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**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

**2015**

Department of the Treasury  
Internal Revenue Service

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Open to Public Inspection

For calendar year 2015 or tax year beginning **APR 1, 2015**, and ending **MAR 31, 2016**

Name of foundation  
**J.E. AND MILDRED WAGGONER FAMILY FOUNDATION, INC.**

Number and street (or P O box number if mail is not delivered to street address) Room/suite  
**2341 ROBINWOOD ROAD**

City or town, state or province, country, and ZIP or foreign postal code  
**GASTONIA, NC 28054**

**A** Employer identification number  
**56-1847923**

**B** Telephone number  
**704-864-7633**

**C** If exemption application is pending, check here

**D** 1. Foreign organizations, check here   
2. Foreign organizations meeting the 85% test, check here and attach computation

**E** If private foundation status was terminated under section 507(b)(1)(A), check here

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

**G** Check all that apply:  
 Initial return  
 Final return  
 Address change  
 Initial return of a former public charity  
 Amended return  
 Name change

**H** Check type of organization:  
 Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  
 Other taxable private foundation

**I** Fair market value of all assets at end of year (from Part II, col (c), line 16)  
**\$ 1,067,954.** (Part I, column (d) must be on cash basis.)

**J** Accounting method:  
 Cash  
 Accrual  
 Other (specify) \_\_\_\_\_

<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	25,423.	25,423.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	22,485.			
	b Gross sales price for all assets on line 6a <b>422,011.</b>				
	7 Capital gain net income (from Part IV, line 2)		22,485.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 <b>Total.</b> Add lines 1 through 11	47,908.	47,908.			
<b>Operating and Administrative Expenses</b>	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees	139.	0.		0.
	b Accounting fees	1,650.	0.		0.
	c Other professional fees	10,897.	10,897.		0.
	17 Interest				
	18 Taxes	723.	723.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
23 Other expenses					
24 <b>Total operating and administrative expenses.</b> Add lines 13 through 23	13,409.	11,620.		0.	
25 Contributions, gifts, grants paid	59,535.			59,535.	
26 <b>Total expenses and disbursements.</b> Add lines 24 and 25	72,944.	11,620.		59,535.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	<25,036.>				
b <b>Net investment income</b> (if negative, enter -0-)		36,288.			
c <b>Adjusted net income</b> (if negative, enter -0-)			N/A		

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**J. E. AND MILDRED WAGGONER  
FAMILY FOUNDATION, INC.**

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing		1,305.	154.	154.
	2	Savings and temporary cash investments		55,757.	15,249.	15,249.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations				
	b	Investments - corporate stock <b>STMT 5</b>		243,843.	177,852.	191,931.
	c	Investments - corporate bonds				
	11	Investments - land, buildings, and equipment basis ▶				
	Less accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other <b>STMT 6</b>		805,057.	887,671.	860,620.	
14	Land, buildings, and equipment: basis ▶					
	Less accumulated depreciation ▶					
15	Other assets (describe ▶)					
16	<b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I)		1,105,962.	1,080,926.	1,067,954.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
	23	<b>Total liabilities</b> (add lines 17 through 22)		0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted		1,105,962.	1,080,926.	
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 27 through 31					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
30	<b>Total net assets or fund balances</b>		1,105,962.	1,080,926.		
31	<b>Total liabilities and net assets/fund balances</b>		1,105,962.	1,080,926.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,105,962.
2	Enter amount from Part I, line 27a	2	<25,036.>
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	1,080,926.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	<b>Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30</b>	6	<b>1,080,926.</b>

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a SEE ATTACHED SCHEDULE</b>	<b>P</b>		
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a 422,011.</b>		<b>399,526.</b>	<b>22,485.</b>
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
<b>a</b>			<b>22,485.</b>
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	<b>2</b>	<b>22,485.</b>
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		<b>3</b>	<b>N/A</b>

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	60,214.	1,190,984.	.050558
2013	60,000.	1,184,653.	.050648
2012	58,475.	1,154,089.	.050668
2011	58,800.	1,170,280.	.050244
2010	56,000.	1,168,289.	.047933

<b>2</b> Total of line 1, column (d)	<b>2</b>	<b>.250051</b>
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	<b>.050010</b>
<b>4</b> Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	<b>4</b>	<b>1,110,694.</b>
<b>5</b> Multiply line 4 by line 3	<b>5</b>	<b>55,546.</b>
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	<b>363.</b>
<b>7</b> Add lines 5 and 6	<b>7</b>	<b>55,909.</b>
<b>8</b> Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	<b>8</b>	<b>59,535.</b>

J. E. AND MILDRED WAGGONER

FAMILY FOUNDATION, INC.

**Part VI** Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	363.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	363.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	5	363.
6	Credits/Payments:		
a	2015 estimated tax payments and 2014 overpayment credited to 2015	6a	700.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	700.
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	9	
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	10	337.
11	Enter the amount of line 10 to be: <b>Credited to 2016 estimated tax</b> <input checked="" type="checkbox"/> <b>337.</b> <b>Refunded</b> <input type="checkbox"/>	11	0.

**Part VII-A** Statements Regarding Activities

	Yes	No
1a		X
1b		X
1c		X
d		
(1)		
(2)		
e		
2		X
3		X
4a		X
4b		
5		X
6	X	
7	X	
8a		
8b	X	
9		X
10		X

N/A

**Part VII-A Statements Regarding Activities** (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>N/A</u>	X	
14 The books are in care of ▶ <u>RUTH WAGGONER</u> Telephone no. ▶ <u>704-864-7633</u> Located at ▶ <u>2341 ROBINWOOD ROAD, GASTONIA, NC</u> ZIP+4 ▶ <u>28054</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 <u>N/A</u>		
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <u>N/A</u> ▶ <input type="checkbox"/>	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ _____, _____, _____		
b Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) <u>N/A</u>	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ _____, _____, _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.) <u>N/A</u>	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b	X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No
- (3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)  Yes  No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No  
 Organizations relying on a current notice regarding disaster assistance check here  N/A

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No  
 If "Yes," attach the statement required by Regulations section 53.4945-5(d)  N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No  
 If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No  
 b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No  N/A

5b		
6b		X
7b		

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 7		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)

**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶ 0

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NONE	
2	0.
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 <span style="float: right;">▶</span>	0.

**J.E. AND MILDRED WAGGONER  
FAMILY FOUNDATION, INC.**

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**Part X**

**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	1,127,146.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	462.
<b>c</b>	Fair market value of all other assets	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	1,127,608.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	0.
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	1,127,608.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	16,914.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	1,110,694.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	55,535.

**Part XI** **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	55,535.
<b>2a</b>	Tax on investment income for 2015 from Part VI, line 5	<b>2a</b>	363.
<b>b</b>	Income tax for 2015 (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	363.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	55,172.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	0.
<b>5</b>	Add lines 3 and 4	<b>5</b>	55,172.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	0.
<b>7</b>	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	55,172.

**Part XII** **Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	59,535.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	0.
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	59,535.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	<b>5</b>	363.
<b>6</b>	<b>Adjusted qualifying distributions</b> Subtract line 5 from line 4	<b>6</b>	59,172.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2015)

**J. E. AND MILDRED WAGGONER  
FAMILY FOUNDATION, INC.**

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				55,172.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2015:				
a From 2010				
b From 2011	1,772.			
c From 2012	1,628.			
d From 2013	2,108.			
e From 2014	1,977.			
f Total of lines 3a through e	7,485.			
4 Qualifying distributions for 2015 from Part XII, line 4: ▶ \$	59,535.			
a Applied to 2014, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2015 distributable amount				55,172.
e Remaining amount distributed out of corpus	4,363.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	11,848.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2016 Subtract lines 7 and 8 from line 6a	11,848.			
10 Analysis of line 9:				
a Excess from 2011	1,772.			
b Excess from 2012	1,628.			
c Excess from 2013	2,108.			
d Excess from 2014	1,977.			
e Excess from 2015	4,363.			

**J. E. AND MILDRED WAGGONER  
FAMILY FOUNDATION, INC.**

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling

**b** Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

	Tax year		Prior 3 years		(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	
<b>2 a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
<b>b</b> 85% of line 2a					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> "Assets" alternative test - enter:					
<b>(1)</b> Value of all assets					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
<b>c</b> "Support" alternative test - enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

**NONE**

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**NONE**

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

---

- b** The form in which applications should be submitted and information and materials they should include:

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- c** Any submission deadlines.

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- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
ANIMAL LEAGUE OF GASTON COUNTY GASTONIA, NC	NONE	NONE	RECIPIENT'S GENERAL USE	10,000.
GASTON CHRISTIAN SCHOOL GASTONIA, NC	NONE	NONE	RECIPIENT'S GENERAL USE	2,000.
GASTON COLLEGE FOUNDATION GASTONIA, NC	NONE	NONE	RECIPIENT'S GENERAL USE	6,800.
GASTON FAITH NETWORK GASTONIA, NC	NONE	NONE	RECIPIENT'S GENERAL USE	1,000.
JUVENILE DIABETES RESEARCH FOUNDATION NEW YORK, NY	NONE	NONE	RECIPIENT'S GENERAL USE	12,000.
<b>Total</b>	<b>SEE CONTINUATION SHEET(S)</b>			<b>59,535.</b>
<b>b Approved for future payment</b>				
NONE				
<b>Total</b>				<b>0.</b>







FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
BB&T TRUST DIVISION	25,423.	0.	25,423.	25,423.	
TO PART I, LINE 4	25,423.	0.	25,423.	25,423.	

FORM 990-PF ACCOUNTING FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	1,650.	0.		0.
LEGAL FEES	139.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	1,789.	0.		0.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BROKERAGE FEES	10,897.	10,897.		0.
TO FORM 990-PF, PG 1, LN 16C	10,897.	10,897.		0.

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES WITHHELD ESTIMATED TAXES FORM 990-PF	723.	723.		0.
2015 FORM 990-PF TAX	0.	0.		0.
TO FORM 990-PF, PG 1, LN 18	723.	723.		0.

FORM 990-PF	CORPORATE STOCK	STATEMENT	5
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
COMMON STOCK SEE SCHEDULE	177,852.	191,931.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	177,852.	191,931.	

