Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning 05/01, 2015, and ending 04/30, 2016

Name of foundation: THE ROBERT K. STEEL FAMILY FOUNDATION

A Employer identification number: 13-3531990

Number and street (or P O box number if mail is not delivered to street address): C/O EY US, LLP; 77 WATER STREET

Room/suite: 9 FL

B Telephone number (see instructions): (212) 440-0800

City or town, state or province, country, and ZIP or foreign postal code: NEW YORK, NY 10005

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☒ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 7,588,254.

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income N/A	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants etc, received (attach schedule)	600,000.			
	2 Check ▶ ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	106.	106.		ATCH 1
	4 Dividends and interest from securities	173,351.	173,351.		ATCH 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	966,041.			
	b Gross sales price for all assets on line 6a 974,356.				
	7 Capital gain net income (from Part IV, line 2)		966,041.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule) ATCH 3	11,524.	17,439.		
	12 **Total.** Add lines 1 through 11	1,751,022.	1,156,937.	0.	
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) ATCH 4	30,250.	15,125.		15,125.
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions) [5]	15,250.			
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) ATCH 6	80,705.	78,908.		1,797.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	126,205.	94,033.		16,922.
	25 Contributions, gifts, grants paid	1,988,578.			1,988,578.
	26 Total expenses and disbursements Add lines 24 and 25	2,114,783.	94,033.	0.	2,005,500.
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-363,761.			
	b **Net investment income** (if negative, enter -0-)		1,062,904.		
	c **Adjusted net income** (if negative, enter -0-)			0.	

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JSA For Paperwork Reduction Act Notice, see instructions

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	6,864.	5,195.	5,195.
	2	Savings and temporary cash investments	636,746.	468,409.	468,409.
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U S and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule) ATCH 7	2,733,838.	2,726,018.	208,615.
	c	Investments - corporate bonds (attach schedule)			
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule)			
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶ ATCH 8)	5,375,532.	5,189,597.	6,906,035.
	16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	8,752,980.	8,389,219.	7,588,254.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27	Capital stock, trust principal, or current funds	8,752,980.	8,389,219.	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	8,752,980.	8,389,219.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	8,752,980.	8,389,219.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	8,752,980.
2	Enter amount from Part I, line 27a	2	-363,761.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	8,389,219.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	8,389,219.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	966,041.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	2,052,055.	9,005,342.	0.227871
2013	1,079,315.	9,996,667.	0.107967
2012	1,301,245.	10,502,304.	0.123901
2011	2,843,273.	11,313,699.	0.251312
2010	4,700,424.	12,105,505.	0.388288

2	Total of line 1, column (d)	2	1.099339
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.219868
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	7,958,062.
5	Multiply line 4 by line 3	5	1,749,723.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	10,629.
7	Add lines 5 and 6	7	1,760,352.
8	Enter qualifying distributions from Part XII, line 4	8	2,005,500.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ [ ] and enter "N/A" on line 1 . . . Date of ruling or determination letter: _______________ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b	1	10,629.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	10,629.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	10,629.
6	Credits/Payments:		
a	2015 estimated tax payments and 2014 overpayment credited to 2015 — 6a: 9,877.		
b	Exempt foreign organizations - tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 22,000.		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments. Add lines 6a through 6d	7	31,877.
8	Enter any **penalty** for underpayment of estimated tax. Check here [ ] if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	21,248.
11	Enter the amount of line 10 to be: **Credited to 2016 estimated tax** ▶ 21,248. **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*	1b		X
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ▶ $ ________ (2) On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	X	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	X	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ ERNST & YOUNG US LLP Telephone no ▶ 212-440-0800

Located at ▶ 77 WATER STREET, 9TH FLOOR, NEW YORK, NY ZIP+4 ▶ 10005

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		N/A
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c		N/A
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	2b		N/A
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015)*	3b		N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		X

[redacted]

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
	(4) Provide a grant to an organization other than a charitable, etc, organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes ☒ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b	If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?		**5b**		N/A
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945-5(d)				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		**6b**		X
	If "Yes" to 6b, file Form 8870				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		**7b**		N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 9		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				0

Total number of other employees paid over $50,000 ▶

[redacted]

