Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning 06/01 **, 2015, and ending** 05/31, 20 16

Name of foundation THE BALLMANN FAMILY PRIVATE FOUNDATION
265990T

A Employer identification number 43-6466750

Number and street (or P O box number if mail is not delivered to street address) PO BOX 0634 — Room/suite

B Telephone number (see instructions) 314-418-2643

City or town, state or province, country, and ZIP or foreign postal code MILWAUKEE, WI 53201-0634

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 29,094,772.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

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Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ▶ [X] if the foundation is **not** required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	582,704.	577,954.		STMT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	194,956.			
	b Gross sales price for all assets on line 6a 5,567,026.				
	7 Capital gain net income (from Part IV, line 2)		194,956.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	24,617.	33,819.		STMT 2
	12 **Total.** Add lines 1 through 11	802,277.	806,729.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	280,925.	140,463.		140,463.
	14 Other employee salaries and wages		NONE	NONE	
	15 Pension plans, employee benefits		NONE	NONE	
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions) STMT 3	22,385.	7,088.		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings		NONE	NONE	
	22 Printing and publications		NONE	NONE	
	23 Other expenses (attach schedule) STMT 4	272.	2,090.		
	24 **Total operating and administrative expenses.** Add lines 13 through 23	303,582.	149,641.	NONE	140,463.
	25 Contributions, gifts, grants paid	1,360,625.			1,360,625.
	26 **Total expenses and disbursements** Add lines 24 and 25	1,664,207.	149,641.	NONE	1,501,088.
	27 Subtract line 26 from line 12				
	a **Excess of revenue over expenses and disbursements**	-861,930.			
	b **Net investment income** (if negative, enter -0-)		657,088.		
	c **Adjusted net income** (if negative, enter -0-)				

941 9

Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets 1	Cash - non-interest-bearing			
2	Savings and temporary cash investments	99,641.	674,078.	674,078.
3	Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶ NONE			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments - U S and state government obligations (attach schedule)			
b	Investments - corporate stock (attach schedule) STMT 5	21,419,370.	17,250,287.	24,800,860.
c	Investments - corporate bonds (attach schedule)			
11	Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
12	Investments - mortgage loans			
13	Investments - other (attach schedule) STMT 13		2,730,138.	3,619,834.
14	Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	21,519,011.	20,654,503.	29,094,772.
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)		NONE	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
27	Capital stock, trust principal, or current funds	21,519,011.	20,654,503.	
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see instructions)	21,519,011.	20,654,503.	
31	**Total liabilities and net assets/fund balances** (see instructions)	21,519,011.	20,654,503.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	21,519,011.
2	Enter amount from Part I, line 27a	2	-861,930.
3	Other increases not included in line 2 (itemize) ▶ LOSS ON CY SALES NOT SETTLED AT YEAR END	3	1,199.
4	Add lines 1, 2, and 3	4	20,658,280.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 15	5	3,777.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	20,654,503.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	PUBLICLY TRADED SECURITIES			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	5,567,026.		5,372,070.	194,956.
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				194,956.
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	194,956.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	1,582,350.	30,039,751.	0.052675
2013	1,529,712.	29,492,720.	0.051867
2012	1,444,590.	28,124,378.	0.051364
2011	1,335,395.	27,271,538.	0.048967
2010	1,295,344.	27,007,831.	0.047962

2	**Total** of line 1, column (d)	2	0.252835
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.050567
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	28,581,583.
5	Multiply line 4 by line 3	5	1,445,285.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	6,571.
7	Add lines 5 and 6	7	1,451,856.
8	Enter qualifying distributions from Part XII, line 4	8	1,501,088.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ [ ] and enter "N/A" on line 1 . . . Date of ruling or determination letter ______________ (attach copy of letter if necessary - see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b		1	6,571.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . .		2	
3	Add lines 1 and 2		3	6,571.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . .		4	NONE
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	6,571.
6	Credits/Payments			
a	2015 estimated tax payments and 2014 overpayment credited to 2015	6a 13,855.		
b	Exempt foreign organizations - tax withheld at source	6b NONE		
c	Tax paid with application for extension of time to file (Form 8868)	6c NONE		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d		7	13,855.
8	Enter any **penalty** for underpayment of estimated tax Check here [X] if Form 2220 is attached		8	
9	**Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** . . . . ▶		9	
10	**Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** . . . . ▶		10	7,284.
11	Enter the amount of line 10 to be **Credited to 2016 estimated tax** ▶ 6,572. **Refunded** ▶		11	712.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ______ (2) On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MO			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ US BANK NA Telephone no ▶ (314)418-2643

