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**Return of Private Foundation**  
or Section 4947(a)(1) Trust Treated as Private Foundation

Department of the Treasury  
Internal Revenue Service

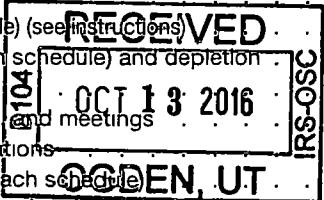
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**Open to Public Inspection**

For calendar year 2015 or tax year beginning 2015, and ending MAY 31, 2016

Name of foundation <b>THE ROTHKOPF FOUNDATION</b>		A Employer identification number <b>13-6096781</b>
Number and street (or P O box number if mail is not delivered to street address) <b>210 STUART DRIVE</b>	Room/suite	B Telephone number (see instructions) <b>914-636-5602</b>
City or town, state or province, country, and ZIP or foreign postal code <b>NEW ROCHELLE NY 10804</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input checked="" type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ <b>\$ 396,553.12</b>	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	<b>10384.35</b>	<b>10384.35</b>		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)	<b>(7299.57)</b>	<b>(7299.57)</b>		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 <b>Total.</b> Add lines 1 through 11	<b>10384.35</b>	<b>10384.35</b>			
<b>Operating and Administrative Expenses</b>	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	<b>159.16</b>	<b>159.16</b>		<b>159.16</b>
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	<b>196.84</b>	<b>196.84</b>		<b>196.84</b>
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
22 Printing and publications					
23 Other expenses (attach schedule)					
24 <b>Total operating and administrative expenses.</b> Add lines 13 through 23	<b>356.00</b>	<b>356.00</b>			
25 Contributions, gifts, grants paid	<b>10798.60</b>			<b>10798.60</b>	
26 <b>Total expenses and disbursements.</b> Add lines 24 and 25	<b>11154.60</b>	<b>356.00</b>		<b>11154.60</b>	
27 Subtract line 26 from line 12:					
a <b>Excess of revenue over expenses and disbursements</b>	<b>(770.25)</b>				
b <b>Net investment income</b> (if negative, enter -0-)		<b>10028.35</b>			
c <b>Adjusted net income</b> (if negative, enter -0-)					



**OPERATING AND ADMINISTRATIVE EXPENSES**

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year	End of year 2016		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash—non-interest-bearing . . . . .	6638.92	5758.12	5758.12
	2	Savings and temporary cash investments . . . . .			
	3	Accounts receivable ▶ . . . . .			
		Less: allowance for doubtful accounts ▶ . . . . .			
	4	Pledges receivable ▶ . . . . .			
		Less: allowance for doubtful accounts ▶ . . . . .			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ . . . . .			
		Less: allowance for doubtful accounts ▶ . . . . .			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule) . . . . .	322299.84	315085.77	390795.00
	c	Investments—corporate bonds (attach schedule) . . . . .			
	11	Investments—land, buildings, and equipment: basis ▶ . . . . .			
	Less: accumulated depreciation (attach schedule) ▶ . . . . .				
12	Investments—mortgage loans . . . . .				
13	Investments—other (attach schedule) . . . . .				
14	Land, buildings, and equipment: basis ▶ . . . . .				
	Less: accumulated depreciation (attach schedule) ▶ . . . . .				
15	Other assets (describe ▶ . . . . . )				
16	<b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I) . . . . .	328938.76	320843.89	376553.12	
Liabilities	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ . . . . . )			
23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .	0	0		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds . . . . .			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
30	<b>Total net assets or fund balances</b> (see instructions) . . . . .	328938.76	320843.89		
31	<b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .	328938.76	320843.89		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	328938.76
2	Enter amount from Part I, line 27a . . . . .	2	(770.25)
3	Other increases not included in line 2 (itemize) ▶ . . . . .	3	
4	Add lines 1, 2, and 3 . . . . .	4	328168.51
5	Decreases not included in line 2 (itemize) ▶ . . . . .	5	
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	6	320843.89

