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Return of Organization Exempt From Income Tax

Under section 501(c). 527. or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter social security numbers on this form as it may be made public.

Open to Public Inspection

OMB No 1545-0047

Internal Revenue Service

SCANNED

0 8

▶ Information about Form 990 and its instructions is at www.irs.gov/form990. A For the 2015 calendar year, or tax year beginning JUL 1, 2015 and ending JUN 30, D Employer identification number Check if C Name of organization Address change CHMC Anesthesia Foundation, Name change 04-2702169 Initial Number and street (or P.O. box if mail is not delivered to street address) Room/suite E Telephone number Final return/ 300 Longwood Avenue Bader3 (617) 355-7267 termin-ated 107,937,341. G Gross receipts \$ City or town, state or province, country, and ZIP or foreign postal code Amende Boston, MA 02115 H(a) Is this a group return Applica-F Name and address of principal officer Paul R. Hickey, MD Yes X No for subordinates? pendina same as C above H(b) Are all subordinates included? Yes 1 Tax-exempt status: X 501(c)(3) 4947(a)(1) or _ 501(c) () ◀ (insert no.) If "No," attach a list (see instructions) J Website: ► N/A H(c) Group exemption number ▶ K Form of organization: X Corporation L Year of formation: 1980 M State of legal domicile: MA Trust Association Other -Part I Summary Briefly describe the organization's mission or most significant activities The Foundation provides medical Activities & Governance research and educational services to Boston (see Schedule O) Check this box I if the organization discontinued its operations or disposed of more than 25% of its net assets 11 Number of voting members of the governing body (Part VI, line 1a) 0 Number of independent voting members of the governing-body. (Part VI, line 1b) 4 146 Total number of individuals employed in calendar year 2015 (Part V, line 2a) 5 0 Total number of volunteers (estimate if necessary) 6 7 a Total unrelated business revenue from Part VIII, column (C), line 12 b Net unrelated business taxable income from Form 990-T-fline 34-10 362,070. \tilde{c} 7a 0. **Prior Year Current Year** 1,274,657. 547,662. Contributions and grants (Part VIII, line-1h) 99,657,269. 99,441,098. Program service revenue (Part VIII, line 2g) 5,344,415. 2,213,431. 10 Investment income (Part VIII, column (A), lines 3, 4, and 7d) 431,721. 735,150. 11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) 106,708,062. 102,937,341. 12 Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12) 321,356 2,817,932. 13 Grants and similar amounts paid (Part IX, column (A), lines 1-3) O. Ō. 14 Benefits paid to or for members (Part IX, column (A), line 4) 76,782,240. 90,499,720. 15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10) 0. 0. 16a Professional fundraising fees (Part IX, column (A), line 11e) **b** Total fundraising expenses (Part IX, column (D), line 25) 9,720,385. 10,805,729. 17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e) 86,823,981. 104,123,381. 18 Total expenses Add lines 13-17 (must equal Part IX, column (A), line 25) 19,884,081. -1,186,040.Revenue less expenses Subtract line 18 from line 12 200 Beginning of Current Year End of Year 197,167,820. 194,507,622. 20 Total assets (Part X, line 16) 67,968,901. 83,572,344. 21 Total liabilities (Part X, line 26) 129,198,919. 110,935,278. Net assets or fund balances. Subtract line 21 from line 20 Part II | Signature Block Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete) Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge Sign Paul R. Hickey, MD, President/Treasurer Here Type or print name and title Print/Type preparer's name Preparer's signature 05/08/17 P01310283 Paid Nicholas E. Porto Firm's name Baker, Newman, & Noyes, LLC 01-0494526 Preparer Firm's EIN Firm's address > 280 Fore Street Use Only

LHA For Paperwork Reduction Act Notice, see the separate instructions.

Portland, ME 04101

May the IRS discuss this return with the preparer shown above? (see instructions)

Yes X No Form 990 (2015)

244-7444

See Schedule O for Organization Mission Statement Continuation



Phone no. (800)



| Form | 990 (2015) CHMC Anesthesia Foundation, Inc. 04-2702169 Page 2 |
|-------------|--|
| Par | t III Statement of Program Service Accomplishments |
| | Check if Schedule O contains a response or note to any line in this Part III |
| 1 | Briefly describe the organization's mission |
| | The Foundation operates the Department of Anesthesia at Boston |
| | Children's Hospital and in communities throughout greater Boston. The |
| | physicians of the Foundation provide anesthesia services to patients |
| | at the Hospital and perform anesthesia research (see Schedule O) |
| 2 | Did the organization undertake any significant program services during the year which were not listed on |
| | the prior Form 990 or 990-EZ? |
| | If "Yes," describe these new services on Schedule O |
| 3 | Did the organization cease conducting, or make significant changes in how it conducts, any program services? |
| | If "Yes," describe these changes on Schedule O |
| 4 | Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses |
| | Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and |
| | revenue, if any, for each program service reported |
| 4a | (Code) (Expenses \$ 96,314,608. including grants of \$ 2,817,932.) (Revenue \$ 97,558,177. |
| | The CHMC Anesthesia Foundation, Inc. (the Foundation) provides |
| | anesthesia and intensive care services to the patients of Boston |
| | Children's Hospital and at satellite locations. The Foundation may |
| | charge for patient care and other medical services, where any net |
| | income generated is used exclusively for the charitable, scientific, |
| | and educational purposes for which the Foundation was created. The |
| | Foundation also provides care for patients in need of anesthesia and |
| | intensive care services, regardless of their ability to pay, as well as |
| | providing and subsidizing hospital and community based services that |
| | are either unavailable or limited elsewhere in the community. |
| | |
| | (Code) (Expenses \$ 1,965,604 · including grants of \$) (Revenue \$ 1,882,921 · |
| 4b | (Code) (Expenses \$\frac{1,965,604.}{\text{including grants of \$}}\$) (Revenue \$\frac{1,882,921.}{\text{The Foundation receives income from Boston Children's Hospital (the}} |
| | Hospital) for providing administration, supervision, and teaching |
| | services. The physicians employed by the Foundation perform medical |
| | research and teaching activities, give lectures, publish related |
| | educational articles, and pioneer many developments in the field. All |
| | of these activities play a critical role in the treatment and recovery |
| | of patients at the Hospital and makes possible the surgical advances |
| | for which the Hospital is renowned. |
| | Tot which the hospital is lenowhed: |
| | |
| | |
| | |
| 4c | (Code) (Expenses \$ including grants of \$) (Revenue \$ |
| 70 | (Code |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| 4d | Other program services (Describe in Schedule O) |
| | (Expenses \$ including grants of \$) (Revenue \$) |
| 4- | Total according 200,0000 200,000 200,0000 200,000 200,000 200,000 200,000 200,000 200,000 200,000 2000 |

Form **990** (2015)

| 5 . IV | | 111 1 7 | | | |
|---------------|------|----------|----------|-----|------------------|
| Part IV | Chec | Klist of | ' Keauii | rea | Schedules |
| | | | | | |

| • | | | Yes | No |
|-----|--|--|----------|-----------------|
| 1 | Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? | | | |
| | If "Yes," complete Schedule A | 1 | X | |
| 2 | Is the organization required to complete Schedule B, Schedule of Contributors | 2 | X | |
| 3 | Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I | 3 | | X |
| 4 | Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect | | | |
| | during the tax year? If "Yes," complete Schedule C, Part II | _4_ | | X |
| 5 | Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or | [] | | _ |
| | similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III | _5_ | | <u> </u> |
| 6 | Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to | | | |
| | provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I | 6_ | | <u>X</u> |
| 7 | Did the organization receive or hold a conservation easement, including easements to preserve open space, | _ | | ₩ |
| _ | the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II | 7_ | | X |
| 8 | Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III | 8 | | <u>x</u> |
| 9 | Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for | | | · |
| | amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? | | | 37 |
| | If "Yes," complete Schedule D, Part IV | 9 | | <u> </u> |
| 10 | Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V | 10 | х | |
| 11 | If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VIII, IX, or X | | | |
| 9 | as applicable Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, | | | |
| ű | Part VI | 11a | Х | |
| b | Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total | | | |
| | assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII | 11b | X | |
| C | Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total | | | |
| | assets reported in Part X, line 167 If "Yes," complete Schedule D, Part VIII | 11c | | <u>X</u> |
| d | Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in | 1 | | |
| | Part X, line 16? If "Yes," complete Schedule D, Part IX | 11d | v | X |
| _ | Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X | 11e | X | |
| f | Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X | 11f | х | |
| 100 | Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete | - ''' - | | |
| 120 | Schedule D, Parts XI and XII | 12a | | Х |
| b | Was the organization included in consolidated, independent audited financial statements for the tax year? | \ <u></u> | | |
| _ | If "Yes " and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional | 12b | X | _ |
| 13 | Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E | 13 | | X |
| 14a | Did the organization maintain an office, employees, or agents outside of the United States? | 14a | | X |
| b | Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, | | | |
| | investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 | 1 | | |
| | or more? If "Yes," complete Schedule F, Parts I and IV | 14b | L | <u> </u> |
| 15 | Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any | | | 37 |
| | foreign organization? If "Yes," complete Schedule F, Parts II and IV | 15 | | _X |
| 16 | Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV | 16 | | Х |
| 17 | Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, | 16 | | |
| ., | column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I | 17 | | х |
| 18 | Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines | | \vdash | - - |
| | 1c and 8a? If "Yes," complete Schedule G, Part II | 18 | | Х |
| 19 | Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," | ļ — | | |
| | complete Schedule G, Part III | 19 | | X |
| | | Form | 990 | (2015) |

Form 990 (2015) CHMC Anesthesia Foundation, Inc.

Part IV | Checklist of Required Schedules (continued)

| | | | Yes | No |
|-------------|--|----------|-----|-------------|
| 20 a | Did, the organization operate one or more hospital facilities? If "Yes," complete Schedule H | 20a | | X |
| b | If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return? | 20b | | |
| 21 | Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or | } | | |
| | domestic government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II | 21 | X | |
| 22 | Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on | | | |
| | Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III | 22 | | <u>X</u> |
| 23 | Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current | | | |
| | and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete | | · | |
| 04 | Schedule J | 23 | Х | |
| 24a | Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete | | . } | |
| | Schedule K If "No", go to line 25a | 24a | | х |
| b | Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? | 24b | | |
| | Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease | 2.70 | | |
| • | any tax-exempt bonds? | 24¢ | 1 | |
| d | Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? | 24d | | |
| 25a | Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit | | - | |
| | transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I | 25a | | X |
| b | Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and | | | |
| | that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete | | 1 | |
| | Schedule L, Part I | 25b | | <u>X</u> |
| 26 | Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or | | | |
| | former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? If "Yes," | | . l | |
| | complete Schedule L, Part II | 26 | Х | |
| 27 | Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial | | | |
| | contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part III | 27 | ı | х |
| 28 | Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV | | | • |
| | instructions for applicable filing thresholds, conditions, and exceptions) | | } | |
| а | A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV | 28a | | X |
| b | A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV | 28b | | X |
| С | An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, | | | |
| | director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV | 28c | | X |
| 29 | Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M | 29 | | X |
| 30 | Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation | | l | 17 |
| | contributions? If "Yes," complete Schedule M | 30 | | <u> </u> |
| 31 | Did the organization liquidate, terminate, or dissolve and cease operations? | ایما | . 1 | х |
| 32 | If "Yes," complete Schedule N, Part I Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete | 31 | | |
| - | Schedule N, Part II | 32 | | х |
| 33 | Did the organization own 100% of an entity disregarded as separate from the organization under Regulations | <u> </u> | | |
| • | sections 301 7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I | 33 | | Х |
| 34 | Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and | | | |
| | Part V, line 1 | 34 | Х | |
| 35a | Did the organization have a controlled entity within the meaning of section 512(b)(13)? | 35a | | X |
| b | If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity | | | |
| | within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2 | 35b | | |
| 36 | Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? | } } | | |
| | If "Yes," complete Schedule R, Part V, line 2 | 36 | | <u> X</u> |
| 37 | Did the organization conduct more than 5% of its activities through an entity that is not a related organization | _ | | v |
| 00 | and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI | 37 | | <u> </u> |
| 38 | Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? Note. All Form 990 filers are required to complete Schedule O | 38 | x | |
| | Mores with only and ledding in contibute of pentile of | | | (2015) |

