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Return of Private Foundation

OMB No 1545-0052

Department of the Treasury
Internal Revenue Serviceor Section 4947(a)(1) Trust Treated as Private Foundation
Do not enter social security numbers on this form as it may be made public.Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning APR 1, 2015, and ending MAR 31, 2016

Name of foundation THE HOROWITZ FOUNDATION FOR SOCIAL POLICY		A Employer identification number 31-1612153
Number and street (or P O box number if mail is not delivered to street address) 1247 STATE ROAD ROUTE 206		B Telephone number 609-921-1479
City or town, state or province, country, and ZIP or foreign postal code PRINCETON, NJ 08540		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☒ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 3,630,767.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		3,000.			
2 Check ☒ If the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		151,357.	151,132.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		<24,269.>			
b Gross sales price for all assets on line 6a		176,006.			
7 Capital gain net income (from Part IV, line 2)			0.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		4,388.	1,837.	0.	STATEMENT 2
12 Total. Add lines 1 through 11		134,476.	152,969.	0.	
13 Compensation of officers, directors, trustees, etc		0.	0.	0.	0.
14 Other employee salaries and wages		19,395.	0.	0.	0.
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 3		5,390.	2,695.	0.	0.
c Other professional fees STMT 4		6,393.	0.	0.	0.
17 Interest		23.	23.	0.	0.
18 Taxes STMT 5		189.	0.	0.	0.
19 Depreciation and depletion					
20 Occupancy		20,792.	0.	0.	0.
21 Travel, conferences, and meetings		2,598.	0.	0.	0.
22 Printing and publications					
23 Other expenses STMT 6		35,101.	0.	0.	0.
24 Total operating and administrative expenses. Add lines 13 through 23		89,881.	2,718.	0.	0.
25 Contributions, gifts, grants paid		170,500.			170,500.
26 Total expenses and disbursements. Add lines 24 and 25		260,381.	2,718.	0.	170,500.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<125,905.>			
b Net investment income (if negative, enter -0-)			150,251.		
c Adjusted net income (if negative, enter -0-)				0.	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	3,801,553.	3,630,700.	3,630,700.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
	Liabilities	11 Investments - land, buildings, and equipment: basis ▶		
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 8		13,491.	67.	67.
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		3,815,044.	3,630,767.	3,630,767.
17 Accounts payable and accrued expenses				
18 Grants payable				
19 Deferred revenue				
20 Loans from officers, directors, trustees, and other disqualified persons				
21 Mortgages and other notes payable				
22 Other liabilities (describe ▶)				
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	3,815,044.	3,630,767.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances	3,815,044.	3,630,767.		
31 Total liabilities and net assets/fund balances	3,815,044.	3,630,767.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,815,044.
2 Enter amount from Part I, line 27a	2	<125,905.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	3,689,139.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 7	5	58,372.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,630,767.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a MERILL LYNCH	P		03/31/16
b MERILL LYNCH	P		03/31/16
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 40,843.		62,542.	<21,699.>
b 135,163.		137,733.	<2,570.>
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			<21,699.>
b			<2,570.>
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	<24,269.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☒ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014			
2013			
2012			
2011			
2010			

- | | | |
|---|---|--|
| 2 Total of line 1, column (d) | 2 | |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | |
| 4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5 | 4 | |
| 5 Multiply line 4 by line 3 | 5 | |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | 6 | |
| 7 Add lines 5 and 6 | 7 | |
| 8 Enter qualifying distributions from Part XII, line 4
If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions. | 8 | |

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1.

Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b

c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

3 Add lines 1 and 2

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-

6 Credits/Payments:

a 2015 estimated tax payments and 2014 overpayment credited to 2015

b Exempt foreign organizations - tax withheld at source

c Tax paid with application for extension of time to file (Form 8868)

d Backup withholding erroneously withheld

7 Total credits and payments. Add lines 6a through 6d

8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached

9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed

10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid

11 Enter the amount of line 10 to be: Credited to 2016 estimated tax ☐

Refunded ☐

Part VII-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?

If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities

c Did the foundation file Form 1120-POL for this year?

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:

(1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?

If "Yes," attach a detailed description of the activities

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

b If "Yes," has it filed a tax return on Form 990-T for this year?

N/A

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?

