



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.
Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning JUN 1, 2015, and ending MAY 31, 2016

Name of foundation
The Elinor Patterson Baker Foundation

Number and street (or P.O. box number if mail is not delivered to street address) Room/suite
Cummings & Lockwood LLC PO Box 2505

City or town, state or province, country, and ZIP or foreign postal code
Greenwich, CT 06836

G Check all that apply. ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization. ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16)
\$ 45,462,096. (Part I, column (d) must be on cash basis.)

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) _____

A Employer identification number
06-6276403

B Telephone number
(203) 869-1200

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ If the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		728,129.	728,129.		Statement 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		2,457,762.			
b Gross sales price for all assets on line 6a		14,415,559.			
7 Capital gain net income (from Part IV, line 2)			2,457,762.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		3,185,891.	3,185,891.		
13 Compensation of officers, directors, trustees, etc.		254,857.	101,943.		152,914.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees		29,785.	14,892.		14,893.
b Accounting fees					
c Other professional fees					
17 Interest					
18 Taxes		99,663.	555.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses		986.	986.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		385,291.	118,376.		167,807.
25 Contributions, gifts, grants paid		2,300,000.			2,300,000.
26 Total expenses and disbursements. Add lines 24 and 25		2,685,291.	118,376.		2,467,807.
27 Subtract line 26 from line 12.		500,600.			
a Excess of revenue over expenses and disbursements			3,067,515.		
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)				N/A	

SCANNED AUG 12 2016

G784
12

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments	262,547.	289,760.	289,760.	
	3	Accounts receivable				
		Less: allowance for doubtful accounts				
	4	Pledges receivable				
		Less: allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable				
		Less: allowance for doubtful accounts				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations				
	b	Investments - corporate stock Stmt 5	14,370,066.	13,416,747.	17,331,768.	
	c	Investments - corporate bonds Stmt 6	3,611,648.	3,593,157.	3,590,393.	
	11	Investments - land, buildings, and equipment basis				
	Less: accumulated depreciation					
12	Investments - mortgage loans					
13	Investments - other Stmt 7	23,949,765.	25,394,962.	24,250,175.		
14	Land, buildings, and equipment basis					
	Less: accumulated depreciation					
15	Other assets (describe)					
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	42,194,026.	42,694,626.	45,462,096.		
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe)				
23	Total liabilities (add lines 17 through 22)	0.	0.			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☐ and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ☒ and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds	42,194,026.	42,694,626.		
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
	29	Retained earnings, accumulated income, endowment, or other funds	0.	0.		
	30	Total net assets or fund balances	42,194,026.	42,694,626.		
	31	Total liabilities and net assets/fund balances	42,194,026.	42,694,626.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	42,194,026.
2	Enter amount from Part I, line 27a	2	500,600.
3	Other increases not included in line 2 (itemize)	3	0.
4	Add lines 1, 2, and 3	4	42,694,626.
5	Decreases not included in line 2 (itemize)	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	42,694,626.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a Publicly Traded Securities		P		
b Capital Gains Dividends				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 13,636,057.		11,957,797.	1,678,260.
b 779,502.			779,502.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col. (k), but not less than -0-) or Losses (from col (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	
a			1,678,260.
b			779,502.
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	2,457,762.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	2,287,732.	48,069,876.	.047592
2013	2,424,289.	45,671,250.	.053081
2012	2,120,513.	40,897,770.	.051849
2011	2,302,795.	38,988,467.	.059063
2010	2,053,395.	39,068,144.	.052559

2 Total of line 1, column (d)	2	.264144
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.052829
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	44,951,735.
5 Multiply line 4 by line 3	5	2,374,755.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	30,675.
7 Add lines 5 and 6	7	2,405,430.
8 Enter qualifying distributions from Part XII, line 4	8	2,467,807.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	30,675.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	30,675.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	30,675.
6 Credits/Payments			
a 2015 estimated tax payments and 2014 overpayment credited to 2015	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7		0.
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8		847.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		31,522.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be Credited to 2016 estimated tax	11	Refunded	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation. \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) CT		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Form 990-PF (2015)