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 THE FOUNDATION IS NOT INVOLVED IN ANY DIRECT CHARITABLE ACTIVITIES. ITS PRIMARY PURPOSE IS TO SUPPORT, BY CONTRIBUTIONS, OTHER ORGANIZATIONS EXEMPT UNDER SECTION	NONE
2 501(C)(3) OF THE INTERNAL REVENUE CODE. N/A	
3 N/A	
4 N/A	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	NONE
2 N/A	
All other program-related investments See instructions 3 N/A	
Total. Add lines 1 through 3 ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a	Average monthly fair market value of securities	1a	243,778.
b	Average of monthly cash balances	1b	525,394.
c	Fair market value of all other assets (see instructions)	1c	7,310,079.
d	**Total** (add lines 1a, b, and c)	1d	8,079,251.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	8,079,251.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	121,189.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	7,958,062.
6	**Minimum investment return.** Enter 5% of line 5	6	397,903.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	397,903.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	10,629.		
b	Income tax for 2015 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	10,629.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	387,274.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	387,274.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	387,274.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	2,005,500.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	2,005,500.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	10,629.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	1,994,871.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7					387,274.
2 Undistributed income, if any, as of the end of 2015					
a Enter amount for 2014 only				NONE	
b Total for prior years 20____,20____,20____			NONE		
3 Excess distributions carryover, if any, to 2015					
a From 2010	4,167,844.				
b From 2011	2,286,061.				
c From 2012	776,982.				
d From 2013	582,697.				
e From 2014	1,610,844.				
f **Total** of lines 3a through e		9,424,428.			
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ $ 2,005,500.					
a Applied to 2014, but not more than line 2a					
b Applied to undistributed income of prior years (Election required - see instructions)					
c Treated as distributions out of corpus (Election required - see instructions)					
d Applied to 2015 distributable amount					387,274.
e Remaining amount distributed out of corpus		1,618,226.			
5 Excess distributions carryover applied to 2015 *(If an amount appears in column (d), the same amount must be shown in column (a).)*					
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		11,042,654.			
b Prior years' undistributed income Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d Subtract line 6c from line 6b Taxable amount - see instructions					
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount - see instructions				NONE	
f Undistributed income for 2015 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2016					NONE
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)					
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions)		4,167,844.			
9 **Excess distributions carryover to 2016.** Subtract lines 7 and 8 from line 6a		6,874,810.			
10 Analysis of line 9					
a Excess from 2011	2,286,061.				
b Excess from 2012	776,982.				
c Excess from 2013	582,697.				
d Excess from 2014	1,610,844.				
e Excess from 2015	1,618,226.				

[redacted]

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT ATTACHED				1,988,578.
Total . . . . ▶ **3a**				1,988,578.
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	106.	
4 Dividends and interest from securities			14	173,351.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	966,041.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b ATCH 10	523000	-2,251.	01	13,775.	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		-2,251.		1,153,273.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 1,151,022.
(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
N/A	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
	N/A	

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

R. V. Steel | 3/12/2017 | TRUSTEE

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
JOSEPH BULGER		03/06/17		P01366942

Firm's name ▶ ERNST & YOUNG U.S. LLP — Firm's EIN ▶ 34-6565596

Firm's address ▶ 77 WATER STREET, 9TH FL NEW YORK, NY 10005 — Phone no 212-440-0800

Form **990-PF** (2015)

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**
▶ **Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at** ***www irs gov/form990.***

OMB No 1545-0047

2015

Name of the organization	**Employer identification number**
THE ROBERT K. STEEL FAMILY FOUNDATION	13-3531990

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . . . ▶ $ _______________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization THE ROBERT K. STEEL FAMILY FOUNDATION	Employer identification number 13-3531990

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ROBERT K. STEEL C/O EY US LLP; 77 WATER ST-9FL NEW YORK, NY 10005	$ 600,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)

Name of organization THE ROBERT K. STEEL FAMILY FOUNDATION

Employer identification number 13-3531990

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

[redacted]