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo., day, yr)
1a	Pac-west - 500 shares	P	1/24/00	8/21/15
b	KRAFF - 333 shares - capital gain	P	11/9/09	Special div
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 0	0	12794.07	(12794.07)
b 5494.50		-	5494.50
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	(1299.57)
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) $\times 6$ Adjusted qualifying distributions	(c) $\times 5$ Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	10853.81	345766.77	.0313
2013	6487.03	304554	.0299
2012	7849.79	263345.23	.0298
2011	4317.28	223944.66	.0249
2010	4170.67	205231.46	.0210

2	Total of line 1, column (d)	2	.1369
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.02738
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	368793.24
5	Multiply line 4 by line 3	5	100975.58
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	100.28
7	Add lines 5 and 6	7	10197.83
8	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	11154.60

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	100	28
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2		
3	Add lines 1 and 2	3	100	28
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	100	28
6	Credits/Payments:			
a	2015 estimated tax payments and 2014 overpayment credited to 2015	6a		
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7		
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	100	28
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11	Enter the amount of line 10 to be credited to 2016 estimated tax	11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1a		<input checked="" type="checkbox"/>
1b		<input checked="" type="checkbox"/>
1c		<input checked="" type="checkbox"/>
2		<input checked="" type="checkbox"/>
3		<input checked="" type="checkbox"/>
4a		<input checked="" type="checkbox"/>
4b		<input checked="" type="checkbox"/>
5		<input checked="" type="checkbox"/>
6		<input checked="" type="checkbox"/>
7		<input checked="" type="checkbox"/>
8a		<input checked="" type="checkbox"/>
8b	<input checked="" type="checkbox"/>	
9		<input checked="" type="checkbox"/>
10		<input checked="" type="checkbox"/>

**Part VII-A** Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		<input checked="" type="checkbox"/>
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		<input checked="" type="checkbox"/>
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶	<input checked="" type="checkbox"/>	
14 The books are in care of ▶ <u>Harriet M. Rothkopf</u> Telephone no. ▶ _____ Located at ▶ <u>New Rochelle, NY</u> ZIP+4 ▶ <u>10804</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here. . . . . and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶ 15		<input type="checkbox"/>
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . . See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶		<input checked="" type="checkbox"/>

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Organizations relying on a current notice regarding disaster assistance check here . . . . . ▶ <input type="checkbox"/>	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? . . . . .	1c	
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.) . . . . .	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.) . . . . .	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	<input checked="" type="checkbox"/>
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b	<input checked="" type="checkbox"/>

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a During the year did the foundation pay or incur any amount to:
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No
  - (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No
  - (3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No
  - (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)  Yes  No
  - (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No
- b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No
- Organizations relying on a current notice regarding disaster assistance check here
- c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No
- If "Yes," attach the statement required by Regulations section 53.4945-5(d).
- 6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No
- b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No
- If "Yes" to 6b, file Form 8870.
- 7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No
- b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No

5b		
6b		
7b		

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
HARRIET M. ROTHKOPF 210 STUART DR. New Rochelle, NY	PRES 1	—	—	—
RHODA ROTHKOPF 63 EAST 9 ST., NYC. NY	Treas 2	—	—	—
NOLAN ROTHKOPF 211 E 53 ST. NYC. NY	VP 1	—	—	—

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances

Total number of other employees paid over \$50,000

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
.....		
.....		
.....		
.....		
.....		
.....		

