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Form 990

OMB No 1545 0047 2015

Return of Organization Exempt From Income Tax
Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

Do not enter social security numbers on this form as it may be made public.

Open to Public

| inte | mal Rever | nue Service | | Information abo | out Form 990 and its | instructions is at w | ww.irs.gov | /form990. | | _L | inspecti | on |
|--------------|-----------|----------------------|--------------------|-------------------------|--------------------------------------------------|-----------------------------------------|---------------------------------------|----------------|--------------------------------|---------------|---------------------|--------------------------------------------------|
| A | For the | e 2015 calenda | r year, or ta | x year beginnin | g 7/01 | , 2015, | and endin | g 6/3 | 30 | | 2016 | |
| B | Check if | applicable (| : | | | | | | D Employe | er identif | ication number | |
| | Add | iress change R | enssela | er Harrfor | d Graduate | Center In | 10 | | 06-0 | 7667 | 1Δ7 | |
| | Nan | | | sor Street | | Contest, In | | - 1 | E Te aphor | | | |
| | \vdash | , ID | | , CT 06120 | | | | | | | | |
| | \vdash | | | , | | | | | (860 |)) 54 | 8-2400 | |
| | H | return/te minated | | | | | | | | | | |
| | Am | ended return | | | | | | | G Gross re | | | 1,977 |
| | App | lication pending F | Name and a | idress of principal off | cer. | | | | Group return | | i | A X N |
| | | ls | ame As | C Above | | | i | H(b) Are all | subordinates ottoch a #st (| included |) Y• | 15 N |
| ī | Tax-e | | X 50!(c)(3) | 501(c) (|) ◄ (insert np.) | 4947(a)(1) cr | 527 | 11 110, (| PHOCHE PAL (| (366 11150 | (LCHOIS) | |
| T | Web | site: N/A | | ن | | | | Mat Grave | exemption nur | mhas Ba | | |
| K | | | Corporation | Trust As | sociation Other | | Year of formation | | | | and dominate . C | • 707 |
| | | | r Corporation | THUS! AS | authorn One. | 110 | rear or tornials | m 1955 |) 181 51 | ate of log | gal domicile C | I |
| P | ert I | Summary | | | | | | | | | | |
| | 1 1 8 | arietly describe | the organia | ation's mission | or most significal | n activities: Re | suzzefa | er Har | tford (| Gradu | <u>late Cen</u> | ter_ |
| စ္သ |] | Incexis | t to me | et the life | elong learn | <u>ing needs </u> | of_the_ | experi | <u>enced</u> | prof | <u>essiona)</u> | <u>ls.</u> |
| Ĕ | 1 ! | We are co | <u>omitted</u> | <u>to antici</u> p | pating and | <u>responding</u> | <u>to cha</u> | <u>nging</u> | <u>indivi</u> | <u>dual</u> | _and | |
| Ë | 1 3 | | | | nigh qualit | | | | | | | |
| Governance | 2 (| | | | iscontinued its op | | | re than 25 | 5% of its n | et ass | els. | |
| | | | | | g body (Part VI, I | | | | . [| 3 | | |
| οD | | | | | the governing bo | | | | ,[| 4 | | (|
| 嵳 | | | | | lendar year 2015 | |) | • | [| 5 | | 20 |
| Activities & | | | | • | essary) | | | | [| 6 | | |
| Ą | 1 | | | | t VIII. column (C) | • | • | | [| 7a | | 0 |
| | ЬΛ | Vet unrelated b | usiness tax | able income from | n Form 990-T, lin | e 34 | | | [| 7Ь | | 0 |
| | | | | | | | | Pr | ior Year | | Current' | Year |
| _ | 8 0 | Contributions a | nd grants (F | art VIII, line 1h) | | - | Califolici a | | | | | |
| Ž | 9 F | Program service | e revenue (l | Part VIII, line 2g |) | CENIED | · . | 13 | , 906, 78 | 83. | 1.74 | 1,740. |
| Revenue | 10 1 | nvestment inco | me (Part V | II, column (A), i | ines 3. 4. and 70 | | | | , 910, 83 | | | 9,150. |
| æ | | | | | 5, 60,38c, 9c, 10d | and 11e) | Q . | | / / | | | 3, 968. |
| | 12 T | fotal revenue - | add lines t | 3 through 11 (mi | ısı equal Pan Yu | I. Columbaar In | ne (2) | 15 | ,817,60 | 00 | | 6, 922. |
| | | | | | olumn (A), lines | | (en | | 147,79 | | | |
| | 14 E | Popolite noid to | or for more | hors (Part IV. c | olumn (A), Ime 4 | · • · · · · · · · · · · · · · · · · · · | | | 14/,1 | 26. | 12. | 5,802. |
| | | | | | | | <u></u> | ļ <u>-</u> - | | - | | |
| ø | | | | · - | nefits (Parl IX.) c | 000000 000 | 3.10) | 10 | , 269, 85 | 57. | 1,728 | <u>8,416.</u> |
| 至 | 16a F | rofessional fur | ndraising fee | es (Part IX, colu | mn (A), line 11e) | • • • • • • • • • • • • • • • • • • • • | | L | | | | |
| Expenses | ьт | otal fundraisin | g expenses | (Part IX, column | n (D), line 25) > | | | | | | | |
| ũ | 17 (| lher expenses | Part IX co | ilumo (A) lines | 11a-11d, 11f-24e |) | | | , 597, 44 | 16 | 1 471 | 274 |
| | | | • | | al Part IX, column | • | | | | | | <u>, 274.</u> |
| | 1 | • | | • • | - | | | | ,015,09 | | | 5,492. |
| | 19 F | revenue ress e | xpenses. St | btract line 18 tre | om line 12 | · · · · · | · · · · · · · · · | | , 802, 50 | _ | -1,498 | |
| 8 8 | _ | _ | | | | | | | of Current | | End of Y | |
| nd Bata | 20 T | otal assets (Pa | | - | | | | | , 116, 37 | | 11,289 |),822. |
| ¥ 2 | 21 T | otal liabilities (| (Part X, line | 26) | | | | 5 | 968,46 | 52. | 1,693 | 3,471. |
| ŽŽ | 22 N | let assets or fu | ind balances | . Subtract line 2 | 21 from line 20 . | | | 11 | 147,91 | 11 | 9.596 | 5,351. |
| Da | rt II | Signature | | | | | | | | | | 7,001. |
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| He | re | Shirl | ey Ann | Jackson | | | | Presi | dent | | · | |
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| Us | e Only | Firm's address | > | | - | | | ╌╌╤╬ | Furms E N = | | | |
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| INION. | DIE IT | อ นารแบรร เกเรา | reយោល With t | he preparer sho | WID ANDVE! 1588 | いっいひしいひりる)。 | | | _ | | Yes | No |

BAA For Paperwork Reduction Act Notice, see the separate instructions.

Form 990 (2015)

TEEA0-13L 10/12/15

| BAA | Z, 084, 218. TEEA0102L 10/12/15 | | Form 990 (2015) |
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| (Expenses \$ 4e Total program service expenses | including grants of \$ 2,684,218. |) (Revenue \$ | <u> </u> |
| 4d Other program services. (Describe | | | |
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| See Schedule O | | | |
| Graduate Programs | | | |
| · · · · · · · · · · · · · · · · · · · | 2, 684, 218. including grants of \$ | 125 802 \/ (Pavenue \$ | 1 741 740 \ |
| 4 Describe the organization's program Section 501(c)(3) and 501(c)(4) organization revenue, if any, for each program is any for each program and revenue, if any, for each program is a section of the control of the c | n service accomplishments for each of its three la panizations are required to report the amount of gi am service reported. | rigest program services, as me rants and allocations to others | , the lotal expenses, |
| If 'Yes,' describe these changes on | | | - |
| | ing, or make significant changes in how it conduc | ts, any program services? | Yes X No |
| If 'Yes,' describe these new service | s on Schedule O. | | |
| Form 990 or 990-EZ? | | The second of the prior | Yes X No |
| 2 Did the organization undertake any sid | gnificant program services during the year which were | not listed on the prior | |
| changing individual ar | nd organizational needs with hic | nh quality programs. | |
| of the experienced pro | fessionals. We are committed t | o anticipating and | responding to |
| | aduate Center, Inc. exist to me | et the lifelong lea | arning needs |
| Briefly describe the organization's relationships and the control of the con | | | |
| | Service Accomplishments is a response or note to any line in this Part III | | X |
| Part III Statement of Program | rtford Graduate Center, Inc | 06-076 | 56/4/ Page 2 |

TEEA0102L 10/12/15

Part IV | Checklist of Required Schedules Yes No Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If 'Yes,' complete 2 X 2 Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)? Did the organization ergage in direct or and rect political campaign activities on behalf of or in opposition to candidates for public office? If 'Yes,' complete Schedule C, Part I X 3 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) electron in effect during the tax year? If 'Yes,' complete Schedule C. Part II χ 4 5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If 'Yes,' complete Schedule C, Part III X 5 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If 'Yes,' complete Schedule D, 6 Х 7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If 'Yes,' complete Schedule D, Part II 7 Х Did the organization maintain collections of works of art, historical treasures, or other similar assets? If 'Yes,' complete Schedule D, Part III. 8 X Did the organization report an amount in Part X, line 21, for escrow or custodial account liability; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? If 'Yes,' complete Schedule D, Part IV X 9 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If 'Yes,' complete Schedule D, Part V X 10 If the organization's answer to any of the following questions is 'Yes', then complete Schedule D, Parts VI, Vii, VIII, IX, or X as applicable. a Did the organization report an amount for land, buildings and equipment in Part X, line 10? If 'Yes,' complete Schedule Х 11 a b Did the organization report an amount for investments — other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If 'Yes,' complete Schedule D, Part VII. 116 X c Did the organization report an amount for investments – program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If 'Yes,' complete Schedule D, Part VIII Х 11 c d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If 'Yes,' complete Schedule D, Part IX Х 11 d 11 e X e Did the organization report an amount for other flabilities in Part X, line 25? If 'Yes,' complete Schedule D, Part X f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If 'Yes,' complete Schedule D, Part X... X 11 f X 12a b Was the organization included in consolidated, independent audited financial statements for the tax year? If 'Yes,' and if the organization answered 'No' to line 12a, then completing Schedule D, Parts XI and XII is optional..... 12b 13 Is the organization a school described in section 170(b)(1)(A)(ii)? If 'Yes,' complete Schedule E. 13 X X 14a Did the organization maintain an office, employees, or agents outside of the United States? 14a X **14b** 15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If 'Yes,' complete Schedule F, Parts II and IV 15 X 16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If 'Yes,' complete Schedule F. Parts III and IV Х 16 17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part iX, column (A), lines 6 and 11e? If 'Yes,' complete Schedule G, Part I (see instructions) 17 X 18 Х Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If 'Yes' X 19

Form **990** (2015)

BAA

| Pa | rt IV Checklist of Required Schedules (continued) | | | |
|-----|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------|--------------|--------------|
| | | | Yes | No |
| 20a | Did the organization operate one or more hospital facilities? If 'Yes', complete Schedule H | . 20a | | X |
| ı | o If 'Yes' to line 20a, did the organization attach a copy of its audited financial statements to this return? | 20ь | <u> </u> | |
| 21 | Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? If 'Yes,' complete Schedule I, Parts I and II | 21 | <u> </u> | x |
| 22 | Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? If 'Yes,' complete Schedule I, Parts I and III. | 22 | x | |
| 23 | Did the organization answer 'Yes' to Part Vil, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If 'Yes,' complete | | | |
| | Schedule J | . 23 | X | |
| 24 | a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If 'Yes,' answer lines 24b through 24d and complete Schedule K, If 'No. 'go to line 25a, | 24a | | х |
| | b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? | 24b | | |
| | c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? | . 24c | | |
| | d Did the organization act as an 'on behalf of' issuer for bonds outstanding at any time during the year? | . 24d | | |
| 25 | a Section 501(c)(X3), 501(c)(X4), and 501(c)(X29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If 'Yes,' complete Schedule L, Part I | 25a | | х |
| | b is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If 'Yes,' complete Schedule L, Part I | 25b | | x |
| 26 | Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? If 'Yes', complete Schedule L, Part II. | 26 | | х |
| 27 | Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantia. contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? If 'Yes,' complete Schedule L. Part III | 27 | | x |
| 28 | Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions): | | | |
| | a A current or former officer, director, trustee, or key employee? If 'Yes,' complete Schedule L, Part IV. | 28a | | X |
| | b A family member of a current or former officer, director, trustee, or key employee? if 'Yes' complete Schedule L, Part IV | . 28b | <u>, </u> | x |
| | c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? If 'Yes,' complete Schedule L, Part IV. | 280 | | x |
| 29 | Did the organization receive more than \$25,000 in non-cash contributions? If 'Yes,' complete Schedule M | . 29 | | X |
| 30 | Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If 'Yes,' complete Schedule M | . 30 | | x |
| 31 | Did the organization liquidate, terminate, or dissolve and cease operations? If 'Yes,' complete Schedule N, Part I | . 31 | oxdot | X |
| 32 | Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If 'Yes,' complete Schedule N, Part II | . 32 | | x |
| 33 | Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If 'Yes,' complete Schedule R, Part I | 33 | | x |
| 34 | Was the organization related to any tax-exempt or taxable entity? If 'Yes,' complete Schedule R, Part II, III, or IV, and Part V, line 1 | 34 | x | |
| 35 | a Did the organization have a controlled entity within the meaning of section 512(b)(13)? | . 35a | | X |
| | b If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' complete Schedule R, Part V, line 2 | 356 | , | |
| 36 | | . 36 | | х |
| 37 | | | T | x |
| 38 | | 38 | x | 1 |

