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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning MAY 1, 2015, and ending APR 30, 2016

Name of foundation

LAKE ROAD FOUNDATION

Number and street (or P.O. box number if mail is not delivered to street address)

C/O LEONARD SCHWARTZ 1740 BROADWAY

Room/suite

3RD FL

A Employer identification number

13-3745065

B Telephone number

212-468-4915

City or town, state or province, country, and ZIP or foreign postal code

NEW YORK, NY 10019

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationI Fair market value of all assets at end of year
(from Part II, col (c), line 16)

\$ 21,791,992.

J Accounting method:

☒ Cash☐ Accrual☐ Other (specify)

(Part I, column (d) must be on cash basis.)

C If exemption application is pending, check here ☐D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received	223,000.		N/A	
2	Check ☐ if the foundation is not required to attach Sch. B				
3	Interest on savings and temporary cash investments	11.	11.		Statement 1
4	Dividends and interest from securities	7,018.	7,018.		Statement 2
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	-7,630.			
b	Gross sales price for all assets on line 6a	695,471.			
7	Capital gain net income (from Part IV, line 2)		0.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss)				
11	Other income	2,179,000.	2,179,000.		Statement 3
12	Total (Add lines 1 through 11)	2,401,399.	2,186,029.		
13	Compensation of officers, directors, trustees, etc.	0.	0.		0.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees				
b	Accounting fees Stmt 4	7,000.	4,690.		2,310.
c	Other professional fees Stmt 5	185.	0.		0.
17	Interest				
18	Taxes Stmt 6	444.	6.		0.
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses Stmt 7	14,123.	13,373.		0.
24	Total operating and administrative expenses. Add lines 13 through 23	21,752.	18,069.		2,310.
25	Contributions, gifts, grants paid	1,486,381.			1,486,381.
26	Total expenses and disbursements. Add lines 24 and 25	1,508,133.	18,069.		1,488,691.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	893,266.			
b	Net investment income (if negative, enter -0-)		2,167,960.		
c	Adjusted net income (if negative, enter -0-)			N/A	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	2.	1.	1.
	2 Savings and temporary cash investments	1,540,179.	1,956,697.	1,956,695.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock Stmt 8	2,034,073.	2,002,409.	3,189,284.
	c Investments - corporate bonds			
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other Stmt 9	11,587,796.	12,096,209.	16,646,012.
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	15,162,050.	16,055,316.	21,791,992.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
	Foundations that follow SFAS 117, check here ▶ ☐			
	and complete lines 24 through 26 and lines 30 and 31			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	376,056.	376,056.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	14,785,994.	15,679,260.	
	30 Total net assets or fund balances	15,162,050.	16,055,316.	
	31 Total liabilities and net assets/fund balances	15,162,050.	16,055,316.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	15,162,050.
2 Enter amount from Part I, line 27a	2	893,266.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	16,055,316.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	16,055,316.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b See Attached Statement				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e 695,471.		703,101.	-7,630.	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				
b				
c				
d				
e			-7,630.	
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2 -7,630.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	903,258.	23,541,114.	.038369
2013	1,031,926.	21,697,993.	.047559
2012	1,029,703.	19,804,399.	.051994
2011	430,534.	18,651,776.	.023083
2010	16,727.	8,266,049.	.002024
2 Total of line 1, column (d)			2 .163029
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 .032606
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5			4 22,996,379.
5 Multiply line 4 by line 3			5 749,820.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 21,680.
7 Add lines 5 and 6			7 771,500.
8 Enter qualifying distributions from Part XII, line 4			8 1,488,691.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	21,680.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	21,680.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	21,680.
6 Credits/Payments:			
a 2015 estimated tax payments and 2014 overpayment credited to 2015	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7		0.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		11.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		21,691.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2016 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ DE, NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X
Website address ► N/A		
14 The books are in care of ► SCHWARTZ & GINSBERG CPA'S PC Telephone no. ► 212-468-4915		
Located at ► 1740 BROADWAY-3RD FLOOR, NEW YORK, NY ZIP+4 ► 10019		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A		
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?		1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? If "Yes," list the years ►	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?		4b X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
WENDY SANDLER	PRESIDENT			
839 ORIENTA AVE.				
MAMARONECK, NY 10543	0.00	0.	0.	0.
NEIL SANDLER	SECRETARY AND	TREASURER		
839 ORIENTA AVE.				
MAMARONECK, NY 10543	0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	22,412,973.
b	Average of monthly cash balances	1b	933,605.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	23,346,578.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	23,346,578.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	350,199.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	22,996,379.
6	Minimum investment return. Enter 5% of line 5	6	1,149,819.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,149,819.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	21,680.
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	21,680.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,128,139.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,128,139.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,128,139.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,488,691.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,488,691.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	21,680.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,467,011.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				1,128,139.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			371,644.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2015:				
a From 2010				
b From 2011				
c From 2012				
d From 2013				
e From 2014				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2015 from Part XII, line 4: ► \$ 1,488,691.				
a Applied to 2014, but not more than line 2a			371,644.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2015 distributable amount				1,117,047.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				11,092.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2011				
b Excess from 2012				
c Excess from 2013				
d Excess from 2014				
e Excess from 2015				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling

**b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed
- b** 85% of line 2a
- c** Qualifying distributions from Part XII, line 4 for each year listed
- d** Amounts included in line 2c not used directly for active conduct of exempt activities
- e** Qualifying distributions made directly for active conduct of exempt activities.

[illegible]

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d. Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED STATEMENT			GENERAL	1,486,381.
Total			3a	1,486,381.
b Approved for future payment				
None				
Total			3b	0.

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign
Here
WS

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

► President

Title

May the IRS discuss this return with the preparer shown below (see instr) ?

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date

Check ☐ self-employed

PTIN

Leonard Schwartz

Leonard Schwartz

07/07/16

P00005585

Firm's name ▶ Schwartz & Ginsberg CPA's P.C.

Firm's EIN ► 27-0288171

Firm's address ► 1740 Broadway
New York, NY 10019-4315

Phone no. 212-468-4915

Form **990-PF** (2015)

Lake Road Foundation
Distribution Checks to Charities
FYE 4/30/16

DATE	Check #	CHARITY NAME	AMOUNT
5/27/15	1960	American Jewish Congress	1,000
5/29/15	Debit	UJA Federation	500
6/9/15	Debit	Michael J Fox Foundation	100
6/10/15	Debit	Pan Mass Challenge	256
6/10/15	Debit	Leukemia & Lymphoma Society	100
6/22/15	1961	Save the Sound	360
6/24/15	1962	Sunrise Day Camp	1,500
6/26/15	1965	UJA Federation	1,000
6/30/15	1966	Northwestern University	10,000
7/6/15	1964	Northwestern University	4,227
7/22/15	Debit	MAZON A Jewish Response to Hunger	360
9/8/15	Debit	Avon Walk For Breast Cancer	180
9/8/15	Debit	Avon Walk For Breast Cancer	50
9/8/15	Debit	Komen NYC Race	100
9/18/15	Debit	Leukemia & Lymphoma Society	50
10/8/15	1969	Emelin Theatre	250
10/13/15	1971	Larchmont Temple	50,000
10/16/15	1970	Friends of Sarah Newman	950
11/3/15	Online	Student Aid Fund	1,000
11/3/15	Online	Pace Womens Justice Center	500
11/12/15	1972	Holocaust and Human Rights Education Center	360
12/9/15	Online	Birth Right Israel	10,000
12/9/15	Online	Coalition for the Homeless	5,000
12/9/15	Online	Anne Frank Center	1,000
12/9/15	Online	Facing History	10,000
12/9/15	Online	Friends of Israel Defense Force	1,000
12/9/15	Online	Mamaroneck Public Library	1,000
12/9/15	Online	Gildas Club Westchester	1,000
12/9/15	Online	Museum of Jewish Heritage	10,000
12/9/15	Online	Mamaroneck School Foundation	5,000
12/9/15	Online	My Sisters Place	1,000
12/9/15	Online	Usc Shoah Foundation	1,000
12/9/15	Online	Holocaust and Human Rights Education Center	1,000
12/9/15	Online	Holocaust and Human Rights Education Center	5,000
12/17/15	Wire	Jewish Communal Fund	677,000
12/24/15	Debit	Jewish National Fund	180
2/22/16	1974	Westchester Jewish Community Service	16,800
2/26/16	1975	Harlem Village Academics	5,000
2/29/16	1977	Sunrise Association	13,150
3/22/16	Wire	Memorial Sloan Kettering Center	250,000
3/28/16	1978	UJA Federation	360
3/28/16	1979	UJA Federation	85
3/28/16	1980	UJA Federation	10,000
3/29/16	1982	Westchester Jewish Community Service	283
3/30/16	1981	Friends of Israel Defense Force	180
4/19/16	Debit	Leukemia & Lymphoma Society	1,000
4/25/16	1983	Hebrew Union College	7,500
4/27/16	1984	Jewish Education Project	5,000
4/27/16	Wire	Jewish Communal Fund	375,000
TOTAL			1,486,381

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2015

Name of the organization

Employer identification number

LAKE ROAD FOUNDATION

13-3745065

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐

501(c)() (enter number) organization

☐

4947(a)(1) nonexempt charitable trust not treated as a private foundation

☐

527 political organization

Form 990-PF

☒

501(c)(3) exempt private foundation

☐

4947(a)(1) nonexempt charitable trust treated as a private foundation

☐

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2015)

Name of organization LAKE ROAD FOUNDATION	Employer identification number 13-3745065
---	---

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	RITA LOWENSTEIN 1060 SEVEN OAKS LANE MAMARONECK, NY 10543	\$ 223,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Employer identification number

13-3745065

[illegible]

Name of organization LAKE ROAD FOUNDATION	Employer identification number 13-3745065
---	---

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$ _____
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

LAKE ROAD FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a JPM 9003 - PER SCHEDULE ATTACHED		P		
b JPM 9003 - PER SCHEDULE ATTACHED		P		
c JPM 0001 - PER SCHEDULE ATTACHED		P		
d JPM 0001 - PER SCHEDULE ATTACHED		P		
e GLOBAL ACCESS GROWTH TE		P		
f A&Q GLOBAL DIVERSIFIED		P		
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 260,946.		270,934.	-9,988.
b 10,987.		7,175.	3,812.
c 118,404.		132,828.	-14,424.
d 707.		578.	129.
e 121.			121.
f 304,306.		291,586.	12,720.
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-9,988.
b			3,812.
c			-14,424.
d			129.
e			121.
f			12,720.
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	-7,630.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

Source	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
JP MORGAN	9.	9.	
MORGAN STANLEY	2.	2.	
Total to Part I, line 3	11.	11.	

Form 990-PF Dividends and Interest from Securities Statement 2

Source	Gross Amount	Capital Gains Dividends	(a) Revenue Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income
JP MORGAN	7,018.	0.	7,018.	7,018.	
To Part I, line 4	7,018.	0.	7,018.	7,018.	

Form 990-PF Other Income Statement 3

Description	(a) Revenue Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income
IRA - KENSICO	2,179,000.	2,179,000.	
Total to Form 990-PF, Part I, line 11	2,179,000.	2,179,000.	

Form 990-PF Accounting Fees Statement 4

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
SCHWARTZ & GINSBERG CPA PC	7,000.	4,690.		2,310.
To Form 990-PF, Pg 1, ln 16b	7,000.	4,690.		2,310.

Form 990-PF	Other Professional Fees			Statement	5
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
UNITED CORPORATE SERVICES	185.	0.		0.	
To Form 990-PF, Pg 1, ln 16c	185.	0.		0.	

Form 990-PF	Taxes			Statement	6
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
FEDERAL EXCISE TAX	438.	0.		0.	
FOREIGN TAX WITHHELD	6.	6.		0.	
To Form 990-PF, Pg 1, ln 18	444.	6.		0.	

Form 990-PF	Other Expenses			Statement	7
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
FILING FEES	750.	0.		0.	
INVESTMENT MANAGEMENT FEES	13,373.	13,373.		0.	
To Form 990-PF, Pg 1, ln 23	14,123.	13,373.		0.	

Form 990-PF	Corporate Stock		Statement	8
Description	Book Value	Fair Market Value		
13 SHS. BERKSHIRE HATHAWAY	1,569,750.	2,774,850.		
SEE ATTACHED STATEMENT #9003	214,455.	236,033.		
SEE ATTACHED STATEMENT #0001	174,239.	145,192.		
2798 SH TORTOISE MLP & PIPELINE-INC.	43,965.	33,209.		
Total to Form 990-PF, Part II, line 10b	2,002,409.	3,189,284.		

Form 990-PF

Other Investments

Statement 9

Description	Valuation Method	Book Value	Fair Market Value
KENSICO OFFSHORE FUND	COST	9,531,209.	14,226,141.
A & q GLOBAL DIVERS STRAT	COST	0.	0.
750 SH GLOBAL ACCESS GROWTH LTD LLC	COST	750,000.	727,977.
BLOOM TREE OFFSHORE FUND	COST	1,015,000.	909,566.
BALYASNY ATLAS ENHANCED	COST	500,000.	480,761.
300 SH SHARPE POINT LTD	COST	300,000.	301,567.
Total to Form 990-PF, Part II, line 13		12,096,209.	16,646,012.



LAKE ROAD FDN - OAP FTE ACCT. N02439003
For the Period 4/1/16 to 4/30/16

Note P indicates position adjusted for Pending Trade Activity

Equity Detail

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
US Large Cap Equity							
ADOBE SYSTEMS INC 00724F-10-1 ADBE	94 22	86 000	8,102 92	7,331 18	771 74		
ADVANCE AUTO PARTS INC 00751Y-10-6 AAP	156 10	52 000	8,117 20	7,777 69	339 51	12 48	0 15%
ALPHABET INC/CA-CL A 02079K-30-5 GOOGL	707 88	13 000	9,202 44	6,876 14	2,326 30		
P APPLE INC 037833-10-0 AAPL	93 74	80 000	7,499 20	7,759 07	(259 87)	182 40	2 43%
BANK OF AMERICA CORP 060505-10-4 BAC	14 56	685 000	9,973 60	10,104 17	(130 57)	137 00	1 37%
BANKUNITED INC 06652K-10-3 BKU	34 50	147 000	5,071 50	4,844 93	226 57	123 48	2 43%
BOSTON SCIENTIFIC CORP 101137-10-7 BSX	21 92	418 000	9,162 56	7,404 89	1,757 67		
P COSTCO WHOLESALE CORP 22160K-10-5 COST	148 13	78 000	11,554 14	11,764 22	(210 08)	140 40 26 55	1 22%
ELECTRONIC ARTS INC 285512-10-9 EA	61 85	118 000	7,298 30	8,881 31	(1,583 01)		
EXXON MOBIL CORP 30231G-10-2 XOM	88 40	66 000	5,834 40	5,087 95	746 45	198 00	3 39%
FACEBOOK INC-A 30303M-10-2 FB	117 58	105 000	12,345 90	8,036 20	4,309 70		

J.P.Morgan



LAKE ROAD FDN - OAP FTE ACCT. NO2439003
For the Period 4/1/16 to 4/30/16

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
US Large Cap Equity							
GENERAL ELECTRIC CO 369604-10-3 GE	30 75	341 000	10,485 75	9,417 11	1,068 64	313 72	2 99%
GILEAD SCIENCES INC 375558-10-3 GILD	88 21	82 000	7,233 22	8,420 82	(1,187 60)	154 16	2 13%
GOLDMAN SACHS GROUP INC 38141G-10-4 GS	164 11	47 000	7,713 17	7,099 67	613 50	122 20	1 58%
HCA HOLDINGS INC 40412C-10-1 HCA	80 62	122 000	9,835 64	9,041 88	793 76		
HOME DEPOT INC 437076-10-2 HD	133 89	76 000	10,175 64	6,229 07	3,946 57	209 76	2 06%
MERCK & CO. INC. 58933Y-10-5 MRK	54 84	138 000	7,567 92	7,292 99	274 93	253 92	3 36%
METLIFE INC 59156R-10-8 MET	45 10	168 000	7,576 80	7,070 63	506 17	268 80	3 55%
MOHAWK INDUSTRIES INC 608190-10-4 MHK	192 63	33 000	6,356 79	6,497 10	(140 31)		
NEWELL BRANDS, INC., 651229-10-6 NWL	45 54	242 000	11,020 68	10,296 00	724 68	183 92	1 67%
PHILLIPS 66 718546-10-4 PSX	82 11	78 000	6,404 58	5,880 30	524 28	174 72	2 73%
SALESFORCE.COM INC 79466L-30-2 CRM	75 80	149 000	11,294 20	10,361 76	932 44		
STANLEY BLACK & DECKER INC 854502-10-1 SWK	111 92	117 000	13,094 64	9,752 15	3,342 49	257 40	1 97%
SYNCHRONY FINANCIAL 87165B-10-3 SYF	30 57	174 000	5,319 18	4,613 72	705 46		



LAKE ROAD FDN - OAP FTE ACCT N02439003
For the Period 4/1/16 to 4/30/16

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
US Large Cap Equity							
THE KRAFT HEINZ CO 500754-10-6 KHC	78.07	97 000	7,572.79	7,027.24	545.55	223.10	2.95%
VALERO ENERGY CORP 81913Y-10-0 VLO	58.87	81 000	4,768.47	4,876.49	(108.02)	194.40	4.08%
VISA INC-CLASS A SHARES 92826C-83-9 V	77.24	83 000	6,410.92	4,185.81	2,225.11	46.48	0.73%
VULCAN MATERIALS CO 929160-10-9 VMC	107.63	84 000	9,040.92	7,767.11	1,273.81	67.20	0.74%
Total US Large Cap Equity			\$236,033.47	\$211,697.60	\$24,335.87	\$3,263.54 \$26.55	1.38%



LAKE ROAD FDN - TAP ENERGY ACCT. N02440001
For the Period 4/1/16 to 4/30/16

Note P Indicates position adjusted for Pending Trade Activity

Equity Detail

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
US All Cap Equity							
AIR PRODUCTS & CHEMICALS INC 009158-10-6 APD	145 89	13 000	1,896 57	1,774 97	121 60	44 72 11 18	2 36 %
ANADARKO PETROLEUM CORP 032511-10-7 APC	52 76	33 000	1,741 08	2,805 25	(1,064 17)	6 60	0 38 %
APACHE CORP 037411-10-5 APA	54 40	28 000	1,523 20	2,048 75	(525 55)	28 00 7 00	1 84 %
AXIALL CORP 05463D-10-0 AXLL	23 55	125 000	2,943 75	3,409 45	(465 70)	80 00	2 72 %
BAKER HUGHES INC 057224-10-7 BHI	48 36	19 000	918 84	1,070 49	(151 65)	12 92	1 41 %
BERRY PLASTICS GROUP INC 08579W-10-3 BERY	36 02	33 000	1,188 66	1,207 83	(19 17)		
BUILDERS FIRSTSOURCE INC 12008R-10-7 BLDR	11 09	69 000	765 21	829 57	(64 36)		
CARBO CERAMICS INC 140781-10-5 CRR	14 85	60 000	891 00	2,729 72	(1,838 72)		
CENTERPOINT ENERGY INC 15189T-10-7 CNP	21 45	182 000	3,903 90	4,335 81	(431 91)	187 46	4 80 %
CHICAGO BRIDGE & IRON CO NV 167250-10-9 CBI	40 25	92 000	3,703 00	5,449 02	(1,746 02)	25 76	0 70 %
CIMAREX ENERGY CO 171798-10-1 XEC	108 88	22 000	2,395 36	2,392 61	2 75	7 04	0 29 %

J.P. Morgan



LAKE ROAD FDN - TAP ENERGY ACCT. NO2440001
For the Period 4/1/16 to 4/30/16

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
US All Cap Equity							
CLEAN HARBORS INC 184496-10-7 CLH	49 40	72 000	3,556 80	3,390 66	166 14		
COLUMBIA PIPELINE GROUP -W/I 198280-10-9 CPGX	25 62	127 000	3,253 74	3,073 92	179 82	67 94 16 99	2 09%
CONSOL ENERGY INC 20854P-10-9 CNX	15 05	133 000	2,001 65	4,576 54	(2,574 89)	5 32	0 27%
DELEK US HOLDINGS INC 246647-10-1 DK	15 89	57 000	905 73	1,278 38	(372 65)	34 20	3 78%
DELTA AIR LINES INC 247361-70-2 DAL	41 67	24 000	1,000 08	1,214 26	(214 18)	12 96	1 30%
DEVON ENERGY CORP 25179M-10-3 DVN	34 68	46 000	1,595 28	2,438 15	(842 87)	11 04	0 69%
DOMINION RESOURCES INC/VA 25746U-10-9 D	71 47	38 000	2,715 86	2,774 00	(58 14)	106 40	3 92%
DOW CHEMICAL CO/THE 260543-10-3 DOW	52 61	39 000	2,051 79	1,982 67	69 12	71 76	3 50%
DU PONT (E.I.) DE NEMOURS 263534-10-9 DD	65 91	50 000	3,295 50	3,234 83	60 67	76 00	2 31%
DXP ENTERPRISES INC 233377-40-7 DXPE	21 85	49 000	1,070 65	4,281 38	(3,210 73)		
ECOLAB INC 278865-10-0 ECL	114 98	4 000	459 92	472 52	(12 60)	5 60	1 22%
EMERSON ELECTRIC CO 291011-10-4 EMR	54 63	61 000	3,332 43	4,001 10	(668 67)	115 90	3 48%
ENERGEN CORP 29265N-10-8 EGN	42 49	72 000	3,059 28	4,759 73	(1,700 45)		