Total number of others receiving over \$50,000 for professional services . . . . . ▶

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 The Jewish Museum	3500
2 Westchester Reform Temple	2398
3 Lafayette College	2074.50
4 The Town Hall	1000

**Part IX-B** Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
3 All other program-related investments. See instructions	
<b>Total.</b> Add lines 1 through 3 . . . . . ▶	

**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	364007.42
b	Average of monthly cash balances	1b	10401.96
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	374,409.38
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	374,409.38
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	5616.14
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	368,793.24
6	Minimum investment return. Enter 5% of line 5	6	18,439.66

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	18439.66
2a	Tax on investment income for 2015 from Part VI, line 5	2a	
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b	100.28
c	Add lines 2a and 2b	2c	100.28
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	18,339.38
4	Recoveries of amounts treated as qualifying distributions	4	—
5	Add lines 3 and 4	5	18,339.38
6	Deduction from distributable amount (see instructions)	6	—
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	18,339.38

**Part XII** Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	11154.60
b	Program-related investments—total from Part IX-B	1b	—
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	—
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	—
b	Cash distribution test (attach the required schedule)	3b	—
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	11154.60
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	100.28
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	11054.22

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
<b>1</b> Distributable amount for 2015 from Part XI, line 7				
<b>2</b> Undistributed income, if any, as of the end of 2015:				
<b>a</b> Enter amount for 2014 only				
<b>b</b> Total for prior years: 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2015:				
<b>a</b> From 2010				
<b>b</b> From 2011				
<b>c</b> From 2012				
<b>d</b> From 2013				
<b>e</b> From 2014				
<b>f</b> Total of lines 3a through e				
<b>4</b> Qualifying distributions for 2015 from Part XII, line 4: ▶ \$ _____				
<b>a</b> Applied to 2014, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions)				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions)				
<b>d</b> Applied to 2015 distributable amount				
<b>e</b> Remaining amount distributed out of corpus				
<b>5</b> Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
<b>d</b> Subtract line 6c from line 6b. Taxable amount—see instructions				
<b>e</b> Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount—see instructions				
<b>f</b> Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
<b>8</b> Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions)				
<b>9</b> Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a				
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2011				
<b>b</b> Excess from 2012				
<b>c</b> Excess from 2013				
<b>d</b> Excess from 2014				
<b>e</b> Excess from 2015				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling . . . . . ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

	Tax year				(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> "Assets" alternative test—enter:					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .					
<b>b</b> "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
<b>c</b> "Support" alternative test—enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .					
<b>(3)</b> Largest amount of support from an exempt organization . . . . .					
<b>(4)</b> Gross investment income . . . . .					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

**b** The form in which applications should be submitted and information and materials they should include:

**c** Any submission deadlines.

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** - Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i>				
<b>Total</b> .....				<b>3a</b> .....
<i>b Approved for future payment</i>				
<b>Total</b> .....				<b>3b</b> .....





# ROTHKOPF FOUNDATION

stock name	purchase date	share	cost	purchase price	5/31/2016 end of year	profit/(loss)
innovative	10/14/97	1080	8.40	9073.20	0.00	-9073.20
espire	1/19/00	1000	7.96	7964.90		-7964.90
american water works	3/23/10	500	21.21	10733.45	37050.00	26316.55
amsouth/regions		478	31.84	15222.00		
amsouth /regions	3/16/05	500	33.11	16555.00	9614.00	-22163.00
at&t	2/18/00	500	38.61	19303.62	45492.00	5007.27
at&t	2/18/00	662	32.00	21181.11		
bristol myers	1/14/10	500	25.08	12623.00	35850.00	23227.00
capitol one	2/11/04	116	80.12	9294.40	55809.00	-2705.16
capitol one	2/11/04	646	76.19	49219.76		
century link	6/13/96	287	111.94	32162.74	7783.00	-24379.74
dt	5/21/99	953	26.50	25251.25	16844.00	-8407.25
frontier	4/22/2016	1000	5.50	5580.00	5170.00	-410.00
home depot	3/23/10	500	32.41	16285.00	66060.00	49775.00
intel	1/14/10	500	21.07	10588.60	15795.00	5206.40
kraft	11/09/09	333	28.23	9402.00	27702.00	18300.00
mondelez	10/2/12	1000	17.39	17392.00	44490.00	27098.00
piper jaffray	5/6/05	17	27.30	464.00	718.00	254.00
pfizer	7/17/14	400	30.90	12443.40	13880.00	1436.60
tenneco	11/8/2004	40	15.22	609.00	2149.00	1540.00
windstream	2/21/2007	166	20.12	3340.92	1393.00	-1947.92
Communications sales	2/21/2007	400	51.98	10396.42	4996.00	-5400.42
Total				315085.77	390795.00	75709.23