| Part V Statements Regarding Other IRS Filings and Tax Compliance | | | |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|-------|--------------|
| Check if Schedule O contains a response or note to any line in this Part V | | 1 22 | |
| 1 a Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable | | Yes | No |
| | | ۱ ، | l |
| c D d the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming | 4 | | |
| (gambling) winnings to prize winners? | 1 c | | |
| 2a Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return . 2a 2 | 0 | | |
| b If at least one is reported on line 2a, did the organization file all required federal employment tax returns? | 26 | X | |
| Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions) | 1 | | |
| 3a Did the organization have unrelated business gross income of \$1,000 or more during the year? | 3 a | | X |
| b 'f 'Yes' has it filed a Form 990-T for this year? If 'No' to line 3b, provide an explanation in Schedule 0 | 3 b | | |
| 4 a At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)? | 4 a | | х |
| b if 'Yes,' enter the name of the foreign country: ▶ | _ | | |
| See distructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts. (FBAR) |] | | 1 |
| 5a Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? | 5 a | | X |
| b Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction? | 5 b | | X |
| c If 'Yes,' to line 5a or 5b, did the organization file Form 8886-T? | 5 c | | |
| 6 a Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions? | 6 a | | х |
| b 1 'Yes,' did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? | 6 b | | |
| 7 Organizations that may receive deductible contributions under section 170(c). | | | |
| a Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and | | | x |
| • | 7a 7b | | |
| b if 'Yes,' did the organization notify the donor of the value of the goods or services provided? | / P | | |
| Form 8282? | 7с | | Х |
| d If 'Yes,' indicate the number of Forms 8282 filed during the year | 4 _ 1 | | |
| e Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | 7e | | X |
| f Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 71 | | X |
| g If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required? | 7 g | | ! <u> </u> |
| h If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a | 7h | | |
| 8 Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the sponsoring | | | . |
| organization have excess business holdings at any time during the year?. | 8 | | |
| 9 Sponsoring organizations maintaining donor advised funds. | | | |
| a Did the sponsoring organization make any taxable distributions under section 4966? | 9a | | |
| b Did the sponsoring organization make a distribution to a donor, donor advisor, or related person? | 96 | | |
| 10 Section 501(c)(7) organizations. Enter: | | | |
| a Initiation fees and capital contributions included on Part VIII, line 12 | J 1 | 1 | |
| b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities 10b |] | | |
| 11 Section 501(c)(12) organizations. Enter: | 7 1 | j | |
| a Gross income from members or shareholders |] | | |
| b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.) |] | , | |
| 12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041? | 12a |] | |
| b If 'Yes,' enter the amount of tax-exempt interest received or accrued during the year 12b | | | , |
| 13 Section 501(c)(29) qualified nonprofit health insurance issuers. | 7 [|] | |
| a is the organization licensed to issue qualified health plans in more than one state? | 13a | } | |
| Note. See the instructions for additional information the organization must report on Schedule O | | | |
| b Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans | | } | |
| c Enter the amount of reserves on hand | 7 | [| |
| 14a Did the organization receive any payments for indoor tanning services during the tax year? | 14a | | X |
| b If 'Yes,' has it filed a Form 720 to report these payments? If 'No,' provide an explanation in Schedule O | 14 b | | |
| BAA TEEA01051 10/12/15 | Form | 990 (| 2015) |

| Pai | rt VI Governance, Management, and Disclosure For each 'Yes' response to lines 2 through 7b be | low. | and | for |
|----------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|---------------|--------------------------------------------------|
| <u> </u> | a 'No' response to line 8a, 8b, or 10b below, describe the circumstances, processes, or chan Schedule O. See instructions. | ges ii | 7 | _ |
| | Check if Schedule O contains a response or note to any line in this Part VI | <u></u> | •••• | <u> X</u> |
| Sec | ction A. Governing Body and Management | - | Vaa | - NI - |
| 1 : | Enter the number of voting members of the governing body at the end of the tax year. If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O | | Yes | No |
| , | authority to an executive committee or similar committee, explain in Schedule O b Enter the number of voting members included in line 1a, above, who are independent 1 b | | | |
| | Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee? | 2 | ٠ | <u>x</u> |
| 3 | Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person? | 3 | | х |
| 4 | Did the organization make any significant changes to its governing documents | | | v |
| _ | since the prior Form 990 was filed? | 4 | | X X |
| 5 | Did the organization become aware during the year of a significant diversion of the organization's assets? | 5 | | X |
| 6 | Did the organization have members or stockholders? | 6 | | X |
| 7 : | a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body? | 7 a | | х |
| 1 | b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body? | 7 b | | Х |
| 8 | Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following: | , | | , |
| | a The governing body? b Each committee with authority to act on behalf of the governing body? | 8a 8b | <u>X</u> | X |
| 9 | Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the | | | |
| | organization's mailing address? If 'Yes,' provide the names and addresses in Schedule O. | 9 | | L X |
| Sec | ction B. Policies (This Section B requests information about policies not required by the Internal Re | evenu | | |
| 10 | a Did the organization have local chapters, branches, or affiliates? | 10a | Yes | No X |
| | b if 'Yes,' did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their | 104 | | ^- |
| 11. | operations are consistent with the organization's exempt purposes? a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? | 10b | X | |
| | b Describe in Schedule O the process, if any, used by the organization to review this Form 990. See Schedule O | | | - |
| | a Did the organization have a written conflict of interest policy? If 'No,' go to line 13 | 12a | x | } ' |
| | b Were officers, directors, or trustees, and key employees required to disclose annually enterests that could give rise | 12.0 | | |
| | to conflicts? | 12b | <u> X</u> | |
| , | c Did the organization regularly and consistently monitor and enforce compliance with the policy? If 'Yes,' describe in Schedule O how this was doneSee. Schedule Q | 12 c | X | |
| 13 | Did the organization have a written whistleblower policy? , | 13 | X | |
| | Did the organization have a written document retention and destruction policy? | 14 | X | <u></u> |
| | Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision? | | | |
| | a The organization's CEO, Executive Director, or top management official. See Schedule, O | 15a | X | |
| i | b Other officers or key employees of the organization, | 15b | Х | |
| 16 | If 'Yes' to line 15a or 15b, describe the process in Schedule O (see instructions). a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a | , c (| - - | · |
| , | taxable entity during the year? | 16a | , | X |
| | participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements? | 16b | , | |
| | ction C. Disclosure | | | |
| 17 18 | List the states with which a copy of this Form 990 is required to be filed None Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3): | only) | avail | able |
| | for public inspection. Indicate how you made these available. Check all that apply. Own website Another's website W Upon request Other (explain in Schedule O) | • | | |
| 19 | Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available. | ble to | | |
| 20 | the public during the tax year. See Schedule O State the name, address, and telephone number of the person who possesses the organization's books and records: | | | |
| | RPI, Controller's Office 110 8th Street Troy NY 12180 (518) 276-6426 | | | |
| DAG | | <u></u> | 000 | (2015) |

| Form 990 (2015) | Rensselaer | Hartford (| Graduate C | enter, Ind | С | 06-076 | 6747 |
|-----------------|----------------|---------------|---------------|-------------|---------------|-----------------|---------|
| Part VII Com | pensation of O | ficers, Direc | tors, Truster | es, Key Emp | oloyees, High | est Compensated | Employe |

Check if Schedule O contains a response or note to any line in this Part VII.

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

- 1 a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.
- List all of the organization's current officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation Enter -0- in columns (D), (E), and (F) if no compensation was paid.
 - · List all of the organization's current key employees, if any. See instructions for definition of 'key employees.'
- List the organization's five current highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's former officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's former directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors, institutional trustees; officers; key employees; highest compensated employees, and former such persons.

| Check this box if neither the organization nor any relation | ed organiz | ation | con | | | ed any | cu | rrent officer, direct | or, or trustee. | | |
|-------------------------------------------------------------|----------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------|------------------------|--------------------------------------------------------------------------------------|----|-------------------------------------|------------------------------------------|---------------------------------------------------|--------------------------------------------------------|--------------------------------------------|--|
| | |] | | (C |) | | | | | | |
| (A) Name and Title | (B) Average hours per | Position (do not check more than one box, unless person is both an officer and a director/trustee) | | | | | | (D) Reportable compensation from the organization | (E) Reportable compensation from related organizations | (F) Estimated amount of other compensation | |
| | per week (list any hours for retated organiza- tions below dotted line) | or director | Institutional Irustico | Fermer Fermer Highest compensated employee Key amployee Officer Institutional fusice | | the organization (W 2:1099 MISC) | related organizations (W 2/1099 MISC) | from the organization and related organizations | | | |
| (1) Shirley Ann Jackson | 2 | 1 | | | | | | | | | |
| President | 55 | <u> </u> | | X | | | | 0. | 1,392,864. | 745,444. | |
| (2) Craig A. Cook | 2_ | | | | | | | | | | |
| Secretary | 40 | X | | L | | | | 0. | 330,610. | 36,971. | |
| (3) Curtis N. Powell | 2 | - | | | | ПТ | | | | | |
| Trustee | 45 | Х | | <u> </u> | L_ | | | 0. | 766,683. | 158,745. | |
| (4) Virginia C. Gregg | 2 |] | | | | | 7 | | | | |
| Trustee | 40 | Х | L | | | | J | 0. | 439,481. | 37,611. | |
| (5) David Rainey | 40 | i | | | | | ٦ | | | | |
| Acting Dean | 0 | | | | | X | | 0. | 182,598. | 30,882. | |
| (6) Brian Clement | 40 | | | | | [] | ٦ | | | | |
| Director, Tech & I | 0 | | | | | <u> x </u> | } | 0. | 109,771. | 36,018. | |
| (7) Sudhangshu Bose | 40 | | | | | | | | | | |
| Prof of Practice | 0 | | | | 1 | x | ١ | 0.1 | 108,288. | 8,672. | |
| (8) James Stodder | 40 | | | | | | | | | | |
| Prof of Practice | 0 | | | | 1 | X | - [| 0. | 103,541. | 29,681. | |
| (9) Norberto Lemcoff | 40 | | | | | | 7 | | | | |
| Prof of Practice | 0 | | | | } | x | | 0. | 102,939. | 24,703. | |
| (10) | | | | | | | | | | | |
| | | | l i | | | 1_1 | ł | | | | |
| (11) | | | | | | | | | | | |
| (12) | | | | | | | 1 | | | | |
| (13) | | | | | | | 1 | | | | |
| (14) | | | | | | | 1 | | | | |
| BAA | TEEAOI | 07L | 10/1: | 2/15 | | | | I | | Form 990 (2015) | |

| Form 990 (2015) Rensselaer Hartford Gra Part VII Section A. Officers, Directors, Tru | duate | Cen | te En | r, polo | In | C es. | and | d Highest Con | 06-076674 | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------|-------------------------|---------------------------|-----------------------------------|-------------------------|---------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|
| (A) Name and title | Average hours per week | (do | nol c unle cer ai | Po: heck ess pond a | stion more erson directi | that is the compensated | one h an lee) | (D) | (E) Reportable compensation from related organizations (W 2/1099 M SC) | (F) Estimated amount of other compensation from the organization and related organizations |
| (15) | trne) | 6 | 8 | _ | | 53100 | | | | |
| (16) | | - | | - | | | | | <u></u> | |
| (17) | | - | | _ | - | | | | | |
| (18) | | _ | | _ | _ | | | | | |
| (19) | | - | - | _ | | | | | | |
| (20) | | - | | - | _ | | - | | | |
| (21) | | | | | - | | | | ! | |
| (22) | | - | | | | | | ! | | |
| (23) | | | | | | | | | | |
| (24) | | | - | - | | | | | | |
| (25) | | | | | | | | | | |
| 1 b Sub-total c Total from continuation sheets to Part VII, Section d Total (add lines 1b and 1c) 2 Total number of individuals (including but not limited) | <u></u> | | | | | | > ved | 0. 0. 0. more than \$100,00 | 3,536,775. 0. 3,536,775. | 1,108,727. 0. 1,108,727. pensation |
| from the organization 0 | | | | | | | -, | | | Yes No |
| 3 Did the organization list any former officer, direct on line 1a? If 'Yes,' complete Schedule J for suc | tor, or tru h ındıvidu | istee, ial. | , key | , en | plo | yee. | or h | nighest compensa | ted employee | 3 X |
| 4 For any individual listed on line 1a, is the sum of the organization and related organizations greate such individual | er than \$1 | le co 50,0 | 00? | // 'Y | es' | and com | oth <i>piel</i> | le Schedule J for | from | 4 X |
| 5 Did any person listed on line 1a receive or accrufor services rendered to the organization? If 'Yes | e compen | satio | n fr | om | any <i>J to</i> | unre r suc | late | ed organization or | individual | 5 X |
| Section B. Independent Contractors 1 Complete this table for your five highest compen | sated ind | epen | den | t co | ntra | clors | tha | at received more t | han \$100,000 of | |
| compensation from the organization. Report compensation (A) Name and business add | | ine c | alen | iuar | year | endi | ng v | Description | | (C) Compensation |
| | | | | | | | | | | |
| | | | | | | | | | | |
| 2 Total number of independent contractors (including t | oul not lim | iled to | o the | ose l | isted | abo | ve) | who received more | than | |
| \$100,000 of compensation from the organization | D | TEEA | | | | | | | | Form 990 (2015) |

e Total. Add lines 11a-11d.