Name of organization THE ROBERT K. STEEL FAMILY FOUNDATION

Employer identification number 13-3531990

Part III ***Exclusively*** **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor.** Complete columns **(a)** through **(e)** and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **$1,000 or less** for the year. (Enter this information once. See instructions) ▶ $ ____________
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

THE ROBERT K. STEEL FAMILY FOUNDATION 13-3531990

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		GAIN ON PARTIAL SALE OF GS HEDGE FUND SU					VAR	08/01/2015
1,246.		455.					791.	
		LTCG THRU K-1S					VAR	VAR
965,911.							965,911.	
		STCG THRU K-1S					VAR	VAR
6,468.							6,468.	
		SEC 1231 - 15% LOSS THRU K-1S					VAR	VAR
		40.					-40.	
		LTCG(L) ON SALE OF 46 SHS PATRIOT NATL BANCORP					VAR	12/31/2015
731.		7,820.					-7,089.	
TOTAL GAIN(LOSS)							966,041.	

THE ROBERT K. STEEL FAMILY FOUNDATION **13-3531990**

SUPPLEMENTARY STATEMENTS

PART: XV **LINE: 2** **SUPPLEMENTAL INFORMATION**

THE ORGANIZATION'S PRIMARY ACTIVITY IS TO SUPPORT, BY CONTRIBUTIONS, OTHER ORGANIZATIONS QUALIFYING FOR EXEMPTION UNDER SECTION 501(c)(3) OF THE INTERNAL REVENUE CODE. THE TRUSTEES CHOOSE THESE ORGANIZATIONS BASED UPON THEIR KNOWLEDGE OF THE ORGANIZATIONS' ACTIVITIES. NO CONTRIBUTIONS, GRANTS, GIFTS, LOANS OR SCHOLARSHIPS ARE MADE TO INDIVIDUALS THE ORGANIZATION DOES NOT PRESENTLY RECEIVE APPLICATIONS OR CONDUCT PROGRAMS FOR DONATIONS IN ITS ACTIVITIES.

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
INTEREST ON CREDIT BALANCE	67.	67.	
INTEREST ON CHECKING BALANCE	39.	39.	
TOTAL	106.	106.	

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
DIVIDENDS THRU K-1S	120,082.	120,082.	
INTEREST INCOME THRU K-1S	53,269.	53,269.	
TOTAL	173,351.	173,351.	

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
PFIC ORDINARY INCOME THRU K-1S	19,166.	19,166.	
ORDINARY INCOME/LOSS THRU K-1S	-1,258.	-1,258.	
ORDINARY INCOME/LOSS THRU K-1S (UBTI)	-3,079.	0	
RENTAL REAL ESTATE THRU K-1S	-190.	-190.	
SECTION 988 INCOME/LOSS THRU K-1S	-5,513.	-5,513.	
SECTION 987 INCOME/LOSS THRU K-1S	1,570.	1,570.	
ADD-BACK: C/Y SUSPENDED PAL		3,664.	
CANCELLATION OF DEBT THRU K-1 (UBTI)	828.		
TOTALS	11,524.	17,439.	

ATTACHMENT 4

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
BCRS ASSOCIATES LLC		30,250.	15,125.		15,125.
	TOTALS	30,250.	15,125.		15,125.

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
FEDERAL TAX PAID: 990-PF EXT PMT FYE 4/30/15	15,000.			
NYS CORPORATION TAX: CT-13 BAL DUE EXT FYE 4/30/15	250.			
TOTALS	15,250.			

ATTACHMENT 6

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
BANK CHARGES	750.			750.
NYS FILING FEE	750.			750.
PUBLICATION FEE	150.			150.
--DEDUCTIONS THRU K-1S:				
PORTFOLIO DEDUCTIONS	63,435.	63,435.		
INTEREST EXPENSE	7,277.	7,277.		
FOREIGN TAXES	8,196.	8,196.		
OFFICE EXPENSE	147.			147.
TOTALS	80,705.	78,908.		1,797.

ATTACHMENT 7

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
16,035 SHS PATRIOT NATIONAL BANCORP INC	2,733,838.	2,726,018.	208,615.
TOTALS	2,733,838.	2,726,018.	208,615.

