EXTENDED TO NOVEMBER 15, 2016

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning APR 1, 2015 , and ending MAR 31, 2016

Name of foundation
JACQUELINE HARRIS HOCHBERG FOUNDATION IN

A Employer identification number
26-0294769

Number and street (or P O box number if mail is not delivered to street address) CALER DONTEN LEVINE 505 S FLAGLER DR — Room/suite 900

B Telephone number
561-832-9292

City or town, state or province, country, and ZIP or foreign postal code
WEST PALM BEACH, FL 33401

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 998,520.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	101,875.		N/A	
	2 Check ▶ ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	33,670.	33,670.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	14,712.			
	b Gross sales price for all assets on line 6a 143,070.				
	7 Capital gain net income (from Part IV, line 2)		14,712.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 **Total.** Add lines 1 through 11	150,257.	48,382.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees STMT 2	699.	350.		349.
	b Accounting fees STMT 3	2,500.	1,250.		1,250.
	c Other professional fees				
	17 Interest				
	18 Taxes STMT 4	2,000.	0.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 5	3,131.	3,070.		61.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	8,330.	4,670.		1,660.
	25 Contributions, gifts, grants paid	69,950.			69,950.
	26 **Total expenses and disbursements.** Add lines 24 and 25	78,280.	4,670.		71,610.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	71,977.			
	b **Net investment income** (if negative, enter -0-)		43,712.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	4,978.	4,393.	4,393.
	2 Savings and temporary cash investments	428,597.	482,779.	482,779.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 6	496,739.	515,119.	511,348.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	930,314.	1,002,291.	998,520.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	930,314.	1,002,291.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30 Total net assets or fund balances	930,314.	1,002,291.	
	31 Total liabilities and net assets/fund balances	930,314.	1,002,291.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	930,314.
2	Enter amount from Part I, line 27a	2	71,977.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	1,002,291.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,002,291.

Form 990-PF (2015)

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12191026 139979 003036.032T 2015.04030 JACQUELINE HARRIS HOCHBERG 003036K1

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	UBS #6292- SEE STATEMENT ATTACHED	P	VARIOUS	VARIOUS
b	UBS #6292- SEE STATEMENT ATTACHED	P	VARIOUS	VARIOUS
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	37,455.		38,900.	-1,445.
b	105,615.		89,458.	16,157.
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				-1,445.
b				16,157.
c				
d				
e				

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	14,712.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	86,927.	948,586.	.091639
2013	120,714.	890,446.	.135566
2012	112,042.	818,816.	.136834
2011	97,094.	795,931.	.121988
2010	107,026.	802,339.	.133392

2 **Total** of line 1, column (d)	2	.619419
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.123884
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	950,625.
5 Multiply line 4 by line 3	5	117,767.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	437.
7 Add lines 5 and 6	7	118,204.
8 Enter qualifying distributions from Part XII, line 4	8	71,610.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	874.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	874.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	874.
6	Credits/Payments:		
a	2015 estimated tax payments and 2014 overpayment credited to 2015 — 6a: 1,921.		
b	Exempt foreign organizations - tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments. Add lines 6a through 6d	7	1,921.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	1,047.
11	Enter the amount of line 10 to be: **Credited to 2016 estimated tax** ▶ 1,047. **Refunded** ▶	11	0.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ FL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
	Website address ▶ N/A			
14	The books are in care of ▶ CALER, DONTEN, LEVINE ET AL. Telephone no. ▶ 561-832-9292 Located at ▶ 505 S. FLAGLER DR. STE. 900, WEST PALM BEACH, FL ZIP+4 ▶ 33401			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A			
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No If "Yes," list the years ▶ ______, ______, ______, ______			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JACQUELINE HARRIS HOCHBERG 220 SUNRISE AVENUE, STE. 210 PALM BEACH, FL 33480	DIRECTOR/PRES/SEC 0.00	0.	0.	0.
NICKI HARRIS 220 SUNRISE AVENUE, STE. 210 PALM BEACH, FL 33480	DIRECTOR/VP/TREAS 0.00	0.	0.	0.
CRAIG SHADUR 220 SUNRISE AVENUE, STE. 210 PALM BEACH, FL 33480	DIRECTOR 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 SEE STATEMENT 7	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	0.
2 N/A	0.
All other program-related investments. See instructions. 3 N/A	0.
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	539,903.
b	Average of monthly cash balances	1b	425,199.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	965,102.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	965,102.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	14,477.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	950,625.
6	**Minimum investment return.** Enter 5% of line 5	6	47,531.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	47,531.
2a	Tax on investment income for 2015 from Part VI, line 5 — 2a: 874.		
b	Income tax for 2015. (This does not include the tax from Part VI.) — 2b:		
c	Add lines 2a and 2b	2c	874.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	46,657.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	46,657.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	46,657.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	71,610.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	71,610.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	71,610.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				46,657.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			0.	
b Total for prior years: ____, ____, ____		0.		
3 Excess distributions carryover, if any, to 2015:				
a From 2010 66,909.				
b From 2011 57,301.				
c From 2012 71,489.				
d From 2013 52,165.				
e From 2014 40,216.				
f **Total** of lines 3a through e	288,080.			
4 Qualifying distributions for 2015 from Part XII, line 4: ▶ $ 71,610.				
a Applied to 2014, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2015 distributable amount				46,657.
e Remaining amount distributed out of corpus	24,953.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	313,033.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7	66,909.			
9 **Excess distributions carryover to 2016.** Subtract lines 7 and 8 from line 6a	246,124.			
10 Analysis of line 9:				
a Excess from 2011 57,301.				
b Excess from 2012 71,489.				
c Excess from 2013 52,165.				
d Excess from 2014 40,216.				
e Excess from 2015 24,953.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed.

