



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-PF**

# Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

**2015**Department of the Treasury  
Internal Revenue Service

► Do not enter social security numbers on this form as it may be made public.  
► Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

Open to Public Inspection

For calendar year 2015 or tax year beginning 04/01/15, and ending 03/31/16

Name of foundation <b>TR U/W VIVIAN S WEST - WEST MEMORIAL FUND</b>		A Employer identification number <b>56-6520255</b>
Number and street (or P O box number if mail is not delivered to street address) <b>4242 SIX FORKS RD, 17TH FLOOR US TR</b>	Room/suite	B Telephone number (see instructions) <b>919-829-6771</b>
City or town state or province, country and ZIP or foreign postal code <b>RALEIGH NC 27601-1754</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input checked="" type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) <b>\$ 6,480,583</b>	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B) check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b) (c) and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)				
2	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	99,913	99,913		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	51,896			
b	Gross sales price for all assets on line 6a <b>1,763,376</b>				
7	Capital gain net income (from Part IV, line 2)		51,896		
8	Net short-term capital gain			0	
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule) Stmt 1	-9,400	-9,400		
12	Total. Add lines 1 through 11	142,409	142,409	0	
13	Compensation of officers, directors, trustees, etc	135,063	82,509		52,554
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule) Stmt 2	7,550	3,775		3,775
c	Other professional fees (attach schedule)				
17	Interest				
18	Taxes (attach schedule) (see instructions) Stmt 3	11,433	2,347		
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (att sch) Stmt 4	2,442	750		1,692
24	Total operating and administrative expenses. Add lines 13 through 23	156,488	89,381	0	58,021
25	Contributions, gifts, grants paid	313,275			313,275
26	Total expenses and disbursements. Add lines 24 and 25	469,763	89,381	0	371,296
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	-327,354			
b	Net investment income (if negative, enter -0-)		53,028		
c	Adjusted net income (if negative, enter -0-)			0	

For Paperwork Reduction Act Notice, see instructions.

Form 990-PF (2015)

SCANNED JUN 14 2016

Operating and Administrative Expenses

POSTMARK

RECEIVED

06 08 2016

06 10 2016

OGDEN  
SERVICE CENTER

Jme

Part II Balance Sheets		Beginning of year	End of year	
			(a) Book Value	(b) Book Value
Assets	1 Cash – non-interest-bearing			
	2 Savings and temporary cash investments	319,878	500,942	500,942
	3 Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶	0		
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U S and state government obligations (attach schedule)			
	b Investments – corporate stock (attach schedule) See Stmt 5	4,946,366	4,446,175	5,754,970
	c Investments – corporate bonds (attach schedule)			
	11 Investments – land, buildings, and equipment basis ▶ 237,410 Less accumulated depreciation (attach sch) ▶ Stmt 6	237,410	237,410	281,500
	12 Investments – mortgage loans			
	13 Investments – other (attach schedule) See Statement 7	-48,602	-56,829	-56,829
	14 Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch) ▶			
	15 Other assets (describe ▶ )			
	16 Total assets (to be completed by all filers – see the instructions Also, see page 1, item I)	5,455,052	5,127,698	6,480,583
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ )			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	5,455,052	5,127,698	
	30 Total net assets or fund balances (see instructions)	5,455,052	5,127,698	
	31 Total liabilities and net assets/fund balances (see instructions)	5,455,052	5,127,698	

## Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,455,052
2 Enter amount from Part I, line 27a	2	-327,354
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	5,127,698
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	5,127,698

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P – Purchase D – Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b>	SALE OF PUBLICLY TRADED SECURITIES	P	Various	Various
<b>b</b>	SALE OF PUBLICLY TRADED SECURITIES	P	Various	Various
<b>c</b>	CAPITAL GAIN DISTRIBUTIONS			
<b>d</b>				
<b>e</b>				

  

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> 439,165		493,651	-54,486
<b>b</b> 1,227,766		1,217,829	9,937
<b>c</b> 96,445			96,445
<b>d</b>			
<b>e</b>			

  

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
<b>a</b>			-54,486
<b>b</b>			9,937
<b>c</b>			96,445
<b>d</b>			
<b>e</b>			

  

<b>2</b> Capital gain net income or (net capital loss)	<div style="border: 1px solid black; padding: 2px;">           If gain, also enter in Part I, line 7            If (loss), enter -0- in Part I, line 7         </div>	<b>2</b>	51,896
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	352,098	7,264,192	0.048470
2013	328,590	7,040,429	0.046672
2012	331,615	6,654,639	0.049832
2011	344,819	6,718,408	0.051325
2010	295,021	6,948,399	0.042459

  

<b>2</b> Total of line 1, column (d)	<b>2</b>	0.238758
<b>3</b> Average distribution ratio for the 5-year base period – divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	0.047752
<b>4</b> Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	<b>4</b>	6,773,813
<b>5</b> Multiply line 4 by line 3	<b>5</b>	323,463
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	530
<b>7</b> Add lines 5 and 6	<b>7</b>	323,993
<b>8</b> Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	<b>8</b>	371,296