LAKE ROAD FDN - TAP ENERGY ACCT. NO2440001
For the Period 4/1/16 to 4/30/16

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc. Accrued Div	Yield
US All Cap Equity							
EOG RESOURCES INC 26875P-10-1 EOG	82 62	55 000	4,544 10	5,180 12	(636 02)	36 85	0 81 %
EQT CORP 26884L-10-9 EQT	70 10	24 000	1,682 40	2,357 27	(674 87)	2 88	0 17 %
EXXON MOBIL CORP 30231G-10-2 XOM	88 40	13 000	1,149 20	1,106 18	43 02	39 00	3 39 %
FEDEX CORP 31428X-10-6 FDX	165 11	14 000	2,311 54	2,105 55	205 99	14 00	0 61 %
FLOWSERVE CORP 34354P-10-5 FLS	48 81	143 000	6,979 83	10,803 40	(3,823 57)	108 68	1 56 %
FMC CORP 302491-30-3 FMC	43 26	15 000	648 90	618 87	30 03	9 90	1 53 %
HESS CORP 42809H-10-7 HES	59 62	27 000	1,609 74	2,036 87	(427 13)	27 00	1 68 %
HONEYWELL INTERNATIONAL INC 438516-10-6 HON	114 27	22 000	2,513 94	2,082 38	431 56	52 36	2 08 %
HUNTSMAN CORP 447011-10-7 HUN	15 74	21 000	330 54	172 85	157 69	10 50	3 18 %
IDEX CORP 45167R-10-4 IEX	81 90	39 000	3,194 10	2,931 88	262 22	53 04	1 66 %
JACOBS ENGINEERING GROUP INC 469814-10-7 JEC	44 58	58 000	2,585 64	3,461 84	(876 20)		
KINDER MORGAN INC 49456B-10-1 KMI	17 76	124 000	2,202 24	3,188 71	(986 47)	62 00 15 50	2 82 %
KIRBY CORP 497266-10-6 KEX	63 82	45 000	2,871 90	4,175 01	(1,303 11)		

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LAKE ROAD FDN - TAP ENERGY ACCT. NO2440001
For the Period 4/1/16 to 4/30/16

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
US All Cap Equity							
P KRATON PERFORMANCE POLYMERS 50077C-10-6 KRA	22 71	28 000	635 88	661 91	(26 03)		
P LAREDO PETROLEUM INC 516806-10-6 LPI	12 18			0 00			
LINCOLN ELECTRIC HOLDINGS 533900-10-6 LECO	62 67	54 000	3,384 18	3,963 28	(579 10)	69 12	2 04%
MARATHON OIL CORP 565849-10-6 MRO	14 09	147 000	2,071 23	3,147 61	(1,076 38)	29 40	1 42%
MARTIN MARIETTA MATERIALS 573284-10-6 MLM	169 23	24 000	4,061 52	2,875 16	1,186 36	38 40	0 95%
MONSANTO CO 61166W-10-1 MON	93 68	7 000	655 76	679 44	(23 68)	15 12	2 31%
MURPHY USA INC 626755-10-2 MUSA	57 42	63 000	3,617 46	3,287 82	329 64		
NEWFIELD EXPLORATION CO 651290-10-8 NFX	36 25	133 000	4,821 25	4,007 34	813 91		
NOBLE ENERGY INC 655044-10-5 NBL	36 11	57 000	2,058 27	3,350 44	(1,292 17)	22 80	1 11%
OCCIDENTAL PETROLEUM CORP 674599-10-5 OXY	76 65	22 000	1,686 30	2,015 11	(328 81)	66 00	3 91%
OGE ENERGY CORP 670837-10-3 OGE	29 59	70 000	2,071 30	2,195 17	(123 87)	77 00	3 72%
PDC ENERGY INC 69327R-10-1 PDCE	62 79	20 000	1,255 80	1,144 41	111 39		
PENTAIR PLC G7S00T-10-4 PNR	58 08	24 000	1,393 92	1,095 44	298 48	31 68 7 92	2 27%



LAKE ROAD FDN - TAP ENERGY ACCT. NO2440001

For the Period 4/1/16 to 4/30/16

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
US All Cap Equity							
PHILLIPS 66 718546-10-4 PSX	82 11	34 000	2,791 74	2,548 35	243 39	76 16	2 73%
PIONEER NATURAL RESOURCES CO 723787-10-7 PXD	166 10	11 000	1,827 10	2,011 45	(184 35)	0 88	0 05%
PLAINS GP HOLDINGS LP-CL A 72651A-10-8 PAGP	9 90	139 000	1,376 10	2,232 18	(856 08)	128 43 32 11	9 33%
PPG INDUSTRIES INC 693506-10-7 PPG	110 39	12 000	1,324 68	1,257 30	67 38	19 20	1 45%
QUESTAR CORP 748356-10-2 STR	25 07	106 000	2,657 42	2,521 56	135 86	93 28	3 51%
RANGE RESOURCES CORP 75281A-10-9 RRC	44 11	37 000	1,632 07	1,870 87	(238 80)	2 96	0 18%
ROYAL DUTCH SHELL-SPON ADR-A 780259-20-6 RDS A	52 89	28 000	1,480 92	2,086 72	(605 80)	89 48	6 04%
SCHLUMBERGER LTD 806857-10-8 SLB	80 34	26 000	2,088 84	2,307 79	(218 95)	52 00	2 49%
SCORPIO TANKERS INC Y7542C-10-6 STNG	6 26	178 000	1,114 28	1,455 00	(340 72)	89 00	7 99%
SEMGROUP CORP-CLASS A 81663A-10-5 SEMG	30 66	46 000	1,410 36	3,031 68	(1,621 32)	82 80	5 87%
SHERWIN-WILLIAMS CO/THE 824348-10-6 SHW	287 31	7 000	2,011 17	1,904 95	106 22	23 52	1 17%
SUPERIOR ENERGY SERVICES INC 868157-10-8 SPN	16 86	23 000	387 78	352 45	35 33	7 36	1 90%
TRINITY INDUSTRIES INC 896522-10-9 TRN	19 51	106 000	2,088 06	3,016 92	(948 86)	46 64	2 26%

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LAKE ROAD FDN - TAP ENERGY ACCT N02440001
For the Period 4/1/16 to 4/30/16

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc Accrued Div	Yield
US All Cap Equity							
P TRINSEO SA L9340P-10-1 TSE	42.79	21 000	898.59	790.19	108.40		
UNITED CONTINENTAL HOLDINGS 910047-10-9 UAL	45.81	39 000	1,786.59	2,353.03	(566.44)		
UNITED PARCEL SERVICE-CL B 911312-10-6 UPS	105.07	12 000	1,260.84	1,227.78	33.06	37.44	2.97%
VALERO ENERGY CORP 91913Y-10-0 VLO	58.87	16 000	941.92	1,126.64	(184.72)	38.40	4.08%
VULCAN MATERIALS CO 929160-10-9 VMC	107.63	10 000	1,076.30	979.20	97.10	8.00	0.74%
WASTE CONNECTIONS INC 941053-10-0 WCN	67.28	60 000	4,036.80	2,655.07	1,381.73	34.80 8.70	0.86%
WEATHERFORD INTERNATIONAL PL G48833-10-0 WFT	8.13	161 000	1,308.93	1,458.18	(149.25)		
WESTERN REFINING INC 959319-10-4 WNR	26.76	18 000	481.68	670.36	(188.68)	27.36 6.84	5.68%
P WESTLAKE CHEMICAL CORP 960413-10-2 WLK	50.19			0.00			1.45%
XPO LOGISTICS INC 983793-10-0 XPO	30.14	24 000	723.36	680.65	42.71		
Total US All Cap Equity			\$145,191.75	\$172,713.99	(\$27,522.24)	\$2,523.06 \$106.24	1.74%



LAKE ROAD FDN - TAP ENERGY ACCT. N02440001
For the Period 5/1/15 to 4/30/16

TRADE ACTIVITY

Note L indicates Long Term Realized Gain/Loss

S indicates Short Term Realized Gain/Loss

** Realized Gain/Loss and tax cost are shown as "N/A" in cases where we do not have appropriate cost information for all tax lots comprising the sale. Please contact your J.P. Morgan team for additional information

Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
5/4 5/7	Sale High Cost	NEWFIELD EXPLORATION CO @ 38.7973 581.95 BROKERAGE 0.22 TAX &/OR SEC.01 ABEL NOSER CORP (ID 651290-10-8)	(15,000)	38.781	581.72	(415.37)	166.35 L
5/19 5/22	Sale High Cost	CARBO CERAMICS INC @ 39.1957 509.54 BROKERAGE 0.19 JEFFERIES & COMPANY (ID: 140781-10-5)	(13,000)	39.181	509.35	(1,306.34)	(78.67) L (718.32) S
5/19 5/22	Sale High Cost	CHICAGO BRIDGE & IRON CO N V @ 56.9244 967.71 BROKERAGE 0.25 TAX &/OR SEC.01 JEFFERIES & COMPANY (ID: 167250-10-9)	(17,000)	56.909	967.45	(1,415.76)	(448.31) L
5/21 5/27	Sale High Cost	PHILLIPS 66 @ 81.0514 567.35 BROKERAGE 0.10 TAX &/OR SEC.01 MACQUARIE SECURITIES (USA) INC (ID: 718546-10-4)	(7,000)	81.034	567.24	(540.19)	27.05 S
5/21 5/27	Sale High Cost	AXIAL CORPORATION @ 36.5685 182.84 BROKERAGE 0.07 JEFFERIES & COMPANY (ID: 05463D-10-0)	(5,000)	36.554	182.77	(205.00)	(22.23) L
5/21 5/27	Sale High Cost	QUESTAR CORP @ 22.82 342.30 BROKERAGE 0.22 JEFFERIES & COMPANY (ID: 748356-10-2)	(15,000)	22.805	342.08	(356.83)	(14.75) L
5/21 5/27	Sale High Cost	AXIAL CORPORATION @ 36.494 109.48 BROKERAGE 0.04 DEUTSCHE BANC ALEX BROWN INC (ID: 05463D-10-0)	(3,000)	36.48	109.44	(123.00)	(13.56) L
5/21 5/27	Sale High Cost	AXIAL CORPORATION @ 36.1925 144.77 BROKERAGE 0.06 DEUTSCHE BANC ALEX BROWN INC (ID: 05463D-10-0)	(4,000)	36.178	144.71	(164.00)	(19.29) L
5/21 5/27	Sale High Cost	ENERGEN CORP @ 69.6913 418.14 BROKERAGE 0.13 DEUTSCHE BANC ALEX BROWN INC (ID: 29265N-10-8)	(6,000)	69.668	418.01	(482.91)	(64.90) L

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LAKE ROAD FDN - TAP ENERGY ACCT. NO2440001
For the Period 5/1/15 to 4/30/16

Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
5/21	Sale	ENERGEN CORP @ 69 4153 416.49 BROKERAGE 0.13	(6.000)	69.393	416.36	(482.91)	(66.55) L
5/27	High Cost	DEUTSCHE BANC ALEX BROWN INC (ID: 29265N-10-8)					
5/21	Sale	QUESTAR CORP @ 22 7928 45.58 BROKERAGE 0.03	(2.000)	22.775	45.55	(47.58)	(2.03) L
5/27	High Cost	DEUTSCHE BANC ALEX BROWN INC (ID: 748356-10-2)					
5/21	Sale	QUESTAR CORP @ 22.7653 204.88 BROKERAGE 0.13	(9.000)	22.75	204.75	(214.10)	(9.35) L
5/27	High Cost	DEUTSCHE BANC ALEX BROWN INC (ID: 748356-10-2)					
5/21	Sale	EOG RESOURCES INC @ 91.0701 910.70 BROKERAGE	(10.000)	91.054	910.54	(951.91)	(16.05) L
5/27	High Cost	0.15 TAX &/OR SEC.01 MACQUARIE SECURITIES (USA) INC (ID: 26875P-10-1)					(25.32) S
5/21	Sale	PHILLIPS 66 @ 80.981 404.90 BROKERAGE 0.07	(5.000)	80.966	404.83	(383.14)	21.69 S
5/27	High Cost	MACQUARIE SECURITIES (USA) INC (ID: 718546-10-4)					
5/22	Sale	AXIAL CORPORATION @ 35.5938 284.75 BROKERAGE	(8.000)	35.579	284.63	(328.01)	(43.38) L
5/28	High Cost	0.12 DEUTSCHE BANC ALEX BROWN INC (ID 05463D-10-0)					
5/22	Sale	QUESTAR CORP @ 22.7507 182.00 BROKERAGE 0.12	(8.000)	22.735	181.88	(190.31)	(8.43) L
5/28	High Cost	DEUTSCHE BANC ALEX BROWN INC (ID: 748356-10-2)					
5/22	Sale	QUESTAR CORP @ 22.7541 68.26 BROKERAGE 0.04	(3.000)	22.74	68.22	(71.37)	(3.15) L
5/28	High Cost	DEUTSCHE BANC ALEX BROWN INC (ID: 748356-10-2)					
6/12	Sale	PERKINELMER INC @ 52.2276 417.82 BROKERAGE 0.12	(8.000)	52.213	417.70	(398.36)	19.34 S
6/17	High Cost	UBS SECURITIES LLC (ID 714046-10-9)					
6/12	Sale	PERKINELMER INC @ 52.4237 52.42 BROKERAGE 0.01	(1.000)	52.41	52.41	(49.79)	2.62 S
6/17	High Cost	KNIGHT EQUITY MARKETS L P. (ID: 714046-10-9)					
6/15	Sale	PERKINELMER INC @ 51.691 51.69 BROKERAGE 0.01	(1.000)	51.68	51.68	(49.80)	1.88 S
6/18	High Cost	CITIGROUP GLOBAL MKTS INC (ID: 714046-10-9)					
6/15	Sale	PERKINELMER INC @ 51.7282 206.91 BROKERAGE 0.06	(4.000)	51.713	206.85	(199.18)	7.67 S
6/18	High Cost	KNIGHT EQUITY MARKETS L P. (ID 714046-10-9)					



LAKE ROAD FDN - TAP ENERGY ACCT. N02440001
For the Period 5/1/15 to 4/30/16

Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
6/22 6/25	Sale High Cost	WILLIAMS COMPANIES INC @ 59.975 1,499.37 BROKERAGE 0.37 TAX &/OR SEC 02 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 969457-10-0)	(25.000)	59.959	1,498.98	(1,191.63)	223.46 L 83.89 S
6/23 6/26	Sale High Cost	WILLIAMS COMPANIES INC @ 58.8728 883.09 BROKERAGE 0.22 TAX &/OR SEC 01 S G COWEN & CO (ID: 969457-10-0)	(15.000)	58.857	882.86	(620.07)	262.79 L
6/26 7/1	Sale High Cost	HONEYWELL INTERNATIONAL INC @ 103.4141 723.89 BROKERAGE 0.10 TAX &/OR SEC 01 KNIGHT EQUITY MARKETS L.P. (ID: 438516-10-6)	(7.000)	103.397	723.78	(662.57)	61.21 L
7/2 7/8	Sale High Cost	GENERAC HOLDINGS INC @ 37.563 638.57 BROKERAGE 0.25 TAX &/OR SEC .01 RBC CAPITAL MARKETS CORPORATION (ID: 368736-10-4)	(17.000)	37.548	638.31	(824.10)	(185.79) L
7/2 7/8	Sale High Cost	GENERAC HOLDINGS INC @ 37.2372 707.50 BROKERAGE 0.28 TAX &/OR SEC .01 RBC CAPITAL MARKETS CORPORATION (ID: 368736-10-4)	(19.000)	37.222	707.21	(921.04)	(213.83) L
7/6 7/9	Sale High Cost	GENERAC HOLDINGS INC @ 37.251 186.25 BROKERAGE 0.07 SJ LEVINSON & SONS LLC (ID: 368736-10-4)	(5.000)	37.236	186.18	(207.83)	(11.24) L (10.41) S
7/6 7/9	Sale High Cost	GENERAC HOLDINGS INC @ 36.8699 331.82 BROKERAGE 0.13 SJ LEVINSON & SONS LLC (ID: 368736-10-4)	(9.000)	36.854	331.69	(358.55)	(26.86) S
7/10 7/15	Sale High Cost	CENTERPOINT ENERGY INC @ 19.1397 1,263.22 BROKERAGE 0.99 TAX &/OR SEC 02 CREDIT SUISSE FIRST BOSTON LLC WASH SALE ADJUSTMENT 07/15/15 (ID: 15189T-10-7)	(66.000)	19.124	1,262.21	(1,319.25)	(34.04) L (23.00) S
7/10 7/15	Sale High Cost	CENTERPOINT ENERGY INC @ 19.135 19.13 BROKERAGE 0.01 LOOP CAPITAL MARKETS LLC (ID: 15189T-10-7)	(1.000)	19.12	19.12	(24.80)	(5.68) L
7/13 7/16	Sale High Cost	CENTERPOINT ENERGY INC @ 19.1488 478.72 BROKERAGE 0.37 CITIGROUP GLOBAL MKTS INC (ID: 15189T-10-7)	(25.000)	19.134	478.35	(640.59)	(162.24) L

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LAKE ROAD FDN - TAP ENERGY ACCT. N02440001
For the Period 5/1/15 to 4/30/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
7/13	Sale		CENTERPOINT ENERGY INC @ 19.1411 38.28	(2.000)	19.125	38.25	(51.25)	(13.00) L
7/16	High Cost		BROKERAGE 0.03 CITIGROUP GLOBAL MKTS INC (ID: 15189T-10-7)					
7/13	Sale		CENTERPOINT ENERGY INC @ 19.1687 287.53	(15.000)	19.154	287.31	(383.87)	(96.56) L
7/16	High Cost		BROKERAGE 0.22 CITIGROUP GLOBAL MKTS INC (ID: 15189T-10-7)					
7/22	Sale		TORTOISE MLP & PIPELINE-INS WASH SALE	(89.542)	14.63	1,310.00	(1,307.95)	2.05 S
7/23	High Cost		ADJUSTMENT 08/19/15 (ID: 56166Y-40-4)					
7/23	Sale		PERKINELMER INC @ 51.7212 51.72 BROKERAGE 0.01	(1.000)	51.71	51.71	(49.79)	1.92 S
7/28	High Cost		UBS SECURITIES LLC (ID: 714046-10-9)					
7/23	Sale		PERKINELMER INC @ 51.7235 103.44 BROKERAGE 0.03	(2.000)	51.705	103.41	(99.59)	3.82 S
7/28	High Cost		UBS SECURITIES LLC (ID: 714046-10-9)					
7/23	Sale		DANAHER CORP @ 89.053 267.15 BROKERAGE 0.04	(3.000)	89.037	267.11	(231.92)	35.19 L
7/28	High Cost		MORGAN STANLEY & CO. LLC (ID: 235851-10-2)					
7/23	Sale		DANAHER CORP @ 89.1693 713.35 BROKERAGE 0.12	(8.000)	89.153	713.22	(618.45)	94.77 L
7/28	High Cost		TAX &/OR SEC 01 MORGAN STANLEY & CO. LLC (ID: 235851-10-2)					
7/23	Sale		ROPER TECHNOLOGIES INC @ 174.7465 174.74	(1.000)	174.73	174.73	(135.66)	39.07 L
7/28	High Cost		BROKERAGE 0.01 MORGAN STANLEY & CO. LLC (ID: 776696-10-6)					
7/23	Sale		ROPER TECHNOLOGIES INC @ 173.9762 521.92	(3.000)	173.96	521.88	(406.96)	114.92 L
7/28	High Cost		BROKERAGE 0.04 MORGAN STANLEY & CO. LLC (ID: 776696-10-6)					
7/23	Sale		PERKINELMER INC @ 51.6119 412.89 BROKERAGE 0.12	(8.000)	51.596	412.77	(371.82)	37.35 L
7/28	High Cost		UBS SECURITIES LLC (ID: 714046-10-9)					3.60 S
8/3	Sale		HONEYWELL INTERNATIONAL INC @ 104.2303 729.61	(7.000)	104.214	729.50	(662.57)	66.93 L
8/6	High Cost		BROKERAGE 0.10 TAX &/OR SEC 01 KNIGHT EQUITY MARKETS L P (ID: 438516-10-6)					

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LAKE ROAD FDN - TAP ENERGY ACCT. N02440001
For the Period 5/1/15 to 4/30/16

Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
8/4 8/7	Sale High Cost	HALLIBURTON CO @ 39.614 1,307.26 BROKERAGE 0.49 TAX &/OR SEC.02 KNIGHT EQUITY MARKETS L.P. (ID. 406216-10-1)	(33.000)	39.598	1,306.75	(1,862.09)	(416.29) L (139.05) S
8/13 8/18	Sale High Cost	NISOURCE INC @ 17.7746 142 19 BROKERAGE 0.12 RBC CAPITAL MARKETS CORPORATION (ID. 65473P-10-5)	(8.000)	17.759	142.07	(135.27)	6.80 S
8/13 8/18	Sale High Cost	NISOURCE INC @ 17.7023 265.53 BROKERAGE 0.22 RBC CAPITAL MARKETS CORPORATION (ID: 65473P-10-5)	(15.000)	17.687	265.31	(253.45)	11.86 S
8/13 8/18	Sale High Cost	NISOURCE INC @ 17.785 106 71 BROKERAGE 0.09 STATE STREET GLOBAL MARKETS, LLC. (ID 65473P-10-5)	(6.000)	17.77	106.62	(88.46)	15.53 L 2.63 S
8/13 8/18	Sale High Cost	NISOURCE INC @ 17.695 70 78 BROKERAGE 0 06 STATE STREET GLOBAL MARKETS, LLC. (ID 65473P-10-5)	(4.000)	17 68	70.72	(50.37)	20 35 L
8/17 8/18	Sale High Cost	AGILENT TECHNOLOGIES INC @ 38 7716 465.25 BROKERAGE 0.18 CITIGROUP GLOBAL MKTS INC (ID 00846U-10-1)	(12.000)	38.756	465.07	(495.28)	(30.21) L
8/17 8/18	Sale High Cost	DANAHER CORP @ 91 4118 1,005.52 BROKERAGE 0 16 TAX &/OR SEC .01 CITIGROUP GLOBAL MKTS INC (ID: 235851-10-2)	(11.000)	91.395	1,005.35	(850.37)	154.98 L
8/17 8/18	Sale High Cost	PRAXAIR INC @ 114 0711 570 35 BROKERAGE 0.07 TAX &/OR SEC 01 CITIGROUP GLOBAL MKTS INC (ID. 74005P-10-4)	(5.000)	114.054	570.27	(654.88)	(84.61) L
8/17 8/18	Sale High Cost	ROPER TECHNOLOGIES INC @ 171.0929 684.37 BROKERAGE 0.06 TAX &/OR SEC .01 CITIGROUP GLOBAL MKTS INC (ID. 776696-10-6)	(4.000)	171.075	684.30	(542.62)	141.68 L



LAKE ROAD FDN - TAP ENERGY ACCT. NO2440001
For the Period 5/1/15 to 4/30/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
8/17	Sale		PENTAIR LTD @ 62.5055 875.07 BROKERAGE 0.21 TAX	(14.000)	62.489	874.85	(1,135.31)	(260.46) L
8/18	High Cost		&/OR SEC .01 MERRILL LYNCH PIERCE FENNER & SMIT (ID: G7S00T-10-4)					
8/17	Sale		AMERICAN WATER WORKS CO INC @ 54.6732 1,038.79	(19.000)	54.658	1,038.50	(1,034.25)	4 25 S
8/18	High Cost		BROKERAGE 0.28 TAX &/OR SEC .01 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 030420-10-3)					
8/17	Sale		AQUA AMERICA INC @ 26.6225 532.45 BROKERAGE	(20.000)	26.608	532.15	(503.65)	28.50 L
8/18	High Cost		0 30 MERRILL LYNCH PIERCE FENNER & SMIT (ID 03836W-10-3)					
8/17	Sale		IDEX CORP @ 75.4837 905.80 BROKERAGE 0.18 TAX	(12.000)	75.468	905.61	(904.13)	1.48 L
8/18	High Cost		&/OR SEC .01 MERRILL LYNCH PIERCE FENNER & SMIT WASH SALE ADJUSTMENT 09/09/15 (ID 45167R-10-4)					
8/17	Sale		MASTEC INC @ 17.2223 413 33 BROKERAGE 0 36	(24.000)	17 207	412 97	(767 35)	(354 38) L
8/18	High Cost		MERRILL LYNCH PIERCE FENNER & SMIT (ID: 576323-10-9)					
8/17	Sale		PERKINELMER INC @ 50.3633 654.72 BROKERAGE 0 19	(13.000)	50 348	654 52	(589 82)	64.70 L
8/18	High Cost		TAX &/OR SEC .01 MERRILL LYNCH PIERCE FENNER & SMIT (ID 714046-10-9)					
8/17	Sale		SPX CORP @ 64.7624 453.33 BROKERAGE 0.10	(7.000)	64.747	453.23	(497.89)	(44.66) S
8/18	High Cost		MERRILL LYNCH PIERCE FENNER & SMIT (ID: 784635-10-4)					
8/17	Sale		XYLEM INCORPORATION @ 33.7376 978.39 BROKERAGE	(29.000)	33.722	977 95	(1,117.67)	(139.72) S
8/18	High Cost		0.43 TAX &/OR SEC 01 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 98419M-10-0)					
8/17	Sale		ECOLAB INC @ 116.2844 1,162.84 BROKERAGE 0.15	(10.000)	116.267	1,162.67	(1,123.73)	31 47 L
8/18	High Cost		TAX &/OR SEC .02 CITIGROUP GLOBAL MKTS INC (ID 278865-10-0)					7.47 S

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LAKE ROAD FDN - TAP ENERGY ACCT. N02440001
For the Period 5/1/15 to 4/30/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
8/17	Sale		HD SUPPLY HOLDINGS INC @ 34.586 380.44	(11.000)	34.571	380.28	(380.28)	
8/18	High Cost		BROKERAGE 0.16 CITIGROUP GLOBAL MKTS INC WASH SALE ADJUSTMENT 08/18/15 (ID: 40416M-10-5)					
8/17	Sale		QUANTA SERVICES INC @ 24.3922 780.55 BROKERAGE 0.48 TAX & OR SEC .01 CITIGROUP GLOBAL MKTS INC (ID: 74762E-10-2)	(32.000)	24.377	780.06	(1,131.11)	(351.05) L
8/18	High Cost							
8/14	Sale		NISOURCE INC @ 17.7385 283.81 BROKERAGE 0.24 MORGAN STANLEY & CO LLC (ID: 65473P-10-5)	(16.000)	17.723	283.57	(201.49)	82.08 L
8/19	High Cost							
8/14	Sale		NISOURCE INC @ 17.7939 338.08 BROKERAGE 0.28 MORGAN STANLEY & CO LLC (ID: 65473P-10-5)	(19.000)	17.779	337.80	(239.28)	98.52 L
8/19	High Cost							
8/14	Sale		NISOURCE INC @ 17.8504 160.65 BROKERAGE 0.13 KNIGHT EQUITY MARKETS L.P. (ID: 65473P-10-5)	(9.000)	17.836	160.52	(113.34)	47.18 L
8/19	High Cost							
8/21	Sale		MARTIN MARIETTA MATERIALS INC @ 163.1234 163.12 BROKERAGE 0.01 GOLDMAN SACHS & CO. (ID: 573284-10-6)	(1.000)	163.11	163.11	(111.00)	52.11 S
8/26	High Cost							
8/21	Sale		MARTIN MARIETTA MATERIALS INC @ 162.4717 162.47 BROKERAGE 0.01 GOLDMAN SACHS & CO. (ID: 573284-10-6)	(1.000)	162.46	162.46	(111.01)	51.45 S
8/26	High Cost							
8/21	Sale		WASTE CONNECTIONS INC @ 48.099 96.19 BROKERAGE 0.03 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 941053-10-0)	(2.000)	48.08	96.16	(93.37)	2.79 S
8/26	High Cost							
8/21	Sale		WASTE CONNECTIONS INC @ 47.9686 335.78 BROKERAGE 0.10 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 941053-10-0)	(7.000)	47.954	335.68	(326.80)	8.88 S
8/26	High Cost							
8/31	Sale		CAMERON INTERNATIONAL CORPORATION @ 66.5491 1,996.47 BROKERAGE 0.45 TAX & OR SEC .03 KNIGHT EQUITY MARKETS L.P. (ID: 13342B-10-5)	(30.000)	66.533	1,995.99	(1,883.98)	51.91 L 60.10 S
9/3	High Cost							



LAKE ROAD FDN - TAP ENERGY ACCT. N02440001
For the Period 5/1/15 to 4/30/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
9/3	Sale	High Cost	FLOWERVE CORP @ 43.401 130 20 BROKERAGE 0.04	(3.000)	43.387	130.16	(250.89)	(120.73) L
9/9			KNIGHT EQUITY MARKETS L.P. (ID: 34354P-10-5)					
9/23	Sale	High Cost	ECOLAB INC @ 110.084 2,311 76 BROKERAGE 0.31	(21.000)	110.067	2,311.41	(2,267.03)	44 38 L
9/28			TAX &/OR SEC 04 RBC CAPITAL MARKETS CORPORATION (ID: 278865-10-0)					
9/23	Sale	High Cost	MASTEC INC @ 16.8266 656 23 BROKERAGE 0.58 TAX	(39 000)	16.811	655.64	(1,198.07)	(542 43) L
9/28			&/OR SEC 01 KNIGHT EQUITY MARKETS L P (ID: 576323-10-9)					
9/23	Sale	High Cost	PENTAIR LTD @ 50 6454 1,620 65 BROKERAGE 0.48	(32.000)	50 63	1,620 15	(2,418.99)	(661.03) L
9/28			TAX &/OR SEC .02 GOLDMAN SACHS & CO. (ID G7500T-10-4)					(137 81) S
9/23	Sale	High Cost	IDEX CORP @ 69 0102 828 12 BROKERAGE 0.18 TAX	(12.000)	68.994	827 93	(903 40)	(75.47) L
9/28			&/OR SEC 01 GOLDMAN SACHS & CO (ID 45167R-10-4)					
9/23	Sale	High Cost	QUANTA SERVICES INC @ 23 7988 904 35 BROKERAGE	(38.000)	23.783	903 77	(1,343.19)	(439.42) L
9/28			0.57 TAX &/OR SEC 01 GOLDMAN SACHS & CO (ID 74762E-10-2)					
9/23	Sale	High Cost	ROPER TECHNOLOGIES INC @ 153.3589 1,533 58	(10 000)	153.341	1,533.41	(1,354.88)	178 53 L
9/28			BROKERAGE 0.15 TAX &/OR SEC .02 GOLDMAN SACHS & CO (ID. 776696-10-6)					
9/23	Sale	High Cost	SPX CORP @ 50.992 611.90 BROKERAGE 0 18 TAX	(12.000)	50.976	611 71	(852.90)	(241.19) S
9/28			&/OR SEC. 01 GOLDMAN SACHS & CO (ID: 784635-10-4)					
9/23	Sale	High Cost	AGILENT TECHNOLOGIES INC @ 34.932 768.50	(22.000)	34.916	768 16	(908.02)	(139.86) L
9/28			BROKERAGE 0.33 TAX &/OR SEC 01 RBC CAPITAL MARKETS CORPORATION (ID: 00846U-10-1)					
9/23	Sale	High Cost	DANAHER CORP @ 85.6592 2,741.09 BROKERAGE 0.48	(32.000)	85 643	2,740.56	(2,471.04)	269.52 L
9/28			TAX &/OR SEC 05 RBC CAPITAL MARKETS CORPORATION (ID: 235851-10-2)					



LAKE ROAD FDN - TAP ENERGY ACCT. N02440001
For the Period 5/1/15 to 4/30/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
9/23 9/28	Sale High Cost		HD SUPPLY HOLDINGS INC @ 31 6368 1,044.01 BROKERAGE 0.49 TAX &/OR SEC. 01 RBC CAPITAL MARKETS CORPORATION (ID: 40416M-10-5)	(33,000)	31 622	1,043.51	(1,151.87)	(108 36) S
9/23 9/28	Sale High Cost		PRAXAIR INC @ 101.1846 1,922.50 BROKERAGE 0.28 TAX &/OR SEC. 03 RBC CAPITAL MARKETS CORPORATION (ID: 74005P-10-4)	(19,000)	101.168	1,922 19	(2,486.25)	(564,06) L
9/23 9/28	Sale High Cost		AMERICAN WATER WORKS CO INC @ 54 0013 2,592.06 BROKERAGE 0.72 TAX &/OR SEC. 04 KNIGHT EQUITY MARKETS L P (ID: 030420-10-3)	(48,000)	53 985	2,591.30	(2,210.74)	369 86 L 10 70 S
9/23 9/28	Sale High Cost		AQUA AMERICA INC @ 26.0232 1,249.11 BROKERAGE 0.72 TAX &/OR SEC. 02 KNIGHT EQUITY MARKETS L P (ID: 03836W-10-3)	(48,000)	26 008	1,248.37	(1,208.75)	39.62 L
9/23 9/28	Sale High Cost		CLEAN HARBORS INC @ 47.0111 329.07 BROKERAGE 0.10 KNIGHT EQUITY MARKETS L.P. (ID: 184496-10-7)	(7 000)	46 996	328 97	(352 08)	(23 11) S
9/23 9/28	Sale High Cost		PERKINELMER INC @ 46.5679 1,629.87 BROKERAGE 0.52 TAX &/OR SEC. 03 KNIGHT EQUITY MARKETS L P (ID: 714046-10-9)	(35,000)	46 552	1,629 32	(1,563 46)	29 53 L 36.33 S
9/23 9/28	Sale High Cost		XYLEM INCORPORATION @ 31.8593 923.91 BROKERAGE 0.43 TAX &/OR SEC. 01 KNIGHT EQUITY MARKETS L P (ID: 98419M-10-0)	(29,000)	31.844	923.47	(1,099.65)	(99.58) L (76 60) S
9/23 9/28	Sale High Cost		AMERICAN WATER WORKS CO INC @ 53.905 592.95 BROKERAGE 0.16 TAX &/OR SEC. 01 ROSENBLATT SECURITIES LLC (ID: 030420-10-3)	(11,000)	53.889	592.78	(494 61)	98.17 L
9/24 9/29	Sale High Cost		ECOLAB INC @ 109.6714 1,096.71 BROKERAGE 0.15 TAX &/OR SEC. 02 RBC CAPITAL MARKETS CORPORATION (ID: 278865-10-0)	(10 000)	109.654	1,096 54	(1,045.84)	6 89 L 43.81 S
9/24 9/29	Sale High Cost		SPX CORP @ 48 8929 391 14 BROKERAGE 0.12 RBC CAPITAL MARKETS CORPORATION (ID: 784635-10-4)	(8 000)	48 878	391 02	(564 69)	(173 67) S



LAKE ROAD FDN - TAP ENERGY ACCT. N02440001
For the Period 5/1/15 to 4/30/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
9/24	Sale		MASTEC INC @ 16.9999 849.99 BROKERAGE 0.75 TAX	(50.000)	16.985	849.23	(1,117.33)	(75.27) L
9/29	High Cost		&OR SEC 01 KNIGHT EQUITY MARKETS L.P. (ID 576323-10-9)					(192.83) S
9/24	Sale		IDEX CORP @ 68.5458 479.82 BROKERAGE 0.10	(7.000)	68.531	479.72	(526.98)	(47.26) L
9/29	High Cost		GOLDMAN SACHS & CO. (ID. 45167R-10-4)					
9/24	Sale		PERKINELMER INC @ 45.7848 274.70 BROKERAGE 0.09	(6.000)	45.768	274.61	(255.90)	18.71 S
9/29	High Cost		GOLDMAN SACHS & CO (ID. 714046-10-9)					
9/24	Sale		PENTAIR LTD @ 50.2471 552.71 BROKERAGE 0.16 TAX	(11.000)	50.231	552.54	(686.56)	(134.02) S
9/29	High Cost		&OR SEC .01 RBC CAPITAL MARKETS CORPORATION (ID G7S00T-10-4)					
9/24	Sale		AGILENT TECHNOLOGIES INC @ 34.4208 447.47	(13.000)	34.406	447.28	(536.56)	(89.28) L
9/29	High Cost		BROKERAGE 0.19 RBC CAPITAL MARKETS CORPORATION (ID 00846U-10-1)					
9/24	Sale		AMERICAN WATER WORKS CO INC @ 54.2641 108.52	(2.000)	54.245	108.49	(89.93)	18.56 L
9/29	High Cost		BROKERAGE 0.03 RBC CAPITAL MARKETS CORPORATION (ID 030420-10-3)					
9/24	Sale		HD SUPPLY HOLDINGS INC @ 31.108 248.86	(8.000)	31.093	248.74	(277.84)	(29.10) S
9/29	High Cost		BROKERAGE 0.12 RBC CAPITAL MARKETS CORPORATION (ID 40416M-10-5)					
9/24	Sale		ROPER TECHNOLOGIES INC @ 152.7378 152.73	(1.000)	152.72	152.72	(134.82)	17.90 L
9/29	High Cost		BROKERAGE 0.01 RBC CAPITAL MARKETS CORPORATION (ID 776896-10-6)					
9/24	Sale		AQUA AMERICA INC @ 26.1679 942.04 BROKERAGE	(36.000)	26.153	941.49	(906.56)	34.93 L
9/29	High Cost		0.54 TAX &OR SEC .01 KNIGHT EQUITY MARKETS L.P (ID. 03836W-10-3)					
9/24	Sale		CLEAN HARBORS INC @ 46.1239 968.60 BROKERAGE	(21.000)	46.109	968.28	(999.82)	(14.07) L
9/29	High Cost		0.31 TAX &OR SEC .01 KNIGHT EQUITY MARKETS L.P (ID 184496-10-7)					(17.47) S



LAKE ROAD FDN - TAP ENERGY ACCT. N02440001
For the Period 5/1/15 to 4/30/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
9/24 9/29	Sale High Cost		QUANTA SERVICES INC @ 23.7354 712.06 BROKERAGE 0.45 TAX &/OR SEC 01 KNIGHT EQUITY MARKETS L P (ID 74762E-10-2)	(30.000)	23.72	711.60	(1,060.41)	(348.81) L
9/24 9/29	Sale High Cost		XYLEM INCORPORATION @ 31.9252 2,011.28 BROKERAGE 0.94 TAX &/OR SEC 03 KNIGHT EQUITY MARKETS L P. (ID 98419M-10-0)	(63.000)	31.91	2,010.31	(2,284.71)	(127.37) L (147.03) S
9/24 9/29	Sale High Cost		CLEAN HARBORS INC @ 46.14 230.70 BROKERAGE 0.07 STATE STREET GLOBAL MARKETS, LLC (ID 184496-10-7)	(5.000)	46.126	230.63	(235.57)	(4.94) L
9/24 9/29	Sale High Cost		CLEAN HARBORS INC @ 45.98 183.92 BROKERAGE 0.06 ROSENBLATT SECURITIES LLC (ID: 184496-10-7)	(4.000)	45.965	183.86	(188.46)	(4.60) L
9/24 9/29	Sale High Cost		QUANTA SERVICES INC @ 23.6067 873.44 BROKERAGE 0.55 TAX &/OR SEC 01 ROSENBLATT SECURITIES LLC (ID: 74762E-10-2)	(37.000)	23.591	872.88	(1,193.92)	(201.43) L (119.61) S
9/25 9/30	Sale High Cost		CLEAN HARBORS INC @ 46.0222 92.04 BROKERAGE 0.03 KNIGHT EQUITY MARKETS L.P. (ID: 184496-10-7)	(2.000)	46.005	92.01	(94.23)	(2.22) L
11/30 11/30	LT Capital Gain Distribution		TORTOISE MLP & PIPELINE-INST 11/25/15 LONG TERM CAPITAL GAINS @ 0.022 PER SHARE AS OF 11/25/15 (ID: 56166Y-40-4)	2,797.762	0.022	61.91		61.91
12/1 12/4	Sale High Cost		NEWFIELD EXPLORATION CO @ 38.6034 424.63 BROKERAGE 0.16 UBS SECURITIES LLC (ID 651290-10-8)	(11.000)	38.588	424.47	(408.38)	16.09 S
12/7 12/10	Sale High Cost		ENERGY SELECT SECTOR SPDR @ 61.9674 25,964.34 BROKERAGE 6.28 TAX &/OR SEC .47 BARCLAYS CAPITAL LE (ID: 81369Y-50-6)	(419.000)	61.951	25,957.59	(25,986.98)	(29.39) S
12/8 12/11	Sale High Cost		ENERGY SELECT SECTOR SPDR @ 61.6465 3,328.91 BROKERAGE 0.81 TAX &/OR SEC 06 DEUTSCHE BANC ALEX BROWN INC (ID 81369Y-50-6)	(54.000)	61.63	3,328.04	(3,325.44)	2.60 S

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LAKE ROAD FDN - TAP ENERGY ACCT. N02440001
For the Period 5/1/15 to 4/30/16

Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
12/9 12/14	Sale High Cost	SOUTHWESTERN ENERGY CO @ 6 9749 509 16 BROKERAGE 1.09 JEFFERIES & COMPANY WASH SALE ADJUSTMENT 12/14/15 (ID 845467-10-9)	(73 000)	6.96	508.07	(2,259.70)	(1,751 63) L
12/9 12/14	Sale High Cost	SOUTHWESTERN ENERGY CO @ 6 985 125 73 BROKERAGE 0 27 BARCLAYS CAPITAL LE (ID 845467-10-9)	(18,000)	6.97	125 46	(743.50)	(618 04) L
12/10 12/15	Sale High Cost	PPG INDUSTRIES INC @ 101.2312 303.69 BROKERAGE 0 04 GOLDMAN SACHS & CO (ID: 693506-10-7)	(3,000)	101.217	303 65	(314.80)	(11 15) S
12/10 12/15	Sale High Cost	FMC CORP @ 39 5753 277 02 BROKERAGE 0 10 CITIGROUP GLOBAL MKTS INC (ID: 302491-30-3)	(7,000)	39 56	276 92	(289 02)	(12 10) S
12/10 12/15	Sale High Cost	FMC CORP @ 39.48 39.48 BROKERAGE 0.01 DEUTSCHE BANC ALEX BROWN INC (ID: 302491-30-3)	(1,000)	39.47	39 47	(41.29)	(1.82) S
12/10 12/15	Sale High Cost	SHERWIN-WILLIAMS CO/THE @ 267.0689 267.06 BROKERAGE 0.01 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 824348-10-6)	(1,000)	267.05	267.05	(270 08)	(3.03) S
12/17 12/22	Sale High Cost	TIMKENSTEEL CORP @ 7.1863 100.60 BROKERAGE 0.21 RBC CAPITAL MARKETS CORPORATION (ID: 887399-10-3)	(14,000)	7.171	100 39	(514.45)	(414 06) S
12/17 12/22	Sale High Cost	TIMKENSTEEL CORP @ 7.2137 21.64 BROKERAGE 0.04 KNIGHT EQUITY MARKETS L.P. (ID: 887399-10-3)	(3,000)	7 20	21.60	(109.85)	(88.25) S
12/18 12/23	Sale High Cost	FMC CORP @ 38.0457 304.36 BROKERAGE 0.12 JEFFERIES & COMPANY (ID: 302491-30-3)	(8,000)	38.03	304 24	(330.31)	(26.07) S
12/18 12/23	Sale High Cost	TIMKENSTEEL CORP @ 7.28 189.28 BROKERAGE 0 39 JEFFERIES & COMPANY (ID: 887399-10-3)	(26,000)	7 265	188.89	(950 78)	(761.89) S
12/18 12/23	Sale High Cost	TIMKENSTEEL CORP @ 7 339 29 35 BROKERAGE 0 06 MERRILL LYNCH PIERCE FENNER & SMIT (ID 887399-10-3)	(4,000)	7 323	29.29	(144 86)	(115 57) S



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Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
12/18 12/23	Sale High Cost	TIMKENSTEEL CORP @ 7.3045 343 31 BROKERAGE 0.70 MERRILL LYNCH PIERCE FENNER & SMIT (ID 887399-10-3)	(47,000)	7.29	342.61	(1,674.79)	(1,332.18) S
12/18 12/23	Sale High Cost	XPO LOGISTICS INC @ 26.0833 312.99 BROKERAGE 0.18 MERRILL LYNCH PIERCE FENNER & SMIT WASH SALE ADJUSTMENT 12/23/15 (ID 983793-10-0)	(12,000)	26.068	312.81	(312.81)	
12/18 12/23	Sale High Cost	TIMKENSTEEL CORP @ 7.3926 147.85 BROKERAGE 0.30 LIQUIDNET INC (ID: 887399-10-3)	(20,000)	7.378	147.55	(550.25)	(402.70) S
12/21 12/24	Sale High Cost	TIMKENSTEEL CORP @ 7.3432 58.74 BROKERAGE 0.12 CREDIT SUISSE FIRST BOSTON LLC (ID: 887399-10-3)	(8,000)	7.328	58.62	(217.39)	(158.77) S
12/21 12/24	Sale High Cost	TIMKENSTEEL CORP @ 7.3694 66.32 BROKERAGE 0.13 SJ LEVINSON & SONS LLC (ID: 887399-10-3)	(9,000)	7.354	66.19	(243.31)	(177.12) S
1/4 1/7	Sale High Cost	AIRGAS INC @ 138.1506 3,730.06 BROKERAGE 0.40 TAX &/OR SEC 06 GOLDMAN SACHS & CO. (ID 009363-10-2)	(27,000)	138.133	3,729.60	(2,917.91)	811.69 L
1/5 1/8	Sale High Cost	AIRGAS INC @ 138.1955 276.39 BROKERAGE 0.03 MACQUARIE SECURITIES (USA) INC (ID: 009363-10-2)	(2,000)	138.18	276.36	(216.14)	60.22 L
1/5 1/8	Sale High Cost	AIRGAS INC @ 138.16 276.32 BROKERAGE 0.03 MACQUARIE SECURITIES (USA) INC (ID: 009363-10-2)	(2,000)	138.145	276.29	(216.14)	60.15 L
1/5 1/8	Sale High Cost	ECOLAB INC @ 113.2079 339.62 BROKERAGE 0.04 DEUTSCHE BANC ALEX BROWN INC (ID: 278865-10-0)	(3,000)	113.193	339.58	(354.40)	(14.82) S
1/5 1/8	Sale High Cost	ECOLAB INC @ 113.073 452.29 BROKERAGE 0.06 DEUTSCHE BANC ALEX BROWN INC (ID: 278865-10-0)	(4,000)	113.058	452.23	(472.53)	(20.30) S
1/11 1/14	Sale High Cost	BUILDERS FIRSTSOURCE INC @ 9.1109 27.33 BROKERAGE 0.04 JEFFERIES & COMPANY (ID 12008R-10-7)	(3,000)	9.097	27.29	(39.18)	(11.89) S



LAKE ROAD FDN - TAP ENERGY ACCT. N02440001
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Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
1/11 1/14	Sale High Cost		BUILDERS FIRSTSOURCE INC @ 9.0016 45.00 BROKERAGE 0.07 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 12008R-10-7)	(5.000)	8.986	44.93	(65.29)	(20.36) S
1/11 1/14	Sale High Cost		BUILDERS FIRSTSOURCE INC @ 9.078 108.93 BROKERAGE 0.18 JEFFERIES & COMPANY (ID: 12008R-10-7)	(12.000)	9.063	108.75	(155.27)	(46.52) S
1/11 1/14	Sale High Cost		BUILDERS FIRSTSOURCE INC @ 9.1301 155.21 BROKERAGE 0.25 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 12008R-10-7)	(17.000)	9.115	154.96	(219.78)	(64.82) S
1/11 1/14	Sale High Cost		XPO LOGISTICS INC @ 22.4686 157.28 BROKERAGE 0.10 MORGAN STANLEY & CO LLC (ID: 983793-10-0)	(7.000)	22.454	157.18	(214.63)	(57.45) S
1/11 1/14	Sale High Cost		BUILDERS FIRSTSOURCE INC @ 8.9976 323.91 BROKERAGE 0.54 CITIGROUP GLOBAL MKTS INC (ID: 12008R-10-7)	(36.000)	8.983	323.37	(465.22)	(141.85) S
1/11 1/14	Sale High Cost		BUILDERS FIRSTSOURCE INC @ 9.0426 45.21 BROKERAGE 0.07 CITIGROUP GLOBAL MKTS INC (ID: 12008R-10-7)	(5.000)	9.028	45.14	(64.48)	(19.34) S
1/11 1/14	Sale High Cost		XPO LOGISTICS INC @ 22.1681 243.84 BROKERAGE 0.16 CITIGROUP GLOBAL MKTS INC (ID: 983793-10-0)	(11.000)	22.153	243.68	(323.45)	(79.77) S
1/11 1/14	Sale High Cost		XPO LOGISTICS INC @ 22.17 66.51 BROKERAGE 0.04 CITIGROUP GLOBAL MKTS INC (ID: 983793-10-0)	(3.000)	22.157	66.47	(85.08)	(18.61) S
1/12 1/15	Sale High Cost		IDEX CORP @ 71.2238 284.89 BROKERAGE 0.06 JEFFERIES & COMPANY (ID: 45167R-10-4)	(4.000)	71.208	284.83	(301.13)	(16.30) L
1/12 1/15	Sale High Cost		CLEAN HARBORS INC @ 41.8073 167.22 BROKERAGE 0.06 JEFFERIES & COMPANY (ID: 184496-10-7)	(4.000)	41.79	167.16	(188.45)	(21.29) L
1/12 1/15	Sale High Cost		MURPHY USA INC @ 63.7476 127.49 BROKERAGE 0.03 JEFFERIES & COMPANY (ID: 626755-10-2)	(2.000)	63.73	127.46	(130.22)	(2.76) S



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Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
2/2 2/5	Sale High Cost		PAR PETROLEUM CORP @ 22.8664 22 86 BROKERAGE 0.01 RBC CAPITAL MARKETS CORPORATION (ID 69888T-20-7)	(1.000)	22.85	22.85	(24.03)	(1.18) S
2/3 2/8	Sale High Cost		PAR PETROLEUM CORP @ 22.8324 68.49 BROKERAGE 0.04 RBC CAPITAL MARKETS CORPORATION (ID 69888T-20-7)	(3.000)	22.817	68.45	(72.08)	(3.63) S
2/3 2/8	Sale High Cost		PAR PETROLEUM CORP @ 22.8217 228.21 BROKERAGE 0.22 WEEEDEN AND CO (ID 69888T-20-7)	(10.000)	22.799	227.99	(240.20)	(12.21) S
2/4 2/9	Sale High Cost		PAR PETROLEUM CORP @ 22.983 68.94 BROKERAGE 0.04 RBC CAPITAL MARKETS CORPORATION (ID 69888T-20-7)	(3.000)	22.967	68.90	(71.52)	(2.62) S
2/4 2/9	Sale High Cost		PAR PETROLEUM CORP @ 22.8686 22 86 BROKERAGE 0.02 WEEEDEN AND CO (ID 69888T-20-7)	(1.000)	22.84	22.84	(23.73)	(0.89) S
2/4 2/9	Sale High Cost		PAR PETROLEUM CORP @ 22.9207 68.76 BROKERAGE 0.04 RBC CAPITAL MARKETS CORPORATION (ID 69888T-20-7)	(3.000)	22.907	68.72	(70.19)	(1.47) S
2/5 2/10	Sale High Cost		PAR PETROLEUM CORP @ 22.0666 66.19 BROKERAGE 0.04 RBC CAPITAL MARKETS CORPORATION (ID 69888T-20-7)	(3.000)	22.05	66.15	(69.66)	(3.51) S
2/8 2/11	Sale High Cost		PAR PETROLEUM CORP @ 20.9292 62.78 BROKERAGE 0.04 RBC CAPITAL MARKETS CORPORATION (ID 69888T-20-7)	(3.000)	20.913	62.74	(67.96)	(5.22) S
2/17 2/22	Sale High Cost		IDEX CORP @ 73.7696 295.07 BROKERAGE 0.06 MERRILL LYNCH PIERCE FENNER & SMIT (ID 45167R-10-4)	(4.000)	73.753	295.01	(301.13)	(6.12) L
2/23 2/26	Sale High Cost		CLEAN HARBORS INC @ 41.8124 250.87 BROKERAGE 0.09 CITIGROUP GLOBAL MKTS INC (ID 184496-10-7)	(6.000)	41.797	250.78	(282.68)	(31.90) L



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Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
2/23 2/26	Sale High Cost	CHICAGO BRIDGE & IRON CO NV @ 34.2581 205.54 BROKERAGE 0 09 MACQUARIE SECURITIES (USA) INC (ID: 167250-10-9)	(6,000)	34.242	205.45	(499.68)	(294.23) L
2/23 2/26	Sale High Cost	CLEAN HARBORS INC @ 41.7924 208.96 BROKERAGE 0 07 CITIGROUP GLOBAL MKTS INC (ID: 184496-10-7)	(5,000)	41.778	208.89	(235.57)	(26.68) L
3/1 3/4	Sale High Cost	EXXON MOBIL CORP @ 80.7985 565.58 BROKERAGE 0 10 TAX &/OR SEC 01 RBC CAPITAL MARKETS CORPORATION (ID: 30231G-10-2)	(7,000)	80.781	565.47	(595.63)	(30.16) S
3/7 3/10	Sale High Cost	DOW CHEMICAL CO/THE @ 49.8614 897.50 BROKERAGE 0 27 TAX &/OR SEC 01 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 260543-10-3)	(18,000)	49.846	897.22	(988.17)	(90.95) S
3/7 3/10	Sale High Cost	DOW CHEMICAL CO/THE @ 49.755 447.79 BROKERAGE 0 13 LIQUIDNET INC (ID 260543-10-3)	(9,000)	49.74	447.66	(474.55)	(26.89) S
3/7 3/10	Sale High Cost	DOW CHEMICAL CO/THE @ 50.0669 200.26 BROKERAGE 0 06 RBC CAPITAL MARKETS CORPORATION (ID 260543-10-3)	(4,000)	50.05	200.20	(210.68)	(10.48) S
3/7 3/10	Sale High Cost	DOW CHEMICAL CO/THE @ 50.0539 50.05 BROKERAGE 0 01 SJ LEVINSON & SONS LLC (ID: 260543-10-3)	(1,000)	50.04	50.04	(52.67)	(2.63) S
3/7 3/10	Sale High Cost	DOW CHEMICAL CO/THE @ 49.695 248.47 BROKERAGE 0 07 GOLDMAN SACHS & CO. (ID: 260543-10-3)	(5,000)	49.68	248.40	(263.34)	(14.94) S
3/7 3/10	Sale High Cost	DOW CHEMICAL CO/THE @ 49.6677 1,440.36 BROKERAGE 0 43 TAX &/OR SEC 03 MERRILL LYNCH PIERCE FENNER & SMIT (ID 260543-10-3)	(29,000)	49.652	1,439.90	(1,517.91)	(78.01) S
3/7 3/10	Sale High Cost	DOW CHEMICAL CO/THE @ 49.745 99.49 BROKERAGE 0 03 GOLDMAN SACHS & CO. (ID: 260543-10-3)	(2,000)	49.73	99.46	(103.92)	(4.46) S
3/23 3/29	Sale High Cost	VALERO ENERGY CORP @ 65.4972 196.49 BROKERAGE 0 04 CITIGROUP GLOBAL MKTS INC (ID: 91913Y-10-0)	(3,000)	65.483	196.45	(211.24)	(14.79) S



LAKE ROAD FDN - TAP ENERGY ACCT. N02440001
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Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
3/23 3/29	Sale High Cost	LYONDELLBASELL INDU-CL A @ 84.8735 339.49 BROKERAGE 0.06 CITIGROUP GLOBAL MKTS INC (ID N53745-10-0)	(4.000)	84.858	339.43	(362.29)	(22.86) S
3/23 3/29	Sale High Cost	LYONDELLBASELL INDU-CL A @ 84.5666 169.13 BROKERAGE 0.03 CITIGROUP GLOBAL MKTS INC (ID N53745-10-0)	(2.000)	84.55	169.10	(181.14)	(12.04) S
3/23 3/29	Sale High Cost	VALERO ENERGY CORP @ 65.3783 65.37 BROKERAGE 0.01 CITIGROUP GLOBAL MKTS INC (ID 91913Y-10-0)	(1.000)	65.36	65.36	(70.41)	(5.05) S
3/23 3/29	Sale High Cost	PAR PETROLEUM CORP @ 18.4485 166.03 BROKERAGE 0.13 GOLDMAN SACHS & CO (ID 69888T-20-7)	(9.000)	18.433	165.90	(200.17)	(34.27) S
3/23 3/29	Sale High Cost	LYONDELLBASELL INDU-CL A @ 84.9961 424.98 BROKERAGE 0.07 CITIGROUP GLOBAL MKTS INC (ID N53745-10-0)	(5.000)	84.982	424.91	(452.86)	(27.95) S
3/23 3/29	Sale High Cost	VALERO ENERGY CORP @ 65.3194 195.95 BROKERAGE 0.04 CITIGROUP GLOBAL MKTS INC (ID 91913Y-10-0)	(3.000)	65.303	195.91	(211.24)	(15.33) S
3/23 3/29	Sale High Cost	PAR PETROLEUM CORP @ 18.6219 111.73 BROKERAGE 0.09 GOLDMAN SACHS & CO (ID 69888T-20-7)	(6.000)	18.607	111.64	(128.08)	(16.44) S
3/23 3/30	Sale High Cost	PAR PETROLEUM CORP @ 18.53 37.06 BROKERAGE 0.03 STATE STREET GLOBAL MARKETS, LLC (ID 69888T-20-7)	(2.000)	18.515	37.03	(42.69)	(5.66) S
3/29 4/1	Sale High Cost	CALIFORNIA RESOURCES CORP @ 1.005 2.01 BROKERAGE 0.02 KNIGHT EQUITY MARKETS L.P. (ID 13057Q-10-7)	(2.000)	0.995	1.99	N/A ** /	1.99 S
3/30 4/4	Sale High Cost	LYONDELLBASELL INDU-CL A @ 86.0276 688.22 BROKERAGE 0.12 TAX &/OR SEC 01 RBC CAPITAL MARKETS CORPORATION (ID N53745-10-0)	(8.000)	86.011	688.09	(689.24)	(1.15) S

J.P.Morgan



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Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
3/30 4/4	Sale High Cost	LYONDELLBASELL INDU-CL A @ 85.9956 257.98 BROKERAGE 0.04 RBC CAPITAL MARKETS CORPORATION (ID: N53745-10-0)	(3.000)	85.98	257.94	(236.37)	21.57 S
4/5 4/8	Sale High Cost	CLEAN HARBORS INC @ 47.4525 142.35 BROKERAGE 0.04 GOLDMAN SACHS & CO. (ID: 184496-10-7)	(3.000)	47.437	142.31	(141.34)	0.97 L
4/6 4/11	Sale High Cost	SOUTHWESTERN ENERGY CO @ 7.654 750.09 BROKERAGE 1.47 TAX &/OR SEC 01 UBS SECURITIES LLC (ID 845467-10-9)	(98.000)	7.639	748.61	(3,270.14)	(2,521.53) L
4/7 4/12	Sale High Cost	BAKER HUGHES INC @ 41.4736 580.63 BROKERAGE 0.21 TAX &/OR SEC .01 KNIGHT EQUITY MARKETS L P WASH SALE ADJUSTMENT 05/10/16 (ID 057224-10-7)	(14.000)	41.458	580.41	(821.28)	(240.87) L
4/15 4/15	Cash In Lieu	CALIFORNIA RESOURCES CORP CASH IN LIEU OF FRACTIONAL SHARES (ID. 13057Q-10-7)	0.060	1.333	0.08	—	0.08 L
4/18 4/21	Sale High Cost	BUILDERS FIRSTSOURCE INC @ 12.1372 315.56 BROKERAGE 0.39 MORGAN STANLEY & CO LLC WASH SALE ADJUSTMENT 04/21/16 (ID 12008R-10-7)	(26.000)	12.122	315.17	(315.17)	
4/18 4/21	Sale High Cost	HUNTSMAN CORP @ 14.4448 375.56 BROKERAGE 0.39 RBC CAPITAL MARKETS CORPORATION (ID. 447011-10-7)	(26.000)	14.43	375.17	(214.85)	160.32 S
4/18 4/21	Sale High Cost	DU PONT (E.I.) DE NEMOURS @ 64.621 323.10 BROKERAGE 0.07 KNIGHT EQUITY MARKETS L P (ID 263534-10-9)	(5.000)	64.606	323.03	(324.12)	(1.09) S
4/19 4/22	Sale High Cost	BUILDERS FIRSTSOURCE INC @ 12.1101 72.66 BROKERAGE 0.09 KNIGHT EQUITY MARKETS L.P. WASH SALE ADJUSTMENT 04/22/16 WASH SALE ADJUSTMENT 05/06/16 (ID 12008R-10-7)	(6.000)	12.095	72.57	(72.57)	

J.P. Morgan

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Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
4/26	Sale	LAREDO PETROLEUM INC @ 11 8281 260.21 BROKERAGE	(22.000)	11 813	259.88	(199 74)	60 14 S
4/29	High Cost	0.33 DEUTSCHE BANC ALEX BROWN INC (ID 516806-10-6)					
Total Settled Sales/Maturities/Redemptions					\$118,404.25	(\$132,827.85)	(\$8,235.03) L (\$6,252.47) S

✓ 9.1.15 ETC

(14,429.60)
63,900 L

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Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
4/29 5/4	Sale		LAREDO PETROLEUM INC (ID 516806-10-6)	(3,000)	11 782	35 30	(27.20)	8 10 S
4/29 5/4	Sale		WESTLAKE CHEMICAL CORP (ID 960413-10-2)	(2 000)	49 759	99.48	(87 67)	11 81 S
4/29 5/4	Sale		LAREDO PETROLEUM INC (ID 516806-10-6)	(27 000)	11 91	321 18	(244 34)	76 84 S
4/29 5/4	Sale		WESTLAKE CHEMICAL CORP (ID 960413-10-2)	(5 000)	50 223	251 04	(219 16)	31 88 S
Total Pending Sales, Maturities, Redemptions						\$707.00	(\$578.37)	\$128.63 S



LAKE ROAD FDN - OAP FTE ACCT. N02439003
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TRADE ACTIVITY

Note. L indicates Long Term Realized Gain/Loss

S indicates Short Term Realized Gain/Loss

* Settled transaction was initiated in prior statement period and settled in current statement period