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE LIST ATTACHED		PC		69,950.
Total			▶ 3a	69,950.
b *Approved for future payment*				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	33,670.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	14,712.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		48,382.	0.
13 Total. Add line 12, columns (b), (d), and (e)				13	48,382.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Jackie Harris — Signature of officer or trustee | 10/31/16 — Date | DIRECTOR — Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
LOUIS M. COHEN		OCT 26 2016		P00021957
Firm's name ▶ CALER, DONTEN, LEVINE ET AL, P.A.			Firm's EIN ▶ 59-2831281	
Firm's address ▶ 505 SOUTH FLAGLER DR, #900 WEST PALM BEACH, FL 33401-5948			Phone no. 561-832-9292	

Form **990-PF** (2015)

523622 11-24-15

Schedule B (Form 990, 990-EZ, or 990-PF)
Department of the Treasury Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990* .

OMB No 1545-0047

2015

Name of the organization	Employer identification number
JACQUELINE HARRIS HOCHBERG FOUNDATION IN	26-0294769

Organization type (check one)·

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization: JACQUELINE HARRIS HOCHBERG FOUNDATION IN

Employer identification number: 26-0294769

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	MAIZE AND BLUE CHARITABLE TRUST 220 SUNRISE AVENUE, SUITE 210 PALM BEACH, FL 33480	$ 101,875.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)

Name of organization	Employer identification number
JACQUELINE HARRIS HOCHBERG FOUNDATION IN	26-0294769

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization	Employer identification number
JACQUELINE HARRIS HOCHBERG FOUNDATION IN	26-0294769

Part III *Exclusively* religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of $1,000 or less for the year (Enter this info. once.) ▶ $

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDENDS	33,670.	0.	33,670.	33,670.	
TO PART I, LINE 4	33,670.	0.	33,670.	33,670.	

FORM 990-PF LEGAL FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
HODGSON ROSS LLP	699.	350.		349.
TO FM 990-PF, PG 1, LN 16A	699.	350.		349.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CALER, DONTEN, LEVINE ET. AL	2,500.	1,250.		1,250.
TO FORM 990-PF, PG 1, LN 16B	2,500.	1,250.		1,250.

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAXES	2,000.	0.		0.
TO FORM 990-PF, PG 1, LN 18	2,000.	0.		0.

FORM 990-PF | OTHER EXPENSES | STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FLORIDA FILING FEE	61.	0.		61.
UBS MANAGEMENT FEES	2,921.	2,921.		0.
FOREIGN TAX WITHHELD	149.	149.		0.
TO FORM 990-PF, PG 1, LN 23	3,131.	3,070.		61.

FORM 990-PF | CORPORATE STOCK | STATEMENT 6

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
INVESTMENTS- SEE LONG POSITION I ATTACHED	218,434.	232,523.
INVESTMENTS- SEE LONG POSITION II ATTACHED	296,080.	278,825.
INVESTMENTS- WASH SALE ADJUSTMENTS	605.	0.
TOTAL TO FORM 990-PF, PART II, LINE 10B	515,119.	511,348.

FORM 990-PF | SUMMARY OF DIRECT CHARITABLE ACTIVITIES | STATEMENT 7

ACTIVITY ONE

PLEASE NOTE, THE FOUNDATION IS NOT INVOLVED IN ANY DIRECT CHARITABLE ACTIVITIES. ITS PRIMARY PURPOSE IS TO SUPPORT, BY CONTRIBUTIONS, OTHER ORGANIZATIONS EXEMPT UNDER SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE.

	EXPENSES
TO FORM 990-PF, PART IX-A, LINE 1	0.

JACQUELINE HARRIS HOCHBERG FOUNDATION, INC.
UBS - REALIZED GAINS (LOSSES) REPORT
APRIL 1, 2015 TO MARCH 31, 2016

SHORT TERM

SECURITY	DATE ACQUIRED	DATE SOLD	QTY	SALES PROCEEDS	COST OR OTHER BASIS	TOTAL GAIN/LOSS
AFLAC INC	08/11/14	07/14/15	3.00	185.09	177.21	7.88
	12/17/14	07/14/15	13.00	802.09	761.02	41.07
	01/28/15	07/14/15	8.00	493.58	465.24	28.34
	03/16/15	07/14/15	1.00	61.70	62.55	(0.85)
	06/09/15	07/14/15	8.00	493.59	492.76	0.83
BRITISH AMER TOBACCO PLC GB SPON ADR	12/17/14	12/11/15	12.00	1,319.25	1,266.68	52.57
	02/09/15	12/11/15	4.00	439.75	438.86	0.89
	03/16/15	12/11/15	3.00	329.82	327.10	2.72
	06/09/15	12/11/15	7.00	769.56	736.61	32.95
	07/14/15	12/11/15	1.00	109.94	113.49	(3.55)
	08/18/15	12/11/15	1.00	109.94	116.34	(6.40)
COCA COLA CO COM	12/11/15	02/08/16	6.00	253.87	254.40	(0.53)
CRANE CO	02/09/15	06/09/15	1.00	60.88	60.88	-
	02/09/15	07/14/15	1.00	57.73	57.73	-
	02/09/15	08/18/15	4.00	218.43	218.43	-
	02/09/15	09/08/15	8.00	409.90	409.90	-
	02/09/15	11/09/15	12.00	628.04	803.17	(175.13)
	02/09/15	11/16/15	2.00	99.49	133.86	(34.37)
	02/09/15	02/08/16	9.00	427.87	602.38	(174.51)
EVERSOURCE ENERGY COM	08/11/14	07/10/15	7.00	328.24	305.43	22.81
	02/09/15	07/10/15	8.00	375.13	427.53	(52.40)
	03/16/15	07/10/15	19.00	890.94	945.55	(54.61)
	05/11/15	07/10/15	4.00	187.57	195.86	(8.29)
	06/09/15	07/10/15	13.00	609.59	602.48	7.11