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	6
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MUTUAL FUNDS SEE SCHEDULE	COST	887,671.	860,620.
TOTAL TO FORM 990-PF, PART II, LINE 13		887,671.	860,620.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 7

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
MILDRED W. WAGGONER 1005 SPRING VALLEY LANE STATESVILLE, NC 28677	PRESIDENT & DIRECTOR 1.00	0.	0.	0.
JOYCE W FORMAN 205 DUNLAP LOOP STATESVILLE, NC 28625	VICE-PRESIDENT 1.00	0.	0.	0.
DOROTHY W. HAGER 3417 COUNTRY CLUB DRIVE GASTONIA, NC 28056	SECRETARY 1.00	0.	0.	0.
RUTH W. WAGGONER 2341 ROBINWOOD ROAD GASTONIA, NC 28054	TREASURER 1.00	0.	0.	0.
JACQUELINE W. DARKEN 116 MUIR LANE CHAPEL HILL, NC 27514	VICE-PRESIDENT 1.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

THE J E & MILDRED WAGGONER  
FAMILY FOUNDATION, INC  
SCHEDULE OF CAPITAL GAINS

4/1/15 - 3/31/16

NAME	DATE SOLD	SALES PRICE	COST	GAIN (LOSS)
AIA GROUP LTD	06/23/15	279 49	291 98	(12 49)
AIA GROUP LTD	11/30/15	1,874 69	1,392 82	481 87
ABBOTT LABORATORIES	4/24/15	96 85	58 70	38 15
ABBOTT LABORATORIES	6/3/15	48 89	29 35	19 54
ABBVIE INC COMMON	8/21/15	66 56	31 83	34 73
L'AIR LIQUIDE-UNSPONS ADR COMMON	6/3/15	78 84	81 09	(2.25)
L'AIR LIQUIDE-UNSPONS ADR COMMON	9/23/15	227 29	247 70	(20 41)
L'AIR LIQUIDE-UNSPONS ADR COMMON	11/30/15	1,395 33	1,463 43	(68 10)
AKZO N B SPONS ADR COMMON	4/24/15	25 59	21 39	4 20
AKZO N B SPONS ADR COMMON	6/3/15	155 78	128 34	27 44
AKZO N B SPONS ADR COMMON	11/30/15	3,161 67	2,301 01	860 66
ALLIANZ COMMON	6/3/15	113 59	116 71	(3.12)
ALLIANZ COMMON	9/23/15	273 41	290 34	(16 93)
ALLIANZ COMMON	11/30/15	1,557 57	1,146 81	410 76
AMERICAN EXPRESS	9/23/15	377 07	440 65	(63 58)
ANHEUSER-BUSCVH INBEV NV SPN ADR	9/23/15	108 14	110 85	(2 71)
ANHEUSER-BUSCVH INBEV NV SPN ADR	11/30/15	1,026 74	837 29	189 45
ANTHEM INC COMMON	6/3/15	163 56	64 75	98.81
APPLE INC COMMON	9/23/15	1,361.91	429.76	932 15
ARM HOLDINGS PLC-SPONS ADR	11/30/15	404 51	398 96	5 55
ARYZTA AG	11/30/15	868 92	1,006 05	(137 13)
ATLAS COPCO AB SPONS	4/24/15	33 49	26 39	7.10
ATLAS COPCO AB SPONS	6/3/15	30 95	26 39	4 56
ATLAS COPCO AB SPONS	9/23/15	118 77	129 99	(11 22)
ATLAS COPCO AB SPONS	11/30/15	750 24	713 27	36 97
AVIVA PLC	6/3/15	48 14	50 06	(1 92)
AVIVA PLC	11/30/15	2,212 51	1,851 71	360 80
AXA SA	6/3/15	51 70	45 99	5 71
AXA SA	8/21/15	504 39	459 90	44 49
AXA SA	11/30/15	807 98	693 65	114 33
BG GROUP PLC SPON ADR	4/24/15	817 40	965 58	(148.18)
BG GROUP PLC SPON ADR	6/3/15	242 89	282 80	(39 91)
BG GROUP PLC SPON ADR	8/21/15	397 24	504 32	(107 08)
BG GROUP PLC SPON ADR	9/23/15	252 70	331 84	(79 14)
BG GROUP PLC SPON ADR	11/30/15	1,062 92	1,271 01	(208 09)
BG GROUP PLC SPON ADR	3/7/16	1,260 96	1,181 53	79 43
BNP PARIBAS	4/24/15	287 81	329 47	(41 66)
BNP PARIBAS	6/3/15	31 81	36 61	(4 80)
BNP PARIBAS	8/21/15	127.12	146 43	(19.31)
BNP PARIBAS	11/30/15	1,365 25	1,041 47	323 78
BAIDU INC	11/30/15	1,649 84	1,321 03	328 81
BALFOUR BEATTY PLC	4/24/15	14 78	18 57	(3.79)
BALFOUR BEATTY PLC	11/30/15	504 72	572 17	(67.45)

THE J E & MILDRED WAGGONER				
FAMILY FOUNDATION, INC				
SCHEDULE OF CAPITAL GAINS				
4/1/15 - 3/31/16				
	DATE	SALES		GAIN
NAME	SOLD	PRICE	COST	(LOSS)
BANCO BILBAO VIZCAYA	6/3/15	41 00	40 24	0 76
BANCO BILBAO VIZCAYA	9/23/15	74 11	85 87	(11 76)
BANCO BILBAO VIZCAYA	11/30/15	610 86	726 22	(115 36)
BARCLAYS PLC	4/24/15	79 74	81 55	(1 81)
BARCLAYS PLC	6/3/15	16 64	16 31	0 33
BARCLAYS PLC	8/21/15	226 58	228 34	(1.76)
BARCLAYS PLC	11/30 /15	1,326 57	1,563 64	(237 07)
BAXTER INTERNATIONAL INC	6/3/15	1,054 70	1,145 28	(90.58)
BAYER A G SPONS ADR	11/30/15	1,200 17	661 77	538 40
BAYERISCHE MOTOREN WERKE (BMW)	4/24/15	39 74	33.55	6 19
BAYERISCHE MOTOREN WERKE (BMW)	6/3/15	75 67	67 10	8.57
BAYERISCHE MOTOREN WERKE (BMW)	9/23/15	118 17	121 84	(3 67)
BAYERISCHE MOTOREN WERKE (BMW)	11/30/15	1,052 24	949.50	102.74
BIOGEN INC COMM	9/23/15	299 33	306 28	(6.95)
BLACKROCK STRATEGIC INC OPP PORT	6/3/15	285 02	288.66	(3 64)
BLACKROCK STRATEGIC INC OPP PORT	8/21/15	1,416.63	1,454 84	(38 21)
BLACKROCK STRATEGIC INC OPP PORT	9/23/15	1,248.42	1,288 53	(40 11)
BLACKROCK STRATEGIC INC OPP PORT	3/23/16	39 56	41 81	(2 25)
BRISTOL-MYERS SQUIBB CO COMMON	9/23/15	870 57	706 08	164 49
BRITISH AMERICAN TOB COMMON	11/30/15	2,790 30	2,680 10	110 20
BRITISH AMERICAN TOB COMMON	3/23/16	113 34	114 20	(0 86)
CNOOC LTD ADR	11/30/15	1,215 63	1,482 12	(266.49)
CSL LTD SPONS ADR	04/24/15	37 17	19 93	17 24
CSL LTD SPONS ADR	09/23/15	126 39	132 80	(6 41)
CSL LTD SPONS ADR	11/30/15	976 98	538 11	438 87
CVS COMMON	9/23/15	701 83	728 24	(26 41)
CAIXABANK	11/18/15	0 76	0 96	(0 20)
CAIXABANK	11/30/15	595 16	738.61	(143.45)
CANADIAN NATL RY COMMON	4/24/15	66 21	50 54	15 67
CANADIAN NATL RY COMMON	9/23/15	111 26	114 07	(2 81)
CANADIAN NATL RY COMMON	11/30/15	1,073 41	879 60	193 81
CARNIVAL PLC	4/24/15	47 46	35.65	11 81
CARNIVAL PLC	6/3/15	49 34	35 65	13 69
CARNIVAL PLC	11/30/15	1,934 51	1,588 84	345 67
CELGENE CORP COM	8/21/15	614 06	472.93	141 13
CHINA MOBILE BK LTD SP ADR	4/24/15	74 95	60 76	14 19
CHINA MOBILE BK LTD SP ADR	11/30/15	1,375 29	1,457 71	(82 42)
CLARIANT	4/24/15	44 19	38.25	5 94
CLARIANT	6/3/15	21 47	19 12	2 35
CLARIANT	11/30/15	921 98	956 00	(34.02)
COGNIZANT TECHNOLOGY SOLUTIONS	4/24/15	240 93	203 14	37 79
COGNIZANT TECHNOLOGY SOLUTIONS	9/23/15	556 51	457 06	99 45
COMCAST CORP CL A	9/23/15	858 95	828 61	30 34
COMPAGNIE FINANCIERE RICHEMONT	11/30/15	978 10	1,123 74	(145 64)

THE J E & MILDRED WAGGONER				
FAMILY FOUNDATION, INC				
SCHEDULE OF CAPITAL GAINS				
4/1/15 - 3/31/16				
	DATE	SALES		GAIN
NAME	SOLD	PRICE	COST	(LOSS)
COSTCO WHOLESALE CORP	4/24/15	884 83	788 19	96 64
CREDIT SUISSE GROUP SPONS ADR	6/3/15	55 33	63.42	(8 09)
CREDIT SUISSE GROUP SPONS ADR	8/21/15	601 80	697 64	(95 84)
CREDIT SUISSE GROUP SPONS ADR	11/30/15	682 15	882 19	(200 04)
DBS GROUP HOLDGS LTD SPONS ADR	11/30/15	700 04	714 58	(14.54)
DAIMLER AG	4/24/15	94 34	82 33	12 01
DAIMLER AG	6/3/15	376.35	326 68	49 67
DAIMLER AG	8/21/15	1,045 96	1,053 14	(7.18)
DANAHER CORP COMMON	9/23/15	941 41	807 07	134 34
DASSAULT SYSTEMS	4/24/15	75 14	59 74	15 40
DASSAULT SYSTEMS	9/23/15	285 71	274 88	10 83
DASSAULT SYSTEMS	11/30/15	2,063 71	1,594 29	469 42
DASSAULT SYSTEMS	3/23/16	158 05	113 91	44 14
DAIGEL PLC	11/30/15	1,263 04	1,172 44	90 60
DODGE & COX INCOME FUND #147	8/21/15	1,893 40	1,937 91	(44 51)
DODGE & COX INCOME FUND #147	9/23/15	66,000 11	67,228 27	(1,228 16)
DOUBLELINE TOTAL RETURN BOND	8/21/15	2,909 56	2,936 06	(26 50)
DOUBLELINE TOTAL RETURN BOND	9/23/15	1,770 57	1,790 69	(20.12)
DOUBLELINE TOTAL RETURN BOND	3/23/16	1,758 76	1,835 78	(77 02)
DUNKIN' BRANDS GROUP INC COMMON	3/23/16	182.34	187 62	(5.28)
EMC CORPORATION COMMON	9/23/15	755 35	886 31	(130 96)
EAST JAPAN RAILWAY CO ADR	11/30/15	2,277 18	1,991 92	285 26
ECOLAB INC COMMON	9/23/15	769.24	796 30	(27 06)
ENGIE-SPON ADR	11/30/15	1,857 48	2,271 93	(414 45)
EXPRESS SCRIPTS HOLDING CO COMMON	9/23/15	833 93	646.30	187 63
FANUC LTD ADR	9/23/15	192 38	190.41	1.97
FEDERATED MDT SMALL CAP GROWTH	4/24/15	408 76	359 54	49 22
FEDERATED MDT SMALL CAP GROWTH	8/21/15	133 17	128 00	5.17
FEDERATED MDT SMALL CAP GROWTH	9/23/15	71 24	64 76	6 48
FORWARD INTERNATIONAL SMALL CO	4/24/15	978 04	931 07	46 97
FORWARD INTERNATIONAL SMALL CO	6/3/15	1,697 47	1,568 26	129 21
FORWARD INTERNATIONAL SMALL CO	8/21/15	209 52	204 43	5 09
FORWARD INTERNATIONAL SMALL CO	9/23/15	4,821 74	4,669 27	152 47
FORWARD INTERNATIONAL SMALL CO	11/30/15	7,670 38	6,714.25	956 13
FRESENIUS USA INC ADR	6/3/15	44 04	35 30	8 74
FRESENIUS USA INC ADR	9/23/15	119 07	105 90	13 17
FRESENIUS USA INC ADR	11/30/15	782 12	687 66	94.46
FUCHS PETROLU SE-PREF ADR	4/24/15	10 56	10 12	0 44
FUCHS PETROLU SE-PREF ADR	6/3/15	33 90	30 35	3 55
FUCHS PETROLU SE-PREF ADR	9/23/15	83 59	89 60	(6 01)
FUCHS PETROLU SE-PREF ADR	11/30/15	645 95	512 88	133.07
GENERAL ELECTRIC COMPANY	4/24/15	320 93	314 78	6 15
GENERAL ELECTRIC COMPANY	11/30/15	1,750 99	1,470 12	280 87
GENERALMILLS INC COMMON	4/24/15	338 30	228 21	110 09