Located at ▶ PO BOX 0634, MILWAUKEE, WI ZIP+4 ▶ 53201-0634

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____ , ____ , ____ , ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____ , ____ , ____ , ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b	If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945-5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		X
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
US BANK PO BOX 387, ST LOUIS, MO 63166	TRUSTEE 1	280,925	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over $50,000 ▶ NONE

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over $50,000 for professional services . . . . . . ▶		NONE

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions) .

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions 3 NONE	
Total. Add lines 1 through 3 . . . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	28,814,415.
b	Average of monthly cash balances	1b	202,421.
c	Fair market value of all other assets (see instructions)	1c	NONE
d	**Total** (add lines 1a, b, and c)	1d	29,016,836.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	29,016,836.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	435,253.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	28,581,583.
6	**Minimum investment return.** Enter 5% of line 5	6	1,429,079.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	1,429,079.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	6,571.		
b	Income tax for 2015 (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	6,571.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	1,422,508.
4	Recoveries of amounts treated as qualifying distributions			4	NONE
5	Add lines 3 and 4			5	1,422,508.
6	Deduction from distributable amount (see instructions)			6	NONE
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	1,422,508.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes:		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	1,501,088.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	**Qualifying distributions** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,501,088.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	6,571.
6	**Adjusted qualifying distributions** Subtract line 5 from line 4	6	1,494,517.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				1,422,508.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			NONE	
b Total for prior years 20 13 ,20____,20____		NONE		
3 Excess distributions carryover, if any, to 2015				
a From 2010 . . . . . . NONE				
b From 2011 . . . . . . NONE				
c From 2012 . . . . . . 29,278.				
d From 2013 . . . . . . 64,996.				
e From 2014 . . . . . . 108,072.				
f **Total** of lines 3a through e	202,346.			
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ $ 1,501,088.				
a Applied to 2014, but not more than line 2a			NONE	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2015 distributable amount				1,422,508.
e Remaining amount distributed out of corpus	78,580.			
5 Excess distributions carryover applied to 2015 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	NONE			NONE
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	280,926.			
b Prior years' undistributed income Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b Taxable amount - see instructions		NONE		
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount - see instructions			NONE	
f Undistributed income for 2015 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2016				NONE
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	NONE			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions)	NONE			
9 **Excess distributions carryover to 2016.** Subtract lines 7 and 8 from line 6a	280,926.			
10 Analysis of line 9				
a Excess from 2011 . . . NONE				
b Excess from 2012 . . . 29,278.				
c Excess from 2013 . . . 64,996.				
d Excess from 2014 . . . 108,072.				
e Excess from 2015 . . . 78,580.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test-enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT 27				1,360,625.
Total ▶ **3a**				1,360,625.
b *Approved for future payment*				
Total ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	582,704.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	194,956.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b 2006 AND 2013 REFU			1	24,617.	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				802,277.	

13 Total Add line 12, columns (b), (d), and (e) . . . . 13 802,277.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions.			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Barbara Stokey | 09/22/2016 | TRUSTEE

Signature of officer or trustee | Date | Title

U.S. BANK, N.A. BY:

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self-employed	PTIN
JOSEPH J. CASTRIANO		09/22/2016	X	P01251603

Firm's name ▶ PRICEWATERHOUSECOOPERS LLP | Firm's EIN ▶ 13-4008324

Firm's address ▶ 600 GRANT STREET PITTSBURGH, PA 15219 | Phone no 412-355-6000

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
INTEREST AND DIVIDENDS		577,954.	577,954.
RETURN OF CAPITAL		4,750.	
	TOTAL	582,704.	577,954.

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
IDR CORE EQUITY RE STRATEGY			33,819.
2006 AND 2013 REFUNDS		24,617.	
	TOTALS	24,617.	33,819.

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FOREIGN TAXES PAID	7,088.	7,088.
FEDERAL ESTIMATED TAX	13,855.	
FEDERAL TAX PAYMENT	1,442.	
TOTALS	22,385.	7,088.