JACQUELINE HARRIS HOCHBERG FOUNDATION, INC.
UBS - REALIZED GAINS (LOSSES) REPORT
APRIL 1, 2015 TO MARCH 31, 2016

SHORT TERM

SECURITY	DATE ACQUIRED	DATE SOLD	QTY	SALES PROCEEDS	COST OR OTHER BASIS	TOTAL GAIN/LOSS
DOMINION RESOURCE IN VA (NEW)	02/09/15	02/08/16	4.00	279.75	304.66	(24.91)
	03/16/15	02/08/16	15.00	1,049.06	1,045.88	3.18
	06/09/15	02/08/16	12.00	839.24	803.28	35.96
	07/14/15	02/08/16	1.00	69.93	69.38	0.55
	10/08/15	02/08/16	5.00	349.69	348.30	1.39
	11/09/15	02/08/16	3.00	209.81	203.82	5.99
HOME DEPOT	08/18/15	09/08/15	3.00	344.95	361.42	(16.47)
	08/18/15	11/09/15	4.00	497.67	492.88	4.79
	08/18/15	11/16/15	1.00	119.78	123.22	(3.44)
	08/18/15	01/11/16	4.00	501.43	492.89	8.54
ILLINOIS TOOL WORKS INC	01/28/15	01/11/16	4.00	332.09	371.32	(39.23)
	03/16/15	01/11/16	1.00	83.02	98.35	(15.33)
	05/11/15	01/11/16	4.00	332.08	385 06	(52.98)
	06/09/15	01/11/16	4.00	332.08	371.67	(39.59)
	08/10/15	01/11/16	1.00	83.02	91.10	(8.08)
	08/18/15	01/11/16	2.00	166.04	179.44	(13.40)
	10/08/15	01/11/16	2.00	166.04	172.34	(6.30)
JOHNSON & JOHNSON COM	12/17/14	08/18/15	5.00	496.11	515.25	(19.14)
	02/28/15	08/18/15	5.00	496.11	511.23	(15.12)
	02/09/15	08/18/15	2.00	198.45	199.86	(1.41)
	03/16/15	08/18/15	3.00	297.66	301.49	(3.83)
	06/09/15	08/18/15	4.00	396.89	393.58	3.31
	07/14/15	08/18/15	1.00	99.22	99.57	(0.35)

JACQUELINE HARRIS HOCHBERG FOUNDATION, INC.
UBS - REALIZED GAINS (LOSSES) REPORT
APRIL 1, 2015 TO MARCH 31, 2016

SHORT TERM

SECURITY	DATE ACQUIRED	DATE SOLD	QTY	SALES PROCEEDS	COST OR OTHER BASIS	TOTAL GAIN/LOSS
JOHNSON CONTROLS INC	01/10/15	10/08/15	3.00	130.99	142.14	(11.15)
	01/10/15	11/09/15	7.00	312.92	344.65	(31.73)
	01/10/15	11/16/15	6.00	260.53	295.41	(34.88)
	07/10/15	02/08/16	1 00	35.37	49.24	(13.87)
MARSH & MCLENNAN COS INC	09/08/15	11/09/15	9.00	503.68	480.40	23.28
	09/08/15	11/16/15	6.00	326.39	320.27	6.12
	09/08/15	02/08/16	8.00	436.90	427.02	9.88
MCDONALDS CORP	11/09/15	11/16/15	3.00	331.24	339.06	(7.82)
	11/09/15	01/11/16	5.00	580.65	565.11	15.54
MEDTRONIC PLC	01/27/15	07/14/15	1.00	75.77	75.77	-
	01/27/15	08/10/15	6.00	467.45	461.70	5.75
	01/27/15	08/18/15	1.00	78.38	76.95	1.43
	01/27/15	11/09/15	8.00	604.82	615.60	(10.78)
	01/27/15	11/16/15	6.00	453.11	461.70	(8.59)
	01/27/15	01/11/16	2.00	148.09	153.90	(5.81)
OCCIDENTAL PETROLEUM CRP	03/16/15	01/11/16	17.00	1,063.68	1,227.62	(163.94)
	07/10/15	01/11/16	7.00	437.99	513.66	(75.67)
PEARSON PLC SPON ADR	12/17/14	06/09/15	46.00	877.00	837.37	39.63
	05/11/15	06/09/15	28.00	533.82	578.20	(44.38)

JACQUELINE HARRIS HOCHBERG FOUNDATION, INC.
UBS - REALIZED GAINS (LOSSES) REPORT
APRIL 1, 2015 TO MARCH 31, 2016

SHORT TERM

SECURITY	DATE ACQUIRED	DATE SOLD	QTY	SALES PROCEEDS	COST OR OTHER BASIS	TOTAL GAIN/LOSS
PEPSICO INC	07/14/15	08/10/15	3.00	297.80	291.26	6.54
	07/14/15	08/18/15	1.00	99.96	97.08	2.88
	07/14/15	09/08/15	2.00	183.27	194.18	(10.91)
	07/14/15	10/08/15	1.00	98.31	97.08	1.23
	07/14/15	11/09/15	1.00	98.96	97.09	1.87
	07/14/15	11/16/15	6.00	593.71	582.52	11.19
	07/14/15	02/08/16	1.00	97.65	97.08	0.57
QUALCOMM INC	08/11/14	08/10/15	4.00	251.70	296.23	(44.53)
	12/17/14	08/10/15	12.00	755.10	859.98	(104.88)
	01/28/15	08/10/15	5.00	314.62	359.91	(45.29)
	02/09/15	08/10/15	7.00	440.47	477.75	(37.28)
	03/16/15	08/10/15	3.00	188.78	206.76	(17.98)
	05/11/15	08/10/15	2.00	125.85	138.10	(12.25)
	06/09/15	08/10/15	6.00	377.55	400.89	(23.34)
	07/10/15	08/10/15	6.00	377.55	377.31	0.24
ROCKWELL AUTOMATION INC NEW	01/28/15	05/11/15	5.00	602.71	546.09	56.62
	01/28/15	06/09/15	2.00	251.31	218.43	32.88
	01/28/15	07/14/15	1.00	124.89	109.22	15.67
	01/28/15	09/08/15	3.00	323.93	323.93	-
	01/28/15	11/09/15	5.00	542.44	546.09	(3.65)
	01/28/15	11/16/15	1.00	103.42	109.22	(5.80)