THE J E & MILDRED WAGGONER				
FAMILY FOUNDATION, INC				
SCHEDULE OF CAPITAL GAINS				
4/1/15 - 3/31/16				
	DATE	SALES		GAIN
NAME	SOLD	PRICE	COST	(LOSS)
GENERALMILLS INC COMMON	8/21/15	1,025 17	726 40	298 77
GENESSE & WYO INC CL A	8/21/15	361 52	485 45	(123 93)
GILEAD SCIENCES INC	6/3/15	802 25	494 46	307 79
GILEAD SCIENCES INC	8/21/15	660 02	200 29	459.73
GILEAD SCIENCES INC	9/23/15	630 85	143 13	487 72
GIVAUDAN SA UNSPONSORED ADR	4/24/15	300 03	264 16	35 87
GIVAUDAN SA UNSPONSORED ADR	6/3/15	294 23	264 16	30.07
GIVAUDAN SA UNSPONSORED ADR	11/30/15	575 51	528.32	47 19
GLAXOSMITHKLINE PLC	11/30/15	2,190 47	2,340 41	(149 94)
GLAXOSMITHKLINE PLC	3/23/16	78 89	83 81	(4.92)
GOOGLE INC CLASS A COMMON	4/24/15	1,148 11	747 83	400 28
GOOGLE INC CLASS C COMMON	5/12/15	4 26	3 22	1 04
GOOGLE INC CLASS C COMMON	9/23/15	624 06	401 98	222.08
GUGGENHEIM FLOAT RATE STRA-I	6/3/15	806 70	822 30	(15 60)
GUGGENHEIM FLOAT RATE STRA-I	8/21/15	2,401 02	2,449 16	(48.14)
GUGGENHEIM FLOAT RATE STRA-I	9/23/15	1,997 55	2,035 91	(38.36)
GUGGENHEIM FLOAT RATE STRA-I	3/23/16	1,345 12	1,405 28	(60 16)
HSBC HOLDINGS PLC HLDGS PLC	06/03/15	335 57	379 75	(44 18)
HSBC HOLDINGS PLC HLDGS PLC	11/30/15	2,152 13	2,775 61	(623.48)
HSBC HOLDINGS PLC HLDGS PLC	3/23/16	63 27	103 50	(40.23)
HARTFORD WORLD BOND-Y	03/23/16	959 70	981 26	(21 56)
HITACHI LTD	11/30/15	2,121 98	2,440 32	(318 34)
HONEYWELL INTERNATIONAL INC	3/23/16	112 39	104 85	7 54
HONG KONG EXCHANGES & CLEARING	4/24/15	1,560 84	610 90	949 94
HONG KONG EXCHANGES & CLEARING	9/23/15	47 28	48.50	(1 22)
HONG KONG EXCHANGES & CLEARING	11/30/15	827 18	565 33	261 85
HOST MARRIOTT CORP NEW COMMON	3/23/16	145 24	186 70	(41 46)
ICICI BANK LIMITED SPONS ADR	9/23/15	167 29	191 67	(24 38)
ICICI BANK LIMITED SPONS ADR	11/30/15	968 00	903 18	64 82
ICICI BANK LIMITED SPONS ADR	3/23/16	845 04	877 92	(32 88)
IDEX CORP COMMON	9/23/15	552 58	575 80	(23.22)
IMPERIAL OIL LTD COMMON	11/30/15	2,265 61	3,267 75	(1,002.14)
INTERCONTINENTALEXCHANGE COMMON	9/23/15	908 60	771 44	137 16
INTERNATIONAL BUSINESS MACHINES	3/23/16	1,615 80	1,661 99	(46 19)
INTERNATIONAL CONSOLIDATED AIRLINES GROUP	4/24/15	346 15	288 16	57 99
INTERNATIONAL CONSOLIDATED AIRLINES GROUP	6/3/15	458 63	279 72	178.91
INTERNATIONAL CONSOLIDATED AIRLINES GROUP	11/30/15	1,192 63	969 26	223 37
INTERNATIONAL CONSOLIDATED AIRLINES GROUP	3/23/16	623 66	389 92	233 74
ITAU UNIBANCO BANCO MULTIPLO SA	8/13/15	2 39	3 35	(0.96)
ITAU UNIBANCO BANCO MULTIPLO SA	9/23/15	84 82	103 28	(18.46)
ITAU UNIBANCO BANCO MULTIPLO SA	11/30/15	269 60	440 23	(170 63)
JGC CORPORATION	4/24/15	40 99	77 98	(36 99)
JGC CORPORATION	8/21/15	855 10	2,150 91	(1,295 81)
JGC CORPORATION	9/23/15	27 43	50 41	(22 98)

THE J E & MILDRED WAGGONER				
FAMILY FOUNDATION, INC				
SCHEDULE OF CAPITAL GAINS				
4/1/15 - 3/31/16				
	DATE	SALES		GAIN
NAME	SOLD	PRICE	COST	(LOSS)
JGC CORPORATION	11/30/15	611 54	1,322 37	(710 83)
JOHNSON & JOHNSON COMMON	3/23/16	216 10	203 47	12 63
KBC GROEP NV	8/21/15	455 83	422 94	32 89
KKR & CO LP	11/30/15	540 95	785 15	(244 20)
KDDI CORPORATION UNSPON ADR	5/21/15	5 86	4.17	1.69
KDDI CORPORATION UNSPON ADR	6/3/15	22 45	16 67	5 78
KDDI CORPORATION UNSPON ADR	11/30/15	3,268 71	1,784 30	1,484 41
KDDI CORPORATION UNSPON ADR	3/23/16	438 39	176 64	261 75
KINDER MORGAN INC COMMON	3/23/16	1,400 45	2,570 66	(1,170 21)
KINGFISHER PLC	4/24/15	698 74	683 15	15 59
KINGFISHER PLC	8/21/15	1,215 49	1,079 60	135 89
KAMATSU LTD	8/21/15	1,366.67	1,645 81	(279 14)
KONE OYJ-B	9/23/15	74 61	91 14	(16 53)
KONE OYJ-B	11/30/15	638 24	683 55	(45 31)
L'OREAL SA ADR	6/3/15	76 65	68 19	8 46
L'OREAL SA ADR	9/23/15	129 69	142 08	(12 39)
L'OREAL SA ADR	11/30/15	1,272 75	1,015.41	257 34
LVMH MOET-HENNESSEY UNSPON ADR	9/23/15	65 68	67 87	(2 19)
LAZARD EMERGING MKTS PORTFOLIO	4/24/15	412.53	497 48	(84 95)
LAZARD EMERGING MKTS PORTFOLIO	9/23/15	14,499 57	20,507 57	(6,008.00)
LAZARD EMERGING MKTS PORTFOLIO	3/23/16	489 91	652 31	(162.40)
LINDE AG SPON ADR	4/24/15	39 09	31 02	8.07
LINDE AG SPON ADR	6/3/15	77 85	79 36	(1 51)
LINDE AG SPON ADR	11/30/15	1,981.85	2,235 34	(253 49)
LINDE AG SPON ADR	3/23/16	28 96	39 68	(10 72)
LINEAR TECHNOLOGY CORP COMMON	9/23/15	460 85	549 89	(89 04)
LLOYDS TSB GROUP	11/30/15	1,421 60	1,495 68	(74.08)
LOWE'S COMPANIES INC COMMON	9/23/15	615 72	460 63	155 09
LOWE'S COMPANIES INC COMMON	3/23/16	225 78	135 18	90.60
MAXIM INTEGRATED PRODUCT COMMON	11/30/16	386 44	293.60	92 84
MCDONALDS CORP COMMON	4/24/15	586 58	542 90	43 68
MCDONALDS CORP COMMON	11/30/15	227.63	177 60	50.03
MCDONALDS CORP COMMON	3/23/16	249 50	180 35	69 15
METLIFE INC COMMON	4/24/15	50 55	34 46	16.09
METLIFE INC COMMON	6/3/15	107 74	68 92	38 82
METLIFE INC COMMON	8/21/15	408 19	428 00	(19 81)
METROPOLITAN WEST TOTAL RET BD FD	8/21/15	4,346 38	4,410 35	(63 97)
METROPOLITAN WEST TOTAL RET BD FD	9/23/15	2,735 80	2,777 15	(41 35)
METROPOLITAN WEST TOTAL RET BD FD	3/23/16	2,894 39	2,899 77	(5 38)
MICROSOFT CORP CORP COMMON	6/3/15	283 19	232 23	50.96
MICROSOFT CORP CORP COMMON	3/23/16	53 91	47.13	6 78
MITSUBISHI ESTATE COMPANY LTD	9/23/15	58 55	67 92	(9 37)
MITSUBISHI ESTATE COMPANY LTD	11/30/15	526 37	707 12	(180.75)
MITSUBISHI ESTATE COMPANY LTD	3/23/16	19 38	28.29	(8 91)