ATTACHMENT 8

FORM 990PF, PART II - OTHER ASSETS

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
STONE STREET RE FUND 2000, LP	128,316.	125,071.	12,050.
STONE STREET PEP TECH FUND, LP	26,549.	19,949.	16,690.
GS HEDGE FUND PORTFOLIO PLC	485,016.	484,561.	1,243,042.
KEYHAVEN CAPITAL P/SHIP II	1,839,996.	1,742,144.	1,372,317.
KEYHAVEN CAPITAL P/SHIP I	320,432.	324,287.	465,960.
ETON PARK FUND LP	64,079.	57,932.	136,253.
VESTAR CAPITAL PARTNERS V LP	2,511,144.	2,435,653.	3,659,723.
TOTALS	5,375,532.	5,189,597.	6,906,035.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 9

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION
GILLIAN V. STEEL C/O ERNST & YOUNG, LLP 77 WATER STREET - 9 FL NEW YORK, NY 10005	TRUSTEE/PART-TIME

THE ROBERT K. STEEL FAMILY FOUNDATION 13-3531990

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 10

DESCRIPTION	BUSINESS CODE	AMOUNT	EXCLUSION CODE	AMOUNT	RELATED OR EXEMPT FUNCTION INCOME
ORDINARY INCOME/LOSS THRU K-1S	523000	-3,079.	01	17,908.	
RENTAL REAL ESTATE INCOME/LOSS THRU K-1S			01	-190.	
SECTION 988 INCOME/LOSS THRU K-1S			01	-5,513.	
SECTION 987 INCOME/LOSS THRU K-1S			01	1,570.	
CANCELLATION OF DEBT THRU K-1S	523000	828.			
TOTALS		-2,251.		13,775.	

THE ROBERT K. STEEL FAMILY FOUNDATION
CHARITABLE CONTRIBUTIONS LIST
FYE 4/30/2016
EIN: 13-3531990

DATE	CONTRIBUTION	CITY, STATE	AMOUNT
05/20/2015	ROARING FORK OUTDOOR VOLUNTEERS	BASALT, CO	$ 1,000 00
05/26/2015	NEW YORK BOTANICAL GARDEN	NEW YORK, NY	3,000 00
05/19/2015	BOYS AND GIRLS CLUB OF GREENWICH	GREENWICH, CT	500 00
05/19/2015	FIDELCO GUIDE DOG FOUNDATION	BLOOMFIELD, CT	250 00
05/19/2015	DAMON RUNYON CANCER RESARCH FOUNDATION	NEW YORK, NY	1,000.00
05/19/2015	DUKE UNIVERSITY SCHOOL OF NUSING	DURHAM, NC	25,000 00
05/15/2015	SOUTHERN TENNIS PATRONS FOUNDATION	CORNERS, GA	13,000 00
05/29/2015	YMCA OF GREATER NY	NEW YORK, NY	5,000 00