Mondelez is a spin off from Kraft on 10/2/12. KRA-333 shares and MDLZ-1000 Windstream Corp exchanged for Windstream Holdings 9/3/13 --1000 shares. Communications Sales and Leasing spin off on 1000 shares of Windstream on 4/10/15 -200 shares Windstream Holdings reverse split 1.6 on 4/30/15 -- 166 shares for the previous 1000

Kraft merged into Kraft Heinz for 333 shares and \$5,494.50 cash consideration -long term gain for 2015-2016.

## Rothkopf Foundation

Fiscal Year End May 31, 2016

**CONTRIBUTIONS**

6/15/15	The Town Hall	500.00
5/28/2015	FWA Educational	65.00
6/26/2015	Lafayette College	2000.00
7/13/2015	Off the Record	250.00
7/28/2015	Westchester Reform	1500.00
8/20/2015	Parkinson Dis Fdn	20.00
9/8/2015	NYU Urology Reserarch	50.00
9/21/2015	Lincoln Center	53.50
9/21/2015	Lincoln Center	53.50
9/21/2015	Lincoln Center	53.50
10/4/2015	Conservative Synagogue of 5th Ave	100.00
10/9/2015	Anti-Defamation League	100.00
10/13/2015	NYU	35.00
10/22/2015	Hadassah	25.00
10/25/2015	Hadassah	36.00
10/22/2015	SUNY Performing	25.00
11/20/2015	Westchester Reform	18.00
12/17/2015	Candlewood Lake Authority	5.00
12/29/2015	Jewish Museum	1500.00
1/15/2016	Westchester Reform	880.00
1/12/2016	Theatre Development	30.00
2/29/2016	Temple Emmanuel	38.80
3/7/2016	Lafayette College	25.00
3/8/2016	Pay Pal	90.00
3/11/2016	credit Pay Pal	-18.00
3/9/2016	Temple Emmanuel	38.80
3/30/2016	NYU Development	75.00
4/17/2016	Lehigh	60.00
4/18/2016	Lafayette College	49.50
5/10/2016	The Town Hall	500.00
5/5/2016	EB Justice	60.00
5/15/2016	Hunter Hillel	100.00
5/24/2016	Off the Record	250.00
5/16/2016	NYU	100.00
5/27/2016	Jewish Museum	2000.00
5/27/2016	Conservative Synagogue of 5th Ave	100.00
5/31/2016	EB Justice	30.00

Total		<u>10798.60</u>
-------	--	-----------------

	United States Treasury	96.84
	NYS Department of Law	100.00
2/26/2016	Delaware Corp Tax	25.00
5/6/2016	Corporation Service	134.16

Total		356.00
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13-6096-781

info@pacwest.com

**J.P.Morgan**

J.P. Morgan Securities

FOUNDATION

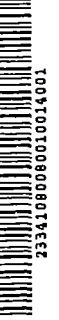
000140 - 1 of 1 NSP0PDF-Z1 000000

THE ROTHKOPF FOUNDATION  
210 STUART DRIVE  
NEW ROCHELLE NY 10804-1421



August 21, 2015

Escrow Receipt for Account: XXX-00707



23341080080010014001

Dear Account Holder(s):

Your above-referenced account contains the following security:

<u>Security Description</u>	<u>Quantity of Shares</u>
PAC-WEST TELECOMM INC	500

J.P. Morgan Clearing Corp. has removed the security noted above from your brokerage account because it is no longer transferrable. This letter serves as your Escrow Receipt and should be retained as a record of this transaction. This transaction will be reflected in your account statement.