THE J E & MILDRED WAGGONER				
FAMILY FOUNDATION, INC.				
SCHEDULE OF CAPITAL GAINS				
4/1/15 - 3/31/16				
	DATE	SALES		GAIN
NAME	SOLD	PRICE	COST	(LOSS)
MONOTARO CO LTD UNSP ADR	9/23/15	43 81	49 80	(5 99)
MONOTARO CO LTD UNSP ADR	11/30/15	631 28	309 98	321 30
MONSANTO CO COMMON	9/23/15	1,357 01	1,234 00	123 01
MTN GROUP LTD ADR	4/24/15	78 76	74.12	4 64
MTN GROUP LTD ADR	9/23/15	128 34	152 50	(24 16)
MTN GROUP LTD ADR	11/30/15	414 95	892 71	(477 76)
NASPERS LIMITED	11/20/15	449 76	377 73	72 03
NESTLE SA	4/24/15	78 12	65 65	12 47
NESTLE SA	9/23/15	292 15	298 91	(6 76)
NESTLE SA	11/30/15	1,852 22	1,646 66	205 56
NIKON CORPORATION PLC UNSPON ADR	4/24/15	58 98	75 42	(16 44)
NIKON CORPORATION PLC UNSPON ADR	11/30/15	1,445 55	1,972 51	(526 96)
NIKON CORPORATION PLC UNSPON ADR	3/23/16	549 85	558 51	(8 66)
NOVARTIS A G ADR	6/3/15	207.32	112.98	94 34
NOVARTIS A G ADR	11/30/15	681 94	740 04	(58 10)
NOVO-NORDISK A S ADR	4/24/15	56 66	34 87	21 79
NOVO-NORDISK A S ADR	11/30/15	1,201 62	767 14	434 48
NOVO-NORDISK A S ADR	3/23/16	921 97	568 37	353 60
OCCIDENTAL PETROLEUM CO COMMON	9/23/15	65 24	74 44	(9 20)
OCCIDENTAL PETROLEUM CO COMMON	11/30/15	608 42	671 74	(63 32)
OMNICOM GROUP COMMON	3/23/16	244 98	205 92	39 06
OPPENHEIMER DEVELOPING MARKETS FUND	4/24/15	705 45	696 83	8 62
OPPENHEIMER DEVELOPING MARKETS FUND	9/23/15	15,190 28	18,119 80	(2,929 52)
OPPENHEIMER DEVELOPING MARKETS FUND	11/30/15	408 47	461 53	(53 06)
OPPENHEIMER DEVELOPING MARKETS FUND	3/23/15	396 87	453 27	(56 40)
PEARSON PLC SPONSORED ADR	4/24/15	41 65	35 79	5 86
PEARSON PLC SPONSORED ADR	3/23/16	1,060 47	1,305 07	(244 60)
PEPSICO INC COMMON	9/23/15	92 15	62 60	29 55
PEPSICO INC COMMON	3/23/16	101 46	95 02	6 44
PFIZER INC COMMON	4/24/15	105 96	95 49	10 47
PFIZER INC COMMON	8/21/15	34 32	31 83	2 49
PFIZER INC COMMON	9/23/15	195 20	138 06	57 14
PHILLIP MORRIS INTL INC COMMON	8/21/15	1,151 69	1,230 63	(78 94)
PIONEER AMT FREE MUNICIPAL BOND FUND	8/21/15	1,254 80	1,269 69	(14 89)
PIONEER AMT FREE MUNICIPAL BOND FUND	9/23/15	720 17	729.75	(9 58)
PIONEER AMT FREE MUNICIPAL BOND FUND	3/23/16	1,127 30	1,114 22	13 08
POSTNL NV ADR	11/30/15	411 05	513.83	(102 78)
PRAXAIRE INC COMMON	4/24/15	486 41	529.32	(42 91)
PRICELINE GROUP INC/THE COMMON	9/23/15	1,276 77	1,280 51	(3 74)
QUALCOMM INC COMMON	6/3/15	69 69	60 23	9 46
QUALCOMM INC COMMON	3/23/16	205 37	280.74	(75 37)
RAYMOND JAMES FINANCIAL INC COMMON	9/23/15	494 54	543 20	(48 66)
REED ELSEVIER NV	4/24/15	98 03	79 90	18 13
REED ELSEVIER NV	6/3/15	830 09	679 15	150 94

THE J E & MILDRED WAGGONER  
FAMILY FOUNDATION, INC  
SCHEDULE OF CAPITAL GAINS

4/1/15 - 3/31/16

	DATE	SALES		GAIN
NAME	SOLD	PRICE	COST	(LOSS)
REED ELSEVIER NV SPONS ADR	7/29/15	14 15	11.22	2 93
REED ELSEVIER NV SPONS ADR	11/30/15	3,057 62	1,608 04	1,449.58
REED ELSEVIER NV SPONS ADR	3/23/16	85 97	38 13	47 84
RIO TINTO PLC ADR	4/24/15	45 65	54 03	(8 38)
RIO TINTO PLC ADR	6/3/15	1,195 00	1,384.12	(189 12)
ROCHE HOLDING LTD ADR	4/24/15	144 86	138 51	6 35
ROCHE HOLDING LTD ADR	6/3/15	110 71	103 88	6 83
ROCHE HOLDING LTD ADR	11/30/15	2,383 78	1,931 95	451 83
ROYAL DUTCH SHELL PLC ADR CL A	4/24/15	565 23	592 47	(27 24)
RYANAIR HOLDINGS PLC COMMON	6/3/15	69 89	67 43	2 46
RYANAIR HOLDINGS PLC SP ADR	11/30/15	691 37	504 05	187 32
RYANAIR HOLDINGS PLC SP ADR	12/31/15	55 94	36 40	19 54
SK TELECOM CO LTD ADR	4/24/15	148 68	108.37	40 31
SK TELECOM CO LTD ADR	11/30/15	1,846 30	1,958 02	(111 72)
SSE PLC SPN ADR	11/30/15	1,842 34	2,103 72	(261 38)
SABMILLER PLC	3/23/16	180 25	182.64	(2 39)
SANDS CHINA LTD	4/24/15	41 83	70 84	(29 01)
SANDS CHINA LTD	9/23/15	67 40	104 43	(37 03)
SANDS CHINA LTD	11/30/15	647 97	1,346 06	(698 09)
SANDS CHINA LTD	3/23/16	751 33	877 44	(126 11)
SANOFI SYNTHELABO SA-ADR	4/24/15	51 91	52 50	(0 59)
SANOFI SYNTHELABO SA-ADR	6/3/15	102 37	104 99	(2 62)
SANOFI SYNTHELABO SA-ADR	11/30/15	2,388.10	2,584 69	(196 59)
SAP SE ADR	6/3/15	75 59	82 43	(6 84)
SAP SE ADR	11/30/15	2,678 64	2,568 25	110 39
SASOL LTD SPONSORED ADR	9/23/15	28 87	30 04	(1 17)
SASOL LTD SPONSORED ADR	11/30/15	447 13	670 24	(223 11)
SCHLUMBERGER LTD COMMON	9/23/15	216 37	183 41	32 96
SCHLUMBERGER LTD COMMON	11/30/15	155 17	122 28	32 89
SCHNEIDER ELECTRIC SA UNSPON ADR	4/24/15	74 97	81 65	(6 68)
SCHNEIDER ELECTRIC SA UNSPON ADR	11/30/15	3,443 92	4,573 61	(1,129 69)
SCOTTS CO CL A	11/30/15	69 60	57.83	11 77
SHIN-ETSU CHEMICAL CO LTD ADR	4/24/15	81 50	77 33	4 17
SHIN-ETSU CHEMICAL CO LTD ADR	6/3/15	437 31	448 48	(11.17)
SHIN-ETSU CHEMICAL CO LTD ADR	11/30/15	1,042 64	985 65	56 99
SHIN-ETSU CHEMICAL CO LTD ADR	3/23/16	420 15	416 90	3 25
SHIRE PHARMACEUTICALS GROUP PLC	11/30/15	1,042 50	986 62	55 88
SONOVA HOLDING AG	9/23/15	76 27	76 37	(0 10)
SONOVA HOLDING AG	11/30/15	527 93	478 59	49 34
SPECTRA ENERGY CORP COMMON	4/24/15	151 90	115 52	36 38
SPECTRA ENERGY CORP COMMON	3/23/16	274 54	301 19	(26 65)
STARBUCKS CORPORATION COMMON	4/24/15	51 59	28 26	23 33
STARBUCKS CORPORATION COMMON	6/3/15	103 95	56 51	47 44
STARBUCKS CORPORATION COMMON	8/21/15	483 07	254 29	228 78

THE J E & MILDRED WAGGONER  
FAMILY FOUNDATION, INC.  
SCHEDULE OF CAPITAL GAINS

4/1/15 - 3/31/16

NAME	DATE SOLD	SALES PRICE	COST	GAIN (LOSS)
STARBUCKS CORPORATION COMMON	9/23/15	1,032 19	477 25	554 94
STERLING CAPITAL CORPORATE FUND-I	8/21/15	2,378 35	2,429 95	(51 60)
STERLING CAPITAL CORPORATE FUND-I	9/23/15	2,269 51	2,318 71	(49 20)
STERLING CAPITAL CORPORATE FUND-I	3/23/16	2,163 78	2,167 48	(3 70)
STERLING CAPITAL INTERMEDIATE US GOVT FUND #341	8/21/15	2,142 16	2,164 86	(22 70)
STERLING CAPITAL INTERMEDIATE US GOVT FUND #341	9/23/15	1,409 05	1,427 80	(18 75)
STERLING CAPITAL INTERMEDIATE US GOVT FUND #341	3/23/16	1,972 89	2,100 43	(127 54)
STERLING CAPITAL SPECIAL OPP FUND #321	4/24/15	187 66	142.76	44 90
STERLING CAPITAL SPECIAL OPP FUND #321	6/3/15	290 83	218 07	72 76
STERLING CAPITAL SPECIAL OPP FUND #321	8/21/15	715 29	553 81	161 48
STERLING CAPITAL SPECIAL OPP FUND #321	9/23/15	91 10	70 12	20 98
STERLING CAPITAL SPECIAL OPP FUND #321	3/23/16	21,324 12	20,139 10	1,185 02
STERLING CAPITAL MID VALUE FUND #312	6/3/15	109 07	104 28	4 79
SUMITOMO MITSUI SPONS ADR	4/24/15	414 30	455 85	(41 55)
SUMITOMO MITSUI SPONS ADR	11/30/15	1,281 66	1,553 79	(272.13)
SVENSKA HANDEL SB-A	6/3/15	22 99	23 70	(0.71)
SVENSKA HANDEL SB-A	9/23/15	71 29	79 00	(7 71)
SVENSKA HANDEL SB-A	11/30/15	457 80	545 10	(87.30)
SYMRISE AG ADR	4/24/15	15.47	14 95	0.52
SYMRISE AG ADR	6/3/15	16 15	14 96	1 19
SYMRISE AG ADR	9/23/15	102 36	107 85	(5 49)
SYMRISE AG ADR	11/30/15	621 03	510.60	110 43
SYSTEMEX CORP	8/21/15	1,028.78	505 60	523 18
SYSTEMEX CORP	9/23/15	184 19	123 43	60 76
SYSTEMEX CORP	11/30/15	1,466 37	732 28	734 09
TAIWAN SEMICONDUCTOR	4/24/15	49 87	33.84	16 03
TAIWAN SEMICONDUCTOR	9/23/15	299 53	267 30	32 23
TAIWAN SEMICONDUCTOR	11/30/15	2,042 51	1,459.94	582.57
TAIWAN SEMICONDUCTOR	3/23/16	179.52	105 19	74.33
TECHNIP-COFLEXIP SA ADR	4/24/15	613 45	993 08	(379 63)
TECHNIP-COFLEXIP SA ADR	6/3/15	85 87	117 81	(31 94)
TECHNIP-COFLEXIP SA ADR	11/30/15	1,493 28	2,658 96	(1,165 68)
TECHNIP-COFLEXIP SA ADR	3/23/16	638 00	578 45	59 55
TEXAS INSTRUMENTS INC COMMON	9/23/15	788 36	523 14	265 22
TIME WARNER CABLE INC COMMON	4/24/15	151 62	91 40	60 22
TIME WARNER CABLE INC COMMON	6/3/15	177 11	91 40	85.71
TIME WARNER CABLE INC COMMON	8/21/15	186 01	91 40	94 61
TIME WARNER CABLE INC COMMON	3/23/16	1,023 75	563 53	460 22
TOTAL S A SPONSORED	11/30/15	2,082 11	2,443 87	(361 76)
TOYOTA MOTOR CORPORATION ADR	11/30/15	1,615 16	1,097 37	517 79
TOYOTA MOTOR CORPORATION ADR	3/23/15	1,085 32	932 72	152.60
TURKIYE GARANTI BANKASI A S	4/24/15	51 52	76 80	(25 28)
TURKIYE GARANTI BANKASI A S	6/3/15	18 86	22 74	(3 88)
TURKIYE GARANTI BANKASI A S	9/23/15	74 07	86 28	(12 21)

