

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2015
Open to Public Inspection

For calendar year 2015, or tax year beginning 05-01-2015 , and ending 04-30-2016

Name of foundation THE DONNA AND MARVIN SCHWARTZ FOUNDATION		A Employer identification number 13-7114848	
Number and street (or P O box number if mail is not delivered to street address) 1290 AVE OF THE AMERICAS No FL 40		Room/suite	B Telephone number (see instructions) (212) 509-6700
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10104		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☒ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 95,623,970		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)			

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>		Revenue and expenses per books (a)	Net investment income (b)	Adjusted net income (c)	Disbursements for charitable purposes (d) (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	7,346,625			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	1,572,127	1,572,127		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	602,413			
	b Gross sales price for all assets on line 6a 27,861,447				
	7 Capital gain net income (from Part IV, line 2) . . .		7,715,838		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	 13,109	13,109		
	12 Total. Add lines 1 through 11	9,534,274	9,301,074		
	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule).				
	b Accounting fees (attach schedule).	 73,500	73,500		0
	c Other professional fees (attach schedule)				
	17 Interest	274,937	274,937		0
	18 Taxes (attach schedule) (see instructions)	 15,106	106		0
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings.				
	22 Printing and publications				
	23 Other expenses (attach schedule).	 26,208	9,404		0
	24 Total operating and administrative expenses. Add lines 13 through 23	389,751	357,947		0
	25 Contributions, gifts, grants paid	4,725,890			4,725,890
	26 Total expenses and disbursements. Add lines 24 and 25	5,115,641	357,947		4,725,890
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	4,418,633			
	b Net investment income (if negative, enter -0-)		8,943,127		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions).			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	68,030,956	62,381,636	95,549,656
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)	390,878	74,314	74,314	
16	Total assets(to be completed by all filers—see the instructions Also, see page 1, item I)	68,421,834	62,455,950	95,623,970	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule).			
	22	Other liabilities (describe ▶ _____)	14,593,576	4,209,059	
	23	Total liabilities(add lines 17 through 22)	14,593,576	4,209,059	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	0	0	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	53,828,258	58,246,891	
	30	Total net assets or fund balances(see instructions)	53,828,258	58,246,891	
	31	Total liabilities and net assets/fund balances(see instructions)	68,421,834	62,455,950	

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	53,828,258
2	Enter amount from Part I, line 27a	2	4,418,633
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	58,246,891
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	58,246,891

Part IV

Capital Gains and Losses for Tax on Investment Income

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	Date sold (d) (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
a	See Additional Data Table		
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) (l)
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
a	See Additional Data Table		
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	7,715,838
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	5,420,932	107,277,232	0 050532
2013	4,851,118	100,842,461	0 048106
2012	4,399,551	85,732,743	0 051317
2011	3,699,645	82,053,559	0 045088
2010	4,357,158	84,431,384	0 051606

2	Total of line 1, column (d).	2	0 246649
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 049330
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5.	4	90,502,394
5	Multiply line 4 by line 3.	5	4,464,483
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	89,431
7	Add lines 5 and 6.	7	4,553,914
8	Enter qualifying distributions from Part XII, line 4.	8	4,725,890

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VI

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a

Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1
Date of ruling or determination letter _____
(attach copy of letter if necessary—see instructions)

2

Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)

3

Add lines 1 and 2.

4

Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)

5

Tax based on investment income.Subtract line 4 from line 3 If zero or less, enter -0-

6

Credits/Payments

7

Total credits and payments Add lines 6a through 6d.

8

Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached

9

Tax due.If the total of lines 5 and 8 is more than line 7, enter amount owed

10

Overpayment.If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.

11

Enter the amount of line 10 to be Credited to 2015 estimated tax 18,192 Refunded

1

89,431

2

0

3

89,431

4

0

5

89,431

6a

7,773

6b

6c

100,000

6d

7

107,773

8

150

9

10

18,192

11

0

Part VII-A

Statements Regarding Activities

1a

During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

1a

No

b

Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities

1b

No

c

Did the foundation file Form 1120-POL for this year?

1c

No

d

Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year
(1) On the foundation \$ 0 (2) On foundation managers \$ 0

e

Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0

2

Has the foundation engaged in any activities that have not previously been reported to the IRS?
If "Yes," attach a detailed description of the activities

2

No

3

Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

3

No

4a

Did the foundation have unrelated business gross income of \$1,000 or more during the year?

4a

Yes

b

If "Yes," has it filed a tax return on Form 990-T for this year?