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
4/30	Sale	High Cost	SALESFORCE.COM INC @ 72.5647 3,192.84 BROKERAGE	(44,000)	72.548	3,192.13	(3,072.01)	120.12 S*
5/5			0.66 TAX &/OR SEC .05 MORGAN STANLEY & CO LLC (ID. 79466L-30-2)					
5/13	Sale	High Cost	FORTUNE BRANDS HOME & SECURITY INC. @ 45.1711	(25,000)	45.155	1,128.88	(1,179.51)	(50.63) L
5/18			1,129.27 BROKERAGE 0.37 TAX &/OR SEC 02 KNIGHT EQUITY MARKETS L.P. (ID. 34964C-10-6)					
5/13	Sale	High Cost	FORTUNE BRANDS HOME & SECURITY INC. @ 44.9928	(1,000)	44.98	44.98	(47.18)	(2.20) L
5/18			44.99 BROKERAGE 0.01 KNIGHT EQUITY MARKETS L.P. (ID. 34964C-10-6)					
5/13	Sale	High Cost	FORTUNE BRANDS HOME & SECURITY INC. @ 44.9508	(7,000)	44.936	314.55	(330.26)	(15.71) L
5/18			314.65 BROKERAGE 0.10 KNIGHT EQUITY MARKETS L P (ID. 34964C-10-6)					
5/13	Sale	High Cost	FORTUNE BRANDS HOME & SECURITY INC. @ 45.00	(8,000)	44.978	359.82	(377.44)	(17.62) L
5/18			360.00 BROKERAGE 0.18 SUNTRUST CAPITAL MARKETS INC (ID 34964C-10-6)					
5/14	Sale	High Cost	FORTUNE BRANDS HOME & SECURITY INC. @ 45.1641	(10,000)	45.142	451.42	(471.81)	(20.39) L
5/19			451.64 BROKERAGE 0.22 CREDIT SUISSE FIRST BOSTON LLC (ID. 34964C-10-6)					
5/14	Sale	High Cost	FORTUNE BRANDS HOME & SECURITY INC @ 45.1615	(18,000)	45.146	812.62	(849.25)	(36.63) L
5/19			812.90 BROKERAGE 0.27 TAX &/OR SEC .01 DEUTSCHE BANC ALEX BROWN INC (ID. 34964C-10-6)					
5/14	Sale	High Cost	FORTUNE BRANDS HOME & SECURITY INC @ 45.1162	(12,000)	45.093	541.12	(566.17)	(25.05) L
5/19			541.39 BROKERAGE 0.27 SUNTRUST CAPITAL MARKETS INC (ID 34964C-10-6)					



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Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
5/14 5/19	Sale High Cost		FORTUNE BRANDS HOME & SECURITY INC. @ 45.235 45.23 BROKERAGE 0.01 ACADEMY SECURITIES, INC. (ID: 34964C-10-6)	(1,000)	45.22	45.22	(47.18)	(1.96) L
5/14 5/19	Sale High Cost		AGILENT TECHNOLOGIES INC @ 41.9891 923.76 BROKERAGE 0.33 TAX &/OR SEC .01 SJ LEVINSON & SONS LLC (ID: 00846U-10-1)	(22,000)	41.974	923.42	(936.19)	(12.77) L
5/14 5/19	Sale High Cost		AGILENT TECHNOLOGIES INC @ 42.0356 756.64 BROKERAGE 0.27 TAX &/OR SEC .01 KNIGHT EQUITY MARKETS L.P. (ID: 00846U-10-1)	(18,000)	42.02	756.36	(765.93)	(9.57) L
5/14 5/19	Sale High Cost		AGILENT TECHNOLOGIES INC @ 41.995 83.99 BROKERAGE 0.03 ACADEMY SECURITIES, INC. (ID: 00846U-10-1)	(2,000)	41.98	83.96	(85.10)	(1.14) L
5/14 5/19	Sale High Cost		AGILENT TECHNOLOGIES INC @ 42.0515 2,102.57 BROKERAGE 0.75 TAX &/OR SEC .03 S G COWEN & CO (ID: 00846U-10-1)	(50,000)	42.036	2,101.79	(2,107.83)	(6.04) L
5/15 5/20	Sale High Cost		FORTUNE BRANDS HOME & SECURITY INC. @ 45.2454 542.94 BROKERAGE 0.18 TAX &/OR SEC .01 CITIGROUP GLOBAL MKTS INC (ID: 34964C-10-6)	(12,000)	45.229	542.75	(566.17)	(23.42) L
5/15 5/20	Sale High Cost		AGILENT TECHNOLOGIES INC @ 42.0463 925.01 BROKERAGE 0.33 TAX &/OR SEC .01 UBS SECURITIES LLC (ID: 00846U-10-1)	(22,000)	42.03	924.67	(920.58)	4.09 L
5/15 5/20	Sale High Cost		AGILENT TECHNOLOGIES INC @ 42.095 1,094.47 BROKERAGE 0.39 TAX &/OR SEC .02 ACADEMY SECURITIES, INC. (ID: 00846U-10-1)	(26,000)	42.079	1,094.06	(1,072.14)	3.75 L 18.17 S
5/15 5/20	Sale High Cost		AGILENT TECHNOLOGIES INC @ 42.1009 505.21 BROKERAGE 0.18 S G COWEN & CO (ID: 00846U-10-1)	(12,000)	42.086	505.03	(482.59)	22.44 S
5/15 5/21	Sale High Cost		FORTUNE BRANDS HOME & SECURITY INC @ 45.2822 543.38 BROKERAGE 0.27 TAX &/OR SEC .01 SUNTRUST CAPITAL MARKETS INC (ID: 34964C-10-6)	(12,000)	45.258	543.10	(566.17)	(23.07) L



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Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
5/15 5/21	Sale High Cost		AGILENT TECHNOLOGIES INC @ 42.095 463.04 BROKERAGE 0.16 STATE STREET GLOBAL MARKETS, LLC (ID: 00846U-10-1)	(11,000)	42.08	462.88	(442.23)	20 65 S
5/18 5/21	Sale High Cost		AGILENT TECHNOLOGIES INC @ 42.4226 509 07 BROKERAGE 0.18 CITIGROUP GLOBAL MKTS INC (ID 00846U-10-1)	(12,000)	42.408	508 89	(482 21)	26 68 S
5/18 5/21	Sale High Cost		FORTUNE BRANDS HOME & SECURITY INC @ 45 3326 90 66 BROKERAGE 0 04 SUNTRUST CAPITAL MARKETS INC (ID 34964C-10-6)	(2,000)	45 31	90 62	(94 36)	(3.74) L
5/18 5/21	Sale High Cost		AGILENT TECHNOLOGIES INC @ 42.2745 422.74 BROKERAGE 0 15 ACADEMY SECURITIES, INC. (ID 00846U-10-1)	(10,000)	42.259	422.59	(401 17)	21.42 S
5/18 5/21	Sale High Cost		AGILENT TECHNOLOGIES INC @ 42 6374 426.37 BROKERAGE 0.15 JEFFERIES & COMPANY (ID 00846U-10-1)	(10,000)	42 622	426 22	(399 70)	5 62 L 20.90 S
5/18 5/21	Sale High Cost		FORTUNE BRANDS HOME & SECURITY INC @ 45.3438 272.06 BROKERAGE 0 13 SUNTRUST CAPITAL MARKETS INC (ID 34964C-10-6)	(6,000)	45.322	271 93	(283 08)	(11.16) L
5/18 5/21	Sale High Cost		FORTUNE BRANDS HOME & SECURITY INC @ 45.3358 272 01 BROKERAGE 0 09 JEFFERIES & COMPANY (ID 34964C-10-6)	(6,000)	45.32	271.92	(283.08)	(11 16) L
5/18 5/21	Sale High Cost		FORTUNE BRANDS HOME & SECURITY INC. @ 45.3129 45 31 BROKERAGE 0 01 DEUTSCHE BANC ALEX BROWN INC (ID: 34964C-10-6)	(1,000)	45.30	45.30	(47.18)	(1.88) L
5/18 5/21	Sale High Cost		FORTUNE BRANDS HOME & SECURITY INC @ 45.307 317 14 BROKERAGE 0 10 DEUTSCHE BANC ALEX BROWN INC (ID 34964C-10-6)	(7,000)	45.291	317.04	(330.26)	(13 22) L



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Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
5/18 5/21	Sale High Cost		FORTUNE BRANDS HOME & SECURITY INC. @ 45.3762 136.12 BROKERAGE 0.04 DEUTSCHE BANC ALEX BROWN INC (ID 34964C-10-6)	(3,000)	45.36	136.08	(141.54)	(5.46) L
5/18 5/21	Sale High Cost		AGILENT TECHNOLOGIES INC @ 42.5275 467.80 BROKERAGE 0.16 STATE STREET GLOBAL MARKETS, LLC (ID 00846U-10-1)	(11,000)	42.513	467.64	(436.29)	31.35 L
5/19 5/22	Sale High Cost		FORTUNE BRANDS HOME & SECURITY INC. @ 46.09 46.09 BROKERAGE 0.01 STATE STREET GLOBAL MARKETS, LLC. (ID 34964C-10-6)	(1,000)	46.08	46.08	(47.18)	(1.10) L
5/19 5/22	Sale High Cost		FORTUNE BRANDS HOME & SECURITY INC. @ 46.0948 553.13 BROKERAGE 0.18 TAX &/OR SEC.01 JEFFERIES & COMPANY (ID 34964C-10-6)	(12,000)	46.078	552.94	(566.17)	(13.23) L
5/19 5/22	Sale High Cost		AGILENT TECHNOLOGIES INC @ 42.4259 933.36 BROKERAGE 0.33 TAX &/OR SEC.01 MORGAN STANLEY & CO LLC (ID 00846U-10-1)	(22,000)	42.41	933.02	(871.39)	61.63 L
5/19 5/22	Sale High Cost		FORTUNE BRANDS HOME & SECURITY INC. @ 46.0783 506.86 BROKERAGE 0.16 SJ LEVINSON & SONS LLC (ID 34964C-10-6)	(11,000)	46.064	506.70	(518.99)	(12.29) L
5/19 5/22	Sale High Cost		AGILENT TECHNOLOGIES INC @ 42.0422 378.37 BROKERAGE 0.13 ACADEMY SECURITIES, INC. (ID 00846U-10-1)	(9,000)	42.027	378.24	(356.07)	22.17 L
5/20 5/26	Sale High Cost		FORTUNE BRANDS HOME & SECURITY INC. @ 46.1949 46.19 BROKERAGE 0.01 MORGAN STANLEY & CO. LLC (ID 34964C-10-6)	(1,000)	46.18	46.18	(47.18)	(1.00) L
5/20 5/26	Sale High Cost		FORTUNE BRANDS HOME & SECURITY INC. @ 46.034 230.17 BROKERAGE 0.07 MORGAN STANLEY & CO. LLC (ID 34964C-10-6)	(5,000)	46.02	230.10	(235.90)	(5.80) L



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Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
5/20 5/26	Sale High Cost	FORTUNE BRANDS HOME & SECURITY INC. @ 46.0577 322.40 BROKERAGE 0 10 S G COWEN & CO (ID 34964C-10-6)	(7 000)	46.043	322.30	(330 26)	(7 96) L
5/20 5/26	Sale High Cost	FORTUNE BRANDS HOME & SECURITY INC. @ 46 0198 230 09 BROKERAGE 0.11 CITIGROUP GLOBAL MKTS INC (ID 34964C-10-6)	(5 000)	45 996	229.98	(235 90)	(5.92) L
5/20 5/26	Sale High Cost	FORTUNE BRANDS HOME & SECURITY INC. @ 45.945 45 94 BROKERAGE 0 01 LIQUIDNET INC (ID 34964C-10-6)	(1,000)	45.93	45 93	(47.18)	(1 25) L
5/21 5/27	Sale High Cost	FORTUNE BRANDS HOME & SECURITY INC @ 46.0466 92 09 BROKERAGE 0 04 CITIGROUP GLOBAL MKTS INC (ID 34964C-10-6)	(2,000)	46.025	92.05	(94 36)	(2 31) L
5/21 5/27	Sale High Cost	FORTUNE BRANDS HOME & SECURITY INC. @ 46 0601 1,105 44 BROKERAGE 0 54 TAX &/OR SEC 02 BARCLAYS CAPITAL LE (ID 34964C-10-6)	(24,000)	46 037	1,104.88	(1,132 34)	(27.46) L
6/18 6/23	Sale High Cost	COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A @ 63 3489 380.09 BROKERAGE 0.09 MERRILL LYNCH PIERCE FENNER & SMIT (ID 192446-10-2)	(6,000)	63.333	380 00	(267 11)	112.89 S
6/18 6/23	Sale High Cost	COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A @ 63 216 1,643 61 BROKERAGE 0.39 TAX &/OR SEC 03 MERRILL LYNCH PIERCE FENNER & SMIT (ID 192446-10-2)	(26,000)	63 20	1,643.19	(1,157.28)	485.91 S
6/19 6/24	Sale High Cost	COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A @ 63 1739 821.26 BROKERAGE 0 19 TAX &/OR SEC .01 CITIGROUP GLOBAL MKTS INC (ID 192446-10-2)	(13,000)	63 158	821 06	(578 32)	242.74 S
6/19 6/24	Sale High Cost	COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A @ 62.795 502.36 BROKERAGE 0.12 CITIGROUP GLOBAL MKTS INC (ID 192446-10-2)	(8,000)	62 78	502.24	(355.84)	146.40 S



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Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
6/19 6/24	Sale High Cost		COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A @ 62.5824 876.15 BROKERAGE 0.21 TAX &/OR SEC .01 CITIGROUP GLOBAL MKTS INC (ID 192446-10-2)	(14,000)	62.566	875.93	(622.65)	253.28 S
6/19 6/24	Sale High Cost		COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A @ 62.755 188.26 BROKERAGE 0.04 LOOP CAPITAL MARKETS LLC (ID. 192446-10-2)	(3,000)	62.74	188.22	(133.43)	54.79 S
6/22 6/25	Sale High Cost		COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A @ 63.0976 1,325.04 BROKERAGE 0.31 TAX &/OR SEC .02 MORGAN STANLEY & CO LLC (ID. 192446-10-2)	(21,000)	63.081	1,324.71	(932.16)	392.55 S
6/22 6/25	Sale High Cost		COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A @ 63.1189 378.71 BROKERAGE 0.09 MERRILL LYNCH PIERCE FENNER & SMIT (ID 192446-10-2)	(6,000)	63.103	378.62	(265.04)	113.58 S
6/22 6/25	Sale High Cost		COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A @ 63.0875 757.05 BROKERAGE 0.18 TAX &/OR SEC .01 LOOP CAPITAL MARKETS LLC (ID 192446-10-2)	(12,000)	63.072	756.86	(530.07)	226.79 S
6/23 6/26	Sale High Cost		FEDEX CORP @ 175.4855 526.45 BROKERAGE 0.04 KNIGHT EQUITY MARKETS L.P (ID 31428X-10-6)	(3,000)	175.47	526.41	(526.80)	(0.39) S
6/23 6/26	Sale High Cost		FEDEX CORP @ 175.3522 2,279.57 BROKERAGE 0.19 TAX &/OR SEC .04 RBC CAPITAL MARKETS CORPORATION (ID. 31428X-10-6)	(13,000)	175.334	2,279.34	(2,277.68)	1.66 S
6/24 6/29	Sale High Cost		FEDEX CORP @ 175.122 175.12 BROKERAGE 0.01 SJ LEVINSON & SONS LLC (ID 31428X-10-6)	(1,000)	175.11	175.11	(173.89)	1.22 S
6/24 6/29	Sale High Cost		FEDEX CORP @ 173.0528 692.21 BROKERAGE 0.06 TAX &/OR SEC .01 SJ LEVINSON & SONS LLC (ID 31428X-10-6)	(4,000)	173.035	692.14	(695.54)	(3.40) S
6/24 6/29	Sale High Cost		FEDEX CORP @ 174.85 349.70 BROKERAGE 0.03 LOOP CAPITAL MARKETS LLC (ID. 31428X-10-6)	(2,000)	174.835	349.67	(347.77)	1.90 S



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Settle Date								
Settled Sales/Maturities/Redemptions								
6/26 7/1	Sale High Cost		FEDEX CORP @ 173.5568 867 78 BROKERAGE 0.07 TAX &/OR SEC 01 SJ LEVINSON & SONS LLC (ID 31428X-10-6)	(5,000)	173.54	867 70	(869.43)	(1.73) S
6/26 7/1	Sale High Cost		FEDEX CORP @ 173.5522 1,041 31 BROKERAGE 0.09 TAX &/OR SEC 01 UBS SECURITIES LLC (ID 31428X-10-6)	(6 000)	173.535	1,041 21	(1,043.32)	(2 11) S
6/26 7/1	Sale High Cost		FEDEX CORP @ 173.56 347.12 BROKERAGE 0.03 INVESTMENT TECHNOLOGY GROUP (ITG) (ID 31428X-10-6)	(2,000)	173.545	347.09	(347.78)	(0.69) S
6/26 7/1	Sale High Cost		FEDEX CORP @ 173.5477 520.64 BROKERAGE 0.04 KNIGHT EQUITY MARKETS L P (ID 31428X-10-6)	(3,000)	173.533	520.60	(521.42)	(0 82) S
6/29 7/2	Sale High Cost		FEDEX CORP @ 172.0551 1,376.44 BROKERAGE 0.12 TAX &/OR SEC 02 SJ LEVINSON & SONS LLC (ID 31428X-10-6)	(8 000)	172.038	1,376.30	(1,373.61)	2 69 S
6/29 7/2	Sale High Cost		FEDEX CORP @ 171.9824 1,203.87 BROKERAGE 0.10 TAX &/OR SEC 02 KNIGHT EQUITY MARKETS L.P. (ID 31428X-10-6)	(7,000)	171.964	1,203 75	(1,159.13)	44 62 S
6/30 7/6	Sale High Cost		SYSCO CORP @ 36.0412 1,910 18 BROKERAGE 0.79 TAX &/OR SEC .03 KNIGHT EQUITY MARKETS L P. (ID 871829-10-7)	(53,000)	36.026	1,909.36	(2,084.51)	(175.15) S
6/30 7/6	Sale High Cost		SYSCO CORP @ 36.0918 3,248 26 BROKERAGE 1.35 TAX &/OR SEC .05 DEUTSCHE BANC ALEX BROWN INC (ID 871829-10-7)	(90,000)	36.076	3,246.86	(3,518.17)	(271.31) S
6/30 7/6	Sale High Cost		SYSCO CORP @ 36.2164 1,955 68 BROKERAGE 0.81 TAX &/OR SEC .03 DEUTSCHE BANC ALEX BROWN INC (ID 871829-10-7)	(54,000)	36.201	1,954.84	(2,106.15)	(151.31) S
7/2 7/8	Sale High Cost		PHILLIPS 66 @ 81.5694 326.27 BROKERAGE 0.06 JEFFERIES & COMPANY (ID 718546-10-4)	(4,000)	81.553	326 21	(301.55)	24 66 L

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Settle Date								
Settled Sales/Maturities/Redemptions								
7/2 7/8	Sale High Cost		PHILLIPS 66 @ 81 3292 325 31 BROKERAGE 0.06 MORGAN STANLEY & CO LLC (ID: 718546-10-4)	(4 000)	81.313	325.25	(301.55)	23.70 L
7/2 7/8	Sale High Cost		PHILLIPS 66 @ 81.3293 1,057 28 BROKERAGE 0.19 TAX &/OR SEC .01 MORGAN STANLEY & CO. LLC (ID: 718546-10-4)	(13 000)	81.314	1,057.08	(980.05)	77.03 L
7/20 7/23	Sale High Cost		CF INDUSTRIES HOLDINGS INC @ 65 0904 455.63 BROKERAGE 0.10 LOOP CAPITAL MARKETS LLC (ID: 125269-10-0)	(7,000)	65.076	455.53	(427.71)	27.82 S
7/20 7/23	Sale High Cost		CF INDUSTRIES HOLDINGS INC @ 65.055 1,821.54 BROKERAGE 0.42 TAX &/OR SEC .03 MORGAN STANLEY & CO. LLC (ID: 125269-10-0)	(28 000)	65.039	1,821.09	(1,686.07)	135.02 S
7/20 7/23	Sale High Cost		CF INDUSTRIES HOLDINGS INC @ 65 1329 716.46 BROKERAGE 0.16 TAX &/OR SEC .01 STATE STREET GLOBAL MARKETS, LLC. (ID: 125269-10-0)	(11,000)	65.117	716.29	(649.86)	66.43 S
7/23 7/28	Sale High Cost		FACEBOOK INC-A @ 96.6011 4,540 25 BROKERAGE 0.70 TAX &/OR SEC .08 KNIGHT EQUITY MARKETS L.P. (ID: 30303M-10-2)	(47,000)	96.584	4,539.47	(3,713.67)	825.80 S
7/23 7/28	Sale High Cost		FACEBOOK INC-A @ 95 8017 958 01 BROKERAGE 0.15 TAX &/OR SEC .01 KNIGHT EQUITY MARKETS L.P. (ID: 30303M-10-2)	(10,000)	95.785	957.85	(779.65)	178.20 S
8/3 8/6	Sale High Cost		INTERPUBLIC GROUP COMPANIES INC @ 21.1121 781 14 BROKERAGE 0.55 TAX &/OR SEC .01 JEFFERIES & COMPANY (ID: 460690-10-0)	(37,000)	21.097	780.58	(648.15)	132.43 L
8/3 8/6	Sale High Cost		INTERPUBLIC GROUP COMPANIES INC @ 21 0315 189.28 BROKERAGE 0.13 LIQUIDNET INC (ID: 460690-10-0)	(9,000)	21.017	189.15	(157.65)	31.50 L
8/3 8/6	Sale High Cost		INTERPUBLIC GROUP COMPANIES INC @ 21.1093 548.84 BROKERAGE 0.39 TAX &/OR SEC .01 LOOP CAPITAL MARKETS LLC (ID: 460690-10-0)	(26,000)	21.094	548.44	(455.42)	93.02 L