THE J E & MILDRED WAGGONER  
FAMILY FOUNDATION, INC  
SCHEDULE OF CAPITAL GAINS

4/1/15 - 3/31/16

NAME	DATE SOLD	SALES PRICE	COST	GAIN (LOSS)
TURKIYE GARANTI BANKASI A S	11/30/15	444 13	797 67	(353 54)
TURKIYE GARANTI BANKASI A S	3/23/16	18 71	25 41	(6 70)
TWENTY-FIRST CENTURY FOX INC COMMON	9/23/15	683 00	889.46	(206 46)
UNICHARM CORP	4/24/15	20 72	15 09	5 63
UNICHARM CORP	6/3/15	46 54	49 20	(2 66)
UNICHARM CORP	9/23/15	175 43	204 48	(29 05)
UNICHARM CORP	11/30/15	1,097 48	999 94	97 54
UNICHARM CORP	3/23/16	704 35	596 23	108 12
UNILEVER PLC-SPONSORED ADR	11/30/15	471 39	380 59	90 80
UNILEVER PLC-SPONSORED ADR	3/23/16	356 91	292 90	64 01
UNILEVER N V NY SH NEW F	8/21/15	577 84	547 96	29 88
UNILEVER N V NY SH NEW F	11/30/15	831 42	742 44	88 98
UNITED PARCEL SERVICES B	3/23/16	105 09	102 26	2 83
VANGUARD 500 INDEX FUND CL ADM #540	6/3/15	781 94	781 02	0 92
VANGUARD 500 INDEX FUND CL ADM #540	8/21/15	224 55	240 60	(16 05)
VANGUARD 500 INDEX FUND CL ADM #540	11/30/15	2,419 49	2,389 24	30 25
VANGUARD 500 INDEX FUND CL ADM #540	3/23/16	545.75	551 82	(6 07)
VERIZON COMMUNICATIONS INC	4/24/15	50 20	46 56	3 64
VERIZON COMMUNICATIONS INC	3/23/16	477 49	419 04	58 45
VIRTUS QUALITY SMALL CAP FUND CL #1764	4/24/15	387 48	378 85	8 63
VIRTUS QUALITY SMALL CAP FUND CL #1764	11/30/15	8,694 77	9,054 87	(360 10)
VIRTUS QUALITY SMALL CAP FUND CL #1764	3/23/16	569 74	601 19	(31 45)
VMWARE INC CLASS A COMMON	4/24/15	530 42	528 54	1 88
VODAFONE GROUP PLC-SP ADR	11/30/15	1,307 63	1,678 13	(370 50)
VOLKSWAGAN AG	11/30/15	1,275 35	2,124 36	(849 01)
WPP PLC	9/23/15	205 04	200 28	4 76
WPP PLC	11/30/15	1,728 79	1,213 69	515 10
XINYI GLASS HOLDINGS LTD	4/24/15	403 58	420 75	(17 17)
ZIMMER BIOMET HOLDINGS INC COMMON	3/23/16	417 13	393 15	23 98
ZURICH INSURANCE GROUP AG	6/03/15	32 15	23 07	9 08
ZURICH INSURANCE GROUP AG	11/30/15	1,104 15	1,267 66	(163 51)
ACCENTURE PLC CL A	04/24/15	93 42	75 28	18 14
ACCENTURE PLC CL A	8/21/15	198 49	148 35	50 14
ACCENTURE PLC CL A	3/23/16	216 03	155 36	60 67
BUNGE LIMITED	9/23/15	69 39	75 29	(5 90)
BUNGE LIMITED	11/30/15	331 42	376 45	(45 03)
EATON CORP PLC	8/21/15	115 01	136 36	(21 35)
EATON CORP PLC	9/23/15	471 28	613 62	(142 34)
MICHAEL KORS HOLDINGS LTD	6/3/15	388 63	686 83	(298 20)
SEAGATE TECHNOLOGY	3/23/16	1,030 20	1,420 95	(390 75)
PERRIGO CO PLC	4/24/15	393 94	297 67	96 27
PERRIGO CO PLC	9/23/15	514.53	446 50	68 03
UBS GROUP AG	4/24/15	80 78	69 22	11 56
UBS GROUP AG	6/3/15	21 97	17 30	4 67

THE J E & MILDRED WAGGONER				
FAMILY FOUNDATION, INC.				
SCHEDULE OF CAPITAL GAINS				
4/1/15 - 3/31/16				
	DATE	SALES		GAIN
NAME	SOLD	PRICE	COST	(LOSS)
UBS GROUP AG	8/21/15	616 06	501 85	114 21
UBS GROUP AG	11/30/15	1,959 89	1,806 53	153 36
UBS GROUP AG	3/23/16	147 92	155 74	(7 82)
CORE LABORATORIES N V	8/21/15	210 79	271 61	(60 82)
				-
	TOTAL	397,044.71	399,525 85	(2,481 14)
		(A)	(B)	
STCG DIVIDENDS			(A)	1,527 97
LTCG DIVIDENDS			(A)	23,437 92
TOTAL GAIN PER ANNUAL STATEMENT				22,484 75
WASH SALE ADJUSTMENTS			(B)	-
				22,484 75
(A) Gross Sales Price (Input Screen PF-3)		422,010 60		
(B) Cost and Wash Sale Adjustment (Input Screen PF-3)			399,525 85	

BRANCH BANKING AND TRUST CO  
 PO BOX 2887  
 WILSON, NC 27894-2887

**ACCOUNT STATEMENT**

APRIL 01, 2015 TO MARCH 31, 2016

ACCOUNT NAME: WAGGONER FAM FDN  
 ACCOUNT NUMBER: 1150000654



SCOTT HAYNES, CPA MOOSE, MARTIN,  
 HAYNES AND LUNDY, PA  
 PO BOX 1308  
 STATESVILLE, NC 28687

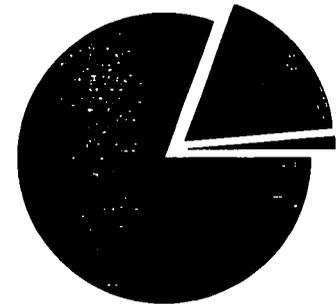
ACCOUNT NAME	BRANCH BANKING AND TRUST COMPANY MANAGING AGENT FOR: J.E. & MILDRED WAGGONER FAMILY FDN
RELATIONSHIP MANAGER	KIRA HOOKS 336-291-1102 KIRA.HOOKS@BBANDT.COM
INVESTMENT OFFICER	CHRIS FURNER 864-527-0691 CFURNER@BBANDT.COM

**FOR YOUR INFORMATION**

THIS IS YOUR REPORT OF INVESTMENTS AND TRANSACTIONS FOR THE PERIOD THE FOLLOWING ARE INCLUDED IN THE REPORT  
 ACCOUNT SUMMARY, ACTIVITY SUMMARY, AND ACCOUNT ACTIVITY PLEASE CALL YOUR ADMINISTRATOR WITH QUESTIONS

**INVESTMENT PORTFOLIO SUMMARY**

MARKET VALUE AS OF	04/01/2015	03/31/2016	% OF ACCOUNT
CASH AND CASH EQUIVALENTS	55,757.40	15,248.87	1.4%
EQUITIES	280,860.88	191,930.85	18.0%
MUTUAL FUNDS	817,502.94	860,619.90	80.6%
<b>Total</b>	<b>1,154,121.22</b>	<b>1,067,799.62</b>	<b>100.0%</b>



**ACCOUNT ACTIVITY SUMMARY**

	THIS PERIOD	YEAR TO DATE	REALIZED CAPITAL GAINS / LOSSES	
			THIS PERIOD	YEAR TO DATE
BEGINNING MARKET VALUE	1,154,121.22	1,154,121.22		
INCOME	50,123.01	50,123.01		
DISBURSEMENTS	61,057.09-	61,057.09-	LONG TERM	29,048.99
CASH RECEIPTS	139.14	139.14	SHORT TERM	6,252.15-
FEEES	10,897.23-	10,897.23-	TOTAL GAINS / LOSSES	22,796.84
CHANGE IN MARKET VALUE	64,629.43-	64,629.43-		22,796.84
ENDING MARKET VALUE	1,067,799.62	1,067,799.62		

ACCOUNT STATEMENT

APRIL 01, 2015 TO MARCH 31, 2016

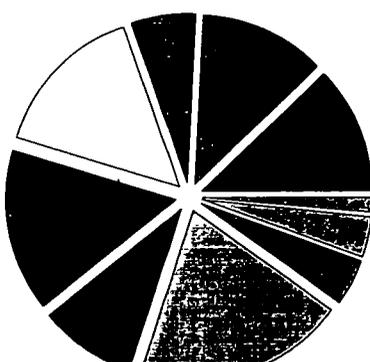
ACCOUNT NAME: WAGGONER FAM FDN  
ACCOUNT NUMBER: 1150000654

PRINCIPAL PORTFOLIO STATEMENT

DESCRIPTION	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
<b>CASH AND CASH EQUIVALENTS</b>				
SA0000560 BB&T TRUST DEPOSIT RIS	13,492.77		47.22	0.35
	13,492.77	1.00		
PRINCIPAL CASH	0.00			
<b>* TOTAL CASH AND CASH EQUIVALENTS</b>	<b>13,492.77</b>		<b>47.22</b>	<b>0.35</b>
	13,492.77			

EQUITY DIVERSIFICATION SUMMARY

INDUSTRY SECTOR	MARKET VALUE	PERCENT
CONSUMER DISCRETIONARY	23,239.23	12.1%
CONSUMER STAPLES	23,126.10	12.1%
ENERGY	11,694.52	6.1%
FINANCIALS	28,829.69	15.0%
HEALTH CARE	29,645.28	15.4%
INDUSTRIALS	17,744.83	9.2%
INFORMATION TECHNOLOGY	38,427.71	20.1%
MATERIALS	8,669.56	4.5%
TELECOMMUNICATIONS SERVICES	7,674.68	4.0%
UTILITIES	2,879.25	1.5%
<b>Total</b>	<b>191,930.85</b>	<b>100.0%</b>



DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
<b>EQUITIES</b>						
<b>CONSUMER DISCRETIONARY</b>						
023135106 AMAZON COM INC COMMON	AMZN	5.000	2,968.20 3,076.34	593.64 615.27		
072743206 BAYERISCHE MOTOREN WERKE (BMW) UNSPONS ADR	BAMXY	33.000	1,011.58 996.60	30.65 30.20	25.08	2.48
14365C103 CARNIVAL PLC	CUK	22.000	1,194.38 799.73	54.29 36.35	26.40	2.21
204319107 COMPAGNIE FINANCIERE RICHEMONT SA	CFRUY	113.000	749.87 847.40	6.64 7.50	10.62	1.42

## ACCOUNT STATEMENT

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APRIL 01, 2015 TO MARCH 31, 2016

