Extended to March 15, 2017

Form **990-PF**

Department of the Treasury Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning MAY 1, 2015 , and ending APR 30, 2016

Name of foundation: SY SYMS FOUNDATION

A Employer identification number: 22-2617727

Number and street (or P O box number if mail is not delivered to street address): ONE BRIDGE PLAZA NORTH — Room/suite: 275

B Telephone number: (201)849-4417

City or town, state or province, country, and ZIP or foreign postal code: FORT LEE, NJ 07024

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 39,929,596.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ▶ ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	1,126.	1,126.		Statement 1
	4 Dividends and interest from securities	1,391,510.	1,391,510.		Statement 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-703,030.			
	b Gross sales price for all assets on line 6a 19,451,648.				
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 **Total.** Add lines 1 through 11	689,606.	1,392,636.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	49,000.	49,000.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees Stmt 3	15,000.	7,500.		7,500.
	c Other professional fees Stmt 4	213,364.	213,364.		0.
	17 Interest				
	18 Taxes Stmt 5	11,147.	11,147.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses Stmt 6	11,799.	5,899.		5,900.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	300,310.	286,910.		13,400.
	25 Contributions, gifts, grants paid	2,589,000.			2,589,000.
	26 **Total expenses and disbursements.** Add lines 24 and 25	2,889,310.	286,910.		2,602,400.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-2,199,704.			
	b **Net investment income** (if negative, enter -0-)		1,105,726.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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SCANNED MAR 28 2017

523501 11-24-15 LHA For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2015)

18160309 150879 SYMSSYFOUND 2015.05050 SY SYMS FOUNDATION SYMSSYF1

Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	2,215,144.	1,842,428.	1,842,428.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations Stmt 8	2,101,631.	2,506,519.	2,534,705.
	b Investments - corporate stock Stmt 9	16,527,320.	15,229,318.	15,256,084.
	c Investments - corporate bonds Stmt 10	20,847,946.	19,464,072.	19,896,284.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other Stmt 11	2,365.	452,365.	400,095.
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	41,694,406.	39,494,702.	39,929,596.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	41,694,406.	39,494,702.	
	30 Total net assets or fund balances	41,694,406.	39,494,702.	
	31 Total liabilities and net assets/fund balances	41,694,406.	39,494,702.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	41,694,406.
2 Enter amount from Part I, line 27a	2	-2,199,704.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	39,494,702.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	39,494,702.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	See Attached Statements			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	19,451,648.		20,154,678.	-703,030.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				-703,030.

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7	2	-703,030.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	2,207,737.	43,227,574.	.051072
2013	1,779,485.	40,298,704.	.044157
2012	1,656,608.	41,811,943.	.039620
2011	2,158,662.	39,179,326.	.055097
2010	1,647,159.	27,934,703.	.058965

2 **Total** of line 1, column (d)	2	.248911
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.049782
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	40,603,097.
5 Multiply line 4 by line 3	5	2,021,303.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	11,057.
7 Add lines 5 and 6	7	2,032,360.
8 Enter qualifying distributions from Part XII, line 4	8	2,602,400.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

[redacted]

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ [ ] and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b	1	11,057.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	11,057.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	11,057.
6	Credits/Payments:		
a	2015 estimated tax payments and 2014 overpayment credited to 2015 — 6a: 34,029.		
b	Exempt foreign organizations - tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments. Add lines 6a through 6d	7	34,029.
8	Enter any **penalty** for underpayment of estimated tax. Check here [X] if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	22,972.
11	Enter the amount of line 10 to be: **Credited to 2016 estimated tax** ▶ 22,972. **Refunded** ▶	11	0.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NJ			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	X	

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ MARCY SYMS MERNS Telephone no. ▶ 201 902 9600
Located at ▶ ONE BRIDGE PLAZA NORTH, STE 275, FT. LEE, NJ ZIP+4 ▶ 07024

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☒ Yes ☐ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)*	3b		X
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MARCY SYMS MERNS ONE BRIDGE PLAZA NORTH, SUITE 275 FORT LEE, NJ 07024	TRUSTEE & PRESIDENT 5.00	12,000.	0.	0.
MARK FREIBERG ONE BRIDGE PLAZA NORTH, SUITE 275 FORT LEE, NJ 07024	TRUSTEE & TREASURER 5.00	13,000.	0.	0.
ROBERT SYMS ONE BRIDGE PLAZA NORTH, SUITE 275 FORT LEE, NJ 07024	TRUSTEE 1.00	12,000.	0.	0.
LYNN TAMARKIN SYMS ONE BRIDGE PLAZA NORTH, SUITE 275 FORT LEE, NJ 07024	TRUSTEE 1.00	12,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

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523551
11-24-15

[redacted]

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
BNY MELLON 706 MADISON AVE., NEW YORK, NY 10065	INVESTMENT MGMT	168,514.

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

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11-24-15

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities		1a	39,450,120.
b	Average of monthly cash balances		1b	1,771,298.
c	Fair market value of all other assets		1c	
d	**Total** (add lines 1a, b, and c)		1d	41,221,418.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	41,221,418.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	618,321.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	40,603,097.
6	**Minimum investment return.** Enter 5% of line 5		6	2,030,155.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	2,030,155.
2a	Tax on investment income for 2015 from Part VI, line 5	2a 11,057.		
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b		
c	Add lines 2a and 2b		2c	11,057.
3	Distributable amount before adjustments. Subtract line 2c from line 1		3	2,019,098.
4	Recoveries of amounts treated as qualifying distributions		4	0.
5	Add lines 3 and 4		5	2,019,098.
6	Deduction from distributable amount (see instructions)		6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	2,019,098.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,602,400.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,602,400.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	11,057.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	2,591,343.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				2,019,098.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			567,771.	
b Total for prior years: ____ , ____ , ____		0.		
3 Excess distributions carryover, if any, to 2015:				
a From 2010 ____				
b From 2011 ____				
c From 2012 ____				
d From 2013 ____				
e From 2014 ____				
f **Total** of lines 3a through e	0.			
4 Qualifying distributions for 2015 from Part XII, line 4: ▶ $ 2,602,400.				
a Applied to 2014, but not more than line 2a			567,771.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2015 distributable amount				2,019,098.
e Remaining amount distributed out of corpus	15,531.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	15,531.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2016.** Subtract lines 7 and 8 from line 6a	15,531.			
10 Analysis of line 9:				
a Excess from 2011 ____				
b Excess from 2012 ____				
c Excess from 2013 ____				
d Excess from 2014 ____				
e Excess from 2015 15,531.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

[redacted]

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
American Committee for the Wiezmann Institute of Science		EXEMPT	SCIENTIFIC	100,000.
American Friends of Reuth Medical & Life Care Centers		EXEMPT	CHARITABLE-MEDICAL	25,000.
American Friends of the Israel Philharmonic Orchestra		EXEMPT	ARTS/MUSICAL GRANT	25,000.
American Heart Association, New York, NY		EXEMPT	CHARITABLE-MEDICAL	15,000.
American Jewish World Services, New York, NY		EXEMPT	COMMUNITY SERVICE	20,000.
Total See continuation sheet(s)			▶ 3a	2,589,000.
b Approved for future payment				
None				
Total			▶ 3b	0.

Form **990-PF** (2015)

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11-24-15

18160309 150879 SYMSSYFOUND 2015.05050 SY SYMS FOUNDATION SYMSSYF1

Part XVI-A **Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	1,126.	
4 Dividends and interest from securities			14	1,391,510.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14		
8 Gain or (loss) from sales of assets other than inventory			18	-703,030.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		689,606.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 689,606.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B **Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
	NOT APPLICABLE

[redacted]

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Marcy Syms — 5/13/17 — TRUSTEE & PRESIDENT

Signature of officer or trustee — Date — Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only				
Print/Type preparer's name: James Raia	Preparer's signature	Date: 5/13/17	Check ☐ if self-employed	PTIN: P01227149
Firm's name ▶ RAIA & ASSOCIATES, PC			Firm's EIN ▶ 81-4816761	
Firm's address ▶ 172 WASHINGTON VALLEY RD STE 5 WARREN, NJ 07059			Phone no. 908-360-5400	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SOLD THRU BNY MELLON 10571026400 SEE ATTACHED	P	Various	
b	SOLD THRU BNY MELLON 10571026400 SEE ATTACHED	P	Various	
c	SOLD THRU BNY MELLON 10571034900 SEE ATTACHED	P	Various	
d	SOLD THRU BNY MELLON 10571034900 SEE ATTACHED	P	Various	
e	SOLD THRU BNY MELLON 10571034900 SEE ATTACHED	P	Various	
f	SOLD THRU BNY MELLON 10583519620 SEE ATTACHED	P	Various	
g	SOLD THRU BNY MELLON 10583519620 SEE ATTACHED	P	Various	
h	SOLD THRU WELLS FARGO 69370100 SEE ATTACHED	P	Various	
i	SOLD THRU WELLS FARGO 69370100 SEE ATTACHED	P	Various	
j	SOLD THRU WELLS FARGO 60288285 SEE ATTACHED	P	Various	
k	SOLD THRU WELLS FARGO 58371163 SEE ATTACHED	P	Various	
l	SOLD THRU WELLS FARGO 55069702 SEE ATTACHED	P	Various	
m	SOLD THRU WELLS FARGO 55069702 SEE ATTACHED	P	Various	
n	SOLD THRU WELLS FARGO 77220138 SEE ATTACHED	P	Various	
o	SOLD THRU WELLS FARGO 77220138 SEE ATTACHED	P	Various	

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,763,598.		2,853,671.	-90,073.
b	7,254,120.		8,149,743.	-895,623.
c	2,872,674.		2,894,485.	-21,811.
d	2,502,498.		2,554,372.	-51,874.
e	1,548.		1,538.	10.
f	1,156,705.		1,251,266.	-94,561.
g	1,974,039.		1,717,754.	256,285.
h	491.		499.	-8.
i	46,708.		49,198.	-2,490.
j	66,945.		86,537.	-19,592.
k	6,000.		6,516.	-516.
l	15,900.		17,515.	-1,615.
m	88,113.		98,665.	-10,552.
n	251.		590.	-339.
o	10,833.		20,876.	-10,043.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				-90,073.
b				-895,623.
c				-21,811.
d				-51,874.
e				10.
f				-94,561.
g				256,285.
h				-8.
i				-2,490.
j				-19,592.
k				-516.
l				-1,615.
m				-10,552.
n				-339.
o				-10,043.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

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04-01-15

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SOLD THRU UBS WE B8391 SEE ATTACHED	P	Various	
b	SOLD THRU UBS WE B8391 SEE ATTACHED	P	Various	
c	SOLD THRU UBS WE B8395 SEE ATTACHED	P	Various	
d	SOLD THRU UBS WE B8394 SEE ATTACHED	P	Various	
e	SIGMA ALDRICH - GAIN ON MERGER	P	Various	11/18/15
f	CHUBB CORP.- GAIN ON MERGER	P	Various	01/15/16
g	Capital Gains Dividends			
h				
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	14,109.		14,009.	100.
b	381,541.		393,097.	-11,556.
c	34,214.		34,032.	182.
d	19,306.		10,315.	8,991.
e	7,153.			7,153.
f	12,963.			12,963.
g	221,939.			221,939.
h				
i				
j				
k				
l				
m				
n				
o				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				100.
b				-11,556.
c				182.
d				8,991.
e				7,153.
f				12,963.
g				221,939.
h				
i				
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	-703,030.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

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[redacted]

SY SYMS FOUNDATION 22-2617727

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Arthritis Foundation - New York, NY		EXEMPT	CHARITABLE-MEDICAL	6,250.
Barrow Neurological Foundation - Phoenix, AZ		EXEMPT	CHARITABLE MEDICAL	100,000.
Big Brothers, Big Sisters of New York City		EXEMPT	COMMUNITY SERVICE	8,500.
Blanton-Peal Institute and Counseling Centers New York, NY		EXEMPT	COMMUNITY SERVICE	10,000.
Boston University College of Communications		EXEMPT	EDUCATION PROGRAMS	25,000.
Boys Town Jerusalem Foundation of America, Inc.		EXEMPT	EDUCATION PROGRAMS	10,000.
Breast Treatment Task Force		EXEMPT	CHARITABLE MEDICAL	20,000.
Brooklyn Museum		EXEMPT	ARTS/MUSICAL GRANT	5,000.
Children's Cancer & Blood Foundation		EXEMPT	CHARITABLE - MEDICAL	25,000.
Columbia University College of Physicians and Surgeons		EXEMPT	CHARITABLE - MEDICAL	10,000.
Total from continuation sheets				2,404,000.

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SY SY[illegible] FOUNDATION 22-2617727

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Coro New York Leadership Center		EXEMPT	EDUCATION PROGRAMS	5,000.
Doctors Without Borders		EXEMPT	CHARITABLE - MEDICAL	5,000.
Free Press - Washington, DC		EXEMPT	COMMUNITY SERVICE	50,000.
Gesher Foundation USA		EXEMPT	COMMUNITY SERVICE	40,000.
Girl Scouts of Greater New York		EXEMPT	CONSERVATION	25,000.
Hebrew College		EXEMPT	EDUCATION PROGRAMS	25,000.
Institute for Nonprofit Management		EXEMPT	COMMUNITY SERVICE	15,000.
International Pemphigus & Pemphigoid Foundation		EXEMPT	EDUCATION PROGRAMS	75,000.
Israel Tennis Centers Foundation		EXEMPT	COMMUNITY SERVICE	10,000.
Jazz at Lincoln Center, Inc.		EXEMPT	ARTS/MUSICAL GRANT	10,000.
Total from continuation sheets				

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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Jewish Museum in New York		EXEMPT	OPERATING SERVICES	5,000.
Jewish Women's Archive		EXEMPT	COMMUNITY SERVICE	15,000.
Levine Jewish Residential & Family Services West Palm Beach		EXEMPT	COMMUNITY SERVICE	125,000.
Lincoln Center Corporate Fund		EXEMPT	OPERATING SUPPORT	15,000.
Lower East Side Tenement Museum		EXEMPT	EDUCATION PROGRAMS	20,000.
Macaulay Honors College at the City university of New York		EXEMPT	PRE HEALTH ADVISEMENT PROGRAM	25,000.
Manhattan Theatre Club		EXEMPT	ARTS/MUSICAL GRANT	12,500.
Marketplace from American Public Media		EXEMPT	ELECTION COVERAGE	20,000.
Memorial Sloan Kettering Cancer Center		EXEMPT	RESEARCH PROGRAMS	5,000.
MS Foundation for Women		EXEMPT	PROJECT ON EQUITY IN EDUCATION	50,000.
Total from continuation sheets				

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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Mt. Sinai School of Medicine - Heart		EXEMPT	OPERATING SUPPORT	25,000.
Museum of Arts and Design		EXEMPT	PROGRAMS FOR CHILDREN	10,000.
Museum of the City of New York		EXEMPT	OPERATING SUPPORT	5,000.
National Academy Museum & School		EXEMPT	OPERATING SUPPORT	20,000.
National Council of Jewish Women		EXEMPT	OPERATING SUPPORT	5,000.
National Public Radio, Inc.		EXEMPT	GRANT PAYMENTS ARTS/MUSCAL GRANT	600,000.
New York City Rescue Mission		EXEMPT	OPERATING SUPPORT	25,000.
New York Public Radio		EXEMPT	ARTS/MUSICAL GRANT	69,500.
Newark Public Radio - WBGO		EXEMPT	COMMUNITY SERVICE	10,000.
Pen American Center		EXEMPT	ANTI-SURVEILLANCE ADVOCACY PROGRAMS	5,000.
Total from continuation sheets				

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04-01-15

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Project Sunshine		EXEMPT	IMPLEMENT GROUNDBREAKING VOLUNTEER DATA BASE SYSTEMS	65,000.
RE: Gender, New York, NY		EXEMPT	COMMUNITY SERVICE	10,000.
Rockefeller University - Women & Science Initiative		EXEMPT	RECRUIT FEMALE FACULTY	25,000.
Sanctuary for Families		EXEMPT	GENERAL OPERATING	5,000.
Seeds of Peace		EXEMPT	GENERAL OPERATING	5,000.
Simon Wiesenthal Center		EXEMPT	CONSERVATION	10,000.
Symphony Space		EXEMPT	GLOBAL ARTS ENUCATION PROGRAM	10,000.
Tanenbaum Center for Interreligious Understanding		EXEMPT	EDUCATION PROGRAMS	25,000.
The 92nd Street Y		EXEMPT	ARTS/MUSICAL GRANT	5,000.
The American Academy of Child and Adolescent Psychiatry - Washington D.C.		EXEMPT	CHARITABLE - MEDICAL	10,000.
Total from continuation sheets				

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04-01-15

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
The Education Fund of the Women's Forum Inc.		EXEMPT	UNDERWRITE PRODUCTION OF VIDEO	10,000.
Visiting Nurse Services of New York		EXEMPT	NURSE CARE	5,000.
WNET - New York Public Media		EXEMPT	COMMUNITY SERVICE	97,250.
Women's City Club of New York		EXEMPT	ANNIVERSARY/CONNECTION BOROUGHS	25,000.
Women's Enews		EXEMPT	JOURNALISM PROGRAM	50,000.
Yeshiva University, New York, NY			EDUCATION PROGRAMS	500,000.
Total from continuation sheets				

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Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

Source	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
UBS FINANCIAL SERVICES	365.	365.	
WELLS FARGO	761.	761.	
Total to Part I, line 3	1,126.	1,126.	

Form 990-PF Dividends and Interest from Securities Statement 2

Source	Gross Amount	Capital Gains Dividends	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
BNY MELLON DIVIDENDS	212,177.	0.	212,177.	212,177.	
BNY MELLON DIVIDENDS CGD	95,721.	95,721.	0.	0.	
BNY MELLON INTEREST	1,044,904.	0.	1,044,904.	1,044,904.	
UBS FINANCIAL DIVIDENDS	5,020.	0.	5,020.	5,020.	
WELLS FARGO DIVIDENDS	129,409.	0.	129,409.	129,409.	
WELLS FARGO DIVIDENDS CGD	126,218.	126,218.	0.	0.	
To Part I, line 4	1,613,449.	221,939.	1,391,510.	1,391,510.	

Form 990-PF Accounting Fees Statement 3

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
ACCOUNTING FEES	15,000.	7,500.		7,500.
To Form 990-PF, Pg 1, ln 16b	15,000.	7,500.		7,500.

[redacted]

Form 990-PF Other Professional Fees Statement 4

Description	(a) Expenses Per Books	(b) Net Invest-ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
INVESTMENT ADVISORY - BANK OF NY	168,514.	168,514.		0.
INVESTMENT ADVISORY - WELLS FARGO	31,024.	31,024.		0.
INVESTMENT ADVISORY - UBS FINANCIAL	13,826.	13,826.		0.
To Form 990-PF, Pg 1, ln 16c	213,364.	213,364.		0.

Form 990-PF Taxes Statement 5

Description	(a) Expenses Per Books	(b) Net Invest-ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
FOREIGN TAXES PAID	11,147.	11,147.		0.
To Form 990-PF, Pg 1, ln 18	11,147.	11,147.		0.

Form 990-PF Other Expenses Statement 6

Description	(a) Expenses Per Books	(b) Net Invest-ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
WEBSITE MAINTINANCE	5,280.	2,640.		2,640.
INSURANCE	6,519.	3,259.		3,260.
To Form 990-PF, Pg 1, ln 23	11,799.	5,899.		5,900.

Footnotes Statement 7

Form 990-PF	U.S. and State/City Government Obligations	Statement 8

Description	U.S. Gov't	Other Gov't	Book Value	Fair Market Value
U.S. GOVERNMENT OBLIGATIONS - HELD AT BNY MELLON SEE ATTACHED	X		2,506,519.	2,534,705.
Total U.S. Government Obligations			2,506,519.	2,534,705.
Total State and Municipal Government Obligations				
Total to Form 990-PF, Part II, line 10a			2,506,519.	2,534,705.

Form 990-PF	Corporate Stock	Statement 9

Description	Book Value	Fair Market Value
CORPORATE STOCK & OTHER EQUITIES - HELD AT BNY MELLON SEE ATTACHED	9,374,247.	9,765,835.
CORPORATE STOCK & OTHER EQUITIES - HELD AT UBS 8391 SEE ATTACHED	3,249,873.	2,731,267.
CORPORATE STOCK & OTHER EQUITIES - HELD AT UBS 8392 SEE ATTACHED	919,916.	1,119,299.
CORPORATE STOCK & OTHER EQUITIES - HELD AT UBS 8395 SEE ATTACHED	484,078.	438,912.
CORPORATE STOCK & OTHER EQUITIES - HELD AT UBS 8394 SEE ATTACHED	445,841.	492,172.
CORPORATE STOCK & OTHER EQUITIES - HELD AT UBS 8393 SEE ATTACHED	755,363.	708,599.
Total to Form 990-PF, Part II, line 10b	15,229,318.	15,256,084.

Form 990-PF	Corporate Bonds	Statement 10

Description	Book Value	Fair Market Value
BONDS - HELD AT BNY MELLON SEE ATTACHED	19,464,072.	19,896,284.
Total to Form 990-PF, Part II, line 10c	19,464,072.	19,896,284.

Form 990-PF Other Investments Statement 11

Description	Valuation Method	Book Value	Fair Market Value
HSBC BANK NA DE NTS DUE 4/6/2022	FMV	250,000.	217,650.
JPMORGAN CHASE & C DE DUE 4/06/2022	FMV	200,000.	180,080.
MERCANTILE CAPITAL PARTNERS	COST	2,365.	2,365.
Total to Form 990-PF, Part II, line 13		452,365.	400,095.

BNY mellon

Fixed income

Taxable

Individual securities Treasury

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Average tax cost	Estimated annual income	Current yield	Pct of holdings
205,000	United States Treasury Note DTD 9/30/2012 0.625% 9/30/2017 Cusip: 912828TS9 Moody's: AAA S&P: AA+	$ 99.9100	$ 204,815.50	$ 201,987.98	$ 2,827.52	$ 98.530	$ 1,281.25	0.6%	0.6%
100,000	United States Treasury Note DTD 10/31/2012 0.75% 10/31/2017 Cusip: 912828TW0 Moody's: AAA S&P: AA+	100.0630	100,063.00	99,729.12	333.88	99.730	750.00	0.8	0.3
80,000	United States Treasury Note 11/30/2015 0.875% 11/30/2017 Cusip: 912828M72 Moody's: AAA S&P: AA+	100.2500	80,200.00	80,148.44	51.56	100.190	700.00	0.9	0.2
140,000	United States Treasury Note DTD 1/31/2011 2.625% 1/31/2018 Cusip: 912828PT1 Moody's: AAA S&P: AA+	103.2310	144,523.40	145,322.86	-799.46	103.800	3,675.00	2.5	0.4
150,000	United States Treasurey Note DTD 1/15/2016 1.125% 1/15/2019 Cusip: 912828N63 Moody's: AAA S&P: AA+	100.6290	150,943.50	150,157.03	786.47	100.100	1,687.50	1.1	0.5

Fixed income

Taxable

Individual securities Treasury
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Average tax cost	Estimated annual income	Current yield	Pct of holdings
54,823	U S Treasury Inflation Indexed Bond DTD 1/15/2010 1.375% 1/15/2020 Cusip: 912828MF4 Moody's: AAA S&P: AA+	106.8980	58,604.69	65,351.14	-6,746.45	119.200	753.82	1.3	0.2
40,499.60	U S Treasury Inflation Indexed Bond DTD 4/15/2015 0.125% 4/15/2020 Cusip: 912828K33 Moody's: AAA S&P: AA+	102.1070	41,352.93	40,143.26	1,209.67	99.120	50.62	0.1	[illegible]
90,000	United States Treasury Note DTD 2/29/2016 1.125% 2/28/2021 Cusip: 912828P87 Moody's: AAA S&P: AA+	99.3560	89,420.40	89,232.43	187.97	99.150	1,012.50	1.1	0.3
105,200	United States Treasury Inflation Protected Security DTD 7/15/2011 0.625% 7/15/2021 Cusip: 912828QV5 Moody's: AAA S&P: AA+	105.0460	110,508.39	108,757.58	1,750.81	103.380	657.50	0.6	0.3
654,000	United States Treasury Note DTD 8/15/1993 6.25% 8/15/2023 Cusip: 912810EQ7 Moody's: AAA S&P: AA+	132.1130	864,019.02	857,334.85	6,684.17	131.090	40,875.00	4.7	2.6
237,000	United States Treasury Notes DTD 11/15/2014 2.25% 11/15/2024 Cusip: 912828G38 Moody's: AAA S&P: AA+	103.9880	246,451.56	238,885.85	7,565.71	100.793	5,332.50	2.2	0.7
94,983.85	US Treasury Inflation Indexed Bond DTD 7/31/2015 0.375% 7/15/2025 Cusip: 912828XL9 Moody's: AAA S&P: AA+	102.6910	97,539.87	94,328.61	3,211.26	99.310	356.19	0.4	[illegible]
135,000	United States Treasury Note DTD 2/15/2009 3.5% 2/15/2039 Cusip: 912810QA9 Moody's: AAA S&P: AA+	118.0700	159,394.50	154,211.13	5,183.37	114.230	4,725.00	3.0	0.5
145,000	United States Treasury Bond DTD 5/15/2015 3% 5/15/2045 Cusip: 912810RM2 Moody's: AAA S&P: AA+	106.9880	155,132.60	142,623.83	12,508.77	98.360	4,350.00	2.8	0.5
40,000	United States Treasury Bond DTD 8/15/2015 2.875% 8/15/2045 Cusip: 912810RN0 Moody's: AAA S&P: AA+	104.3400	41,736.00	38,304.69	3,431.31	95.760	1,150.00	2.8	0.1
	TOTAL US Treasury		2,534,705.36	2506518.80					

Individual securities Corporate - Bonds   . BNY mellon

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Average tax cost	Estimated annual income	Current yield	Pct of holdings
25,000	Hydro-Quebec DTD 6/30/2011 2% 6/30/2016 Cusip: 448814JB0 Moody's: AA2 S&P: A+	$ 100.1970	$ 25,049.25	$ 24,995.25	$ 54.00	$ 99.980	$ 500.00	2.0%	0.1%
15,000	Petrobras Intl Fin Co DTD 10/6/2006 6.125% 10/6/2016 Cusip: 71645WAL5 Moody's: B3 S&P: B+	101.0000	15,150.00	15,112.50	37.50	100.750	918.75	6.1	0.0
50,000	Wachovia Corp DTD 10/23/06 5.625% 10/15/2016 Cusip: 929903CH3 Moody's: A3 S&P: A-	102.1130	51,056.50	55,257.00	-4,200.50	110.510	2,812.50	5.5	0.2
55,000	Ford Motor Credit Co LLC DTD 6/12/2012 3% 6/12/2017 Cusip: 345397WD1 Moody's: BAA2 S&P: BBB	101.5870	55,872.85	54,995.30	877.55	99.990	1,650.00	3.0	0.2
70,000	Royal Bank Of Canada DTD 6/16/2014 1.25% 6/16/2017 Cusip: 78010UD20 Moody's: AA3 S&P: AA-	100.0170	70,011.90	69,973.40	38.50	99.960	875.00	1.3	0.2
70,000	Blackrock Inc DTD 9/17/2007 6.25% 9/15/2017 Cusip: 09247XAC5 Moody's: A1 S&P: AA-	106.9860	74,890.20	86,297.40	-11,407.20	123.280	4,375.00	5.8	0.2
70,000	Nyse Euronext DTD 10/5/2012 2% 10/5/2017 Cusip: 629491AB7 Moody's: A2 S&P: A	100.9610	70,672.70	69,768.30	904.40	99.670	1,400.00	2.0	0.2
40,000	Abbvie Inc DTD 5/14/2015 1.8% 5/14/2018 Cusip: 00287YAN9 Moody's: BAA1 S&P: A-	100.6960	40,278.40	39,959.20	319.20	99.900	720.00	1.8	0.1
60,000	Toyota Motor Credit Corp DTD 7/13/2015 1.55% 7/13/2018 Cusip: 89236TCP8 Moody's: AA3 S&P: AA-	100.9300	60,558.00	59,867.40	690.60	99.780	930.00	1.5	0.2
40,000	Verizon Communications Inc DTD 9/18/2013 3.65% 9/14/2018 Cusip: 92343VBP8 Moody's: BAA1 S&P: BBB+	105.3040	42,121.60	42,113.20	8.40	105.280	1,460.00	3.5	0.1
50,000	Citigroup Inc DTD 9/26/2013 2.5% 9/26/2018 Cusip: 172967HC8 Moody's: BAA1 S&P: BBB+	101.7740	50,887.00	50,433.00	454.00	100.870	1,250.00	2.5	0.2

Individual securities Corporate
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Average tax cost	Estimated annual income	Current yield	Pct of holdings
55,000	Bank Of America Corp DTD 10/22/2013 2.6% 1/15/2019 Cusip: 06051GEX3 Moody's: BAA1 S&P: BBB+	101.7380	55,955.90	55,153.45	802.45	100.280	1,430.00	2.6	0.2
50,000	Oracle Corp DTD 7/16/2013 2.375% 1/15/2019 Cusip: 68389XAQ8 Moody's: A1 S&P: AA-	103.4190	51,709.50	50,708.00	1,001.50	101.420	1,187.50	2.3	0.2
70,000	Met Life Glob Funding I DTD 2/17/2009 7.717% 2/15/2019 Cusip: 59156RAT5 Moody's: A3 S&P: A-	115.8280	81,079.60	91,504.00	-10,424.40	130.720	5,401.90	6.7	0[illegible]
45,000	Exxon Mobil Corporation DTD 3/3/2016 1.708% 3/1/2019 Cusip: 30231GAP7 Moody's: AAA S&P: AA+	101.3760	45,619.20	45,117.40	501.80	100.260	768.60	1.7	0.1
35,000	BP Cap Mkts P L C DTD 3/10/2009 4.75% 3/10/2019 Cusip: 05565QBJ6 Moody's: A2 S&P: A-	108.3130	37,909.55	38,872.05	-962.50	111.060	1,662.50	4.4	0.1
50,000	Citigroup Inc DTD 7/29/2014 2.5% 7/29/2019 Cusip: 172967HU8 Moody's: BAA1 S&P: BBB+	101.6800	50,840.00	49,618.50	1,221.50	99.240	1,250.00	2.5	0.2
60,000	Ontario (Province Of) DTD 10/7/2009 4% 10/7/2019 Cusip: 6832348D3 Moody's: AA2 S&P: A+	108.0150	64,809.00	59,896.80	4,912.20	99.830	2,400.00	3.7	0.2
25,000	Enterprise Products Oper DTD 10/14/2014 2.55% 10/15/2019 Cusip: 29379VBD4 Moody's: BAA1 S&P: BBB+	101.6470	25,411.75	25,322.75	89.00	101.290	637.50	2.5	0.1
70,000	Pepsico Inc DTD 1/14/2010 4.5% 1/15/2020 Cusip: 713448BN7 Moody's: A1 S&P: A	111.0080	77,705.60	81,482.10	-3,776.50	116.400	3,150.00	4.1	0.2
75,000	Simon Property Group LP DTD 1/25/2010 5.65% 2/1/2020 Cusip: 828807CD7 Moody's: A2 S&P: A	113.1480	84,861.00	90,338.25	-5,477.25	120.450	4,237.50	5.0	0.3
60,000	Credit Suisse Grp Fun Ltd DTD 9/26/2015 2.75% 3/26/2020 Cusip: 225433AD3 Moody's: BAA3 S&P: BBB+	99.2770	59,566.20	59,350.20	216.00	98.920	1,650.00	2.8	0.2

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Individual securities Corporate
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Average tax cost	Estimated annual income	Current yield	Pct of holdings
80,000	Telefonica Emisiones Sau DTD 4/26/2010 5.134% 4/27/2020 Cusip: 87938WAM5 Moody's: BAA2 S&P: BBB	110.6170	88,493.60	84,951.20	3,542.40	106.190	4,107.20	4.6	0.3
275,000	CF Industries Inc DTD 4/23/2010 7.125% 5/1/2020 Cusip: 12527GAB9 Moody's: BAA2 S&P: BBB-	114.8950	315,961.25	336,574.20	-20,612.95	122.390	19,593.75	6.2	0.9
45,000	Scripps Networks Interac DTD 6/2/2015 2.8% 6/15/2020 Cusip: 811065AE1 Moody's: BAA3 S&P: BBB	101.1370	45,511.65	44,279.10	1,232.55	98.400	1,260.00	2.8	0.1
35,000	Celgene Corp DTD 8/12/2015 2.875% 8/15/2020 Cusip: 151020AQ7 Moody's: BAA2 S&P: BBB+	103.5680	36,248.80	34,955.40	1,293.40	99.870	1,006.25	2.8	0.1
60,000	Biogen Inc DTD 9/15/2015 2.9% 9/15/2020 Cusip: 09062XAC7 Moody's: BAA1 S&P: A-	103.6290	62,177.40	60,461.85	1,715.55	100.770	1,740.00	2.8	0.2
133,000	Intl Lease Finance Corp DTD 12/7/2010 8.25% 12/15/2020 Cusip: 459745GF6 Moody's: BA2 S&P: BBB-	118.1250	157,106.25	154,961.25	2,145.00	116.510	10,972.50	7.0	0.5
75,000	Rabobank Nederland DTD 1/11/2011 4.5% 1/11/2021 Cusip: 21685WBT3 Moody's: AA2 S&P: A+	110.5410	82,905.75	82,090.40	815.35	109.450	3,375.00	4.1	0.2
85,000	HSBC Finance Corp DTD 7/15/2011 6.676% 1/15/2021 Cusip: 40429CGD8 Moody's: BAA2 S&P: A-	114.8910	97,657.35	99,002.90	-1,345.55	116.470	5,674.60	5.8	0.3
45,000	Kroger Co/The DTD 1/15/2016 2.6% 2/1/2021 Cusip: 501044DB4 Moody's: BAA2 S&P: BBB	102.9290	46,318.05	45,450.95	867.10	101.000	1,170.00	2.5	0.1
90,000	General Elec Cap Corp DTD 2/11/2011 5.3% 2/11/2021 Cusip: 369622SM8 Moody's: A2 S&P: AA	115.4250	103,882.50	103,053.15	829.35	114.500	4,770.00	4.6	0.3
155,000	Lennar Corp DTD 3/4/2016 4.75% 4/1/2021 Cusip: 526057BX1 Moody's: BA2 S&P: BB	104.1500	161,432.50	155,387.50	6,045.00	100.250	7,362.50	4.6	0.5

Individual securities Corporate
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Average tax cost	Estimated annual income	Current yield	Pct of holdings
115,000	T-Mobile USA Inc DTD 10/1/2013 6.25% 4/1/2021 Cusip: 87264AAK1 Moody's: BA3 S&P: BB	104.2500	119,887.50	119,312.50	575.00	103.750	7,187.50	6.0	0.4
108,000	T-Mobile USA Inc DTD 07/28/2013 6.633% 04/28/2021 Cusip: 87264AAD7 Moody's: BA3 S&P: BB	105.3750	113,805.00	112,230.00	1,575.00	103.920	7,163.64	6.3	0.3
70,000	AT&T Inc DTD 4/29/2011 4.45% 5/15/2021 Cusip: 00206RAX0 Moody's: BAA1 S&P: BBB+	110.0210	77,014.70	71,779.90	5,234.80	102.540	3,115.00	4.0	[illegible]
60,000	Boston Properties LP DTD 11/18/2010 4.125% 5/15/2021 Cusip: 10112RAS3 Moody's: BAA2 S&P: A-	107.9210	64,752.60	65,220.60	-468.00	108.700	2,475.00	3.8	0.2
136,000	Morgan Stanley DTD 7/28/2011 5.5% 7/28/2021 Cusip: 61747WAL3 Moody's: A3 S&P: BBB+	113.6040	154,501.44	132,969.68	21,531.76	97.770	7,480.00	4.8	0.5
65,000	Burlingtn North Santa Fe DTD 8/22/2011 3.45% 9/15/2021 Cusip: 12189LAF8 Moody's: A3 S&P: A	107.9690	70,179.85	67,628.60	2,551.25	104.040	2,242.50	3.2	0.2
75,000	Time Warner Inc DTD 10/17/2011 4% 1/15/2022 Cusip: 887317AN5 Moody's: BAA2 S&P: BBB	107.3290	80,496.75	79,166.25	1,330.50	105.560	3,000.00	3.7	0.2
75,000	Petroleos Mexicanos DTD 7/24/2012 4.875% 1/24/2022 Cusip: 71654QBB7 Moody's: BAA3 S&P: BBB+	100.7500	75,562.50	78,715.00	-3,152.50	104.950	3,656.25	4.8	0.2
50,000	Arrow Electronics Inc DTD 3/2/2015 3.5% 4/1/2022 Cusip: 042735BD1 Moody's: BAA3 S&P: BBB-	99.9130	49,956.50	49,797.20	159.30	99.590	1,750.00	3.5	0.2
35,000	Apache Corp DTD 4/9/2012 3.25% 4/15/2022 Cusip: 037411AZ8 Moody's: BAA3 S&P: BBB	99.6110	34,863.85	34,320.00	543.85	98.060	1,137.50	3.3	0.1
75,000	A.B.B. Finance USA Inc DTD 5/8/2012 2.875% 5/8/2022 Cusip: 00037BAB8 Moody's: A2 S&P: A	102.8350	77,126.25	77,699.10	-572.85	103.600	2,156.25	2.8	0.2

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BNY MELLON

Individual securities Corporate
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Average tax cost	Estimated annual income	Current yield	Pct of holdings
40,000	Orcale Corp DTD 5/5/2015 2.5% 5/15/2022 Cusip: 68389XBB0 Moody's: A1 S&P: AA-	102.1450	40,858.00	40,103.20	754.80	100.260	1,000.00	2.5	0.1
160,000	Q V C Inc DTD 1/2/2013 5.125% 7/2/2022 Cusip: 747262AH6 Moody's: BA2 S&P: BBB-	105.7410	169,185.60	161,844.80	7,340.80	101.150	8,200.00	4.9	0.5
75,000	Comcast Corp DTD 7/2/2012 3.125% 7/15/2022 Cusip: 20030NBD2 Moody's: A3 S&P: A-	105.9980	79,498.50	76,632.25	2,866.25	102.180	2,343.75	3.0	0[illegible]
50,000	EBAY Inc DTD 7/24/2012 2.6% 7/15/2022 Cusip: 278642AE3 Moody's: BAA1 S&P: BBB+	97.5440	48,772.00	47,045.50	1,726.50	94.090	1,300.00	2.7	0.1
60,000	Eastman Chemical Co DTD 6/5/12 3.60% 8/15/22 Cusip: 277432AN0 Moody's: BAA2 S&P: BBB	104.8020	62,881.20	62,908.20	-27.00	104.850	2,160.00	3.4	0.2
100,000	Morgan Stanley DTD 10/23/12 4.875% 11/1/22 Cusip: 6174824M3 Moody's: BAA2 S&P: BBB-	108.8010	108,801.00	102,560.25	6,240.75	102.560	4,875.00	4.5	0.3
25,000	Halliburton Co DTD 11/13/2015 3.375% 11/15/2022 Cusip: 406216BH3 Moody's: A2 S&P: A	101.8750	25,468.75	24,979.75	489.00	99.920	843.75	3.3	0.1
80,000	Amazon.Com Inc DTD 11/29/2012 2.5% 11/29/2022 Cusip: 023135AJ5 Moody's: BAA1 S&P: AA-	102.6430	82,114.40	79,325.55	2,788.85	99.160	2,000.00	2.4	0.2
35,000	Intel Corp DTD 12/11/2012 2.70% 12/15/2022 Cusip: 458140AM2 Moody's: A1 S&P: A+	103.6640	36,282.40	34,500.85	1,781.55	98.570	945.00	2.6	0.1
152,000	Owens Corning Inc DTD 10/22/2012 4.2% 12/15/2022 Cusip: 690742AD3 Moody's: BA1 S&P: BBB-	102.8800	156,377.60	151,616.96	4,760.64	99.750	6,384.00	4.1	0.5
146,000	Crown Castle Intl Corp DTD 10/15/2012 5.25% 1/15/2023 Cusip: 228227BD5 Moody's: BAA3 S&P: BBB-	111.0000	162,060.00	154,791.25	7,268.75	106.020	7,665.00	4.7	0.5

Individual securities Corporate
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Average tax cost	Estimated annual income	Current yield	Pct of holdings
118,000	C C O Holdings LLC/Cap Corp DTD 12/17/2012 5.125% 2/15/2023 Cusip: 1248EPAZ6 Moody's: B1 S&P: BB-	102.5000	120,950.00	116,525.00	4,425.00	98.750	6,047.50	5.0	0.4
160,000	Host Hotels & Resorts LP DTD 8/9/2012 4.75% 3/1/2023 Cusip: 44107TAT3 Moody's: BAA2 S&P: BBB	105.3670	168,587.20	170,400.00	-1,812.80	106.500	7,600.00	4.5	0.5
235,000	Newell Rubbermaid Inc DTD 3/30/2016 3.85% 4/1/2023 Cusip: 651229AV8 Moody's: BAA3 S&P: BBB-	104.5620	245,720.70	234,927.15	10,793.55	99.970	9,047.50	3.7	0.[illegible]
75,000	JP Morgan Chase & Co DTD 5/1/2013 3.375% 5/1/2023 Cusip: 46625HJJ0 Moody's: BAA1 S&P: BBB+	101.1210	75,840.75	73,589.25	2,251.50	98.120	2,531.25	3.3	0.2
190,000	General Motors Finl Co DTD 11/15/2013 4.25% 5/15/2023 Cusip: 37045XAL0 Moody's: BA1 S&P: BBB-	102.9450	195,595.50	189,746.70	5,848.80	99.870	8,075.00	4.1	0.6
139,000	Verizon Communications DTD 9/18/2013 5.15% 9/15/2023 Cusip: 92343VBR4 Moody's: BAA1 S&P: BBB+	115.0090	159,862.51	144,369.57	15,492.94	103.860	7,158.50	4.5	0.5
153,000	H C A Inc DTD 3/17/2014 5% 3/15/2024 Cusip: 404119BN8 Moody's: BA1 S&P: BBB-	103.5000	158,355.00	162,372.50	-4,017.50	106.130	7,650.00	4.8	0.5
150,000	Lear Corp DTD 3/14/2014 5.375% 3/15/2024 Cusip: 521865AV7 Moody's: BA1 S&P: BBB-	106.2500	159,375.00	153,400.00	5,975.00	102.270	8,062.50	5.1	0[illegible]
100,000	Cedar Fair LP/Canada's W DTD 5/21/2015 5.375% 6/1/2024 Cusip: 150191AJ3 Moody's: B1 S&P: BB-	104.3750	104,375.00	104,500.00	-125.00	104.500	5,375.00	5.2	0.3
65,000	Fidelity Nationalinform DTD 6/3/2014 3.875% 6/5/2024 Cusip: 31620MAM8 Moody's: BAA3 S&P: BBB	102.1710	66,411.15	67,802.15	-1,391.00	104.310	2,518.75	3.8	0.2
155,000	Ally Financial Inc DTD 9/29/2014 5.125% 9/30/2024 Cusip: 02005NAV2 Moody's: BA3 S&P: BB+	104.7500	162,362.50	157,421.10	4,941.40	101.560	7,943.75	4.9	0.5

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BNY MELLON

Individual securities Corporate
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Average tax cost	Estimated annual income	Current yield	Pct of holdings
130,000	Weyerhaeuser Co DTD 1/15/1995 8.5% 1/15/2025 Cusip: 962166AT1 Moody's: BAA2 S&P: BBB-	130.8850	170,150.50	169,410.80	739.70	130.320	11,050.00	6.5	0.5
45,000	Adobe Systems Inc DTD 1/26/2015 3.25% 2/1/2025 Cusip: 00724FAC5 Moody's: BAA1 S&P: A-	103.4860	46,568.70	44,568.00	2,000.70	99.040	1,462.50	3.1	0.1
159,000	Actavis Funding Scs DTD 3/12/2015 3.8% 3/15/2025 Cusip: 00507UAS0 Moody's: BAA3 S&P: BBB-	102.6670	163,240.53	161,628.27	1,612.26	101.650	6,042.00	3.7	0.5
185,000	Borgwarner Inc DTD 3/16/2015 3.375% 3/15/2025 Cusip: 099724AJ5 Moody's: BAA1 S&P: BBB+	98.7200	182,632.00	186,722.35	-4,090.35	100.930	6,243.75	3.4	0.5
30,000	Spectra Energy Partners DTD 3/12/2015 3.5% 3/15/2025 Cusip: 84756NAF6 Moody's: BAA2 S&P: BBB	99.4290	29,828.70	29,887.00	-58.30	99.620	1,050.00	3.5	0.1
85,000	Bank Of America Corp DTD 4/21/2015 3.95% 4/21/2025 Cusip: 06051GFP9 Moody's: BAA3 S&P: BBB	99.8650	84,885.25	84,754.35	130.90	99.710	3,357.50	4.0	0.3
155,000	Ball Corp DTD 6/25/2015 5.25% 7/1/2025 Cusip: 058498AT3 Moody's: BA1 S&P: BB+	105.1880	163,041.40	154,737.50	8,303.90	99.830	8,137.50	5.0	0.5
265,000	Bank Of America Corp DTD 7/30/2015 3.875% 8/1/2025 Cusip: 06051GFS3 Moody's: BAA1 S&P: BBB+	103.8450	275,189.25	271,526.95	3,662.30	102.460	10,268.75	3.7	0.8
240,000	Automatic Data Processng DTD 9/15/2015 3.375% 9/15/2025 Cusip: 053015AE3 Moody's: AA3 S&P: AA	107.4520	257,884.80	242,395.20	15,489.60	101.000	8,100.00	3.1	0.8
154,000	National Rural Util Coop DTD 10/27/2015 3.25% 11/1/2025 Cusip: 637432NG6 Moody's: A1 S&P: A	105.3970	162,311.38	155,171.94	7,139.44	100.760	5,005.00	3.1	0.5
153,000	Visa Inc DTD 12/14/2015 3.15% 12/14/2025 Cusip: 92826CAD4 Moody's: A1 S&P: A+	104.8440	160,411.32	152,440.02	7,971.30	99.630	4,819.50	3.0	0.5

BNY Mellon

Individual securities Corporate
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Average tax cost	Estimated annual income	Current yield	Pct of holdings
150,000	Rogers Communications In DTD 12/8/2015 3.625% 12/15/2025 Cusip: 775109BE0 Moody's: BAA1 S&P: BBB+	105.9450	158,917.50	152,746.50	6,171.00	101.830	5,437.50	3.4	0.5
151,000	McDonald's Corp DTD 12/9/2015 3.7% 1/30/2026 Cusip: 58013MEY6 Moody's: BAA1 S&P: BBB+	107.0780	161,687.78	151,828.99	9,858.79	100.550	5,587.00	3.5	0.5
227,000	Anheuser-Busch Inbev Fin DTD 1/25/2016 3.65% 2/1/2026 Cusip: 035242AP1 Moody's: N/A S&P: A-	105.4100	239,280.70	231,460.12	7,820.58	101.960	8,285.50	3.5	0[illegible]
152,000	American Tower Corp DTD 1/12/2016 4.4% 2/15/2026 Cusip: 03027XAJ9 Moody's: BAA3 S&P: BBB-	107.7810	163,827.12	153,242.64	10,584.48	100.820	6,688.00	4.1	0.5
50,000	Masco Corp DTD 3/17/2016 4.375% 4/1/2026 Cusip: 574599BL9 Moody's: BA2 S&P: BBB	103.0000	51,500.00	51,155.50	344.50	102.310	2,187.50	4.3	0.2
35,000	Exelon Corp 4/7/2016 3.4% 4/15/2026 Cusip: 30161NAU5 Moody's: BAA2 S&P: BBB-	102.6020	35,910.70	35,050.40	860.30	100.140	1,190.00	3.3	0.1
157,000	Omnicom Group Inc DTD 4/6/2016 3.6% 4/15/2026 Cusip: 68217FAA0 Moody's: BAA1 S&P: BBB+	104.1140	163,458.98	156,853.99	6,604.99	99.910	5,652.00	3.5	0.5
135,000	Ford Mtr Co DTD 7/16/99 7.45% Due 7/16/2031 Cusip: 345370CA6 Moody's: BAA2 S&P: BBB	132.7120	179,161.20	173,812.50	5,348.70	128.750	10,057.50	5.6	0.5
60,000	Weyerhaeuser Co DTD 9/15/2002 7.375% 3/15/2032 Cusip: 962166BR4 Moody's: BAA2 S&P: BBB-	124.4790	74,687.40	78,553.60	-3,866.20	130.920	4,425.00	5.9	0.2
257,000	Goldman Sachs Group Inc Sr NT DTD 2/13/2003 6.125% 2/15/2033 Cusip: 38141GCU6 Moody's: A3 S&P: BBB+	122.4480	314,691.36	265,768.40	48,922.96	103.410	15,741.25	5.0	0.9
125,000	Comcast Corp DTD 3/14/2003 7.05% 3/15/2033 Cusip: 20030NAC5 Moody's: A3 S&P: A-	137.9450	172,431.25	150,358.35	22,072.90	120.290	8,812.50	5.1	0.5

BNY MELLON

Individual securities Corporate
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Average tax cost	Estimated annual income	Current yield	Pct of holdings
152,000	Tyson Foods Inc DTD 8/8/2014 4.875% 8/15/2034 Cusip: 902494AZ6 Moody's: BAA3 S&P: BBB	109.7600	166,835.20	154,746.64	12,088.56	101.810	7,410.00	4.4	0.5
71,000	C V S Health Corp DTD 7/20/2015 4.875% 7/20/2035 Cusip: 126650CM0 Moody's: BAA1 S&P: BBB+	112.0950	79,587.45	71,665.91	7,921.54	100.937	3,461.25	4.4	0.2
60,000	21ST Centy Fox Amer Inc DTD 03/02/2007 6.15% 03/01/2037 Cusip: 90131HBK0 Moody's: BAA1 S&P: BBB+	122.1050	73,263.00	74,517.60	-1,254.60	124.200	3,690.00	5.0	0.[illegible]
193,000	Burlington North Santa F DTD 4/13/2007 6.15% 5/1/2037 Cusip: 12189TAZ7 Moody's: A3 S&P: A	129.4020	249,745.86	244,008.13	5,737.73	126.430	11,869.50	4.8	0.7
70,000	Goldman Sachs Grp Inc DTD 10/3/2007 6.75% 10/1/2037 Cusip: 38141GFD1 Moody's: BAA2 S&P: BBB-	121.2280	84,859.60	76,563.90	8,295.70	109.380	4,725.00	5.6	0.3
135,000	C V S Health Corp DTD 9/11/2009 6.125% 9/15/2039 Cusip: 126650BR0 Moody's: BAA1 S&P: BBB+	128.3860	173,321.10	160,922.70	12,398.40	119.200	8,268.75	4.8	0.5
70,000	Amgen Inc DTD 6/30/2011 5.65% 6/15/2042 Cusip: 031162BH2 Moody's: BAA1 S&P: A	120.6400	84,448.00	85,080.10	-632.10	121.540	3,955.00	4.7	0.3
35,000	Verizon Communications DTD 9/18/2013 6.55% 9/15/2043 Cusip: 92343VBT0 Moody's: BAA1 S&P: BBB+	131.9040	46,166.40	41,163.85	5,002.55	117.610	2,292.50	5.0	0.1
60,000	Microsoft Corp DTD 2/12/2015 3.75% 2/12/2045 Cusip: 594918BD5 Moody's: AAA S&P: AAA	99.5590	59,735.40	59,295.60	439.80	98.830	2,250.00	3.8	0.2
40,000	Apple Inc DTD 5/13/2015 4.375% 5/13/2045 Cusip: 037833BH2 Moody's: AA1 S&P: AA+	105.9430	42,377.20	39,622.60	2,754.60	99.060	1,750.00	4.1	0.1
65,000	Intel Corp DTD 7/29/2015 4.9% 7/29/2045 Cusip: 458140AT7 Moody's: A1 S&P: A+	114.4980	74,423.70	67,072.70	7,351.00	103.190	3,185.00	4.3	0.2

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Individual securities Corporate
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Average tax cost	Estimated annual income	Current yield	Pct of holdings
50,000	Wells Fargo & Company DTD 11/17/2015 4.9% 11/17/2045 Cusip: 94974BGQ7 Moody's: A3 S&P: A-	108.1260	54,063.00	50,491.50	3,571.50	100.980	2,450.00	4.5	0.2
65,000	Anheuser-Bush Inbev Fin DTD 1/25/2016 4.9% 2/1/2046 Cusip: 035242AN6 Moody's: N/A S&P: A-	113.4060	73,713.90	67,101.00	6,612.90	103.230	3,185.00	4.3	0.2
143,000	Jpmorgan Chase & Co Preferred Cusip: 46625HJQ4 Moody's: BAA3 S&P: BBB-	110.6350	158,208.05	153,653.50	4,554.55	107.450	9,652.50	6.1	0.[illegible]
147,000	Wells Fargo & Company DTD 01/23/2015 Fltg Rate 12/25/2050 Cusip: 949746RN3 Moody's: BAA2 S&P: BBB	106.8130	157,015.11	152,880.00	4,135.11	104.000	8,636.25	5.5	0.5

Individual securities Mortgage backed securities

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Average tax cost	Estimated annual income	Current yield	Pct of holdings
201,241.67	Federal National Mortgage Assn Passthru CTF Pool Number 897936 DTD 9/1/2006 5.5% 8/1/2021 Cusip: 31410USZ6 Moody's: N/A S&P: N/A	$ 107.5410	$ 216,417.30	$ 226,199.34	$ -9,782.04	$ 112.400	$ 11,068.29	5.1%	0.6%
69,581.03	Federal Home Loan Mortgage Corp Gold Passthru CTF Pool Number G14351 DTD 1/1/2012 5% 11/1/2023 Cusip: 3128MDBU6 Moody's: N/A S&P: N/A	103.9380	72,321.13	79,414.24	-7,093.11	114.130	3,479.05	4.8	0.2
159,064.37	Federal Home Loan Mortgage Corp Gold Passthru CTF Pool Number G18316 DTD 7/1/2009 4% 7/1/2024 Cusip: 3128MMK69 Moody's: N/A S&P: N/A	106.8170	169,907.79	175,725.82	-5,818.03	110.470	6,362.57	3.7	0.5
79,398.16	Federal Home Loan Mortgage Corp Gold Passthru CTF Pool Number J10986 DTD 10/1/2009 4.5% 10/1/2024 Cusip: 3128PQCX7 Moody's: N/A S&P: N/A	106.0960	84,238.27	85,799.62	-1,561.35	108.060	3,572.92	4.2	0.3
39,671.35	Federal Home Loan Mortgage Corp Pool Passthru CTF Gold Pool # J15724 DTD 6/1/2011 4% 6/1/2026 Cusip: 3128PVLD0 Moody's: N/A S&P: N/A	106.7500	42,349.17	42,398.75	-49.58	106.870	1,586.85	3.8	0.1

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Individual securities Mortgage backed securities
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Average tax cost	Estimated annual income	Current yield	Pct of holdings
23,963.12	Federal National Mortgage Assn Passthru CTF Pool Number Aj1758 DTD 9/1/2011 3.5% 9/1/2026 Cusip: 3138AS5U2 Moody's: N/A S&P: N/A	105.8780	25,371.67	25,450.81	-79.14	106.210	838.71	3.3	0.1
216,227.12	Federal National Mortgage Assn Passthru CTF Pool Number AI3914 DTD 8/1/2013 4% 11/1/2026 Cusip: 3138ELK44 Moody's: N/A S&P: N/A	106.8540	231,047.33	232,173.88	-1,126.55	107.380	8,649.08	3.7	0.7
31,314.96	Federal National Mortgage Assn Passthru CTF Pool Number AK2439 DTD 2/1/2012 3.5% 2/1/2027 Cusip: 3138E6V94 Moody's: N/A S&P: N/A	106.0010	33,194.17	33,253.99	-59.82	106.190	1,096.02	3.3	0.1
27,316.19	Federal National Mortgage Assn Passthru CTF Pool Number AI6150 DTD 12/1/2014 4% 8/1/2027 Cusip: 3138ENZQ5 Moody's: N/A S&P: N/A	106.7760	29,167.14	29,296.61	-129.47	107.250	1,092.65	3.8	0.1
10,893.31	Federal Home Loan Mortgage Corp Passthru CTF Gold Pool #T49004 DTD 10/1/2013 3% 9/1/2027 Cusip: 31287CAD4 Moody's: N/A S&P: N/A	104.0430	11,333.73	11,138.41	195.32	102.250	326.80	2.9	0.0
37,033.23	Federal National Mortgage Assn Passthru CTF Pool # AI4191 DTD 9/1/2013 3.5% 1/1/2028 Cusip: 3138ELUR2 Moody's: N/A S&P: N/A	105.9520	39,237.45	38,954.22	283.23	105.190	1,296.16	3.3	0.1
28,686.73	Federal Home Loan Mortgage Corp Passthru CTF Gold Pool # T49005 DTD 2/1/2014 3.5% 12/1/2028 Cusip: 31287CAE2 Moody's: N/A S&P: N/A	103.8300	29,785.43	29,532.80	252.63	102.950	1,004.04	3.4	0.1
16,872.67	Federal Home Mortgage Corp Pool Passthru CTF Gold Pool Number C91339 DTD 10/1/2010 4% 10/1/2030 Cusip: 3128P7PY3 Moody's: N/A S&P: N/A	107.8000	18,188.74	18,037.06	151.68	106.900	674.91	3.7	0.1
129,308.77	Federal National Mortgage Assn Passthru CTF Pool Number Bc0048 DTD 11/1/2015 2.5% 11/1/2030 Cusip: 3140EUBS7 Moody's: N/A S&P: N/A	102.8770	133,028.98	131,351.68	1,677.30	101.580	3,232.72	2.4	0.4

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Individual securities Mortgage backed securities
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Average tax cost	Estimated annual income	Current yield	Pct of holdings
122,750.13	Federal National Mtg Assn Pool #MA2561 Passthru CTF DTD 2/1/2016 3% 3/1/2031 Cusip: 31418BZ33 Moody's: N/A S&P: N/A	104.5380	128,320.53	128,101.26	219.27	104.360	3,682.50	2.9	0.4
58,879.68	Federal National Mortgage Assn Passthru CTF Pool Number 535994 DTD 5/1/2001 6% 6/1/2031 Cusip: 31384WNK2 Moody's: N/A S&P: N/A	115.0930	67,766.39	67,638.03	128.36	114.870	3,532.78	5.2	0.2
82,719.86	Federal National Mortgage Assn Passthru CTF Pool Number 555424 DTD 4/1/2003 5.5% 5/1/2033 Cusip: 31385XAZ0 Moody's: N/A S&P: N/A	113.0470	93,512.32	93,602.71	-90.39	113.160	4,549.59	4.9	0.3
24,423.87	Federal Home Mortgage Corp Pool Passthru CTF Gold Pool # C91738 DTD 11/1/2013 4% 11/1/2033 Cusip: 3128P74X8 Moody's: N/A S&P: N/A	107.8640	26,344.56	25,910.04	434.52	106.080	976.95	3.7	0.1
60,444.20	Federal Home Loan Mortgage Corp Gold Passthru CTF Pool Number G30719 DTD 3/1/2015 4% 3/1/2034 Cusip: 3132J4AV1 Moody's: N/A S&P: N/A	107.8030	65,160.66	65,308.70	-148.04	108.050	2,417.77	3.7	0.2
18,690.76	Government National Mortgage Assn Passthru CTF Pool Number 781830 DTD 11/1/2004 5% 11/15/2034 Cusip: 36241KA73 Moody's: N/A S&P: N/A	111.9680	20,927.67	20,910.28	17.39	111.870	934.54	4.5	0.1
62,854.62	Federal National Mortgage Assn Passthru CTF Pool Number 735230 DTD 1/1/2005 5.5% 2/1/2035 Cusip: 31402QY39 Moody's: N/A S&P: N/A	112.9940	71,021.95	69,962.18	1,059.77	111.310	3,457.00	4.9	0.2
126,634.29	Federal National Mortgage Assn Passthru CTF Pool Number 735403 DTD 3/1/2005 5% 4/1/2035 Cusip: 31402RAC3 Moody's: N/A S&P: N/A	110.9800	140,538.74	140,943.19	-404.45	111.300	6,331.71	4.5	0.4

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Individual securities Mortgage backed securities
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Average tax cost	Estimated annual income	Current yield	Pct of holdings
41,351.25	Federal National Mortgage Assn Passthru CTF Pool Number AI6970 DTD 6/1/2015 3.5% 7/1/2035 Cusip: 3138EPW83 Moody's: N/A S&P: N/A	105.0700	43,447.76	42,921.32	526.44	103.800	1,447.29	3.3	0.1
4,160.08	Government National Mortgage Assn Passthru CTF Pool Number 782011 DTD 12/1/2005 5% 12/15/2035 Cusip: 36241KGU6 Moody's: N/A S&P: N/A	112.2180	4,668.36	4,542.28	126.08	109.190	208.00	4.5	0.0
4,026.30	Federal Home Loan Mortgage Corp Gold Passthru CTF Pool Number G05938 DTD 7/1/2010 5% 1/1/2036 Cusip: 3128M74X1 Moody's: N/A S&P: N/A	110.1610	4,435.41	4,313.16	122.25	107.120	201.32	4.5	0.0
491,914.80	Federal National Mortgage Assn Passthru CTF Pool Number 745275 DTD 1/1/2006 5% 2/1/2036 Cusip: 31403C6L0 Moody's: N/A S&P: N/A	110.9360	545,710.60	542,063.79	3,646.81	110.190	24,595.74	4.5	1.6
162,424.49	Federal National Mortgage Assn Passthru CTF Pool Number 745355 DTD 2/1/2006 5% 3/1/2036 Cusip: 31403DBY4 Moody's: N/A S&P: N/A	110.9150	180,153.12	178,922.47	1,230.65	110.160	8,121.22	4.5	0.5
1,219.83	Government National Mortgage Assn Passthru CTF Pool Number 782105 DTD 7/1/2006 5% 3/15/2036 Cusip: 36241KKS6 Moody's: N/A S&P: N/A	112.1980	1,368.62	1,331.89	36.73	109.190	60.99	4.5	0.0
331,026.25	Federal National Mortgage Assn Passthru CTF Pool Number 745418 DTD 3/1/2006 5.5% 4/1/2036 Cusip: 31403DDX4 Moody's: N/A S&P: N/A	112.6900	373,033.48	367,611.90	5,421.58	111.052	18,206.45	4.9	1.1
61,430.34	Federal National Mortgage Assn Passthru CTF Pool Number 888661 DTD 8/1/2007 4.5% 8/1/2037 Cusip: 31410GJE4 Moody's: N/A S&P: N/A	109.3220	67,156.88	65,223.48	1,933.40	106.170	2,764.37	4.1	0.2
184,712.20	Federal National Mortgage Assn Passthru CTF Pool Number 995024 DTD 10/1/2008 5.5% 8/1/2037 Cusip: 31416BLD8 Moody's: N/A S&P: N/A	113.1350	208,974.15	207,143.13	1,831.02	112.140	10,159.17	4.9	0.6

BNY Mellon

Individual securities Mortgage backed securities
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Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Average tax cost	Estimated annual income	Current yield	Pct of holdings
3,482.89	Federal National Mortgage Assn Passthru CTF Pool Number 962003 DTD 2/1/2008 5.5% 3/1/2038 Cusip: 31414CGL6 Moody's: N/A S&P: N/A	113.0590	3,937.72	3,859.49	78.23	110.810	191.56	4.9	0.0
70,814.27	Federal National Mortgage Assn Passthru CTF Pool Number Al1543 DTD 3/1/2012 5.5% 12/1/2038 Cusip: 3138EHWD0 Moody's: N/A S&P: N/A	113.1320	80,113.60	79,865.23	248.37	112.780	3,894.78	4.9	0.2
20,674.99	Federal Home Loan Mortgage Corp Gold Passthru CTF Pool Number A90393 DTD 12/1/2009 5% 12/1/2039 Cusip: 312938NJ8 Moody's: N/A S&P: N/A	111.4460	23,041.45	22,871.72	169.73	110.630	1,033.75	4.5	0.1
150,010.47	Federal National Mortgage Assn Passthru CTF Pool Number 932387 DTD 12/1/2009 4.5% 1/1/2040 Cusip: 31412Q2U2 Moody's: N/A S&P: N/A	109.9520	164,939.51	165,152.15	-212.64	110.090	6,750.47	4.1	0.5
251,192.64	Federal National Mortgage Assn Passthru CTF Pool Number Ac7870 DTD 2/1/2010 4.5% 2/1/2040 Cusip: 31417UW84 Moody's: N/A S&P: N/A	109.1110	274,078.80	271,128.33	2,950.47	107.940	11,303.67	4.1	0.8
46,820.06	Federal National Mortgage Assn Passthru CTF DTD 4/1/2014 3% 3/25/2040 Series 2014-28 Class ND Cusip: 3136AJK47 Moody's: N/A S&P: N/A	103.7810	48,590.33	47,741.83	848.50	101.970	1,404.60	2.9	0.1
66,561.28	Federal National Mortgage Assn Passthru CTF Pool Number Ad6942 DTD 6/1/2010 5% 6/1/2040 Cusip: 31418UWC4 Moody's: N/A S&P: N/A	111.9710	74,529.33	74,507.03	22.30	111.940	3,328.06	4.5	0.2
39,403.88	Federal Home Loan Mortgage Corp Gold Passthru CTF Pool Number A92821 DTD 6/1/2010 5% 7/1/2040 Cusip: 312941D20 Moody's: N/A S&P: N/A	110.5120	43,546.02	42,686.87	859.15	108.330	1,970.19	4.5	0.1

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Individual securities Mortgage backed securities
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Average tax cost	Estimated annual income	Current yield	Pct of holdings
139,777.21	Federal Home Loan Mortgage Corp Gold Passthru CTF Pool Number A95828 DTD 12/1/2010 4.5% 12/1/2040 Cusip: 312944PM7 Moody's: N/A S&P: N/A	110.2870	154,156.09	149,342.80	4,813.29	106.840	6,289.97	4.1	0.5
70,258.13	Federal National Mortgage Assn Passthru CTF Pool Number Ah0943 DTD 12/1/2010 4% 12/1/2040 Cusip: 3138A2BM0 Moody's: N/A S&P: N/A	107.9540	75,846.46	75,900.74	-54.28	108.030	2,810.33	3.7	0.2
375,057	Federal Home Loan Mortgage Corp Gold Passthru CTF Pool Number A97047 DTD 2/1/2011 4.5% 2/1/2041 Cusip: 312945ZL5 Moody's: N/A S&P: N/A	109.2450	409,731.02	406,184.47	3,546.55	108.300	16,877.57	4.1	1.2
62,560.59	Federal National Mortgage Assn Pasthru CTF Pool Number Ah4794 DTD 1/1/2011 5% 2/1/2041 Cusip: 3138A6KG4 Moody's: N/A S&P: N/A	111.3970	69,690.62	68,703.15	987.47	109.820	3,128.03	4.5	0.2
287,906.75	Federal National Mortgage Assn Passthru CTF Pool Number Aj9332 DTD 1/1/2012 4% 1/1/2042 Cusip: 3138E2LN3 Moody's: N/A S&P: N/A	107.9750	310,867.31	306,575.70	4,291.61	106.480	11,516.27	3.7	0.9
79,449	Federal National Mortgage Assn Passthru CTF Pool Number Aw7430 DTD 9/1/2014 4.5% 1/1/2042 Cusip: 3138XXHG4 Moody's: N/A S&P: N/A	108.9920	86,593.05	86,090.44	502.61	108.360	3,575.21	4.1	0.3
83,370.50	Federal National Mortgage Assn Passthru CTF Pool Numberal7306 DTD 8/1/2015 4.5% 9/1/2042 Cusip: 3138EQDL3 Moody's: N/A S&P: N/A	109.3850	91,194.82	90,626.35	568.47	108.700	3,751.67	4.1	0.3
123,092.65	Federal National Mortgage Assn Passthru CTF Pool Number As0225 DTD 7/1/2013 4% 8/1/2043 Cusip: 3138W9HB9 Moody's: N/A S&P: N/A	107.5590	132,397.22	132,536.16	-138.94	107.670	4,923.71	3.7	0.4
11,523.21	Federal National Mortgage Assn Passthru CTF Pool # AU6278 DTD 10/1/2013 5% 11/1/2043 Cusip: 3138X56Q5 Moody's: N/A S&P: N/A	111.0910	12,801.25	12,681.51	119.74	110.050	576.16	4.5	0.0