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	X	
Website address ▶ N/A		
14 The books are in care of ▶ BNY Mellon, N.A. - Nancy Bassett Telephone no ▶ (203) 869-3000		
Located at ▶ 10 Mason Street, Greenwich, CT		ZIP+4 ▶ 06830
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here		☐
and enter the amount of tax-exempt interest received or accrued during the year		15 N/A
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?		X
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		X
Organizations relying on a current notice regarding disaster assistance check here		☐
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015?	☐ Yes ☒ No	
If "Yes," list the years ▶		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?		X

Form 990-PF (2015)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ N/A

5b

Organizations relying on a current notice regarding disaster assistance check here ☐c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ Nob Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

6b

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ Nob If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BNY Mellon, N.A. 10 Mason Street Greenwich, CT 06830	Trustee 40.00	254,857.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2015)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0.

Form 990-PF (2015)

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	45,264,778.
b	Average of monthly cash balances	1b	371,501.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	45,636,279.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	45,636,279.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	684,544.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	44,951,735.
6	Minimum investment return. Enter 5% of line 5	6	2,247,587.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	2,247,587.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	30,675.
b	Income tax for 2015. (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	30,675.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	2,216,912.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	2,216,912.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	2,216,912.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,467,807.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,467,807.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	30,675.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	2,437,132.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Form 990-PF (2015)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				2,216,912.
2 Undistributed income, if any, as of the end of 2015			0.	
a Enter amount for 2014 only				
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2015				
a From 2010				
b From 2011				
c From 2012	11,363.			
d From 2013	283,690.			
e From 2014				
f Total of lines 3a through e	295,053.			
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ \$ 2,467,807.				
a Applied to 2014, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2015 distributable amount				2,216,912.
e Remaining amount distributed out of corpus	250,895.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	545,948.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount - see instr.			0.	
f Undistributed income for 2015 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2016				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	545,948.			
10 Analysis of line 9:				
a Excess from 2011				
b Excess from 2012	11,363.			
c Excess from 2013	283,690.			
d Excess from 2014				
e Excess from 2015	250,895.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities					
Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

BNY Mellon, N.A., Trustee of the ELINOR PATTERSON BAKER TRUST FUND

b The form in which applications should be submitted and information and materials they should include:

See Copy of Information Form which is attached

c Any submission deadlines:

None

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

See attached Schedule

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
See Schedule Attached	None	PC	All for unrestricted, general charitable purposes	2,300,000.
Total			3a	2,300,000.
b Approved for future payment				
None				
Total			3b	0.

Form 990-PF	Dividends and Interest from Securities	Statement	1
-------------	--	-----------	---

Source	Gross Amount	Capital Gains Dividends	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
BNY Mellon, N.A.	1,507,631.	779,502.	728,129.	728,129.	
To Part I, line 4	1,507,631.	779,502.	728,129.	728,129.	

Form 990-PF	Legal Fees	Statement	2
-------------	------------	-----------	---

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Cummings & Lockwood LLC, tax, legal and accounting services	29,785.	14,892.		14,893.
To Form 990-PF, Pg 1, ln 16a	29,785.	14,892.		14,893.

Form 990-PF	Taxes	Statement	3
-------------	-------	-----------	---

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Foreign income taxes withheld at source	555.	555.		0.
U.S. Treasury, Excise Tax	99,108.	0.		0.
To Form 990-PF, Pg 1, ln 18	99,663.	555.		0.

Form 990-PF	Other Expenses			Statement	4
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Treasurer State of CT, probate court fees	909.	909.			0.
ADR Fees	77.	77.			0.
To Form 990-PF, Pg 1, ln 23	986.	986.			0.

Form 990-PF	Corporate Stock		Statement	5
Description	Book Value		Fair Market Value	
Schedule Attached	13,416,747.		17,331,768.	
Total to Form 990-PF, Part II, line 10b	13,416,747.		17,331,768.	

Form 990-PF	Corporate Bonds		Statement	6
Description	Book Value		Fair Market Value	
Schedule Attached	3,593,157.		3,590,393.	
Total to Form 990-PF, Part II, line 10c	3,593,157.		3,590,393.	