| | 990 (2015) CHMC Affectives a Foundation, Inc. 04-2/02 | 103 | P | age 5 |
|-----|---|-----|--|--------------|
| Par | Statements Regarding Other IRS Filings and Tax Compliance Check if Schedule O contains a response or note to any line in this Part V | | | ₹. |
| | Check if Schedule O contains a response of note to any line in this Fart v | | Г., | X |
| | 7 | | Yes | No |
| _ | Enter the number reported in Box 3 of Form 1096 Enter -0- if not applicable The start the number of Forms W 00 years and a line to Forms 0 of not applicable | ĺ | • | Í |
| b | Enter the number of Forms W-2G included in line 1a Enter -0- if not applicable U Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming | ł | | |
| С | (gambling) winnings to prize winners? | 4. | х | ł |
| 20 | Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, | 1c | | |
| Za | filed for the calendar year ending with or within the year covered by this return 2a 146 | } |] . | |
| ь | If at least one is reported on line 2a, did the organization file all required federal employment tax returns? | 2b | x | |
| b | Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions) | | | ┢┈ |
| За | Did the organization have unrelated business gross income of \$1,000 or more during the year? | 3a | х | 1 |
| | If "Yes," has it filed a Form 990-T for this year? If "No," to line 3b, provide an explanation in Schedule O | 3b | | x |
| | At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a | | | l |
| | financial account in a foreign country (such as a bank account, securities account, or other financial account)? | 4a | ł | x |
| b | If "Yes," enter the name of the foreign country | | | _ |
| _ | See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) | ļ | | } |
| 5a | Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? | 5a |] - | x |
| b | Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction? | 5b | | X |
| | If "Yes," to line 5a or 5b, did the organization file Form 8886-T? | 5c | | |
| | Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit | | | |
| | any contributions that were not tax deductible as charitable contributions? | 6a | (| Х |
| b | If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts | | | |
| | were not tax deductible? | 6b | l |) |
| 7 | Organizations that may receive deductible contributions under section 170(c). | | | |
| а | Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor? | 7a | | X_ |
| b | If "Yes," did the organization notify the donor of the value of the goods or services provided? | 7b | | |
| С | Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required | | | |
| | to file Form 8282? | 7с | <u> </u> | X |
| d | If "Yes," indicate the number of Forms 8282 filed during the year | j | 1 | |
| е | Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | 7e | | X |
| f | Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 7f | <u> </u> | X |
| g | If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required? | 7g | | |
| h | If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C? | 7h | | |
| 8 | Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the | | j | } |
| | sponsoring organization have excess business holdings at any time during the year? | 8_ | - | |
| 9 | Sponsoring organizations maintaining donor advised funds. | 1 | | |
| а | Did the sponsoring organization make any taxable distributions under section 4966? | 9a | ļ | - |
| | Did the sponsoring organization make a distribution to a donor, donor advisor, or related person? | 9b | <u> </u> | ├ |
| 10 | Section 501(c)(7) organizations. Enter | } | ł | } |
| | Initiation fees and capital contributions included on Part VIII, line 12 | - | 1 | } |
| | Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities | } | } | } |
| 11 | Section 501(c)(12) organizations. Enter. | ļ | ł | } |
| a | Gross income from members or shareholders 11a | ł | | |
| a | Gross income from other sources (Do not net amounts due or paid to other sources against | | | { |
| 40- | amounts due or received from them) [11b] Section 4047(AVA) and everyther block to be experiented from 10412 | 100 | 1 | (|
| | Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041? If "Yes," enter the amount of tax-exempt interest received or accrued during the year 12b | 12a | | |
| 13 | If "Yes," enter the amount of tax-exempt interest received or accrued during the year Section 501(c)(29) qualified nonprofit health insurance issuers. | † | 1 | { |
| | | 13a | | |
| a | Is the organization licensed to issue qualified health plans in more than one state? Note, See the instructions for additional information the organization must report on Schedule O | 134 | | † – |
| h | Enter the amount of reserves the organization is required to maintain by the states in which the | | , |] |
| J | organization is licensed to issue qualified health plans | 1 | l | |
| c | Enter the amount of reserves on hand | 1 | | |
| | Did the organization receive any payments for indoor tanning services during the tax year? | 14a | | x |
| | If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O | 14b | | |
| | <u>, , , , , , , , , , , , , , , , , , , </u> | | | |

Form 990 (2015) CHMC Anesthesia Foundation, Inc. 04-2702169 Page
Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions

| | Check if Schedule O contains a response or note to any line in this Part VI | | | X |
|-----|---|---------|--------------|--|
| Sec | tion A. Governing Body and Management | | · · · · · · | |
| | | | Yes | No |
| 1a | Enter the number of voting members of the governing body at the end of the tax year 11 | | | |
| | If there are material differences in voting rights among members of the governing body, or if the governing | , | | 1 |
| | body delegated broad authority to an executive committee or similar committee, explain in Schedule 0. | ł | ľ | l |
| b | Enter the number of voting members included in line 1a, above, who are independent | 1 | - 7 | |
| 2 | Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other |] | , | |
| | officer, director, trustee, or key employee? | 2 | | X_ |
| 3 | Did the organization delegate control over management duties customarily performed by or under the direct supervision | [| | |
| | of officers, directors, or trustees, or key employees to a management company or other person? | 3 | Х | |
| 4 | Did the organization make any significant changes to its governing documents since the prior Form 990 was filed? | 4 | | X |
| 5 | Did the organization become aware during the year of a significant diversion of the organization's assets? | 5 | | Х |
| 6 | Did the organization have members or stockholders? | 6 | X | |
| 7a | Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or | [| | [|
| | more members of the governing body? | 7a | Х | L |
| b | Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or | } | | } |
| | persons other than the governing body? | 7b | X | ļ |
| 8 | Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following: | } | | |
| а | The governing body? | 8a | Х | ļ |
| þ | Each committee with authority to act on behalf of the governing body? | 8b | Х | <u> </u> |
| 9 | Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the |) | | |
| | organization's mailing address? If "Yes," provide the names and addresses in Schedule O | 9_ | <u> </u> | X |
| Sec | tion B. Policies (This Section B requests information about policies not required by the Internal Revenue Code) | | | |
| | | | Yes | No |
| | Did the organization have local chapters, branches, or affiliates? | 10a | | Х |
| b | If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, | ļ | | 1 |
| | and branches to ensure their operations are consistent with the organization's exempt purposes? | 10b | | |
| _ | Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? | 11a | X | <u> </u> |
| b | Describe in Schedule O the process, if any, used by the organization to review this Form 990 | | 1 17 | - |
| | Did the organization have a written conflict of interest policy? If "No," go to line 13 | 12a | X | <u> </u> |
| p | Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts? | 12b | X | |
| С | Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe | ١ | | } |
| 40 | in Schedule O how this was done | 12c | X | ├— |
| 13 | Did the organization have a written whistleblower policy? | 13 | X | ├—- |
| 14 | Did the organization have a written document retention and destruction policy? | 14 | | |
| 15 | Did the process for determining compensation of the following persons include a review and approval by independent |) | • |] |
| _ | persons, comparability data, and contemporaneous substantiation of the deliberation and decision? | 46- | х | |
| | The organization's CEO, Executive Director, or top management official | 15a | v | |
| D | Other officers or key employees of the organization | 15b | <u>├</u> ^ | |
| 16- | If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions) Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a | 1 | l | 1 |
| ioa | taxable entity during the year? | 16a |] | χ̄ |
| h | If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation | Ioa | | |
| D | In joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's | | 1 | ĺ |
| | exempt status with respect to such arrangements? | 16b | { | Ì |
| Sec | tion C. Disclosure | TOD | L | <u> </u> |
| 17 | List the states with which a copy of this Form 990 is required to be filed MA | | | |
| 18 | Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) | availah | ole | |
| | for public inspection Indicate how you made these available Check all that apply. | avanar | ,,,, | |
| | Own website Another's website X Upon request Other (explain in Schedule O) | | | |
| 19 | Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, an | d finan | cial | |
| | statements available to the public during the tax year | rul | | |
| 20 | State the name, address, and telephone number of the person who possesses the organization's books and records | | | |
| • | Paul R. Hickey, MD - (617)355-7267 | | | |
| | 300 Longwood Avenue, Bader 3, Boston, MA 02115 | | | |

Form 990 (2015) Part VIII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated **Employees, and Independent Contractors**

Check if Schedule O contains a response or note to any line in this Part VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

- 1a Complete this table for all persons required to be listed Report compensation for the calendar year ending with or within the organization's tax year
- List all of the organization's current officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation Enter -0- in columns (D), (E), and (F) if no compensation was paid
 - List all of the organization's current key employees, if any See instructions for definition of "key employee"
- List the organization's five current highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations
- List all of the organization's former officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's former directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees; and former such persons

| (A) | (B) | d organization compensat (C) | | | | _ | | (D) | (E) | (F) |
|---|-------------------|---|----------------------|----------|----------|------------------------------|--------------|-----------------|-------------------------------|--------------------|
| Name and Title | Average | Position (do not check more the | | | | ION fore than one | | Reportable | Reportable | Estimated |
| | hours per | box, unless person is both an officer and a director/trustee) | | | s bot | h an | compensation | compensation | amount of | |
| | week (list any | ⊢– | | | | Γ | | from the | from related organizations | other compensation |
| | hours for | ndividual trustee or director | i | | i | ٠ | | organization | (W-2/1099-MISC) | from the |
| | related | tee or | stee | | | ansafe | | (W-2/1099-MISC) | , | organization |
| | organizations | trus | na tri | | loyee | E S | | | | and related |
| | pelow | widua | nstitutional trustee | Officer | dwa / | Highest compensated employee | mer | | | organizations |
| /1) Peril P. Winter MD | 54.00 | Ē | E. | ₹ | ay. | ₹.₽ | 교 | | | |
| (1) Paul R. Hickey, MD President/Treasurer | 6.00 | X | ļ | X | | | | 1,512,505. | 0. | 91,113 |
| (2) Mark A. Rockoff MD | 60.00 | ^ | - | _ | - | ├ | | 1,312,303. | 0. | 91,113 |
| Clerk | | x | Ì | x | Ī | | | 938,388. | 0. | 90,816 |
| (3) John H. Arnold, MD | 60.00 | 1 | - | - | - | - | | 230,300. | | 20,010 |
| Director | 0.00 | x | 1 | Ì | | | | 735,669. | 0. | 92,215 |
| (4) Lynne R. Ferrari MD | 60.00 | | | - | \vdash | _ | ┝ | ,33,033 | | 32,223 |
| Director | | x | { | ł | Ì | 1 | | 646,767. | 0. | 109,837 |
| (5) Michael L. McManus, MD | 60.00 | † | | | | | _ | | | |
| Director | 0.00 | x | Į | | | | | 558,389. | 0. | 101,507 |
| (6) Charles D. Nargozian, MD | 60.00 | | | | | | | | | |
| Director | 0.00 | X | <u> </u> | | | Ì | | 480,273. | 0. | 97,629 |
| (7) Kirsten C. Odegard, MD | 60.00 |]_ | Γ | | | | | | | |
| Director | | <u> </u> | | | | L | L | 595,143. | 0. | 107,707 |
| (8) Mary Landrigan-Ossar, MD | 60.00 | ļ | 1 | [| ĺ | (| ĺ | | | |
| Director (7/15-12/15) | 0.00 | X | <u> </u> | _ | L. | L | <u> </u> | 479,480. | 0. | 91,745 |
| (9) Sulpicio G. Soriano, MD | 60.00 | 1 | ļ | } | } | ļ | } | 1 060 060 | | 07 014 |
| Director | 0.00 | X | | Ļ | ldash | <u> </u> | ļ | 1,062,968. | 0. | 97,214 |
| (10) Steven Zgleszewski, MD | 60.00 | ١,, | ľ | 1 | | Ì | | E00 000 | 0. | 02 007 |
| Director | 60.00 | X | | <u> </u> | \vdash | ├ | <u> </u> | 502,892. | <u> </u> | 93,907 |
| (11) Roland Brusseau, MD Director | 0.00 | \ _v | Ì | l | | l | | 475,930. | 0. | 131,956 |
| (12) Elizabeth C. Eastburn MD | 60.00 | <u> </u> | ┼ | ┢ | ├ | - | | 473,330. | ļ | 131,930 |
| Director (1/16-6/16) | 0.00 | x | { | l | | 1 | ŀ | 332,275. | 0. | 83,408 |
| (13) Physicians' Org. at CH Inc. | 2.00 | 1 | ┼─ | \vdash | - | - | - | 332,273. | · | 03,100 |
| Independent Board Member | 0.00 | ì | x | | l | İ | ļ | 0. | 0. | 0 |
| (14) Jeffrey Burns MD | 60.00 | ⇈ | | t- | \vdash | \vdash | \vdash | | | |
| Physician | 0.00 | 1 | } | - | | x | | 1,258,926. | 0. | 101,497 |
| (15) Robert Holzman, MD | 60.00 | \top | 1 | T | Г | \vdash | \vdash | | | |
| Physician | 0.00 | 1 | | | | x |] | 770,107. | 0. | 101,876 |
| (16) Pete Kovatsis, MD | 60.00 | | | Π | Π | Γ | Г | | | |
| Physician | 0.00 | 1_ | | | L | X | . | 736,629. | 0. | 100,997 |
| (17) Arielle Mızrahi-Arnaud, MD | 60.00 | J | | | Γ | | | | | |
| Physician | 0.00 | 1 | | | [| X | [| 704,125. | 0. | 103,512 |

| Part VIII Section A. Officers, Directors, Trus | | ploy | ees | | _ | gne | st C | | | —, | | | |
|---|------------------------|--------------------------------|-----------------------|---------|--------------|---------------------------------|----------|-------------------------------|--------------------------------------|------|---------|---------------|-------|
| (A) | (B) | 1 | | D) | - | | | (D) | (E) | | | (F) | |
| Name and title | Average hours per | | not c | | more | than | | Reportable | Reportable compensation from related | | | timate | |
| | week | | | | | ıs bot or/trus | | compensation | | | | ount other | of |
| | (list any | į | | | | | | the | organizations | , | | oensa | tion |
| | hours for | die | | | | Ē | • | organization | (W-2/1099-MIS | SC) | - | om th | |
| | related | stee | rustee | | ١ | Sensa | | (W-2/1099-MISC) | | | _ | anızat | |
| | organizations below | la tru | onal t | | ployee | E SO | 1 | | | l | | i relat | |
| | line) | Individual trustee or director | Institutional trustee | Officer | Key employee | Highest compensated employee | Former | | | Ì | orga | nızatı | OHS |
| (18) Sharon Redd, MD | 60.00 | = | = | 0 | × | 1 0 | ۳ | | | | | | |
| Physician | 0.00 | L. | | | | X | | 768,416. | | 0. | 7! | 5,5 | 03. |
| | | | | | ļ |) | 1 | | | | | | |
| | | - | | | - | - | ├ | | | | | | |
| | | | | | ļ | <u> </u> | | | | | | | |
| | | { | | | | | | | | | | | |
| | | | | | | | | | | | | | |
| | | - | \vdash | | - | ├- | - | | <u> </u> | { | | | |
| | | _ | _ | | <u> </u> | <u> </u> | - | <u> </u> | | | | | |
| | | | | | • | | | (| | | | | |
| | | | | | | | | | | | | | |
| | | - | - | | | - | \vdash | | | | | | |
| | | | | | _ | L_ | L | 12 550 000 | | _ | | 570 | |
| 1b Sub-total | II Castian A | | | | | | | 12,558,882. | | 0. | 1, | 672, | 439. |
| Total from continuation sheets to Part V Total (add lines 1b and 1c) | II, Section A | | | | | | | 12,558,882. | | 0. | 1 | 672 | 439. |
| 2 Total number of individuals (including but r | not limited to th | nose | liste | ed al | bov | e) wl | no r | | ,000 of reportabl | е | | | 130 |
| compensation from the organization | | | | | | | | | | | | Yes | No |
| 3 Did the organization list any former officer. | , director, or tru | uste | e, ke | y er | nplo | oyee | , or | highest compensated e | mployee on | | | | |
| line 1a? If "Yes," complete Schedule J for s | such individual | | | | | | | | | | 3 | | _X |
| 4 For any individual listed on line 1a, is the si | • | | | | | | | • | the organization | | | <u>.</u> | |
| and related organizations greater than \$15 | | | | | | | | | | | 4 | X | |
| 5 Did any person listed on line 1a receive or rendered to the organization? If "Yes," con | | | | | | | ela | ted organization or indiv | idual for services | | 5 | | X |
| Section B. Independent Contractors | ipiete Scriedui | e J | or si | ucn | per | SOIT | _ | | | | 5 | | |
| 1 Complete this table for your five highest co | ompensated in | dep | ende | ent c | ont | racto | ors | that received more than | \$100,000 of com | pens | ation f | rom | |
| the organization_Report compensation for | the calendar y | ear | endi | ng v | vith | or w | ıthı. | | year | | | | |
| (A) Name and business | address | | | | | | | (B) Description of s | ervices | С | Omper | | n |
| DCF Medical Associates | | | | | | | _ | | | | | | |
| 311 Albemarle Road, Newt | on, MA | 02 | 460 |) | | | | CRNA Service | s | | 27 | 7,0 | 65. |
| Mary Ellen Raux | | | | | | | | | | | | | |
| 251 Heath Street, Boston | , MA 02 | 13 | 0 | | | | | Physician Se | rvices | | 10 | 7,5 | 50. |
| | | | | | | | | | | | | | |
| | | | | | | | | | | | | | |
| | | | | | | | _ | | | | | | |
| 2 Total number of independent contractors | (including but r | not I | mite | d to | the | | ste | d above) who received n | nore than | | | | |
| \$100,000 of compensation from the organ | | IUL I | minte | | | 2 | مروا | | iore triair | | | | |
| | | | | | | | | | | | Form ! | 990 (| 2015) |