If this corporation delegates a new transfer agent, upon your request, we will make a reasonable effort to reinstate the shares to your brokerage account. If this security is reinstated, your account will remain subject to the terms and conditions you agreed to upon opening the brokerage account until the security is sold or transferred to another broker or your brokerage account is closed.

You are strongly encouraged to consult a tax advisor about any tax implications related to the status of these securities and their removal from your brokerage account.

If you have any questions, please contact the J.P. Morgan Clearing Corp. Client Services Department at (800) 634-1428 or (347) 643-9953.

J.P. MORGAN CLEARING CORP.

J.P. Morgan Clearing Corp  
4 Metrotech Center NY1-C086  
Brooklyn, NY 11245-0001

CLEARED THROUGH ITS WHOLLY OWNED SUBSIDIARY

J.P. Morgan Clearing Corp.  
4 Metrotech Center, Floor 3  
Brooklyn, New York 11245-0001

THE ROTHKOPF FOUNDATION

STATEMENT PERIOD  
April 30 - May 31, 2016

ACCOUNT NUMBER  
036-00707 018  
LAST STATEMENT  
April 29, 2016

OFFICE SERVICING YOUR ACCOUNT  
J.P. Morgan Securities LLC  
277 Park Avenue  
New York, New York 10172  
(212) 272-2724

**J.P. Morgan**  
J.P. Morgan Securities

**PRIVILEGED ACCESS PLATINUM ACCOUNT**

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**Portfolio Value**

	THIS PERIOD	LAST PERIOD
<b>Assets</b>		
Sweep Program/Money Mkt Fds	5,758.12	7,976.18
Equities	390,795.00	386,127.00
<b>Total Assets</b>	<b>\$396,553.12</b>	<b>\$394,103.18</b>
<b>NET PORTFOLIO VALUE</b>	<b>\$396,553.12</b>	<b>\$394,103.18</b>

**Income Summary**

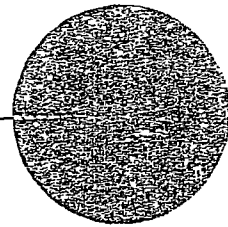
	THIS PERIOD	YEAR TO DATE
Dividends	1,052.56	4,155.22
Interest Income	0.10	0.40
<b>Total</b>	<b>\$1,052.66</b>	<b>\$4,155.62</b>

**Cash Flow Analysis**

	THIS PERIOD	THIS YEAR
<b>Opening Cash/Sweep Prog.</b>	<b>\$7,976.18</b>	<b>\$11,747.21</b>
Div/Int/Other Inc (Credit)	1,052.66	4,155.73
Visa Debit Card Activity	0.00	18.00
<b>Amount Credited</b>	<b>\$1,052.66</b>	<b>\$4,173.73</b>
Securities Bought	0.00	-5,580.00
Checks Written	-600.00	-1,480.00
Visa Debit Card Activity	-2,670.72	-3,102.82
<b>Amount Debited</b>	<b>\$-3,270.72</b>	<b>\$-10,162.82</b>
Net Cash/Sweep Prog. Act.	-2,218.06	-5,989.09
<b>Closing Cash/Sweep Prog.</b>	<b>\$5,758.12</b>	<b>\$5,758.12</b>

**Your Portfolio Allocation**

Cash & MMF 1%



Equities 99%

Unshaded portions denote debit balances and/or short market values. The allocation percentage is derived from the absolute market value of your portfolio.

