS COLLEGE	04-2103634	501(C)(3)	173,858		N/A	N/A	RESEARCH
FAMILY HEALTH INTERNATIONAL	23-7413005	501(C)(3)	168,913		N/A	N/A	RESEARCH
WEST HARLEM ENVIRONMENTAL ACTION INC 1854 AMSTERDAM AVE 2ND FL NY NY 1 NEW YORK, NY 10031	13-3800068	501(C)(3)	168,163		N/A	N/A	RESEARCH

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VIRGINIA INSTITUTE OF MARINE SCIENCE	54-6001802	501(C)(3)	167,802		N/A	N/A	RESEARCH
DREXEL UNIVERSITY PO BOX 95000-1090 PHILADELPHIA PA PHILADELPHIA, PA 19195	23-1352630	501(C)(3)	165,708		N/A	N/A	RESEARCH
CONSORTIUM FOR OCEAN LEADERSHIP	00-0162567	501(C)(3)	164,904		N/A	N/A	RESEARCH

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COM AFFILIATION INC	47-2209056	501(C)(3)	164,537		N/A	N/A	RESEARCH
NEW YORK CITY HEALTH AND HOSPITALS CORP 125 WORTH ST STE 429 NEW YORK NY NEW YORK, NY 10013	13-2655001	501(C)(3)	162,447		N/A	N/A	RESEARCH
HEALTHPARTNERS INST FOR EDUCATION AND RSCH	41-1670163	501(C)(3)	156,400		N/A	N/A	RESEARCH

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Rand Corporation	95-1958142	501(C)(3)	152,874		N/A	N/A	RESEARCH
THE BRIGHAM AND WOMENS HOSPITAL INC	04-2312909	501(C)(3)	150,779		N/A	N/A	RESEARCH
DREXEL UNIVERSITY COLLEGE OF MEDICINE	23-2979433	501(C)(3)	149,364		N/A	N/A	RESEARCH

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REGENTS OF THE UNIVERSITY OF MINNESOTA	41-6007513	501(C)(3)	147,895		N/A	N/A	RESEARCH
MAINE MEDICAL CENTER	01-0238552	501(C)(3)	147,822		N/A	N/A	RESEARCH
UNIVERSITY OF SOUTHERN CALIFORNIA	95-1642394	501(C)(3)	146,021		N/A	N/A	RESEARCH

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UNIVERSITY OF KANSAS	48-0680117	501(C)(3)	145,774		N/A	N/A	RESEARCH
PRINCETON UNIVERSITY	21-0634501	501(C)(3)	144,324		N/A	N/A	RESEARCH
RUSH UNIVERSITY MEDICAL CENTER	36-2174823	501(C)(3)	144,301		N/A	N/A	RESEARCH

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CHILDRENS HOSPITAL OF LOS ANGELES	95-1690977	501(C)(3)	142,872		N/A	N/A	RESEARCH
FLORIDA STATE UNIVERSITY	59-1961248	501(C)(3)	141,034		N/A	N/A	RESEARCH
YESHIVA UNIV ALBERT EINSTEIN CLG OF MED 1300 MORRIS PARK AVE BRONX NY 1046 BRONX, NY 10461	13-1624225	501(C)(3)	165,585		N/A	N/A	RESEARCH

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UNIVERSITY OF ALASKA AT FAIRBANKS	92-6000147	501(C)(3)	136,267		N/A	N/A	RESEARCH
UNIVERSITY OF COLORADO	84-6000555	501(C)(3)	134,364		N/A	N/A	RESEARCH
NEW YORK ACADEMY OF MEDICINE 1216 FIFTH AVENUE NEW YORK NY 1002 NEW YORK, NY 10029	13-1656674	501(C)(3)	158,400		N/A	N/A	RESEARCH

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RICE UNIVERSITY	74-1109620	501(C)(3)	130,230		N/A	N/A	RESEARCH
American Museum of Natural History	13-6162659	501(C)(3)	129,261		N/A	N/A	RESEARCH
BROWN UNIVERSITY	05-0258804	501(C)(3)	128,646		N/A	N/A	RESEARCH

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TGEN	75-3065445	501(C)(3)	128,386		N/A	N/A	RESEARCH
SAVE THE CHILDREN 501 KINGS HWY E STE 400 FAIRFIELD FAIRFIELD, CT 06825	06-0726487	501(C)(3)	127,900		N/A	N/A	RESEARCH
UNIVERSITY OF VIRGINIA	54-6046419	501(C)(3)	127,073		N/A	N/A	RESEARCH

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Cook for Your Life	26-0525634	501(C)(3)	126,690		N/A	N/A	RESEARCH
Schepens Eye Research Institute	04-2129889	501(C)(3)	126,617		N/A	N/A	RESEARCH
FRONTIER SCIENCE AND TECHNOLOGY RSCH FD INC 4033 MAPLE ROAD AMHERST NY 14226 AMHERST, NY 14226	16-1056814	501(C)(3)	124,252		N/A	N/A	RESEARCH

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INST FOR ADVANCED STUDY	21-0634988	501(C)(3)	121,068		N/A	N/A	RESEARCH
HASTINGS CTR	13-2662222	501(C)(3)	116,841		N/A	N/A	RESEARCH
SAN DIEGO STATE UNIVERSITY FOUNDATION	95-6042721	501(C)(3)	115,490		N/A	N/A	RESEARCH

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GEORGIA INSTITUTE OF TECHNOLOGY	58-0603146	501(C)(3)	113,446		N/A	N/A	RESEARCH
Garden State Infectious Disease Assoc	22-3310855	501(C)(3)	112,701		N/A	N/A	RESEARCH
SRVS & ADVCY FOR GAY LESBIAN BISEXUAL TRNSG	13-2947657	501(C)(3)	112,580		N/A	N/A	RESEARCH

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George Mason University 4400 UNIVERSITY DR MS 2E1 FAIRFAX FAIRFAX,VA 22030	54-0836354	501(C)(3)	110,764		N/A	N/A	RESEARCH
Iowa State University	42-6004224	501(C)(3)	108,492		N/A	N/A	RESEARCH
PATHWAYS TO HOUSING PA	45-2612118	501(C)(3)	105,960		N/A	N/A	EDUC/RESEARCH

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TEMPLE UNIVERSITY	23-1365971	501(C)(3)	105,190		N/A	N/A	RESEARCH
RIVERSIDE RESEARCH INSTITUTE	13-2593244	501(C)(3)	104,061		N/A	N/A	EDUC/RESEARCH
FOX CHASE CANCER CENTER	23-2003072	501(C)(3)	103,983		N/A	N/A	financial aid

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NEW YORK STRUCTURAL BIOLOGY CTR INC	13-4043587	501(C)(3)	103,846		N/A	N/A	SCHOLARSHIP/FIN AID
CASA COLUMBIA	52-1736502	501(C)(3)	98,930		N/A	N/A	PROGRAM SVCS
CALIFORNIA INSTITUTE OF TECHNOLOGY	95-1643307	501(C)(3)	97,428		N/A	N/A	SCHOLARSHIP/FIN AID

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Southern Methodist University	75-0800689	501(C)(3)	97,390		N/A	N/A	FOOD AND PROGRAM SVS
BROOKHAVEN NATIONAL LABORATORY	11-1630900	501(C)(3)	92,819		N/A	N/A	SCHOLARSHIP/FIN AID
TEXAS A&M INSTITUTE 400 H MITCHELL S 300 COL STATION COLLEGE STATION, TX 77845	74-6000541	501(C)(3)	92,307		N/A	N/A	TUITION

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INDIANA UNIVERSITY PO BOX 66271 INDIANAPOLIS, IN 46266	35-6001673	501(C)(3)	90,237		N/A	N/A	FINANCIAL AID
Cancer Prevention Institute of California	23-7427232	501(C)(3)	90,133		N/A	N/A	PROGRAM SVCS
BETH ISRAEL MEDICAL CENTER	13-5564934	501(C)(3)	88,089		N/A	N/A	EDUC PROGRAMS

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UNIVERSITY OF MARYLAND	52-6002033	501(C)(3)	84,386		N/A	N/A	SCHOLARSHIP/FIN AID
UNIVERSITY OF NOTRE DAME	35-0868188	501(C)(3)	83,886		N/A	N/A	SCHOLARSHIP/FIN AID
STEVENS INSTITUTE OF TECHNOLOGY	22-1487354	501(C)(3)	83,716		N/A	N/A	SCHOLARSHIP/FIN AID

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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BARNARD COLLEGE 3009 BROADWAY NEW YORK NY 10027 NEW YORK, NY 10027	13-1628149	501(C)(3)	143,139		N/A	N/A	SCHOLARSHIP/FIN AID
PHYSICIANS FOR REPRODUCTIVE HEALTH INC	13-3693391	501(C)(3)	79,917		N/A	N/A	EDUC PROGRAMS
GEISINGER HEALTH SYSTEM	23-6291113	501(C)(3)	77,085		N/A	N/A	FOOD AND EQUIP

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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Dana-Farber Cancer Institute	04-2263040	501(C)(3)	76,381		N/A	N/A	PROGRAM SVCS
NATIONAL CENTER FOR ACCESS TO JUSTICE	27-4250853	501(C)(3)	75,000		N/A	N/A	EQUIPMENT
ROCHESTER INSTITUTE OF TECHNOLOGY	16-0743140	501(C)(3)	72,551		N/A	N/A	FOOD AND EQUIP

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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FRED HUTCHINSON CANCER RESEARCH CENTER	23-7156071	501(C)(3)	72,355		N/A	N/A	PROGRAM SVCS
UNIVERSITY OF ROCHESTER	16-0743209	501(C)(3)	71,053		N/A	N/A	TUITION
CANCER RESEARCH AND BIOSTATISTICS	91-1828539	501(C)(3)	68,137		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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POLAR OCEANS RESEARCH GROUP	81-0536140	501(C)(3)	67,614		N/A	N/A	
St Lukes Roosevelt Institute for Hlth Sci	13-2914343	501(C)(3)	66,347		N/A	N/A	PROGRAM SVCS
FUNDACONCIENCIA INC	27-0824212	501(C)(3)	66,110		N/A	N/A	PROGRAM SVCS

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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CHILDRENS HOSPITAL BOSTON	04-2774441	501(C)(3)	65,935		N/A	N/A	RESEARCH
BIGELOW LABORATORY FOR OCEAN SCIENCES	01-6006001	501(C)(3)	65,829		N/A	N/A	EDUC/RESEARCH
NORTHERN MANHATTAN IMPROVEMENT	13-2972415	501(C)(3)	64,576		N/A	N/A	EDUC/RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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Creighton University	47-0376583	501(C)(3)	62,723		N/A	N/A	EDUC/RESEARCH
UNIVERSITY OF ILLINOIS	37-6000511	501(C)(3)	62,465		N/A	N/A	EDUC/RESEARCH
GROUND TRUTH TREKKING	20-8537847	501(C)(3)	61,919		N/A	N/A	EDUC/RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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UNIVERSITY OF CALIFORNIA DAVIS	95-6006143	501(C)(3)	61,905		N/A	N/A	EDUC/RESEARCH
EL CAMINO HOSPITAL	94-3167314	501(C)(3)	60,000		N/A	N/A	EDUC/RESEARCH
RIVERSTONE SENIOR LIFE SERV	13-3355074	501(C)(3)	59,316		N/A	N/A	EDUC/RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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NORTHEASTERN UNIV	04-1679980	501(C)(3)	59,113		N/A	N/A	EDUC/RESEARCH
ECOHEALTH ALLIANCE 460 WEST 34TH ST 17TH FL NEW YORK NEW YORK, NY 10001	31-1726494	501(C)(3)	58,903		N/A	N/A	EDUC/RESEARCH
CHILDREN'S HOSPITAL MEDICAL CENTER	34-0714357	501(C)(3)	57,863		N/A	N/A	EDUC/RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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PURDUE UNIVERSITY	35-6002041	501(C)(3)	57,560		N/A	N/A	EDUC/RESEARCH
Callen-Lorde Community Health Center	13-3409680	501(C)(3)	55,421		N/A	N/A	EDUC/RESEARCH
Parallax Center Inc	13-3501469	501(C)(3)	54,868		N/A	N/A	EDUC/RESEARCH

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Hektoen Institute of Medicine	36-2244897	501(C)(3)	53,705		N/A	N/A	EDUC/RESEARCH
Seattle Childrens Hospital	91-0564748	501(C)(3)	52,899		N/A	N/A	EDUC/RESEARCH
RHODE ISLAND HOSPITAL 1 HOPPIN ST BX 421300 PROVIDENCE PROVIDENCE, RI 02903	05-0258954	501(C)(3)	51,408		N/A	N/A	EDUC/RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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Public Health Solutions	13-5669201	501(C)(3)	51,281		N/A	N/A	EDUC/RESEARCH
FORSYTH INST	04-2104230	501(C)(3)	51,110		N/A	N/A	EDUC/RESEARCH
OHIO STATE UNIVERSITY RESEARCH FND	31-6025986	501(C)(3)	51,102		N/A	N/A	EDUC/RESEARCH

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PUBLIC SQUARE PARTNERSHIP	46-4572655	501(C)(3)	49,998		N/A	N/A	EDUC/RESEARCH
UNC WILMINGTON	56-1258660	501(C)(3)	49,074		N/A	N/A	EDUC/RESEARCH
OFM RESEARCH INC	57-1222227	501(C)(3)	46,940		N/A	N/A	EDUC/RESEARCH

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Seattle Inst for Biomedical and Clinical Rsrc 1660 S COLUMBIAN WAY SEATTLE WA 98 Seattle, WA 98108	91-1452438	501(C)(3)	46,667		N/A	N/A	EDUC/RESEARCH
WESTERN MICHIGAN UNIVERSITY	38-6007327	501(C)(3)	45,601		N/A	N/A	EDUC/RESEARCH
NATIONAL OPINION RESEARCH CENTER	36-2167808	501(C)(3)	45,019		N/A	N/A	EDUC/RESEARCH

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LOUISIANA STATE UNIVERSITY	72-6000848	501(C)(3)	45,004		N/A	N/A	EDUC/RESEARCH
NEW YORK STATE ASOC OF COUNTY HLT OFFICIALS 1 UNITED WY PINE W PLAZA ALBANY N Albany, NY 12205	14-1747742	501(C)(3)	44,987		N/A	N/A	EDUC/RESEARCH
WASHINGTON UNIVERSITY ST LOUIS	43-0653611	501(C)(3)	44,903		N/A	N/A	EDUC/RESEARCH

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UNIV OF TEXAS AT ARLINGTON	75-6000121	501(C)(3)	42,250		N/A	N/A	EDUC/RESEARCH
University of California at Santa Cruz	94-1539563	501(C)(3)	40,101		N/A	N/A	EDUC/RESEARCH
MCLAUGHLIN RESEARCH INSTITUTE	81-0459235	501(C)(3)	39,748		N/A	N/A	EDUC/RESEARCH

