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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning APR 1, 2015, and ending MAR 31, 2016

| | | |
|---|--|--|
| Name of foundation J. IRA & NICKI HARRIS FAMILY FOUNDATION, INC. | | A Employer identification number 26-0818520 |
| Number and street (or P.O. box number if mail is not delivered to street address) CALER DONTEN LEVINE 505 S FLAGLER DR | | B Telephone number 561-832-9292 |
| City or town, state or province, country, and ZIP or foreign postal code WEST PALM BEACH, FL 33401 | | C If exemption application is pending, check here <input type="checkbox"/> |
| G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change | | D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> |
| H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation | | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> |
| I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 29,014,693. (Part I, column (d) must be on cash basis) | | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/> |

| Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a)) | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|--|--|------------------------------------|---------------------------|-------------------------|---|
| 1 Contributions, gifts, grants, etc., received | | | | N/A | |
| 2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B | | | | | |
| 3 Interest on savings and temporary cash investments | | | | | |
| 4 Dividends and interest from securities | | 130,352. | 130,352. | | STATEMENT 1 |
| 5a Gross rents | | | | | |
| b Net rental income or (loss) | | | | | |
| 6a Net gain or (loss) from sale of assets not on line 10 | | 1,640,257. | | | |
| b Gross sales price for all assets on line 6a 4,436,193. | | | | | |
| 7 Capital gain net income (from Part IV, line 2) | | | 1,640,257. | | |
| 8 Net short-term capital gain | | | | | |
| 9 Income modifications | | | | | |
| 10a Gross sales less returns and allowances | | | | | |
| b Less Cost of goods sold | | | | | |
| c Gross profit or (loss) | | | | | |
| 11 Other income | | 229,993. | 2,788. | | STATEMENT 2 |
| 12 Total Add lines 1 through 11 | | 2,000,602. | 1,773,397. | | |
| 13 Compensation of officers, directors, trustees, etc | | 0. | 0. | | 0. |
| 14 Other employee salaries and wages | | | | | |
| 15 Pension plans, employee benefits | | | | | |
| 16a Legal fees STMT 3 | | 2,089. | 1,045. | | 1,044. |
| b Accounting fees STMT 4 | | 10,000. | 10,000. | | 0. |
| c Other professional fees | | | | | |
| 17 Interest | | | | | |
| 18 Taxes STMT 5 | | 34,567. | 0. | | 0. |
| 19 Depreciation and depletion | | | | | |
| 20 Occupancy | | | | | |
| 21 Travel, conferences, and meetings | | | | | |
| 22 Printing and publications | | | | | |
| 23 Other expenses STMT 6 | | 46,743. | 46,648. | | 95. |
| 24 Total operating and administrative expenses. Add lines 13 through 23 | | 93,399. | 57,693. | | 1,139. |
| 25 Contributions, gifts, grants paid | | 1,817,700. | | | 1,817,700. |
| 26 Total expenses and disbursements. Add lines 24 and 25 | | 1,911,099. | 57,693. | | 1,818,839. |
| 27 Subtract line 26 from line 12: | | | | | |
| a Excess of revenue over expenses and disbursements | | 89,503. | | | |
| b Net investment income (if negative, enter -0-) | | | 1,715,704. | | |
| c Adjusted net income (if negative, enter -0-) | | | | N/A | |

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| Part II Balance Sheets | | Attached schedules and amounts in the description column should be for end-of-year amounts only | | Beginning of year | End of year | |
|--|---|---|----------------|-----------------------|-------------|-------------|
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value | | |
| Assets | 1 Cash - non-interest-bearing | | | | 2. | 2. |
| | 2 Savings and temporary cash investments | | | 6,629,588. | 5,140,626. | 5,140,626. |
| | 3 Accounts receivable ▶ | | | | | |
| | Less: allowance for doubtful accounts ▶ | | | | | |
| | 4 Pledges receivable ▶ | | | | | |
| | Less: allowance for doubtful accounts ▶ | | | | | |
| | 5 Grants receivable | | | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons | | | | | |
| | 7 Other notes and loans receivable ▶ | | | | | |
| | Less: allowance for doubtful accounts ▶ | | | | | |
| | 8 Inventories for sale or use | | | | | |
| | 9 Prepaid expenses and deferred charges | | | | | |
| | 10a Investments - U.S. and state government obligations | | | | | |
| | b Investments - corporate stock STMT 7 | | | 3,377,479. | 3,940,292. | 3,879,406. |
| | c Investments - corporate bonds | | | | | |
| | Liabilities | 11 Investments - land, buildings, and equipment basis ▶ | | | | |
| Less accumulated depreciation ▶ | | | | | | |
| 12 Investments - mortgage loans | | | | | | |
| 13 Investments - other | | | | | | |
| 14 Land, buildings, and equipment basis ▶ | | | | | | |
| Less accumulated depreciation ▶ | | | | | | |
| 15 Other assets (describe STATEMENT 8) | | | | 10,726,042. | 11,428,192. | 19,994,659. |
| 16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I) | | | | 20,733,109. | 20,509,112. | 29,014,693. |
| 17 Accounts payable and accrued expenses | | | | 323,500. | 10,000. | |
| 18 Grants payable | | | | | | |
| Net Assets or Fund Balances | 19 Deferred revenue | | | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | | | |
| | 21 Mortgages and other notes payable | | | | | |
| | 22 Other liabilities (describe ▶) | | | | | |
| 23 Total liabilities (add lines 17 through 22) | | | 323,500. | 10,000. | | |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ <input type="checkbox"/> | | | | | |
| | 24 Unrestricted | | | | | |
| | 25 Temporarily restricted | | | | | |
| | 26 Permanently restricted | | | | | |
| | Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/> | | | | | |
| | 27 Capital stock, trust principal, or current funds | | | 20,409,609. | 20,499,112. | |
| | 28 Paid-in or capital surplus, or land, bldg., and equipment fund | | | 0. | 0. | |
| | 29 Retained earnings, accumulated income, endowment, or other funds | | | 0. | 0. | |
| 30 Total net assets or fund balances | | | 20,409,609. | 20,499,112. | | |
| 31 Total liabilities and net assets/fund balances | | | 20,733,109. | 20,509,112. | | |