Form **990** (2015)

Part VIII Statement of Revenue Check if Schedule O contains a response or note to any line in this Part VIII Revenue excluded from tax under Related or Unrelated Total revenue exempt function business sections 512 - 514 revenue revenue Contributions, Gifts, Grants and Other Similar Amounts 1 a Federated campaigns 1a b Membership dues 1b c Fundraising events 1c 547,662. d Related organizations 1d e Government grants (contributions) 1e f All other contributions, gifts, grants, and similar amounts not included above 1f g Noncash contributions included in lines 1a-1f \$ 547,662 h Total. Add lines 1a-1f Business Code 2 a Patient Service Revenue 621300 90,878,482, 90,878,482, Program Service Revenue Contract & miscellaneous revenue 621300 6,679,695 6,679,695. 1,057,641 1,057,641. 611710 Admin, supervision, & teaching 825,280. Research revenue 621300 825,280. f All other program service revenue 99,441,098. g Total. Add lines 2a-2f Investment income (including dividends, interest, and 2,077,543. 2,084,232. 6,689 other similar amounts) Income from investment of tax-exempt bond proceeds 5 Royalties (i) Real (ii) Personal 6 a Gross rents b Less rental expenses c Rental income or (loss) d Net rental income or (loss) (ii) Other 7 a Gross amount from sales of (i) Securities 5,129,199. assets other than inventory b Less. cost or other basis 5,000,000 and sales expenses 129,199. c Gain or (loss) 129,199 129,199. d Net gain or (loss) 8 a Gross income from fundraising events (not Other Revenue including \$ contributions reported on line 1c) See Part IV, line 18 b Less direct expenses c Net income or (loss) from fundraising events ▶ 9 a Gross income from gaming activities See Part IV, line 19 b Less direct expenses c Net income or (loss) from gaming activities 10 a Gross sales of inventory, less returns and allowances b Less cost of goods sold c Net income or (loss) from sales of inventory Miscellaneous Revenue **Business Code** 11 a Insurance rebate 900099 379,769 379,769. 299,222 Billing revenue 541200 299,222. 541200 56,159. CMS Administration 56,159. d All other revenue 735,150, e Total. Add lines 11a-11d 102,937,341 99,441,098. 362,070. 2,586,511. Total revenue. See instructions. 12

| <u></u> | on 501(c)(3) and 501(c)(4) organizations must con | | ner organizations must co | omplete column (A) | | | | | | |
|--|--|--------------------|------------------------------|-------------------------------------|--------------------------------|--|--|--|--|--|
| Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A) Check if Schedule O contains a response or note to any line in this Part IX | | | | | | | | | | |
| | not include amounts reported on lines 6b, 8b, 9b, and 10b of Part VIII. | (A) Total expenses | (B) Program service expenses | (C) Management and general expenses | (D) Fundraising expenses | | | | | |
| 1 | Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21 | 2,817,932. | 2,817,932. | | | | | | | |
| 2 | Grants and other assistance to domestic individuals See Part IV, line 22 | | | | | | | | | |
| 3 | Grants and other assistance to foreign organizations, foreign governments, and foreign individuals See Part IV, lines 15 and 16 | | | | | | | | | |
| 4 | Benefits paid to or for members | | | | | | | | | |
| 5 | Compensation of current officers, directors, trustees, and key employees | 9,145,532. | 9,145,532. | | | | | | | |
| 6 | Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) | | | | | | | | | |
| 7 | Other salaries and wages | 48,016,045. | 44,305,903. | 3,710,142. | | | | | | |
| 8 | Pension plan accruals and contributions (include | 17 651 256 | | 529,538. | | | | | | |
| | section 401(k) and 403(b) employer contributions) | 17,651,256. | | | | | | | | |
| 9 | Other employee benefits | 13,865,451. | | 126,394. | | | | | | |
| 10 | Payroll taxes | 1,821,436. | 1,772,700. | 48,736. | | | | | | |
| 11 | Fees for services (non-employees). | 004 -00 | 050 545 | 05 404 | | | | | | |
| а | Management | 284,799. | 259,615. | 25,184. | | | | | | |
| b | Legal | 198,757. | | 198,757. | | | | | | |
| C | Accounting | | | | | | | | | |
| d | Lobbying | | | | | | | | | |
| е | Professional fundraising services. See Part IV, line 17 | | l | | | | | | | |
| f | Investment management fees | 767,912. | l | 767,912. | | | | | | |
| g | Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Sch O.) | | | | | | | | | |
| 12 | Advertising and promotion | | | | | | | | | |
| 13 | Office expenses | 2,001,974. | 1,583,435. | 418,539. | | | | | | |
| 14 | Information technology | | | | | | | | | |
| 15 | Royalties | | | | | | | | | |
| 16 | Occupancy | 318,961. | 318,961. | | | | | | | |
| 17 | Travel | | | | | | | | | |
| 18 | Payments of travel or entertainment expenses | | | | | | | | | |
| | for any federal, state, or local public officials | 501 723 | 501 722 | | | | | | | |
| 19 | Conferences, conventions, and meetings | 501,733. | 501,733. | | | | | | | |
| 20 | Interest | | | | | | | | | |
| 21 | Payments to affiliates | 235,112. | 235,112. | | | | | | | |
| 22 | Depreciation, depletion, and amortization | 673,369. | 655,402. | 17,967. | | | | | | |
| 23 | Insurance Other expanses, Itemize expanses not covered | \ | 033,402. | 11,3010 | | | | | | |
| 24 | Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule 0.) | | | | | | | | | |
| а | Research and education | 1,939,034. | | | | | | | | |
| b | Bad debt expense | 1,895,711. | 1,895,711. | | | | | | | |
| c | PO dues | 1,312,876. | | | | | | | | |
| ď | Billing expenses | 318,116. | | | | | | | | |
| | All other expenses | 357,375. | | | _ | | | | | |
| 25 | Total functional expenses. Add lines 1 through 24e | 104,123,381. | | 5,843,169. | 0. | | | | | |
| 26 | Joint costs. Complete this line only if the organization | | | | | | | | | |
| 20 | reported in column (B) joint costs from a combined | } | | | | | | | | |
| | educational campaign and fundraising solicitation. | | | | | | | | | |
| | . 🗀 | ł | | | | | | | | |
| | Check here if following SOP 98-2 (ASC 958-720) | | <u> </u> | <u></u> | | | | | | |

Form 990 (2015)
Part X | Balance Sheet

| Pai | t X | Balance Sheet | | | | |
|-----------------------------|-----|--|--------------------------------|-------------------|------------|------------------------|
| | | Check if Schedule O contains a response or not | e to any line in this Part X | | | |
| | | | | (A) | | (B) |
| | | | | Beginning of year | | End of year |
| | 1 | Cash - non-interest-bearing | | 4,015,092. | 1 | 11,684,555. |
| | 2 | Savings and temporary cash investments | | 14,577,101. | 2 | 10,931,147. |
| | 3 | Pledges and grants receivable, net | | 400 550 | 3 | 40 -05 -040 |
| | 4 | Accounts receivable, net | | 12,438,758. | 4 | 10,785,318. |
| | 5 | Loans and other receivables from current and for | · | | | |
| | | trustees, key employees, and highest compensa- | ated employees Complete | - 00 667 | - | co non. |
| | _ | Part II of Schedule L | | 80,667. | 5 | 60,000. |
| | 6 | Loans and other receivables from other disquali | · · | | | |
| | | section 4958(f)(1)), persons described in section | | ! | | |
| w | | employers and sponsoring organizations of sections | | | ٠ _ | |
| Assets | _ | employees' beneficiary organizations (see instr) | Complete Part II of Sch L | 1,513,623. | 6 7 | 1,589,848. |
| Ass | 7 | Notes and loans receivable, net | | 1,313,023. | 8 | 1,309,040. |
| | 8 | Inventories for sale or use Prepaid expenses and deferred charges | | 826,372. | 9 | 492,904. |
| | 9 | Land, buildings, and equipment cost or other | 1 1 | 020,372. | 9_ | 472,704. |
| | IUa | basis Complete Part VI of Schedule D | 1,206,175. | | | |
| | , h | Less accumulated depreciation | 10a 1,206,175. 10b 491,835. | 748,192. | 10c | 714,340. |
| | 11 | Investments - publicly traded securities | 100 17170001 | 96,611,108. | 11 | 98,886,319. |
| | 12 | Investments - other securities. See Part IV, line | 11 | 56,714,216. | 12 | 51,585,385. |
| | 13 | Investments - program-related. See Part IV, line | | | 13 | 0=,000,000 |
| | 14 | Intangible assets | | 14 | | |
| | 15 | Other assets See Part IV, line 11 | 9,642,691. | 15 | 7,777,806. | |
| | 16 | Total assets. Add lines 1 through 15 (must equ | al line 34) | 197,167,820. | 16 | 194,507,622. |
| | 17 | Accounts payable and accrued expenses | 10,296,637. | 17 | 8,767,839. | |
| | 18 | Grants payable | | 18 | 1,889,597. | |
| | 19 | Deferred revenue | | | 19 | |
| | 20 | Tax-exempt bond liabilities | | | 20 | |
| | 21 | Escrow or custodial account liability Complete | Part IV of Schedule D | | 21 | |
| es | 22 | Loans and other payables to current and former | officers, directors, trustees, | |) | |
| Ħ | | key employees, highest compensated employee | es, and disqualified persons | | | |
| Liabilities | | Complete Part II of Schedule L. | | | 22 | |
| _ | 23 | Secured mortgages and notes payable to unrela | • | | 23 | |
| | 24 | Unsecured notes and loans payable to unrelate | | | 24 | |
| | 25 | Other liabilities (including federal income tax, pa | • | | | |
| | | parties, and other liabilities not included on lines | 17-24) Complete Part X of | 57,672,264. | 0.5 | 72,914,908. |
| | 00 | Schedule D | | 67,968,901. | 26 | 83,572,344. |
| _ | 26 | Total liabilities. Add lines 17 through 25 Organizations that follow SFAS 117 (ASC 958 |), check here X and | 07,500,501. | 26 | 03,372,344. |
| w | | complete lines 27 through 29, and lines 33 ar | •• | | | Ì |
| ĕ | 27 | Unrestricted net assets | u 54. | 128,417,175. | 27 | 110,353,077. |
| <u>aa</u> | 28 | Temporanly restricted net assets | | 781,744. | 28 | 582,201. |
| ä | 29 | Permanently restricted net assets | | | 29 | |
| Net Assets or Fund Balances | | Organizations that do not follow SFAS 117 (A | SC 958), check here | | | |
| ٩ | | and complete lines 30 through 34. | <i>p</i> | | | |
| ets. | 30 | Capital stock or trust principal, or current funds | | | 30 | |
| 1556 | 31 | Paid-in or capital surplus, or land, building, or ed | | | 31 | |
| et A | 32 | Retained earnings, endowment, accumulated in | | | 32 | |
| ž | 33 | Total net assets or fund balances | | 129,198,919. | 33 | 110,935,278. |
| | 34 | Total liabilities and net assets/fund balances | | 197,167,820. | 34 | 194,507,622. |
| | | | | | | Form 990 (2015) |