12 Total revenue, See instructions.

<u>-13,968</u>

1.692.717

1,826,922.

134,205.

0.

| Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A). Check if Schedule O contains a response or note to any line in this Part IX. | | | | | | | | | | | |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------|---------------------------------------|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|--|--|--|--|
| Do i | not include amounts reported on lines 7b, 8b, 9b, and 10b of Part VIII. | (A) Total expenses | (B) Program service expenses | (C) Management and general expenses | (D) Fundraising expenses | | | | | | |
| 1 | Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21 | | | | 10 | | | | | | |
| 2 | Grants and other assistance to domestic individuals. See Part IV, line 22. | 125,802. | 125,802. | | e nejmentary no e e e | | | | | | |
| 3 | Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16 | | | | The second of th | | | | | | |
| 4 | Benefits paid to or for members | | | | र्या है सम्बद्ध कर के कर के किया है। सर्वे के प्रदेश के किया के किया है। | | | | | | |
| 5 | Compensation of current officers, directors, trustees, and key employees | 0. | 0. | 0. | 0. | | | | | | |
| 6 | Compensation not included above, to disqualified persons (as defined under section 4958(I)(1)) and persons described in section 4958(c)(3)(B) | | | | | | | | | | |
| 7 | | 0. 1,386,265. | 0. 1,269,458. | 0. 116,807. | 0. | | | | | | |
| 8 | Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions) | 1,380,283. | 1,209,436. | 110,007. | | | | | | | |
| 9 | Other employee benefits | 342,151. | 385,292. | -43,141. | | | | | | | |
| | Payroll taxes | | | | | | | | | | |
| 11 | Fees for services (non-employees) | | | | | | | | | | |
| | Management. , | | | | | | | | | | |
| 1 | Legal | | | | | | | | | | |
| | Accounting | | | | | | | | | | |
| | Lobbying | | | | | | | | | | |
| | Professional fundraising services. See Part IV, line 17 | | · · · · · · · · · · · · · · · · · · · | | | | | | | | |
| | Investment management fees | · | | | | | | | | | |
| Ç | Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule 0.) | 306, 933. | 230,481. | 76,452. | | | | | | | |
| 12 | Advertising and promotion | 15,398. | 15,398. | | | | | | | | |
| 13 | Office expenses , , | 128,993. | 126,148. | 2,845. | | | | | | | |
| 14 | Information technology | 8,404. | 8,404. | | | | | | | | |
| 15 | Royalties | | | | , | | | | | | |
| 16 | Occupancy | 618,588. | 257,974. | 360,614. | | | | | | | |
| 17 | Travel | 14,946. | 14,501. | 445. | | | | | | | |
| 18 | Payments of travel or entertainment expenses for any federal, state, or local public officials | | | | | | | | | | |
| | Conferences, conventions, and meetings | 700. | 662. | 38. | | | | | | | |
| 20 | Interest | | | | | | | | | | |
| | Payments to affiliates | | | | | | | | | | |
| 22 | | 261,573. | 161,132. | 100,441. | | | | | | | |
| 23 24 | Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.) | | | | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | | | | | | |
| | Other miscellaneous | 68,212. | 41,577. | 26,635. | | | | | | | |
| | Printing and Publications | 47,389. | 47,389. | | | | | | | | |
| | Bad Debt | 138. | | 138. | | | | | | | |
| | | | | | | | | | | | |
| | All other expenses | | | | | | | | | | |
| _25 | Total functional expenses. Add lines 1 through 24e | 3,325,492. | 2,684,218. | 641,274. | 0. | | | | | | |
| 26 | Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here \(\sum_{\text{off}} \) if following SOP 98-2 (ASC 958-720) | | | | | | | | | | |
| RAA | | - | <u> </u> | | Form 00f (2015) | | | | | | |

Part X Balance Sheet

Check if Schedule O contains a response or note to any line in this Part X (A) Beginning of year (B) End of year 1 Savings and temporary cash investments 2 19,538. 1,134,382 3 Pledges and grants receivable, net 3 Accounts receivable, net 6,514,950. 10,816,540. 4 Loans and other receivables from current and former officers, directors, frustees, key employees, and highest compensated employees. Complete Part II of Schedule L. 5 6 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(8), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees beneficiary organizations (see instructions). Complete Part II of Schedule L... 6 7 8 Inventories for sale or use.... 8 9 Prepaid expenses and deferred charges 19,724. 9 10a Land, buildings, and equipment: cost or other basis.
Complete Part VI of Schedule D. 10a 17, 251, 313 10b 15, 238, 397 2,303,993 2,012,916. 11 Investments - publicly traded securities 2,841,734 77 2,742,418. Investments - other securities. See Part IV, line 11 12 Investments - program-related. See Part IV, line 11 13 13 14 Intanoible assets 14 Other assets. See Part IV. line 11........ 15 15 Total assets. Add lines 1 through 15 (must equal line 34) 16 17,116,373. 16 11, 289, 822 17 589,122. 17 24,472 18 18 19 Deferred revenue 3,656,299 19 Tax-exempt bond liabilities 20 20 Escrow or custodial account liability. Complete Part IV of Schedule D 21 Loans and other payables to current and former officers, directors, trustees, 22 Secured mortgages and notes payable to unrelated third parties 23 Unsecured notes and loans payable to unrelated third parties 24 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D. 25 1,723,041 1,668,999. Total liabilities. Add lines 17 through 25 . 26 5,968,462 1,693,471. Organizations that follow SFAS 117 (ASC 958), check here X and complete Balances lines 27 through 29, and lines 33 and 34. 27 11,124,522 9,572,962. 23,389 28 23, 389. Permanently restricted net assets. 29 Fund Organizations that do not follow SFAS 117 (ASC 958), check here > and complete lines 30 through 34. ъ 30 Capital stock or trust principal, or current funds 30 9 Paid-in or capital surplus, or land, building, or equipment fund 31 Retained earnings, endowment, accumulated income, or other funds ... 32 萋 Total net assets or fund balances... 33 33 11, 147, 911 9,596,351 34 Total liabilities and net assets/fund balances ..., 34 17,116,373. 11,289,822. BAA Form 990 (2015)

| Forn | 1990 (2015) Rensselaer Hartford Graduate Center, Inc. | <u>5-(</u> | 1766 | 747 | | Pa | ige 12 |
|------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|--------|-----|-----------|-------------|--------------|
| Pa | t XI Reconciliation of Net Assets | | | | | | |
| | Check if Schedule O contains a response or note to any line in this Part XI | <u> </u> | | | | | _X |
| 1 | Total revenue (must equal Part VIII, column (A), line 12) | \cdots | 1 | | 1,8 | 26, 9 | 922. |
| 2 | Total expenses (must equal Part IX, column (A), tine 25) | . [| 2 | | | | 192. |
| 3 | Revenue less expenses. Subtract line 2 from line 1 | ſ | 3 | - | 1,4 | | |
| 4 | Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A)) | | 4 | | 1,1 | | |
| 5 | Net unrealized gains (losses) on investments | | 5 | | | 99, 3 | 316. |
| 6 | Donated services and use of facilities | . [| 6 | | | | |
| 7 | Investment expenses | . [| 7 | | | | |
| 8 | Prior period adjustments | . [| 8 | | | | |
| 9 | Other changes in net assets or fund balances (explain in Schedule O). See Schedule O | ٠, ا | 9 | · | | 46,3 | 326. |
| 10 | Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, | - { | | | | | |
| | column (B)) | ك | 10 | | 9,5 | 96, | <u> 351.</u> |
| Pa | t XII Financial Statements and Reporting | | | | | | |
| | Check if Schedule O contains a response or note to any line in this Part XII | | | | _ • _ • _ | . <u></u> _ | : D |
| | | | | | | Yes | No |
| 1 | Accounting method used to prepare the Form 990: Cash X Accrual Other | | | | | | , |
| | If the organization changed its method of accounting from a prior year or checked 'Other,' explain | | | | | | - |
| | in Schedule O | | | i | | ļ. `., | |
| 2 | Were the organization's financial statements compiled or reviewed by an independent accountant? . | | | | 2 a | - | X |
| | If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or review | ewe | d on a | a | : | | |
| | separate basis, consolidated basis, or both: | | | | | | l |
| | Separate basis Consolidated basis Both consolidated and separate basis | | | | ì | | (|
| | Were the organization's financial statements audited by an independent accountant? | | | | 2 b | X | l |
| | If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a sep | ara | te | | | * 15 | ·{· |
| | basis, consolidated basis, or both: | | | | | | |
| | Separate basis X Consolidated basis Both consolidated and separate basis | | | | | , , 1 | |
| | of the action of the action of the organization have a committee that assumes responsibility for oversight of the actions to the action of the | ıdıt, | | | | | j |
| | review, or compilation of its financial statements and selection of an independent accountant? | | | | 2c | <u> </u> | ├ |
| | If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O. | | | | | 1 | ł |
| 3 | a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single | e | | | | ' | L |
| • | Audit Act and OMB Circular A-133? | _ | | | 3 a | X | <u> </u> |
| | bilf 'Yes,' did the organization undergo the required audit or audits? If the organization did not undergo the required | aud | न | | | | |
| | or audits, explain why in Schedule O and describe any steps taken to undergo such audits | | | | 36 | Х | |
| BA | | _ | | | Form | 1 990 | (2015 |

SCHEDULE A (Form 990 or 990-EZ)

Department of the Treasury Infernal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(cX3) organization or a section 4947(aX1) nonexempt charitable trust.

► Attach to Form 990 or Form 990-EZ.

► Information about Schedule A (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No 1545 0047 2015

Open to Public inspection

| Name of the organizati | me of the organization Employer Identification number | | | | | | | | | | | |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------------------------------------------------|-------------------------------------------------------|------------------------|---------------------------------------------------|-------------------------------------------------|--|--|--|--|--|
| Rensselaer | Hartford Gra | duate Center, | Inc | | | 06-076674 | 7 | | | | | |
| Part Reaso | n for Public Ch | arity Status (All o | rganizations must | complete | this | part.) See instruc | tions. | | | | | |
| | | | For lines 1 through 11, | | | | | | | | | |
| 1 A church | , convention of churc | hes, or association of c | hurches described in sec | tion 170(b) | KIXAXI |). | | | | | | |
| — | | | Schedule E (Form 990 o | | | | | | | | | |
|) | | | ization described in se | | | VIII) | | | | | | |
| | • | • | unction with a hospital | - | | • • | inter the bosoutal's | | | | | |
| | aly, and state: | strott operated in com | anction min a nospital | 003011000 | m see | 1011 17 O(O)(1 (\A)(11). E | anter the nospital's | | | | | |
| 5 An organ | · | he benefit of a college of | or university owned or op | erated by a | govern | nmental unit described i | n section | | | | | |
| | | | ental unit described in s | section 17 | 0(БХ1) | Άχν). | | | | | | |
| H in sectio | on 170(b)(1)(A)(vi). | (Complete Part II.) | part of its support from a | | ital unit | or from the general pul | blic described | | | | | |
| 8 A comm | iunity trust described | 1 in section 170(b)(1)(| A)(vi). (Complete Part | H.) | | | | | | | | |
| from act investm June 30 | An organization that normally receives: (1) more than 33-1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions — subject to certain exceptions, and (2) no more than 33-1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Complete Part III.) | | | | | | | | | | | |
| , , - | • | • | ely to test for public saf | • | | | | | | | | |
| 11 An orga or more lines 11 | An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See section 509(a)(3). Check the box in lines 11a through 11d that describes the type of supporting organization and complete lines 11e, 11f, and 11g. | | | | | | | | | | | |
| Type I. A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or e'ect a majority of the directors or trustees of the supporting organization. You must complete Part IV, Sections A and B. | | | | | | | | | | | | |
| manage: | | | | | | | | | | | | |
| c Type III i organiza | unctionally integrated ation(s) (see instruct | l. A supporting organizations). You must com | tion operated in connection plete Part IV, Sections | n with, and A, D, and i | function E . | nally integrated with, its | supported | | | | | |
| d Type III of function instruction | non-functionally integ ally integrated. The ons). You must com | rated. A supporting orgorganization generally iplete Part IV, Section | anization operated in col must satisfy a distribute S A and D, and Part V. | nection wi ition requir | th its su ement | upported organization(s) and an altentiveness |) that is not requirement (see | | | | | |
| e Check t | nis box if the organiz | ation received a writt | en determination from supporting organization | the IRS th | | | | | | | | |
| _ | umber of supported | | | | | | | | | | | |
| | • • | in about the supporter | d organization(s). | | | | | | | | | |
| (1) | Name of supported organization | (II) EIN | (IB) Type of organization (described on lines 1-9 above (see instructions)) | (lv) is to organization in your gove documen | isted | (v) Amount of monetary support (see instructions) | (vi) Amount of other support (see instructions) | | | | | |
| | | | | Yes | No | | | | | | | |
| | | | | | | | | | | | | |
| (A) | | 1 | | | } | | | | | | | |
| <u> </u> | · · · · · · · · · · · · · · · · · · · | | | - | | | | | | | | |
| (B) | | | | | | | | | | | | |
| (C) | | | | | | | | | | | | |
| (D) | | | | | | | | | | | | |
| (E) | | | | | | | | | | | | |
| Total | | | | | | | | | | | | |
| BAA For Paperw | ork Reduction Act N | iotice, see the Instruc | tions for Form 990 or 9 | 90-EZ. | | Schedule A (Form | 1 990 or 990-EZ) 2015 | | | | | |