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	530
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
<b>3</b>	Add lines 1 and 2	3	530
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	5	530
<b>6</b>	<b>Credits/Payments</b>		
<b>a</b>	2015 estimated tax payments and 2014 overpayment credited to 2015	6a	5,605
<b>b</b>	Exempt foreign organizations - tax withheld at source	6b	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	6c	
<b>d</b>	Backup withholding erroneously withheld	6d	
<b>7</b>	<b>Total credits and payments.</b> Add lines 6a through 6d	7	5,605
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	9	
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	10	5,075
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2016 estimated tax</b> <b>532</b> <b>Refunded</b>	11	4,543

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to <b>1a</b> or <b>1b</b> , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year?		X
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <b>\$</b> _____ (2) On foundation managers <b>\$</b> _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <b>\$</b> _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?		
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <b>NC</b>		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
<b>10</b> Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	Yes	No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► JAMES G SULLIVAN 1100 CONFERENCE DR Located at ► GREENVILLE NC ZIP+4 ► 27858 Telephone no ► 252-756-8888			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15			
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A		1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? N/A		1c	
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? If "Yes," list the years ► 20 , 20 , 20 , 20	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A		2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.) N/A		3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?		4b	X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5a During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	N/A <input type="checkbox"/> Yes <input type="checkbox"/> No	5b		
Organizations relying on a current notice regarding disaster assistance check here	<input type="checkbox"/>			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	N/A <input type="checkbox"/> Yes <input type="checkbox"/> No			
If "Yes," attach the statement required by Regulations section 53.4945–5(d)				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		X
If "Yes" to 6b, file Form 8870				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b		

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 8				

**2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)****3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	6,313,617
b	Average of monthly cash balances	1b	281,851
c	Fair market value of all other assets (see instructions)	1c	281,500
d	<b>Total</b> (add lines 1a, b, and c)	1d	6,876,968
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	6,876,968
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	103,155
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	6,773,813
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	338,691

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	338,691
2a	Tax on investment income for 2015 from Part VI, line 5	2a	530
b	Income tax for 2015 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	530
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	338,161
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	338,161
6	Deduction from distributable amount (see instructions)	6	
7	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	338,161

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. – total from Part I, column (d), line 26	1a	371,296
b	Program-related investments – total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	371,296
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	530
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	370,766

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				338,161
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			349,565	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2015				
a From 2010				
b From 2011				
c From 2012				
d From 2013				
e From 2014				
f Total of lines 3a through e				
4 Qualifying distributions for 2015 from Part XII, line 4 ▶ \$ 371,296				
a Applied to 2014, but not more than line 2a			349,565	
b Applied to undistributed income of prior years (Election required – see instructions)				
c Treated as distributions out of corpus (Election required – see instructions)				
d Applied to 2015 distributable amount				21,731
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a) )				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount – see instructions				
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount – see instructions				
f Undistributed income for 2015 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2016				316,430
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2011				
b Excess from 2012				
c Excess from 2013				
d Excess from 2014				
e Excess from 2015				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
<b>b</b> 85% of line 2a					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test – enter					
<b>(1)</b> Value of all assets					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
<b>c</b> "Support" alternative test – enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )  
N/A

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest  
N/A

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**  
Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed  
JAMES G SULLIVAN 252-756-8888  
1100 CONFERENCE DRIVE GREENVILLE NC 27858

**b** The form in which applications should be submitted and information and materials they should include  
APPLICATION FORMS AVAILABLE AT ABOVE ADDRESS

**c** Any submission deadlines.  
NONE

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors  
501(C)(3) CHARITIES

**Part XV Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> Paid during the year See Statement 9				313,275
<b>Total</b>			<b>3a</b>	313,275
<b>b</b> Approved for future payment N/A				
<b>Total</b>			<b>3b</b>	

## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities			14	99,913	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			14	96,445	-44,549
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue a					
b	WEST REALTY COMPANY			16	-3,027	
c	PINE RIDGE INVESTORS LP			16	-6,373	
d						
e						
12	Subtotal. Add columns (b), (d), and (e)		0		186,958	-44,549
13	Total. Add line 12, columns (b), (d), and (e)				13	142,409

(See worksheet in line 13 instructions to verify calculations )

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

- 1** Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
- a** Transfers from the reporting foundation to a noncharitable exempt organization of
- (1) Cash
- (2) Other assets
- b** Other transactions
- (1) Sales of assets to a noncharitable exempt organization
- (2) Purchases of assets from a noncharitable exempt organization
- (3) Rental of facilities, equipment, or other assets
- (4) Reimbursement arrangements
- (5) Loans or loan guarantees
- (6) Performance of services or membership or fundraising solicitations
- c** Sharing of facilities, equipment, mailing lists, other assets, or paid employees
- d** If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

- b If "Yes," complete the following schedule**

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

**Sign  
Here**

BANK OF AMERICA BY:

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Signature of officer or trustee