| Form | 990 (2015) CHMC Anesthesia Foundation, Inc. | 04- | - 2/02 | 103 | Pag | ge 12 | | | |
|------|--|----------|--------|------|--------------|--|--|--|--|
| Pa | t XI Reconciliation of Net Assets | | | | | | | | |
| | Check if Schedule O contains a response or note to any line in this Part XI | | | | | X | | | |
| | · | | 100 | | 7 2 | 4.1 | | | |
| 1 | Total revenue (must equal Part VIII, column (A), line 12) | 1 | | ,93 | | | | | |
| 2 | Total expenses (must equal Part IX, column (A), line 25) | 2 | | ,12 | | | | | |
| 3 | | | | | | | | | |
| 4 | Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A)) | 4 | | ,19 | | | | | |
| 5 | Net unrealized gains (losses) on investments | 5 | - 2 | ,48 | 6,4 | <u>77.</u> | | | |
| 6 | Donated services and use of facilities | 6 | | | | | | | |
| 7 | Investment expenses | 7 | | | | | | | |
| 8 | Prior period adjustments | 8 | - 4 2 | | | ~ | | | |
| 9 | Other changes in net assets or fund balances (explain in Schedule O) | 9 | -14 | ,59 | ⊥,⊥ | <u> </u> | | | |
| 10 | Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, | | 446 | | - ^ | 7 0 | | | |
| | column (B)) | 10 | 110 | ,93 | 5,4 | <u>/8.</u> | | | |
| Pa | rt XIII Financial Statements and Reporting | | | | | X | | | |
| | Check if Schedule O contains a response or note to any line in this Part XII | | | | Yes | No | | | |
| | | | | | res | NO | | | |
| 1 | Accounting method used to prepare the Form 990 | | | | | l ' | | | |
| | If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O | | | | | | | | |
| 2a | | | | 2a | | X | | | |
| | If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewe | d on a | | | | · ' | | | |
| | separate basis, consolidated basis, or both | | | | | 1 | | | |
| | Separate basis Consolidated basis Both consolidated and separate basis | | | | <u>х</u> - | 1 | | | |
| b | Were the organization's financial statements audited by an independent accountant? | | | 2b | Λ_ | | | | |
| | If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separa | e basis | ;, | | | | | | |
| | consolidated basis, or both | | | | | 1 : | | | |
| | Separate basis Consolidated basis Both consolidated and separate basis | | | | | 1 | | | |
| С | If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the | ie audit | , | | - <u>x</u> - | 1 | | | |
| | review, or compilation of its financial statements and selection of an independent accountant? | | _ | 2c | Λ | | | | |
| _ | If the organization changed either its oversight process or selection process during the tax year, explain in Sch | | | | | 1 : | | | |
| За | As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Si | ngie Au | ιαιτ | | | $\frac{1}{x}$ | | | |
| | Act and OMB Circular A-133? | | | 3a | | <u> </u> | | | |
| b | If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the requ | ıırea au | art | ا ۵۰ | | | | | |
| | or audits, explain why in Schedule O and describe any steps taken to undergo such audits | | | 3b | 000 | (0045) | | | |
| | | | | Form | 330 | (2015) | | | |

SCHEDULE A

Internal Revenue Service

(Form 990 or 990-EZ)

Department of the Treasury

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

Attach to Form 990 or Form 990-F7.

► Attach to Form 990 or Form 990-EZ.

Information about Schedule A (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2015

Open to Public Inspection

Name of the organization Employer identification number CHMC Anesthesia Foundation, Inc. 04-2702169 Reason for Public Charity Status (All organizations must complete this part) See instructions The organization is not a private foundation because it is: (For lines 1 through 11, check only one box) 1 A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i). 2 A school described in section 170(b)(1)(A)(ii). (Attach Schedule E (Form 990 or 990-EZ).) 3 A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii). A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name, city and state: An organization operated for the benefit of a college or university owned or operated by a governmental unit described in 5 section 170(b)(1)(A)(iv). (Complete Part II.) A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v). An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi). (Complete Part II) A community trust described in section 170(b)(1)(A)(vi). (Complete Part II) An organization that normally receives. (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See section 509(a)(2), (Complete Part III) 10 An organization organized and operated exclusively to test for public safety. See section 509(a)(4). An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See section 509(a)(3). Check the box in lines 11a through 11d that describes the type of supporting organization and complete lines 11e, 11f, and 11a Type I. A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization You must complete Part IV, Sections A and B. ___ Type II. A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). You must complete Part IV, Sections A and C. ☐ Type III functionally integrated. A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). You must complete Part IV, Sections A, D, and E. that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions) You must complete Part IV, Sections A and D, and Part V. Check this box if the organization received a written determination from the IRS that it is a Type II, Type III, Type III functionally integrated, or Type III non-functionally integrated supporting organization. f Enter the number of supported organizations g Provide the following information about the supported organization(s) (iv) is the organization (iii) Type of organization (v) Amount of monetary (vi) Amount of (i) Name of supported (ii) EIN listed in your (described on lines 1-9 organization support (see other support (see governing document? above (see instructions)) instructions) instructions) Yes No

Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi) (Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III If the organization fails to qualify under the tests listed below, please complete Part III) Section A. Public Support Calendar year (or fiscal year beginning in) (a) 2011 (b) 2012 (c) 2013 (d) 2014 (e) 2015 (f) Total 1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants ") 2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf 3 The value of services or facilities furnished by a governmental unit to the organization without charge 4 Total. Add lines 1 through 3 5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) 6 Public support. Subtract line 5 from line 4 Section B. Total Support Calendar year (or fiscal year beginning in) (c) 2013 (a) 2011 (b) 2012 (d) 2014 (e) 2015 (f) Total 7 Amounts from line 4 8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources 9 Net income from unrelated business activities, whether or not the business is regularly carried on 10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part VI.) 11 Total support. Add lines 7 through 10 12 Gross receipts from related activities, etc. (see instructions) 12 13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here Section C. Computation of Public Support Percentage 14 Public support percentage for 2015 (line 6, column (f) divided by line 11, column (f)) 14 % 15 Public support percentage from 2014 Schedule A, Part II, line 14 15 % 16a 33 1/3% support test - 2015. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization b 33 1/3% support test - 2014. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization 17a 10% -facts-and-circumstances test - 2015. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization b 10% -facts-and-circumstances test - 2014. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the

organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization.

18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions.

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

| Sec | ction A. Public Support | ciow, picase comp | olete i art ii j | | | | | | | | |
|------|--|--------------------|---------------------|------------------------|---------------------|---------------------|--------------|--|--|--|--|
| _ | endar year (or fiscal year beginning in) | (a) 2011 | (b) 2012 | (c) 2013 | (d) 2014 | (e) 2015 | (f) Total | | | | |
| 1 | Gifts, grants, contributions, and | , , | , , | | | | (-) | | | | |
| | membership fees received (Do not | | | | | | | | | | |
| | include any "unusual grants ") | 1,315,350. | 1,870,130. | 379,772. | 1,274,657. | 547,662. | 5,387,571. | | | | |
| 2 | Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose | 89,151,799. | 86,928,892. | 95,067,259. | | | 470,246,317. | | | | |
| 3 | Gross receipts from activities that are not an unrelated trade or business under section 513 | | | | | | | | | | |
| | Tax revenues levied for the organ- ization's benefit and either paid to or expended on its behalf | | | | | | | | | | |
| 5 | The value of services or facilities furnished by a governmental unit to the organization without charge | | | | | | | | | | |
| 6 | Total. Add lines 1 through 5 | 90,467,149. | 88,799,022, | 95,447,031. | 100,931,926. | 99,988,760. | 475,633,888. | | | | |
| | Amounts included on lines 1, 2, and | | | | 100,551,520. | 33,300,700. | 175,035,000: | | | | |
| | 3 received from disqualified persons | | | | | | 0. | | | | |
| t | Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year | | | | | | 0. | | | | |
| (| Add lines 7a and 7b | | | | | | 0. | | | | |
| 8 | Public support. (Subtract line 7c from line 6) | | ~ | | | | 475,633,888. | | | | |
| Se | ction B. Total Support | | | | | | | | | | |
| Cale | ndar year (or fiscal year beginning in) 🕨 | (a) 2011 | (b) 2012 | (c) 2013 | (d) 2014 | (e) 2015 | (f) Total | | | | |
| 9 | Amounts from line 6 | 90,467,149. | 88,799,022. | 95,447,031. | 100,931,926. | 99,988,760. | 475,633,888. | | | | |
| 10a | Gross income from interest, dividends, payments received on | | - | . , | | | | | | | |
| | securities loans, rents, royalties and income from similar sources | 1,395,094. | 2,415,148. | 2,061,924. | 2,481,449. | 2,078,935. | 10,432,550. | | | | |
| t | Unrelated business taxable income | | | | | | | | | | |
| | (less section 511 taxes) from businesses acquired after June 30, 1975 | | 354,361. | 404,646. | 434,844. | 360,678. | 1,942,121. | | | | |
| | Add lines 10a and 10b | 1,782,686. | 2,769,509. | 2,466,570. | 2,916,293. | 2,439,613. | 12,374,671. | | | | |
| | Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on | | | | | | | | | | |
| 12 | Other income Do not include gain or loss from the sale of capital assets (Explain in Part VI) | | | | | 379,769. | 379,769. | | | | |
| 13 | Total support. (Add lines 9, 10c, 11, and 12) | 92,249,835. | 91,568,531. | 97,913,601. | 103,848,219. | 102,808,142. | 488,388,328. | | | | |
| 14 | First five years. If the Form 990 is for | the organization's | first, second, thir | d, fourth, or fifth ta | ax year as a sectio | n 501(c)(3) organız | ation, | | | | |
| | check this box and stop here | | | | | | ▶□ | | | | |
| Se | ction C. Computation of Publ | ic Support Pe | rcentage | | | | | | | | |
| | Public support percentage for 2015 (| | • | olumn (f)) | | 15 | 97.39 % | | | | |
| | Public support percentage from 2014 | | | | | 16 | 97.52 % | | | | |
| Se | ction D. Computation of Inves | | | | | | 0 53 | | | | |
| 17 | 17 Investment income percentage for 2015 (line 10c, column (f) divided by line 13, column (f)) | | | | | | | | | | |
| 18 | Investment income percentage from | • | • | | | 18 | 2.48 % | | | | |
| 198 | a 33 1/3% support tests - 2015. If the | - | | • | | • | | | | | |
| ı | more than 33 1/3%, check this box a 33 1/3% support tests - 2014. If the | = | | | | | ▶ X | | | | |
| | | - | | | | • | ightharpoons | | | | |
| 20 | line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions | | | | | | | | | | |

Part IV | Supporting Organizations

(Complete only if you checked a box in line 11 on Part I If you checked 11a of Part I, complete Sections A and B. If you checked 11b of Part I, complete Sections A and C If you checked 11c of Part I, complete Sections A, D, and E If you checked 11d of Part I, complete Sections A and D, and complete Part V)

Section A. All Supporting Organizations

- 1 Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No" describe in **Part VI** how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain
- 2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in **Part VI** how the organization determined that the supported organization was described in section 509(a)(1) or (2)
- 3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer (b) and (c) below
- **b** Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in **Part VI** when and how the organization made the determination
- c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in Part VI what controls the organization put in place to ensure such use
- 4a Was any supported organization not organized in the United States ("foreign supported organization")? If "Yes," and if you checked 11a or 11b in Part I, answer (b) and (c) below
- **b** Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in **Part VI** how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations
- c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in **Part VI** what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes
- 5a Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in **Part VI**, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed, (ii) the reasons for each such action, (iii) the authority under the organization's organizing document authorizing such action, and (iv) how the action was accomplished (such as by amendment to the organizing document)
- **b** Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?
- c Substitutions only. Was the substitution the result of an event beyond the organization's control?
- 6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in Part VI.
- 7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ)
- 8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7?

 If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ)
- 9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in Part VI.
- b Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes," provide detail in Part VI.
- c Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in Part VI.
- 10a Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If "Yes," answer 10b below.
- **b** Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings)

| | Yes | No |
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| | edule A (Form 990 or 990-EZ) 2015 CHMC Anesthesia Foundation, Inc. 04-2 | 70216 | 9 Pa | age 5 |
|-----|--|-------------|------------|----------------|
| Pa | rt IV Supporting Organizations (continued) | | | |
| | No diameter to the first the first time and time and the first time and the first time and the first time and the first time an | | Yes | No |
| 11 | Has the organization accepted a gift or contribution from any of the following persons? | | | |
| а | A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) | 44- | | ĺ |
| L | below, the governing body of a supported organization? | 11a | <u> </u> | |
| | A family member of a person described in (a) above? A 35% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in Part VI. | 11b | <u> </u> | |
| | tion B. Type I Supporting Organizations | 110 | | <u> </u> |
| 000 | tion 5. Type I deporting digamentations | | Yes | No |
| 1 | Did the directors, trustees, or membership of one or more supported organizations have the power to | | | |
| • | regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the | 1 | [| |
| | tax year? If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or | 1 |] | 1 |
| | controlled the organization's activities of the organization had more than one supported organization, | | | |
| | describe how the powers to appoint and/or remove directors or trustees were allocated among the supported | 1 | | i |
| | organizations and what conditions or restrictions, if any, applied to such powers during the tax year | 1 | | ۔ ا |
| 2 | Did the organization operate for the benefit of any supported organization other than the supported | ļ — | | |
| | organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in | | | |
| | Part VI how providing such benefit carned out the purposes of the supported organization(s) that operated, | 1 | i 1 | |
| | supervised, or controlled the supporting organization | 2 |] | 1 |
| Sec | tion C. Type II Supporting Organizations | | | |
| | | | Yes | No |
| 1 | Were a majority of the organization's directors or trustees during the tax year also a majority of the directors | | | |
| | or trustees of each of the organization's supported organization(s)? If "No," describe in Part VI how control | { | l | ł |
| | or management of the supporting organization was vested in the same persons that controlled or managed | 1 . | | |
| | the supported organization(s) | 1 1 | <u> </u> | l |
| Sec | tion D. All Type III Supporting Organizations | | | , |
| | | | Yes | No |
| 1 | Did the organization provide to each of its supported organizations, by the last day of the fifth month of the | | [] | l |
| | organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax | | i | 1 |
| | year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the | İ | | |
| | organization's governing documents in effect on the date of notification, to the extent not previously provided? | 1 | | ├ ─ |
| 2 | | l | ! | |
| | organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how | 1 _ | ł | |
| _ | the organization maintained a close and continuous working relationship with the supported organization(s) | 2_ | | ├ |
| 3 | By reason of the relationship described in (2), did the organization's supported organizations have a | 1 | 1 . | |
| | significant voice in the organization's investment policies and in directing the use of the organization's | 1 | | İ |
| | income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's | | 1 | l |
| 500 | supported organizations played in this regard stion E. Type III Functionally-Integrated Supporting Organizations | 3 | <u> </u> | <u> </u> |
| 1 | Check the box next to the method that the organization used to satisfy the Integral Part Test during the yeafsee instructions). | | | |
| a | The organization satisfied the Activities Test. Complete line 2 below | | | |
| b | The organization is the parent of each of its supported organizations. Complete line 3 below | | | |
| C | The organization supported a governmental entity Describe in Part VI how you supported a government entity (see ins | tructions | :) | |
| 2 | Activities Test Answer (a) and (b) below. | | Yes | No |
| a | | Γ | 103 | ا"، |
| | the supported organization(s) to which the organization was responsive? If "Yes," then in Part VI identify | 1 | Į | |
| | those supported organizations and explain how these activities directly furthered their exempt purposes, | ł | l | 1 |
| | how the organization was responsive to those supported organizations, and how the organization determined | | ł | |
| | that these activities constituted substantially all of its activities | 2a | [| 1 |
| b | Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more | | † | |
| - | of the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the | | 1 | 1 |
| | reasons for the organization's position that its supported organization(s) would have engaged in these | } | 1 | 1 |
| | activities but for the organization's involvement | 2b | | ' |
| 3 | Parent of Supported Organizations Answer (a) and (b) below. | | 1 | |
| | Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or | 1 | | |
| | trustees of each of the supported organizations? Provide details in <i>Part VI</i> . | 3a | _ | 1 |
| b | Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each | | | |
| | of its supported organizations? If "Yes," describe in Part VI the role played by the organization in this regard | 3b | Ĺ | <u> </u> |