JACQUELINE HARRIS HOCHBERG FOUNDATION, INC.
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SHORT TERM

SECURITY	DATE ACQUIRED	DATE SOLD	QTY	SALES PROCEEDS	COST OR OTHER BASIS	TOTAL GAIN/LOSS
SCHLUMBERGER LTD NETHERLANDS ANTILLES	05/11/15	07/14/15	1.00	85.17	85.17	-
	05/11/15	09/08/15	1.00	75.23	75.23	-
	05/11/15	11/09/15	6.00	466.63	554.79	(88.16)
	05/11/15	11/16/15	4.00	307.51	369.86	(62.35)
	05/11/15	02/08/16	9.00	616.90	832.19	(215.29)
TEXAS INTRUMENTS	08/10/15	09/08/15	11.00	525.46	546.36	(20.90)
	08/10/15	10/08/15	1.00	50.70	51.95	(1.25)
	08/10/15	11/09/15	20.00	1,145.13	1,038.96	106.17
	08/10/15	11/16/15	8.00	451.51	415.59	35.92
TORONTO DOMINION BK NEW CANADA CAD	08/11/14	05/11/15	16.00	736.31	750.55	(14.24)
	08/11/14	08/10/15	1.00	40.35	40.35	-
TRAVELERS COS INC/THE	01/28/15	05/11/15	3.00	305.70	317.68	(11.98)
	02/09/15	05/11/15	1.00	101.90	105.59	(3.69)
	03/16/15	05/11/15	1.00	101.90	107.98	(6.08)
YUM BRANDS INC	04/15/14	10/08/15	8.00	533.65	560.85	(27.20)
	01/27/15	10/08/15	2.00	133.42	145.89	(12.47)
	08/12/13	10/08/15	2.00	133.41	176.72	(43.31)
	12/17/14	10/08/15	5.00	333.54	420.55	(87.01)
SHORT TERM TOTAL				37,455.29	38,899.68	(1,444.39)

JACQUELINE HARRIS HOCHBERG FOUNDATION, INC.
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APRIL 1, 2015 TO MARCH 31, 2016

LONG TERM

SECURITY	DATE ACQUIRED	DATE SOLD	QTY	SALES PROCEEDS	COST OR OTHER BASIS	TOTAL GAIN/LOSS
AFLAC INC	09/30/11	05/11/15	2.00	128.31	70.22	58.09
	09/30/11	06/10/15	1.00	61.76	35.11	26.65
	09/30/11	07/14/15	81.00	4,997.58	2,843.91	2,153.67
	03/12/12	07/14/15	11.00	678.68	493.57	185.11
	08/06/12	07/14/15	8.00	493.59	361.17	132.42
	11/12/12	07/14/15	1.00	61.70	49.63	12.07
	02/11/13	07/14/15	7.00	431.89	351.45	80.44
	08/12/13	07/14/15	1.00	61.70	61.52	0.18
	04/15/14	07/14/15	7.00	431.89	430.54	1.35
BOEING COMPANY	09/30/11	07/10/15	3.00	433.80	184.14	249.66
	09/30/11	09/08/15	2.00	265.43	122.76	142.67
	09/30/11	11/09/15	4.00	585.45	245.52	339.93
	09/30/11	11/16/15	3.00	429.80	184.14	245.66
BRITISH AMER TOBACCO PLC GB SPON ADR	09/30/11	05/11/15	2.00	225.59	170.10	55.49
	09/30/11	07/10/15	7.00	787.91	595.35	192.56
	09/30/11	08/10/15	5.00	590.79	425.25	165.54
	09/30/11	09/08/15	1.00	104.85	85.05	19.80
	09/30/11	10/08/15	1.00	114.18	85.05	29.13
	09/30/11	11/16/15	5.00	567.85	425.25	142.60
	09/30/11	12/11/15	13.00	1,429.19	1,105.65	323.54
	02/06/12	12/11/15	2.00	219.88	193.62	26.26
	11/12/12	12/11/15	6.00	659.63	613.23	46.40
	02/11/13	12/11/15	3.00	329.81	310.32	19.49
	08/12/13	12/11/15	12.00	1,319.26	1,285.90	33.36
	12/09/13	12/11/15	6.00	659.63	625.82	33.81
	05/05/14	12/11/15	1.00	109.94	115.06	(5.12)

JACQUELINE HARRIS HOCHBERG FOUNDATION, INC.
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LONG TERM

SECURITY	DATE ACQUIRED	DATE SOLD	QTY	SALES PROCEEDS	COST OR OTHER BASIS	TOTAL GAIN/LOSS
COLGATE PALMOLIVE CO	09/30/11	07/10/15	4.00	266.76	178.18	88.58
	09/30/11	08/10/15	5.00	344.29	222.73	121.56
	09/30/11	09/08/15	6.00	377.41	267.27	110.14
	09/30/11	11/09/15	1.00	65.57	44.54	21.03
	09/30/11	11/16/15	7.00	454.43	311.82	142.61
	09/30/11	02/08/16	8.00	525.17	356.36	168.81
DIAGEO PLC NEW GB SPON ADR	09/30/11	06/09/15	2.00	231.91	152.58	79.33
	09/30/11	07/10/15	3.00	357.35	228.87	128.48
	09/30/11	09/08/15	5.00	526.33	381.45	144.88
	09/30/11	11/09/15	2.00	225.71	152.58	73.13
	09/30/11	11/16/15	4.00	448.75	305.16	143.59
DOMINION RESOURCES INC VA (NEW)	02/10/14	05/11/15	3.00	216.23	204.00	12.23
	02/10/14	07/10/15	5.00	345.09	340.00	5.09
	02/10/14	08/10/15	8.00	578.78	544.00	34.78
	02/10/14	08/18/15	6.00	456.38	408.00	48.38
	02/10/14	09/08/15	1.00	68.16	68.00	0.16
	02/10/14	11/16/15	10.00	686.03	680.00	6.03
	02/10/14	01/11/16	4.00	278.97	272.00	6.97
	02/10/14	02/08/16	70.00	4,895.58	4,759.99	135.59
	08/11/14	02/08/16	2.00	139.88	135.94	3.94
	12/17/14	02/08/16	1.00	69.93	73.14	(3.21)