THE BALLMANN FAMILY PRIVATE FOUNDATION 43-6466750

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
OTHER INVESTMENT FEES	272.	272.
IDR CORE EQUITY RE STRATEGY		1,818.
TOTALS	272.	2,090.

THE BALLMANN FAMILY PRIVATE FOUNDATION 43-6466750

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
SCHLUMBERGER LTD	75,563.	75,563.	98,427.
ABBOTT LABS	28,603.		
JP MORGAN CHASE & CO	123,540.	102,950.	163,175.
ECOLAB INC	47,874.	47,874.	124,274.
CHEVRON CORP	101,519.	101,519.	158,772.
PROCTER & GAMBLE CO	59,618.	59,618.	89,873.
ATT INC	80,564.	149,840.	245,940.
APPLE INC	59,761.	59,777.	621,429.
J2 GLOBAL COMMUNICATIONS INC	13,765.	10,323.	24,913.
BRANDYWINE REALTY TRUST	20,399.	20,193.	30,301.
CRANE CO			
DISNEY WALT CO	72,556.	72,556.	297,660.
ENERSYS	6,092.	4,352.	20,441.
ORACLE CORPORATION	52,056.	45,720.	100,500.
WELLS FARGO CO	75,769.	75,769.	136,538.
ACCENTURE LTD	58,126.	110,761.	237,940.
ACE LTD	98,683.		
MIDCAP SPDR TRUST SER 1	728,804.	728,804.	2,038,650.
PIMCO TOTAL RETURN FUND			
ALLERGAN INC		294,568.	235,750.
CINEMARK HLDGS INC	11,519.	22,188.	35,095.
EXPRESS SCRIPTS HLDGS	62,275.	62,275.	83,709.
EXXON MOBIL CORP	121,293.	121,293.	147,951.
GENERAL MILLS INC	66,893.	66,893.	159,587.
JOHNSON JOHNSON	95,674.	95,674.	191,798.
LAKELAND FINANCIAL CORP	9,709.		
MCDONALDS CORP	73,226.	73,226.	122,060.
PFIZER INC	98,175.	98,175.	195,500.
TEXAS ROADHOUSE INC	13,933.	9,442.	29,485.

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
SPDR GOLD SHARES ETF	607,169.	607,169.	638,330.
AMAZON COM INC	118,411.	118,411.	612,926.
AMERICAN EQUITY INVT LIFE	13,110.	36,418.	36,359.
AMERICAN EXPRESS CO	152,755.	122,775.	197,280.
AMERICAN TOWER CORP	66,117.	66,117.	141,957.
AMERIPRISE FINL INC	70,792.	70,792.	152,912.
AMERISOURCEBERGEN CORP	55,001.	55,001.	146,136.
AMPHENOL CORP	66,312.	46,731.	117,440.
ASHFORD HOSPITALITY TR INC	18,927.	11,839.	9,929.
BUFFALO WILD WINGS INC	11,936.		
CARDTRONICS INC	16,526.	17,318.	34,222.
CERNER CORPORATION	32,264.	51,669.	111,220.
CONCHO RES INC	60,706.	32,025.	60,670.
CSX CORP	43,360.	32,520.	39,645.
CUMMINS INC	67,843.	67,843.	94,438.
CYBERONICS INC	16,739.		
DICKS SPORTING GOODS INC	44,891.	38,655.	64,350.
DIRECTV	69,296.		
DOLLAR TREE INC	37,211.	37,211.	143,234.
DOW CHEM CO	49,559.	49,559.	98,406.
DR PEPPER SNAPPLE GROUP	44,995.	44,995.	106,572.
EATON CORP	78,283.	98,975.	123,260.
EDWARDS LIFESCIENCES CORP	43,528.	43,528.	142,628.
ENTEGRIS INC	11,450.	8,914.	17,839.
FED EX CORP	50,268.	50,268.	98,157.
FINISAR CORPORATION	18,433.		
GENERAL ELECTRIC CO	113,179.	113,179.	216,749.
GOOGLE INC CL A	93,107.		
GRAINGER W W INC	50,883.	50,883.	104,584.