If "Yes," attach the statement required by General Instruction T.

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:

• By language in the governing instrument, or

• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV

8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐

NJ

b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV

10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

	Yes	No
1a		X
1b		X
1c		X
2		X
3		X
4a		X
4b		
5		X
6	X	
7	X	
8b	X	
9		X
10		X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.HOROWITZ-FOUNDATION.ORG</u>	X	
14 The books are in care of ► <u>MANAGEMENT</u> Telephone no. ► <u>609-921-1479</u> Located at ► <u>1247 STATE ROAD RT 206, PRINCETON, NJ</u> ZIP+4 ► <u>08540</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A		
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► N/A		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? If "Yes," list the years ► <u>2014</u> , <u>2013</u> , _____ ☒ Yes ☐ No b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015) N/A		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☒ Yes ☐ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A** ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **N/A**

5b ☒

6b ☒

7b ☐

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 9		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

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Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
	0.
2	
3	
4	

Part IX-B

Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	3,324,877.
b	Average of monthly cash balances	1b	276,782.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	3,601,659.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	3,601,659.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	54,025.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,547,634.
6	Minimum investment return. Enter 5% of line 5	6	177,382.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	177,382.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	3,005.
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	3,005.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	174,377.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	174,377.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	174,377.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	170,500.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	170,500.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	170,500.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				174,377.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			180,149.	
b Total for prior years:				
2013		29,828.		
3 Excess distributions carryover, if any, to 2015:				
a From 2010				
b From 2011				
c From 2012				
d From 2013				
e From 2014				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2015 from Part XII, line 4: ▶ \$				
a Applied to 2014, but not more than line 2a			170,500.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2015 distributable amount				0.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		29,828.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		29,828.		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr.			9,649.	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				174,377.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2011				
b Excess from 2012				
c Excess from 2013				
d Excess from 2014				
e Excess from 2015				

N/A

4942(j)(3) or 4942(j)(5)

(4) Gross investment income

[illegible]

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**THE HOROWITZ FOUNDATION
FOR SOCIAL POLICY**

Form 990-PF (2015)

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Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution * *	Amount
Name and address (home or business)				
a Paid during the year				
JULIA SHU HUAH WANG 600 WEST 113 STREET APT 11C6 NEW YORK, NY 10025			GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING ANTHROPOLOGY, AREA	5,000.
STEPHEN J COULTHART 535 SOUTH MESA HILLS DR APT 812 EL PASO, TX 79912			GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING ANTHROPOLOGY, AREA	2,500.
LUKE FAIRBANKS DUKE UNIVERSITY / MARINE LAB BEAUFORT, NC 28516			GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING ANTHROPOLOGY, AREA	2,500.
HILLARY ANGELO SOCIOLOGY DEPARTMENT 1156 HIGH STREET SANTA CRUZ, CA 95064			GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING ANTHROPOLOGY, AREA	2,500.
ERIC CROSBIE 5029 OAK PARK WAY SANTA ROSA, CA 95409			GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING ANTHROPOLOGY, AREA	6,500.
Total	SEE CONTINUATION SHEET(S)			170,500.
b Approved for future payment				
NONE				
Total				0.

12 Subtotal. Add columns (b), (d), and (e)
13 Total. Add line 12, columns (b), (d), and (e)
(See worksheet in line 13 instructions to verify calculations.)