JACQUELINE HARRIS HOCHBERG FOUNDATION, INC.
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LONG TERM

SECURITY	DATE ACQUIRED	DATE SOLD	QTY	SALES PROCEEDS	COST OR OTHER BASIS	TOTAL GAIN/LOSS
EVERSOURCE ENERGY COM	09/30/11	07/10/15	68.00	3,188.63	2,304.52	884.11
	10/10/11	07/10/15	12.00	562.70	390.45	172.25
	02/06/12	07/10/15	5.00	234.46	176.15	58.31
	08/06/12	07/10/15	1.00	46.89	39.69	7.20
	11/12/12	07/10/15	13.00	609.59	493.22	116.37
	08/12/13	07/10/15	25.00	1,172.30	1,086.63	85.67
	11/11/13	07/10/15	13.00	609.59	550.50	59.09
	12/09/13	07/10/15	6.00	281.35	247.54	33.81
	03/10/14	07/10/15	5.00	234.46	217.64	16.82
ILLINOIS TOOL WORKS INC	09/30/11	09/08/15	4.00	332.19	170.92	161.27
	09/30/11	11/09/15	6.00	551.46	256.38	295.08
	09/30/11	11/16/15	4.00	360.73	170.92	189.81
	09/30/11	01/11/16	53.00	4,400.08	2,264.69	2,135.39
	10/10/11	01/11/16	2.00	166.04	89.21	76.83
	08/06/12	01/11/16	7.00	581.14	393.22	187.92
	11/12/12	01/11/16	2.00	166.04	121.18	44.86
	02/11/13	01/11/16	4.00	332.08	250.84	81.24
	08/12/13	01/11/16	4.00	332.08	294.86	37.22
INTEL CORP	09/30/11	05/11/15	7.00	227.28	153.51	73.77
	09/30/11	07/14/15	2.00	59.47	43.86	15.61
	09/30/11	09/08/15	38.00	1,112.81	833.34	279.47
	09/30/11	10/08/15	9.00	289.65	197.37	92.28
	09/30/11	11/09/15	7.00	234.81	153.51	81.30
	10/10/11	11/09/15	3.00	100.63	68.55	32.08
	08/06/12	11/09/15	1.00	33.54	26.40	7.14
	08/06/12	11/16/15	8.00	257.71	211.17	46.54
	08/06/12	01/11/16	1.00	31.98	26.40	5.58

JACQUELINE HARRIS HOCHBERG FOUNDATION, INC.
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SECURITY	DATE ACQUIRED	DATE SOLD	QTY	SALES PROCEEDS	COST OR OTHER BASIS	TOTAL GAIN/LOSS
INVESCO LTD	02/10/14	05/11/15	2.00	82.99	68.54	14.45
	02/10/14	06/14/15	4.00	152.61	137.09	15.52
	02/10/14	08/10/15	8.00	310.23	274.18	36.05
	02/10/14	11/09/15	10.00	338.04	342.25	(4.21)
JOHNSON & JOHNSON COM	09/30/11	07/10/15	2.00	199.17	126.60	72.57
	09/30/11	08/10/15	1.00	99.59	63.30	36.29
	09/30/11	08/18/15	56.00	5,556.42	3,544.80	2,011.62
	10/10/11	08/18/15	3.00	297.67	192.26	105.41
	02/06/12	08/18/15	7.00	694.55	457.87	236.68
	08/06/12	08/18/15	1.00	99.22	69.11	30.11
	11/12/12	08/18/15	3.00	297.67	209.10	88.57
	08/12/13	08/18/15	1.00	99.22	92.68	6.54
	05/05/14	08/18/15	1.00	99.22	99.84	(0.62)
MICROSOFT CORP	12/09/13	05/11/15	25.00	1,189.91	967.74	222.17
	12/09/13	07/14/15	2.00	91.57	77.42	14.15
	12/09/13	08/10/15	10.00	474.29	387.09	87.20
	12/09/13	09/08/15	7.00	304.70	270.97	33.73
	12/09/13	10/08/15	1.00	46.86	38.71	8.15
	12/09/13	11/09/15	28.00	1,524.99	1,083.87	441.12
	12/09/13	11/16/15	6.00	319.67	232.26	87.41
	12/09/13	01/11/16	1.00	52.61	38.71	13.90

JACQUELINE HARRIS HOCHBERG FOUNDATION, INC.
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SECURITY	DATE ACQUIRED	DATE SOLD	QTY	SALES PROCEEDS	COST OR OTHER BASIS	TOTAL GAIN/LOSS
NEXTERA ENERGY INC COM	09/30/11	07/10/15	4.00	406.79	216.76	190.03
	09/30/11	08/10/15	5.00	534.04	270.95	263.09
	09/30/11	08/18/15	3.00	328.28	162.57	165.71
	09/30/11	11/16/15	7.00	705.57	379.33	326.24
	09/30/11	01/11/16	5.00	529.79	270.95	258.84
	09/30/11	02/08/16	6.00	679.73	325.14	354.59
NORDSTOM INC	08/12/13	07/10/15		541.75	420.48	121.27
	08/12/13	08/18/15		392.74	300.34	92.40
	08/12/13	09/08/15		368.29	300.35	67.94
	08/12/13	02/08/16		662.86	780.89	(118.03)
NOVARTIS AG SPON ADR	09/30/11	05/11/15	3.00	308.09	168.15	139.94
	09/30/11	07/10/15	2.00	206.51	112.10	94.41
	09/30/11	07/14/15	1.00	105.56	56.05	49.51
	09/30/11	08/18/15	1.00	103.23	56.05	47.18
	09/30/11	09/08/15	3.00	287.60	168.15	119.45
	09/30/11	11/16/15	5.00	435.42	280.25	155.17