05/22/2015	GREENWICH HISTORICAL SOCIETY	COS COB, CT	10,000 00
05/15/2015	NEW YORK WOMEN'S FOUNDATION	NEW YORK, NY	2,500 00
05/22/2015	SAFE HORIZON INC	NEW YORK, NY	200 00
05/29/2015	THE NEW YORK PUBLIC LIBRARY	NEW YORK, NY	2,000 00
05/20/2015	THE NEW YORK PUBLIC LIBRARY	NEW YORK, NY	5,000 00
05/19/2015	THE BATTERY CONSERVANCY	NEW YORK, NY	5,000 00
05/20/2015	SETTLEMENT HOUSING FUND INC	NEW YORK, NY	1,000 00
05/26/2015	CENTRAL PARK CONSERVANCY	NEW YORK NY	10,000 00
06/12/2015	ROUND HILL ASSOCIATION	GREENWICH, CT	100 00
06/02/2015	OUR COMPANIONS	MANCHESTER, CT	250 00
06/03/2015	BOFFO	NEW YORK NY	1,000 00
06/11/2015	GREENWICH EMERGENCY MEDICAL SERVICE INCORPORATED	RIVERSIDE, CT	100 00
06/08/2015	PEER HEALTH EXCHANGE	SAN FRANCISCO, CA	50,000 00
06/15/2015	PACKARD CENTER	BALTIMORE, MD	1,000 00
06/29/2015	STRATTON FOUNDATION	STRATTON, VT	250 00
06/16/2015	CITY SQUASH	BRONX, NY	5,000 00
06/17/2015	THE POSSE FOUNDATION	NEW YORK, NY	25,000 00
06/26/2015	RIVERDALE COUNTRY SCHOOL	NEW YORK, NY	1,000 00
07/13/2015	NEW YORK BOTANICAL GARDENS	NEW YORK, NY	- 500.00
07/17/2015	CHASE COLLEGIATE SCHOOL	WATERBURY, CT	1,000 00
07/20/2015	ASPEN MUSIC FESTIVAL AND SCHOOL	ASPEN, CO	10,000 00
07/24/2015	ASPEN MUSIC FESTIVAL AND SCHOOL	ASPEN, CO	100,000 00
07/23/2015	GREENWICH INTERNATIONAL FILE FESTIVAL	GREENWICH, CT	5,000 00
07/23/2015	THE SKYSCRAPER MUSEUM	NEW YORK, NY	5,000 00
07/21/2015	TRANSPORTATION ALTERNATIVES	NEW YORK, NY	5,000 00
07/28/2015	HORIZONS NATIONAL STUDENT ENRICHMENT PROGRAM INC	WESTPORT, CT	1,000 00
08/03/2015	SOCRATES SCULPTURE PARK	LONG ISLAND CITY, NY	5,000 00
08/06/2015	ASPEN CENTER FOR ENVIRONMENTAL STUDIES	ASPEN, CO	5,000 00
08/04/2015	THEATRE ASPEN	ASPEN, CO	1,000 00
08/06/2015	ASPEN HISTORICAL SOCIETY	ASPEN, CO	1,500 00
08/06/2015	ASPEN PUBLIC RADIO	ASPEN, CO	500 00
08/12/2015	ASPEN MUSCI FESTIVAL AND SCHOOL	ASPEN, CO	5,000 00