4b

Yes

5

Was there a liquidation, termination, dissolution, or substantial contraction during the year?
If "Yes," attach the statement required by General Instruction T

5

No

6

Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either
• By language in the governing instrument, or
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

6

Yes

7

Did the foundation have at least \$5,000 in assets at any time during the year?If "Yes," complete Part II, col (c), and Part XV

7

Yes

8a

Enter the states to which the foundation reports or with which it is registered (see instructions)
NY

b

If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation

8b

Yes

9

Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)?
If "Yes," complete Part XIV

9

No

10

Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

10

Yes

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	Yes	
14	The books are in care of ► <u>FREEDMAN CO CPA PC</u> Telephone no ► <u>(212) 509-6700</u> Located at ► <u>61 BROADWAY SUITE 1602 NEW YORK NY</u> ZIP+4 ► <u>100062704</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ► ☐ and enter the amount of tax-exempt interest received or accrued during the year ► 15			
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ►	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? 1b			
	Organizations relying on a current notice regarding disaster assistance check here. ► ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? 1c			No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). 2b			
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015</i>). 3b			
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a

During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes

☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes

☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes

☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions).

☐ Yes

☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes

☒ No

b

If any answer is "Yes" to 5a(1)–(5), did **any**of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

▶

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☐ Yes

☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes

☒ No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes

☒ No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation(If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
MARVIN C SCHWARTZ	TRUSTEE	0	0	0
2 EAST 88TH ST NEW YORK, NY 10128	0 00			
DONNA SCHWARTZ	TRUSTEE	0	0	0
2 EAST 88TH ST NEW YORK, NY 10128	0 00			

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances
NONE				

Total

number of other employees paid over \$50,000.

▶

0

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Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services. **0**

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0

Part X Minimum Investment Return

(All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	100,458,990
b	Average of monthly cash balances.	1b	226,561
c	Fair market value of all other assets (see instructions).	1c	72,770
d	Total (add lines 1a, b, and c).	1d	100,758,321
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	8,877,718
3	Subtract line 2 from line 1d.	3	91,880,603
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	1,378,209
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	90,502,394
6	Minimum investment return. Enter 5% of line 5.	6	4,525,120

Part XI Distributable Amount(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	4,525,120
2a	Tax on investment income for 2015 from Part VI, line 5.	2a	89,431
b	Income tax for 2015 (This does not include the tax from Part VI).	2b	67,519
c	Add lines 2a and 2b.	2c	156,950
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	4,368,170
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	4,368,170
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	4,368,170

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	4,725,890
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	4,725,890
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	89,431
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	4,636,459

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				4,368,170
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2015				
a From 2010.				
b From 2011.				
c From 2012.				166,176
d From 2013.				
e From 2014.				90,808
f Total of lines 3a through e.	256,984			
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ \$ 4,725,890				
a Applied to 2014, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2015 distributable amount.				4,368,170
e Remaining amount distributed out of corpus	357,720			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	614,704			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions). . .	0			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	614,704			
10 Analysis of line 9				
a Excess from 2011. . . .				
b Excess from 2012. . . .				166,176
c Excess from 2013. . . .				
d Excess from 2014. . . .				90,808
e Excess from 2015. . . .				357,720

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a

Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2015	(b) 2014	(c) 2013	(d) 2012	
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

See Additional Data Table

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number or email address of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Form 990-PF (2015)

Part XV

Supplementary Information(continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient		If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)					
a <i>Paid during the year</i> See Additional Data Table					
Total				▶ 3a	4,725,890
b <i>Approved for future payment</i>					
Total				▶ 3b	0

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2015)

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization of		
(1) Cash.	1a(1)	No
(2) Other assets.	1a(2)	No
b Other transactions		
(1) Sales of assets to a noncharitable exempt organization.	1b(1)	No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)	No
(3) Rental of facilities, equipment, or other assets.	1b(3)	No
(4) Reimbursement arrangements.	1b(4)	No
(5) Loans or loan guarantees.	1b(5)	No
(6) Performance of services or membership or fundraising solicitations.	1b(6)	No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c	No
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes
☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.		
	***** Signature of officer or trustee	2017-03-13 Date	***** Title

May the IRS discuss this return with the preparer shown below (see instr.)? ☐ Yes ☒ No