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LONG TERM

SECURITY	DATE	DATE SOLD	QTY	SALES	COST OR	TOTAL
OCCIDENTAL PETROLEUM CRP	04/10/12	05/11/15	4.00	309.04	352.65	(43.61)
	04/10/12	08/18/15	6.00	440.81	455.50	(14.69)
	04/10/12	09/08/15	5.00	342.29	401.40	(59.11)
	04/10/12	11/09/15	7.00	524.93	617.13	(92.20)
	04/10/12	11/16/15	5.00	369.94	440.81	(70.87)
	04/10/12	01/11/16	3.00	187.71	264.48	(76.77)
	08/06/12	01/11/16	6.00	375.42	517.13	(141.71)
	11/12/12	01/11/16	14.00	875.98	1,036.51	(160.53)
	08/12/13	01/11/16	17.00	1,063.68	1,438.19	(374.51)
	04/15/14	01/11/16	2.00	125.14	181.99	(56.85)
	05/05/14	01/11/16	1.00	62.57	91.33	(28.76)
	12/17/14	01/11/16	30.00	1,877.10	2,325.06	(447.96)
	01/28/15	01/11/16	5.00	312.85	420.25	(107.40)
	08/10/15	01/11/16	5.00	312.85	424.81	(111.96)
	10/08/15	01/11/16	2.00	125.14	184.21	(59.07)
PEARSON PLC SPON ADR	09/30/11	06/09/15	156.00	2,974.15	2,761.20	212.95
	10/10/11	06/09/15	7.00	133.46	127.82	5.64
	06/02/12	06/09/15	20.00	381.30	381.80	(0.50)
	06/08/12	06/09/15	17.00	324.11	329.98	(5.87)
	12/11/12	06/09/15	17.00	324.10	332.01	(7.91)
	11/02/13	06/09/15	28.00	533.83	532.22	1.61
	12/08/13	06/09/15	43.00	819.80	892.10	(72.30)
	11/11/13	06/09/15	16.00	305.04	334.04	(29.00)
	10/02/14	06/09/15	33.00	629.15	605.29	23.86
	10/03/14	06/09/15	28.00	533.82	488.42	45.40
	04/15/14	06/09/15	3.00	57.19	52.77	4.42

JACQUELINE HARRIS HOCHBERG FOUNDATION, INC.
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LONG TERM

SECURITY	DATE ACQUIRED	DATE SOLD	QTY	SALES PROCEEDS	COST OR OTHER BASIS	TOTAL GAIN/LOSS
QUALCOMM INC	05/05/14	07/14/15	1.00	64.03	64.03	-
	05/05/14	08/10/15	98.00	6,166.62	7,796.54	(1,629.92)
	07/10/15	08/10/15	1.00	62.92	78.42	(15.50)
ROCKWELL AUTOMATION INC NEW	01/28/15	02/08/16	5.00	485.60	546.09	(60.49)
TORONTO DOMINION BK NEW CANADA CAD	08/11/14	09/08/15	20.00	780.39	944.86	(164.47)
	08/11/14	11/09/15	3.00	123.17	152.30	(29.13)
	08/11/14	11/16/15	9.00	360.53	456.91	(96.38)
	08/11/14	02/08/16	7.00	259.04	355.37	(96.33)
TRAVELERS COS INC/THE	02/10/14	05/11/15	79.00	8,050.13	6,426.09	1,624.04
UNION PACIFIC CORP	04/15/14	09/08/15	4.00	347.36	352.67	(5.31)
	04/15/14	10/08/15	3.00	283.70	276.47	7.23
	04/15/14	11/16/15	5.00	420.04	420.04	-
	04/15/14	02/08/16	1.00	74.15	92.16	(18.01)
UNITED TECHNOLOGIES CORP	09/30/11	08/18/15	1.00	99.62	70.52	29.10
	09/30/11	08/09/15	3.00	276.66	211.56	65.10
	09/30/11	11/09/15	7.00	700.41	493.64	206.77
	09/30/11	11/16/15	3.00	290.90	211.56	79.34

JACQUELINE HARRIS HOCHBERG FOUNDATION, INC.

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SECURITY	DATE ACQUIRED	DATE SOLD	QTY	SALES PROCEEDS	COST OR OTHER BASIS	TOTAL GAIN/LOSS
VF CORP	11/12/12	10/07/15	5.00	356.76	196.07	160.69
	11/12/12	07/14/15	1.00	72.83	39.21	33.62
	11/12/12	10/08/15	8.00	612.11	313.70	298.41
	11/12/12	08/09/15	5.00	357.06	196.06	161.00
	11/12/12	02/08/16	3.00	171.59	117.63	53.96
YUM BRANDS INC	11/11/13	05/11/15	14.00	1,260.93	1,015.05	245.88
	11/11/13	06/09/15	2 .00	180.95	145.01	35.94
	11/11/13	07/10/15	1.00	90.85	72.50	18.35
	11/11/13	09/08/15	7.00	565.46	507.53	57.93
	11/11/13	10/08/15	75.00	5,003.05	5,437.78	(434.73)
	04/15/14	10/08/15	2 .00	133.41	151.72	(18.31)
	05/05/14	10/08/15	1.00	66.71	76.02	(9.31)
	08/11/14	10/08/15	6.00	400.25	419.98	(19.73)
LONG TERM TOTAL				**105,614.57**	**89,458.10**	**16,156.47**