Form 990-PF	Other Investments		Statement	7
Description	Valuation Method	Book Value	Fair Market Value	
Schedule Attached	COST	25,394,962.	24,250,175.	
Total to Form 990-PF, Part II, line 13		25,394,962.	24,250,175.	

Elinor Patterson Baker Trust U/A Tenth (II)

		Fair Market Value 05/31/2016	Fiduciary Acquisition Value
Cash and Cash Equivalents			
	Federated Govt Oblig Fund #395	\$ 289,759.94	\$ 289,759.94
	Total Cash and Cash Equivalents	<u>\$ 289,759.94</u>	<u>\$ 289,759.94</u>
No. of Shares	Stocks		
1,139	3M Co.	\$ 191,716.48	\$ 162,344.06
3,190	Abbott Labs Inc.	126,419.70	122,807.58
1,253	Accenture Ltd., Class A	149,069.41	110,126.14
3,060	Adobe Sys Inc	304,378.20	174,489.14
1,352	Aetna Inc.	153,086.96	142,799.46
4,230	Agilent Technologies Inc.	194,114.70	174,510.25
767	Allergan Inc	180,820.25	113,352.55
8,060	Ally Financial Inc.	144,596.40	178,493.79
771	Alphabet Inc.	577,363.35	484,695.42
656	Amazon.Com Inc.	474,150.24	171,971.69
2,010	American Tower Corp	212,617.80	189,125.62
881	Amgen Inc.	139,153.95	115,007.50
702	Anacor Pharmaceuticals Inc.	69,708.60	93,894.74
4,096	Apple Inc.	409,026.56	216,060.38
4,130	AT & T Inc.	161,689.50	141,640.68
20,970	Bank of America Corp.	310,146.30	346,003.25
431	Biogen Idec Inc.	124,873.63	134,588.96
800	Biomarin Pharmaceutical Inc	71,720.00	85,462.37
2,650	Bristol Myers Squibb Co.	190,005.00	140,891.91

**Schedule B-1
Balance On Hand**

Elinor Patterson Baker Trust U/A Tenth (II)

No. of Shares	Stocks	Fair Market Value		Fiduciary	
		05/31/2016		Acquisition Value	
2,452	Broadcom LTD	\$	378,490.72	\$	202,799.46
3,290	Capital One Finl Corp		240,959.60		196,726.47
1,930	Cardinal Health Inc		152,373.50		137,143.50
2,860	Celanese Corp Ser A		201,572.80		118,239.59
705	Celgene Corp.		74,391.60		72,489.79
2,223	Chevron Corp.		224,523.00		185,509.71
6,600	Citizens Finl Group		155,430.00		144,823.37
3,220	Cognizant Technlgy Sltns Cor CL A		197,836.80		200,208.02
3,490	Comcast Corp. CL A		220,917.00		160,036.45
1,219	Constellation Brands		186,689.85		155,489.20
886	Costco Wholesale Corp.		131,810.22		89,753.65
2,049	CVS Health Corp.		197,626.05		210,107.74
3,770	Devon Energy Corp New		136,059.30		102,990.37
2,840	Dominion Resources, Inc.		205,190.00		194,616.31
3,630	Dow Chemical Co.		186,436.80		178,337.35
6,370	Dynegy Inc New		120,010.80		86,833.11
3,830	Eaton Corp.		236,042.90		157,612.79
5,360	Exelon Corp		183,687.20		176,127.01
2,026	Exxon Mobil Corp.		180,354.52		129,319.72
4,520	Facebook Inc CL A		537,021.20		285,802.82
6,230	General Electric Company		188,332.90		175,397.55
4,670	Halliburton Co.		196,980.60		194,517.82

Schedule B-1 (Continued)

Balance On Hand

Elinor Patterson Baker Trust U/A Tenth (II)