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Settled Sales/Maturities/Redemptions							
8/3 8/6	Sale High Cost	INTERPUBLIC GROUP COMPANIES INC @ 21.055 126.33 BROKERAGE 0.09 STATE STREET GLOBAL MARKETS, LLC (ID: 460690-10-0)	(6,000)	21.04	126.24	(105.10)	21.14 L
8/4 8/7	Sale High Cost	INTERPUBLIC GROUP COMPANIES INC @ 21.0614 105.30 BROKERAGE 0.07 GOLDMAN SACHS & CO (ID: 460690-10-0)	(5,000)	21.046	105.23	(87.58)	17.65 L
8/4 8/7	Sale High Cost	INTERPUBLIC GROUP COMPANIES INC @ 21.215 21.21 BROKERAGE 0.01 DREXEL HAMILTON LLC (ID: 460690-10-0)	(1,000)	21.20	21.20	(17.52)	3.68 L
8/4 8/7	Sale High Cost	INTERPUBLIC GROUP COMPANIES INC @ 21.0706 273.91 BROKERAGE 0.19 GOLDMAN SACHS & CO (ID: 460690-10-0)	(13,000)	21.055	273.72	(227.69)	46.03 L
8/4 8/7	Sale High Cost	INTERPUBLIC GROUP COMPANIES INC @ 21.0132 126.07 BROKERAGE 0.09 CREDIT SUISSE FIRST BOSTON LLC (ID: 460690-10-0)	(6,000)	20.997	125.98	(105.02)	20.96 L
8/4 8/7	Sale High Cost	INTERPUBLIC GROUP COMPANIES INC @ 21.1653 359.81 BROKERAGE 0.25 CREDIT SUISSE FIRST BOSTON LLC (ID: 460690-10-0)	(17,000)	21.151	359.56	(297.54)	62.02 L
8/7 8/12	Sale High Cost	CF INDUSTRIES HOLDINGS INC @ 59.3587 2,730.50 BROKERAGE 0.69 TAX &/OR SEC .05 CREDIT SUISSE FIRST BOSTON LLC (ID: 125269-10-0)	(46,000)	59.343	2,729.76	(2,710.64)	19.12 S
8/7 8/12	Sale High Cost	CF INDUSTRIES HOLDINGS INC @ 59.3425 1,720.93 BROKERAGE 0.43 TAX &/OR SEC .03 INSTINET (ID: 125269-10-0)	(29,000)	59.327	1,720.47	(1,708.77)	11.70 S
8/7 8/12	Sale High Cost	CF INDUSTRIES HOLDINGS INC @ 58.435 116.87 BROKERAGE 0.03 STATE STREET GLOBAL MARKETS, LLC (ID: 125269-10-0)	(2,000)	58.42	116.84	(117.85)	(1.01) S



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Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
8/7 8/12	Sale High Cost		CF INDUSTRIES HOLDINGS INC @ 57.925 579.25 BROKERAGE 0.15 TAX &/OR SEC 01 DREXEL HAMILTON LLC (ID: 125269-10-0)	(10,000)	57.909	579.09	(589.23)	(10.14) S
8/11 8/14	Sale High Cost		HUNTSMAN CORPORATION @ 17.4681 122.27 BROKERAGE 0.10 CITIGROUP GLOBAL MKTS INC (ID: 447011-10-7)	(7,000)	17.453	122.17	(164.63)	(42.46) S
8/11 8/14	Sale High Cost		HUNTSMAN CORPORATION @ 17.4215 313.58 BROKERAGE 0.27 SJ LEVINSON & SONS LLC (ID: 447011-10-7)	(18,000)	17.406	313.31	(419.40)	(106.09) S
8/12 8/17	Sale High Cost		HUNTSMAN CORPORATION @ 16.8767 523.17 BROKERAGE 0.46 CITIGROUP GLOBAL MKTS INC (ID: 447011-10-7)	(31,000)	16.862	522.71	(714.79)	(192.08) S
8/12 8/17	Sale High Cost		HUNTSMAN CORPORATION @ 16.9121 862.51 BROKERAGE 0.76 TAX &/OR SEC .01 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 447011-10-7)	(51,000)	16.897	861.74	(1,171.68)	(309.94) S
8/12 8/17	Sale High Cost		HUNTSMAN CORPORATION @ 16.895 50.68 BROKERAGE 0.04 STATE STREET GLOBAL MARKETS, LLC (ID: 447011-10-7)	(3,000)	16.88	50.64	(68.56)	(17.92) S
8/12 8/18	Sale High Cost		HUNTSMAN CORPORATION @ 17.0027 187.02 BROKERAGE 0.24 ISI GROUP INC. (ID: 447011-10-7)	(11,000)	16.98	186.78	(251.38)	(64.60) S
8/13 8/18	Sale High Cost		HUNTSMAN CORPORATION @ 16.6947 200.33 BROKERAGE 0.18 KNIGHT EQUITY MARKETS L.P. (ID: 447011-10-7)	(12,000)	16.679	200.15	(274.23)	(74.08) S
8/13 8/18	Sale High Cost		HUNTSMAN CORPORATION @ 16.7104 284.07 BROKERAGE 0.38 ESI SECURITIES COMPANY (ID: 447011-10-7)	(17,000)	16.688	283.69	(388.49)	(104.80) S
8/13 8/18	Sale High Cost		HUNTSMAN CORPORATION @ 16.725 66.90 BROKERAGE 0.09 CREDIT SUISSE FIRST BOSTON LLC (ID: 447011-10-7)	(4,000)	16.703	66.81	(91.41)	(24.60) S
8/13 8/18	Sale High Cost		HUNTSMAN CORPORATION @ 16.735 184.08 BROKERAGE 0.24 WEEDEEN AND CO (ID: 447011-10-7)	(11,000)	16.713	183.84	(251.37)	(67.53) S



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Settled Sales/Maturities/Redemptions							
8/13 8/18	Sale High Cost	HUNTSMAN CORPORATION @ 16.6957 434.08 BROKERAGE 0.39 MORGAN STANLEY & CO. LLC (ID: 447011-10-7)	(26.000)	16.68	433.69	(588.39)	(154.70) S
8/13 8/18	Sale High Cost	HUNTSMAN CORPORATION @ 16.625 149.62 BROKERAGE 0.13 DREXEL HAMILTON LLC (ID: 447011-10-7)	(9.000)	16.61	149.49	(201.91)	(52.42) S
8/14 8/19	Sale High Cost	HUNTSMAN CORPORATION @ 16.6364 482.45 BROKERAGE 0.43 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 447011-10-7)	(29.000)	16.621	482.02	(648.60)	(166.58) S
8/14 8/19	Sale High Cost	HUNTSMAN CORPORATION @ 16.6256 182.88 BROKERAGE 0.16 KNIGHT EQUITY MARKETS L.P. (ID: 447011-10-7)	(11.000)	16.611	182.72	(244.83)	(62.11) S
8/14 8/19	Sale High Cost	HUNTSMAN CORPORATION @ 16.7688 570.07 BROKERAGE 0.51 TAX &/OR SEC.01 KNIGHT EQUITY MARKETS L.P. (ID: 447011-10-7)	(34.000)	16.751	569.55	(741.44)	(171.89) S
8/14 8/19	Sale High Cost	HUNTSMAN CORPORATION @ 16.705 183.75 BROKERAGE 0.16 ROSENBLATT SECURITIES LLC (ID: 447011-10-7)	(11.000)	16.69	183.59	(231.93)	(48.34) S
8/17 8/20	Sale High Cost	HUNTSMAN CORPORATION @ 16.5628 265.00 BROKERAGE 0.24 CITIGROUP GLOBAL MKTS INC (ID: 447011-10-7)	(16.000)	16.548	264.76	(337.32)	(72.56) S
8/28 9/2	Sale High Cost	AUTODESK INC @ 48.1183 1,058.60 BROKERAGE 0.33 TAX &/OR SEC. 01 STATE STREET GLOBAL MARKETS, LLC. (ID: 052769-10-6)	(22.000)	48.103	1,058.26	(1,200.32)	(142.06) S
8/28 9/2	Sale High Cost	AUTODESK INC @ 47.7135 2,385.67 BROKERAGE 0.75 TAX &/OR SEC.04 DREXEL HAMILTON LLC (ID: 052769-10-6)	(50.000)	47.698	2,384.88	(2,716.14)	(331.26) S
8/28 9/2	Sale High Cost	INTERPUBLIC GROUP COMPANIES INC @ 19.155 440.56 BROKERAGE 0.34 DREXEL HAMILTON LLC (ID: 460690-10-0)	(23.000)	19.14	440.22	(402.45)	37.77 L



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Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
8/28 9/2	Sale High Cost	INTERPUBLIC GROUP COMPANIES INC @ 19.1927 422.23 BROKERAGE 0.33 JEFFERIES & COMPANY (ID: 460690-10-0)	(22,000)	19.177	421.90	(383.62)	38.28 L
8/28 9/2	Sale High Cost	INTERPUBLIC GROUP COMPANIES INC @ 19.1512 1,072.46 BROKERAGE 0.84 TAX &/OR SEC .01 UBS SECURITIES LLC (ID: 460690-10-0)	(56,000)	19.136	1,071.61	(969.73)	101.88 L
8/28 9/2	Sale High Cost	AUTODESK INC @ 47.3978 4,976.76 BROKERAGE 1.57 TAX &/OR SEC 09 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 052769-10-6)	(105,000)	47.382	4,975.10	(5,605.51)	(630.41) S
8/31 9/3	Sale High Cost	INTERPUBLIC GROUP COMPANIES INC @ 19.0617 438.41 BROKERAGE 0.51 BMO NESBITT BURNS CORP (ID: 460690-10-0)	(23,000)	19.039	437.90	(398.28)	39.62 L
8/31 9/3	Sale High Cost	INTERPUBLIC GROUP COMPANIES INC @ 18.9796 531.42 BROKERAGE 0.42 DEUTSCHE BANC ALEX BROWN INC (ID: 460690-10-0)	(28,000)	18.964	531.00	(484.86)	46.14 L
9/1 9/4	Sale High Cost	INTERPUBLIC GROUP COMPANIES INC @ 18.4891 813.52 BROKERAGE 0.66 TAX &/OR SEC 01 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 460690-10-0)	(44,000)	18.474	812.85	(758.63)	54.22 L
9/1 9/4	Sale High Cost	INTERPUBLIC GROUP COMPANIES INC @ 18.3086 421.09 BROKERAGE 0.34 CITIGROUP GLOBAL MKTS INC (ID: 460690-10-0)	(23,000)	18.293	420.75	(391.23)	29.52 L
9/1 9/4	Sale High Cost	INTERPUBLIC GROUP COMPANIES INC @ 18.3791 183.79 BROKERAGE 0.15 STATE STREET GLOBAL MARKETS, LLC. (ID: 460690-10-0)	(10,000)	18.364	183.64	(167.07)	16.57 L
9/2 9/8	Sale High Cost	INTERPUBLIC GROUP COMPANIES INC @ 18.6378 559.13 BROKERAGE 0.45 TAX &/OR SEC 01 DEUTSCHE BANC ALEX BROWN INC (ID: 460690-10-0)	(30,000)	18.622	558.67	(500.98)	57.69 L



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Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
9/30 10/5	Sale High Cost		GOLDMAN SACHS GROUP INC @ 173.6425 8,161 19 BROKERAGE 0.70 TAX &/OR SEC 15 MORGAN STANLEY & CO LLC (ID: 38141G-10-4)	(47,000)	173.624	8,160 34	(10,076.73)	(1,916.39) S
9/30 10/5	Sale High Cost		GOLDMAN SACHS GROUP INC @ 172.9767 691.90 BROKERAGE 0.06 TAX &/OR SEC .01 DREXEL HAMILTON LLC (ID: 38141G-10-4)	(4,000)	172.958	691.83	(730.04)	(38.21) S
10/8 10/14	Sale High Cost		ADVANCED AUTO PARTS INC @ 187.6836 1,313.78 BROKERAGE 0 10 TAX &/OR SEC 02 GOLDMAN SACHS & CO (ID: 00751Y-10-6)	(7 000)	187.666	1,313.66	(1,115.93)	197.73 S
10/8 10/14	Sale High Cost		MEAD JOHNSON NUTRITION COMPANY CLASS A COMMON STOCK @ 71.8407 3,304.67 BROKERAGE 0.69 TAX &/OR SEC 06 GOLDMAN SACHS & CO. (ID 582839-10-6)	(46,000)	71 824	3,303.92	(4,312.88)	(10.15) L (998.81) S
10/8 10/14	Sale High Cost		HESS CORP @ 62.1367 1,429.14 BROKERAGE 0.34 TAX &/OR SEC .02 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 42809H-10-7)	(23,000)	62.121	1,428.78	(1,839.45)	(410.67) L
10/8 10/14	Sale High Cost		HESS CORP @ 62.2005 62.20 BROKERAGE 0.01 KNIGHT EQUITY MARKETS L P. (ID: 42809H-10-7)	(1,000)	62.19	62.19	(79.98)	(17.79) L
10/8 10/14	Sale High Cost		MEAD JOHNSON NUTRITION COMPANY CLASS A COMMON STOCK @ 71.9105 1,078.65 BROKERAGE 0.22 TAX &/OR SEC .01 ROSENBLATT SECURITIES LLC (ID 582839-10-6)	(15,000)	71.895	1,078.42	(1,229.51)	(151.09) L
10/8 10/14	Sale High Cost		PFIZER INC @ 32.7349 491 02 BROKERAGE 0 22 DEUTSCHE BANC ALEX BROWN INC (ID: 717081-10-3)	(15,000)	32 72	490 80	(508.10)	(17 30) S
10/8 10/14	Sale High Cost		PFIZER INC @ 32 9515 1,383.96 BROKERAGE 0 63 TAX &/OR SEC .02 DEUTSCHE BANC ALEX BROWN INC (ID 717081-10-3)	(42 000)	32 936	1,383 31	(1,409.23)	(25.92) S
10/8 10/14	Sale High Cost		PFIZER INC @ 33 0545 198 32 BROKERAGE 0.06 NATIONAL FINL SVCS CORP (ID: 717081-10-3)	(6 000)	33 043	198 26	(176 31)	21 95 S

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Settled Sales/Maturities/Redemptions							
10/9 10/15	Sale High Cost	MEAD JOHNSON NUTRITION COMPANY CLASS A COMMON STOCK @ 72.6517 871.82 BROKERAGE 0.18 TAX &/OR SEC 01 GOLDMAN SACHS & CO. (ID: 582839-10-6)	(12,000)	72.636	871.63	(983.61)	(111.98) L
10/9 10/15	Sale High Cost	MEAD JOHNSON NUTRITION COMPANY CLASS A COMMON STOCK @ 71.9534 647.58 BROKERAGE 0.13 TAX &/OR SEC 01 GOLDMAN SACHS & CO. (ID: 582839-10-6)	(9,000)	71.938	647.44	(737.71)	(90.27) L
10/12 10/15	Sale High Cost	MEAD JOHNSON NUTRITION COMPANY CLASS A COMMON STOCK @ 71.3278 1,283.90 BROKERAGE 0.27 TAX &/OR SEC 02 RBC CAPITAL MARKETS CORPORATION (ID: 582839-10-6)	(18,000)	71.312	1,283.61	(1,475.42)	(191.81) L
10/13 10/16	Sale High Cost	MEAD JOHNSON NUTRITION COMPANY CLASS A COMMON STOCK @ 72.082 864.98 BROKERAGE 0.18 TAX &/OR SEC 01 DEUTSCHE BANC ALEX BROWN INC (ID: 582839-10-6)	(12,000)	72.066	864.79	(983.61)	(118.82) L
10/13 10/16	Sale High Cost	MEAD JOHNSON NUTRITION COMPANY CLASS A COMMON STOCK @ 71.5006 429.00 BROKERAGE 0.09 DEUTSCHE BANC ALEX BROWN INC (ID: 582839-10-6)	(6,000)	71.485	428.91	(491.80)	(62.89) L
10/15 10/20	Sale High Cost	EDWARDS LIFESCIENCES CORP @ 145.0802 1,015.56 BROKERAGE 0.10 TAX &/OR SEC 01 GOLDMAN SACHS & CO (ID: 28176E-10-8)	(7,000)	145.064	1,015.45	(1,010.30)	5.15 S
10/15 10/20	Sale High Cost	EDWARDS LIFESCIENCES CORP @ 146.5469 1,612.01 BROKERAGE 0.16 TAX &/OR SEC 02 GOLDMAN SACHS & CO (ID: 28176E-10-8)	(11,000)	146.53	1,611.83	(1,586.05)	25.78 S
10/27 10/30	Sale High Cost	EDWARDS LIFESCIENCES CORP @ 154.2436 1,079.70 BROKERAGE 0.10 TAX &/OR SEC 01 CITIGROUP GLOBAL MKTS INC (ID: 28176E-10-8)	(7,000)	154.227	1,079.59	(1,008.48)	71.11 S
10/27 10/30	Sale High Cost	EDWARDS LIFESCIENCES CORP @ 154.9699 3,564.30 BROKERAGE 0.34 TAX &/OR SEC 06 LOOP CAPITAL MARKETS LLC (ID: 28176E-10-8)	(23,000)	154.952	3,563.90	(3,079.90)	484.00 S

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Settled Sales/Maturities/Redemptions								
10/27 10/30	Sale High Cost		EDWARDS LIFESCIENCES CORP @ 154.65 1,546 50 BROKERAGE 0.15 TAX &/OR SEC.02 STATE STREET GLOBAL MARKETS, LLC. (ID: 28176E-10-8)	(10 000)	154.633	1,546.33	(1,302.19)	244.14 S
10/27 10/30	Sale High Cost		EDWARDS LIFESCIENCES CORP @ 150.6922 1,958.99 BROKERAGE 0.19 TAX &/OR SEC.03 CITIGROUP GLOBAL MKTS INC (ID: 28176E-10-8)	(13.000)	150.675	1,958.77	(1,691.52)	267.25 S
11/11 11/16	Sale High Cost		MOLSON COORS BREWING CO -B @ 89.2374 2,855.59 BROKERAGE 0.48 TAX &/OR SEC.05 JEFFERIES & COMPANY (ID: 60871R-20-9)	(32.000)	89.221	2,855.06	(2,612.03)	243.03 S
11/11 11/16	Sale High Cost		MOLSON COORS BREWING CO -B @ 91.9722 2,851.13 BROKERAGE 0.46 TAX &/OR SEC.05 S G COWEN & CO (ID: 60871R-20-9)	(31.000)	91.955	2,850.62	(2,520.33)	330.29 S
11/13 11/18	Sale High Cost		HD SUPPLY HOLDINGS INC @ 28.2142 28.21 BROKERAGE 0.01 CITIGROUP GLOBAL MKTS INC (ID 40416M-10-5)	(1.000)	28.20	28.20	(35.41)	(7 21) S
11/13 11/18	Sale High Cost		CELGENE CORP @ 110.215 330.64 BROKERAGE 0.04 LIQUIDNET INC (ID: 151020-10-4)	(3.000)	110.20	330.60	(245.53)	85.07 L
11/13 11/18	Sale High Cost		HD SUPPLY HOLDINGS INC @ 28.2552 169 53 BROKERAGE 0.13 BARCLAYS CAPITAL LE (ID. 40416M-10-5)	(6 000)	28 233	169 40	(212.36)	(42 98) S
11/13 11/18	Sale High Cost		HD SUPPLY HOLDINGS INC @ 28.3976 56 79 BROKERAGE 0.03 CITIGROUP GLOBAL MKTS INC (ID 40416M-10-5)	(2 000)	28.38	56.76	(70.78)	(14.02) S
11/13 11/18	Sale High Cost		HD SUPPLY HOLDINGS INC @ 28 457 170 74 BROKERAGE 0.13 BARCLAYS CAPITAL LE (ID. 40416M-10-5)	(6.000)	28 435	170 61	(212.35)	(41 74) S
11/13 11/18	Sale High Cost		CELGENE CORP @ 110 03 330 09 BROKERAGE 0.04 DREXEL HAMILTON LLC (ID: 151020-10-4)	(3 000)	110.017	330 05	(245 53)	84 52 L