JACQUELINE HARRIS HOCHBERG FOUNDATION
CHARITABLE CONTRIBUTIONS - FISCAL YEAR ENDING 3/31/2016

DATE	ORGANIZATION	CITY/STATE		AMOUNT
3/23/2015	The Children's Defense Fund	New York, NY	$	1,000.00
3/23/2015	The Jewish Museum	New York, NY	$	1,000.00
4/14/2015	Child Mind Institute	New York, NY	$	2,500.00
4/14/2015	Southampton Fresh Air Home	Southampton, NY	$	2,500.00
4/14/2015	American Friends of the Israel Museum	New York, NY	$	500.00
4/14/2015	Kellogg School of Business	Evanston, IL	$	5,000.00
4/23/2015	Steve Weatherford World Champion Foundation	Terre Haute, IN	$	5,000.00
4/23/2015	American Heart Association	Plainview, NY	$	1,000.00
4/28/2015	NYU Langone Medical Center	New York, NY	$	7,000.00
4/28/2015	Seleni Institute	New York, NY	$	500.00
4/28/2015	Southampton Fresh Air Home	New York, NY	$	500.00
5/22/2015	John A. Coleman School	White Plains, NY	$	1,500.00
5/22/2015	FDNY Foundation	Brooklyn, NY	$	2,000.00
5/28/2015	Battery Conservancy	New York, NY	$	2,500.00
6/4/2015	Vh1 Save the Music Foundation	New York, NY	$	1,500.00
6/18/2015	University of Michigan	Ann Arbor, MI	$	5,000.00
7/7/2015	Strides for Life Colon Cancer Foundation	Burlingame, CA	$	1,000.00
9/22/2015	St. Elizabeth Seton Children's Foundation	Yonkers, NY	$	1,500.00
9/22/2015	World Childhood Foundation	New York, NY	$	2,000.00
9/22/2015	New Yorkers for Children	New York, NY	$	1,500.00
9/22/2015	Albert Einstein College of Medicine	Bronx, NY	$	1,200.00
9/22/2015	American Friends of Israel Museum	New York, NY	$	1,250.00
10/6/2015	American Friends of Israel Museum	New York, NY	$	3,000.00
10/15/2015	American Cancer Society, Inc.	New York, NY	$	500.00
11/19/2015	Child Mind Institute	New York, NY	$	5,000.00
11/19/2015	Covenant House	New York, NY	$	250.00
11/19/2015	Central Synagogue	New York, NY	$	2,500.00
12/10/2015	John A. Coleman School	White Plains, NY	$	1,500.00
12/10/2015	Belev Echad	New York, NY	$	500.00
1/14/2016	Columbia Grammar & Preparatory School	New York, NY	$	1,250.00
1/28/2016	Randall's Island Park Alliance	New York, NY	$	2,500.00
2/3/2016	92nd Street Y	New York, NY	$	3,000.00
2/3/2016	Albert Einstein College of Medicine	Bronx, NY	$	1,000.00
3/22/2016	Friends of United Hatzalah		$	500.00
3/24/2016	Food Allergy Research and Education		$	1,000.00
			$	69,950.00

Your assets

Equities

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Mar 31 ($)	Value on Mar 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
BARON OPPORTUNITY FUND									
CLASS RT									
Symbol BIOPX									
Trade date Mar 30, 12	9,463 722	15 857	150,075 00	150,075 00	15 340	145,173 49	-4,901 51	-4,901 51	LT

Fixed income

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Mar 31 ($)	Value on Mar 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
ANGEL OAK MULTI STRATEGY									
INCOME FUND CLASS A									
Symbol ANGLX									
Trade date Dec 10, 13	12,106 136	12 060	146,005 25	146,005 25	11 040	133,651 74	-12,353 51	-12,353 51	LT
EAI $9,515 Current yield 7 12%									

APZ20002001538350 PZ2000081891 00001 0316 000000000 Y111119GG0 101000

Your assets (continued)

Your total assets

		Value on Mar 31 ($)	Cost basis ($)	Unrealized gain or loss ($)
Equities	**Mutual funds**	**145,173.49**	**150,075.00**	**-4,901.51**
Fixed income	**Mutual funds**	**133,651.74**	**146,005.25**	**-12,353.51**
Total		278,825 23	296,080 25	-17,255 02

Account name: JACQUELINE HARRIS HOCHBERG
Friendly account name: Hochberg Fndtn2
Account number: Y1 16292 GG

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Mar 31 ($)	Value on Mar 31 ($)	Unrealized gain or loss ($)	Holding period
ACCENTURE PLC IRELAND CL A								
Symbol ACN Exchange NYSE								
EAI $180 Current yield 1 90%	Nov 16, 15	78 000	104 998	8,189 87	115 400	9,001 20	811 33	ST
	Jan 11, 16	2 000	98 645	197 29	115 400	230 80	33 51	ST
	Feb 8, 16	2 000	95 150	190 30	115 400	230 80	40 50	ST
Security total		82 000	104 603	8,577 46		9,462 80	885 34	
BOEING COMPANY								
Symbol BA Exchange NYSE								
EAI $279 Current yield 3 43%	Sep 30, 11	20 000	61 380	1,227 60	126 940	2,538 80	1,311 20	LT
	Oct 10, 11	2 000	63 950	127 90	126 940	253 88	125 98	LT
	Mar 12, 12	2 000	73 700	147 40	126 940	253 88	106 48	LT
	Aug 6, 12	7 000	73 098	511 69	126 940	888 58	376 89	LT
	Nov 12, 12	2 000	73 840	147 68	126 940	253 88	106 20	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Mar 31 ($)	Value on Mar 31 ($)	Unrealized gain or loss ($)	Holding period
	Feb 11, 13	3 000	76 170	228 51	126 940	380 82	152 31	LT
	Apr 15, 14	4 000	123 670	494 68	126 940	507 76	13 08	LT
	Aug 11, 14	4 000	121 457	485 83	126 940	507 76	21 93	LT
	Dec 17, 14	3 000	124 000	372 00	126 940	380 82	8 82	LT
	May 11, 15	3 000	146 500	439 50	126 940	380 82	-58 68	ST
	Jun 9, 15	4 000	140 750	563 00	126 940	507 76	-55 24	ST
	Oct 8, 15	2 000	136 330	272 66	126 940	253 88	-18 78	ST
	Jan 11, 16	5 000	130 700	653 50	126 940	634 70	-18 80	ST
	Feb 8, 16	3 000	120 066	360 20	126 940	380 82	20 62	ST
Security total		64 000	94 252	6,032 15		8,124 16	2,092 01	
BRITISH AMER TOBACCO PLC GB SPON ADR Symbol BTI Exchange AMEX EAI $321 Current yield 3 76%	Feb 8, 16	73 000	106 880	7,802 24	116 910	8,534 43	732 19	ST
COCA COLA CO COM Symbol KO Exchange NYSE EAI $256 Current yield 3 02%	Dec 11, 15	182 000	42 400	7,716 94	46 390	8,442 98	726 04	ST
	Jan 11, 16	1 000	41 770	41 77	46 390	46 39	4 62	ST
Security total		183 000	42 397	7,758 71		8,489 37	730 66	
COLGATE PALMOLIVE CO Symbol CL Exchange NYSE EAI $184 Current yield 2 21%	Sep 30, 11	47 000	44 544	2,093 61	70 650	3,320 55	1,226 94	LT
	Oct 10, 11	2 000	45 680	91 36	70 650	141 30	49 94	LT
	Feb 6, 12	8 000	45 555	364 44	70 650	565 20	200 76	LT
	Nov 12, 12	8 000	52 205	417 64	70 650	565 20	147 56	LT
	Feb 11, 13	4 000	54 250	217 00	70 650	282 60	65 60	LT
	Aug 12, 13	11 000	60 638	667 02	70 650	777 15	110 13	LT
	Dec 17, 14	4 000	68 170	272 68	70 650	282 60	9 92	LT
	Jan 28, 15	11 000	65 775	723 53	70 650	777 15	53 62	LT
	Mar 16, 15	4 000	68 757	275 03	70 650	282 60	7 57	LT
	May 11, 15	4 000	68 370	273 48	70 650	282 60	9 12	ST
	Jun 9, 15	7 000	66 100	462 70	70 650	494 55	31 85	ST
	Jul 14, 15	1 000	67 020	67 02	70 650	70 65	3 63	ST