No. of Shares	Stocks	Fair Market Value 05/31/2016		Fiduciary Acquisition Value	
5,070	Hartford Finl Svcs Group Inc.	\$	229,011.90	\$	184,951.57
2,099	Home Depot Inc.		277,319.88		19,329.27
2,596	Honeywell Intl Inc		295,502.68		93,558.80
2,710	Illinois Tool Works Inc.		287,341.30		236,114.88
4,240	Ingersoll-Rand Company		283,274.40		158,572.51
1,297	Intercontinental Exchange Inc.		351,642.64		181,040.09
7,580	Invesco LTD		238,012.00		164,260.52
2,085	Johnson & Johnson		234,958.65		205,058.61
1,580	Lilly (Eli) & Company		118,547.40		129,187.91
4,680	Marathon Petroleum Corp		163,004.40		209,428.23
2,810	Merck & Co. Inc.		158,090.60		149,889.68
7,410	Microsoft Corp.		392,730.00		370,936.58
3,490	Mondelez International		155,270.10		142,500.00
1,561	Monster Beverage Company		234,150.00		107,938.17
967	Netflix Com Inc.		99,185.19		108,610.51
3,356	Nike Inc., Cl B		185,318.32		179,235.76
3,030	Nucor Corp.		146,985.30		132,018.61
2,890	NXP Semiconductors NV		273,076.10		212,688.18
1,738	Palo Alto Networks Inc		226,739.48		252,581.69
877	Panera Bread Co Cl A		192,194.55		189,528.43
3,100	Pepsico Inc.		313,627.00		217,593.33
8,210	Pfizer Inc.		284,887.00		179,502.83
3,370	Philip Morris Intl Inc.		332,551.60		224,772.73
942	Pioneer Natural Resources Co.		151,021.44		143,434.26

Schedule B-1 (Continued)

Balance On Hand

Elinor Patterson Baker Trust U/A Tenth (II)

			Fair Market Value 05/31/2016	Fiduciary Acquisition Value
No. of Shares	Stocks			
3,370	Procter & Gamble	\$	273,104.80	\$ 165,890.31
3,734	Salesforce.Com Inc.		312,573.14	169,636.21
2,742	Schlumberger Ltd.		209,214.60	221,790.97
8,080	Schwab Charles Corp.		247,086.40	259,008.60
3,840	Time Warner Inc		290,534.40	289,007.97
2,026	United Technologies Corp.		203,775.08	88,084.70
1,179	Universal Health Svcs Inc CI B		158,999.94	163,658.87
3,630	Valero Energy Corp		198,561.00	166,414.71
2,580	Verizon Communications		131,322.00	111,178.36
2,540	Visa Inc CL A		200,507.60	194,459.22
4,870	Voya Financial Group		160,028.20	182,682.57
1,336	Walt Disney Company		132,557.92	36,157.32
4,800	Wells Fargo & Co New		243,456.00	180,304.94
2,780	YUM Brands Inc		228,210.20	142,591.96
	Total Stocks	\$	<u>17,331,767.60</u>	<u>\$ 13,416,747.15</u>
Par Value	Bonds, Debentures & T-Bills			
75,000	Abbvie Inc 1.8% 5/14/18	\$	75,148.50	\$ 74,998.70
75,000	Adobe Systems 4.75% 2/1/20		82,396.50	82,573.50
60,000	Allergan Inc. 3.375% 9/15/20		62,014.20	61,962.00
75,000	Amazon.com Inc 2.6% 12/5/19		77,794.50	77,118.75
80,000	Amgen Inc 2.2% 5/22/19		81,123.20	80,122.40
35,000	Anheuser Busch Cos 5.6% 3/1/17		36,208.90	34,365.45

Schedule B-1 (Continued)

Balance On Hand

Elinor Patterson Baker Trust U/A Tenth (II)