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LOVELACE BIOMEDICAL AND ENVIR RSCH INST	51-0154068	501(C)(3)	39,496		N/A	N/A	EDUC/RESEARCH
COMMUNITY LEAGUE OF THE HEIGHTS INC 500 W 159TH STREET NEW YORK NY 100 NEW YORK, NY 10032	13-2564241	501(C)(3)	37,961		N/A	N/A	EDUC/RESEARCH
New York Stem Cell Foundation 1995 BROADWAY STE 600 NEW YORK NY NEW YORK, NY 10023	20-2905531	501(C)(3)	61,447		N/A	N/A	EDUC/RESEARCH

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HUGO W MOSER RESEARCH INSTITUTE	52-1524967	501(C)(3)	33,928		N/A	N/A	EDUC/RESEARCH
THE BRIDGE INC	13-1919799	501(C)(3)	33,418		N/A	N/A	EDUC/RESEARCH
BRONX RIVER ALLIANCE INC	75-3001587	501(C)(3)	33,296		N/A	N/A	EDUC/RESEARCH

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J CRAIG VENTER INSTITUTE	52-1842938	501(C)(3)	33,095		N/A	N/A	EDUC/RESEARCH
UNIVERSITY OF DELAWARE	51-6000297	501(C)(3)	32,787		N/A	N/A	EDUC/RESEARCH
UNIVERSITY OF NEW HAMPSHIRE	02-6000937	501(C)(3)	31,132		N/A	N/A	EDUC/RESEARCH

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UNIVERSITY OF CALIFORNIA SANTA BARBARA	95-6006145	501(C)(3)	30,320		N/A	N/A	EDUC/RESEARCH
MIAMI UNIVERSITY	31-6402089	501(C)(3)	30,253		N/A	N/A	EDUC/RESEARCH
UNIVERSITY OF TEXAS HSC HOUSTON	74-1761309	501(C)(3)	29,803		N/A	N/A	EDUC/RESEARCH

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UNIVERSITY OF MAINE	01-6000769	501(C)(3)	27,011		N/A	N/A	EDUC/RESEARCH
WAYNE STATE UNIV	38-6028429	501(C)(3)	26,992		N/A	N/A	EDUC/RESEARCH
LOYOLA UNIVERSITY OF CHICAGO	36-1408475	501(C)(3)	26,063		N/A	N/A	EDUC/RESEARCH

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New York Hospital Of Queens	11-1839362	501(C)(3)	25,635		N/A	N/A	EDUC/RESEARCH
ADELPHI UNIVERSITY	11-1630741	501(C)(3)	25,355		N/A	N/A	EDUC/RESEARCH
Raritan Bay Medical Center	22-1494442	501(C)(3)	25,092		N/A	N/A	EDUC/RESEARCH

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Rensselaer Polytechnic Institute	14-1340095	501(C)(3)	23,346		N/A	N/A	EDUC/RESEARCH
LOMA LINDA UNIVERSITY	95-1816009	501(C)(3)	22,767		N/A	N/A	EDUC/RESEARCH
VALLEY HOSPITAL	22-1487307	501(C)(3)	22,200		N/A	N/A	EDUC/RESEARCH

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THE POPULATION COUNCIL INC	13-1687001	501(C)(3)	22,018		N/A	N/A	EDUC/RESEARCH
Research Inst at Nationwide Childrens Hosp 700 CHILDREN S DRIVE COLUMBUS OH 4 COLUMBUS, OH 43205	31-6056230	501(C)(3)	21,793		N/A	N/A	EDUC/RESEARCH
UNIVERSITY OF SOUTH CAROLINA	57-0967350	501(C)(3)	21,550		N/A	N/A	EDUC/RESEARCH

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PROJECT HOME	23-2555950	501(C)(3)	21,332		N/A	N/A	EDUC/RESEARCH
THE UNIVERSITY OF TEXAS	74-6001118	501(C)(3)	21,209		N/A	N/A	EDUC/RESEARCH
WOMENS REFUGEE COMMISSION INC	46-3668128	501(C)(3)	20,000		N/A	N/A	EDUC/RESEARCH

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CASE WESTERN RESERVE UNIVERSITY	34-1018992	501(C)(3)	19,858		N/A	N/A	EDUC/RESEARCH
COLD SPRING HARBOR LABORATORY	11-2013303	501(C)(3)	19,404		N/A	N/A	EDUC/RESEARCH
CEDAR SINAI MEDICAL CENTER	95-1644600	501(C)(3)	19,330		N/A	N/A	EDUC/RESEARCH

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THE CHILDRENS HOSPITAL OF PHILADELPHIA	23-1352166	501(C)(3)	18,798		N/A	N/A	EDUC/RESEARCH
MERCER UNIVERSITY	58-0566167	501(C)(3)	18,794		N/A	N/A	EDUC/RESEARCH
ALZHEIMERS ASSOC NYC	13-3277408	501(C)(3)	18,777		N/A	N/A	EDUC/RESEARCH

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UNIVERSITY OF THE VIRGIN ISLANDS	66-0432514	501(C)(3)	17,992		N/A	N/A	EDUC/RESEARCH
REGENTS UNIV OF OKLAHOMA HLT SCIENCES CTR	73-6017987	501(C)(3)	17,797		N/A	N/A	EDUC/RESEARCH
THE VILLAGE SOUTH INC	59-1452736	501(C)(3)	17,550		N/A	N/A	EDUC/RESEARCH

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DESERT RESEARCH INSTITUTE	88-6000024	501(C)(3)	17,144		N/A	N/A	EDUC/RESEARCH
NATIONAL DEVELOPMENT RESEARCH	23-7009089	501(C)(3)	16,276		N/A	N/A	EDUC/RESEARCH
SARC	86-1087705	501(C)(3)	16,153		N/A	N/A	EDUC/RESEARCH

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PUBLIC HEALTH TRUST OF MIAMI DADE COUNTY	59-1713947	501(C)(3)	15,429		N/A	N/A	EDUC/RESEARCH
UNIVERSITY OF KENTUCKY RESEARCH	61-6033693	501(C)(3)	15,398		N/A	N/A	EDUC/RESEARCH
STOCKHOLM INSTITUTE US 11 CURTIS AVE SOMERVILLE MA 02144 SOMERVILLE, MA 02144	20-4659308	501(C)(3)	15,257		N/A	N/A	EDUC/RESEARCH

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University Corp for Atmospheric Research PO BOX 3000 BOULDER CO 80307 BOULDER, CO 80307	84-0412668	501(C)(3)	15,062		N/A	N/A	EDUC/RESEARCH
FUND FOR PUBLIC HEALTH IN NEW YORK INC	05-0539199	501(C)(3)	14,975		N/A	N/A	EDUC/RESEARCH
PITTSBURGH PARKS CONSERVANCY 2000 Technology Dr 3000 Pittsburg PITTSBURGH, PA 14129	23-2882145	501(C)(3)	14,714		N/A	N/A	EDUC/RESEARCH

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Bronx Lebanon Hospital Center	13-1974191	501(C)(3)	14,317		N/A	N/A	EDUC/RESEARCH
LEHIGH VALLEY HEALTH NETWORK	23-1689692	501(C)(3)	13,600		N/A	N/A	EDUC/RESEARCH
UNIVERSITY OF WISCONSIN MADISON	39-6006492	501(C)(3)	13,215		N/A	N/A	EDUC/RESEARCH

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UNIVERSITY OF TEXAS SOUTHWESTERN MED CTR	75-6002868	501(C)(3)	13,060		N/A	N/A	EDUC/RESEARCH
THE PUERTO RICAN ORG TO MTV ENLTN & SRV ADTS 300 EAST 175TH STREET BRONX NY 104 BRONX,NY 10457	13-2663328	501(C)(3)	12,644		N/A	N/A	EDUC/RESEARCH
ROCKEFELLER UNIVERSITY	13-1624158	501(C)(3)	12,473		N/A	N/A	EDUC/RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
JACKSON LABORATORY	01-0211513	501(C)(3)	12,131		N/A	N/A	EDUC/RESEARCH
AMERICAN ASSOC OF VARIABLE STAR OBSERVERS	04-2164402	501(C)(3)	12,001		N/A	N/A	EDUC/RESEARCH
MEDICAL COLLEGE OF WISCONSIN	39-0806261	501(C)(3)	11,778		N/A	N/A	EDUC/RESEARCH

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AVENIR HEALTH INC	20-4816286	501(C)(3)	11,569		N/A	N/A	EDUC/RESEARCH
VIRGINIA POLYTECHNICAL INST AND STATE UNIV 300 TURNER ST STE 4200 BLACKSBURG BLACKSBURG,VA 24061	54-6001805	501(C)(3)	9,217		N/A	N/A	EDUC/RESEARCH
MAINE GEOLOGICAL SURVEY	01-6000001	501(C)(3)	9,143		N/A	N/A	EDUC/RESEARCH

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UNIVERSITY OF GEORGIA	58-1353149	501(C)(3)	8,925		N/A	N/A	EDUC/RESEARCH
UNIVERSITY OF TEXAS AT DALLAS	75-1305566	501(C)(3)	8,453		N/A	N/A	EDUC/RESEARCH
UNIVERSITY OF MISSOURI	43-6003859	501(C)(3)	7,846		N/A	N/A	EDUC/RESEARCH

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FUND FOR THE CITY OF NEW YORK	13-2612524	501(C)(3)	7,734		N/A	N/A	EDUC/RESEARCH
UNIVERSITY OF SOUTH FLORIDA	59-3102112	501(C)(3)	7,561		N/A	N/A	EDUC/RESEARCH
EARTH IMAGES FOUNDATION INC	07-7032389	501(C)(3)	7,300		N/A	N/A	EDUC/RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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GREAT LAKES MEDICAL RESEARCH LLC	16-1602698	501(C)(3)	7,290		N/A	N/A	EDUC/RESEARCH
NAZARENE COMPASSIONATE MINISTRIES INC 17001 PRAIRIE STAR PR 100 LENEXA LENEXA, KS 66220	43-1550318	501(C)(3)	6,960		N/A	N/A	EDUC/RESEARCH
THE CITY UNIVERSITY OF NEW YORK 119 WEST 31ST ST FIRST FL NEW YORK NEW YORK, NY 10001	13-3893536	501(C)(3)	6,000		N/A	N/A	EDUC/RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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UNIVERSITY OF NORTH TEXAS	75-6064033	501(C)(3)	5,837		N/A	N/A	EDUC/RESEARCH
MISSISSIPPI STATE UNIVERSITY	64-6000819	501(C)(3)	5,450		N/A	N/A	EDUC/RESEARCH
University of Kansas Medical Center	48-1108830	501(C)(3)	5,105		N/A	N/A	EDUC/RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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DEPARTMENTS OF NYS STATE	13-6400434	Government	248,196		N/A	N/A	EDUC/RESEARCH
DEPARTMENT OF COMMERCE	52-0821608	Government	180,831		N/A	N/A	EDUC/RESEARCH
PUERTO RICO DEPARTMENT OF HEALTH	90-0002462	Government	43,511		N/A	N/A	EDUC/RESEARCH

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DEPARTMENT OF THE INTERIOR	53-0196958	Government	26,689		N/A	N/A	EDUC/RESEARCH
ADULTS & CHILDREN IN TRUST CHILDRENS QUEST 1047 Amsterdam Avenue New York NY NEW YORK, NY 10025	13-1623934	501(C)(3)	12,000		N/A	N/A	EDUC/RESEARCH
Behind the Book Inc	32-0086097	501(C)(3)	6,500		N/A	N/A	EDUC/RESEARCH

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Bloomington School of Music	13-2562192	501(C)(3)	6,500		N/A	N/A	EDUC/RESEARCH
Broadway Community Inc	13-3652817	501(C)(3)	6,000		N/A	N/A	EDUC/RESEARCH
Broadway Presbyterian Church Nursery School	13-1623916	501(C)(3)	10,000		N/A	N/A	EDUC/RESEARCH

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Cathedral Community Cares - St John Dvne	13-1623934	501(C)(3)	10,000		N/A	N/A	EDUC/RESEARCH
Community Impact Inc 2980 Broadway 105 Earl Hall New Yo NEW YORK, NY 10027	13-3386904	501(C)(3)	135,000		N/A	N/A	EDUC/RESEARCH
Figure Skating In Harlem	13-3945168	501(C)(3)	7,000		N/A	N/A	EDUC/RESEARCH

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Friends of the Children NY	06-1597902	501(C)(3)	6,000		N/A	N/A	EDUC/RESEARCH
Graham Windham Harlem Beacon Ctr Fam Enrchmt Prgm	13-2926426	501(C)(3)	9,000		N/A	N/A	EDUC/RESEARCH
Harlem Academy	56-2454573	501(C)(3)	9,000		N/A	N/A	EDUC/RESEARCH

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Harlem Lacrosse and Leadership	45-1634118	501(C)(3)	5,500		N/A	N/A	EDUC/RESEARCH
Legal Outreach Inc - Summer Law Institute 36-14 35th Street Long Island City LONG ISLAND CITY, NY 11106	13-3214627	501(C)(3)	5,500		N/A	N/A	EDUC/RESEARCH
Lifeforce in Later Years Inc	80-0401075	501(C)(3)	5,500		N/A	N/A	EDUC/RESEARCH

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Purple Circle Day Care	13-3073319	501(C)(3)	10,000		N/A	N/A	EDUC/RESEARCH
The Red Balloon Day Care Center Inc	13-2772534	501(C)(3)	11,000		N/A	N/A	EDUC/RESEARCH
Rev Linnette C Williamson Memrl Park Assoc	13-3861293	501(C)(3)	6,000		N/A	N/A	EDUC/RESEARCH

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Samaritan Foundation Inc	11-2490500	501(C)(3)	8,000		N/A	N/A	RESEARCH
SNACK & Friends Inc 316 East 53rd Street New York NY 1 NEW YORK, NY 10022	20-5934666	501(C)(3)	5,500		N/A	N/A	RESEARCH
St Marys Episcopal Chrch Soup Kitchen&Food 521 West 126th Street New York NY NEW YORK, NY 10027	13-1624179	501(C)(3)	6,500		N/A	N/A	RESEARCH

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The Children's Lrng Ctr of Morningside Hghts	13-4111840	501(C)(3)	10,000		N/A	N/A	RESEARCH
The Family Annex	13-3083081	501(C)(3)	5,900		N/A	N/A	RESEARCH
The Harlem Chamber Players	45-2160781	501(C)(3)	5,500		N/A	N/A	RESEARCH

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The Red Balloon Day Care Center Inc	13-2772534	501(C)(3)	11,000		N/A	N/A	RESEARCH
Top Honors Inc	75-3062601	501(C)(3)	12,000		N/A	N/A	RESEARCH
Wendy Hilliard Gymnastics Foundation	13-3879321	501(C)(3)	12,000		N/A	N/A	RESEARCH