Schedule A (Form 990 or 990-EZ) 2015 Rensselaer Hartford Graduate Center, Inc 06-0766747

[Part II | Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part I i, if the organization fails to qualify under the tests listed below, please complete Part III.)

| Sec | tion A. Public Support | | | | | | |
|--------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|-----------------------------------------|---------------------------------------------|--------------------------------------|-----------------------------------------|--------------------|
| begi | ndar year (or fiscal year nning in) 🟲 | (a) 2011 | (b) 2012 | (c) 2013 | (d) 2014 | (e) 2015 | (f) Total |
| 1 | Gifts, grants, contributions, and membership fees received (Do not include any unusual grants) | | | | | | |
| 2 | Tax revenues levied for the organization's benefit and either paid to or expended on its behalf | | | | | | |
| 3 | The value of services or facilities furnished by a governmental unit to the organization without charge. | | | | | | |
| 4 | Total. Add lines 1 through 3. | | | | | | |
| 5 | The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) | | | og beskere om e | , | * | |
| 6 | Public support. Subtract line 5 from line 4 | ye y | | | | | |
| Sec | tion B. Total Support | | | | | ······ | |
| Cale begi | ndar year (or fiscal year nning in) ► | (a) 2011 | (b) 2012 | (c) 2013 | (d) 2014 | (e) 2015 | (f) Total |
| 7 | Amounts from line 4 | | | | | | |
| 8 | Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources | | | | | | |
| 9 | Net income from unrelated business activities, whether or not the business is regularly carried on | | | | | | |
| 10 | Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) | | | | | | |
| 11 | Total support. Add lines 7 through 10 | | | | | | |
| 12 | Gross receipts from related activ | ilies, elc. (see ins | structions) | | | 12 | |
| 13 | First five years. If the Form 990 is organization, check this box and | | | ard, fourth, or fifth t | | on 501(c)(3) | > [] |
| | tion C. Computation of Pul | | | | | | |
| | Public support percentage for 20 | | • | | | ļ- | |
| | Public support percentage from 2 | | | | • | 15 | % |
| 16 a | 33-1/3% support test - 2015. If and stop here. The organization | the organization of qualifies as a put | did not check the plicly supported o | box on line 13, ai rganization. | nd line 14 is 33-1 | /3% or more, che | ck this box |
| b | 33-1/3% support test - 2014. If t and stop here. The organization | he organization d qualifies as a pu | id not check a bo blicly supported o | ox on line 13 or 16 organization | a, and line 15 is | 33-1/3% or more. | check this box |
| 17 a | 10%-facts-and-circumstances te or more, and if the organization the organization meets the 'facts | meets the 'facts-a | ind-circumstance | s' test, check this | box and stop he | re. Explain in Par | t VI how |
| | 10%-facts-and-circumstances te or more, and if the organization organization meets the 'facts-and | meets the 'facts-a d-circumstances' | and-circumstance lest. The organiza | s' test, check this ation qualifies as a | box and stop he a publicly suppor | re. Explain in Par ted organization. | t VI how the ► |
| 18 | Private foundation. If the organization | zation did not che | ck a box on line | 13, 16a, 16b, 17a, | , or 17b, check th | is box and see in | structions 🟲 🗌 |
| BAA | | | | | S- | bodula A /Form O | 90 or 990 E71 2015 |

Schedule A (Form 990 or 990 EZ) 2015 Rensselaer Hartford Graduate Center, Inc 06-0766747

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II If the organization fails to qualify under the tests listed below, please complete Part II.)

| Sec | tion A. Public Support | | | | | | |
|-------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|-------------------|---------------------|---------------------|--------------------|---------------------------------------|
| | dar year (or fiscal year beginning in) > | (a) 2011 | (b) 2012 | (c) 2013 | (d) 2014 | (e) 2015 | (f) Total |
| 1 | and membership fees received. (Do not include | | | | | | |
| 2 | any 'unusual grants.') Gross receipts from admis- | } | | | | | |
| - | sions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose | | | | | | |
| 3 | | | | | | | |
| 4 | Tax revenues levied for the organization's benefit and either paid to or expended on its behalf | | | | | | |
| 5 | The value of services or facilities furnished by a governmental unit to the organization without charge | | | | | | |
| | Total. Add lines 1 through 5 | | | | | | |
| | Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year. | | | | | | |
| • | : Add lines 7a and 7b | | | | | | |
| 8 | Public support. (Subtract line 7c from line 6.) | | | | | | |
| Sec | tion B. Total Support | | | | | | |
| Calen | dar year (or fiscal year beginning in) 🟲 | (a) 2011 | (b) 2012 | (c) 2013 | (d) 2014 | (e) 2015 | (f) Total |
| 9 | Amounts from line 6 | | | | | | |
| | a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources | | | | | | |
| | Add lines 10a and 10b | | | | | | |
| 11 | Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on | | | | | | · · · · · · · · · · · · · · · · · · · |
| 12 | Other income Do not include gain or loss from the sale of capital assets (Explain in Part VI.) | | | | | | |
| | Total support. (Add lines 9, 10c, 11, and 12.) | | | | | | |
| | First five years. If the Form 990 organization, check this box and | stop here | | | | , , , | ") |
| | tion C. Computation of Pul | | | | | | |
| 15 | Public support percentage for 20 | • | | | , | . 15 | 용 |
| 16 | Public support percentage from 2 | | | | | 16 | * |
| | tion D. Computation of Inv | | | | | | |
| 17 | Investment income percentage for | | | - | mn (f)) | . 17 | ş |
| 18 | Investment income percentage fi | | | | | 18 | ફ |
| | 33-1/3% support tests — 2015. If is not more than 33-1/3%, check | this box and stop | here. The organ | ization qualifies a | as a publicly supp | orted organization | . • |
| | 33-1/3% support tests — 2014. If line 18 is not more than 33-1/3% | , check this box a | ind stop here. Th | e organization qu | alifies as a public | y supported orgai | nization . > |
| 20 | Private foundation. If the organiz | zation did not che | ck a box on line | 14, 19a, or 19b, c | heck this box and | see instructions . | ▶∏ |
| RAA | | | TEFA2403) | 17/12/15 | Sal | redute & /Form 900 | or 000 E71 2015 |

Part IV | Supporting Organizations

(Complete only if you checked a box in line 11 on Part I. If you checked 11a of Part I, complete Sections A and B. If you checked 11b of Part I, complete Sections A and C. If you checked 11c of Part I, complete Sections A, D, and E. If you checked 11d of Part I, complete Sections A and D, and complete Part V.)

| | tion A. All Supporting Organizations | | Yes | No |
|----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|-----|----|
| 1 | Are all of the organization's supported organizations listed by name in the organization's governing documents? If 'No,' describe in Part VI how the supported organizations are designated if designated by class or purpose, describe the designation. If historic and continuing relationship, explain | 1 | 163 | 10 |
| 2 | Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If 'Yes,' explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2) | 2 | | |
| 3 | a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If 'Yes,' answer (b) and (c) below | 3a | | |
| į | b Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If 'Yes,' describe in Part VI when and how the organization made the determination | 3b | | |
| • | c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If 'Yes,' explain in Part VI what controls the organization put in place to ensure such use | 3с | , | |
| 4 | a Was any supported organization not organized in the United States ('foreign supported organization')? If 'Yes' and if you checked 11a or 11b in Part I, answer (b) and (c) below | 4a | | |
| | b Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If 'Yes,' describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations | 4Ь | | |
| | c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If 'Yes,' explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes. | 4c | | |
| 5 | a Did the organization add, substitute, or remove any supported organizations during the tax year? If 'Yes,' answer (b) and (c) below (if applicable). Also, provide detail in Part Vi , including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action, and (iv) how the action was accomplished (such as by amendment to the organizing document). | 5a | | |
| | b Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document? | 5b | | |
| | c Substitutions only. Was the substitution the result of an event beyond the organization's control? | 5c | | ļ |
| 6 | Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filling organization's supported organizations? If 'Yes,' provide detail in Part VI | 6 | | |
| 7 | Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If 'Yes,' complete Part I of Schedule L (Form 990 or 990-EZ) | 7 | _ | |
| 8 | Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If 'Yes,' complete Part I of Schedule L (Form 990 or 990-EZ) | 8 | · | |
| 9 | a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If 'Yes,' provide detail in Part VI | 9a | | |
| | b Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? If 'Yes,' provide detail in Part VI . | 9Ь | | |
| | c Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If 'Yes,' provide detail in Part VI | 9с | | |
| 10 | a Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(I) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If 'Yes,' answer 10b below. | 10a | | |
| | b Did the organization, have any excess business ho'd ngs in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.). | 10Ь | | |

| | edule A (Form 990 or 990-EZ) 2015 Rensselaer Hartford Graduate Center, Inc 06-076674 | 7 | | age 5 |
|-----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----------------------------------------------|---------|
| Pal | rt IV Supporting Organizations (continued) | | Yes | No |
| 11 | Has the organization accepted a gift or contribution from any of the following persons? | | 1 | " |
| 4 | a A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization? | 11a | | |
| (| b A family member of a person described in (a) above? | 11b | | |
| | c A 35% controlled entity of a person described in (a) or (b) above? If 'Yes' to a, b, or c, provide detail in Part VI | 11c | <u>. </u> | |
| Sec | tion B. Type I Supporting Organizations | | | |
| • | Bud the dispetance deviation is a second of the second of | | Yes | No |
| 1 | Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the lax year? If 'No,' describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year. | 1 | | |
| 2 | Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? If 'Yes,' explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization. | 2 | | |
| Sec | ction C. Type II Supporting Organizations | | | |
| | | | Yes | No |
| 1 | Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If 'No,' describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s). | , | }- | |
| Sec | ction D. All Type III Supporting Organizations | | · | |
| | | | Yes | No |
| 1 | Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided? | 1 | | |
| 2 | Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If 'No,' explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s) | 2 | | |
| 3 | By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If 'Yes,' describe in Part VI the role the organization's supported organizations played in this regard | 3 | | , |
| Sec | tion E. Type III Functionally-Integrated Supporting Organizations | · | | |
| 1 | Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions): | | | |
| | | | | |
| | The organization satisfied the Activities Test. Complete line 2 below | | | |
| | The organization is the parent of each of its supported organizations. Complete line 3 below. | | | |
| • | The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instruction | s) | | |
| 2 | Activities Test. Answer (a) and (b) below. | | Yes | No |
| a | Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If 'Yes,' then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities. | 2a | t | |
| ŧ | Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If 'Yes,' explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement | 2b | | 1 |
| 3 | Parent of Supported Organizations. Answer (a) and (b) below. | | | . ! |
| i | Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in Part VI | 3a | | ; —— |
| t | Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? If 'Yes,' describe in Part VI the role played by the organization in this regard | 3b | | ı |

| | dule A (Form 990 or 990-EZ) 2015 Rensselaer Hartford Graduate Ce | | | 766747 | Page 6 |
|-----|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|--------------------------------------------|-----------------|-------------------|
| | t V Type III Non-Functionally Integrated 509(a)(3) Supporting Orga | | | | |
| 1 | Check here if the organization satisfied the Integral Part Test as a qualifying trust on No other Type III non-functionally integrated supporting organizations must complete | Section | er 20, 1970 See instru ons A through E. | ctions. All | |
| Sec | tion A — Adjusted Net Income | | (A) Prior Year | | ent Year onal) |
| 1 | Net short-term capital gain | 1 | | | |
| 2 | Recoveries of prior-year distributions | 2 | | | |
| 3 | Other gross income (see instructions) | 3 | | | |
| | Add lines 1 through 3 | 4 | | | |
| 5 | Depreciation and depletion | 5 | | | |
| 6 | Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions). | 6 | | | |
| 7 | Other expenses (see instructions) | 7 | | | |
| 8 | Adjusted Net Income (subtract lines 5, 6 and 7 from line 4) | 8 | | | |
| Sec | tion B — Minimum Asset Amount | | (A) Prior Year | | ent Year onal) |
| 1 | Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year): | | | | |
| а | Average monthly value of securities | la | | | |
| | Average monthly cash balances | 1Ь | | | |
| C | Fair market value of other non-exempt-use assets | 1c | | | |
| | Total (add lines 1a, 1b, and 1c) | 1d | | | |
| e | Discount claimed for blockage or other factors (explain in detail in Part VI): | | , | | |
| 2 | Acquisition indebtedness applicable to non-exempt-use assets | 2 | | | |
| 3 | Subtract line 2 from line 1d | 3 | | | |
| 4 | Cash deemed held for exempt use Enter 1-1/2% of line 3 (for greater amount, see instructions) | 4 | | | |
| 5 | Net value of non-exempt-use assets (subtract line 4 from line 3) | 5 | | | |
| 6 | Multiply line 5 by .035. | 6 | | | |
| 7 | | 7 | | | |
| 8 | Minimum Asset Amount (add line 7 to line 6) | 8 | | | |
| Sec | tion C – Distributable Amount | | <u> </u> | Currer | nt Year |
| 1 | Adjusted net income for prior year (from Section A, line 8, Column A) | 1 | · · · · · · · · · · · · · · · · · · · | <u> </u> | |
| 2 | Enter 85% of line 1 | 2 | | 1 | |
| 3 | Minimum asset amount for prior year (from Section B, line 8, Column A) | 3 | | | |
| 4 | Enter greater of line 2 or line 3 | 4 | and the same of the same of | 7 3 | |
| _ 5 | Income tax imposed in prior year | 5 | | - | |
| 6 | Distributable Amount. Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions) | 6 | \$1.000 mg \$2.5 mg \$2.50 | | |
| 7 | Check here if the current year is the organization's first as a non-functionally-inte (see instructions). | grated | 1 Type III supporting | organization | |
| BAA | | | Schedule A (| Form 990 or 990 | O-EZ) 2015 |