Date \_\_\_\_\_

Title

**Paid**  
**Preparer**  
**Use Only**

Print/Type preparer's name

Preparer's signature

Date \_\_\_\_\_

Check ☐ if self-employed

JAMES G. SULLIVAN

05/19/16

Firm's name ▶ Sullivan, Shearin & Co

PTIN	P00238015
------	-----------

Firm's address ► 1100 Conference Dr  
Greenville, NC 27858

Firm's EIN ▶ 56-1350306

Phone no 252-756-8888

## Federal Statements

Statement 1 - Form 990-PF, Part I, Line 11 - Other Income

Description	Revenue per Books	Net Investment Income	Adjusted Net Income
WEST REALTY COMPANY	\$ -3,027	\$ -3,027	\$
PINE RIDGE INVESTORS LP	-6,373	-6,373	
Total	<u>\$ -9,400</u>	<u>\$ -9,400</u>	<u>\$ 0</u>

Statement 2 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
SULLIVAN, SHEARIN & COMPANY	\$ 7,550	\$ 3,775	\$	\$ 3,775
Total	<u>\$ 7,550</u>	<u>\$ 3,775</u>	<u>\$ 0</u>	<u>\$ 3,775</u>

Statement 3 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
REAL ESTATE PROPERTY TAX	\$ 2,347	\$ 2,347	\$	\$
990-PF ESTIMATED TAXES	9,086			
Total	<u>\$ 11,433</u>	<u>\$ 2,347</u>	<u>\$ 0</u>	<u>\$ 0</u>

56-6520255

**Federal Statements**

FYE: 3/31/2016

**Statement 4 - Form 990-PF, Part I, Line 23 - Other Expenses**

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Expenses	\$	\$	\$	\$
INSURANCE - DISTRIBUTION COMM	1,692			1,692
INSURANCE - REAL ESTATE	750	750		
Total	<u>\$ 2,442</u>	<u>\$ 750</u>	<u>\$ 0</u>	<u>\$ 1,692</u>

**Statement 5 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments**

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
SEE SCHEDULE	\$ 4,946,366	\$ 4,446,175		\$ 5,754,970
Total	<u>\$ 4,946,366</u>	<u>\$ 4,446,175</u>		<u>\$ 5,754,970</u>

**Statement 6 - Form 990-PF, Part II, Line 11 - Land, Building, and Equipment Investments**

Description	Beginning Net Book	End Cost / Basis	End Accumulated Depreciation	Net FMV
LAND - STATESVILLE, NC	\$ 237,410	\$ 237,410	\$	\$ 281,500
Total	<u>\$ 237,410</u>	<u>\$ 237,410</u>	<u>\$ 0</u>	<u>\$ 281,500</u>

**Statement 7 - Form 990-PF, Part II, Line 13 - Other Investments**

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
WEST REALTY CO PARTNERSHIP	\$ 46,606	\$ 44,746		\$ 44,746
PINE RIDGE INVESTORS PARTNERSHIP	-95,208	-101,575		-101,575
Total	<u>\$ -48,602</u>	<u>\$ -56,829</u>		<u>\$ -56,829</u>



11750 TR UNW VIVIAN S WEST -

56-6520255

FYE: 3/31/2016

**Federal Statements**

5/19/2016 2:42 PM

**Statement 8 - Form 990-PF, Part VIII, Line 1 - List of Officers, Directors, Trustees, Etc.**

Name and Address	Title	Average Hours	Compensation	Benefits	Expenses
DANNY MCNALLY 498 RED BANKS RD GREENVILLE NC 27858	DIRECTOR	0.60	5,520	0	0
JAMES G SULLIVAN 1100 CONFERENCE DR GREENVILLE NC 27858	CHAIRMAN	2.14	19,530	0	0
JAMES G SULLIVAN 1100 CONFERENCE DR GREENVILLE NC 27858	CO-TRUSTEE	4.09	37,238	0	0
DAVID EMSWILER ONE HANOVER SQUARE RALEIGH NC 27601	COMMITTEE	0.50	0	0	0
BANK OF AMERICA ONE HANOVER SQUARE RALEIGH NC 27601	CO-TRUSTEE	7.99	72,775	0	0