		Fair Market Value 05/31/2016	Fiduciary Acquisition Value
Par Value	Bonds, Debentures & T-Bills		
75,000	Anheuser-Busch Inbev 2.65% 2/1/21	\$ 76,332.75	\$ 74,765.25
90,000	AT&T Inc. 2.45% 6/30/20	90,279.00	89,659.80
70,000	B P Capital Markets PLC	69,757.10	69,535.90
75,000	Barclays PLC 2.75% 11/8/19	74,898.00	76,177.50
75,000	Biogen Inc 2.9% 9/15/20	77,238.00	74,910.00
80,000	Boston Properties LP 3.7% 11/15/18	83,552.80	85,654.40
75,000	British Telecom 5.85% 1/15/18	80,378.25	82,524.00
40,000	California ST Earthquake Auth 2.805% 7/1/19	40,897.20	39,996.75
70,000	Cisco Systems 2.125% 3/1/19	71,563.10	70,496.30
85,000	Citicorp 2.5% 7/29/19	86,124.55	84,339.55
65,000	Comcast Corp 5.7% 5/15/18	70,680.35	74,657.05
80,000	Comerica Bank 5.2% 8/22/17	83,050.40	85,816.00
75,000	CVS Health Corp 2.8% 7/20/20	77,148.75	77,629.50
55,000	Deutsche Bank 2.5% 2/13/19	54,854.80	55,135.85
35,000	Diageo Capital PLC 1.5% 5/11/17	35,124.95	35,445.90
75,000	Dr. Pepper Snapple 2.6% 1/15/19	76,284.00	76,416.00
60,000	E M C Corp. 1.875% 6/1/18	58,520.40	60,283.20
55,000	EBAY Inc. 2.2% 8/1/19	55,338.25	54,511.60
100,000	Esc Lehman Brothers Holdings 12/30/2016	7,750.00	1.00
75,000	Fidelity Natl Info 2% 4/15/18	75,085.50	75,482.25

Schedule B-1 (Continued)

Balance On Hand

Elinor Patterson Baker Trust U/A Tenth (II)

Par Value	Bonds, Debentures & T-Bills	Fair Market Value 05/31/2016	Fiduciary Acquisition Value
75,000	Florida ST Hurricane 2.995% 7/1/20	\$ 77,509.50	\$ 77,313.20
80,000	Ford Motor Credit 3.2% 1/15/21	81,736.80	81,642.40
85,000	General Elec Cap Corp 1.6% 11/20/17	85,704.65	85,544.85
80,000	Goldman Sachs 2.875% 2/25/21	81,227.20	79,830.40
70,000	Grupo Televisa 6% 5/15/18	75,354.30	80,266.90
90,000	HSBC USA 2.25% 6/23/19	90,522.00	90,134.10
50,000	Illinois ST 5.877% 3/12/15	54,171.50	55,506.00
75,000	Intel Corp 1.35% 12/15/17	75,210.00	75,066.00
80,000	Intercontinental Exchange 2.75% 12/1/20	82,265.60	80,331.20
80,000	JPMorgan Chase 2.55% 10/29/20	80,780.00	80,957.60
80,000	Lloyds Bank 2.3% 11/27/18	80,767.20	81,889.60
75,000	Merrill Lynch 6.875% 4/25/18	81,869.25	73,674.00
85,000	Morgan Stanley 2.5% 1/24/19	86,342.15	86,041.25
65,000	Oracle Corp 5.75% 4/15/18	70,403.45	73,881.60
80,000	Pemex Proj 5.75% 3/1/18	83,320.00	88,288.00
80,000	Rabobank Nederland NY 2.25% 1/14/19	81,281.60	80,921.60
45,000	Santander Holdings 2.65% 4/17/20	44,919.90	44,820.45
75,000	Scripps Networks 2.8% 6/15/20	75,609.00	74,000.25
85,000	Simon Property Group 2.2% 2/1/19	86,399.10	85,780.30

Schedule B-1 (Continued)

Balance On Hand

Elinor Patterson Baker Trust U/A Tenth (II)