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Brooklyn, New York 11245-0001

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STATEMENT PERIOD  
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New York, New York 10172  
(212) 272-2724

**J.P. Morgan**  
J.R Morgan Securities

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**PRIVILEGED ACCESS PLATINUM ACCOUNT**

**Your Portfolio Holdings**

**CASH & MONEY MARKET FUNDS**

DESCRIPTION	TYPE	SYMBOL/CUSIP	QUANTITY	PRICE	MARKET VALUE
JPMORGAN DEPOSIT ACCT B CURRENT YIELD 0.01% FDIC-INSURED SUBJECT TO APPLICABLE LIMITS NOT COVERED BY SIPC	CASH	200AV4004	5,758.12	1.0000	5,758
<b>TOTAL CASH &amp; MONEY MARKET FUNDS</b>					<b>\$5,758</b>

**EQUITIES**

**Equities & Options**

DESCRIPTION	SYMBOL/CUSIP	ACCT TYPE	QUANTITY	PRICE	MARKET VALUE	ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)
AT&T INC	T	CASH	1,162	39.1500	45,492	2,231	4.9042
AMERICAN WTR WKS CO INC	AWK	CASH	500	74.1000	37,050	750	2.0243
BRISTOL MYERS SQUIBB CO	BMY	CASH	500	71.7000	35,850	760	2.1199
CENTURYLINK INC	CTL	CASH	287	27.1200	7,783	620	7.9661
COMMUNICATIONS SALES & LEASING INC COM	CSAL	CASH	200	24.9800	4,996	480	9.6077
CAPITAL ONE FINANCIAL CORP	COF	CASH	762	73.2400	55,809	1,219	2.1842
DEUTSCHE TELEKOM AG SPONSORED ADR	DTEGY	CASH	953	17.6750	16,844	549	3.2593
E SPIRE COMMUNICATIONS INC	269153102	CASH	1,000	Unpriced			
FRONTIER COMMUNICATIONS CORP	FTR	CASH	1,000	5.1700	5,170	420	8.1238
INNOVATIVE CLINICAL SOLUTIONS	45767E305	CASH	1,080	Unpriced			
HOME DEPOT INC	HD	CASH	500	132.1200	66,060	1,380	2.0890
INTEL CORP	INTC	CASH	500	31.5900	15,795	520	3.2922

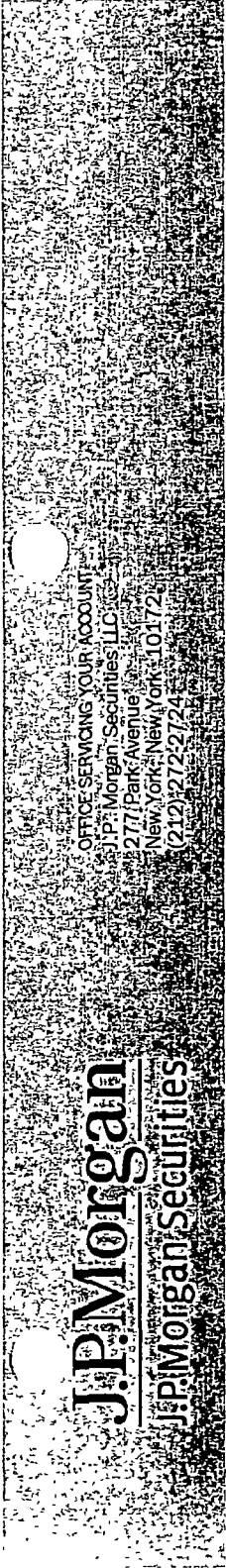
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THE ROTHKOPF FOUNDATION

STATEMENT PERIOD  
April 30 - May 31, 2016

ACCOUNT NUMBER  
036-00707 018

LAST STATEMENT April 29, 2016



**PRIVILEGED ACCESS PLATINUM ACCOUNT**

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**Your Portfolio Holdings (continued)**