| | dule A (Form 990 or 990-EZ) 2015 Rensselaer Hartford V Type III Non-Functionally Integrated 509(a)(3) Su | Graduate Cente | r, Inc 06-076 ations (continued) | 6747 Page 7 |
|-----|-----------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|-------------------------------------------|
| Sec | tion D - Distributions | | | Current Year |
| 1 | Amounts paid to supported organizations to accomplish exempt pur | | | |
| | Amounts paid to perform activity that directly furthers exempt purposes of in excess of income from activity | | | |
| 3 | Administrative expenses paid to accomplish exempt purposes of su | pported organizations | | |
| 4 | Amounts paid to acquire exempt-use assets | | , , | |
| 5 | Qualified sel-aside amounts (prior IRS approval required). | | | |
| 6 | Other distributions (describe in Part VI), See instructions | | | |
| | rotal annual distributions. Add lines 1 through 6 | | | |
| | D stributions to attentive supported organizations to which the organization Part VI). See instructions | on is responsive (provide | details | |
| 9 | Distributable amount for 2015 from Section C, line 6 | | | |
| 10 | Line 8 amount divided by Line 9 amount | | | ************************************** |
| | tion E - Distribution Allocations (see instructions) | (i) Excess Distributions | (ii) Underdistributions Pre-2015 | (iii) Distributable Amount for 2015 |
| 1 | Distributable amount for 2015 from Section C, line 6, | | | |
| 2 | Underdistributions, if any, for years prior to 2015 (reasonable cause required — see instructions) | | | |
| 3 | Excess distributions carryover, if any, to 2015: | 12141 14 C L | | |
| 8 | | | | |
| t | | ** - | | |
| | | 4444 | | |
| 0 | From 2013 | | 1 | |
| • | From 2014 | | | , |
| | Total of lines 3a through e | | #** * · · · | |
| 9 | Applied to underdistributions of prior years | | | |
| | Applied to 2015 distribulable amount | | | *************************************** |
| | Carryover from 2010 not applied (see instructions) | | 1 | |
| | Remainder, Subtract lines 3g, 3h, and 3i from 3f | · · · · · · · · · · · · · · · · · · · | | |
| | Distributions for 2015 from Section D, | | 1 | |
| | line 7: \$ | | | |
| | Applied to underdistributions of prior years | | | |
| | Applied to 2015 distributable amount | | | |
| - | Remainder, Subtract lines 4a and 4b from 4 | | | |
| 5 | Remaining underdistributions for years prior to 2015, if any. Subtract lines 3g and 4a from line 2 (if amount greater than zero, see instructions) | | | , |
| 6 | Remaining underdistributions for 2015. Subtract lines 3h and 4b from line 1 (if amount greater than zero, see instructions) | | | |
| 7 | Excess distributions carryover to 2016. Add lines 3j and 4c | | | |
| 8 | Breakdown of line 7: | | |) |
| a | 1 | | | ! |
| b | | | 12-23 | |
| C | Excess from 2013 | ************************************** | | 1 |
| _ | Excess from 2014 | | | |
| e | Excess from 2015 | | | |
| BAA | | | Schedule A (Form | 990 or 990-EZ) 2015 |

SCHEDULE C (Form 990 or 990-EZ)

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

OMB No. 1545 0047 2015

Open to Public Inspection

Department of the Treasury Internal Revenue Service

Complete if the organization is described below.
 Information about Schedule C (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

If the organization answered 'Yes,' on Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

• Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C.

• Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.

Section 527 organizations: Complete Part I-A only.

If the organization answered 'Yes,' on Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)). Complete Part II-A. Do not complete Part II-B.

| • | Section 501(c)(3) organizations Part II-A. | that have NOT filed Form 5768 (election unde | r section 501(h)): Com | plete Part II-B. Do not cor | mplete |
|--------|--------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|
| If the | | s,' on Form 990, Part IV, line 5 (Proxy Tax) | (see instructions) or | Form 990-EZ, Part V, li | ne 35c |
| | | organizations; Complete Part III. | | | |
| | of organization | | | Employer identific | ation number |
| Rei | nsselaer Hartford G | raduate Center, Inc | | 06-076674 | 17 |
| Pa | t I-A Complete if the o | rganization is exempt under secti | on 501(c) or is a | section 527 organi | zation. |
| 1 | Provide a description of the | organization's direct and indirect political | campaign activities in | Part IV. | |
| 2 | Political expenditures | | | ►\$ | . |
| 3 | Volunteer hours | | | <u> </u> | |
| Pa | t I-B Complete if the o | rganization is exempt under secti | on 501(c)(3). | | |
| 1 | Enter the amount of any exc | ise tax incurred by the organization under | section 4955 | > \$ | 0. |
| 2 | Enter the amount of any exc | cise tax incurred by organization managers | under section 4955 | - \$ | 0. |
| 3 | If the organization incurred a | a section 4955 tax, did it file Form 4720 for | this year? | | Yes No |
| 42 | Was a correction made? | | | , , , , | Yes No |
| t | If 'Yes,' describe in Part IV. | | | | U W. |
| Pa | t I-C Complete if the o | rganization is exempt under secti | on 501(c), excep | t section 501(c)(3). | · |
| 1 | Enter the amount directly ex | pended by the filing organization for section | on 527 exempt function | on activities > \$ | |
| 2 | | organization's funds contributed to other organ | | | |
| 3 | | ditures. Add lines 1 and 2. Enter here and | | ., ,,, , ▶\$ | |
| 4 | Did the filing organization file | e Form 1120-POL for this year? | | | Yes No |
| 5 | Enter the names, addresses organization made payments amount of political contribution segregated fund or a political | and employer identification number (EIN) 5. For each organization listed, enter the a is received that were promptly and directly de il action committee (PAC). If additional spa | of all section 527 pol mount paid from the livered to a separate pa ace is needed, provid | itical organizations to w filing organization's fund bitical organization, such e information in Part IV | thich the filing ds. Also enter the as a separate |
| | (a) Name | (b) Address | (c) E/N | (d) Amount paid from filing organization's funds (filing none, enter 0- | (e) Amount of political contributions received and promptly and directly delivered to a separate political organization if none, enter 0 |
| (1) | | | | | |
| (2) | | | | | |
| (3) | | | | | |
| (4) | | | | | |
| (5) | | **** | | | |
| (6) | | | | | |

BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule C (Form 990 or 990-EZ) 2015

| edule C (Form 990 or 990-EZ) 2015 R | organization is | exempt under sec | tion 501(cV3) and | filed Form 5768 (el | ection under |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------------------------------------------------------------------------------|------------------------------------|---------------------------------------|
| section 501(h)) | | exempt under sec | .uon sonensa and | med Form 5700 (en | ection under |
| Check ► ☐ if the filing or | rganization belongs to | an affiliated group (and | list in Part IV each affil-a | led group member's name | · |
| | | are of excess lobbying | | | |
| B Check ► ∏ if the filing of | organization checker | d box A and 'limited cor | ntrol' provisions apply. | | |
| | Limits on Lobbying penditures' means | Expenditures amounts paid or incurre | ed.) | (a) Filmg organization's totals | (b) Affiliated group totals |
| a Total lobbying expenditures | s to influence public | opinion (grass roots lol | bbying) | | · · · · · · · · · · · · · · · · · · · |
| b Total lobbying expenditures | • | | • • | | |
| c Total lobbying expenditures | | | | | |
| d Other exempt purpose exp | enditures | | | | |
| e Total exempt purpose expe | enditures (add lines | 1c and 1d) | | | |
| f Lobbying nontaxable amou | | nt from the following tab | | | |
| If the amount on line le, column | | e lobbying nontaxable a | | | |
| Not over \$500,000 | 209 | 6 of the amount on line le. | | 4 | |
| Over \$500,000 but not over \$1,000 | ,000 \$10 | 0,000 plus 15% of the excess | over \$500,000. | , , | |
| Over \$1,000,000 but not over \$1,50 | 00,000 \$17 | 5,000 plus 10% of the excess | over \$1,000,000 | 1 | |
| Over \$1,500,000 but not over \$17,0 | 000,000 \$22 | 5,000 plus 5% of the excess o | over \$1,500,000. | ' | *1 |
| Over \$17,000,000 | \$1,0 | 000,000. | | | , |
| g Grassroots nontaxable ame | (to- 050 -51 | ma 10 | | | |
| g chassioots nontaxable bin | ount (enter 25% of i | ***** *** * * * * * * * * * * * * * * * | | | |
| • | | | | | |
| h Subtract line 1g from line 1 i Subtract line 1f from line 1 | 1a. If zero or less, e | nter -0 | | | |
| h Subtract line 1g from line 1 i Subtract line 1f from line 1 | la. If zero or less, e c. If zero or less, er | nter -0 | | reporting | |
| h Subtract line 1g from line | 1a. If zero or less, end. If zero or less, end. If zero on either line | nter -0 | panization file Form 4720 | reporting | Yes [|
| h Subtract line 1g from line 1 Subtract line 1f from line 1 j If there is an amount other the section 4911 tax for this year. | 1a. If zero or less, er c. If zero or less, er han zero on either line ear? 4-Y organizations that m | nter -0 | Januzation file Form 4720 Junder section 501(h) ection do not have to c | complete all of the five | Yes |
| h Subtract line 1g from line 1 Subtract line 1f from line 1 j If there is an amount other the section 4911 tax for this year. | 1a. If zero or less, end c. If zero or less, end an zero on either line ear? 4-Y organizations that modulums b | nter -0 | janization file Form 4720 Under section 501(h) ection do not have to cons for lines 2a throug | complete all of the five h 2f.) | Yes [|
| h Subtract line 1g from line 1 Subtract line 1f from line 1 j If there is an amount other th section 4911 fax for this year. (Some of Calendar year (or fiscal) | 1a. If zero or less, end c. If zero or less, end an zero on either line ear? 4-Y organizations that modulums b | nter -0 | janization file Form 4720 Under section 501(h) ection do not have to cons for lines 2a throug | complete all of the five h 2f.) | Yes [|
| h Subtract line 1g from line i Subtract line 1f from line 1 j If there is an amount other it section 4911 tax for this ye (Some of | 1a. If zero or less, er ic. If zero or less, er han zero on either line ear? 4-Y organizations that m columns b | riter -0 | Janization file Form 4720 Inder section 501(h) ection do not have to cons for lines 2a throug 4-Year Averaging Peri | complete all of the five h 2f.) | |
| h Subtract line 1g from line 1 Subtract line 1f from line 1 j If there is an amount other th section 4911 tax for this year beginning in) | 1a. If zero or less, er ic. If zero or less, er han zero on either line ear? 4-Y organizations that m columns b | riter -0 | Janization file Form 4720 Inder section 501(h) ection do not have to cons for lines 2a throug 4-Year Averaging Peri | complete all of the five h 2f.) | |
| h Subtract line 1g from line 1 Subtract line 1f from line 1 J If there is an amount other the section 4911 tax for this year (Some of Calendar year (or fiscal year beginning in) 2a Lobbying nontaxable | 1a. If zero or less, er ic. If zero or less, er han zero on either line ear? 4-Y organizations that m columns b | riter -0 | Janization file Form 4720 Inder section 501(h) ection do not have to cons for lines 2a throug 4-Year Averaging Peri | complete all of the five h 2f.) | |
| h Subtract line 1g from line i Subtract line 1f from line 1 j If there is an amount other the section 4911 tax for this year (Some of Calendar year (or fiscal year beginning in) 2a Lobbying nontaxable amount b Lobbying ceiling amount (150% of line | 1a. If zero or less, er ic. If zero or less, er han zero on either line ear? 4-Y organizations that m columns b | riter -0 | Janization file Form 4720 Inder section 501(h) ection do not have to cons for lines 2a throug 4-Year Averaging Peri | complete all of the five h 2f.) | |
| h Subtract line 1g from line i Subtract line 1f from line 1 j if there is an amount other it section 4911 tax for this ye (Some of the section 4911 tax for this year beginning in) 2 a Lobbying nontaxable amount b Lobbying ceiling amount (150% of line 2a, column (e)) c Total lobbying | 1a. If zero or less, er ic. If zero or less, er han zero on either line ear? 4-Y organizations that m columns b | riter -0 | Janization file Form 4720 Inder section 501(h) ection do not have to cons for lines 2a throug 4-Year Averaging Peri | complete all of the five h 2f.) | |
| h Subtract line 1g from line i Subtract line 1f from line 1 j If there is an amount other the section 4911 tax for this ye (Some of the section 4911 tax for this year beginning in) 2a Lobbying nontaxable amount b Lobbying ceiling amount (150% of line 2a, column (e)) c Total lobbying expenditures d Grassroots nontaxable | 1a. If zero or less, er ic. If zero or less, er han zero on either line ear? 4-Y organizations that m columns b | riter -0 | Janization file Form 4720 Inder section 501(h) ection do not have to cons for lines 2a throug 4-Year Averaging Peri | complete all of the five h 2f.) | |