Individual securities Mortgage backed securities
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Average tax cost	Estimated annual income	Current yield	Pct of holdings
478,752.26	Federal Home Mtg Corp Pool #V80910 Passthru CTF DTD 12/1/2013 4% 12/1/2043 Cusip: 3132L6AK7 Moody's: N/A S&P: N/A	107.5390	514,845.39	514,808.28	37.11	107.530	19,150.09	3.7	1.5
63,675.29	Government Natl Mortgage Assn II Passthru CTF Pool Number MA1599 DTD 1/1/2014 3% 1/20/2044 Cusip: 36179NX45 Moody's: N/A S&P: N/A	103.7890	66,087.95	64,331.95	1,756.00	101.030	1,910.26	2.9	0.2
19,431.83	Federal Home Loan Mortgage Corp Pool Passthru CTF DTD 4/1/2014 4% 4/1/2044 Cusip: 3132M6EB2 Moody's: N/A S&P: N/A	107.5290	20,894.85	20,409.50	485.35	105.030	777.27	3.7	0.1
27,415.52	Federal Natational Mortg Assn Pool Passthru CTF Pool Number MA1860 DTD 3/1/2014 4.5% 4/1/2044 Cusip: 31418BB62 Moody's: N/A S&P: N/A	108.7860	29,824.25	29,865.78	-41.53	108.940	1,233.70	4.1	0.1
29,068.96	Federal National Mortgage Assn Pool Passthru CTF Pool Number MA1897 DTD 4/1/2014 3.5% 5/1/2044 Cusip: 31418BDB9 Moody's: N/A S&P: N/A	103.2290	30,007.60	29,615.99	391.61	101.880	1,017.41	3.4	0.1
30,953.11	Federal National Mortgage Assn Passthru CTF Pool Number AI5630 DTD 8/1/2014 4% 8/1/2044 Cusip: 3138ENHG7 Moody's: N/A S&P: N/A	107.5270	33,282.95	32,873.17	409.78	106.200	1,238.12	3.7	0.1
82,652.51	Federal National Mortgage Assn Passthru CTF Pool Number AI6223 DTD 12/1/2014 4.5% 8/1/2044 Cusip: 3138EN4H9 Moody's: N/A S&P: N/A	109.0000	90,091.24	90,055.71	35.53	108.960	3,719.36	4.1	0.3
46,416.27	Government National Mortgage Assoc Passthru CTF Pool Number MA2753 DTD 4/1/2015 3% 4/20/2045 Cusip: 36179RBW8 Moody's: N/A S&P: N/A	103.7150	48,140.63	47,568.68	571.95	102.480	1,392.49	2.9	0.1

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Individual securities Mortgage backed securities
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Average tax cost	Estimated annual income	Current yield	Pct of holdings
60,958.08	Federal National Mtg Assnal6895 Passthru CTF Pool Number AI6895 DTD 5/1/2015 3.5% 5/1/2045 Cusip: 3138EPUV4 Moody's: N/A S&P: N/A	105.2240	64,142.53	63,047.65	1,094.88	103.430	2,133.53	3.3	0.2
115,349.61	Federal Home Loan Mortgage Corp Gold Passthru CTF Pool Number G60103 DTD 6/1/2015 3.5% 6/1/2045 Cusip: 31335ADG0 Moody's: N/A S&P: N/A	105.1720	121,315.49	119,242.65	2,072.84	103.370	4,037.24	3.3	0.4
134,393.20	Federal Home Loan Mortgage Corp Gold Pool # G08671 Passthru CTF DTD 10/1/2015 3.5% 10/1/2045 Cusip: 3128MJW97 Moody's: N/A S&P: N/A	104.8380	140,895.14	139,769.67	1,125.47	104.000	4,703.76	3.3	0.4
53,593.35	Federal Natl Mtge Assn Pool #MA2484 Passthru CTF DTD 11/1/2015 4% 12/1/2045 Cusip: 31418BXN1 Moody's: N/A S&P: N/A	106.8850	57,283.25	57,294.64	-11.39	106.910	2,143.73	3.7	0.2
87,965.47	Federal National Mortgage Assoc Passthru CTF Pool Number MA2487 DTD 11/1/2015 3% 12/1/2045 Cusip: 31418BXR2 Moody's: N/A S&P: N/A	102.5980	90,250.81	88,236.56	2,014.25	100.310	2,638.96	2.9	0.3
122,621.78	Federal Natl Mtge Assn Pool #MA2495 Passthru CTF DTD 12/1/2015 3.5% 01/01/2046 Cusip: 31418BXZ4 Moody's: N/A S&P: N/A	104.8290	128,543.19	128,544.41	-1.22	104.830	4,291.76	3.3	0.4
39,540.50	Federal Natl Mtge Assn Pool #MA2522 Passthru CTF DTD 1/1/2016 3.5% 2/1/2046 Cusip: 31418BYU4 Moody's: N/A S&P: N/A	104.8290	41,449.91	41,517.53	-67.62	105.000	1,383.92	3.3	0.1
91,995.71	Government National Mortgage Assn II Passthru CTF Pool Number MA3456 DTD 2/1/2016 4.5% 2/20/2046 Cusip: 36179RZV4 Moody's: N/A S&P: N/A	107.3600	98,766.59	99,128.40	-361.81	107.750	4,139.81	4.2	0.3
84,645.16	Federal National Mtg Asn Pool Bc2681 Passthru CTF DTD 3/1/2016 3% 3/1/2046 Cusip: 3140EW6T7 Moody's: N/A S&P: N/A	102.5980	86,844.24	86,526.53	317.71	102.220	2,539.35	2.9	0.3

Individual securities Mortgage backed securities continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Average tax cost	Estimated annual income	Current yield	Pct of holdings
145,000	Government National Mortgage Assn II Passthru CTF Pool Number MA3598 DTD 4/1/2016 4% 4/20/2046 Cusip: 36179R7K9 Moody's: N/A S&P: N/A	107.0070	155,160.15	155,712.50	-552.35	107.390	5,800.00	3.7	0.5

Individual securities Other

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Average tax cost	Estimated annual income	Current yield	Pct holdings
90,000	New Jersey ST Econ Dev Auth Re DTD 5/6/2014 1.096% 6/15/2016 Txbl-Ref-Sch Facs Constr-Ser Q Cusip: 6459186N8 Moody's: A3 S&P: A-	$ 100.0190	$ 90,017.10	$ 90,000.00	$ 17.10	$ 100.000	$ 986.40	1.1%	0.3%
50,000	California ST Earthquake Auth DTD 11/6/2014 2.805% 7/1/2019 Txbl Cusip: 13017HAE6 Moody's: A3 S&P: N/R	102.1410	51,070.50	49,998.70	1,071.80	100.000	1,402.50	2.8	0.2
150,000	Florida ST Hurricane Catastrophe DTD 4/23/2013 2.995% 7/1/2020 Ser A Cusip: 34074GDH4 Moody's: AA3 S&P: AA	103.8210	155,731.50	150,000.00	5,731.50	100.000	4,492.50	2.9	0.5
45,000	Massachusetts ST DTD 12/1/2010 4.2% 12/1/2021 Build America Bonds-Ser E Cusip: 57582PWK2 Moody's: AA1 S&P: AA+	110.7970	49,858.65	49,637.70	220.95	110.310	1,890.00	3.8	0.2
150,000	New Jersey ST Transprtn Trust DTD 10/21/2010 5.754% 12/15/2028 Build America Bonds-Txbl-Ser C Cusip: 646136XS5 Moody's: A3 S&P: A-	104.8760	157,314.00	179,396.50	-22,082.50	119.600	8,631.00	5.5	0.5
170,000	Jobsohio Beverage Sys Stwd Liquor Profits Revenue DTD 2/1/2013 3.985% 1/1/2029 Txbl-Ser B Cusip: 47770VAY6 Moody's: AA3 S&P: AA	110.2420	187,411.40	170,000.00	17,411.40	100.000	6,774.50	3.6	0.6

Individual securities Other
continued

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Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Average tax cost	Estimated annual income	Current yield	Pct of holdings
205,000	Los Angeles Calif Uni Sch Dist DTD 10/15/2009 5.75% 7/1/2034 Build America Bonds-Taxable-Kry Cusip: 544646XZ0 Moody's: AA2 S&P: AA-	126.8420	260,026.10	251,750.40	8,275.70	122.810	11,787.50	4.5	0.8
60,000	Oakland CA Unif Sch Dist Alame DTD 8/12/2009 9.5% 8/1/2034 Build America Bonds Cusip: 672325TS6 Moody's: N/R S&P: N/R	118.6740	71,204.40	68,820.00	2,384.40	114.700	5,700.00	8.0	0.2
50,000	New York NY DTD 6/16/2010 6.246% 6/1/2035 Build America Bonds Cusip: 64966HYX2 Moody's: AA2 S&P: AA	114.1770	57,088.50	57,930.00	-841.50	115.860	3,123.00	5.5	0.2
240,000	California ST DTD 4/28/2009 7.55% 4/1/2039 Build America Bonds-Taxable-Var Purp Cusip: 13063A5G5 Moody's: AA3 S&P: AA-	155.0140	372,033.60	288,492.10	83,541.50	120.210	18,120.00	4.9	1.1
135,000	Met Transprtn Auth NY Revenue DTD 1/13/2010 6.668% 11/15/2039 Build America Bonds Cusip: 59259YBY4 Moody's: A1 S&P: AA-	138.3740	186,804.90	176,963.93	9,840.97	131.080	9,001.80	4.8	0.6
65,000	Chicago Ill DTD 11/30/2011 6.034% 1/1/2042 Txbl-Proj-Ser B Cusip: 167486NN5 Moody's: BA1 S&P: BBB+	83.2070	54,084.55	69,647.50	-15,562.95	107.150	3,922.10	7.3	0.2
75,000	New York N Y City Mun Wtr Fin Auth Wtr & Swr Rev DTD 11/18/2010 6.282% 6/15/2042 Taxable-Wnd Gen Resolution Ser Cc-Build Amer Bds Cusip: 64972FY26 Moody's: AA1 S&P: AA+	114.7440	86,058.00	88,116.75	-2,058.75	117.490	4,711.50	5.5	0.3
115,937.67	Wf-Rbs Commercial Mortgage Trust Passthru CTF DTD 11/1/2011 2.684% 11/15/2044 Series 2011-C5 Class A2 Cusip: 92936JAZ7 Moody's: AAA S&P: N/A	100.4870	116,502.29	122,567.85	-6,065.56	105.720	3,111.77	2.7	0.3

BNY Mellon

Individual securities Other
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Average tax cost	Estimated annual income	Current yield	Pct of holdings
50,000	Univ Of Californiaca Revenues DTD 3/25/15 4.131% 5/15/45 Txbl-Limited Proj-Ref-Ser J Cusip: 91412GXY6 Moody's: AA3 S&P: AA-	104.0290	52,014.50	50,206.25	1,808.25	100.410	2,065.50	4.0	0.2
	TOTAL CORPORATE BONDS		19896283.54	19464071.55					

BNY MELLON

STOCKS & EQUITIES

Equities

U.S. large cap

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Average tax cost	Estimated annual income	Current yield	Pct of holdings
187	Allergan PLC (AGN)	$ 216.5600	$ 40,496.72	$ 38,202.17	$ 2,294.55	$ 204.290	$ 0.00	0.0%	0.1%
401	Accenture PLC (ACN)	112.9200	45,280.92	33,403.35	11,877.57	83.300	882.20	2.0	0.1
1,220	Eaton Corp PLC (ETN)	63.2700	77,189.40	84,312.68	-7,123.28	69.109	2,781.60	3.6	0.2
1,360	Ingersoll-Rand PLC (IR)	65.5400	89,134.40	80,968.31	8,166.09	59.535	1,740.80	2.0	0.3
2,430	Invesco Limited (IVZ)	31.0100	75,354.30	88,371.19	-13,016.89	36.367	2,721.60	3.6	0.2
920	Nxp Semiconductors N V (NXPI)	85.2800	78,457.60	67,741.57	10,716.03	73.632	0.00	0.0	0.2
777	Broadcom Ltd. (AVGO)	145.7500	113,247.75	72,592.17	40,655.58	93.426	1,522.92	1.3	0.3
1,320	AT&T Inc (T)	38.8200	51,242.40	44,055.62	7,186.78	33.375	2,534.40	5.0	0.2
1,020	Abbott Laboratories (ABT)	38.9000	39,678.00	39,842.85	-164.85	39.062	1,060.80	2.7	0.1
980	Adobe Systems Inc (ADBE)	94.2200	92,335.60	69,677.29	22,658.31	71.099	0.00	0.0	0.3
432	Aetna Inc (AET)	112.2700	48,500.64	45,628.23	2,872.41	105.621	432.00	0.9	0.1
1,350	Agilent Technologies Inc (A)	40.9200	55,242.00	55,694.86	-452.86	41.255	621.00	1.1	0.2
2,550	Ally Financial Inc. (ALLY)	17.8100	45,415.50	56,312.94	-10,897.44	22.083	0.00	0.0	0.1
245	Alphabet, Inc. (GOOGL)	707.8800	173,430.60	156,663.95	16,766.65	639.445	0.00	0.0	0.5
210	Amazon.Com Inc (AMZN)	659.5900	138,513.90	77,996.71	60,517.19	371.413	0.00	0.0	0.4

BNY MELLON

Equities

U.S. large cap
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Average tax cost	Estimated annual income	Current yield	Pct of holdings
640	American Tower Corporation (AMT)	104.8800	67,123.20	60,596.67	6,526.53	94.682	1,305.60	1.9	0.2
282	Amgen Inc (AMGN)	158.3000	44,640.60	28,422.73	16,217.87	100.790	1,128.00	2.5	0.1
225	Anacor Pharmaceuticals Inc (ANAC)	62.7400	14,116.50	30,094.47	-15,977.97	133.753	0.00	0.0	0.0
1,309	Apple Inc (AAPL)	93.7400	122,705.66	70,229.32	52,476.34	53.651	2,984.52	2.4	0.4
6,720	Bank Of America Corporation (BAC)	14.5600	97,843.20	110,878.95	-13,035.75	16.500	1,344.00	1.4	0.[illegible]
1	Berkshire Hathaway Inc Del Cl A (BRK.A)	219,000.0000	219,000.00	64,027.17	154,972.83	64,027.170	0.00	0.0	0.7
1,200	Berkshire Hathaway Inc Del Cl B (BRK.B)	145.4800	174,576.00	52,029.54	122,546.46	43.358	0.00	0.0	0.5
256	Biomarin Pharmaceutical Inc (BMRN)	84.6800	21,678.08	27,294.03	-5,615.95	106.617	0.00	0.0	0.1
138	Biogen Idec Inc (BIIB)	274.9900	37,948.62	42,675.39	-4,726.77	309.242	0.00	0.0	0.1
840	Bristol-Myers Squibb Company (BMY)	72.1800	60,631.20	44,733.13	15,898.07	53.254	1,276.80	2.1	0.2
654	CVS Caremark Corporation (CVS)	100.5000	65,727.00	67,048.68	-1,321.68	102.521	1,111.80	1.7	0.2
1,050	Capital One Financial Corporation (COF)	72.3900	76,009.50	79,810.24	-3,800.74	76.010	1,680.00	2.2	0.2
620	Cardinal Health Inc (CAH)	78.4600	48,645.20	43,271.29	5,373.91	69.792	959.76	2.0	0.1
910	Celanese Corp (CE) Series A	70.7000	64,337.00	53,849.32	10,487.68	59.175	1,310.40	2.0	0.2
226	Celgene Corp (CELG)	103.4100	23,370.66	23,237.86	132.80	102.822	0.00	0.0	0.1
837	Chevron Corporation (CVX)	102.1800	85,524.66	92,738.16	-7,213.50	110.798	3,582.36	4.2	0.3
2,110	Citizens Financial Group Inc. (CFG)	22.8500	48,213.50	46,299.77	1,913.73	21.943	1,012.80	2.1	0.1
1,020	Cognizant Technology Solutions Corp (CTSH) Cl A	58.3700	59,537.40	63,417.60	-3,880.20	62.174	0.00	0.0	0.2
1,120	Comcast Corp Cl A (CMCSA)	60.7600	68,051.20	57,196.05	10,855.15	51.068	1,232.00	1.8	0.2
391	Constellation Brands Inc-A (STZ)	156.0600	61,019.46	49,873.91	11,145.55	127.555	625.60	1.0	0.2
281	Costco Whsl Corp New (COST)	148.1300	41,624.53	36,720.66	4,903.87	130.678	505.80	1.2	0.1
1,200	Devon Energy Corporation New (DVN)	34.6800	41,616.00	32,782.08	8,833.92	27.318	288.00	0.7	0.1
430	The Walt Disney Company (DIS)	103.2600	44,401.80	34,480.24	9,921.56	80.187	589.10	1.3	0.1
910	Dominion Res Inc VA New (D)	71.4700	65,037.70	62,359.52	2,678.18	68.527	2,548.00	3.9	0.2
1,170	Dow Chemical Co (DOW)	52.6100	61,553.70	57,088.66	4,465.04	48.794	2,152.80	3.5	0.2

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Equities

BNY MELLON

U.S. large cap
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Average tax cost	Estimated annual income	Current yield	Pct of holdings
2,020	Dynegy Inc. (DYN)	17.6300	35,612.60	27,535.59	8,077.01	13.631	0.00	0.0	0.1
1,710	Exelon Corp (EXC)	35.0900	60,003.90	55,144.86	4,859.04	32.248	2,175.12	3.6	0.2
649	Exxon Mobil Corp (XOM)	88.4000	57,371.60	52,804.57	4,567.03	81.363	1,947.00	3.4	0.2
1,450	Facebook Inc. (FB)	117.5800	170,491.00	100,369.43	70,121.57	69.220	0.00	0.0	0[illegible]
1,990	General Electric Company (GE)	30.7500	61,192.50	56,025.86	5,166.64	28.154	1,830.80	3.0	0.2
1,500	Halliburton Co (HAL)	41.3100	61,965.00	84,841.92	-22,876.92	56.561	1,080.00	1.7	0.2
1,630	Hartford Finl Svcs Group Inc (HIG)	44.3800	72,339.40	59,955.74	12,383.66	36.783	1,369.20	1.9	0.2
673	Home Depot Inc (HD)	133.8900	90,107.97	54,681.54	35,426.43	81.250	1,857.48	2.1	0.3
830	Honeywell Intl Inc (HON)	114.2700	94,844.10	77,869.81	16,974.29	93.819	1,975.40	2.1	0.3
870	Illinois Tool Wks Inc (ITW)	104.5200	90,932.40	76,160.21	14,772.19	87.540	1,914.00	2.1	0.3
415	Intercontinentalexchange Group, Inc.(ICE)	240.0300	99,612.45	107,361.49	-7,749.04	258.702	1,411.00	1.4	0.3
790	Johnson & Johnson (JNJ)	112.0800	88,543.20	77,315.75	11,227.45	97.868	2,528.00	2.9	0.3
700	Kohls Corp (KSS)	44.3000	31,010.00	35,643.16	-4,633.16	50.919	1,400.00	4.5	0.1
510	Lilly Eli & Co (LLY)	75.5300	38,520.30	41,699.90	-3,179.60	81.764	1,040.40	2.7	0.1
1,160	Marathon Petroleum Corp (MPC)	39.0800	45,332.80	53,897.35	-8,564.55	46.463	1,484.80	3.3	0.1
900	Merck & Co Inc (MRK)	54.8400	49,356.00	51,381.63	-2,025.63	57.091	1,656.00	3.4	0.2
2,630	Microsoft Corporation (MSFT)	49.8700	131,158.10	130,624.65	533.45	49.667	3,787.20	2.9	0.4
1,110	Mondelez International (MDLZ)	42.9600	47,685.60	45,323.72	2,361.88	40.832	754.80	1.6	0.1
500	Monster Beverage Corporation (MNST)	144.2200	72,110.00	33,362.07	38,747.93	66.724	0.00	0.0	0.2
306	Netflix Com Inc (NFLX)	90.0300	27,549.18	34,388.04	-6,838.86	112.379	0.00	0.0	0.1
1,066	Nike Inc Class B Stock (NKE)	58.9400	62,830.04	57,032.20	5,797.84	53.501	682.24	1.1	0.2
970	Nucor Corp (NUE)	49.7800	48,286.60	49,930.04	-1,643.44	51.474	1,455.00	3.0	0.1
462	Palo Alto Networks Inc (PANW)	150.8700	69,701.94	67,408.04	2,293.90	145.905	0.00	0.0	0.2
205	Panera Bread Co Cl A (PNRA)	214.4900	43,970.45	44,270.12	-299.67	215.952	0.00	0.0	0.1
1,000	Pepsico Inc (PEP)	102.9600	102,960.00	86,833.08	16,126.92	86.833	2,810.00	2.7	0.3
2,626	Pfizer Inc (PFE)	32.7100	85,896.46	61,487.52	24,408.94	23.415	3,151.20	3.7	0.3

Equities

BNY MELLON

U.S. large cap
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Average tax cost	Estimated annual income	Current yield	Pct of holdings
1,070	Philip Morris International Inc (PM)	98.1200	104,988.40	88,164.80	16,823.60	82.397	4,365.60	4.2	0.3
381	Pioneer Natural Resources Company (PXD)	166.1000	63,284.10	58,043.59	5,240.51	152.345	30.48	0.1	0.2
1,070	The Procter & Gamble Company (PG)	80.1200	85,728.40	86,678.47	-950.07	81.008	2,865.46	3.3	0.3
1,190	Salesforce.Com Inc (CRM)	75.8000	90,202.00	68,305.48	21,896.52	57.400	0.00	0.0	0.3
881	Schlumberger Ltd (SLB)	80.3400	70,779.54	82,990.63	-12,211.09	94.200	1,762.00	2.5	0.[illegible]
2,580	Schwab Charles Corp New (SCHW)	28.4100	73,297.80	82,699.48	-9,401.68	32.054	722.40	1.0	0.2
366	3M Co (MMM)	167.3800	61,261.08	52,166.75	9,094.33	142.532	1,625.04	2.7	0.2
1,060	Time Warner Inc (TWX)	75.1400	79,648.40	79,750.50	-102.10	75.236	1,706.60	2.1	0.2
650	United Technologies Corp (UTX)	104.3700	67,840.50	75,724.41	-7,883.91	116.499	1,716.00	2.5	0.2
375	Universal Health Services Inc Cl B (UHS)	133.6800	50,130.00	52,054.04	-1,924.04	138.811	150.00	0.3	0.2
940	Valero Energy Corp New (VLO)	58.8700	55,337.80	48,899.60	6,438.20	52.021	2,256.00	4.1	0.2
830	Verizon Communications Inc (VZ)	50.9400	42,280.20	37,898.00	4,382.20	45.660	1,875.80	4.4	0.1
810	Visa Inc-Class A Shrs (V)	77.2400	62,564.40	62,009.78	554.62	76.555	453.60	0.7	0.2
1,560	Voya Financial Inc (VOYA)	32.4700	50,653.20	58,558.68	-7,905.48	37.538	62.40	0.1	0.2
1,530	Wells Fargo & Company (WFC)	49.9800	76,469.40	77,483.30	-1,013.90	50.643	2,325.60	3.0	0.2
890	Yum! Brands Inc (YUM)	79.5600	70,808.40	66,307.72	4,500.68	74.503	1,637.60	2.3	0.2
94,627.404	BNY Mellon Income Stock Fund (MPISX)	8.3100	786,353.73	920,000.00	-133,646.27	9.722	17,127.56	2.2	2.3
5,516.154	Dreyfus Research Growth Fund Inc. (DRYQX)	13.8600	76,453.89	70,000.00	6,453.89	12.690	458.94	0.6	0.2
Total U.S. large cap			**$ 6,685,916.93**	**$ 6,033,702.85**	**$ 652,214.08**		**$ 117,385.38**		**19.7%**

U.S. mid cap

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Average tax cost	Estimated annual income	Current yield	Pct of holdings
34,299.367	The BNY Mellon Mid Cap (MPMCX) Multi-Strategy Fund	$ 14.1500	$ 485,336.04	$ 510,000.00	$ -24,663.96	$ 14.869	$ 627.68	0.1%	1.4%
Total U.S. mid cap			**$ 485,336.04**	**$ 510,000.00**	**$ -24,663.96**		**$ 627.68**		**1.4%**

BNY Mellon

U.S. small cap

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Average tax cost	Estimated annual income	Current yield	Pct of holdings
2,303.5	Dreyfus Opportunistic Small Cap Fund(DSCVX)	$ 28.2500	$ 65,073.88	$ 80,000.00	$ -14,926.12	$ 34.730	$ 234.96	0.4%	0.2%
3,353.039	Dreyfus Select Managers Small Cap (DSGYX) Growth Fund	20.2200	67,798.45	80,000.00	-12,201.55	23.859	0.00	0.0	0.2
3,248.66	Dreyfus Select Managers Small Cap (DMVYX) Value Fund	19.9300	64,745.79	80,000.00	-15,254.21	24.625	610.75	0.9	0.2
Total U.S. small cap			**$ 197,618.12**	**$ 240,000.00**	**$ -42,381.88**		**$ 845.71**		[illegible]

Developed international

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Average tax cost	Estimated annual income	Current yield	Pct of holdings
1,700	Reckitt Benckiser Group PLC (RB/ LN)	$ 97.4744	$ 165,706.56	$ 14,698.36	$ 151,008.20	$ 8.646	$ 3,461.56	2.1%	0.5%
41,940.994	BNY Mellon International Fund (MPITX)	11.0200	462,189.75	534,849.99	-72,660.24	12.752	6,668.62	1.4	1.4
7,299.269	Dreyfus International Small Cap Fund(DYYPX)	13.0000	94,890.50	100,000.00	-5,109.50	13.700	654.74	0.7	0.3
4,757.373	Dreyfus/Newton International Equity (NIEYX) Fund	18.3400	87,250.22	100,000.00	-12,749.78	21.020	1,027.59	1.2	0.3
1,510	ISHARES MSCI Eafe Index FD (EFA)	58.4300	88,229.30	96,516.57	-8,287.27	63.918	2,446.20	2.8	0.3
6,245.182	Dreyfus International Stock Fund (DISYX)	14.5300	90,742.49	81,000.00	9,742.49	12.970	1,199.07	1.3	0.3
Total developed international			**$ 989,008.82**	**$ 927,064.92**	**$ 61,943.90**		**$ 15,457.78**		**2.9%**

Emerging markets

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Average tax cost	Estimated annual income	Current yield	Pct of holdings
62,618.723	BNY Mellon Emerging Markets Fund (MEMKX)	$ 8.1300	$ 509,090.22	$ 649,013.77	$ -139,923.55	$ 10.364	$ 4,665.09	0.9%	1.5%
8,622.497	Dreyfus Diversified Emerging Markets(SBYEX) Fund	17.9200	154,515.15	186,530.01	-32,014.86	21.633	1,043.32	0.7	0.5
Total emerging markets			**$ 663,605.37**	**$ 835,543.78**	**$ -171,938.41**		**$ 5,708.41**		**2.0%**
Total equities			**$ 9,021,485.28**	**$ 8,546,311.55**	**$ 475,173.73**		**$ 140,024.96**		**26.6%**

[redacted]

Alternative investments

BNY MELLON

Long/short hedge

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Average tax cost	Estimated annual income	Current yield	Pct of holdings
4,029.009	Dreyfus BNY Mellon Funds Inc - Dreyfus Select Managers Long/ Short Equity Fund	$ 12.0400	$ 48,509.27	$ 50,000.00	$ -1,490.73	$ 12.410	$ 0.00	0.0%	0.1%
Total long/short hedge			**$ 48,509.27**	**$ 50,000.00**	**$ -1,490.73**		**$ 0.00**		**0.1%**

Absolute return

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Average tax cost	Estimated annual income	Current yield	Pct of holdings
3,973.616	Dreyfus Global Real Return Fund	$ 14.4900	$ 57,577.70	$ 60,000.00	$ -2,422.30	$ 15.100	$ 2,483.51	4.3%	0.2%
33,449.808	ASG Global Alternatives Fund-Y	9.6800	323,794.14	375,000.00	-51,205.86	11.211	0.00	0.0	1.0
Total absolute return			**$ 381,371.84**	**$ 435,000.00**	**$ -53,628.16**		**$ 2,483.51**		**1.1%**

Managed futures

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Average tax cost	Estimated annual income	Current yield	Pct of holdings
3,280.839	Advantage Dynamic Total Return Fund	$ 15.5900	$ 51,148.28	$ 50,000.00	$ 1,148.28	$ 15.240	$ 0.00	0.0%	0.2%
5,551.554	ASG Managed Futures Strategy Fund	10.7700	59,790.24	60,000.00	-209.76	10.808	716.15	1.2	0.2
Total managed futures			**$ 110,938.52**	**$ 110,000.00**	**$ 938.52**		**$ 716.15**		**0.3%**

Other direct/private investment

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Average tax cost	Estimated annual income	Current yield	Pct of holdings
120,000	Georgia-Pacific LLC DTD 12/11/03 8% 1/15/2024 Moody's: BAA1 S&P: A+	$ 130.3700	$ 156,444.00	$ 157,935.60	$ -1,491.60	$ 131.610	$ 9,600.00	6.1%	0.5%
Total other direct/private investment			**$ 156,444.00**	**$ 157,935.60**	**$ -1,491.60**		**$ 9,600.00**		**0.5%**

 BNY Mellon

Commodities

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Average tax cost	Estimated annual income	Current yield	Pct of holdings
9,398.496	Van Eck Cm Commodity Index Fund	$ 5.0100	$ 47,086.46	$ 75,000.00	$ -27,913.54	$ 7.980	$ 0.00	0.0%	0.1%
			9,765,835.37	9,374,247.15					

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Equities

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Apr 29 ($)	Value on Apr 29 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
AB EQUITY INCOME									
FUND CLASS ADVISOR									
Symbol· AUIYX									
Trade date· Apr 17, 14	3,679.176	27 179	100,000 00	100,000 00	24 910	91,648 27	-8,351 73		LT
Trade date. May 14, 14	3,607 504	27.719	100,000.00	100,000.00	24 910	89,862 92	-10,137 08		LT

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Account name: SY SYMS FOUNDATION
Friendly account name: GB Taxable Agg
Account number: WE B8391 EH

Your Financial Advisor:
BOCCIA QUINN WEALTH MANAGEMENT
212-626-8500/800-458-1764

Your assets › Equities › Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Apr 29 ($)	Value on Apr 29 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Trade date. Jun 13, 14	3,577 818	27 949	100,000 00	100,000 00	24 910	89,123 45	-10,876 55		LT
Trade date Jul 15, 14	3,524 850	28.370	100,000 00	100,000 00	24.910	87,804 01	-12,195 99		LT
Trade date Aug 14, 14	3,549 876	28 169	100,000 00	100,000 00	24 910	88,427 41	-11,572 59		LT
Trade date Sep 15, 14	3,505 082	28 530	100,000 00	100,000 00	24 910	87,311 59	-12,688 41		LT
Trade date Oct 15, 14	1,136 794	26 390	30,000 00	30,000 00	24 910	28,317 54	-1,682.46		LT
Trade date Jan 14, 15	1,895 375	26 380	50,000.00	50,000 00	24 910	47,213 79	-2,786 21		LT
Total reinvested	3,695 434	26 045		96,249 03	24 910	92,053 26	-4,195 77		LT
EAI $25,073 Current yield 3 57%									
Security total	28,171 909	27 554	680,000 00	776,249 03		701,762 25	-74,486 79	21,762 24	
CHAMPLAIN SMALL COMPANY									
FUND ADVISOR CLASS									
Symbol CIPSX									
Trade date Apr 17, 14	965 250	16 150	15,588 79	15,588 79	15 990	15,434 35	-154 44		LT
Trade date May 14, 14	6,369.427	15 699	100,000.00	100,000 00	15 990	101,847 14	1,847 14		LT
Trade date. Jun 13, 14	6,024 096	16 600	100,000 00	100,000 00	15 990	96,325 30	-3,674 70		LT
Trade date Jul 15, 14	2,735 562	16 450	45,000.00	45,000 00	15 990	43,741 64	-1,258 36		LT
Trade date Nov 14, 14	5,763 689	17 349	100,000 00	100,000 00	15 990	92,161 39	-7,838 61		LT
Total reinvested	2,466 466	16 209		39,979 38	15 990	39,438 79	-540 59		LT
Security total	24,324 490	16 468	360,588 79	400,568 17		388,948 59	-11,619 56	28,359 82	
GUGGENHEIM MID CAP VALUE									
INSTITUTIONAL FUND CLASS									
INSTITUTIONAL									
Symbol SVUIX									
Trade date Apr 17, 14	7,479 432	13 369	100,000 00	100,000 00	10 310	77,112 94	-22,887 06		LT
Trade date May 14, 14	7,530 120	13 280	100,000 00	100,000 00	10 310	77,635 54	-22,364 46		LT
Trade date Jun 13, 14	7,272 727	13 750	100,000 00	100,000 00	10 310	74,981 82	-25,018 18		LT
Trade date Jul 15, 14	7,235 890	13 820	100,000.00	100,000 00	10 310	74,602 03	-25,397.97		LT
Trade date Aug 14, 14	298 063	13 419	4,000 00	4,000 00	10 310	3,073 03	-926 97		LT
Trade date Jan 14, 15	4,574 565	10 930	50,000 00	50,000 00	10 310	47,163 77	-2,836 23		LT
Trade date Feb 23, 15	4,325 260	11 559	50,000 00	50,000 00	10 310	44,593 43	-5,406 57		LT
Trade date Aug 14, 15	888.099	11 260	10,000 00	10,000 00	10 310	9,156 30	-843 70		LT
Total reinvested	10,004 216	10 508		105,130 72	10 310	103,143 47	-1,987 25		ST

continued next page

Your assets › **Equities** › **Mutual funds** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Apr 29 ($)	Value on Apr 29 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
EAI $6,052 Current yield 1 18%									
Security total	49,608 372	12 480	514,000 00	619,130 72		511,462 31	-107,668 39	-2,537 67	
INVESCO SMALL CAP									
VALUE FUND CLASS Y									
Symbol VSMIX									
Trade date: Apr 17, 14	4,342 162	23 030	100,000 00	100,000 00	16 790	72,904 90	-27,095 10		LT
Trade date May 14, 14	4,351 610	22 980	100,000 00	100,000 00	16 790	73,063 53	-26,936.47		LT
Trade date Jun 13, 14	4,224 757	23 670	100,000 00	100,000 00	16 790	70,933 67	-29,066 33		LT
Trade date Jul 15, 14	1,266 357	23 690	30,000 00	30,000 00	16 790	21,262 13	-8,737 87		LT
Trade date Aug 14, 14	172.786	23 150	4,000 00	4,000 00	16 790	2,901 08	-1,098 92		LT
Trade date. Nov 14, 14	4,385.965	22.799	100,000 00	100,000.00	16 790	73,640 35	-26,359 65		LT
Trade date Jan 14, 15	2,578 649	19 389	50,000 00	50,000 00	16 790	43,295 52	-6,704.48		LT
Trade date Feb 23, 15	2,388 915	20 930	50,000.00	50,000 00	16.790	40,109 88	-9,890 12		LT
Trade date: Aug 14, 15	497 760	20 090	10,000 00	10,000 00	16.790	8,357 39	-1,642 61		ST
Total reinvested	6,306 166	17 942		113,148.17	16 790	105,880 53	-7,267.64		
EAI $1,312 Current yield 0 26%									
Security total	30,515 127	21 535	544,000.00	657,148 17		512,348 98	-144,799 19	-31,651 02	
VIRTUS EMERGING MARKETS									
OPPORTUNITIES FUND -									
CLASS I									
Symbol HIEMX									
Trade date Apr 17, 14	10,060 362	9 940	100,000 00	100,000 00	9 260	93,158 95	-6,841 05		LT
Trade date. May 14, 14	9,861 933	10 139	100,000 00	100,000 00	9.260	91,321 50	-8,678 50		LT
Trade date Jun 13, 14	9,615 385	10 399	100,000 00	100,000 00	9 260	89,038 47	-10,961 53		LT
Trade date Jul 15, 14	1,048.618	10 489	11,000 00	11,000.00	9 260	9,710 20	-1,289 80		LT
Trade date: Nov 14, 14	9,578 544	10 440	100,000 00	100,000 00	9 260	88,697 32	-11,302 68		LT
Total reinvested	1,023 107	9 662		9,886 19	9 260	9,473 97	-412 22		
EAI: $3,460 Current yield 0 91%									
Security total	41,187.949	10 219	411,000 00	420,886 19		381,400 40	-39,485 78	-29,599 59	
Total			**$2,509,588.79**	**$2,873,982.28**		**$2,495,922.53**	**-$378,059.71**	**-$13,666.26**	

Total estimated annual income: $35,897

Account name: SY SYMS FOUNDATION
Friendly account name: GB Taxable Agg
Account number: WE B8391 EH

Your Financial Advisor:
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212-626-8500/800-458-1764

Your assets (continued)

Commodities

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Apr 29 ($)	Value on Apr 29 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
PIMCO COMMODITY REAL									
RETURN FUND CLASS I									
Symbol PCRIX									
Trade date. Apr 17, 14	8,237.233	12.139	100,000.00	100,000 00	6 980	57,495 88	-42,504 12		LT
Trade date May 14, 14	8,223.684	12 160	100,000.00	100,000 00	6 980	57,401 31	-42,598 69		LT
Trade date Jun 13, 14	5,398 671	12 040	65,000 00	65,000 00	6 980	37,682.72	-27,317 28		LT
Trade date Jul 15, 14	860 585	11 620	10,000 00	10,000 00	6 980	6,006.88	-3,993 12		LT
Trade date Aug 14, 14	1,507 092	11 280	17,000 00	17,000 00	6.980	10,519 50	-6,480 50		LT
Trade date Nov 14, 14	1,949.318	10 260	20,000.00	20,000.00	6.980	13,606 24	-6,393.76		LT
Trade date Feb 23, 15	5,681.818	8 800	50,000 00	50,000 00	6.980	39,659.09	-10,340.91		LT
Total reinvested	1,858.605	7 473		13,890 74	6 980	12,973 06	-917 68		
EAI $13,318 Current yield: 5 66%									
Security total	33,717.005	11.148	362,000 00	375,890.74		235,344 69	-140,546.06	-126,655 32	

STOCKS + OTHER EQUITIES 3249873.02 2,731,267.22

Your assets

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Apr 29 ($)	Value on Apr 29 ($)	Unrealized gain or loss ($)	Holding period
ABBOTT LABS								
Symbol ABT Exchange NYSE								
EAI: $560 Current yield. 2 68%	Jul 17, 15	382 000	49 776	19,014 47	38 900	14,859 80	-4,154 67	ST
	Mar 8, 16	156.000	39 264	6,125 23	38 900	6,068 40	-56 83	ST
Security total		538 000	46.728	25,139 70		20,928 20	-4,211 50	
AFLAC INC								
Symbol AFL Exchange NYSE								
EAI. $517 Current yield· 2.38%	May 31, 12	32.000	39 733	1,271 48	68.970	2,207.04	935 56	LT
	Aug 24, 12	31 000	46 205	1,432 38	68 970	2,138 07	705 69	LT
	Oct 22, 12	14 000	49 720	696.09	68 970	965 58	269.49	LT
	Dec 31, 12	60 000	52 590	3,155 42	68 970	4,138 20	982 78	LT
	May 3, 13	33 000	55 429	1,829 16	68 970	2,276 01	446 85	LT
	Jun 6, 13	27.000	56 442	1,523 94	68 970	1,862 19	338 25	LT

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Account name: SY SYMS FOUNDATION
Friendly account name: Div Ruler
Account number: WE B8392 EH

Your Financial Advisor:
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212-626-8500/800-458-1764

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Apr 29 ($)	Value on Apr 29 ($)	Unrealized gain or loss ($)	Holding period
	Oct 24, 13	37 000	65 881	2,437 60	68 970	2,551 89	114 29	LT
	Jan 28, 14	81 000	62.352	5,050.54	68 970	5,586 57	536 03	LT
Security total		315 000	55 227	17,396 61		21,725 55	4,328 94	
AIR PROD & CHEMICAL INC Symbol APD Exchange NYSE EAI $544 Current yield 2 36%	Aug 24, 12	13 000	83.091	1,080 19	145 890	1,896 57	816.38	LT
	Oct 22, 12	36 000	77 536	2,791 31	145 890	5,252 04	2,460 73	LT
	Dec 31, 12	20 000	83 560	1,671 21	145 890	2,917 80	1,246 59	LT
	May 3, 13	17 000	89 880	1,527 96	145 890	2,480 13	952.17	LT
	Jun 6, 13	19.000	94 223	1,790 24	145 890	2,771 91	981 67	LT
	Oct 24, 13	14 000	111 040	1,554 57	145 890	2,042 46	487 89	LT
	Jan 28, 14	39 000	107 529	4,193 65	145 890	5,689 71	1,496 06	LT
Security total		158 000	92 463	14,609 13		23,050 62	8,441 49	
AMGEN INC Symbol. AMGN Exchange· OTC EAI $552 Current yield 2 53%	Nov 24, 15	138 000	162 157	22,377 72	158 300	21,845 40	-532.32	ST
ANALOG DEVICES INC Symbol ADI Exchange. OTC EAI. $637 Current yield 2 98%	May 31, 12	3 000	36 223	108 67	56 320	168 96	60 29	LT
	Aug 24, 12	56.000	39 745	2,225 75	56 320	3,153 92	928 17	LT
	Oct 22, 12	61 000	38.265	2,334.20	56.320	3,435 52	1,101 32	LT
	Dec 31, 12	40 000	41 794	1,671 79	56 320	2,252 80	581.01	LT
	May 3, 13	34.000	45 045	1,531.56	56 320	1,914 88	383.32	LT
	Jun 6, 13	50 000	45 425	2,271 26	56 320	2,816 00	544 74	LT
	Oct 24, 13	33 000	46 815	1,544.90	56 320	1,858 56	313 66	LT
	Jan 28, 14	102 000	48 406	4,937 43	56 320	5,744 64	807 21	LT
Security total		379 000	43 867	16,625 56		21,345 28	4,719.72	
AT&T INC Symbol. T Exchange NYSE EAI $1,127 Current yield 4 95%	Dec 31, 12	71 000	33 447	2,374 79	38 820	2,756 22	381 43	LT
	May 3, 13	54 000	37 286	2,013 45	38 820	2,096 28	82 83	LT
	Jun 6, 13	33 000	35 807	1,181 65	38 820	1,281 06	99 41	LT
	Aug 22, 13	220 000	33 585	7,388 85	38 820	8,540 40	1,151 55	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Apr 29 ($)	Value on Apr 29 ($)	Unrealized gain or loss ($)	Holding period
	Oct 24, 13	58 000	34 733	2,014 56	38 820	2,251 56	237 00	LT
	Jan 28, 14	151 000	33 759	5,097 74	38 820	5,861 82	764 08	LT
Security total		587 000	34 193	20,071 04		22,787 34	2,716 30	
AUTOMATIC DATA PROCESSNG INC Symbol ADP Exchange OTC EAI $566 Current yield 2 40%	Aug 24, 12	33 000	50 947	1,681 26	88 440	2,918 52	1,237 26	LT
	Oct 22, 12	33.000	50 633	1,670 92	88 440	2,918 52	1,247 60	LT
	Dec 31, 12	49.000	49 415	2,421 36	88 440	4,333 56	1,912 20	LT
	May 3, 13	20 000	60 423	1,208 47	88 440	1,768 80	560 33	LT
	Jun 6, 13	39 000	59 191	2,308 45	88 440	3,449 16	1,140 71	LT
	Oct 24, 13	22 000	66 018	1,452 40	88 440	1,945 68	493 28	LT
	Jan 28, 14	71 000	67 102	4,764 31	88 440	6,279 24	1,514.93	LT
Security total		267 000	58.079	15,507 17		23,613 48	8,106 31	
BECTON DICKINSON & CO Symbol BDX Exchange NYSE EAI $465 Current yield 1 64%	May 31, 12	13 000	73 190	951 47	161 260	2,096 38	1,144 91	LT
	Aug 24, 12	39 000	75 781	2,955 47	161 260	6,289 14	3,333 67	LT
	Oct 22, 12	25 000	75 423	1,885 58	161 260	4,031 50	2,145 92	LT
	May 3, 13	16 000	97 311	1,556 98	161 260	2,580 16	1,023.18	LT
	Jun 6, 13	21.000	97 379	2,044 97	161.260	3,386 46	1,341 49	LT
	Oct 24, 13	17 000	105 991	1,801 85	161.260	2,741 42	939 57	LT
	Jan 28, 14	45 000	107 934	4,857 05	161 260	7,256 70	2,399 65	LT
Security total		176 000	91.212	16,053 37		28,381.76	12,328.39	
BLACKROCK INC Symbol BLK Exchange NYSE EAI $522 Current yield 2 57%	Oct 30, 15	55 000	352 375	19,380 65	356 330	19,598 15	217 50	ST
	Mar 7, 16	2 000	326 305	652 61	356 330	712 66	60 05	ST
Security total		57 000	351 461	20,033 26		20,310 81	277 55	
CHEVRON CORP Symbol CVX Exchange. NYSE EAI $886 Current yield· 4 19%	Oct 22, 12	14 000	112 232	1,571 26	102 180	1,430 52	-140 74	LT
	Dec 31, 12	16 000	107 108	1,713 73	102 180	1,634 88	-78 85	LT

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Account name: SY SYMS FOUNDATION
Friendly account name: Div Ruler
Account number: WE B8392 EH

Your Financial Advisor:
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212-626-8500/800-458-1764

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Apr 29 ($)	Value on Apr 29 ($)	Unrealized gain or loss ($)	Holding period
	May 3, 13	15 000	123 498	1,852.48	102 180	1,532 70	-319 78	LT
	Jun 6, 13	24 000	120 047	2,881 13	102 180	2,452 32	-428 81	LT
	Oct 24, 13	10 000	120 880	1,208 80	102 180	1,021 80	-187 00	LT
	Jan 28, 14	43 000	116 846	5,024 42	102 180	4,393.74	-630 68	LT
	Feb 10, 14	85.000	111 442	9,472.65	102 180	8,685 30	-787 35	LT
Security total		207 000	114 611	23,724 47		21,151 26	-2,573 21	
CHUBB LTD CHF Symbol. CB Exchange NYSE EAI $622 Current yield 2 27% CHF Exchange rate· 0 95850	Jan 15, 16	122 000	111 020	13,544 44	117 860	14,378 92	834 48	ST
	Jan 20, 16	110 000	109 949	12,094 47	117 860	12,964 60	870 13	ST
Security total		232 000	110 513	25,638 91		27,343 52	1,704 61	
CLOROX CO Symbol CLX Exchange NYSE EAI $638 Current yield 2 46%	Aug 24, 12	38 000	72 356	2,749 55	125.230	4,758 74	2,009.19	LT
	Oct 22, 12	21 000	73 560	1,544 78	125 230	2,629 83	1,085 05	LT
	Dec 31, 12	35 000	72 624	2,541 84	125 230	4,383 05	1,841 21	LT
	May 3, 13	21 000	86 101	1,808 14	125 230	2,629 83	821 69	LT
	Jun 6, 13	22 000	83 518	1,837 40	125.230	2,755 06	917 66	LT
	Oct 24, 13	20 000	88 231	1,764 62	125 230	2,504 60	739 98	LT
	Jan 28, 14	50 000	88 870	4,443 51	125 230	6,261 50	1,817 99	LT
Security total		207 000	80 627	16,689.84		25,922 61	9,232.77	
COLGATE PALMOLIVE CO Symbol CL Exchange NYSE EAI $473 Current yield 2 20%	Aug 24, 12	34 000	52 783	1,794 63	70 920	2,411 28	616 65	LT
	Oct 22, 12	30 000	53 686	1,610 58	70 920	2,127 60	517 02	LT
	Dec 31, 12	74 000	51 784	3,832 04	70 920	5,248 08	1,416 04	LT
	May 3, 13	28 000	60 557	1,695 60	70 920	1,985 76	290 16	LT
	Jun 6, 13	36 000	57 820	2,081 52	70 920	2,553 12	471 60	LT
	Oct 24, 13	25 000	63 001	1,575.03	70 920	1,773 00	197 97	LT
	Jan 28, 14	76 000	62 840	4,775 84	70 920	5,389 92	614 08	LT
Security total		303 000	57 311	17,365 24		21,488 76	4,123 52	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Apr 29 ($)	Value on Apr 29 ($)	Unrealized gain or loss ($)	Holding period
EATON VANCE CORP NON VTG								
Symbol EV Exchange NYSE								
EAI. $286 Current yield 3 07%	Aug 24, 12	45 000	26 248	1,181 17	34 530	1,553 85	372 68	LT
	Oct 22, 12	14 000	28 555	399 77	34 530	483 42	83.65	LT
	Dec 31, 12	60 000	31.645	1,898 70	34 530	2,071 80	173 10	LT
	May 3, 13	23 000	39 575	910.23	34 530	794 19	-116 04	LT
	Jun 6, 13	32.000	40 035	1,281 15	34 530	1,104 96	-176 19	LT
	Oct 24, 13	29 000	41 837	1,213 30	34 530	1,001 37	-211.93	LT
	Jan 28, 14	67 000	39 159	2,623 71	34 530	2,313 51	-310 20	LT
Security total		270 000	35 215	9,508 03		9,323 10	-184 93	
EMERSON ELECTRIC CO								
Symbol EMR Exchange· NYSE								
EAI. $707 Current yield 3 48%	May 31, 12	21 000	46 776	982 31	54 630	1,147 23	164 92	LT
	Aug 24, 12	41 000	51 785	2,123 21	54 630	2,239 83	116 62	LT
	Oct 22, 12	59 000	48 026	2,833 55	54 630	3,223 17	389 62	LT
	May 3, 13	24 000	56 850	1,364.42	54 630	1,311 12	-53 30	LT
	Jun 6, 13	34 000	56 255	1,912 70	54 630	1,857 42	-55 28	LT
	Oct 24, 13	27 000	66 595	1,798 07	54 630	1,475 01	-323 06	LT
	Jan 28, 14	69 000	66 346	4,577.93	54 630	3,769 47	-808 46	LT
	Sep 30, 14	97 000	62 739	6,085 73	54 630	5,299.11	-786.62	LT
Security total		372 000	58 274	21,677.92		20,322 36	-1,355.56	
EVERSOURCE ENERGY COM								
Symbol ES Exchange NYSE								
EAI $723 Current yield 3 16%	Aug 24, 12	54 000	37 821	2,042 34	56 440	3,047 76	1,005 42	LT
	Oct 22, 12	31 000	39 360	1,220 18	56.440	1,749 64	529 46	LT
	Dec 31, 12	85 000	38 695	3,289 10	56 440	4,797 40	1,508 30	LT
	May 3, 13	49 000	45 075	2,208 70	56 440	2,765 56	556 86	LT
	Jun 6, 13	35 000	41 619	1,456 67	56.440	1,975 40	518 73	LT
	Oct 24, 13	42 000	42 814	1,798 22	56 440	2,370 48	572 26	LT
	Jan 28, 14	110 000	42 880	4,716.80	56 440	6,208 40	1,491 60	LT
Security total		406 000	41 212	16,732 01		22,914 64	6,182 63	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Apr 29 ($)	Value on Apr 29 ($)	Unrealized gain or loss ($)	Holding period
EXXON MOBIL CORP								
Symbol XOM Exchange NYSE								
EAI $750 Current yield 3 39%	May 31, 12	11 000	79 584	875 43	88 400	972 40	96 97	LT
	Aug 24, 12	37 000	87 817	3,249 23	88 400	3,270 80	21 57	LT
	Oct 22, 12	20 000	91 372	1,827 44	88 400	1,768 00	-59 44	LT
	Dec 31, 12	39 000	85 785	3,345.62	88 400	3,447 60	101 98	LT
	May 3, 13	24 000	89 976	2,159 43	88 400	2,121 60	-37 83	LT
	Jun 6, 13	31 000	89 966	2,788 96	88.400	2,740 40	-48 56	LT
	Oct 24, 13	22 000	88 690	1,951 20	88.400	1,944 80	-6 40	LT
	Jan 28, 14	66 000	95 492	6,302 50	88 400	5,834 40	-468 10	LT
Security total		250 000	89 999	22,499 81		22,100 00	-399 81	
FACTSET RESH SYSTEMS INC								
Symbol FDS Exchange NYSE								
EAI $197 Current yield 1 17%	Aug 24, 12	3 000	94 240	282 72	150 750	452.25	169 53	LT
	Oct 22, 12	25 000	91 271	2,281 78	150 750	3,768 75	1,486 97	LT
	May 3, 13	15 000	94 348	1,415 23	150 750	2,261 25	846 02	LT
	Jun 6, 13	14 000	99 751	1,396 52	150 750	2,110 50	713 98	LT
	Oct 24, 13	15 000	109 492	1,642 38	150 750	2,261 25	618 87	LT
	Jan 28, 14	40 000	104 790	4,191 60	150 750	6,030 00	1,838.40	LT
Security total		112 000	100.091	11,210 23		16,884 00	5,673 77	
GENL DYNAMICS CORP								
Symbol GD Exchange NYSE								
EAI $623 Current yield 2 16%	May 31, 12	12 000	63 855	766 26	140 520	1,686 24	919 98	LT
	Aug 24, 12	45 000	65 815	2,961 71	140 520	6,323.40	3,361.69	LT
	Oct 22, 12	24 000	66 805	1,603 32	140 520	3,372 48	1,769 16	LT
	Dec 31, 12	8 000	68 630	549.04	140 520	1,124 16	575 12	LT
	May 3, 13	20 000	75 190	1,503 80	140 520	2,810 40	1,306 60	LT
	Jun 6, 13	21 000	77 705	1,631 82	140 520	2,950 92	1,319 10	LT
	Oct 24, 13	22 000	87 120	1,916 66	140 520	3,091 44	1,174 78	LT
	Jan 28, 14	53 000	100 414	5,321 98	140 520	7,447 56	2,125 58	LT
Security total		205 000	79 291	16,254 59		28,806 60	12,552.01	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Apr 29 ($)	Value on Apr 29 ($)	Unrealized gain or loss ($)	Holding period
GENL MILLS INC								
Symbol GIS Exchange. NYSE								
EAI $651 Current yield 3 00%	May 31, 12	11 000	38 305	421 36	61 340	674 74	253 38	LT
	Aug 24, 12	81 000	38 938	3,154 02	61 340	4,968 54	1,814 52	LT
	Oct 22, 12	39.000	39 565	1,543.05	61 340	2,392 26	849 21	LT
	Dec 31, 12	27 000	39 946	1,078 55	61 340	1,656 18	577 63	LT
	May 3, 13	35.000	50 670	1,773 45	61 340	2,146 90	373 45	LT
	Jun 6, 13	39 000	47 257	1,843 05	61 340	2,392 26	549 21	LT
	Oct 24, 13	33.000	49 777	1,642.65	61 340	2,024 22	381 57	LT
	Jan 28, 14	89 000	49 077	4,367 88	61 340	5,459 26	1,091 38	LT
Security total		354 000	44.701	15,824 01		21,714 36	5,890 35	
GRAINGER W W INC								
Symbol GWW Exchange NYSE								
EAI $264 Current yield· 2 08%	Aug 24, 12	8 000	205 043	1,640 35	234 520	1,876 16	235 81	LT
	Oct 22, 12	7 000	204 827	1,433 79	234 520	1,641 64	207 85	LT
	Dec 31, 12	6 000	200 885	1,205 31	234 520	1,407 12	201 81	LT
	May 3, 13	5.000	248 748	1,243 74	234 520	1,172 60	-71 14	LT
	Jun 6, 13	8 000	252 497	2,019.98	234 520	1,876 16	-143 82	LT
	Oct 24, 13	5 000	264 360	1,321 80	234 520	1,172 60	-149 20	LT
	Jan 28, 14	15.000	237 484	3,562 26	234 520	3,517 80	-44 46	LT
Security total		54 000	230 134	12,427 23		12,664 08	236 85	
HARRIS CORP DELA								
Symbol HRS Exchange NYSE								
EAI $454 Current yield 2 50%	Aug 24, 12	4 000	46 500	186 00	80 010	320 04	134 04	LT
	Oct 22, 12	41 000	46 068	1,888 79	80 010	3,280 41	1,391.62	LT
	Dec 31, 12	18 000	48 667	876 01	80 010	1,440 18	564 17	LT
	May 3, 13	29 000	46 534	1,349 51	80 010	2,320 29	970 78	LT
	Jun 6, 13	34 000	50 017	1,700 59	80 010	2,720.34	1,019.75	LT
	Oct 24, 13	31 000	60 075	1,862 33	80 010	2,480 31	617 98	LT
	Jan 28, 14	70 000	69 702	4,879 14	80 010	5,600 70	721 56	LT
Security total		227 000	56 134	12,742 37		18,162 27	5,419 90	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Apr 29 ($)	Value on Apr 29 ($)	Unrealized gain or loss ($)	Holding period
ILLINOIS TOOL WORKS INC								
Symbol ITW Exchange NYSE								
EAI $568 Current yield· 2 11%	Aug 24, 12	31 000	59 856	1,855 56	104 520	3,240 12	1,384 56	LT
	Oct 22, 12	28 000	60 345	1,689 67	104 520	2,926 56	1,236 89	LT
	Dec 31, 12	49 000	60 480	2,963 53	104 520	5,121 48	2,157 95	LT
	May 3, 13	23 000	65 686	1,510 80	104 520	2,403 96	893 16	LT
	Jun 6, 13	32 000	69 550	2,225 60	104 520	3,344 64	1,119 04	LT
	Oct 24, 13	25 000	78 645	1,966 13	104 520	2,613 00	646 87	LT
	Jan 28, 14	70 000	78 712	5,509 89	104 520	7,316 40	1,806 51	LT
Security total		258 000	68 687	17,721 18		26,966.16	9,244 98	
INTL BUSINESS MACH								
Symbol IBM Exchange NYSE								
EAI $666 Current yield 3 83%	Aug 24, 12	13 000	196 490	2,554 37	145 940	1,897 22	-657 15	LT
	Oct 22, 12	11 000	192 799	2,120 79	145 940	1,605 34	-515 45	LT
	Dec 31, 12	7 000	190.108	1,330 76	145 940	1,021 58	-309 18	LT
	May 3, 13	7 000	204 780	1,433 46	145 940	1,021 58	-411 88	LT
	Jun 6, 13	9 000	203 316	1,829 85	145 940	1,313 46	-516 39	LT
	Oct 24, 13	7 000	177 594	1,243 16	145 940	1,021 58	-221 58	LT
	Jan 28, 14	21 000	176 680	3,710 28	145 940	3,064 74	-645 54	LT
	Mar 8, 16	44 000	139 311	6,129.70	145 940	6,421 36	291 66	ST
Security total		119.000	171 028	20,352 37		17,366 86	-2,985 51	
JOHNSON & JOHNSON COM								
Symbol JNJ Exchange. NYSE								
EAI $858 Current yield 2 86%	Oct 22, 12	11 000	71.496	786 46	112 080	1,232 88	446 42	LT
	Dec 31, 12	33 000	69 746	2,301 62	112 080	3,698 64	1,397 02	LT
	May 3, 13	21 000	85 655	1,798 77	112.080	2,353 68	554 91	LT
	Jun 6, 13	24 000	84 230	2,021 52	112 080	2,689 92	668 40	LT
	Oct 24, 13	19 000	92 424	1,756.07	112 080	2,129 52	373 45	LT
	Jan 28, 14	57 000	89 971	5,128 35	112 080	6,388 56	1,260.21	LT
	Feb 10, 14	103 000	90 554	9,327.09	112.080	11,544 24	2,217 15	LT
Security total		268 000	86 268	23,119 88		30,037 44	6,917 56	

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Account name: SY SYMS FOUNDATION
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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Apr 29 ($)	Value on Apr 29 ($)	Unrealized gain or loss ($)	Holding period
KELLOGG CO								
Symbol K Exchange· NYSE								
EAI. $540 Current yield 2 60%	May 31, 12	10 000	49 006	490 06	76 810	768 10	278 04	LT
	Aug 24, 12	55 000	51 403	2,827 20	76 810	4,224 55	1,397.35	LT
	Oct 22, 12	33 000	51 736	1,707 29	76 810	2,534 73	827.44	LT
	Dec 31, 12	18 000	55 443	997 99	76 810	1,382 58	384 59	LT
	May 3, 13	28 000	63 635	1,781 80	76 810	2,150 68	368 88	LT
	Jun 6, 13	31.000	61 877	1,918 20	76 810	2,381 11	462 91	LT
	Oct 24, 13	23 000	61 989	1,425 75	76.810	1,766 63	340 88	LT
	Jan 28, 14	72 000	60 049	4,323 59	76 810	5,530 32	1,206 73	LT
Security total		270 000	57 303	15,471 88		20,738 70	5,266 82	
LOWES COMPANIES INC								
Symbol LOW Exchange NYSE								
EAI $534 Current yield 1.47%	Feb 5, 13	56 000	38 368	2,148 63	76 020	4,257.12	2,108 49	LT
	May 3, 13	32 000	39 506	1,264.22	76 020	2,432 64	1,168 42	LT
	Jun 6, 13	36 000	40 596	1,461 47	76 020	2,736 72	1,275 25	LT
	Aug 22, 13	156 000	46 993	7,331 00	76 020	11,859 12	4,528 12	LT
	Oct 24, 13	45 000	50.247	2,261 12	76 020	3,420 90	1,159 78	LT
	Jan 28, 14	152 000	46 890	7,127 43	76 020	11,555 04	4,427 61	LT
Security total		477 000	45 270	21,593 87		36,261 54	14,667 67	
MCDONALDS CORP								
Symbol MCD Exchange NYSE								
EAI· $548 Current yield 2 81%	Aug 24, 12	38 000	88 841	3,375 96	126.490	4,806 62	1,430 66	LT
	Oct 22, 12	22 000	88 215	1,940 74	126 490	2,782 78	842 04	LT
	Dec 31, 12	5 000	87 614	438 07	126 490	632 45	194 38	LT
	May 3, 13	16 000	102.856	1,645 70	126 490	2,023 84	378.14	LT
	Jun 6, 13	18 000	96 310	1,733 58	126 490	2,276 82	543 24	LT
	Oct 24, 13	13 000	94 667	1,230 68	126 490	1,644 37	413 69	LT
	Jan 28, 14	42 000	94 125	3,953 25	126 490	5,312 58	1,359 33	LT
Security total		154 000	92 974	14,317.98		19,479 46	5,161 48	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Apr 29 ($)	Value on Apr 29 ($)	Unrealized gain or loss ($)	Holding period
MEDTRONIC PLC								
Symbol MDT Exchange NYSE								
EAI $538 Current yield 1.92%	Jan 27, 15	354 000	76 213	26,979 66	79 150	28,019 10	1,039 44	LT
MICROSOFT CORP								
Symbol MSFT Exchange OTC								
EAI. $989 Current yield 2 89%	Aug 24, 12	131 000	30 435	3,987 11	49 870	6,532 97	2,545 86	LT
	Oct 22, 12	162 000	27 937	4,525.94	49 870	8,078 94	3,553 00	LT
	May 3, 13	66 000	33 430	2,206 38	49 870	3,291 42	1,085 04	LT
	Jun 6, 13	82 000	34 766	2,850.85	49.870	4,089.34	1,238 49	LT
	Oct 24, 13	62.000	33 965	2,105 89	49 870	3,091 94	986.05	LT
	Jan 28, 14	184 000	36 156	6,652 74	49.870	9,176 08	2,523 34	LT
Security total		687 000	32.502	22,328 91		34,260 69	11,931 78	
NEXTERA ENERGY INC COM								
Symbol NEE Exchange NYSE								
EAI. $811 Current yield 2 96%	Aug 24, 12	32 000	67 523	2,160 74	117 580	3,762 56	1,601 82	LT
	Oct 22, 12	14 000	71.536	1,001 51	117 580	1,646 12	644 61	LT
	Dec 31, 12	60 000	68 540	4,112 42	117 580	7,054 80	2,942 38	LT
	May 3, 13	27 000	81 635	2,204 17	117.580	3,174 66	970 49	LT
	Jun 6, 13	18.000	77.196	1,389 54	117 580	2,116 44	726 90	LT
	Oct 24, 13	27 000	84 811	2,289 90	117 580	3,174 66	884 76	LT
	Jan 28, 14	55 000	88 742	4,880 81	117.580	6,466 90	1,586 09	LT
Security total		233 000	77 421	18,039 09		27,396 14	9,357 05	
NIKE INC CL B								
Symbol NKE Exchange NYSE								
EAI $170 Current yield 1 09%	Jan 20, 16	265 000	58 215	15,427 21	58 940	15,619 10	191.89	ST
NORDSTROM INC								
Symbol JWN Exchange NYSE								
EAI $435 Current yield 2 89%	May 31, 12	1 000	47 590	47 59	51 130	51 13	3 54	LT
	Aug 24, 12	15 000	57 906	868 59	51 130	766 95	-101 64	LT
	Oct 22, 12	42 000	55 658	2,337 64	51 130	2,147 46	-190 18	LT
	Dec 31, 12	69 000	52 850	3,646 67	51 130	3,527 97	-118 70	LT
	May 3, 13	27 000	58 195	1,571 27	51 130	1,380 51	-190 76	LT
	Jun 6, 13	37 000	58 420	2,161.55	51 130	1,891 81	-269 74	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Apr 29 ($)	Value on Apr 29 ($)	Unrealized gain or loss ($)	Holding period
	Oct 24, 13	29 000	59 104	1,714 03	51 130	1,482 77	-231 26	LT
	Jan 28, 14	74 000	58 432	4,324 00	51 130	3,783 62	-540 38	LT
Security total		294 000	56 705	16,671 34		15,032 22	-1,639 12	
NORFOLK STHN CORP Symbol NSC Exchange NYSE EAI. $529 Current yield 2 62%								
	Aug 24, 12	21 000	73 443	1,542 31	90 110	1,892 31	350 00	LT
	Oct 22, 12	47 000	66 525	3,126.69	90 110	4,235 17	1,108 48	LT
	Dec 31, 12	32 000	61 450	1,966 40	90 110	2,883 52	917 12	LT
	May 3, 13	20 000	78 026	1,560 52	90 110	1,802 20	241 68	LT
	Jun 6, 13	27 000	76 610	2,068 47	90 110	2,432 97	364 50	LT
	Oct 24, 13	22 000	87 510	1,925 24	90 110	1,982 42	57 18	LT
	Jan 28, 14	55 000	91 723	5,044 80	90 110	4,956 05	-88 75	LT
Security total		224 000	76 939	17,234 43		20,184 64	2,950 21	
NOVARTIS AG SPON ADR Symbol NVS Exchange NYSE EAI $548 Current yield 3 03%								
	May 31, 12	25 000	52 065	1,301 63	75 970	1,899 25	597 62	LT
	Aug 24, 12	26 000	60 065	1,561 70	75 970	1,975 22	413 52	LT
	Oct 22, 12	18 000	63 112	1,136 02	75 970	1,367 46	231 44	LT
	Dec 31, 12	34 000	63 196	2,148 67	75 970	2,582 98	434 31	LT
	May 3, 13	27 000	73 385	1,981 41	75 970	2,051 19	69 78	LT
	Jun 6, 13	25 000	71.357	1,783.94	75 970	1,899.25	115.31	LT
	Oct 24, 13	22.000	78.125	1,718 75	75 970	1,671 34	-47 41	LT
	Jan 28, 14	61 000	79 369	4,841 56	75 970	4,634 17	-207 39	LT
Security total		238 000	69 217	16,473.68		18,080 86	1,607 18	
PAYCHEX INC Symbol PAYX Exchange OTC EAI $753 Current yield· 3 22%								
	May 31, 12	17 000	29 990	509 83	52 120	886 04	376 21	LT
	Aug 24, 12	65 000	33 060	2,148 90	52 120	3,387 80	1,238 90	LT
	Oct 22, 12	64 000	32 476	2,078 50	52.120	3,335 68	1,257 18	LT
	Dec 31, 12	52 000	30 926	1,608 20	52 120	2,710 24	1,102 04	LT
	May 3, 13	40 000	37 417	1,496 71	52 120	2,084 80	588 09	LT
	Jun 6, 13	57 000	36 626	2,087.72	52 120	2,970 84	883 12	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Apr 29 ($)	Value on Apr 29 ($)	Unrealized gain or loss ($)	Holding period
	Oct 24, 13	38 000	42 856	1,628 54	52 120	1,980 56	352 02	LT
	Jan 28, 14	115 000	42 247	4,858 50	52 120	5,993 80	1,135 30	LT
Security total		448 000	36 645	16,416.90		23,349 76	6,932 86	
PEPSICO INC Symbol PEP Exchange NYSE EAI. $596 Current yield 2 73%	Aug 24, 12	29.000	73 166	2,121 83	102 960	2,985 84	864 01	LT
	Oct 22, 12	37.000	69 345	2,565.80	102 960	3,809 52	1,243 72	LT
	Dec 31, 12	27 000	67 880	1,832 76	102.960	2,779 92	947 16	LT
	May 3, 13	21 000	82 810	1,739 01	102 960	2,162 16	423 15	LT
	Jun 6, 13	24 000	81 435	1,954 44	102 960	2,471 04	516 60	LT
	Oct 24, 13	19 000	82 846	1,574 09	102 960	1,956 24	382 15	LT
	Jan 28, 14	55 000	82 386	4,531 23	102 960	5,662 80	1,131 57	LT
Security total		212 000	76 977	16,319 16		21,827 52	5,508 36	
PHILLIPS 66 Symbol PSX Exchange NYSE EAI. $603 Current yield· 2 73%	Aug 3, 12	6 000	39 530	237 18	82 110	492 66	255 48	LT
	Aug 24, 12	24 000	41 220	989 30	82 110	1,970 64	981 34	LT
	Oct 22, 12	4 000	44 960	179 84	82 110	328 44	148 60	LT
	Dec 31, 12	33 000	52 530	1,733 50	82 110	2,709 63	976 13	LT
	May 3, 13	19 000	62 270	1,183 13	82 110	1,560 09	376 96	LT
	Jun 6, 13	23 000	65 020	1,495 46	82 110	1,888 53	393 07	LT
	Oct 24, 13	19 000	63 201	1,200 82	82 110	1,560 09	359 27	LT
	Jan 28, 14	49 000	75 315	3,690.48	82 110	4,023 39	332 91	LT
	Feb 4, 15	92 000	74 056	6,813 20	82 110	7,554 12	740 92	LT
Security total		269 000	65 141	17,522 91		22,087 59	4,564 68	
POLARIS INDUSTRIES INC (MINN) Symbol: PII Exchange NYSE EAI $383 Current yield· 2 25%	Dec 31, 12	30 000	83 221	2,496 63	97 880	2,936 40	439 77	LT
	May 3, 13	28 000	85 437	2,392 24	97 880	2,740.64	348 40	LT
	Jun 6, 13	23 000	95 040	2,185 94	97 880	2,251.24	65 30	LT
	Oct 24, 13	22 000	132 690	2,919 18	97 880	2,153 36	-765 82	LT
	Jan 28, 14	71 000	128 560	9,127 76	97.880	6,949 48	-2,178 28	LT