| Sche | dule A (Form 990 or 990 EZ) 2015 CHMC_Anesthesia Foundat | ion, | Inc. | 04-2702169 Page 6 |
|------|---|-------------|--------------------------|--------------------------------|
| Pai | | | | |
| 1 | Check here if the organization satisfied the Integral Part Test as a qualifying | | | structions. All |
| | other Type III non-functionally integrated supporting organizations must co | | | |
| Sect | ion A - Adjusted Net Income | | (A) Pnor Year | (B) Current Year (optional) |
| 1 | Net short-term capital gain | 1 | | |
| 2_ | Recoveries of prior-year distributions | 2 | | |
| 3 | Other gross income (see instructions) | 3 | | |
| 4 | Add lines 1 through 3 | 4 | | |
| 5 | Depreciation and depletion | 5 | | |
| 6 | Portion of operating expenses paid or incurred for production or | - - | | |
| | collection of gross income or for management, conservation, or | -{ | | |
| | maintenance of property held for production of income (see instructions) | 6 | | |
| 7 | Other expenses (see instructions) | 7 | | |
| 8 | Adjusted Net Income (subtract lines 5, 6 and 7 from line 4) | 8 | | |
| Sect | ion B - Minimum Asset Amount | | (A) Pnor Year | (B) Current Year (optional) |
| 1 | Aggregate fair market value of all non-exempt-use assets (see | | | |
| | instructions for short tax year or assets held for part of year) | Ш., | | |
| а | Average monthly value of securities | 1a | | |
| b | Average monthly cash balances | 1b | | |
| С | Fair market value of other non-exempt-use assets | 1c | | |
| d | Total (add lines 1a, 1b, and 1c) | 1d | | |
| е | Discount claimed for blockage or other | - | | |
| | factors (explain in detail in Part VI) | _l | | |
| 2 | Acquisition indebtedness applicable to non-exempt-use assets | 2 | | |
| 3 | Subtract line 2 from line 1d | 3 | | |
| 4 | Cash deemed held for exempt use Enter 1-1/2% of line 3 (for greater amount, | | | |
| | see instructions) | 4 | | |
| 5 | Net value of non-exempt-use assets (subtract line 4 from line 3) | 5 | | |
| 6 | Multiply line 5 by 035 | 6 | | |
| 7 | Recoveries of prior-year distributions | 7 | | |
| 8 | Minimum Asset Amount (add line 7 to line 6) | 8 | | |
| Sect | ion C - Distributable Amount | | | Current Year |
| 1 | Adjusted net income for prior year (from Section A, line 8, Column A) | 1 | | |
| 2 | Enter 85% of line 1 | 2 | | |
| 3 | Minimum asset amount for prior year (from Section B, line 8, Column A) | 3 | | |
| 4 | Enter greater of line 2 or line 3 | 4 | | |
| 5_ | Income tax imposed in prior year | 5 | | |
| 6 | Distributable Amount. Subtract line 5 from line 4, unless subject to | 1 | | |
| | emergency temporary reduction (see instructions) | 6 | | |
| 7 | Check here if the current year is the organization's first as a non-functional | llv-integra | ated Type III supporting | organization (see |

Schedule A (Form 990 or 990-EZ) 2015

instructions).

| Sche | dule A (Form 990 or 990-EZ) 2015 CHMC Anesthes | <u>ia Foundation,</u> | Inc. (| 04-2702169 Page 7 |
|-----------------|---|-------------------------------|--------------------------------------|-------------------|
| Pai | t V Type III Non-Functionally Integrated 509 | (a)(3) Supporting Orga | anizations (continued) | |
| Sect | on D - Distributions | | | Current Year |
| 1 | Amounts paid to supported organizations to accomplish exe | empt purposes | | |
| 2 | Amounts paid to perform activity that directly furthers exemp | ot purposes of supported | | |
| | organizations, in excess of income from activity | | | |
| 3 | Administrative expenses paid to accomplish exempt purpose | es of supported organization | is | |
| 4 | Amounts paid to acquire exempt-use assets | | | |
| 5 | Qualified set-aside amounts (prior IRS approval required) | | | |
| 6 | Other distributions (describe in Part VI) See instructions | | | Ţ |
| 7 | Total annual distributions. Add lines 1 through 6 | | | |
| 8 | Distributions to attentive supported organizations to which the | he organization is responsive | | |
| | (provide details in Part VI) See instructions | | | |
| 9 | Distributable amount for 2015 from Section C, line 6 | | | |
| 10 | Line 8 amount divided by Line 9 amount | | | |
| | | (i) | (ii) | (iii) |
| _ | | Excess Distributions | Underdistributions | Distributable |
| Sect | on E - Distribution Allocations (see instructions) | | Pre-2015 | Amount for 2015 |
| 1 | Distributable amount for 2015 from Section C, line 6 | | | |
| 2 | Underdistributions, if any, for years prior to 2015 | | | |
| | (reasonable cause required-see instructions) | | | |
| 3 | Excess distributions carryover, if any, to 2015 | | · · · · · · · · · · · · | |
| a | , | | | |
| b | | | | |
| C | | | | |
| | From 2013 | | | |
| | From 2014 | | | |
| | Total of lines 3a through e | | | |
| | Applied to underdistributions of prior years | | | |
| | Applied to 2015 distributable amount | | | |
| <u>;</u> | Carryover from 2010 not applied (see instructions) | | | |
| <u>-</u> - | Remainder. Subtract lines 3g, 3h, and 3i from 3f. | | | |
| 4 | Distributions for 2015 from Section D, | | | |
| | line 7 \$ | | | |
| a | Applied to underdistributions of prior years | | | |
| | Applied to 2015 distributable amount | | | |
| | Remainder Subtract lines 4a and 4b from 4. | | | |
| 5 | Remaining underdistributions for years prior to 2015, if | | | |
| | any Subtract lines 3g and 4a from line 2 (if amount | | | |
| | greater than zero see instructions) | | | |
| 6 | Remaining underdistributions for 2015. Subtract lines 3h | | | |
| | and 4b from line 1 (if amount greater than zero, see | | | |
| | instructions) | | | |
| 7 | Excess distributions carryover to 2016. Add lines 3 | | | <u> </u> |
| • | and 4c. | } | | 1 |
| | Breakdown of line 7 | | | |
| a | | | | |
| — <u>u</u> b | | | | |
| | Excess from 2013 | | | <u> </u> |
| | Excess from 2014 | | | <u> </u> |
| | Excess from 2015 | | | |
| | | | | |

| Schedule A | (Form 990 or 990-E | Z) 2015 CHMC | Anestnes1 | a roundati | on, inc. | 04-2/02169 | Page 8 |
|------------|--|--|--|--|---|--|-----------------|
| Part VI | Parf IV, Section A, line 1. Part IV. Sect | lines 1, 2, 3b, 3c, tion D. lines 2 and | 4b, 4c, 5a, 6, 9a, 9t 3: Part IV. Section | o, 9c, 11a, 11b, and E. lines 1c. 2a. 2b. 3 | 11c, Part IV, Section a and 3b, Part V, line | ne 17a or 17b, Part III, line 12; B, lines 1 and 2, Part IV, Sectio 1, Part V, Section B, line 1e, Pa y additional information. | on Ć, art V, |
| | (Doo mendendine) | | | | | | |
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SCHEDULE D

(Form 990)

Department of the Treasury Internal Revenue Service

Supplemental Financial Statements

Complete if the organization answered "Yes" on Form 990,
Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.

Attach to Form 990.

Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047 Open to Public Inspection

Name of the organization

CHMC Anesthesia Foundation, Inc.

Employer identification number 04-2702169

| Pa | rt I Organizations Maintaining Donor Advise | ed Funds or Other Similar Funds | or Accounts. Complete if the |
|----------|---|--|---|
| | organization answered "Yes" on Form 990, Part IV, lin | ne 6 | · |
| | | (a) Donor advised funds | (b) Funds and other accounts |
| 1 | Total number at end of year | | |
| 2 | Aggregate value of contributions to (during year) | | |
| 3 | Aggregate value of grants from (during year) | | |
| 4 | Aggregate value at end of year | | |
| 5 | Did the organization inform all donors and donor advisors in | writing that the assets held in donor advise | ed funds |
| | are the organization's property, subject to the organization's | exclusive legal control? | Yes No |
| 6 | Did the organization inform all grantees, donors, and donor a | idvisors in writing that grant funds can be L | used only |
| | for charitable purposes and not for the benefit of the donor of | or donor advisor, or for any other purpose of | onferring |
| | impermissible private benefit? | | Yes No |
| Pa | rt II Conservation Easements. Complete if the org | ganization answered "Yes" on Form 990, Pa | art IV, line 7 |
| 1 | Purpose(s) of conservation easements held by the organization | | |
| | Preservation of land for public use (e.g., recreation or e | education) Preservation of a histor | rically important land area |
| | Protection of natural habitat | Preservation of a certif | |
| | Preservation of open space | | |
| 2 | Complete lines 2a through 2d if the organization held a quali | fied conservation contribution in the form o | of a conservation easement on the last |
| | day of the tax year | | Held at the End of the Tax Year |
| а | Total number of conservation easements | | 2a |
| b | Total acreage restricted by conservation easements | | 2b |
| С | Number of conservation easements on a certified historic str | ucture included in (a) | 2c |
| d | | * * | |
| | listed in the National Register | | 2d |
| 3 | Number of conservation easements modified, transferred, re | leased, extinguished, or terminated by the | |
| | year > | , | |
| 4 | Number of states where property subject to conservation ea | sement is located | |
| 5 | Does the organization have a written policy regarding the pe | | |
| _ | violations, and enforcement of the conservation easements i | | Yes No |
| 6 | Staff and volunteer hours devoted to monitoring, inspecting, | | |
| _ | > | -, | |
| 7 | Amount of expenses incurred in monitoring, inspecting, hand | dling of violations, and enforcing conservati | ion easements during the year |
| - | > \$ | | an saccinante canng and year |
| 8 | Does each conservation easement reported on line 2(d) above | ve satisfy the requirements of section 170/h | a)(4)(B)(i) |
| _ | and section 170(h)(4)(B)(ii)? | | Yes No |
| 9 | In Part XIII, describe how the organization reports conservat | ion easements in its revenue and expense | |
| · | include, if applicable, the text of the footnote to the organiza | | |
| | conservation easements. | inor 3 mariola state ments triat describes to | no organization a accounting to |
| Pa | rt III Organizations Maintaining Collections o | f Art. Historical Treasures, or Ot | her Similar Assets |
| | Complete if the organization answered "Yes" on Form | | |
| 12 | If the organization elected, as permitted under SFAS 116 (AS | | ent and halance sheet works of art |
| Ia | historical treasures, or other similar assets held for public ex | | · · · · · · · · · · · · · · · · · · · |
| | the text of the footnote to its financial statements that descr | | ice of public service, provide, in Fait Alti, |
| . | | | and halance shoot works of art, historical |
| b | | •• | • |
| | treasures, or other similar assets held for public exhibition, e | ducation, or research in furtherance of pub | inc service, provide the following amounts |
| | relating to these items | | b • |
| | (i) Revenue included on Form 990, Part VIII, line 1 | | > \$ > \$ |
| _ | (ii) Assets included in Form 990, Part X | and the second s | |
| 2 | If the organization received or held works of art, historical tre | | gain, provide |
| | the following amounts required to be reported under SFAS 1 | 1 To (ASC 958) relating to these items | ~ • |
| a | • | | > \$ |
| b | Assets included in Form 990, Part X | | ▶ \$ |