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
INTEL CORP	77,188.	62,580.	110,565.
KAPSTONE PAPER & PACKAGING	11,280.		
LAUDER ESTEE COS INC	60,255.	60,255.	189,067.
LITTELFUSE INC	12,579.	12,828.	26,571.
MASTERCARD INC	62,486.	62,486.	305,921.
MAXIMUS INC	20,015.	18,620.	46,351.
NIKE INC	61,380.	61,380.	182,889.
PERRIGO CO	152,205.	152,205.	95,840.
PHILIP MORRIS INTL	119,728.	119,728.	219,662.
PRAXAIR INC	61,959.	61,959.	78,330.
PRECISION CASTPARTS CORP	66,780.		
PRICELINE COM INC	137,152.		
QUALCOMM INC	69,016.	69,016.	92,156.
ROCKWELL COLLINS INC	64,642.	64,642.	98,566.
SALESFORCE COM INC	101,668.	101,668.	283,275.
THERMO FISHER SCIENTIFIC INC	55,214.	44,600.	151,770.
TRIUMPH GROUP INC			
UNITED TECHNOLOGIES CORP	145,555.	145,555.	213,129.
VERIZON COMMUNICATIONS INC	70,340.	70,340.	117,579.
VISA INC	59,383.	59,383.	272,185.
WESBANCO INC	13,432.	9,224.	14,795.
WYNN RESORTS LTD	95,806.		
3M CO	74,278.	74,278.	150,141.
INGERSOLL RAND PLC	39,264.	66,600.	133,620.
ISHARES MSCI EMERGING MKTS ETF	896,018.	896,018.	798,026.
IDR CORE EQUITY RE STRATEGY	215,457.	209,446.	349,122.
AMERICAN AXLE & MFG HLDGS INC	13,034.	11,547.	20,537.
AMERICAN STATES WATER CO	18,807.		
AZZ INCORPORATED	9,628.	16,901.	30,631.

THE BALLMANN FAMILY PRIVATE FOUNDATION 43-6466750

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
CHEMICAL FINANCIAL CORP	12,380.	12,631.	21,394.
COCA COLA COMPANY	111,159.	111,159.	133,800.
COMMUNITY TR BANCORP INC			
DSW INC			
MYRIAD GENETICS INC	13,622.		
PRIMORIS SERVICES CORP	18,949.	18,287.	24,770.
RALPH LAUREN CORP	64,372.	64,372.	74,426.
SABRA HEALTH CARE REIT INC			
STONE ENERGY CORP	24,079.		
TENNECO AUTOMOTIVE INC	22,071.	12,948.	20,628.
COLUMBIA INCOME FD	3,500,000.	3,900,000.	3,721,993.
OPPENHEIMER SR FLOAT RATE	2,019,520.	1,363,452.	1,276,677.
ABBVIE INC	12,947.	41,522.	62,930.
BLUCORA INC			
CME GROUP INC	39,802.	39,802.	73,418.
CNO FINANCIAL GROUP INC	26,232.	16,390.	28,710.
CONOCOPHILLIPS	62,550.		
ELECTRONICS FOR IMAGING INC	18,178.	18,178.	34,144.
FIRSTMERIT CORP	33,795.		
GRAPHIC PACKAGING HLDG CO	17,104.	21,819.	33,701.
HILLTOP HOLDINGS INC	23,418.	19,724.	25,427.
LITHIA MOTORS INC	13,511.	9,021.	17,538.
MEDASSETS INC	5,305.		
MICROSEMI CORP	16,218.	18,304.	31,428.
ORBITAL SCIENCES CORP	12,709.		
PENNYMAC MTG INV TR	22,780.		
PIONEER NAT RES CO	84,348.	84,348.	120,240.
ROSETTA RESOURCES INC	26,892.		
SINCLAIR BROADCAST GROUP INC	17,758.	20,572.	33,876.