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Account name: SY SYMS FOUNDATION
Friendly account name: Div Ruler
Account number: WE B8392 EH

Your Financial Advisor:
BOCCIA QUINN WEALTH MANAGEMENT
212-626-8500/800-458-1764

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Apr 29 ($)	Value on Apr 29 ($)	Unrealized gain or loss ($)	Holding period
Security total		174 000	109 895	19,121 75		17,031.12	-2,090 63	
PRAXAIR INC								
Symbol PX Exchange NYSE								
EAI $501 Current yield. 2.55%	Oct 22, 12	20 000	104 491	2,089 82	117 460	2,349 20	259.38	LT
	Dec 31, 12	8 000	108 566	868 53	117.460	939 68	71 15	LT
	May 1, 13	43 000	113 621	4,885.71	117.460	5,050 78	165 07	LT
	May 3, 13	16 000	114 616	1,833 86	117 460	1,879 36	45 50	LT
	Jun 6, 13	23 000	114 027	2,622.64	117 460	2,701 58	78 94	LT
	Oct 24, 13	12 000	123 786	1,485 44	117 460	1,409 52	-75 92	LT
	Jan 28, 14	45 000	125 542	5,649 40	117 460	5,285 70	-363 70	LT
Security total		167 000	116 380	19,435 40		19,615 82	180 42	
PROCTER & GAMBLE CO								
Symbol: PG Exchange NYSE								
EAI $576 Current yield 3 34%	May 31, 12	9 000	62 334	561.01	80 120	721 08	160.07	LT
	Aug 24, 12	38 000	66 916	2,542 84	80 120	3,044 56	501 72	LT
	Oct 22, 12	23 000	67 975	1,563 43	80 120	1,842 76	279 33	LT
	Dec 31, 12	26 000	67 286	1,749 44	80.120	2,083 12	333 68	LT
	May 3, 13	21 000	78 256	1,643 38	80 120	1,682 52	39 14	LT
	Jun 6, 13	26 000	76.380	1,985 90	80 120	2,083 12	97 22	LT
	Oct 24, 13	18 000	80 594	1,450 70	80.120	1,442 16	-8 54	LT
	Jan 28, 14	54 000	79 285	4,281.40	80 120	4,326 48	45 08	LT
Security total		215 000	73 387	15,778 10		17,225 80	1,447 70	
QUALCOMM INC								
Symbol QCOM Exchange OTC								
EAI $661 Current yield 4 19%	Sep 23, 14	208 000	76 185	15,846 52	50 520	10,508 16	-5,338 36	LT
	Mar 8, 16	104 000	52 688	5,479 61	50 520	5,254 08	-225 53	ST
Security total		312 000	68 353	21,326 13		15,762 24	-5,563 89	
SCANA CORP NEW								
Symbol SCG Exchange. NYSE								
EAI $345 Current yield 3 35%	Aug 24, 12	30 000	47 825	1,434 75	68 690	2,060 70	625 95	LT
	Oct 22, 12	16 000	48 732	779 72	68 690	1,099 04	319 32	LT
	Dec 31, 12	18 000	45 182	813 28	68 690	1,236 42	423 14	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Apr 29 ($)	Value on Apr 29 ($)	Unrealized gain or loss ($)	Holding period
	May 3, 13	16 000	53 670	858 72	68 690	1,099 04	240 32	LT
	Jun 6, 13	17 000	49 476	841.10	68 690	1,167 73	326 63	LT
	Oct 24, 13	12 000	46 921	563 06	68 690	824 28	261 22	LT
	Jan 28, 14	41 000	46 396	1,902 24	68 690	2,816 29	914 05	LT
Security total		150 000	47 952	7,192 87		10,303 50	3,110 63	
SMUCKER J M CO NEW Symbol SJM Exchange: NYSE EAI. $498 Current yield 2 11%	Aug 24, 12	22 000	84 560	1,860 32	126 980	2,793 56	933 24	LT
	Oct 22, 12	22 000	84 556	1,860 25	126 980	2,793 56	933 31	LT
	Dec 31, 12	37 000	85 585	3,166 65	126 980	4,698 26	1,531 61	LT
	May 3, 13	19 000	103 436	1,965 30	126 980	2,412 62	447 32	LT
	Jun 6, 13	33 000	98 138	3,238 57	126 980	4,190 34	951 77	LT
	Oct 24, 13	4 000	108 765	435 06	126 980	507 92	72 86	LT
	Jan 28, 14	49 000	99 238	4,862 70	126 980	6,222 02	1,359 32	LT
Security total		186 000	93 488	17,388 85		23,618 28	6,229 43	
SOUTHERN CO Symbol SO Exchange NYSE EAI $732 Current yield 4.47%	Aug 24, 12	50 000	45 815	2,290 78	50 100	2,505.00	214 22	LT
	Oct 22, 12	35 000	46.526	1,628 41	50 100	1,753 50	125 09	LT
	Dec 31, 12	53 000	42 405	2,247 47	50.100	2,655 30	407 83	LT
	May 3, 13	35 000	47 249	1,653.73	50 100	1,753 50	99 77	LT
	Jun 6, 13	28.000	44.310	1,240 68	50 100	1,402 80	162 12	LT
	Oct 24, 13	37 000	41 917	1,550 95	50 100	1,853 70	302 75	LT
	Jan 28, 14	89 000	41 148	3,662 18	50 100	4,458 90	796 72	LT
Security total		327 000	43 652	14,274 20		16,382 70	2,108 50	
SYSCO CORP Symbol SYY Exchange NYSE EAI. $591 Current yield 2 69%	May 31, 12	21 000	27 882	585 53	46 070	967 47	381 94	LT
	Aug 24, 12	77 000	30 275	2,331 22	46 070	3,547 39	1,216 17	LT
	Oct 22, 12	52 000	30 746	1,598 80	46 070	2,395 64	796 84	LT
	Dec 31, 12	60 000	31 366	1,881 98	46 070	2,764 20	882 22	LT
	May 3, 13	52.000	34 827	1,811 01	46 070	2,395 64	584 63	LT
	Jun 6, 13	50 000	33 357	1,667 86	46 070	2,303 50	635.64	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Apr 29 ($)	Value on Apr 29 ($)	Unrealized gain or loss ($)	Holding period
	Oct 24, 13	41 000	32 796	1,344 67	46 070	1,888 87	544 20	LT
	Jan 28, 14	124 000	35 818	4,441 50	46 070	5,712 68	1,271 18	LT
Security total		477 000	32 836	15,662 57		21,975 39	6,312 82	
TARGET CORP								
Symbol TGT Exchange NYSE								
EAI $567 Current yield. 2 82%	Aug 24, 12	24 000	63 740	1,529.78	79 500	1,908 00	378 22	LT
	Oct 22, 12	36 000	61 981	2,231 35	79 500	2,862.00	630 65	LT
	Dec 31, 12	49 000	58 755	2,879 00	79 500	3,895.50	1,016 50	LT
	May 3, 13	21 000	70.557	1,481 70	79 500	1,669 50	187 80	LT
	Jun 6, 13	34 000	70 165	2,385 62	79 500	2,703 00	317 38	LT
	Oct 24, 13	23 000	64 066	1,473 53	79 500	1,828 50	354 97	LT
	Jan 28, 14	66 000	58 006	3,828 41	79 500	5,247 00	1,418.59	LT
Security total		253.000	62 488	15,809 39		20,113 50	4,304 11	
UNTD TECHNOLOGIES CORP								
Symbol UTX Exchange NYSE								
EAI $605 Current yield 2 53%	May 31, 12	13.000	74 169	964 20	104 370	1,356 81	392 61	LT
	Aug 24, 12	30 000	80 005	2,400 17	104 370	3,131 10	730 93	LT
	Oct 22, 12	29 000	77 695	2,253 16	104 370	3,026.73	773 57	LT
	Dec 31, 12	2.000	81 375	162 75	104 370	208 74	45 99	LT
	May 3, 13	15 000	93 255	1,398 83	104 370	1,565 55	166 72	LT
	Jun 6, 13	25 000	92 385	2,309 63	104 370	2,609 25	299 62	LT
	Oct 24, 13	12 000	107 029	1,284 35	104 370	1,252 44	-31 91	LT
	Jan 28, 14	49 000	113 420	5,557 58	104 370	5,114 13	-443 45	LT
	Mar 8, 16	54 000	96 943	5,234 93	104 370	5,635 98	401 05	ST
Security total		229 000	94 173	21,565 60		23,900 73	2,335 13	
VF CORP								
Symbol VFC Exchange NYSE								
EAI $617 Current yield 2 35%	Aug 24, 12	57 000	37 492	2,137 08	63 050	3,593.85	1,456 77	LT
	Oct 22, 12	24 000	39 968	959 25	63 050	1,513 20	553 95	LT
	Dec 31, 12	100 000	37 484	3,748.42	63 050	6,305 00	2,556 58	LT
	May 3, 13	44 000	44 407	1,953 95	63 050	2,774.20	820 25	LT
	Jun 6, 13	56 000	46 083	2,580 66	63.050	3,530 80	950 14	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Apr 29 ($)	Value on Apr 29 ($)	Unrealized gain or loss ($)	Holding period
	Oct 24, 13	36 000	52 798	1,900 75	63 050	2,269 80	369 05	LT
	Jan 28, 14	100 000	58.838	5,883 89	63 050	6,305 00	421 11	LT
Security total		417 000	45 957	19,164 00		26,291 85	7,127 85	
WAL MART STORES INC Symbol WMT Exchange NYSE EAI $614 Current yield 2.99%	Aug 24, 12	15.000	72.075	1,081 13	66 870	1,003 05	-78 08	LT
	Oct 22, 12	15.000	75 255	1,128 83	66 870	1,003 05	-125 78	LT
	Dec 31, 12	76 000	67 796	5,152 51	66 870	5,082 12	-70 39	LT
	May 3, 13	23.000	79 206	1,821 74	66 870	1,538 01	-283 73	LT
	Jun 6, 13	26 000	75 585	1,965 21	66 870	1,738 62	-226 59	LT
	Oct 24, 13	23 000	76 220	1,753 08	66 870	1,538 01	-215 07	LT
	Jan 28, 14	59 000	75 059	4,428 53	66 870	3,945 33	-483 20	LT
	Oct 1, 14	70 000	76 208	5,334 57	66 870	4,680 90	-653 67	LT
Security total		307 000	73 829	22,665 60		20,529 09	-2,136 51	
WEC ENERGY GROUP INC COM Symbol WEC Exchange NYSE EAI $316 Current yield 2.89%	Aug 24, 12	37 000	38 240	1,414 90	58 210	2,153 77	738 87	LT
	Oct 22, 12	25 000	38 066	951 65	58 210	1,455 25	503 60	LT
	Dec 31, 12	19 000	36 524	693 97	58.210	1,105 99	412 02	LT
	May 3, 13	18 000	44 480	800 64	58 210	1,047.78	247 14	LT
	Jun 6, 13	18 000	40 846	735 23	58 210	1,047 78	312 55	LT
	Oct 24, 13	20 000	42 120	842 40	58 210	1,164 20	321 80	LT
	Jan 28, 14	51 000	41 516	2,117 33	58.210	2,968 71	851 38	LT
Security total		188 000	40 192	7,556 12		10,943 48	3,387 36	
3M CO Symbol MMM Exchange NYSE EAI $693 Current yield 2 65%	May 31, 12	6.000	84.540	507 24	167 380	1,004 28	497 04	LT
	Aug 24, 12	24 000	92 630	2,223 14	167 380	4,017 12	1,793 98	LT
	Oct 22, 12	22 000	91 636	2,016 01	167 380	3,682 36	1,666 35	LT
	Dec 31, 12	14 000	92 260	1,291 64	167 380	2,343 32	1,051 68	LT
	May 3, 13	14 000	108 000	1,512 00	167 380	2,343 32	831 32	LT
	Jun 6, 13	21 000	108 540	2,279 36	167 380	3,514 98	1,235 62	LT
	Oct 24, 13	14 000	123 640	1,730 96	167 380	2,343 32	612 36	LT

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Account name: SY SYMS FOUNDATION
Friendly account name: Div Ruler
Account number: WE B8392 EH

Your Financial Advisor:
BOCCIA QUINN WEALTH MANAGEMENT
212-626-8500/800-458-1764

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Apr 29 ($)	Value on Apr 29 ($)	Unrealized gain or loss ($)	Holding period
	Jan 28, 14	41.000	129 679	5,316.86	167 380	6,862 58	1,545 72	LT
Security total		156 000	108 187	16,877.21		26,111 28	9,234.07	
Total TOTAL STOCKS & OTHER EQUITIES				**$919,916.46**		**$1,119,298.56**	**$199,382.10**	

Account name: SY SYMS FOUNDATION
Friendly account name: Schafer Int'l
Account number: WE B8395 EH

Your Financial Advisor:
BOCCIA QUINN WEALTH MANAGEMENT
212-626-8500/800-458-1764

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Apr 29 ($)	Value on Apr 29 ($)	Unrealized gain or loss ($)	Holding period
ABB LTD SPON ADR								
Symbol ABB Exchange NYSE								
EAI: $364 Current yield· 3.51%	Apr 15, 14	225 000	25.489	5,735 22	21.110	4,749 75	-985.47	LT
	Feb 19, 15	1 000	21 200	21 20	21 110	21.11	-0 09	LT
	Nov 6, 15	265 000	19 114	5,065 40	21.110	5,594.15	528.75	ST
Security total		491 000	22 040	10,821 82		10,365.01	-456 81	
ALLIANZ SE ADR								
Symbol AZSEY Exchange. OTC								
EAI $494 Current yield· 3 47%	Nov 6, 15	538 000	17 058	9,177 69	17 040	9,167 52	-10 17	ST
	Feb 5, 16	35 000	15.804	553 15	17 040	596 40	43 25	ST
	Mar 31, 16	262 000	16 280	4,265.36	17.040	4,464 48	199 12	ST

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Apr 29 ($)	Value on Apr 29 ($)	Unrealized gain or loss ($)	Holding period
Security total		835 000	16 762	13,996 20		14,228 40	232 20	
ASTRAZENECA PLC SPON ADR Symbol AZN Exchange NYSE EAI $142 Current yield 4 71%	Apr 15, 14	104 000	31 255	3,250 52	28 960	3,011 84	-238 68	LT
BAE SYSTEMS PLC SPON ADR Symbol. BAESY Exchange OTC EAI $333 Current yield 4 20%	Apr 15, 14	284 000	26 480	7,520.32	27 940	7,934 96	414.64	LT
BAYER A G SPON ADR Symbol BAYRY Exchange OTC EAI $167 Current yield 1 82%	Apr 15, 14	57.000	126 424	7,206 22	113 080	6,445 56	-760 66	LT
	Nov 6, 15	24 000	133 531	3,204 76	113 080	2,713 92	-490 84	ST
Security total		81 000	128 531	10,410 98		9,159 48	-1,251 50	
BCE INC NEW CAD Symbol BCE Exchange. NYSE EAI $501 Current yield 4 62% CAD Exchange rate 1 25260	Apr 29, 14	231 000	44 025	10,169 78	46 910	10,836 21	666 43	LT
BHP BILLITON LTD SPON ADR Symbol BHP Exchange NYSE EAI. $256 Current yield 4 98%	Apr 15, 14	125 000	69 835	8,729.38	31 340	3,917.50	-4,811 88	LT
	Apr 28, 14	39 000	69.580	2,713 64	31 340	1,222 26	-1,491 38	LT
Security total		164 000	69 775	11,443 02		5,139 76	-6,303.26	
BNP PARIBAS SA ADR Symbol BNPQY Exchange OTC EAI $370 Current yield. 3 44%	Apr 15, 14	403 000	37.309	15,035 72	26 680	10,752 04	-4,283 68	LT
BOC HONG KONG HOLDINGS LTD SPON ADR Symbol BHKLY Exchange OTC EAI $251 Current yield 4 73%	Apr 29, 14	88 000	58 569	5,154 14	60 310	5,307 28	153 14	LT
BRITISH AMER TOBACCO PLC GB SPON ADR Symbol BTI Exchange AMEX EAI $505 Current yield 3 60%	Apr 15, 14	115 000	114 806	13,202 71	122 150	14,047 25	844 54	LT
CNOOC LTD SPON ADR Symbol CEO Exchange NYSE EAI $243 Current yield 4 69%	Sep 4, 14	42 000	201 162	8,448 82	123 430	5,184 06	-3,264 76	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Apr 29 ($)	Value on Apr 29 ($)	Unrealized gain or loss ($)	Holding period
DEUTSCHE TELEKOM AG DE SPON ADR Symbol DTEGY Exchange OTC EAI $548 Current yield 3 29%	Apr 15, 14	951 000	15 290	14,540 79	17 540	16,680 54	2,139 75	LT
DEUTSCHE POST AG SPON ADR Symbol DPSGY Exchange. OTC EAI $112 Current yield 3 04%	Apr 15, 14	125 000	35 750	4,468 75	29 440	3,680 00	-788.75	LT
DIAGEO PLC NEW GB SPON ADR Symbol DEO Exchange NYSE EAI $290 Current yield 3 15%	Jan 20, 16	69 000	102 313	7,059 65	108 330	7,474 77	415 12	ST
	Mar 31, 16	16 000	107 980	1,727 68	108 330	1,733 28	5 60	ST
Security total		85 000	103 380	8,787 33		9,208 05	420 72	
ENGIE SPON ADR Symbol· ENGIY Exchange. OTC EAI $153 Current yield 6.40%	Apr 15, 14	33 000	27 354	902 70	16 480	543 84	-358 86	LT
	Apr 29, 14	112 000	26 402	2,957 10	16.480	1,845 76	-1,111 34	LT
Security total		145 000	26 619	3,859 80		2,389 60	-1,470 20	
GLAXO SMITHKLINE PLC ADR Symbol GSK Exchange NYSE EAI $736 Current yield 5 39%	Apr 15, 14	312.000	52 269	16,308 20	42 910	13,387 92	-2,920 28	LT
	Apr 29, 14	6 000	55 751	334 51	42 910	257 46	-77.05	LT
Security total		318 000	52 336	16,642.71		13,645.38	-2,997 33	
HONDA MOTOR CO ADR JAPAN ADR Symbol· HMC Exchange NYSE EAI $177 Current yield· 2 36%	Mar 6, 15	274 000	33 537	9,189 27	26 960	7,387 04	-1,802 23	LT
	Mar 12, 15	4 000	34 282	137 13	26 960	107 84	-29 29	LT
Security total		278 000	33 548	9,326 40		7,494 88	-1,831 52	
HSBC HOLDINGS PLC NEW GB SPON ADR Symbol HSBC Exchange NYSE EAI $859 Current yield 7 65%	Apr 15, 14	337 000	51.915	17,495 69	33 330	11,232 21	-6,263 48	LT
IMPERIAL BRANDS PLC SPON ADR Symbol· IMBBY Exchange OTC EAI $561 Current yield 3 83%	Apr 15, 14	80 000	83 600	6,688 00	108 600	8,688 00	2,000.00	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Apr 29 ($)	Value on Apr 29 ($)	Unrealized gain or loss ($)	Holding period
	Apr 29, 14	55 000	87 979	4,838 89	108 600	5,973 00	1,134 11	LT
Security total		135 000	85 384	11,526 89		14,661 00	3,134 11	
JAPAN TOBACCO INC UNSPONSORED ADR Symbol JAPAY Exchange OTC EAI $220 Current yield 1.89%	Oct 30, 14	569 000	16 701	9,502 87	20 450	11,636 05	2,133 18	LT
MANULIFE FINANCIAL CORP CAD Symbol MFC Exchange NYSE EAI· $315 Current yield 3 85% CAD Exchange rate 1 25260	Apr 15, 14	556 000	18 226	10,133 99	14 730	8,189 88	-1,944 11	LT
MICHELIN COMPAGNIE GENERALE ADR Symbol. MGDDY Exchange OTC EAI $236 Current yield 2 28%	Feb 12, 15	495 000	19 239	9,523 55	20 940	10,365.30	841 75	LT
MTN GROUP LTD SPON ADR Symbol MTNOY Exchange OTC EAI $185 Current yield· 7 08%	Apr 15, 14	250 000	20 559	5,139 77	10 450	2,612 50	-2,527.27	LT
MUNICH RE GROUP ADR Symbol MURGY Exchange OTC EAI $361 Current yield 3 42%	Apr 15, 14	566 000	22.088	12,502 37	18 650	10,555 90	-1,946.47	LT
NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ ADR Symbol NSRGY Exchange OTC EAI. $437 Current yield· 2 55%	Apr 15, 14	230 000	76 080	17,498 40	74 570	17,151 10	-347 30	LT
NIPPON TELEG & TEL CORP SPON ADR Symbol NTT Exchange NYSE EAI $232 Current yield· 1 53%	Apr 15, 14	340 000	26 056	8,859.04	44 570	15,153 80	6,294 76	LT
NOVARTIS AG SPON ADR Symbol NVS Exchange· NYSE EAI· $481 Current yield 3 03%	Apr 15, 14	209 000	83 685	17,490 37	75 970	15,877 73	-1,612 64	LT
ORKLA ASA A SHS SPON ADR Symbol ORKLY Exchange· OTC EAI $177 Current yield· 2 69%	Apr 15, 14	307 000	8 099	2,486 55	8 700	2,670 90	184 35	LT
	Apr 30, 14	448.000	8 302	3,719 65	8 700	3,897 60	177 95	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Apr 29 ($)	Value on Apr 29 ($)	Unrealized gain or loss ($)	Holding period
Security total		755 000	8 220	6,206 20		6,568 50	362 30	
P T TELEKOMUNIKASI INDONESIA SPON ADR Symbol TLK Exchange NYSE EAI $124 Current yield 1 86%	Apr 15, 14	125 000	39 960	4,995 00	53 370	6,671 25	1,676 25	LT
REXAM PLC SPON ADR Symbol REXMY Exchange OTC EAI $556 Current yield 4 79%	Sep 4, 14	2 000	41 990	83 98	45.500	91 00	7 02	LT
	Sep 8, 14	253 000	40 813	10,325 94	45 500	11,511.50	1,185 56	LT
Security total		255 000	40 823	10,409 92		11,602 50	1,192.58	
RIOCAN REAL ESTATE INVST TR (CANADA) CAD Symbol RIOCF Exchange OTC EAI $219 Current yield 5 07% CAD Exchange rate 1 25260	Apr 15, 14	199 000	25 016	4,978 38	21 720	4,322 28	-656 10	LT
ROCHE HLDG LTD SPONS ADR SWITZ ADR Symbol RHHBY Exchange OTC EAI $291 Current yield 2.66%	Apr 15, 14	347 000	36 085	12,521 50	31 570	10,954 79	-1,566 71	LT
ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B ORD SHS SPON ADR Symbol RDS B Exchange. NYSE EAI $470 Current yield 7 05%	Apr 15, 14	125 000	80 002	10,000 30	53.350	6,668 75	-3,331 55	LT
SANOFI SPON ADR Symbol SNY Exchange NYSE EAI $268 Current yield 2 68%	Apr 15, 14	243 000	51 554	12,527 65	41 100	9,987 30	-2,540 35	LT
SIEMENS A G SPON ADR Symbol SIEGY Exchange OTC EAI $219 Current yield 2 68%	Apr 15, 14	78 000	131 520	10,258 56	104 780	8,172 84	-2,085 72	LT
SINGAPORE TELECOM LTD NEW 2006 SPON ADR Symbol SGAPY Exchange. OTC EAI $555 Current yield 4 20%	Apr 15, 14	380 000	29 650	11,267 00	28 420	10,799 60	-467 40	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Apr 29 ($)	Value on Apr 29 ($)	Unrealized gain or loss ($)	Holding period
	Apr 29, 14	85 000	30 100	2,558 58	28 420	2,415 70	-142 88	LT
Security total		465 000	29 732	13,825 58		13,215.30	-610 28	
SMITHS GROUP PLC SPON ADR Symbol SMGZY Exchange OTC EAI. $137 Current yield 3 57%	Apr 15, 14	234 000	20 940	4,899 96	16 400	3,837 60	-1,062 36	LT
SONIC HEALTHCARE LTD ADR Symbol SKHCY Exchange. OTC EAI $270 Current yield 2 92%	Mar 9, 16	9 000	13 715	123 44	14 700	132 30	8 86	ST
	Mar 31, 16	621 000	14 349	8,911 16	14 700	9,128 70	217.54	ST
Security total		630 000	14 341	9,034 60		9,261 00	226 40	
SSE PLC SPON ADR Symbol SSEZY Exchange. OTC EAI. $656 Current yield· 5 93%	Apr 29, 14	498 000	26 051	12,973 50	22.200	11,055 60	-1,917 90	LT
STATOIL ASA SPON ADR Symbol. STO Exchange NYSE EAI $286 Current yield 3 67%	Apr 15, 14	173 778	28 816	5,007 76	17 590	3,056 76	-1,951 00	LT
	Apr 29, 14	265 000	30.008	7,952 33	17 590	4,661 35	-3,290 98	LT
	Earnings	4 222	18.365	77 54	17 590	74 26	-3.28	
Security total		443.000	29 430	13,037 63		7,792 37	-5,245 26	
TOTAL S A FRANCE SPON ADR Symbol· TOT Exchange NYSE EAI $447 Current yield 4 52%	Apr 15, 14	195 000	67 877	13,236.15	50 750	9,896.25	-3,339 90	LT
UBS GROUP AG CHF Symbol UBS Exchange NYSE EAI $408 Current yield 3 63% CHF Exchange rate 0 95850	Mar 18, 15	319 000	18 125	5,781 91	17 270	5,509 13	-272 78	LT
	Mar 19, 15	332 000	18 189	6,038 81	17.270	5,733 64	-305.17	LT
Security total		651 000	18.158	11,820 72		11,242 77	-577 95	
UNILEVER NV N Y SHS NEW NETHERLANDS SPON SPON ADR Symbol UN Exchange NYSE EAI $394 Current yield 2 76%	Apr 15, 14	324 000	42 435	13,749 26	44 020	14,262.48	513.22	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Apr 29 ($)	Value on Apr 29 ($)	Unrealized gain or loss ($)	Holding period
UNTD OVERSEAS BK LTD SPONS ADR SINGAPORE ADR Symbol UOVEY Exchange OTC EAI. $286 Current yield· 3 64%	Apr 15, 14	211 000	35 510	7,492 61	27 380	5,777 18	-1,715 43	LT
	Apr 29, 14	76 000	33.922	2,578 09	27 380	2,080.88	-497 21	LT
Security total		287.000	35.090	10,070.70		7,858 06	-2,212 64	
VODAFONE GROUP PLC SPON ADR Symbol VOD Exchange OTC EAI. $367 Current yield 5.12%	Apr 15, 14	219 000	35 326	7,736 41	32.740	7,170 06	-566 35	LT
ZURICH INS GROUP LTD SPON ADR Symbol. ZURVY Exchange· OTC EAI· $929 Current yield: 7 83%	Apr 15, 14	442 000	28.289	12,503 74	22.400	9,900 80	-2,602 94	LT
	Apr 29, 14	88 000	28 856	2,539 38	22 400	1,971.20	-568 18	LT
Security total		530 000	28 383	15,043 12		11,872 00	-3,171 12	
Total								
~~Total estimated annual income: $46,500~~ TOTAL STOCK & OTHER EQUITIES				**$484,077.89**		**$438,911.91**	**-$45,165.98**	

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Your assets

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Apr 29 ($)	Value on Apr 29 ($)	Unrealized gain or loss ($)	Holding period
AFFILIATED MANAGERS GROUP Symbol. AMG Exchange. NYSE	Apr 15, 14	83 000	180 482	14,980.01	170 320	14,136 56	-843.45	LT
AMERCO Symbol. UHAL Exchange. OTC	Apr 15, 14	64 000	242 000	15,488 00	352 000	22,528.00	7,040 00	LT
BIG LOTS INC Symbol· BIG Exchange. NYSE EAI. $223 Current yield· 1 83%	Dec 12, 14	132 000	40 289	5,318.24	45 860	6,053 52	735 28	LT
	Dec 15, 14	133 000	39 066	5,195.79	45.860	6,099 38	903 59	LT
Security total		265.000	39 676	10,514 03		12,152 90	1,638.87	
BRINKER INTL INC Symbol EAT Exchange NYSE EAI· $175 Current yield· 2.76%	Apr 15, 14	137.000	51 249	7,021.24	46.320	6,345 84	-675.40	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Apr 29 ($)	Value on Apr 29 ($)	Unrealized gain or loss ($)	Holding period
BRUNSWICK CORP								
Symbol BC Exchange NYSE								
EAI $124 Current yield 1 25%	Apr 15, 14	207 000	42.016	8,697 44	48 030	9,942 21	1,244 77	LT
CARDTRONICS INC								
Symbol CATM Exchange OTC	Apr 15, 14	272 000	36 279	9,868 13	39 420	10,722 24	854 11	LT
CENTENE CORP								
Symbol CNC Exchange NYSE	Apr 15, 14	197 000	51 937	10,231 74	61 960	12,206 12	1,974 38	LT
CHECK POINT SOFTWARE TECH LTD								
ILS								
Symbol CHKP Exchange OTC								
ILS Exchange rate. 3 73250	Apr 15, 14	91 000	65 658	5,974 95	82 870	7,541 17	1,566 22	LT
	Feb 1, 16	32 000	80 157	2,565 03	82 870	2,651 84	86.81	ST
Security total		123 000	69 431	8,539.98		10,193 01	1,653 03	
CHURCH & DWIGHT CO INC								
Symbol CHD Exchange NYSE								
EAI· $165 Current yield· 1 53%	Apr 15, 14	116 000	68 319	7,925 10	92 700	10,753 20	2,828.10	LT
CORELOGIC INC COM								
Symbol CLGX Exchange NYSE	Apr 15, 14	234 000	27 907	6,530 25	35 480	8,302 32	1,772 07	LT
CULLEN FROST BANKERS INC								
Symbol: CFR Exchange NYSE								
EAI· $320 Current yield 3 38%	Apr 15, 14	105 000	76 320	8,013 60	63 990	6,718 95	-1,294 65	LT
	Nov 2, 15	21 000	69 096	1,451 03	63 990	1,343 79	-107 24	ST
	Nov 3, 15	22 000	69 742	1,534 34	63 990	1,407 78	-126 56	ST
Security total		148 000	74.317	10,998 97		9,470 52	-1,528 45	
DICK'S SPORTING GOODS INC								
Symbol DKS Exchange NYSE								
EAI $94 Current yield. 1.31%	Apr 15, 14	155.000	51 888	8,042 64	46 340	7,182 70	-859.94	LT
EASTGROUP PROPERTIES INC								
(MARYLAND CORP)								
Symbol EGP Exchange NYSE								
EAI· $360 Current yield 4 02%	Apr 15, 14	150 000	62 669	9,400 48	59 750	8,962 50	-437 98	LT
FACTSET RESH SYSTEMS INC								
Symbol FDS Exchange NYSE								
EAI $69 Current yield 1 17%	Apr 15, 14	39 000	104 540	4,077 06	150 750	5,879 25	1,802 19	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Apr 29 ($)	Value on Apr 29 ($)	Unrealized gain or loss ($)	Holding period
FIRST REP BANK Symbol FRC Exchange NYSE EAI $132 Current yield 0 91%	Apr 15, 14	207 000	52 185	10,802 34	70 320	14,556 24	3,753 90	LT
FOOT LOCKER INC Symbol FL Exchange NYSE EAI $165 Current yield 1 79%	Jan 21, 16	75 000	64 324	4,824 30	61 440	4,608 00	-216 30	ST
	Jan 25, 16	37 000	64 788	2,397 16	61 440	2,273 28	-123 88	ST
	Jan 26, 16	38 000	66 237	2,517 02	61 440	2,334 72	-182 30	ST
Security total		150 000	64 923	9,738 48		9,216 00	-522 48	
GALLAGHER ARTHUR J & CO Symbol. AJG Exchange NYSE EAI $286 Current yield 3 30%	Apr 15, 14	127 000	44 945	5,708 09	46 040	5,847 08	138 99	LT
	Nov 2, 15	61 000	43 491	2,652 98	46 040	2,808 44	155 46	ST
Security total		188 000	44 474	8,361 07		8,655 52	294.45	
GRACO INC Symbol GGG Exchange. NYSE EAI $147 Current yield 1 69%	Apr 15, 14	111 000	72 318	8,027 30	78 390	8,701 29	673 99	LT
HARMAN INTL INDS INC NEW Symbol HAR Exchange NYSE EAI $122 Current yield 1 83%	Apr 15, 14	87 000	103 090	8,968 84	76 760	6,678 12	-2,290 72	LT
HUBBELL INC Symbol HUBB Exchange· NYSE EAI $300 Current yield. 2 38%	Apr 15, 14	119.000	114 769	13,657 58	105 760	12,585 44	-1,072 14	LT
INGREDION INC COM Symbol INGR Exchange NYSE EAI· $272 Current yield 1 57%	Apr 15, 14	151 000	67 140	10,138 14	115 090	17,378 59	7,240 45	LT
JAZZ PHARMACEUTICALS PLC Symbol JAZZ Exchange OTC	Apr 15, 14	69 000	127 370	8,788 53	150 700	10,398.30	1,609 77	LT
KANSAS CITY STHN NEW Symbol KSU Exchange NYSE EAI. $84 Current yield· 1 39%	Apr 15, 14	64 000	98 420	6,298 88	94 750	6,064 00	-234 88	LT
MANHATTAN ASSOC INC Symbol. MANH Exchange OTC	Apr 15, 14	129 000	32 392	4,178 59	60 540	7,809 66	3,631.07	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Apr 29 ($)	Value on Apr 29 ($)	Unrealized gain or loss ($)	Holding period
MARKEL CORP (HOLDING CO) Symbol MKL Exchange. NYSE	Apr 15, 14	20 000	611 850	12,237 00	899.110	17,982 20	5,745 20	LT
MEDNAX INC Symbol MD Exchange NYSE	Apr 15, 14	138 000	59 812	8,254 15	71 290	9,838 02	1,583.87	LT
MICROSEMI CORP Symbol MSCC Exchange OTC	Apr 15, 14	251 000	23 521	5,904 02	33 790	8,481 29	2,577 27	LT
MOVADO GROUP INC Symbol· MOV Exchange· NYSE EAI $136 Current yield 1 85%	Jul 30, 14	45 000	40.449	1,820 21	28 210	1,269 45	-550 76	LT
	Jul 31, 14	54 000	40 804	2,203 42	28 210	1,523 34	-680 08	LT
	Aug 1, 14	54.000	41 080	2,218 34	28 210	1,523 34	-695 00	LT
	Aug 4, 14	54 000	41 507	2,241 39	28 210	1,523 34	-718 05	LT
	Aug 5, 14	54 000	42 037	2,270 04	28 210	1,523 34	-746 70	LT
Security total		261 000	41 201	10,753 40		7,362 81	-3,390 59	
NXP SEMICONDUCTORS N V COM EUR Symbol NXPI Exchange· OTC EUR Exchange rate 0 87309	Apr 15, 14	74 000	57 076	4,223 66	85 280	6,310 72	2,087 06	LT
OIL STATES INTL INC Symbol· OIS Exchange NYSE	Apr 15, 14	73 000	55 075	4,020 49	34 640	2,528.72	-1,491 77	LT
OLIN CORP Symbol OLN Exchange NYSE EAI $161 Current yield 3.68%	Apr 15, 14	201 000	27 600	5,547 80	21 790	4,379 79	-1,168 01	LT
PACKAGING CORP OF AMERICA Symbol PKG Exchange. NYSE EAI· $594 Current yield 3 39%	Apr 15, 14	270 000	66 821	18,041 67	64 880	17,517 60	-524 07	LT
PEBBLEBROOK HOTEL TR Symbol PEB Exchange NYSE EAI $280 Current yield 5 51%	Apr 15, 14	184 000	33 830	6,224 72	27 640	5,085 76	-1,138 96	LT
REGENCY CENTERS CORP Symbol REG Exchange NYSE EAI $274 Current yield 2 71%	Feb 26, 16	7 000	70 874	496 12	73.700	515 90	19 78	ST
	Feb 29, 16	7 000	70 872	496 11	73 700	515 90	19 79	ST
	Mar 1, 16	28 000	72.006	2,016 19	73.700	2,063 60	47 41	ST
	Mar 2, 16	28 000	73 157	2,048 42	73 700	2,063 60	15 18	ST

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Apr 29 ($)	Value on Apr 29 ($)	Unrealized gain or loss ($)	Holding period
	Mar 3, 16	31 000	73 518	2,279 06	73 700	2,284 70	5 64	ST
	Mar 4, 16	36 000	73 869	2,659 31	73 700	2,653 20	-6.11	ST
Security total		137 000	72 958	9,995 21		10,096 90	101 69	
ROBERT HALF INTL INC Symbol· RHI Exchange. NYSE EAI $265 Current yield 2 30%	Apr 15, 14	301 000	40 040	12,052 34	38 310	11,531.31	-521 03	LT
SL GREEN REALTY CORP Symbol SLG Exchange. NYSE EAI· $259 Current yield· 2 74%	Apr 15, 14	90 000	100 661	9,059 51	105 080	9,457 20	397 69	LT
SMITH A O CORP Symbol· AOS Exchange NYSE EAI $262 Current yield 1 24%	Apr 15, 14	273 000	44 929	12,265 86	77 220	21,081 06	8,815 20	LT
TESSERA TECHNOLOGIES INC Symbol TSRA Exchange OTC EAI $246 Current yield 2 79%	Jun 19, 15	40 000	38 476	1,539 04	28 720	1,148 80	-390 24	ST
	Jun 22, 15	22 000	39 313	864 89	28 720	631 84	-233 05	ST
	Jun 23, 15	12 000	39 339	472 07	28 720	344 64	-127 43	ST
	Jun 24, 15	10 000	39 347	393 47	28 720	287.20	-106 27	ST
	Jun 25, 15	11.000	39 430	433 73	28 720	315 92	-117 81	ST
	Jun 26, 15	57 000	38 303	2,183 31	28 720	1,637 04	-546 27	ST
	Jun 29, 15	52 000	37 960	1,973 96	28 720	1,493 44	-480 52	ST
	Jun 30, 15	62 000	37 940	2,352 31	28 720	1,780.64	-571 67	ST
	Jul 1, 15	41 000	37 946	1,555 82	28 720	1,177 52	-378 30	ST
Security total		307 000	38 334	11,768 60		8,817 04	-2,951.56	
TRUSTMARK CORP Symbol· TRMK Exchange. OTC EAI $315 Current yield 3 76%	Apr 15, 14	219 000	23 812	5,214 83	24 510	5,367 69	152.86	LT
	Nov 2, 15	61 000	24 412	1,489.14	24 510	1,495 11	5 97	ST
	Nov 3, 15	62 000	24 836	1,539 89	24 510	1,519 62	-20 27	ST
Security total		342 000	24 105	8,243 86		8,382 42	138 56	
U S SILICA HLDGS INC Symbol SLCA Exchange NYSE EAI $67 Current yield 0 98%	Apr 15, 14	267 000	40 528	10,821 05	25 550	6,821 85	-3,999 20	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Apr 29 ($)	Value on Apr 29 ($)	Unrealized gain or loss ($)	Holding period
WESTAR ENERGY INC								
Symbol WR Exchange NYSE								
EAI $461 Current yield 2 95%	Feb 1, 16	60 000	44 273	2,656 40	51 610	3,096 60	440 20	ST
	Feb 2, 16	60 000	44 798	2,687 90	51 610	3,096 60	408 70	ST
	Feb 3, 16	60 000	46 158	2,769 52	51 610	3,096 60	327 08	ST
	Feb 4, 16	61 000	45.623	2,783 06	51 610	3,148 21	365.15	ST
	Feb 5, 16	62 000	45 458	2,818 42	51 610	3,199 82	381 40	ST
Security total		303 000	45 265	13,715 30		15,637 83	1,922 53	
Total				**$384,403.46**		**$422,133.05**	**$37,729.59**	

Total estimated annual income: $6,358

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Apr 29 ($)	Value on Apr 29 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
ISHARES TRUST CORE S&P MID-CAP									
ETF									
Symbol IJH									
Trade date Apr 15, 14	121 000	132 090	15,982 94	15,982.94	145 830	17,645 43	1,662 49	1,662 49	LT
EAI $269 Current yield. 1 52%									
ISHARES TRUST CORE S&P									
SMALL-CAP ETF									
Symbol IJR									
Trade date Apr 15, 14	134.000	105 589	14,149 05	14,149 05	113 920	15,265 28	1,116 23		LT
Trade date Jun 19, 15	52 000	121 129	6,298 73	6,298 73	113 920	5,923 84	-374.89		ST
EAI $304 Current yield 1 43%									
Security total	186.000	109 934	20,447 78	20,447 78		21,189.12	741.34	741 34	
POWERSHARES EXCHANGE-TRADED FD									

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UBS

Portfolio Management Program
April 2016

Account name: SY SYMS FOUNDATION
Friendly account name: SMID
Account number: WE B8394 EH

Your Financial Advisor:
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Your assets › **Equities** › **Closed end funds & Exchange traded products** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Apr 29 ($)	Value on Apr 29 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
TR II S&P SMALLCAP									
INFORMATION TECHNOLOGY PORT									
ETF									
Symbol PSCT									
Trade date Apr 15, 14									
EAI. $24 Current yield. 0 19%	230 000	42 719	9,825 58	9,825 58	53.900	12,397 00	2,571 42	2,571 42	LT
POWERSHARES EXCHANGE-TRADED FD									
TR II S&P SMALLCAP HEALTH									
CARE PORT ETF									
Symbol PSCH									
Trade date Apr 15, 14	217.000	51 780	11,236 26	11,236 26	69.400	15,059.80	3,823 54		LT
Trade date Jun 19, 15	54 000	73 049	3,944.69	3,944 69	69.400	3,747 60	-197 09		ST
Security total	271.000	56.018	15,180.95	15,180 95		18,807 40	3,626 45	3,626 45	
Total			**$61,437.25**	**$61,437.25**		**$70,038.95**	**$8,601.70**	**$8,601.70**	

TOTAL STOCKS & OTHER EQUITIES 445,840.71 447,177.00

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Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Apr 29 ($)	Value on Apr 29 ($)	Unrealized gain or loss ($)	Holding period
AT&T INC								
Symbol: T Exchange: NYSE								
EAI $758 Current yield 4 94%	Sep 1, 15	272 000	32 545	8,852 48	38 820	10,559 04	1,706 56	ST
	Feb 18, 16	123 000	36 757	4,521 16	38 820	4,774 86	253 70	ST
Security total		395.000	33.857	13,373 64		15,333 90	1,960 26	
BANCO BILBAO VIZCAYA								
ARGENTARIA S A SPON ADR								
Symbol BBVA Exchange NYSE								
EAI: $382 Current yield: 4.77%	Apr 16, 14	286.000	12 256	3,505 22	6.890	1,970 54	-1,534 68	LT
	May 15, 14	118 000	12 095	1,427 28	6 890	813 02	-614 26	LT
	Jun 16, 14	108 000	13 019	1,406 15	6 890	744.12	-662.03	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Apr 29 ($)	Value on Apr 29 ($)	Unrealized gain or loss ($)	Holding period
	Jul 16, 14	201 000	12 412	2,495 01	6 890	1,384 89	-1,110 12	LT
	Aug 15, 14	119 000	11 716	1,394 22	6 890	819 91	-574 31	LT
	Apr 16, 15	330 000	10 104	3,334 55	6 890	2,273 70	-1,060 85	LT
Security total		1,162 000	11 672	13,562 43		8,006 18	-5,556 25	
BANK OF NOVA SCOTIA CANADA CAD Symbol BNS Exchange NYSE EAI $484 Current yield 4 35% CAD Exchange rate 1 25260	Apr 16, 14	73 000	58.902	4,299 85	52 450	3,828 85	-471 00	LT
	May 15, 14	27 000	61 820	1,669 14	52 450	1,416 15	-252 99	LT
	Jun 16, 14	27 000	65 690	1,773 63	52 450	1,416 15	-357 48	LT
	Jul 16, 14	55 000	68 270	3,754 85	52 450	2,884 75	-870 10	LT
	Aug 15, 14	30 000	66 259	1,987 77	52 450	1,573.50	-414 27	LT
Security total		212 000	63 610	13,485 24		11,119.40	-2,365 84	
BASF SE SPON ADR Symbol BASFY Exchange OTC EAI $363 Current yield 2 90%	Apr 16, 14	25 000	108 000	2,700 00	79 820	1,995 50	-704 50	LT
	May 15, 14	26 000	114 095	2,966 47	79 820	2,075 32	-891 15	LT
	Jun 16, 14	26.000	115 840	3,011 84	79 820	2,075 32	-936 52	LT
	Jul 16, 14	50 000	114 775	5,738 75	79 820	3,991 00	-1,747 75	LT
	Aug 15, 14	30 000	100 235	3,007 05	79 820	2,394 60	-612 45	LT
Security total		157 000	110 982	17,424 11		12,531 74	-4,892 37	
BEMIS CO INC Symbol BMS Exchange NYSE EAI $197 Current yield 2 31%	Sep 1, 15	170 000	41.947	7,131.01	50 180	8,530.60	1,399 59	ST
BHP BILLITON LTD SPON ADR Symbol BHP Exchange NYSE EAI $381 Current yield 4 98%	Apr 16, 14	36 000	70 850	2,550 60	31.340	1,128 24	-1,422 36	LT
	May 15, 14	14 000	71 560	1,001 84	31 340	438 76	-563.08	LT
	Jun 16, 14	14 000	67 250	941 50	31 340	438 76	-502.74	LT
	Jul 16, 14	26 000	72 903	1,895 48	31 340	814 84	-1,080 64	LT
	Aug 15, 14	14 000	72 170	1,010 38	31 340	438 76	-571 62	LT
	Feb 20, 15	55 000	50 472	2,775 99	31 340	1,723 70	-1,052.29	LT
	Sep 1, 15	85 000	34 217	2,908 52	31 340	2,663 90	-244 62	ST

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Apr 29 ($)	Value on Apr 29 ($)	Unrealized gain or loss ($)	Holding period
Security total		244 000	53 624	13,084 31		7,646.96	-5,437 35	
BRITISH AMER TOBACCO PLC GB SPON ADR								
Symbol BTI Exchange AMEX								
EAI. $751 Current yield 3 60%	Apr 16, 14	59 000	114 834	6,775 23	122 150	7,206 85	431 62	LT
	May 15, 14	22 000	116 300	2,558.60	122 150	2,687 30	128 70	LT
	Jun 16, 14	23 000	119.960	2,759 08	122 150	2,809 45	50 37	LT
	Jul 16, 14	46 000	120 090	5,524 14	122 150	5,618 90	94 76	LT
	Aug 15, 14	21 000	117 245	2,462 16	122 150	2,565 15	102 99	LT
Security total		171 000	117 422	20,079 21		20,887 65	808 44	
CHEVRON CORP								
Symbol CVX Exchange NYSE								
EAI $736 Current yield 4 19%	Apr 16, 14	59 000	121.650	7,177 35	102 180	6,028 62	-1,148 73	LT
	May 15, 14	24 000	123 710	2,969.04	102 180	2,452.32	-516 72	LT
	Jun 16, 14	20 000	128 800	2,576 00	102.180	2,043 60	-532 40	LT
	Jul 16, 14	45 000	130 787	5,885 44	102 180	4,598 10	-1,287 34	LT
	Aug 15, 14	24 000	125 935	3,022 45	102 180	2,452 32	-570 13	LT
Security total		172 000	125 757	21,630 28		17,574 96	-4,055 32	
CISCO SYSTEMS INC								
Symbol. CSCO Exchange OTC								
EAI· $816 Current yield 3 78%	Apr 16, 14	173 000	23 055	3,988 52	27 490	4,755 77	767 25	LT
	May 15, 14	50.000	24.430	1,221 50	27 490	1,374 50	153 00	LT
	Jun 16, 14	85 000	24 545	2,086 38	27 490	2,336 65	250 27	LT
	Jul 16, 14	123 000	25 995	3,197 39	27 490	3,381 27	183 88	LT
	Aug 15, 14	72 000	24 379	1,755 35	27 490	1,979 28	223 93	LT
	Nov 14, 14	141 000	25 828	3,641 82	27 490	3,876 09	234.27	LT
	Feb 18, 16	141 000	26 464	3,731 47	27 490	3,876 09	144 62	ST
Security total		785 000	24 997	19,622 43		21,579 65	1,957 22	
COCA COLA CO COM								
Symbol KO Exchange NYSE								
EAI: $403 Current yield 3 12%	Jun 19, 15	288 000	40 544	11,676 93	44 800	12,902 40	1,225.47	ST

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Apr 29 ($)	Value on Apr 29 ($)	Unrealized gain or loss ($)	Holding period
COMPASS GROUP PLC SPON ADR Symbol CMPGY Exchange· OTC EAI $256 Current yield 2 20%								
	Feb 23, 15	423 000	17 840	7,546 40	17 850	7,550 55	4 15	LT
	Feb 18, 16	228 000	17 852	4,070 32	17 850	4,069 80	-0 52	ST
Security total		651 000	17 844	11,616 72		11,620.35	3 63	
DEUTSCHE BOERSE ADR Symbol DBOEY Exchange· OTC EAI $141 Current yield 2 04%								
	Apr 16, 14	113 000	7 258	820 26	8 160	922 08	101 82	LT
	May 15, 14	141 000	7 379	1,040 44	8 160	1,150 56	110.12	LT
	Jun 16, 14	155 000	7.539	1,168 55	8 160	1,264 80	96 25	LT
	Jul 16, 14	283 000	7 295	2,064.49	8 160	2,309 28	244 79	LT
	Aug 15, 14	156 000	7 030	1,096.68	8 160	1,272.96	176 28	LT
Security total		848 000	7 300	6,190 42		6,919 68	729.26	
DIAGEO PLC NEW GB SPON ADR Symbol DEO Exchange NYSE EAI. $266 Current yield. 3 15%								
	Apr 16, 14	18 000	127 580	2,296 44	108 330	1,949 94	-346 50	LT
	May 15, 14	7 000	128 160	897 12	108.330	758 31	-138 81	LT
	Jun 16, 14	7 000	128 200	897 40	108 330	758 31	-139 09	LT
	Jul 16, 14	14 000	125.880	1,762 32	108 330	1,516 62	-245 70	LT
	Aug 15, 14	7.000	117 980	825 86	108 330	758 31	-67 55	LT
	Sep 4, 14	25 000	121 474	3,036 87	108 330	2,708 25	-328 62	LT
Security total		78 000	124 564	9,716 01		8,449 74	-1,266 27	
DOMINION RESOURCES INC VA (NEW) Symbol D Exchange NYSE EAI $333 Current yield 3 92%								
	Apr 16, 14	3 000	71 240	213 72	71 470	214 41	0 69	LT
	May 15, 14	22 000	70 109	1,542 41	71 470	1,572 34	29 93	LT
	Jun 16, 14	28 000	68 870	1,928 36	71 470	2,001 16	72 80	LT
	Jul 16, 14	45 000	69 735	3,138 08	71.470	3,216 15	78 07	LT
	Aug 15, 14	21 000	68 760	1,443 96	71 470	1,500 87	56 91	LT
Security total		119 000	69 467	8,266 53		8,504 93	238 40	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Apr 29 ($)	Value on Apr 29 ($)	Unrealized gain or loss ($)	Holding period
DUKE ENERGY CORP NEW								
Symbol DUK Exchange NYSE								
EAI $347 Current yield 4 19%	Apr 16, 14	8 000	72 835	582 68	78 780	630 24	47 56	LT
	May 15, 14	20 000	71 600	1,432 00	78 780	1,575 60	143 60	LT
	Jun 16, 14	21 000	71 350	1,498.35	78 780	1,654 38	156 03	LT
	Jul 16, 14	41 000	72 345	2,966 17	78 780	3,229.98	263 81	LT
	Aug 15, 14	15 000	72 140	1,082 10	78 780	1,181 70	99 60	LT
Security total		105 000	72 012	7,561 30		8,271 90	710 60	
ENI SPA IT SPON ADR								
Symbol E Exchange NYSE								
EAI $301 Current yield. 3 93%	Apr 16, 14	30 000	51 733	1,551 99	32.770	983 10	-568 89	LT
	May 15, 14	41 000	50 756	2,081 01	32.770	1,343 57	-737 44	LT
	Jun 16, 14	44 000	53 367	2,348 17	32 770	1,441 88	-906 29	LT
	Jul 16, 14	74 000	53 977	3,994 35	32 770	2,424 98	-1,569.37	LT
	Aug 15, 14	45 000	49 107	2,209 82	32.770	1,474 65	-735 17	LT
Security total		234 000	52.074	12,185 34		7,668 18	-4,517.16	
EXXON MOBIL CORP								
Symbol. XOM Exchange. NYSE								
EAI $906 Current yield 3 39%	Jul 29, 14	91 000	104 162	9,478 82	88 400	8,044 40	-1,434 42	LT
	Aug 15, 14	15.000	98 490	1,477 35	88 400	1,326 00	-151 35	LT
	Jun 19, 15	168 000	85 263	14,324 22	88 400	14,851 20	526.98	ST
	Sep 1, 15	28 000	72 382	2,026 72	88 400	2,475 20	448 48	ST
Security total		302 000	90 421	27,307.11		26,696 80	-610 31	
GLAXO SMITHKLINE PLC ADR								
Symbol GSK Exchange NYSE								
EAI $584 Current yield 5 40%	Apr 16, 14	86 000	52 636	4,526 70	42 910	3,690 26	-836 44	LT
	May 15, 14	32 000	55 470	1,775 04	42 910	1,373 12	-401 92	LT
	Jun 16, 14	35 000	54 570	1,909 95	42 910	1,501.85	-408 10	LT
	Jul 16, 14	65 000	53 533	3,479 65	42 910	2,789 15	-690.50	LT
	Aug 15, 14	34 000	46 682	1,587 22	42.910	1,458 94	-128 28	LT
Security total		252 000	52 693	13,278 56		10,813 32	-2,465 24	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Apr 29 ($)	Value on Apr 29 ($)	Unrealized gain or loss ($)	Holding period
HASBRO INC Symbol HAS Exchange OTC EAI $267 Current yield 2 41%	Feb 20, 15	131 000	61 133	8,008 51	84 640	11,087 84	3,079 33	LT
HSBC HOLDINGS PLC NEW GB SPON ADR Symbol HSBC Exchange NYSE EAI $564 Current yield 7 66%	Apr 16, 14	3 000	51 913	155 74	33 330	99 99	-55 75	LT
	May 15, 14	40 000	52 419	2,096 79	33 330	1,333 20	-763 59	LT
	Jun 16, 14	48 000	51 975	2,494 80	33 330	1,599 84	-894 96	LT
	Jul 16, 14	83 000	51 829	4,301 81	33 330	2,766 39	-1,535 42	LT
	Aug 15, 14	47.000	53.685	2,523.20	33 330	1,566 51	-956.69	LT
Security total		221 000	52 364	11,572.34		7,365.93	-4,206.41	
INTEL CORP Symbol INTC Exchange OTC EAI: $349 Current yield 3 43%	Sep 1, 15	336 000	27 915	9,379 61	30.280	10,174.08	794 47	ST
JOHNSON & JOHNSON COM Symbol JNJ Exchange NYSE EAI $806 Current yield 2 85%	Apr 16, 14	59 000	99 095	5,846 66	112 080	6,612 72	766 06	LT
	May 5, 14	27 000	99 370	2,683 01	112.080	3,026 16	343 15	LT
	May 15, 14	34 000	100 560	3,419 04	112.080	3,810.72	391 68	LT
	Jun 16, 14	33 000	102 440	3,380 52	112 080	3,698 64	318 12	LT
	Jul 16, 14	68 000	102 115	6,943 86	112 080	7,621 44	677 58	LT
	Aug 15, 14	31 000	100 960	3,129 76	112.080	3,474 48	344 72	LT
Security total		252 000	100 805	25,402 85		28,244 16	2,841 31	
JPMORGAN CHASE & CO Symbol JPM Exchange NYSE EAI $208 Current yield 2 79%	Sep 1, 15	118 000	61 962	7,311 59	63 200	7,457 60	146 01	ST
KONINKLIJKE PHILIPS NV SPON ADR Symbol PHG Exchange. NYSE EAI $396 Current yield 2 73%	Apr 16, 14	104 000	34 872	3,626 69	27 510	2,861 04	-765 65	LT
	May 15, 14	41 000	31 910	1,308 31	27 510	1,127 91	-180 40	LT
	Jun 16, 14	40 000	31 095	1,243 81	27 510	1,100 40	-143 41	LT
	Jul 16, 14	78 000	31 659	2,469 47	27 510	2,145 78	-323 69	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Apr 29 ($)	Value on Apr 29 ($)	Unrealized gain or loss ($)	Holding period
	Aug 15, 14	38 000	29 920	1,136 96	27 510	1,045 38	-91 58	LT
	Sep 4, 14	106 000	30 616	3,245 39	27 510	2,916 06	-329 33	LT
	Dec 19, 14	120 000	28 911	3,469 39	27 510	3,301 20	-168 19	LT
Security total		527 000	31 309	16,500 02		14,497 77	-2,002 25	
LAS VEGAS SANDS CORP Symbol LVS Exchange NYSE EAI· $746 Current yield 6.38%	Apr 16, 14	58 000	74 899	4,344 19	45 150	2,618.70	-1,725 49	LT
	May 15, 14	25 000	72 790	1,819 75	45.150	1,128 75	-691 00	LT
	Jun 16, 14	23 000	73 000	1,679 00	45 150	1,038 45	-640 55	LT
	Jul 16, 14	42 000	74 568	3,131 87	45 150	1,896 30	-1,235 57	LT
	Aug 15, 14	24 000	68 210	1,637 04	45 150	1,083 60	-553 44	LT
	Apr 16, 15	87 000	56 586	4,923.00	45 150	3,928 05	-994 95	LT
Security total		259 000	67 702	17,534 85		11,693 85	-5,841 00	
LILLY ELI & CO Symbol LLY Exchange NYSE EAI $267 Current yield 2 70%	May 15, 14	15 000	58 540	878 10	75 530	1,132 95	254 85	LT
	Jun 16, 14	28.000	58.750	1,645 00	75 530	2,114 84	469 84	LT
	Jul 16, 14	59 000	62 605	3,693 70	75 530	4,456 27	762 57	LT
	Aug 15, 14	29 000	60 665	1,759 31	75 530	2,190 37	431 06	LT
Security total		131 000	60 886	7,976 11		9,894 43	1,918 32	
LOCKHEED MARTIN CORP Symbol. LMT Exchange NYSE EAI $521 Current yield 2 84%	May 15, 14	10 000	163 450	1,634.50	232 380	2,323.80	689 30	LT
	Jun 16, 14	17 000	164.940	2,803 98	232 380	3,950.46	1,146 48	LT
	Jul 16, 14	36 000	161 536	5,815 31	232 380	8,365 68	2,550 37	LT
	Aug 15, 14	16 000	168 269	2,692 31	232 380	3,718 08	1,025 77	LT
Security total		79 000	163 875	12,946 10		18,358 02	5,411 92	
LVMH MOET HENNESSY LOUIS NEW ADR Symbol LVMUY Exchange OTC EAI $169 Current yield 1 70%	Apr 16, 14	68 000	39 148	2,662 07	33 220	2,258 96	-403 11	LT
	May 15, 14	25 000	39 464	986 60	33 220	830 50	-156 10	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Apr 29 ($)	Value on Apr 29 ($)	Unrealized gain or loss ($)	Holding period
	Jun 16, 14	27 000	39 248	1,059 71	33 220	896 94	-162 77	LT
	Jul 16, 14	52 000	37 905	1,971 09	33 220	1,727.44	-243 65	LT
	Aug 15, 14	27 000	33 832	913 48	33 220	896 94	-16 54	LT
	Sep 5, 14	100 000	35 547	3,554 74	33.220	3,322 00	-232 74	LT
Security total		299 000	37 283	11,147 69		9,932 78	-1,214 91	
LYONDELLBASELL INDUSTRIES N V SHS - A - CL A EUR Symbol LYB Exchange: NYSE EAI $456 Current yield. 3 78% EUR Exchange rate 0 87309	Jul 29, 14	67 000	108 702	7,283 07	82.670	5,538 89	-1,744 18	LT
	Aug 15, 14	12 000	109 440	1,313 28	82 670	992.04	-321 24	LT
	Nov 14, 14	67 000	88 605	5,936 59	82 670	5,538 89	-397 70	LT
Security total		146 000	99 541	14,532 94		12,069 82	-2,463 12	
MERCK & CO INC NEW COM Symbol MRK Exchange NYSE EAI $480 Current yield 3 35%	May 5, 14	63.000	57 909	3,648.29	54 840	3,454 92	-193 37	LT
	May 15, 14	26 000	55 740	1,449 24	54 840	1,425 84	-23 40	LT
	Jun 16, 14	24 000	58 010	1,392.24	54 840	1,316 16	-76 08	LT
	Jul 16, 14	48 000	57 950	2,781 60	54 840	2,632 32	-149 28	LT
	Aug 15, 14	25 000	58 295	1,457 39	54.840	1,371.00	-86 39	LT
	Sep 4, 14	75 000	60 147	4,511 05	54 840	4,113 00	-398 05	LT
Security total		261.000	58 390	15,239 81		14,313 24	-926 57	
MICROSOFT CORP Symbol MSFT Exchange OTC EAI $507 Current yield 2 89%	Apr 16, 14	118 000	40 035	4,724 19	49 870	5,884 66	1,160 47	LT
	May 15, 14	48 000	39 630	1,902 24	49 870	2,393 76	491 52	LT
	Jun 16, 14	50 000	41 595	2,079 78	49 870	2,493 50	413 72	LT
	Jul 16, 14	84 000	43 995	3,695 58	49 870	4,189 08	493 50	LT
	Aug 15, 14	52 000	44 575	2,317 93	49 870	2,593 24	275 31	LT
Security total		352 000	41 817	14,719 72		17,554 24	2,834 52	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Apr 29 ($)	Value on Apr 29 ($)	Unrealized gain or loss ($)	Holding period
NESTLE S A SPONSORED ADR								
REPSTG REG SHS SWITZ ADR								
Symbol NSRGY Exchange OTC								
EAI $623 Current yield 2 55%	Apr 16, 14	115 000	75 610	8,695 15	74 570	8,575 55	-119 60	LT
	May 15, 14	39 000	80 085	3,123 32	74.570	2,908 23	-215 09	LT
	Jun 16, 14	46 000	77 360	3,558 56	74 570	3,430 22	-128 34	LT
	Jul 16, 14	87 000	76 870	6,687 69	74 570	6,487 59	-200 10	LT
	Aug 15, 14	41 000	76 935	3,154 34	74 570	3,057 37	-96 97	LT
Security total		328 000	76.887	25,219 06		24,458 96	-760 10	
NEXTERA ENERGY INC COM								
Symbol NEE Exchange NYSE								
EAI $275 Current yield 2 96%	Apr 16, 15	79 000	103 543	8,179 90	117 580	9,288 82	1,108 92	LT
NOVARTIS AG SPON ADR								
Symbol NVS Exchange NYSE								
EAI $633 Current yield 3 03%	Apr 16, 14	54 000	84 182	4,545 83	75 970	4,102 38	-443 45	LT
	May 15, 14	21 000	89 780	1,885 38	75.970	1,595 37	-290 01	LT
	Jun 16, 14	20 000	90 320	1,806 40	75 970	1,519 40	-287 00	LT
	Jul 16, 14	43 000	90 115	3,874 97	75.970	3,266 71	-608.26	LT
	Aug 15, 14	21 000	86 702	1,820 76	75 970	1,595 37	-225 39	LT
	Nov 14, 14	61.000	93 663	5,713 49	75 970	4,634 17	-1,079 32	LT
	Feb 18, 16	55 000	74 274	4,085 08	75 970	4,178 35	93 27	ST
Security total		275 000	86 298	23,731 91		20,891 75	-2,840 16	
PFIZER INC								
Symbol PFE Exchange NYSE								
EAI $852 Current yield 3 67%	Apr 16, 14	137 000	30 089	4,122.31	32 710	4,481 27	358 96	LT
	May 15, 14	91 000	28 965	2,635 82	32 710	2,976 61	340 79	LT
	Jun 16, 14	94 000	29 605	2,782 96	32 710	3,074 74	291.78	LT
	Jul 16, 14	174 000	30 830	5,364 42	32 710	5,691 54	327 12	LT
	Aug 15, 14	99 000	28 515	2,823 05	32 710	3,238 29	415 24	LT
	Nov 14, 14	115 000	30 467	3,503 75	32 710	3,761 65	257 90	LT
Security total		710 000	29 905	21,232 31		23,224 10	1,991 79	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Apr 29 ($)	Value on Apr 29 ($)	Unrealized gain or loss ($)	Holding period
PHILIP MORRIS INTL INC Symbol PM Exchange NYSE EAI $869 Current yield 4 16%	Apr 16, 14	73 000	84 506	6,168 98	98 120	7,162 76	993 78	LT
	May 15, 14	27 000	85 650	2,312 55	98 120	2,649 24	336 69	LT
	Jun 16, 14	27 000	89 210	2,408 67	98 120	2,649 24	240 57	LT
	Jul 16, 14	57.000	84 558	4,819 86	98 120	5,592 84	772 98	LT
	Aug 15, 14	29 000	83 853	2,431.74	98 120	2,845 48	413 74	LT
Security total		213 000	85.173	18,141 80		20,899 56	2,757 76	
PROCTER & GAMBLE CO Symbol PG Exchange NYSE EAI $316 Current yield 3 34%	Jun 19, 15	118 000	80 771	9,531 06	80 120	9,454 16	-76 90	ST
PRUDENTIAL PLC ADR UNITED KINGDOM Symbol PUK Exchange NYSE EAI $434 Current yield 3 60%	May 15, 14	37 000	46 650	1,726 06	39 490	1,461.13	-264 93	LT
	Jun 16, 14	48 000	45 790	2,197 92	39.490	1,895 52	-302 40	LT
	Jul 16, 14	95 000	47 849	4,545 74	39 490	3,751 55	-794 19	LT
	Aug 15, 14	53 000	47 467	2,515 80	39 490	2,092 97	-422 83	LT
	Sep 1, 15	72 000	41 898	3,016 67	39 490	2,843 28	-173 39	ST
Security total		305 000	45 909	14,002.19		12,044 45	-1,957 74	
PUBLIC SERVICE ENTERPRSE GROUP INC Symbol PEG Exchange. NYSE EAI $323 Current yield 3.55%	Apr 16, 14	68 000	39 600	2,692 80	46 130	3,136 84	444 04	LT
	May 15, 14	27 000	38 050	1,027 35	46 130	1,245 51	218 16	LT
	Jun 16, 14	24 000	38 170	916 08	46 130	1,107 12	191 04	LT
	Jul 16, 14	52 000	37 605	1,955 46	46 130	2,398 76	443 30	LT
	Aug 15, 14	26 000	35 496	922 90	46.130	1,199 38	276 48	LT
Security total		197 000	38 145	7,514 59		9,087 61	1,573 02	
RECKITT BENCKISER PLC SPON ADR Symbol· RBGLY Exchange· OTC EAI $280 Current yield 1 93%	Apr 16, 14	91 000	16 240	1,477.93	19 750	1,797 25	319 32	LT
	May 15, 14	125 000	16 314	2,039 27	19 750	2,468 75	429 48	LT

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Account name: SY SYMS FOUNDATION
Friendly account name: GB Taxable Agg
Account number: WE B8393 EH

Your Financial Advisor:
BOCCIA QUINN WEALTH MANAGEMENT
212-626-8500/800-458-1764

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Apr 29 ($)	Value on Apr 29 ($)	Unrealized gain or loss ($)	Holding period
	Jun 16, 14	142 000	17 284	2,454.38	19 750	2,804 50	350 12	LT
	Jul 16, 14	254 000	16 952	4,306 02	19 750	5,016 50	710 48	LT
	Aug 15, 14	124 000	17 362	2,152 94	19.750	2,449 00	296 06	LT
Security total		736 000	16 889	12,430 54		14,536.00	2,105 46	
RIO TINTO PLC SPON ADR Symbol RIO Exchange NYSE EAI $307 Current yield 6 29%	Sep 4, 14	145 000	53 520	7,760 40	33 660	4,880 70	-2,879 70	LT
ROCHE HLDG LTD SPONS ADR SWITZ ADR Symbol· RHHBY Exchange OTC EAI· $434 Current yield· 2 65%	Apr 16, 14	174 000	36 030	6,269 22	31 570	5,493.18	-776 04	LT
	May 15, 14	60.000	37.345	2,240 70	31.570	1,894 20	-346 50	LT
	Jun 16, 14	77 000	37 240	2,867.48	31 570	2,430 89	-436.59	LT
	Jul 16, 14	143 000	36 985	5,288 86	31 570	4,514 51	-774.35	LT
	Aug 15, 14	64 000	35 955	2,301 12	31.570	2,020 48	-280 64	LT
Security total		518 000	36 617	18,967 38		16,353 26	-2,614 12	
ROYAL DUTCH SHELL PLC CL A SPON ADR Symbol: RDS A Exchange. NYSE EAI $1,323 Current yield 6 04%	Apr 16, 14	87 000	74 839	6,511.07	52 890	4,601 43	-1,909 64	LT
	May 15, 14	32 000	78 800	2,521 60	52 890	1,692 48	-829 12	LT
	Jun 16, 14	33 000	80 770	2,665.41	52 890	1,745 37	-920 04	LT
	Jul 16, 14	64 000	82 992	5,311 51	52 890	3,384.96	-1,926 55	LT
	Aug 15, 14	33 000	79 640	2,628 12	52 890	1,745 37	-882 75	LT
	Dec 19, 14	96 000	67 295	6,460 32	52 890	5,077 44	-1,382 88	LT
	Feb 20, 15	69 000	65 246	4,502 02	52 890	3,649 41	-852 61	LT
Security total		414 000	73 913	30,600 05		21,896 46	-8,703 59	
SANOFI SPON ADR Symbol: SNY Exchange NYSE EAI $244 Current yield 2.67%	Apr 16, 14	50 000	51.677	2,583 87	41 100	2,055 00	-528 87	LT
	May 15, 14	19 000	52 745	1,002 16	41.100	780 90	-221 26	LT
	Jun 16, 14	19 000	53 837	1,022 91	41 100	780 90	-242 01	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Apr 29 ($)	Value on Apr 29 ($)	Unrealized gain or loss ($)	Holding period
	Jul 16, 14	37 000	51 919	1,921 02	41 100	1,520 70	-400 32	LT
	Aug 15, 14	21 000	52 564	1,103 86	41 100	863 10	-240 76	LT
	Sep 4, 14	76.000	55 657	4,229.99	41 100	3,123 60	-1,106 39	LT
Security total		222 000	53 441	11,863 81		9,124 20	-2,739.61	
SIEMENS A G SPON ADR Symbol SIEGY Exchange OTC EAI $575 Current yield 2 68%	Apr 16, 14	27 000	133 344	3,600 29	104 780	2,829 06	-771.23	LT
	May 15, 14	11 000	132 400	1,456.40	104 780	1,152 58	-303.82	LT
	Jun 16, 14	10 000	134 285	1,342 85	104 780	1,047 80	-295 05	LT
	Jul 16, 14	20.000	127 450	2,549 00	104 780	2,095 60	-453 40	LT
	Aug 15, 14	12 000	121 500	1,458 00	104 780	1,257 36	-200 64	LT
	Sep 5, 14	38 000	127 574	4,847 84	104 780	3,981 64	-866 20	LT
	Nov 4, 15	53 000	101 218	5,364 58	104 780	5,553 34	188 76	ST
	Feb 18, 16	34 000	94 522	3,213 78	104 780	3,562 52	348 74	ST
Security total		205 000	116 257	23,832 74		21,479 90	-2,352 84	
SINGAPORE TELECOM LTD NEW 2006 SPON ADR Symbol SGAPY Exchange OTC EAI. $314 Current yield 4 20%	Apr 16, 14	38 000	29 800	1,132 40	28 420	1,079.96	-52 44	LT
	May 15, 14	42 000	30 590	1,284.78	28 420	1,193 64	-91 14	LT
	Jun 16, 14	52 000	31 010	1,612 52	28 420	1,477.84	-134.68	LT
	Jul 16, 14	87 000	31 795	2,766 17	28 420	2,472 54	-293 63	LT
	Aug 15, 14	44 000	31 400	1,381 60	28 420	1,250 48	-131.12	LT
Security total		263 000	31 093	8,177 47		7,474 46	-703 01	
SUNCOR ENERGY INC NEW CAD Symbol SU Exchange NYSE EAI $392 Current yield 3 14% CAD Exchange rate 1 25260	Jun 19, 15	294 000	27 564	8,104 05	29 370	8,634 78	530 73	ST
	Sep 1, 15	131 000	27.288	3,574 81	29.370	3,847 47	272.66	ST
Security total		425.000	27 480	11,678 86		12,482 25	803 39	
TELENOR ASA ADR Symbol TELNY Exchange OTC EAI $441 Current yield 6 59%	Apr 16, 14	123 000	21 493	2,643 64	17 160	2,110 68	-532 96	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Apr 29 ($)	Value on Apr 29 ($)	Unrealized gain or loss ($)	Holding period
	May 15, 14	54 000	23 952	1,293 46	17.160	926 64	-366 82	LT
	Jun 16, 14	66 000	23 743	1,567 04	17 160	1,132 56	-434 48	LT
	Jul 16, 14	96 000	23 188	2,226 08	17 160	1,647 36	-578 72	LT
	Aug 15, 14	51 000	22 968	1,171 39	17 160	875 16	-296 23	LT
Security total		390 000	22 825	8,901 61		6,692 40	-2,209 21	
TELSTRA CORP LTD (FINAL) ADR Symbol TLSYY Exchange OTC EAI $402 Current yield 5.38%	Apr 16, 14	127 000	23 868	3,031 24	20 320	2,580 64	-450 60	LT
	May 15, 14	49 000	24 635	1,207 16	20 320	995 68	-211 48	LT
	Jun 16, 14	47 000	24 678	1,159 87	20 320	955 04	-204 83	LT
	Jul 16, 14	97 000	25 423	2,466 04	20 320	1,971 04	-495 00	LT
	Aug 15, 14	48 000	25 975	1,246 80	20 320	975 36	-271 44	LT
Security total		368.000	24 758	9,111 11		7,477 76	-1,633 35	
TORONTO DOMINION BK NEW CANADA CAD Symbol TD Exchange NYSE EAI $501 Current yield 3 61% CAD Exchange rate 1.25260	Feb 20, 15	172 000	42 995	7,395 14	44 500	7,654 00	258 86	LT
	Apr 16, 15	72 000	45 608	3,283 81	44 500	3,204 00	-79 81	LT
	Nov 4, 15	68 000	41 386	2,814 29	44 500	3,026 00	211.71	ST
Security total		312 000	43 248	13,493 24		13,884 00	390.76	
TOTAL S A FRANCE SPON ADR Symbol TOT Exchange NYSE EAI. $741 Current yield. 4 52%	Apr 16, 14	82 000	67 784	5,558.30	50 750	4,161 50	-1,396.80	LT
	May 15, 14	32 000	70 240	2,247 69	50 750	1,624 00	-623 69	LT
	Jun 16, 14	39 000	71 698	2,796 23	50 750	1,979 25	-816 98	LT
	Jul 16, 14	62 000	68 935	4,274 02	50 750	3,146.50	-1,127 52	LT
	Aug 15, 14	37 000	63 697	2,356 79	50 750	1,877.75	-479 04	LT
	Feb 20, 15	71 000	52 159	3,703 31	50 750	3,603 25	-100 06	LT
Security total		323 000	64.818	20,936 34		16,392 25	-4,544 09	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Apr 29 ($)	Value on Apr 29 ($)	Unrealized gain or loss ($)	Holding period
TOYOTA MOTOR CORP NEW JAPAN SPON ADR Symbol· TM Exchange NYSE EAI $384 Current yield 3.17%	Jul 29, 14	102 000	121 102	12,352 46	101 700	10,373 40	-1,979.06	LT
	Aug 15, 14	17 000	116.568	1,981 66	101 700	1,728 90	-252 76	LT
Security total		119 000	120 455	14,334 12		12,102 30	-2,231 82	
UNILEVER NV N Y SHS NEW NETHERLANDS SPON SPON ADR Symbol UN Exchange NYSE EAI $463 Current yield· 2.76%	Apr 16, 14	128.000	42 389	5,425 91	44 020	5,634 56	208 65	LT
	May 15, 14	46.000	43.470	1,999 62	44 020	2,024 92	25 30	LT
	Jun 16, 14	59.000	43.695	2,578 04	44.020	2,597 18	19 14	LT
	Jul 16, 14	97 000	42 959	4,167.11	44 020	4,269 94	102 83	LT
	Aug 15, 14	51 000	40.949	2,088 43	44.020	2,245 02	156 59	LT
Security total		381.000	42 675	16,259 11		16,771 62	512 51	
Total	TOTAL STOCKS + OTHER EQUITIES			**$755,363.32**		**$708,599.07**	**-$46,764.25**	

Income for 2015

This section reports your sales transactions during the year. A schedule of your net gains and losses is shown below. Please note that if you have sold an asset for which the cost basis information is unknown it is shown separately in the section entitled Transactions with Unknown Cost Basis. Please review these carefully when preparing your return. An asterisk symbol (*) next to the tax cost indicates that the tax cost has been adjusted for current year's return of capital.