**Federal Statements****Form 990-PF, Part XV, Line 2b - Application Format and Required Contents**Description

APPLICATION FORMS AVAILABLE AT ABOVE ADDRESS

**Form 990-PF, Part XV, Line 2c - Submission Deadlines**Description

NONE

**Form 990-PF, Part XV, Line 2d - Award Restrictions or Limitations**Description

501(C)(3) CHARITIES

## Federal Statements

5/19/2016 2:42 PM

### Statement 9 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year

Name		Address		Relationship	Status	Purpose	Amount
HOOKE MEMORIAL CHRISTIAN GREENVILLE NC 27858	NONE	1111 GREENVILLE BLVD	501c3			501c3 CHARITABLE PURPOSES	40,000
THE ECU FOUNDATION INC GREENVILLE NC 27858	NONE	WARD SPORTS MEDICINE BLDG	501c3			501c3 CHARITABLE PURPOSES	120,000
GREENVILLE CHORAL SOCIETY GREENVILLE NC 27835	NONE	PO BOX 1357	501c3			501c3 CHARITABLE PURPOSES	5,000
VIDANT MEDICAL CENTER FOUNDATION GREENVILLE NC 27835-8489	N/A	PO BOX 8489	501c3			501c3 PURPOSES	45,000
GREENVILLE COMMUNITY SHELTER GREENVILLE NC 27834	N/A	201 MANHATTAN AVE	501c3			501c3 PURPOSES	25,000
OPERATION SUNSHINE INC GREENVILLE NC 27836	N/A	PO BOX 3412	501c3			501c3 PURPOSES	6,000
JH ROSE HS - FRIENDS OF THEATER GREENVILLE NC 27858	N/A	3805 TUCKER DRIVE	501c3			501c3 PURPOSES	7,927
STEM EAST NETWORK GREENVILLE NC 27858	N/A	1020 RED BANKS ROAD	501c3			501c3 PURPOSES	30,000
GREENVILLE MUSEUM OF ART INC GREENVILLE NC 27834	N/A	802 SOUTH EVANS STREET	501c3			501c3 PURPOSES	4,160
NORTH PITT HIGH SCHOOL BAND BETHEL NC 27812	N/A	5659 HIGHWAY 11 NORTH	501c3			501c3 PURPOSES	17,688
BOYS & GIRLS CLUBS OF COASTAL PLAIN WINTERVILLE NC 28590	N/A	621 WEST FIRE TOWER ROAD	501c3			501c3 PURPOSES	12,500
Total							<u>313,275</u>

TR UW VIVIAN S WEST - WEST MEMORIAL FUND  
EIN 56-6520255

FORM 990-PF PART II LINE 10  
YEAR ENDED 3-31-2016

UNITS	DESCRIPTION	MARKET VALUE	BOOK VALUE
<u>CORPORATE STOCK</u>			
44	ABBVIE INC	2,513 28	2,685 59
60	ACADIA HEALTHCARE CO INC	3,306 60	2,767 19
250	ACCENTURE PLC	28,850 00	16,722 93
105	ACI WORLDWIDE INC	2,182 95	2,516 55
146	ACTIVISION BLIZZARD INC	4,940 64	5,499 89
15	ACUITY BRANDS INC	3,272 10	2,725 96
28	ADVANCED AUTO PTS INC	4,489 52	4,585 11
28	AFFILIATED MANAGERS GROUP	4,547 20	3,213 67
11	AGIOS PHARMACEUTICALS INC	446 60	1,182 26
32	AKAMAI TECHNOLOGIES INC	1,778 24	1,465 60
93	AKORN INC	2,188 29	2,497 30
51	ALIGN TECHNOLOGY INC	3,707 19	2,832 08
60	ALPHABET INC CLASS C	44,697 00	31,450 84
40	ALPHABET INC CLASS A	30,516 00	17,349 84
350	ALTRIA GROUP INC	21,931 00	3,196 64
197	ALTRIA GROUP INC	12,344 02	4,866 06
20	AMAG PHARMACEUTICALS INC	468 00	1,221 20
40	AMAZON COM INC	23,745 60	8,382 15
62	AMERICAN ELEC PWR INC	4,116 80	1,919 17
689	AMERICAN EXPRESS CO	42,304 60	27,461 06
375	AMERICAN INTL GROUP INC	20,268 75	15,634 22
50	AMERISOURCEBERGEN CORP	4,327 50	2,392 26
28	AMERISOURCEBERGEN CORP	2,423 40	3,031 34
161	AMETEK INC	8,046 78	5,226 36
194	AMPHENOL CORP	11,217 08	3,803 47
11	ANACOR PHARMACEUTICALS INC	587 95	1,284 58
83	ANALOG DEVICES INC	4,912 77	5,063 19
175	ANHEUSER BUSCH INBEV	21,815 50	17,433 05
38	AON PLC	3,969 10	3,884 21
450	APPLE INC	49,045 50	48,352 28
133	APPLE INC	14,495 67	10,775 46
191	APPLIED MATLS INC	4,045 38	3,618 67
1951	22 ARTISAN INTERNATIONAL FUND	53,931 72	60,000 00
44	AUTOLIV INC	5,213 12	3,004 66
73	AUTOMATIC DATA PROCESSING INC	6,548 83	2,274 19
26	AUTOZONE INC	20,713 94	9,427 44
72	BANK OF THE OZARKS INC	3,021 84	3,039 00
95	BANKUNITED INC	3,271 80	3,015 20
33	BE AEROSPACE INC	1,521 96	1,616 44
500	BERKSHIRE HATHAWAY INC	70,940 00	39,692 64
118	BERRY PLASTICS GROUP INC	4,265 70	2,595 70
118	BIOGEN IDEC INC	30,717 76	13,922 00
21	BIOMARIN PHARMACEUTICAL INC	1,732 08	2,840 68
5984	418 BLACKROCK GLOBAL LONG/SHORT EQUITY FUND	65,888 44	70,000 00
60	BLACKROCK INC	20,434 20	16,108 75
21	BLACKROCK INC	7,151 97	3,601 39
12105	422 BLACKSTONE ALTERNATIVE MULTI-STRATEGY FUND	118,875 24	125,000 00
56	BOOZ ALLEN HAMILTON HLDG CORP	1,695 68	1,457 99
67	BORG WARNER INC	2,572 80	2,597 90
275	BRISTOL MYERS SQUIBB CO	17,567 00	9,619 93
118	BRISTOL MYERS SQUIBB CO	7,537 84	7,860 03
133	BROADRIDGE FINL SOLUTIONS INC	7,888 23	7,585 57
37	BROADRIDGE FINL SOLUTIONS INC	2,194 47	1,942 86
47	BROADSOFT INC	1,896 45	1,701 35
61	BURLINGTON STORES INC	3,430 64	3,441 55
129	CABOT OIL & GAS CORP	2,929 59	3,923 38
11	701 CALIFORNIA RESOURCES CORP	12 05	-