Paid Preparer Use Only	Print/Type preparer's name PAUL J FREEDMAN	Preparer's Signature	Date 2017-03-13	Check if self-employed ☐	PTIN P00725388
	Firm's name ☐ FREEDMAN & CO CPA PC			Firm's EIN ☐ 13-3598907	
	Firm's address ☐ 61 BROADWAY NEW YORK, NY 10006			Phone no (212) 509-6700	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
100 SHS TORCHMARK CORP	P	2009-07-13	2015-08-20
10000 SHS FRANKLIN ELECTRIC CO INC	D	1989-07-19	2015-11-02
10000 SHS FRANKLIN ELECTRIC CO INC	D	1989-05-11	2015-11-12
10000 SHS FRANKLIN ELECTRIC CO INC	D	1989-05-11	2015-11-23
10000 SHS HAWAIIAN HOLDINGS INC	P	2013-02-25	2016-03-04
1035 SHS SANDISK CORP	P	2014-12-23	2015-07-02
10500 SHS VIACOM INCNEW CLASS B	P	2012-11-16	2015-07-21
1070 SHS SANDISK CORP	P	2014-12-23	2015-07-02
115 SHS KOHLS CORP	P	2014-01-13	2015-10-16
12500 SHS KOHLS CORP	P	2014-01-13	2015-11-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,630		1,547	4,083
340,460		10,211	330,249
316,412		10,373	306,039
323,689		10,373	313,316
454,131		58,431	395,700
57,412		105,057	-47,645
616,942		519,306	97,636
60,454		108,610	-48,156
5,169		6,291	-1,122
565,954		683,791	-117,837

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			4,083
			330,249
			306,039
			313,316
			395,700
			-47,645
			97,636
			-48,156
			-1,122
			-117,837

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
12500 SHS NEWS CORPORATIONCLASS A	P	2015-04-28	2016-02-24
1278 SHS ***STEALTHGAS INC	P	2013-07-09	2015-10-13
130 SHS ***STEALTHGAS INC	P	2014-01-14	2015-10-19
13000 SHS KKR & CO L P DELCOM UNITS	P	2014-07-09	2015-12-29
134 SHS TORCHMARK CORP	P	2009-07-13	2015-08-20
13400 SHS INTERNATIONAL BUSINESSMACHINES CORP	P	2009-09-02	2016-01-07
1440 SHS FRANKLIN ELECTRIC CO INC	D	1989-07-19	2015-10-30
15000 SHS FRANKLIN ELECTRIC CO INC	D	1989-04-27	2016-03-30
15000 SHS FRANKLIN ELECTRIC CO INC	D	1989-05-11	2015-11-25
15000 SHS FRANKLIN ELECTRIC CO INC	D	1989-04-27	2015-10-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
133,757		199,686	-65,929
5,815		13,173	-7,358
583		1,319	-736
201,114		323,847	-122,733
7,495		2,073	5,422
1,795,409		1,557,612	237,797
47,647		1,470	46,177
485,842		15,560	470,282
486,588		15,560	471,028
487,371		15,560	471,811

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-65,929
			-7,358
			-736
			-122,733
			5,422
			237,797
			46,177
			470,282
			471,028
			471,811

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
15000 SHS KOHLS CORP	P	2014-01-15	2015-11-10
17000 SHS PULTE HOMES INC	P	2010-06-02	2016-04-12
17370 SHS SANDISK CORP	P	2014-12-23	2015-07-02
18425 SHS KKR & CO L P DELCOM UNITS	P	2013-08-08	2015-12-29
19200 SHS ***BROOKFIELD PROPERTYPARTNERS L P	P	2014-01-07	2015-08-20
19750 SHS ANTERO RESOURCES CORPORATION	P	2013-11-20	2015-12-10
20000 SHS FRANKLIN ELECTRIC CO INC	D	1989-05-11	2015-11-11
20000 SHS FRANKLIN ELECTRIC CO INC	D	1989-04-27	2015-10-29
20000 SHS FRANKLIN ELECTRIC CO INC	D	1989-05-11	2015-11-10
21250 SHS FRANKLIN ELECTRIC CO INC	D	1989-04-27	2015-10-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
679,144		805,099	-125,955
298,541		182,581	115,960
964,535		1,763,128	-798,593
285,041		370,532	-85,491
423,339		375,949	47,390
381,954		1,032,238	-650,284
647,796		20,747	627,049
668,026		20,747	647,279
658,618		20,747	637,871
709,010		22,043	686,967

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-125,955
			115,960
			-798,593
			-85,491
			47,390
			-650,284
			627,049
			647,279
			637,871
			686,967