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West Side Campaign Against Hunger 263 West 86th Street New York NY 1 NEW YORK, NY 10024	71-0908184	501(C)(3)	12,000	9,795	FMV	Canned Food	RESEARCH
Reid Hall	13-1611126	501(C)(3)	434,162		N/A	N/A	RESEARCH
Columbia University Health Care Inc 615 W 131ST ST MC 8741 NEW YORK NEW YORK, NY 10027	13-3948652	501(C)(3)	1,243,348		N/A	N/A	RESEARCH

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Columbia University Press	13-1623968	501(C)(3)	710,442		N/A	N/A	RESEARCH
Museum of Arts and Design 2 COLUMBUS CIRCLE NEW YORK, NY 10019	13-3585408	501(C)(3)	150,000		N/A	N/A	
Jewish Federation of Rockland County 450 WEST NYACK ROAD WEST NYACK, NY 10994	13-3268920	501(C)(3)	150,000		N/A	N/A	

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Jewish Community Center of Rockland County 450 WEST NYACK ROAD WEST NYACK, NY 10994	13-3914074	501(C)(3)	1,000,000		N/A	N/A	
Lupus Research Institute Inc 330 SEVENTH AVE 1701 NEW YORK, NY 10001	06-1565950	501(C)(3)	1,000,000		N/A	N/A	
University of Wisconsin Foundation 1848 UNIVERSITY AVENUE MADISON, WI 53726	39-0743975	501(C)(3)	8,010,000		N/A	N/A	

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Metropolitan Opera 30 LINCOLN CENTER NEW YORK, NY 10023	13-1624087	501(C)(3)	25,000		N/A	N/A	
Newark Beth Israel Medical Center Fnd Inc 201 LYONS AVENUE NEWARK, NJ 07112	22-2587176	501(C)(3)	250,000		N/A	N/A	
Museum of the American Revolution 101 S THIRD ST PHILADELPHIA, PA 19106	23-2773714	501(C)(3)	40,000		N/A	N/A	

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New York City Center Inc 130 W 56TH ST 9TH FL NEW YORK, NY 10019	13-2867442	501(C)(3)	450,000		N/A	N/A	
Social Science Research Council ONE PIERREPONT PLAZA BROOKLYN, NY 11201	13-1325070	501(C)(3)	150,000		N/A	N/A	
AARON DAVIS HALL INC 135TH AND CONVENT AVE NEW YORK, NY 10031	13-3166308	501(C)(3)	10,000		N/A	N/A	PROGRAM SERVICES

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AMERICAN ACADEMY OF NURSING 1000 VERMONT AVE NW 910 WASHINGTON, DC 20005	52-2213870	501(C)(3)	20,000		N/A	N/A	PROGRAM SERVICES
AMERICAN HEART ASSOCIATION 122 EAST 42ND ST 18TH FL NEW YORK, NY 10168	13-5613797	501(C)(3)	55,000		N/A	N/A	PROGRAM SERVICES
ARCHITECTURAL LEAGUE OF NY 594 BROADWAY 607 NEW YORK, NY 10012	13-1671027	501(C)(3)	6,000		N/A	N/A	PROGRAM SERVICES

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ARNOLD P GOLD FOUNDATION 1325 SIXTH AVE 27 FL NEW YORK, NY 10019	22-3052098	501(C)(3)	20,000		N/A	N/A	PROGRAM SERVICES
BOOMER ESIASON FOUNDATION 200B ARMSTRONG ROAD GARDEN CITY PARK, NY 11040	11-3142753	501(C)(3)	40,000		N/A	N/A	PROGRAM SERVICES
CARDIOVASCULAR RESEARCH FOUNDATION 111 EAST 59TH ST NEW YORK, NY 10022	52-1752653	501(C)(3)	6,000		N/A	N/A	PROGRAM SERVICES

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CHINESE AMERICAN MEDICAL SOCIETY 265 CANAL STREET 515 NEW YORK, NY 10013	13-3418133	501(C)(3)	8,000		N/A	N/A	program services
COUNCIL FOR ECONOMIC EDUCATION 122 EAST 42ND STREET 2600 NEW YORK, NY 10168	13-1623848	501(C)(3)	7,000		N/A	N/A	PROGRAM SERVICES
DOMINICAN WOMENS DEVELOPMENT CENTER 519 WEST 189TH STREET NEW YORK, NY 10040	13-3593885	501(C)(3)	7,850		N/A	N/A	PROGRAM SERVICES

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FRIENDS COLUMBIA SECONDARY SCH MATH SCI ENG 603 WEST 115TH ST 433 NEW YORK, NY 10025	26-1146491	501(C)(3)	625,000		N/A	N/A	PROGRAM SERVICES
HARLEM CONGREGATIONS FOR COMM IMPROVEMENT 2854 FREDRICK DOUGLAS BLVD NEW YORK, NY 10039	13-3516262	501(C)(3)	10,500		N/A	N/A	PROGRAM SERVICES
HARBORING HEARTS HOUSING FOUNDATION INC 601 WEST 26TH ST 325-18 NEW YORK, NY 10001	94-3433059	501(C)(3)	20,000		N/A	N/A	PROGRAM SERVICES

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LATIN AMERICAN STUDIES ASSOCIATION INC 416 BELLEFIELD HALL PITTSBURGH, PA 15260	52-0882881	501(C)(3)	25,000		N/A	N/A	PROGRAM SERVICES
NEW YORK CITY POLICE FOUNDATION INC 555 FIFTH AVENUE 15TH FL NEW YORK, NY 10017	13-2711338	501(C)(3)	10,000		N/A	N/A	PROGRAM SERVICES
NEW YORK-PRESBYTERIAN FUND INC 654 WEST 170TH ST NEW YORK, NY 10032	13-3160356	501(C)(3)	74,500		N/A	N/A	PROGRAM SERVICES

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NEW YORK PRESBYTERIAN HOSPITAL 654 WEST 170TH STREET NEW YORK, NY 10032	13-3957095	501(C)(3)	32,500		N/A	N/A	PROGRAM SERVICES
PARKINSONS DISEASE FOUNDATION INC 1359 BROADWAY 1509 NEW YORK, NY 10018	13-1866796	501(C)(3)	12,500		N/A	N/A	PROGRAM SERVICES
PROJECT ALS INC 801 RIVERSIDE DRIVE 6G NEW YORK, NY 10032	13-4019464	501(C)(3)	111,000		N/A	N/A	PROGRAM SERVICES

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ROCKEFELLER PHILANTHROPY ADVISORS 6 WEST 48TH ST 10TH FL NEW YORK, NY 10036	13-3615533	501(C)(3)	10,000		N/A	N/A	PROGRAM SERVICES
WEST HARLEM GROUP ASSISTANCE INC 1652 AMSTERDAM AVENUE NEW YORK, NY 10031	23-7169558	501(C)(3)	15,000		N/A	N/A	PROGRAM SERVICES
BROADWAY MALL ASSOCIATION 2095 BROADWAY 403 NEW YORK, NY 10023	13-3419786	501(C)(3)	50,689		N/A	N/A	PROGRAM SERVICES

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ORTHOPAEDIC RSCH AND EDUCATION FND (OREF) 9400 W HIGGINS ROAD 215 ROSEMONT, IL 60018	36-6009467	501(C)(3)	28,000		N/A	N/A	PROGRAM SERVICES
BREAST CANCER RESEARCH FOUNDATION 60 E 56TH STREET 8TH FL NEW YORK, NY 10022	13-3727250	501(C)(3)	6,100		N/A	N/A	PROGRAM SERVICES

Schedule J
(Form 990)

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees
▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23.
▶ Attach to Form 990.
▶ Information about Schedule J (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2015

Open to Public Inspection

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number
13-5598093

Part I Questions Regarding Compensation

	Yes	No
1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items. <div><div><input checked="" type="checkbox"/> First-class or charter travel</div><div><input checked="" type="checkbox"/> Housing allowance or residence for personal use</div><div><input checked="" type="checkbox"/> Travel for companions</div><div><input type="checkbox"/> Payments for business use of personal residence</div><div><input checked="" type="checkbox"/> Tax indemnification and gross-up payments</div><div><input type="checkbox"/> Health or social club dues or initiation fees</div><div><input type="checkbox"/> Discretionary spending account</div><div><input checked="" type="checkbox"/> Personal services (e g , maid, chauffeur, chef)</div></div>		
b If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain	Yes	
2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked in line 1a?	Yes	
3 Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III. <div><div><input checked="" type="checkbox"/> Compensation committee</div><div><input type="checkbox"/> Written employment contract</div><div><input checked="" type="checkbox"/> Independent compensation consultant</div><div><input checked="" type="checkbox"/> Compensation survey or study</div><div><input checked="" type="checkbox"/> Form 990 of other organizations</div><div><input checked="" type="checkbox"/> Approval by the board or compensation committee</div></div>		
4 During the year, did any person listed on Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization: a Receive a severance payment or change-of-control payment?		No
b Participate in, or receive payment from, a supplemental nonqualified retirement plan?		No
c Participate in, or receive payment from, an equity-based compensation arrangement? If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.		No
Only 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.		
5 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of: a The organization?	Yes	
b Any related organization? If "Yes," on line 5a or 5b, describe in Part III		No
6 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of: a The organization?		No
b Any related organization? If "Yes," on line 6a or 6b, describe in Part III		No
7 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III	Yes	
8 Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III		No
9 If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?		

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title	(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
	(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
See Additional Data Table							

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

Return Reference	Explanation
SUPPLEMENTAL COMPENSATION INFORMATION	<p>NOTES TO PART I, LINE 1A FIRST CLASS OR CHARTER TRAVEL IN LIMITED CIRCUMSTANCES, CERTAIN INDIVIDUALS MAY TRAVEL FIRST CLASS WHEN THE TRAVEL IS SUBSTANTIATED AS A REASONABLE EXPENSE TO SUPPORT THE MISSION OF THE UNIVERSITY. ANY FIRST CLASS EXCEPTIONS TO THE UNIVERSITY'S POLICY ARE SUBJECT TO REVIEW AND APPROVAL. TRAVEL FOR COMPANIONS IN CERTAIN CIRCUMSTANCES, THE SPOUSE OF THE PRESIDENT OR OF ANOTHER OFFICER OR KEY EMPLOYEE MAY TRAVEL FOR UNIVERSITY PURPOSES. EXAMPLES OF SUCH TRAVEL INCLUDE ATTENDANCE AT FUNDRAISING OR ALUMNI EVENTS, AS WELL AS REPRESENTING THE UNIVERSITY AT AWARDS PRESENTATIONS OR OTHER CEREMONIES OR EVENTS. IN ACCORDANCE WITH APPLICABLE LEGAL STANDARDS, THE UNIVERSITY WILL PAY FOR SPOUSAL TRAVEL AS A REGULAR BUSINESS EXPENSE IF THE SPOUSAL TRAVEL SERVES A "BONA FIDE BUSINESS PURPOSE" OF THE UNIVERSITY. TAX INDEMNIFICATION AND GROSS-UP PAYMENTS AS APPROVED BY THE BOARD OF TRUSTEES, THE UNIVERSITY PAID CERTAIN MEDICAL, DENTAL AND DISABILITY INSURANCE PREMIUMS ON THE PRESIDENT'S BEHALF, INCLUDING A TAX GROSS-UP ON THOSE AMOUNTS. THE TOTAL AMOUNT FOR PREMIUMS, FEES AND THE TAXES THEREON \$33,311 IS SHOWN IN COLUMN (B) (III) AS OTHER REPORTABLE COMPENSATION. HOUSING ALLOWANCE OR RESIDENCE FOR PERSONAL USE/PERSONAL SERVICES AS A CONDITION OF EMPLOYMENT, THE PRESIDENT IS REQUIRED TO LIVE IN A HOME ON THE UNIVERSITY'S CAMPUS WHICH IS FURNISHED AND MAINTAINED AT THE UNIVERSITY'S EXPENSE. THE PRESIDENT'S HOUSING HAS BEEN VALUED AT \$385,245 AND IS REPORTED AS A NON-TAXABLE BENEFIT. THE UNIVERSITY ALSO PROVIDES A CAR AND DRIVER TO BE USED BY THE PRESIDENT IN CONNECTION WITH HIS DUTIES. THE PRESIDENT IS RESPONSIBLE FOR ANY PERSONAL USE OF THE HOUSEHOLD STAFF OR CAR AND DRIVER AND OTHER PERSONAL EXPENSES. PERSONAL SERVICES. OTHER REPORTABLE COMPENSATION INCLUDES \$4,420 IMPUTED TO DR. GOLDMAN WITH RESPECT TO PERSONAL USE OF A CAR AND DRIVER PROVIDED BY THE UNIVERSITY (SEE PART I, COLUMN (B)(III)). NOTE TO PART I, LINE 5A DR. DAVID SILVERS' COMPENSATION IS COMPRISED OF BASE COMPENSATION AND INCENTIVE COMPENSATION DETERMINED FROM HIS PERFORMANCE OF, AND REVENUES FROM, CLINICAL DUTIES IN THE UNIVERSITY'S DERMATOPATHOLOGY PRACTICE WHERE HE IS THE DIRECTOR, AND IS SUBJECT TO A CAP FOR EACH ACADEMIC YEAR. NOTE TO PART I, LINE 7 NOTE TO N P. NARVEKAR AND PETER HOLLAND'S COMPENSATION. THE ACTUAL AMOUNT EARNED DURING THE REPORTING PERIOD WAS \$3,057,352 FOR MR. NARVEKAR AND \$4,226,789 FOR MR. HOLLAND. THE LARGER COMPENSATION FIGURES SHOWN IN COLUMN (E) INCLUDE DOUBLE REPORTING OF PREVIOUSLY REPORTED DEFERRED COMPENSATION THAT VESTED AND WAS PAID DURING THE REPORTING PERIOD. THE ACTUAL AMOUNT EARNED BY EACH INDIVIDUAL IS CALCULATED BY SUBTRACTING COLUMN (F) FROM COLUMN (E). PAYMENT OF MR. NARVEKARS AND MR. HOLLANDS PERFORMANCE BASED BONUS IS, IN EACH CASE, DEFERRED SUBJECT TO VESTING UPON CONTINUING SERVICE TO THE UNIVERSITY. COLUMNS (C) AND (E) INCLUDE DEFERRED COMPENSATION AWARDED DURING THE REPORTING PERIOD BUT SUBJECT TO FUTURE VESTING. MR. NARVEKAR LEFT THE UNIVERSITY IN NOVEMBER 2016 AS A RESULT OF WHICH HE DID NOT SATISFY THE VESTING CONDITIONS WITH RESPECT TO A PORTION OF THE DEFERRED COMPENSATION AWARDED DURING THE REPORTING PERIOD. MR. NARVEKARS TOTAL DEFERRED AWARD FOR THE REPORTING PERIOD WAS \$3,246,584. COLUMNS (C) AND (E) INCLUDE THE AMOUNT OF THIS DEFERRED AWARD IN WHICH MR. NARVEKAR VESTED BEFORE HIS DEPARTURE FROM THE UNIVERSITY BUT NOT THE AMOUNT FORFEITED ON HIS DEPARTURE. NOTE TO LEE BOLLINGER'S COMPENSATION AMOUNTS FOR PRESIDENT BOLLINGER IN COLUMN (B)(II) INCLUDE PAYMENTS UNDER A PERFORMANCE-BASED BONUS AWARD. NOTE TO DR. GOLDMAN'S COMPENSATION. THE ACTUAL AMOUNT EARNED BY DR. GOLDMAN DURING THE REPORTING PERIOD WAS \$2,450,469. THE LARGER COMPENSATION FIGURE SHOWN IN COLUMN (E) INCLUDES DOUBLE REPORTING OF PREVIOUSLY REPORTED DEFERRED COMPENSATION THAT VESTED AND WAS PAID DURING THE REPORTING PERIOD. THE ACTUAL AMOUNT EARNED BY DR. GOLDMAN DURING THE REPORTING PERIOD IS CALCULATED BY SUBTRACTING COLUMN (F) FROM COLUMN (E). THE BONUS AND INCENTIVE COMPENSATION REPORTED IN COLUMN (B)(II) INCLUDES PERFORMANCE BASED AWARDS. NOTE TO KENNETH FORDE'S COMPENSATION. KENNETH FORDE'S REPORTED COMPENSATION WAS PAID FROM A DEFERRED COMPENSATION AGREEMENT IN EFFECT WHEN DR. FORDE WAS A PROFESSOR OF SURGERY AT THE UNIVERSITY AND THE REPORTED NON-TAXABLE BENEFITS REFLECT BENEFITS FROM PARTICIPATION IN A RETIREE BENEFITS PLAN. DR. FORDE IS RETIRED FROM HIS UNIVERSITY POSITION AND RECEIVES NO COMPENSATION OR OTHER BENEFITS FOR HIS DUTIES AS A TRUSTEE. NOTE TO DR. MOSES', DR. SMITH'S, & DR. STONE'S COMPENSATION. THE COMPENSATION OF DR. MOSES, DR. SMITH, AND DR. STONE IS SUPPORTED BY THEIR CLINICAL ACTIVITIES, GRANTS, AND SUPPORT FROM THE UNIVERSITY'S HOSPITAL AFFILIATES. NOTE TO TIMOTHY DONOHUE'S COMPENSATION. THE UNIVERSITY HAS A BONUS PROGRAM UNDER WHICH MR. DONOHUE RECEIVES A PERFORMANCE BASED BONUS, PAYMENT OF WHICH IS DEFERRED SUBJECT TO VESTING UPON CONTINUING SERVICE TO THE UNIVERSITY. COLUMNS (C) AND (E) INCLUDE DEFERRED COMPENSATION AWARDED DURING THE REPORTING PERIOD BUT SUBJECT TO FUTURE VESTING. NOTE TO SUSAN FEAGIN'S COMPENSATION. MS. FEAGIN STEPPED DOWN AS EXECUTIVE VICE PRESIDENT FOR DEVELOPMENT AND ALUMNI AFFAIRS ON DECEMBER 31, 2010. THE AMOUNT SHOWN REFLECTS COMPENSATION PAID TO HER FOR HER CONTINUING SERVICES TO THE UNIVERSITY AS SPECIAL ADVISOR.</p>