| | (a) | | (b) | | |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|------------------|-------------------------|-------|----|
| For each 'Yes' response on lines Ia through II below, provide in Part IV a detailed description of the lobbying activity. | Yes | No | An | ount | |
| During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of | | | | | |
| a Volunteers? | 1 | X | } | | |
| b Paid staff or management (include compensation in expenses reported on lines 1c through 1i)? | | Х | } | | |
| c Media advertisements? | | X | } | | |
| d Mailings to members, legislators, or the public? | | Х | | | |
| e Publications, or published or broadcast statements? | | X | <u> </u> | | |
| f Grants to other organizations for lobbying purposes? | | X | | | |
| g Direct contact with legislators, their staffs, government officials, or a legislative body? | L | X | | | |
| h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means? | <u> </u> | Х | <u> </u> | | |
| i Other activities? | | X | <u> </u> | | |
| j Total Add lines 1c through 1i | 1 | | | | 0. |
| 2a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)? | | X | | | |
| b If 'Yes,' enter the amount of any tax incurred under section 4912 | ł . | 1 | | | |
| c If 'Yes.' enter the amount of any tax incurred by organization managers under section 4912 | i - | 1 | | | |
| d If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year? | | L | L | | |
| Part III-A Complete if the organization is exempt under section 501(c)(4), section 501 section 501(c)(6). | (c)(5) | , or | | | |
| | | | | Yes | No |
| 1 Were substantially all (90% or more) dues received nondeductible by members? | | | | | |
| 2 Did the organization make only in-house lobbying expenditures of \$2,000 or less? | | | 2 | | |
| 3 Did the organization agree to carry over lobbying and political expenditures from the prior year? | | | 3 | | |
| Part III-B Complete if the organization is exempt under section 501(c)(4), section 501 (6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered 'No,' OR (b) answered 'Yes.' | (c)(5) Part | , or s III-A, | section 5 line 3, is | 01(c) | |
| 1 Dues, assessments and similar amounts from members | , | 1 | | | |
| 2 Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political | | | | | |

| 2 Section 152(e) nondeductible tobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid). | | |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------|--|
| a Current year | . 2a | |
| b Carryover from last year | . 2b | |
| c Total , , , | 2 c | |
| 3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues | 3 | |
| 4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible tobbying and political expenditure next year? | . 4 | |
| 5 Taxable amount of lobbying and political expenditures (see instructions) | 5 | |

Part IV | Supplemental Information

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, lines 1 and 2 (see instructions); and Part II-B, line 1. Also, complete this part for any additional information.

SCHEDULE D (Form 990)

Supplemental Financial Statements

Complete if the organization answered 'Yes' on Form 990,
Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.

Attach to Form 990.

Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545 0047

Department of the Treasury Internal Revenue Service Name of the organization

orm990. Open to Public inspection

Employer identification number

| | Rensselaer Hartford Graduate | e Center, Inc | | | 06-0766747 | |
|-----|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------|----------------------------------------------|---------------------------------|------------------------------------------------|---------------------|
| Par | Organizations Maintaining Donor Complete if the organization answ | Advised Funds or O ered 'Yes' on Form 9 | ther Similar Fu 90, Part IV, line | inds or Accee 6. | counts. | |
| _ | | (a) Donor advise | ed funds | (b) f | unds and other acc | ounts |
| 1 | Total number at end of year | | | <u> </u> | | |
| 2 | Aggregate value of contributions to (during year) | | · · · · · · · · · · · · · · · · · · · | ļ | | |
| 3 | Aggregate value of grants from (during year) | | | | | |
| 4 | Aggregate value at end of year | | | <u> </u> | | |
| 5 | Did the organization inform all donors and donor are the organization's property, subject to the o | | | | | No |
| 6 | Did the organization inform all grantees, donors for charitable purposes and not for the benefit of impermissible private benefit? | s, and donor advisors in wo | sor, or for any other | er purpose co | nferring Clyss | No |
| Pai | til Conservation Easements. Complete if the organization answ | ered 'Yes' on Form 9 | 90, Part IV, lin | е 7. | | |
| 1 | Purpose(s) of conservation easements held by | the organization (check al | l that apply). | | | |
| | Preservation of land for public use (e.g., re- | creation or education) | Preservation | of a historica | illy important land a | rea |
| | Protection of natural habitat | | Preserval on | of a certified | historic structure | |
| | Preservation of open space | | | | | |
| 2 | Complete lines 2a through 2d if the organization he | eld a qualified conservation of | contribution in the fo | orm of a conse | rvation easement on | the |
| | last day of the tax year. | | | | Held at the End of t | ho Tay Yaa |
| | a Total number of conservation easements | | | 2a | nelu at the enu of t | ile lax leat |
| | b Total acreage restricted by conservation easem | | • • | 2b | | |
| | Number of conservation easements on a certific | | ed in (a) | | | |
| | | | | - | | |
| | d Number of conservation easements included in structure listed in the National Register | (c) acquired after 8/17/06 | , and not on a hist | oric 2 d | | |
| 3 | | | | | on during the | |
| 4 | Number of states where property subject to conserv | vation easement is located | • | | | |
| 5 | Does the organization have a written policy reg and enforcement of the conservation easement | | | | | No |
| 6 | Staff and volunteer hours devoted to monitoring, in | specting, handling of violation | ons, and enforcing o | conservation ea | asements during the | year |
| 7 | Amount of expenses incurred in monitoring, inspec | ting, handling of violations, | and enforcing conse | ervation easem | ients during the year | |
| 8 | Does each conservation easement reported on and section 170(h)(4)(B)(i)? | line 2(d) above satisfy the | requirements of s | section 170(h) | (4)(B)(i) Yes | No |
| 9 | in Part XIII, describe how the organization reports include, if applicable, the text of the footnote to conservation easements. | conservation easements in (the organization's finance | ts revenue and expo at statements that | ense statemen describes the | t, and balance sheet, e organization's acc | and ounting for |
| Pa | Organizations Maintaining Collection Complete if the organization answ | tions of Art, Historic vered 'Yes' on Form 9 | al Treasures, o 90, Part IV, lin | or Other Sine 8. | milar Assets. | - |
| 1 | a If the organization elected, as permitted under art, historical treasures, or other similar assets held in Part XIII, the text of the footnote to its finance | d for public exhibition, educa | ation, or research in | renue stateme furtherance of | ent and balance she f public service, provi | et works of de, |
| | b If the organization elected, as permitted under historical treasures, or other similar assets held for following amounts relating to these items: | SFAS 116 (ASC 958), to r r public exhibition, education | eport in its revenu , or research in furt | e statement a herance of pul | and balance sheet v blic service, provide t | vorks of art, he |
| | (i) Revenue included on Form 990, Part VIII, I | ine 1 | | | , , ►\$ | |
| | (ii) Assets included in Form 990, Part X | | | | ► \$ | |
| | If the organization received or held works of art, his amounts required to be reported under SFAS 1 | 16 (ASC 958) relating to the | hese items: | | - | |
| | a Revenue included on Form 990, Part VIII, line | | | | | |
| | b Assets included in Form 990, Part X | | | | . ►\$ | |

| Schedule D (Form 990) 2015 Rens | | | | 06-076 | | | | | | | |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------|-----------------------------------------|--------------------------------|---------------------------------------|-----------------------|--|--|--|--|--|--|
| Part III Organizations Mainta | ining Collections | s of Art, Historic | al Treasures, o | r Other Similar Ass | ets (continued) | | | | | | |
| 3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply): | | | | | | | | | | | |
| | | | | | | | | | | | |
| b Scholarly research | | e Other | | | | | | | | | |
| c Preservation for future gener | | | | | | | | | | | |
| Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII. | | | | | | | | | | | |
| 5 During the year, did the organization be sold to raise funds rather to | han to be maintained | as part of the orga | nization's collection | 17 | Yes No | | | | | | |
| Part IV Escrow and Custodia line 9, or reported an | amount on Form | 990, Part X, line | organization ar | iswered 'Yes' on Fo | rm 990, Part IV, | | | | | | |
| 1 a Is the organization an agent, true on Form 990, Part X? | | • • • • • • • • • • • • • • • • • • • • | ••• | ner assets not included | Yes No | | | | | | |
| b ;f 'Yes,' explain the arrangement | in Part XIII and com | plete the following (| able: | | | | | | | | |
| | | | | | Amount | | | | | | |
| c Beginning balance | | | * * * * | 10 | | | | | | | |
| d Additions during the year | | | • | 1 d | | | | | | | |
| e Distributions during the year | | | • •• • | 1 e | | | | | | | |
| f Ending balance | | Dark V. (ma. 0). (| | | | | | | | | |
| 2a Did the organization include an a b if 'Yes,' explain the arrangement | | | | · · · · · · · · · · · · · · · · · · · | Yes No | | | | | | |
| Part V Endowment Funds. C | complete if the or | nanization answ | ered 'Yes' on Fi | orm 990 Part IV Jur | ne 10 | | | | | | |
| THE TENDOVINCIAL CHASE | (a) Current year | (b) Prior year | (c) Two years bac | | (e) Four years back | | | | | | |
| 1 a Beginning of year balance | 2,841,734. | 4,936,314 | | | 4,725,629. | | | | | | |
| b Contributions | | 1,,,,,,,,, | 1,001,70 | 1/510/555 | 17,123,023. | | | | | | |
| | | | + | | | | | | | | |
| Net investment earnings, gains, and losses | 33,607. | -395,817 | . 645,28 | 7. 467,041. | -144,008. | | | | | | |
| d Grants or scholarships | 25/35/1 | 333,331 | 1 | | | | | | | | |
| e Other expenditures for facilities | | - | | | | | | | | | |
| and programs | -132,923. | -1,698,763 | 233,73 | 6259,144. | -264,755. | | | | | | |
| f Administrative expenses | | | | | | | | | | | |
| g End of year balance | 2,742,418. | 2,841,734 | . 4,936,31 | 4. 4,524,763. | 4,316,866. | | | | | | |
| Provide the estimated percentag | e of the current year | end balance (line 1 | g, column (a)) held | as. | | | | | | | |
| a Board designated or quasi-endowm | ent ► 100 | ያ.00 % | | | | | | | | | |
| b Permanent endowment ► | - - 8 | | | | | | | | | | |
| c Temporarily restricted endowmer | nt 🟲 | ષ્ટ્ર | | | | | | | | | |
| The percentages on lines 2a, 2b, a | nd 2c should equal 100 | 0%. | | | | | | | | | |
| 3a Are there endowment funds not in to organization by. | the possession of the c | organization that are h | neld and administered | d for the | Yes No | | | | | | |
| (i) unrelated organizations | <i></i> . | , | | | 3a(i) X | | | | | | |
| (ii) related organizations | | | | , | 3a(ii) X | | | | | | |
| b If 'Yes' on line 3a(ii), are the rela | aled organizations lis | ted as required on S | Schedule R? | | 3b X | | | | | | |
| 4 Describe in Part XIII the intended | duses of the organiz | ation's endowment f | unds See Par | t XIII | | | | | | | |
| Part VI Land, Buildings, and | | | | | | | | | | | |
| Complete if the organi | | 'Yes' on Form 9 | 90, Part IV, line | e 11a. See Form 990 |), Part X, line 10. | | | | | | |
| Description of property | (a) Cos | t or other basis (| b) Cost or other basis (other) | (c) Accumulated depreciation | (d) Book value | | | | | | |
| 1 a Land | | | 533,281. | | 533, 281. | | | | | | |
| b Buildings | | | 15,297,701. | 14,606,190. | 691,511. | | | | | | |
| c Leasehold improvements | | | 954, 361. | 347,558. | 606,803. | | | | | | |
| d Equipment | .,, . | | 286,654. | 284,649. | 2,005. | | | | | | |
| e Olher | | | 179,316. | | 179, 316. | | | | | | |
| Total. Add lines 1a through 1e. (Colum | nn (d) must equal For | m 990, Part X. colu | | | 2,012,916. | | | | | | |
| BAA | | | | | ile D (Form 990) 2015 | | | | | | |