THE BALLMANN FAMILY PRIVATE FOUNDATION 43-6466750

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
SWIFT TRANSPORTATION CO	18,453.	13,405.	19,584.
THOR INDUSTRIES INC	24,200.	19,819.	34,840.
UNISYS CORPORATION			
WABASH NATL CORP	18,187.		
ABERDEEN FDS EMRGN MKT INSTL	500,000.		
FORWARD INTL REAL ESTATE	330,963.	202,827.	158,978.
ISHARES CORE S&P SMALL CAP ETF	562,587.	562,587.	1,157,500.
NUVEEN GLOBAL INFRASTR FD	625,000.		
NUVEEN REAL ESTATE SECS	628,655.	628,655.	664,337.
SCOUT INTERNATIONAL FUND	738,193.		
UTILITIES SELECT SECTOR SPDR	72,069.	72,069.	98,300.
AMN HELATHCARE SVCS INC	18,334.	16,876.	36,220.
ARRIS GROUP INC	28,640.	34,815.	25,715.
BBCN BANCORP			
BOISE CASCASDE CO	36,088.	30,612.	22,822.
EMERGENT BIOSOLUTIONS INC	17,869.	18,288.	32,471.
ENANTA PHARMACEUTICALS INC			
GOOGLE INC CL C	92,474.		
GRAND CANYON EDUCATION INC	37,257.	40,127.	36,540.
KORN FERRY INTL	30,729.	29,306.	32,485.
LA Z BOY INC	28,904.	28,904.	29,393.
MADDEN STEVEN LTD	25,552.	25,552.	27,311.
MENTOR GRAPHICS CORP	29,664.		
SUMMIT HOTEL PROPERTIES INC	21,003.		
SYNAPTICS INC	21,365.	15,219.	17,025.
THORATEC CORP			
TRIMAS CORP			
UBIQUITI NETWORKS INC	19,385.		
UNION BANKSHARES CORP			

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
VCA ANTECH INC	20,187.		
WESTERN ALLIANCE BANCORP	27,320.	29,026.	55,268.
WILSHIRE BANCORP INC	28,140.	17,445.	19,534.
WINTRUST FINL CORP	35,576.	32,199.	40,432.
ISHARES MSCI EAFE ETF	1,013,151.	1,013,151.	1,308,588.
GAM UNCONSTRAINED BOND FUND	500,000.		
GCA CREDIT OPPORTUNITIES OFFSH	1,000,000.	1,000,000.	1,311,693.
ACTIVIS PLC	168,365.		
BOSTON PPTYS INC	100,710.	100,710.	94,223.
CELGENE CORP	86,905.	112,817.	105,520.
JPM 13M BRENT LR	100,000.		
CALL ON EEM 10/30/15 @ 45.470	-15,995.	-15,830.	-44.
CALL ON EEM 10/30/15 @ 57.270	835.	3,805.	
CALL ON EFA 10/30/15 @ 69.890	-21,768.	-27,927.	-168.
CALL ON EFA 10/30/15 @ 88.520	1,992.	3,092.	
CALL ON SPX 10/30/15 @ 2189.51	-104,856.	-99,536.	-889.
CALL ON SPX 10/30/15 @ 2773.38	494.	844.	
PUT ON EEM 10/30/15 @ 32.300	-4,280.	-4,280.	-42.
PUT ON EEM 10/30/15 @ 38.750	19,480.	16,335.	176.
PUT ON EFA 10/30/15 @ 49.920	-6,148.	-6,368.	-42.
PUT ON EFA 10/30/15 @ 59.900	31,692.	31,252.	183.
PUT ON SPX 10/30/15 @ 1563.940	-35,206.	-36,711.	-189.
PUT ON SPX 10/30/15 @ 1876.730	140,144.	135,419.	794.
ACADIA HEALTHCARE CO INC	36,333.	38,706.	39,620.
AIR METHODS CORP	28,901.		
AKORN INC COM	28,334.		
AMAG PHARMACETICALS INC	27,021.	34,024.	19,703.
AMSURG CORP	28,552.		
ARCBEST CORP	20,005.		