Part III Analysis of Changes in Net Assets or Fund Balances

| | | |
|---|---|-------------|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 20,409,609. |
| 2 Enter amount from Part I, line 27a | 2 | 89,503. |
| 3 Other increases not included in line 2 (itemize) ▶ | 3 | 0. |
| 4 Add lines 1, 2, and 3 | 4 | 20,499,112. |
| 5 Decreases not included in line 2 (itemize) ▶ | 5 | 0. |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 20,499,112. |

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Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|--|--------------------------------------|----------------------------------|
| 1a | | | |
| b SEE ATTACHED STATEMENTS | | | |
| c | | | |
| d | | | |
| e | | | |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a | | | |
| b | | | |
| c | | | |
| d | | | |
| e 4,436,193. | | 3,038,128. | 1,640,257. |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) |
|---------------------------|--------------------------------------|---|---|
| a | | | |
| b | | | |
| c | | | |
| d | | | |
| e | | | 1,640,257. |

| | | |
|---|---|------------|
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 } | 2 | 1,640,257. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 | 3 | N/A |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|---|---------------------------------------|---|--|
| 2014 | 2,290,776. | 30,442,811. | .075249 |
| 2013 | 1,162,806. | 29,699,435. | .039152 |
| 2012 | 746,587. | 26,883,405. | .027771 |
| 2011 | 2,160,630. | 25,600,318. | .084399 |
| 2010 | 1,487,135. | 26,464,066. | .056195 |

| | | |
|--|---|-------------|
| 2 Total of line 1, column (d) | 2 | .282766 |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | .056553 |
| 4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5 | 4 | 29,766,520. |
| 5 Multiply line 4 by line 3 | 5 | 1,683,386. |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 17,157. |
| 7 Add lines 5 and 6 | 7 | 1,700,543. |
| 8 Enter qualifying distributions from Part XII, line 4 | 8 | 1,818,839. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

| | | | |
|--|----|---------|----------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions) | | | |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b | | 1 | 17,157. |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b). | | | |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | 2 | 0. |
| 3 Add lines 1 and 2 | | 3 | 17,157. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | 4 | 0. |
| 5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0- | | 5 | 17,157. |
| 6 Credits/Payments: | | | |
| a 2015 estimated tax payments and 2014 overpayment credited to 2015 | 6a | 35,800. | |
| b Exempt foreign organizations - tax withheld at source | 6b | | |
| c Tax paid with application for extension of time to file (Form 8868) | 6c | | |
| d Backup withholding erroneously withheld | 6d | | |
| 7 Total credits and payments. Add lines 6a through 6d | 7 | 35,800. | |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached | 8 | | |
| 9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed | 9 | | |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid | 10 | 18,643. | |
| 11 Enter the amount of line 10 to be: Credited to 2016 estimated tax | 11 | 17,160. | Refunded |

Part VII-A Statements Regarding Activities

| | Yes | No |
|--|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | | X |
| 1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. | | X |
| c Did the foundation file Form 1120-POL for this year? | | X |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0. | | |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0. | | |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities. | | X |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes | | X |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? | | X |
| b If "Yes," has it filed a tax return on Form 990-T for this year? | | |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T. | | X |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | X | |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV | X | |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>FL</u> | | |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation | X | |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV | | X |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | | X |

N/A

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Part VII-A Statements Regarding Activities (continued)

| | | Yes | No |
|--|----|-----|----|
| 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | X |
| 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | X |
| 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u> | 13 | X | |
| 14 The books are in care of ► <u>CALER, DONTEN, LEVINE</u> Telephone no. ► <u>561-832-9292</u> Located at ► <u>505 S. FLAGLER DR. STE 900, WEST PALM BEACH, FL</u> ZIP+4 ► <u>33401</u> | | | |
| 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► <u>15</u> <u>N/A</u> | | | |
| 16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ► | 16 | | X |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

| | | Yes | No |
|---|----|-----|----|
| 1a During the year did the foundation (either directly or indirectly): | | | |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A ► <input type="checkbox"/> | 1b | | |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? | 1c | | X |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | | |
| a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► _____, _____, _____ | | | |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A | 2b | | |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____ | | | |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015) N/A | 3b | | |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | X |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015? | 4b | | X |

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

X

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|---|---|---|---------------------------------------|
| SEE STATEMENT 9 | | 0. | 0. | 0. |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|--|---------------------|------------------|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| Total number of others receiving over \$50,000 for professional services | | 0 |

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

| | Expenses |
|---|----------|
| 1 PLEASE NOTE, THE FOUNDATION IS NOT INVOLVED IN ANY DIRECT CHARITABLE ACTIVITIES. ITS PRIMARY PURPOSE IS TO SUPPORT, BY CONTRIBUTIONS, OTHER ORGANIZATIONS EXEMPT UNDER INTERNAL REVENUE CODE SECTION 501(C)(3). | 0. |
| 2 | 0. |
| 3 | |
| 4 | |

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

| | Amount |
|--|--------|
| 1 N/A | 0. |
| 2 N/A | 0. |
| All other program-related investments. See instructions. | |
| 3 N/A | 0. |
| Total. Add lines 1 through 3 | 0. |

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Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|----------|---|-----------|-------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities | 1a | 3,679,638. |
| b | Average of monthly cash balances | 1b | 6,649,792. |
| c | Fair market value of all other assets | 1c | 19,890,387. |
| d | Total (add lines 1a, b, and c) | 1d | 30,219,817. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e | 0. |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0. |
| 3 | Subtract line 2 from line 1d | 3 | 30,219,817. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 | 453,297. |
| 5 | Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 29,766,520. |
| 6 | Minimum investment return. Enter 5% of line 5 | 6 | 1,488,326. |

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

| | | | |
|-----------|---|-----------|------------|
| 1 | Minimum investment return from Part X, line 6 | 1 | 1,488,326. |
| 2a | Tax on investment income for 2015 from Part VI, line 5 | 2a | 17,157. |
| b | Income tax for 2015. (This does not include the tax from Part VI.) | 2b | |
| c | Add lines 2a and 2b | 2c | 17,157. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | 3 | 1,471,169. |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | 0. |
| 5 | Add lines 3 and 4 | 5 | 1,471,169. |
| 6 | Deduction from distributable amount (see instructions) | 6 | 0. |
| 7 | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7 | 1,471,169. |