Additional Data

Software ID:

Software Version:

EIN: 13-5598093

Name: THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
		(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
1LEE C BOLLINGER PRESIDENT	(i)	1,340,781	700,000	48,115	27,200	418,741	2,534,837	0
	(ii)	0	0	0	0	0	0	0
1KENNETH A FORDTRUSTEE	(i)	0	0	796,955	0	2,592	799,547	0
	(ii)	0	0	0	0	0	0	0
2JANE E BOOTH GENERAL COUNSEL	(i)	629,427	0	0	27,200	7,668	664,295	0
	(ii)	0	0	0	0	0	0	0
3JOHN COATSWORTH PROVOST	(i)	700,052	0	41,146	27,200	16,806	785,204	0
	(ii)	0	0	0	0	0	0	0
4JEROME DAVISSECRETARY	(i)	387,696	0	0	27,200	17,238	432,134	0
	(ii)	0	0	0	0	0	0	0
5DAVID MADIGAN EXEC VP - ARTS & SCIENCES	(i)	476,438	0	41,338	27,200	98,595	643,571	0
	(ii)	0	0	0	0	0	0	0
6ANNE R SULLIVAN EXECUTIVE VP FOR FINANCE & IT	(i)	646,360	0	0	27,200	24,833	698,393	0
	(ii)	0	0	0	0	0	0	0
7LEE GOLDMAN EXEC VP FOR HEALTH SCIENCES	(i)	1,067,824	3,445,757	194,020	27,200	7,668	4,742,469	2,292,000
	(ii)	0	0	0	0	0	0	0
8PETER HOLLAND EXEC VP OF INVESTMENT MGMT	(i)	825,814	3,045,788	0	2,945,022	46,642	6,863,266	2,636,477
	(ii)	0	0	0	0	0	0	0
9JOSEPH A IENUSO EXC VP FACILITIES THRU 8/30/15	(i)	371,251	0	211,039	38,090	16,216	636,596	0
	(ii)	0	0	0	0	0	0	0
10NIRMAL NARVEKAR PRES INVST MGMT THRU 11/16/16	(i)	923,988	3,388,969	0	1,650,492	28,248	5,991,697	2,934,345
	(ii)	0	0	0	0	0	0	0
11AMELIA ALVERSON EXEC VP - UNIV DVLPA&ALUM RLTS	(i)	670,494	0	0	27,200	9,894	707,588	0
	(ii)	0	0	0	0	0	0	0
12DAVID GREENBERG EXC VP FACILITIES AS OF 8/1/15	(i)	394,513	0	0	27,200	4,722	426,435	0
	(ii)	0	0	0	0	0	0	0
13ROBERT KASDIN SR EXEC VP THRU 6/30/15 (FRMR)	(i)	411,071	0	0	27,200	55,256	493,527	0
	(ii)	0	0	0	0	0	0	0
14SUSAN K FEAGIN EXEC VP-UNIV DEV&ALUM (FORMER)	(i)	361,125	0	30,328	27,200	5,967	424,620	0
	(ii)	0	0	0	0	0	0	0
15DAVID N SILVERS CLINICAL PROFESSOR	(i)	2,998,464	1,439,391	0	36,843	1,848	4,476,546	0
	(ii)	0	0	0	0	0	0	0
16JEFFREY W MOSES PROFESSOR OF MEDICINE	(i)	2,289,056	0	0	31,778	36,429	2,357,263	0
	(ii)	0	0	0	0	0	0	0
17CRAIG R SMITH PROFESSOR OF SURGERY	(i)	2,168,259	0	0	36,492	4,440	2,209,191	0
	(ii)	0	0	0	0	0	0	0
18GREGG STONE PROFESSOR OF SURGERY	(i)	1,997,792	0	0	31,778	25,040	2,054,610	0
	(ii)	0	0	0	0	0	0	0
19TIMOTHY M DONOHUE MANAGING DIRECTOR - IMC	(i)	562,158	1,448,720	61	1,452,200	47,613	3,510,752	0
	(ii)	0	0	0	0	0	0	0

Schedule K
(Form 990)

Department of the Treasury

Internal Revenue Service

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Supplemental Information on Tax Exempt Bonds

► Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.
► Attach to Form 990.
► Information about Schedule K (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2015

Open to Public Inspection

Employer identification number
13-5598093

Part I Bond Issues											
(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pool financing	
						Yes	No	Yes	No	Yes	No
A DORMITORY AUTHORITY OF STATE OF NEW YORK 2003A&B	14-6000293	649901R49	03-05-2003	122,696,491	FUND NEW QUALIFIED PROJECTS		X		X		X
B DORMITORY AUTHORITY OF STATE OF NEW YORK 2004B&C	14-6000293	64983TZD8	06-30-2004	158,627,817	FUND NEW QUALIFIED PROJECTS		X		X		X
C DORMITORY AUTHORITY OF STATE OF NEW YORK 2008A	14-6000293	649903ZN4	04-23-2008	293,606,826	FUND NEW QUALIFIED PROJECTS		X		X		X
D DORMITORY AUTHORITY OF STATE OF NEW YORK 2009A	14-6000293	649905DF0	05-14-2009	117,000,000	FUND NEW QUALIFIED PROJECTS		X		X		X

Part II		Proceeds							
		A		B		C		D	
1	Amount of bonds retired	87,775,000		101,730,000		82,715,000		0	
2	Amount of bonds legally defeased	0		0		0		0	
3	Total proceeds of issue	123,718,793		159,406,375		295,785,662		117,067,254	
4	Gross proceeds in reserve funds	0		0		0		0	
5	Capitalized interest from proceeds	0		0		0		0	
6	Proceeds in refunding escrows	0		0		0		0	
7	Issuance costs from proceeds	802,880		1,020,611		1,641,578		823,388	
8	Credit enhancement from proceeds	0		0		0		0	
9	Working capital expenditures from proceeds	0		0		0		0	
10	Capital expenditures from proceeds	122,097,219		157,343,063		292,178,836		115,269,253	
11	Other spent proceeds	818,694		1,042,701		1,965,248		974,613	
12	Other unspent proceeds	0		0		0		0	
13	Year of substantial completion	2004		2007		2009		2009	
		Yes	No	Yes	No	Yes	No	Yes	No
14	Were the bonds issued as part of a current refunding issue?		X		X		X		X
15	Were the bonds issued as part of an advance refunding issue?		X		X		X		X
16	Has the final allocation of proceeds been made?	X		X		X		X	
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X		X		X	

Part III Private Business Use									
		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		X		X		X		X
2	Are there any lease arrangements that may result in private business use of bond-financed property?	X		X		X		X	

Part III Private Business Use (Continued)

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
3a	Are there any management or service contracts that may result in private business use of bond-financed property?	X		X		X		X	
b	If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?	X		X		X		X	
c	Are there any research agreements that may result in private business use of bond-financed property?	X		X		X		X	
d	If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?	X		X		X		X	
4	Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government ▶	0 %		0 %		0 %		0 %	
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government ▶	0 %		0 %		0 090 %		0 110 %	
6	Total of lines 4 and 5	0 %		0 %		0 090 %		0 110 %	
7	Does the bond issue meet the private security or payment test?		X		X		X		X
8a	Has there been a sale or disposition of any of the bond-financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?.		X		X		X		X
b	If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of								
c	If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1.141-12 and 1.145-2?		X		X		X		X
9	Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1.141-12 and 1.145-2?.	X		X		X		X	

Part IV Arbitrage

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate?		X		X		X		X
2	If "No" to line 1, did the following apply?								
a	Rebate not due yet?		X		X		X		X
b	Exception to rebate?		X		X		X		X
c	No rebate due?	X		X		X		X	
	If "Yes" to line 2c, provide in Part VI the date the rebate computation was performed								
3	Is the bond issue a variable rate issue?	X			X		X	X	
4a	Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?		X		X		X		X
b	Name of provider	0		0		0		0	
c	Term of hedge								
d	Was the hedge superintegrated?								
e	Was the hedge terminated?								

Part IV Arbitrage (Continued)

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
5a	Were gross proceeds invested in a guaranteed investment contract (GIC)?		X		X		X		X
b	Name of provider	0		0		0		0	
c	Term of GIC								
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
6	Were any gross proceeds invested beyond an available temporary period?		X		X		X		X
7	Has the organization established written procedures to monitor the requirements of section 148?	X		X		X		X	

Part V Procedures To Undertake Corrective Action

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations?		X		X		X		X	

Part VI Supplemental Information. Provide additional information for responses to questions on Schedule K (see instructions).

Return Reference	Explanation
SCHEDULE K, PART II, LINE 3	DIFFERENCES IN AMOUNTS ENTERED IN PART I, COLUMN (E) AND PART II, LINE 3 REPRESENT INVESTMENT EARNINGS ----- SCHEDULE K, PART II, LINE 11 OTHER SPENT PROCEEDS AMOUNTS REPORTED ON SCHEDULE K, PART II, LINE 11 REPRESENT THE NEW YORK STATE BOND TAX ASSOCIATED WITH EACH ISSUE WITH THE EXCEPTION OF THE 2012A, 2015A, and 2015B BOND ISSUES -----

Return Reference	Explanation
SCHEDULE K, PART III, LINES 4-5	<p>FOR ALL ISSUES, THE UNIVERSITY HAS ALLOCATED EQUITY INVESTED IN THE FINANCED PROPERTIES TO SOURCES OF PRIVATE BUSINESS USE, WITH THE EXCEPTION OF ISSUANCE COSTS, WITHIN THE REQUIRED TIME FRAME AND HAS CAREFULLY MANAGED THE TYPES OF ACTIVITIES CONDUCTED IN BOND-FINANCED FACILITIES AS SUCH, THE UNIVERSITY HAS REPORTED 0% PRIVATE BUSINESS USE FOR THE SERIES 2003A&B, SERIES 2004B&C, SERIES 2011A, SERIES 2012A, SERIES 2015A, AND SERIES 2015B ISSUES AND MINIMAL PRIVATE BUSINESS USE FOR THE REMAINING ISSUES ON SCHEDULE K -</p> <p>-----</p> <p>-----</p> <p>-----</p> <p>-----</p>

Return Reference	Explanation
SCHEDULE K, PART IV, LINE 2	THE LATEST ARBITRAGE COMPUTATION DATE FOR DASNY 2003A&B, 2004B&C, 2008A, 2009A, 2011A, 2012A, 2015A, AND 2015B WAS DECEMBER 31, 2015

Schedule K
(Form 990)

Department of the Treasury

Internal Revenue Service

Name of the organization

THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Supplemental Information on Tax Exempt Bonds

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.

▶ Attach to Form 990.