The following items are provided to you to assist in the preparation of your tax returns. We have included the following Codes and Adjustment amounts: "W" for wash sales, "C" for collectibles, and "D" for Market Discount. Typically, collectibles are taxed at 28% rates. For your convenience, if applicable, we have included the portion subject to Section 988 translation gains and losses and Market Discount in the column titled "Portion Subject to 988 and Market Discount". We have also includes gains on collectibles taxed at 28% rates in the last column. If we have included a dagger symbol (†) next to the sale transaction with a net loss, this indicates that you can not take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure.

Short Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
ABBVIE INC 3.2% 11/06/22		00287YAP4							
04/25/16	05/06/15	200000	$206,778.00	$201,278.00	$5,500.00		$0.00	$0.00	$0.00
ALCOA INC 5.125% 10/01/24		013817AW1							
07/28/15	09/17/14	40000	$40,050.00	$40,500.00	$-450.00		$0.00	$0.00	$0.00
07/28/15	04/28/15	10000	$10,012.50	$10,800.00	$-787.50		$0.00	$0.00	$0.00
07/28/15	12/01/14	20000	$20,025.00	$20,900.00	$-875.00		$0.00	$0.00	$0.00
07/28/15	04/08/15	20000	$20,025.00	$21,500.00	$-1,475.00		$0.00	$0.00	$0.00

Short Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
AMERICAN TOWER CORP 5.05% 9/01/20		**029912BC5**							
05/05/15	08/05/14	220000	$241,879.00	$243,108.80	$-1,229.80		$0.00	$0.00	$0.00
CHS/COMMUNITY HEALTH SYSTEMS I		**12543DAU4**							
01/08/16	07/28/15	15000	$14,850.00	$15,431.25	$-581.25		$0.00	$0.00	$0.00
01/08/16	07/30/15	70000	$69,300.00	$72,450 00	$-3,150.00		$0.00	$0.00	$0.00
01/08/16	09/04/15	40000	$39,600.00	$41,400.00	$-1,800.00		$0.00	$0.00	$0.00
01/08/16	10/15/15	25000	$24,750.00	$26,156.25	$-1,406.25		$0.00	$0.00	$0.00
01/08/16	11/24/15	37000	$36,630.00	$37,370.00	$-740.00		$0.00	$0.00	$0.00
CENTURYLINK INC		**156700AR7**							
10/14/15	12/15/14	10000	$9,575.00	$10,672.60	$-1,097.60		$0.00	$0.00	$0.00
10/14/15	06/09/15	15000	$14,362.50	$15,712.50	$-1,350.00		$0.00	$0.00	$0.00
FHLMC GOLD J10986 4.5% 10/01/24		**3128PQCX7**							
08/15/15	07/20/15	3924.29	$3,924.29	$4,240.69	$-316 40		$0.00	$0.00	$0.00
09/15/15	07/20/15	1709.27	$1,709.27	$1,847.08	$-137.81		$0.00	$0.00	$0.00
10/15/15	07/20/15	2282.9	$2,282.90	$2,466.96	$-184.06		$0.00	$0.00	$0.00
11/15/15	07/20/15	1986.22	$1,986.22	$2,146.36	$-160.14		$0.00	$0.00	$0.00
12/15/15	07/20/15	1741.13	$1,741.13	$1,881.51	$-140.38		$0 00	$0.00	$0.00
01/15/16	07/20/15	2148.35	$2,148.35	$2,321.56	$-173.21		$0.00	$0.00	$0.00
02/15/16	07/20/15	2243.55	$2,243.55	$2,424.44	$-180.89		$0.00	$0.00	$0.00

Short Term Transactions

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
DESCRIPTION		**CUSIP**							
FHLMC GOLD J10986	**4.5% 10/01/24**	**3128PQCX7**							
03/15/16	07/20/15	2726.04	$2,726.04	$2,945.83	$-219.79		$0.00	$0.00	$0.00
04/15/16	07/20/15	1845.9	$1,845.90	$1,994.73	$-148.83		$0.00	$0.00	$0.00
FHLMC GOLD A97047	**4.5% 2/01/41**	**312945ZL5**							
05/15/15	01/29/15	1292.73	$1,292.73	$1,399.38	$-106.65		$0.00	$0.00	$0.00
06/15/15	01/29/15	1156.08	$1,156.08	$1,251 46	$-95.38		$0.00	$0.00	$0.00
07/15/15	01/29/15	940.6	$940.60	$1,018.20	$-77.60		$0.00	$0.00	$0.00
08/15/15	01/29/15	984.7	$984.70	$1,065.94	$-81.24		$0.00	$0.00	$0.00
09/15/15	01/29/15	748.56	$748.56	$810.32	$-61.76		$0.00	$0.00	$0.00
10/15/15	01/29/15	739.62	$739.62	$800.64	$-61.02		$0.00	$0.00	$0 00
11/15/15	01/29/15	636.15	$636.15	$688 63	$-52.48		$0.00	$0.00	$0.00
12/15/15	01/29/15	539.85	$539.85	$584.39	$-44.54		$0.00	$0.00	$0.00
01/15/16	01/29/15	900.96	$900.96	$975.29	$-74.33		$0.00	$0.00	$0.00
FHLMC GOLD POOL V80910 4.0% 12/01/43		**3132L6AK7**							
03/15/16	02/24/16	3475.09	$3,475.09	$3,736.81	$-261.72		$0.00	$0.00	$0.00
04/15/16	02/24/16	7500.29	$7,500.29	$8,065.16	$-564.87		$0.00	$0.00	$0.00
FNMA POOL # 535994	**6.0% 6/01/31**	**31384WNK2**							
05/25/15	03/11/15	948.56	$948.56	$1,089.66	$-141.10		$0.00	$0.00	$0.00
06/25/15	03/11/15	4088.05	$4,088.05	$4,696.15	$-608.10		$0.00	$0.00	$0.00

Short Term Transactions

DESCRIPTION **CUSIP**

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FNMA POOL # 535994	**6.0% 6/01/31**	**31384WNK2**							
07/25/15	03/11/15	1060.55	$1,060.55	$1,218 31	$-157.76		$0.00	$0.00	$0.00
08/25/15	03/11/15	440.68	$440.68	$506.23	$-65.55		$0.00	$0.00	$0.00
09/25/15	03/11/15	566.06	$566 06	$650.26	$-84.20		$0.00	$0.00	$0.00
10/25/15	03/11/15	624.88	$624.88	$717.83	$-92.95		$0.00	$0.00	$0.00
11/25/15	03/11/15	1168.35	$1,168.35	$1,342.14	$-173.79		$0.00	$0.00	$0.00
12/25/15	03/11/15	823.91	$823.91	$946.47	$-122.56		$0.00	$0.00	$0.00
01/25/16	03/11/15	1292.45	$1,292.45	$1,484.70	$-192.25		$0.00	$0.00	$0.00
02/25/16	03/11/15	664.03	$664.03	$762.80	$-98 77		$0.00	$0.00	$0.00
FNMA POOL 0555424		**31385XAZ0**							
08/25/15	07/27/15	2385.89	$2,385.89	$2,699.78	$-313.89		$0.00	$0.00	$0.00
09/25/15	07/27/15	2290.05	$2,290.05	$2,591.33	$-301.28		$0.00	$0.00	$0.00
10/25/15	07/27/15	1971.79	$1,971.79	$2,231.20	$-259 41		$0.00	$0.00	$0.00
11/25/15	07/27/15	2021.11	$2,021.11	$2,287.01	$-265.90		$0.00	$0.00	$0.00
12/25/15	07/27/15	1437.7	$1,437.70	$1,626.85	$-189.15		$0.00	$0.00	$0.00
01/25/16	07/27/15	1813.37	$1,813.37	$2,051.94	$-238 57		$0.00	$0.00	$0.00
02/25/16	07/27/15	1766.07	$1,766.07	$1,998.42	$-232.35		$0.00	$0.00	$0.00
03/25/16	07/27/15	1615.35	$1,615.35	$1,827.87	$-212.52		$0.00	$0.00	$0 00
04/25/16	07/27/15	1980.74	$1,980.74	$2,241.33	$-260.59		$0.00	$0.00	$0.00

Short Term Transactions

DESCRIPTION Date sold or disposed	Date acquired	CUSIP Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FNMA POOL 0AH0943		**3138A2BM0**							
11/25/15	10/15/15	791.95	$791 95	$855.55	$-63.60		$0.00	$0.00	$0 00
12/25/15	10/15/15	538.07	$538.07	$581.28	$-43.21		$0.00	$0.00	$0 00
01/25/16	10/15/15	776.37	$776.37	$838.72	$-62.35		$0.00	$0.00	$0.00
02/25/16	10/15/15	980.37	$980.37	$1,059 11	$-78.74		$0.00	$0.00	$0.00
03/25/16	10/15/15	917.6	$917.60	$991.29	$-73.69		$0.00	$0.00	$0.00
04/25/16	10/15/15	879.66	$879.66	$950.31	$-70.65		$0.00	$0.00	$0.00
FNMA POOL # AJ9332	**4.0% 1/01/42**	**3138E2LN3**							
01/25/16	12/22/15	3243.57	$3,243.57	$3,453.90	$-210.33		$0.00	$0.00	$0.00
02/25/16	12/22/15	2656.36	$2,656.36	$2,828.61	$-172.25		$0.00	$0.00	$0.00
03/25/16	12/22/15	3003.91	$3,003.91	$3,198.69	$-194.78		$0.00	$0.00	$0.00
04/25/16	12/22/15	3182.21	$3,182.21	$3,388.56	$-206.35		$0.00	$0.00	$0.00
FNMA POOL # AL1543	**5.5% 12/01/38**	**3138EHWD0**							
01/25/16	12/18/15	1628.59	$1,628.59	$1,836.74	$-208.15		$0.00	$0.00	$0.00
02/25/16	12/18/15	1009.84	$1,009.84	$1,138.91	$-129.07		$0.00	$0.00	$0.00
03/25/16	12/18/15	2287.62	$2,287.62	$2,580.01	$-292.39		$0.00	$0.00	$0.00
04/25/16	12/18/15	1260.76	$1,260.76	$1,421.90	$-161.14		$0.00	$0.00	$0.00
FNMA POOL # AL7306	**4.5% 9/01/42**	**3138EQDL3**							
01/25/16	12/22/15	2317.14	$2,317.14	$2,518.80	$-201.66		$0.00	$0.00	$0.00

Short Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FNMA POOL # AL7306	4.5% 9/01/42	3138EQDL3							
02/25/16	12/22/15	870.89	$870.89	$946.68	$-75.79		$0.00	$0.00	$0.00
03/25/16	12/22/15	1733.27	$1,733.27	$1,884.12	$-150.85		$0 00	$0 00	$0.00
04/25/16	12/22/15	2147.47	$2,147.47	$2,334.37	$-186 90		$0.00	$0.00	$0.00
FNMA POOL # AS0225 4% 8/1/43		3138W9HB9							
04/25/16	03/18/16	1612.24	$1,612.24	$1,735.93	$-123.69		$0.00	$0.00	$0.00
FNMA POOL # AW7430	4.5% 1/01/42	3138XXHG4							
01/25/16	12/22/15	2396.72	$2,396.72	$2,597.07	$-200.35		$0 00	$0.00	$0.00
02/25/16	12/22/15	856.45	$856.45	$928.04	$-71.59		$0.00	$0.00	$0.00
03/25/16	12/22/15	2533.13	$2,533.13	$2,744.88	$-211.75		$0.00	$0.00	$0.00
04/25/16	12/22/15	887.87	$887.87	$962.09	$-74.22		$0.00	$0.00	$0.00
FEDERAL NAT'L MTGE ASSN POOL	745275	31403C6L0							
01/25/16	12/24/15	5761 93	$5,761.93	$6,381.34	$-619.41		$0.00	$0.00	$0.00
02/25/16	12/24/15	4917.05	$4,917.05	$5,445.63	$-528.58		$0.00	$0.00	$0.00
03/25/16	12/24/15	4565.89	$4,565.89	$5,056.72	$-490.83		$0.00	$0.00	$0.00
04/25/16	12/24/15	5035.41	$5,035.41	$5,576.72	$-541.31		$0.00	$0 00	$0.00
FEDERAL NAT'L MTGE ASSN POOL	745355	31403DBY4							
11/25/15	10/15/15	1540.77	$1,540.77	$1,703.03	$-162.26		$0.00	$0.00	$0.00
12/25/15	10/15/15	1358.32	$1,358.32	$1,501.37	$-143.05		$0.00	$0.00	$0.00

Short Term Transactions

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
DESCRIPTION		CUSIP							
FEDERAL NAT'L MTGE ASSN POOL	745355	31403DBY4							
01/25/16	10/15/15	1558.15	$1,558.15	$1,722.24	$-164.09		$0 00	$0.00	$0.00
02/25/16	10/15/15	1222.89	$1,222.89	$1,351.68	$-128.79		$0.00	$0 00	$0.00
03/25/16	10/15/15	1183.93	$1,183.93	$1,308.61	$-124.68		$0.00	$0.00	$0.00
04/25/16	10/15/15	1470.51	$1,470.51	$1,625.37	$-154.86		$0.00	$0.00	$0.00
FNMA POOL 897936	5.5% 8/01/21	31410USZ6							
09/25/15	08/27/15	560.37	$560.37	$605.20	$-44.83		$0.00	$0.00	$0.00
10/25/15	08/27/15	508.53	$508.53	$549.21	$-40.68		$0.00	$0.00	$0.00
11/25/15	08/27/15	809.41	$809.41	$874.16	$-64.75		$0.00	$0.00	$0.00
12/25/15	08/27/15	508.7	$508.70	$549.40	$-40.70		$0.00	$0.00	$0.00
01/25/16	08/27/15	563.58	$563.58	$608.67	$-45.09		$0.00	$0.00	$0.00
02/25/16	08/27/15	601.82	$601.82	$649.97	$-48.15		$0.00	$0.00	$0.00
03/25/16	08/27/15	583.99	$583 99	$630.71	$-46.72		$0.00	$0.00	$0.00
04/25/16	08/27/15	493.23	$493.23	$532.69	$-39.46		$0.00	$0.00	$0.00
FNMA POOL AC7870	4.5% 2/01/40	31417UW84							
05/25/15	02/10/15	1997.16	$1,997.16	$2,164.42	$-167.26		$0 00	$0.00	$0.00
06/25/15	02/10/15	654.34	$654.34	$709.14	$-54.80		$0.00	$0.00	$0.00
07/25/15	02/10/15	1100.66	$1,100.66	$1,192.84	$-92.18		$0.00	$0.00	$0.00
08/25/15	02/10/15	2224.93	$2,224 93	$2,411.27	$-186.34		$0.00	$0.00	$0.00

Short Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FNMA POOL AC7870	4.5% 2/01/40	31417UW84							
09/25/15	02/10/15	1138.1	$1,138.10	$1,233 42	$-95.32		$0.00	$0.00	$0.00
10/25/15	02/10/15	934.17	$934.17	$1,012.41	$-78.24		$0.00	$0.00	$0.00
11/25/15	02/10/15	1607 08	$1,607 08	$1,741.67	$-134.59		$0.00	$0.00	$0.00
12/25/15	02/10/15	1318.45	$1,318.45	$1,428.87	$-110.42		$0.00	$0.00	$0.00
01/25/16	02/10/15	716.03	$716.03	$776.00	$-59.97		$0.00	$0.00	$0.00
FNMA POOL # AD6942	5.0% 6/01/40	31418UWC4							
08/25/15	07/27/15	514.97	$514.97	$576.44	$-61.47		$0.00	$0.00	$0.00
09/25/15	07/27/15	2815.19	$2,815.19	$3,151.25	$-336.06		$0.00	$0.00	$0.00
10/25/15	07/27/15	2095.59	$2,095.59	$2,345.75	$-250.16		$0.00	$0.00	$0.00
11/25/15	07/27/15	890.79	$890.79	$997.13	$-106 34		$0.00	$0.00	$0.00
12/25/15	07/27/15	1707.54	$1,707.54	$1,911.38	$-203.84		$0.00	$0.00	$0.00
01/25/16	07/27/15	2486.11	$2,486.11	$2,782.89	$-296.78		$0.00	$0.00	$0.00
02/25/16	07/27/15	1247.71	$1,247.71	$1,396.66	$-148.95		$0.00	$0.00	$0.00
03/25/16	07/27/15	882.89	$882.89	$988.29	$-105.40		$0.00	$0.00	$0.00
04/25/16	07/27/15	1324.9	$1,324.90	$1,483.06	$-158.16		$0.00	$0.00	$0.00
HORNBECK OFFSHORE SERVICES INC		440543AL0							
09/28/15	12/12/14	10000	$7,850.00	$8,325.00	$-475.00		$0.00	$0 00	$0.00
09/28/15	11/25/14	25000	$19,625.00	$23,125.00	$-3,500.00		$0.00	$0.00	$0.00

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Short Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
INTERNATIONAL PAPER CO		460146CL5							
03/15/16	05/14/15	115000	$112,319.35	$115,272.55	$-2,953.20		$0.00	$0.00	$0.00
03/15/16	06/17/15	80000	$78,135.20	$78,815.20	$-680.00		$0.00	$0.00	$0 00
MICROSOFT CORP	2.65% 11/03/22	594918BH6							
04/22/16	10/29/15	307000	$318,012.09	$308,535.00	$9,477.09		$0.00	$0 00	$0.00
NOBLE ENERGY INC	5.875% 6/01/22	655044AL9							
12/23/15	10/26/15	10000	$9,550.00	$10,162.50	$-612.50		$0.00	$0.00	$0.00
12/23/15	08/13/15	145000	$138,475.00	$152,793.75	$-14,318.75		$0.00	$0.00	$0.00
OFFSHORE GROUP INVST	7.5% 11/01/19	676253AJ6							
06/24/15	08/22/14	10000	$6,300.00	$10,275.00	$-3,975.00		$0.00	$0.00	$0.00
PEABODY ENERGY CORP	6.25% 11/15/21	704549AM6							
06/25/15	03/12/15	45000	$16,087.50	$32,175.00	$-16,087.50		$0.00	$0.00	$0.00
RYLAND GROUP		783764AP8							
02/26/16	09/01/15	49000	$52,920.00	$53,900.00	$-980.00		$0.00	$0.00	$0.00
STRYKER CORP	3.5% 3/15/26	863667AN1							
04/25/16	03/03/16	155000	$162,272.60	$155,232.50	$7,040.10		$0.00	$0.00	$0.00
TECK COMINCO LTD		878742AE5							
08/20/15	01/12/15	25000	$17,125.00	$23,157.25	$-6,032.25		$0.00	$0.00	$0.00
08/20/15	12/05/14	15000	$10,275.00	$14,824.65	$-4,549.65		$0.00	$0.00	$0.00

Short Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
TEEKAY CORP		87900YAA1							
12/29/15	06/22/15	65000	$46,150.00	$72,556.25	$-26,406.25		$0.00	$0.00	$0.00
UNITED RENTALS NORTH 6.125% 6/15/23		911365AX2							
03/17/16	02/29/16	150000	$156,750.00	$154,125.00	$2,625.00		$0.00	$0.00	$0.00
U S TREASURY BONDS		912810EQ7							
10/29/15	09/30/15	107000	$140,019.53	$141,812.62	$-1,793.09		$0.00	$0.00	$0.00
10/29/15	08/21/15	43000	$56,269.53	$56,939.73	$-670.20		$0.00	$0.00	$0.00
03/21/16	08/21/15	67000	$88,178.28	$88,720.04	$-541.76		$0.00	$0.00	$0.00
03/21/16	02/03/16	4000	$5,264.37	$5,306.56	$-42.19		$0 00	$0.00	$0.00
04/11/16	09/28/15	59000	$78,594.45	$77,926.09	$668.36		$0.00	$0.00	$0.00
04/11/16	09/08/15	35000	$46,623.83	$45,982.62	$641.21		$0.00	$0.00	$0.00
04/11/16	09/25/15	3000	$3,996.33	$3,941.25	$55.08		$0.00	$0.00	$0.00
U S TREASURY NOTE		912828G38							
03/11/16	09/11/15	60000	$61,495.31	$60,478.13	$1,017.18		$0.00	$0.00	$0.00
03/11/16	09/15/15	58000	$59,445.47	$58,031.72	$1,413.75		$0.00	$0.00	$0.00
03/11/16	01/22/16	67000	$68,669.77	$68,285.04	$384.73		$0.00	$0.00	$0.00
U.S. TREASURY NOTE 3.5% 5/15/20		912828ND8							
01/22/16	04/06/15	5000	$5,430.66	$5,531.45	$-100.79		$0.00	$0.00	$0.00

Short Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
WEATHERFORD INTERNATIONAL LTD		947075AF4							
08/13/15	01/15/15	15000	$16,593.75	$16,275.00	$318.75		$0.00	$0.00	$0.00
WELLS FARGO & COMPANY 7.98% 12/31/99		949746PM7							
12/17/15	02/06/15	65000	$67,437 50	$71,500.00	$-4,062.50		$0.00	$0.00	$0.00
Total Short Term Gain/Loss			*$2,763,597.54*	*$2,853,671.13*	*$-90,073.59*		*$0.00*	*$0.00*	*$0.00*

Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
ACTAVIS FUNDING SCS 3.8% 3/15/25		00507UAS0							
03/04/16	03/04/15	56000	$57,464.96	$56,925.68	$539.28		$0.00	$0.00	$0.00
AMERICAN TOWER REIT 7.25% 5/15/19		029912AY8							
05/11/15	11/27/12	45000	$52,301.25	$55,847 70	$-3,546.45		$0.00	$0.00	$0.00
05/11/15	06/10/13	25000	$29,056.25	$30,440.00	$-1,383.75		$0.00	$0.00	$0.00
ARCELORMITTAL 9.85% 6/01/19		03938LAM6							
08/26/15	09/29/11	20000	$23,200.00	$22,558.60	$641.40		$0.00	$0.00	$0.00
08/26/15	09/28/11	40000	$46,400.00	$45,640.80	$759.20		$0.00	$0.00	$0.00
08/26/15	06/14/10	20000	$23,200.00	$23,966.00	$-766.00		$0 00	$0.00	$0.00

Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
ARCELORMITTAL	9.85% 6/01/19	03938LAM6							
08/26/15	08/29/12	5000	$5,800.00	$5,725.00	$75.00		$0.00	$0.00	$0.00
BANK OF AMERICA CORP 8.125% 12/25/50		060505DT8							
12/15/15	01/24/13	80000	$80,400 00	$90,600.00	$-10,200.00		$0.00	$0.00	$0.00
BURLINGTON NORTHN SANTA FE		12189TAZ7							
02/18/16	07/18/14	82000	$96,954.34	$104,386 82	$-7,432.48		$0.00	$0.00	$0.00
CENTURYLINK INC		156700AR7							
10/14/15	11/19/12	45000	$43,087.50	$49,791.15	$-6,703.65		$0.00	$0.00	$0.00
10/14/15	11/08/12	35000	$33,512.50	$38,796.80	$-5,284.30		$0.00	$0.00	$0.00
CHESAPEAKE ENERGY CO 6.125% 2/15/21		165167CG0							
11/19/15	10/25/12	95000	$38,000.00	$96,662.50	$-58,662.50		$0.00	$0.00	$0.00
CITIGROUP BANKING INST		172967EV9							
09/09/15	12/07/12	200000	$240,832.00	$268,398.00	$-27,566.00		$0.00	$0.00	$0.00
DIRECTV HOLDINGS LLC		25459HAT2							
09/15/15	10/02/12	5000	$5,436.65	$5,746.90	$-310.25		$0.00	$0.00	$0.00
09/15/15	10/18/11	25000	$27,183.25	$27,155.00	$28.25		$0.00	$0.00	$0.00
09/15/15	05/23/11	210000	$228,339.30	$223,683.60	$4,655.70		$0.00	$0.00	$0.00
DIRECTV HLDG / FIN INC		25459HBA2							
09/11/15	11/29/12	55000	$59,580.40	$61,322.25	$-1,741.85		$0.00	$0.00	$0.00

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Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
ENERGY TRANSFER PRTNRS 9.0% 4/15/19		29273RAM1							
06/23/15	02/23/12	20000	$23,982.60	$25,046.00	$-1,063 40		$0.00	$0.00	$0.00
06/23/15	06/20/11	70000	$83,939.10	$89,049.80	$-5,110.70		$0.00	$0.00	$0.00
06/23/15	12/14/10	15000	$17,986.95	$18,487.35	$-500.40		$0.00	$0.00	$0.00
FHLMC GOLD G14351	5.0% 11/01/23	3128MDBU6							
05/15/15	08/13/12	581.4	$581.40	$666.85	$-85.45		$0.00	$0.00	$0.00
05/15/15	01/25/12	5305.28	$5,305.28	$6,051.74	$-746.46		$0.00	$0.00	$0.00
06/15/15	01/25/12	2837.64	$2,837.64	$3,236.90	$-399.26		$0.00	$0.00	$0.00
06/15/15	08/13/12	310.97	$310.97	$356.67	$-45.70		$0.00	$0.00	$0.00
07/15/15	08/13/12	525.71	$525.71	$602.97	$-77.26		$0.00	$0.00	$0.00
07/15/15	01/25/12	4797.07	$4,797.07	$5,472.02	$-674.95		$0.00	$0.00	$0 00
08/15/15	01/25/12	2632.56	$2,632.56	$3,002.96	$-370.40		$0.00	$0.00	$0.00
08/15/15	08/13/12	288.5	$288.50	$330.90	$-42.40		$0.00	$0.00	$0.00
09/15/15	08/13/12	390.23	$390.23	$447.58	$-57.35		$0.00	$0.00	$0.00
09/15/15	01/25/12	3560.89	$3,560.89	$4,061.91	$-501.02		$0.00	$0.00	$0.00
10/15/15	08/13/12	299.05	$299.05	$343.00	$-43.95		$0.00	$0.00	$0.00
10/15/15	01/25/12	2728.86	$2,728.86	$3,112.81	$-383.95		$0 00	$0.00	$0.00
11/15/15	08/13/12	403.32	$403.32	$462.60	$-59.28		$0.00	$0.00	$0.00
11/15/15	01/25/12	3680.34	$3,680.34	$4,198.17	$-517.83		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FHLMC GOLD G14351	5.0% 11/01/23	3128MDBU6							
12/15/15	01/25/12	4424.41	$4,424.41	$5,046.93	$-622.52		$0.00	$0.00	$0.00
12/15/15	08/13/12	484.87	$484.87	$556.13	$-71 26		$0.00	$0.00	$0.00
01/15/16	08/13/12	337.92	$337.92	$387.58	$-49.66		$0.00	$0.00	$0.00
01/15/16	01/25/12	3083.52	$3,083.52	$3,517.37	$-433.85		$0.00	$0.00	$0.00
02/15/16	01/25/12	3118.9	$3,118.90	$3,557.73	$-438.83		$0.00	$0.00	$0.00
02/15/16	08/13/12	341.8	$341.80	$392.03	$-50.23		$0.00	$0.00	$0.00
03/15/16	08/13/12	281	$281.00	$322.30	$-41.30		$0.00	$0.00	$0.00
03/15/16	01/25/12	2564.1	$2,564.10	$2,924.87	$-360.77		$0.00	$0.00	$0.00
04/15/16	01/25/12	4051.93	$4,051.93	$4,622.04	$-570.11		$0.00	$0.00	$0.00
04/15/16	08/13/12	444.05	$444.05	$509.31	$-65.26		$0.00	$0.00	$0.00
FHLMC GOLD G18316	4.0% 7/01/24	3128MMK69							
05/15/15	12/12/12	5701.4	$5,701.40	$6,298.60	$-597.20		$0.00	$0.00	$0.00
06/15/15	12/12/12	5133.43	$5,133.43	$5,671.14	$-537.71		$0.00	$0.00	$0 00
07/15/15	12/12/12	5060.19	$5,060.19	$5,590.23	$-530.04		$0.00	$0.00	$0.00
08/15/15	12/12/12	5042.93	$5,042.93	$5,571.16	$-528.23		$0.00	$0.00	$0.00
09/15/15	12/12/12	4294.94	$4,294.94	$4,744.82	$-449.88		$0.00	$0.00	$0.00
10/15/15	12/12/12	4423.38	$4,423.38	$4,886.71	$-463.33		$0.00	$0.00	$0.00
11/15/15	12/12/12	4384.06	$4,384.06	$4,843.28	$-459.22		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION Date sold or disposed	Date acquired	CUSIP Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FHLMC GOLD G18316	**4.0% 7/01/24**	**3128MMK69**							
12/15/15	12/12/12	3694.34	$3,694.34	$4,081.31	$-386.97		$0.00	$0.00	$0.00
01/15/16	12/12/12	3737.71	$3,737.71	$4,129.22	$-391.51		$0.00	$0.00	$0.00
02/15/16	12/12/12	4013.23	$4,013.23	$4,433.60	$-420.37		$0.00	$0.00	$0.00
03/15/16	12/12/12	3631.49	$3,631.49	$4,011.88	$-380.39		$0.00	$0.00	$0.00
04/15/16	12/12/12	3084.9	$3,084.90	$3,408.03	$-323.13		$0.00	$0.00	$0.00
FHLMC GOLD A97047	**4.5% 2/01/41**	**312945ZL5**							
05/15/15	02/26/14	11385.58	$11,385.58	$12,331.15	$-945.57		$0.00	$0.00	$0.00
06/15/15	02/26/14	10182.04	$10,182.04	$11,027.66	$-845.62		$0.00	$0.00	$0.00
07/15/15	02/26/14	8284.24	$8,284.24	$8,972.25	$-688.01		$0.00	$0.00	$0.00
08/15/15	02/26/14	8672.63	$8,672.63	$9,392.89	$-720.26		$0.00	$0.00	$0.00
09/15/15	02/26/14	6592.85	$6,592.85	$7,140.39	$-547.54		$0.00	$0.00	$0.00
10/15/15	02/26/14	6514.1	$6,514.10	$7,055.10	$-541.00		$0.00	$0.00	$0.00
11/15/15	02/26/14	5602.85	$5,602.85	$6,068.17	$-465.32		$0.00	$0.00	$0.00
12/15/15	02/26/14	4754.64	$4,754.64	$5,149.51	$-394.87		$0.00	$0.00	$0.00
01/15/16	02/26/14	7935.15	$7,935.15	$8,594.16	$-659.01		$0.00	$0.00	$0.00
02/15/16	02/26/14	4209.35	$4,209.35	$4,558.94	$-349.59		$0.00	$0.00	$0.00
02/15/16	01/29/15	477.93	$477.93	$517.36	$-39.43		$0.00	$0.00	$0.00
03/15/16	01/29/15	667.35	$667.35	$722.41	$-55.06		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION CUSIP

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FHLMC GOLD A97047	**4.5% 2/01/41**	**312945ZL5**							
03/15/16	02/26/14	5877.58	$5,877.58	$6,365.71	$-488.13		$0.00	$0.00	$0.00
04/15/16	02/26/14	7902.3	$7,902.30	$8,558.59	$-656.29		$0.00	$0.00	$0.00
04/15/16	01/29/15	897.23	$897.23	$971.25	$-74.02		$0.00	$0.00	$0.00
FNMA POOL # 535994	**6.0% 6/01/31**	**31384WNK2**							
03/25/16	03/11/15	1139.13	$1,139.13	$1,308.58	$-169.45		$0.00	$0.00	$0.00
04/25/16	03/11/15	1179.55	$1,179.55	$1,355.01	$-175.46		$0.00	$0.00	$0 00
FNMA POOL # AL3914	**4.0% 11/01/26**	**3138ELK44**							
05/25/15	03/14/14	5827.6	$5,827.60	$6,257.39	$-429.79		$0.00	$0.00	$0.00
06/25/15	03/14/14	5104.41	$5,104.41	$5,480.86	$-376.45		$0.00	$0.00	$0.00
07/25/15	03/14/14	6425.75	$6,425.75	$6,899.65	$-473.90		$0.00	$0.00	$0.00
08/25/15	03/14/14	5610.43	$5,610.43	$6,024.20	$-413.77		$0.00	$0.00	$0 00
09/25/15	03/14/14	4881.38	$4,881.38	$5,241.38	$-360.00		$0.00	$0.00	$0.00
10/25/15	03/14/14	6017.94	$6,017.94	$6,461.76	$-443.82		$0.00	$0.00	$0.00
11/25/15	03/14/14	5058.62	$5,058.62	$5,431.69	$-373.07		$0.00	$0.00	$0.00
12/25/15	03/14/14	4129.16	$4,129.16	$4,433.69	$-304.53		$0.00	$0.00	$0.00
01/25/16	03/14/14	5624.5	$5,624.50	$6,039.31	$-414.81		$0.00	$0.00	$0.00
02/25/16	03/14/14	3735.75	$3,735.75	$4,011.26	$-275 51		$0.00	$0.00	$0.00
03/25/16	03/14/14	4011.12	$4,011.12	$4,306 94	$-295.82		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION CUSIP

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FNMA POOL # AL3914	**4.0% 11/01/26**	**3138ELK44**							
04/25/16	03/14/14	5164 48	$5,164.48	$5,545.36	$-380.88		$0.00	$0.00	$0.00
FEDERAL NAT'L MTGE ASSN POOL	**725690**	**31402DF70**							
05/25/15	09/09/13	783.18	$783.18	$884.66	$-101.48		$0.00	$0.00	$0.00
05/25/15	06/08/11	2288.66	$2,288.66	$2,606.41	$-317.75		$0.00	$0.00	$0.00
05/25/15	07/22/13	494 11	$494.11	$556.87	$-62.76		$0.00	$0.00	$0.00
06/25/15	06/08/11	1845.93	$1,845.93	$2,102.22	$-256.29		$0.00	$0.00	$0.00
06/25/15	09/09/13	631.68	$631.68	$713.53	$-81.85		$0.00	$0.00	$0.00
06/25/15	07/22/13	398.53	$398.53	$449.15	$-50.62		$0.00	$0.00	$0.00
07/25/15	06/08/11	2284.12	$2,284.12	$2,601.24	$-317.12		$0.00	$0.00	$0.00
07/25/15	09/09/13	781.63	$781.63	$882.91	$-101.28		$0.00	$0.00	$0.00
07/25/15	07/22/13	493.13	$493.13	$555.77	$-62.64		$0.00	$0.00	$0.00
08/25/15	07/22/13	444.9	$444.90	$501.41	$-56.51		$0.00	$0.00	$0.00
08/25/15	06/08/11	2060.69	$2,060.69	$2,346.79	$-286.10		$0.00	$0.00	$0.00
08/25/15	09/09/13	705.17	$705.17	$796.55	$-91.38		$0.00	$0.00	$0.00
09/25/15	06/08/11	2003.18	$2,003.18	$2,281.30	$-278.12		$0.00	$0.00	$0.00
09/25/15	07/22/13	432.48	$432.48	$487.42	$-54.94		$0.00	$0.00	$0.00
09/25/15	09/09/13	685.49	$685.49	$774.32	$-88.83		$0.00	$0 00	$0.00
10/25/15	06/08/11	1846.51	$1,846.51	$2,102.88	$-256.37		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION CUSIP

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FEDERAL NAT'L MTGE ASSN POOL 725690		**31402DF70**							
10/25/15	07/22/13	398.66	$398.66	$449.30	$-50.64		$0.00	$0.00	$0.00
10/25/15	09/09/13	631.88	$631.88	$713.76	$-81.88		$0 00	$0.00	$0.00
11/25/15	07/22/13	312.45	$312.45	$352.14	$-39.69		$0.00	$0.00	$0.00
11/25/15	09/09/13	495.24	$495.24	$559.41	$-64.17		$0.00	$0.00	$0.00
11/25/15	06/08/11	1447.2	$1,447.20	$1,648.13	$-200.93		$0.00	$0 00	$0.00
12/25/15	09/09/13	591.34	$591.34	$667 97	$-76 63		$0.00	$0.00	$0.00
12/25/15	07/22/13	373.08	$373.08	$420.47	$-47.39		$0.00	$0.00	$0.00
12/25/15	06/08/11	1728.03	$1,728.03	$1,967.95	$-239.92		$0.00	$0.00	$0.00
01/25/16	07/22/13	415.58	$415 58	$468.37	$-52.79		$0.00	$0.00	$0.00
01/25/16	09/09/13	658.7	$658.70	$744.05	$-85.35		$0.00	$0.00	$0.00
01/25/16	06/08/11	1924.9	$1,924.90	$2,192.15	$-267.25		$0.00	$0.00	$0.00
02/19/16	07/22/13	16750.56	$19,221.27	$18,878.32	$342.95		$0.00	$0.00	$0.00
02/19/16	09/09/13	30603 66	$35,117.70	$34,569.29	$548.41		$0.00	$0.00	$0.00
02/19/16	06/08/11	89431.36	$102,622.48	$101,847 89	$774.59		$0.00	$0.00	$0.00
02/25/16	07/22/13	2557.36	$2,557.36	$2,882.21	$-324.85		$0.00	$0.00	$0.00
FEDERAL NAT'L MTGE ASSN POOL 735061		**31402QTS0**							
05/25/15	05/05/11	2501.39	$2,501.39	$2,834.90	$-333.51		$0.00	$0.00	$0.00
05/25/15	08/28/13	239.65	$239.65	$272.45	$-32.80		$0.00	$0.00	$0.00

Long Term Transactions

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Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FEDERAL NAT'L MTGE ASSN POOL 735061		31402QTS0							
06/25/15	05/05/11	2559.41	$2,559.41	$2,900.66	$-341.25		$0.00	$0.00	$0.00
06/25/15	08/28/13	245.21	$245.21	$278.77	$-33.56		$0.00	$0.00	$0.00
07/25/15	05/05/11	3181.6	$3,181.60	$3,605.81	$-424 21		$0.00	$0.00	$0.00
07/25/15	08/28/13	304.82	$304.82	$346.54	$-41 72		$0.00	$0.00	$0.00
08/25/15	08/28/13	305.53	$305.53	$347.35	$-41.82		$0.00	$0.00	$0.00
08/25/15	05/05/11	3188.99	$3,188.99	$3,614.18	$-425.19		$0.00	$0.00	$0.00
09/25/15	05/05/11	2525.19	$2,525.19	$2,861.88	$-336.69		$0.00	$0.00	$0.00
09/25/15	08/28/13	241.93	$241.93	$275.04	$-33.11		$0.00	$0.00	$0.00
10/25/15	08/28/13	200.18	$200.18	$227.58	$-27.40		$0.00	$0.00	$0.00
10/25/15	05/05/11	2089.37	$2,089.37	$2,367.95	$-278.58		$0.00	$0.00	$0.00
11/25/15	05/05/11	2744.18	$2,744.18	$3,110.06	$-365.88		$0.00	$0.00	$0.00
11/25/15	08/28/13	262.92	$262.92	$298.90	$-35.98		$0.00	$0.00	$0.00
12/25/15	05/05/11	2291.12	$2,291.12	$2,596.60	$-305.48		$0.00	$0.00	$0.00
12/25/15	08/28/13	219.51	$219.51	$249.55	$-30.04		$0.00	$0.00	$0.00
01/25/16	08/28/13	206.54	$206.54	$234.81	$-28.27		$0.00	$0.00	$0.00
01/25/16	05/05/11	2155.75	$2,155.75	$2,443.18	$-287.43		$0.00	$0.00	$0.00
02/19/16	08/28/13	9163.89	$10,498.38	$10,418.08	$80.30		$0.00	$0.00	$0.00
02/19/16	05/05/11	93718.22	$107,365.93	$106,213.76	$1,152.17		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION CUSIP

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FEDERAL NAT'L MTGE ASSN POOL 735061		**31402QTS0**							
02/25/16	05/05/11	1929.9	$1,929.90	$2,187.22	$-257.32		$0 00	$0.00	$0.00
FEDERAL NAT'L MTGE ASSN POOL 735230		**31402QY39**							
05/25/15	11/14/11	1875.6	$1,875.60	$2,087.69	$-212.09		$0.00	$0.00	$0.00
06/25/15	11/14/11	1853.18	$1,853.18	$2,062.74	$-209.56		$0.00	$0.00	$0.00
07/25/15	11/14/11	1539.62	$1,539.62	$1,713.72	$-174.10		$0.00	$0.00	$0.00
08/25/15	11/14/11	2000.95	$2,000.95	$2,227.22	$-226.27		$0.00	$0.00	$0.00
09/25/15	11/14/11	1359.99	$1,359.99	$1,513.78	$-153.79		$0 00	$0.00	$0.00
10/25/15	11/14/11	1423.78	$1,423 78	$1,584.78	$-161.00		$0.00	$0.00	$0.00
11/25/15	11/14/11	1933.85	$1,933.85	$2,152.53	$-218.68		$0.00	$0.00	$0.00
12/25/15	11/14/11	1138.61	$1,138.61	$1,267.36	$-128.75		$0.00	$0.00	$0.00
01/25/16	11/14/11	1489.12	$1,489.12	$1,657.51	$-168.39		$0.00	$0.00	$0.00
02/25/16	11/14/11	1688.51	$1,688.51	$1,879.45	$-190.94		$0.00	$0.00	$0.00
03/25/16	11/14/11	1193.95	$1,193.95	$1,328.96	$-135.01		$0.00	$0.00	$0.00
04/25/16	11/14/11	1541.99	$1,541.99	$1,716.36	$-174.37		$0.00	$0.00	$0.00
FNMA POOL 0735403		**31402RAC3**							
05/25/15	07/11/12	2182.41	$2,182.41	$2,445.26	$-262.85		$0.00	$0.00	$0.00
05/25/15	09/09/13	182.6	$182.60	$201.63	$-19.03		$0.00	$0.00	$0.00
05/25/15	06/07/13	600.47	$600.47	$665.75	$-65.28		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION Date sold or disposed	Date acquired	CUSIP Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FNMA POOL 0735403		31402RAC3							
05/25/15	03/28/14	749.71	$749.71	$822.34	$-72.63		$0.00	$0.00	$0.00
06/25/15	09/09/13	194.59	$194.59	$214.87	$-20.28		$0.00	$0.00	$0.00
06/25/15	06/07/13	639.9	$639 90	$709.47	$-69.57		$0.00	$0.00	$0.00
06/25/15	07/11/12	2325 72	$2,325.72	$2,605.83	$-280.11		$0.00	$0.00	$0.00
06/25/15	03/28/14	798.94	$798.94	$876.34	$-77.40		$0.00	$0.00	$0.00
07/25/15	06/07/13	480.19	$480.19	$532.40	$-52.21		$0.00	$0.00	$0.00
07/25/15	07/11/12	1745.26	$1,745.26	$1,955.46	$-210.20		$0.00	$0.00	$0.00
07/25/15	09/09/13	146.02	$146.02	$161.24	$-15.22		$0.00	$0.00	$0.00
07/25/15	03/28/14	599.54	$599.54	$657.62	$-58.08		$0.00	$0.00	$0.00
08/25/15	03/28/14	654.96	$654.96	$718.41	$-63.45		$0.00	$0.00	$0.00
08/25/15	09/09/13	159.52	$159.52	$176.15	$-16.63		$0.00	$0.00	$0.00
08/25/15	07/11/12	1906.6	$1,906.60	$2,136.23	$-229.63		$0.00	$0.00	$0.00
08/25/15	06/07/13	524.58	$524.58	$581.61	$-57.03		$0.00	$0.00	$0.00
09/25/15	09/09/13	116.1	$116.10	$128.20	$-12.10		$0.00	$0.00	$0.00
09/25/15	06/07/13	381.78	$381.78	$423.29	$-41.51		$0.00	$0.00	$0.00
09/25/15	07/11/12	1387.59	$1,387.59	$1,554.71	$-167.12		$0.00	$0.00	$0.00
09/25/15	03/28/14	476.67	$476.67	$522.85	$-46.18		$0.00	$0.00	$0.00
10/25/15	03/28/14	713.22	$713.22	$782.31	$-69.09		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FNMA POOL 0735403 (CUSIP 31402RAC3)										
	10/25/15	09/09/13	173.71	$173.71	$191.81	$-18.10		$0.00	$0.00	$0.00
	10/25/15	06/07/13	571.25	$571.25	$633 35	$-62.10		$0.00	$0.00	$0.00
	10/25/15	07/11/12	2076.2	$2,076.20	$2,326.26	$-250.06		$0.00	$0.00	$0.00
	11/25/15	07/11/12	1525.09	$1,525.09	$1,708.77	$-183.68		$0.00	$0.00	$0.00
	11/25/15	06/07/13	419.61	$419.61	$465.23	$-45.62		$0.00	$0.00	$0.00
	11/25/15	03/28/14	523.9	$523.90	$574.65	$-50.75		$0.00	$0.00	$0.00
	11/25/15	09/09/13	127.6	$127.60	$140.90	$-13.30		$0.00	$0.00	$0.00
	12/25/15	07/11/12	1589.76	$1,589.76	$1,781.23	$-191.47		$0.00	$0.00	$0.00
	12/25/15	06/07/13	437.41	$437.41	$484.96	$-47.55		$0.00	$0.00	$0.00
	12/25/15	09/09/13	133.01	$133.01	$146.87	$-13.86		$0.00	$0.00	$0.00
	12/25/15	03/28/14	546.12	$546.12	$599.03	$-52.91		$0.00	$0.00	$0.00
	01/25/16	03/28/14	645.94	$645.94	$708.52	$-62.58		$0.00	$0.00	$0.00
	01/25/16	07/11/12	1880.34	$1,880.34	$2,106.81	$-226.47		$0.00	$0.00	$0.00
	01/25/16	06/07/13	517.36	$517.36	$573.61	$-56.25		$0.00	$0.00	$0.00
	01/25/16	09/09/13	157.32	$157.32	$173.72	$-16.40		$0.00	$0.00	$0.00
	02/25/16	06/07/13	352.86	$352.86	$391.22	$-38.36		$0.00	$0.00	$0.00
	02/25/16	03/28/14	440.56	$440.56	$483.24	$-42.68		$0.00	$0 00	$0.00
	02/25/16	09/09/13	107.3	$107.30	$118.48	$-11 18		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION		CUSIP								
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)	
FNMA POOL 0735403		**31402RAC3**								
02/25/16	07/11/12	1282.47	$1,282.47	$1,436.93	$-154.46		$0.00	$0.00	$0.00	
03/25/16	03/28/14	474.62	$474.62	$520.60	$-45.98		$0.00	$0 00	$0.00	
03/25/16	09/09/13	115.6	$115 60	$127.65	$-12.05		$0.00	$0.00	$0.00	
03/25/16	06/07/13	380.14	$380.14	$421.47	$-41 33		$0.00	$0.00	$0.00	
03/25/16	07/11/12	1381.62	$1,381.62	$1,548.02	$-166.40		$0.00	$0.00	$0.00	
04/25/16	07/11/12	1906.23	$1,906.23	$2,135.82	$-229.59		$0.00	$0.00	$0.00	
04/25/16	03/28/14	654.83	$654.83	$718.27	$-63.44		$0.00	$0.00	$0.00	
04/25/16	06/07/13	524.48	$524.48	$581.50	$-57.02		$0.00	$0.00	$0.00	
04/25/16	09/09/13	159.49	$159.49	$176.11	$-16.62		$0.00	$0.00	$0.00	
FEDERAL NAT'L MTGE ASSN POOL 745275		**31403C6L0**								
05/25/15	05/06/11	3756.7	$3,756.70	$4,079.42	$-322.72		$0.00	$0.00	$0.00	
05/25/15	09/09/13	979.11	$979.11	$1,073.84	$-94.73		$0.00	$0.00	$0.00	
05/25/15	12/10/12	3916.44	$3,916.44	$4,342.86	$-426.42		$0.00	$0.00	$0.00	
06/25/15	05/06/11	3571.02	$3,571.02	$3,877.79	$-306.77		$0.00	$0.00	$0.00	
06/25/15	12/10/12	3722.86	$3,722.86	$4,128.21	$-405.35		$0.00	$0.00	$0.00	
06/25/15	09/09/13	930.71	$930.71	$1,020.76	$-90.05		$0.00	$0.00	$0.00	
07/25/15	12/10/12	4274.9	$4,274.90	$4,740.35	$-465.45		$0.00	$0.00	$0.00	
07/25/15	05/06/11	4100.54	$4,100.54	$4,452.80	$-352.26		$0.00	$0.00	$0.00	

Long Term Transactions

DESCRIPTION **CUSIP**

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FEDERAL NAT'L MTGE ASSN POOL 745275			**31403C6L0**						
07/25/15	09/09/13	1068.72	$1,068.72	$1,172.12	$-103.40		$0.00	$0.00	$0.00
08/25/15	09/09/13	915.63	$915.63	$1,004.22	$-88.59		$0.00	$0.00	$0.00
08/25/15	12/10/12	3662.5	$3,662.50	$4,061 28	$-398.78		$0.00	$0.00	$0.00
08/25/15	05/06/11	3513.12	$3,513.12	$3,814.92	$-301.80		$0.00	$0.00	$0.00
09/25/15	05/06/11	3322.57	$3,322.57	$3,608.00	$-285.43		$0.00	$0.00	$0.00
09/25/15	09/09/13	865.96	$865.96	$949.75	$-83.79		$0.00	$0.00	$0.00
09/25/15	12/10/12	3463.84	$3,463 84	$3,840.99	$-377.15		$0.00	$0.00	$0.00
10/25/15	05/06/11	3339.14	$3,339.14	$3,625.99	$-286.85		$0.00	$0.00	$0.00
10/25/15	12/10/12	3481.12	$3,481.12	$3,860.15	$-379.03		$0.00	$0.00	$0.00
10/25/15	09/09/13	870.28	$870.28	$954.48	$-84.20		$0.00	$0.00	$0.00
11/25/15	09/09/13	866.16	$866.16	$949.97	$-83.81		$0.00	$0.00	$0.00
11/25/15	05/06/11	3323.32	$3,323.32	$3,608 81	$-285.49		$0.00	$0.00	$0.00
11/25/15	12/10/12	3464.63	$3,464.63	$3,841.86	$-377.23		$0.00	$0.00	$0.00
12/25/15	05/06/11	2408.89	$2,408 89	$2,615.83	$-206.94		$0.00	$0.00	$0.00
12/25/15	12/10/12	2511 32	$2,511.32	$2,784 75	$-273.43		$0.00	$0.00	$0.00
12/25/15	09/09/13	627 83	$627.83	$688.58	$-60.75		$0.00	$0.00	$0.00
01/25/16	12/10/12	3281.83	$3,281 83	$3,639.16	$-357.33		$0.00	$0.00	$0.00
01/25/16	05/06/11	3147.98	$3,147.98	$3,418.41	$-270.43		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION CUSIP

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FEDERAL NAT'L MTGE ASSN POOL 745275		**31403C6L0**							
01/25/16	09/09/13	820 46	$820.46	$899.84	$-79.38		$0.00	$0.00	$0.00
02/25/16	12/10/12	2800.61	$2,800.61	$3,105.54	$-304.93		$0.00	$0.00	$0.00
02/25/16	05/06/11	2686.38	$2,686.38	$2,917.15	$-230.77		$0.00	$0.00	$0.00
02/25/16	09/09/13	700.15	$700.15	$767.89	$-67.74		$0.00	$0.00	$0.00
03/25/16	12/10/12	2600.6	$2,600.60	$2,883.76	$-283.16		$0.00	$0.00	$0.00
03/25/16	09/09/13	650.15	$650.15	$713.06	$-62.91		$0.00	$0.00	$0.00
03/25/16	05/06/11	2494.53	$2,494.53	$2,708.82	$-214.29		$0.00	$0.00	$0.00
04/25/16	05/06/11	2751.05	$2,751.05	$2,987.38	$-236.33		$0.00	$0.00	$0.00
04/25/16	09/09/13	717.01	$717.01	$786.38	$-69.37		$0.00	$0.00	$0.00
04/25/16	12/10/12	2868.02	$2,868.02	$3,180.29	$-312.27		$0.00	$0.00	$0.00
FEDERAL NAT'L MTGE ASSN POOL 745355		**31403DBY4**							
05/25/15	10/20/11	1983.58	$1,983.58	$2,175.34	$-191.76		$0.00	$0.00	$0.00
05/25/15	01/30/13	491.22	$491.22	$543.73	$-52.51		$0.00	$0.00	$0.00
05/25/15	08/28/13	238.59	$238.59	$263.03	$-24.44		$0.00	$0.00	$0.00
05/25/15	07/19/13	259.64	$259.64	$285 40	$-25.76		$0.00	$0.00	$0.00
05/25/15	07/29/13	362.57	$362.57	$399.47	$-36 90		$0.00	$0.00	$0.00
05/25/15	08/27/13	72.51	$72.51	$79.84	$-7.33		$0.00	$0.00	$0 00
06/25/15	08/27/13	68.81	$68.81	$75.77	$-6.96		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION CUSIP

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FEDERAL NAT'L MTGE ASSN POOL 745355		31403DBY4							
06/25/15	08/28/13	226.42	$226.42	$249.61	$-23.19		$0.00	$0.00	$0.00
06/25/15	10/20/11	1882.36	$1,882.36	$2,064.34	$-181.98		$0.00	$0.00	$0.00
06/25/15	07/29/13	344.06	$344.06	$379.08	$-35 02		$0.00	$0.00	$0 00
06/25/15	07/19/13	246.39	$246.39	$270.84	$-24.45		$0.00	$0.00	$0.00
06/25/15	01/30/13	466.15	$466.15	$515.98	$-49.83		$0.00	$0.00	$0.00
07/25/15	10/20/11	1881.33	$1,881.33	$2,063.21	$-181.88		$0.00	$0.00	$0.00
07/25/15	08/27/13	68.78	$68.78	$75.74	$-6.96		$0.00	$0.00	$0.00
07/25/15	08/28/13	226.29	$226.29	$249.47	$-23.18		$0.00	$0.00	$0.00
07/25/15	07/29/13	343.88	$343.88	$378.88	$-35.00		$0.00	$0.00	$0.00
07/25/15	01/30/13	465.9	$465.90	$515.70	$-49.80		$0.00	$0.00	$0.00
07/25/15	07/19/13	246.26	$246.26	$270.70	$-24.44		$0.00	$0.00	$0.00
08/25/15	07/29/13	367.29	$367.29	$404.67	$-37.38		$0.00	$0.00	$0.00
08/25/15	08/27/13	73.46	$73.46	$80 89	$-7.43		$0.00	$0.00	$0.00
08/25/15	08/28/13	241.7	$241.70	$266.45	$-24.75		$0.00	$0.00	$0.00
08/25/15	07/19/13	263.03	$263.03	$289.13	$-26.10		$0.00	$0.00	$0.00
08/25/15	01/30/13	497.62	$497.62	$550.81	$-53.19		$0.00	$0.00	$0.00
08/25/15	10/20/11	2009.44	$2,009.44	$2,203.70	$-194.26		$0.00	$0.00	$0.00
09/25/15	01/30/13	450.1	$450.10	$498.21	$-48.11		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION **CUSIP**

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FEDERAL NAT'L MTGE ASSN POOL 745355		**31403DBY4**							
09/25/15	07/19/13	237.91	$237.91	$261.52	$-23.61		$0.00	$0.00	$0.00
09/25/15	07/29/13	332.21	$332.21	$366.02	$-33.81		$0.00	$0.00	$0.00
09/25/15	08/27/13	66 44	$66 44	$73.16	$-6.72		$0.00	$0.00	$0.00
09/25/15	08/28/13	218.62	$218.62	$241.01	$-22.39		$0.00	$0.00	$0.00
09/25/15	10/20/11	1817.53	$1,817.53	$1,993.24	$-175.71		$0.00	$0.00	$0.00
10/25/15	08/27/13	61.36	$61.36	$67.57	$-6.21		$0.00	$0.00	$0.00
10/25/15	07/29/13	306 81	$306.81	$338.04	$-31.23		$0.00	$0.00	$0.00
10/25/15	07/19/13	219.72	$219.72	$241.52	$-21.80		$0.00	$0.00	$0.00
10/25/15	01/30/13	415.68	$415.68	$460.11	$-44.43		$0.00	$0.00	$0.00
10/25/15	10/20/11	1678.55	$1,678.55	$1,840.82	$-162.27		$0.00	$0.00	$0.00
10/25/15	08/28/13	201.9	$201.90	$222.58	$-20.68		$0.00	$0.00	$0.00
11/25/15	08/27/13	55.93	$55.93	$61.59	$-5.66		$0.00	$0.00	$0.00
11/25/15	07/29/13	279.65	$279.65	$308.11	$-28 46		$0.00	$0.00	$0.00
11/25/15	07/19/13	200.26	$200.26	$220.13	$-19.87		$0.00	$0.00	$0 00
11/25/15	01/30/13	378.88	$378.88	$419.38	$-40.50		$0.00	$0.00	$0.00
11/25/15	10/20/11	1529.94	$1,529.94	$1,677.85	$-147.91		$0.00	$0.00	$0.00
11/25/15	08/28/13	184.03	$184.03	$202.88	$-18.85		$0.00	$0.00	$0.00
12/25/15	10/20/11	1348.78	$1,348.78	$1,479.17	$-130.39		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION **CUSIP**

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FEDERAL NAT'L MTGE ASSN POOL 745355		**31403DBY4**							
12/25/15	01/30/13	334.01	$334.01	$369.71	$-35.70		$0.00	$0.00	$0.00
12/25/15	07/19/13	176.55	$176.55	$194.07	$-17.52		$0.00	$0.00	$0.00
12/25/15	07/29/13	246.53	$246.53	$271.62	$-25.09		$0.00	$0.00	$0.00
12/25/15	08/27/13	49.31	$49.31	$54.30	$-4.99		$0.00	$0.00	$0.00
12/25/15	08/28/13	162.24	$162.24	$178.86	$-16.62		$0 00	$0.00	$0.00
01/25/16	10/20/11	1547.2	$1,547.20	$1,696.77	$-149.57		$0.00	$0.00	$0.00
01/25/16	01/30/13	383.15	$383.15	$424.10	$-40.95		$0.00	$0.00	$0.00
01/25/16	07/19/13	202.52	$202.52	$222.62	$-20.10		$0.00	$0.00	$0.00
01/25/16	07/29/13	282.8	$282.80	$311.58	$-28.78		$0.00	$0.00	$0.00
01/25/16	08/27/13	56.56	$56.56	$62.28	$-5.72		$0.00	$0.00	$0.00
01/25/16	08/28/13	186.1	$186.10	$205.16	$-19.06		$0.00	$0.00	$0.00
02/25/16	10/20/11	1214.29	$1,214.29	$1,331.68	$-117.39		$0.00	$0.00	$0.00
02/25/16	01/30/13	300.71	$300.71	$332.85	$-32.14		$0.00	$0.00	$0.00
02/25/16	07/19/13	158.95	$158.95	$174.72	$-15.77		$0.00	$0.00	$0.00
02/25/16	07/29/13	221.95	$221 95	$244.54	$-22.59		$0.00	$0.00	$0.00
02/25/16	08/27/13	44.39	$44.39	$48.88	$-4.49		$0.00	$0.00	$0.00
02/25/16	08/28/13	146.06	$146.06	$161.02	$-14.96		$0.00	$0.00	$0.00
03/25/16	08/28/13	141 41	$141.41	$155.89	$-14.48		$0.00	$0.00	$0.00

Long Term Transactions

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
DESCRIPTION		**CUSIP**							
FEDERAL NAT'L MTGE ASSN POOL 745355		**31403DBY4**							
03/25/16	10/20/11	1175.62	$1,175.62	$1,289.27	$-113.65		$0.00	$0.00	$0.00
03/25/16	01/30/13	291.13	$291.13	$322.25	$-31.12		$0.00	$0.00	$0.00
03/25/16	07/19/13	153.88	$153.88	$169.15	$-15.27		$0.00	$0.00	$0.00
03/25/16	07/29/13	214.88	$214.88	$236.75	$-21.87		$0.00	$0.00	$0.00
03/25/16	08/27/13	42.98	$42.98	$47.33	$-4.35		$0.00	$0.00	$0.00
04/25/16	07/19/13	191.13	$191.13	$210.10	$-18.97		$0.00	$0.00	$0.00
04/25/16	10/20/11	1460.18	$1,460.18	$1,601.34	$-141.16		$0.00	$0.00	$0.00
04/25/16	01/30/13	361.6	$361.60	$400.25	$-38.65		$0.00	$0.00	$0.00
04/25/16	07/29/13	266.9	$266.90	$294.07	$-27.17		$0.00	$0.00	$0.00
04/25/16	08/27/13	53.38	$53.38	$58.78	$-5.40		$0.00	$0.00	$0.00
04/25/16	08/28/13	175.63	$175.63	$193.62	$-17.99		$0.00	$0.00	$0.00
FEDERAL NAT'L MTGE ASSN POOL 745418		**31403DDX4**							
05/25/15	07/29/13	1746.67	$1,746.67	$1,964.10	$-217.43		$0.00	$0.00	$0.00
05/25/15	09/09/13	1371.46	$1,371.46	$1,529 42	$-157.96		$0.00	$0.00	$0.00
05/25/15	08/27/13	251.37	$251.37	$280.97	$-29.60		$0.00	$0.00	$0.00
05/25/15	05/06/11	3781.68	$3,781.68	$4,211.17	$-429.49		$0.00	$0.00	$0.00
06/25/15	09/09/13	1270.96	$1,270.96	$1,417.34	$-146.38		$0.00	$0.00	$0.00
06/25/15	08/27/13	232.95	$232.95	$260.38	$-27.43		$0.00	$0.00	$0.00