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

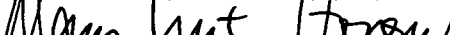
- | | | | | | | | | | | | | | | | | | | | | | |
|--|--|--|-----|-------|--|-------|--|-------|--|-------|--|-------|--|-------|--|-------|--|-------|--|----|--|
| <p>1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> <p>a Transfers from the reporting foundation to a noncharitable exempt organization of:</p> <p>(1) Cash</p> <p>(2) Other assets</p> <p>b Other transactions:</p> <p>(1) Sales of assets to a noncharitable exempt organization</p> <p>(2) Purchases of assets from a noncharitable exempt organization</p> <p>(3) Rental of facilities, equipment, or other assets</p> <p>(4) Reimbursement arrangements</p> <p>(5) Loans or loan guarantees</p> <p>(6) Performance of services or membership or fundraising solicitations</p> <p>c Sharing of facilities, equipment, mailing lists, other assets, or paid employees</p> <p>d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.</p> | <table border="1"> <tr> <td data-bbox="1333 217 1386 280"></td> <td data-bbox="1386 217 1438 280">Yes</td> </tr> <tr> <td data-bbox="1333 280 1386 295">1a(1)</td> <td data-bbox="1386 280 1438 295"></td> </tr> <tr> <td data-bbox="1333 295 1386 310">1a(2)</td> <td data-bbox="1386 295 1438 310"></td> </tr> <tr> <td data-bbox="1333 310 1386 325">1b(1)</td> <td data-bbox="1386 310 1438 325"></td> </tr> <tr> <td data-bbox="1333 325 1386 340">1b(2)</td> <td data-bbox="1386 325 1438 340"></td> </tr> <tr> <td data-bbox="1333 340 1386 355">1b(3)</td> <td data-bbox="1386 340 1438 355"></td> </tr> <tr> <td data-bbox="1333 355 1386 370">1b(4)</td> <td data-bbox="1386 355 1438 370"></td> </tr> <tr> <td data-bbox="1333 370 1386 385">1b(5)</td> <td data-bbox="1386 370 1438 385"></td> </tr> <tr> <td data-bbox="1333 385 1386 400">1b(6)</td> <td data-bbox="1386 385 1438 400"></td> </tr> <tr> <td data-bbox="1333 400 1386 414">1c</td> <td data-bbox="1386 400 1438 414"></td> </tr> </table> | | Yes | 1a(1) | | 1a(2) | | 1b(1) | | 1b(2) | | 1b(3) | | 1b(4) | | 1b(5) | | 1b(6) | | 1c | |
| | Yes | | | | | | | | | | | | | | | | | | | | |
| 1a(1) | | | | | | | | | | | | | | | | | | | | | |
| 1a(2) | | | | | | | | | | | | | | | | | | | | | |
| 1b(1) | | | | | | | | | | | | | | | | | | | | | |
| 1b(2) | | | | | | | | | | | | | | | | | | | | | |
| 1b(3) | | | | | | | | | | | | | | | | | | | | | |
| 1b(4) | | | | | | | | | | | | | | | | | | | | | |
| 1b(5) | | | | | | | | | | | | | | | | | | | | | |
| 1b(6) | | | | | | | | | | | | | | | | | | | | | |
| 1c | | | | | | | | | | | | | | | | | | | | | |

	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.				May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No	
	 Signature of officer or trustee		8/12/16 Date		CHAIRMAN Title	
Paid Preparer Use Only	Print/Type preparer's name RENE R. VERGHESE, CPA		Preparer's signature 		Date 8/11/16	
	Check ☐ if self-employed		PTIN P01313553			
	Firm's name ▶ SCHULMAN LOBEL ET AL LLP				Firm's EIN ▶ 22-3840651	
	Firm's address ▶ 2 KING ARTHUR COURT, SUITE A NORTH BRUNSWICK, NJ 08902				Phone no. (908) 964-8300	

THE HOROWITZ FOUNDATION
FOR SOCIAL POLICY

31-1612153

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
MARK ALEXANDER SHIRK 132 S. PATTERSON PARK AVE APT 3 BALTIMORE, MD 21231			GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING ANTHROPOLOGY, AREA	2,500.
TIM K MACKEY 8950 VILLA LA JOLLA DR LA JOLLA, CA 92037			GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING ANTHROPOLOGY, AREA	5,000.
GAYLE ALBERDA 2805 UNIVERSITY AVENUE DES MOINES, IA 50311			GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING ANTHROPOLOGY, AREA	2,500.
MICHAEL POLSON 365 FIFTH AVENUE NEW YORK, NY 10016			GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING ANTHROPOLOGY, AREA	2,500.
LESLIE ACTON 135 DUKE MARINE LAB RD BEAUFORT, NC 28516			GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING ANTHROPOLOGY, AREA	5,000.
HILLARY ANGELO 295 LAFAYETTE STREET 4TH FLOOR NEW YORK, NY 10012			GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING ANTHROPOLOGY, AREA	5,000.
DENIA GARCIA 7521 N. WINCHESTER AVE. APT 1E CHICAGO, IL 60626			GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING ANTHROPOLOGY, AREA	5,000.
MARK GROSS 257 SOUTH 46TH STREET APT 1 PHILADELPHIA, PA 19139			GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING ANTHROPOLOGY, AREA	5,000.
BARNETT KOVEN 5 RIVERSIDE DRIVE APT 13C NEW YORK, NY 10023			GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING ANTHROPOLOGY, AREA	9,000.
BARBARA OLIVEIRA RAMOS 20 NEW WAY LANE GLOUCESTER, MA 01930			GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING ANTHROPOLOGY, AREA	7,500.
Total from continuation sheets				151,500.