▶ Information about Schedule K (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2015

Open to Public Inspection

Employer identification number

13-5598093

Part I

Bond Issues

(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pool financing	
						Yes	No	Yes	No	Yes	No
A DORMITORY AUTHORITY OF STATE OF NEW YORK 2011A	14-6000293	649906AV6	02-16-2011	312,102,192	FUND NEW QUALIFIED PROJECTS		X		X		X
B DORMITORY AUTHORITY OF STATE OF NEW YORK 2012A	14-6000293	649906F33	06-07-2012	174,118,498	REFUND 2008A AND FUND NEW PROJECTS		X		X		X
C DORMITORY AUTHORITY OF STATE OF NEW YORK 2015A	14-6000293	6499077D8	04-23-2015	125,006,199	FUND NEW QUALIFIED PROJECTS		X		X		X
D DORMITORY AUTHORITY OF STATE OF NEW YORK 2015B	14-6000293	64990BGH0	04-23-2015	56,240,112	REFUND DASNY 2004B BONDS		X		X		X

Part II

Proceeds

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
1 Amount of bonds retired		0		0		0		0
2 Amount of bonds legally defeased		0		0		0		0
3 Total proceeds of issue		312,258,392		174,157,898		125,006,199		56,240,112
4 Gross proceeds in reserve funds		0		0		0		0
5 Capitalized interest from proceeds		0		0		0		0
6 Proceeds in refunding escrows		0		0		0		0
7 Issuance costs from proceeds		1,678,394		738,570		482,921		239,228
8 Credit enhancement from proceeds		0		0		0		0
9 Working capital expenditures from proceeds		0		0		0		0
10 Capital expenditures from proceeds		308,005,990		173,344,328		124,474,161		0
11 Other spent proceeds		2,574,008		75,000		49,116		56,000,884
12 Other unspent proceeds		0		0		0		0
13 Year of substantial completion								2007
14 Were the bonds issued as part of a current refunding issue?		X		X		X		X
15 Were the bonds issued as part of an advance refunding issue?		X	X			X		X
16 Has the final allocation of proceeds been made?	X		X		X		X	
17 Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X		X		X	

Part III

Private Business Use

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
1 Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		X		X		X		X
2 Are there any lease arrangements that may result in private business use of bond-financed property?	X		X		X		X	

Part III Private Business Use (Continued)

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
3a	Are there any management or service contracts that may result in private business use of bond-financed property?	X		X		X		X	
b	If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?	X		X		X		X	
c	Are there any research agreements that may result in private business use of bond-financed property?	X		X		X		X	
d	If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?	X		X		X		X	
4	Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government	0 %		0 %		0 %		0 %	
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government	0 %		0 %		0 %		0 %	
6	Total of lines 4 and 5	0 %		0 %		0 %		0 %	
7	Does the bond issue meet the private security or payment test?		X		X		X		X
8a	Has there been a sale or disposition of any of the bond-financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?		X		X		X		X
b	If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of								
c	If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1.141-12 and 1.145-2?		X		X		X		X
9	Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1.141-12 and 1.145-2?	X		X		X		X	

Part IV Arbitrage

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate?		X		X		X		X
2	If "No" to line 1, did the following apply?								
a	Rebate not due yet?		X		X		X		X
b	Exception to rebate?		X		X		X		X
c	No rebate due?	X		X		X		X	
	If "Yes" to line 2c, provide in Part VI the date the rebate computation was performed								
3	Is the bond issue a variable rate issue?		X		X		X		X
4a	Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?		X		X		X		X
b	Name of provider	0		0		0		0	
c	Term of hedge								
d	Was the hedge superintegrated?								
e	Was the hedge terminated?								

Part IV **Arbitrage** *(Continued)*

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
5a	Were gross proceeds invested in a guaranteed investment contract (GIC)?		X		X		X		X
b	Name of provider	0		0		0		0	
c	Term of GIC								
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
6	Were any gross proceeds invested beyond an available temporary period?		X		X		X		X
7	Has the organization established written procedures to monitor the requirements of section 148? . . .	X		X		X		X	

Part V **Procedures To Undertake Corrective Action**

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations?	X		X		X		X	

Part VI **Supplemental Information.** Provide additional information for responses to questions on Schedule K (see instructions).

Schedule K
(Form 990)

Department of the Treasury

Internal Revenue Service

Name of the organization

THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Supplemental Information on Tax Exempt Bonds

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.

▶ Attach to Form 990.

▶ Information about Schedule K (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2015

Open to Public Inspection

Employer identification number

13-5598093

Part I Bond Issues											
(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pool financing	
						Yes	No	Yes	No	Yes	No
A DORMITORY AUTHORITY OF NEW YORK 2016A&B	14-6000293	64990BZK2	04-27-2016	491,547,535	REFUND 2006A&B AND FUND NEW PRJCTS		X		X		X

Part II		Proceeds									
		A		B		C		D			
1	Amount of bonds retired	0									
2	Amount of bonds legally defeased	0									
3	Total proceeds of issue	491,562,191									
4	Gross proceeds in reserve funds	0									
5	Capitalized interest from proceeds	0									
6	Proceeds in refunding escrows	0									
7	Issuance costs from proceeds	1,307,400									
8	Credit enhancement from proceeds	0									
9	Working capital expenditures from proceeds	0									
10	Capital expenditures from proceeds	138,310,873									
11	Other spent proceeds	251,423,240									
12	Other unspent proceeds	100,520,678									
13	Year of substantial completion										
		Yes	No	Yes	No	Yes	No	Yes	No		
14	Were the bonds issued as part of a current refunding issue?	X									
15	Were the bonds issued as part of an advance refunding issue?		X								
16	Has the final allocation of proceeds been made?		X								
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?	X									

Part III Private Business Use									
		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		X						
2	Are there any lease arrangements that may result in private business use of bond-financed property?	X							

Part III Private Business Use (Continued)

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
3a	Are there any management or service contracts that may result in private business use of bond-financed property?	X							
b	If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?	X							
c	Are there any research agreements that may result in private business use of bond-financed property?	X							
d	If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?	X							
4	Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government ▶	0 070 %							
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government ▶	0 %							
6	Total of lines 4 and 5	0 070 %							
7	Does the bond issue meet the private security or payment test?		X						
8a	Has there been a sale or disposition of any of the bond-financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?.		X						
b	If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of								
c	If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1.141-12 and 1.145-2?.		X						
9	Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1.141-12 and 1.145-2?.	X							

Part IV Arbitrage

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate?		X						
2	If "No" to line 1, did the following apply?								
a	Rebate not due yet?	X							
b	Exception to rebate?		X						
c	No rebate due?		X						
	If "Yes" to line 2c, provide in Part VI the date the rebate computation was performed								
3	Is the bond issue a variable rate issue?		X						
4a	Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?		X						
b	Name of provider	0							
c	Term of hedge								
d	Was the hedge superintegrated?								
e	Was the hedge terminated?								

Part IV **Arbitrage** *(Continued)*

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
5a	Were gross proceeds invested in a guaranteed investment contract (GIC)?		X						
b	Name of provider	0							
c	Term of GIC								
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
6	Were any gross proceeds invested beyond an available temporary period?		X						
7	Has the organization established written procedures to monitor the requirements of section 148? . . .	X							

Part V **Procedures To Undertake Corrective Action**

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations?	X							

Part VI **Supplemental Information.** Provide additional information for responses to questions on Schedule K (see instructions).

Schedule L
(Form 990 or 990-EZ)

Transactions with Interested Persons

▶ Complete if the organization answered "Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V, line 38a or 40b.
▶ Attach to Form 990 or Form 990-EZ.
▶ Information about Schedule L (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2015

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Name of the organization THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK	Employer identification number 13-5598093
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Part I Excess Benefit Transactions (section 501(c)(3), section 501(c)(4), and 501(c)(29) organizations only)

Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b

1	(a) Name of disqualified person	(b) Relationship between disqualified person and organization	(c) Description of transaction	(d) Corrected?	
				Yes	No

2 Enter the amount of tax incurred by organization managers or disqualified persons during the year under section 4958 ▶ \$

3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization ▶ \$

Part II Loans to and/or From Interested Persons.

Complete if the organization answered "Yes" on Form 990-EZ, Part V, line 38a, or Form 990, Part IV, line 26, or if the organization reported an amount on Form 990, Part X, line 5, 6, or 22

(a) Name of interested person	(b) Relationship with organization	(c) Purpose of loan	(d) Loan to or from the organization?		(e) Original principal amount	(f) Balance due	(g) In default?		(h) Approved by board or committee?		(i) Written agreement?	
			To	From			Yes	No	Yes	No	Yes	No
JOHN (1) COATSWORTH	OFFICER	HOUSING		X	1,475,000	1,824,141		No	Yes		Yes	
(2) DAVID MADIGAN	OFFICER	HOUSING		X	400,000	320,000		No	Yes		Yes	
Total						2,144,141						

Part III Grants or Assistance Benefiting Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of assistance	(d) Type of assistance	(e) Purpose of assistance

Part IV Business Transactions Involving Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
(1) JILL S GOLDMAN	SPOUSE OF KEY EMPLOYEE	101,823	EMPLOYMENT		No

Part V Supplemental Information

Provide additional information for responses to questions on Schedule L (see instructions)

Return Reference	Explanation
NOTES TO SCHEDULE L	ALL AMOUNTS SHOWN ON SCHEDULE L REPRESENT PAYMENTS BY THE UNIVERSITY TO THE INTERESTED PERSON THE SPOUSE OF THE KEY EMPLOYEE LISTED IN SCHEDULE L WAS AN EMPLOYEE OF THE UNIVERSITY DURING THE REPORTING PERIOD HER COMPENSATION REPORTED ON SCHEDULE L WAS DETERMINED IN ACCORDANCE WITH THE UNIVERSITY'S REGULAR COMPENSATION PRACTICES APPLICABLE TO SIMILARLY SITUATED EMPLOYEES NO UNIVERSITY OFFICIAL WHO WAS ALSO AN OFFICER OR DIRECTOR OF AN INTERESTED PERSON PARTICIPATED IN OR INFLUENCED THE UNIVERSITY'S DECISION ABOUT ANY TRANSACTION SCHEDULE L, PART II THE LOAN REPORTED FOR JOHN COATSWORTH IN PART II IS INTEREST BEARING AND SECURED BY AN INTEREST IN A PRIMARY RESIDENCE THE LOAN REPORTED FOR DAVID MADIGAN IN PART II IS INTEREST BEARING AND WAS MADE IN CONNECTION WITH A PRIMARY RESIDENCE

SCHEDULE M

(Form 990)

Noncash Contributions

OMB No 1545-0047

2015

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

▶Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.
▶ Attach to Form 990.
▶Information about Schedule M (Form 990) and its instructions is at www.irs.gov/form990

Name of the organization THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK	Employer identification number 13-5598093
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Part I

Types of Property

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art—Works of art	X	13		
2 Art—Historical treasures				
3 Art—Fractional interests				
4 Books and publications				
5 Clothing and household goods				
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities—Publicly traded				
10 Securities—Closely held stock				
11 Securities—Partnership, LLC, or trust interests	X	528	46,072,017	COST/SALES PRICE
12 Securities—Miscellaneous				
13 Qualified conservation contribution—Historic structures				
14 Qualified conservation contribution—Other				
15 Real estate—Residential				
16 Real estate—Commercial				
17 Real estate—Other				
18 Collectibles	X	3		
19 Food inventory	X	4		
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ▶ ()	See Additional Data			
26 Other ▶ ()				
27 Other ▶ ()				
28 Other ▶ ()				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement	29	30
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30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?	30a	Yes	No
b If "Yes," describe the arrangement in Part II			
31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?	31	Yes	
32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?	32a	Yes	
b If "Yes," describe in Part II			
33 If the organization did not report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II			

Part II Supplemental Information.

Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

Return Reference	Explanation
USE OF THIRD PARTIES TO SOLICIT, PROCESS, OR SELL NONCASH CONTRIBUTIONS	SCHEDULE M, PART I, LINE 32B THE UNIVERSITY USES THIRD PARTY INVESTMENT BROKERS TO SELL NON-CASH CONTRIBUTED SECURITIES THIRD PARTIES ARE NOT USED TO SOLICIT OR PROCESS ANY CONTRIBUTIONS
DESCRIPTION OF CONTRIBUTED PROPERTY FOR WHICH REVENUES ARE NOT REPORTED	SCHEDULE M, PART I, LINE 33 UNDER GENERALLY ACCEPTED ACCOUNTING PRINCIPLES, CONTRIBUTIONS OF WORKS OF ART, HISTORICAL TREASURES, AND SIMILAR ASSETS NEED NOT BE RECOGNIZED AS REVENUES AND CAPITALIZED IF THE DONATED ITEMS ARE ADDED TO COLLECTIONS HELD FOR PUBLIC EXHIBITION, EDUCATION, OR RESEARCH IN FURTHERANCE OF PUBLIC SERVICE RATHER THAN FINANCIAL GAIN BECAUSE THE UNIVERSITY UTILIZES CONTRIBUTIONS OF ART AND HISTORICAL TREASURES IN FURTHERANCE OF PUBLIC SERVICE, THESE CONTRIBUTIONS ARE NOT RECOGNIZED AS REVENUE FOR FINANCIAL STATEMENT PURPOSES IN ADDITION, MINOR GIFTS, SUCH AS FOOD OR SUPPLIES, ARE CONSIDERED DE MINIMUS
NUMBER OF CONTRIBUTIONS	SCHEDULE M, PART I, COLUMN (B) THE FIGURES IN COLUMN (B) REPRESENT THE NUMBER OF CONTRIBUTIONS RECEIVED

Additional Data

Software ID:

Software Version:

EIN: 13-5598093

Name: THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Part I, Types of Property, Lines 25-28

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
Other ► (EQUIPMENT)	X	9	0 0	
Other ► (EVENT TICKETS & FEES)	X	9	0 0	
Other ► (BOOKS)	X	10	0 0	
Other ► (HOUSEHOLD GOODS)	X	1	0 0	
Other ► (MUSICAL INSTRUMENTS)	X	1	0 0	
Other ► (CLOTHING & PERSONAL ITEMS)	X	3	0 0	