ACCOUNT NAME: WAGGONER FAM FDN

ACCOUNT NUMBER: 1150000654

## PRINCIPAL PORTFOLIO STATEMENT ( CONTINUED )

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
<b>CONSUMER DISCRETIONARY</b>						
265504100 DUNKIN' BRANDS GROUP INC COMMON	DNKN	31 000	1,462 27 1,368 77	47 17 44 15	37 20	2 54
37045V100 GENERAL MOTORS CO COMMON	GM	49 000	1,540 07 1,551 83	31 43 31 67	74 48	4 84
502441306 LVMH MOET-HENNESSEY UNSPON ADR	LVMUY	18 000	617 40 594 96	34 30 33 05	10 17	1 65
548661107 LOWE'S COMPANIES INC COMMON	LOW	6 000	454 50 365 90	75 75 60 98	6 72	1 48
580135101 MCDONALDS CORP COMMON	MCD	8 000	1,005 44 710 38	125 68 88 80	28 48	2 83
631512100 NASPERS LIMITED	NPSNY	80 000	1,121 04 1,058 44	14 01 13 23	2 24	0 20
654111202 NIKON CORPORATION PLC UNSPON ADR	NINOY	39 000	597 52 461 95	15 32 11 84	5 81	0 97
681919106 OMNICOM GROUP COMMON	OMC	21 000	1,747 83 1,252 24	83 23 59 63	42 00	2 40
745867101 PULTE GROUP INC COMMON	PHM	60 000	1,122 60 1,089 60	18 71 18 16	21 60	1 92
75955B102 REED ELSEVIER NV -SPONS ADR	RENX	98 000	1,717 94 1,426 51	17 53 14 56	37 14	2 16
887317303 TIME WARNER INC COMMON	TWX	15 000	1,088 25 1,064 63	72 55 70 98	24 15	2 22
928662303 VOLKSWAGAN AG	VLKAY	59 000	1,715 13 2,090 92	29 07 35 44	47 26	2 76
92937A102 WPP PLC	WPPGY	17 000	1,979 31 1,199 00	116 43 70 53	55 59	2 81
988498101 YUM' BRANDS INC COMMON	YUM	14 000	1,145 90 1,058 33	81 85 75 60	25 76	2 25
<b>** TOTAL CONSUMER DISCRETIONARY</b>		<b>SUB- TOTAL</b>	<b>23,239.23 21,013.53</b>		<b>480.70</b>	<b>2.07</b>

ACCOUNT STATEMENT

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APRIL 01, 2015 TO MARCH 31, 2016

ACCOUNT NAME: WAGGONER FAM FDN  
 ACCOUNT NUMBER: 1150000654

PRINCIPAL PORTFOLIO STATEMENT ( CONTINUED )

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
<b>CONSUMER STAPLES</b>						
03524A108 ANHEUSER-BUSCH INBEV NV SPN ADR	BUD	9 000	1,121 94 774 99	124 66 86 11	29 02	2 59
04338X102 ARYZTA AG-UNSPON ADR	ARZTY	24 000	497 04 606 56	20 71 25 27	6 77	1 36
110448107 BRITISH AMERICAN TOB COMMON	BTI	16 000	1,870 56 1,744 53	116 91 109 03	70 27	3 76
191216100 THE COCA-COLA CO COMMON	KO	39 000	1,809 21 1,617 42	46 39 41 47	54 60	3 02
23636T100 DANONE SPONS ADR	DANOY	127 000	1,809 37 1,663 62	14 25 13 10	27 43	1 52
25243Q205 DIAGEO PLC SPONSORED ADR	DEO	9 000	970 83 951 98	107 87 105 78	30 93	3 19
502117203 L'OREAL SA ADR	LRLCY	41 000	1,471 24 904 46	35 88 22 06	21 81	1 48
582839106 MEAD JOHNSON NUTRITION COMPANY COMMON	MJN	12 000	1,019 64 973 38	84 97 81 12	19 80	1 94
61174X109 MONSTER BEVERAGE CORP COMMON	MNST	14 000	1,867 32 2,007 51	133 38 143 39		
641069406 NESTLE SA ADR	NSRGY	28 000	2,100 76 1,620 36	75 03 57 87	53 17	2 53
713448108 PEPSICO INC COMMON	PEP	15 000	1,537 20 976 94	102 48 65 13	42 15	2 74
742718109 PROCTOR & GAMBLE CO/THE COMMON	PG	19 000	1,563 89 1,373 94	82 31 72 31	50 39	3 22
78572M105 SABMILLER PLC	SBMRY	20 000	1,223 42 1,138 31	61 17 56 92	22 18	1 81
90460M204 UNICHARM CORP	UNICY	156 000	679 85 576 42	4 36 3 70	2 96	0 44
904767704 UNILEVER PLC-SPONSORED ADR	UL	58 000	2,620 44 1,965 40	45 18 33 89	75 40	2 88

## ACCOUNT STATEMENT

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APRIL 01, 2015 TO MARCH 31, 2016

ACCOUNT NAME: WAGGONER FAM FDN  
ACCOUNT NUMBER: 1150000654

## PRINCIPAL PORTFOLIO STATEMENT ( CONTINUED )

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
<b>CONSUMER STAPLES</b>						
G16962105 BUNGE LIMITED	BG	17 000	963 39 1,167 58	56 67 68 68	25 84	2 68
<b>** TOTAL CONSUMER STAPLES</b>		<b>SUB- TOTAL</b>	<b>23,126 10 20,063.40</b>		<b>532.72</b>	<b>2 30</b>
<b>ENERGY</b>						
126132109 CNOOC LTD - ADR	CEO	10 000	1,170 60 1,472 64	117 06 147 26	57 85	4 94
13057Q107 CALIFORNIA RESOURCES CORP COMMON	CRC	1 311	1 35 1 76	1 03 1 34		
453038408 IMPERIAL OIL LTD COMMON	IMO	28 000	935 20 1,043 44	33 40 37 27	11 26	1 20
674599105 OCCIDENTAL PETROLEUM CO COMMON	OXY	14 000	958 02 1,097 81	68 43 78 42	42 00	4 38
780259107 ROYAL DUTCH SHELL PLC ADR CL B	RDS B	47 000	2,311 93 2,279 27	49 19 48 50	176 72	7 64
803866300 SASOL LTD SPONSORED ADR	SSL	18 000	531 72 576 19	29 54 32 01	18 00	3 39
806857108 SCHLUMBERGER LTD COMMON	SLB	32 000	2,360 00 1,395 71	73 75 43 62	64 00	2 71
847560109 SPECTRA ENERGY CORP COMMON	SE	60 000	1,836 00 1,699 61	30 60 28 33	97 20	5 29
89151E109 TOTAL S A SPONSORED	TOT	35 000	1,589 70 1,687 13	45 42 48 20	79 66	5 01
<b>** TOTAL ENERGY</b>		<b>SUB- TOTAL</b>	<b>11,694 52 11,253.56</b>		<b>546 69</b>	<b>4.67</b>
<b>FINANCIALS</b>						
001317205 AIA GROUP LTD	AAGIY	85 000	1,926 53 1,319 14	22 67 15 52	27 80	1 44
018805101 ALLIANZ COMMON	AZSEY	101 000	1,645 29 887 79	16 29 8 79	59 79	3 63

## ACCOUNT STATEMENT

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APRIL 01, 2015 TO MARCH 31, 2016

ACCOUNT NAME: WAGGONER FAM FDN

ACCOUNT NUMBER: 1150000654

## PRINCIPAL PORTFOLIO STATEMENT ( CONTINUED )

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
<b>FINANCIALS</b>						
025816109 AMERICAN EXPRESS CO COMMON	AXP	7 000	429 80 563 87	61 40 80 55	8 12	1 89
05382A104 AVIVA PLC	AV	124 000	1,619 44 1,758 95	13 06 14 19	69 94	4 32
05565A202 BNP PARIBAS	BNPQY	40 000	1,008 04 938 68	25 20 23 47	23 12	2 29
05946K101 BANCO BILBAO VIZCAYA - SP ADR COMMON	BBVA	80 000	521 60 659 05	6 52 8 24	26 24	5 03
06738E204 BARCLAYS PLC - SPONS ADR COMMON	BCS	128 000	1,103 36 1,517 91	8 62 11 86	48 00	4 35
12803K109 CAIXABANK- UNSPON ADR	CAIXY	785 079	774 87 1,074 39	0 99 1 37	16 49	2 13
23304Y100 DBS GROUP HLDGS LTD SPONSORED ADR F/K/A DEVELOPMENT BK SINGAPORE LTD	DBSDY	15 000	685 28 708 40	45 69 47 23	25 08	3 66
254709108 DISCOVER FINANCIAL SERVICES COMMON	DFS	35 000	1,782 20 1,938 13	50 92 55 38	39 20	2 20
303075105 FACTSET RESEARCH SYSTEMS INC	FDS	5 000	757 65 828 19	151 53 165 64	8 80	1 16
395259104 GREENHILL & CO INC COMMON	GHL	9 000	199 80 263 44	22 20 29 27	16 20	8 11
404280406 HSBC HOLDINGS PLC HLDGS PLC SPONSORED ADR NEW	HSBC	36 000	1,120 32 1,435 04	31 12 39 86	91 80	8 19
44107P104 HOST MARRIOTT CORP NEW COMMON	HST	86 000	1,436 20 1,640 54	16 70 19 08	68 80	4 79
456837103 ING GROEP N V SPONSORED ADR	ING	35 000	417 55 433 83	11 93 12 40	24 40	5 84
465562106 ITAU UNIBANCO BANCO MULTIPLO SA	ITUB	117 000	1,005 03 1,333 28	8 59 11 40	5 62	0 56

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APRIL 01, 2015 TO MARCH 31, 2016

ACCOUNT NAME: WAGGONER FAM FDN  
ACCOUNT NUMBER: 1150000654

## PRINCIPAL PORTFOLIO STATEMENT ( CONTINUED )

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
<b>FINANCIALS</b>						
48241F104 KBC GROEP NV UNSP ADR	KBCSY	14 000	361 63 382 54	25 83 27 32	11 09	3 07
539439109 LLOYDS BANKING GROUP PLC	LYG	294 000	1,161 30 1,246 40	3 95 4 24	37 93	3 27
59156R108 METLIFE INC COMMON	MET	27 000	1,186 38 1,415 57	43 94 52 43	40 50	3 41
606783207 MITSUBISHI ESTATE COMPANY LTD	MITEY	28 000	520 80 745 64	18 60 26 63	2 27	0 44
74435K204 PRUDENTIAL PLC	PUK	12 000	446 40 453 90	37 20 37 83	17 09	3 83
784117103 SEI INVESTMENTS COMPANY COMMON	SEIC	30 000	1,291 50 1,534 41	43 05 51 15	15 60	1 21
86562M209 SUMITOMO MITSUI SPONS ADR	SMFG	166 000	997 66 1,236 43	6 01 7 45	35 86	3 59
86959C103 SVENSKA HANDELSB-A	SVNLY	74 000	471 45 576 84	6 37 7 80	19 24	4 08
900148701 TURKIYE GARANTI BANKASI A.S	TKGBY	209 000	611 53 753 22	2 93 3 60	7 52	1 23
949746101 WELLS FARGO & CO COMMON	WFC	34 000	1,644 24 1,836 44	48 36 54 01	51 00	3 10
989825104 ZURICH INSURANCE GROUP AG	ZURVY	52 000	1,212 54 1,272 22	23 32 24 47	88 04	7 26
G491BT108 INVESCO LTD	IVZ	44 000	1,353 88 1,334 30	30 77 30 33	47 52	3 51
H42097107 UBS GROUP AG	UBS	71 000	1,137 42 1,228 39	16 02 17 30	38 13	3 35
<b>** TOTAL FINANCIALS</b>			<b>SUB- TOTAL</b>		<b>971.19</b>	<b>3.37</b>
			28,829 69			
			31,316 93			
<b>HEALTH CARE</b>						
002824100 ABBOTT LABORATORIES COMMON	ABT	39 000	1,631 37 1,412 06	41 83 36 21	40 56	2 49