THE BALLMANN FAMILY PRIVATE FOUNDATION 43-6466750

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
BIG LOTS INC	29,083.	29,342.	35,407.
BRUNSWICK CORP	35,866.	12,826.	14,696.
COHERENT INC	27,235.	20,685.	31,130.
DEPOMED INC	19,568.	31,553.	37,305.
DUPONT FABROS TECHNOLOGY	22,788.	23,245.	34,440.
EPR PROPERTIES	29,439.	31,725.	37,208.
EURONET WORLDWIDE INC	28,244.	17,252.	28,333.
GREENBRIER COS INC	22,354.		
HAWAIIAN HLDGS INC	10,672.		
HELEN OF TROY CORP LTD	24,282.	17,943.	30,746.
HORACE MANN EDUCATORS CORP	11,887.	14,697.	15,702.
HORIZON PHARMA PLC	22,103.		
ICONIX BRAND GROUP INC	29,814.		
IGATE CORP	17,866.		
INTEGRATED DEVICE TECHNOLOGY	26,777.	24,771.	33,204.
IRIDIUM COMMUNICATION INC	33,031.	30,235.	24,889.
KITE REALTY GROUP TRUST	27,207.	27,207.	28,375.
LANNETT CO INC	25,232.		
MOLINA HEALTHCARE INC	21,507.	34,228.	25,523.
NATIONAL PENN BANCSHARES INC	13,014.		
OASIS PETROLEUM INC	20,208.		
PIER 1 IMPORTS INC	29,721.		
PLANTRONICS INC	23,265.		
QORVO INC	21,833.		
RADIAN GROUP INC	36,217.	41,608.	32,142.
RYLAND GROUP INC	33,509.		
RYMAN HOSPITALITY PROPERTIES	25,636.	32,007.	31,791.
SCANSOURCE INC	18,084.	15,924.	15,122.
SKYWEST INC	13,705.	22,095.	32,002.

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
STAMPS COM INC	14,990.	15,732.	25,295.
STERIS CORP	34,442.		
TESSERA TECHNOLOGIES INC	29,356.	36,455.	30,721.
TRUEBLUE INC	15,056.		
US CONCRETE INC	14,285.	15,178.	27,003.
WELLCARE HEALTH PLANS INC	21,935.		
ZUMIEZ INC	16,525.		
SUPER MICRO COMPUTER INC	7,891.	32,409.	27,106.
TOTALS	21,419,370.	17,250,287.	24,800,860.

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	COST/ FMV C OR F	ENDING BOOK VALUE	ENDING FMV
APOGEE ENTERPRISES INC	C	5,257.	5,381.
IDACORP INC	C	6,363.	7,321.
CHESAPEAKE UTILITIES CORP	C	11,577.	10,500.
NEW JERSEY RESOURCES CORP	C	12,856.	15,185.
PARSLEY ENERGY INC CLASS A	C	12,870.	13,087.
METHODE ELECTRONICS INC	C	14,801.	14,637.
FABRINET	C	16,234.	26,732.
DREW INDUSTRIES INC	C	16,316.	21,274.
NEOPHOTONICS CORP	C	16,816.	12,182.
FCB FINANCIAL HOLDINGS INC	C	17,076.	18,078.
DELEK US HOLDINGS INC	C	17,470.	11,258.
ORBITAL ATK INC	C	17,893.	39,686.
RED ROBIN GOURMET BURGERS	C	20,524.	16,329.
PRIVATEBANCORP INC	C	21,187.	24,481.
GULFPORT ENERGY CORP	C	21,972.	25,238.
STEELCASE INC CL A	C	22,000.	19,503.
COLUMBIA PROPERTY TRUST INC	C	22,164.	21,280.
VCA INC	C	22,296.	42,984.
HUNTSMAN CORP	C	23,393.	26,844.
EAGLE BANCORP INC	C	23,584.	26,732.
HEADWATERS INCORPORATED	C	24,504.	24,079.
CIRRUS LOGIC INC	C	25,503.	28,872.
GRANITE CONSTRUCTION INC	C	25,728.	30,051.
SUPERNUS PHARMACEUTICALS INC	C	26,692.	30,065.
UMPQUA HOLDINGS CORP	C	27,279.	27,407.
OMNICELL INC	C	27,463.	30,797.
WEB COM GROUP INC	C	27,759.	19,940.
FNB CORP	C	27,940.	29,659.
STERIS PLC	C	28,182.	26,314.