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Long Term Transactions

DESCRIPTION **CUSIP**

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FEDERAL NAT'L MTGE ASSN POOL 745418		**31403DDX4**							
06/25/15	07/29/13	1618.67	$1,618.67	$1,820.16	$-201.49		$0.00	$0.00	$0.00
06/25/15	05/06/11	3504.55	$3,504.55	$3,902.57	$-398.02		$0.00	$0.00	$0.00
07/25/15	09/09/13	1314 42	$1,314.42	$1,465.81	$-151.39		$0.00	$0.00	$0.00
07/25/15	08/27/13	240.92	$240.92	$269.29	$-28.37		$0.00	$0.00	$0.00
07/25/15	05/06/11	3624.39	$3,624.39	$4,036.02	$-411.63		$0.00	$0.00	$0.00
07/25/15	07/29/13	1674.02	$1,674.02	$1,882.40	$-208.38		$0.00	$0.00	$0.00
08/25/15	08/27/13	214.91	$214.91	$240.21	$-25.30		$0.00	$0.00	$0.00
08/25/15	09/09/13	1172.55	$1,172.55	$1,307.60	$-135.05		$0.00	$0.00	$0.00
08/25/15	05/06/11	3233.19	$3,233.19	$3,600.39	$-367.20		$0.00	$0.00	$0.00
08/25/15	07/29/13	1493.34	$1,493.34	$1,679 23	$-185.89		$0.00	$0.00	$0.00
09/25/15	07/29/13	1367.14	$1,367.14	$1,537.32	$-170.18		$0.00	$0.00	$0.00
09/25/15	05/06/11	2959.97	$2,959.97	$3,296.14	$-336.17		$0.00	$0.00	$0.00
09/25/15	08/27/13	196.75	$196.75	$219.92	$-23.17		$0.00	$0.00	$0.00
09/25/15	09/09/13	1073.46	$1,073.46	$1,197.10	$-123.64		$0.00	$0.00	$0.00
10/25/15	09/09/13	1180.82	$1,180.82	$1,316.82	$-136.00		$0.00	$0.00	$0.00
10/25/15	08/27/13	216.43	$216.43	$241.91	$-25.48		$0.00	$0.00	$0.00
10/25/15	05/06/11	3256	$3,256.00	$3,625.79	$-369.79		$0.00	$0.00	$0.00
10/25/15	07/29/13	1503.87	$1,503.87	$1,691.07	$-187.20		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION **CUSIP**

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FEDERAL NAT'L MTGE ASSN POOL 745418		**31403DDX4**							
11/25/15	05/06/11	2856 28	$2,856.28	$3,180.67	$-324.39		$0.00	$0.00	$0 00
11/25/15	07/29/13	1319.25	$1,319.25	$1,483.47	$-164.22		$0.00	$0 00	$0.00
11/25/15	09/09/13	1035.85	$1,035.85	$1,155.16	$-119.31		$0.00	$0.00	$0.00
11/25/15	08/27/13	189.86	$189.86	$212.21	$-22.35		$0.00	$0.00	$0.00
12/25/15	08/27/13	170.63	$170.63	$190.72	$-20.09		$0.00	$0.00	$0.00
12/25/15	05/06/11	2566.91	$2,566.91	$2,858.44	$-291.53		$0.00	$0.00	$0.00
12/25/15	07/29/13	1185.6	$1,185.60	$1,333.18	$-147.58		$0.00	$0.00	$0.00
12/25/15	09/09/13	930.91	$930.91	$1,038.13	$-107.22		$0.00	$0.00	$0.00
01/25/16	07/29/13	1397.34	$1,397.34	$1,571.28	$-173.94		$0.00	$0.00	$0.00
01/25/16	05/06/11	3025.36	$3,025.36	$3,368.96	$-343.60		$0.00	$0.00	$0.00
01/25/16	09/09/13	1097.17	$1,097.17	$1,223.54	$-126.37		$0.00	$0.00	$0.00
01/25/16	08/27/13	201.1	$201.10	$224.78	$-23.68		$0.00	$0.00	$0.00
02/25/16	05/06/11	2529.76	$2,529.76	$2,817.07	$-287.31		$0.00	$0.00	$0.00
02/25/16	09/09/13	917.44	$917.44	$1,023.11	$-105.67		$0.00	$0.00	$0.00
02/25/16	08/27/13	168.16	$168 16	$187.96	$-19.80		$0.00	$0.00	$0.00
02/25/16	07/29/13	1168.44	$1,168.44	$1,313.89	$-145.45		$0.00	$0.00	$0.00
03/25/16	05/06/11	2796.33	$2,796.33	$3,113.91	$-317.58		$0.00	$0.00	$0.00
03/25/16	09/09/13	1014.11	$1,014.11	$1,130.91	$-116.80		$0.00	$0.00	$0 00

Long Term Transactions

DESCRIPTION CUSIP

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FEDERAL NAT'L MTGE ASSN POOL 745418		**31403DDX4**							
03/25/16	08/27/13	185.88	$185.88	$207.77	$-21 89		$0.00	$0.00	$0.00
03/25/16	07/29/13	1291.56	$1,291.56	$1,452.33	$-160.77		$0 00	$0.00	$0.00
04/25/16	09/09/13	950.69	$950.69	$1,060.19	$-109 50		$0.00	$0.00	$0.00
04/25/16	08/27/13	174.25	$174.25	$194.77	$-20.52		$0.00	$0.00	$0.00
04/25/16	05/06/11	2621.46	$2,621.46	$2,919.18	$-297.72		$0.00	$0.00	$0.00
04/25/16	07/29/13	1210.79	$1,210.79	$1,361.51	$-150.72		$0.00	$0.00	$0.00
FEDERAL NAT'L MTGE ASSN POOL 888637		**31410GHN6**							
05/25/15	08/21/13	281.11	$281.11	$316.76	$-35.65		$0.00	$0.00	$0.00
05/25/15	08/27/13	86.62	$86.62	$97.66	$-11.04		$0.00	$0.00	$0.00
05/25/15	09/09/13	359.56	$359.56	$404.70	$-45.14		$0.00	$0.00	$0.00
05/25/15	11/07/13	3227.88	$3,227.88	$3,645.56	$-417.68		$0.00	$0.00	$0.00
05/25/15	03/27/13	5064.91	$5,064.91	$5,722.74	$-657.83		$0.00	$0.00	$0.00
05/25/15	08/19/13	361.2	$361.20	$407.71	$-46.51		$0.00	$0.00	$0.00
06/25/15	11/07/13	2553.04	$2,553.04	$2,883.40	$-330.36		$0.00	$0.00	$0.00
06/25/15	09/09/13	284.39	$284.39	$320.09	$-35.70		$0.00	$0.00	$0.00
06/25/15	08/27/13	68.51	$68.51	$77.24	$-8.73		$0.00	$0.00	$0.00
06/25/15	08/21/13	222.34	$222.34	$250.54	$-28.20		$0.00	$0.00	$0.00
06/25/15	08/19/13	285.68	$285.68	$322.46	$-36.78		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION **CUSIP**

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FEDERAL NAT'L MTGE ASSN POOL 888637		**31410GHN6**							
06/25/15	03/27/13	4006.02	$4,006.02	$4,526.32	$-520.30		$0.00	$0.00	$0.00
07/25/15	09/09/13	270.3	$270.30	$304.23	$-33.93		$0.00	$0.00	$0.00
07/25/15	11/07/13	2426.58	$2,426.58	$2,740.57	$-313.99		$0.00	$0.00	$0.00
07/25/15	08/27/13	65.12	$65.12	$73.42	$-8.30		$0.00	$0.00	$0.00
07/25/15	08/21/13	211.33	$211.33	$238.13	$-26.80		$0.00	$0.00	$0.00
07/25/15	08/19/13	271.53	$271.53	$306.49	$-34.96		$0.00	$0.00	$0.00
07/25/15	03/27/13	3807.59	$3,807.59	$4,302.12	$-494.53		$0.00	$0.00	$0.00
08/25/15	03/27/13	3867.24	$3,867.24	$4,369.52	$-502.28		$0 00	$0.00	$0.00
08/25/15	08/19/13	275.79	$275.79	$311.30	$-35.51		$0.00	$0.00	$0.00
08/25/15	08/21/13	214.64	$214.64	$241.86	$-27.22		$0.00	$0.00	$0 00
08/25/15	08/27/13	66.14	$66.14	$74.57	$-8.43		$0.00	$0.00	$0.00
08/25/15	09/09/13	274.54	$274.54	$309.00	$-34.46		$0 00	$0.00	$0.00
08/25/15	11/07/13	2464.6	$2,464.60	$2,783.51	$-318.91		$0.00	$0.00	$0.00
09/25/15	03/27/13	3895.72	$3,895.72	$4,401.70	$-505.98		$0.00	$0.00	$0.00
09/25/15	08/19/13	277.82	$277.82	$313.59	$-35.77		$0.00	$0.00	$0.00
09/25/15	08/21/13	216.22	$216.22	$243.64	$-27.42		$0.00	$0.00	$0.00
09/25/15	08/27/13	66.63	$66 63	$75.12	$-8.49		$0 00	$0.00	$0.00
09/25/15	09/09/13	276.56	$276.56	$311.28	$-34.72		$0.00	$0.00	$0.00

Long Term Transactions

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
DESCRIPTION: FEDERAL NAT'L MTGE ASSN POOL 888637	**CUSIP:** 31410GHN6								
09/25/15	11/07/13	2482 75	$2,482.75	$2,804 01	$-321.26		$0.00	$0.00	$0 00
10/25/15	11/07/13	2219.6	$2,219.60	$2,506.81	$-287.21		$0.00	$0 00	$0.00
10/25/15	09/09/13	247.25	$247.25	$278.29	$-31.04		$0.00	$0.00	$0.00
10/25/15	08/27/13	59.56	$59.56	$67.15	$-7.59		$0.00	$0.00	$0.00
10/25/15	08/21/13	193.3	$193.30	$217.82	$-24.52		$0.00	$0.00	$0.00
10/25/15	08/19/13	248.37	$248.37	$280 35	$-31.98		$0.00	$0.00	$0.00
10/25/15	03/27/13	3482.81	$3,482.81	$3,935.16	$-452.35		$0.00	$0.00	$0.00
11/25/15	09/09/13	321.62	$321.62	$361.99	$-40.37		$0.00	$0.00	$0.00
11/25/15	08/27/13	77.48	$77 48	$87.36	$-9.88		$0.00	$0.00	$0.00
11/25/15	08/21/13	251.45	$251.45	$283.34	$-31.89		$0.00	$0.00	$0.00
11/25/15	11/07/13	2887.31	$2,887.31	$3,260.92	$-373.61		$0.00	$0.00	$0.00
11/25/15	08/19/13	323.09	$323.09	$364.69	$-41.60		$0.00	$0.00	$0.00
11/25/15	03/27/13	4530.52	$4,530.52	$5,118.94	$-588.42		$0.00	$0.00	$0.00
12/25/15	08/19/13	208.6	$208.60	$235.46	$-26.86		$0.00	$0.00	$0.00
12/25/15	08/21/13	162.35	$162.35	$182.94	$-20.59		$0.00	$0.00	$0.00
12/25/15	08/27/13	50.03	$50.03	$56.41	$-6.38		$0.00	$0.00	$0.00
12/25/15	11/07/13	1864.18	$1,864.18	$2,105.40	$-241 22		$0.00	$0.00	$0.00
12/25/15	09/09/13	207.66	$207.66	$233.73	$-26.07		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION CUSIP

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FEDERAL NAT'L MTGE ASSN POOL 888637		31410GHN6							
12/25/15	03/27/13	2925.12	$2,925.12	$3,305.03	$-379.91		$0.00	$0.00	$0.00
01/25/16	11/07/13	1964.06	$1,964.06	$2,218.20	$-254.14		$0.00	$0.00	$0.00
01/25/16	09/09/13	218.78	$218.78	$246.24	$-27.46		$0.00	$0.00	$0.00
01/25/16	08/27/13	52.71	$52.71	$59.43	$-6.72		$0.00	$0.00	$0.00
01/25/16	08/21/13	171.05	$171.05	$192.74	$-21.69		$0.00	$0.00	$0.00
01/25/16	08/19/13	219.78	$219.78	$248.08	$-28.30		$0.00	$0.00	$0.00
01/25/16	03/27/13	3081.83	$3,081.83	$3,482.10	$-400.27		$0.00	$0.00	$0.00
02/22/16	03/27/13	139624.69	$158,953.99	$157,759.10	$1,194.89		$0.00	$0.00	$0.00
02/22/16	08/19/13	9957.07	$11,335.50	$11,239.09	$96.41		$0.00	$0.00	$0.00
02/22/16	09/09/13	9912.02	$11,284.22	$11,156.34	$127.88		$0.00	$0.00	$0.00
02/22/16	11/07/13	88983.16	$101,301.77	$100,497.35	$804.42		$0.00	$0.00	$0.00
02/22/16	08/21/13	7749.43	$8,822.24	$8,732.24	$90.00		$0.00	$0.00	$0.00
02/22/16	08/27/13	2387.89	$2,718.46	$2,692.27	$26.19		$0.00	$0.00	$0.00
02/25/16	09/09/13	218.76	$218.76	$246.22	$-27.46		$0.00	$0.00	$0.00
02/25/16	08/27/13	52.7	$52.70	$59.42	$-6.72		$0.00	$0.00	$0.00
02/25/16	08/21/13	171.03	$171.03	$192.72	$-21.69		$0.00	$0.00	$0.00
02/25/16	11/07/13	1963.86	$1,963.86	$2,217.98	$-254.12		$0.00	$0.00	$0.00
02/25/16	03/27/13	3081.52	$3,081.52	$3,481.75	$-400.23		$0.00	$0.00	$0.00

Long Term Transactions

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
DESCRIPTION		**CUSIP**							
FEDERAL NAT'L MTGE ASSN POOL 888637		**31410GHN6**							
02/25/16	08/19/13	219.75	$219 75	$248 04	$-28 29		$0.00	$0.00	$0.00
FNMA POOL 888661 4.5% 8/01/37		**31410GJE4**							
05/25/15	06/08/11	1049.75	$1,049.75	$1,114.57	$-64.82		$0.00	$0.00	$0.00
06/25/15	06/08/11	2941.78	$2,941.78	$3,123.43	$-181.65		$0.00	$0.00	$0.00
07/25/15	06/08/11	1089.78	$1,089.78	$1,157.07	$-67.29		$0.00	$0.00	$0.00
08/25/15	06/08/11	1901.79	$1,901.79	$2,019.22	$-117.43		$0.00	$0.00	$0.00
09/25/15	06/08/11	2996.13	$2,996.13	$3,181.13	$-185.00		$0.00	$0.00	$0.00
10/25/15	06/08/11	1423.97	$1,423.97	$1,511 90	$-87.93		$0.00	$0.00	$0.00
11/25/15	06/08/11	1221.5	$1,221.50	$1,296.92	$-75.42		$0.00	$0.00	$0.00
12/25/15	06/08/11	2147	$2,147.00	$2,279 57	$-132.57		$0.00	$0.00	$0.00
01/25/16	06/08/11	1166.66	$1,166.66	$1,238.70	$-72.04		$0.00	$0.00	$0.00
02/25/16	06/08/11	630.34	$630.34	$669.26	$-38.92		$0.00	$0.00	$0 00
03/25/16	06/08/11	570.55	$570.55	$605.78	$-35.23		$0.00	$0.00	$0.00
04/25/16	06/08/11	1173.82	$1,173.82	$1,246.30	$-72.48		$0.00	$0.00	$0.00
FNMA POOL 897936 5.5% 8/01/21		**31410USZ6**							
05/25/15	06/12/13	2091.88	$2,091.88	$2,347.95	$-256.07		$0.00	$0.00	$0.00
05/25/15	09/14/12	346.08	$346 08	$390.24	$-44 16		$0 00	$0.00	$0.00
05/25/15	05/10/11	4003.8	$4,003.80	$4,528.97	$-525.17		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION CUSIP

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FNMA POOL 897936	5.5% 8/01/21	31410USZ6							
05/25/15	02/28/12	367.62	$367.62	$415.48	$-47.86		$0.00	$0.00	$0.00
06/25/15	02/28/12	391.11	$391.11	$442.03	$-50.92		$0.00	$0.00	$0.00
06/25/15	09/14/12	368.2	$368.20	$415.18	$-46.98		$0.00	$0.00	$0.00
06/25/15	06/12/13	2225.57	$2,225.57	$2,498.00	$-272.43		$0.00	$0.00	$0.00
06/25/15	05/10/11	4259.68	$4,259.68	$4,818.42	$-558.74		$0.00	$0.00	$0.00
07/25/15	09/14/12	312.59	$312.59	$352.48	$-39.89		$0.00	$0.00	$0.00
07/25/15	02/28/12	332.04	$332.04	$375.27	$-43.23		$0 00	$0.00	$0.00
07/25/15	05/10/11	3616.3	$3,616.30	$4,090.65	$-474.35		$0.00	$0.00	$0.00
07/25/15	06/12/13	1889.42	$1,889.42	$2,120.70	$-231.28		$0.00	$0.00	$0.00
08/25/15	05/10/11	2970.36	$2,970.36	$3,359.98	$-389.62		$0.00	$0.00	$0.00
08/25/15	06/12/13	1551.94	$1,551.94	$1,741.91	$-189.97		$0.00	$0.00	$0.00
08/25/15	09/14/12	256.75	$256.75	$289.51	$-32.76		$0.00	$0.00	$0.00
08/25/15	02/28/12	272.73	$272.73	$308.24	$-35 51		$0.00	$0.00	$0.00
09/25/15	05/10/11	3432.11	$3,432.11	$3,882.30	$-450.19		$0.00	$0.00	$0.00
09/25/15	02/28/12	315.13	$315.13	$356.16	$-41.03		$0.00	$0.00	$0.00
09/25/15	09/14/12	296.67	$296.67	$334.53	$-37.86		$0.00	$0.00	$0.00
09/25/15	06/12/13	1793.19	$1,793.19	$2,012.69	$-219.50		$0.00	$0.00	$0.00
10/25/15	02/28/12	285.97	$285.97	$323 20	$-37.23		$0.00	$0.00	$0.00

Long Term Transactions

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
DESCRIPTION		CUSIP							
FNMA POOL 897936	5.5% 8/01/21	31410USZ6							
10/25/15	09/14/12	269.22	$269.22	$303.57	$-34.35		$0.00	$0.00	$0.00
10/25/15	05/10/11	3114.57	$3,114.57	$3,523.10	$-408.53		$0.00	$0.00	$0.00
10/25/15	06/12/13	1627.28	$1,627.28	$1,826.48	$-199.20		$0.00	$0.00	$0.00
11/25/15	06/12/13	2590.11	$2,590.11	$2,907.17	$-317.06		$0.00	$0.00	$0.00
11/25/15	02/28/12	455.17	$455.17	$514.43	$-59.26		$0.00	$0.00	$0.00
11/25/15	05/10/11	4957.39	$4,957.39	$5,607.65	$-650.26		$0.00	$0.00	$0.00
11/25/15	09/14/12	428.51	$428.51	$483.19	$-54.68		$0.00	$0.00	$0.00
12/25/15	09/14/12	269.31	$269.31	$303.67	$-34.36		$0.00	$0.00	$0.00
12/25/15	02/28/12	286.07	$286.07	$323.32	$-37.25		$0.00	$0.00	$0.00
12/25/15	05/10/11	3115.63	$3,115.63	$3,524.30	$-408.67		$0.00	$0.00	$0.00
12/25/15	06/12/13	1627.84	$1,627.84	$1,827.10	$-199.26		$0.00	$0.00	$0.00
01/25/16	09/14/12	298.37	$298.37	$336 44	$-38.07		$0.00	$0.00	$0.00
01/25/16	06/12/13	1803.47	$1,803.47	$2,024.23	$-220.76		$0.00	$0.00	$0.00
01/25/16	02/28/12	316.93	$316.93	$358.19	$-41.26		$0.00	$0.00	$0.00
01/25/16	05/10/11	3451.79	$3,451.79	$3,904.56	$-452.77		$0.00	$0.00	$0.00
02/25/16	05/10/11	3685.98	$3,685.98	$4,169.47	$-483.49		$0.00	$0.00	$0.00
02/25/16	02/28/12	338.44	$338.44	$382.50	$-44.06		$0.00	$0.00	$0.00
02/25/16	09/14/12	318.61	$318.61	$359.27	$-40.66		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION CUSIP

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FNMA POOL 897936	**5.5% 8/01/21**	**31410USZ6**							
02/25/16	06/12/13	1925.83	$1,925.83	$2,161.57	$-235.74		$0.00	$0.00	$0.00
03/25/16	09/14/12	309.17	$309 17	$348.62	$-39.45		$0.00	$0.00	$0.00
03/25/16	06/12/13	1868.76	$1,868.76	$2,097.51	$-228.75		$0.00	$0.00	$0.00
03/25/16	02/28/12	328.41	$328.41	$371.17	$-42.76		$0.00	$0.00	$0.00
03/25/16	05/10/11	3576.76	$3,576.76	$4,045.92	$-469.16		$0.00	$0.00	$0.00
04/25/16	09/14/12	261.12	$261.12	$294.44	$-33.32		$0.00	$0.00	$0.00
04/25/16	06/12/13	1578.35	$1,578.35	$1,771.56	$-193.21		$0.00	$0.00	$0.00
04/25/16	05/10/11	3020.91	$3,020.91	$3,417 16	$-396.25		$0.00	$0.00	$0.00
04/25/16	02/28/12	277.37	$277.37	$313.48	$-36.11		$0.00	$0.00	$0.00
FNMA POOL 0995024		**31416BLD8**							
05/25/15	11/16/12	3522.24	$3,522.24	$3,954.69	$-432.45		$0.00	$0.00	$0.00
05/25/15	02/07/13	443.64	$443.64	$496.97	$-53.33		$0.00	$0.00	$0.00
05/25/15	02/19/13	336.09	$336.09	$377.57	$-41.48		$0.00	$0.00	$0.00
05/25/15	03/07/13	1579.63	$1,579.63	$1,768.02	$-188.39		$0.00	$0.00	$0.00
05/25/15	08/27/13	84.02	$84.02	$93.72	$-9.70		$0.00	$0.00	$0.00
05/25/15	08/28/13	168.05	$168.05	$187.55	$-19.50		$0.00	$0.00	$0.00
06/25/15	08/28/13	148.11	$148 11	$165.30	$-17.19		$0.00	$0.00	$0.00
06/25/15	11/16/12	3104.46	$3,104.46	$3,485.62	$-381.16		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FNMA POOL 0995024		31416BLD8							
06/25/15	02/07/13	391.02	$391.02	$438.03	$-47.01		$0.00	$0.00	$0.00
06/25/15	02/19/13	296.23	$296.23	$332.79	$-36.56		$0.00	$0.00	$0.00
06/25/15	03/07/13	1392.27	$1,392.27	$1,558.31	$-166.04		$0.00	$0.00	$0.00
06/25/15	08/27/13	74.06	$74.06	$82.61	$-8.55		$0.00	$0.00	$0 00
07/25/15	08/28/13	161.79	$161.79	$180.57	$-18.78		$0.00	$0 00	$0.00
07/25/15	11/16/12	3391.2	$3,391.20	$3,807.56	$-416.36		$0.00	$0.00	$0.00
07/25/15	02/07/13	427.14	$427.14	$478.49	$-51.35		$0.00	$0.00	$0.00
07/25/15	02/19/13	323.59	$323.59	$363.53	$-39.94		$0.00	$0.00	$0.00
07/25/15	03/07/13	1520.86	$1,520.86	$1,702.24	$-181.38		$0.00	$0.00	$0.00
07/25/15	08/27/13	80.9	$80.90	$90.24	$-9.34		$0.00	$0.00	$0.00
08/25/15	08/28/13	131.71	$131.71	$147.00	$-15.29		$0.00	$0.00	$0 00
08/25/15	08/27/13	65.86	$65.86	$73.46	$-7.60		$0.00	$0.00	$0.00
08/25/15	03/07/13	1238.11	$1,238.11	$1,385.77	$-147.66		$0.00	$0.00	$0.00
08/25/15	02/19/13	263.43	$263.43	$295.94	$-32.51		$0.00	$0.00	$0.00
08/25/15	11/16/12	2760.71	$2,760.71	$3,099.66	$-338.95		$0.00	$0.00	$0.00
08/25/15	02/07/13	347.72	$347.72	$389.52	$-41.80		$0.00	$0.00	$0.00
09/25/15	11/16/12	3157 27	$3,157.27	$3,544.91	$-387.64		$0.00	$0.00	$0.00
09/25/15	02/07/13	397.67	$397.67	$445.48	$-47.81		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION **CUSIP**

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FNMA POOL 0995024		31416BLD8							
09/25/15	02/19/13	301.27	$301.27	$338.45	$-37.18		$0.00	$0.00	$0.00
09/25/15	03/07/13	1415.95	$1,415.95	$1,584.82	$-168.87		$0.00	$0.00	$0.00
09/25/15	08/27/13	75.32	$75.32	$84.01	$-8.69		$0.00	$0.00	$0.00
09/25/15	08/28/13	150.63	$150.63	$168.11	$-17.48		$0.00	$0.00	$0.00
10/25/15	02/19/13	278.81	$278.81	$313.22	$-34.41		$0.00	$0.00	$0.00
10/25/15	08/28/13	139.4	$139 40	$155.58	$-16.18		$0.00	$0.00	$0.00
10/25/15	08/27/13	69.7	$69.70	$77.75	$-8.05		$0.00	$0.00	$0.00
10/25/15	03/07/13	1310.4	$1,310.40	$1,466.68	$-156.28		$0.00	$0.00	$0.00
10/25/15	02/07/13	368.03	$368.03	$412.27	$-44.24		$0.00	$0.00	$0.00
10/25/15	11/16/12	2921.91	$2,921.91	$3,280.66	$-358.75		$0.00	$0.00	$0.00
11/25/15	02/19/13	257.93	$257.93	$289.76	$-31.83		$0.00	$0.00	$0.00
11/25/15	02/07/13	340.46	$340.46	$381.39	$-40.93		$0.00	$0.00	$0.00
11/25/15	03/07/13	1212.25	$1,212.25	$1,356.82	$-144.57		$0.00	$0.00	$0.00
11/25/15	08/27/13	64.48	$64.48	$71.92	$-7.44		$0.00	$0.00	$0.00
11/25/15	08/28/13	128.96	$128.96	$143.93	$-14.97		$0.00	$0.00	$0.00
11/25/15	11/16/12	2703.06	$2,703.06	$3,034 94	$-331.88		$0.00	$0.00	$0.00
12/25/15	02/07/13	260.17	$260.17	$291.45	$-31.28		$0.00	$0.00	$0.00
12/25/15	11/16/12	2065.58	$2,065.58	$2,319.19	$-253.61		$0.00	$0.00	$0.00

BNY MELLON
WEALTH MANAGEMENT

SY SYMS FOUNDATION-GWK-CUST

Long Term Transactions

DESCRIPTION CUSIP

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FNMA POOL 0995024		31416BLD8							
12/25/15	02/19/13	197.1	$197.10	$221.43	$-24.33		$0.00	$0.00	$0.00
12/25/15	08/28/13	98.55	$98.55	$109.99	$-11 44		$0.00	$0.00	$0.00
12/25/15	08/27/13	49 27	$49.27	$54.96	$-5 69		$0.00	$0.00	$0.00
12/25/15	03/07/13	926 36	$926.36	$1,036.84	$-110.48		$0.00	$0.00	$0.00
01/25/16	02/07/13	325.76	$325.76	$364.92	$-39.16		$0.00	$0.00	$0.00
01/25/16	02/19/13	246.79	$246.79	$277.25	$-30.46		$0.00	$0.00	$0.00
01/25/16	08/27/13	61.7	$61.70	$68.82	$-7.12		$0.00	$0.00	$0.00
01/25/16	03/07/13	1159.9	$1,159.90	$1,298.23	$-138.33		$0.00	$0.00	$0.00
01/25/16	08/28/13	123.39	$123.39	$137.71	$-14.32		$0.00	$0.00	$0.00
01/25/16	11/16/12	2586.33	$2,586.33	$2,903.87	$-317.54		$0.00	$0.00	$0.00
02/25/16	11/16/12	2186.18	$2,186.18	$2,454.59	$-268.41		$0.00	$0.00	$0.00
02/25/16	02/07/13	275.36	$275.36	$308.46	$-33.10		$0.00	$0.00	$0.00
02/25/16	02/19/13	208.6	$208.60	$234.34	$-25.74		$0.00	$0.00	$0.00
02/25/16	03/07/13	980.44	$980.44	$1,097.37	$-116.93		$0.00	$0.00	$0.00
02/25/16	08/27/13	52.15	$52.15	$58.17	$-6.02		$0.00	$0.00	$0.00
02/25/16	08/28/13	104.3	$104.30	$116.41	$-12.11		$0.00	$0.00	$0.00
03/25/16	11/16/12	2112.76	$2,112.76	$2,372.16	$-259.40		$0.00	$0.00	$0.00
03/25/16	02/07/13	266.11	$266.11	$298.10	$-31.99		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION CUSIP

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FNMA POOL 0995024		**31416BLD8**							
03/25/16	02/19/13	201.6	$201 60	$226.48	$-24.88		$0 00	$0.00	$0.00
03/25/16	03/07/13	947.52	$947.52	$1,060.52	$-113.00		$0.00	$0.00	$0.00
03/25/16	08/27/13	50.4	$50.40	$56.22	$-5.82		$0.00	$0.00	$0.00
03/25/16	08/28/13	100.8	$100.80	$112 50	$-11.70		$0.00	$0.00	$0.00
04/25/16	11/16/12	2182.26	$2,182.26	$2,450.19	$-267.93		$0.00	$0.00	$0.00
04/25/16	02/07/13	274.86	$274.86	$307.90	$-33.04		$0.00	$0.00	$0.00
04/25/16	02/19/13	208.23	$208.23	$233.93	$-25.70		$0.00	$0.00	$0.00
04/25/16	03/07/13	978.69	$978.69	$1,095.41	$-116.72		$0.00	$0.00	$0.00
04/25/16	08/27/13	52.06	$52.06	$58.07	$-6.01		$0.00	$0.00	$0.00
04/25/16	08/28/13	104.12	$104.12	$116.20	$-12.08		$0.00	$0.00	$0.00
FNMA POOL AC7870 4.5% 2/01/40		**31417UW84**							
05/25/15	08/27/13	166.43	$166.43	$176.58	$-10.15		$0.00	$0.00	$0.00
05/25/15	01/30/13	956.97	$956.97	$1,032.41	$-75.44		$0.00	$0.00	$0.00
05/25/15	08/13/12	2215.6	$2,215.60	$2,404.14	$-188.54		$0.00	$0.00	$0.00
05/25/15	04/10/12	6220.34	$6,220.34	$6,696.11	$-475.77		$0.00	$0.00	$0 00
06/25/15	01/30/13	313.54	$313.54	$338.26	$-24.72		$0.00	$0.00	$0.00
06/25/15	08/27/13	54.53	$54.53	$57.86	$-3.33		$0.00	$0.00	$0.00
06/25/15	08/13/12	725.91	$725.91	$787.68	$-61.77		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION **CUSIP**

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FNMA POOL AC7870	**4.5% 2/01/40**	**31417UW84**							
06/25/15	04/10/12	2038	$2,038.00	$2,193.88	$-155.88		$0.00	$0.00	$0.00
07/25/15	01/30/13	527.4	$527.40	$568 98	$-41.58		$0 00	$0.00	$0.00
07/25/15	08/13/12	1221.05	$1,221.05	$1,324.96	$-103.91		$0.00	$0.00	$0.00
07/25/15	04/10/12	3428.11	$3,428.11	$3,690.31	$-262.20		$0.00	$0.00	$0.00
07/25/15	08/27/13	91.72	$91.72	$97.31	$-5.59		$0.00	$0.00	$0.00
08/25/15	08/13/12	2468.28	$2,468.28	$2,678.33	$-210.05		$0.00	$0.00	$0.00
08/25/15	01/30/13	1066.11	$1,066.11	$1,150.16	$-84.05		$0.00	$0.00	$0.00
08/25/15	08/27/13	185.41	$185 41	$196.72	$-11.31		$0.00	$0.00	$0.00
08/25/15	04/10/12	6929.73	$6,929.73	$7,459.76	$-530.03		$0.00	$0.00	$0.00
09/25/15	01/30/13	545.34	$545.34	$588.33	$-42.99		$0.00	$0.00	$0.00
09/25/15	08/13/12	1262 58	$1,262.58	$1,370.02	$-107.44		$0.00	$0.00	$0.00
09/25/15	04/10/12	3544.7	$3,544.70	$3,815.82	$-271.12		$0.00	$0.00	$0.00
09/25/15	08/27/13	94.84	$94 84	$100.62	$-5.78		$0.00	$0.00	$0.00
10/25/15	08/27/13	77.85	$77.85	$82.60	$-4.75		$0.00	$0.00	$0.00
10/25/15	08/13/12	1036.35	$1,036.35	$1,124 54	$-88.19		$0.00	$0.00	$0.00
10/25/15	01/30/13	447.62	$447.62	$482.91	$-35.29		$0.00	$0.00	$0.00
10/25/15	04/10/12	2909.56	$2,909.56	$3,132.10	$-222.54		$0 00	$0.00	$0.00
11/25/15	04/10/12	5005.37	$5,005.37	$5,388.21	$-382.84		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION CUSIP

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FNMA POOL AC7870	4.5% 2/01/40	31417UW84							
11/25/15	08/13/12	1782.85	$1,782.85	$1,934.57	$-151.72		$0.00	$0.00	$0.00
11/25/15	01/30/13	770 06	$770.06	$830.77	$-60.71		$0.00	$0.00	$0.00
11/25/15	08/27/13	133.92	$133 92	$142 09	$-8.17		$0.00	$0.00	$0.00
12/25/15	04/10/12	4106.42	$4,106.42	$4,420.51	$-314.09		$0.00	$0.00	$0.00
12/25/15	01/30/13	631.76	$631.76	$681.57	$-49.81		$0.00	$0.00	$0.00
12/25/15	08/27/13	109.87	$109.87	$116.57	$-6.70		$0.00	$0.00	$0 00
12/25/15	08/13/12	1462.65	$1,462.65	$1,587.12	$-124.47		$0.00	$0.00	$0.00
01/25/16	08/27/13	59 67	$59.67	$63.31	$-3.64		$0.00	$0.00	$0.00
01/25/16	01/30/13	343.1	$343.10	$370.15	$-27.05		$0.00	$0.00	$0.00
01/25/16	08/13/12	794.35	$794.35	$861.95	$-67.60		$0.00	$0.00	$0.00
01/25/16	04/10/12	2230.14	$2,230.14	$2,400.72	$-170.58		$0.00	$0.00	$0.00
02/25/16	02/10/15	687.16	$687.16	$744.71	$-57.55		$0.00	$0.00	$0.00
02/25/16	08/27/13	57.26	$57.26	$60.75	$-3.49		$0.00	$0.00	$0.00
02/25/16	01/30/13	329.27	$329.27	$355.23	$-25.96		$0.00	$0.00	$0.00
02/25/16	04/10/12	2140.23	$2,140.23	$2,303.93	$-163.70		$0.00	$0.00	$0.00
02/25/16	08/13/12	762 32	$762.32	$827.19	$-64.87		$0.00	$0.00	$0.00
03/25/16	08/13/12	634 82	$634.82	$688.84	$-54.02		$0.00	$0.00	$0.00
03/25/16	01/30/13	274.19	$274.19	$295.81	$-21.62		$0 00	$0.00	$0.00

Long Term Transactions

DESCRIPTION **CUSIP**

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FNMA POOL AC7870	**4.5% 2/01/40**	**31417UW84**							
03/25/16	08/27/13	47.69	$47.69	$50.60	$-2.91		$0.00	$0.00	$0.00
03/25/16	02/10/15	572.23	$572.23	$620.15	$-47 92		$0.00	$0.00	$0.00
03/25/16	04/10/12	1782 27	$1,782.27	$1,918.59	$-136.32		$0.00	$0.00	$0.00
04/25/16	02/10/15	266.67	$266.67	$289.00	$-22.33		$0.00	$0.00	$0.00
04/25/16	04/10/12	830.56	$830.56	$894.09	$-63.53		$0.00	$0.00	$0.00
04/25/16	08/13/12	295.83	$295.83	$321.00	$-25.17		$0.00	$0.00	$0.00
04/25/16	01/30/13	127.78	$127.78	$137.85	$-10.07		$0.00	$0.00	$0.00
04/25/16	08/27/13	22.22	$22.22	$23.58	$-1.36		$0.00	$0.00	$0.00
FNMA POOL AD0198	**5.5% 9/01/38**	**31418MGG1**							
05/25/15	11/07/13	2411.65	$2,411.65	$2,696.24	$-284.59		$0.00	$0.00	$0.00
05/25/15	06/14/12	2284.72	$2,284.72	$2,564.91	$-280.19		$0.00	$0.00	$0.00
05/25/15	03/07/13	521.08	$521.08	$583.73	$-62.65		$0.00	$0.00	$0.00
05/25/15	04/24/13	1329.41	$1,329.41	$1,490.96	$-161.55		$0.00	$0.00	$0.00
05/25/15	07/29/13	330.68	$330.68	$370.12	$-39.44		$0.00	$0.00	$0.00
05/25/15	08/27/13	93.53	$93.53	$104.06	$-10.53		$0.00	$0.00	$0.00
05/25/15	09/09/13	621.28	$621.28	$689 63	$-68.35		$0.00	$0.00	$0.00
06/25/15	09/09/13	738.78	$738.78	$820.06	$-81 28		$0.00	$0 00	$0.00
06/25/15	08/27/13	111.21	$111.21	$123.73	$-12.52		$0.00	$0.00	$0.00

Long Term Transactions

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
DESCRIPTION: FNMA POOL AD0198	5.5% 9/01/38	CUSIP: 31418MGG1							
06/25/15	11/07/13	2867.73	$2,867.73	$3,206.13	$-338.40		$0.00	$0.00	$0.00
06/25/15	07/29/13	393.22	$393.22	$440.12	$-46.90		$0.00	$0.00	$0.00
06/25/15	04/24/13	1580.83	$1,580.83	$1,772.93	$-192.10		$0.00	$0.00	$0.00
06/25/15	03/07/13	619 62	$619.62	$694.12	$-74.50		$0.00	$0.00	$0.00
06/25/15	06/14/12	2716.8	$2,716.80	$3,049.97	$-333.17		$0.00	$0.00	$0.00
07/25/15	06/14/12	2177.84	$2,177.84	$2,444.92	$-267.08		$0.00	$0.00	$0.00
07/25/15	03/07/13	496.7	$496.70	$556.42	$-59.72		$0.00	$0.00	$0.00
07/25/15	07/29/13	315.21	$315.21	$352.81	$-37.60		$0.00	$0.00	$0.00
07/25/15	08/27/13	89.15	$89.15	$99.19	$-10.04		$0 00	$0.00	$0.00
07/25/15	09/09/13	592.22	$592.22	$657.38	$-65.16		$0.00	$0.00	$0.00
07/25/15	11/07/13	2298.83	$2,298.83	$2,570.10	$-271.27		$0.00	$0.00	$0.00
07/25/15	04/24/13	1267.22	$1,267.22	$1,421.21	$-153.99		$0.00	$0.00	$0.00
08/25/15	11/07/13	2226.93	$2,226.93	$2,489.72	$-262.79		$0.00	$0.00	$0.00
08/25/15	09/09/13	573.7	$573.70	$636.82	$-63.12		$0.00	$0.00	$0.00
08/25/15	08/27/13	86.36	$86.36	$96.08	$-9.72		$0.00	$0.00	$0.00
08/25/15	07/29/13	305.35	$305.35	$341.77	$-36.42		$0.00	$0.00	$0.00
08/25/15	06/14/12	2109.72	$2,109 72	$2,368.45	$-258.73		$0.00	$0.00	$0.00
08/25/15	03/07/13	481.16	$481.16	$539.01	$-57 85		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION **CUSIP**

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (Included in Net G/L)
FNMA POOL AD0198	**5.5% 9/01/38**	**31418MGG1**							
08/25/15	04/24/13	1227.59	$1,227.59	$1,376.76	$-149.17		$0.00	$0.00	$0.00
09/25/15	11/07/13	2337.68	$2,337.68	$2,613.54	$-275.86		$0.00	$0.00	$0.00
09/25/15	09/09/13	602.23	$602.23	$668.49	$-66.26		$0.00	$0.00	$0.00
09/25/15	08/27/13	90.66	$90.66	$100.87	$-10.21		$0.00	$0.00	$0.00
09/25/15	07/29/13	320.54	$320.54	$358.77	$-38.23		$0.00	$0.00	$0.00
09/25/15	04/24/13	1288.64	$1,288.64	$1,445.23	$-156.59		$0.00	$0.00	$0.00
09/25/15	03/07/13	505.1	$505.10	$565.83	$-60.73		$0.00	$0.00	$0.00
09/25/15	06/14/12	2214.65	$2,214.65	$2,486.24	$-271.59		$0 00	$0.00	$0.00
10/25/15	07/29/13	291.34	$291.34	$326.09	$-34.75		$0.00	$0.00	$0.00
10/25/15	08/27/13	82.4	$82.40	$91.68	$-9.28		$0.00	$0.00	$0.00
10/25/15	09/09/13	547.37	$547.37	$607.59	$-60.22		$0.00	$0.00	$0.00
10/25/15	11/07/13	2124.72	$2,124.72	$2,375.45	$-250.73		$0 00	$0.00	$0.00
10/25/15	06/14/12	2012.9	$2,012.90	$2,259.75	$-246.85		$0.00	$0.00	$0.00
10/25/15	03/07/13	459.08	$459.08	$514.28	$-55.20		$0.00	$0.00	$0.00
10/25/15	04/24/13	1171.25	$1,171.25	$1,313.58	$-142.33		$0.00	$0.00	$0.00
11/25/15	06/14/12	1818.89	$1,818.89	$2,041.95	$-223.06		$0.00	$0.00	$0.00
11/25/15	03/07/13	414 84	$414 84	$464.72	$-49.88		$0.00	$0.00	$0.00
11/25/15	04/24/13	1058.36	$1,058 36	$1,186 97	$-128.61		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION **CUSIP**

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FNMA POOL AD0198	**5.5% 9/01/38**	**31418MGG1**							
11/25/15	07/29/13	263.26	$263.26	$294.66	$-31.40		$0.00	$0.00	$0.00
11/25/15	08/27/13	74.46	$74.46	$82.84	$-8.38		$0.00	$0.00	$0.00
11/25/15	09/09/13	494.61	$494.61	$549.03	$-54.42		$0.00	$0.00	$0.00
11/25/15	11/07/13	1919.94	$1,919.94	$2,146.50	$-226.56		$0.00	$0.00	$0.00
12/25/15	04/24/13	884.1	$884.10	$991 53	$-107.43		$0.00	$0.00	$0.00
12/25/15	11/07/13	1603.82	$1,603.82	$1,793.08	$-189 26		$0.00	$0.00	$0.00
12/25/15	09/09/13	413.17	$413.17	$458.63	$-45.46		$0.00	$0.00	$0.00
12/25/15	08/27/13	62.2	$62.20	$69.20	$-7.00		$0.00	$0.00	$0.00
12/25/15	07/29/13	219.91	$219.91	$246.14	$-26.23		$0.00	$0.00	$0.00
12/25/15	03/07/13	346.53	$346.53	$388.20	$-41.67		$0.00	$0.00	$0.00
12/25/15	06/14/12	1519.41	$1,519.41	$1,705.74	$-186.33		$0.00	$0.00	$0.00
01/25/16	04/24/13	866.99	$866.99	$972.34	$-105.35		$0.00	$0.00	$0.00
01/25/16	03/07/13	339.82	$339.82	$380.68	$-40.86		$0.00	$0.00	$0.00
01/25/16	06/14/12	1490	$1,490.00	$1,672.73	$-182 73		$0.00	$0 00	$0.00
01/25/16	07/29/13	215.66	$215.66	$241.38	$-25.72		$0.00	$0.00	$0.00
01/25/16	08/27/13	60.99	$60.99	$67.86	$-6.87		$0.00	$0.00	$0.00
01/25/16	09/09/13	405.17	$405.17	$449.75	$-44.58		$0.00	$0.00	$0.00
01/25/16	11/07/13	1572.78	$1,572.78	$1,758.37	$-185.59		$0.00	$0.00	$0.00

Long Term Transactions

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
DESCRIPTION		**CUSIP**							
FNMA POOL AD0198 5.5% 9/01/38		**31418MGG1**							
02/19/16	08/27/13	3275.05	$3,689.04	$3,643.72	$45.32		$0.00	$0.00	$0.00
02/19/16	06/14/12	80004.63	$90,117.69	$89,816.00	$301.69		$0.00	$0.00	$0.00
02/19/16	03/07/13	18246.66	$20,553.15	$20,440.64	$112.51		$0.00	$0.00	$0 00
02/19/16	04/24/13	46552.41	$52,436.91	$52,209.32	$227.59		$0.00	$0.00	$0.00
02/19/16	11/07/13	84449.35	$95,124.25	$94,414.74	$709.51		$0.00	$0.00	$0.00
02/19/16	09/09/13	16541.08	$18,631.97	$18,360.95	$271.02		$0.00	$0.00	$0.00
02/19/16	07/29/13	11579.62	$13,043.35	$12,960.88	$82.47		$0.00	$0.00	$0.00
02/25/16	09/09/13	5214.58	$5,214.58	$5,788.29	$-573.71		$0.00	$0.00	$0.00
FREEPORT-MCMORAN C & 3.55% 3/01/22		**35671DAU9**							
11/19/15	02/28/13	170000	$124,100.00	$169,325.10	$-45,225.10		$0.00	$0.00	$0.00
FRONTIER COMMUNICATIONS CORP		**35906AAH1**							
04/25/16	11/07/12	50000	$52,625.00	$57,750.00	$-5,125.00		$0.00	$0.00	$0.00
04/25/16	11/15/12	55000	$57,887.50	$62,287.50	$-4,400.00		$0.00	$0.00	$0.00
GENERAL ELEC CAP CORP MEDIUM		**36962G3U6**							
03/18/16	07/14/10	25000	$27,339.50	$26,979 25	$360.25		$0.00	$0.00	$0.00
03/18/16	10/20/11	35000	$38,275.30	$38,020.85	$254.45		$0.00	$0.00	$0.00
03/18/16	05/17/11	30000	$32,807.40	$33,197.10	$-389 70		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
GENERAL ELEC CAP CORP 6.0% 8/07/19		36962G4D3							
03/18/16	11/30/12	50000	$57,369.00	$61,283.50	$-3,914.50		$0.00	$0.00	$0.00
GEORGIA PAC CORP		373298CF3							
12/15/15	10/28/11	25000	$31,406.75	$32,903.25	$-1,496.50		$0.00	$0.00	$0.00
12/15/15	07/30/12	5000	$6,281.35	$6,818.75	$-537.40		$0.00	$0.00	$0.00
GOLDMAN SACHS GROUP INC M/T/N		38141GCU6							
12/02/15	11/18/14	23000	$27,747.66	$27,849.55	$-101.89		$0.00	$0.00	$0.00
HORNBECK OFFSHORE SERVICES INC		440543AL0							
09/28/15	06/26/13	70000	$54,950.00	$69,825.00	$-14,875.00		$0.00	$0.00	$0.00
ILLINOIS ST		452152HU8							
10/09/15	12/07/12	290000	$313,429.10	$337,180.10	$-23,751.00		$0.00	$0.00	$0.00
INTL PAPER CO 7.5% 8/15/21		460146CE1							
06/11/15	12/14/12	15000	$18,967.65	$19,900.80	$-933.15		$0.00	$0.00	$0.00
06/11/15	10/25/12	115000	$145,418.65	$151,879.35	$-6,460.70		$0.00	$0.00	$0.00
JPMORGAN CHASE & CO		46625HHA1							
12/14/15	01/31/13	45000	$44,775.00	$51,693.75	$-6,918 75		$0.00	$0.00	$0.00
12/14/15	01/18/13	200000	$199,000.00	$231,750.00	$-32,750.00		$0.00	$0.00	$0 00
L-3 COMMUNICATIONS CO 4.95% 2/15/21		502413BA4							
12/10/15	12/24/12	40000	$41,773.20	$45,068.00	$-3,294.80		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
L-3 COMMUNICATIONS CO 4.95% 2/15/21		**502413BA4**							
12/10/15	10/18/12	185000	$193,201.05	$206,907.70	$-13,706.65		$0.00	$0.00	$0.00
MORGAN STANLEY		**61747WAL3**							
12/02/15	12/11/12	59000	$66,941.40	$67,308.97	$-367.57		$0.00	$0.00	$0.00
MOSAIC CO 4.25% 11/15/23		**61945CAC7**							
09/30/15	11/07/13	160000	$162,075.20	$160,665.60	$1,409.60		$0.00	$0.00	$0.00
OFFSHORE GROUP INVST 7.5% 11/01/19		**676253AJ6**							
06/24/15	09/23/13	70000	$44,100.00	$74,375.00	$-30,275.00		$0.00	$0.00	$0.00
OWENS CORNING INC 4.2% 12/15/22		**690742AD3**							
03/03/16	10/25/13	98000	$98,721.28	$97,753.04	$968.24		$0.00	$0.00	$0.00
PNC FINANCIAL SERVICES		**693475AK1**							
08/13/15	08/06/13	45000	$50,062.50	$48,487.50	$1,575.00		$0.00	$0.00	$0.00
08/13/15	05/24/13	215000	$239,187.50	$249,400.00	$-10,212.50		$0.00	$0.00	$0.00
PEABODY ENERGY CORP 6.25% 11/15/21		**704549AM6**							
06/25/15	06/11/14	100000	$35,750.00	$100,000.00	$-64,250.00		$0.00	$0.00	$0.00
PLAINS EXPLORATION & PRO		**726505AL4**							
12/09/15	07/08/13	22000	$15,290.00	$23,430.00	$-8,140.00		$0.00	$0.00	$0.00
RYLAND GROUP		**783764AP8**							
02/26/16	08/06/13	90000	$97,200.00	$95,062.50	$2,137.50		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
STARWOOD HOTELS & RESO 4.5% 10/01/34		**85590AAR5**							
09/16/15	09/08/14	360000	$309,128.40	$349,524.00	$-40,395.60		$0.00	$0.00	$0.00
TECK COMINCO LTD		**878742AE5**							
08/20/15	01/07/13	40000	$27,400.00	$46,233.60	$-18,833.60		$0.00	$0.00	$0.00
TECK RESOURCES LIMITED		**878742AV7**							
11/03/15	03/27/12	175000	$116,156.25	$187,703.25	$-71,547.00		$0.00	$0.00	$0.00
11/03/15	03/27/12	10000	$6,637.50	$10,734.70	$-4,097.20		$0.00	$0.00	$0.00
11/03/15	10/18/12	5000	$3,318.75	$5,508.25	$-2,189.50		$0.00	$0.00	$0.00
TEEKAY CORP		**87900YAA1**							
12/29/15	06/10/13	75000	$53,250.00	$82,875.00	$-29,625.00		$0.00	$0.00	$0.00
TYSON FOODS INC	**4.875% 8/15/34**	**902494AZ6**							
02/02/16	08/06/14	48000	$49,165.92	$48,867.36	$298.56		$0.00	$0.00	$0.00
U S TREASURY BONDS		**912810EQ7**							
03/21/16	05/14/14	106000	$139,505.94	$140,102.19	$-596.25		$0.00	$0.00	$0.00
03/28/16	05/14/14	125000	$164,472.66	$165,214.84	$-742.18		$0.00	$0.00	$0.00
04/11/16	05/14/14	75000	$99,908.20	$99,128.91	$779.29		$0.00	$0.00	$0.00
U.S. TREASURY NOTE	**3.5% 5/15/20**	**912828ND8**							
01/22/16	06/24/11	71000	$77,115.43	$75,834.10	$1,281.33		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
VERIZON COMMUNICATION 5.15% 9/15/23		**92343VBR4**							
01/22/16	09/12/13	16000	$17,649 60	$16,618.08	$1,031.52		$0.00	$0.00	$0.00
01/22/16	09/25/13	80000	$88,248.00	$85,708.00	$2,540.00		$0.00	$0.00	$0.00
VIACOM INC		**925524AX8**							
02/23/16	10/27/14	130000	$121,751.50	$164,013.20	$-42,261.70		$0.00	$0.00	$0.00
WEATHERFORD INTERNATIONAL LTD		**947075AF4**							
08/13/15	09/28/11	25000	$27,656.25	$32,260.00	$-4,603.75		$0.00	$0.00	$0.00
08/13/15	05/31/11	105000	$116,156.25	$138,015.15	$-21,858.90		$0.00	$0.00	$0.00
08/13/15	11/28/12	75000	$82,968.75	$97,049.25	$-14,080.50		$0.00	$0.00	$0.00
08/13/15	06/14/10	20000	$22,125.00	$23,706.20	$-1,581.20		$0.00	$0.00	$0.00
WELLS FARGO & COMPANY 7.98% 12/31/99		**949746PM7**							
12/17/15	01/22/13	220000	$228,250.00	$255,475.00	$-27,225.00		$0.00	$0.00	$0.00
WEYERHAEUSER CO DEB		**962166AT1**							
03/04/16	10/18/13	60000	$75,933.00	$78,189.60	$-2,256.60		$0.00	$0.00	$0.00
WILLIAMS COS INC		**969457BM1**							
12/23/15	11/21/12	25000	$18,250.00	$34,495.75	$-16,245 75		$0.00	$0.00	$0.00
12/23/15	03/30/12	5000	$3,650.00	$6,680.65	$-3,030.65		$0.00	$0.00	$0.00
12/23/15	03/16/12	195000	$142,350.00	$257,772.45	$-115,422.45		$0.00	$0.00	$0.00
Total Long Term Gain/Loss			*$7,254,120.31*	*$8,149,743.27*	*$-895,622.96*		*$0.00*	*$0.00*	*$0.00*

Income for 2015

This section reports your sales transactions during the year. A schedule of your net gains and losses is shown below. Please note that if you have sold an asset for which the cost basis information is unknown it is shown separately in the section entitled Transactions with Unknown Cost Basis. Please review these carefully when preparing your return. An asterisk symbol (*) next to the tax cost indicates that the tax cost has been adjusted for current year's return of capital.

The following items are provided to you to assist in the preparation of your tax returns. We have included the following Codes and Adjustment amounts: "W" for wash sales, "C" for collectibles, and "D" for Market Discount. Typically, collectibles are taxed at 28% rates. For your convenience, if applicable, we have included the portion subject to Section 988 translation gains and losses and Market Discount in the column titled "Portion Subject to 988 and Market Discount". We have also includes gains on collectibles taxed at 28% rates in the last column. If we have included a dagger symbol (†) next to the sale transaction with a net loss, this indicates that you can not take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure.

Short Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
CONTINENTAL RESOURCES INC/OK		**212015AN1**							
08/26/15	04/27/15	25000	$20,695.75	$24,373.75	$-3,678.00		$0.00	$0.00	$0.00
FHLMC GOLD POOL T49005 3.5% 12/01/28		**31287CAE2**							
05/15/15	09/25/14	159.31	$159.31	$164.74	$-5.43		$0.00	$0.00	$0.00
06/15/15	09/25/14	165.37	$165.37	$171.00	$-5.63		$0.00	$0.00	$0.00
07/15/15	09/25/14	166.52	$166.52	$172.19	$-5.67		$0.00	$0.00	$0.00
08/15/15	09/25/14	1527.32	$1,527.32	$1,579.34	$-52.02		$0.00	$0.00	$0.00
09/15/15	09/25/14	159.7	$159.70	$165.14	$-5.44		$0.00	$0.00	$0.00

Short Term Transactions

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FHLMC GOLD POOL G08671 3.5% 10/01/45		**3128MJW97**							
12/15/15	10/09/15	353.83	$353.83	$367.54	$-13.71		$0.00	$0.00	$0.00
01/15/16	10/09/15	394.54	$394.54	$409 83	$-15.29		$0.00	$0.00	$0.00
02/15/16	01/20/16	183.38	$183.38	$191.20	$-7.82		$0.00	$0.00	$0.00
02/15/16	10/09/15	387.14	$387.14	$402.14	$-15.00		$0.00	$0.00	$0.00
03/15/16	01/20/16	295.29	$295.29	$307.89	$-12.60		$0.00	$0.00	$0.00
03/15/16	10/09/15	623.38	$623.38	$647.54	$-24.16		$0.00	$0.00	$0.00
04/15/16	10/09/15	1779.58	$1,779.58	$1,848.54	$-68.96		$0.00	$0.00	$0.00
04/15/16	01/20/16	842.96	$842.96	$878.92	$-35.96		$0.00	$0.00	$0.00
04/20/16	10/09/15	89030.98	$93,162.57	$92,480.93	$681.64		$0.00	$0.00	$0.00
04/20/16	01/20/16	43197.81	$45,202.46	$45,040.46	$162.00		$0.00	$0.00	$0.00
FHLMC G08653 3.0% 7/01/45		**3128MJWP1**							
09/15/15	08/07/15	210.95	$210.95	$211.63	$-0.68		$0.00	$0.00	$0.00
10/15/15	08/07/15	194.56	$194.56	$195.18	$-0.62		$0.00	$0.00	$0.00
10/15/15	09/11/15	129.71	$129.71	$130.40	$-0.69		$0.00	$0.00	$0.00
11/15/15	09/11/15	132.7	$132.70	$133.40	$-0.70		$0.00	$0.00	$0.00
11/15/15	08/07/15	199.05	$199.05	$199.69	$-0.64		$0.00	$0.00	$0.00
11/24/15	08/07/15	58876.07	$58,944.95	$59,064.66	$-119.71		$0.00	$0.00	$0 00
11/24/15	09/11/15	39484.03	$39,530.23	$39,693.79	$-163.56		$0.00	$0 00	$0.00

Short Term Transactions

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
DESCRIPTION		**CUSIP**							
FHLMC G08653	**3.0% 7/01/45**	**3128MJWP1**							
12/15/15	08/07/15	349.99	$349.99	$351.11	$-1.12		$0.00	$0.00	$0.00
FHLMC GOLD POOL C91339 4.0% 10/01/30		**3128P7PY3**							
08/15/15	07/29/15	38.8	$38.80	$41.27	$-2.47		$0.00	$0.00	$0.00
09/15/15	07/29/15	31.35	$31.35	$33.35	$-2.00		$0.00	$0.00	$0.00
10/15/15	07/29/15	27.06	$27.06	$28 79	$-1.73		$0.00	$0.00	$0.00
10/15/15	09/21/15	27.06	$27.06	$29.06	$-2.00		$0.00	$0.00	$0.00
11/15/15	07/29/15	31.71	$31.71	$33.73	$-2.02		$0.00	$0.00	$0.00
11/15/15	09/21/15	31.71	$31.71	$34.05	$-2.34		$0.00	$0.00	$0.00
12/15/15	07/29/15	29.07	$29.07	$30.92	$-1.85		$0.00	$0.00	$0.00
12/15/15	09/21/15	29.07	$29 07	$31.21	$-2.14		$0.00	$0.00	$0.00
01/15/16	09/21/15	25.83	$25.83	$27.73	$-1.90		$0.00	$0.00	$0.00
01/15/16	07/29/15	25.83	$25.83	$27.48	$-1.65		$0.00	$0.00	$0.00
02/15/16	07/29/15	18.16	$18.16	$19.32	$-1.16		$0.00	$0.00	$0.00
02/15/16	09/21/15	18.16	$18.16	$19.50	$-1.34		$0.00	$0.00	$0.00
03/15/16	07/29/15	17.48	$17.48	$18 59	$-1.11		$0.00	$0.00	$0.00
03/15/16	09/21/15	17.48	$17.48	$18.77	$-1.29		$0.00	$0.00	$0.00
04/15/16	07/29/15	25.87	$25 87	$27.52	$-1.65		$0.00	$0.00	$0.00
04/15/16	09/21/15	25.87	$25.87	$27.78	$-1.91		$0.00	$0.00	$0.00

Short Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
F H L M C GOLD C09055 4.0% 12/01/43		31292SBY5							
05/15/15	06/23/14	89.68	$89.68	$95.02	$-5.34		$0.00	$0.00	$0.00
06/15/15	06/23/14	100.2	$100.20	$106.17	$-5.97		$0.00	$0.00	$0.00
FHLMC GOLD POOL A90393 5.0% 12/01/39		312938NJ8							
05/15/15	10/29/14	499.6	$499.60	$552.68	$-53.08		$0.00	$0.00	$0.00
06/15/15	10/29/14	49.96	$49.96	$55.27	$-5.31		$0.00	$0.00	$0.00
07/15/15	10/29/14	192.14	$192.14	$212.56	$-20.42		$0.00	$0.00	$0.00
08/15/15	10/29/14	50.4	$50.40	$55.76	$-5.36		$0.00	$0.00	$0.00
09/15/15	10/29/14	440.26	$440.26	$487.04	$-46.78		$0.00	$0.00	$0.00
10/15/15	10/29/14	919.65	$919.65	$1,017.36	$-97 71		$0.00	$0.00	$0.00
FHLMC GOLD G30719 4.0% 3/01/34		3132J4AV1							
05/15/15	03/13/15	846.81	$846.81	$915.35	$-68.54		$0.00	$0.00	$0.00
06/15/15	03/13/15	689.99	$689.99	$745.84	$-55.85		$0.00	$0.00	$0.00
07/15/15	03/13/15	1044.29	$1,044.29	$1,128.81	$-84.52		$0.00	$0.00	$0.00
07/15/15	05/28/15	652.68	$652.68	$705.10	$-52.42		$0.00	$0.00	$0.00
08/15/15	05/28/15	481.94	$481.94	$520.65	$-38.71		$0.00	$0.00	$0.00
08/15/15	03/13/15	771.1	$771.10	$833.51	$-62.41		$0.00	$0.00	$0.00
09/15/15	05/28/15	371.34	$371.34	$401.16	$-29.82		$0.00	$0.00	$0.00
09/15/15	03/13/15	594.15	$594.15	$642.24	$-48.09		$0.00	$0.00	$0.00

Short Term Transactions

DESCRIPTION **CUSIP**

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FHLMC GOLD G30719	**4.0% 3/01/34**	**3132J4AV1**							
10/15/15	03/13/15	595.3	$595.30	$643.48	$-48.18		$0.00	$0.00	$0.00
10/15/15	05/28/15	372.06	$372.06	$401.94	$-29.88		$0.00	$0.00	$0.00
11/15/15	10/20/15	113.12	$113.12	$122 06	$-8.94		$0.00	$0.00	$0.00
11/15/15	05/28/15	282.8	$282.80	$305.51	$-22.71		$0.00	$0.00	$0.00
11/15/15	03/13/15	452.49	$452.49	$489.11	$-36.62		$0.00	$0.00	$0.00
12/15/15	03/13/15	348.29	$348.29	$376.48	$-28.19		$0.00	$0.00	$0.00
12/15/15	05/28/15	217.68	$217.68	$235.16	$-17.48		$0.00	$0.00	$0.00
12/15/15	10/20/15	87.07	$87.07	$93 95	$-6.88		$0.00	$0.00	$0.00
01/15/16	05/28/15	280.66	$280.66	$303.20	$-22.54		$0.00	$0.00	$0.00
01/15/16	03/13/15	449.05	$449.05	$485.40	$-36 35		$0.00	$0.00	$0.00
01/15/16	10/20/15	112.26	$112.26	$121.14	$-8.88		$0.00	$0.00	$0 00
02/15/16	05/28/15	304.69	$304.69	$329.16	$-24.47		$0.00	$0.00	$0.00
02/15/16	03/13/15	487.5	$487.50	$526.96	$-39.46		$0.00	$0.00	$0 00
02/15/16	10/20/15	121.87	$121.87	$131.51	$-9.64		$0.00	$0.00	$0.00
03/15/16	05/28/15	195.63	$195.63	$211.34	$-15.71		$0.00	$0.00	$0.00
03/15/16	10/20/15	78.25	$78.25	$84.44	$-6.19		$0.00	$0.00	$0.00
04/15/16	05/28/15	196.58	$196.58	$212.37	$-15.79		$0.00	$0.00	$0.00
04/15/16	10/20/15	78.63	$78.63	$84.85	$-6.22		$0.00	$0.00	$0.00

Short Term Transactions

DESCRIPTION CUSIP

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FHLMC GOLD G30719	**4.0% 3/01/34**	**3132J4AV1**							
04/21/16	05/28/15	20148.07	$21,709.54	$21,766.22	$-56.68		$0.00	$0.00	$0.00
04/21/16	10/20/15	7207.58	$7,766.17	$7,777.43	$-11.26		$0.00	$0.00	$0.00
FHLMC GOLD G60103	**3.5% 6/01/45**	**31335ADG0**							
08/15/15	07/08/15	847.08	$847.08	$875.67	$-28.59		$0.00	$0.00	$0.00
09/15/15	07/08/15	204.3	$204.30	$211.20	$-6.90		$0.00	$0.00	$0.00
10/15/15	07/08/15	413.61	$413.61	$427.57	$-13.96		$0.00	$0.00	$0.00
11/15/15	07/08/15	200.85	$200.85	$207.63	$-6.78		$0.00	$0.00	$0.00
12/15/15	07/08/15	1210.11	$1,210.11	$1,250.95	$-40.84		$0.00	$0.00	$0.00
01/15/16	07/08/15	289.42	$289.42	$299.19	$-9.77		$0.00	$0.00	$0.00
02/15/16	07/08/15	195.87	$195.87	$202.48	$-6.61		$0.00	$0.00	$0.00
03/15/16	07/08/15	198.43	$198.43	$205.13	$-6.70		$0.00	$0.00	$0.00
04/15/16	07/08/15	201.45	$201.45	$208.25	$-6.80		$0.00	$0.00	$0.00
FEDERAL NAT'L MTGE ASSN POOL	**255364**	**31371LTV1**							
05/25/15	10/29/14	54.21	$54.21	$61.60	$-7.39		$0.00	$0.00	$0.00
06/25/15	10/29/14	77.04	$77.04	$87.54	$-10.50		$0.00	$0.00	$0.00
07/25/15	10/29/14	88.54	$88.54	$100.60	$-12.06		$0.00	$0.00	$0.00
08/25/15	10/29/14	57.01	$57.01	$64.78	$-7.77		$0.00	$0.00	$0.00
09/25/15	10/29/14	78.54	$78.54	$89.24	$-10.70		$0.00	$0.00	$0.00

Short Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FEDERAL NAT'L MTGE ASSN POOL 255364		31371LTV1							
10/25/15	10/29/14	75.62	$75.62	$85.92	$-10.30		$0.00	$0.00	$0.00
FNMA POOL 0AH4794		3138A6KG4							
11/25/15	10/08/15	8.94	$8 94	$9.86	$-0.92		$0.00	$0.00	$0.00
12/25/15	10/08/15	47.27	$47.27	$52.12	$-4.85		$0.00	$0.00	$0.00
01/25/16	10/08/15	317.12	$317.12	$349.62	$-32.50		$0.00	$0.00	$0.00
02/25/16	10/08/15	8.49	$8.49	$9.36	$-0.87		$0.00	$0.00	$0.00
03/25/16	10/08/15	65.7	$65.70	$72.43	$-6.73		$0.00	$0.00	$0.00
04/25/16	10/08/15	190.33	$190.33	$209.84	$-19.51		$0.00	$0 00	$0.00
FNMA POOL 0AK2439		3138E6V94							
10/25/15	09/21/15	21.82	$21.82	$23.18	$-1.36		$0.00	$0.00	$0.00
11/25/15	09/21/15	152.33	$152.33	$161.85	$-9.52		$0.00	$0.00	$0.00
12/25/15	09/21/15	21.57	$21.57	$22.92	$-1.35		$0.00	$0.00	$0.00
01/25/16	09/21/15	46.02	$46.02	$48.90	$-2.88		$0.00	$0.00	$0.00
02/25/16	09/21/15	20.73	$20.73	$22.03	$-1.30		$0.00	$0.00	$0.00
03/25/16	09/21/15	18.64	$18.64	$19.80	$-1.16		$0.00	$0.00	$0.00
04/25/16	09/21/15	62.56	$62.56	$66.47	$-3.91		$0.00	$0.00	$0.00
FNMA POOL 0AK2717		3138E7AT1							
10/25/15	09/25/15	4.57	$4 57	$4.87	$-0.30		$0.00	$0.00	$0.00