Part XII

Qualifying Distributions (see instructions)

| | | | |
|----------|---|-----------|------------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 1,818,839. |
| b | Program-related investments - total from Part IX-B | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 1,818,839. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5 | 17,157. |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4 | 6 | 1,801,682. |

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2015)

**J. IRA & NICKI HARRIS FAMILY
FOUNDATION, INC.**

Form 990-PF (2015)

26-0818520 Page 9

Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2014 | (c) 2014 | (d) 2015 |
|--|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2015 from Part XI, line 7 | | | | 1,471,169. |
| 2 Undistributed income, if any, as of the end of 2015 | | | 0. | |
| a Enter amount for 2014 only | | | 0. | |
| b Total for prior years: | | 0. | | |
| 3 Excess distributions carryover, if any, to 2015: | | | | |
| a From 2010 | 167,669. | | | |
| b From 2011 | 882,506. | | | |
| c From 2012 | | | | |
| d From 2013 | | | | |
| e From 2014 | 840,233. | | | |
| f Total of lines 3a through e | 1,890,408. | | | |
| 4 Qualifying distributions for 2015 from Part XII, line 4. ► \$ | 1,818,839. | | 0. | |
| a Applied to 2014, but not more than line 2a | | | 0. | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | 0. | | |
| c Treated as distributions out of corpus (Election required - see instructions) | 0. | | | |
| d Applied to 2015 distributable amount | | | | 1,471,169. |
| e Remaining amount distributed out of corpus | 347,670. | | | |
| 5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a)) | 0. | | | 0. |
| 6 Enter the net total of each column as indicated below: | 2,238,078. | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0. | | |
| d Subtract line 6c from line 6b. Taxable amount - see instructions | | 0. | | |
| e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr. | | | 0. | |
| f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016 | | | | 0. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) | 0. | | | |
| 8 Excess distributions carryover from 2010 not applied on line 5 or line 7 | 167,669. | | | |
| 9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a | 2,070,409. | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2011 | 882,506. | | | |
| b Excess from 2012 | | | | |
| c Excess from 2013 | | | | |
| d Excess from 2014 | 840,233. | | | |
| e Excess from 2015 | 347,670. | | | |

N/A

- ☐ 4942(1)(3) or ☐ 4942(1)(5)

- (4) Gross investment income

[illegible]

13390726 139979 003036.025T 2015.04010 J. IRA & NICKI HARRIS FAMIL 003036M1

| | |
|----------------|--|
| Part XV | Supplementary Information (continued) |
|----------------|--|

3 Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|----------------------------------|------------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| SEE LIST ATTACHED | NONE | PC | | 1,817,700. |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| Total | | | ► 3a | 1,817,700. |
| b <i>Approved for future payment</i> | | | | |
| NONE | | | | |
| | | | | |
| | | | | |
| Total | | | ► 3b | 0. |

| Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income |
|---------------------------|---------------|--------------------------------------|---------------|---|
| (a) Business code | (b) Amount | (c) Exclu- sion code | (d) Amount | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | 14 | 130,352. | |
| | | | | |
| | | | | |
| | | | | |
| | | 18 | 229,993. | |
| | | 18 | 1,640,257. | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | 0. | | 2,000,602. | 0. |
| | | | 13 | 2,000,602. |

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Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. | | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|---|--|--------------------------------------|----------------------------------|
| 1a | FULL REDEMPTION BY PSHIP - LONE CASCADE LP | P | VARIOUS | 03/31/16 |
| b | FROM K-1- LONE CASCADE LP | P | VARIOUS | 03/31/16 |
| c | FROM K-1- LONE CASCADE LP | P | VARIOUS | 03/31/16 |
| d | FROM K-1- LONE CASCADE LP- 1256 GAIN | P | VARIOUS | 03/31/16 |
| e | FROM K-1- LONE CASCADE LP- 1256 GAIN | P | VARIOUS | 03/31/16 |
| f | FROM K-1- OCM/GFI POWER OPPORTUNITES FUND II LP | P | VARIOUS | 03/31/16 |
| g | DISTRIBUTIONS IN EXCESS OF BASIS - OCM/GFI POWER | P | VARIOUS | 03/31/16 |
| h | DISTRIBUTIONS IN EXCESS OF BASIS - SUMMIT VENTURE | P | VARIOUS | 03/31/16 |
| i | FROM K-1- SUMMIT VENTURES LP | P | VARIOUS | 03/31/16 |
| j | FROM K-1- WILLIAM BLAIR MEZZANINE CAPITAL FUND II | P | VARIOUS | 03/31/16 |
| k | LOSS ON LIQUIDATION - WILLIAM BLAIR MEZZANINE CAP | P | VARIOUS | 10/01/15 |
| l | LOSS ON REDEMPTION - BLACKROCK ASIA PACIFIC PARTN | P | VARIOUS | 06/01/15 |
| m | GAIN ON REDEMPTION - THIRTEEN PARTNERS OFFSHORE L | P | VARIOUS | 06/30/15 |
| n | GAIN ON REDEMPTION - THIRTEEN PARTNERS OFFSHORE L | P | VARIOUS | 12/31/15 |
| o | UBS #9386 - SEE STATEMENT ATTACHED | P | VARIOUS | 03/31/16 |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 1,715,454. | | 1,612,156. | 103,298. |
| b | | | -51,648. |
| c | | | 262,202. |
| d | | | 6,876. |
| e | | | 4,584. |
| f | | | 7,849. |
| g 23,780. | | | 23,780. |
| h 13,995. | | | 13,995. |
| i | | | 10,044. |
| j | | | 2,285. |
| k | | 26,923. | -26,923. |
| l 1,132. | | 3,098. | -1,966. |
| m 900,000. | | 470,996. | 429,004. |
| n 1,300,000. | | 680,479. | 619,521. |
| o 481,753. | | 244,476. | 237,277. |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") |
|---------------------------|--------------------------------------|---|---|
| a | | | 103,298. |
| b | | | -51,648. |
| c | | | 262,202. |
| d | | | 6,876. |
| e | | | 4,584. |
| f | | | 7,849. |
| g | | | 23,780. |
| h | | | 13,995. |
| i | | | 10,044. |
| j | | | 2,285. |
| k | | | -26,923. |
| l | | | -1,966. |
| m | | | 429,004. |
| n | | | 619,521. |
| o | | | 237,277. |