THE HOROWITZ FOUNDATION
FOR SOCIAL POLICY

31-1612153

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
KAITLYN HARGER 509 JEFFERSON STREET MORGANTOWN, WV 26501			GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING ANTHROPOLOGY, AREA	2,500.
JOHN ROBINSON III 1563 WEST PRATT BLVD APT 3C CHICAGO, IL 60626			GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING ANTHROPOLOGY, AREA	10,000.
KATE PRIDE BROWN 825 STOCKELL STREET NASHVILLE, TN 37207			GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING ANTHROPOLOGY, AREA	2,500.
RUIRUI SUN 8633 MACEDONIA LAKE DRIVE CARY, NC 27518			GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING ANTHROPOLOGY, AREA	6,500.
MOLLY ELIZABETH REYNOLDS 716 MONROE STREET NE WASHINGTON, DC 20017			GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING ANTHROPOLOGY, AREA	2,500.
JOSEPH MATTHEW BROWN 91 PLEASANT STREET CAMBRIDGE, MA 02139			GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING ANTHROPOLOGY, AREA	2,500.
EVAN PERKOSKI 208 S. 37TH STREET ROOM 217 PHILADELPHIA, PA 19104			GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING ANTHROPOLOGY, AREA	2,500.
LAUREL ECKHOUSE 4514 WEST STREET OAKLAND, CA 94608			GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING ANTHROPOLOGY, AREA	6,500.
MICHAEL ALEXANDER MCCARTHY 376 LALUMIERE HALL MILWAUKEE, WI 53201			GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING ANTHROPOLOGY, AREA	2,500.
TALIA SHEE HUAH			GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING ANTHROPOLOGY, AREA	5,000.
Total from continuation sheets				

THE HOROWITZ FOUNDATION
FOR SOCIAL POLICY

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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ATHANASIOS ALTA BARKINDO			GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING ANTHROPOLOGY, AREA	5,000.
ALIDA CARLOS			GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING ANTHROPOLOGY, AREA	2,500.
SARAH BRAYNE 4 LONGFELLOW PLACE APT 802 BOSTON, MA 02114			GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING ANTHROPOLOGY, AREA	2,500.
SEUNG CHEOL LEE 5025 BROADWAY APT 5B NEW YORK, NY 10034			GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING ANTHROPOLOGY, AREA	6,500.
DAVID CIPLET BROWN UNIVERSITY PROVIDENCE, RI 02912			GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING ANTHROPOLOGY, AREA	2,500.
PATRICK SCHOETTNER 1405 N. 4TH AVE DURANT, OK 74701			GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING ANTHROPOLOGY, AREA	2,500.
BIBEK ADHIKARI 726 QUINCY STREET NW WASHINGTON, DC 20011			GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING ANTHROPOLOGY, AREA	5,000.
RAPHAEL CHARRON CHENIER 404 REMINGTON CIRCLE DURHAM, NC 27705			GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING ANTHROPOLOGY, AREA	5,000.
CATHERINE GILLIS 1246 WEST HOOD AVENUE APT 2 CHICAGO, IL 60660			GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING ANTHROPOLOGY, AREA	5,000.
JOSHUA JANSZ 103-07 DICKENS COURT CHAPEL HILL, NC 717514			GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING ANTHROPOLOGY, AREA	6,500.
Total from continuation sheets				

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3 Grants and Contributions Paid During the Year (Continuation)

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Part XV | **Supplementary Information**

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - JULIA SHU HUAH WANG

GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING
ANTHROPOLOGY, AREA STUDIES, ECONOMICS, POLITICAL SCIENCE, PSYCHOLOGY,
SOCIOLOGY, AND URBAN STUDIES, AS WELL AS NEWER AREAS SUCH AS EVALUATION
RESEARCH.