Short Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FNMA POOL 0AK2717		3138E7AT1							
11/25/15	09/25/15	4.11	$4.11	$4.38	$-0.27		$0.00	$0.00	$0.00
12/25/15	09/25/15	4.48	$4.48	$4.78	$-0 30		$0.00	$0.00	$0.00
01/25/16	09/25/15	6.23	$6.23	$6.64	$-0.41		$0.00	$0.00	$0.00
02/25/16	09/25/15	52.19	$52.19	$55.65	$-3.46		$0.00	$0.00	$0.00
03/03/16	09/25/15	1953.34	$2,083.36	$2,082.75	$0.61		$0.00	$0.00	$0.00
FNMA POOL 0AL0201		3138EGGK4							
05/25/15	09/26/14	35.41	$35.41	$37.53	$-2.12		$0.00	$0.00	$0.00
06/25/15	09/26/14	33.22	$33.22	$35.21	$-1.99		$0.00	$0.00	$0.00
07/25/15	09/26/14	32.83	$32.83	$34.80	$-1.97		$0.00	$0.00	$0.00
08/25/15	09/26/14	34.28	$34.28	$36.34	$-2.06		$0.00	$0.00	$0.00
09/25/15	09/26/14	33.96	$33.96	$36.00	$-2.04		$0.00	$0.00	$0.00
FNMA AL6223 POOL	4.5% 8/01/44	3138EN4H9							
05/25/15	02/24/15	4369.65	$4,369.65	$4,761.55	$-391.90		$0.00	$0.00	$0.00
06/25/15	05/15/15	112.11	$112.11	$122.16	$-10.05		$0.00	$0.00	$0.00
06/25/15	02/24/15	2354.35	$2,354.35	$2,565.51	$-211.16		$0.00	$0.00	$0.00
07/25/15	05/15/15	46.28	$46.28	$50.43	$-4.15		$0.00	$0.00	$0.00
07/25/15	02/24/15	971.82	$971.82	$1,058.98	$-87.16		$0.00	$0.00	$0.00
08/25/15	02/24/15	1156.17	$1,156.17	$1,259.86	$-103.69		$0.00	$0.00	$0.00

Short Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (Included in Net G/L)	Portion Subject to 28% Rates (Included in Net G/L)
FNMA AL6223 POOL	4.5% 8/01/44	3138EN4H9							
08/25/15	05/15/15	55.06	$55.06	$60.00	$-4.94		$0 00	$0.00	$0.00
09/25/15	05/15/15	112 84	$112.84	$122.96	$-10 12		$0.00	$0.00	$0.00
09/25/15	02/24/15	2369.71	$2,369.71	$2,582.24	$-212.53		$0.00	$0.00	$0.00
10/25/15	09/25/15	77.87	$77.87	$84.71	$-6.84		$0.00	$0.00	$0.00
10/25/15	09/28/15	77.87	$77.87	$84.78	$-6.91		$0.00	$0.00	$0.00
10/25/15	05/15/15	77.87	$77.87	$84.85	$-6.98		$0.00	$0.00	$0.00
10/25/15	02/24/15	1635.31	$1,635.31	$1,781.98	$-146.67		$0.00	$0.00	$0.00
11/25/15	09/25/15	69.43	$69.43	$75.53	$-6.10		$0.00	$0.00	$0.00
11/25/15	05/15/15	69 43	$69.43	$75.66	$-6.23		$0.00	$0.00	$0.00
11/25/15	02/24/15	1457.94	$1,457.94	$1,588.70	$-130.76		$0.00	$0.00	$0.00
11/25/15	09/28/15	69 43	$69.43	$75.59	$-6.16		$0.00	$0.00	$0.00
12/25/15	05/15/15	42.56	$42.56	$46.38	$-3.82		$0.00	$0.00	$0.00
12/25/15	09/25/15	42.56	$42.56	$46.30	$-3.74		$0.00	$0.00	$0.00
12/25/15	09/28/15	42.56	$42.56	$46.34	$-3.78		$0.00	$0.00	$0.00
12/25/15	02/24/15	893.79	$893.79	$973.95	$-80.16		$0.00	$0.00	$0.00
01/25/16	09/28/15	108.35	$108.35	$117.97	$-9.62		$0.00	$0.00	$0.00
01/25/16	05/15/15	108.35	$108.35	$118.07	$-9.72		$0.00	$0.00	$0.00
01/25/16	09/25/15	108.35	$108.35	$117.86	$-9.51		$0.00	$0.00	$0.00

Short Term Transactions

DESCRIPTION **CUSIP**

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FNMA AL6223 POOL	**4.5% 8/01/44**	**3138EN4H9**							
01/25/16	02/24/15	2275.41	$2,275.41	$2,479.49	$-204.08		$0.00	$0.00	$0.00
02/25/16	05/15/15	75 38	$75.38	$82.14	$-6.76		$0.00	$0.00	$0.00
02/25/16	09/25/15	75.38	$75.38	$82.00	$-6.62		$0.00	$0.00	$0 00
02/25/16	09/28/15	75.38	$75.38	$82.07	$-6.69		$0.00	$0.00	$0.00
03/25/16	09/28/15	76.81	$76.81	$83.63	$-6.82		$0.00	$0.00	$0.00
03/25/16	09/25/15	76.81	$76.81	$83.55	$-6.74		$0.00	$0.00	$0.00
03/25/16	05/15/15	76.81	$76.81	$83.70	$-6.89		$0.00	$0.00	$0.00
04/25/16	09/28/15	70.49	$70.49	$76.75	$-6.26		$0.00	$0.00	$0.00
04/25/16	09/25/15	70.49	$70.49	$76.68	$-6.19		$0.00	$0.00	$0.00
04/25/16	05/15/15	70.49	$70.49	$76.81	$-6.32		$0.00	$0.00	$0.00
FNMA POOL # AL5630	**4.0% 8/01/44**	**3138ENHG7**							
05/25/15	09/03/14	52.93	$52.93	$56.21	$-3.28		$0.00	$0.00	$0.00
06/25/15	09/03/14	332.77	$332.77	$353.41	$-20.64		$0.00	$0.00	$0.00
07/25/15	09/03/14	204.22	$204 22	$216.89	$-12.67		$0.00	$0.00	$0.00
08/25/15	09/03/14	342.01	$342.01	$363.23	$-21.22		$0.00	$0.00	$0.00
FNMA POOL # AL6150	**4.0% 8/01/27**	**3138ENZQ5**							
05/25/15	12/16/14	4948.71	$4,948.71	$5,307.49	$-358.78		$0.00	$0.00	$0.00
06/25/15	12/16/14	2954.77	$2,954.77	$3,168.99	$-214.22		$0.00	$0.00	$0.00

Short Term Transactions

DESCRIPTION **CUSIP**

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (Included in Net G/L)
FNMA POOL # AL6150	**4.0% 8/01/27**	**3138ENZQ5**							
07/25/15	12/16/14	2433.86	$2,433.86	$2,610.31	$-176.45		$0.00	$0.00	$0.00
08/25/15	12/16/14	2189.48	$2,189.48	$2,348.22	$-158.74		$0.00	$0.00	$0.00
09/25/15	12/16/14	3060.43	$3,060.43	$3,282.31	$-221.88		$0.00	$0.00	$0.00
10/25/15	12/16/14	2322.61	$2,322.61	$2,491.00	$-168.39		$0.00	$0.00	$0.00
11/25/15	12/16/14	3670.16	$3,670.16	$3,936.25	$-266.09		$0.00	$0.00	$0.00
FNMA POOL # AL6895 3.5% 5/1/45		**3138EPUV4**							
07/25/15	06/08/15	72.83	$72.83	$75.27	$-2.44		$0.00	$0.00	$0.00
08/25/15	06/08/15	164.25	$164.25	$169.74	$-5.49		$0.00	$0.00	$0.00
09/25/15	07/28/15	175.24	$175.24	$181.58	$-6.34		$0.00	$0.00	$0.00
09/25/15	06/08/15	394.29	$394.29	$407.47	$-13.18		$0.00	$0.00	$0.00
10/25/15	06/08/15	408.37	$408 37	$422.02	$-13.65		$0.00	$0.00	$0.00
10/25/15	07/28/15	181.5	$181.50	$188.07	$-6.57		$0.00	$0.00	$0.00
11/25/15	06/08/15	80.93	$80 93	$83.64	$-2.71		$0.00	$0.00	$0.00
11/25/15	07/28/15	35.97	$35.97	$37.27	$-1.30		$0.00	$0.00	$0.00
12/25/15	07/28/15	252.42	$252.42	$261.55	$-9.13		$0.00	$0.00	$0.00
12/25/15	06/08/15	567.95	$567.95	$586.94	$-18.99		$0.00	$0.00	$0.00
01/25/16	06/08/15	457.11	$457.11	$472.39	$-15.28		$0.00	$0.00	$0.00
01/25/16	07/28/15	203.16	$203.16	$210 51	$-7.35		$0.00	$0.00	$0.00

Short Term Transactions

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
DESCRIPTION		**CUSIP**							
FNMA POOL # AL6895 3.5% 5/1/45		**3138EPUV4**							
02/25/16	07/28/15	68.6	$68.60	$71.08	$-2.48		$0.00	$0.00	$0 00
02/25/16	06/08/15	154.34	$154.34	$159.50	$-5.16		$0.00	$0.00	$0.00
03/25/16	06/08/15	117.39	$117.39	$121.32	$-3.93		$0.00	$0.00	$0.00
03/25/16	07/28/15	52.17	$52.17	$54.06	$-1.89		$0.00	$0.00	$0.00
04/25/16	06/08/15	304.59	$304.59	$314.77	$-10.18		$0.00	$0.00	$0.00
04/25/16	07/28/15	135.37	$135.37	$140.27	$-4.90		$0.00	$0.00	$0.00
FNMA POOL #AL6970 3.5% 7/01/35		**3138EPW83**							
08/25/15	07/01/15	137.89	$137.89	$143.13	$-5.24		$0.00	$0.00	$0.00
09/25/15	07/01/15	173.88	$173.88	$180.48	$-6.60		$0.00	$0.00	$0.00
10/25/15	07/01/15	1094.63	$1,094.63	$1,136.19	$-41.56		$0.00	$0.00	$0.00
11/25/15	07/01/15	340.62	$340.62	$353.55	$-12.93		$0 00	$0.00	$0.00
12/25/15	07/01/15	133.46	$133.46	$138.53	$-5.07		$0.00	$0.00	$0.00
01/25/16	07/01/15	445 45	$445.45	$462.36	$-16.91		$0.00	$0.00	$0.00
02/25/16	07/01/15	222.89	$222.89	$231.35	$-8.46		$0.00	$0.00	$0.00
03/25/16	07/01/15	185.8	$185.80	$192.85	$-7.05		$0.00	$0.00	$0.00
04/25/16	07/01/15	683.57	$683.57	$709.52	$-25 95		$0.00	$0.00	$0.00
FNMA AR8596 3.5% 6/01/43		**3138W6RS7**							
05/25/15	06/23/14	249.18	$249.18	$255.64	$-6.46		$0.00	$0.00	$0.00

Short Term Transactions

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
DESCRIPTION		**CUSIP**							
FNMA POOL # AT4147	**3.0% 5/01/43**	**3138WRTD2**							
05/25/15	10/29/14	1535.69	$1,535.69	$1,541.45	$-5.76		$0 00	$0.00	$0 00
06/25/15	10/29/14	115.51	$115.51	$115 94	$-0.43		$0.00	$0.00	$0.00
07/25/15	10/29/14	1114 08	$1,114.08	$1,118.26	$-4.18		$0 00	$0.00	$0 00
08/07/15	10/29/14	64272.26	$64,696.56	$64,513.28	$183.28		$0.00	$0.00	$0.00
08/25/15	10/29/14	112.94	$112.94	$113.36	$-0.42		$0.00	$0.00	$0.00
FNMA POOL AU6278	**5.0% 11/01/43**	**3138X56Q5**							
09/25/15	08/20/15	221.13	$221.13	$245.32	$-24.19		$0.00	$0.00	$0.00
10/25/15	08/20/15	5.94	$5.94	$6.59	$-0.65		$0.00	$0.00	$0.00
11/25/15	08/20/15	5.88	$5.88	$6.52	$-0.64		$0.00	$0.00	$0.00
12/25/15	08/20/15	6.13	$6.13	$6.80	$-0.67		$0.00	$0.00	$0.00
01/25/16	08/20/15	5.9	$5.90	$6.55	$-0.65		$0.00	$0.00	$0.00
02/25/16	08/20/15	102.03	$102.03	$113.19	$-11.16		$0.00	$0.00	$0.00
03/25/16	08/20/15	5.81	$5.81	$6.45	$-0.64		$0.00	$0.00	$0.00
04/25/16	08/20/15	307.01	$307.01	$340.59	$-33.58		$0.00	$0.00	$0.00
FNMA POOL # AW8285	**3.5% 8/01/44**	**3138XYF33**							
05/25/15	07/21/14	1269.05	$1,269.05	$1,301.87	$-32.82		$0.00	$0.00	$0.00
06/08/15	07/21/14	30667.16	$31,625.51	$31,460.19	$165.32		$0.00	$0.00	$0.00
06/25/15	07/21/14	934.76	$934.76	$958.93	$-24.17		$0.00	$0.00	$0.00

Short Term Transactions

DESCRIPTION Date sold or disposed	Date acquired	CUSIP Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FN BC0048	2.5% 11/01/30	3140EUBS7							
01/25/16	11/19/15	868.23	$868.23	$880.44	$-12.21		$0.00	$0.00	$0.00
02/25/16	11/19/15	1295.87	$1,295.87	$1,314.09	$-18.22		$0.00	$0.00	$0.00
03/25/16	11/19/15	1006.55	$1,006.55	$1,020.70	$-14.15		$0.00	$0.00	$0.00
04/25/16	11/19/15	1303 55	$1,303.55	$1,321.88	$-18.33		$0.00	$0.00	$0.00
FNMA POOL # BC2681	3.0% 3/01/46	3140EW6T7							
04/25/16	03/03/16	354.84	$354.84	$362.73	$-7.89		$0.00	$0.00	$0.00
FNMA POOL 962003	5.5% 3/01/38	31414CGL6							
05/25/15	09/26/14	117.39	$117.39	$130.08	$-12.69		$0.00	$0.00	$0.00
06/25/15	09/26/14	339.6	$339.60	$376.32	$-36.72		$0.00	$0.00	$0.00
07/25/15	09/26/14	101.51	$101.51	$112.49	$-10.98		$0.00	$0.00	$0.00
08/25/15	09/26/14	8.06	$8.06	$8.93	$-0.87		$0.00	$0.00	$0.00
09/25/15	09/26/14	8	$8.00	$8.86	$-0.86		$0.00	$0.00	$0.00
FNMA POOL 962301	4.5% 3/01/23	31414CRW0							
05/25/15	09/25/14	220.52	$220.52	$234.03	$-13.51		$0.00	$0.00	$0.00
06/25/15	09/25/14	89.76	$89.76	$95.26	$-5.50		$0.00	$0.00	$0.00
07/25/15	09/25/14	42.97	$42.97	$45.60	$-2.63		$0.00	$0.00	$0.00
08/25/15	09/25/14	43.44	$43.44	$46.10	$-2.66		$0.00	$0.00	$0.00
09/25/15	09/25/14	101.21	$101.21	$107.41	$-6.20		$0.00	$0.00	$0.00

BNY MELLON
WEALTH MANAGEMENT

SY SYMS FOUNDATION-BONDS-SUB

Short Term Transactions

DESCRIPTION		CUSIP								
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FNMA MA1860	**4.5% 4/01/44**	**31418BB62**							
05/25/15	06/23/14	253.84	$253 84	$275.10	$-21 26		$0.00	$0.00	$0.00
06/25/15	05/15/15	153.42	$153.42	$167.42	$-14.00		$0.00	$0.00	$0.00
07/25/15	05/15/15	827.56	$827.56	$903.07	$-75.51		$0.00	$0.00	$0 00
08/25/15	05/15/15	178.18	$178.18	$194.44	$-16.26		$0.00	$0.00	$0.00
09/25/15	05/15/15	536.23	$536.23	$585.16	$-48.93		$0.00	$0.00	$0.00
10/25/15	05/15/15	306.16	$306.16	$334.10	$-27.94		$0.00	$0.00	$0.00
11/25/15	05/15/15	268.96	$268.96	$293.50	$-24.54		$0.00	$0.00	$0 00
12/25/15	05/15/15	262.82	$262.82	$286.80	$-23.98		$0.00	$0.00	$0.00
01/25/16	05/15/15	266.13	$266.13	$290 41	$-24.28		$0.00	$0.00	$0.00
02/25/16	05/15/15	233.42	$233.42	$254.72	$-21.30		$0.00	$0.00	$0.00
03/25/16	05/15/15	586.61	$586.61	$640.14	$-53.53		$0.00	$0.00	$0.00
04/25/16	05/15/15	842.06	$842.06	$918.90	$-76.84		$0 00	$0.00	$0.00
FNMA MA1897	**3.5% 5/01/44**	**31418BDB9**							
11/25/15	10/08/15	74.63	$74.63	$77.34	$-2.71		$0.00	$0.00	$0.00
12/25/15	10/08/15	149.62	$149.62	$155.04	$-5.42		$0.00	$0.00	$0.00
01/25/16	10/08/15	132.8	$132.80	$137.61	$-4.81		$0.00	$0.00	$0.00
02/25/16	10/08/15	94.47	$94.47	$97.89	$-3.42		$0.00	$0.00	$0.00
03/25/16	10/08/15	3.01	$3.01	$3.12	$-0.11		$0.00	$0.00	$0.00

Short Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FNMA MA1897	3.5% 5/01/44	31418BDB9							
04/25/16	10/08/15	3.47	$3.47	$3.60	$-0.13		$0.00	$0 00	$0.00
FNMA POOL MA2484	4.0% 12/01/45	31418BXN1							
04/25/16	03/03/16	374.98	$374 98	$400.88	$-25.90		$0.00	$0.00	$0.00
FN MA2487	3.0% 12/01/45	31418BXR2							
01/25/16	11/24/15	250.34	$250.34	$250.97	$-0.63		$0.00	$0.00	$0.00
02/25/16	11/24/15	211.49	$211.49	$212.02	$-0.53		$0.00	$0.00	$0.00
03/25/16	11/24/15	333.56	$333.56	$334.39	$-0.83		$0.00	$0.00	$0.00
03/25/16	01/13/16	19.62	$19.62	$19.87	$-0.25		$0.00	$0.00	$0.00
04/25/16	11/24/15	921.43	$921.43	$923.73	$-2.30		$0.00	$0.00	$0.00
04/25/16	01/13/16	54.2	$54.20	$54.90	$-0.70		$0.00	$0.00	$0.00
FNMA MA2495	3.5% 1/01/46	31418BXZ4							
03/25/16	02/04/16	290.74	$290.74	$304.71	$-13.97		$0.00	$0.00	$0.00
04/25/16	02/19/16	391.3	$391.30	$410.38	$-19.08		$0.00	$0.00	$0.00
04/25/16	02/04/16	695.64	$695.64	$729.06	$-33.42		$0.00	$0.00	$0.00
FNMA POOL MA2561	3.0% 3/01/31	31418BZ33							
04/25/16	03/03/16	388.15	$388.15	$404.59	$-16.44		$0.00	$0.00	$0.00
04/25/16	02/23/16	690.05	$690.05	$720.62	$-30.57		$0.00	$0.00	$0.00

Short Term Transactions

DESCRIPTION CUSIP

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
GNMA II POOL # MA1599 3.0% 1/20/44		36179NX45							
05/20/15	06/24/14	882.74	$882.74	$891.84	$-9.10		$0.00	$0.00	$0.00
06/20/15	06/24/14	752.6	$752.60	$760.36	$-7 76		$0.00	$0.00	$0.00
GNMA II POOL # MA2225 4.5% 9/20/44		36179QPJ4							
05/20/15	03/05/15	3117.86	$3,117.86	$3,387.26	$-269.40		$0.00	$0.00	$0.00
06/20/15	03/05/15	2197.8	$2,197.80	$2,387.70	$-189.90		$0.00	$0.00	$0.00
07/20/15	03/05/15	1592.09	$1,592.09	$1,729.66	$-137.57		$0.00	$0.00	$0.00
08/20/15	03/05/15	1351.72	$1,351.72	$1,468.52	$-116.80		$0.00	$0.00	$0.00
09/20/15	07/27/15	121.69	$121.69	$131.33	$-9.64		$0.00	$0.00	$0.00
09/20/15	03/05/15	1216.88	$1,216.88	$1,322.03	$-105.15		$0.00	$0.00	$0.00
09/20/15	07/29/15	121.69	$121.69	$131.16	$-9.47		$0.00	$0.00	$0.00
10/09/15	07/29/15	3239.01	$3,488.01	$3,491.05	$-3.04		$0.00	$0.00	$0.00
10/09/15	09/11/15	3239.01	$3,488.01	$3,498.13	$-10.12		$0.00	$0.00	$0.00
10/09/15	07/27/15	3239.01	$3,488.01	$3,495.60	$-7.59		$0.00	$0.00	$0.00
10/09/15	03/05/15	32390.09	$34,880.07	$35,188.78	$-308.71		$0.00	$0.00	$0.00
10/20/15	09/11/15	122.61	$122.61	$132.42	$-9.81		$0.00	$0.00	$0.00
10/20/15	03/05/15	1226.12	$1,226.12	$1,332.06	$-105.94		$0.00	$0.00	$0.00
10/20/15	07/27/15	122.61	$122.61	$132 32	$-9.71		$0.00	$0.00	$0.00
10/20/15	07/29/15	122.61	$122.61	$132.15	$-9.54		$0.00	$0.00	$0.00

Short Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
GNMA MA2446 POOL II	4.0% 12/20/44	36179QWF4							
05/20/15	03/11/15	835.3	$835.30	$887.64	$-52.34		$0.00	$0.00	$0.00
05/20/15	03/31/15	83.53	$83.53	$89.13	$-5.60		$0.00	$0.00	$0.00
06/20/15	03/11/15	1149.6	$1,149.60	$1,221.63	$-72.03		$0.00	$0.00	$0.00
06/20/15	03/31/15	114.96	$114.96	$122.67	$-7.71		$0.00	$0.00	$0.00
07/20/15	03/11/15	1795.79	$1,795.79	$1,908.31	$-112.52		$0.00	$0.00	$0.00
07/20/15	03/31/15	179.58	$179.58	$191.62	$-12.04		$0.00	$0.00	$0.00
08/20/15	03/11/15	2072.66	$2,072.66	$2,202.52	$-129.86		$0.00	$0.00	$0.00
08/20/15	03/31/15	207.27	$207.27	$221.16	$-13.89		$0.00	$0.00	$0.00
08/20/15	07/17/15	414.53	$414.53	$441.09	$-26.56		$0.00	$0.00	$0.00
08/25/15	08/20/15	4120.11	$4,373.11	$4,393.07	$-19.96		$0.00	$0.00	$0.00
08/25/15	07/29/15	12360.33	$13,119.33	$13,098.09	$21.24		$0.00	$0.00	$0.00
08/25/15	07/27/15	4120.11	$4,373.11	$4,371.18	$1.93		$0.00	$0.00	$0.00
08/25/15	07/17/15	8240.22	$8,746 22	$8,768.11	$-21.89		$0.00	$0.00	$0.00
08/25/15	03/31/15	4120.1	$4,373.10	$4,396.27	$-23.17		$0.00	$0.00	$0.00
08/25/15	03/11/15	41201.09	$43,731.10	$43,782.59	$-51.49		$0.00	$0.00	$0.00
09/20/15	07/17/15	306.45	$306.45	$326.08	$-19.63		$0.00	$0.00	$0.00
09/20/15	07/27/15	153.23	$153.23	$162.57	$-9.34		$0.00	$0.00	$0.00
09/20/15	08/20/15	153.23	$153.23	$163.38	$-10.15		$0.00	$0 00	$0.00

Short Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
GNMA MA2446 POOL II	4.0% 12/20/44	36179QWF4							
09/20/15	07/29/15	612.91	$612.91	$649.49	$-36.58		$0.00	$0.00	$0.00
09/20/15	03/31/15	153.23	$153.23	$163.50	$-10.27		$0.00	$0.00	$0.00
09/20/15	03/11/15	1532.27	$1,532.27	$1,628.28	$-96.01		$0.00	$0.00	$0 00
10/20/15	07/29/15	147.42	$147.42	$156.22	$-8.80		$0.00	$0.00	$0.00
11/20/15	07/29/15	122.22	$122.22	$129.52	$-7.30		$0.00	$0.00	$0.00
12/20/15	07/29/15	105.02	$105.02	$111.29	$-6.27		$0.00	$0.00	$0.00
01/20/16	07/29/15	122.16	$122.16	$129.45	$-7.29		$0.00	$0.00	$0.00
02/20/16	07/29/15	105.51	$105.51	$111.81	$-6.30		$0.00	$0.00	$0.00
03/20/16	07/29/15	99.79	$99.79	$105.75	$-5.96		$0.00	$0.00	$0.00
04/15/16	07/29/15	3305.14	$3,544.76	$3,502.41	$42.35		$0.00	$0.00	$0.00
04/20/16	07/29/15	112.84	$112 84	$119 57	$-6.73		$0.00	$0.00	$0.00
GNMA II POOL # MA2753	3.0% 4/20/45	36179RBW8							
05/20/15	04/09/15	190.95	$190.95	$197.33	$-6.38		$0.00	$0.00	$0.00
06/20/15	05/11/15	118.27	$118.27	$121.36	$-3.09		$0.00	$0.00	$0.00
06/20/15	04/09/15	251.33	$251.33	$259.73	$-8.40		$0.00	$0.00	$0.00
07/20/15	04/09/15	332.78	$332.78	$343.91	$-11.13		$0.00	$0.00	$0.00
07/20/15	05/11/15	156.6	$156.60	$160.69	$-4 09		$0.00	$0.00	$0.00
08/20/15	04/09/15	406.38	$406 38	$419.97	$-13.59		$0.00	$0.00	$0.00

Short Term Transactions

DESCRIPTION **CUSIP**

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
GNMA II POOL # MA2753 3.0% 4/20/45		**36179RBW8**							
08/20/15	05/11/15	191.24	$191.24	$196.23	$-4.99		$0.00	$0.00	$0.00
09/20/15	04/09/15	469.87	$469.87	$485.58	$-15.71		$0.00	$0.00	$0.00
09/20/15	05/11/15	221.11	$221.11	$226.88	$-5.77		$0.00	$0.00	$0.00
10/20/15	09/25/15	31.22	$31.22	$31.79	$-0.57		$0.00	$0.00	$0.00
10/20/15	05/11/15	249.73	$249.73	$256.25	$-6.52		$0.00	$0.00	$0.00
10/20/15	04/09/15	530.69	$530.69	$548.43	$-17.74		$0.00	$0.00	$0.00
11/20/15	09/25/15	34.94	$34.94	$35.57	$-0.63		$0.00	$0.00	$0.00
11/20/15	04/09/15	593.91	$593.91	$613.77	$-19.86		$0.00	$0.00	$0.00
11/20/15	05/11/15	279.49	$279.49	$286.78	$-7.29		$0.00	$0.00	$0.00
12/20/15	09/25/15	32.17	$32.17	$32.75	$-0.58		$0.00	$0.00	$0.00
12/20/15	05/11/15	257.36	$257.36	$264.08	$-6.72		$0.00	$0.00	$0.00
12/20/15	04/09/15	546.88	$546.88	$565.17	$-18.29		$0.00	$0.00	$0.00
01/20/16	04/09/15	633.75	$633.75	$654.94	$-21.19		$0.00	$0 00	$0.00
01/20/16	05/11/15	298.24	$298.24	$306.02	$-7.78		$0.00	$0.00	$0.00
01/20/16	09/25/15	37.28	$37.28	$37.96	$-0.68		$0.00	$0.00	$0.00
02/20/16	01/13/16	97.61	$97.61	$100.00	$-2.39		$0.00	$0.00	$0.00
02/20/16	04/09/15	553.13	$553.13	$571.63	$-18.50		$0.00	$0.00	$0.00
02/20/16	05/11/15	260.3	$260.30	$267.09	$-6.79		$0.00	$0.00	$0.00

Short Term Transactions

DESCRIPTION CUSIP

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
GNMA II POOL # MA2753 3.0% 4/20/45		**36179RBW8**							
02/20/16	09/25/15	32.54	$32 54	$33.13	$-0.59		$0.00	$0 00	$0.00
03/03/16	04/09/15	79902.37	$82,586.59	$82,574.11	$12 48		$0.00	$0.00	$0.00
03/03/16	05/11/15	9400.29	$9,716.08	$9,645.58	$70.50		$0.00	$0.00	$0.00
03/20/16	04/09/15	587.96	$587.96	$607.62	$-19.66		$0.00	$0.00	$0.00
03/20/16	05/11/15	276.69	$276.69	$283.91	$-7.22		$0.00	$0.00	$0.00
03/20/16	09/25/15	34.59	$34.59	$35.22	$-0.63		$0.00	$0.00	$0.00
03/20/16	01/13/16	103.76	$103.76	$106.31	$-2.55		$0.00	$0.00	$0.00
04/20/16	09/25/15	58.51	$58.51	$59.57	$-1.06		$0.00	$0.00	$0.00
04/20/16	01/13/16	175.53	$175.53	$179.84	$-4.31		$0.00	$0.00	$0.00
04/20/16	05/11/15	351.06	$351.06	$360.22	$-9.16		$0.00	$0.00	$0.00
GNMA G2 MA3036 4.5% 8/20/45		**36179RLR8**							
12/20/15	11/02/15	237.4	$237.40	$255.80	$-18.40		$0.00	$0.00	$0.00
01/20/16	11/02/15	316.32	$316.32	$340.83	$-24.51		$0.00	$0.00	$0.00
02/17/16	11/02/15	8764.69	$9,400.13	$9,443.95	$-43.82		$0.00	$0.00	$0.00
02/20/16	11/02/15	208.95	$208.95	$225 14	$-16.19		$0.00	$0.00	$0.00
GNMA POOL II MA3107 4.5% 9/20/45		**36179RNY1**							
11/20/15	10/09/15	474.43	$474.43	$512.38	$-37.95		$0.00	$0.00	$0.00
12/20/15	10/09/15	919.81	$919.81	$993.39	$-73.58		$0.00	$0.00	$0.00

Short Term Transactions

DESCRIPTION **CUSIP**

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
GNMA POOL II MA3107 4.5% 9/20/45		**36179RNY1**							
12/20/15	11/02/15	919.81	$919.81	$991.81	$-72.00		$0.00	$0.00	$0.00
01/20/16	10/09/15	1487.67	$1,487.67	$1,606.68	$-119.01		$0.00	$0.00	$0.00
01/20/16	11/02/15	1487.67	$1,487.67	$1,604.13	$-116.46		$0.00	$0.00	$0.00
02/12/16	10/09/15	40780.32	$43,730.52	$44,042.76	$-312.24		$0.00	$0.00	$0.00
02/12/16	01/13/16	9062.29	$9,717.89	$9,764.62	$-46.73		$0.00	$0.00	$0.00
02/12/16	11/02/15	40780.32	$43,730.52	$43,972.65	$-242.13		$0.00	$0.00	$0.00
02/20/16	11/02/15	1153.89	$1,153.89	$1,244.22	$-90.33		$0.00	$0.00	$0.00
02/20/16	01/13/16	256.42	$256.42	$276.29	$-19.87		$0.00	$0.00	$0.00
02/20/16	10/09/15	1153.89	$1,153.89	$1,246.20	$-92.31		$0.00	$0.00	$0.00
GNMA II MA3456 4.5% 2/20/46		**36179RZV4**							
03/20/16	02/12/16	511.06	$511.06	$550.67	$-39.61		$0.00	$0.00	$0.00
04/20/16	02/12/16	2176.99	$2,176.99	$2,345.71	$-168.72		$0.00	$0.00	$0.00
04/20/16	03/02/16	256.12	$256.12	$276.05	$-19.93		$0.00	$0.00	$0.00
GNMA POOL # AK6980 4.0% 3/15/45		**36183QXH3**							
10/15/15	08/25/15	1733.86	$1,733.86	$1,853.88	$-120.02		$0.00	$0.00	$0.00
11/15/15	08/25/15	6026.4	$6,026.40	$6,443.54	$-417.14		$0.00	$0.00	$0.00
12/15/15	08/25/15	3076.98	$3,076.98	$3,289.96	$-212 98		$0.00	$0.00	$0.00
01/15/16	08/25/15	2380.21	$2,380.21	$2,544 97	$-164.76		$0.00	$0.00	$0.00

Short Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
GNMA POOL # AK6980	**4.0% 3/15/45**	**36183QXH3**							
02/15/16	08/25/15	914.92	$914.92	$978.25	$-63.33		$0.00	$0.00	$0.00
02/24/16	08/25/15	61732 79	$65,841.88	$66,005.85	$-163.97		$0.00	$0.00	$0.00
03/15/16	08/25/15	2163.72	$2,163.72	$2,313.49	$-149.77		$0.00	$0.00	$0.00
GNMA POOL II AM4931	**3.5% 4/20/45**	**36184SPQ7**							
07/20/15	06/16/15	298.7	$298.70	$311.39	$-12 69		$0.00	$0.00	$0.00
08/20/15	06/16/15	288.44	$288.44	$300.70	$-12.26		$0.00	$0.00	$0.00
09/20/15	06/16/15	349.99	$349.99	$364.86	$-14.87		$0.00	$0.00	$0.00
10/20/15	06/16/15	173.77	$173.77	$181.16	$-7.39		$0.00	$0.00	$0.00
11/20/15	06/16/15	306.89	$306.89	$319.93	$-13.04		$0.00	$0.00	$0.00
12/20/15	06/16/15	347.12	$347 12	$361.87	$-14.75		$0.00	$0.00	$0.00
01/20/16	06/16/15	343.08	$343.08	$357.66	$-14 58		$0.00	$0.00	$0.00
02/20/16	06/16/15	324.73	$324.73	$338.53	$-13.80		$0.00	$0.00	$0.00
03/20/16	06/16/15	347.89	$347.89	$362.68	$-14 79		$0.00	$0.00	$0.00
04/18/16	06/16/15	61584.58	$65,298.90	$64,201.93	$1,096.97		$0.00	$0.00	$0.00
04/20/16	06/16/15	273.83	$273.83	$285.47	$-11.64		$0.00	$0.00	$0.00
GNMA AM9018	**4.0% 8/15/45**	**36185CAT1**							
04/15/16	02/29/16	63489.21	$68,156.66	$67,923.53	$233.13		$0.00	$0.00	$0.00
04/15/16	02/24/16	58954.27	$63,288.33	$63,044.23	$244.10		$0.00	$0.00	$0.00

Short Term Transactions

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Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
GNMA AM9018 4.0% 8/15/45		**36185CAT1**							
04/15/16	02/29/16	3525.52	$3,525.52	$3,771.76	$-246.24		$0.00	$0.00	$0.00
04/15/16	02/24/16	3273.7	$3,273.70	$3,500.81	$-227.11		$0.00	$0.00	$0.00
GOV'T NAT'L MTGE ASSN POOL 781830		**36241KA73**							
12/15/15	11/05/15	369.9	$369.90	$413.83	$-43.93		$0.00	$0.00	$0.00
01/15/16	11/05/15	431.15	$431.15	$482.35	$-51.20		$0.00	$0.00	$0.00
02/15/16	11/05/15	262.33	$262.33	$293.48	$-31.15		$0.00	$0.00	$0.00
03/15/16	11/05/15	613.86	$613.86	$686.76	$-72.90		$0.00	$0.00	$0.00
04/15/16	11/05/15	564.83	$564.83	$631.90	$-67.07		$0.00	$0.00	$0.00
ORACLE CORP		**68389XAQ8**							
04/29/16	07/23/15	50000	$51,698.50	$50,708.00	$990.50		$0.00	$0.00	$0.00
ORACLE CORP 2.5% 5/15/22		**68389XBB0**							
04/05/16	04/28/15	10000	$10,252.10	$9,964.80	$287.30		$0.00	$0.00	$0.00
SOUTHWESTERN ENERGY 4.95% 1/23/25		**845467AL3**							
02/02/16	03/20/15	10000	$6,275.00	$10,153.00	$-3,878.00		$0.00	$0.00	$0.00
02/03/16	03/20/15	20000	$12,575.00	$20,306.00	$-7,731.00		$0.00	$0.00	$0.00
STARBUCKS CORP 4.3% 6/15/45		**855244AH2**							
01/20/16	06/01/15	10000	$10,780.00	$9,978.20	$801.80		$0.00	$0.00	$0.00
01/20/16	06/01/15	10000	$10,780.00	$9,959.80	$820.20		$0.00	$0.00	$0.00

Short Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
STARBUCKS CORP	**4.3% 6/15/45**	**855244AH2**							
01/27/16	06/01/15	5000	$5,253.50	$4,979.90	$273.60		$0.00	$0.00	$0.00
01/27/16	06/01/15	15000	$15,789.75	$14,939.70	$850.05		$0 00	$0.00	$0.00
U S ETREASURY NOTE	**3.125% 8/15/44**	**912810RH3**							
06/01/15	02/04/15	10000	$10,347.65	$11,503.91	$-1,156.26		$0 00	$0.00	$0.00
06/01/15	11/14/14	5000	$5,173.83	$5,039.08	$134 75		$0.00	$0.00	$0.00
U S TREASURY BOND		**912810RK6**							
06/01/15	03/09/15	15000	$13,663.48	$14,091.80	$-428.32		$0.00	$0.00	$0.00
06/01/15	03/09/15	10000	$9,105.08	$9,394.53	$-289.45		$0.00	$0.00	$0.00
07/22/15	05/05/15	25000	$22,245.11	$22,916.02	$-670.91		$0 00	$0.00	$0.00
07/22/15	03/09/15	10000	$8,898.05	$9,394.53	$-496.48		$0.00	$0.00	$0.00
07/24/15	05/05/15	10000	$9,041.41	$9,166.41	$-125.00		$0.00	$0.00	$0.00
01/13/16	05/05/15	55000	$50,801.95	$50,519.11	$282.84		$0.00	$0.00	$0.00
02/10/16	05/19/15	40000	$39,870.31	$35,836.81	$4,033.50		$0.00	$0.00	$0.00
04/22/16	05/19/15	5000	$4,800.39	$4,481.87	$318.52		$0.00	$0.00	$0.00
U S TREASURY BOND	**3.0% 5/15/45**	**912810RM2**							
09/24/15	06/01/15	40000	$40,881.25	$40,495.31	$385.94		$0.00	$0.00	$0.00
01/15/16	06/01/15	10000	$10,365.24	$10,123.83	$241.41		$0.00	$0.00	$0.00
01/15/16	11/03/15	30000	$31,095.71	$29,975.39	$1,120.32		$0.00	$0.00	$0.00

Short Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
UNITED STATES TREAS	2.0% 11/30/20	912828A42							
05/06/15	04/24/15	30000	$30,428.91	$30,837.89	$-408.98		$0.00	$0.00	$0.00
05/06/15	04/29/15	35000	$35,500.39	$35,738.28	$-237.89		$0.00	$0.00	$0.00
05/06/15	06/27/14	40000	$40,571.87	$39,953.28	$618.59		$0.00	$0.00	$0.00
01/20/16	11/09/15	15000	$15,404.88	$15,140.04	$264.84		$0.00	$0.00	$0.00
02/04/16	11/09/15	40000	$41,325.00	$40,373.44	$951.56		$0.00	$0.00	$0.00
UNITED STATES TREAS	0.75% 1/15/17	912828A91							
09/21/15	05/12/15	5000	$5,013.09	$5,017.19	$-4.10		$0.00	$0.00	$0.00
09/25/15	05/05/15	10000	$10,033.20	$10,031.64	$1.56		$0.00	$0.00	$0.00
09/28/15	05/05/15	5000	$5,017.19	$5,015.82	$1.37		$0.00	$0.00	$0.00
11/09/15	05/05/15	45000	$45,043.95	$45,142.38	$-98.43		$0.00	$0.00	$0.00
01/13/16	05/05/15	5000	$5,000.00	$5,015.82	$-15.82		$0.00	$0.00	$0.00
02/11/16	05/05/15	15000	$15,029.88	$15,047.46	$-17.58		$0.00	$0.00	$0.00
U S TREASURY NOTE		912828G38							
05/05/15	12/29/14	150000	$151,224.61	$150,492.19	$732.42		$0.00	$0.00	$0.00
05/05/15	04/16/15	10000	$10,081.64	$10,317.58	$-235.94		$0.00	$0.00	$0.00
U S TREASURY INFLATIO	0.125% 4/15/20	912828K33							
03/17/16	09/28/15	38.4	$38.89	$38.06	$0.83		$0.00	$0.00	$0.00
03/17/16	08/13/15	40405.2	$40,916.57	$40,200.22	$716.35		$0.00	$0.00	$0.00

Short Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
U S ETREASURY NOTE 1.875% 8/31/22		**912828L24**							
11/03/15	09/24/15	40000	$39,789.06	$40,234.38	$-445.32		$0.00	$0.00	$0.00
UNITED STATES TREAS 1.125% 1/15/19		**912828N63**							
04/22/16	04/12/16	20000	$20,088.28	$20,147.66	$-59.38		$0 00	$0.00	$0.00
04/22/16	03/22/16	10000	$10,044.14	$10,034.76	$9.38		$0.00	$0.00	$0 00
U.S. TREASURY NOTE 1.875% 8/31/17		**912828NW6**							
10/08/15	09/25/15	10000	$10,232.42	$10,223.05	$9.37		$0.00	$0.00	$0.00
11/09/15	09/25/15	10000	$10,183.20	$10,223.04	$-39.84		$0.00	$0.00	$0.00
U S TREASURY NOTE		**912828P87**							
04/26/16	04/15/16	5000	$4,941.21	$4,983.20	$-41.99		$0.00	$0.00	$0.00
U.S. TREASURY NOTE 2.625%		**912828PT1**							
08/04/15	04/29/15	10000	$10,434.77	$10,480.86	$-46.09		$0.00	$0.00	$0.00
09/09/15	04/29/15	25000	$26,001.95	$26,202.15	$-200.20		$0.00	$0.00	$0.00
09/16/15	04/29/15	40000	$41,551.56	$41,923.43	$-371.87		$0.00	$0.00	$0.00
09/28/15	04/29/15	25000	$26,042.97	$26,202.15	$-159.18		$0.00	$0.00	$0.00
09/28/15	07/27/15	15000	$15,625.78	$15,660.35	$-34.57		$0.00	$0.00	$0.00
02/04/16	07/21/15	30000	$31,099.22	$31,282.03	$-182.81		$0.00	$0.00	$0.00
02/04/16	07/27/15	10000	$10,366.41	$10,440.24	$-73.83		$0.00	$0.00	$0.00

Short Term Transactions

DESCRIPTION CUSIP

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
U.S. TREASURY NOTE	**0.875% 12/31/16**	**912828RX0**							
06/01/15	05/06/15	10000	$10,057.81	$10,055.08	$2.73		$0.00	$0.00	$0.00
06/09/15	05/06/15	10000	$10,049.22	$10,055.08	$-5.86		$0.00	$0.00	$0.00
06/16/15	05/06/15	45000	$45,226.76	$45,247.85	$-21.09		$0.00	$0.00	$0.00
U S TREASURY NTS		**912828SC5**							
05/15/15	05/06/15	30000	$30,196.88	$30,153.51	$43.37		$0 00	$0.00	$0.00
06/01/15	05/06/15	40000	$40,217.19	$40,204.69	$12.50		$0.00	$0 00	$0.00
U.S. TREASURY NOTE	**1.25% 4/30/19**	**912828ST8**							
05/06/15	02/12/15	40000	$39,859.37	$39,792.19	$67.18		$0.00	$0.00	$0.00
08/13/15	02/12/15	35000	$34,953.52	$34,779.98	$173.54	D	$38.18	$38.18	$0.00
U S TREASURY NOTE		**912828TM2**							
06/16/15	05/06/15	5000	$4,980.08	$4,979.69	$0.39		$0.00	$0.00	$0.00
07/01/15	05/06/15	20000	$19,946.09	$19,918.75	$27.34		$0.00	$0.00	$0.00
07/08/15	05/06/15	20000	$19,997.66	$19,918.75	$78.91		$0.00	$0.00	$0.00
08/20/15	05/06/15	5000	$4,991.02	$4,979.69	$11.33		$0.00	$0.00	$0.00
09/25/15	05/06/15	20000	$19,978.96	$19,918.75	$60.21		$0.00	$0.00	$0.00
U S TREASURY NOTE		**912828TS9**							
03/30/16	10/22/15	5000	$4,991.80	$5,002.73	$-10.93		$0.00	$0.00	$0.00
03/30/16	03/04/16	25000	$24,958.98	$24,914.46	$44.52		$0.00	$0.00	$0.00

BNY MELLON
WEALTH MANAGEMENT

SY SYMS FOUNDATION-BONDS-SUB

2015 Tax Information
Account Number 10571034900

Short Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
U S TREASURY NOTE	**0.75% 10/31/17**	**912828TW0**							
09/10/15	04/29/15	45000	$44,941.99	$44,966.60	$-24.61		$0.00	$0.00	$0.00
09/11/15	04/29/15	45000	$44,964.84	$44,966 60	$-1.76		$0.00	$0.00	$0.00
U S TREASURY NTS		**912828TY6**							
09/08/15	08/26/15	15000	$14,676.56	$14,739.25	$-62.69		$0.00	$0.00	$0.00
09/09/15	08/26/15	5000	$4,875.20	$4,913.09	$-37.89		$0.00	$0.00	$0.00
UNITED STATES TREAS	**0.875% 5/15/17**	**912828WH9**							
01/08/16	09/21/15	15000	$15,000.00	$15,053.90	$-53.90		$0.00	$0.00	$0.00
01/08/16	10/16/15	15000	$15,000.00	$15,083.79	$-83.79		$0.00	$0.00	$0.00
04/20/16	12/11/15	5000	$5,015.04	$4,999.41	$15.63		$0.00	$0.00	$0.00
04/20/16	12/30/15	20000	$20,060.16	$19,981.25	$78.91		$0.00	$0.00	$0.00
04/21/16	09/21/15	10000	$10,029.30	$10,035.94	$-6.64		$0 00	$0.00	$0.00
UNITED STATES TREAS	**2.125% 6/30/22**	**912828XG0**							
07/10/15	07/08/15	30000	$30,019.92	$30,338.67	$-318.75		$0.00	$0.00	$0.00
09/08/15	07/15/15	10000	$10,151.95	$10,049.22	$102.73		$0.00	$0.00	$0.00
09/09/15	07/15/15	5000	$5,060.16	$5,024.61	$35.55		$0.00	$0.00	$0.00
11/06/15	10/05/15	40000	$40,115.63	$41,079.69	$-964.06		$0.00	$0.00	$0.00
11/06/15	10/05/15	25000	$25,253.91	$25,674.80	$-420.89		$0.00	$0.00	$0.00
01/20/16	10/05/15	5000	$5,138.48	$5,134.96	$3.52		$0.00	$0.00	$0.00

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Short Term Transactions

DESCRIPTION		CUSIP								
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
UNIV OF NOTRE DAME 3.438% 2/15/45		**914744AD9**							
05/19/15	01/13/15	45000	$41,398.65	$45,000.00	$-3,601.35		$0.00	$0.00	$0.00
Total Short Term Gain/Loss			*$2,872,673.50*	*$2,894,484.43*	*$-21,810.93*		*$38.18*	*$38.18*	*$0.00*

Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
A.B.B. FIN USA INC 2.875% 5/08/22		**00037BAB8**							
11/09/15	10/04/12	35000	$34,318.20	$36,302.00	$-1,983.80		$0.00	$0.00	$0.00
AMERICAN INTL GROUP 5.85% 1/16/18		**02687QDG0**							
07/16/15	01/10/13	70000	$77,319.20	$82,882.80	$-5,563.60		$0.00	$0.00	$0.00
AMCAR 2013-2 A3 0.65% 12/08/17		**03064JAC9**							
05/08/15	04/03/13	8360.98	$8,360.98	$8,359.31	$1.67		$0.00	$0.00	$0.00
06/08/15	04/03/13	7800.06	$7,800.06	$7,798.50	$1.56		$0.00	$0.00	$0.00
07/08/15	04/03/13	8251.75	$8,251.75	$8,250.10	$1.65		$0.00	$0.00	$0.00
08/08/15	04/03/13	7956.78	$7,956.78	$7,955.19	$1.59		$0.00	$0.00	$0.00
09/08/15	04/03/13	6884.74	$6,884.74	$6,883.37	$1.37		$0.00	$0.00	$0.00
10/08/15	04/03/13	7052.45	$7,052.45	$7,051.04	$1 41		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (Included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
AMCAR 2013-2 A3	**0.65% 12/08/17**	**03064JAC9**							
11/08/15	04/03/13	7349.01	$7,349.01	$7,347.54	$1.47		$0.00	$0.00	$0.00
12/08/15	04/03/13	5970.94	$5,970.94	$5,969 75	$1.19		$0.00	$0.00	$0.00
01/08/16	04/03/13	5795.3	$5,795.30	$5,794 14	$1.16		$0.00	$0.00	$0.00
02/08/16	04/03/13	595 19	$595.19	$595.07	$0.12		$0.00	$0.00	$0.00
ANHEUSER-BUSCH INBEV 2.5% 7/15/22		**03523TBP2**							
10/05/15	10/04/12	75000	$71,608.50	$76,647.00	$-5,038.50		$0.00	$0.00	$0.00
COMCAST CORP 3.125% 7/15/22		**20030NBD2**							
08/04/15	03/08/13	25000	$25,133.25	$25,549.25	$-416.00		$0.00	$0.00	$0.00
CONAGRA FOODS INC	**3.2% 1/25/23**	**205887BR2**							
06/22/15	01/15/13	5000	$4,630.90	$5,027.65	$-396.75		$0.00	$0.00	$0.00
07/15/15	01/15/13	15000	$13,993.50	$14,963.10	$-969.60		$0.00	$0.00	$0.00
07/15/15	01/15/13	2000	$1,865.80	$2,011.06	$-145.26		$0.00	$0.00	$0.00
EBAY INC 2.875%	**8/01/21**	**278642AK9**							
10/15/15	07/23/14	50000	$49,105.50	$49,889.50	$-784.00		$0.00	$0.00	$0.00
FG GOLD POOL T49004	**3.0% 9/01/27**	**31287CAD4**							
05/15/15	11/05/13	119.76	$119.76	$122.45	$-2.69		$0.00	$0.00	$0.00
06/15/15	11/05/13	119.92	$119.92	$122.62	$-2.70		$0.00	$0.00	$0.00
07/15/15	11/05/13	134.55	$134.55	$137.58	$-3.03		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FG GOLD POOL T49004 3.0% 9/01/27		**31287CAD4**							
08/15/15	11/05/13	120.61	$120.61	$123.32	$-2.71		$0.00	$0.00	$0.00
09/15/15	11/05/13	122.36	$122.36	$125.11	$-2.75		$0.00	$0.00	$0.00
10/15/15	11/05/13	118.14	$118.14	$120.80	$-2.66		$0.00	$0.00	$0.00
11/15/15	11/05/13	118.48	$118.48	$121.15	$-2.67		$0.00	$0 00	$0.00
12/15/15	11/05/13	123.53	$123.53	$126.31	$-2.78		$0.00	$0.00	$0.00
01/15/16	11/05/13	2025.25	$2,025.25	$2,070.82	$-45.57		$0.00	$0.00	$0.00
02/15/16	11/05/13	121.4	$121.40	$124.13	$-2.73		$0.00	$0.00	$0.00
03/15/16	11/05/13	1584.6	$1,584.60	$1,620.26	$-35.66		$0.00	$0.00	$0.00
04/15/16	11/05/13	113.11	$113.11	$115.66	$-2.55		$0.00	$0.00	$0.00
FHLMC GOLD POOL T49005 3.5% 12/01/28		**31287CAE2**							
05/15/15	02/28/14	22.76	$22.76	$22.70	$0.06		$0.00	$0.00	$0.00
06/15/15	02/28/14	23.62	$23.62	$23.56	$0.06		$0.00	$0.00	$0.00
07/15/15	02/28/14	23.79	$23.79	$23.73	$0.06		$0.00	$0.00	$0.00
08/15/15	02/28/14	218.19	$218.19	$217.64	$0.55		$0.00	$0.00	$0.00
09/15/15	02/28/14	22.81	$22.81	$22.75	$0 06		$0.00	$0.00	$0.00
10/15/15	09/25/14	160.01	$160.01	$165.46	$-5.45		$0.00	$0.00	$0.00
10/15/15	02/28/14	22.86	$22.86	$22.80	$0.06		$0.00	$0.00	$0.00
11/15/15	02/28/14	23.35	$23.35	$23.29	$0.06		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FHLMC GOLD POOL T49005 3.5% 12/01/28		31287CAE2							
11/15/15	09/25/14	163.45	$163.45	$169.02	$-5.57		$0.00	$0.00	$0 00
12/15/15	02/28/14	23.43	$23.43	$23 37	$0.06		$0.00	$0.00	$0.00
12/15/15	09/25/14	164.02	$164 02	$169.61	$-5.59		$0.00	$0.00	$0.00
01/15/16	02/28/14	22 04	$22.04	$21.98	$0.06		$0.00	$0.00	$0.00
01/15/16	09/25/14	154.25	$154.25	$159.50	$-5.25		$0.00	$0.00	$0.00
02/15/16	09/25/14	160.74	$160.74	$166.22	$-5.48		$0.00	$0.00	$0.00
02/15/16	02/28/14	22.96	$22.96	$22.90	$0.06		$0.00	$0.00	$0.00
03/15/16	09/25/14	162.13	$162.13	$167.65	$-5.52		$0.00	$0.00	$0.00
03/15/16	02/28/14	23.16	$23.16	$23.10	$0.06		$0.00	$0.00	$0.00
04/15/16	02/28/14	23.73	$23.73	$23.67	$0.06		$0.00	$0.00	$0.00
04/15/16	09/25/14	166.11	$166.11	$171.77	$-5.66		$0.00	$0.00	$0.00
FHLMC GOLD G05938	5.0% 1/01/36	3128M74X1							
05/15/15	01/15/13	69.31	$69.31	$74.25	$-4.94		$0.00	$0.00	$0.00
06/15/15	01/15/13	49.58	$49.58	$53.11	$-3.53		$0.00	$0.00	$0.00
07/15/15	01/15/13	70.59	$70.59	$75.62	$-5.03		$0.00	$0.00	$0.00
08/15/15	01/15/13	70 71	$70.71	$75.75	$-5.04		$0.00	$0.00	$0.00
09/15/15	01/15/13	113.42	$113.42	$121.50	$-8.08		$0.00	$0.00	$0.00
10/15/15	01/15/13	40.08	$40.08	$42.94	$-2.86		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION **CUSIP**

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FHLMC GOLD G05938	**5.0% 1/01/36**	**3128M74X1**							
11/15/15	01/15/13	151.43	$151.43	$162.22	$-10.79		$0.00	$0.00	$0.00
12/15/15	01/15/13	20.62	$20.62	$22.09	$-1.47		$0.00	$0.00	$0.00
01/15/16	01/15/13	157.97	$157.97	$169.23	$-11.26		$0.00	$0.00	$0.00
02/15/16	01/15/13	196.37	$196.37	$210.36	$-13.99		$0.00	$0.00	$0.00
03/15/16	01/15/13	103.24	$103.24	$110.60	$-7.36		$0.00	$0.00	$0.00
04/15/16	01/15/13	193.96	$193.96	$207.78	$-13.82		$0.00	$0.00	$0.00
FHLMC GOLD G18473	**3.0% 7/01/28**	**3128MMQ30**							
05/15/15	08/01/13	1654.71	$1,654.71	$1,697.89	$-43.18		$0.00	$0.00	$0.00
06/15/15	08/01/13	969.3	$969.30	$994.59	$-25.29		$0.00	$0.00	$0.00
07/15/15	08/01/13	1157.75	$1,157.75	$1,187.96	$-30.21		$0.00	$0.00	$0.00
08/15/15	08/01/13	1256.54	$1,256.54	$1,289.33	$-32.79		$0.00	$0.00	$0.00
09/15/15	08/01/13	1159.27	$1,159.27	$1,189.52	$-30.25		$0.00	$0.00	$0.00
10/15/15	08/01/13	1850.36	$1,850.36	$1,898.64	$-48.28		$0.00	$0.00	$0.00
11/15/15	08/01/13	1043.57	$1,043.57	$1,070.80	$-27.23		$0.00	$0.00	$0.00
12/15/15	08/01/13	912.62	$912.62	$936.43	$-23.81		$0.00	$0.00	$0.00
01/15/16	08/01/13	1203.76	$1,203.76	$1,235.17	$-31.41		$0.00	$0.00	$0.00
02/15/16	08/01/13	847.85	$847.85	$869.97	$-22.12		$0.00	$0.00	$0.00
02/23/16	08/01/13	78630.81	$82,279.77	$80,682.60	$1,597.17		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION CUSIP

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FHLMC GOLD G18489	3.0% 11/01/28	3128MMRK1							
05/15/15	11/18/13	891.49	$891.49	$921.72	$-30.23		$0.00	$0.00	$0.00
05/15/15	12/13/13	81.04	$81.04	$82.86	$-1.82		$0.00	$0.00	$0.00
06/15/15	12/13/13	77.57	$77.57	$79.32	$-1.75		$0.00	$0.00	$0.00
06/15/15	11/18/13	853.25	$853.25	$882 18	$-28.93		$0.00	$0.00	$0.00
07/15/15	12/13/13	60.44	$60.44	$61.80	$-1.36		$0.00	$0.00	$0.00
07/15/15	11/18/13	664.85	$664.85	$687.39	$-22.54		$0.00	$0.00	$0.00
08/15/15	12/13/13	52.01	$52.01	$53.18	$-1.17		$0.00	$0.00	$0.00
08/15/15	11/18/13	572.12	$572.12	$591.52	$-19.40		$0.00	$0.00	$0.00
09/15/15	11/18/13	592.49	$592.49	$612.58	$-20.09		$0.00	$0.00	$0.00
09/15/15	12/13/13	53.86	$53.86	$55 07	$-1.21		$0.00	$0.00	$0.00
10/15/15	12/13/13	48.11	$48.11	$49.19	$-1.08		$0.00	$0.00	$0.00
10/15/15	11/18/13	529.2	$529.20	$547 14	$-17.94		$0.00	$0.00	$0.00
11/15/15	11/18/13	641.82	$641.82	$663.58	$-21.76		$0.00	$0.00	$0.00
11/15/15	12/13/13	58.35	$58.35	$59.66	$-1.31		$0.00	$0.00	$0.00
12/15/15	12/13/13	45.12	$45.12	$46.14	$-1.02		$0.00	$0.00	$0.00
12/15/15	11/18/13	496.28	$496.28	$513.11	$-16.83		$0.00	$0.00	$0.00
01/15/16	11/18/13	581.2	$581.20	$600.91	$-19.71		$0.00	$0.00	$0.00
01/15/16	12/13/13	52 84	$52.84	$54.03	$-1.19		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION CUSIP

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FHLMC GOLD G18489	3.0% 11/01/28	3128MMRK1							
02/15/16	11/18/13	478.93	$478 93	$495.17	$-16.24		$0.00	$0.00	$0.00
02/15/16	12/13/13	43.54	$43.54	$44.52	$-0.98		$0.00	$0.00	$0.00
03/03/16	11/18/13	37302.13	$38,922.44	$38,566.89	$355 55		$0.00	$0.00	$0.00
03/03/16	12/13/13	3391.07	$3,538.37	$3,467.38	$70.99		$0 00	$0.00	$0.00
03/15/16	12/13/13	49.06	$49.06	$50.16	$-1.10		$0.00	$0.00	$0.00
03/15/16	11/18/13	539.66	$539.66	$557.96	$-18.30		$0.00	$0.00	$0.00
FHLMC GOLD POOL C91738 4.0% 11/01/33		3128P74X8							
05/15/15	03/26/14	182.33	$182.33	$192.98	$-10.65		$0.00	$0.00	$0.00
05/15/15	02/04/14	243.11	$243.11	$258.34	$-15.23		$0.00	$0.00	$0.00
06/15/15	02/04/14	238.69	$238.69	$253.65	$-14.96		$0.00	$0.00	$0.00
06/15/15	03/26/14	179.02	$179.02	$189.48	$-10.46		$0.00	$0.00	$0.00
07/15/15	02/04/14	200.87	$200.87	$213.46	$-12.59		$0.00	$0.00	$0.00
07/15/15	03/26/14	150.65	$150.65	$159.45	$-8.80		$0.00	$0.00	$0.00
08/15/15	03/26/14	296.78	$296.78	$314.12	$-17.34		$0.00	$0.00	$0.00
08/15/15	02/04/14	395.71	$395.71	$420.50	$-24.79		$0.00	$0.00	$0.00
09/15/15	03/26/14	297.15	$297.15	$314.52	$-17.37		$0.00	$0.00	$0.00
09/15/15	02/04/14	396.2	$396.20	$421.02	$-24.82		$0.00	$0.00	$0.00
10/15/15	03/26/14	137.22	$137.22	$145.24	$-8.02		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (Included in Net G/L)	Portion Subject to 28% Rates (Included in Net G/L)
FHLMC GOLD POOL C91738 4.0% 11/01/33		**3128P74X8**							
10/15/15	02/04/14	182.96	$182.96	$194.42	$-11.46		$0.00	$0.00	$0.00
11/15/15	03/26/14	204.45	$204.45	$216.40	$-11 95		$0.00	$0.00	$0.00
11/15/15	02/04/14	272.61	$272.61	$289.69	$-17.08		$0.00	$0.00	$0.00
12/15/15	03/26/14	122.82	$122.82	$130.00	$-7.18		$0.00	$0.00	$0.00
12/15/15	02/04/14	163.76	$163.76	$174.02	$-10.26		$0.00	$0.00	$0.00
01/15/16	03/26/14	208.48	$208.48	$220.66	$-12.18		$0.00	$0.00	$0.00
01/15/16	02/04/14	277.97	$277.97	$295 39	$-17.42		$0.00	$0.00	$0.00
02/15/16	02/04/14	138.34	$138.34	$147.01	$-8.67		$0.00	$0.00	$0.00
02/15/16	03/26/14	103.75	$103.75	$109.81	$-6.06		$0.00	$0.00	$0.00
03/15/16	03/26/14	165.35	$165.35	$175 01	$-9.66		$0.00	$0.00	$0.00
03/15/16	02/04/14	220.47	$220.47	$234.28	$-13.81		$0.00	$0.00	$0.00
04/15/16	02/04/14	170.69	$170.69	$181.38	$-10.69		$0.00	$0.00	$0.00
04/15/16	03/26/14	128.02	$128.02	$135.50	$-7.48		$0.00	$0.00	$0 00
FHLMC GOLD POOL C91339 4.0% 10/01/30		**3128P7PY3**							
05/15/15	02/04/14	399.79	$399.79	$427 40	$-27.61		$0.00	$0.00	$0.00
06/15/15	02/04/14	363.06	$363.06	$388.13	$-25.07		$0.00	$0.00	$0.00
07/15/15	02/04/14	333.4	$333.40	$356.43	$-23.03		$0.00	$0.00	$0.00
08/15/15	02/04/14	387.95	$387 95	$414.74	$-26.79		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION CUSIP

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FHLMC GOLD POOL C91339 4.0% 10/01/30		**3128P7PY3**							
09/15/15	02/04/14	313.54	$313.54	$335.19	$-21.65		$0.00	$0.00	$0.00
10/15/15	02/04/14	270.64	$270.64	$289.33	$-18.69		$0 00	$0.00	$0.00
11/15/15	02/04/14	317.1	$317.10	$339.00	$-21.90		$0.00	$0.00	$0.00
12/15/15	02/04/14	290.7	$290.70	$310 78	$-20.08		$0.00	$0.00	$0.00
01/15/16	02/04/14	258.33	$258.33	$276.17	$-17.84		$0.00	$0.00	$0.00
02/15/16	02/04/14	181.63	$181.63	$194.17	$-12.54		$0.00	$0.00	$0.00
03/15/16	02/04/14	174.81	$174.81	$186.88	$-12.07		$0.00	$0.00	$0.00
04/15/16	02/04/14	258.74	$258.74	$276.61	$-17.87		$0.00	$0.00	$0.00
FHLMC GOLD POOL J15724 4.0% 6/01/26		**3128PVLD0**							
05/15/15	10/23/13	687.46	$687.46	$734.72	$-47.26		$0.00	$0.00	$0.00
06/15/15	10/23/13	380.19	$380.19	$406.33	$-26.14		$0.00	$0.00	$0.00
07/15/15	10/23/13	836.15	$836.15	$893.64	$-57 49		$0.00	$0.00	$0.00
08/15/15	10/23/13	1128.07	$1,128.07	$1,205.62	$-77.55		$0.00	$0.00	$0.00
09/15/15	10/23/13	1301.19	$1,301.19	$1,390.65	$-89 46		$0.00	$0.00	$0.00
10/15/15	10/23/13	355 16	$355.16	$379.58	$-24.42		$0.00	$0.00	$0.00
11/15/15	10/23/13	664.77	$664.77	$710.47	$-45.70		$0.00	$0.00	$0.00
12/15/15	10/23/13	358.35	$358.35	$382.99	$-24 64		$0.00	$0.00	$0.00
01/15/16	10/23/13	354.91	$354.91	$379.31	$-24.40		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION CUSIP

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FHLMC GOLD POOL J15724 4.0% 6/01/26		**3128PVLD0**							
02/15/16	10/23/13	785.56	$785.56	$839.57	$-54.01		$0.00	$0.00	$0.00
03/15/16	10/23/13	678.23	$678.23	$724.86	$-46.63		$0.00	$0 00	$0.00
04/15/16	10/23/13	1237.29	$1,237.29	$1,322.35	$-85.06		$0.00	$0.00	$0.00
F H L M C GOLD C09055 4.0% 12/01/43		**31292SBY5**							
07/15/15	06/23/14	88.93	$88.93	$94.22	$-5.29		$0.00	$0.00	$0.00
08/15/15	06/23/14	63.02	$63.02	$66.77	$-3.75		$0.00	$0.00	$0.00
08/26/15	06/23/14	3550.84	$3,773.32	$3,762.23	$11.09		$0.00	$0.00	$0 00
FHLMC GOLD POOL A90393 5.0% 12/01/39		**312938NJ8**							
11/15/15	10/29/14	497.81	$497.81	$550.70	$-52.89		$0.00	$0.00	$0.00
12/15/15	10/29/14	66.17	$66.17	$73.20	$-7.03		$0.00	$0.00	$0.00
01/15/16	10/29/14	117.56	$117.56	$130.05	$-12.49		$0.00	$0.00	$0.00
02/15/16	10/29/14	513.52	$513.52	$568.08	$-54.56		$0.00	$0.00	$0.00
03/15/16	10/29/14	401.04	$401.04	$443.65	$-42.61		$0.00	$0.00	$0.00
04/15/16	10/29/14	45.74	$45.74	$50.60	$-4.86		$0.00	$0.00	$0.00
FHLMC GOLD A92821 5.0% 7/01/40		**312941D20**							
05/15/15	01/08/13	78.37	$78.37	$84.64	$-6.27		$0.00	$0.00	$0.00
05/15/15	01/17/13	600.85	$600.85	$651.17	$-50.32		$0.00	$0.00	$0.00
06/15/15	01/08/13	91.52	$91.52	$98.84	$-7.32		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FHLMC GOLD A92821	5.0% 7/01/40	312941D20							
06/15/15	01/17/13	701.66	$701.66	$760.42	$-58.76		$0.00	$0.00	$0.00
07/15/15	01/08/13	94.01	$94.01	$101.53	$-7.52		$0.00	$0.00	$0.00
07/15/15	01/17/13	720.71	$720.71	$781.07	$-60.36		$0.00	$0.00	$0.00
08/15/15	01/08/13	159.79	$159.79	$172.57	$-12.78		$0.00	$0.00	$0.00
08/15/15	01/17/13	1225.04	$1,225.04	$1,327.64	$-102.60		$0.00	$0.00	$0.00
09/15/15	01/08/13	127.8	$127.80	$138.02	$-10.22		$0.00	$0.00	$0.00
09/15/15	01/17/13	979.77	$979.77	$1,061.83	$-82.06		$0.00	$0.00	$0.00
10/15/15	01/08/13	117.48	$117.48	$126.88	$-9.40		$0.00	$0.00	$0.00
10/15/15	01/17/13	900.68	$900.68	$976.11	$-75.43		$0.00	$0.00	$0.00
11/15/15	01/08/13	109.35	$109.35	$118.10	$-8.75		$0.00	$0.00	$0.00
11/15/15	01/17/13	838.33	$838.33	$908.54	$-70.21		$0.00	$0.00	$0.00
12/15/15	01/17/13	424.33	$424.33	$459.87	$-35.54		$0.00	$0.00	$0.00
12/15/15	01/08/13	55.35	$55.35	$59.78	$-4.43		$0.00	$0.00	$0.00
01/15/16	01/08/13	91.23	$91.23	$98.53	$-7.30		$0.00	$0.00	$0.00
01/15/16	01/17/13	699.46	$699.46	$758.04	$-58.58		$0.00	$0.00	$0.00
02/15/16	01/17/13	286.43	$286.43	$310.42	$-23.99		$0.00	$0.00	$0.00
02/15/16	01/08/13	37.36	$37.36	$40 35	$-2.99		$0.00	$0.00	$0.00
03/15/16	01/08/13	58.68	$58.68	$63.37	$-4.69		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION CUSIP