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2172 SHS ***STEALTHGAS INC	P	2014-01-14	2015-10-13
22 SHS ***STEALTHGAS INC	P	2014-01-06	2015-10-20
23575 SHS VIACOM INCNEW CLASS B	P	2012-11-16	2015-08-20
25000 SHS FRANKLIN ELECTRIC CO INC	D	1989-04-27	2016-04-20
26244 SHS KOHLS CORP	P	2014-01-13	2015-10-16
2728 SHS ***STEALTHGAS INC	P	2014-01-14	2015-10-20
29000 SHS XEROX CORP	P	2005-07-25	2015-08-20
3000 SHS TORCHMARK CORP	P	2009-07-13	2016-02-05
30000 SHS TRAVELERS COMPANIES INC THE	P	2009-03-10	2016-02-08
3100 SHS ***STEALTHGAS INC	P	2014-01-14	2015-10-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9,882		22,043	-12,161
100		223	-123
967,625		1,165,965	-198,340
821,335		25,934	795,401
1,205,108		1,435,633	-230,525
12,385		27,686	-15,301
321,804		379,197	-57,393
157,095		46,409	110,686
3,151,437		1,035,297	2,116,140
14,102		31,462	-17,360

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-12,161
			-123
			-198,340
			795,401
			-230,525
			-15,301
			-57,393
			110,686
			2,116,140
			-17,360

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
3200 SHS ***STEALTHGAS INC	P	2014-01-14	2015-10-14
32000 SHS XEROX CORP	P	2008-05-14	2015-08-18
3372 SHS ***STEALTHGAS INC	P	2013-07-09	2015-10-08
341 SHS KOHLS CORP	P	2014-01-13	2015-10-16
3500 SH SANDISK CORP	P	2014-11-04	2015-07-02
3500 SHS TRAVELERS COMPANIES INC THE	P	2009-07-13	2016-02-08
35000 SHS ***DEEP SEA SUPPLY PLC	P	2007-03-16	2015-08-21
3670 SHS***STEALTHGAS INC	P	2014-01-14	2015-10-16
3728 SHS ***STEALTHGAS INC	P	2013-07-09	2015-10-09
37750 SHS KKR & CO L P DELCOM UNITS	P	2013-08-08	2015-12-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
14,530		32,477	-17,947
360,267		461,031	-100,764
16,169		35,588	-19,419
15,347		18,654	-3,307
197,748		326,454	-128,706
367,668		139,433	228,235
9,848		54,111	-44,263
16,663		37,247	-20,584
17,205		39,346	-22,141
584,005		762,354	-178,349

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-17,947
			-100,764
			-19,419
			-3,307
			-128,706
			228,235
			-44,263
			-20,584
			-22,141
			-178,349

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
4000 SHS ANTHEM INCCOM	P	2012-03-14	2015-07-09
4452 SHS FRANKLIN ELECTRIC CO INC	D	1989-04-27	2015-10-28
453 SHS TORCHMARK CORP	P	2009-07-13	2015-08-20
500 SHS ANTHEM INCCOM	P	2012-03-14	2015-07-09
5000 SHS FRANKLIN ELECTRIC CO INC	D	1989-04-27	2016-04-13
5000 SHS FRANKLIN ELECTRIC CO INC	D	1989-04-27	2016-03-29
5000 SHS OCCIDENTAL PETE CORP	P	2010-01-29	2015-05-22
5622 SHS ***STEALTHGAS INC	P	2013-07-09	2015-10-09
5905 SHS RANGE RESOURCES CORP	P	2013-08-09	2015-12-15
600 SHS RANGE RESOURCES CORP	P	2014-10-30	2015-12-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
636,315		269,020	367,295
148,542		4,618	143,924
27,983		7,008	20,975
79,539		33,045	46,494
162,992		5,187	157,805
163,562		5,187	158,375
381,413		383,521	-2,108
25,946		57,947	-32,001
127,105		466,558	-339,453
12,915		40,638	-27,723

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			367,295
			143,924
			20,975
			46,494
			157,805
			158,375
			-2,108
			-32,001
			-339,453
			-27,723

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
600 SHS SANDISK CORP	P	2014-12-23	2015-07-02
600 SHS SANDISK CORP	P	2014-12-23	2015-07-02
62050 SHS XEROX CORP	P	2008-05-14	2015-08-20
6438 SHS TORCHMARK CORP	P	2009-07-13	2015-08-20
7000 SHS AETNA U S HEALTHCARE INC	P	2014-12-12	2015-07-13
70000 SHS ***NORTHERN OFFSHORE	P	2009-09-22	2015-08-04
7030 SHS ***STEALTHGAS INC	P	2014-01-06	2016-01-13
7150 SHS AETNA U S HEALTHCARE INC	P	2014-12-12	2015-07-09
800 SHS ***BROOKFIELD PROPERTYPARTNERS L P	P	2014-01-07	2015-08-20
800 SHS KOHLS CORP	P	2014-01-13	2015-10-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
32,377		60,903	-28,526
32,950		60,903	-27,953
688,550		893,969	-205,419
385,627		99,594	286,033
787,596		621,903	165,693
63,248		85,311	-22,063
18,725		71,341	-52,616
812,821		635,229	177,592
16,566		15,665	901
36,025		43,763	-7,738