TR U/W VIVIAN S WEST - WEST MEMORIAL FUND  
EIN 56-6520255

FORM 990-PF PART II LINE 10  
YEAR ENDED 3-31-2016

UNITS	DESCRIPTION	MARKET VALUE	BOOK VALUE
6 741	CALIFORNIA RESOURCES CORP	6 94	-
1291 99	CAMBIAR INTL EQUITY FUND	30,917 32	30,000 00
50	CANADIAN NATIONAL RAILWAY	3,123 00	1,903 73
95	CANADIAN PAC RY LTD	12,605 55	12,720 03
61	CARLISLE COS INC	6,069 50	5,001 27
60	CARRIZO OIL & CO INC	1,855 20	2,739 44
24	CARTER HLDGS INC	2,529 12	2,408 22
141	CBRE GROUP INC	4,063 62	2,897 55
300	CBS CORP	16,527 00	11,496 73
50	CELGENE CORP	5,004 50	4,323 45
93	CENTENE CORP	5,726 01	6,436 02
58	CENTENE CORP	3,571 06	2,158 91
77	CF INDS HLDGS INC	2,413 18	4,849 30
750	CHEVRON CORP	71,550 00	26,595 90
93	CHUBB LTD	11,080 95	8,678 85
76	CIENA CORP	1,445 52	1,917 05
114	CIENA CORP	2,168 28	2,671 84
425	CISCO SYS INC	12,099 75	10,007.90
405	CITIGROUP INC	16,908 75	17,050 97
45	CITRIX SYS INC	3,536 10	3,061 75
50	CLOROX CO	6,303 00	3,402 75
73	CME GROUP INC	7,011 65	4,021 89
13351 254	CMG ULTRA SHORT TERM BOND FUND	120,161 29	120,027 78
79	CMS ENERGY CORP	3,352 76	1,702 05
400	COCA COLA CO	18,556 00	8,929 85
9101 686	COHEN & STEERS PFD SECS & INCOME FUND	122,144 63	125,000 00
6909 116	COLUMBIA DIVIDEND INCOME FUND	124,847 73	100,000 00
2523 09	COLUMBIA GLOBAL TECHNOLOGY GROWTH FUND	50,159 03	25,000 00
10713 537	COLUMBIA SELECT LARGE CAP GROWTH FUND	159,524 57	150,000 00
300	COMCAST CORP	18,324 00	11,853 01
227	COMCAST CORP	13,865 16	11,886 40
15	CONOCOPHILLIPS	604 05	788 00
76	CONSTELLATION BRANDS INC	11,482 84	10,436 45
13	CORE LABORATORIES	1,461 33	2,109 95
32	CROWN CASTLE INTL CORP	2,768 00	2,752 58
1000	CSX CORP	25,750 00	17,172 29
126	CVS HEALTH CORP	13,069 98	10,234 87
38	DEXCOM INC	2,580 58	1,652 01
30	DIAMONDBACK ENERGY INC	2,315 40	2,339 48
20	DILLARDS INC	1,698 20	1,510 05
550	DISNEY WALT CO	54,620 50	44,456 52
54	DOLBY LABORATORIES INC	2,346 84	2,122 94
34	DOMINION RES INC	2,554 08	1,723 63
2276 867	DOUBLELINE TOTAL RETURN BD FUND	24,749 54	25,000 00
63	DOVER CORP	4,052 79	2,184 89
53	DOW CHEM CO	2,695 58	2,474 42
46	DUNKIN BRANDS GROUP INC	2,169 82	2,408 23
11121 317	EATON VANCE FLTG RATE FUND	94,308 77	100,000 12
227	EBAY INC	5,416 22	4,498 95
125	ELECTRONIC ARTS INC	8,263 75	8,736 88
200	EMC CORP	5,330 00	5,409 11
66	ENANTA PHARMACEUTICALS INC	1,938 42	2,850 23
162	ENTEGRIS INC	2,206 44	1,392 07
91	ENVISION HEALTHCARE HLDGS INC	1,856 40	3,022 46
50	EOG RES INC	3,629 00	5,335 22
11	ESSEX PPTY TR INC	2,572 46	2,567.94
41	EXPEDIA INC	4,420 62	3,734 22
40	EXPRESS SCRIPTS HLDG CO	2,747 60	2,259 60
86	EVERCORE PARTNERS INC	4,450 50	2,994 63