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FHLMC GOLD A92821	**5.0% 7/01/40**	**312941D20**							
03/15/16	01/17/13	449.88	$449.88	$487.56	$-37.68		$0.00	$0.00	$0.00
04/15/16	01/17/13	715 79	$715.79	$775.74	$-59.95		$0.00	$0.00	$0.00
04/15/16	01/08/13	93.36	$93.36	$100.83	$-7.47		$0.00	$0.00	$0.00
FHLMC GOLD A95828	**4.5% 12/01/40**	**312944PM7**							
05/15/15	09/26/13	2479.5	$2,479.50	$2,649.19	$-169.69		$0.00	$0.00	$0.00
06/15/15	09/26/13	2939.75	$2,939.75	$3,140.94	$-201.19		$0 00	$0.00	$0 00
07/15/15	09/26/13	1734.18	$1,734.18	$1,852.86	$-118.68		$0.00	$0.00	$0.00
08/15/15	09/26/13	3080.92	$3,080.92	$3,291.77	$-210.85		$0.00	$0.00	$0.00
09/15/15	09/26/13	1520.96	$1,520.96	$1,625.05	$-104.09		$0.00	$0.00	$0.00
10/15/15	09/26/13	1967.41	$1,967.41	$2,102.05	$-134.64		$0.00	$0.00	$0.00
11/15/15	09/26/13	2502.07	$2,502.07	$2,673.31	$-171.24		$0.00	$0.00	$0.00
12/15/15	09/26/13	1882.76	$1,882.76	$2,011.61	$-128.85		$0.00	$0.00	$0.00
01/15/16	09/26/13	2293.96	$2,293.96	$2,450.95	$-156.99		$0.00	$0.00	$0.00
02/15/16	09/26/13	1878.27	$1,878.27	$2,006.81	$-128.54		$0.00	$0.00	$0.00
03/15/16	09/26/13	1922.05	$1,922.05	$2,053.59	$-131.54		$0.00	$0.00	$0.00
04/15/16	09/26/13	1736.74	$1,736.74	$1,855.59	$-118.85		$0.00	$0.00	$0.00
FHLMC GOLD G30719	**4.0% 3/01/34**	**3132J4AV1**							
03/15/16	03/13/15	313.01	$313.01	$338.34	$-25.33		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION CUSIP

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FHLMC GOLD G30719	**4.0% 3/01/34**	**3132J4AV1**							
04/15/16	03/13/15	314.53	$314.53	$339.99	$-25.46		$0.00	$0.00	$0.00
04/21/16	03/13/15	32236.89	$34,735.25	$34,846.06	$-110.81		$0.00	$0.00	$0.00
FHLMC GOLD Q19384	**3.5% 6/01/43**	**3132JA2S3**							
05/15/15	07/08/13	1138.37	$1,138.37	$1,135.88	$2.49		$0.00	$0.00	$0.00
06/15/15	07/08/13	202.11	$202.11	$201.67	$0.44		$0.00	$0.00	$0.00
07/15/15	07/08/13	1337.13	$1,337.13	$1,334.20	$2.93		$0.00	$0.00	$0.00
08/15/15	07/08/13	3637.91	$3,637.91	$3,629.95	$7.96		$0.00	$0.00	$0.00
09/15/15	07/08/13	2110.14	$2,110.14	$2,105.52	$4.62		$0.00	$0.00	$0.00
10/09/15	07/08/13	92847.33	$96,416.15	$92,644.22	$3,771.93		$0.00	$0.00	$0.00
10/15/15	07/08/13	3530.19	$3,530.19	$3,522.47	$7.72		$0.00	$0.00	$0.00
11/15/15	07/08/13	676.81	$676.81	$675.33	$1.48		$0.00	$0.00	$0.00
FHLMC GOLD Q25830	**4.0% 4/01/44**	**3132M6EB2**							
05/15/15	04/11/14	38.96	$38.96	$40.92	$-1.96		$0.00	$0.00	$0.00
06/15/15	04/11/14	489.11	$489.11	$513.72	$-24.61		$0.00	$0.00	$0.00
07/15/15	04/11/14	442.22	$442.22	$464.47	$-22.25		$0.00	$0.00	$0.00
08/15/15	04/11/14	449.26	$449.26	$471.86	$-22.60		$0.00	$0.00	$0.00
09/15/15	04/11/14	913.48	$913.48	$959.44	$-45.96		$0.00	$0.00	$0.00
10/15/15	04/11/14	40.28	$40.28	$42.31	$-2.03		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FHLMC GOLD Q25830	**4.0% 4/01/44**	**3132M6EB2**							
11/15/15	04/11/14	926.93	$926.93	$973.57	$-46.64		$0.00	$0.00	$0.00
12/15/15	04/11/14	439.3	$439.30	$461.40	$-22.10		$0.00	$0.00	$0.00
01/15/16	04/11/14	34 78	$34.78	$36.53	$-1.75		$0 00	$0.00	$0.00
02/15/16	04/11/14	34.57	$34.57	$36.31	$-1.74		$0.00	$0.00	$0.00
03/15/16	04/11/14	35.84	$35.84	$37.64	$-1.80		$0.00	$0.00	$0.00
04/15/16	04/11/14	449.26	$449.26	$471.86	$-22.60		$0.00	$0.00	$0.00
FNMA REMIC TRUST 2014- 3.0% 3/25/40		**3136AJK47**							
05/25/15	04/11/14	579.46	$579.46	$590.87	$-11.41		$0.00	$0.00	$0.00
06/25/15	04/11/14	571.59	$571.59	$582.84	$-11.25		$0.00	$0.00	$0.00
07/25/15	04/11/14	857.77	$857.77	$874.66	$-16.89		$0.00	$0.00	$0.00
08/25/15	04/11/14	669.08	$669.08	$682.25	$-13.17		$0 00	$0.00	$0.00
09/25/15	04/11/14	907.14	$907.14	$925.00	$-17.86		$0.00	$0.00	$0.00
10/25/15	04/11/14	488.15	$488.15	$497 76	$-9.61		$0.00	$0.00	$0 00
11/25/15	04/11/14	738.41	$738.41	$752.95	$-14.54		$0.00	$0.00	$0.00
12/25/15	04/11/14	434.48	$434.48	$443.03	$-8.55		$0.00	$0.00	$0.00
01/25/16	04/11/14	593.41	$593.41	$605.09	$-11.68		$0.00	$0.00	$0.00
02/25/16	04/11/14	402.23	$402.23	$410.15	$-7.92		$0.00	$0.00	$0.00
03/25/16	04/11/14	381.36	$381.36	$388.87	$-7.51		$0.00	$0.00	$0.00

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Long Term Transactions

DESCRIPTION CUSIP

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FNMA REMIC TRUST 2014- 3.0% 3/25/40		3136AJK47							
04/25/16	04/11/14	483.81	$483.81	$493.34	$-9.53		$0.00	$0.00	$0.00
FEDERAL NAT'L MTGE ASSN POOL 255364		31371LTV1							
05/25/15	04/09/13	65.37	$65.37	$71.91	$-6.54		$0.00	$0.00	$0.00
06/25/15	04/09/13	92.9	$92.90	$102.19	$-9.29		$0.00	$0.00	$0.00
07/25/15	04/09/13	106.77	$106.77	$117.45	$-10.68		$0.00	$0.00	$0.00
08/25/15	04/09/13	68.75	$68.75	$75.62	$-6.87		$0.00	$0.00	$0.00
09/25/15	04/09/13	94.71	$94.71	$104.18	$-9.47		$0.00	$0.00	$0.00
10/25/15	04/09/13	91.19	$91.19	$100.31	$-9.12		$0.00	$0.00	$0.00
11/25/15	04/09/13	47.47	$47.47	$52.22	$-4.75		$0.00	$0.00	$0.00
11/25/15	10/29/14	39.37	$39.37	$44.73	$-5.36		$0.00	$0.00	$0.00
12/25/15	04/09/13	61.82	$61.82	$68.00	$-6.18		$0.00	$0.00	$0.00
12/25/15	10/29/14	51.26	$51.26	$58.24	$-6.98		$0.00	$0.00	$0.00
01/25/16	04/09/13	88.2	$88.20	$97.02	$-8.82		$0.00	$0.00	$0.00
01/25/16	10/29/14	73.14	$73.14	$83.11	$-9.97		$0.00	$0.00	$0.00
02/24/16	04/09/13	2716.63	$3,108.84	$2,988.28	$120.56		$0.00	$0.00	$0.00
02/24/16	10/29/14	2252.86	$2,578.12	$2,559.81	$18.31		$0.00	$0.00	$0.00
02/25/16	10/29/14	17.68	$17.68	$20.09	$-2.41		$0.00	$0.00	$0.00
02/25/16	04/09/13	21.32	$21.32	$23.45	$-2.13		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION **CUSIP**

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FEDERAL NAT'L MTGE ASSN POOL 555528		**31385XD95**							
05/25/15	10/07/13	55.23	$55.23	$60.49	$-5.26		$0.00	$0.00	$0.00
06/25/15	10/07/13	43.33	$43.33	$47 45	$-4.12		$0.00	$0.00	$0.00
07/25/15	10/07/13	52.78	$52.78	$57.80	$-5.02		$0.00	$0.00	$0.00
08/25/15	10/07/13	48.07	$48.07	$52.64	$-4.57		$0.00	$0.00	$0.00
09/25/15	10/07/13	42.81	$42.81	$46.88	$-4.07		$0.00	$0.00	$0.00
10/25/15	10/07/13	48.61	$48.61	$53.24	$-4.63		$0.00	$0.00	$0.00
11/25/15	10/07/13	50.54	$50.54	$55.35	$-4.81		$0.00	$0.00	$0.00
12/25/15	10/07/13	39.49	$39.49	$43.25	$-3.76		$0.00	$0.00	$0.00
01/25/16	10/07/13	38.69	$38.69	$42.37	$-3.68		$0.00	$0.00	$0.00
02/24/16	10/07/13	2115.7	$2,429.09	$2,317.02	$112.07		$0.00	$0.00	$0.00
02/25/16	10/07/13	33.92	$33.92	$37.15	$-3.23		$0.00	$0.00	$0.00
FNMA POOL 0AH4794		**3138A6KG4**							
05/25/15	10/09/12	1168.7	$1,168.70	$1,284.11	$-115.41		$0.00	$0.00	$0.00
05/25/15	01/17/13	103.42	$103.42	$112.47	$-9.05		$0.00	$0.00	$0.00
06/25/15	01/17/13	9.44	$9.44	$10.27	$-0.83		$0.00	$0.00	$0.00
06/25/15	10/09/12	106.63	$106.63	$117.16	$-10.53		$0.00	$0.00	$0.00
07/25/15	10/09/12	2154.92	$2,154.92	$2,367.72	$-212.80		$0.00	$0.00	$0.00
07/25/15	01/17/13	190.7	$190.70	$207.39	$-16.69		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION CUSIP

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FNMA POOL 0AH4794		3138A6KG4							
08/25/15	01/17/13	114.95	$114.95	$125.01	$-10.06		$0.00	$0.00	$0.00
08/25/15	10/09/12	1298.92	$1,298.92	$1,427.19	$-128.27		$0.00	$0.00	$0.00
09/25/15	10/09/12	601.13	$601.13	$660.49	$-59.36		$0.00	$0.00	$0.00
09/25/15	01/17/13	53.2	$53.20	$57.85	$-4.65		$0.00	$0.00	$0.00
10/25/15	01/17/13	49.52	$49.52	$53.85	$-4.33		$0.00	$0.00	$0.00
10/25/15	10/09/12	559.54	$559.54	$614.79	$-55.25		$0.00	$0.00	$0.00
11/25/15	01/17/13	8.94	$8.94	$9.72	$-0.78		$0.00	$0.00	$0.00
11/25/15	10/09/12	100.97	$100.97	$110.94	$-9.97		$0.00	$0.00	$0.00
12/25/15	10/09/12	534.1	$534.10	$586.84	$-52.74		$0.00	$0.00	$0.00
12/25/15	01/17/13	47.27	$47.27	$51.41	$-4.14		$0.00	$0.00	$0.00
01/25/16	10/09/12	3583.44	$3,583.44	$3,937.30	$-353.86		$0.00	$0.00	$0.00
01/25/16	01/17/13	317.12	$317.12	$344.87	$-27.75		$0.00	$0.00	$0.00
02/25/16	01/17/13	8.49	$8.49	$9.23	$-0.74		$0.00	$0.00	$0.00
02/25/16	10/09/12	95.94	$95.94	$105.41	$-9.47		$0.00	$0.00	$0.00
03/25/16	10/09/12	742.4	$742.40	$815.71	$-73.31		$0.00	$0.00	$0.00
03/25/16	01/17/13	65.7	$65.70	$71.45	$-5.75		$0.00	$0.00	$0.00
04/25/16	01/17/13	190.33	$190.33	$206.98	$-16.65		$0.00	$0.00	$0.00
04/25/16	10/09/12	2150.77	$2,150.77	$2,363.16	$-212.39		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FNMA POOL 0AJ1758		3138AS5U2							
05/25/15	03/14/13	71.73	$71.73	$76.03	$-4.30		$0.00	$0.00	$0.00
05/25/15	12/11/12	358.67	$358.67	$381.09	$-22.42		$0.00	$0.00	$0.00
06/25/15	03/14/13	116.92	$116.92	$123.93	$-7.01		$0.00	$0.00	$0.00
06/25/15	12/11/12	584.62	$584.62	$621.16	$-36.54		$0.00	$0.00	$0.00
07/25/15	12/11/12	1079.78	$1,079.78	$1,147 27	$-67.49		$0.00	$0.00	$0.00
07/25/15	03/14/13	215.96	$215.96	$228.92	$-12.96		$0.00	$0.00	$0.00
08/25/15	12/11/12	375.86	$375.86	$399.35	$-23.49		$0.00	$0.00	$0.00
08/25/15	03/14/13	75.17	$75.17	$79.68	$-4.51		$0.00	$0.00	$0.00
09/25/15	12/11/12	617.27	$617.27	$655.85	$-38.58		$0.00	$0.00	$0.00
09/25/15	03/14/13	123.45	$123 45	$130.86	$-7.41		$0.00	$0.00	$0.00
10/25/15	12/11/12	264.48	$264.48	$281.01	$-16.53		$0.00	$0.00	$0.00
10/25/15	03/14/13	52.9	$52.90	$56.07	$-3.17		$0.00	$0.00	$0.00
11/25/15	12/11/12	872.48	$872.48	$927.01	$-54.53		$0.00	$0.00	$0.00
11/25/15	03/14/13	174.5	$174.50	$184.97	$-10.47		$0.00	$0.00	$0.00
12/25/15	12/11/12	449.96	$449 96	$478.08	$-28.12		$0.00	$0.00	$0.00
12/25/15	03/14/13	89.99	$89 99	$95.39	$-5.40		$0.00	$0.00	$0.00
01/25/16	03/14/13	64.46	$64.46	$68.33	$-3.87		$0.00	$0.00	$0.00
01/25/16	12/11/12	322.31	$322.31	$342.45	$-20.14		$0 00	$0.00	$0.00

Long Term Transactions

DESCRIPTION			CUSIP							
	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FNMA POOL 0AJ1758			3138AS5U2							
	02/25/16	12/11/12	470.06	$470.06	$499.44	$-29.38		$0.00	$0.00	$0.00
	02/25/16	03/14/13	94.01	$94.01	$99.65	$-5.64		$0.00	$0.00	$0.00
	03/25/16	03/14/13	109.98	$109.98	$116.58	$-6.60		$0.00	$0.00	$0.00
	03/25/16	12/11/12	549.9	$549.90	$584.27	$-34.37		$0.00	$0.00	$0.00
	04/25/16	12/11/12	402.56	$402.56	$427.72	$-25.16		$0.00	$0.00	$0.00
	04/25/16	03/14/13	80.51	$80.51	$85.34	$-4.83		$0.00	$0.00	$0.00
FNMA POOL 0AK2439			3138E6V94							
	05/25/15	01/16/13	1112.57	$1,112.57	$1,181.41	$-68.84		$0.00	$0.00	$0.00
	06/25/15	01/16/13	951.79	$951.79	$1,010.68	$-58.89		$0.00	$0.00	$0.00
	07/25/15	01/16/13	680.19	$680.19	$722.28	$-42.09		$0.00	$0.00	$0.00
	08/25/15	01/16/13	288.47	$288.47	$306.32	$-17.85		$0.00	$0.00	$0.00
	09/25/15	01/16/13	682.41	$682.41	$724.63	$-42.22		$0.00	$0.00	$0.00
	10/25/15	01/16/13	283.62	$283.62	$301.17	$-17.55		$0.00	$0.00	$0.00
	11/25/15	01/16/13	1980.3	$1,980.30	$2,102.83	$-122.53		$0.00	$0.00	$0.00
	12/25/15	01/16/13	280.35	$280.35	$297.70	$-17.35		$0.00	$0.00	$0.00
	01/25/16	01/16/13	598.31	$598.31	$635.33	$-37.02		$0.00	$0.00	$0.00
	02/25/16	01/16/13	269.47	$269.47	$286.14	$-16.67		$0.00	$0.00	$0.00
	03/25/16	01/16/13	242.28	$242.28	$257.27	$-14.99		$0.00	$0.00	$0.00

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Long Term Transactions

DESCRIPTION | CUSIP

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FNMA POOL 0AK2439		**3138E6V94**							
04/25/16	01/16/13	813 24	$813.24	$863 56	$-50.32		$0.00	$0.00	$0.00
FNMA POOL 0AK2717		**3138E7AT1**							
05/25/15	01/17/13	852.36	$852.36	$907.23	$-54.87		$0.00	$0.00	$0.00
06/25/15	01/17/13	1071.77	$1,071.77	$1,140.76	$-68.99		$0.00	$0.00	$0.00
07/25/15	01/17/13	137.65	$137.65	$146.51	$-8.86		$0.00	$0.00	$0.00
08/25/15	01/17/13	2365.25	$2,365.25	$2,517.51	$-152.26		$0.00	$0.00	$0.00
09/25/15	01/17/13	110.57	$110.57	$117.69	$-7.12		$0.00	$0.00	$0.00
10/25/15	01/17/13	109.76	$109.76	$116.83	$-7.07		$0.00	$0.00	$0.00
11/25/15	01/17/13	98.69	$98.69	$105.04	$-6.35		$0.00	$0.00	$0.00
12/25/15	01/17/13	107.52	$107.52	$114.44	$-6.92		$0.00	$0.00	$0.00
01/25/16	01/17/13	149.46	$149.46	$159.08	$-9.62		$0.00	$0.00	$0.00
02/25/16	01/17/13	1252.46	$1,252 46	$1,333.09	$-80.63		$0.00	$0.00	$0.00
03/03/16	01/17/13	46766 45	$49,879.34	$49,777.03	$102 31		$0.00	$0.00	$0.00
03/25/16	01/17/13	113.81	$113.81	$121.14	$-7.33		$0.00	$0.00	$0.00
FNMA POOL 0AL0201		**3138EGGK4**							
05/25/15	12/28/12	106.23	$106.23	$115.13	$-8.90		$0.00	$0.00	$0.00
05/25/15	01/16/13	177.05	$177.05	$191.88	$-14.83		$0.00	$0.00	$0.00
05/25/15	12/28/12	106.23	$106.23	$115.13	$-8.90		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION **CUSIP**

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FNMA POOL 0AL0201		**3138EGGK4**							
06/25/15	12/28/12	99.66	$99.66	$108.01	$-8.35		$0.00	$0.00	$0.00
06/25/15	01/16/13	166.1	$166.10	$180.01	$-13.91		$0.00	$0.00	$0.00
06/25/15	12/28/12	99.66	$99.66	$108.01	$-8.35		$0.00	$0.00	$0.00
07/25/15	01/16/13	164.13	$164.13	$177.88	$-13.75		$0.00	$0.00	$0.00
07/25/15	12/28/12	98.48	$98.48	$106.73	$-8.25		$0.00	$0.00	$0.00
07/25/15	12/28/12	98.48	$98.48	$106.73	$-8.25		$0.00	$0.00	$0.00
08/25/15	01/16/13	171.39	$171.39	$185.74	$-14.35		$0.00	$0.00	$0.00
08/25/15	12/28/12	102.84	$102.84	$111.45	$-8.61		$0.00	$0.00	$0.00
08/25/15	12/28/12	102.84	$102.84	$111.45	$-8.61		$0.00	$0.00	$0.00
09/25/15	01/16/13	169.82	$169.82	$184.04	$-14.22		$0.00	$0.00	$0.00
09/25/15	12/28/12	101.89	$101.89	$110.42	$-8.53		$0.00	$0.00	$0.00
09/25/15	12/28/12	101.89	$101.89	$110.42	$-8.53		$0.00	$0.00	$0.00
10/25/15	12/28/12	93.47	$93.47	$101.30	$-7.83		$0.00	$0.00	$0.00
10/25/15	12/28/12	93.47	$93.47	$101.30	$-7.83		$0.00	$0.00	$0.00
10/25/15	09/26/14	31.16	$31.16	$33.03	$-1.87		$0.00	$0.00	$0.00
10/25/15	01/16/13	155.78	$155.78	$168.83	$-13.05		$0.00	$0.00	$0.00
11/25/15	01/16/13	226.77	$226.77	$245.76	$-18.99		$0.00	$0.00	$0.00
11/25/15	12/28/12	136.06	$136.06	$147.45	$-11.39		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION CUSIP

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FNMA POOL 0AL0201		3138EGGK4							
11/25/15	12/28/12	136.06	$136.06	$147.45	$-11.39		$0.00	$0.00	$0.00
11/25/15	09/26/14	45.35	$45.35	$48.07	$-2.72		$0.00	$0.00	$0.00
12/25/15	12/28/12	82.59	$82.59	$89.51	$-6.92		$0.00	$0 00	$0.00
12/25/15	01/16/13	137.65	$137.65	$149.18	$-11.53		$0.00	$0.00	$0.00
12/25/15	09/26/14	27.53	$27.53	$29.18	$-1.65		$0.00	$0.00	$0.00
12/25/15	12/28/12	82.59	$82.59	$89.51	$-6.92		$0 00	$0.00	$0.00
01/25/16	12/28/12	78 85	$78.85	$85.45	$-6.60		$0.00	$0.00	$0.00
01/25/16	12/28/12	78.85	$78.85	$85.45	$-6.60		$0.00	$0.00	$0.00
01/25/16	01/16/13	131.41	$131.41	$142.42	$-11.01		$0.00	$0.00	$0.00
01/25/16	09/26/14	26.28	$26.28	$27.86	$-1.58		$0.00	$0.00	$0.00
02/24/16	01/16/13	3532.57	$3,704.78	$3,828.40	$-123.62		$0.00	$0.00	$0.00
02/24/16	12/28/12	2119.52	$2,222.85	$2,297.02	$-74.17		$0.00	$0.00	$0.00
02/24/16	12/28/12	2119.59	$2,222.92	$2,297.01	$-74.09		$0.00	$0.00	$0.00
02/24/16	09/26/14	706.51	$740.95	$748.89	$-7.94		$0.00	$0.00	$0.00
02/25/16	12/28/12	88.32	$88.32	$95.72	$-7.40		$0.00	$0.00	$0.00
02/25/16	01/16/13	147.2	$147.20	$159.53	$-12.33		$0.00	$0.00	$0.00
02/25/16	09/26/14	29.44	$29 44	$31.21	$-1.77		$0.00	$0.00	$0.00
02/25/16	12/28/12	88.32	$88.32	$95.72	$-7.40		$0 00	$0.00	$0.00

Long Term Transactions

DESCRIPTION **CUSIP**

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FNMA POOL AL4191	**3.5% 1/01/28**	**3138ELUR2**							
05/25/15	01/06/14	355.15	$355.15	$372.88	$-17.73		$0.00	$0.00	$0.00
05/25/15	01/30/14	253.68	$253.68	$267.55	$-13.87		$0.00	$0.00	$0.00
06/25/15	01/30/14	349.69	$349.69	$368.81	$-19.12		$0.00	$0.00	$0.00
06/25/15	01/06/14	489.57	$489.57	$514.01	$-24.44		$0.00	$0.00	$0.00
07/25/15	01/30/14	280.18	$280 18	$295.50	$-15.32		$0.00	$0.00	$0.00
07/25/15	01/06/14	392.25	$392.25	$411.83	$-19.58		$0.00	$0.00	$0.00
08/25/15	01/06/14	583.18	$583.18	$612.29	$-29.11		$0.00	$0.00	$0.00
08/25/15	01/30/14	416.56	$416.56	$439.34	$-22.78		$0.00	$0.00	$0.00
09/25/15	01/06/14	487.34	$487.34	$511.67	$-24.33		$0.00	$0.00	$0.00
09/25/15	01/30/14	348.1	$348.10	$367.14	$-19.04		$0.00	$0.00	$0.00
10/25/15	01/30/14	307.63	$307.63	$324.45	$-16.82		$0.00	$0.00	$0.00
10/25/15	01/06/14	430.69	$430.69	$452.19	$-21.50		$0.00	$0.00	$0.00
11/25/15	01/06/14	342.2	$342.20	$359.28	$-17.08		$0.00	$0.00	$0.00
11/25/15	01/30/14	244.43	$244.43	$257.80	$-13.37		$0.00	$0.00	$0.00
12/25/15	01/30/14	265.52	$265.52	$280.04	$-14.52		$0.00	$0.00	$0.00
12/25/15	01/06/14	371.73	$371.73	$390.29	$-18.56		$0.00	$0.00	$0.00
01/25/16	01/06/14	369.83	$369.83	$388.29	$-18.46		$0.00	$0.00	$0.00
01/25/16	01/30/14	264.16	$264.16	$278.61	$-14.45		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION CUSIP

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FNMA POOL AL4191	**3.5% 1/01/28**	**3138ELUR2**							
02/25/16	01/06/14	471.47	$471.47	$495.01	$-23.54		$0.00	$0.00	$0.00
02/25/16	01/30/14	336.76	$336.76	$355.18	$-18.42		$0.00	$0 00	$0.00
03/25/16	01/30/14	252.25	$252.25	$266.04	$-13.79		$0.00	$0.00	$0.00
03/25/16	01/06/14	353.16	$353.16	$370.79	$-17.63		$0.00	$0.00	$0.00
04/25/16	01/30/14	232.49	$232.49	$245.20	$-12.71		$0.00	$0.00	$0.00
04/25/16	01/06/14	325.48	$325.48	$341.73	$-16.25		$0.00	$0.00	$0.00
FNMA AL6223 POOL	**4.5% 8/01/44**	**3138EN4H9**							
02/25/16	02/24/15	1582.99	$1,582.99	$1,724.96	$-141.97		$0.00	$0.00	$0.00
03/25/16	02/24/15	1613.11	$1,613.11	$1,757.79	$-144.68		$0.00	$0.00	$0.00
04/25/16	02/24/15	1480.19	$1,480.19	$1,612.94	$-132.75		$0.00	$0.00	$0.00
FNMA POOL # AL5630	**4.0% 8/01/44**	**3138ENHG7**							
09/25/15	09/03/14	349.43	$349.43	$371.11	$-21.68		$0.00	$0.00	$0.00
10/25/15	09/03/14	207.17	$207.17	$220.02	$-12.85		$0.00	$0.00	$0.00
11/25/15	09/03/14	208.41	$208.41	$221.34	$-12.93		$0.00	$0.00	$0.00
12/25/15	09/03/14	520.22	$520.22	$552.49	$-32.27		$0.00	$0.00	$0.00
01/25/16	09/03/14	207.66	$207.66	$220.54	$-12.88		$0.00	$0.00	$0.00
02/25/16	09/03/14	484.09	$484.09	$514.12	$-30.03		$0.00	$0.00	$0.00
03/25/16	09/03/14	53.33	$53 33	$56.64	$-3.31		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FNMA POOL # AL5630	4.0% 8/01/44	3138ENHG7							
04/25/16	09/03/14	492.09	$492.09	$522.62	$-30.53		$0.00	$0.00	$0.00
FNMA POOL # AL6150	4.0% 8/01/27	3138ENZQ5							
12/25/15	12/16/14	2689.07	$2,689.07	$2,884.03	$-194.96		$0.00	$0.00	$0.00
01/25/16	12/16/14	2106.68	$2,106.68	$2,259.41	$-152.73		$0.00	$0.00	$0.00
02/25/16	12/16/14	1747.21	$1,747.21	$1,873.88	$-126.67		$0.00	$0.00	$0.00
03/10/16	12/16/14	66671.13	$70,796.41	$71,504.79	$-708.38		$0.00	$0.00	$0.00
03/25/16	12/16/14	2568.41	$2,568.41	$2,754.62	$-186.21		$0.00	$0.00	$0.00
04/25/16	12/16/14	755.86	$755.86	$810.66	$-54.80		$0.00	$0.00	$0.00
FNMA AQ5362	3.5% 12/01/42	3138ML5Y6							
05/25/15	03/07/13	847.08	$847.08	$894.20	$-47.12		$0.00	$0.00	$0.00
06/25/15	03/07/13	922.43	$922.43	$973.74	$-51.31		$0.00	$0.00	$0.00
07/08/15	03/07/13	78078.85	$80,677.41	$82,422.00	$-1,744.59		$0.00	$0.00	$0.00
07/25/15	03/07/13	142.06	$142.06	$149.96	$-7.90		$0.00	$0.00	$0.00
FNMA AR8596	3.5% 6/01/43	3138W6RS7							
05/25/15	09/09/13	83.06	$83.06	$82.49	$0.57		$0.00	$0.00	$0.00
06/25/15	09/09/13	143.01	$143.01	$142.03	$0.98		$0.00	$0.00	$0.00
06/25/15	06/23/14	429.04	$429.04	$440.17	$-11.13		$0.00	$0.00	$0.00
07/25/15	09/09/13	128.29	$128.29	$127.41	$0.88		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FNMA AR8596	3.5% 6/01/43	3138W6RS7							
07/25/15	06/23/14	384.87	$384.87	$394.85	$-9.98		$0.00	$0.00	$0.00
08/25/15	09/09/13	214.66	$214.66	$213.18	$1.48		$0.00	$0.00	$0.00
08/25/15	06/23/14	643.97	$643.97	$660.67	$-16 70		$0.00	$0.00	$0.00
09/25/15	06/23/14	42.24	$42.24	$43 34	$-1.10		$0.00	$0.00	$0.00
09/25/15	09/09/13	14.08	$14.08	$13.98	$0.10		$0.00	$0.00	$0.00
10/25/15	06/23/14	204.8	$204.80	$210.11	$-5.31		$0.00	$0.00	$0.00
10/25/15	09/09/13	68.27	$68.27	$67.80	$0.47		$0.00	$0.00	$0.00
11/25/15	09/09/13	59.34	$59.34	$58.93	$0.41		$0 00	$0.00	$0.00
11/25/15	06/23/14	178.01	$178.01	$182.63	$-4.62		$0.00	$0.00	$0.00
12/25/15	06/23/14	41.55	$41.55	$42.63	$-1.08		$0.00	$0.00	$0.00
12/25/15	09/09/13	13.85	$13.85	$13.75	$0 10		$0.00	$0.00	$0.00
01/25/16	09/09/13	252.86	$252.86	$251.12	$1.74		$0.00	$0.00	$0.00
01/25/16	06/23/14	758.58	$758.58	$778.26	$-19.68		$0.00	$0.00	$0.00
02/25/16	09/09/13	13.8	$13.80	$13.71	$0.09		$0.00	$0.00	$0.00
02/25/16	06/23/14	41.41	$41.41	$42.48	$-1.07		$0.00	$0.00	$0.00
03/25/16	06/23/14	750.72	$750.72	$770.19	$-19 47		$0.00	$0.00	$0.00
03/25/16	09/09/13	250 24	$250.24	$248.52	$1.72		$0.00	$0.00	$0.00
04/01/16	06/23/14	20226.81	$21,216.03	$20,751 44	$464.59		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION CUSIP

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FNMA AR8596 3.5% 6/01/43		**3138W6RS7**							
04/01/16	09/09/13	6983.58	$7,325.12	$6,935.58	$389.54		$0.00	$0.00	$0.00
04/25/16	09/09/13	51.98	$51.98	$51.62	$0.36		$0.00	$0.00	$0.00
F N M A POOL AU1660 2.5% 7/01/28		**3138X0Z27**							
05/25/15	12/11/13	1739.35	$1,739.35	$1,736.09	$3.26		$0.00	$0.00	$0.00
05/25/15	12/20/13	52.71	$52.71	$52.27	$0.44		$0.00	$0.00	$0.00
05/25/15	04/08/14	368.95	$368.95	$371.77	$-2.82		$0.00	$0.00	$0.00
05/25/15	04/23/14	105.42	$105.42	$106.21	$-0.79		$0.00	$0.00	$0.00
06/25/15	04/08/14	302.42	$302.42	$304.74	$-2.32		$0.00	$0.00	$0.00
06/25/15	12/20/13	43.2	$43.20	$42.84	$0.36		$0.00	$0.00	$0.00
06/25/15	12/11/13	1425.67	$1,425.67	$1,423.00	$2.67		$0.00	$0.00	$0.00
06/25/15	04/23/14	86.4	$86.40	$87.05	$-0.65		$0.00	$0.00	$0.00
07/25/15	04/23/14	116.21	$116.21	$117.08	$-0.87		$0.00	$0.00	$0.00
07/25/15	04/08/14	406.74	$406.74	$409.85	$-3.11		$0.00	$0.00	$0.00
07/25/15	12/11/13	1917.47	$1,917 47	$1,913.87	$3.60		$0.00	$0.00	$0.00
07/25/15	12/20/13	58.11	$58.11	$57.62	$0.49		$0.00	$0.00	$0.00
08/25/15	12/11/13	1885.43	$1,885.43	$1,881.89	$3.54		$0.00	$0.00	$0.00
08/25/15	12/20/13	57.13	$57.13	$56.65	$0.48		$0.00	$0.00	$0.00
08/25/15	04/08/14	399.94	$399.94	$403.00	$-3.06		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION CUSIP

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
F N M A POOL AU1660	2.5% 7/01/28	3138X0Z27							
08/25/15	04/23/14	114.27	$114.27	$115.13	$-0.86		$0.00	$0.00	$0.00
09/25/15	12/11/13	1536.44	$1,536.44	$1,533.56	$2.88		$0.00	$0.00	$0.00
09/25/15	12/20/13	46.56	$46.56	$46.17	$0.39		$0.00	$0.00	$0.00
09/25/15	04/08/14	325.91	$325.91	$328.41	$-2.50		$0.00	$0.00	$0.00
09/25/15	04/23/14	93.12	$93.12	$93.82	$-0.70		$0.00	$0.00	$0.00
10/25/15	04/23/14	108.31	$108.31	$109.12	$-0.81		$0.00	$0.00	$0.00
10/25/15	04/08/14	379.08	$379.08	$381.98	$-2.90		$0.00	$0.00	$0.00
10/25/15	12/20/13	54.15	$54.15	$53.69	$0.46		$0.00	$0.00	$0.00
10/25/15	12/11/13	1787.11	$1,787.11	$1,783.76	$3.35		$0 00	$0.00	$0.00
11/09/15	04/08/14	27543.83	$27,991.42	$27,754 71	$236.71		$0.00	$0.00	$0.00
11/09/15	04/23/14	7818.14	$7,945.18	$7,876.79	$68.39		$0.00	$0.00	$0.00
11/09/15	12/11/13	18751.32	$19,056.03	$18,716.16	$339.87		$0.00	$0.00	$0.00
11/19/15	12/20/13	2290.27	$2,327.49	$2,270.94	$56.55		$0.00	$0.00	$0.00
11/19/15	12/11/13	108253.45	$110,012.57	$108,050.47	$1,962.10		$0.00	$0.00	$0.00
11/25/15	12/11/13	1994.85	$1,994.85	$1,991.11	$3.74		$0.00	$0.00	$0.00
11/25/15	12/20/13	70.73	$70.73	$70.13	$0.60		$0.00	$0.00	$0.00
FNMA POOL AU6278	5.0% 11/01/43	3138X56Q5							
05/25/15	01/06/14	12.14	$12.14	$13.31	$-1.17		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FNMA POOL AU6278	5.0% 11/01/43	3138X56Q5							
06/25/15	01/06/14	12.14	$12 14	$13.31	$-1.17		$0.00	$0 00	$0 00
07/25/15	01/06/14	12.18	$12.18	$13.35	$-1.17		$0.00	$0.00	$0 00
08/25/15	01/06/14	12.18	$12.18	$13.35	$-1.17		$0 00	$0.00	$0.00
09/25/15	01/06/14	442.26	$442.26	$484.76	$-42.50		$0.00	$0.00	$0.00
10/25/15	01/06/14	11.87	$11.87	$13.01	$-1.14		$0.00	$0.00	$0.00
11/25/15	01/06/14	11.75	$11.75	$12.88	$-1.13		$0.00	$0.00	$0.00
12/25/15	01/06/14	12.25	$12.25	$13.43	$-1 18		$0.00	$0.00	$0.00
01/25/16	01/06/14	11.79	$11.79	$12.92	$-1.13		$0.00	$0.00	$0.00
02/25/16	01/06/14	204.06	$204.06	$223.67	$-19.61		$0.00	$0.00	$0.00
03/25/16	01/06/14	11.62	$11.62	$12.74	$-1.12		$0.00	$0.00	$0.00
04/25/16	01/06/14	614.02	$614.02	$673.02	$-59.00		$0.00	$0.00	$0.00
FEDERAL NAT'L MTGE ASSN POOL	745418	31403DDX4							
05/25/15	11/13/12	3317.75	$3,317.75	$3,642.27	$-324.52		$0.00	$0.00	$0.00
05/25/15	04/01/13	184.83	$184.83	$203.31	$-18.48		$0.00	$0.00	$0.00
06/25/15	11/13/12	3074.62	$3,074.62	$3,375.36	$-300.74		$0.00	$0.00	$0.00
06/25/15	04/01/13	171.29	$171.29	$188.42	$-17.13		$0.00	$0.00	$0.00
07/25/15	04/01/13	177.15	$177.15	$194.86	$-17.71		$0.00	$0.00	$0.00
07/25/15	11/13/12	3179.75	$3,179.75	$3,490.77	$-311.02		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION CUSIP

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FEDERAL NAT'L MTGE ASSN POOL 745418		31403DDX4							
08/25/15	04/01/13	158 03	$158.03	$173.83	$-15.80		$0.00	$0.00	$0.00
08/25/15	11/13/12	2836.55	$2,836.55	$3,114.00	$-277.45		$0.00	$0.00	$0.00
09/25/15	04/01/13	144.67	$144 67	$159 14	$-14.47		$0 00	$0.00	$0.00
09/25/15	11/13/12	2596.84	$2,596.84	$2,850.84	$-254.00		$0.00	$0.00	$0.00
10/25/15	11/13/12	2856.56	$2,856.56	$3,135.97	$-279.41		$0.00	$0.00	$0.00
10/25/15	04/01/13	159.14	$159.14	$175.05	$-15.91		$0.00	$0.00	$0.00
11/25/15	11/13/12	2505.87	$2,505.87	$2,750.98	$-245.11		$0.00	$0.00	$0.00
11/25/15	04/01/13	139.6	$139.60	$153.56	$-13.96		$0.00	$0.00	$0.00
12/25/15	11/13/12	2252.01	$2,252.01	$2,472.28	$-220.27		$0.00	$0.00	$0.00
12/25/15	04/01/13	125.46	$125.46	$138.01	$-12.55		$0.00	$0.00	$0.00
01/25/16	04/01/13	147.87	$147.87	$162.66	$-14.79		$0.00	$0.00	$0.00
01/25/16	11/13/12	2654.21	$2,654.21	$2,913.83	$-259.62		$0.00	$0 00	$0.00
02/25/16	11/13/12	2219.41	$2,219.41	$2,436 50	$-217.09		$0.00	$0.00	$0.00
02/25/16	04/01/13	123.64	$123.64	$136.00	$-12.36		$0.00	$0.00	$0.00
03/25/16	04/01/13	136.67	$136.67	$150.34	$-13.67		$0.00	$0.00	$0.00
03/25/16	11/13/12	2453.28	$2,453.28	$2,693.24	$-239.96		$0.00	$0.00	$0.00
04/25/16	11/13/12	2299.86	$2,299.86	$2,524.82	$-224.96		$0.00	$0.00	$0 00
04/25/16	04/01/13	128.13	$128.13	$140.94	$-12.81		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FNMA POOL 962003	**5.5% 3/01/38**	**31414CGL6**							
10/25/15	09/26/14	232.55	$232.55	$257.69	$-25.14		$0.00	$0.00	$0.00
11/25/15	09/26/14	111.79	$111.79	$123.88	$-12.09		$0.00	$0.00	$0.00
12/25/15	09/26/14	7.53	$7.53	$8.34	$-0.81		$0.00	$0.00	$0.00
01/25/16	09/26/14	7.53	$7.53	$8.34	$-0 81		$0.00	$0.00	$0.00
02/25/16	09/26/14	7.58	$7.58	$8.40	$-0.82		$0.00	$0.00	$0.00
03/25/16	09/26/14	7.61	$7.61	$8.43	$-0.82		$0.00	$0.00	$0.00
04/25/16	09/26/14	100.97	$100.97	$111.89	$-10.92		$0.00	$0.00	$0.00
FNMA POOL 962301	**4.5% 3/01/23**	**31414CRW0**							
10/25/15	09/25/14	83.31	$83.31	$88.41	$-5.10		$0.00	$0.00	$0.00
11/25/15	09/25/14	42.15	$42.15	$44.73	$-2.58		$0.00	$0.00	$0.00
12/25/15	09/25/14	42.13	$42.13	$44.71	$-2.58		$0.00	$0.00	$0.00
01/25/16	09/25/14	42.47	$42.47	$45.07	$-2.60		$0.00	$0.00	$0.00
02/24/16	09/25/14	3422.46	$3,653.48	$3,632.09	$21.39		$0.00	$0.00	$0.00
02/25/16	09/25/14	44.73	$44.73	$47.47	$-2.74		$0.00	$0.00	$0.00
FNMA POOL AA7694	**4.5% 7/01/24**	**31416RRQ8**							
05/25/15	11/26/12	109.35	$109.35	$117.62	$-8.27		$0.00	$0.00	$0.00
06/25/15	11/26/12	25.46	$25.46	$27.39	$-1.93		$0.00	$0.00	$0.00
07/25/15	11/26/12	30.19	$30.19	$32.47	$-2.28		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION CUSIP

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FNMA POOL AA7694	4.5% 7/01/24	31416RRQ8							
08/25/15	11/26/12	177.44	$177.44	$190.86	$-13.42		$0.00	$0.00	$0.00
09/25/15	11/26/12	106.35	$106.35	$114.39	$-8.04		$0.00	$0.00	$0.00
10/25/15	11/26/12	23.72	$23.72	$25.51	$-1 79		$0.00	$0.00	$0.00
11/25/15	11/26/12	22.97	$22.97	$24.71	$-1.74		$0.00	$0.00	$0.00
12/25/15	11/26/12	27.46	$27.46	$29.54	$-2.08		$0.00	$0.00	$0.00
01/25/16	11/26/12	115.43	$115.43	$124.16	$-8.73		$0.00	$0.00	$0.00
02/24/16	11/26/12	1897.56	$2,007.26	$2,041.04	$-33.78		$0.00	$0.00	$0.00
02/25/16	11/26/12	64.37	$64.37	$69.24	$-4.87		$0.00	$0.00	$0.00
FNMA MA1860	4.5% 4/01/44	31418BB62							
06/25/15	06/23/14	51 14	$51.14	$55.42	$-4.28		$0.00	$0.00	$0.00
07/25/15	06/23/14	275.85	$275.85	$298.95	$-23.10		$0.00	$0.00	$0.00
08/25/15	06/23/14	59.39	$59.39	$64.36	$-4.97		$0.00	$0.00	$0.00
09/25/15	06/23/14	178.74	$178.74	$193.71	$-14.97		$0.00	$0.00	$0.00
10/25/15	06/23/14	102.05	$102.05	$110.60	$-8.55		$0.00	$0.00	$0.00
11/25/15	06/23/14	89.65	$89.65	$97.16	$-7 51		$0.00	$0.00	$0.00
12/25/15	06/23/14	87.61	$87.61	$94 95	$-7.34		$0.00	$0.00	$0.00
01/25/16	06/23/14	88.71	$88 71	$96.14	$-7.43		$0.00	$0.00	$0.00
02/25/16	06/23/14	77.81	$77.81	$84.33	$-6.52		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION		CUSIP								
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FNMA MA1860	**4.5% 4/01/44**	**31418BB62**							
03/25/16	06/23/14	195.54	$195.54	$211.92	$-16.38		$0.00	$0.00	$0.00
04/25/16	06/23/14	280.69	$280.69	$304.20	$-23.51		$0.00	$0.00	$0.00
FNMA MA1897	**3.5% 5/01/44**	**31418BDB9**							
05/25/15	05/23/14	1286.62	$1,286.62	$1,309.34	$-22.72		$0.00	$0.00	$0.00
06/25/15	05/23/14	1393.17	$1,393.17	$1,417.77	$-24.60		$0.00	$0.00	$0.00
07/25/15	05/23/14	2137.68	$2,137.68	$2,175.42	$-37.74		$0.00	$0.00	$0.00
08/25/15	05/23/14	1363.11	$1,363.11	$1,387.18	$-24.07		$0.00	$0.00	$0.00
09/25/15	05/23/14	1124.33	$1,124.33	$1,144.18	$-19.85		$0.00	$0.00	$0.00
10/25/15	05/23/14	54.61	$54.61	$55.57	$-0.96		$0.00	$0.00	$0.00
11/25/15	05/23/14	1119.39	$1,119.39	$1,139.15	$-19.76		$0.00	$0.00	$0.00
12/25/15	05/23/14	2244.24	$2,244.24	$2,283.87	$-39.63		$0.00	$0.00	$0.00
01/25/16	05/23/14	1992.07	$1,992.07	$2,027.24	$-35.17		$0.00	$0.00	$0.00
02/25/16	05/23/14	1417.03	$1,417.03	$1,442.05	$-25.02		$0.00	$0.00	$0.00
03/25/16	05/23/14	45.2	$45.20	$46 00	$-0.80		$0.00	$0.00	$0.00
04/25/16	05/23/14	52.01	$52.01	$52.93	$-0.92		$0.00	$0.00	$0.00
GNMA II POOL # MA1599	**3.0% 1/20/44**	**36179NX45**							
07/20/15	06/24/14	847.94	$847.94	$856.68	$-8.74		$0.00	$0.00	$0.00
08/20/15	06/24/14	1046.17	$1,046.17	$1,056.96	$-10.79		$0.00	$0.00	$0.00

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BNY MELLON
WEALTH MANAGEMENT

SY SYMS FOUNDATION-BONDS-SUB

Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
GNMA II POOL # MA1599 3.0% 1/20/44		36179NX45							
09/20/15	06/24/14	1021.93	$1,021.93	$1,032.47	$-10.54		$0.00	$0.00	$0.00
10/20/15	06/24/14	1138.43	$1,138.43	$1,150.17	$-11.74		$0.00	$0.00	$0.00
11/20/15	06/24/14	837.33	$837.33	$845.97	$-8.64		$0.00	$0.00	$0.00
12/20/15	06/24/14	537.32	$537.32	$542.86	$-5 54		$0.00	$0.00	$0 00
01/20/16	06/24/14	1216.99	$1,216.99	$1,229.54	$-12.55		$0.00	$0.00	$0.00
02/20/16	06/24/14	907.15	$907.15	$916.50	$-9.35		$0.00	$0.00	$0.00
03/20/16	06/24/14	684.76	$684.76	$691.82	$-7.06		$0.00	$0.00	$0.00
04/20/16	06/24/14	896.26	$896.26	$905 50	$-9.24		$0.00	$0.00	$0.00
GNMA POOL 782011 5.0% 12/15/35		36241KGU6							
05/15/15	12/28/12	125.35	$125.35	$136.87	$-11.52		$0.00	$0.00	$0.00
06/15/15	12/28/12	92.88	$92.88	$101.41	$-8.53		$0.00	$0.00	$0.00
07/15/15	12/28/12	102.18	$102.18	$111.57	$-9.39		$0.00	$0.00	$0.00
08/15/15	12/28/12	90.35	$90.35	$98.65	$-8 30		$0.00	$0.00	$0.00
09/15/15	12/28/12	91.68	$91.68	$100.10	$-8.42		$0.00	$0.00	$0.00
10/15/15	12/28/12	119.73	$119.73	$130.73	$-11.00		$0.00	$0.00	$0.00
11/15/15	12/28/12	65.26	$65.26	$71.26	$-6.00		$0.00	$0.00	$0.00
12/15/15	12/28/12	46.61	$46.61	$50 89	$-4 28		$0.00	$0.00	$0.00
01/15/16	12/28/12	71.98	$71.98	$78.59	$-6.61		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	**Date acquired**	**Units**	**Proceeds**	**Cost or other basis**	**Net Gain/Loss**	**Code**	**Adjustments**	**Portion Subject to 988 and Market Discount (included in Net G/L)**	**Portion Subject to 28% Rates (included in Net G/L)**
GNMA POOL 782011	**5.0% 12/15/35**	**36241KGU6**							
02/15/16	12/28/12	64.18	$64.18	$70 08	$-5.90		$0.00	$0.00	$0.00
03/15/16	12/28/12	49.85	$49.85	$54.43	$-4.58		$0.00	$0.00	$0.00
04/15/16	12/28/12	52.74	$52.74	$57.59	$-4.85		$0.00	$0.00	$0.00
GNMA POOL 782105	**5.0% 3/15/36**	**36241KKS6**							
05/15/15	12/28/12	32.84	$32.84	$35.86	$-3.02		$0.00	$0.00	$0.00
06/15/15	12/28/12	22.26	$22.26	$24.30	$-2.04		$0.00	$0.00	$0.00
07/15/15	12/28/12	51.84	$51.84	$56.60	$-4.76		$0.00	$0.00	$0.00
08/15/15	12/28/12	19.81	$19.81	$21.63	$-1.82		$0.00	$0.00	$0.00
09/15/15	12/28/12	31.75	$31.75	$34.67	$-2.92		$0.00	$0.00	$0.00
10/15/15	12/28/12	20.66	$20.66	$22.56	$-1.90		$0.00	$0.00	$0.00
11/15/15	12/28/12	21.55	$21.55	$23.53	$-1.98		$0.00	$0.00	$0.00
12/15/15	12/28/12	22.7	$22.70	$24.79	$-2.09		$0.00	$0.00	$0.00
01/15/16	12/28/12	16.32	$16.32	$17.82	$-1.50		$0.00	$0.00	$0.00
02/15/16	12/28/12	16.81	$16.81	$18.35	$-1.54		$0.00	$0.00	$0.00
03/15/16	12/28/12	14.6	$14.60	$15.94	$-1.34		$0.00	$0.00	$0.00
04/15/16	12/28/12	24.02	$24.02	$26.23	$-2.21		$0.00	$0.00	$0.00
HYDRO-QUEBEC	**2.0% 6/30/16**	**448814JB0**							
03/01/16	06/23/11	35000	$35,136.15	$34,993.35	$142.80		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
INTEL CORP	2.7% 12/15/22	458140AM2							
07/14/15	12/04/12	45000	$43,614.90	$44,807.85	$-1,192.95		$0.00	$0.00	$0.00
11/09/15	12/04/12	15000	$14,824.05	$14,935.95	$-111.90		$0.00	$0.00	$0.00
ORACLE CORP		68389XAC9							
07/23/15	02/08/12	45000	$49,913.55	$55,377.00	$-5,463.45		$0.00	$0.00	$0.00
PETROBRAS INTL FIN CO		71645WAR2							
04/15/16	01/09/13	35000	$30,100.00	$38,834.60	$-8,734.60		$0.00	$0.00	$0.00
04/18/16	01/09/13	5000	$4,213.40	$5,547.80	$-1,334.40		$0.00	$0.00	$0.00
04/19/16	05/09/14	20000	$17,200.00	$20,655.60	$-3,455.60		$0.00	$0.00	$0 00
PRUDENTIAL FINL INC 4.75%	9/17/15	74432QBJ3							
05/06/15	02/08/12	40000	$40,574.80	$43,576.80	$-3,002.00		$0.00	$0.00	$0.00
09/17/15	12/05/13	10000	$10,000.00	$10,701.50	$-701.50		$0.00	$0.00	$0 00
TOYOTA MOTOR CREDIT 1.125%	5/16/17	89236TBH7							
09/15/15	05/13/14	60000	$60,048.00	$59,968.20	$79.80		$0 00	$0.00	$0.00
UNITED STATES TREAS	2.0% 11/30/20	912828A42							
05/05/15	01/28/14	25000	$25,392.58	$24,708.11	$684.47		$0.00	$0.00	$0.00
05/06/15	01/28/14	15000	$15,214.45	$14,824.86	$389.59		$0.00	$0.00	$0.00
05/06/15	02/07/14	20000	$20,285.94	$19,868.05	$417.89		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION CUSIP

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
UNITED STATES TREAS 0.75% 1/15/17		**912828A91**							
02/11/16	12/29/14	30000	$30,059.77	$30,005.86	$53.91		$0.00	$0.00	$0.00
03/09/16	12/29/14	75000	$75,043.95	$75,014.65	$29.30		$0.00	$0.00	$0.00
03/10/16	12/29/14	70000	$70,038.28	$70,013.67	$24.61		$0.00	$0.00	$0.00
04/11/16	12/29/14	15000	$15,026.95	$15,002.93	$24.02		$0.00	$0.00	$0.00
04/13/16	12/29/14	10000	$10,017.58	$10,001.95	$15.63		$0.00	$0.00	$0.00
04/20/16	12/29/14	50000	$50,095.70	$50,009.77	$85.93		$0.00	$0.00	$0.00
US TREAS-CPI INFLATION INDEX		**912828MF4**							
03/04/16	10/01/12	38296.65	$40,521.15	$45,669.56	$-5,148.41		$0.00	$0.00	$0.00
U.S. TREASURY NOTE 1.25% 4/30/19		**912828ST8**							
05/06/15	09/21/12	25000	$24,912.11	$25,293.07	$-380.96		$0.00	$0.00	$0.00
08/13/15	01/08/14	5000	$4,993.36	$4,886.48	$106.88	D	$5.45	$5.45	$0.00
09/09/15	01/08/14	30000	$29,951.96	$29,089.80	$862.16	D	$274.68	$274.68	$0.00
U S TREASURY NOTE		**912828TS9**							
03/30/16	01/30/15	30000	$29,950.78	$29,936.09	$14.69		$0.00	$0.00	$0.00
U S TREASURY NOTE 0.125% 01/15/2023		**912828UH1**							
08/03/15	03/27/13	97918.4	$95,948 56	$105,457.99	$-9,509.43		$0.00	$0.00	$0.00
UNITED STATES TREAS 0.875% 5/15/17		**912828WH9**							
04/20/16	06/20/14	15000	$15,045 12	$14,984.82	$60.30		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (Included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
UNITED STATES TREAS 0.875% 5/15/17		**912828WH9**							
04/20/16	06/04/14	10000	$10,030.08	$10,011.36	$18.72		$0.00	$0.00	$0.00
04/21/16	06/04/14	175000	$175,512.69	$175,198.83	$313.86		$0.00	$0.00	$0.00
UNIV OF CALIFORNIA C 5.435% 5/15/23		**913366EL0**							
07/08/15	06/18/13	30000	$34,769.40	$34,285.80	$483.60		$0.00	$0.00	$0.00
VERIZON COMMUNICATION 5.15% 9/15/23		**92343VBR4**							
06/18/15	09/11/13	75000	$82,047.75	$74,757.00	$7,290.75		$0.00	$0.00	$0.00
WFRBS 2011-C5 A2 2.684% 11/15/44		**92936JAZ7**							
04/15/16	01/17/13	19062.33	$19,062.33	$20,152.46	$-1,090.13		$0.00	$0.00	$0.00
WACHOVIA CORP NEW		**929903CH3**							
11/10/15	06/10/14	5000	$5,214.35	$5,525.70	$-311.35		$0.00	$0.00	$0.00
WALGREEN CO		**931422AH2**							
03/17/16	11/06/12	10000	$10,002.90	$10,193.30	$-190.40		$0.00	$0.00	$0.00
04/05/16	01/27/14	15000	$15,158.10	$14,533.25	$624.85		$0.00	$0.00	$0.00
04/05/16	11/06/12	10000	$10,105.40	$10,193.30	$-87.90		$0.00	$0.00	$0.00
Total Long Term Gain/Loss			*$2,502,497.52*	*$2,554,372.20*	*$-51,874.68*		*$280.13*	*$280.13*	*$0.00*

BNY MELLON
WEALTH MANAGEMENT

SY SYMS FOUNDATION-BONDS-SUB

Transactions with Unknown Cost Basis

DESCRIPTION		CUSIP							
Date sold or disposed	**Date acquired**	**Units**	**Proceeds**	**Cost or other basis**	**Net Gain/Loss**	**Code**	**Adjustments**	**Portion Subject to 988 and Market Discount (included in Net G/L)**	**Portion Subject to 28% Rates (included in Net G/L)**
F N M A POOL AU1660 2.5% 7/01/28		**3138X0Z27**							
12/25/15	LT	1548.08	$1,548 08	1538.44	9.64		$0.00	Unknown	Unknown
Total Proceeds from Transactions with Unknown Cost Basis:		— LT	*$1,548.08*	1538.44	9.64		*$0.00*	*Unknown*	*Unknown*

Income for 2015

This section reports your sales transactions during the year. A schedule of your net gains and losses is shown below. Please note that if you have sold an asset for which the cost basis information is unknown it is shown separately in the section entitled Transactions with Unknown Cost Basis. Please review these carefully when preparing your return. An asterisk symbol (*) next to the tax cost indicates that the tax cost has been adjusted for current year's return of capital.

The following items are provided to you to assist in the preparation of your tax returns. We have included the following Codes and Adjustment amounts: "W" for wash sales, "C" for collectibles, and "D" for Market Discount. Typically, collectibles are taxed at 28% rates. For your convenience, if applicable, we have included the portion subject to Section 988 translation gains and losses and Market Discount in the column titled "Portion Subject to 988 and Market Discount". We have also includes gains on collectibles taxed at 28% rates in the last column. If we have included a dagger symbol (†) next to the sale transaction with a net loss, this indicates that you can not take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure.

Short Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (Included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
AFLAC INC		**001055102**							
09/10/15	09/17/14	15	$864.45	$883.23	$-18.78		$0.00	$0.00	$0.00
09/10/15	01/06/15	40	$2,305.21	$2,369.52	$-64.31		$0.00	$0.00	$0.00
09/10/15	09/16/14	12	$691.56	$709.13	$-17.57		$0.00	$0.00	$0.00
10/20/15	01/29/15	40	$2,424.54	$2,306.20	$118.34		$0.00	$0.00	$0.00
ABBOTT LABORATORIES		**002824100**							
07/15/15	01/29/15	50	$2,467.28	$2,254.40	$212.88		$0.00	$0.00	$0.00
07/15/15	08/04/14	30	$1,480.37	$1,263.62	$216.75		$0.00	$0.00	$0.00

Short Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
ABBOTT LABORATORIES		**002824100**							
07/15/15	01/06/15	60	$2,960.74	$2,696.90	$263.84		$0.00	$0.00	$0 00
ABBVIE INC		**00287Y109**							
07/15/15	01/29/15	50	$3,484.87	$3,155.40	$329.47		$0.00	$0.00	$0.00
07/15/15	01/06/15	60	$4,181.85	$3,928.13	$253.72		$0.00	$0.00	$0.00
ACTIVISION BLIZZARD INC		**00507V109**							
02/03/16	10/13/15	210	$6,904.21	$6,923.87	$-19.66		$0.00	$0.00	$0.00
02/04/16	10/09/15	620	$19,728.22	$19,854.45	$-126.23		$0 00	$0.00	$0.00
02/04/16	10/13/15	160	$5,091.15	$5,275.33	$-184.18		$0.00	$0.00	$0.00
AMGEN INC		**031162100**							
07/09/15	01/29/15	9	$1,376.27	$1,399.66	$-23.39		$0.00	$0.00	$0 00
07/09/15	01/06/15	9	$1,376.27	$1,410.97	$-34.70		$0.00	$0.00	$0.00
ANADARKO PETE CORP		**032511107**							
11/12/15	05/26/15	100	$5,889.95	$8,507.24	$-2,617.29		$0.00	$0.00	$0.00
11/12/15	10/07/15	190	$11,190.91	$13,069.87	$-1,878.96		$0.00	$0.00	$0.00
11/12/15	05/22/15	70	$4,122.97	$6,033.24	$-1,910.27		$0.00	$0.00	$0.00
11/12/15	01/29/15	10	$589.00	$794.88	$-205.88		$0.00	$0.00	$0.00
11/12/15	01/07/15	330	$19,436.84	$25,403.60	$-5,966.76		$0.00	$0.00	$0.00

Short Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
ANALOG DEVICES INC		032654105							
01/06/16	10/21/15	341	$17,624.84	$21,102.31	$-3,477.47		$0.00	$0.00	$0.00
01/07/16	10/21/15	629	$31,863.48	$38,924.78	$-7,061.30		$0.00	$0.00	$0.00
ANHEUSER BUSCH INBEV SPN ADR		03524A108							
01/06/16	01/29/15	13	$1,565.24	$1,600.14	$-34.90		$0.00	$0.00	$0.00
APPLE COMPUTER INC COM		037833100							
07/08/15	01/29/15	4	$492.82	$475.31	$17.51		$0.00	$0.00	$0.00
07/08/15	04/15/15	131	$16,139.80	$16,553.63	$-413.83		$0.00	$0.00	$0.00
08/04/15	01/29/15	72	$8,279.76	$8,555.61	$-275.85		$0.00	$0.00	$0.00
08/04/15	01/06/15	74	$8,509.75	$7,846.74	$663.01		$0.00	$0.00	$0.00
BIOMARIN PHARMACEUTICAL INC		09061G101							
07/09/15	02/23/15	62	$8,650.24	$6,677.10	$1,973.14		$0.00	$0.00	$0.00
BIOGEN IDEC INC		09062X103							
01/20/16	08/04/15	17	$4,490.88	$5,610.08	$-1,119.20		$0.00	$0.00	$0.00
01/20/16	01/29/15	5	$1,320.85	$1,754.94	$-434.09		$0.00	$0.00	$0.00
BRISTOL MYERS SQUIBB CO COM		110122108							
06/22/15	01/08/15	230	$15,427.63	$14,011.30	$1,416.33		$0.00	$0.00	$0.00
06/22/15	01/06/15	30	$2,012 30	$1,775.12	$237.18		$0.00	$0.00	$0.00
06/22/15	01/29/15	30	$2,012.30	$1,835.04	$177.26		$0.00	$0.00	$0.00

Short Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
CATERPILLAR INC		149123101							
09/03/15	01/29/15	20	$1,483.31	$1,591.76	$-108.45		$0.00	$0.00	$0.00
09/03/15	01/06/15	20	$1,483.31	$1,744.64	$-261.33		$0.00	$0 00	$0.00
CELGENE CORP		151020104							
07/09/15	10/24/14	29	$3,382.91	$2,981.85	$401.06		$0.00	$0.00	$0.00
07/09/15	01/29/15	21	$2,449.69	$2,519.12	$-69.43		$0.00	$0.00	$0.00
07/09/15	01/06/15	21	$2,449.69	$2,372.84	$76.85		$0.00	$0.00	$0.00
08/25/15	10/24/14	134	$15,547.15	$13,778.20	$1,768.95		$0.00	$0.00	$0.00
09/21/15	10/24/14	106	$12,598.87	$10,899.18	$1,699.69		$0.00	$0.00	$0.00
CENTERPOINT ENERGY INC		15189T107							
05/06/15	01/29/15	160	$3,249.09	$3,736.21	$-487.12		$0.00	$0.00	$0.00
05/06/15	08/04/14	690	$14,011.71	$16,747.47	$-2,735.76		$0.00	$0.00	$0.00
05/06/15	01/06/15	30	$609.20	$686.39	$-77.19		$0.00	$0.00	$0.00
CISCO SYS INC		17275R102							
07/17/15	04/21/15	960	$26,891.89	$27,685.44	$-793.55		$0.00	$0.00	$0.00
07/17/15	01/08/15	340	$9,524.21	$9,533 57	$-9.36		$0.00	$0.00	$0.00
10/21/15	01/08/15	480	$13,617.64	$13,459.15	$158.49		$0.00	$0.00	$0.00
12/01/15	01/06/15	920	$25,234.77	$25,136.33	$98.44		$0 00	$0.00	$0.00
12/01/15	01/08/15	70	$1,920.04	$1,962.79	$-42.75		$0.00	$0.00	$0.00

[redacted]

Short Term Transactions

DESCRIPTION Date sold or disposed	Date acquired	CUSIP Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
CISCO SYS INC		**17275R102**							
12/01/15	01/29/15	90	$2,468.62	$2,444.21	$24.41		$0 00	$0.00	$0.00
COMCAST CORP NEW CL A		**20030N101**							
12/08/15	07/08/15	230	$13,709.27	$14,388.80	$-679.53		$0.00	$0.00	$0.00
01/07/16	07/27/15	250	$13,792.50	$15,400.13	$-1,607.63		$0.00	$0.00	$0.00
01/07/16	07/08/15	40	$2,206.80	$2,502.40	$-295.60		$0.00	$0.00	$0.00
01/13/16	01/29/15	60	$3,228.74	$3,226.67	$2.07		$0.00	$0.00	$0.00
01/13/16	07/27/15	40	$2,152 49	$2,464.02	$-311.53		$0.00	$0.00	$0.00
DOVER CORPORATION		**260003108**							
08/04/15	08/04/14	170	$10,754.15	$14,501.22	$-3,747.07		$0.00	$0.00	$0.00
08/04/15	01/29/15	30	$1,897.79	$2,101.74	$-203.95		$0.00	$0.00	$0.00
08/04/15	01/06/15	30	$1,897.79	$2,088.20	$-190.41		$0.00	$0.00	$0.00
ELECTRONIC ARTS		**285512109**							
07/15/15	01/06/15	60	$4,351.55	$2,821.08	$1,530.47		$0.00	$0.00	$0.00
07/15/15	01/29/15	60	$4,351.55	$3,308.87	$1,042.68		$0.00	$0.00	$0.00
EXELON CORP		**30161N101**							
03/17/16	08/19/15	770	$26,877.18	$26,314.29	$562.89		$0.00	$0.00	$0.00
FACEBOOK INC		**30303M102**							
07/27/15	04/15/15	160	$15,090.75	$13,271.07	$1,819.68		$0.00	$0.00	$0.00

Short Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FACEBOOK INC		**30303M102**							
07/27/15	06/02/15	30	$2,829.51	$2,417.59	$411.92		$0.00	$0.00	$0.00
FNF GROUP		**31620R303**							
09/10/15	01/29/15	70	$2,507.79	$2,500.25	$7.54		$0.00	$0.00	$0.00
09/10/15	01/06/15	70	$2,507.79	$2,431.66	$76.13		$0.00	$0.00	$0.00
GILEAD SCIENCES INC		**375558103**							
07/09/15	01/06/15	30	$3,417.30	$2,962.90	$454.40		$0.00	$0.00	$0.00
07/09/15	08/04/14	93	$10,593.63	$8,544.17	$2,049.46		$0.00	$0.00	$0.00
07/09/15	01/29/15	23	$2,619.93	$2,388.73	$231.20		$0.00	$0.00	$0.00
HARLEY DAVIDSON INC		**412822108**							
01/07/16	01/29/15	30	$1,296.60	$1,940.04	$-643.44		$0.00	$0.00	$0.00
HUMANA INC COM		**444859102**							
06/26/15	01/21/15	153	$30,319.16	$23,453.32	$6,865.84		$0.00	$0.00	$0.00
08/03/15	01/21/15	75	$13,641.50	$11,496.73	$2,144.77		$0.00	$0.00	$0.00
08/03/15	02/10/15	33	$6,002.26	$4,986.49	$1,015.77		$0.00	$0.00	$0.00
08/04/15	01/29/15	12	$2,187.67	$1,789.18	$398.49		$0.00	$0.00	$0.00
08/04/15	01/20/15	112	$20,418.22	$16,703.42	$3,714.80		$0.00	$0.00	$0.00
08/04/15	02/10/15	58	$10,573.72	$8,764.13	$1,809.59		$0.00	$0.00	$0.00