Return Reference	Explanation
SUPPLEMENTAL DISCLOSURES	<p>FORM 990, PART I, LINE 4 AND PART VI, LINE 1B DETAIL REGARDING THE NUMBER OF INDEPENDENT VOTING MEMBERS THE UNIVERSITY'S PRESIDENT, A VOTING MEMBER OF THE BOARD, IS NOT COUNTED AS INDEPENDENT BECAUSE HE IS AN EMPLOYEE OF THE UNIVERSITY IN ACCORDANCE WITH THE FORM 990 REPORTING INSTRUCTIONS, THE UNIVERSITY HAS ALSO NOT COUNTED AS INDEPENDENT FOR THE PURPOSES OF THIS QUESTION THE TRUSTEE LISTED ON SCHEDULE J WHO IS RETIRED FROM THE UNIVERSITY AND RECEIVED PREVIOUSLY-ACCURED COMPENSATION DURING THE REPORTING PERIOD BASED UPON HIS PRIOR UNIVERSITY EMPLOYMENT -----</p> <p>FORM 990, PART I, LINE 6 DETAIL REGARDING THE NUMBER OF VOLUNTEERS THE NUMBER SHOWN IS AN ESTIMATE OF ALUMNI VOLUNTEERS WHO WORK WITH THE UNIVERSITY'S CENTRAL ALUMNI OFFICE. ADDITIONAL VOLUNTEERS WORK IN MANY OF THE UNIVERSITY'S SCHOOLS, CENTERS AND OTHER PROGRAMS -----</p> <p>----- FORM 990, PART V, LINE 4B FOREIGN COUNTRY LIST BANGLADESH BRAZIL CHILE CHINA CONGO ETHIOPIA FRANCE GERMANY INDIA ITALY COTE D'IVOIRE (IVORY COAST) JAPAN JORDAN KAZAKHSTAN KENYA KYRGYZSTAN LESOTHO MALAWI MALI MOZAMBIQUE MYANMAR RWANDA SENEGAL SIERRA LEONE SOUTH AFRICA SOUTH SUDAN SWAZILAND TAJIKISTAN TANZANIA TURKEY UNITED KINGDOM (ENGLAND, NORTHERN IRELAND, SCOTLAND, AND WALES) UGANDA ZAMBIA ZIMBABWE ----- FORM 990, PART V, LINES 7G AND 7H CONTRIBUTIONS OF CERTAIN TYPES OF PROPERTY DURING THE YEAR ENDED JUNE 30, 2016, THE UNIVERSITY DID NOT RECEIVE ANY CONTRIBUTIONS OF QUALIFIED INTELLECTUAL PROPERTY, CARS, BOATS, AIRPLANES, OR OTHER VEHICLES AS A RESULT, THE UNIVERSITY WAS NOT REQUIRED TO FILE FORMS 8899 OR 1098-C TO REPORT SUCH CONTRIBUTIONS ----- FORM 990, PART VI, LINE 2 DETAIL OF FAMILY/BUSINESS RELATIONSHIPS ARMEN AVANESSIONS AND ESTA STECHER - BUSINESS RELATIONSHIP ANN KAPLAN AND ESTA STECHER - BUSINESS RELATIONSHIP ----- FORM 990, PART VI, LINE 11B PROCESS USED BY MANAGEMENT AND/OR GOVERNING BODY TO REVIEW 990 THE TRUSTEES AUDIT COMMITTEE REVIEWED THE FORM 990 FOLLOWING THAT REVIEW, THE FORM 990 WAS MADE AVAILABLE TO ALL TRUSTEES VIA A SECURE WEBSITE AND THEN PRESENTED TO THE FULL BOARD OF TRUSTEES AT A MEETING ON MAY 3, 2017 THE FINAL FORM WAS POSTED ON THE SECURE WEBSITE FOR TRUSTEE REVIEW PRIOR TO FILING -----</p> <p>----- FORM 990, PART VI, LINE 12C DESCRIPTION OF PROCESS TO MONITOR TRANSACTIONS FOR CONFLICTS OF INTEREST ALL TRUSTEES ARE SUBJECT TO THE "STATEMENT OF CONFLICT OF INTEREST POLICY FOR THE TRUSTEES OF COLUMBIA UNIVERSITY " WHEN A TRUSTEE BECOMES AWARE OF AN EXISTING OR POTENTIAL CONFLICT OF INTEREST, HE OR SHE HAS A DUTY TO (A) DISCLOSE THE CIRCUMSTANCES TO THE BOARD CHAIR OR OTHERS, AS APPROPRIATE, (B) REFRAIN FROM ACTING TO INFLUENCE ANY DECISION WHICH MIGHT IMPROPERLY BENEFIT THE TRUSTEE OR A FAMILY MEMBER, AND (C) RECUSE HIMSELF OR HERSELF FROM PARTICIPATION IN ANY DISCUSSIONS OR DECISIONS ABOUT THE POTENTIAL TRANSACTION OR ACTIVITY IN ADDITION, ALL TRUSTEES ARE REQUIRED TO DISCLOSE ANNUALLY INTERESTS THAT COULD GIVE RISE TO CONFLICTS THE DISCLOSURES ARE REVIEWED FOR APPROPRIATE ACTION ALL OFFICERS AND KEY EMPLOYEES ARE SUBJECT TO THE "STATEMENT OF UNIVERSITY POLICY ON CONFLICTS OF INTEREST " WHEN AN OFFICER OR KEY EMPLOYEE BECOMES AWARE OF AN EXISTING OR POTENTIAL CONFLICT OF INTEREST, HE OR SHE HAS A DUTY TO (A) DISCLOSE THE CIRCUMSTANCE TO HIS OR HER SUPERVISOR, THE TRUSTEES OR OTHERS, AS APPROPRIATE, (B) REFRAIN FROM ACTING TO INFLUENCE ANY DECISION WHICH MIGHT IMPROPERLY BENEFIT THE OFFICER OR EMPLOYEE OR A FAMILY MEMBER, AND (C) RECUSE HIMSELF OR HERSELF FROM PARTICIPATION IN ANY DISCUSSION OR DECISIONS ABOUT THE POTENTIAL TRANSACTION OR ACTIVITY IN ADDITION, ALL OFFICERS AND KEY EMPLOYEES ARE REQUIRED TO DISCLOSE ANNUALLY INTERESTS THAT COULD GIVE RISE TO CONFLICTS THESE DISCLOSURES ARE REVIEWED AND ANY DISCLOSURES THAT COULD GIVE RISE TO CONFLICTS AS IDENTIFIED THROUGH SUCH REVIEW ARE REPORTED TO AN APPROPRIATE SENIOR OFFICER ANY SUBSTANTIVE CONCERNS ARE ALSO REPORTED TO THE CHAIR OF THE BOARD OF TRUSTEES -----</p> <p>----- FORM 990, PART VI, LINES 15A AND 15B COMPENSATION PROCESS THE UNIVERSITY FOLLOWS THE REVIEW PROCESS DESCRIBED BELOW FOR ALL OFFICERS AND KEY EMPLOYEES THIS PROCESS HAS BEEN IN PLACE SINCE AT LEAST 2000 THE COMPENSATION FOR THE ORGANIZATION'S PRESIDENT IS REVIEWED BY A COMPENSATION COMMITTEE OF INDEPENDENT TRUSTEES AND APPROVED BY THE INDEPENDENT TRUSTEES ON THE FULL BOARD THE COMPENSATION OF OTHER OFFICERS AND KEY EMPLOYEES IS REVIEWED AND APPROVED BY THE COMPENSATION COMMITTEE OF INDEPENDENT TRUSTEES IN CONNECTION WITH THE PRESIDENT'S COMPENSATION, THE COMPENSATION COMMITTEE AND THE BOARD REVIEW COMPARABILITY DATA AND MINUTES OF DELIBERATIONS ARE RECORDED CONTEMPORANEOUSLY WITH ANY ACTION WITH RESPECT TO OTHER OFFICERS AND KEY EMPLOYEES, THE COMMITTEE FOLLOWS THE SAME PROCESS FOR REVIEW OF COMPARABILITY DATA AND CONTEMPORANEOUS DOCUMENTATION OF ITS ACTIONS IN THE CASE OF THE ORGANIZATION'S PRESIDENT AND CERTAIN OTHER OFFICERS AND KEY EMPLOYEES, THE COMPENSATION COMMITTEE HAS ALSO CONSULTED WITH INDEPENDENT COMPENSATION CONSULTANTS IN DETERMINING COMPENSATION THE COMPENSATION OF THE PRESIDENT AND THE EXECUTIVE VICE PRESIDENT OF THE INVESTMENT MANAGEMENT COMPANY IS REVIEWED AND APPROVED BY A COMPENSATION COMMITTEE OF THE INVESTMENT MANAGEMENT COMPANY'S BOARD OF MANAGERS SUCH COMPENSATION COMMITTEE IS COMPRISED OF INDEPENDENT MEMBERS OF THE BOARD MANAGERS "INDEPENDENT TRUSTEE"INDEPENDENT MEMBER" AS USED IN THIS FOOTNOTE MEANS WITHOUT A CONFLICT OF INTEREST WITHIN THE MEANING OF PARAGRAPH (c)(1)(iii) OF REGULATION 53.4958-6 OF THE INTERNAL REVENUE CODE IN ACCORDANCE WITH THE FORM 990 INSTRUCTIONS, PART VI, LINE 1B ABOVE USES A DIFFERENT DEFINITION ----- FORM 990, PART VI, LINE 19 DOCUMENTS AVAILABILITY TO PUBLIC THE ORGANIZATION'S CHARTER AND STATUTES, FINANCIAL STATEMENTS AND THE "STATEMENT OF UNIVERSITY POLICY ON CONFLICTS OF INTEREST" ARE AVAILABLE ON THE ORGANIZATION'S WEBSITE AT WWW.COLUMBIA.EDU ----- FORM 990, PART VII, SECTION A NOTE ON TRUSTEES TRUSTEES' TIME DEVOTED TO POSITION (4 HOURS PER WEEK) REPRESENTS AN AVERAGE FOR ALL TRUSTEES BASED ON ATTENDANCE AT QUARTERLY MEETINGS AND REGULARLY SCHEDULED TELEPHONIC CONFERENCES THROUGHOUT THE YEAR BOARD OFFICERS, COMMITTEE CHAIRS AND OTHER TRUSTEES OFTEN DEVOTE SIGNIFICANTLY MORE TIME TO THE POSITION ----- FORM 990, PART VII, SECTION A NOTE ON ROBERT KASDIN ROBERT KASDIN LEFT THE UNIVERSITY JUNE 30, 2015 AND THE COMPENSATION REFLECTED HERE IS FOR HIS SERVICE THROUGH THAT DATE DURING WHICH TIME HE WORKED AN AVERAGE OF 60 HOURS PER WEEK HE RECEIVED NO COMPENSATION FOR ANY PERIOD AFTER HE LEFT THE UNIVERSITY -----</p>

Return Reference	Explanation
FORM 990, PART VIII	<p>DETAIL REGARDING ROYALTY INCOME/EXPENSE THE UNIVERSITY PERIODICALLY FUNDS AND DEVELOPS PATENTS FOR CERTAIN TECHNOLOGIES, THEN LICENSES THE USAGE OF THESE PATENTS TO COMPANIES THE REVENUE, NET OF PAYMENTS DUE TO THIRD PARTIES, IS RECORDED IN "REVENUE FROM OTHER EDUCATIONAL AND RESEARCH ACTIVITIES" IN THE CONSOLIDATED STATEMENT OF ACTIVITIES COSTS INCURRED WITH DEVELOPING AND MAINTAINING THESE PATENTS ARE EXPENSED AS INCURRED SO THAT WHILE ROYALTIES ARE PAID TO FACULTY AND OTHERS IN CERTAIN CIRCUMSTANCES THESE AMOUNTS ARE NOT REFLECTED IN THE STATEMENT OF FUNCTIONAL EXPENSES -----</p> <p>----- FORM 990, PART VIII, LINE 8 AND SCHEDULE G, PART II DESCRIPTION OF ACCOUNTING FOR FUNDRAISING EVENTS AMOUNTS REPORTED FOR FUNDRAISING EVENTS REPRESENT REVENUE AND EXPENSES THAT ARE SEPARATELY TRACKED THE REVENUE AND EXPENSES FROM ADDITIONAL FUNDRAISING ACTIVITIES ARE NOT SEPARATELY TRACKED AND ARE INCLUDED WITHIN CONTRIBUTION REVENUE AND FUNDRAISING EXPENSES -----</p> <p>----- FORM 990, PART IX, LINE 5 COMPENSATION PAID TO CURRENT OFFICERS, DIRECTORS, TRUSTEES AND KEY EMPLOYEES COMPENSATION AMOUNTS PRESENTED AS MANAGEMENT AND GENERAL EXPENSES ARE FOR CURRENT OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES WHO ARE PART OF CENTRAL ADMINISTRATION WHILE THESE OFFICERS AND KEY EMPLOYEES SPEND A PORTION OF THEIR TIME ON PROGRAMMATIC ACTIVITIES IN ADDITION TO THEIR MANAGEMENT RESPONSIBILITIES, THE UNIVERSITY HAS CHOSEN NOT TO ALLOCATE THE EXPENSES BETWEEN CATEGORIES -----</p> <p>----- FORM 990, PART IX, LINE 11F INVESTMENT MANAGEMENT FEES THE INVESTMENT MANAGEMENT FEES REPORTED ON THE STATEMENT OF FUNCTIONAL EXPENSES REPRESENT FEES TO THIRD PARTY INVESTMENT MANAGERS AND INCLUDE MANAGEMENT FEE AND PERFORMANCE FEE EXPENSES ASSOCIATED WITH SEPARATELY MANAGED ACCOUNTS INVESTMENT MANAGEMENT FEES ASSOCIATED WITH FUND INVESTMENTS ARE REPORTED NET AGAINST INVESTMENT INCOME AND THEREFORE ARE NOT INCLUDED IN THE STATEMENT OF FUNCTIONAL EXPENSES -----</p> <p>----- FORM 990, PART IX FUNCTIONAL EXPENSES FORM 990, PART IX FUNCTIONAL EXPENSE TOTALS REFLECT THE ELIMINATION OF DEPARTMENTAL OVERHEAD RECOVERIES INCURRED BY PROGRAMMATIC BUSINESS UNITS THE MAJORITY OF RECOVERED EXPENSES ARE SHOWN IN LINE 24F, OTHER EXPENSES ---</p> <p>-----</p>

Return Reference	Explanation
FORM 990, PART X, LINE 7	<p>LOAN INFORMATION LOANS RECEIVABLE FROM STUDENTS TOTAL \$106,389,000 LOANS RECEIVABLE FROM NON-KEY EMPLOYEES TOTAL \$27,619,103 THE LOANS FROM NON-KEY EMPLOYEES HAVE VARIOUS INTEREST RATES RANGING FROM 0 41% TO 6 35%, WITH MATURITY DATES RANGING FROM 2016 THROUGH 2046 THE OUTSTANDING BALANCES RANGE FROM \$79 TO \$2,826,979 AND A PORTION OF WHICH ARE SECURED BY A PRINCIPAL RESIDENCE LOANS RECEIVABLE FROM OTHER 501(C)(3) ORGANIZATIONS TOTAL \$1,076,600 -----</p> <p>----- FORM 990, PART XI, LINE 9 OTHER CHANGES IN NET ASSETS OR FUND BALANCE CHANGE IN FUNDS HELD BY OTHER IN PERPETUITY (14,244,831) PRESENT VALUE ADJUSTMENT TO SPLIT INTEREST AGREEMENTS (11,565,702) CHANGES IN PENSION AND POST-RETIREMENT OBLIGATIONS (37,465,880) OTHER NON-OPERATING ADJUSTMENTS 26,767,827 -----</p> <p>TOTAL OTHER CHANGES IN NET ASSETS (36,508,586) ----- FORM 990, PART XII, LINE 2 AUDIT OF THE UNIVERSITY'S FINANCIAL STATEMENTS THE CONSOLIDATED FINANCIAL STATEMENTS OF THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK ARE AUDITED BY PRICEWATERHOUSECOOPERS, AN INDEPENDENT AUDITOR THE UNCONSOLIDATED FINANCIAL STATEMENTS REFLECTED IN THIS RETURN REPRESENT APPROXIMATELY 99% OF THE NET ASSETS OF THE CONSOLIDATED GROUP THE UNIVERSITY'S BOARD OF TRUSTEES HAS AN AUDIT COMMITTEE, COMPRISED OF INDEPENDENT TRUSTEES, WHICH IS RESPONSIBLE FOR OVERSIGHT OF THE AUDIT, REVIEW, AND COMPILATION OF THE FINANCIAL STATEMENTS AND SELECTION OF THE INDEPENDENT AUDITOR</p>

SCHEDULE R
(Form 990)

Related Organizations and Unrelated Partnerships

OMB No 1545-0047

2015

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.