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Settle Date								
Settled Sales/Maturities/Redemptions								
11/13 11/18	Sale High Cost		CELGENE CORP @ 108 0109 972.09 BROKERAGE 0.13 TAX &/OR SEC 01 GOLDMAN SACHS & CO (ID: 151020-10-4)	(9,000)	107.994	971.95	(736.60)	235.35 L
11/13 11/18	Sale High Cost		HD SUPPLY HOLDINGS INC @ 28.50 627.00 BROKERAGE 0.33 TAX &/OR SEC .01 MORGAN STANLEY & CO. LLC (ID: 40416M-10-5)	(22,000)	28.485	626.66	(778.60)	(151.94) S
11/13 11/18	Sale High Cost		HD SUPPLY HOLDINGS INC @ 28.4091 255.68 BROKERAGE 0.13 CITIGROUP GLOBAL MKTS INC (ID: 40416M-10-5)	(9,000)	28.394	255.55	(318.42)	(62.87) S
11/13 11/18	Sale High Cost		HD SUPPLY HOLDINGS INC @ 28.3824 1,701.74 BROKERAGE 0.90 TAX &/OR SEC .03 MORGAN STANLEY & CO. LLC (ID: 40416M-10-5)	(60,000)	28.347	1,700.81	(2,113.73)	(412.92) S
11/13 11/18	Sale High Cost		CELGENE CORP @ 110 21 110.21 BROKERAGE 0.01 STATE STREET GLOBAL MARKETS, LLC. (ID: 151020-10-4)	(1,000)	110.20	110.20	(81.84)	28.36 L
11/13 11/18	Sale High Cost		HD SUPPLY HOLDINGS INC @ 28.165 56.33 BROKERAGE 0.03 STATE STREET GLOBAL MARKETS, LLC. (ID: 40416M-10-5)	(2,000)	28.15	56.30	(69.93)	(13.63) S
11/13 11/18	Sale High Cost		CELGENE CORP @ 110 237 220.47 BROKERAGE 0.03 SJ LEVINSON & SONS LLC (ID: 151020-10-4)	(2,000)	110.22	220.44	(163.69)	56.75 L
11/13 11/18	Sale High Cost		CELGENE CORP @ 110 851 1,330.21 BROKERAGE 0.18 TAX &/OR SEC .02 STATE STREET GLOBAL MARKETS, LLC (ID: 151020-10-4)	(12,000)	110.834	1,330.01	(982.14)	347.87 L
11/13 11/18	Sale High Cost		HD SUPPLY HOLDINGS INC @ 28.4409 398.17 BROKERAGE 0.21 STATE STREET GLOBAL MARKETS, LLC (ID: 40416M-10-5)	(14,000)	28.426	397.96	(489.50)	(91.54) S
11/13 11/18	Sale High Cost		CELGENE CORP @ 108 4245 5,095.95 BROKERAGE 0.70 TAX &/OR SEC .09 SJ/LEVINSON & SONS LLC (ID: 151020-10-4)	(47,000)	108.408	5,095.16	(3,846.70)	1,248.46 L

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Settled Sales/Maturities/Redemptions							
11/13 11/18	Sale High Cost	CELGENE CORP @ 107.57 215.14 BROKERAGE 0.03 DREXEL HAMILTON LLC (ID: 151020-10-4)	(2,000)	107.555	215.11	(163.69)	51.42 L
11/13 11/18	Sale High Cost	HD SUPPLY HOLDINGS INC @ 28.425 255.82 BROKERAGE 0.13 DREXEL HAMILTON LLC (ID: 40416M-10-5)	(9,000)	28.41	255.69	(314.67)	(58.98) S
11/16 11/19	Sale High Cost	HD SUPPLY HOLDINGS INC @ 28.5805 57.16 BROKERAGE 0.03 CITIGROUP GLOBAL MKTS INC (ID: 40416M-10-5)	(2,000)	28.565	57.13	(69.93)	(12.80) S
11/16 11/19	Sale High Cost	HD SUPPLY HOLDINGS INC @ 28.60 314.60 BROKERAGE 0.24 CREDIT SUISSE FIRST BOSTON LLC (ID: 40416M-10-5)	(11,000)	28.578	314.36	(384.55)	(70.19) S
11/16 11/19	Sale High Cost	HD SUPPLY HOLDINGS INC @ 28.8082 633.78 BROKERAGE 0.33 TAX &/OR SEC 01 CITIGROUP GLOBAL MKTS INC (ID: 40416M-10-5)	(22,000)	28.793	633.44	(734.85)	(101.41) S
11/16 11/19	Sale High Cost	HD SUPPLY HOLDINGS INC @ 28.7835 690.80 BROKERAGE 0.54 TAX &/OR SEC 01 CREDIT SUISSE FIRST BOSTON LLC (ID: 40416M-10-5)	(24,000)	28.76	690.25	(764.31)	(74.06) S
11/16 11/19	Sale High Cost	CELGENE CORP @ 107.4494 967.04 BROKERAGE 0.13 TAX &/OR SEC 01 DEUTSCHE BANC ALEX BROWN INC (ID: 151020-10-4)	(9,000)	107.433	966.90	(736.60)	230.30 L
11/16 11/19	Sale High Cost	CELGENE CORP @ 107.7619 3,125.09 BROKERAGE 0.43 TAX &/OR SEC 05 DEUTSCHE BANC ALEX BROWN INC (ID: 151020-10-4)	(29,000)	107.745	3,124.61	(2,373.50)	751.11 L
11/16 11/19	Sale High Cost	CELGENE CORP @ 107.1435 1,178.57 BROKERAGE 0.16 TAX &/OR SEC 02 DREXEL HAMILTON LLC (ID: 151020-10-4)	(11,000)	107.126	1,178.39	(900.29)	278.10 L
11/16 11/19	Sale High Cost	HD SUPPLY HOLDINGS INC @ 28.595 28.59 BROKERAGE 0.01 DREXEL HAMILTON LLC (ID: 40416M-10-5)	(1,000)	28.58	28.58	(31.85)	(3.27) S



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Settled Sales/Maturities/Redemptions							
11/16 11/19	Sale High Cost	HD SUPPLY HOLDINGS INC @ 28 955 86.86 BROKERAGE 0.04 DREXEL HAMILTON LLC (ID: 40416M-10-5)	(3.000)	28.94	86.82	(95.53)	(8.71) S
11/23 11/27	Sale High Cost	PFIZER INC @ 31.5448 2,176 57 BROKERAGE 1.03 TAX &/OR SEC .04 KNIGHT EQUITY MARKETS L.P. (ID: 717081-10-3)	(69.000)	31.529	2,175.50	(2,023.83)	151.67 L
12/11 12/16	Sale High Cost	HESS CORP @ 50.1645 451.48 BROKERAGE 0.13 KEEFE BRUYETTE & WOODS INC (ID: 42809H-10-7)	(9.000)	50.15	451.35	(719.79)	(268.44) L
12/11 12/16	Sale High Cost	HESS CORP @ 49.95 499.50 BROKERAGE 0.15 KEEFE BRUYETTE & WOODS INC (ID: 42809H-10-7)	(10.000)	49.935	499.35	(799.76)	(300.41) L
12/11 12/16	Sale High Cost	HESS CORP @ 50.1919 1,957 48 BROKERAGE 0.58 TAX &/OR SEC .03 UBS SECURITIES LLC (ID: 42809H-10-7)	(39.000)	50.176	1,956.87	(3,119.07)	(1,162.20) L
12/11 12/16	Sale High Cost	HESS CORP @ 50.5469 1,314.21 BROKERAGE 0.39 TAX &/OR SEC .02 UBS SECURITIES LLC (ID: 42809H-10-7)	(26.000)	50.531	1,313.80	(2,079.38)	(765.58) L
12/14 12/17	Sale High Cost	HESS CORP @ 49.9404 1,947 67 BROKERAGE 0.58 TAX &/OR SEC .03 DEUTSCHE BANC ALEX BROWN INC (ID: 42809H-10-7)	(39.000)	49.925	1,947.06	(2,877.70)	(661.13) L (269.51) S
12/14 12/17	Sale High Cost	HESS CORP @ 50.2682 50.26 BROKERAGE 0.01 DEUTSCHE BANC ALEX BROWN INC (ID: 42809H-10-7)	(1.000)	50.25	50.25	(65.70)	(15.45) S
12/15 12/18	Sale High Cost	WALT DISNEY CO/THE @ 112 92 2,032.56 BROKERAGE 0.27 TAX &/OR SEC .03 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 254687-10-6)	(18.000)	112.903	2,032.26	(1,467.09)	565.17 L
12/15 12/18	Sale High Cost	HILTON WORLDWIDE HOLDINGS IN @ 21 655 259 86 BROKERAGE 0.18 LIQUIDNET INC (ID: 43300A-10-4)	(12.000)	21.64	259.68	(314.95)	(55.27) S
12/15 12/18	Sale High Cost	WALT DISNEY CO/THE @ 112 9267 790.48 BROKERAGE 0.10 TAX &/OR SEC .01 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 254687-10-6)	(7.000)	112.91	790.37	(570.54)	219.83 L

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Settle Date								
Settled Sales/Maturities/Redemptions								
12/15 12/18	Sale High Cost		WALT DISNEY CO/THE @ 113.175 226.35 BROKERAGE 0.03 STATE STREET GLOBAL MARKETS, LLC. (ID: 254687-10-6)	(2.000)	113.16	226.32	(163.01)	63.31 L
12/15 12/18	Sale High Cost		HILTON WORLDWIDE HOLDINGS IN @ 21.6371 346 19 BROKERAGE 0.24 STATE STREET GLOBAL MARKETS, LLC (ID: 43300A-10-4)	(16.000)	21.622	345.95	(419.03)	(73.08) S
12/15 12/18	Sale High Cost		ADVANCE AUTO PARTS INC @ 146.2182 292.43 BROKERAGE 0.03 SJ LEVINSON & SONS LLC (ID: 00751Y-10-6)	(2.000)	146.20	292.40	(344.68)	(52.28) S
12/15 12/18	Sale High Cost		HILTON WORLDWIDE HOLDINGS IN @ 21.5953 237 54 BROKERAGE 0.16 SJ LEVINSON & SONS LLC (ID: 43300A-10-4)	(11.000)	21.58	237.38	(288.08)	(50.70) S
12/15 12/18	Sale High Cost		HILTON WORLDWIDE HOLDINGS IN @ 21.3798 1,860 04 BROKERAGE 1.30 TAX &/OR SEC 03 SJ LEVINSON & SONS LLC (ID: 43300A-10-4)	(87.000)	21.364	1,858.71	(2,213.22)	(335.21) L (19.30) S
12/15 12/18	Sale High Cost		WALT DISNEY CO/THE @ 112.4907 899.92 BROKERAGE 0 12 TAX &/OR SEC.01 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 254687-10-6)	(8.000)	112.474	899.79	(652.04)	247.75 L
12/15 12/18	Sale High Cost		ADVANCE AUTO PARTS INC @ 153.8391 1,999.90 BROKERAGE 0.19 TAX &/OR SEC 03 SJ LEVINSON & SONS LLC (ID: 00751Y-10-6)	(13.000)	153.822	1,999.68	(2,240.40)	(240.72) S
12/16 12/21	Sale High Cost		HILTON WORLDWIDE HOLDINGS IN @ 22.4679 1,505.34 BROKERAGE 1.00 TAX &/OR SEC 02 JEFFERIES & COMPANY (ID: 43300A-10-4)	(67.000)	22.453	1,504.32	(1,700.47)	(196.15) L
12/16 12/21	Sale High Cost		HILTON WORLDWIDE HOLDINGS IN @ 22.4412 2,356.32 BROKERAGE 1.57 TAX &/OR SEC 04 SANFORD C BERNSTEIN & CO INC (SCB (ID: 43300A-10-4)	(105.000)	22.426	2,354.71	(2,617.91)	(263.20) L

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Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
12/16 12/21	Sale High Cost	HILTON WORLDWIDE HOLDINGS IN @ 21.8779 3.172.29 BROKERAGE 2 17 TAX &/OR SEC .05 MORGAN STANLEY & CO LLC (ID: 43300A-10-4)	(145,000)	21 863	3,170.07	(3,555.52)	(276.03) L (109.42) S
12/22 12/28	Sale High Cost	MORGAN STANLEY @ 31.7859 762.86 BROKERAGE 0.36 TAX &/OR SEC 01 S G COWEN & CO (ID: 617446-44-8)	(24,000)	31.77	762.49	(874.32)	(111 83) S
12/22 12/28	Sale High Cost	CAPITAL ONE FINANCIAL CORP @ 71 55 429 30 BROKERAGE 0 09 CITIGROUP GLOBAL MKTS INC (ID: 14040H-10-5)	(6,000)	71.535	429.21	(480.33)	(51 12) L
12/22 12/28	Sale High Cost	CAPITAL ONE FINANCIAL CORP @ 71 625 214.87 BROKERAGE 0.04 STATE STREET GLOBAL MARKETS, LLC (ID: 14040H-10-5)	(3,000)	71.61	214.83	(240.17)	(25.34) L
12/22 12/28	Sale High Cost	MORGAN STANLEY @ 32 1255 578.25 BROKERAGE 0 27 TAX &/OR SEC 01 S G COWEN & CO (ID: 617446-44-8)	(18,000)	32.109	577.97	(655.74)	(77.77) S
12/22 12/28	Sale High Cost	CAPITAL ONE FINANCIAL CORP @ 71.6073 1,002 50 BROKERAGE 0 21 TAX &/OR SEC .01 MORGAN STANLEY & CO LLC (ID: 14040H-10-5)	(14,000)	71 591	1,002.28	(1,120.78)	(118.50) L
12/22 12/28	Sale High Cost	CAPITAL ONE FINANCIAL CORP @ 72 7235 1,018.12 BROKERAGE 0.21 TAX &/OR SEC 01 MORGAN STANLEY & CO. LLC (ID: 14040H-10-5)	(14,000)	72.707	1,017.90	(1,120.78)	(102 88) L
1/6 1/11	Sale High Cost	ELECTRONIC ARTS INC @ 63.6992 1,592 48 BROKERAGE 0 37 TAX &/OR SEC 02 JEFFERIES & COMPANY WASH SALE ADJUSTMENT 01/11/16 (ID: 285512-10-9)	(25,000)	63 684	1,592.09	(1,592.09)	
1/6 1/11	Sale High Cost	REALOGY HOLDINGS CORP @ 34.0837 306.75 BROKERAGE 0.13 MERRILL LYNCH PIERCE FENNER & SMIT WASH SALE ADJUSTMENT 01/11/16 (ID: 75605Y-10-6)	(9,000)	34.069	306.62	(306.62)	



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Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
1/6 1/11	Sale High Cost	REALOGY HOLDINGS CORP @ 33.8634 575.67 BROKERAGE 0.25 TAX &/OR SEC .01 LIQUIDNET INC WASH SALE ADJUSTMENT 01/11/16 (ID: 75605Y-10-6)	(17,000)	33.848	575.41	(575.41)	
1/6 1/11	Sale High Cost	ELECTRONIC ARTS INC @ 63.3439 823.47 BROKERAGE 0.19 TAX &/OR SEC .01 MERRILL LYNCH PIERCE FENNER & SMIT WASH SALE ADJUSTMENT 01/11/16 (ID: 285512-10-9)	(13,000)	63.328	823.27	(910.43)	(87.16) S
1/6 1/11	Sale High Cost	REALOGY HOLDINGS CORP @ 33.9862 339.86 BROKERAGE 0.15 LIQUIDNET INC WASH SALE ADJUSTMENT 01/11/16 (ID: 75605Y-10-6)	(10,000)	33.971	339.71	(339.71)	
1/6 1/11	Sale High Cost	ELECTRONIC ARTS INC @ 63.4263 253.70 BROKERAGE 0.06 JEFFERIES & COMPANY (ID: 285512-10-9)	(4,000)	63.41	253.64	(301.00)	(47.36) S
1/6 1/11	Sale High Cost	REALOGY HOLDINGS CORP @ 33.8457 169.22 BROKERAGE 0.07 MERRILL LYNCH PIERCE FENNER & SMIT WASH SALE ADJUSTMENT 01/11/16 (ID: 75605Y-10-6)	(5,000)	33.83	169.15	(169.15)	
1/6 1/11	Sale High Cost	ELECTRONIC ARTS INC @ 63.365 316.82 BROKERAGE 0.07 STATE STREET GLOBAL MARKETS, LLC. (ID: 285512-10-9)	(5,000)	63.35	316.75	(376.25)	(59.50) S
1/6 1/11	Sale High Cost	REALOGY HOLDINGS CORP @ 33.97 33.97 BROKERAGE 0.01 STATE STREET GLOBAL MARKETS, LLC. WASH SALE ADJUSTMENT 01/11/16 (ID: 75605Y-10-6)	(1,000)	33.96	33.96	(33.96)	
1/6 1/11	Sale High Cost	ELECTRONIC ARTS INC @ 62.9288 692.21 BROKERAGE 0.16 TAX &/OR SEC .01 CITIGROUP GLOBAL MKTS INC (ID: 285512-10-9)	(11,000)	62.913	692.04	(827.64)	(135.60) S
1/6 1/11	Sale High Cost	REALOGY HOLDINGS CORP @ 33.805 371.85 BROKERAGE 0.16 CITIGROUP GLOBAL MKTS INC WASH SALE ADJUSTMENT 01/11/16 (ID: 75605Y-10-6)	(11,000)	33.79	371.69	(371.69)	

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Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
1/7 1/12	Sale High Cost		MARATHON OIL CORP @ 10.9572 1,709.32 BROKERAGE 3.51 TAX &/OR SEC 03 BMO NESBITT BURNS CORP (ID 565849-10-6)	(156,000)	10.934	1,705.78	(2,235.63)	(529.85) S
1/7 1/12	Sale High Cost		MARATHON OIL CORP @ 10.9923 384.73 BROKERAGE 0.52 STATE STREET GLOBAL MARKETS, LLC. (ID 565849-10-6)	(35,000)	10.977	384.21	(501.59)	(117.38) S
1/7 1/12	Sale High Cost		MARATHON OIL CORP @ 10.8412 2,612.72 BROKERAGE 3.61 TAX &/OR SEC 04 MERRILL LYNCH PIERCE FENNER & SMIT (ID 565849-10-6)	(241,000)	10.826	2,609.07	(3,371.24)	(762.17) S
1/7 1/12	Sale High Cost		MARATHON OIL CORP @ 10.7599 139.87 BROKERAGE 0.19 CITIGROUP GLOBAL MKTS INC (ID 565849-10-6)	(13,000)	10.745	139.68	(178.62)	(38.94) S
1/13 1/19	Sale High Cost		EXPRESS SCRIPTS HOLDING CO @ 80.1443 5,449.81 BROKERAGE 1.02 TAX &/OR SEC 10 MORGAN STANLEY & CO. LLC (ID 30219G-10-8)	(68,000)	80.128	5,448.69	(5,722.62)	(273.93) S
1/13 1/19	Sale High Cost		EXPRESS SCRIPTS HOLDING CO @ 80.395 884.34 BROKERAGE 0.16 TAX &/OR SEC 01 LIQUIDNET INC (ID 30219G-10-8)	(11,000)	80.379	884.17	(894.12)	(9.95) S
1/13 1/19	Sale High Cost		EXPRESS SCRIPTS HOLDING CO @ 80.0543 1,200.81 BROKERAGE 0.22 TAX &/OR SEC 02 STATE STREET GLOBAL MARKETS, LLC. (ID: 30219G-10-8)	(15,000)	80.038	1,200.57	(1,219.25)	(18.68) S
1/13 1/19	Sale High Cost		MORGAN STANLEY @ 27.1702 353.21 BROKERAGE 0.19 STATE STREET GLOBAL MARKETS, LLC. (ID: 617446-44-8)	(13,000)	27.155	353.02	(473.59)	(120.57) S
1/13 1/19	Sale High Cost		MORGAN STANLEY @ 27.2774 5,646.42 BROKERAGE 3.10 TAX &/OR SEC 10 MERRILL LYNCH PIERCE FENNER & SMIT (ID 617446-44-8)	(207,000)	27.262	5,643.22	(7,472.97)	(1,829.75) S
1/13 1/19	Sale High Cost		MORGAN STANLEY @ 27.3593 1,532.12 BROKERAGE 0.84 TAX &/OR SEC 02 CITIGROUP GLOBAL MKTS INC (ID 617446-44-8)	(56,000)	27.344	1,531.26	(1,897.86)	(366.60) S

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Settle Date								
Settled Sales/Maturities/Redemptions								
2/2 2/5	Sale High Cost		REALOGY HOLDINGS CORP @ 32.0507 608.96 BROKERAGE 0.28 TAX &/OR SEC. 01 CITIGROUP GLOBAL MKTS INC (ID: 75605Y-10-6)	(19,000)	32.035	608.67	(856.05)	(247.38) S
2/2 2/5	Sale High Cost		CITIZENS FINANCIAL GROUP @ 20.3171 1,076.80 BROKERAGE 0.79 TAX &/OR SEC. 01 CITIGROUP GLOBAL MKTS INC (ID: 174610-10-5)	(53,000)	20.302	1,076.00	(1,368.55)	(292.55) S
2/2 2/5	Sale High Cost		VIACOM INC-CLASS B @ 43.9575 1,758.30 BROKERAGE 0.60 TAX &/OR SEC. 03 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 92553P-20-1)	(40,000)	43.942	1,757.67	(1,648.14)	109.53 S
2/2 2/5	Sale High Cost		CAPITAL ONE FINANCIAL CORP @ 63.3795 443.65 BROKERAGE 0.10 KEEFE BRUYETTE & WOODS INC (ID: 14040H-10-5)	(7,000)	63.364	443.55	(560.39)	(116.84) L
2/2 2/5	Sale High Cost		CITIZENS FINANCIAL GROUP @ 20.495 225.44 BROKERAGE 0.16 KEEFE BRUYETTE & WOODS INC (ID: 174610-10-5)	(11,000)	20.48	225.28	(261.54)	(36.26) S
2/2 2/5	Sale High Cost		REALOGY HOLDINGS CORP @ 32.465 84.93 BROKERAGE 0.03 KEEFE BRUYETTE & WOODS INC (ID: 75605Y-10-6)	(2,000)	32.45	64.90	(89.51)	(24.61) S
2/2 2/5	Sale High Cost		CITIZENS FINANCIAL GROUP @ 20.2222 1,233.55 BROKERAGE 0.91 TAX &/OR SEC. 02 JEFFERIES & COMPANY (ID: 174610-10-5)	(61,000)	20.207	1,232.62	(1,444.78)	(212.16) S
2/2 2/5	Sale High Cost		CAPITAL ONE FINANCIAL CORP @ 63.2119 2,212.41 BROKERAGE 0.52 TAX &/OR SEC. 04 UBS SECURITIES LLC (ID: 14040H-10-5)	(35,000)	63.196	2,211.85	(2,772.03)	(560.18) L
2/2 2/5	Sale High Cost		CITIZENS FINANCIAL GROUP @ 20.4472 245.36 BROKERAGE 0.18 STATE STREET GLOBAL MARKETS, LLC (ID: 174610-10-5)	(12,000)	20.432	245.18	(283.26)	(38.08) S