THE ROBERT K. STEEL FAMILY FOUNDATION
CHARITABLE CONTRIBUTIONS LIST
FYE 4/30/2016
EIN: 13-3531990

DATE	CONTRIBUTION	CITY, STATE	AMOUNT
08/26/2015	CASITA MARIA CENTER FOR ARTS & EDUCATION	BRONX, NY	5,000 00
08/31/2015	GARDEN CONSERVANCY INC	COLD SPRING, NY	1,500 00
09/09/2015	GREENWICH LAND TRUST	GREENWICH, CT	500 00
09/08/2015	THE MISSION CONTINUES	ST LOUIS, MO	5,000 00
09/10/2015	FRIENDS OF NEW ORLEANS	ARLINGTON, VA	20,000 00
09/04/2015	NATIONAL JEWISH HEALTH	DENVER, CO	10,000 00
09/01/2015	AMERICAN ENTERPRISE INSTITUTE FOR PUBLIC POLICY RESEARCH	WASHINGTON, DC	50,000 00
09/16/2015	NEW YORK PUBLIC RADIO	NEW YORK, NY	1,000 00
09/28/2015	PENCIL	NEW YORK, NY	5,000 00
09/29/2015	THE EAST HARLEM SCHOOL	NEW YORK, NY	2,564 00
09/29/2015	BRADFORD ALUMNI ASSOCIATION	NORTH ANDOVER, MA	350 00
10/01/2015	ANIMAL MEDICAL CENTER	NEW YORK, NY	1,000 00
10/13/2015	OUR COMPANIONS	MANCHESTER, CT	250 00
10/19/2015	AMNESTY INTERNATIONAL USA	NEW YORK, NY	250 00
10/29/2015	THE EAST HARLEM SCHOOL	NEW YORK, NY	2,564 00
10/23/2015	RARE	LINCOLN, MA	10,000 00
10/15/2015	FINANCIAL SERVICES VOLUNTEER CORPS	NEW YORK, NY	5,000 00
10/16/2015	CENTRAL PARK CONSERVANCY	NEW YORK, NY	5,000 00
10/19/2015	BROOKLYN BRIDGE PARK CONSEVANCY	BROOKLYN, NY	1,000 00
10/20/2015	WATERSIDE SCHOOL	STAMFORD, CT	1,000 00
10/22/2015	ST BARNABUS CHURCH	BROOKLYN, NY	200 00
10/23/2015	THE GREENWICH HISTORICAL SOCIETY	COS COB, CT	500 00
10/19/2015	NEW YORK BOTANICAL GARDENS	NEW YORK, NY	350,000 00
10/19/2015	SIFMA FOUNDATION	NEW YORK, NY	5,000 00
10/23/2015	CONNECTICUT FOOD BANK	WALLINGFORD, CT	300 00
10/19/2015	NEW YORK STUDIO SCHOOL	NEW YORK, NY	200 00
11/02/2015	PRESIDENT AND FELLOWS OF HARVARD COLLEGE	CAMBRIDGE, MA	50,000 00
11/16/2015	BROOKLYN ACADEMY OF MUSIC INC	BROOKLYN, NY	1,500 00
11/16/2015	CENTRAL PARK CONSERVANCY	NEW YORK, NY	5,000 00
11/18/2015	THE ASCAP FOUNDATION	NEW YORK, NY	250 00
11/16/2015	CONNECTICUT PUBLIC BROADCASTING INC	HARTFORD, CT	500 00
11/17/2015	MEALS ON WHEELS INC	NEW YORK, NY	250 00
11/17/2015	WIKIMEDIA FOUNDATION INC	SAN FRANCISCO, CA	100 00
11/16/2015	CITY SQUASH	BRONX, NY	10,000 00
11/20/2015	PUPPIES BEHIND BARS	NEW YORK, NY	500 00
11/18/2015	CAMP SEA GULL CAMP SEAFARER	ARAPAHOE, NC	200 00
11/19/2015	THE ROYAL OAK FOUNDATION	NEW YORK, NY	250 00
11/27/2015	SALVATION ARMY	HARTFORD, CT	100 00
11/25/2015	RIGHT TO PLAY	NEW YORK, NY	10,000 00
11/25/2015	CT COUNCIL FOR EDUCATION REFORM	NEW HAVEN, CT	5,000 00