TR U/W VIVIAN S WEST - WEST MEMORIAL FUND  
EIN 56-6520255

FORM 990-PF PART II LINE 10  
YEAR ENDED 3-31-2016

UNITS	DESCRIPTION	MARKET VALUE	BOOK VALUE
66	EVERSOURCE ENERGY	3,850.44	3,245.38
550	EXXON MOBIL CORP	45,974.50	18,551.50
261	EXXON MOBIL CORP	21,816.99	19,660.92
38967 574	FEDERATED STRATEGIC VALUE FUND	235,753.82	200,000.00
5023 126	FIDELITY ADVISOR FLOATING RATE HIGH INCOME FUND	46,011.83	50,000.00
53	FIREEYE INC	953.47	2,299.39
66	FIRST REP BK SAN FRANCISCO	4,398.24	2,627.61
99	FLIR SYS INC	3,262.05	3,097.55
24	FOOT LOCKER INC	1,548.00	1,552.96
80	FOOT LOCKER INC	5,160.00	3,991.92
97	FORTINET INC	2,971.11	3,437.50
33	F5 NETWORKS INC	3,493.05	3,077.82
29	GARTNER GROUP INC	2,591.15	1,277.10
1500	GENERAL ELEC CO	47,685.00	43,504.37
56	GENERAL ELEC CO	7,356.72	8,439.00
420	GENERAL ELEC CO	13,351.80	10,891.52
117	GENERAL MLS INC	7,411.95	6,124.50
36	GENUINE PARTS CO	3,576.96	3,255.05
600	GILEAD SCIENCES INC	55,116.00	23,174.67
2061 366	GLENMEDE SMALL CAP EQUITY PORTFOLIO	53,863.49	56,000.00
26	GLOBAL PMTS INC	1,697.80	1,541.03
19	GRAINGER W W INC	4,435.17	3,522.43
30	GUIDEWIRE SOFTWARE INC	1,634.40	1,644.43
23	GUIDEWIRE SOFTWARE INC	1,253.04	1,221.96
50	HAIN CELESTAL GROUP INC	2,045.50	2,971.79
217	HANESBRANDS INC	6,149.78	5,540.62
2622 152	HARBOR INTERNATIONAL FUND	156,621.14	138,097.45
55	HARMAN INTL INDS INC	4,897.20	4,008.68
199	HD SUPPLY HLDGS INC	6,580.93	5,492.33
139	HD SUPPLY HLDGS INC	4,596.73	4,087.77
60	HEARTLAND PMT SYS INC	5,794.20	1,249.53
48	HEICO CORP	2,284.80	2,027.52
69	HERSHEY CO	6,354.21	6,369.01
179	HILTON WORLDWIDE HLDGS INC	4,031.08	5,007.39
174	HOME DEPOT INC	23,216.82	8,044.29
115	HOME DEPOT INC	15,344.45	2,232.95
800	HONEYWELL INTL INC	89,640.00	23,318.88
126	HONEYWELL INTL INC	14,118.30	7,828.60
74	HORIZON PHARMA PLC	1,226.18	2,392.35
73	IAC / INTERACTIVECORP	3,436.84	2,956.18
42	ICON PLC	3,154.20	2,312.55
31	IDEXX LABS INC	2,427.92	2,313.92
66	IMAX CORP	2,051.94	2,207.09
24	INCYTE CORP	1,739.28	2,480.90
170	INFINERA CORP	2,730.20	3,065.06
179	INTEL CORP	5,790.65	2,679.97
45	INTERACTIVE BROKERS GROUP INC	1,769.40	976.70
125	INTERNATIONAL BUSINESS MACHS	18,931.25	10,447.07
101	INTUIT	10,505.01	9,757.87
1746 725	INVESCO INTL GROWTH FUND	55,144.11	60,000.00
65	IONIS PHARMACEUTICALS INC	2,632.50	2,601.47
150	ISHARES RUSSELL 1000 GROWTH ETF	14,967.00	11,542.61
1000	ISHARES RUSSELL 2000 ETF	110,630.00	68,653.00
500	ISHARES TR S&P GLOBAL INFO TECHNOLOGY	49,925.00	30,072.17
1300	ISHARES US PFD STK ETF	50,739.00	49,759.71
47	JACK IN THE BOX INC	3,001.89	3,258.92
874 281	JANUS ENTERPRISE FUND	77,706.10	75,000.00
19	JAZZ PHARMACEUTICALS PLC	2,480.45	2,323.69
4298 654	JOHN HANCOCK FDS III DISCIPLINED VALUE MID CAP FUND	82,276.24	75,000.00