2 Capital gain net income or (net capital loss)

{ If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. | | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|--|--|--------------------------------------|----------------------------------|
| 1a DISTRIBUTIONS IN LIQUIDATION - SCF IV, LP | | P | VARIOUS | 03/31/16 |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |
| f | | | | |
| g | | | | |
| h | | | | |
| i | | | | |
| j | | | | |
| k | | | | |
| l | | | | |
| m | | | | |
| n | | | | |
| o | | | | |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 79. | | | 79. |
| b | | | |
| c | | | |
| d | | | |
| e | | | |
| f | | | |
| g | | | |
| h | | | |
| i | | | |
| j | | | |
| k | | | |
| l | | | |
| m | | | |
| n | | | |
| o | | | |

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") |
|---|--------------------------------------|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| a | | | 79. |
| b | | | |
| c | | | |
| d | | | |
| e | | | |
| f | | | |
| g | | | |
| h | | | |
| i | | | |
| j | | | |
| k | | | |
| l | | | |
| m | | | |
| n | | | |
| o | | | |

| | | | |
|--|--|----------|-------------------|
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 } | | 2 | 1,640,257. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 } | | 3 | N/A |

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

| SOURCE | GROSS AMOUNT | CAPITAL GAINS DIVIDENDS | (A) REVENUE PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME |
|--|-----------------|-------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| DIVIDENDS THRU K-1'S | 23,090. | 0. | 23,090. | 23,090. | |
| DIVIDENDS- UBS #9386 | 105,587. | 0. | 105,587. | 105,587. | |
| INTEREST THRU K-1'S | 52. | 0. | 52. | 52. | |
| INTEREST- DEPARTMENT OF THE TREASURY | 145. | 0. | 145. | 145. | |
| INTEREST- NT #6095 | 530. | 0. | 530. | 530. | |
| INTEREST- NT #9485 | 15. | 0. | 15. | 15. | |
| INTEREST- UBS #9386 | 933. | 0. | 933. | 933. | |
| TO PART I, LINE 4 | 130,352. | 0. | 130,352. | 130,352. | |

FORM 990-PF OTHER INCOME STATEMENT 2

| DESCRIPTION | (A) REVENUE PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME |
|--|-----------------------------|-----------------------------------|-------------------------------|
| OTHER INCOME THRU K-1'S | 277. | 277. | |
| SEC 988 INCOME | 2,511. | 2,511. | |
| RECEIVED FROM BERNARD L. MADOFF TRUSTEE | 227,205. | 0. | |
| TOTAL TO FORM 990-PF, PART I, LINE 11 | 229,993. | 2,788. | |

FORM 990-PF LEGAL FEES STATEMENT 3

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| HODGSON RUSS LLP | 2,089. | 1,045. | | 1,044. |
| TO FM 990-PF, PG 1, LN 16A | 2,089. | 1,045. | | 1,044. |

| FORM 990-PF | ACCOUNTING FEES | | | STATEMENT 4 |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
| CALER, DONTEN, LEVINE ET AL | 10,000. | 10,000. | | 0. |
| TO FORM 990-PF, PG 1, LN 16B | 10,000. | 10,000. | | 0. |

| FORM 990-PF | TAXES | | | STATEMENT 5 |
|--------------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
| -BAL DUE W/ EXT 990-PF 3/31/15 | 45,000. | 0. | | 0. |
| -LESS: PRIOR YEAR REFUND RECEIVED | -10,433. | 0. | | 0. |
| TO FORM 990-PF, PG 1, LN 18 | 34,567. | 0. | | 0. |

| FORM 990-PF | OTHER EXPENSES | | | STATEMENT 6 |
|-----------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
| FILING FEES | 61. | 61. | | 0. |
| PORTFOLIO DEDUCTIONS THRU K-1S | 44,729. | 44,729. | | 0. |
| FOREIGN TAX THRU K-1'S | 1,858. | 1,858. | | 0. |
| MEMBERSHIP DUES | 95. | 0. | | 95. |
| TO FORM 990-PF, PG 1, LN 23 | 46,743. | 46,648. | | 95. |

| FORM 990-PF | CORPORATE STOCK | STATEMENT | 7 |
|---|-----------------|-------------------|---|
| DESCRIPTION | BOOK VALUE | FAIR MARKET VALUE | |
| SEE LONG POSITION ATTACHED | 3,940,292. | 3,879,406. | |
| TOTAL TO FORM 990-PF, PART II, LINE 10B | 3,940,292. | 3,879,406. | |

| FORM 990-PF | OTHER ASSETS | STATEMENT | 8 |
|--|----------------------------|------------------------|-------------------|
| DESCRIPTION | BEGINNING OF YR BOOK VALUE | END OF YEAR BOOK VALUE | FAIR MARKET VALUE |
| BLACKROCK ASIA SPV A 2011 SER9 | 4,904. | 1,806. | 589. |
| DAVIDSON KEMPER LT DIST OPPTS FUND II | 50,000. | 201,681. | 195,915. |
| JOHN HANCOCK LIFE INSURANCE | 825,401. | 897,301. | 1,120,396. |
| LONE CASCADE LP | 2,165,844. | 0. | 0. |
| LONE CEDAR LTD. | 0. | 0. | 2,147,229. |
| LONE PINON LTD. | 0. | 0. | 741,572. |
| OCM/GFI POWER OPPTS FUND II | -18,745. | -11,307. | 3,522. |
| SUMMIT VENTURES VI-B LP | 0. | 0. | 69,861. |
| THIRTEEN PARTNERS CONCENTRATED, LTD. | 0. | 250,000. | 250,431. |
| THIRTEEN PARTNERS OFFSHORE LTD. | 7,668,451. | 6,516,976. | 11,964,296. |
| VENOR SPECIAL SITUATIONS OFFSHORE FUND | 0. | 356,281. | 323,554. |
| WARLANDER OFFSHORE FUND, LTD. | 0. | 1,500,000. | 1,461,840. |
| WILLIAM BLAIR MEZZ CAP FUND II | 30,187. | 0. | 0. |
| DUE FROM PARTNERSHIP - LONE CASCADE LP | 0. | 1,715,454. | 1,715,454. |
| TO FORM 990-PF, PART II, LINE 15 | 10,726,042. | 11,428,192. | 19,994,659. |