NAME OF RECIPIENT - STEPHEN J COULTHART

GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING
ANTHROPOLOGY, AREA STUDIES, ECONOMICS, POLITICAL SCIENCE, PSYCHOLOGY,
SOCIOLOGY, AND URBAN STUDIES, AS WELL AS NEWER AREAS SUCH AS EVALUATION
RESEARCH.

NAME OF RECIPIENT - LUKE FAIRBANKS

GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING
ANTHROPOLOGY, AREA STUDIES, ECONOMICS, POLITICAL SCIENCE, PSYCHOLOGY,
SOCIOLOGY, AND URBAN STUDIES, AS WELL AS NEWER AREAS SUCH AS EVALUATION
RESEARCH.

NAME OF RECIPIENT - HILLARY ANGELO

GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING
ANTHROPOLOGY, AREA STUDIES, ECONOMICS, POLITICAL SCIENCE, PSYCHOLOGY,
SOCIOLOGY, AND URBAN STUDIES, AS WELL AS NEWER AREAS SUCH AS EVALUATION
RESEARCH.

NAME OF RECIPIENT - ERIC CROSBIE

GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING
ANTHROPOLOGY, AREA STUDIES, ECONOMICS, POLITICAL SCIENCE, PSYCHOLOGY,
SOCIOLOGY, AND URBAN STUDIES, AS WELL AS NEWER AREAS SUCH AS EVALUATION

Part XV | **Supplementary Information**

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

RESEARCH.

NAME OF RECIPIENT - MARK ALEXANDER SHIRK

GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING
ANTHROPOLOGY, AREA STUDIES, ECONOMICS, POLITICAL SCIENCE, PSYCHOLOGY,
SOCIOLOGY, AND URBAN STUDIES, AS WELL AS NEWER AREAS SUCH AS EVALUATION
RESEARCH.

NAME OF RECIPIENT - TIM K MACKEY

GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING
ANTHROPOLOGY, AREA STUDIES, ECONOMICS, POLITICAL SCIENCE, PSYCHOLOGY,
SOCIOLOGY, AND URBAN STUDIES, AS WELL AS NEWER AREAS SUCH AS EVALUATION
RESEARCH.

NAME OF RECIPIENT - GAYLE ALBERDA

GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING
ANTHROPOLOGY, AREA STUDIES, ECONOMICS, POLITICAL SCIENCE, PSYCHOLOGY,
SOCIOLOGY, AND URBAN STUDIES, AS WELL AS NEWER AREAS SUCH AS EVALUATION
RESEARCH.

NAME OF RECIPIENT - MICHAEL POLSON

GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING
ANTHROPOLOGY, AREA STUDIES, ECONOMICS, POLITICAL SCIENCE, PSYCHOLOGY,
SOCIOLOGY, AND URBAN STUDIES, AS WELL AS NEWER AREAS SUCH AS EVALUATION
RESEARCH.

NAME OF RECIPIENT - LESLIE ACTON

GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING

Part XV | **Supplementary Information**

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

ANTHROPOLOGY, AREA STUDIES, ECONOMICS, POLITICAL SCIENCE, PSYCHOLOGY,
SOCIOLOGY, AND URBAN STUDIES, AS WELL AS NEWER AREAS SUCH AS EVALUATION
RESEARCH.

NAME OF RECIPIENT - HILLARY ANGELO

GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING
ANTHROPOLOGY, AREA STUDIES, ECONOMICS, POLITICAL SCIENCE, PSYCHOLOGY,
SOCIOLOGY, AND URBAN STUDIES, AS WELL AS NEWER AREAS SUCH AS EVALUATION
RESEARCH.

NAME OF RECIPIENT - DENIA GARCIA

GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING
ANTHROPOLOGY, AREA STUDIES, ECONOMICS, POLITICAL SCIENCE, PSYCHOLOGY,
SOCIOLOGY, AND URBAN STUDIES, AS WELL AS NEWER AREAS SUCH AS EVALUATION
RESEARCH.

NAME OF RECIPIENT - MARK GROSS

GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING
ANTHROPOLOGY, AREA STUDIES, ECONOMICS, POLITICAL SCIENCE, PSYCHOLOGY,
SOCIOLOGY, AND URBAN STUDIES, AS WELL AS NEWER AREAS SUCH AS EVALUATION
RESEARCH.