Short Term Transactions

DESCRIPTION Date sold or disposed	Date acquired	CUSIP Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
INTEL CORPORATION		**458140100**							
05/07/15	09/02/14	1390	$44,816.81	$48,012.27	$-3,195.46		$0.00	$0.00	$0.00
05/07/15	09/04/14	80	$2,579.38	$2,795.18	$-215 80		$0.00	$0.00	$0.00
05/07/15	09/15/14	290	$9,350 27	$10,034.81	$-684.54		$0.00	$0.00	$0.00
05/07/15	01/29/15	100	$3,224.23	$3,402.79	$-178.56		$0.00	$0.00	$0.00
JOHNSON & JOHNSON COM		**478160104**							
01/20/16	08/04/15	200	$19,121.11	$20,041.56	$-920.45		$0.00	$0.00	$0.00
JOHNSON CONTROLS INC		**478366107**							
08/19/15	01/29/15	50	$2,234.24	$2,341.89	$-107.65		$0.00	$0.00	$0.00
08/20/15	01/06/15	40	$1,748.32	$1,851.52	$-103.20		$0.00	$0.00	$0.00
MARATHON OIL CORP		**565849106**							
08/21/15	11/24/14	360	$5,689.30	$12,041.03	$-6,351.73		$0 00	$0.00	$0.00
08/21/15	01/29/15	70	$1,106.25	$1,778.55	$-672.30		$0.00	$0.00	$0.00
08/21/15	01/06/15	70	$1,106.25	$1,930.75	$-824.50		$0 00	$0.00	$0.00
08/21/15	12/11/14	290	$4,583.05	$7,739.03	$-3,155.98		$0.00	$0.00	$0.00
08/21/15	11/20/14	740	$11,694.67	$24,565.63	$-12,870.96		$0.00	$0.00	$0.00
08/21/15	12/10/14	270	$4,266.98	$7,138.61	$-2,871.63		$0.00	$0.00	$0.00
MEAD JOHNSON NUTRITION CO-A		**582839106**							
08/13/15	01/29/15	20	$1,680.99	$2,013.36	$-332.37		$0.00	$0.00	$0.00

Short Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
MEAD JOHNSON NUTRITION CO-A		**582839106**							
08/13/15	01/06/15	30	$2,521.49	$2,968.44	$-446.95		$0 00	$0 00	$0.00
MERCK & CO INC		**58933Y105**							
08/25/15	06/22/15	190	$9,982.76	$11,187.16	$-1,204.40		$0.00	$0.00	$0 00
MICROSOFT CORP COM		**594918104**							
02/11/16	01/05/16	140	$6,853.65	$7,724.11	$-870.46		$0.00	$0.00	$0.00
MONSTER BEVERAGE CORP		**61174X109**							
10/07/15	01/29/15	22	$2,959.78	$2,652.28	$307.50		$0.00	$0.00	$0.00
OUTFRONT MEDIA INC		**69007J106**							
06/11/15	08/15/14	140.3	$3,754.44	$4,764.77 *	$-1,010.33		$0.00	$0.00	$0.00
06/11/15	08/18/14	49.7	$1,330.08	$1,707.49 *	$-377.41		$0.00	$0.00	$0.00
06/12/15	08/15/14	19.7	$527.26	$669 15 *	$-141.89		$0.00	$0.00	$0.00
06/12/15	08/14/14	8.3	$222.04	$278.88 *	$-56.84		$0.00	$0.00	$0.00
06/15/15	08/14/14	41	$1,086.69	$1,378.08 *	$-291.39		$0.00	$0.00	$0.00
06/16/15	08/13/14	41.3	$1,084.22	$1,384.05 *	$-299.83		$0.00	$0.00	$0.00
06/16/15	08/14/14	10.7	$280.99	$359.74 *	$-78.75		$0.00	$0.00	$0.00
06/17/15	08/13/14	78.7	$2,053.59	$2,637.66 *	$-584.07		$0.00	$0.00	$0.00
06/17/15	08/06/14	349.3	$9,114.18	$11,601.85 *	$-2,487.67		$0.00	$0.00	$0.00
06/17/15	08/05/14	170	$4,435.79	$5,678.03 *	$-1,242.24		$0.00	$0.00	$0.00

Short Term Transactions

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
DESCRIPTION		**CUSIP**							
OUTFRONT MEDIA INC		**69007J106**							
06/18/15	08/07/14	240.3	$6,286.49	$7,789.25 *	$-1,502.76		$0.00	$0.00	$0.00
06/18/15	08/06/14	10.7	$280.00	$355.49 *	$-75.49		$0.00	$0.00	$0.00
06/24/15	08/07/14	109.7	$2,895.04	$3,556.02 *	$-660.98		$0.00	$0.00	$0.00
06/24/15	08/08/14	0.3	$7.84	$9.58 *	$-1.74		$0.00	$0.00	$0.00
06/25/15	08/08/14	159.7	$4,211.35	$5,151.46 *	$-940.11		$0.00	$0.00	$0.00
06/25/15	02/23/15	45.3	$1,194.48	$1,359.09 *	$-164.61		$0.00	$0.00	$0.00
06/26/15	02/20/15	44.3	$1,170.48	$1,325 49 *	$-155.01		$0.00	$0.00	$0.00
06/26/15	02/24/15	30	$792.70	$899.20 *	$-106.50		$0.00	$0.00	$0.00
06/26/15	02/23/15	114.7	$3,030.83	$3,441.54 *	$-410.71		$0.00	$0.00	$0.00
06/29/15	02/20/15	58	$1,526.32	$1,735 52 *	$-209.20		$0.00	$0.00	$0.00
06/30/15	02/20/15	58	$1,479.36	$1,734.48 *	$-255.12		$0.00	$0.00	$0.00
07/01/15	02/19/15	39 3	$987.59	$1,171.00 *	$-183.41		$0.00	$0.00	$0.00
07/01/15	02/20/15	59.7	$1,500.40	$1,785.39 *	$-284.99		$0.00	$0.00	$0.00
07/02/15	02/19/15	50.7	$1,265.56	$1,510.88 *	$-245.32		$0.00	$0.00	$0.00
07/02/15	01/29/15	70	$1,747.22	$1,993.48 *	$-246.26		$0.00	$0.00	$0.00
07/02/15	12/31/14	82.3	$2,054.17	$2,209.72 *	$-155.55		$0.00	$0.00	$0.00
07/06/15	12/31/14	164	$4,032.94	$4,403.48 *	$-370.54		$0.00	$0.00	$0.00
07/06/15	01/06/15	60	$1,475.46	$1,603.97 *	$-128.51		$0.00	$0.00	$0 00

Short Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
PNC FINANCIAL SERVICES GROUP INC		693475105							
01/19/16	01/29/15	20	$1,706.22	$1,703.16	$3.06		$0.00	$0.00	$0.00
PVH CORP		693656100							
12/04/15	01/06/15	17	$1,385.31	$2,018.21	$-632.90		$0.00	$0.00	$0.00
12/04/15	01/29/15	17	$1,385.31	$1,918.36	$-533 05		$0.00	$0.00	$0.00
12/04/15	04/01/15	156	$12,712.30	$16,708.07	$-3,995.77		$0 00	$0.00	$0.00
PALO ALTO NETWORKS INC		697435105							
08/11/15	04/21/15	77	$13,472.78	$11,819.84	$1,652.94		$0.00	$0.00	$0.00
09/22/15	04/21/15	24	$4,318.10	$3,684.10	$634.00		$0.00	$0.00	$0.00
09/22/15	03/31/15	5	$899.61	$735.68	$163.93		$0.00	$0.00	$0.00
PHILLIPS 66		718546104							
07/22/15	11/20/14	170	$14,009.85	$13,203.41	$806.44		$0.00	$0.00	$0.00
SALESFORCE COM INC		79466L302							
05/04/15	01/06/15	50	$3,581.85	$2,918.75	$663.10		$0.00	$0.00	$0.00
05/04/15	01/29/15	50	$3,581.85	$2,890 15	$691.70		$0.00	$0.00	$0.00
09/22/15	07/22/15	80	$5,703.83	$5,919.85	$-216.02		$0.00	$0.00	$0.00
10/21/15	07/22/15	140	$10,605.58	$10,359.75	$245.83		$0.00	$0.00	$0.00
SEMPRA ENERGY		816851109							
01/06/16	05/06/15	113	$10,434.18	$11,676.91	$-1,242.73		$0 00	$0.00	$0.00

Short Term Transactions

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
DESCRIPTION		**CUSIP**							
SEMPRA ENERGY		**816851109**							
01/06/16	05/07/15	123	$11,357.56	$12,784.92	$-1,427.36		$0.00	$0.00	$0.00
01/06/16	01/29/15	14	$1,292.73	$1,602.13	$-309.40		$0.00	$0.00	$0.00
01/06/16	01/06/15	14	$1,292.73	$1,551.87	$-259.14		$0.00	$0.00	$0.00
01/07/16	05/06/15	13	$1,142.94	$1,343.36	$-200.42		$0.00	$0.00	$0.00
01/07/16	06/29/15	190	$16,704.53	$18,924.27	$-2,219.74		$0.00	$0.00	$0.00
SERVICENOW INC		**81762P102**							
07/15/15	08/29/14	50	$3,885.05	$3,058.23	$826.82		$0.00	$0.00	$0.00
07/15/15	01/06/15	30	$2,331.03	$2,036.04	$294.99		$0.00	$0.00	$0.00
07/22/15	08/29/14	110	$8,596.19	$6,728.12	$1,868.07		$0.00	$0.00	$0.00
07/22/15	08/04/14	110	$8,596.19	$6,229.87	$2,366.32		$0.00	$0.00	$0.00
SOUTHWESTERN ENERGY CO		**845467109**							
05/22/15	11/24/14	200	$5,415.68	$6,724.58	$-1,308.90		$0.00	$0.00	$0.00
05/22/15	08/04/14	310	$8,394.30	$12,457.63	$-4,063.33		$0.00	$0.00	$0.00
05/26/15	12/10/14	250	$6,635.58	$7,272.23	$-636.65		$0.00	$0.00	$0.00
05/26/15	12/11/14	270	$7,166.42	$8,051.21	$-884.79		$0.00	$0.00	$0.00
05/26/15	01/06/15	60	$1,592.54	$1,564.68	$27.86		$0.00	$0.00	$0.00
05/26/15	01/29/15	60	$1,592.54	$1,447.07	$145.47		$0.00	$0.00	$0.00
05/26/15	11/24/14	150	$3,981.35	$5,043.44	$-1,062.09		$0.00	$0.00	$0.00

Short Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
STATE ST CORP COM		857477103							
05/11/15	08/04/14	20	$1,565.20	$1,402.23	$162.97		$0.00	$0.00	$0.00
05/11/15	01/29/15	40	$3,130.41	$2,856.32	$274.09		$0.00	$0.00	$0.00
TWITTER INC		90184L102							
06/29/15	01/06/15	60	$2,052.21	$2,225.17	$-172.96		$0.00	$0.00	$0.00
06/29/15	01/29/15	40	$1,368.14	$1,461.12	$-92.98		$0.00	$0.00	$0.00
06/29/15	10/06/14	430	$14,707.54	$23,194.63	$-8,487.09		$0.00	$0.00	$0.00
06/29/15	10/29/14	250	$8,550.89	$10,718.18	$-2,167.29		$0.00	$0.00	$0.00
06/29/15	10/16/14	270	$9,234.96	$13,359.25	$-4,124.29		$0.00	$0.00	$0.00
UNION PACIFIC CORP		907818108							
01/22/16	08/03/15	70	$4,853.26	$6,738.93	$-1,885.67		$0.00	$0.00	$0 00
01/22/16	08/04/15	220	$15,253.11	$21,159.07	$-5,905.96		$0.00	$0.00	$0.00
01/22/16	01/29/15	20	$1,386.65	$2,393.74	$-1,007 09		$0.00	$0 00	$0.00
VALERO ENERGY CORP NEW		91913Y100							
07/22/15	05/26/15	130	$8,778.09	$7,806.75	$971.34		$0.00	$0.00	$0.00
07/22/15	05/22/15	100	$6,752.37	$6,101.44	$650.93		$0.00	$0.00	$0.00
BRITISH POUND STERLING		EX9123459							
06/01/15	05/29/15	2686	$4,077.46	$4,112.80	$-35.34		$0.00	$-35.34	$0.00
09/28/15	09/25/15	1710.2	$2,592.96	$2,607.03	$-14.07		$0 00	$-14.07	$0.00

Short Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
ALLERGAN PLC		**G0177J108**							
01/20/16	06/26/15	46	$13,315.17	$14,156.47	$-841.30		$0.00	$0.00	$0.00
01/20/16	06/22/15	36	$10,420.57	$11,044.17	$-623.60		$0.00	$0.00	$0.00
ACCENTURE PLC IRELAND SHS CL A		**G1151C101**							
10/13/15	08/19/15	117	$12,132.33	$12,008.52	$123.81		$0.00	$0.00	$0.00
02/11/16	08/19/15	64	$5,977.10	$6,568.76	$-591.66		$0.00	$0.00	$0.00
MALLINCKRODT PLC		**G5785G107**							
08/25/15	09/03/14	62	$5,009.37	$5,240.64	$-231.27		$0.00	$0.00	$0.00
08/25/15	01/06/15	30	$2,423.89	$2,862.15	$-438.26		$0.00	$0.00	$0.00
08/25/15	01/29/15	28	$2,262.30	$3,040.46	$-778.16		$0.00	$0.00	$0.00
TE CONNECTIVITY LTD		**H84989104**							
07/17/15	01/06/15	10	$621.53	$615.90	$5.63		$0.00	$0.00	$0.00
07/17/15	01/29/15	50	$3,107.64	$3,381.40	$-273.76		$0.00	$0.00	$0.00
07/17/15	08/04/14	260	$16,159.72	$16,068.31	$91.41		$0.00	$0.00	$0.00
10/21/15	12/16/14	270	$16,603.45	$16,594.69	$8.76		$0.00	$0.00	$0.00
10/21/15	01/06/15	30	$1,844.83	$1,847.70	$-2.87		$0.00	$0.00	$0.00
NXP SEMICONDUCTORS N V		**N6596X109**							
08/19/15	05/08/15	280	$24,534.27	$29,069.35	$-4,535.08		$0.00	$0.00	$0.00
09/22/15	05/08/15	120	$10,411.69	$12,458.29	$-2,046.60		$0.00	$0.00	$0.00

Short Term Transactions

DESCRIPTION			CUSIP							
	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
NXP SEMICONDUCTORS N V			N6596X109							
	09/22/15	05/07/15	200	$17,352.82	$20,074.90	$-2,722 08		$0.00	$0.00	$0.00
Total Short Term Gain/Loss				*$1,156,705.39*	*$1,251,265.96*	*$-94,560.57*		*$0.00*	*$-49.41*	*$0.00*

Long Term Transactions

DESCRIPTION			CUSIP							
	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
AFLAC INC			001055102							
	09/10/15	08/04/14	103	$5,935.91	$6,051.81	$-115.90		$0.00	$0.00	$0.00
	10/19/15	08/04/14	230	$14,010.93	$13,513.74	$497.19		$0.00	$0.00	$0.00
	10/20/15	09/22/14	122	$7,394.85	$7,134.05	$260.80		$0.00	$0.00	$0.00
	10/20/15	09/18/14	1	$60.61	$58.72	$1.89		$0.00	$0.00	$0.00
	10/20/15	08/04/14	517	$31,337.17	$30,376.54	$960.63		$0.00	$0.00	$0.00
AT&T INC			00206R102							
	12/08/15	04/23/14	410	$13,837.78	$14,400.43	$-562.65		$0.00	$0.00	$0.00
ABBOTT LABORATORIES			002824100							
	08/24/15	08/04/14	330	$14,440.30	$13,899.83	$540.47		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
ABBVIE INC		**00287Y109**							
08/25/15	07/18/14	150	$9,351.84	$8,244.17	$1,107.67		$0.00	$0.00	$0.00
10/12/15	07/18/14	290	$16,206.87	$15,938.72	$268.15		$0 00	$0.00	$0.00
01/13/16	07/18/14	460	$23,727.56	$25,282.10	$-1,554.54		$0.00	$0.00	$0.00
01/13/16	08/04/14	400	$20,632.66	$21,034.80	$-402.14		$0.00	$0.00	$0.00
AMAZON COM INC		**023135106**							
09/29/15	04/23/14	29	$14,631.02	$9,430.45	$5,200.57		$0.00	$0.00	$0.00
AMGEN INC		**031162100**							
07/09/15	02/09/07	37	$5,657.99	$2,566.40	$3,091.59		$0.00	$0.00	$0.00
ANHEUSER BUSCH INBEV SPN ADR		**03524A108**							
01/06/16	04/23/14	161	$19,384.93	$17,434.56	$1,950.37		$0.00	$0.00	$0.00
04/04/16	04/23/14	69	$8,688.84	$7,471.95	$1,216.89		$0.00	$0.00	$0.00
04/04/16	08/04/14	91	$11,459.20	$9,794.84	$1,664.36		$0.00	$0.00	$0.00
04/04/16	01/06/15	18	$2,266.65	$1,932.30	$334.35		$0.00	$0.00	$0.00
APPLE COMPUTER INC COM		**037833100**							
08/04/15	06/16/11	95	$10,924.68	$4,426.14	$6,498.54		$0.00	$0.00	$0.00
08/12/15	06/16/11	247	$27,598.80	$11,507.97	$16,090.83		$0.00	$0.00	$0.00
12/14/15	06/16/11	141	$15,680.04	$6,569.33	$9,110.71		$0.00	$0.00	$0.00
01/05/16	06/16/11	175	$18,034.65	$8,153.42	$9,881.23		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION		CUSIP								
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
BNY MELLON EMERGING MKTS FD CL M SHS		**05569M855**							
01/21/16	07/24/14	9182.74	$61,983.47	$100,000.00	$-38,016.53		$0.00	$0.00	$0.00
01/21/16	08/15/14	817.26	$5,516.53	$8,859.14	$-3,342.61		$0.00	$0.00	$0.00
02/26/16	08/15/14	1500	$10,860.00	$16,260.00	$-5,400.00		$0.00	$0.00	$0 00
04/21/16	08/15/14	6907.83	$57,196.81	$74,880.86	$-17,684.05		$0.00	$0.00	$0.00
04/21/16	09/15/14	92.17	$763.18	$986.23	$-223.05		$0.00	$0.00	$0.00
BNY MELLON INTL FD CL M SHSS		**05569M871**							
04/21/16	07/24/14	5000	$56,199.99	$65,150.01	$-8,950.02		$0.00	$0.00	$0.00
BIOGEN IDEC INC		**09062X103**							
01/20/16	01/06/15	5	$1,320.85	$1,718.84	$-397.99		$0.00	$0.00	$0.00
BRISTOL MYERS SQUIBB CO COM		**110122108**							
06/22/15	04/23/14	40	$2,683.07	$2,038.34	$644.73		$0 00	$0.00	$0.00
BROOKFIELD ASSET MGMT INC		**112585104**							
07/30/15	07/10/09	2900	$100,077.45	$29,847.63	$70,229.82		$0.00	$0.00	$0.00
01/21/16	07/10/09	2950	$82,574.00	$30,362.25	$52,211.75		$0.00	$0.00	$0.00
CATERPILLAR INC		**149123101**							
09/03/15	04/23/14	395	$29,295 35	$40,963.36	$-11,668.01		$0.00	$0.00	$0.00
09/03/15	08/04/14	103	$7,639 04	$10,401.97	$-2,762.93		$0.00	$0.00	$0.00

Long Term Transactions

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
DESCRIPTION		**CUSIP**							
CENTERPOINT ENERGY INC		**15189T107**							
05/06/15	04/23/14	380	$7,716.59	$9,415.56	$-1,698.97		$0.00	$0.00	$0.00
CHEESECAKE FACTORY INC		**163072101**							
04/18/16	02/19/15	130	$6,657.31	$6,442.53	$214.78		$0.00	$0.00	$0.00
04/18/16	01/06/15	20	$1,024.20	$1,009.33	$14.87		$0.00	$0.00	$0.00
04/18/16	01/29/15	20	$1,024.20	$1,062.56	$-38.36		$0.00	$0.00	$0.00
04/19/16	12/16/14	112	$5,647.80	$5,421.48	$226.32		$0.00	$0.00	$0.00
04/19/16	12/17/14	70	$3,529.88	$3,412.75	$117.13		$0.00	$0.00	$0.00
04/19/16	12/15/14	10	$504.27	$486.52	$17.75		$0.00	$0.00	$0.00
04/19/16	02/19/15	200	$10,085.36	$9,911.58	$173.78		$0.00	$0.00	$0.00
04/20/16	12/16/14	288	$14,533.31	$13,940.96	$592 35		$0.00	$0.00	$0.00
COMCAST CORP NEW CL A		**20030N101**							
01/13/16	01/06/15	50	$2,690.62	$2,782.90	$-92.28		$0.00	$0.00	$0.00
01/13/16	08/04/14	80	$4,304 98	$4,285.19	$19.79		$0.00	$0.00	$0.00
01/21/16	04/23/14	110	$5,976.53	$5,617.47	$359.06		$0.00	$0.00	$0.00
01/21/16	08/04/14	20	$1,086.64	$1,071.30	$15.34		$0.00	$0.00	$0.00
COSTCO WHSL CORP NEW		**22160K105**							
10/07/15	04/23/14	92	$13,599.02	$10,530.13	$3,068 89		$0.00	$0 00	$0.00

BNY MELLON
WEALTH MANAGEMENT

SY SYMS FOUNDATION

Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
DOVER CORPORATION		**260003108**							
08/03/15	04/23/14	264	$16,559.89	$22,789.88	$-6,229.99		$0.00	$0.00	$0.00
08/04/15	04/23/14	386	$24,418.25	$33,321.57	$-8,903.32		$0 00	$0.00	$0 00
DREYFUS DIVERSIFIED EM MK-Y		**26203E646**							
02/26/16	07/24/14	600	$9,516.00	$13,469.99	$-3,953.99		$0.00	$0.00	$0.00
ELECTRONIC ARTS		**285512109**							
07/27/15	07/18/14	270	$19,260.32	$10,350.21	$8,910.11		$0.00	$0.00	$0.00
09/22/15	07/18/14	70	$4,797.50	$2,683.39	$2,114.11		$0.00	$0.00	$0.00
10/09/15	08/29/14	180	$11,662.07	$6,799.46	$4,862.61		$0.00	$0.00	$0.00
10/09/15	07/18/14	120	$7,774.72	$4,600.09	$3,174.63		$0.00	$0.00	$0.00
12/09/15	09/15/14	50	$3,352.89	$1,826.03	$1,526.86		$0.00	$0.00	$0.00
12/09/15	08/29/14	220	$14,752.71	$8,310.46	$6,442.25		$0.00	$0.00	$0.00
02/03/16	09/15/14	120	$7,187.28	$4,382.47	$2,804.81		$0.00	$0.00	$0.00
02/04/16	09/15/14	210	$12,208.84	$7,669.33	$4,539.51		$0.00	$0.00	$0.00
02/04/16	08/04/14	200	$11,627.46	$6,772.46	$4,855.00		$0.00	$0.00	$0.00
EXXON MOBIL CORP		**30231G102**							
03/03/16	04/23/14	310	$25,458.84	$31,188.48	$-5,729.64		$0.00	$0.00	$0.00
03/17/16	04/23/14	39	$3,280.39	$3,923.71	$-643.32		$0.00	$0.00	$0.00
03/17/16	08/04/14	151	$12,700.98	$14,893.34	$-2,192.36		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
FNF GROUP		**31620R303**							
09/10/15	08/04/14	330	$11,822.43	$9,143.35	$2,679.08		$0.00	$0.00	$0.00
10/19/15	04/23/14	319	$11,301.26	$8,320.33	$2,980.93		$0.00	$0.00	$0.00
10/19/15	08/04/14	40	$1,417.09	$1,108.28	$308.81		$0.00	$0.00	$0.00
10/20/15	04/23/14	320	$11,168.97	$8,346.41	$2,822.56		$0.00	$0.00	$0.00
10/21/15	04/23/14	337	$11,675.52	$8,789.81	$2,885.71		$0.00	$0.00	$0.00
10/22/15	04/23/14	414	$14,246.27	$10,798.17	$3,448.10		$0.00	$0.00	$0.00
GILEAD SCIENCES INC		**375558103**							
09/21/15	08/04/14	27	$2,838.04	$2,480.57	$357.47		$0.00	$0.00	$0.00
09/21/15	04/23/14	95	$9,985.69	$7,145.15	$2,840.54		$0.00	$0.00	$0.00
09/22/15	04/23/14	395	$41,379.80	$29,708.78	$11,671.02		$0.00	$0.00	$0.00
HARLEY DAVIDSON INC		**412822108**							
01/07/16	04/23/14	580	$25,067.54	$41,477.48	$-16,409.94		$0.00	$0.00	$0.00
01/07/16	08/04/14	180	$7,779.58	$11,266.09	$-3,486.51		$0.00	$0.00	$0.00
01/07/16	01/06/15	30	$1,296.60	$1,931.60	$-635.00		$0.00	$0.00	$0.00
HERSHEY FOODS CORPORATION COM		**427866108**							
01/08/16	12/19/14	150	$12,848.70	$15,763.77	$-2,915.07		$0.00	$0.00	$0.00
01/26/16	12/19/14	114	$9,682.43	$11,980.46	$-2,298.03		$0.00	$0.00	$0.00
01/26/16	01/07/15	66	$5,605.61	$6,929.41	$-1,323.80		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (Included in Net G/L)
HERSHEY FOODS CORPORATION COM		**427866108**							
03/22/16	01/29/15	23	$2,062.65	$2,355.15	$-292.50		$0.00	$0.00	$0.00
03/22/16	01/07/15	53	$4,753.07	$5,564.53	$-811.46		$0.00	$0.00	$0.00
03/22/16	12/18/14	162	$14,528.25	$16,734.34	$-2,206.09		$0.00	$0.00	$0 00
03/22/16	01/06/15	19	$1,703.93	$1,976.33	$-272.40		$0.00	$0.00	$0.00
INTERCONTINENTALEXCHANGE GRO		**45866F104**							
09/03/15	07/08/08	48	$10,974.01	$12,622.07	$-1,648.06		$0.00	$0.00	$0.00
JOHNSON CONTROLS INC		**478366107**							
08/19/15	04/23/14	300	$13,405.46	$13,940.40	$-534.94		$0.00	$0.00	$0.00
08/19/15	08/04/14	220	$9,830.67	$10,434.62	$-603.95		$0.00	$0.00	$0.00
08/20/15	04/23/14	550	$24,039.34	$25,557.40	$-1,518.06		$0.00	$0.00	$0.00
MEAD JOHNSON NUTRITION CO-A		**582839106**							
08/13/15	08/04/14	160	$13,447.94	$14,788.68	$-1,340.74		$0.00	$0.00	$0.00
08/13/15	06/19/14	80	$6,723.97	$7,390.78	$-666.81		$0.00	$0.00	$0.00
08/14/15	06/18/14	180	$15,082.80	$16,506.94	$-1,424.14		$0.00	$0.00	$0.00
08/14/15	06/19/14	110	$9,217.27	$10,162.33	$-945.06		$0.00	$0.00	$0.00
MERCK & CO INC		**58933Y105**							
08/25/15	04/23/14	90	$4,728.67	$5,153.90	$-425.23		$0.00	$0.00	$0.00

[redacted]

Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
MONSTER BEVERAGE CORP		**61174X109**							
10/07/15	04/23/14	75	$10,090.18	$5,050.04	$5,040.14		$0.00	$0.00	$0.00
PNC FINANCIAL SERVICES GROUP INC		**693475105**							
01/19/16	01/06/15	30	$2,559.33	$2,637.54	$-78.21		$0.00	$0.00	$0.00
01/19/16	04/23/14	301	$25,678.65	$25,475.62	$203.03		$0.00	$0.00	$0.00
01/20/16	04/23/14	209	$17,470.26	$17,689.05	$-218.79		$0.00	$0.00	$0.00
01/20/16	08/04/14	130	$10,866.67	$10,642.54	$224.13		$0.00	$0.00	$0.00
PVH CORP		**693656100**							
10/30/15	04/23/14	249	$22,748.50	$30,226.69	$-7,478.19		$0.00	$0.00	$0.00
10/30/15	04/24/14	1	$91.36	$121.04	$-29.68		$0.00	$0.00	$0.00
12/04/15	04/24/14	24	$1,955.74	$2,905.02	$-949.28		$0.00	$0.00	$0.00
12/04/15	08/04/14	134	$10,919.54	$14,423.13	$-3,503.59		$0.00	$0.00	$0.00
PHILLIPS 66		**718546104**							
02/11/16	12/11/14	120	$8,722.06	$8,125.74	$596.32		$0.00	$0.00	$0.00
02/11/16	01/29/15	20	$1,453.68	$1,391.16	$62.52		$0.00	$0.00	$0.00
02/11/16	11/20/14	150	$10,902.57	$11,650.06	$-747.49		$0.00	$0.00	$0.00
02/11/16	12/10/14	110	$7,995.22	$7,381.50	$613 72		$0.00	$0.00	$0.00
02/11/16	01/06/15	30	$2,180.51	$2,055.84	$124.67		$0.00	$0.00	$0.00

Long Term Transactions

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
DESCRIPTION		**CUSIP**							
SALESFORCE COM INC		**79466L302**							
05/04/15	04/23/14	120	$8,596.44	$6,665.39	$1,931.05		$0.00	$0.00	$0.00
10/21/15	04/23/14	30	$2,272.63	$1,666.35	$606.28		$0.00	$0.00	$0.00
SEMPRA ENERGY		**816851109**							
01/07/16	04/23/14	333	$29,276.89	$32,445.96	$-3,169.07		$0.00	$0.00	$0.00
SERVICENOW INC		**81762P102**							
09/22/15	08/04/14	70	$5,080.69	$3,964.46	$1,116.23		$0.00	$0.00	$0.00
03/17/16	08/04/14	40	$2,434.15	$2,265.41	$168.74		$0.00	$0.00	$0.00
03/17/16	04/23/14	220	$13,387.80	$11,774.53	$1,613.27		$0.00	$0.00	$0.00
03/30/16	04/23/14	315	$19,319.66	$16,858.99	$2,460.67		$0.00	$0.00	$0.00
03/31/16	04/23/14	315	$19,138.41	$16,858.99	$2,279.42		$0 00	$0.00	$0.00
SOUTHWESTERN ENERGY CO		**845467109**							
05/22/15	04/23/14	270	$7,311.17	$13,137.47	$-5,826.30		$0.00	$0.00	$0.00
STATE ST CORP COM		**857477103**							
05/11/15	04/23/14	430	$33,651.91	$28,275.30	$5,376.61		$0.00	$0.00	$0.00
05/12/15	04/23/14	500	$38,738.24	$32,878.25	$5,859.99		$0.00	$0.00	$0.00
UNION PACIFIC CORP		**907818108**							
01/22/16	08/04/14	80	$5,546.59	$7,837 79	$-2,291.20		$0.00	$0.00	$0.00
01/22/16	01/06/15	20	$1,386.65	$2,256.64	$-869.99		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
UNION PACIFIC CORP		**907818108**							
01/22/16	08/15/14	96	$6,655.90	$9,775.10	$-3,119.20		$0.00	$0.00	$0.00
01/22/16	04/23/14	302	$20,938.36	$29,002.39	$-8,064.03		$0.00	$0.00	$0.00
VALERO ENERGY CORP NEW		**91913Y100**							
07/27/15	04/23/14	220	$14,228.24	$12,390.49	$1,837.75		$0.00	$0.00	$0.00
12/08/15	04/23/14	190	$13,445.42	$10,700.87	$2,744.55		$0.00	$0.00	$0.00
03/17/16	04/23/14	230	$14,764.14	$12,953.69	$1,810.45		$0.00	$0.00	$0.00
VERIZON COMMUNICATIONS INC		**92343V104**							
12/08/15	04/23/14	210	$9,598 93	$9,976.24	$-377.31		$0.00	$0.00	$0.00
12/08/15	08/04/14	90	$4,113.83	$4,485.19	$-371.36		$0.00	$0.00	$0.00
RECKITT BENCKISER GROUP PLC		**B24CGK7**							
04/20/16	12/16/99	1700	$168,288.87	$14,698.36	$153,590.51		$0.00	$0.00	$0.00
ALLERGAN PLC		**G0177J108**							
01/20/16	08/04/14	12	$3,473.52	$2,574.57	$898.95		$0.00	$0.00	$0.00
ACCENTURE PLC IRELAND SHS CL A		**G1151C101**							
02/11/16	11/07/14	16	$1,494.28	$1,335.19	$159.09		$0.00	$0.00	$0.00
04/20/16	11/07/14	183	$21,165.65	$15,271.22	$5,894.43		$0.00	$0.00	$0.00
MALLINCKRODT PLC		**G5785G107**							
09/29/15	09/03/14	168	$9,713.68	$14,200.46	$-4,486.78		$0.00	$0.00	$0.00

Long Term Transactions

DESCRIPTION		CUSIP							
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Code	Adjustments	Portion Subject to 988 and Market Discount (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
MALLINCKRODT PLC		**G5785G107**							
09/29/15	09/02/14	360	$20,815.04	$29,554.99	$-8,739.95		$0.00	$0.00	$0.00
TE CONNECTIVITY LTD		**H84989104**							
10/21/15	06/03/14	80	$4,919.54	$4,831 25	$88.29		$0.00	$0.00	$0.00
10/21/15	05/02/14	120	$7,379.31	$7,113.90	$265.41		$0.00	$0.00	$0.00
10/27/15	05/02/14	90	$5,587.71	$5,335.43	$252.28		$0.00	$0.00	$0.00
10/27/15	05/01/14	130	$8,071.14	$7,702.88	$368.26		$0.00	$0.00	$0.00
10/29/15	04/30/14	80	$5,120.05	$4,723.28	$396.77		$0.00	$0.00	$0.00
10/29/15	05/01/14	130	$8,320.08	$7,702.87	$617.21		$0.00	$0.00	$0.00
AVAGO TECHNOLOGIES LTD		**Y0486S104**							
06/02/15	04/23/14	97	$13,959.95	$6,244 28	$7,715.67		$0 00	$0.00	$0.00
08/19/15	04/23/14	110	$13,285.13	$7,081.14	$6,203.99		$0.00	$0.00	$0.00
Total Long Term Gain/Loss			*$1,974,039.70*	*$1,717,753.45*	*$256,286.25*		*$0.00*	*$0.00*	*$0.00*

Feb 28, 2017 7:05 PM ET

Schedule of Realized Gains and Losses
2016 Tax Year

Prepared For:
6937-0100 – Wells Fargo
SY SYMS FOUNDATION
1 BRIDGE PLAZA NORTH, STE 275
ATTN : MARCY SYMS
FORT LEE NJ 07024-7586

EN19 SCHMIDT DENNIS

Quantity	Name	Security	Open Date (Date Acq)	Cost Amount (Cost)	Adjusted Cost Amount	Trade Price	Close Date (Date Sold)	Close Amt (Proceeds)	Close Price	Realized (Gain – Loss)	% Gain or Loss
Short											
1	AMGEN INC	AMGN	11/24/2015 C	162.16 N		162.1574	3/7/2016	146.92	146.9229	-15 24	-9 40
0.9914	CHUBB LTD	CB	1/15/2016 C	110.07 N		111.0200	1/15/2016	109.04	109.9859	-1.03	-0 94
1	CHUBB LTD	CB	1/15/2016 C	111.02 N		111.0200	3/7/2016	116.61	116.6134	5.59	5.04
2	NIKE INC CLASS B	NKE	1/20/2016 C	116.44 N		58.2159	3/7/2016	118.68	59.3402	2.24	1.92
TOTAL SHORT TERM				499.69				491.26		-8.44	

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Feb 28, 2017 7:04 PM ET

Schedule of Realized Gains and Losses
2015 Tax Year

Prepared For:
6937-0100 – Well Fargo
SY SYMS FOUNDATION
1 BRIDGE PLAZA NORTH, STE 275
ATTN : MARCY SYMS
FORT LEE NJ 07024-7586

EN19 SCHMIDT DENNIS

Quantity	Name	Security	Open Date (Date ACQ)	Cost Amount (Cost)	Adjusted Cost Amount	Trade Price	Close Date (Date Sold)	Close Amt (Proceeds)	Close Price	Realized (Gain - Loss)	% Gain or Loss
Long											
19	BAXALTA INC	BXLT	5/31/2012 C	431.09 N		22.6892	7/13/2015	580.27	30.5411	149.18	34.61
26	BAXALTA INC	BXLT	8/24/2012 C	682.86 N		26.2642	7/13/2015	794.05	30.5411	111.19	16.28
16	BAXALTA INC	BXLT	10/22/2012 C	442.87 N		27.6793	7/13/2015	488.64	30.5411	45.77	10.33
52	BAXALTA INC	BXLT	12/31/2012 C	1,540.57 N		29.6264	7/13/2015	1,588.11	30.5411	47.54	3.09
30	BAXALTA INC	BXLT	5/3/2013 C	945.44 N		31.5148	7/13/2015	916.21	30.5411	-29.23	-3.09
25	BAXALTA INC	BXLT	6/6/2013 C	777.00 N		31.0801	7/13/2015	763.51	30.5411	-13.49	-1.74
24	BAXALTA INC	BXLT	10/24/2013 C	703.27 N		29.3030	7/13/2015	732.97	30.5411	29.70	4.22
66	BAXALTA INC	BXLT	1/28/2014 C	2,024.08 N		30.6679	7/13/2015	2,015.70	30.5411	-8.38	-0.41
19	BAXTER INTERNATIONAL INC	BAX	5/31/2012 C	531.17 N		27.9563	7/16/2015	717.23	37.7497	186.06	35.03
26	BAXTER INTERNATIONAL INC	BAX	8/24/2012 C	841.40 N		32.3612	7/16/2015	981.47	37.7497	140.07	16.65
16	BAXTER INTERNATIONAL INC	BAX	10/22/2012 C	545.68 N		34.1049	7/16/2015	603.98	37.7497	58.30	10.68
52	BAXTER INTERNATIONAL INC	BAX	12/31/2012 C	1,898.21 N		36.5039	7/16/2015	1,962.94	37.7497	64.73	3.41
30	BAXTER INTERNATIONAL INC	BAX	5/3/2013 C	1,164.93 N		38.8308	7/16/2015	1,132.47	37.7497	-32.46	-2.79
25	BAXTER INTERNATIONAL INC	BAX	6/6/2013 C	957.38 N		38.2951	7/16/2015	943.72	37.7497	-13.66	-1.43
24	BAXTER INTERNATIONAL INC	BAX	10/24/2013 C	866.54 N		36.1055	7/16/2015	905.98	37.7497	39.44	4.55
66	BAXTER INTERNATIONAL INC	BAX	1/28/2014 C	2,493.96 N		37.7872	7/16/2015	2,491.45	37.7497	-2.51	-0.10
31	FACTSET RESEARCH SYS INC	FDS	8/24/2012 C	2,921.44 N		94.2403	10/29/2015	5,428.85	175.1273	2,507.41	85.83
Long											
6	AFLAC INC	AFL	5/31/2012 C	238.41 N		39.7341	3/7/2016	363.39	60.5665	124.98	52.42
11	AT & T INC	T	12/31/2012 C	367.93 N		33.4478	3/7/2016	419.32	38.1213	51.39	13.97
2	AIR PRODUCTS & CHEMICALS	APD	8/24/2012 C	166.19 N		83.0920	3/7/2016	269.04	134.5225	102.85	61.89
3	ANALOG DEVICES INC	ADI	5/31/2012 C	108.68 N		36.2255	3/7/2016	168.39	56.1294	59.71	54.94
3	AUTOMATIC DATA PROCESSIN	ADP	5/31/2012 C	136.71 N		45.5670	3/7/2016	258.10	86.0376	121.39	88.79
1	AUTOMATIC DATA PROCESSIN	ADP	8/24/2012 C	50.95 N		50.9472	3/7/2016	86.04	86.0376	35.09	68.87
1	CHEVRON CORPORATION	CVX	10/22/2012 C	112.24 N		112.2337	3/7/2016	90.26	90.2584	-21.98	-19.58

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Schedule of Realized Gains and Losses
2016 Tax Year

Prepared For:
6937-0100 — WELLS FARGO
SY SYMS FOUNDATION
1 BRIDGE PLAZA NORTH, STE 275
ATTN : MARCY SYMS
FORT LEE NJ 07024-7586

EN19 SCHMIDT DENNIS

Quantity	Name	Security	Open Date (DATE ACQ)	Cost Amount (COST)	Adjusted Cost Amount	Trade Price	Close Date (DATE SOLD)	Close Amt (Proceeds)	Close Price	Realized (GAIN – LOSS)	% Gain or Loss
Long											
5	GENL MILLS INC	GIS	5/31/2012 C	191.53 N		38.3056	3/7/2016	298.31	59.6640	106.78	55.75
2	GRAINGER W W INC	GWW	8/24/2012 C	410.09 N		205.0440	3/7/2016	449 44	224.7266	39.35	9.60
5	HARRIS CORP DEL	HRS	8/24/2012 C	232.51 N		46.5020	3/7/2016	396.32	79.2667	163.81	70.45
4	ILL TOOL WORKS INC	ITW	8/24/2012 C	239.43 N		59.8570	3/7/2016	390.78	97.6978	151.35	63.21
1	JM SMUCKER CO	SJM	8/24/2012 C	84.56 N		84.5605	3/7/2016	126.94	126.9357	42.38	50.12
3	JOHNSON & JOHNSON	JNJ	8/24/2012 C	202.69 N		67.5654	3/7/2016	319.72	106.5779	117.03	57.74
3	JOHNSON & JOHNSON	JNJ	10/22/2012 C	214.50 N		71.4969	3/7/2016	319.74	106 5779	105.24	49.06
6	KELLOGG COMPANY	K	5/31/2012 C	294.05 N		49.0071	3/7/2016	449.46	74.9109	155.41	52.85
3	LOWES COMPANIES INC	LOW	2/5/2013 C	115.11 N		38.3685	3/7/2016	211.97	70.6567	96.86	84.15
3	MCDONALDS CORP	MCD	5/31/2012 C	268.61 N		89.5374	3/7/2016	350.68	116.8964	82.07	30.55
14	MEDTRONIC PLC	MDT	1/27/2015 C	1,077.30 N		76.9500	3/7/2016	1,061.15	75.7975	-16.15	-0.19
3	MICROSOFT CORP	MSFT	5/31/2012 C	87.65 N		29.2177	3/7/2016	152.95	50.9861	65.30	74.50
9	MICROSOFT CORP	MSFT	8/24/2012 C	273.93 N		30.4360	3/7/2016	458.87	50 9861	184.94	67.51
2	NEXTERA ENERGY INC	NEE	8/24/2012 C	135.05 N		67.5236	3/7/2016	228.74	114.3714	93.69	69.37
5	NORDSTROM INC	JWN	5/31/2012 C	237.95 N		47.5912	3/7/2016	273.08	54.6188	35.13	14.76
4	NORFOLK SOUTHERN CORP	NSC	8/24/2012 C	293.78 N		73.4437	3/7/2016	317.10	79.2777	23.32	7.94
2	NOVARTIS AG	NVS	5/31/2012 C	104.14 N		52.0658	3/7/2016	144.92	72.4590	40.78	39.16
5	PAYCHEX INC	PAYX	5/31/2012 C	149.95 N		29.9900	3/7/2016	262.63	52.5279	112.68	75.15
2	PEPSICO INCORPORATED	PEP	8/24/2012 C	146.34 N		73.1671	3/7/2016	198.43	99.2142	52.09	35.60
3	PHILLIPS 66	PSX	8/3/2012 C	118.59 N		39.5300	3/7/2016	261.61	87.2071	143.02	120.60
2	POLARIS INDS INC	PII	12/31/2012 C	166.45 N		83.2215	3/7/2016	187.25	93.6245	20 80	12.50
2	PRAXAIR INC	PX	8/24/2012 C	213.98 N		106.9900	3/7/2016	216.53	108.2641	2.55	1.19
4	PROCTER & GAMBLE CO	PG	5/31/2012 C	249.35 N		62.3356	3/7/2016	332.81	83.2062	83.46	33.47
2	SCANA CORP COM	SCG	8/24/2012 C	95.66 N		47.8254	3/7/2016	131.50	65.7517	35.84	37.47
5	SOUTHERN COMPANY	SO	8/24/2012 C	229.08 N		45.8157	3/7/2016	242.60	48.5217	13.52	5.90
4	SYSCO CORPORATION	SYY	5/31/2012 C	111.53 N		27.8828	3/7/2016	180.18	45.0443	68.65	61.55
3	TARGET CORP	TGT	8/24/2012 C	191.23 N		63.7410	3/7/2016	241.98	80.6633	50.75	26.54
3	3M CO	MMM	5/31/2012 C	253 62 N		84.5399	3/7/2016	480.44	160.1491	226.82	89.43
3	VF CORPORATION	VFC	8/24/2012 C	112.48 N		37.4929	3/7/2016	197.22	65.7395	84.74	75.34
1	WAL-MART STORES INC	WMT	8/24/2012 C	72.08 N		72.0760	3/7/2016	67.86	67.8632	-4 22	-5 85

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Schedule of Realized Gains and Losses
2016 Tax Year

Prepared For:
6937-0100 - Wells Fargo
SY SYMS FOUNDATION
1 BRIDGE PLAZA NORTH, STE 275
ATTN : MARCY SYMS
FORT LEE NJ 07024-7586

EN19 SCHMIDT DENNIS

Quantity	Name	Security	Open Date (Date Acq)	Cost Amount (Cost)	Adjusted Cost Amount	Trade Price	Close Date (Date Sold)	Close Amt (Proceeds)	Close Price	Realized (Gain - Loss)	% Gain or Loss
Long											
3	WEC ENERGY GROUP INC	WEC	8/24/2012 C	114.73 N		38.2410	3/7/2016	170.56	56.8537	55.83	48.66
3	CLOROX COMPANY	CLX	5/31/2012 C	206.92 N		68.9761	3/7/2016	370.24	123.4165	163 32	78.93
3	COLGATE PALMOLIVE CO	CL	8/24/2012 C	158.35 N		52.7833	3/7/2016	202.62	67.5400	44.27	27.96
136	CONOCOPHILLIPS	COP	5/1/2013 C	8,189.92 N		60.2200	1/26/2016	4,707.21	34 6125	-3,482 71	-42 52
32	CONOCOPHILLIPS	COP	5/3/2013 C	1,981.60 N		61.9250	1/26/2016	1,107 58	34.6125	-874 02	-44 11
42	CONOCOPHILLIPS	COP	6/6/2013 C	2,593.81 N		61.7573	1/26/2016	1,453.69	34.6125	-1,140 12	-43 96
27	CONOCOPHILLIPS	COP	10/24/2013 C	1,980 36 N		73.3468	1/26/2016	934.52	34 6125	-1,045 84	-52.81
85	CONOCOPHILLIPS	COP	1/28/2014 C	5,624.11 N		66.1660	1/26/2016	2,942.02	34.6125	-2,682 09	-47 69
2	EATON VANCE CORP NON VTG	EV	8/24/2012 C	52.50 N		26.2483	3/7/2016	62.84	31.4210	10.34	19.70
5	EMERSON ELECTRIC CO	EMR	5/31/2012 C	233.89 N		46.7768	3/7/2016	258.66	51.7342	24.77	10.59
5	EVERSOURCE ENERGY	ES	8/24/2012 C	189.11 N		37.8212	3/7/2016	280.53	56.1066	91.42	48.34
2	EXXON MOBIL CORP	XOM	5/31/2012 C	159 18 N		79.5855	3/7/2016	168 12	84.0577	8.94	5.62
3	GENL DYNAMICS CORP COM	GD	5/31/2012 C	191.57 N		63.8555	3/7/2016	396 04	132.0180	204.47	106.73
				49,198.27				46707.93		-2490.34	

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Schedule of Realized Gains and Losses
2015 Tax Year

Prepared For:
6028-8285 — Wells Fargo
SY SYMS FOUNDATION
1 BRIDGE PLAZA NORTH, STE 275
ATTN : MARCY SYMS
FORT LEE NJ 07024-7586

EN19 SCHMIDT DENNIS

Quantity	Name	Security	Open Date (DATE ACQ)	Cost Amount (COST)	Adjusted Cost Amount	Trade Price	Close Date (DATE SOLD)	Close Amt (Proceeds)	Close Price	Realized (GAIN - LOSS)	% Gain or Loss
Long											
118	CAESAR STONE SDOT YAM	CSTE	4/15/2014 C	6,273 30 N		53.1635	11/2/2015	4,251 47	36.0301	-2,021 83	-32 23
119	CAESAR STONE SDOT YAM	CSTE	4/15/2014 C	6,326.45 N		53 1635	11/3/2015	4,336 17	36 4391	-1,990 28	-31 46
88	CARPENTER TECHNOLOGY	CRS	4/15/2014 C	5,507.89 N		62.5896	6/19/2015	3,709.32	42.1522	-1,798 57	-32 65
171	HCC INS HLDGS INC	404132-10-2	4/15/2014 C	7,691.75 N		44 9810	6/19/2015	13,190 36	77.1380	5,498.61	71 49
223	TRIMBLE NAVIGATION LTD	TRMB	4/15/2014 C	8,299.55 N		37 2177	6/19/2015	5,244.15	23.5168	-3,055 40	-36 81
Long											
62	HARMAN INTL INDS INC NEW	HAR	4/15/2014 C	6,391 60 N		103 0902	1/21/2016	4,753.85	76 6764	-1,637 75	-25 62
276	MANHATTAN ASSOCIATES INC	MANH	4/15/2014 C	8,940 27 N		32.3922	2/1/2016	15,679 46	56.8107	6,739 19	75.38
70	NXP SEMICONDUCTORS NV	NXPI	4/15/2014 C	3,995.36 N		57 0765	1/21/2016	5,077 23	72 5332	1,081.87	27.08
115	SM ENERGY CO COM	SM	4/15/2014 C	8,522.65 N		74.1100	1/26/2016	1,359 03	11 8179	-7,163 62	-84 05
163	UNITED RENTALS INC	URI	4/15/2014 C	14,333.24 N		87.9340	2/26/2016	8,409 54	51 5934	-5,923 70	-41 33
140	WHITING PETRO CORP	WLL	4/15/2014 C	10,255 14 N		73 2510	1/26/2016	934.12	6 6724	-9,321 02	-90 89
TOTAL LONG TERM				86537.20				66,944.70		-19592.50	

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Schedule of Realized Gains and Losses
2016 Tax Year

Prepared For:
5837-1163 – Wells Fargo

EN19 SCHMIDT DENNIS

SY SYMS FOUNDATION
1 BRIDGE PLAZA NORTH, STE 275
ATTN : MARCY SYMS
FORT LEE NJ 07024-7586

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss
Long											
727.2730	INVESCO GLBL REAL EST Y	ASRYX	4/17/2014 C	6,516.37 N		8.9600	1/15/2016	6,000.00	8.2500	-516.37	-7 92
	TOTAL LONG TERM			6,516.37				6,000.00		-516.37	
Misc											

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Schedule of Realized Gains and Losses
2015 Tax Year

Prepared For:
5506-9702 — WELLS FARGO
SY SYMS FOUNDATION
1 BRIDGE PLAZA NORTH, STE 275
ATTN : MARCY SYMS
FORT LEE NJ 07024-7586

EN19 SCHMIDT DENNIS

Quantity	Name	Security	Open Date (DATE ACQ)	Cost Amount (COST)	Adjusted Cost Amount	Trade Price	Close Date (DATE SOLD)	Close Amt (Proceeds)	Close Price	Realized (Gain -Loss)	% Gain or Loss
Short											
50	BANK MONTREAL QUEBEC	BMO	7/16/2014 C	3,775.40 N		75 5080	6/19/2015	3,003.35	60.0681	-772 05	-20 45
28	BANK MONTREAL QUEBEC	BMO	8/15/2014 C	2,057.33 N		73.4762	6/19/2015	1,681 88	60 0681	-375 45	-18 25
42	NATIONAL GRID PLC SA NEW	NGG	7/16/2014 C	3,182.16 N		75 7656	6/19/2015	2,857.52	68 0374	-324 64	-10 20
15	NATIONAL GRID PLC SA NEW	NGG	8/15/2014 C	1,098 74 N		73.2496	6/19/2015	1,020.54	68 0374	-78 20	-7 12
39	NATIONAL GRID PLC SA NEW	NGG	12/19/2014 C	2,773 29 N		71.1099	6/19/2015	2,653.42	68 0374	-119 87	-4.32
Short											
0.6000	SOUTH32 LTD ADR W/I	SOUHY	5/29/2015 C	5 40 N		9.0000	5/29/2015	4 95	8.2500	-0 45	-8 33
63	SOUTH32 LTD ADR	SOUHY	5/29/2015 C	567.00 N		9 0000	6/1/2015	512 82	8 1400	-54 18	-9 56
319	SUMITOMO MITSUI FINL SA	SMFG	7/16/2014 C	2,634 94 N		8 2600	6/19/2015	2,684.24	8 4147	49 30	1 87
176	SUMITOMO MITSUI FINL SA	SMFG	8/15/2014 C	1,420.32 N		8.0700	6/19/2015	1,480.97	8 4147	60.65	4 27
	TOTAL SHORT TERM			17,514.58				15,899.69		-1,614.89	

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Schedule of Realized Gains and Losses
2015 Tax Year

Prepared For:
5506-9702 — WElls FArgo
SY SYMS FOUNDATION
1 BRIDGE PLAZA NORTH, STE 275
ATTN : MARCY SYMS
FORT LEE NJ 07024-7586

EN19 SCHMIDT DENNIS

Quantity	Name	Security	Open Date (date acq)	Cost Amount (Cost)	Adjusted Cost Amount	Trade Price	Close Date (date sold)	Close Amt (Proceeds)	Close Price	Realized (Gain -Loss)	% Gain or Loss
Long											
43	BASF SE SPONSORED ADR	BASFY	4/16/2014 C	4,644.00 N		108 0000	11/4/2015	3,586 64	83 4118	-1,057 36	-22 77
69	BANK MONTREAL QUEBEC	BMO	4/16/2014 C	4,746 15 N		68 7848	6/19/2015	4,144.62	60.0681	-601 53	-12 67
26	BANK MONTREAL QUEBEC	BMO	5/15/2014 C	1,788.02 N		68 7700	6/19/2015	1,561 74	60 0681	-226 28	-12.66
25	BANK MONTREAL QUEBEC	BMO	6/16/2014 C	1,772 75 N		70 9100	6/19/2015	1,501.67	60 0681	-271 08	-15 29
250	DEUTSCHE BOERSE AG-UNSPO	DBOEY	4/16/2014 C	1,814.76 N		7.2590	11/4/2015	2,198 56	8.7944	383.80	21 15
43	EATON CORP PLC	ETN	4/16/2014 C	3,047.68 N		72.5265	9/1/2015	2,371.84	55.1603	-675 84	-21 67
32	EATON CORP PLC	ETN	5/15/2014 C	2,250 56 N		71.9800	9/1/2015	1,765 09	55 1603	-485 47	-21 08
29	EATON CORP PLC	ETN	6/16/2014 C	2,154 67 N		75 9490	9/1/2015	1,599.62	55.1603	-555 05	-25 20
61	EATON CORP PLC	ETN	7/16/2014 C	4,754.95 N		79.6000	9/1/2015	3,364 72	55 1603	-1,390 23	-28 63
35	EATON CORP PLC	ETN	8/15/2014 C	2,314 04 N		67 7656	9/1/2015	1,930.59	55.1603	-383 45	-16 17
22	ELI LILLY & CO	LLY	4/16/2014 C	1,312.85 N		59 6756	9/1/2015	1,771.85	80 5402	459 00	34.96
17	ELI LILLY & CO	LLY	5/15/2014 C	995 18 N		58.5399	9/1/2015	1,369 16	80 5402	373.98	37 58
129	MICHELIN (CGDE) UNSP ADR	MGDDY	4/16/2014 C	3,209.48 N		24.8300	6/19/2015	2,844.64	22.0519	-364 84	-11 37
58	MICHELIN (CGDE) UNSP ADR	MGDDY	5/15/2014 C	1,390.13 N		23.9200	6/19/2015	1,278 98	22 0519	-111 15	-8 00
29	MICHELIN (CGDE) UNSP ADR	MGDDY	6/16/2014 C	726 74 N		25.0100	6/19/2015	639.50	22.0519	-87 24	-12 00
6	NATIONAL GRID PLC SA NEW	NGG	4/16/2014 C	418.72 N		69.7880	6/19/2015	408 21	68 0374	-10 51	-2 51
21	NATIONAL GRID PLC SA NEW	NGG	5/15/2014 C	1,520.61 N		72.4100	6/19/2015	1,428.76	68 0374	-91 85	-6 04
21	NATIONAL GRID PLC SA NEW	NGG	6/16/2014 C	1,544.76 N		73.5600	6/19/2015	1,428.76	68.0374	-116 00	-7 51
94	PFIZER INCORPORATED	PFE	4/16/2014 C	2,828.46 N		30 0899	9/1/2015	2,975.44	31 6541	146.98	5.20
68	PRUDENTIAL PLC	PUK	4/16/2014 C	2,953.24 N		43 4300	6/19/2015	3,394 83	49 9250	441 59	14 95
16	PRUDENTIAL PLC	PUK	5/15/2014 C	746.41 N		46.6505	6/19/2015	798 79	49.9250	52 38	7 02
76	SINGAPORE TELECOMM-ADR	SGAPY	4/16/2014 C	2,264 80 N		29 8000	9/1/2015	2,008 68	26 4305	-256 12	-11 31
433	SUMITOMO MITSUI FINL SA	SMFG	4/16/2014 C	3,570 52 N		8 2460	6/19/2015	3,643 49	8 4147	72 97	2.04

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Schedule of Realized Gains and Losses
2015 Tax Year

Prepared For:
5506-9702 — Wells Fargo
SY SYMS FOUNDATION
1 BRIDGE PLAZA NORTH, STE 275
ATTN : MARCY SYMS
FORT LEE NJ 07024-7586

EN19 SCHMIDT DENNIS

Quantity	Name	Security	Open Date (date acq)	Cost Amount (Cost)	Adjusted Cost Amount	Trade Price	Close Date (date sold)	Close Amt (Proceeds)	Close Price	Realized (Gain - Loss)	% Gain or Loss
Long											
189	SUMITOMO MITSUI FINL SA	SMFG	5/15/2014 C	1,498.01 N		7 9260	6/19/2015	1,590.35	8.4147	92.34	6.16
164	SUMITOMO MITSUI FINL SA	SMFG	6/16/2014 C	1,388.26 N		8.4650	6/19/2015	1,379.98	8.4147	-8.28	-0.60
9	VERIZON COMMUNICATIONS	VZ	4/16/2014 C	421.43 N		46.8265	9/1/2015	405 44	45 0500	-15 99	-3 79
37	VERIZON COMMUNICATIONS	VZ	5/15/2014 C	1,773.04 N		47 9200	9/1/2015	1,666.82	45.0500	-106 22	-5.99
38	VERIZON COMMUNICATIONS	VZ	6/16/2014 C	1,873.40 N		49.3000	9/1/2015	1,711 87	45.0500	-161 53	-8.62
75	VERIZON COMMUNICATIONS	VZ	7/16/2014 C	3,817.92 N		50 9056	9/1/2015	3,378.69	45.0500	-439 23	-11 50
40	VERIZON COMMUNICATIONS	VZ	8/15/2014 C	1,949.20 N		48.7300	9/1/2015	1,801.97	45.0500	-147 23	-7 55
147	ZURICH INSURANCE GROUP	ZURVY	4/16/2014 C	4,127 61 N		28.0790	9/1/2015	3,971.86	27.0200	-155 75	-3 77
52	ZURICH INSURANCE GROUP	ZURVY	5/15/2014 C	1,519.70 N		29.2250	9/1/2015	1,405.01	27.0200	-114.69	-7 55
65	ZURICH INSURANCE GROUP	ZURVY	6/16/2014 C	1,921 40 N		29.5600	9/1/2015	1,756.26	27.0200	-165.14	-8 59
113	ZURICH INSURANCE GROUP	ZURVY	7/16/2014 C	3,469.10 N		30.7000	9/1/2015	3,053.21	27.0200	-415 89	-11 99
56	ZURICH INSURANCE GROUP	ZURVY	8/15/2014 C	1,676.63 N		29.9399	9/1/2015	1,513.10	27.0200	-163 53	-9 75
72	ASTRAZENECA PLC SPON ADR	AZN	4/16/2014 C	2,271.42 N		31.5475	2/18/2016	2,142.91	29.7633	-128.51	-5.66
26	ASTRAZENECA PLC SPON ADR	AZN	5/15/2014 C	1,033.11 N		39.7350	2/18/2016	773.82	29.7633	-259 29	-25.10
32	ASTRAZENECA PLC SPON ADR	AZN	6/16/2014 C	1,181.28 N		36.9150	2/18/2016	952.40	29.7633	-228 88	-19 38
56	ASTRAZENECA PLC SPON ADR	AZN	7/16/2014 C	2,087.26 N		37.2726	2/18/2016	1,666.71	29.7633	-420.55	-20 15
26	ASTRAZENECA PLC SPON ADR	AZN	8/15/2014 C	888.81 N		34.1850	2/18/2016	773.84	29.7633	-114.97	-12 94
111	HSBC HOLDINGS PLC-SPONS	HSBC	4/16/2014 C	5,762.73 N		51.9164	2/18/2016	3,572.52	32.1856	-2,190 21	-38 01
24	MICHELIN (CGDE) UNSP ADR	MGDDY	6/16/2014 C	601.44 N		25.0100	2/18/2016	451.22	18.8015	-150 22	-24.98
103	MICHELIN (CGDE) UNSP ADR	MGDDY	7/16/2014 C	2,408.83 N		23.3400	2/18/2016	1,936.51	18.8015	-472 32	-19.61
59	MICHELIN (CGDE) UNSP ADR	MGDDY	8/15/2014 C	1,260.99 N		21.3300	2/18/2016	1,109.26	18.8015	-151 73	-12 03
164	MICHELIN (CGDE) UNSP ADR	MGDDY	12/19/2014 C	2,964.05 N		18.0374	2/18/2016	3,083.40	18.8015	119.35	4.03
TOTAL LONG TERM				98,665.10				88,113.33		- 10,551.77	

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Schedule of Realized Gains and Losses
2015 Tax Year

Prepared For:
7722-0138 – WEllS FARGO
SY SYMS FOUNDATION
1 BRIDGE PLAZA NORTH, STE 275
ATN : MARCY SYMS
FORT LEE NJ 07024-7586

EN19 SCHMIDT DENNIS

Quantity	Name		Security	Open Date (date ACQ)	Cost Amount (COST)	Adjusted Cost Amount	Trade Price	Close Date (date sold)	Close Amt (Proceeds)	Close Price	Realized (Gain - LOSS)	% Gain or Loss
Short												
0.6000	SOUTH32 LTD	ADR W/I	SOUHY	5/29/2015 C	5.40 N		9.0000	5/29/2015	4.95	8.2500	-0 45	-8.33
65	SOUTH32 LTD	ADR	SOUHY	5/29/2015 C	585.00 N		9.0000	12/30/2015	246.56	3 7932	-338 44	-57 85
TOTAL LOSS - ST					590.40				251.51		- 338.89	

2016 Tax Year

Prepared For:

7722-0138 — WElls FArgo

SY SYMS FOUNDATION
1 BRIDGE PLAZA NORTH, STE 275
ATN : MARCY SYMS
FORT LEE NJ 07024-7586

EN19 SCHMIDT DENNIS

Quantity	Name	Security	Open Date (DATE ACQ)	Cost Amount (COST)	Adjusted Cost Amount	Trade Price	Close Date (DATE SOLD)	Close Amt (Proceeds)	Close Price	Realized (GAIN -LOSS)	% Gain or Loss
Long											
32	DEUTSCHE POST AG ADR	DPSGY	4/15/2014 C	1,144.00 N		35.7500	1/21/2016	776.41	24.2632	-367.59	-32.13
689	ORKLA ASA	ORKLY	4/15/2014 C	5,580.59 N		8.0995	1/21/2016	5,052.97	7.3339	-527.62	-9.45
0.2220	STATOIL ASA	STO	4/15/2014 C	6.40 N		28.8170	3/10/2016	3.37	15.1802	-3.03	-47.34
Long											
48	KIRIN HOLDINGS CO.LTD	KNBWY	4/15/2014 C	663.84 N		13.8300	7/28/2015	715.54	14.9072	51.70	7.79
231	KIRIN HOLDINGS CO LTD	KNBWY	4/15/2014 C	3,194.73 N		13.8300	7/29/2015	3,489 93	15.1082	295.20	9.24
1,009	NORTH ATLANTIC DRILLING	G6613P-20-2	8/25/2014 C	10,286.05 N		10.1943	11/6/2015	795.18	0.7881	-9,490 87	-92.27
TOTAL LOSS: -LT				20,875.61				10,833.40		-10,042.21	

Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order See *Important information about your statement* at the end of this document for more information We may not adjust gains and losses for all capital changes We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accreted OID for securities issued at a discount If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted Estimates in the *Unclassified* section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
BLACKROCK US OPPORTUNTIES INSTL CL	FIFO	218 055	Dec 14, 15	Apr 21, 16	7,645 01	7,699 53		-54.52	
INVESCO GLOBAL REAL ESATE INCOME FUND CLASS Y	FIFO	113.591	Jun 19, 15	Apr 21, 16	1,005 28	1,037.09		-31 81	
	FIFO	119 288	Sep 18, 15	Apr 21, 16	1,055 70	1,043 77			11.93
	FIFO	307.584	Dec 14, 15	Apr 21, 16	2,722 12	2,596 01			126 11
	FIFO	118 514	Dec 14, 15	Apr 21, 16	1,048 85	1,000 26			48 59
	FIFO	71 366	Mar 17, 16	Apr 21, 16	631.59	632 30		-0 71	
Total					**$14,108.55**	**$14,008.96**		**-$87.04**	**$186.63**
Net short-term capital gains and losses									**$99.59**

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
BLACKROCK US OPPORTUNTIES INSTL CL	FIFO	2,637.826	Dec 12, 14	Apr 21, 16	92,482.18	100,000 00		-7,517 82	
CHAMPLAIN SMALL COMPANY FUND ADVISOR CLASS	FIFO	5,226 700	Apr 17, 14	Apr 21, 16	83,000 00	84,411 21		-1,411 21	
INVESCO GLOBAL REAL ESATE INCOME FUND CLASS Y	FIFO	8,870 941	Apr 17, 14	Apr 21, 16	78,507 83	79,483 63		-975 80	
	FIFO	120 862	Jun 20, 14	Apr 21, 16	1,069 62	1,114 35		-44 73	
	FIFO	2,575 107	Jul 15, 14	Apr 21, 16	22,789 70	24,000 00		-1,210 30	
	FIFO	178 640	Sep 19, 14	Apr 21, 16	1,580 96	1,616 69		-35.73	
	FIFO	150 929	Dec 15, 14	Apr 21, 16	1,335 73	1,379 49		-43 76	

continued next page

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	6 550	Dec 15, 14	Apr 21, 16	57 96	59 87		-1 91	
	FIFO	110 364	Mar 20, 15	Apr 21, 16	976 72	1,031 90		-55 18	
MID AMERICAN BANK MO US RT 01 0000% MAT 03/15/18 FIXED RATE CD	FIFO	100,000 000	Feb 26, 13	Apr 21, 16	99,740 00	100,000 00		-260.00	
Total					**$381,540.70**	**$393,097.14**		**-$11,556.44**	
Net long-term capital gains or losses								**-$11,556.44**	

UBS Managed Accounts Consulting
April 2016

Account name: SY SYMS FOUNDATION
Friendly account name: Schafer Int'l
Account number: WE B8395 EH

Your Financial Advisor:
BOCCIA QUINN WEALTH MANAGEMENT
212-626-8500/800-458-1764

Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order See *Important information about your statement* at the end of this document for more information We may not adjust gains and losses for all capital changes We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accreted OID for securities issued at a discount. If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted Estimates in the *Unclassified* section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
BRITISH AMER TOBACCO PLC GB SPON ADR	FIFO	36 000	Apr 15, 14	Mar 31, 16	4,213.71	4,133 02			80 69
ENGIE SPON ADR	FIFO	334 000	Apr 15, 14	Mar 31, 16	5,150 17	9,136 44		-3,986 27	
IMPERIAL BRANDS PLC SPON ADR	FIFO	63.000	Apr 15, 14	Mar 31, 16	6,990 33	5,266 80			1,723 53
MTN GROUP LTD SPON ADR	FIFO	299 000	Apr 15, 14	Mar 31, 16	2,704 40	6,147 17		-3,442 77	

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
NIPPON TELEG & TEL CORP SPON ADR	FIFO	333 000	Apr 15, 14	Mar 31, 16	14,409 90	8,676 65			5,733 25
ORKLA ASA A SHS SPON ADR -	FIFO	83 000	Apr 15, 14	Mar 31, 16	745 74	672 26			73 48
Total					**$34,214.25**	**$34,032.34**		**-$7,429.04**	**$7,610.95**
Net long-term capital gains or losses									**$181.91**
Net capital gains/losses:									**$181.91**

Account name: SY SYMS FOUNDATION
Friendly account name: SMID
Account number: WE B8394 EH

Your Financial Advisor:
BOCCIA QUINN WEALTH MANAGEMENT
212-626-8500/800-458-1764

Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order See *Important information about your statement* at the end of this document for more information We may not adjust gains and losses for all capital changes We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accreted OID for securities issued at a discount If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted Estimates in the *Unclassified* section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
CENTENE CORP	FIFO	0 796	Apr 15, 14	Mar 28, 16	49 65	41 34			8.31

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
HEALTH NET INC **MERGER EFF· 03/2016**	FIFO	318 000	Apr 15, 14	Mar 28, 16	19,256.58	10,273.08			8,983 50
Total					**$19,306.23**	**$10,314.42**			**$8,991.81**
Net long-term capital gains or losses									**$8,991.81**
Net capital gains/losses:									**$8,991.81**