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 9

| NAME AND ADDRESS | TITLE AND AVRG HRS/WK | COMPEN- SATION | EMPLOYEE BEN PLAN CONTRIB | EXPENSE ACCOUNT |
|--|----------------------------|-------------------|------------------------------|--------------------|
| J. IRA HARRIS 220 SUNRISE AVENUE, STE. 210 PALM BEACH, FL 33480 | DIRECTOR/PRESIDENT 0.00 | 0. | 0. | 0. |
| NICKI HARRIS 220 SUNRISE AVENUE, STE. 210 PALM BEACH, FL 33480 | DIRECTOR/VP 0.00 | 0. | 0. | 0. |
| JONATHAN M HARRIS 220 SUNRISE AVENUE, STE. 210 PALM BEACH, FL 33480 | DIRECTOR/TREASURER 0.00 | 0. | 0. | 0. |
| JACQUELINE HARRIS HOCHBERG 220 SUNRISE AVENUE, STE. 210 PALM BEACH, FL 33480 | DIRECTOR/SECRETARY 0.00 | 0. | 0. | 0. |
| DAVID MOORE 220 SUNRISE AVENUE, STE. 210 PALM BEACH, FL 33480 | DIRECTOR 0.00 | 0. | 0. | 0. |
| BRUCE MOSKOWITZ 220 SUNRISE AVENUE, STE. 210 PALM BEACH, FL 33480 | DIRECTOR 0.00 | 0. | 0. | 0. |
| CRAIG SHADUR 220 SUNRISE AVENUE, STE. 210 PALM BEACH, FL 33480 | DIRECTOR 0.00 | 0. | 0. | 0. |
| SHERRY BARRAT 220 SUNRISE AVENUE, STE. 210 PALM BEACH, FL 33480 | EXECUTIVE DIRECTOR 0.00 | 0. | 0. | 0. |

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII

0. 0. 0.

GENERAL EXPLANATION

STATEMENT 10

FORM/LINE IDENTIFIER AND DESCRIPTION/RETURN REFERENCE

PART XV - LINE 2 - SUPPLEMENTAL INFORMATION - EXPLANATION:

EXPLANATION:

THE ORGANIZATION'S PRIMARY ACTIVITY IS TO SUPPORT, BY CONTRIBUTIONS, OTHER ORGANIZATIONS QUALIFYING FOR EXEMPTION UNDER 501(C)(3) OF THE INTERNAL REVENUE CODE. THE TRUSTEES CHOOSE THESE ORGANIZATIONS BASED UPON THEIR KNOWLEDGE OF THE ORGANIZATION'S ACTIVITIES. NO CONTRIBUTIONS, GRANTS, GIFTS, LOANS, OR SCHOLARSHIPS ARE MADE TO INDIVIDUALS. THE ORGANIZATION DOES NOT PRESENTLY RECEIVE APPLICATIONS OR CONDUCT PROGRAMS FOR DONATIONS IN ITS ACTIVITIES.



J. Ira & Nicki Harris Family Foundation
Fiscal Year Ended March 31, 2016
Capital Gain Summary
UBS #9386

Your Financial Advisor:
GOODHUE TEAM
212-821-7000/800-308-3140

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount (\$) | Cost basis (\$) | Wash sale cost basis adjustment(\$) | Loss (\$) | Gain (\$) |
|--|--------|---------------------------|------------------|--------------|---------------------|---------------------|---|-----------|---------------------|
| | FIFO | 400 000 | Jul 06, 11 | Oct 23, 15 | 8,454 42 | 3,462 86 | | | 4,991 56 |
| | FIFO | 1,400 000 | Jul 06, 11 | Oct 23, 15 | 29,590 49 | 12,130 00 | | | 17,460 49 |
| | FIFO | 1,400 000 | Jul 06, 11 | Oct 23, 15 | 29,590 48 | 12,115 25 | | | 17,475 23 |
| | FIFO | 2,800 000 | Jul 06, 11 | Oct 23, 15 | 59,180 96 | 24,265 25 | | | 34,915 71 |
| | FIFO | 560 000 | Jan 10, 14 | Oct 23, 15 | 11,836 19 | 7,745 25 | | | 4,090 94 |
| | FIFO | 280 000 | Jan 10, 14 | Oct 23, 15 | 5,918 10 | 3,818 00 | | | 2,100 10 |
| | FIFO | 134 400 | Jan 10, 14 | Oct 23, 15 | 2,840 69 | 1,831 68 | | | 1,009 01 |
| | FIFO | 217 000 | Jan 10, 14 | Oct 23, 15 | 4,586 52 | 2,962 05 | | | 1,624 47 |
| | FIFO | 4,128 600 | Jan 13, 14 | Oct 23, 15 | 87,262 33 | 56,340 33 | | | 30,922 00 |
| | FIFO | 1,120 000 | Jan 28, 14 | Oct 23, 15 | 23,672 39 | 15,390 25 | | | 8,282 14 |
| | FIFO | 2,560 000 | Jan 29, 14 | Oct 23, 15 | 54,108 31 | 35,047 33 | | | 19,060 98 |
| Total | | | | | \$481,752.59 | \$244,475.89 | | | \$237,276.70 |
| Net long-term capital gains or losses | | | | | | | | | \$237,276.70 |
| Net capital gains/losses: | | | | | | | | | \$237,276.70 |



Business Services Account
March 2016

Account name: J IRA & NICKI HARRIS FAMILY FOUNDATION INC.
Friendly account name: Harris Fam Fdn
Account number: Y1 09386 GG