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-28,526
			-27,953
			-205,419
			286,033
			165,693
			-22,063
			-52,616
			177,592
			901
			-7,738

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	Date acquired (c) (mo , day, yr)	(d) Date sold (mo , day, yr)
8150 SHS RANGE RESOURCES CORP	P	2013-08-08	2015-12-15
825 SHS SANDISK CORP	P	2014-12-23	2015-07-02
8750 SHS FRANKLIN ELECTRIC CO INC	D	1989-04-27	2015-10-30
925 SHS VIACOM INCNEW CLASS B	P	2012-11-16	2015-08-20
9298 SHS FRANKLIN ELECTRIC CO INC	D	1989-04-27	2015-10-28
95000 SHS PULTE HOMES INC	P	2010-04-05	2016-04-12
9810 SHS FRANKLIN ELECTRIC CO INC	D	1989-04-11	2015-10-30
CALIFORNIA RES CORPCOM	P	2010-04-01	2016-04-06
FROM PASS THRU ACTIVITIES - BROOKFIELD PROPERTY PARTNERS LP	P	2015-04-29	2016-04-30
FROM PASS THRU ACTIVITIES - KKR & CO LP	P	2016-04-29	2016-04-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
175,429		648,230	-472,801
44,904		83,741	-38,837
289,520		9,077	280,443
36,594		45,748	-9,154
302,475		9,645	292,830
1,668,317		1,086,089	582,228
324,593		10,161	314,432
1			1
4,445			4,445
		1,379	-1,379

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-472,801
			-38,837
			280,443
			-9,154
			292,830
			582,228
			314,432
			1
			4,445
			-1,379

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
FROM PASS THRU ACTIVITIES - KKR & CO LP	P	2015-04-29	2016-04-30
LITIGATION SETTELMENTS	P	2015-04-29	2016-04-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
		40,024	-40,024
171			171

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-40,024
			171

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

MARVIN C SCHWARTZ

DONNA SCHWARTZ

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AIEF - American Israel Education Fdn Inc 251 H Street NW Washington,DC 200012604	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	75,000
American Council of Trustees & Alumni 1726 M Street NW Suite 802 Washington,DC 20036	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	25,000
American Council on Germany 14 East 60th Street Suite 1000 New York,NY 10022	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	10,000
American Folk Art Museum 2 Lincoln Square New York,NY 10023	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	49,250
American Friends of the Israel Museum 500 Fifth Ave Suite 2540 New York,NY 10110	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	7,500
Total ▶ 3a				4,725,890

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Artek Early Music Ensemble in NYC 170 West 73rd Street 3C New York, NY 10023	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	1,000
Arts Connection 520 Eighth Ave Suite 321 New York, NY 10018	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	12,500
ASPCA 424 E 92nd St New York, NY 10128	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	2,500
Bedford Historical Society 612 Old Post Road PO BOX 491 Bedford, NY 10506	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	500
Bedford PBA PO Box 351 Bedford Hills, NY 10507	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	5,000
Total ▶ 3a				4,725,890

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Bedford Presbyterian Church 44 Village Green PO BOX 280 Bedford,NY 10506	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	2,000
Bedford Riding Lanes Assn Inc PO Box 178 Bedford,NY 10506	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	1,000
Bideawee Inc 410 E 38th St New York,NY 10016	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	88,940
Blythedale Childrens Hospital 95 Bradhurst Ave Valhalla,NY 10595	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	10,000
FROM PASS THRU ENTITIY - BROOKFIELD PROPERTY PARTNERS LP 73 Front Street Hamilton, HM 12 BD	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	4
Total ▶ 3a				4,725,890

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Busch Wildlife Sanctuary Inc 2500 Jupiter Park Dr Jupiter, FL 33458	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	15,000
Camp Broaderway A BroaderWay Foundation New York, NY 10003	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	10,000
Cancer Research and Treatment Fund Inc 500 East 77th Street New York, NY 10162	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	10,000
Casa Columbia 633 Third Ave 19th Floor New York, NY 10017	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	15,000
Central Park Conservancy 14 E 60th St New York, NY 10022	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	48,940
Total ▶ 3a				4,725,890

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Columbia University 622 West 113 St New York, NY 10025	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	30,000
Concert Artists Guild 850 Seventh Avenue PH-A New York, NY 10019	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	5,000
Damon Runyon Cancer Research Fund 55 Broadway Suite 302 New York, NY 10006	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	2,326
Davidson College Development Services BOX 7174 Davidson, NC 280357174	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	2,000
Diabetes Research Institute Foundation 200 S Park Road Suite 100 Hollywood, FL 33021	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	3,000
Total ▶ 3a				4,725,890