| | | estnesia F | | | | | 02169 | Pag | <u>e 2</u> |
|------|---|------------------------|-------------------------|-----------------------|---------------|--------------------|---------------------|----------------------|--------------|
| Par | t III Organizations Maintaining C | ollections of Ar | t, Historical Tr | easures, or Ot | her Simi | lar Asse | t s (continu | <u>ed)</u> | |
| 3 | Using the organization's acquisition, accessi | on, and other record | s, check any of the | following that are a | a significant | use of its | collection | rtems | |
| | (check all that apply) | | | | | | | | |
| а | Public exhibition | d | Loan or exc | hange programs | | | | | |
| b | Scholarly research | е | Other | | | | | | |
| С | Preservation for future generations | | • | | | | | | |
| 4 | Provide a description of the organization's co | ollections and explain | n how they further t | he organization's e | xempt purp | ose in Par | t XIII | | |
| 5 | During the year, did the organization solicit o | r receive donations o | of art. historical trea | sures, or other sim | ılar assets | | | | |
| | to be sold to raise funds rather than to be ma | | · | | | | Yes | | No |
| Par | t IV Escrow and Custodial Arran | | | | on Form 99 | 0. Part IV. | | | |
| | reported an amount on Form 990, Par | | | | | -,. - , | | | |
| 1a | Is the organization an agent, trustee, custod | | liany for contribution | s or other assets r | ot included | | | | |
| 10 | on Form 990, Part X? | an or other littermed | nary for contribution | 13 01 011101 0330131 | or included | · | Yes | \Box | No |
| h | If "Yes," explain the arrangement in Part XIII | and complete the fol | llowma tablo | | | | _ 163 | · | 110 |
| U | ii res, explain the anangement in Fart Alli | and complete the lo | llowing table | | | T | Amount | | |
| _ | Danisa balanca | | | | - | | Amount | —— | |
| | Beginning balance | | | | 1c | | | —— | |
| | Additions during the year | | | | 1d | | | | |
| е | Distributions during the year | | | | 1e | <u> </u> | | | |
| f | Ending balance | | | | 1f | <u> </u> | | | |
| | Did the organization include an amount on Fe | | | | • | | ∐ Yes | 닏 | No |
| _ | If "Yes," explain the arrangement in Part XIII | | | | | | | <u></u> | |
| Par | t V Endowment Funds. Complete | f the organization an | swered "Yes" on Fo | orm 990, Part IV, lin | | | | | |
| | | (a) Current year | (b) Prior year | (c) Two years back | | years back | (e) Four ye | | |
| 1a | Beginning of year balance | 781,744. | 244,453. | 778,568 | | 910,637. | | 193,7 | |
| b | Contributions | 547,661. | 1,274,657. | 379,764 | | 256,884. | 5 | 54,7 | 41. |
| С | Net investment earnings, gains, and losses | | | | | | | | |
| d | Grants or scholarships | | | | | | | | |
| е | Other expenditures for facilities | | | | | | | | |
| | and programs | 747,204. | 737,366. | 913,879 | | 388,953. | 1,1 | .37,8 | 45. |
| f | Administrative expenses | | | | | | | | _ |
| g | End of year balance | 582,201. | 781,744. | 244,453 | | 778,568. | 9 | 10,6 | 37. |
| 2 | Provide the estimated percentage of the cur | | | | | | <u> </u> | _ <u></u> | _ |
| | Board designated or quasi-endowment | • 00 | % | ajj riela as | | | | | |
| a | Permanent endowment • .00 | % | | | | | | | |
| | | 0.0 0 % | | | | | | | |
| C | | | | | | | | | |
| _ | The percentages on lines 2a, 2b, and 2c sho | | | | | | | | |
| за | Are there endowment funds not in the posse | ession of the organiza | ation that are neid a | ina administered to | r the organ | ization | | | |
| | by | | | | | | | | No_ |
| | (i) unrelated organizations | | | | | | 3a(i) | | <u>X</u> |
| | (ii) related organizations | | | | | | 3a(ii) | - - | <u>X</u> _ |
| b | If "Yes" on line 3a(ii), are the related organization | • | | ı | | | _3b | L | |
| 4 | Describe in Part XIII the intended uses of the | | wment funds | | | | | | |
| Pai | t VI Land, Buildings, and Equipm | nent. | | | | | | | |
| | Complete if the organization answere | d "Yes" on Form 990 |), Part IV, line 11a. 9 | See Form 990, Part | X, line 10 | | | | |
| | Description of property | (a) Cost or o | ther (b) Cost | or other (c) | Accumulat | ed | (d) Book | value | |
| | | basis (investri | nent) basis | (other) | depreciation | n | | | |
| 1a | Land | | | | | | | | |
| | Buildings ' | | | | | | | | _ |
| | Leasehold improvements | | _ + - | | | | | | _ |
| | Equipment | | 1.20 | 6,175. | 491,8 | 35. | 714 | , 34 | <u>0.</u> |
| | Other | | | | | | | <u></u> | _ |
| | I. Add lines 1a through 1e (Column (d) must e | qual Form 900 Part | X column (R) line | 10c) | | • | 714 | . 34 | 0 - |
| iota | . Add mes la unough le [Column (d) must e | quair onn 330, rail | A, COMMINT (D), INTO | | | | | , , , , , | - |

| 1. (a) Description of liability | (b) Book value |
|--|----------------|
| (1) Federal income taxes | |
| (2) Due to Harvard - Anesthesia Med | |
| (3) Education | 503,605. |
| (4) Due to Physicians' Org. at CH, | |
| (5) Inc. | 579,115. |
| (6) Due to Boston Children's Hospital | 2,881,662. |
| (7) Accrued education plan obligation | 23,311,755. |
| (8) Accrued longer service bonus & | |
| (9) tuition loan program | 3,944,643. |
| Total. (Column (b) must equal Form 990, Part X, col (B) line 25) | 72,914,908. |

^{2.} Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII.

| | dule D (Form 990) 2015 CHMC Anesthesia Foundation, | | | 2702169 Page | <u>e 4</u> |
|----------------|--|--------------------------------|----------|----------------------|---------------|
| Par | t XI Reconciliation of Revenue per Audited Financial Stateme | nts With Revenue per R | etur | n. | |
| | Complete if the organization answered "Yes" on Form 990, Part IV, line 12a | | | K00 4F0 0C | |
| 1 | Total revenue, gains, and other support per audited financial statements | | 1_1_ | 100,450,86 | <u>5 ·</u> |
| 2 | Amounts included on line 1 but not on Form 990, Part VIII, line 12 | _{2a} -2,486,476. | | | |
| a , | Net unrealized gains (losses) on investments | | ł | | |
| b | Donated services and use of facilities | 2b | | | |
| C | Recoveries of prior year grants | 2c | ł | | |
| d | Other (Describe in Part XIII.) | 2d | - | -2,486,470 | 6 |
| 3 | Add lines 2a through 2d Subtract line 2e from line 1 | | 2e | 102,937,34 | |
| 4 | Amounts included on Form 990, Part VIII, line 12, but not on line 1: | | <u> </u> | 102,557,51 | <u></u> |
| - | Investment expenses not included on Form 990, Part VIII, line 7b | 4a | | } | |
| | Other (Describe in Part XIII) | 4b | | | |
| | Add lines 4a and 4b | | 4c | 1 | 0. |
| 5 | Total revenue Add lines 3 and 4c. (This must equal Form 990, Part I, line 12) | | 5 | 102,937,34 | 1. |
| Par | t XII Reconciliation of Expenses per Audited Financial Stateme | ents With Expenses per | Reti | | |
| | Complete if the organization answered "Yes" on Form 990, Part IV, line 12a. | | | | |
| 1 | Total expenses and losses per audited financial statements | | 1 | 118,714,50 | 5. |
| 2 | Amounts included on line 1 but not on Form 990, Part IX, line 25 | | | | _ |
| а | Donated services and use of facilities | 2a | | | |
| b | Prior year adjustments | 2b | l | | |
| С | Other losses | 2c | | | |
| d | Other (Describe in Part XIII.) | 2d 14,591,124. | | | |
| е | Add lines 2a through 2d | | 2e | 14,591,12 | |
| 3 | Subtract line 2e from line 1 | | _3_ | 104,123,38 | <u>1 •</u> |
| 4 | Amounts included on Form 990, Part IX, line 25, but not on line 1 | 1 1 |] |) | |
| | Investment expenses not included on Form 990, Part VIII, line 7b | 4a | - | | |
| | Other (Describe in Part XIII.) | _4b | | | 0. |
| _ | Add lines 4a and 4b | | 4c 5 | 104,123,38 | |
| Par | Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18) It XIII Supplemental Information. | | 5_ | 104,125,50 | <u></u> |
| | de the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part | IV lines 1h and 2h Part V line | / Dar | t Y line 2: Part YI | — |
| | 2d and 4b, and Part XII, lines 2d and 4b. Also complete this part to provide any additional states of the state of the sta | | 7, 1 GI | th, mic z, raith, | |
| | | | | | |
| | | | | | |
| Par | ct V, line 4: | | | | |
| | | | _ | | |
| The | e endowment funds represent donations that | were given for | phy | sician | |
| 200 | cruitment, simulator education programs, ar | nd professionali | c m | and othica | 1 |
| 160 | rurement, simulator education programs, ar | id professionali | - BIII | and ethica. | <u>-</u> |
| pra | actices. The use of these funds was restri | icted by the don | or. | | |
| <u> </u> | Total and the control of the control | reced by one don | | | — |
| | | | | | |
| | | | | | _ |
| Par | rt X, Line 2: | | | | |
| | | | | _ | |
| The | e Foundation is a tax-exempt corporation as | s described in S | ect | ion | |
| ΓΛ. | 1/2//2/ 25 the Tetaural Bassace Gada /tha | | | | |
| 50. | 1(c)(3) of the Internal Revenue Code (the (| lode), and is ex | emr | t from | |
| fed | deral income taxes on related income pursua | ant to Section 5 | 01 (| a) of the | |
| | The same of the same parties | | | | — |
| Cod | de. In addition, the Foundation qualifies | for the charita | ble | : | |
| | | | | | _ |
| COI | ntribution deduction under Section 170(b)(| l)(a), and has h | eer | classifie | <u>d</u> _ |
| as | an organization that is not a private four | ndation under Se | ecti | on | |
| 53205 09-21 | | | | edule D (Form 990) 2 | 015 |

Schedule D (Form 990) 2015

| Schedule D (Form 990) 2015 CHMC Anesthesia Foundation, Inc. 04-2702169 Page 5 [Part XIII Supplemental Information (continued) |
|--|
| 509(a)(2). Tax-exempt organizations could be required to record an |
| obligation for income taxes as the result of a tax position they have |
| historically taken on various tax exposure items, including unrelated |
| business income or tax status. Management of the Foundation has evaluated |
| the positions taken on the Foundation's filed tax returns and has |
| concluded that no uncertain income tax positions exist at June 30, 2016. |
| The Foundation's tax years from 2013 through 2016 are potentially subject |
| to examination. |
| |
| Part XII, Line 2d - Other Adjustments: |
| Pension adjustment 14,591,124. |
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| Part X Other Liabilities. See Form 990, Part X, line 25 | · · · · · · · · · · · · · · · · · · · |
|--|---|
| (a) Description of liability | (b) Amount |
| | |
| Accrued SERP obligation | 14,564,710 |
| Accrued deferred compensation 457(b) plan Accrued professional liability | 2,097,489 |
| Accrued professional liability | 3,456,363 |
| Accrued defined benefit plan obligation | 20,968,735 |
| Accrued money purchase plan | 432,965 |
| Due to CH Neurology Foundation | 93,879 |
| Accrued deferred annuity plan | 79,987 |
| moordon dororron dimeroj prem | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, |
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Employer identification number Information about Schedule I (Form 990) and its instructions is at www.lrs.gov/form990. Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22. Grants and Other Assistance to Organizations, Governments, and Individuals in the United States ▶ Attach to Form 990. Name of the organization Department of the Treasury Internal Revenue Service SCHEDULE (Form 990)

OMB No 1545-0047

Oper to Public Inspection

04 - 27021691 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection CHMC Anesthesia Foundation, Inc. General Information on Grants and Assistance Part I

ê [X Yes Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States. criteria used to award the grants or assistance? Part II

| recipient that received more than \$5,000. Part II can be do | \$5,000. Part II can | be duplicated if additi | uplicated if additional space is needed | . pa | | | • |
|---|----------------------|-------------------------------|---|-----------------------------------|---|---|---------------------------------------|
| 1 (a) Name and address of organization or government | (p) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, EMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| Boston Children's Hospital 300 Longwood Avenue Boston, MA 02115 | 04-2774441 | 501(c)(3) | 2,740,097. | 0 | 0.N/A | N/A | General Support |
| Pan Massachusetts Challenge 77 4th Avenue Needham, MA 02494 | 04-2746912 | 501(c)(3) | 11,700. | 0. | 0.N/A | N/ A | General Support |
| Friends of Norris Cotton Cancer Center - One Medical Center Drive - Lebanon, NH 03756 | 02-0222140 | 501(c)(3) | 10,000. | 0. | 0.N/A | N/A | General Support |
| Children's Hospital Pediatric Associates, Inc 20 Overland Street - Boston, MA 02215 | 43-1987409 | 501(c)(3) | 5,000. | 0. | 0.N/A | N/A | General Support |
| Massachusetts Medical Benevolent Society - 860 Winter Street - Waltham, MA 02451 | 23-7089741 | 501(c)(3) | 5,000. | 0. | N/A | N/A | General Support |
| Center for Medical Simulation 100 First Avenue Charlestown, MA 02129 | 04-3486127 | 501(c)(3) | 0 | 40,000.N/A | N/A | M/A | General Support |
| 2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table | and government or | ganizations listed in th | e line 1 table | | | | • 9 |

3 Enter total number of other organizations listed in the line 1 table LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2015)

Page 2

Schedule I (Form 990) (2015) CHMC Anesthesia Foundation, Inc.

Part III Grants and Other Assistance to Domestic Individuals. Complete if the organization answered "Yes" on Form 990, Part IV, line 22

Part III can be duplicated if additional space is needed

| (d) Amount of non- (e) Method of valuation (f) Description of non-cash assistance (book, FMV, appraisal, other) | | | (b), and any other additional information | | 1, Inc. (the Foundation) | a written grant | ress and financial | During the year ended June 30, 2016, | |
|---|--|--|--|-----------------|------------------------------------|-------------------------------------|------------------------------------|--------------------------------------|--------|
| cash grant cas | | | Part III, column (b), a | | Foundation, I | of | require yearly progress and | the year e | 7 60 7 |
| (b) Number of recipients | | | ured in Part I, line 2, | | Anesthesia For | and conditions | equire yea | i | |
| (a) Type of grant or assistance | | | Part IV Supplemental Information. Provide the information required in Part I, line 2, Part III, column (b), and any other additional information | Part I, Line 2: | Monitoring is provided by CHMC Ane | through oversight of their terms an | agreement. Multiyear agreements re | reports for continuation of funding. | |

SCHEDULE J (Form 990)

Department of the Treasury

Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

► Complete if the organization answered "Yes" on Form 990, Part IV, line 23.