Equities

Common stock

| Holding | Trade date | Number of shares | Purchase price/ Average price per share (\$) | Cost basis (\$) | Price per share on Mar 31 (\$) | Value on Mar 31 (\$) | Unrealized gain or loss (\$) | Holding period |
|---|------------|------------------|--|-----------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
| ARBOR REALTY TRUST INC REITS | | | | | | | | |
| Symbol ABR Exchange NYSE | | | | | | | | |
| EAI \$12,000 Current yield 8.86% | May 14, 15 | 20,000,000 | 6.726 | 134,521.75 | 6.770 | 135,400.00 | 878.25 | ST |
| MVC CAPITAL INC | | | | | | | | |
| Symbol MVC Exchange NYSE | | | | | | | | |
| EAI \$540 Current yield 7.24% | Feb 29, 16 | 1,000,000 | 7.093 | 7,093.25 | 7.460 | 7,460.00 | 366.75 | ST |
| TOWNEBANK PORTSMOUTH VA | | | | | | | | |
| Symbol TOWN Exchange OTC | | | | | | | | |
| EAI \$2,400 Current yield 2.50% | Jan 29, 14 | 5,000,000 | 13.690 | 68,451.81 | 19.190 | 95,950.00 | 27,498.19 | LT |
| WATERSTONE FINL INC MD COM | | | | | | | | |
| Symbol WSBF Exchange OTC | | | | | | | | |
| EAI \$4,000 Current yield 1.46% | May 13, 14 | 5,000,000 | 10.750 | 53,750.00 | 13.680 | 68,400.00 | 14,650.00 | LT |
| | May 13, 14 | 5,000,000 | 10.750 | 53,750.00 | 13.680 | 68,400.00 | 14,650.00 | LT |
| | May 13, 14 | 3,000,000 | 10.730 | 32,190.00 | 13.680 | 41,040.00 | 8,850.00 | LT |
| | May 13, 14 | 3,000,000 | 10.741 | 32,225.25 | 13.680 | 41,040.00 | 8,814.75 | LT |
| | May 13, 14 | 1,700,000 | 10.740 | 18,258.00 | 13.680 | 23,256.00 | 4,998.00 | LT |
| | May 13, 14 | 1,300,000 | 10.720 | 13,936.00 | 13.680 | 17,784.00 | 3,848.00 | LT |
| | May 14, 14 | 1,000,000 | 10.755 | 10,755.25 | 13.680 | 13,680.00 | 2,924.75 | LT |
| Security total | | 20,000,000 | 10.743 | 214,864.50 | | 273,600.00 | 58,735.50 | |
| Total | | | | \$424,931.31 | | \$512,410.00 | \$87,478.69 | |
| Total estimated annual income: \$18,940 | | | | | | | | |



Business Services Account
March 2016

Account name: J IRA & NICKI HARRIS FAMILY
Friendly account name: Harris Fam Fdn
Account number: Y1 09386 GG

Your assets • Equities (continued)

Other equity investments

Cost basis and gains and losses have not been adjusted automatically for return of capital payments. Restricted security values are estimated for informational purposes. See *Important information about your statement* at the end of this document for additional information.

| Holding | Trade date | Number of shares | Purchase price/ Average price per share (\$) | Cost basis (\$) | Price per share on Mar 31 (\$) | Value on Mar 31 (\$) | Unrealized gain or loss (\$) | Holding period |
|-------------------------|------------|------------------|--|-----------------|---------------------------------|----------------------|------------------------------|----------------|
| RESTRICTED TPREF INCOME | | 750,000 000 | | 750,000.00 | -----Price was unavailable----- | | | |

Fixed income

Mutual funds

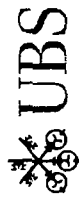
Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

| Holding | Number of shares | Purchase price/ Average price per share (\$) | Client investment (\$) | Cost basis (\$) | Price per share on Mar 31 (\$) | Value on Mar 31 (\$) | Unrealized (tax) gain or loss (\$) | Investment return (\$) | Holding period |
|--|------------------|--|------------------------|-----------------------|--------------------------------|-----------------------|------------------------------------|------------------------|-----------------|
| ANGEL OAK MULTI STRATEGY INCOME FUND CLASS A | | | | | | | | | |
| Symbol ANGLX | | | | | | | | | |
| Trade date Dec 10, 13 | 40,298 507 | 12 060 | 486,005 25 | 486,005 25 | 11 040 | 444,895 51 | -41,109 74 | -41,109 74 | LT |
| EAI \$31.674 Current yield 7 12% | | | | | | | | | |
| FEDERATED ULTRA SHORT BOND FUND CLASS A | | | | | | | | | |
| Symbol FULAX | | | | | | | | | |
| Trade date Jan 21, 15 | 109,409 190 | 9 140 | 1,000,005 25 | 1,000,005 25 | 9 070 | 992,341 35 | -7,663 90 | -7,663 90 | LT |
| EAI \$5.689 Current yield 0 57% | | | | | | | | | |
| Total | | | \$1,486,010.50 | \$1,486,010.50 | | \$1,437,236.86 | -\$48,773.64 | -\$48,773.64 | |
| Total estimated annual income: | | | | | | | | | \$37,363 |



Business Services Account
March 2016

Account name: J IRA & NICKI HARRIS FAMILY
Friendly account name: Harris Fam Fdn
Account number: Y1 09386 GG

Your assets (continued)

Other

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

| Holding | Number of shares | Purchase price/ Average price per share (\$) | Client investment (\$) | Cost basis (\$) | Price per share on Mar 31 (\$) | Value on Mar 31 (\$) | Unrealized (tax) gain or loss (\$) | Investment return (\$) | Holding period |
|----------------------------------|------------------|--|------------------------|-----------------|--------------------------------|----------------------|------------------------------------|------------------------|----------------|
| JZ CAPITAL PARTNERS LTD | | | | | | | | | |
| Symbol JZCLF | | | | | | | | | |
| Trade date Feb 10, 14 | 100,000 000 | 6 136 | 613,676 40 | 613,676 40 | 5 727 | 572,700 00 | -40,976 40 | | LT |
| Trade date Sep 24, 15 | 36,000 000 | 6 440 | 231,840 55 | 231,840 55 | 5 727 | 206,172 00 | -25,668 55 | | ST |
| Trade date Sep 29, 15 | 10,000 000 | 6 195 | 61,952 25 | 61,952 25 | 5 727 | 57,270 00 | -4,682 25 | | ST |
| Trade date Oct 1, 15 | 50,000 000 | 6 196 | 309,805 25 | 309,805 25 | 5 727 | 286,350 00 | -23,455 25 | | ST |
| Trade date Oct 6, 15 | 10,000 000 | 6 207 | 62,077 25 | 62,077 25 | 5 727 | 57,270 00 | -4,807 25 | | ST |
| EAI \$69,010 Current yield 5.85% | | | | | | | | | |
| GBP Exchange rate 0.69574 | | | | | | | | | |
| Security total | 206,000 000 | 6 210 | 1,279,351 70 | 1,279,351 70 | | 1,179,762 00 | -99,589 70 | | |