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APRIL 01, 2015 TO MARCH 31, 2016

ACCOUNT NAME: WAGGONER FAM FDN

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## PRINCIPAL PORTFOLIO STATEMENT ( CONTINUED )

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
<b>HEALTH CARE</b>						
00287Y109 ABBVIE INC COMMON	ABBV	27 000	1,542 24 1,368 35	57 12 50 68	61 56	3 99
031162100 AMGEN INC COMMON	AMGN	6 000	899 58 919 75	149 93 153 29	24 00	2 67
036752103 ANTHEM INC COMMON	ANTM	12 000	1,667 88 1,396 47	138 99 116 37	31 20	1 87
072730302 BAYER A G SPONSORED ADR	BAYRY	7 000	824 01 622 98	117 72 89 00	12 54	1 52
12637N204 CSL LTD -SPONSORED ADR	CSLLY	30 000	1,170 48 597 90	39 02 19 93	16 56	1 41
156782104 CERNER CORP COMMON	CERN	16 000	847 36 856 24	52 96 53 52		
19624Y101 COLOPLAST AS -UNSPON ADR	CLPBY	65 000	493 35 483 71	7 59 7 44	6 57	1 33
358029106 FRESENIUS USA INC ADR	FMS	23 000	1,013 84 820 64	44 08 35 68	6 99	0 69
37733W105 GLAXOSMITHKLINE PLC SPONSORED ADR	GSK	31 000	1,257 05 1,247 38	40 55 40 24	73 22	5 82
398438408 GRIFOLS S A	GRFS	27 000	417 69 422 21	15 47 15 64	7 61	1 82
478160104 JOHNSON & JOHNSON COMMON	JNJ	13 000	1,406 60 1,279 85	108 20 98 45	39 00	2 77
58933Y105 MERCK & CO INC COMMON	MRK	36 000	1,904 76 1,908 95	52 91 53 03	66 24	3 48
66987V109 NOVARTIS A G ADR	NVS	57 000	4,129 08 4,063 33	72 44 71 29	133 38	3 23
670100205 NOVO-NORDISK A S ADR	NVO	30 000	1,625 70 1,331 04	54 19 44 37	20 97	1 29
717081103 PFIZER INC COMMON	PFE	48 000	1,422 72 1,161 32	29 64 24 19	57 60	4 05

## ACCOUNT STATEMENT

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ACCOUNT NAME: WAGGONER FAM FDN

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## PRINCIPAL PORTFOLIO STATEMENT ( CONTINUED )

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
<b>HEALTH CARE</b>						
771195104 ROCHE HOLDING LTD ADR	RHHBY	102 000	3,151 39 2,326 22	30 90 22 81	86 60	2 75
80105N105 SANOFI SYNTHELABO SA-ADR	SNY	26 000	1,044 16 1,096 45	40 16 42 17	28 63	2 74
83569C102 SONOVA HOLDING AG	SONVY	23 000	589 86 524 17	25 65 22 79	5 84	0 99
87184P109 SYSMEX CORP	SSMXY	50 000	1,565 90 754 38	31 32 15 09	7 10	0 45
92220P105 VARIAN MED SYS INC COMMON	VAR	13 000	1,040 26 1,028 28	80 02 79 10		
<b>** TOTAL HEALTH CARE</b>		<b>SUB- TOTAL</b>	<b>29,645 28 25,621.68</b>		<b>726 17</b>	<b>2 45</b>
<b>INDUSTRIALS</b>						
015393101 ALFA LAVAL AB -UNSPON ADR	ALFVY	32 000	524 61 522 24	16 39 16 32	11 94	2 28
049255706 ATLAS COPCO AB SPONS	ATLKY	31 000	781 23 776 24	25 20 25 04	16 12	2 06
05845R306 BALFOUR BEATTY PLC	BAFY	48 000	351 31 445 68	7 32 9 29		
136375102 CANADIAN NATL RY CO COMMON	CNI	19 000	1,186 74 908 29	62 46 47 80	20 24	1 71
273202101 EAST JAPAN RAILWAY CO ADR	EJPRY	104 000	1,497 91 1,348 38	14 40 12 97	11 86	0 79
302130109 EXPEDITORS INTL WASH INC COMMON	EXPD	29 000	1,415 49 1,366 98	48 81 47 14	20 88	1 48
307305102 FANUC LTD ADR	FANUY	71 000	1,840 89 1,795 04	25 93 25 28	54 88	2 98
438516106 HONEYWELL INTERNATIONAL INC	HON	17 000	1,904 85 1,737 68	112 05 102 22	40 46	2 12
466140100 JGC CORPORATION	JGCCY	20 000	599 68 1,188 57	29 98 59 43	5 22	0 87

## ACCOUNT STATEMENT

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APRIL 01, 2015 TO MARCH 31, 2016

ACCOUNT NAME: WAGGONER FAM FDN

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## PRINCIPAL PORTFOLIO STATEMENT ( CONTINUED )

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
<b>INDUSTRIALS</b>						
471038109 JAPAN AIRLINES CO -UNP ADR	JAPSY	50 000	916 85 923 00	18 34 18 46	16 20	1 77
50048H101 KONE OYJ -B-UNSPONSORED ADR	KNYJY	35 000	844 76 764 39	24 14 21 84	21 14	2 50
61022V107 MONOTARO CO LTD UNSP ADR	MONOY	22 000	654 74 303 73	29 76 13 81	1 43	0 22
701491102 PARK24 CO LTD-SPN ADR	PKCOY	21 000	588 55 567 63	28 03 27 03	7 75	1 32
73753A103 POSTNL NV ADR	PNLYY	98 000	399 84 420 31	4 08 4 29		
783513203 RYANAIR HOLDINGS PLC -SP ADR	RYAAY	4 000	343 28 304 36	85 82 76 09	8 62	2 51
80687P106 SCHNEIDER ELECTRIC SA UNSPON ADR	SBGSY	91 000	1,151 88 1,176 82	12 66 12 93	5 55	0 48
911312106 UNITED PARCEL SERVICES B	UPS	26 000	2,742 22 2,395 79	105 47 92 15	81 12	2 96
<b>** TOTAL INDUSTRIALS</b>			<b>SUB- TOTAL</b>		<b>323.41</b>	<b>1 82</b>
<b>INFORMATION TECHNOLOGY</b>						
01609W102 ALIBABA GROUP HOLDING -SP ADR	BABA	25 000	1,975 75 1,791 06	79 03 71 64		
02079K107 ALPHABET INC -CL C COMMON	GOOG	2 000	1,489 90 857 02	744 95 428 51		
02079K305 ALPHABET INC -CL A COMMON	GOOGL	1 000	762 90 654 92	762 90 654 92		
032654105 ANALOG DEVICES INC COMMON	ADI	3 000	177 57 178 38	59 19 59 46	5 04	2 84
042068106 ARM HOLDINGS PLC -SPONS ADR	ARMH	55 000	2,402 95 2,217 07	43 69 40 31	18 98	0 79
052769106 AUTODESK INC COMMON	ADSK	21 000	1,224 51 1,135 50	58 31 54 07		

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ACCOUNT NAME: WAGGONER FAM FDN  
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PRINCIPAL PORTFOLIO STATEMENT ( CONTINUED )

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
<b>INFORMATION TECHNOLOGY</b>						
053015103 AUTOMATIC DATA PROCESSING COMMON	ADP	4 000	358 84 331 00	89 71 82 75	8 48	2 36
056752108 BAIDU INC	BIDU	9 000	1,717 92 1,611 36	190 88 179 04		
17275R102 CISCO SYSTEMS INC COMMON	CSCO	84 000	2,391 48 2,186 95	28 47 26 04	87 36	3 65
237545108 DASSAULT SYSTEMS	DASTY	28 000	2,224 91 1,424 56	79 46 50 88	9 41	0 42
30303M102 FACEBOOK INC-A COMMON	FB	27 000	3,080 70 2,651 08	114 10 98 19		
433578507 HITACHI LTD - ADR-10 COMMON	HTHIY	28 000	1,311 88 1,557 37	46 85 55 62	22 96	1 75
57772K101 MAXIM INTEGRATED PRODUCT COMMON	MXIM	40 000	1,471 20 1,208 43	36 78 30 21	48 00	3 26
594918104 MICROSOFT CORP COMMON	MSFT	40 000	2,209 20 1,783 54	55 23 44 59	57 60	2 61
68389X105 ORACLE CORP COMMON	ORCL	54 000	2,209 14 2,030 99	40 91 37 61	32 40	1 47
747525103 QUALCOMM INC COMMON	QCOM	65 000	3,324 10 3,470 04	51 14 53 39	124 80	3 75
803054204 SAP SE ADR	SAP	34 000	2,734 28 2,254 24	80 42 66 30	29 89	1 09
874039100 TAIWAN SEMICONDUCTOR MANUFACTURING CO LTD SP ADR	TSM	89 000	2,331 80 1,401 96	26 20 15 75	51 44	2 21
92826C839 VISA INC CLASS A SHARES COMMON	V	32 000	2,447 36 2,054 01	76 48 64 19	17 92	0 73
958102105 WESTERN DIGITAL CORP	WDC	18 000	850 32 898 65	47 24 49 93	36 00	4 23
G1151C101 ACCENTURE PLC CL A	ACN	15 000	1,731 00 1,164 67	115 40 77 64	33 00	1 91
<b>** TOTAL INFORMATION TECHNOLOGY</b>		<b>SUB-TOTAL</b>	<b>38,427 71 32,862.80</b>		<b>583 28</b>	<b>1 52</b>

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ACCOUNT NAME: WAGGONER FAM FDN  
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PRINCIPAL PORTFOLIO STATEMENT ( CONTINUED )