NAME OF RECIPIENT - BARNETT KOVEN

GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING
ANTHROPOLOGY, AREA STUDIES, ECONOMICS, POLITICAL SCIENCE, PSYCHOLOGY,
SOCIOLOGY, AND URBAN STUDIES, AS WELL AS NEWER AREAS SUCH AS EVALUATION
RESEARCH.

Part XV | **Supplementary Information**

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - BARBARA OLIVEIRA RAMOS

GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING
ANTHROPOLOGY, AREA STUDIES, ECONOMICS, POLITICAL SCIENCE, PSYCHOLOGY,
SOCIOLOGY, AND URBAN STUDIES, AS WELL AS NEWER AREAS SUCH AS EVALUATION
RESEARCH.

NAME OF RECIPIENT - KAITLYN HARGER

GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING
ANTHROPOLOGY, AREA STUDIES, ECONOMICS, POLITICAL SCIENCE, PSYCHOLOGY,
SOCIOLOGY, AND URBAN STUDIES, AS WELL AS NEWER AREAS SUCH AS EVALUATION
RESEARCH.

NAME OF RECIPIENT - JOHN ROBINSON III

GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING
ANTHROPOLOGY, AREA STUDIES, ECONOMICS, POLITICAL SCIENCE, PSYCHOLOGY,
SOCIOLOGY, AND URBAN STUDIES, AS WELL AS NEWER AREAS SUCH AS EVALUATION
RESEARCH.

NAME OF RECIPIENT - KATE PRIDE BROWN

GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING
ANTHROPOLOGY, AREA STUDIES, ECONOMICS, POLITICAL SCIENCE, PSYCHOLOGY,
SOCIOLOGY, AND URBAN STUDIES, AS WELL AS NEWER AREAS SUCH AS EVALUATION
RESEARCH.

NAME OF RECIPIENT - RUIRUI SUN

GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING
ANTHROPOLOGY, AREA STUDIES, ECONOMICS, POLITICAL SCIENCE, PSYCHOLOGY,
SOCIOLOGY, AND URBAN STUDIES, AS WELL AS NEWER AREAS SUCH AS EVALUATION

Part XV Supplementary Information

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

RESEARCH.

NAME OF RECIPIENT - MOLLY ELIZABETH REYNOLDS

GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING
ANTHROPOLOGY, AREA STUDIES, ECONOMICS, POLITICAL SCIENCE, PSYCHOLOGY,
SOCIOLOGY, AND URBAN STUDIES, AS WELL AS NEWER AREAS SUCH AS EVALUATION
RESEARCH.

NAME OF RECIPIENT - JOSEPH MATTHEW BROWN

GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING
ANTHROPOLOGY, AREA STUDIES, ECONOMICS, POLITICAL SCIENCE, PSYCHOLOGY,
SOCIOLOGY, AND URBAN STUDIES, AS WELL AS NEWER AREAS SUCH AS EVALUATION
RESEARCH.

NAME OF RECIPIENT - EVAN PERKOSKI

GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING
ANTHROPOLOGY, AREA STUDIES, ECONOMICS, POLITICAL SCIENCE, PSYCHOLOGY,
SOCIOLOGY, AND URBAN STUDIES, AS WELL AS NEWER AREAS SUCH AS EVALUATION
RESEARCH.

NAME OF RECIPIENT - LAUREL ECKHOUSE

GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING
ANTHROPOLOGY, AREA STUDIES, ECONOMICS, POLITICAL SCIENCE, PSYCHOLOGY,
SOCIOLOGY, AND URBAN STUDIES, AS WELL AS NEWER AREAS SUCH AS EVALUATION
RESEARCH.

NAME OF RECIPIENT - MICHAEL ALEXANDER MCCARTHY

GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING

Part XV | **Supplementary Information**

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

ANTHROPOLOGY, AREA STUDIES, ECONOMICS, POLITICAL SCIENCE, PSYCHOLOGY,
SOCIOLOGY, AND URBAN STUDIES, AS WELL AS NEWER AREAS SUCH AS EVALUATION
RESEARCH.