| Schedule I | D (Form 990) 2015 | Rensselaer Hartfor | rd Graduate Cen | iter, | Inc | 06-076 | 6747 | Page 3 |
|-------------------|----------------------------------------|------------------------------------------|-------------------------------|--------------------------------------------------|---------------------|------------------------|----------------|--------------|
| | Investments - | Other Securities. organization answered | | | N/A | . See Form 99 | 90, Part X | , line 12. |
| :- | · · · · · · · · · · · · · · · · · · · | gory (including name of security) | (b) Book value | | (c) Method of value | ration. Cost or end of | year market va | alue |
| | ial derivatives | | | | | | | |
| | y-held equity interes | ts | | | | | | |
| (3) Other | | | | | | | | |
| $\frac{(A)}{(B)}$ | | | | | | | | |
| (C) | | | | 1 | | | | |
| (<u>0</u>) | | | | | | | | |
| (E) | | | | | | | | |
| (F) | | | | | | | | |
| (G) | | | | <u> </u> | | | | |
| (H) | | | <u> </u> | | | | | |
| (I) | nn (h) must squal Form (l | 90, Part X, column (B) fine 12) . | } | | | | | |
| Part VIII | | Program Related. | <u></u> | <u> </u> | N/A | | | |
| T ALL VIII | Complete if the | <u>e organization answered</u> | l 'Yes' on Form 990 | | IV, line 11c | | | |
| | (a) Description of | investment | (b) Book value | (c) M | ethod of valuat | on Cost or end- | of∙year mar | ket value |
| (1) | | | | ļ | | | | |
| (2) | | | | | | | | |
| (3) | | | } | | | | | |
| <u>(4)</u> (5) | | | | | | | | |
| (6) | | | | \vdash | | ·· | | |
| (7) | | | <u> </u> | | | | | |
| (8) | | | | | | | | |
| (9) | | | | | | | | |
| (10) | | | | | | | | |
| | nn (b) must equal Form 9 Other Assets. | 90, Part X, column (B) line 13.) | | | | | | |
| Part IX | Complete if the | e organization answered | N/A i 'Yes' on Form 990 | 0. Part | IV, line 11d | . See Form 99 | 30, Part X | (, line 15. |
| | | | scription | | | | (b) Book | |
| (1) | | | | | | | | |
| (3) | | | | | | | | |
| (4) | · · · · · · · · · · · · · · · · · · · | | | | | | | - |
| (5) | | | | | | | | |
| (6) | | | | | | | | |
| (7) | | | | | | | | |
| (8) | | | | | | | | |
| (10) | | | | | | | | |
| | olumn (b) must equa | i Form 990, Part X, column (| B) line 15.) | | | | | · |
| Part X | Other Liabilitie | | | | | | | |
| | Complete if the org | gan zation answered 'Yes' on F | | | f. See Form 990 |), Part X, line 25 | | |
| (I) Fodo | (a) Descrip | tion of liability | (b) Book value | | | | | |
| | rued Post Re | tirement | 226,77 | 75 | | | | |
| | et Retiremen | | 1,442,22 | | | | | |
| (4) | | | | | | | | |
| (5) | | | | | | | | i |
| (6) | | | | | | | | , |
| (8) | | | | | | | | , |
| (9) | | | | | | | | |
| (10) | | | | | | | | |
| (11) | | | | | | | | |
| Total. (Colui | | 90, Part X. column (B) line 25.) | 1,668,99 | | | | | |
| | | In Part XII , provide the text of the fo | | | | | | |
| tax positions | under FIN 48 (ASC 740) | Check here if the text of the footnote | has been provided in Part XII | H | | . Se | e Part > | YTTT X |

| Schedule D (Form 990) 2015 Rensselaer Hartford Graduate Center, Inc | 06-0766747 | Page 4 |
|--------------------------------------------------------------------------------------|----------------|--------|
| Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per | Return. N/A | |
| Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a. | | |
| Total revenue, gains, and other support per audited financial statements | . 1 | |
| 2 Amounts included on line 1 but not on Form 990, Part VIII, line 12 | | |
| a Net unrealized gains (losses) on investments | | |
| b Donated services and use of facilities | | |
| c Recoveries of prior year grants | | |
| d Other (Describe in Part XIII.) | 7 } | |
| e Add lines 2a through 2d | . 2e | |
| 3 Subtract line 2e from line 1 | . 3 | |
| 4 Amounts included on Form 990, Part VIII, line 12, but not on line 1 | | |
| a investment expenses not included on Form 990, Part VIII, line 7b 4a | } | |
| b Other (Describe in Part XIII.) 4b | 7 | |
| c Add lines 4a and 4b | 4c | |
| 5 Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12) | . 5 | |
| Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses p | er Return. N/A | |
| Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a. | | |
| 1 Total expenses and losses per audited financial statements | . 1 | |
| 2 Amounts included on line 1 but not on Form 990, Part IX, line 25: | | |
| a Donaled services and use of facilities | | |
| b Prior year adjustments | | |
| c Other losses 2c | - | |
| d Other (Describe in Part XIII.) | | |
| e Add lines 2a through 2d. | . 2e | |
| 3 Subtract line 2e from line 1 | . 3 | |
| 4 Amounts included on Form 990, Part IX, line 25, but not on line 1: | | |
| a Investment expenses not included on Form 990, Part VIII, line 7b 4a | | |
| b Other (Describe in Part XIII.) | | |
| c Add lines 4a and 4b | A C | |
| 5 Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.) | 5 | |
| Part XIII Supplemental Information. | | |

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Part V, Line 4 - Intended Uses Of Endowment Fund

Income earned on the organization's endowment provides support to the programs and initiatives of the institution.

Part X - FIN 48 Footnote

Effective July 1, 2008, Rensselaer adopted the FASB interpretation No. 48 ("FIN 48"), Accounting for Uncertainly in Income Taxes - an interpretation of SFAB No.

109, Accounting for Income Taxes. The adoption did not have a material effect on

the financial statements.

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Schedule D (Form 990) 2015

SCHEDULE E (Form 990 or 990-EZ)

Schools

 Complete if the organization answered 'Yes' on Form 990, Part IV, line 13, or Form 990-EZ, Part VI, line 48.

OMB No 1545 0047 2015

Open to Public

Employer Identification number

Department of the Treasury Internal Revenue Service Name of the organization

 Attach to Form 990 or Form 990-EZ. ► Information about Schedule E (Form 990 or 990-EZ) and its Instructions is at www.lrs.gov/form990.

Inspection

Rensselaer Hartford Graduate Center, Inc 06-0766747 Part I" YES NO 1 Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body? Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, $\widetilde{\mathbf{x}}$ 3 Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If 'Yes,' please describe. If 'No,' please explain. If you X The policy is stated in all advertisements. The racially non-discriminatory policy is sated in the school catalog. Does the organization maintain the following? a Records indicating the racial composition of the student body, faculty, and administrative staff? . 4a b Records documenting that scholarships and other financial assistance are awarded on a racially 4 b X c Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?..... d Copies of all material used by the organization or on its behalf to solicit contributions?..... X 4 d If you answered 'No' to any of the above, please explain. If you need more space, use Part II. 5 Does the organization discriminate by race in any way with respect to a Students' rights or privileges? . 5 a X 5 b Х c Employment of faculty or administrative staff? 5 c d Scholarships or other financial assistance?. 5 d Х e Educational policies? 5 e X f Use of facilities? . 51 X g Athletic programs?... . . 5g Х h Other extracurricular activities? 5h X If you answered 'Yes' to any of the above, please explain. If you need more space, use Part II 6a Does the organization receive any financial aid or assistance from a governmental agency? 6a b Has the organization's right to such aid ever been revoked or suspended?. 6 b X If you answered 'Yes' on either line 6a or line 6b, explain on Part II. See Part II Does the organization certify that it has complied with the applicable requirements of sections 4.01 through 4.05 of Rev. Proc. 75-50, 1975-2 C.B. 587, covering racial nondiscrimination? If

Schedule E, Line 6 - Explanation of Aid or Assistance from Governmental Agency

Rensselaer Hartford Graduate Center (RHFC) participates in the Federal Family Education Loan Program (FEELP) and administers the Federal Stafford and Graduate Plus Student Loans using a federal loan guarantor, American Student Assistance (ASA). A student's eligibility for any subsidized Stafford Loan funds is reviewed based on the student cost of attendance (COA), their expected family contribution (EFC), obtained from the students' FAFSA, and any employer tuition reimbursement and/or private scholarship awarded to the student.

SCHEDULE 1 (Form 990)

Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

| 1545 0047 | <u> </u> |
|-----------|----------|
| ON BINO | 2 |

Open to Public Employer identification number ... XX 06-0766747 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ► Information about Schedule ((Form 990) and its instructions is at www.irs.gov/form990. Complete if the organization answered 'Yes' on Form 990, Part IV, line 21 or 22.

Attach to Form 990. 2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States. Rensselaer Hartford Graduate Center, Inc Part 1. General Information on Grants and Assistance Department of the Treasury Internal Revenue Service Name of the organization

| Schedule I (Form 990) (2015) | Schedule | 11/04/15 | TEEA3901L 11/04/15 | | 1s for Form 990. | e, see the Instruction | BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990. |
|---------------------------------------|-------------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------------------------|------------------------------------------|-------------------------|----------------------------------------------------------------------------|
| 0 | | | | : | e 1 table | lions listed in the lin | |
| 0 | - | | | in the line 1 table | organizations listed in the line 1 table | 3) and government | 2 Finer total number of section 501(c)(3) and government of |
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| | | | | | | | (I) |
| (h) Purpose of grant or assistance | (g) Description of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, offer) | (e) Amount of non cash assistance | (d) Amount at cash grant | (c) IRC section if applicable | (b) EIN | 1 (a) Name and address of organization or government |
| - | il space is needeo | icated if additiona | art II can be dupl | that received more than \$5,000. Part II can be duplicated if additional space is needed. | t that received r | for any recipien | Form 990, Part IV, line 21, for any recipient |
| se, on | tion answered 'Ye | te if the organiza | ernments. Comple | Organizations and Domestic Governments. Complete if the organization answered 'Yes' on | Organizations a | nce to Domestic | Part IL Grants and Other Assistance to Domestic |

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Schedule I (Form 990) (2015) Rensselaer Hartford Graduate Center, Inc

| Part III | Grants and Other Assistance to Domestic Individuals. Complete if the organization answered 'Yes' on Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

| | call be applicated if additional space is freeden. | are is licened. | | | | |
|---------|-----------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|--------------------------|--------------------------------------|-------------------------------------------------------|----------------------------------------|
| | (a) Type of grant or assistance | (b) Number of recipients | (c) Amount of cash grant | (d) Amount of non Cash assistance | (e) Method of valuation (book, FMV, appraisal, other) | (f) Description of ron cash assistance |
| 1 Stu | 1 Student Scholarship | | | 125,802. | | Tuition Discount |
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| 7 | | | | | | |
| Part IV | Part IV Supplemental Information. Provide the information required in Part I, line 2, Part III, column (b), and any other additional information. | de the information | required in Part I, | line 2, Part III, col | umn (b), and any oth | er additional information. |

Schedule I (Form 990) (2015)

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TEEA3902L 11/04/15

SCHEDULE J (Form 990)

Department of the Treasury Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

Complete if the organization answered 'Yes' on Form 990, Part IV, line 23.

➤ Attach to Form 990.
➤ Information about Schedule J (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization

Rensselaer Hartford Graduate Center, Inc

OMB No 1545 0047

2015

ov/form990. Open to Public Inspection

Schedule J (Form 990) 2015

06-0766747

| Par | 1 Questions Regarding Compensation | | | | |
|-----|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------|-----|--------|----------|
| , | | | | Yes | No |
| 1 a | Check the appropriate box(es) if the organization provided any of VII, Section A. line 1a. Complete Part III to provide any relev | the following to or for a person listed on Form 990, Part vant information regarding these items. | | - | : |
| | First-class or charter travel | Housing allowance or residence for personal use | | | |
| | Travel for companions | Payments for business use of personal residence | 2 , | , | |
| | Tax indemnification and gross-up payments | Health or social club dues or initiation fees | - + | , , | |
| | Discretionary spending account | Personal services (e.g., maid, chauffeur, chef) | - } | | |
| b | If any of the boxes on line 1a are checked, did the organization for reimbursement or provision of all of the expenses described | | 1 Ь | · | |
| 2 | Did the organization require substantiation prior to reimbursitustees, and officers, including the CEO/Executive Director, | | 2 | | |
| 3 | Indicate which, if any, of the following the filing organization used CEO/Executive Director. Check all that apply. Do not check a establish compensation of the CEO/Executive Director, but e | to establish the compensation of the organization's any boxes for methods used by a related organization to xplain in Part III. | | · } | |
| | Compensation committee | Written employment contract | | .; | |
| | Independent compensation consultant | Compensation survey or study | | | ŀ |
| | Form 990 of other organizations | Approval by the board or compensation committee | | | |
| 4 | During the year, did any person listed on Form 990, Part VII, organization or a related organization: | Section A, line 1a, with respect to the filing | | | |
| | Receive a severance payment or change-of-control payment | | 4 a | | X |
| | Participate in, or receive payment from, a supplemental non- | | 4 b | X | <u> </u> |
| • | Participate in, or receive payment from, an equity-based con | • | 4 c | | X |
| | If 'Yes' to any of lines 4a-c, list the persons and provide the | applicable amounts for each item in Part III | | 1 | { |
| | Only section 501(c)(3), 501(c)(4), and 501(c)(29) organization | ns must complete lines 5-9. | | | |
| 5 | For persons listed on Form 990, Part VII, Section A, line 1a, did to contingent on the revenues of: | the organization pay or accrue any compensation | | | ı |
| a | The organization? | | 5a | | X |
| b | Any related organization? | | 5b | | X |
| | If 'Yes' to line 5a or 5b, describe in Part III. | | | | |
| 6 | For persons listed on Form 990, Part VII, Section A, line 1a, did contingent on the net earnings of: | the organization pay or accrue any compensation | | | |
| 2 | The organization? | | 6a | | X |
| | Any related organization? | | 66 | | X |
| | If 'Yes' on line 6a or 6b, describe in Part III. | | , | | ٠. |
| 7 | For persons listed on Form 990, Part VII, Section A, line 1a, payments not described on lines 5 and 67 If 'Yes,' describe | did the organization provide any non-fixed in Part III | 7 | | x |
| 8 | Were any amounts reported on Form 990. Part VII, paid or a to the initial contract exception described in Regulations sectif 'Yes,' describe in Part III | accrued pursuant to a contract that was subject tion 53.4958-4(a)(3)? | 8 | | х |
| a | if 'Yes' to line 8, did the organization also follow the rebuttab'e pr | resumption procedure described in Regulations | | | Γ. |
| - | section 53.4958-6(c)? | resumption procedure deserved in regulations | 9 | ł | ł |

BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2015 Rensselaer Hartford Graduate Center, Inc Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not fisted on Form 990, Part VII.