THE BALLMANN FAMILY PRIVATE FOUNDATION 43-6466750

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	COST/ FMV C OR F	ENDING BOOK VALUE	ENDING FMV
MILLER HERMAN INC	C	29,239.	32,293.
CALATLANTIC GROUP INC	C	30,829.	26,041.
CARRIZO OIL & GAS INC	C	32,262.	33,187.
BOYD GAMING CORPORATION	C	32,378.	34,341.
ALLETE INC	C	33,727.	38,686.
TEAM HEALTH HOLDINGS INC	C	34,714.	31,181.
GLOBUS MED INCA	C	35,115.	32,748.
GREAT WESTERN BANCORP INC	C	35,916.	41,232.
BLOOMIN BRANDS INC	C	41,135.	39,815.
CARNIVAL CORP	C	72,930.	71,610.
NETFLIX COM INC	C	74,992.	76,928.
ALPHABET INC CL C	C	92,474.	220,716.
ALPHABET INC CL A	C	93,107.	224,655.
THE PRICELINE GROUP INC	C	137,152.	533,547.
CHUBB LTD	C	178,846.	316,525.
MEDTRONIC PLC	C	191,623.	201,200.
CAMBIAR INTL EQUITY FUND INS	C	350,000.	363,617.
VANGUARD INTL GRWTH FD CL ADM	C	650,000.	655,616.
TOTALS		2,730,138.	3,619,834.

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION	AMOUNT
WASH SALE ADJUSTMENT	2,635.
COST BASIS ADJUSTMENT	1,142.
TOTAL	3,777.

RECIPIENT NAME:
HARVARD UNIVERSITY
ADDRESS:
30 DUNSTER STREET
CAMBRIDGE, MA 02138
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 3,500.

RECIPIENT NAME:
SALVATION ARMY
ADDRESS:
1130 HAMPTON AVE
ST LOUIS, MO 63139-3147
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 68,250.

RECIPIENT NAME:
ST LOUIS COLLEGE OF PHARMACY
ADDRESS:
4588 PARKVIEW PLACE
ST LOUIS, MO 63110-1029
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 75,250.

RECIPIENT NAME:
RANKEN TECHNICAL COLLEGE
ADDRESS:
4431 FINNEY AVE
ST LOUIS, MO 63113-2811
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 68,250.

RECIPIENT NAME:
HUMANE SOCIETY OF MISSOURI
ADDRESS:
1201 MACKLIND AVE
ST LOUIS, MO 63110-1431
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 68,250.

RECIPIENT NAME:
VISITING NURSE ASSOCIATION
ADDRESS:
11440 OLIVE BLVD SUITE 200
CREVE COEUR, MO 63141
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 68,250.

RECIPIENT NAME:
INDEPENDENCE CENTER
ADDRESS:
4245 FOREST PARK AVE
ST LOUIS, MO 63108-2810
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 27,300.

RECIPIENT NAME:
BEREA COLLEGE
ADDRESS:
101 CHESTNUT ST.
BEREA, KY 40403
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 27,300.

RECIPIENT NAME:
MISSOURI UNIV OF SCIENCE & TECH
STUDENT FINANCIAL ASSISTANCE
ADDRESS:
300 W 13TH STREET
ROLLA, MO 65409
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 14,000.

RECIPIENT NAME:
MRIAM FOUNDATION
ADDRESS:
501 BACON AVE
Webster Groves, MO 63119-1512
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 27,300.

RECIPIENT NAME:
WASHINGTON UNIVERSITY
JEFF HERMAN-ASSOCIATE DIRECTOR SFS
ADDRESS:
ONE BROOKINGS DRIVE
ST LOUIS, MO 63130
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 7,000.

RECIPIENT NAME:
DEACONESS FOUNDATION
ADDRESS:
211 N BROADWAY STE 1260
ST LOUIS, MO 63102
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 27,300.

RECIPIENT NAME:
UNIVERSITY OF CENTRAL MISSOURI
OFFICE OF STUDENT RECORD/REGISTRAR
ADDRESS:
WARD EDWARDS BUILDING ROOM 1000
WARRENSBURG, MO 64093
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 7,000.

RECIPIENT NAME:
MURRAY STATE UNIVERSITY
BURSAR'S OFFICE
ADDRESS:
200 SPARKS HALL
MURRAY, KY 42071
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 7,000.

RECIPIENT NAME:
MURRAY STATE UNIVERSITY
FINANCIAL AID OFFICE
ADDRESS:
500 SPARKS HALL
MURRAY, KY 42071-3312
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 7,000.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
BARNES HOSP COLLEGE OF NURSING
ADDRESS:
1 BARNES JEWISH HOSPITAL PLAZA
ST LOUIS, MO 63110
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 81,900.