NAME OF RECIPIENT - TALIA SHEE HUAH

GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING
ANTHROPOLOGY, AREA STUDIES, ECONOMICS, POLITICAL SCIENCE, PSYCHOLOGY,
SOCIOLOGY, AND URBAN STUDIES, AS WELL AS NEWER AREAS SUCH AS EVALUATION
RESEARCH.

NAME OF RECIPIENT - ATHANASIOS ALTA BARKINDO

GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING
ANTHROPOLOGY, AREA STUDIES, ECONOMICS, POLITICAL SCIENCE, PSYCHOLOGY,
SOCIOLOGY, AND URBAN STUDIES, AS WELL AS NEWER AREAS SUCH AS EVALUATION
RESEARCH.

NAME OF RECIPIENT - ALIDA CARLOS

GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING
ANTHROPOLOGY, AREA STUDIES, ECONOMICS, POLITICAL SCIENCE, PSYCHOLOGY,
SOCIOLOGY, AND URBAN STUDIES, AS WELL AS NEWER AREAS SUCH AS EVALUATION
RESEARCH.

NAME OF RECIPIENT - SARAH BRAYNE

GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING
ANTHROPOLOGY, AREA STUDIES, ECONOMICS, POLITICAL SCIENCE, PSYCHOLOGY,
SOCIOLOGY, AND URBAN STUDIES, AS WELL AS NEWER AREAS SUCH AS EVALUATION
RESEARCH.

Part XV Supplementary Information

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - SEUNG CHEOL LEE

GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING
ANTHROPOLOGY, AREA STUDIES, ECONOMICS, POLITICAL SCIENCE, PSYCHOLOGY,
SOCIOLOGY, AND URBAN STUDIES, AS WELL AS NEWER AREAS SUCH AS EVALUATION
RESEARCH.

NAME OF RECIPIENT - DAVID CIPLET

GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING
ANTHROPOLOGY, AREA STUDIES, ECONOMICS, POLITICAL SCIENCE, PSYCHOLOGY,
SOCIOLOGY, AND URBAN STUDIES, AS WELL AS NEWER AREAS SUCH AS EVALUATION
RESEARCH.

NAME OF RECIPIENT - PATRICK SCHOETTNER

GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING
ANTHROPOLOGY, AREA STUDIES, ECONOMICS, POLITICAL SCIENCE, PSYCHOLOGY,
SOCIOLOGY, AND URBAN STUDIES, AS WELL AS NEWER AREAS SUCH AS EVALUATION
RESEARCH.

NAME OF RECIPIENT - BIBEK ADHIKARI

GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING
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SOCIOLOGY, AND URBAN STUDIES, AS WELL AS NEWER AREAS SUCH AS EVALUATION
RESEARCH.

NAME OF RECIPIENT - RAPHAEL CHARRON CHENIER

GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING
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SOCIOLOGY, AND URBAN STUDIES, AS WELL AS NEWER AREAS SUCH AS EVALUATION

Part XV | **Supplementary Information**

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

RESEARCH.

NAME OF RECIPIENT - CATHERINE GILLIS

GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING
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NAME OF RECIPIENT - JOSHUA JANSA

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RESEARCH.

NAME OF RECIPIENT - JONAH LIPTON

GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING
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NAME OF RECIPIENT - BETH RED BIRD

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RESEARCH.

NAME OF RECIPIENT - YING SHI

GRANT FOR WORK IN MAJOR AREAS OF THE SOCIAL SCIENCES, INCLUDING

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ANTHROPOLOGY, AREA STUDIES, ECONOMICS, POLITICAL SCIENCE, PSYCHOLOGY,
SOCIOLOGY, AND URBAN STUDIES, AS WELL AS NEWER AREAS SUCH AS EVALUATION
RESEARCH.

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
K1-SUNOCO LOGISTICS PARTNERS LP	93.	0.	93.	93.	93.
MERRILL LYNCH MERRILL LYNCH-DIVIDEND INCOME	225.	0.	225.	0.	225.
MERRILL LYNCH-INTEREST INCOME	141,635.	0.	141,635.	141,635.	141,635.
	9,404.	0.	9,404.	9,404.	9,404.
TO PART I, LINE 4	151,357.	0.	151,357.	151,132.	151,357.