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Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
2/2 2/5	Sale High Cost	CAPITAL ONE FINANCIAL CORP @ 63.225 695.47 BROKERAGE 0 16 TAX &/OR SEC 01 LIQUIDNET INC (ID 14040H-10-5)	(11,000)	63.209	695.30	(867.16)	(140.94) L (30.92) S
2/2 2/5	Sale High Cost	VIACOM INC-CLASS B @ 44 1163 88 23 BROKERAGE 0 03 KEEFE BRUYETTE & WOODS INC (ID. 92553P-20-1)	(2,000)	44.10	88.20	(82.37)	5.83 S
2/3 2/8	Sale High Cost	CAPITAL ONE FINANCIAL CORP @ 62.0739 3,103.69 BROKERAGE 0 74 TAX &/OR SEC 05 MORGAN STANLEY & CO. LLC (ID. 14040H-10-5)	(50,000)	62.058	3,102.90	(3,920.60)	(488.74) L (328.96) S
2/3 2/8	Sale High Cost	VIACOM INC-CLASS B @ 44.0883 793.22 BROKERAGE 0 27 TAX &/OR SEC 01 MERRILL LYNCH PIERCE FENNER & SMIT (ID. 92553P-20-1)	(18,000)	44.052	792.94	(741.36)	51.58 S
2/3 2/8	Sale High Cost	CITIZENS FINANCIAL GROUP @ 19.65 1,021.80 BROKERAGE 0.78 TAX &/OR SEC .01 KEEFE BRUYETTE & WOODS INC (ID. 174610-10-5)	(52,000)	19.635	1,021.01	(1,226.98)	(205.97) S
2/3 2/8	Sale High Cost	REALOGY HOLDINGS CORP @ 31.1161 311.16 BROKERAGE 0.15 CITIGROUP GLOBAL MKTS INC (ID 75605Y-10-6)	(10,000)	31.101	311.01	(447.32)	(136.31) S
2/3 2/8	Sale High Cost	ALPHABET INC/CA-CL A @ 752.4443 3,009.77 BROKERAGE 0.06 TAX &/OR SEC 05 GOLDMAN SACHS & CO. WASH SALE ADJUSTMENT 02/08/16 (ID 02079K-30-5)	(4,000)	752.415	3,009.66	(3,033.59)	(23.93) S
2/3 2/8	Sale High Cost	CITIZENS FINANCIAL GROUP @ 19.6277 1,040.26 BROKERAGE 0.79 TAX &/OR SEC .01 JEFFERIES & COMPANY (ID. 174610-10-5)	(53,000)	19.612	1,039.46	(1,250.00)	(210.54) S
2/3 2/8	Sale High Cost	REALOGY HOLDINGS CORP @ 31 0512 527.87 BROKERAGE 0.25 JEFFERIES & COMPANY (ID 75605Y-10-6)	(17,000)	31.036	527.62	(758.75)	(231.13) S



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Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
2/3 2/8	Sale High Cost		CAPITAL ONE FINANCIAL CORP @ 62.2586 809 36 BROKERAGE 0 19 TAX &/OR SEC 01 KEEFE BRUYETTE & WOODS INC (ID 14040H-10-5)	(13 000)	62 243	809 16	(1,016 44)	(207 28) S
2/3 2/8	Sale High Cost		VIACOM INC-CLASS B @ 43.785 87 57 BROKERAGE 0.03 KEEFE BRUYETTE & WOODS INC (ID 92553P-20-1)	(2 000)	43.77	87.54	(82.37)	5.17 S
2/3 2/8	Sale High Cost		CITIZENS FINANCIAL GROUP @ 19.7728 2,965.92 BROKERAGE 2.24 TAX &/OR SEC 05 INSTINET (ID. 174610-10-5)	(150,000)	19 758	2,963.63	(3,481.35)	(517 72) S
2/3 2/8	Sale High Cost		FACEBOOK INC-A @ 111.7372 2,681.69 BROKERAGE 0.36 TAX &/OR SEC 04 KNIGHT EQUITY MARKETS L P (ID 30303M-10-2)	(24 000)	111.72	2,681.29	(1,868.82)	812.47 L
2/3 2/8	Sale High Cost		CAPITAL ONE FINANCIAL CORP @ 61 445 122.89 BROKERAGE 0.03 LIQUIDNET INC (ID 14040H-10-5)	(2,000)	61 43	122 86	(156 37)	(33.51) S
2/3 2/8	Sale High Cost		REALOGY HOLDINGS CORP @ 31.1012 808.63 BROKERAGE 0 39 TAX &/OR SEC 01 LIQUIDNET INC (ID 75605Y-10-6)	(26,000)	31.086	808.23	(1,092.57)	(284.34) S
2/3 2/8	Sale High Cost		REALOGY HOLDINGS CORP @ 31.295 250 36 BROKERAGE 0 12 KEEFE BRUYETTE & WOODS INC (ID 75605Y-10-6)	(8,000)	31.28	250.24	(331.02)	(80 78) S
2/3 2/8	Sale High Cost		VIACOM INC-CLASS B @ 44.4311 2,577.00 BROKERAGE 0.87 TAX &/OR SEC 04 UBS SECURITIES LLC (ID 92553P-20-1)	(58,000)	44.415	2,576 09	(2,384 18)	191 91 S
2/4 2/9	Sale High Cost		REALOGY HOLDINGS CORP @ 31.8729 95.61 BROKERAGE 0.04 CITIGROUP GLOBAL MKTS INC (ID: 75605Y-10-6)	(3 000)	31.857	95.57	(123.98)	(28 41) S
2/4 2/9	Sale High Cost		REALOGY HOLDINGS CORP @ 31 8399 350.23 BROKERAGE 0.16 LIQUIDNET INC (ID. 75605Y-10-6)	(11,000)	31 825	350 07	(454 58)	(104.51) S



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Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
2/4 2/9	Sale High Cost		REALOGY HOLDINGS CORP @ 31.94 63.88 BROKERAGE 0 03 KEEFE BRUYETTE & WOODS INC (ID: 75605Y-10-6)	(2.000)	31.925	63.85	(82.65)	(18.80) S
2/4 2/9	Sale High Cost		REALOGY HOLDINGS CORP @ 31.9533 191.71 BROKERAGE 0 09 CITIGROUP GLOBAL MKTS INC (ID: 75605Y-10-6)	(6.000)	31.937	191.62	(247.96)	(56.34) S
2/4 2/9	Sale High Cost		REALOGY HOLDINGS CORP @ 31.9369 191.62 BROKERAGE 0 09 JEFFERIES & COMPANY (ID: 75605Y-10-6)	(6.000)	31.922	191.53	(247.96)	(56.43) S
2/4 2/9	Sale High Cost		REALOGY HOLDINGS CORP @ 31.96 191.76 BROKERAGE 0 09 LIQUIDNET INC (ID: 75605Y-10-6)	(6.000)	31.945	191.67	(247.86)	(56.19) S
2/5 2/10	Sale High Cost		REALOGY HOLDINGS CORP @ 31.242 124.96 BROKERAGE 0 06 CITIGROUP GLOBAL MKTS INC (ID: 75605Y-10-6)	(4.000)	31.225	124.90	(165.12)	(40.22) S
2/5 2/10	Sale High Cost		REALOGY HOLDINGS CORP @ 31.24 187.44 BROKERAGE 0 09 KEEFE BRUYETTE & WOODS INC (ID: 75605Y-10-6)	(6.000)	31.225	187.35	(247.69)	(60.34) S
2/5 2/10	Sale High Cost		REALOGY HOLDINGS CORP @ 31.56 63.12 BROKERAGE 0 04 CREDIT SUISSE FIRST BOSTON LLC (ID: 75605Y-10-6)	(2.000)	31.54	63.08	(82.41)	(19.33) S
2/5 2/10	Sale High Cost		REALOGY HOLDINGS CORP @ 30.9563 185.73 BROKERAGE 0 09 CITIGROUP GLOBAL MKTS INC (ID: 75605Y-10-6)	(6.000)	30.94	185.64	(226.36)	(40.72) S
2/5 2/10	Sale High Cost		REALOGY HOLDINGS CORP @ 30.77 61.54 BROKERAGE 0 03 STATE STREET GLOBAL MARKETS, LLC (ID: 75605Y-10-6)	(2.000)	30.755	61.51	(74.10)	(12.59) S
2/8 2/11	Sale High Cost		REALOGY HOLDINGS CORP @ 29.271 146.35 BROKERAGE 0 07 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 75605Y-10-6)	(5.000)	29.256	146.28	(185.26)	(38.98) S

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Settled Sales/Maturities/Redemptions								
2/8 2/11	Sale High Cost		REALOGY HOLDINGS CORP @ 29.4156 117 66 BROKERAGE 0 06 MERRILL LYNCH PIERCE FENNER & SMIT (ID 75605Y-10-6)	(4 000)	29.40	117.60	(148.20)	(30.60) S
2/25 3/1	Sale High Cost		HOME DEPOT INC @ 125.7197 1,257.19 BROKERAGE 0 15 TAX &/OR SEC .02 GOLDMAN SACHS & CO. (ID 437076-10-2)	(10,000)	125.702	1,257.02	(1,030.33)	214.06 L 12 63 S
2/25 3/1	Sale High Cost		HOME DEPOT INC @ 125.6786 125.67 BROKERAGE 0.01 GOLDMAN SACHS & CO. (ID. 437076-10-2)	(1,000)	125.66	125.66	(82.89)	42.77 L
2/25 3/1	Sale High Cost		HOME DEPOT INC @ 125.7354 125.73 BROKERAGE 0.01 GOLDMAN SACHS & CO (ID: 437076-10-2)	(1,000)	125.72	125.72	(82.89)	42.83 L
2/26 3/2	Sale High Cost		CF INDUSTRIES HOLDINGS INC @ 34.3985 3,611.84 BROKERAGE 1.57 TAX &/OR SEC .07 MERRILL LYNCH PIERCE FENNER & SMIT (ID. 125269-10-0)	(105,000)	34.383	3,610.20	(3,225.33)	384.87 S
2/26 3/2	Sale High Cost		CF INDUSTRIES HOLDINGS INC @ 34.43 413.16 BROKERAGE 0 18 CITIGROUP GLOBAL MKTS INC (ID. 125269-10-0)	(12,000)	34.415	412.98	(333.75)	79.23 S
2/29 3/3	Sale High Cost		PHILLIPS 66 @ 79 729 4,704.01 BROKERAGE 0.88 TAX &/OR SEC 10 GOLDMAN SACHS & CO (ID. 718546-10-4)	(59,000)	79.712	4,703.03	(4,447.93)	255.10 L
2/29 3/3	Sale High Cost		PHILLIPS 66 @ 79 405 158.81 BROKERAGE 0.03 CITIGROUP GLOBAL MKTS INC (ID: 718546-10-4)	(2,000)	79.39	158.78	(150.78)	8 00 L
3/10 3/15	Sale High Cost		GILEAD SCIENCES INC @ 88.4228 3,979.02 BROKERAGE 0 67 TAX &/OR SEC .08 INSTINET (ID 375558-10-3)	(45,000)	88.406	3,978.27	(4,633.50)	(655.23) S
3/10 3/15	Sale High Cost		PFIZER INC @ 29 751 1,071 03 BROKERAGE 0 54 TAX &/OR SEC .02 GOLDMAN SACHS & CO (ID 717081-10-3)	(36,000)	29.735	1,070.47	(1,051.53)	18.94 L

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Settled Sales/Maturities/Redemptions								
3/10 3/15	Sale High Cost		PFIZER INC @ 29.6106 1,184.42 BROKERAGE 0.60 TAX &/OR SEC .02 GOLDMAN SACHS & CO. (ID 717081-10-3)	(40,000)	29.595	1,183.80	(1,167.48)	16.32 L
3/10 3/15	Sale High Cost		PFIZER INC @ 29.7497 505.74 BROKERAGE 0.38 TAX &/OR SEC .01 STATE STREET GLOBAL MARKETS, LLC (ID 717081-10-3)	(17,000)	29.726	505.35	(496.18)	9.17 L
3/10 3/15	Sale High Cost		PFIZER INC @ 29.6448 296.44 BROKERAGE 0.22 STATE STREET GLOBAL MARKETS, LLC (ID 717081-10-3)	(10,000)	29.622	296.22	(291.87)	4.35 L
3/10 3/15	Sale High Cost		PFIZER INC @ 29.675 59.35 BROKERAGE 0.03 CITIGROUP GLOBAL MKTS INC (ID: 717081-10-3)	(2,000)	29.66	59.32	(58.37)	0.95 L
3/10 3/15	Sale High Cost		PFIZER INC @ 29.5933 118.37 BROKERAGE 0.06 CITIGROUP GLOBAL MKTS INC (ID: 717081-10-3)	(4,000)	29.578	118.31	(116.75)	1.56 L
3/11 3/16	Sale High Cost		PFIZER INC @ 30.3818 2,187.48 BROKERAGE 1.08 TAX &/OR SEC .04 S G COWEN & CO (ID 717081-10-3)	(72,000)	30.366	2,186.36	(2,099.24)	87.12 L
3/11 3/16	Sale High Cost		PFIZER INC @ 30.0865 1,353.89 BROKERAGE 0.67 TAX &/OR SEC .02 S G COWEN & CO (ID 717081-10-3)	(45,000)	30.071	1,353.20	(1,311.60)	41.60 L
3/14 3/17	Sale High Cost		PFIZER INC @ 30.1119 542.01 BROKERAGE 0.27 TAX &/OR SEC .01 MORGAN STANLEY & CO LLC (ID 717081-10-3)	(18,000)	30.096	541.73	(524.64)	17.09 L
3/14 3/17	Sale High Cost		PFIZER INC @ 30.1532 572.91 BROKERAGE 0.28 TAX &/OR SEC .01 MORGAN STANLEY & CO. LLC (ID 717081-10-3)	(19,000)	30.138	572.62	(553.78)	18.84 L
3/31 4/5	Sale High Cost		HCA HOLDINGS INC @ 78.3401 1,253.44 BROKERAGE 0.24 TAX &/OR SEC .02 JEFFERIES & COMPANY (ID 40412C-10-1)	(16,000)	78.324	1,253.18	(1,452.29)	(199.11) S

J.P.Morgan



LAKE ROAD FDN - OAP FTE ACCT. N02439003
For the Period 5/1/15 to 4/30/16

Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
3/31 4/5	Sale High Cost	ADVANCE AUTO PARTS INC @ 162.0599 648.23 BROKERAGE 0.06 TAX &/OR SEC .01 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 00751Y-10-6)	(4 000)	162.04	648.16	(618.94)	29.22 L
3/31 4/5	Sale High Cost	ADVANCE AUTO PARTS INC @ 162.5107 975.06 BROKERAGE 0.09 TAX &/OR SEC .02 RBC CAPITAL MARKETS CORPORATION (ID: 00751Y-10-6)	(6.000)	162.492	974.95	(916.76)	58.19 L
3/31 4/5	Sale High Cost	ADVANCE AUTO PARTS INC @ 160.7599 803.79 BROKERAGE 0.07 TAX &/OR SEC .01 MERRILL LYNCH PIERCE FENNER & SMIT (ID: 00751Y-10-6)	(5.000)	160.742	803.71	(754.44)	49.27 L
3/31 4/5	Sale High Cost	HCA HOLDINGS INC @ 78.0905 937.08 BROKERAGE 0.18 TAX &/OR SEC .02 JEFFERIES & COMPANY (ID 40412C-10-1)	(12.000)	78.073	936.88	(1,088.28)	(151.40) S
3/31 4/5	Sale High Cost	ADVANCE AUTO PARTS INC @ 161.15 161.15 BROKERAGE 0.01 STATE STREET GLOBAL MARKETS, LLC (ID 00751Y-10-6)	(1.000)	161.14	161.14	(150.89)	10.25 L
3/31 4/5	Sale High Cost	HCA HOLDINGS INC @ 78.105 488.63 BROKERAGE 0.09 TAX &/OR SEC .01 STATE STREET GLOBAL MARKETS, LLC (ID 40412C-10-1)	(6.000)	78.088	468.53	(532.47)	(63.94) S
4/12 4/15	Sale High Cost	WALT DISNEY CO/THE @ 96.3369 1,252.37 BROKERAGE 0.29 TAX &/OR SEC 02 UBS SECURITIES LLC (ID 254687-10-6)	(13.000)	96.312	1,252.06	(1,059.57)	192.49 L
4/12 4/15	Sale High Cost	WALT DISNEY CO/THE @ 96.9207 4,167.59 BROKERAGE 0.96 TAX &/OR SEC 09 MORGAN STANLEY & CO LLC (ID 254687-10-6)	(43 000)	96.896	4,166.54	(3,504.72)	661.82 L
4/12 4/15	Sale High Cost	WALT DISNEY CO/THE @ 96.8177 580.90 BROKERAGE 0.09 TAX &/OR SEC .01 MORGAN STANLEY & CO LLC (ID 254687-10-6)	(6.000)	96.80	580.80	(489.03)	91.77 L

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LAKE ROAD FDN - OAP FTE ACCT. N02439003
For the Period 5/1/15 to 4/30/16

Trade Date	Type	Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date								
Settled Sales/Maturities/Redemptions								
4/12	Sale		WALT DISNEY CO/THE @ 97 298 3,016 23 BROKERAGE	(31.000)	97 274	3,015 48	(2,526.66)	488 82 L
4/15	High Cost		0 69 TAX &/OR SEC .06 MORGAN STANLEY & CO LLC (ID: 254687-10-6)					
Total Settled Sales/Maturities/Redemptions						\$260,945.95	(\$270,934.34)	\$1,552.67 L (\$11,541.06) S
								(9998.99)



LAKE ROAD FDN - OAP FTE ACCT. N02439003
For the Period 5/1/15 to 4/30/16

Trade Date Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
4/13 4/18	Purchase	COSTCO WHOLESALE CORP @ 151.7649 455.29 BROKERAGE 0.04 GOLDMAN SACHS & CO. (ID. 22160K-10-5)	3,000	151.777	(455.33)
Total Settled Securities Purchased					(\$255,965.42)

Trade Date Est Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Pending Sales, Maturities, Redemptions							
4/28 5/3	Sale	VISA INC-CLASS A SHARES (ID: 92826C-83-9)	(20,000)	78.739	1,574.46	(1,048.68)	525.78 L
4/28 5/3	Sale	VISA INC-CLASS A SHARES (ID: 92826C-83-9)	(9,000)	78.745	708.56	(471.90)	236.66 L
4/28 5/3	Sale	HOME DEPOT INC (ID: 437076-10-2)	(4,000)	135.261	540.94	(331.56)	209.38 L
4/28 5/3	Sale	VISA INC-CLASS A SHARES (ID: 92826C-83-9)	(1,000)	78.80	78.79	(52.43)	26.36 L
4/28 5/3	Sale	VISA INC-CLASS A SHARES (ID: 92826C-83-9)	(21,000)	77.836	1,634.21	(1,101.12)	533.09 L
4/28 5/3	Sale	HOME DEPOT INC (ID: 437076-10-2)	(8,000)	134.612	1,076.76	(663.13)	413.63 L
4/28 5/3	Sale	HOME DEPOT INC (ID: 437076-10-2)	(6,000)	132.945	797.57	(497.34)	300.23 L
4/28 5/3	Sale	VISA INC-CLASS A SHARES (ID: 92826C-83-9)	(4,000)	78.53	314.06	(208.41)	105.65 L



LAKE ROAD FDN - OAP FTE ACCT. N02439003
For the Period 5/1/15 to 4/30/16

Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Est Settle Date	Selection Method						
Pending Sales, Maturities, Redemptions							
4/28 5/3	Sale	VISA INC-CLASS A SHARES (ID 92826C-83-9)	(7,000)	79.074	553.40	(363.96)	189.44 L
4/28 5/3	Sale	VISA INC-CLASS A SHARES (ID 92826C-83-9)	(13,000)	79.22	1,029.65	(675.92)	353.73 L
4/28 5/3	Sale	VISA INC-CLASS A SHARES (ID 92826C-83-9)	(24,000)	78.792	1,890.62	(1,247.86)	642.76 L
4/28 5/3	Sale	VISA INC-CLASS A SHARES (ID 92826C-83-9)	(10,000)	78.773	787.57	(512.72)	274.85 L
Total Pending Sales, Maturities, Redemptions					\$10,986.59	(\$7,175.03)	\$3,811.56 L