TR U/W VIVIAN S WEST - WEST MEMORIAL FUND  
EIN 56-6520255

FORM 990-PF PART II LINE 10  
YEAR ENDED 3-31-2016

UNITS	DESCRIPTION	MARKET VALUE	BOOK VALUE
	725 JOHNSON & JOHNSON	78,445 00	26,490 00
	177 JOHNSON & JOHNSON	19,151 40	13,246 52
	294 J P MORGAN CHASE & CO	17,410 68	14,474 87
1286	292 JP MORGAN US LARGE CAP CORE PLUS	33,739 44	25,000 00
2428	957 KALMAR GROWTH WITH VALUE SMALL CAP FUND	35,171 30	32,894 52
	300 KANSAS CITY SOUTHERN	25,635 00	16,311 41
	118 KAPSTONE PAPER & PACKAGING CORP	1,634 30	991 63
	50 KIMBERLY CLARK CORP	6,725 50	3,404 35
	40 KLA-TENCOR CORP	2,912 40	2,575 23
	125 KRAFT HEINZ CORP	9,820 00	3,842 10
	50 LABORATORY CORP AMER HLDGS	5,856 50	4,422 21
	56 L BRANDS INC	4,917 36	2,943 48
	16 LINKEDIN CORP	1,829 60	3,335 41
	100 LOCKHEED MARTIN CORP	22,150 00	11,364 75
	42 LOCKHEED MARTIN CORP	9,303 00	8,232 51
	18 LULULEMON ATHLETICA INC	1,218 78	1,129 54
	50 LYONDELLBASELL INDUSTRIES	4,279 00	4,335 18
	36 MANHATTAN ASSOCS INC	2,047 32	966 61
	138 MARSH & MCLENNAN COS INC	8,389 02	5,318 90
	15 MARKET AXESS HLDGS INC	1,872 45	985 47
	20 MARTIN MARIETTA MATLS INC	3,190 20	2,993 65
	49 MCDONALDS CORP	6,158 32	2,682.14
	113 MCGRAW HILL FINL INC	11,184 74	11,744 15
	53 MEDIDATA SOLUTIONS INC	2,051 63	2,529 39
	80 MEDIVATION INC	3,678 40	3,142 32
	54 MEDTRONIC PLC	4,050 00	4,155 30
	35 MERCODOLIBIRE INC	4,124 75	4,561 41
	252 MERCK & CO INC	13,333 32	10,181 57
14731	438 METRO WEST T/R BD CL I	159,541 47	151,395.77
	54 MICHAEL KORS HLDGS LTD	3,075 84	3,154 00
	600 MICROSOFT CORP	33,138 00	15,506 25
	445 MICROSOFT CORP	24,577 35	14,142 23
	31 MIDDLEBY CORP	3,309 87	3,409 89
	90 MOMENTA PHARMACEUTICALS INC	831 60	1,023 23
	325 MONDELEZ INTL INC	13,039 00	5,242 09
	167 MONSANTO CO	14,652 58	10,257 82
	23 MONSTER BEVERAGE CORP	3,067 74	3,017 07
6012	829 NATIXIS FDS TR II ASG GLOBAL ALTERNATIVES FUND	58,625 08	70,000 00
	150 NESTLE	11,254 05	9,537 96
	39 NEXTERA ENERGY INC	4,615 26	2,043 88
	400 NIKE INC	24,588 00	6,660 80
	38 NORDSON CORP	2,889 52	1,995 78
	87 NORTHERN TR CORP	5,669 79	6,406 43
	66 NORWEGIAN CRUISE LINE HLDGS LTD	3,649 14	2,810 29
	40 NOVARTIS A G	2,897 60	2,367 20
	150 NOVO-NORDISK	8,128 50	4,704 26
	51 NXP SEMICONDUCTORS	4,134 57	3,069 17
	125 OCCIDENTAL PETE CORP	8,553 75	11,249 29
	97 OCCIDENTAL PETE CORP	6,637 71	6,985 33
	53 OLD DOMINION FGHT LINE INC	3,689 86	3,356 85
	60 OLD DOMINION FGHT LINE INC	4,177 20	3,310 68
	29 OPTHOTECH CORP	1,225 83	2,212 29
858	615 OPPENHEIMER INTL GROWTH FUND	31,141 97	30,000 00
	12 PANERA BREAD CO	2,457 96	2,516 52
	28 PARKER HANNIFIN CORP	3,110 24	1,173 24
	227 PAYPAL HOLDINGS INC	8,762 20	6,957 34
	156 PENTAIR PLC	8,464 56	7,169 30
	450 PEPSICO INC	46,116.00	14,113.12
	96 PEPSICO INC	9,838 08	9,215 77