FORM 990-PF	OTHER INCOME	STATEMENT	2
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
K1-SUNOCO LOGISTICS PARTNERS LP	<995.>	0.	0.
OTHER INCOME	1,837.	1,837.	0.
K1-SUNOCO LOGISTICS PARTNERS LP	3,546.	0.	0.
TOTAL TO FORM 990-PF, PART I, LINE 11	4,388.	1,837.	0.

FORM 990-PF	ACCOUNTING FEES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	5,390. 0.	2,695. 0.	0. 0.	0. 0.
TO FORM 990-PF, PG 1, LN 16B	5,390.	2,695.	0.	0.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ADMINISTRATIVE STAFF	6,333.	0.	0.	0.
DUES AND SUBSCRIPTIONS	60.	0.	0.	0.
TO FORM 990-PF, PG 1, LN 16C	6,393.	0.	0.	0.

FORM 990-PF	TAXES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES PAID	189.	0.	0.	0.
TO FORM 990-PF, PG 1, LN 18	189.	0.	0.	0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PAYROLL SERVICE FEES	1,188.	0.	0.	0.
PAYROLL TAXES	2,563.	0.	0.	0.
MERRILL LYNCH FEES	11,661.	0.	0.	0.
INSURANCE	1,771.	0.	0.	0.
MISCELLANEOUS	140.	0.	0.	0.
COMPUTER SOFTWARE	8,700.	0.	0.	0.
INVESTMENT ADVISORY FEES	6,560.	0.	0.	0.
MARKETING	2,072.	0.	0.	0.
SUPPLIES	446.	0.	0.	0.
TO FORM 990-PF, PG 1, LN 23	35,101.	0.	0.	0.

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	7
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DESCRIPTION	AMOUNT
UNREALIZED GAINS	41,571.
FEDERAL TAXES	13,255.
K1-SUNOCO LOGISTICS PARTNERS LP	3,546.
TOTAL TO FORM 990-PF, PART III, LINE 5	58,372.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	8
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
INVESTMENT IN PTPS	COST	67.	67.
TOTAL TO FORM 990-PF, PART II, LINE 13		67.	67.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS STATEMENT 9
 TRUSTEES AND FOUNDATION MANAGERS

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
MARY E. CURTIS 1247 STATE ROAD RT 206 PRINCETON, NJ 08540	CHAIRMAN 10.00	0.	0.	0.
ROSEMARY A. STEVENS CORNELL UNIVERSITY SCHOOL OF MED NEW YORK, NY 10021	TRUSTEE 0.00	0.	0.	0.
RAY C. RIST THE WORLD BANK WASHINGTON, DC 20433	TRUSTEE 0.00	0.	0.	0.
JONATHAN D. BRUEL IBM CENTER FOR THE BUSINESS OF GOVT WASHINGTON, DC 20005	TRUSTEE 0.00	0.	0.	0.
JEFFREY I. ROSS UNIVERSITY OF BALTIMORE BALTIMORE, MD 21201	TRUSTEE 0.00	0.	0.	0.
DAVID J. ARMOR GEORGE MASON UNIVERSITY FAIRFAX, VA 22030	TRUSTEE 0.00	0.	0.	0.
JAAN VALSINER AALBORG UNIVERSITY AALBORG, DENMARK	TRUSTEE 0.00	0.	0.	0.
JAMES T. BENNETT GEORGE MASON UNIVERSITY FAIRFAX, VA 22030	TRUSTEE 0.00	0.	0.	0.
GEORGIA PERSONS GEORGIA INSTITUTE OF TECHONOLOGY ATLANTA, GA 30332	TRUSTEE 0.00	0.	0.	0.
ROSALIA RODRIGUEZ-GARCIA THE WORLD BANK WASHINGTON, DC 20433	TRUSTEE 0.00	0.	0.	0.

THE HOROWITZ FOUNDATION FOR SOCIAL POLIC

31-1612153

J CHRISTOPHER MIHM TRUSTEE
 UNITED STATES GOVERNMENT
 ACCOUNTABILITY OFFICE 0.00
 WASHINGTON, DC 20548

JAMES WRIGHT TRUSTEE
 UNIVERSITY OF CENTRAL FLORIDA 0.00
 ORLANDO, FL 32816

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII

0.	0.	0.
0.	0.	0.
0.	0.	0.