THE ROBERT K. STEEL FAMILY FOUNDATION
CHARITABLE CONTRIBUTIONS LIST
FYE 4/30/2016
EIN: 13-3531990

DATE	CONTRIBUTION	CITY, STATE	AMOUNT
11/30/2015	THE SCHOOL FOR CHILDREN OF HIDDEN INTELLIGENCE	LAKEWOOD, NJ	5,000 00
12/07/2015	GREENWICH LAND TRUST	GREENWICH, CT	1,000 00
12/11/2015	PETA	NORFOLK, VA	1,000 00
12/03/2015	MERRY-GO-ROUND INC	GREENWICH, CT	100 00
12/04/2015	ANIMAL MEDICAL CENTER	NEW YORK, NY	500 00
12/03/2015	BOYS AND GILES CLUB OF GREENWICH	GREENWICH, CT	500 00
12/09/2015	YEAR UP	NEW YORK, NY	10,000 00
12/31/2015	THE MORGAN LIBRARY AND MUSEUM	NEW YORK, NY	50,000 00
12/29/2015	CHALLENGE ASPEN	ASPEN, CO	1,000 00
12/29/2015	THE BUDDY PROGRAM	ASPEN, CO	1,000 00
12/31/2015	THE LYMPHOMA FOUNDATION	NEW YORK, NY	1,000 00
12/30/2015	FRIENDS OF THE HIGH LINE INC	NEW YORK, NY	50,000 00
12/30/2015	FRIENDS OF HUDSON RIVER PARK	NEW YORK, NY	25,000 00
12/30/2015	GREENWICH UNITED WAY	GREENWICH, CT	10,000 00
12/31/2015	BRUCE MUSEUM	GREENWICH, CT	1,000 00
12/31/2015	THE NEW YORK STEM CELL FOUNDATION	NEW YORK, NY	5,000 00
12/31/2015	THE BATTERY CONSERVANCY	NEW YORK, NY	1,000 00
12/31/2015	BROOKLYN BRIDGE PARK CONSEVANCY	BROOKLYN, NY	1,000.00
12/30/2015	MIDDLETON PLACE FOUNDATION	CHARLESTON, SC	1,000 00
12/30/2015	NATIONAL CONSTITUTION CENTER	PHILADEPHIA, PA	5,000 00
12/31/2015	THE MORGAN LIBRARY AND MUSEUM	NEW YORK, NY	10,000 00
12/31/2015	ROCKEFELLER UNIVERSITY	NEW YORK, NY	50,000 00
12/30/2015	CHECKERBOARD FOUNDATION INC	NEW YORK, NY	1,000.00
12/31/2015	GREENWICH LIBRARY	GREENWICH, CT	5,000 00
12/31/2015	BOY SCOUTS OF AMERICA, GREATER NY COUNCILS	NEW YORK, NY	50,000 00
12/30/2015	FRIENDS OF NATHANIEL WITHERELL INC	GREENWICH, CT	1,000 00
01/22/2016	THE MERRITT PARKWAY CONSERVANCY	STAMFORD, CT	1,000 00
01/05/2016	GREENWICH ACADEMY	GREENWICH, CT	10,000 00
01/06/2016	READWORKS INC	BROOKLYN, NY	1,000 00
01/11/2016	SOCRATES SCULPTURE PARK	LONG ISLAND CITY, NY	1,000 00
01/21/2016	ALFANAR INC US	NEWTOWN, MA	5,000 00
01/06/2016	NEW YORK UNIVERSITY	NEW YORK, NY	5,000 00
01/04/2016	TO MAKE A WORLD A BETTER PLACE	NEW YORK, NY	1,000 00
01/07/2016	FIBROLAMELLAR CANCER FOUNDATION	GREENWICH, CT	1,000 00
01/08/2016	BRIGHTPOINT HEALTH	NEW YORK, NY	5,000 00
01/08/2016	ST BARNABUS CHURCH	GREENWICH, CT	10,000 00
01/11/2016	HOSPITAL FOR SPECIAL SURGERY	NEW YORK, NY	200,000 00
01/14/2016	THE FRICK COLLECTION	NEW YORK, NY	5,000 00
01/12/2016	AMERICAN COUNCIL OF TRUSTEES AND ALUMNI	WASHINGTON, DC	1,000 00
01/05/2016	EMILY K CENTER	DURHAM, NC	1,000 00