▶ Attach to Form 990. ▶ Information about Schedule R (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK	Employer identification number 13-5598093
--	--

Part I

Identification of Disregarded Entities

Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
See Additional Data Table					

Part II

Identification of Related Tax-Exempt Organizations

Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No
See Additional Data Table							

Part III

Identification of Related Organizations Taxable as a Partnership

Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income(related, unrelated, excluded from tax under sections 512- 514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	
See Additional Data Table												

Part IV

Identification of Related Organizations Taxable as a Corporation or Trust

Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end- of-year assets	(h) Percentage ownership	(i) Section 512 (b)(13) controlled entity?	
								Yes	No
See Additional Data Table									

Part V

Transactions With Related Organizations

Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule

1

During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

a

Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity

1a

No

b

Gift, grant, or capital contribution to related organization(s)

1b

Yes

c

Gift, grant, or capital contribution from related organization(s)

1c

No

d

Loans or loan guarantees to or for related organization(s)

1d

No

e

Loans or loan guarantees by related organization(s)

1e

No

f

Dividends from related organization(s)

1f

No

g

Sale of assets to related organization(s)

1g

No

h

Purchase of assets from related organization(s)

1h

No

i

Exchange of assets with related organization(s)

1i

No

j

Lease of facilities, equipment, or other assets to related organization(s)

1j

Yes

k

Lease of facilities, equipment, or other assets from related organization(s)

1k

No

l

Performance of services or membership or fundraising solicitations for related organization(s)
.

1l

No

m

Performance of services or membership or fundraising solicitations by related organization(s)

1m

No

n

Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)

1n

No

o

Sharing of paid employees with related organization(s)

1o

No

p

Reimbursement paid to related organization(s) for expenses

1p

No

q

Reimbursement paid by related organization(s) for expenses

1q

No

r

Other transfer of cash or property to related organization(s)

1r

No

s

Other transfer of cash or property from related organization(s)

1s

Yes

2

If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
See Additional Data Table			

Schedule R (Form 990) 2015

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

[illegible]

Part VII **Supplemental Information**

Provide additional information for responses to questions on Schedule R (see instructions)

Return Reference	Explanation
SUPPLEMENTAL INFORMATION	<p>SCHEDULE R COLUMBIA UNIVERSITY OWNS AN 81.57% INTEREST IN 2700 BROADWAY CONDOMINIUM, WHICH IS TREATED AS A CONDOMINIUM ASSOCIATION UNDER NEW YORK STATE LAW ----- SCHEDULE R, PART I END-OF-YEAR ASSET REPORTING CERTAIN DISREGARDED ENTITIES LISTED IN SCHEDULE R, PART I MAY HOLD VEHICLES, OFFICE EQUIPMENT AND RELATED ITEMS, THE VALUE OF WHICH IS INCLUDED IN THE UNIVERSITY'S BALANCE SHEET IN PART X OF THIS FORM 990 BUT IS NOT REFLECTED IN COLUMN (E) END-OF-YEAR ASSETS ----- SCHEDULE R, PART I INCOME/ASSETS ATTRIBUTABLE TO CERTAIN DISREGARDED ENTITIES COLUMBIA UNIVERSITY IS THE SOLE MEMBER OF CIM I, LLC WHICH OWNS 100% OF THE SHARES ENTITLED TO THE INCOME AND ASSETS OF FPCM INFLATION-LINKED OPPORTUNITIES FUND, LIMITED TOTAL INCOME AND END-OF-YEAR ASSETS ATTRIBUTABLE TO CIM I, LLC REPRESENT A 100% SHARE OF TOTAL INCOME AND END-OF-YEAR ASSETS ATTRIBUTABLE TO FPCM INFLATION-LINKED OPPORTUNITIES FUND, LIMITED AS REPORTED IN SCHEDULE R, PART IV THE SHARES OWNED BY CIM I ARE NON-VOTING SHARES ----- SCHEDULE R, PART I, COLUMNS (D) AND (E) INCOME AND ASSETS ATTRIBUTABLE TO CERTAIN DISREGARDED ENTITIES COLUMBIA UNIVERSITY IS THE SOLE MEMBER OF CIM V, LLC, WHICH IS THE SOLE MEMBER OF CONQUEST MANAGED FUTURES SELECT FUND II, LLC TOTAL INCOME AND END-OF-YEAR ASSETS ATTRIBUTABLE TO CIM V, LLC REPRESENT A 100% SHARE OF TOTAL INCOME AND END-OF-YEAR ASSETS ATTRIBUTABLE TO CONQUEST MANAGED FUTURES SELECT FUND II, LLC, AS REPORTED IN SCHEDULE R, PART I -----</p> <p>----- SCHEDULE R, PART IV CONSISTENT WITH GAAP, THE UNIVERSITY DOES NOT CONSOLIDATE THE ENTITIES LISTED IN PART IV SCHEDULE R WHICH HAVE INVESTMENTS AS THEIR PRIMARY PURPOSE THIS IS BECAUSE THE UNIVERSITY DOES NOT HAVE SUFFICIENT VOTING POWER WITH RESPECT TO THESE ENTITIES TO SATISFY THE CONTROL TEST FOR CONSOLIDATION UNDER GAAP THE AMOUNTS SHOWN IN SCHEDULE R, PART IV, COLUMN (G) REFLECT THE UNIVERSITY'S SHARE OF THE NET ASSETS OF THESE ENTITIES FOR THE FISCAL YEAR REPORTING PERIOD WHICH, CONSISTENT WITH GAAP, IS REFLECTED ON LINE 16 OF THE BALANCE SHEET IN PART X OF THIS FORM 990 SIMILARLY, THE UNIVERSITY'S SHARE OF ANY CURRENT INCOME AND REALIZED GAINS FROM THESE ENTITIES IS REFLECTED IN COLUMN (F) OF SCHEDULE R, PART IV AND ON THE STATEMENT OF REVENUE IN PART VIII THESE ENTITIES EACH OPERATE ON A CALENDAR-YEAR BASIS, AND THE UNIVERSITY'S SHARE OF THE GROSS INCOME AND TOTAL ASSETS FOR EACH OF THESE ENTITIES FOR THE CALENDAR YEAR ENDED DECEMBER 31, 2015 IS SET FORTH BELOW ASM HUDSON RIVER FUND SHARE OF TOTAL (GROSS) INCOME (\$1,969,376) SHARE OF END-OF-YEAR (GROSS) ASSETS \$60,335,129 CALUNIUS LITIGATION RISK FUND LP SHARE OF TOTAL (GROSS) INCOME (\$919,378) SHARE OF END-OF-YEAR (GROSS) ASSETS \$17,114,310 CALUNIUS LITIGATION RISK FUND 2 LP SHARE OF TOTAL (GROSS) INCOME \$5,285 SHARE OF END-OF-YEAR (GROSS) ASSETS \$6,856,488 COLUMBIA/PATRON SCOTLAND LP SHARE OF TOTAL (GROSS) LOSS \$(471,718) SHARE OF END-OF-YEAR (GROSS) ASSETS \$3,161,045 FPCM INFLATION-LINKED OPPORTUNITIES FUND, LTD SHARE OF TOTAL (GROSS) INCOME \$11,593,329 SHARE OF END-OF-YEAR (GROSS) ASSETS \$928,471,518 HARBOUR LITIGATION INVESTMENT OFFSHORE FUND SHARE OF TOTAL (GROSS) INCOME \$0 SHARE OF END-OF-YEAR (GROSS) ASSETS \$4,992,406 HARBOUR OFFSHORE FUND II LP SHARE OF TOTAL (GROSS) LOSS \$0 SHARE OF END-OF-YEAR (GROSS) ASSETS \$15,131,429 NCH INVESTORS FUND (CU) CORP SHARE OF TOTAL (GROSS) LOSS \$52,331 SHARE OF END-OF-YEAR (GROSS) ASSETS \$1,913,760 -----</p> <p>----- SCHEDULE R, PART IV AS OF JUNE 30, 2016, THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK HELD MORE THAN A 50% BENEFICIAL INTEREST IN 62 CHARITABLE REMAINDER TRUSTS DOMICILED IN NEW YORK AND 2 POOLED INCOME FUNDS DOMICILED IN NEW YORK</p>

Additional Data

Software ID:

Software Version:

EIN: 13-5598093

Name: THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Form 990, Schedule R, Part I - Identification of Disregarded Entities

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary Activity	(c) Legal Domicile (State or Foreign Country)	(d) Total income	(e) End-of-year assets	(f) Direct Controlling Entity
(1) COLUMBIA INVESTMENT MGT COMPANY LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	INVEST MGMT	NY	0	0	COLUMBIA
(1) CIM I LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901851	INVEST HOLDNG	DE	0	429,951,888	COLUMBIA
(2) CIM II LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901854	INVEST HOLDNG	DE	0	0	COLUMBIA
(3) CIM III LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901855	INVEST HOLDNG	DE	26,319	0	COLUMBIA
(4) CIM IV LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901856	INVEST HOLDNG	DE	395,973	16,655,316	COLUMBIA
(5) CIM V LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901861	INVEST HOLDNG	DE	6,269,478	85,416,153	COLUMBIA
(6) CONQUEST MANAGED FUTURES SELECT FUND II 540 MADISON AVENUE 20TH FLOOR NEW YORK, NY 10022 13-5598093	INVESTMENTS	DE	6,269,478	85,416,153	CIM V
(7) CIM VI LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901857	INVEST HOLDNG	DE	0	0	COLUMBIA
(8) CIM VII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901858	INVEST HOLDNG	DE	0	0	COLUMBIA
(9) CIM VIII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901859	INVEST HOLDNG	DE	0	0	COLUMBIA
(10) CIM XII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901864	INVEST HOLDNG	DE	24,193,231	55,130,550	COLUMBIA
(11) CIM XIII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901865	INVEST HOLDNG	DE	0	0	COLUMBIA
(12) CIM XIV LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901866	INVEST HOLDNG	DE	0	0	COLUMBIA
(13) CIM XVII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 14-1977498	INVEST HOLDNG	DE	-19,177	2,616,866	COLUMBIA
(14) CIM XVIII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 14-1977500	INVEST HOLDNG	DE	0	0	COLUMBIA
(15) DYNAMO BRASIL VI LLC 1209 ORANGE STREET WILMINGTON, DE 19801 13-5598093	INVESTMENTS	DE	0	150,535,220	COLUMBIA
(16) COLUMBIA AFFILIATED PHYSICIANS IPA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 26-2162648	PHYSICNS PRAC	NY	739,282	2,099,107	COLUMBIA
(17) COLUMBIA DIGITAL KNOWLEDGE VENTURES LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 06-1576880	NEW MEDIA	NY	0	0	COLUMBIA
(18) HITHER PROPERTY LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 27-0201222	REAL ESTATE	DE	0	2,196,179	COLUMBIA
(19) CU GSAPP LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	EDUCATION	NY	0	0	COLUMBIA

Form 990, Schedule R, Part I - Identification of Disregarded Entities

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary Activity	(c) Legal Domicile (State or Foreign Country)	(d) Total income	(e) End-of-year assets	(f) Direct Controlling Entity
(21) ACCESS PROJECT LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTH RESCH	NY	0	0	COLUMBIA
(1) MSPH LESOTHO LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	56,668	COLUMBIA
(2) MSPH MOZAMBIQUE LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	284,970	COLUMBIA
(3) MSPH NIGERIA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	0	COLUMBIA
(4) MSPH RWANDA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	0	COLUMBIA
(5) MSPH SOUTH AFRICA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	76,293	COLUMBIA
(6) MSPH TANZANIA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	432,733	COLUMBIA
(7) MSPH TAJIKISTAN LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	0	COLUMBIA
(8) MSPH KG LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	0	COLUMBIA
(9) COLUMBIA INT'L PROJECTS LLC (KENYA) 412 LOW MEMORIAL LIBRARY 535 W 116 NEW YORK, NY 10027 13-5598093	EDUCATION	NY	0	0	COLUMBIA
(10) COLUMBIA GLOBAL CENTERS LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	EDUCATION	NY	0	0	COLUMBIA
(11) COLUMBIA GLOBAL RESEARCH INITIATIVES LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	EDUCATION	NY	0	0	COLUMBIA
(12) CU STUDIO LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	EDUCATION	NY	0	0	COLUMBIA

Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512 (b)(13) controlled entity?	
						Yes	No
CIS I LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901821	TITLE HOLDING	NY	501(C)(2)	N/A	COLUMBIA	Yes	
CIS II LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901826	TITLE HOLDING	NY	501(C)(2)	N/A	COLUMBIA	Yes	
COLUMBIA UNIVERSITY HEALTH CARE INC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3948652	HEALTHCARE	NY	501(C)(3)	9	COLUMBIA	Yes	
COL PRESBYTERIAN MEDICAL CENTER FUND INC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-6162924	FUNDRAISING	NY	501(C)(3)	11A	NA		No
COL UNIV RETIREE MED LIFE INS BNFITS TR 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3800106	BENEFITS SUPP	NY	501(C)(3)	11A	COLUMBIA	Yes	
COLUMBIA UNIVERSITY PRESS 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-1623968	ACADEMIC PUB	NY	501(C)(3)	11A	COLUMBIA	Yes	
FRIENDS OF COLUMBIA PSYCHOANALYTIC CTR 1051 RIVERSIDE DRIVE NEW YORK, NY 10032 13-3118570	EDUC SUPPORT	NY	501(C)(3)	11A	NA		No
MORNINGSIDE HEIGHTS LEGAL SERVICES INC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 51-0192355	CLINICAL EDUC	NY	501(C)(3)	11A	COLUMBIA	Yes	
REID HALL INC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-1611126	EDU/CUL EXCH	NY	501(C)(3)	11A	COLUMBIA	Yes	
CENTRE UNIVERSITAIRE AMERICAN REID HALL 535 WEST 116TH STREET NEW YORK, NY 10027	EDUCATION	FR	N/A	N/A	REID HALL	Yes	
COLUMBIA GLOBAL CENTERS I EUROPE (PARIS) 4 RUE DE CHEVREUSE PARIS 75006 FR	EDUCATION	FR	N/A	N/A	REID HALL	Yes	
CU NEW YORK 4 RUE DE CHEVREUSE PARIS 75006 FR	EDUCATION	FR	N/A	N/A	REID HALL	Yes	
RESEARCH COLLECTIONS AND PRES CONSORTIUM 400 FORRESTAL ROAD PRINCETON, NJ 08540 22-3751732	LIBRARY COLL	NJ	501(C)(3)	11A	NA		No
FOUNDATION FOR CTR FOR ENERGY MARINE 3225 GALLOWS RD FAIRFAX, VA 22037 54-1962639	EDUC SUPPORT	DE	501(C)(3)	11	NA		No
THE CLYDE & HELEN WU FAMILY FOUNDATION 1275 AUDOBON AVENUE GROSSE POINTE PK, MI 48230 38-3441481	EDUC SUPPORT	MI	501(C)(3)	11	NA		No
CENTER FOR ENVIRONMENT ECONOMY & SOCIETY 2852 BROADWAY NEW YORK, NY 10025	PROGRAM SRVCS	DR	N/A	N/A	CIP	Yes	
CENTER FOR NATL HLTH DEVELP IN ETHIOPIA KEBELE 06 H447 PO BX 664 ADDIS ABABA 1250 ET	HEALTHCARE	ET	N/A	N/A	COLUMBIA	Yes	
CENTERS FOR INTERNATIONAL PROJECTS TRUST APT 101 K-62 HAUZ KHAS ENCLAVE NEW DELHI 110016 IN	PROGRAM SRVCS	IN	N/A	N/A	CIP	Yes	
COLUMBIA GLOBAL CENTER IN INDIA 630 W 168TH STREET NEW YORK, NY 10032	PROGRAM SRVCS	IN	N/A	N/A	CGC LLC	Yes	
COLUMBIA UK FUND LTD 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027	FUNDRAISING	UK	N/A	N/A	COLUMBIA	Yes	

Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c) (3))	(f) Direct controlling entity	(g) Section 512 (b)(13) controlled entity?	
						Yes	No
COLUMBIA UNIVERSITY HONG KONG FUND GPO BOX 5314 CONNAUGHT PLACE HK	FUNDRAISING	HK	N/A	N/A	COLUMBIA	Yes	
MSPH SWAZILAND 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027	HEALTHCARE	WZ	N/A	N/A	CIP	Yes	
FUNDACION COLUMBIA U GLOBAL CTR - CHILE AVDAG HAMMASKJOLD 32691ST FL SANTIAGO CI	PROGRAM SRVCS	CI	N/A	N/A	COLUMBIA	Yes	
INSTITUTE ON MEDICINE AS A PROFESSION C/O 630 WEST 168TH STREET PS BOX NEW YORK, NY 10032 33-1033330	HEALTHCARE	DE	501(C)(3)	11C	NA		No
COLUMBIA GLOBAL CENTERS INITIATIVE 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027	PROGRAM SRVCS	FR	N/A	N/A	COLUMBIA	Yes	
COL GLOBAL CENTER EASTERN & SOUTH AFRICA PO BOX 51412 NAIROBI 00100 KE	PROGRAM SRVCS	KE	N/A	N/A	COLUMBIA	Yes	
CU MIDDLE EAST RESEARCH CENTER 5 MOHD AL SAD AL-BATAYNEH STREET AMMAN 11814 JO	EDUC SUPPORT	JO	N/A	N/A	COLUMBIA	Yes	
CENTER FOR INTL PROGRAMS ZIMBABWE TRUST 39 Lawson Av 42 Bates St Harare ZI	HLTH/PRG SRVS	ZI	N/A	N/A	COLUMBIA	Yes	
ASSOC OF CLLBTV STUDIO X PRJCTS BRAZIL RUA MARIA EUGENIA 90301 RIO DE JANEIRO 22261 BR	EDUCATION	BR	N/A	N/A	STUDIOGSAPP	Yes	

Form 990, Schedule R, Part III - Identification of Related Organizations Taxable as a Partnership

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal Domicile (State or Foreign Country)	(d) Direct Controlling Entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of- year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in Box 20 of Schedule K-1 (Form 1065)	(j) General or Managing Partner?		(k) Percentage ownership
							Yes	No		Yes	No	
AP MC FUND I LP MINAMIAOYAMA BLDG 4F 1-31 MINAMIAOYAMA 3 CHO- ME, MINATO-KU 107-0062 JA 98-0504300	INVESTMENTS	JA	COLUMBIA	EXCLUDED	3	93,674		No	0		No	66.478 %
AP RX FUND I LP MINAMIAOYAMA BLDG 4F 1-31 MINAMIAOYAMA 3 CHO- ME, MINATO-KU 107-0062 JA 98-0515907	INVESTMENTS	JA	COLUMBIA	EXCLUDED	119	0		No	0		No	0 %
MERIT ENERGY PARTNERS V LP 13727 NOEL ROAD SUITE 1200 DALLAS, TX 75240 75-2411038	INVESTMENTS	TX	COLUMBIA	EXCLUDED	3,893,310	20,268,660		No	0		No	98.275 %
PRIDES MONSTER FUND LP 200 HIGH STREET SUITE 700 BOSTON, MA 02110 27-0574628	INVESTMENTS	DE	COLUMBIA	EXCLUDED	0	0		No	0		No	0 %
WAYPOINT FUND I LP 1999 HARRISON STREET 22ND FLOOR OAKLAND, CA 94612 45-2787363	INVESTMENTS	CA	COLUMBIA	EXCLUDED	-21,127	0		No	0		No	0 %
SANJEEVINI INVESTMENT HOLDING IFS COURT TWENTYEIGHT CY EBENE MP 98-1113898	INVESTMENTS	MP	COLUMBIA	EXCLUDED	665,776	9,882,546		No	0		No	91.749 %
GCM GROSVENOR BLUE LP 767 FIFTH AVENUE 14TH FLOOR NEW YORK, NY 10010 30-0773582	INVESTMENTS	DE	COLUMBIA	EXCLUDED	3,104,324	50,862,124		No	0		No	100.000 %
Q INDIA EQUITY FUND LIMITED LETOILE DE MAHE GROUND FL COASTAL FLIC EN FLAC,REPUBLIC OF,MA MP 98-0494924	INVESTMENTS	MP	COLUMBIA	EXCLUDED	3,184,850	56,559,479		No	0		No	62.812 %
CANAAN RESOURCES PARTNERS DRILLING FD 1101 N Broadway Suite 300 OKLAHOMA CITY, OK 73103 36-4777388	INVESTMENTS	OK	COLUMBIA	EXCLUDED	1,361,629	45,970,950		No	0		No	99.000 %
VECTRA ENERGY DRILLING FUND I LP 2305 WEST BERRY AVENUE LITTLETON, CO 80120 47-1901248	INVESTMENTS	DE	COLUMBIA	UNRELATED	-113,354	150,602		No	-113,357		No	97.500 %
VECTRA ENERGY DRILLING FUND I-A LP 2305 WEST BERRY AVENUE LITTLETON, CO 80120 47-1842822	INVESTMENTS	DE	COLUMBIA	EXCLUDED	551,617	165,711		No	-554,851		No	99.900 %
DAVIS INVESTMENT VENTURES FUND III-B LP 125 High Street 21st Floor Boston, MA 02110 47-4999894	INVESTMENTS	DE	COLUMBIA	EXCLUDED	0	3,856,212		No	0		No	81.766 %
STAR ASIA OPPORTUNITY FUND III LP 125 Gaither Drive Suite L Mount Laurel, NJ 08054 98-1219564	INVESTMENTS	HK	COLUMBIA	EXCLUDED	0	0		No	0		No	98.800 %

Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of- year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity?	
								Yes	No
16 EAST 60TH STREET (1) CORPORATION 615 WEST 131ST STREET MC 8741 NEW YORK, NY 10027 13-3675222	REAL ESTATE	NY	COLUMBIA	C-CORP	0	0	100 000 %	Yes	
(1) 2301 12TH AVENUE OWNERS CORP 615 WEST 131ST STREET MC 8741 NEW YORK, NY 10027 99-1786950	REAL ESTATE	NY	COLUMBIA	C-CORP	0	0	100 000 %	Yes	
(2) COLUMBIA PRESBYTERIAN IPA 161 FORT WASHINGTON AVE SUITE 132 NEW YORK, NY 10032 13-3396165	PHYS PRACTICE	NY	COLUMBIA	C-CORP	0	0	100 000 %	Yes	
(3) FATHOM KNOWLEDGE NETWORK INC 615 WEST 131ST STREET MC 8741 NEW YORK, NY 10027 13-4054997	NEW MEDIA	NY	COLUMBIA	C-CORP	0	0	100 000 %	Yes	
(4) FREEZER BOX INC 615 WEST 131ST STREET MC 8741 NEW YORK, NY 10027 99-1596696	REAL ESTATE	NY	COLUMBIA	C-CORP	0	0	100 000 %	Yes	
(5) MORNINGSIDE INC 311 LOW MEMORIAL LIBRARY NEW YORK, NY 10027 06-1430120	REAL ESTATE	NY	COLUMBIA	C-CORP	0	0	100 000 %	Yes	
(6) COLUMBIA DOCTORS OF NEW JERSEY 615 WEST 131ST STREET MC 8741 NEW YORK, NY 10027 26-4364931	HEALTHCARE	NJ	COLUMBIA	C-CORP	1,941,100	818,685	100 000 %	Yes	
BEIJING DE GA INTRNTL (7) CONSULTING CTR LTD TOWERA TSINGHUA SCIENCEPARK CHENGFURD,HAIDIAN 100084 CH	EDUCATION	CH	COLUMBIA	FOREIGN CORP	0	461,752	100 000 %	Yes	
(8) ASM HUDSON RIVER FUND 190 ELGIN AVENUE GEORGE TOWN,GRAND CAYMAN KY1-9005 CJ	INVESTMENTS	CJ	COLUMBIA	REG MUTUAL FUND	12,999,954	50,471,027	100 000 %	Yes	
(9) CALUNIUS LITIGATION RISK FUND LP 1ST FL DOREY CRT ADMIRAL PK ST PETER PORT GY1 6HJ GK 98-0684876	INVESTMENTS	GK	COLUMBIA	FOREIGN CORP	0	15,179,364	75 000 %	Yes	
(10) COLUMBIAPATRON SCOTLAND LP 50 LOTHIAN RD FESTIVAL SQ EDINBURGH,SCOTLAND EH3 9BY UK	INVESTMENTS	UK	COLUMBIA	FOREIGN CORP	0	3,248,326	100 000 %	Yes	
FPCM INFLATION LINKED (11) OPPORTUNITIES LTD WALKER HSE87 MARY ST GEORGE TOWN,GRD CAYMAN KY1-9002 CJ	INVESTMENTS	CJ	COLUMBIA	FOREIGN CORP	0	429,951,888	100 000 %	Yes	
HARBOUR LITIGATION INVEST (12) OFFSHORE FUND PO BOX 309 UGLAND HOUSE GRAND CAYMAN KY1-1104 CJ 98-0660716	INVESTMENTS	CJ	COLUMBIA	FOREIGN CORP	5,311	4,931,573	100 000 %	Yes	
(13) NCH INVESTORS FUND (CU) CORP UGLANDS CHURCHPO309 GEORGE TOWN,GD CAYMAN KY1-1104 CJ	INVESTMENTS	CJ	COLUMBIA	FOREIGN CORP	0	900,932	100 000 %	Yes	
(14) CALUNIUS LITIGATION RISK FUND 2 LP PO656 TRAFALGAR CT LES BANQUES,ST PETER PT GY13PP GK 98-1162651	INVESTMENTS	GK	COLUMBIA	FOREIGN CORP	5,232	8,765,398	60 000 %	Yes	

Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of- year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity?	
								Yes	No
(16) HARBOUR OFFSHORE FUND II LP UGLAND HOUSE PO BOX 309 GRAND CAYMAN KY1-1104 CJ 98-1049614	INVESTMENTS	CJ	COLUMBIA	FOREIGN CORP	976,898	15,995,710	50 479 %	Yes	
CHARITABLE REMAINDER TRUSTS (1) (62)	N/A	NY	COLUMBIA	TRUST					
(2) POOLED INCOME FUNDS (2)	N/A	NY	COLUMBIA	TRUST					
CENTERS FOR INTERNATIONAL (3) PROGRAMS 535 WEST 116TH STREET NEW YORK, NY 10027 13-5598093	PROGRAM SRVCS	DE	COLUMBIA	C-CORP	0	1,923,821	100 000 %	Yes	
(4) GLOBAL HEALTH RESEARCH CNTR-CE 1255 AMSTERDAM AVENUE NEW YORK, NY 10027	PROGRAM SRVCS	DE	COLUMBIA	C-CORP	0	342,596	100 000 %	Yes	
ASSOCIACAO COLUMBIA GLOBAL (5) CENTERBRASIL RUA DA CANDELARIA 9 30 ANDAR CNT RIO DE JANEIRO BR	PROGRAM SRVCS	BR	CGC LLC	N/A	0	114,125	100 000 %	Yes	
UNIVERSITY WOMEN'S REALTY (6) CORPORATION 408 LOW MEMORIAL LIBRARY MC 4336 NEW YORK, NY 10025 13-2524803	REAL ESTATE	NY	COLUMBIA	C-CORP	0	0	100 000 %	Yes	
COLUMBIA DOCTORS OF BERGEN (7) COUNTY 615 WEST 131ST STREET MC 8741 NEW YORK, NY 10027 47-3568473	HEALTHCARE	NJ	COLUMBIA	C-CORP	199,658	198,584	100 000 %	Yes	
(8) COLUMBIA GLOBAL DANISMANLIK VE ARASTIRMA MECIDITE MAH MAVI SARAY 37/7 SARIYER TU	PROGRAM SRVCS	TU	COLUMBIA	FOREIGN CORP	219,454	0	100 000 %	Yes	
COLUMBIA RESEARCH AND (9) CONSULTANCY SRVCS EXPRESS TOWERS 11TH FL NARIMAN PT MUMBAI 400021 IN	PROGRAM SRVCS	IN	CU INT'L PRJCTS	FOREIGN CORP	0	0	100 000 %	Yes	

Form 990, Schedule R, Part V - Transactions With Related Organizations

(a) Name of related organization		(b) Transaction type(a-s)	(c) Amount Involved	(d) Method of determining amount involved
(1)	AP MC FUND I LP	S	55,890	FMV
(1)	ASM HUDSON RIVER FUND	S	12,999,954	FMV
(2)	CALUNIUS LITIGATION RISK FUND 2 LP	B	6,629,988	FMV
(3)	CANAAN RESOURCE PARTNERS DRILLING FUND LP	B	20,000,000	FMV
(4)	CANAAN RESOURCE PARTNERS DRILLING FUND LP	S	11,360,250	FMV
(5)	GCM GROSVENOR BLUE LP	B	5,315,443	FMV
(6)	GCM GROSVENOR BLUE LP	S	1,340,316	FMV
(7)	DAVIS INVESTMENT VENTURES FUND III-B LP	B	3,750,000	FMV
(8)	DAVIS INVESTMENT VENTURES FUND III-B LP	S	74,280	FMV
(9)	HARBOUR LITIGATION INVSTMNT OFFSHORE FUND LP	B	860,186	FMV
(10)	HARBOUR OFFSHORE FUND II LP	B	8,607,360	FMV
(11)	HARBOUR OFFSHORE FUND II LP	S	2,831,578	FMV
(12)	MERIT ENERGY PARTNERS V LP	S	1,485,000	FMV
(13)	NCH INVESTORS FUND (CU) CORP	S	119,048	FMV
(14)	SANJEEVINI INVESTMENT HOLDINGS	S	1,055,115	FMV
(15)	VECTRA ENERGY DRILLING FUND I-A	B	329,564	FMV
(16)	VECTRA ENERGY DRILLING FUND I-A	S	601,582	FMV
(17)	REID HALL INC	B	434,162	FMV
(18)	COLUMBIA UNIVERSITY HEALTH CARE INC	B	1,243,348	FMV
(19)	COLUMBIA UNIVERSITY PRESS	B	710,442	FMV
(20)	COLUMBIA DOCTORS OF NEW JERSEY	S	304,163	FMV