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
East End Hospice 481 Westhampton-Riverhead Road Suite 1048 Westhampton Beach, NY 11978	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	175,000
East Side House Settlement 337 Alexander Ave Bronx, NY 10454	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	2,775
Eastport Chemical Fire Engine Co #1 Inc 21 Union Ave Eastport, NY 11941	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	100
Emory University 201 Dowman Dr Atlanta, GA 30322	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	85,100
Fidelity Charitable Services- AcctZ9700442 PO Box 770001 Cincinnati, OH 45277	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	1,000,000
Total ▶ 3a				4,725,890

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Friends of the Jewish Chapel 326 First Street Suite 22 Annapolis, MD 21403	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	10,000
Friends of the Neuberger Museum of Art 735 Anderson Hill Road Purchase, NY 10577	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	218,250
Friends of the WestHampton Ambulance Inc 3 Hazelwood Ave W Hampton Beach, NY 11978	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	100
George Jackson Academy 104 St Marks Place New York, NY 10009	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	25,000
Global Sports Development 333 South Hope Street Los Angeles, CA 90071	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	25,000
Total ▶ 3a				4,725,890

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
InterSchool Orchestras of New York 121 W 27th St Suite 902 New York, NY 10001	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	15,000
Jane Goodall Institute 1595 Spring Hill Road Suite 550 Vienna, VA 22182	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	2,000
Jewish Communal Fund - Acct 134760786 575 Madison Ave Suite 703 New York, NY 10022	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	1,400,000
Juvenile Diabetes Research Fdn - JDRF 26 Broadway New York, NY 10004	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	8,000
Lighthouse International 111 E 59th St New York, NY 10022	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	2,000
Total ▶ 3a				4,725,890

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Lincoln Center Chairman's Council 70 Lincoln Center Plaza New York, NY 100236583	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	15,000
Manhattan Institute 52 Vanderbilt Ave New York, NY 10017	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	22,350
MJCCA - Marcus Jewish Comm Center of Atla 5342 Tilly Mill Rd Dunwoody, GA 30338	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	2,000
Mount Sinai Adolescent Health Center 320 E 94th St New York, NY 10128	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	10,000
Move America Forward 8795 Folsom Blvd Suite 103 Sacramento, CA 95826	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	5,000
Total ▶ 3a				4,725,890

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Navy SEAL Foundation 1619 D Street Suite 5326 Virginia Beach, VA 23459	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	5,000
Neuberger Museum of Art 735 Anderson Hill Road Purchase, NY 10577	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	5,000
New Jersey Symphony Orchestra 60 Park Place 9th Floor Newark, NJ 07102	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	12,000
New York Historical Society 170 Central Park West New York, NY 10024	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	25,000
New York Philharmonic 10 Lincoln Center Plaza New York, NY 10023	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	298,584
Total			▶ 3a	4,725,890

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
North Shore Animal League 16 Lewyt Street Port Washington, NY 11050	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	1,500
North Shore University Hospital Auxiliary 300 Community Dr Manhasset, NY 11030	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	15,000
Overcoming Obstacles 125 Maiden Lane 5th Floor New York, NY 10038	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	5,000
Park Avenue Armory 643 Park Ave New York, NY 10065	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	25,000
PHA - Pulmonary Hypertension Assn 801 Roeder Road Suite 1000 Silver Spring, MD 20910	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	10,000
Total ▶ 3a				4,725,890

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Phi Beta Kappa Society 1606 New Hampshire Avenue NW Washington, DC 20009	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	56
POGO - Project On Government Oversight 1100 G Street NW Suite 5000 Washington, DC 20005	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	25,000
Remsenburg Community Presbyterian Church PO Box 546 Remsenburg, NY 11960	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	10,000
Resource Center of Somerset 427 Homestead Rd Hillsborough, NJ 08844	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	2,500
Rockland Farm Alliance Inc 220 South Little Tor Road New City, NY 10956	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	42,500
Total ▶ 3a				4,725,890

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Sabin Vaccine Institute 2000 Pennsylvania Ave NW Suite 7100 Washington, DC 20006	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	10,000
Team Rubicon Inc 6171 Century Blvd Suite 310 Los Angeles, CA 90045	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	10,000
The Actor's Fund of America 729 Seventh Ave Floor 10 New York, NY 10019	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	10,000
The Baruch College Fund One Bernard Baruch Way New York, NY 10010	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	325,000
The Doe Fund Inc 232 E 84th St New York, NY 10028	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	98,800
Total ▶ 3a				4,725,890