		Fair Market Value 05/31/2016	Fiduciary Acquisition Value
Par Value	Bonds, Debentures & T-Bills		
70,000	Telefonica Emisiones 5.134% 4/27/20	\$ 76,819.40	\$ 79,207.80
40,000	Time Warner Cable 5.85% 5/1/17	41,460.40	45,140.80
80,000	Time Warner Inc. 2.1% 6/1/19	80,639.20	79,389.60
80,000	Verizon Communications 2.625% 2/21/20	81,986.40	80,712.00
70,000	Wachovia Bank 6% 11/15/17	74,550.00	78,239.00
	Total Bonds, Debentures & T-Bills	<u>\$ 3,590,392.55</u>	<u>\$ 3,593,157.50</u>
No. of Shares	Mutual Funds		
185,615.541	BNY Mellon Emerging Markets FD CL M	\$ 1,473,787.40	\$ 1,879,438.61
211,309.347	BNY Mellon Income Stock Fund	1,779,224.70	1,660,879.64
68,993.928	BNY Mellon Int'l Equity Income Fund	827,927.14	950,000.00
176,262.968	BNY Mellon Int'l Fd	1,972,382.61	2,000,000.00
81,081.676	BNY Mellon Intermediate Bd Fd	1,025,683.20	1,051,279.10
97,024.58	BNY Mellon L/C Mkt Opp	1,132,276.85	1,500,000.00
310,344	BNY Mellon Mid Cap Multi Strat Fund	4,503,091.44	4,231,615.45
67,032.202	BNY Mellon Sm/Mid Cap-M	799,694.17	925,312.77
197,878.818	Dreyfus Altern Diver Str	2,437,867.04	2,500,000.00
47,743.151	Dreyfus Diversified Em Mk	843,621.48	1,000,000.00
63,398.748	Dreyfus Floating Rate Income Fund	756,347.06	800,000.00

Schedule B-1 (Continued)

Balance On Hand

Elinor Patterson Baker Trust U/A Tenth (II)

No. of Shares	Mutual Funds	Fair Market Value	Fiduciary
		05/31/2016	Acquisition Value
47,403.361	Dreyfus High Yield Fund	\$ 284,894.20	\$ 306,594.00
107,836.088	Dreyfus Int'l Small Cap	1,425,593.08	1,500,000.00
65,417.337	Dreyfus Int'l Stock Fund	959,018.16	920,143.46
36,983.905	Dreyfus Opportunistic S/C	1,078,450.67	1,151,094.85
44,616.845	Dreyfus Select Mger S/C Gwth	929,368.88	913,680.74
52,849.854	Dreyfus Select Mger S/C Value	1,074,966.03	1,180,850.47
51,244.92	Dreyfus/Newton Int'l Eqty	945,981.22	924,072.96
	Total Mutual Funds	\$ 24,250,175.33	\$ 25,394,962.05
	Total Schedule B-1	\$ 45,462,095.42	\$ 42,694,626.64

Schedule B-1 (Continued)

Balance On Hand

Elinor Patterson Baker Trust U/A Tenth (II)
Foundation ID # 06-6276403
Form 990-PF for Fiscal Year Ended 5/31/16
2015 Contribution Summary

All About Animals Rescue, East Pointe, MI	45,000
Alliance for Contraception in Cats and Dogs, Portland, OR	25,000
Americans for Oxford Inc., New York, NY	100,000
Animal Care & Control Team of Philadelphia, Philadelphia, PA	25,000
Bark Avenue Foundation, Studio City, CA	30,000
Cat Depot, Longboat Key, FL	40,000
Charleston Animal Society, North Charleston, SC	50,000
Coalition to Unchain Dogs, Inc., Raleigh, NC	20,000
Fauna and Flora International, Inc., Washington, DC	100,000
Fixnation, Inc., Los Angeles, CA	40,000
Fox Valley Humane Association, Appleton, WI	25,000
Greater Birmingham Humane Society, Birmingham, AL	50,000
Great Plains Society for the Prevention of Cruelty to Animals, Merriam, KS	30,000
Humane Society of Hall County, Gainesville, GA	50,000
Humane Society of the United States, Gaithersburg, MD	800,000
Maui Humane Society, Puunene, HI	100,000
Michigan Humane Society, Bingham Falls, MI	30,000
Ocean State Animal Coalition, North Kingston, RI	25,000
PAWS Chicago, Chicago, IL	50,000
People for Animals, Inc., Hillside, NJ	25,000
Point Reyes Bird Observatory, Petaluma, CA	100,000
Providence Animal Rescue League, Providence, RI	50,000
Purdue University, West Lafayette, IN	140,000
Sea Turtle Conservancy, Gainesville, FL	50,000
The Science and Conservation Center, Inc., Billings, MT	75,000
SPAY4LA, Inc., Los Angeles, CA	50,000
The Spayed Club, Frazer, PA	50,000
Tree House Humane Society, Chicago, IL	25,000
Wildlife Center of Virginia, Waynesboro, VA	<u>100,000</u>
Total Distributions to Beneficiaries	<u>2,300,000</u>