Your total assets

| | Value on Mar 31 (\$) | Cost basis (\$) |
|----------------------------|--|-----------------------|
| Equities | | |
| Common stock | 512,410 00 | 424,931 31 |
| * Other equity investments | 750,000 00 | 750,000 00 |
| Total equities | 1,262,410 00 | 1,174,931 31 |
| Fixed income | Mutual funds | 1,486,010 50 |
| Other | Closed end funds & Exchange traded products | 1,279,351 70 |
| Total | \$3,879,408 86 | \$3,940,293 51 |

* Missing cost basis information

J. Ira & Nicki Harris Family Foundation

Charitable Contributions - F.Y.E. March 31, 2016

| Date | Organization | City/State | Amount |
|----------|--|------------------------|------------|
| 04/07/15 | Christopher Ricardo Cystic Fibrosis Foundation, Inc | Miami, FL | 1,000 00 |
| 04/08/15 | Solomon R Guggenheim Foundation | New York, NY | 30,000.00 |
| 04/16/15 | National Multiple Sclerosis Society | Houston, TX | 500 00 |
| 04/16/15 | CityParks Foundation | New York, NY | 5,000.00 |
| 04/17/15 | Kaufman Music Center | New York, NY | 5,000 00 |
| 04/23/15 | Buoniconiti Fund to Cure Paralysis | Miami, FL | 25,000.00 |
| 04/27/15 | Cancer Research Institute | New York, NY | 2,500.00 |
| 04/28/15 | FAU Lifelong Learning Society | Jupiter, FL | 1,000 00 |
| 05/13/15 | Battery Conservancy | New York, NY | 5,000.00 |
| 05/13/15 | AISH Ha Torah NY | New York, NY | 15,000 00 |
| 05/13/15 | K I D.S /Fashion Delivers | Clinton Corners, NY | 1,000 00 |
| 05/14/15 | Teach for America | Chicago, Illinois | 5,000 00 |
| 05/19/15 | Lincoln Center for the Performing Arts | New York, NY | 2,500 00 |
| 05/19/15 | Juvenile Research Diabetes Foundation (JRDF) | New York, NY | 1,000 00 |
| 05/29/15 | Los Angeles Jewish Home | Reseda, CA | 1,000 00 |
| 05/29/15 | Park East Day School | New York, NY | 1,000.00 |
| 06/01/15 | Jewish Board of Children and Family Services | New York, NY | 20,000 00 |
| 06/03/15 | University of Pennsylvania | Philadelphia, PA | 20,000 00 |
| 06/03/15 | Amherst College | Amherst, MA | 10,000 00 |
| 06/08/15 | Aspen Art Museum | Aspen, CO | 5,000 00 |
| 06/08/15 | Aspen Institute | Aspen, CO | 5,000 00 |
| 06/17/15 | University of Michigan Hillel | Ann Arbor, MI | 1,000 00 |
| 06/30/15 | Jewish Community Center (JCC) of Greater Orlando | Maitland, FL | 1,000 00 |
| 07/20/15 | University of Michigan | Ann Arbor, MI | 125,000 00 |
| 07/20/15 | Palm Beach Civic Association | Palm Beach, FL | 5,000 00 |
| 07/20/15 | Central Synagogue | New York, NY | 50,000 00 |
| 07/20/15 | Palm Beach Country Club Foundation | Palm Beach, FL | 1,000 00 |
| 07/20/15 | Preservation Foundation of Palm Beach | Palm Beach, FL | 10,000 00 |
| 07/28/15 | Berkshire Hills Music Academy | South Hadley, MA | 10,000 00 |
| 08/06/15 | The Aspen Institute | Washington, DC | 10,000 00 |
| 08/11/15 | The Carter Burden Center for the Aging | Pelham, NY | 2,500 00 |
| 08/18/15 | Horatio Alger | Alexandria, VA | 10,000 00 |
| 08/18/15 | Cancer Research Institute | New York, NY | 5,000 00 |
| 08/19/15 | Lincoln Center for the Performing Arts | New York, NY | 10,000 00 |
| 09/24/15 | DeWitt Clinton Scholarship and Student Aid Fund, Inc | Elmsford, NY 10523 | 2,000.00 |
| 10/01/15 | Jewish Community Center of Greater Palm Beaches | Palm Beach Gardens, FL | 87,000 00 |
| 10/02/15 | Norton Museum of Art | West Palm Beach, FL | 1,000 00 |
| 10/02/15 | BuildOn | Stamford, Connecticut | 2,500 00 |
| 10/02/15 | Museum of Contemporary Art Chicago | Palm Beach, FL | 1,000.00 |
| 10/02/15 | Susan G Komen for the Cure | Palm Beach, FL | 2,500.00 |
| 10/02/15 | NYU Langone Medical Center | New York, NY | 500,000 00 |
| 10/05/15 | Horatio Alger | Alexandria, VA | 20,000 00 |
| 10/06/15 | Yad Ezra | Berkley, MI | 2,500 00 |
| 10/09/15 | Michelle McGann Fund, Inc | West Palm Beach, FL | 5,000 00 |
| 10/22/15 | Solomon R Guggenheim Foundation | New York, NY | 10,000 00 |
| 10/23/15 | American Friends of Israel Philharmonic | New York, NY | 5,000.00 |
| 10/23/15 | Food Allergy Research & Education (FARE) | New York, NY | 5,000 00 |
| 10/23/15 | Columbia Grammar & Preparatory School | New York, NY | 25,000 00 |
| 10/23/15 | Temple Beth El | West Palm Beach, FL | 1,000 00 |
| 10/27/15 | Palm Beach Centennial Commission, Inc | Palm Beach FL | 10,000 00 |
| 10/28/15 | Town of Palm Beach United Way | Palm Beach FL | 25,000.00 |
| 10/28/15 | MorseLife | West Palm Beach, FL | 10,000.00 |
| 10/29/15 | American Friends of the Israel Museum | New York, NY | 5,000 00 |
| 10/29/15 | Holocaust Education Center of Rhode Island | Providence, RI | 1,000 00 |
| 11/02/15 | Alpert Jewish Family & Children's Services | West Palm Beach, FL | 500 00 |