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
The Glaucoma Foundation 80 Maiden Lane Suite 1206 New York, NY 10038	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	35,000
The Jewish Museum 1109 Fifth Ave at 92nd St New York, NY 10128	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	10,000
The Metropolitan Opera Association Inc Lincoln Center New York, NY 10023	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	25,000
The New York Botanical Garden 2900 Southern Blvd Bronx, NY 10458	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	83,000
The Philadelphia Antiques Show 250 King of Prussia Road Radnor, PA 19087	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	750
Total ▶ 3a				4,725,890

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
The School for Strings 419 West 54th St New York, NY 10019	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	2,000
The Shelter for the Homeless 597 Pacific St Stamford, CT 06902	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	20,000
UJA Federation of New York 130 East 59th Street New York, NY 10022	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	47,750
Wall Street Synagogue 47 Beekman St New York, NY 10038	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	300
Wawayanda Volunteer Fire Company Inc PO Box 3 Slate Hill, NY 109730003	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	7,500
Total ▶ 3a				4,725,890

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Westhampton Beach PAC 76 Main Street Westhampton Beach, NY 11978	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	50,000
Whitney Museum of American Art 945 Madison Ave New York, NY 10021	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	9,515
Wildlife Conservation Society 2300 Southern Blvd Bronx, NY 10460	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	10,000
Wolf Conservation Center Inc PO Box 421 South Salem, NY 10590	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	10,000
Wounded Warrior Project 4899 Belfort Road Suite 300 Jacksonville, FL 32256	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	5,000
Total ▶ 3a				4,725,890

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Youth Communication 224 W 29th St 2nd Floor New York, NY 10001	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	20,000
Total ▶ 3a				4,725,890

TY 2015 Accounting Fees Schedule

Name: THE DONNA AND MARVIN SCHWARTZ
FOUNDATION

EIN: 13-7114848

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PROFESSIONAL FEES	73,500	73,500		0

TY 2015 Investments Corporate Stock Schedule

Name: THE DONNA AND MARVIN SCHWARTZ
FOUNDATION
EIN: 13-7114848

Name of Stock	End of Year Book Value	End of Year Fair Market Value
100000 SHS CISCO SYSTEMS INC	2,359,530	2,749,000
104500 SHS AMERICAN AIRLINES GROUP INC	1,809,638	3,625,105
1076 SHS CALIFORNIA RESOURCES CORP	1,290	2,367
11500 SHS OCCIDENTAL PETE CORP	777,205	881,475
115000 SHS ANADARKO PETROLEUM CORP	2,521,931	6,067,400
11575 SHS FEDEX CORP	1,982,569	1,911,148
12400 SHS GOLDMAN SACHS GROUP INC	2,359,678	2,034,964
12400 SHS UNION PACIFIC CORP	1,411,302	1,081,652
13400 SHS SANDISK CORP	1,273,560	1,006,742
140000 SHS DENBURY RESOURCES INC NEW	2,475,185	540,400
14500 SHS TRAVELERS COMPANIES INC	632,620	1,593,550
146000 SHS WHITING PETROLEUM CORPORATION	1,398,869	1,752,000
15000 SHS ANTHEM INC	693,950	1,478,085
150000 SHS DR HORTON INC *	1,841,527	4,509,000
169520 SHS DEVON ENERGY CORPORATION NEW	3,045,793	5,878,954
2000 SHS WHIRLPOOL COP	367,150	348,280
20130 SHS METLIFE INC	612,143	907,863
23000 ORACLE CORPORATION	878,135	916,780
24800 SHS CABOT OIL & GAS CORP	822,809	580,320
25000 SHS AETNA INC NEW	1,574,038	2,806,750
25000 SHS EOG RES INC	1,392,970	2,065,500
25000 SHS HAWAIIAN HOLDINGS INC	146,078	1,051,750
25000 SHS PIONEER NATURAL RESOURCES CO	792,383	4,152,500
263000 SHS PULTEGROUP INC	2,697,506	4,836,570
26900 CONCHO RESOURCES INC	2,790,704	3,124,973
30000 SHS APACHE CORP	2,716,645	1,632,000
31700 SHS AON CORP	1,628,049	3,332,304
37300 SHS LYONDELLBASELL INDUSTRIES	3,199,530	3,083,591
40000 TOLL BROTHERS INC	1,362,287	1,092,000
4840 SHS ALLERGAN PLC	1,141,309	1,048,150