TR U/W VIVIAN S WEST - WEST MEMORIAL FUND  
EIN 56-6520255

FORM 990-PF PART II LINE 10  
YEAR ENDED 3-31-2016

UNITS	DESCRIPTION	MARKET VALUE	BOOK VALUE
378	PFIZER INC	11,203 92	5,709 04
69	PG&E CORP	4,120 68	3,959 69
142	PHILIP MORRIS INTL INC	13,931 62	5,686 73
150	PHILLIPS 66	12,988 50	8,306 88
16	PIONEER NAT RES CO	2,251 84	2,181 12
88	PNC FINL SVCS GROUP INC	7,442.16	5,980 67
41	POWER INTEGRATIONS INC	2,036 06	2,604 21
58	PPG INDS INC	6,466 42	4,283 67
13	PRICELINE GROUP INC	16,756 48	5,094 06
47	PRICE T ROWE GROUP INC	3,452 62	1,364 36
19554 209	PRINCIPAL PFD SECS FUND	194,955 46	200,000 00
83	PROCTER & GAMBLE CO	6,831 73	5,314 56
50	PROOFPOINT INC	2,689 00	2,406 28
24	PROTO LABS INC	1,850 16	1,641 89
38	PUBLIC STORAGE INC	10,481 54	6,096 00
1350	QUALCOMM INC	69,039 00	31,365 50
23	RADIUS HEALTH INC	723 12	1,342 79
4679.175	ROBECO BOSTON PARTNERS LONG/SHORT RES FUND	69,392 17	70,000 00
67	ROBERT HALF INTL INC	3,120 86	2,027 95
750	ROCHE HLDG LTD	23,172 00	23,654 33
62	ROPER TECHNOLOGIES INC	11,331 74	5,519 75
122	ROSS STORES INC	7,063 80	6,522 36
100	SABRE CORP	2,892 00	2,858 04
36	SBA COMMUNICATIONS CORP	3,606 12	2,691 04
205	SCHLUMBERGER LTD	15,118 75	14,748 77
146	SCHLUMBERGER LTD	10,767 50	12,462 20
31	SEMPRA ENERGY	3,225 55	1,736 34
16	SHERWIN WILLIAMS CO	4,554 72	1,342 62
25	SIGNET JEWELERS LTD	3,100 75	1,019 79
55	SILGAN HOLDINGS INC	2,924 35	1,947 55
25	SIMON PPTY GROUP INC	5,192 25	3,773 90
112	SKECHERS USA INC	3,410 40	3,420 17
44	SNAP ON INC	6,907 56	7,035 34
105	SOUTHWEST AIRLS CO	4,704 00	3,829 23
600	S&P BIOTECH ETF	30,996 00	10,773 51
56	SPLUNK INC	2,740 08	3,112 22
33	SKYWORKS SOLUTIONS INC	2,570.70	1,039 13
52	SS&C TECHNOLOGIES HLDGS INC	3,297 84	1,628 51
550	STARBUCKS CORP	32,835 00	14,318 66
143	STARWOOD HOTELS & RESORTS WORLDWIDE	11,930 49	5,259 40
87	SUPERNUS PHARMACEUTICALS INC	1,326 75	1,474 23
48	TABLEAU SOFTWARE INC	2,201 76	3,527 75
40	TANDEM DIABETES CARE INC	348 40	529 55
1014 63	TCW SELECT EQUITIES FUND	25,680 29	25,000 00
74	TEXAS INSTRS INC	4,249 08	2,701 07
119	TEXAS INSTRS INC	6,832 98	3,845 07
350	THERMO FISHER SCIENTIFIC CORP	49,556 50	17,091 26
2891 803	THORNBURG INTL VALUE FUND	68,448 98	70,000 61
300	3M CO	49,989 00	24,721 57
88	TIME WARNER INC	6,384.40	7,473 41
209	TJX COS INC	16,375 15	4,720 42
46	TJX COS INC	3,604 10	3,244 52
128	TOTAL SYS SVCS INC	6,090 24	3,019 08
115	TRACTOR SUPPLY CO	10,402 90	7,798 39
25	UNDER ARMOUR INC	2,120 75	2,311 57
1635 658	UNDISCOVERED MANAGERS FDS BEHAVIORALVALUE FUND	94,099 40	90,000 00
1998	UNION PAC CORP	158,940 90	85,109 07
34	UNITEDHEALTH GROUP INC	4,382 60	3,853 74
100	UNITED PARCEL SVC INC	10,547 00	9,092 65



TR U/W VIVIAN S WEST - WEST MEMORIAL FUND  
EIN 56-6520255

FORM 990-PF PART II LINE 10  
YEAR ENDED 3-31-2016

UNITS	DESCRIPTION	MARKET VALUE	BOOK VALUE
99	UNITED RENTALS INC	6,156 81	4,848 27
1000	UNITED TECHNOLOGIES CORP	100,100 00	39,642 59
12	UNITED THERAPEUTICS CORP	1,337 16	640 52
24	UNIVERSAL HLTH SVCS INC	2,993 28	983 13
127	US BANCORP	5,154 93	3,623 31
72	VALERO ENERGY CORP	4,618 08	5,176 45
40	VEEVA SYS INC	1,001 60	1,059 74
38	VERINT SYS INC	1,268 44	2,265 78
128	VERIFONE SYS INC	3,614 72	3,937 16
300	VERIZON COMMUNICATIONS INC	16,224 00	8,450 82
257	VERIZON COMMUNICATIONS INC	13,898.56	8,292 95
44	V F CORP	2,849 44	1,859 46
700	VISA INC	53,536 00	25,360 45
41	WABTEC CORP	3,250 89	2,785 00
57	WABTEC CORP	4,519 53	1,733 64
45	WAL-MART STORES INC	3,082 05	3,410 98
62	WASTE MGMT INC	3,658 00	1,914 68
49	WEC ENERGY GROUP INC	2,943 43	1,674 89
812	WELLS FARGO & CO	39,268 32	21,212 45
263	WELLS FARGO & CO	12,718 68	9,339 86
43	WHITEWAVE FOODS CO	1,747 52	1,443 00
65	WILLIAMS SONOMA INC	3,558 10	3,270 50
31	WILLIS TOWERS	3,678 46	3,881 76
300	WISDOMTREE JAPAN HEDGED EQUITY FUND	13,113 00	14,385 49
42	WYNDHAM WORLDWIDE CORP	3,210 06	1,262 94
147	YAHOO INC	5,411 07	3,669 60
45	YY INC	2,771.55	2,918 32
235	ZOETIS INC	10,417 55	7,864 33
		<u>5,754,969 60</u>	<u>4,446,174 62</u>