Note: The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

| | | (B) Breakdown of | (B) Breakdown of W-2 and/or 1059-MISC compensation | C compensation | (C) Deteroment | | (E) Total of | (f) Company |
|---------------------|------|-----------------------------------------|----------------------------------------------------|------------------------------------------|-----------------------------------------|-----------------------------------------|-----------------------------------------|------------------------------------------------------|
| (A) Name and Title | | (i) Base compensation | (ii) Bonus & incentive compensation | (ii) Otter repartable compensation | | benefits | columns(B)(i)-(D) | in column (B) reported as deferred on prior Form 990 |
| Shirley Ann Jackson | € | ö | | 1 | | 0. | | 0. |
| 1 President | (E) | 1,065,000. | 250,000. | 77,864. | 712,639 | 32,805. | 2,138,308 | 0. |
| Craig A. Cook | ω | 0. | 0. | 0 | | ļ. | | Ö |
| 2 Secretary | (3) | 307, 596. | 0 | 23,014. | 20,800 | 16,171. | 367, 581. | 0. |
| Curtis N. Powell | ω | 0 | 0. | 0 | | 0. | ! | 0. |
| 3 Trustee | € | 425, 250. | 6,000. | 335, 433. | 147,540 | 11, 205. | 925, 428 | 311,855. |
| Virginia C. Gregg | Θ | 0. | 0. | 0 | | 0. | | 0. |
| 4 Trustee | (3) | 422,050. | 0. | 17,431. | 21,440. | 16, 171. | 477 | 0. |
| David Rainey | Θ | 0 | 0. | 0 | 0 | 0 | 0 | 1 |
| 5 Acting Dean | 9 | 182, 598. | 0. | 0. | 14,809. | 16,073. | 213, 480. | |
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| ВАА | | | TEEAA102L 10/26/15 | 115 | | | Schedule J | Schedule J (Form 990) 2015 |

Rensselaer Hartford Graduate Center, Inc

Schedule J (Form 990) 2015 Renssella Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

SCHEDULE O (Form 990 or 990-EZ)

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.

Attach to Form 990 or 990-EZ.

Information about Schedule O (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047 2015

Open to Public Inspection

Department of the Treasury nternal Revenue Service Name of the organization

Rensselaer Hartford Graduate Center, Inc

Employer Identification number

06-0766747

Form 990, Part III, Line 4

Graduate Programs:

Rensselaer Hartford is accredited by the Middle State Association of Colleges and Schools, by the Board of Governors for Higher Education of the State of Connecticut, and a number of professional and academic societies.

Rensselaer's Lally School of Management and Technology is an accredited member of AACSB International, the Association to Advance Collegiate Schools of Business International.

In fiscal year 2015, approximately 10 graduate programs were offered.

The number of students and credit hours for FY16 are as follows:

- 129 Students; 537 Credits

Spring - 96 Students; 391 Credits

Summer - 57 Students; 177 Credits

Form 990, Part VII, Section A

The individuals listed in Part VII, Section A are paid by Rensselaer Polytechnic Institute (RPI) in connection with their services to RPI and Rensselaer Hartford Graduate Center (RHG). They are not directly paid by RHG.

Form 990, Part VI, Line 11b - Form 990 Review Process

The return is reviewed by the Board of Trustees. The final return prior to filing is distributed to the Board of Trustees.

06-0766747

Form 990, Part VI, Line 12c - Explanation of Monitoring and Enforcement of Conflicts

Rensselaer Hartford Graduate Center conducts an annual survey of all faculty and staff to elicit any conflict of interest or commitments. Once the survey is completed, a review of potential conflicts of interest and commitments are reviewed by an institute-wide committee. If a conflict of interest or commitment is determined, the conflicts of interest or commitment is either managed or eliminated.

Form 990, Part VI, Line 15a - Compensation Review & Approval Process - CEO & Top Management

Acting Dean David Rainey functions as the Chief Operating Officer of RHGC. All

officers are paid through Rensselaer Polytechnic Institute (RPI). RPI uses the

following to establish the compensation of CEO/executive directors, officers, and

key employees: Compensation committee, independent compensation consultant, Form 990

of other organizations, compensation survey or study, and approval by the Board of

Form 990, Part VI, Line 19 - Other Organization Documents Publicly Available

The conflict of interest policy is made available via the website. The organization makes its governing documents and financial statements available to the public upon request.

Form 990, Part XI, Line 9 Other Changes In Net Assets Or Fund Balances

Compensation Committee.

M2-2--- 10--- 11-- 11-3-13-14

| Minimum Pension Clability | \$ 42,864. |
|----------------------------------------------|------------|
| Miscellaneous Adjustment | -2,089. |
| Transfers - Rensselaer Polytechnic Institute | 5,551. |
| Transfers - Rensselaer Polytechnic Institute | \$ 46,326. |

(g) Sec 512(b)(13) controlled entity? No Schedule R (Form 990) 2015 (f)
Direct confrolling
entity × Open to Public Inspection OWB No 1545 0047 'Yes' on Form 990, Part IV, line 34 because it had 2015 Yes Employer identification number (f) Direct controlling entity 06-0766747 N/A (e) End-of-year assels (e)
Public charity status
(if section 501(c)(3)) Part I Identification of Disregarded Entities Complete if the organization answered 'Yes' on Form 990, Part IV, line 33. Complete if the organization answered 'Yes' on Form 990, Part IV, line 33, 34, 35b, 36, or 37.
 Attach to Form 990. Information about Schedule R (Form 990) and its Instructions is at www.irs.gov/form990. (d) Total income Related Organizations and Unrelated Partnerships (d) Exempt Code section TEEA5001, 06/01/15 501 (c) (3) (c) Legal domicile (state or foreign country) Identification of Related Tax-Exempt Organizations Complete if the organization answered one or more related tax-exempt organizations during the tax year. (c) Legal domicile (state or foreign country) 걸 (b) Primary activity (b) Primary activity Education BAA For Paperwork Reduction Act Notice, see the instructions for Form 990. Rensselaer Hartford Graduate Center, Inc (a) Name, address, and EIN (if applicable) of disregarded entity Rensselaer Polytechnic Institute 110 Eighth Street Troy, NY 12180 (a) Name, address, and EIN of related organization Dopartment of the Treasury Internal Revenue Service Name of the organization SCHEDULE R (Form 990) Part (e) 3 € €,

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06-0766747

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Schedule R (Form 990) 2015 Rensselaer Hartford Graduate Center, Inc.

Identification of Related Organizations Taxable as a Partnership Complete if the organization answered 'Yes' on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year. Part

(k) Pertentage ownership (i) Sec 512(b)(13) controlled entity? 운 Identification of Related Organizations Taxable as a Corporation or Trust Complete if the organization answered 'Yes' on Form 990, Part IV, Inne 34 because it had one or more related organizations treated as a corporation or trust during the tax year. Yes (j) General or managing pariner? ş (h) Percentage ownershap Yes Code V-UBI amount in box 20 of Schedule K-1 (Form 1065) (g) Share of end-of-year assets (h)
Disproportionate
allocations? ş Yes (f) Share of total income (g) Share of end-of-year assets (e)
Type of entity
(C corp., S corp.
or trust) (f) Share of total income (d) Direct confrolling entity (e)
Predominant income
(related, unrelated,
excluded from lax
under sections
51 2-514) (c) Legal domicile (stale or foreign country) (d) Direct controlling entity (b) Primary activity (c)
Legal
domicile
(state or
foreign (a) Name, address, and EIN of related organization (b) Primary activity (a)
Name, address, and EIN of related organization PartIV ε' @¦ **8**

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Redwood Shores, CA 94065 Redwood Shores Parkway Redwood Shores, CA 94065 CRUT €¦ 3

Schedule R (Form 990) 2015

TEEA5002L 06/01/15

BAA

Schedule R (Form 990) 2015 Rensselaer Hartford Graduate Center, Inc

(d) determining Schedule R (Form 990) 2015 £ amount involved ٢ **1** Method of Cash Part V Transactions With Related Organizations Complete if the organization answered 'Yes' on Form 990, Part IV, line 34, 35b, or 36. 381,801 (c) Amount involved 2 If the answer to any of the above is 'Yes,' see the instructions for information on who must complete this line, including covered relationships and transaction thresholds. ó Transaction type (a-s) 1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV? U TEEA5003L 10/12/15 Performance of services or membership or fundraising solicitations for related organization(s)... m Performance of services or membership or fundraising solicitations by related organization(s) n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s) a Receipt of (i) interest, (ii) annuties, (iii) royalties, or (iv) rent from a controlled entity Sale of assets to related organization(s).

Purchase of assets from related organization(s). Exchange of assets with related organization(s) Lease of facilities, equipment, or other assets to related organization(s) Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule. c Loans or loan guarantees to or lor retated organization(s)
 c Loans or loan guarantees by related organization(s) : k Lease of facilities, equipment, or other assets from related organization(s) (a) Name of retated organization c Gift, grant, or capital contribution from related organization(s) s Other transfer of cash or property from related organization(s) Reimbursement paid by related organization(s) for expenses Reimbursement paid to related organization(s) for expenses r Other transfer of cash or property to related organization(s). **b** Gift, grant, or capital contribution to retaled organization(s). Sharing of paid employees with related organization(s) . . d Loans or foan guarantees to or for related organization(s) Exchange of assets with related organization(s) ... Rensselaer Polytechnic Institute Dividends from related organization(s). @ \\ 3 <u>@</u> € 3

06-0766747

Part VI: Unrelated Organizations Taxable as a Partnership Complete if the organization answered 'Yes' on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

| (staked wine section of clothed wine section 30.5.5.4) County | (a) (b) Name, address, and EIN of entity Primary activity | (b) Primary activity | | (d) Predominant | (e) Are all partner | (f) Share of | (g) Share of | (h) Dispropo | Code V-UB) | Sene | | (k) Percentage |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|-------------------------|-------------------------------|----------------------------------------------------------------|---------------------------------------|-----------------|-----------------------|-----------------------|-----------------------------------------|----------|---------|-------------------|
| Sections 518.55 th 7 Feb. No. 1 F | | | (state or foreign country) | rncome (related, unre- lated, excluded from far under | section 501(c)(3) organizations | | end-of-year assels | tionate allocation | s? 20 of Schedule K-1 (Form 1065) | parl | | ownership |
| TELEMONT COUNTS | | | | sections 512-514) | \vdash | | | \vdash | | | ટ્ટ | |
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| TEEASODAL 06/01/15 | (8) | | | | | | | | | | | |
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| | ВАА | | | 151 | EA5004, 06/03 | 115 | | | Sche | dule R (| Form 99 | 0) 2015 |

| Schedule R (Form 990) 2015 | Rensselaer | Hartford | Graduate | Center, | Inc | 06-0766747 | Page 5 |
|----------------------------|------------------|--------------|-------------|-------------|------------|---------------------|--------|
| Part VII Supplementa | Information | | | | | | |
| Provide addıtı | onal information | n for respon | nses to que | stions on S | Schedule R | (see instructions). | |

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| Name, address, and EM of related organization of Primary activity (State of EM) and related organization of Primary activity (State of Intial recome State of Good of State of Good of State of Intial recome State of Good of Stat | Schedule R Cont (Form 990) 2015 Rensselaer Hartford Graduate Center, Inc Part IV Continuation of Identification of Related Organizations Taxable as a Corporation or Trust | Hartford G | raduate Center, mizations Taxable | ter, Inc. | oration or Tru | ust | | | |
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| Trust NY RPI Trust 0. 0. 0. | f related organization | (B) Primary activity | (C) Legal domorle (state or foreign country) | (D) Direct controlling entity | (E) Type of enlity (C corp., S corp., or trus!) | (F) are of total income | (G) Share of end-of-year assets | (H) Percentage ownership | Section 512 (b) (b) (b) (b) (controlled entity? |
| | | Trust | NY | RPI | Trust | 0 | 0.0 | | |
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