Name of Stock	End of Year Book Value	End of Year Fair Market Value
50000 SHS AIRBUS GROUP	820,580	768,500
55500 SHS JPMORGAN CHASE & CO	2,147,240	3,507,600
59650 SHS LINCOLN NATIONAL CORP INC	2,010,430	2,591,793
6000 SHS WESTERN DIGITAL CORP	378,864	245,190
63600 SHS UNITED CONTINENTAL HOLDINGS INC	2,785,233	2,913,516
70800 SHS COMCAST CORP	1,183,938	4,301,808
7311 VALIDUS HOLDINGS LTD	165,956	336,964
83200 SHS LENNAR CORP	2,389,719	3,769,792
86000 SHS ASSURANT INC	2,922,172	7,273,020
90000 SHS DELTA AIR LINES INC DEL COM NEW	871,121	3,750,300

TY 2015 Other Assets Schedule

Name: THE DONNA AND MARVIN SCHWARTZ
FOUNDATION

EIN: 13-7114848

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ACCRUED DIVIDENDS	101,315	74,314	74,314
PENDING TRADES RECEIVABLE	289,563	0	0

TY 2015 Other Expenses Schedule

Name: THE DONNA AND MARVIN SCHWARTZ
FOUNDATION

EIN: 13-7114848

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OTHER EXPENSES FROM PASS THRU ACTIVITY	8,082	7,904		0
FILING FEE	1,500	1,500		0
BUSINESS EXPENSES	16,626	0		0

TY 2015 Other Income Schedule

Name: THE DONNA AND MARVIN SCHWARTZ
FOUNDATION

EIN: 13-7114848

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
SUBSTITUTE PAYMENT	7,500	7,500	7,500
OTHER INCOME FROM PASS TRHOUGH ACTIVITIES	5,609	0	5,609
oTHER INCOME FROM PASS TRHOUGH ACTIVITIES		5,609	

TY 2015 Other Liabilities Schedule

Name: THE DONNA AND MARVIN SCHWARTZ
FOUNDATION

EIN: 13-7114848

Description	Beginning of Year - Book Value	End of Year - Book Value
OVERDRAFT	13,738,924	4,209,051
PENDING TRADES PAYABLE	854,646	0
ROUNDING	6	8

TY 2015 Substantial Contributors Schedule

Name: THE DONNA AND MARVIN SCHWARTZ
FOUNDATION

EIN: 13-7114848

Name	Address
MARVIN SCHWARTZ	2 EAST 88TH ST NEW YORK, NY 10128

TY 2015 Taxes Schedule

Name: THE DONNA AND MARVIN SCHWARTZ
FOUNDATION

EIN: 13-7114848

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	15,000	0		0
FOREIGN TAX	106	106		0

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990

OMB No 1545-0047

2015

Name of the organization THE DONNA AND MARVIN SCHWARTZ FOUNDATION	Employer identification number 13-7114848
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Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)() (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. . . . ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization THE DONNA AND MARVIN SCHWARTZ FOUNDATION	Employer identification number 13-7114848
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Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	MARVIN SCHWARTZ	\$ 7,346,625	Person ☐
	2 E 88TH ST		Payroll ☐
	NEW YORK, NY 10128		Noncash ☒ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐
			Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐
			Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐
			Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐
			Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐
			Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐
			Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐
			Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)

Name of organization THE DONNA AND MARVIN SCHWARTZ FOUNDATION	Employer identification number 13-7114848
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Part II

Noncash Property
(see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	<u>50000 SHS OF FRANKLIN ELECTRIC INC</u>	<u>\$ 1,542,250</u>	<u>2015-10-27</u>
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	<u>25000 SHS FRANKLIN ELECTRIC INC</u>	<u>\$ 820,875</u>	<u>2016-04-18</u>
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	<u>50000 SHS FRANKLIN ELECTRIC INC</u>	<u>\$ 1,669,750</u>	<u>2015-10-28</u>
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	<u>50000 SHS FRANKLIN ELECTRIC INC</u>	<u>\$ 1,691,750</u>	<u>2015-11-06</u>
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	<u>25000 SHS FRANKLIN ELECTRIC INC</u>	<u>\$ 811,375</u>	<u>2015-11-23</u>
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	<u>25000 SHS FRANKLIN ELECTRIC INC</u>	<u>\$ 810,625</u>	<u>2016-03-22</u>

Name of organization THE DONNA AND MARVIN SCHWARTZ FOUNDATION	Employer identification number 13-7114848
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Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	
	_____	_____	
	--	_____	
	_____	_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	
	_____	_____	
	--	_____	
	_____	_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	
	_____	_____	
	--	_____	
	_____	_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	
	_____	_____	
	--	_____	
	_____	_____	