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01/05/2016	THE WINDWARD SCHOOL	WHITE PLAINS, NY	5,000 00
01/05/2016	CITY SQUASH	BRONX, NY	5,000 00
01/04/2016	UNIVERSITY OF CHICAGO	CHICAGO, IL	5,000 00
01/05/2016	PARTNERSHIP FOR PUBLIC SERVICE	WASHINGTON, DC	50,000 00
01/05/2016	U S SQUASH	NEW YORK, NY	1,000 00
01/07/2016	COLUMBIA UNIVERSITY	NEW YORK, NY	25,000 00
01/11/2016	HOSPITAL FOR SEPCIAL SURGERY	NEW YORK, NY	10,000 00
01/08/2016	EXPANDED SCHOOLS	NEW YORK, NY	5,000 00
01/13/2016	DUKE UNIVERSITY	DURHAM, NC	100,000 00
01/06/2016	NEW YORK FOUNDATION FOR THE ARTS	BROOKLYN, NY	25,000 00
01/04/2016	ASPEN INSTITUTE	ASPEN, CO	15,000 00
01/05/2016	U S SQUASH	NEW YORK, NY	500 00
01/05/2016	RAILS TO TRAILS	WASHINGTON, DC	200 00
01/07/2016	ASPEN PUBLIC RADIO	ASPEN, CO	500 00
01/07/2016	CORPUS CHRISTI-HOLY ROSARY SCHOOL	PORT CHESTER, NY	100 00
01/08/2016	BROOKLYN ACADEMY OF MUSIC INC	NEW YORK, NY	150 00
01/12/2016	THE GREEWICH ROUNDTABLE INC	COS COB, CT	5,000 00
01/06/2016	ASPEN MUSIC FESTIVAL AND SCHOOL	ASPEN, CO	30,000 00
01/05/2016	LINCOLN CENTER FOR THE PERFORMING ARTS	NEW YORK, NY	10,000 00
01/05/2016	MANHATTAN INSTITUTE FOR POLICY RESEARCH INC	NEW YORK, NY	10,000 00
01/12/2016	INTERNATIONAL FRIENDS OF LONDON LIBRARY	NEW YORK, NY	750 00
01/19/2016	MUSEUM OF AMERICAN FINANCE	NEW YORK, NY	10,000 00
02/18/2016	FRIENDS OF THE ASPEN ANIMAL SHELTER	ASPEN, CO	500 00
02/05/2016	ROYAL OAK FOUNDATION	NEW YORK, NY	250 00
02/05/2016	ROYAL OAK FOUNDATION	NEW YORK, NY	250 00
02/17/2016	AMNESTY INTERNATIONAL USA	NEW YORK, NY	250 00
02/18/2016	CENTRAL PARK CENSERVANCY	NEW YORK, NY	650 00
02/25/2016	ROCKEFELLER UNIVERSITY	NEW YORK, NY	1,000 00
03/07/2016	NATIONAL HUMANITIES CENTER	DURHAM, NC	5,000 00
03/02/2016	ASPCA	NEW YORK, NY	250 00
03/08/2016	CARLOS OTIS STRATTON MOUNTAIN CLINIC	STRATTON, VT	100 00
03/03/2016	LONDONDERRY VOLUNTEER RESCUE SQUAD	LONDONDERRY, VT	100 00
03/11/2016	FIDELCO GUIDE DOG FOUNDATION	BLOOMFIELD, CT	250 00
03/09/2016	THE MANHATTAN INSTITUTE	NEW YORK NY	25,000 00
03/14/2016	LINCOLN CENTER FOR THE PERFORMING ARTS	NEW YORK NY	140,000 00
03/14/2016	BOSTON CHILDREN'S HOSPITAL	BOSTON, MA	25,000 00
03/10/2016	FRIENDS OF NEW ORLEANS	ARLINGTON, VA	10,000 00
03/15/2016	THE ARCHITECTURAL LEAGUE	NEW YORK, NY	5,000 00
03/28/2016	NEW YORK BOTANICAL GARDENS	NEW YORK, NY	5,500 00
03/29/2016	NEW YORK PUBLIC RADIO	NEW YORK, NY	1,000 00

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DATE	CONTRIBUTION	CITY, STATE	AMOUNT
04/11/2016	GREENWICH YOUTH CONSERVATION PROGRAM	GREENWICH, CT	200 00
04/20/2016	FOUNDATION FOR ART AND PRESERVATION IN EMBASSIES	WASHINGTON, DC	25,000 00
04/14/2016	NETWORK FOR TEACHING ENTREPRENEURSHIP	NEW YORK, NY	10,000 00
04/27/2016	GREENWICH TREE CONSERVANCY	GREENWICH, CT	500 00
04/29/2016	ALLEGAN AREA EDUCATIONAL SERVICE AGENCY	ALLEGAN, MI	5,000 00
	TOTAL CONTRIBUTIONS PAID *		$ 1,988,578.00

** All contributions were made to the general purpose fund of Public charitable organizations that were classified under Section 501 (c) (3) of the Internal Revenue Code*

THE ROBERT K STEEL FAMILY FOUNDATION
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FORM 990-PF

SCHEDULE OF PASSIVE LOSS CARRYFORWARD

	CURRENT YEAR PASSIVE GAINS / (LOSSES)	PRIOR YEARS' PASSIVE (LOSSES) UTILIZED	NET PASSIVE (LOSSES)	TOTAL SUSPENDED PASSIVE (LOSSES)
4/30/2010	(34,730)	0	(34,730)	(34,730)
4/30/2011	(20,054)	0	(20,054)	(54,784)
4/30/2012	(7,534)	0	(7,534)	(62,318)
4/30/2013	(2,504)	0	(2,504)	(64,822)
4/30/2014	(39,291)	0	(39,291)	(104,113)
4/30/2015	(16,370)	0	(16,370)	(120,483)
4/30/2016	(3,664)	0	(3,664)	(124,147)