J. Ira & Nicki Harris Family Foundation

Charitable Contributions - F.Y.E. March 31, 2016

| Date | Organization | City/State | Amount |
|--------------|--|----------------------|------------------------|
| 11/02/15 | Norton Museum of Art | West Palm Beach, FL | 30,000 00 |
| 11/03/16 | Norton Museum of Art | West Palm Beach, FL | 15,000 00 |
| 11/06/15 | American Friends of the Hebrew University | Fort Lauderdale, FL | 5,000.00 |
| 11/06/15 | South Florida Science Center and Aquarium | West Palm Beach, FL | 2,500.00 |
| 11/17/15 | Child Mind Institute | New York, NY | 50,000.00 |
| 11/18/15 | Susan G. Komen for the Cure | Palm Beach, FL | 25,000.00 |
| 11/19/15 | Brigham and Women's Hospital | Boston, MA | 5,000.00 |
| 11/19/15 | Jewish Federation of Palm Beach County | West Palm Beach, FL | 1,000 00 |
| 11/24/15 | Palm Beach Civic Association | Palm Beach, FL | 5,000 00 |
| 11/24/15 | Museum at Eldridge Street | New York, NY | 10,000.00 |
| 11/30/15 | Dystonia Medical Research Foundation | Chicago, Illinois | 2,500.00 |
| 11/30/15 | Bruce and Marsha Moskowitz Foundation | Palm Beach, FL | 25,000.00 |
| 11/30/15 | Evans Scholars Foundation | Golf, IL | 1,000 00 |
| 12/11/15 | Town of Palm Beach United Way | Palm Beach, FL | 1,000 00 |
| 12/14/15 | Hook A Kid On Golf | West Palm Beach, FL | 2,500.00 |
| 12/14/15 | Citymeals-on-Wheels | New York, NY | 20,000 00 |
| 12/14/15 | Madison Square Boys and Girls Club | New York, NY | 1,000 00 |
| 12/15/15 | Hospice Foundation of Palm Beach | Palm Beach, FL 33480 | 2,500 00 |
| 12/16/15 | Museum of Science and Industry | Chicago, IL | 10,000 00 |
| 12/16/15 | Northwestern University | Chicago, IL | 10,000.00 |
| 12/17/15 | Hearing the Ovarian Cancer Whisper (HOW) | Jupiter, FL | 1,500.00 |
| 12/23/15 | Palm Beach Police Foundation | Palm Beach, FL | 5,000 00 |
| 12/23/15 | Kravis Center for the Performing Arts | West Palm Beach, FL | 5,000 00 |
| 12/30/15 | Eastern Michigan University | Ypsilanti, MI | 15,000 00 |
| 12/30/15 | Horace Mann | Bronx, NY | 10,000 00 |
| 01/13/16 | Autism Speaks | New York, NY | 10,000 00 |
| 01/15/16 | Lawyers for Children | New York, NY | 2,500 00 |
| 01/22/16 | Columbus Academy | Gahanna, OH | 1,000.00 |
| 01/27/16 | Palm Beach Fellowship of Christians and Jews | Palm Beach, FL | 1,000.00 |
| 02/10/16 | Nicklaus Children's Healthcare Foundation | North Palm Beach, FL | 40,000 00 |
| 02/12/16 | Par 3 Foundation | Palm Beach | 2,500 00 |
| 02/16/16 | University of Michigan | Ann Arbor, MI | 15,000.00 |
| 02/17/16 | Sacred Heart School | Lake Worth, FL | 10,000 00 |
| 02/18/16 | American Technion Society | New York, NY | 2,500 00 |
| 02/18/16 | MorseLife | West Palm Beach, FL | 1,000 00 |
| 02/29/16 | Horatio Alger | Alexandria, VA | 25,000.00 |
| 03/01/16 | Washington Institute for Near East Policy | Washington, DC | 15,000.00 |
| 03/08/16 | Israel Tennis Centers Foundation | Deerfield Beach, FL | 1,000 00 |
| 03/09/16 | Norton Museum of Art | West Palm Beach, FL | 300,000 00 |
| 03/15/16 | Open Future Institute | New York, NY | 5,000 00 |
| 03/29/16 | First Tree of the Palm Beaches | Palm Beach, FL | 2,500.00 |
| 03/31/16 | Our House Grief Support Center | Palm Beach, FL | 200 00 |
| Total | | | \$ 1,817,700.00 |



J. Ira & Nicki Harris Family Foundation
Fiscal Year Ended March 31, 2016
Capital Gain Summary
UBS #9386

Account name: J IRA & NICKI HARRIS FAMILY
FOUNDATION, INC

Friendly account name: Harris Fam Fdn

Account number: Y1 09386 GG

Your Financial Advisor:

GOODHUE TEAM

Phone 212-821-7000/800-308-3140

J IRA & NICKI HARRIS FAMILY
FOUNDATION, INC
220 SUNRISE AVENUE
SUITE 210
PALM BEACH FL 33480-3803

Summary of gains and losses

| | Amount (\$) |
|-----------|-------------|
| Long term | 237,276 70 |

Realized gains and losses

Estimated 2015 gains and losses for transactions with trade dates through 12/31/15 have been incorporated into this statement. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order.

Long-term capital gains and losses

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount (\$) | Cost basis (\$) | Wash sale cost basis adjustment(\$) | Loss (\$) | Gain (\$) |
|-------------------------|--------|---------------------------|------------------|--------------|---------------------|--------------------|---|-----------|-----------|
| TOWNEBANK PORTSMOUTH VA | FIFO | 1,400 000 | Jun 30, 11 | Oct 21, 15 | 28,824 55 | 12,155 25 | | | 16,669 30 |
| | FIFO | 1,400 000 | Jul 01, 11 | Oct 21, 15 | 28,824 55 | 12,195 25 | | | 16,629 30 |
| | FIFO | 4,200 000 | Jul 06, 11 | Oct 21, 15 | 86,473 65 | 36,360 00 | | | 50,113 65 |
| | FIFO | 1,000 000 | Jul 06, 11 | Oct 21, 15 | 20,588 96 | 8,657 14 | | | 11,931 82 |

continued next page

See important information about your statement on the last page of this statement for more information. We may not adjust gains and losses for all capital changes. Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium. Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.