RECIPIENT NAME:
UNIV OF WISCONSIN-MADISON
OFFICE OF STUDENT FINANCIAL AID
ADDRESS:
333 EAST CAMPUS MALL #9701
MADISION, WI 53715-1382
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 7,000.

RECIPIENT NAME:
ST ANDREW AT HOME SERVICES
ADDRESS:
6633 DELMAR BLVD
ST LOUIS, MO 63130-4505
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 68,250.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
EPWORTH CHILDREN'S HOME
ADDRESS:
2900 MILLWOOD AVE
COLUMBIA, SC 29250
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 27,300.

RECIPIENT NAME:
TRUMAN STATE UNIVERSITY
ADDRESS:
100 EAST NORMAL
KIRKSVILLE, MO 63501
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 7,000.

RECIPIENT NAME:
SHRINERS HOSPITALS FOR CHILDREN
ADDRESS:
4400 CLAYTON AVE
St. Louis, MO 63110
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 68,250.

RECIPIENT NAME:
UNIVERSITY OF MISSOURI-COLUMBIA
OFFICE OF STUDENT FINANCIAL AID
ADDRESS:
11 JESSE HALL
COLUMBIA, MO 65211
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 21,000.

RECIPIENT NAME:
CHILDRENS HOME SOCIETY OF MISSOURI
ADDRESS:
2424 MUEGGE RD
St Charles, MO 63303
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 68,250.

RECIPIENT NAME:
ANNIE MALONE CHILDREN'S HOME
ADDRESS:
2612 ANNIE MALONE DR
ST LOUIS, MO 63113
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 27,300.

RECIPIENT NAME:
ST. LOUIS ALTENHEIM
ADDRESS:
MARY E BEHRNS, ACCOUNTANT
ST LOUIS, MO 63111-2023
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 27,300.

RECIPIENT NAME:
SAINT LOUIS UNIVERSITY
OFFICE OF STUDENT FINANCIAL SERVICES
ADDRESS:
ONE GRAND BLVD, DUBOURG HALL, ROOM
SAINT LOUIS, MO 63103-2006
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 3,500.

RECIPIENT NAME:
PEREGRINE SOCIETY INC OF ST LOUIS
ADDRESS:
2343 HAMPTON AVE
ST LOUIS, MO 63139-2908
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 27,300.

RECIPIENT NAME:
GOOD SAMARITAN HOME
ADDRESS:
5714 S LINDBERGH BLVD #11
ST LOUIS, MO 63123
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 102,375.

RECIPIENT NAME:
RANKEN JORDAN HOME FOR CHILDREN
ADDRESS:
11365 DORSETT RD
MARYLAND HEIGHTS, MO 63043
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 27,300.

RECIPIENT NAME:
GOOD SHEPHERD CHILDREN & FAMILY SVCS
ADDRESS:
1340 PARTRIDGE AVE
ST LOUIS, MO 63130
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 27,300.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
MEALS ON WHEELS OF GREATER ST LOUIS
ADDRESS:
600 N EUCLID AVE
ST LOUIS, MO 63108
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 68,250.

RECIPIENT NAME:
MISSOURI COUNCIL OF THE BLIND
ADDRESS:
5453 CHIPPEWA ST
SAINT LOUIS, MO 63109-1635
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 68,250.

RECIPIENT NAME:
YOUTHBRIDGE COMMUNITY FOUNDATION
ADDRESS:
12685 OLIVE STREET ROAD, STE 100
ST LOUIS, MO 63141
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 68,250.

RECIPIENT NAME:
UNITY SCHOOL OF CHRISTIANITY
ADDRESS:
1901 NW BLUE PKWY
Lee's Summit, MO 64065-0001
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 27,300.

RECIPIENT NAME:
CREIGHTON UNIVERSITY
FINANCIAL AID OFFICE
ADDRESS:
2500 CALIFORNIA PLAZA
Omaha, NE 68102
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 7,000.

RECIPIENT NAME:
EDGEWOOD CHILDREN'S CENTER
ADDRESS:
330 N GORE AVE,
Saint Louis, MO 63119
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 27,300.

TOTAL GRANTS PAID: 1,360,625.