**Equities & Options (Continued)**

DESCRIPTION	SYMBOL/CUSIP	ACCT TYPE	QUANTITY	PRICE	MARKET VALUE	ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)
KRAFT HEINZ COMPANY (THE) COMMON STOCK	KHC	CASH	333	83.1900	27,702	766	2.7651
MONDELEZ INTERNATIONAL INC COM	MDLZ	CASH	1,000	44.4900	44,490	680	1.5284
PIPER JAFFRAY COS	PJC	CASH	17	42.2300	718		
PFIZER INC	PFE	CASH	400	34.7000	13,880	480	3.4582
REGIONS FINANCIAL CORP	RF	CASH	978	9.8300	9,614	254	2.6420
TENNECO INC	TEN	CASH	40	53.7200	2,149		
WINDSTREAM HOLDINGS INC COM	WIN	CASH	166	8.3900	1,393	100	7.1788
<b>Total Equities &amp; Options</b>					<b>\$390,795</b>	<b>\$11,209</b>	
<b>TOTAL EQUITIES</b>					<b>\$390,795</b>	<b>\$11,209</b>	

YOUR PORTFOLIO HOLDINGS ESTIMATED ANNUAL INCOME **\$11,209**  
YOUR PRICED PORTFOLIO HOLDINGS **\$396,553**

**Transaction Detail**

**DIVIDENDS / INTEREST / OTHER INCOME**

**DIVIDENDS**

DATE	DESCRIPTION	SYMBOL/CUSIP	QUANTITY	RATE(S)	DEBIT AMOUNT	CREDIT AMOUNT
05/02/16	AT&T INC REC 04/08/16 PAY 05/02/16		1,162	0.4800		557.76



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 New York, New York 10172  
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 4 Metrotech Center, Floor 3  
 Brooklyn, New York 11245-0001

THE ROTHKOPF FOUNDATION

**PRIVILEGED ACCESS PLATINUM ACCOUNT**

6 of 10

STATEMENT PERIOD  
 April 30 - May 31, 2016

**Transaction Detail (continued)**

ACCOUNT NUMBER  
**036-00707 018**  
 LAST STATEMENT  
 April 29, 2016

**DIVIDENDS / INTEREST / OTHER INCOME (Continued)**

DATE	DESCRIPTION	SYMBOL/CUSIP	QUANTITY	RATE(S)	DEBIT AMOUNT	CREDIT AMOUNT
05/02/16	BRISTOL MYERS SQUIBB CO REC 04/01/16 PAY 05/02/16	BMY	500	0.3800		190.00
05/26/16	CAPITAL ONE FINANCIAL CORP REC 05/16/16 PAY 05/26/16	COF	762	0.4000		304.80
<b>TOTAL DIVIDENDS (CREDIT)</b>						<b>\$1,052.56</b>

**INTEREST**

DATE	DESCRIPTION	SYMBOL/CUSIP	QUANTITY	RATE(S)	DEBIT AMOUNT	CREDIT AMOUNT
05/02/16	JPMORGAN DEPOSIT ACCOUNT JPMORGAN CHASE BANK, N.A. MONTHLY INTEREST 04/01-04/29	2110185				0.10
<b>TOTAL INTEREST (CREDIT)</b>						<b>\$0.10</b>
<b>TOTAL DIVIDENDS / INTEREST / OTHER INCOME (CREDIT)</b>						<b>\$1,052.66</b>

**SWEEP PROGRAM ACTIVITY**

DATE MO/DAY	TRANSACTION	DESCRIPTION	SYMBOL/CUSIP	QUANTITY	PRICE	DEBIT AMOUNT	CREDIT AMOUNT
04/30/16		OPENING BALANCE		7,976.18			
05/02/16	REINVEST	JPMORGAN DEPOSIT ACCT B BROKERAGE NON RET JPMC BK NA MONTHLY INTEREST REINVESTED	200AV4004	0.10		0.10	
05/02/16	DEPOSIT	JPMORGAN DEPOSIT ACCT B BROKERAGE NON RET JPMC BK NA INTRA-DAY DEPOSIT	200AV4004	747.76			747.76