► Attach to Form 990.

► Attach to Form 990.

Information about Schedule J (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

Open to Public

Name of the organization

CHMC Anesthesia Foundation, Inc.

Employer identification number 04-2702169

Part I **Questions Regarding Compensation** Yes No 1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items First-class or charter travel Housing allowance or residence for personal use Travel for companions Payments for business use of personal residence Tax indemnification and gross-up payments Health or social club dues or initiation fees Personal services (e.g., maid, chauffeur, chef) Discretionary spending account b If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain 1b 2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked in line 1a? 2 3 Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director Check all that apply Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III X Compensation committee Independent compensation consultant X Compensation survey or study X Form 990 of other organizations X Approval by the board or compensation committee 4 During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization X a Receive a severance payment or change-of-control payment? 4a X b Participate in, or receive payment from, a supplemental nonqualified retirement plan? 4b 4с c Participate in, or receive payment from, an equity-based compensation arrangement? If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9. 5 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of $\bar{\mathbf{X}}$ a The organization? X 5b b Any related organization? If "Yes" to line 5a or 5b, describe in Part III For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of a The organization? 6a 6b X b Any related organization? If "Yes" on line 6a or 6b, describe in Part III 7 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments 7 X not described on lines 5 and 6? If "Yes," describe in Part III 8 Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the Х initial contract exception described in Regulations section 53 4958-4(a)(3)? If "Yes," describe in Part III 8 If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in q Regulations section 53 4958-6(c)?

Schedule J (Form 990) 2015

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii) Do not list any individuals that are not listed on Form 990, Part VII.

Note: The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual

| | | i jo minopiloona (a) | Contraction COLM COLD to CAMber Charles | acitoración Co | bac tromonto | oldovotach (a) | Total of columns | (F) Composition |
|---------------------------------|----------|--------------------------|---|------------------------|----------------|----------------|------------------|---|
| | | (b) Dreakdowii oi v | אייב מושריב והישומים | SC CORIPERISATION | other deferred | | | (r) colliperiodium |
| (A) Name and Title | | (i) Base compensation | (ii) Bonus & incentive | (iii) Other reportable | compensation | | | reported as deferred on prior Form 990 |
| | | | compensation | Companisation | | | | |
| (1) Paul R. Hickey, MD | ≘ | 737,842. | 84,500. | 690,163. | 53,000. | 38,113. | 1,603,618. | 78,240. |
| President/Treasurer | Ξ | | | | | | į | |
| (2) Mark A. Rockoff, MD | Ξ | 470,100. | 80,000. | 388,288. | 23,000. | 37,816. | 1,029,204. | 68,041. |
| Clerk | Ξ | | | | | | | 0 |
| (3) John H. Arnold, MD | Ξ | 317,350. | 130,448. | 287,871. | 50,844. | 41,371. | 827,884. | 0 |
| Director | Ξ | 0 | | | | | | 0 |
| (4) Lynne R. Ferrari, MD | Ξ | 386,000. | 80,00 | 180,767. | 61,709 | 42,128. | 756,604. | 0 |
| Director | € | | | Ì | | | | •0_ |
| (5) Michael L. McManus, MD | ≘ | 320,000. | 106,784. | 131,605. | 59,954. | 41,553. | 659,896. | 0 |
| Director | Ξ | | | | | 0 | | 0 |
| (6) Charles D. Nargozian, MD | ε | 298,450. | 137,850. | 43,973. | 56,001. | 41,628. | 577,902. | 0. |
| Director | (ii) | | | | | | | 0 |
| (7) Kirsten C. Odegard, MD | Ξ | 262,940. | 136,500. | 195,703. | 70,616. | 37,091. | 702,850. | 0 |
| Director | (ii) | | - 1 | - 1 | ĺ | - 1 | | 0 |
| (8) Mary Landrigan-Ossar, MD | (1) | 233,200. | 80,000. | 166,280. | 55,076. | 36,669. | 571,225. | 0 |
| Director (7/15-12/15) | l(ii) | | | | | | | 0. |
| (9) Sulpicio G. Soriano, MD | (1) | 327,000. | 118,750. | 617,218. | 60,07 | 37,137. | 1,160,182. | 0 |
| Director | (ii) | | | ļ | ŀ | - 1 | - 1 | 0 |
| (10) Steven Zgleszewski, MD | Ξ | 251,850. | 84,400. | 166,642. | 57,042. | 36,865. | 596,799. | 0 |
| Director | (ii) | | 0. | 0. | | | | |
| (11) Roland Brusseau, MD | (1) | 262,667. | 148,371. | 64,892. | 95,397. | 36,559. | 607,886. | 32,244. |
| Director | (ii) | | | | - 1 | - 1 | - 1 | 0 |
| (12) Elizabeth C. Eastburn, MD | (i) | 184,450. | 106,820. | 41,005. | 46,800. | 36,608. | 415,683. | 0 |
| Director (1/16-6/16) | (ii) | | | | ļ | 0. | | 0 |
| (13) Jeffrey Burns, MD | (1) | 438,250. | 20,000. | 800,676. | 60,579. | 40,918. | 1,360,423. | 0 |
| Physician | (ii) | | | | | | | 0 |
| (14) Robert Holzman, MD | (i) | 330,000. | 155,200. | 284,907. | 60,05 | 41,820. | 871,983. | 0 |
| Physician | <u> </u> | | | | 3 | ļ | | 0 |
| (15) Pete Kovatsis, MD | Ξ | 246,500. | 206,890. | 283,239. | 64,08 | 36,908. | 837,626. | 0 |
| Physician | 3 | ļ | | ļ | i i | ľ | ľ | 0 |
| (16) Arielle Mizrahi-Arnaud, MD | Ξ | 263,583. | 151,590. | 288,952. | 66,516. | 36,996. | 807,637. | 0 |
| Physician | ▤ | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Schedule J (Form 990) 2015

CHMC Anesthesia Foundation, Inc. Schedule J (Form 990) 2015

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii) Do not list any individuals that are not listed on Form 990, Part VIII.

Note: The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual

| | | (B) Breakdown of W-2 and/or 1099-MISC compensation | V-2 and/or 1099-MIS | SC compensation | (C) Retirement and | (D) Nontaxable | (E) Total of columns | (F) Compensation |
|-----------------------------------|------------|--|-------------------------------------|---|--------------------|----------------|----------------------|---|
| (A) Name and Title | <u> </u> | (i) Base compensation | (II) Bonus & incentive compensation | (iii) Other reportable compensation | compensation | | | reported as deferred on prior Form 990 |
| (17) Sharon Redd, MD Physician | ≘ € | 242,750. | 140,780. | 384,886. | 59,733. | 15,770. | 843,919. | 000 |
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| | | | | | | | Sched | Schedule J (Form 990) 2015 |

Part III Supplemental Information

Schedule J (Form 990) 2015

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information

4b: Line H Part

2005 Inc. The Foundation established the CHMC Anesthesia Foundation, (ب Supplemental Executive Retirement Plan (SERP), effective as of January

2005 to reward licensed physician employees with a benefit based on

The SERP's sources of contributions are from employer service. discretionary amounts (if any), severance benefits, and elective deferrals.

The amounts are vested after five years, attainment of normal retirement

The following employees date, death, disability, or change in control.

listed on Part VII received the Following SERP contributions during the

year: Paul R. Hickey, MD \$78,240; Mark Rockoff, MD \$68,041; and Roland

\$32,344. Brusseau, MD

7: Line Part I, The Foundation established the CHMC Anesthesia Foundation, Inc. Longer

in 2002, a plan to reward long-service physician Service Bonus

Currently, the Foundation anesthesiologist-employees with an annual bonus.

Participants provides an annual bonus in the amount of \$20,000 per year.

have an annual option to be paid in cash, contribute to the tax sheltered

Also, the TIAA 457(b) program, or leave in the Plan. annuity under 403(b),

SCHEDULE L

(Form 990 or 990-EZ)

Transactions With Interested Persons

Complete if the organization answered "Yes" on Form 990, Part IV, line 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V, line 38a or 40b.

Attach to Form 990 or Form 990-EZ.

Internal Revenue Service

► Information about Schedule L (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2015 Open To Public

Schedule L (Form 990 or 990-EZ) 2015

| | | <u> </u> | | | | | | | | l " | specie | 1011 | |
|-----------------------------|-------------------|------------------|-------------|-------------------|-------------------------|-------------------|------------|---------|----------|-----------------|---------|-------|--------|
| Name of the organization | | | | | | | | Em | ploye | r ident | ificati | on nu | mber |
| C | HMC Ane | sthesia | Four | ndat | ion, Inc. | | | 04 | -27 | 021 | 69 | | |
| Part I Excess Bene | efit Transac | tions (section | 501(c)(| 3), sect | ion 501(c)(4), and 50 | 01(c)(29) orga | nızatıor | ns only | v) | | | | |
| | | | | | art IV, line 25a or 25t | | | | |)h | | | |
| 1 | /h |) Relationship b | | | | 5, 61 1 61111 661 | <u> </u> | uit v, | 11110 40 | | (4) | Corro | cted? |
| (a) Name of disqualified p | person (| person and | organiz | zation | ed (c | c) Description | of tran | sactio | on | | · · | T | |
| | _ | | | | | | | | | | Y (| es | No |
| | | | | | | | | | | | + | -+ | |
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| | | | | | | | | | | | | | |
| 2 Enter the amount of tax i | ncurred by the | organization m | anagers | s or disc | qualified persons dui | ring the year i | under | | | | | | |
| section 4958 | | | | | | | | | ▶ \$ | | | | |
| 3 Enter the amount of tax, | if any, on line | 2, above, reimbu | rsed by | the or | ganization | | | | ▶ \$ | | | | |
| | | | | | | | | | | | | | |
| Part II Loans to and | d/or From I | nterested Pe | ersons | 3. | | | | | | | | | |
| Complete if the o | organization an | swered "Yes" o | n Form | 990-EZ | , Part V, line 38a or F | Form 990, Par | rt IV. lın | ne 26. | or if th | ne oraz | anızati | on | |
| reported an amo | | | | | • | • | • | | | 3- | | | |
| (a) Name of | (b) Relationshi | | (d) L | oan to or | (e) Original | (f) Balance | due | (a) |) in | (h) Ap by bo | proved | m W | ritten |
| interested person | with organization | | fro | m the nzation? | principal amount | (1) 24.4.100 | | | ult? | by bo | ard or | agree | ment? |
| | | | To | From | | | | Yes | No | Yes | | Yes | No |
| Elizabeth C. Ea | Directo | rReal Es | | X | 100,000. | 60,0 | 00 | 162 | X | X | NO | X | NO |
| | DIICCCC | TROUT DO | 4- | +** | 100,000. | 00,0 | ,,,,,, | | | | | Λ | |
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| Total | | | | | ▶ \$ | 60,0 | 00. | | | | | | |
| Part III Grants or As | sistance B | enefiting Int | ereste | ed Pe | rsons. | | | | | | | | |
| Complete if the c | organization ar | swered "Yes" o | n Form | 990, Pa | art IV, line 27 | | | | | | | | |
| (a) Name of interested p | person | (b) Relationsh | n betw | een | (c) Amount of | (d | i) Type | of | i | (e |) Purp | ose o | : |
| | | interested pe | | | assistance | | ssistan | | | - | assista | | |
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See Part V for Continuations

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

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|---------|--|----|
| Part IV | Business Transactions Involving Interested Persons. | |
| | Complete if the expension encurred "Ver" or Form 000, Bert IV Inc. 00- 00b | 00 |

| Complete if the organization answered | res on Form 990, Part IV, line 20a, 2 | ab, or ≥ac | | | |
|---------------------------------------|---|---------------------------|--------------------------------|---------|-------------------------------|
| (a) Name of interested person | (b) Relationship between interested person and the organization | (c) Amount of transaction | (d) Description of transaction | organiz | aring of ration's lues? |
| | | <u> </u> | | Yes | No |
| | | | | | |
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Part V | Supplemental Information

Provide additional information for responses to questions on Schedule L (see instructions)

Schedule L, Part II, Loans To and From Interested Persons:

- (a) Name of Person: Elizabeth C. Eastburn, MD
- (c) Purpose of Loan: Real Estate Housing

Schedule L, Part II:

The Foundation has provided loans to members of the board. The loans provided to the members of the board were for housing. The Foundation throughout its history has made this type of loan to employees in good standing. The Foundation also provided unsecured, interest bearing, demand loans to other employees that did not involve any interested persons above the disclosure threshold. Certain loans bear interest at the applicable federal rate at December 31st for the preceding 12 months. The majority of the loans were made for recruitment purposes. The amounts for the recruitment loans were determined by restricted donations provided by Boston Children's Hospital for use in recruitment. The loans will be forgiven over the terms of the notes, if the recipients remain employed at the Foundation or its affiliates. The amount of the loan forgiveness will be included as taxable or W-2 compensation with accrued interest, if applicable, as compensation in the year forgiven.

SCHEDULE 0 (Form 990 or 990-EZ)

Department of the Treasury

Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on

omplete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.

Attach to Form 990 or 990-EZ.

► Attach to Form 990 or 990-EZ.

► Information about Schedule O (Form 990 or 990-EZ) and its instructions is at www.lrs.gov/form990.

2015
Open to Public Inspection

Name of the organization

CHMC Anesthesia Foundation, Inc.

Employer identification number 04-2702169

Form 990, Part I, Line 1, Description of Organization Mission:

Children's Hospital and Harvard Medical School. The Foundation also provides care for patients in Massachusetts regardless of their ability to pay, as well as providing and subsidizing hospital and community based services that are either unavailable or limited elsewhere in the community.