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
<b>MATERIALS</b>						
009126202 L'AIR LIQUIDE-UNSPONS ADR COMMON	AIQUY	73 000	1,645 27 1,665 81	22 54 22 82	32 12	1 95
010199305 AKZO N V SPONSORED ADR COMMON	AKZOY	89 000	2,025 02 1,733 11	22 75 19 47	40 76	2 01
18047P101 CLARIANT AG-UNSPONSORED ADR	CLZNY	34 000	617 78 593 90	18 17 17 47		
35952Q106 FUCHS PETROLU SE-PREF ADR	FUPBY	61 000	682 35 569 61	11 19 9 34	9 27	1 36
37636P108 GIVAUDAN SA UNSPON ADR	GVDNY	6 000	236 33 194 74	39 39 32 46	5 89	2 49
535223200 LINDE AG SPON ADR	LNEGY	103 000	1,502 98 1,699 40	14 59 16 50	52 32	3 48
810186106 SCOTTS CO CL A	SMG	17 000	1,237 09 1,017 49	72 77 59 85	31 96	2 58
87155N109 SYMRISE AG ADR	SYIEY	43 000	722 74 593 40	16 81 13 80	6 79	0 94
<b>** TOTAL MATERIALS</b>			<b>SUB-TOTAL</b> 8,669.56 8,067.46		179.11	2.07
<b>TELECOMMUNICATIONS SERVICES</b>						
16941M109 CHINA MOBILE HK LTD SP ADR	CHL	24 000	1,330 80 1,396 51	55 45 58 19	37 90	2 85
48667L106 KDDI CORPORATION UNSPON ADR	KDDIY	138 000	1,845 47 1,333 42	13 37 9 66	25 67	1 39
62474M108 MTN GROUP LTD ADR	MTNOY	48 000	441 22 904 10	9 19 18 84	34 27	7 77
78440P108 SK TELECOM CO LTD-ADR	SKM	65 000	1,311 05 1,347 38	20 17 20 73	45 31	3 46
92343V104 VERIZON COMMUNICATIONS INC	VZ	33 000	1,784 64 1,520 07	54 08 46 06	74 58	4 18
92857W308 VODAFONE GROUP PLC -SP ADR	VOD	30 000	961 50 1,005 64	32 05 33 52	50 34	5 24
<b>** TOTAL TELECOMMUNICATIONS SERVICES</b>			<b>SUB-TOTAL</b> 7,674 68 7,507.12		268.07	3.49

ACCOUNT STATEMENT

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ACCOUNT NAME: WAGGONER FAM FDN  
ACCOUNT NUMBER: 1150000654



PRINCIPAL PORTFOLIO STATEMENT ( CONTINUED )

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
<b>UTILITIES</b>						
29286D105 ENGIE-SPON ADR	ENGIY	79 000	1,227 98 1,431 27	15 54 18 12	83 27	6 78
78467K107 SSE PLC -SPN ADR	SSEZY	77 000	1,651 27 1,769 01	21 45 22 97	101 41	6 14
<b>** TOTAL UTILITIES</b>		<b>SUB-TOTAL</b>	<b>2,879 25 3,200 28</b>		<b>184 68</b>	<b>6.41</b>
<b>* TOTAL EQUITIES</b>			<b>191,930.85 177,851.89</b>		<b>4,796.02</b>	<b>2 50</b>

BOND QUALITY SUMMARY

S & P QUALITY RATING	MARKET VALUE	PERCENT
NOT RATED	860,619 90	100 0%
<b>Total</b>	<b>860,619 90</b>	<b>100 0%</b>

DESCRIPTION	RATING	PAR VALUE	TOTAL MARKET/ COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	YIELD CURRENT/ MATURITY
<b>MUTUAL FUNDS</b>						
09256H286 BLACKROCK STRATEGIC INCOME OPPORTUNITES PORTFOLIO		5,378 728	51,958 51 55,117 70	9 66 10 25	1,220 97	2 35
258620103 DOUBLELINE TOTAL RETURN BOND FUND		5,991 525	65,127 88 66,468 71	10 87 11 09	2,618 30	4 02
31421R759 FEDERATED MDT SMALL CAP GROWTH FUND CL I FUND #284		977 282	16,310 84 17,798 93	16 69 18 21		
349913822 FORWARD INTERNATIONAL SMALL COMPANIES FD INST CL FUND # 111		1,377 998	23,798 03 19,434 57	17 27 14 10	253 55	1 07
38142Y401 GOLDMAN SACHS GROWTH OPPORTUNITY FUND CLASS I #1132		2,250 326	52,275 07 62,465 78	23 23 27 76		
40168W715 GUGGENHEIM FLOAT RATE STRA-I		3,085 422	78,030 32 82,195 74	25 29 26 64	3,551 32	4 55

ACCOUNT STATEMENT

APRIL 01, 2015 TO MARCH 31, 2016

ACCOUNT NAME: WAGGONER FAM FDN  
 ACCOUNT NUMBER: 1150000654

PRINCIPAL PORTFOLIO STATEMENT ( CONTINUED )

DESCRIPTION	RATING	PAR VALUE	TOTAL MARKET/ MARKET/ TOTAL COST	PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	YIELD CURRENT/ MATURITY
<b>MUTUAL FUNDS</b>						
41664M185 HARTFORD WORLD BOND-Y		6,324 064	65,074 62 66,207 08	10 29 10 47	784 18	1 21
52106N889 LAZARD EMERGING MKT'S PORTFOLIO INST CLASS FUND # 638 CLOSED TO NEW INVESTORS		938 839	13,716 44 16,680 83	14 61 17 77	216 87	1 58
592905509 METROPOLITAN WEST TOTAL RETURN BOND FUND INST CL FUND # 512		9,657 715	104,593 05 102,746 41	10 83 10 64	1,883 25	1 80
61756E693 MORGAN STANLEY INSTITUTIONAL FUND INC		1,543 024	24,318 06 24,055 74	15 76 15 59		
683974505 OPPENHEIMER DEVELOPING MARKETS FUND CL Y FUND # 788		442 826	13,687 75 13,443 21	30 91 30 36	99 19	0 72
72387W747 PIONEER AMT FREE MUNICIPAL BOND FUND		1,775 753	26,139 08 25,415 78	14 72 14 31	948 25	3 63
85917K710 STERLING CAPITAL CORPORATE FUND-I		7,755 002	78,558 17 78,865 43	10 13 10 17	2,427 32	3 09
85917L619 STERLING CAPITAL INTERMEDIATE U S GOVERNMENT FUND INST CL FUND # 341		5,098 970	52,264 44 52,102 06	10 25 10 22	1,035 09	1 98
85917L841 STERLING CAPITAL MID VALUE FUND INST CL FUND 312		3,226 248	51,523 18 61,050 16	15 97 18 92	61 30	0 12
921943809 VANGUARD DEVELOPED MARKET'S INDEX FUND ADMIRAL SHARES		2,918 237	33,647 27 35,341 91	11 53 12 11	983 45	2 92
922908710 VANGUARD 500 INDEX FUND CL ADM FUND #540		492 012	93,472 44 91,702 82	189 98 186 38	1,960 67	2 10
92828N668 VIRTUS QUALITY SMALL CAP FUND CL I FUND # 1764		1,027 709	16,124 75 16,577 77	15 69 16 13	153 13	0 95
<b>* TOTAL MUTUAL FUNDS</b>			<b>860,619 90 887,670 63</b>		<b>18,196 84</b>	<b>2 11</b>
<b>TOTAL PRINCIPAL ASSETS</b>			<b>1,066,043 52 1,079,015 29</b>		<b>23,040 08</b>	<b>2.16</b>

ACCOUNT STATEMENT

APRIL 01, 2015 TO MARCH 31, 2016

ACCOUNT NAME: WAGGONER FAM FDN  
ACCOUNT NUMBER: 1150000654



INCOME PORTFOLIO STATEMENT

DESCRIPTION	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ PRICE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
<b>CASH AND CASH EQUIVALENTS</b>				
SA0000560				
BB&T TRUST DEPOSIT RIS	1,690 24		5 92	0 35
	1,690 24	1 00		
INCOME CASH	65 86			
	65 86			
* TOTAL CASH AND CASH EQUIVALENTS	1,756 10		5 92	0 34
	1,756 10			
TOTAL INCOME ASSETS	1,756 10		5 92	0 34
	1,756 10			

ACCOUNT STATEMENT

APRIL 01, 2015 TO MARCH 31, 2016

ACCOUNT NAME: WAGGONER FAM FDN  
 ACCOUNT NUMBER: 1150000654

TRANSACTION SUMMARY

	CURRENT PERIOD			YEAR TO DATE		
	PRINCIPAL CASH	INCOME CASH	COST	PRINCIPAL CASH	INCOME CASH	COST
BEGINNING BALANCE	0.00	37.27	1,104,619.76	0.00	37.27	1,104,619.76
<b>INCOME</b>						
INTEREST		93.21			93.21	
DIVIDENDS	24.42	25,039.49		24.42	25,039.49	
L/T CAP GAIN DIV	23,437.92			23,437.92		
S/T CAP GAIN DIV	1,527.97			1,527.97		
<b>TOTAL INCOME</b>	<b>24,990.31</b>	<b>25,132.70</b>	<b>0.00</b>	<b>24,990.31</b>	<b>25,132.70</b>	<b>0.00</b>
<b>SALES/MATURITIES</b>						
SALES	399,421.80		401,670.28	399,421.80		401,670.28
REDEMPTIONS	1,260.96		1,181.53	1,260.96		1,181.53
NET CASH MGT SALES	17,495.09	23,042.03	40,537.12	17,495.09	23,042.03	40,537.12
<b>TOTAL SALES/MATURITIES</b>	<b>418,177.85</b>	<b>23,042.03</b>	<b>443,388.93</b>	<b>418,177.85</b>	<b>23,042.03</b>	<b>443,388.93</b>
<b>PURCHASES</b>						
PURCHASES	419,499.12		419,499.12	419,499.12		419,499.12
<b>TOTAL PURCHASES</b>	<b>419,499.12</b>	<b>0.00</b>	<b>419,499.12</b>	<b>419,499.12</b>	<b>0.00</b>	<b>419,499.12</b>
<b>DISBURSEMENTS</b>						
DISBURSEMENTS	60,179.65	877.44		60,179.65	877.44	
<b>TOTAL DISBURSEMENTS</b>	<b>60,179.65</b>	<b>877.44</b>	<b>0.00</b>	<b>60,179.65</b>	<b>877.44</b>	<b>0.00</b>
<b>CASH RECEIPTS</b>						
CASH RECEIVED	139.14			139.14		
<b>TOTAL CASH RECEIPTS</b>	<b>139.14</b>	<b>0.00</b>	<b>0.00</b>	<b>139.14</b>	<b>0.00</b>	<b>0.00</b>
<b>FEES</b>						
FEES	10,897.23			10,897.23		
<b>TOTAL FEES</b>	<b>10,897.23</b>	<b>0.00</b>	<b>0.00</b>	<b>10,897.23</b>	<b>0.00</b>	<b>0.00</b>
<b>MISCELLANEOUS</b>	<b>47,268.70</b>	<b>47,268.70</b>	<b>24.42</b>	<b>47,268.70</b>	<b>47,268.70</b>	<b>24.42</b>
<b>ENDING BALANCE</b>	<b>0.00</b>	<b>65.86</b>	<b>1,080,705.53</b>	<b>0.00</b>	<b>65.86</b>	<b>1,080,705.53</b>

TRANSACTION STATEMENT

DATE	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST	GAIN / LOSS
04/01/15	BEGINNING BALANCE	0.00	37.27	1,104,619.76	
	NET FOR ALL CASH MANAGEMENT FUNDS	17,495.09	23,042.03	40,537.12	
	001317205 AIA GROUP LTD				
06/08/15	DIVIDEND ON 163 SHS AIA GROUP LTD AT 175379 PER SHARE PAYABLE 06/08/2015 EX DATE 05/08/2015		28.59		
06/08/15	ADR FEES ON AIA GROUP LTD		3.26		

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