Form 990, Part III, Line 1, Description of Organization Mission:

activities as well as lectures and publish related articles. The

Foundation also provides care for patients in need of anesthesiology
services regardless of their ability to pay.

Form 990, Part V, Line 3b:

An extension has been filed and Form 990-T will be filed by the due date of May 15, 2017.

Form 990, Part VI, Section A, line 1:

A majority of CHMC Anesthesia Foundation, Inc.'s (the Foundation's) board members are physicians who are not independent from the Foundation.

However, the Foundation is part of an integrated delivery system with Boston Children's Hospital (the Hospital) which does have a community board. The Foundation was organized in 1980. At that time, the board was composed entirely of physicians, a board structure that was approved by the Internal Revenue Service. The Foundation has since adjusted the board structure to make it more responsive to the Hospital by adding the

Physicians' Organization at Children's Hospital, Inc. (the PO) as a member.

Employer identification number 04-2702169

See Schedule O, Part VI, Questions 3, 6, 7b, and 12 for more details on the PO's rights.

Form 990, Part VI, Section A, line 3:

The Physicians' Organization at Children's Hospital, Inc. (the PO) is a Class "C" member of CHMC Anesthesia Foundation, Inc. (the Foundation). The PO is responsible for providing management services to the Foundation. The PO has the power to approve the independent auditor and legal counsel of the Foundation from a list of firms mutually agreed to by the PO and the Foundation. The PO also has the right to review, but not to approve, the annual budgets and by-law changes of the Foundation. Lastly, Boston Children's Hospital has the right to review for compliance with applicable law, the general terms of and any modification to physician compensation and benefit plans for all physician employees of the Foundation.

Form 990, Part VI, Section A, line 6:

CHMC Anesthesia Foundation, Inc. (the Foundation) has three classes of members. The Chief of the Department of Anesthesiology (the Department) of Boston Children's Hospital (the Hospital) is the Class "A" member. Each member (other than the Chief) who holds a medical staff appointment from the Hospital and a full-time faculty appointment under the auspices of the Anesthesiologist-in-Chief from Harvard Medical School (Harvard) is a Class "B" member of the Foundation. The Physicians' Organization at Children's Hospital, Inc. (the PO) is a Class "C" member of the Foundation.

Form 990, Part VI, Section A, line 7a:

According to the Articles of Organization, the Chief of the Department of
Anesthesiology (the Department) of Boston Children's Hospital (the

Employer identification number 04-2702169

Hospital) is the Class "A" member of CHMC Anesthesia Foundation, Inc. (the Foundation). Each person who holds a medical staff appointment from the Hospital and a full-time faculty appointment under the auspices of the Anesthesiologist-in-Chief from Harvard Medical School (Harvard) is a Class "B" member. The Class "A" and "B" members of the Foundation elect the Board of Directors presented by the Executive Committee at their annual meeting. Each member is entitled to one vote per question at any meeting of the members.

Form 990, Part VI, Section A, line 7b:

The Physicians' Organization at Children's Hospital, Inc. (the PO) is a Class "C" member of CHMC Anesthesia Foundation, Inc. (the Foundation). The PO is responsible for providing management services to the Foundation. The PO has the power to approve the independent auditor and legal counsel of the Foundation from a list of firms mutually agreed to by the PO and the Foundation. The PO also has the right to review, but not to approve, the annual budgets and by-law changes of the Foundation. Lastly, Boston Children's Hospital has the right to review for compliance with applicable laws, the general terms of and any modification to physician compensation and benefit plans for all physician employees of the Foundation. As a Class "C" member of the Foundation, the PO attends the Foundation's annual meeting.

Form 990, Part VI, Section B, line 11:

The detailed review will be conducted by the President of the Foundation,

Paul R. Hickey, MD and the administrator, Pamela Seibert. A copy of the

Form 990 will be provided to the board prior to filing. The detailed

review will be conducted before filing the return with the Internal Revenue

Schedule O (Form 990 or 990-EZ) (2015)

Name of the organization

CHMC Anesthesia Foundation, Inc.

Employer identification number 04-2702169

Service.

Form 990, Part VI, Section B, Line 12c:

The Physicians' Organization at Children's Hospital, Inc. (the PO) and Boston Children's Hospital (the Hospital) administer an annual conflict of interest disclosure process to all of its member Foundations, which asks physicians (officers, top management, and highly compensated employees) and selected Foundation staff to disclose potential conflicts. A disclosure statement will be circulated annually to members of the PO. The audit committee shall determine those individuals (including medical staff members) who shall be required to complete the disclosure statement. audit committee, at its discretion, may either: 1) refer any or all disclosure statements to the Board of Directors; 2) appoint an ad hoc committee to review and resolve any conflict of interest issues; or 3) review and resolve any conflict of interest issues personally or through a designee(s). Any person not receiving a disclosure statement nevertheless has an affirmative obligation to disclose to the PO President, PO General Counsel, or his/her supervisor whenever he/she believes he/she has or may have a conflict of interest. Similarly, each person completing a disclosure statement has an affirmative obligation to update the statement any time circumstances change and/or he/she believes that a conflict of interest may exist. In the event a conflict of interest is found to exist, the Foundation prohibits the member from voting on any matter to which the conflict relates or otherwise influence the voting on such matter.

Form 990, Part VI, Section B, Line 15:

All the physicians (top management, officers, and highly compensated individuals) fall under compensation guidelines determined by Harvard

| Name of the organization CHMC Anesthesia Foundation, Inc. | Employer identification number 04-2702169 |
|--|---|
| University, with limitations established for certain posi | tion/ranks within |
| the medical school. Annually, compensation is reviewed a | nd reported to |
| Boston Children's Hospital's (the Hospital's) Board of Tr | ustees to ensure |
| that total direct and indirect compensation does not exce | ed the |
| pre-determined guidelines of Harvard University. Any new | indirect benefit |
| plan requires approval by CHMC Anesthesia Foundation Inc. | 's (the |
| Foundation's) Board of Directors, which then must be revi | ewed and permitted |
| by the Hospital. Major procedures of the approval proces | s involve |
| comparing compensation data of physicians within the comp | arable field of |
| medicine, indirect compensation currently being offered, | and the financial |
| stability of the Foundation. This process was followed f | or the year ended |
| June 30, 2016. | |
| | |
| Form 990, Part VI, Section C, Line 19: | · · · · · · · · · · · · · · · · · · · |
| The Foundation maintains all financial statements, confli | ct of interest |
| policies, and governing documents at its main office at 3 | 00 Longwood |
| Avenue, Boston, MA 02115. The documents are available up | on request. |
| | |
| Form 990, Part XI, line 9, Changes in Net Assets: | |
| Pension adjustment | -14,591,124. |
| | |
| Form 990, Part XII, Line 2c: | |
| The audit process and scope has not changed from the price | r year. |
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Employer identification number 04-2702169Open to Public Inspection OMB No 1545-0047 2015 Related Organizations and Unrelated Partnerships

Complete of the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37. ▶ Information about Schedule R (Form 990) and its instructions is at www.lrs.gov/form990. Part i i Identification of Disregarded Entities Complete if the organization answered "Yes" on Form 990, Part IV, line 33 ► Attach to Form 990. Inc. CHMC Anesthesia Foundation, Name of the organization Department of the Treasury Internal Revenue Service SCHEDULE R (Form 990)

Direct controlling entity Identrification of Related Tax-Exempt Organizations Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year End-of-year assets <u>e</u> Total income ₤ Legal domicile (state or foreign country) Primary activity ≘ Name, address, and EIN (if applicable) of disregarded entity Part II

| (a) | (q) | (0) | (P) | (e) | (J) | (b) | 0(1) |
|--|------------------------|--------------------------|-------------|--------------------|--------------------|------------|-----------|
| Name, address, and EIN | Primary activity | Legal domicile (state or | Exempt Code | Public charity | Direct controlling | controlled | Z(U), IS) |
| of related organization | | foreign country) | section | status (if section | entity | entity? | ,٢ |
| | | | | 501(c)(3)) | | Yes | ٩ |
| Boston Children's Hospital - 04-2774441 | | | | | | | |
| 300 Longwood Avenue | | | | | | | |
| Boston, MA 02115 | Hospital | Massachusetts | 501(c)(3) | Line 3 | N/A | | × |
| Physicians' Org. at Children's Hospital, | | | | | | | |
| Inc 04-3266103, 300 Longwood Avenue, | | | | Line 11c, | | | |
| Boston, MA 02115 | Management Services | Maggachugettg | 501(c)(3) | III-FI | N/A | | × |
| CHMC Anesthesia Foundation, Inc. Retiree | | | | | | | |
| Medical Benefit Trust - 26-0538595, 300 | | | | | | _ | |
| Longwood Avenue, Boston, MA 02115 | Employee Benefit Trust | Massachusetts | 501(c)(9) | | N/A | | × |
| | | | | | | | |
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For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule R (Form 990) 2015

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Schedule R (Form 990) 2015 CHMC Anesthesia Foundation, Inc.

Identification of Related Organizations Taxable as a Partnership Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year

Code V-UBI General or Percentage amount in box partner?

20 of Schedule K-1 (Form 1065) Yes No Yes No Disproportionate allocations? Ξ Share of end-of-year assets <u>(6</u> (f) Share of total income Predominant income (related, unrelated, excluded from tax under sections 512-514) <u>e</u> (d)
Direct controlling
entity (c)
Legal
clomicile
(state or
foreign
country) Primary activity <u>a</u> Name, address, and EIN of related organization

| Identification of Related Organizations Taxable as a Corporation or Trust Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related part IV and one or more related organizations treated as a comporation or trust during the tax was a

| ı | | | | ı | | , | | , | | 1 | | , | | |
|---|-------------|------------------------|-------------------------|----------|--|---|--|---|--|---|--|---|--|---|
| | | b)(13) | rity 2 | Š | | | | | | | | | | _ |
| | 7 | 512(b)(13) | 6 | Yes | | | | | | | | | | |
| | Ξ | Percentage | diusiauwo | | | | | | | - | | | | |
| | | Share of | | | | | | | | | | | | |
| | € | Share of total | amoon | | | | | | | | | | | |
| | (e) | Type of entity | (C corp, S corp, | C. C. C. | | | | | | | | | | |
| | (a) (b) (c) | Direct controlling | entity | | | | | | | | | | | |
| | <u></u> | Legal domicile | foreign | country) | | | | | | | | | | |
| ring the tax year | (q) | Primary activity | | | | | | | | | | | | |
| rail organizations treated as a corporation or trust during the tax | (a) | Name, address, and EIN | of related organization | | | | | | | | | | | |

Schedule R (Form 990) 2015

Part V | Transactions With Related Organizations Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36 Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule

| Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule | | | | Yes No |
|---|-----------------------|-----------------------------|---|-----------------------------|
| 1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV? | ns with one or more r | elated organizations listed | in Parts II-IV? | |
| a Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity | Ą | | | 1a . X |
| b Gift, grant, or capital contribution to related organization(s) | | | | 1b X |
| c Gift, grant, or capital contribution from related organization(s) | | | | 1c X |
| | | | | 1d X |
| | | | | |
| Loans or loan guarantees by related organization(s) | | | | 9 |
| Dividends from related organization(s) | | | | 1f X |
| g Sale of assets to related organization(s) | | | | 1g X. |
| h Purchase of assets from related organization(s) | | | | th X |
| i Exchange of assets with related organization(s) | | | | i- |
| J Lease of facilities, equipment, or other assets to related organization(s) | | | | 1. X |
| k Lease of facilities, equipment, or other assets from related organization(s) | | | | 1k X |
| l Performance of services or membership or fundraising solicitations for related organization(s) | anization(s) | | | = |
| m Performance of services or membership or fundraising solicitations by related organization(s) | anization(s) | | | Tm X |
| n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s) | tion(s) | | | 부 |
| Sharing of paid employees with related organization(s) | | | | 10 × |
| | | | | 1 |
| p Reimbursement paid to related organization(s) for expenses | | | | 7p X |
| q Reimbursement paid by related organization(s) for expenses | | | | X X |
| r Other transfer of cash or property to related organization(s) | | | | , X |
| | | | | 1s X |
| 2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds | who must complete t | his line, including covered | relationships and transaction thresholds | |
| (a) Name of related organization | (b) Transaction | (c) Amount involved | (d) Method of determining amount involved | nvolved |
| | type (a-s) | | | |
| (1) | | | | |
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| (3) | | | | |
| (4) | | | | |
| (5) | | | | |
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| 532163 09-08-15 | | | Scheduli | Schedule R (Forth 990) 2015 |

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Part VI Unrelated Organizations Taxable as a Partnership Complete if the organization answered "Yes" on Form 990, Part IV, line 37

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships

| that was not a related organization. See instructions regarding exclusion for certain investment partnerships | structions regarding exclu | sion for certain inve | estment partnerships | | | | | | | |
|---|----------------------------|-----------------------|--|---|----------------|-------------------------|---------------------------------------|---|-----------------------------------|------------|
| (a) | (q) | (c) | (q) | (e) | £ | (6) | ε | (i) | 5 | ₹ |
| Name, address, and EIN of entity | Primary activity | 흜 | Predominant income parte (related, unrelated, 501 501 excluded from tax under 01 | 6 partners sec 501(c)(3) Jer orgs | Share of total | Share of end-of-year | Dispropor- tionate allocations? | Code v-UBI General or Percentage amount in box 20 managing ownership of Schedule K-1 partner? | General o managing partner? | Percentage |
| | | country) | sections 512-514) Yes | Yes No | ıncome | assets | Yes No | (Form 1065) | Yes No | |
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Schedule R (Form 990) 2015

| Schedule R | (Form 990) 2015 | CHMC | Anesthesia | Foundation, | Inc. | 04-2702169 Page 5 |
|------------|---------------------------------------|---------------|----------------------|-------------------------|-------------|-------------------|
| Part VII | (Form 990) 2015 Supplemental Info | ormation | | | | |
| | Provide additional infor | mation for re | sponses to questions | on Schedule R (see inst | ructions) | |
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