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Mar 31 ($)	Value on Mar 31 ($)	Unrealized gain or loss ($)	Holding period
	Aug 18, 15	2 000	67 670	135 34	70 650	141 30	5 96	ST
	Oct 8, 15	3 000	65 236	195 71	70 650	211 95	16 24	ST
	Jan 11, 16	2 000	62 950	125 90	70 650	141 30	15 40	ST
Security total		118 000	54 089	6,382 46		8,336 70	1,954 24	
CRANE CO								
Symbol CR Exchange NYSE								
EAI $215 Current yield 2 45%	Feb 9, 15	93 000	66 930	6,224 55	53 860	5,008 98	-1,215 57	LT
	Mar 16, 15	11 000	63 459	698 05	53 860	592 46	-105 59	LT
	May 11, 15	11 000	59 973	659 71	53 860	592 46	-67 25	ST
	Jul 10, 15	10 000	57 161	571 61	53 860	538 60	-33 01	ST
	Aug 10, 15	11 000	53 570	589 27	53 860	592 46	3 19	ST
	Oct 8, 15	17 000	49 275	837 68	53 860	915 62	77 94	ST
	Jan 11, 16	10 000	45 774	457 74	53 860	538 60	80 86	ST
Security total		163 000	61 587	10,038 61		8,779 18	-1,259 43	
DIAGEO PLC NEW GB SPON ADR								
Symbol DEO Exchange NYSE								
EAI $261 Current yield 3 18%	Sep 30, 11	29 000	76 290	2,212 41	107 870	3,128 23	915 82	LT
	Nov 12, 12	1 000	114 780	114 78	107 870	107 87	-6 91	LT
	Feb 11, 13	2 000	117 560	235 12	107 870	215 74	-19 38	LT
	Aug 12, 13	7 000	129 160	904 12	107 870	755 09	-149 03	LT
	Dec 9, 13	5 000	126 310	631 55	107 870	539 35	-92 20	LT
	May 5, 14	4 000	123 362	493 45	107 870	431 48	-61 97	LT
	Aug 11, 14	1 000	116 400	116 40	107 870	107 87	-8 53	LT
	Dec 17, 14	8 000	112 328	898 63	107 870	862 96	-35 67	LT
	Feb 9, 15	3 000	115 246	345 74	107 870	323 61	-22 13	LT
	Mar 16, 15	5 000	111 060	555 30	107 870	539 35	-15 95	LT
	May 11, 15	1 000	111 440	111 44	107 870	107 87	-3 57	ST
	Aug 10, 15	4 000	113 067	452 27	107 870	431 48	-20 79	ST
	Aug 18, 15	1 000	110 980	110 98	107 870	107 87	-3 11	ST
	Oct 8, 15	1 000	111 270	111 27	107 870	107 87	-3 40	ST
	Jan 11, 16	3 000	105 700	317 10	107 870	323 61	6 51	ST
	Feb 8, 16	1 000	103 020	103 02	107 870	107 87	4 85	ST

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Mar 31 ($)	Value on Mar 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		76 000	101 494	7,713 58		8,198 12	484 54	
HOME DEPOT INC								
Symbol HD Exchange NYSE								
EAI $190 Current yield 2 06%	Aug 18, 15	62 000	123 220	7,639 67	133 430	8,272 66	632 99	ST
	Oct 8, 15	1 000	119 540	119 54	133 430	133 43	13 89	ST
	Feb 8, 16	6 000	113 171	679 03	133 430	800 58	121 55	ST
Security total		69 000	122 293	8,438 24		9,206 67	768 43	
INTEL CORP								
Symbol INTC Exchange OTC								
EAI $281 Current yield 3 22%	Aug 6, 12	11 000	26 396	290 36	32 350	355 85	65 49	LT
	Oct 8, 12	39 000	22 486	876 98	32 350	1,261 65	384 67	LT
	Nov 12, 12	28 000	20 756	581 19	32 350	905 80	324 61	LT
	Feb 11, 13	17 000	21 010	357 17	32 350	549 95	192 78	LT
	Aug 12, 13	41 000	22 606	926 87	32 350	1,326 35	399 48	LT
	Mar 10, 14	5 000	24 700	123 50	32 350	161 75	38 25	LT
	May 5, 14	13 000	26 110	339 43	32 350	420 55	81 12	LT
	Jan 28, 15	25 000	34 124	853 11	32 350	808 75	-44 36	LT
	Feb 9, 15	10 000	32 765	327 65	32 350	323 50	-4 15	LT
	Mar 16, 15	23 000	30 866	709 94	32 350	744 05	34 11	LT
	Jun 9, 15	12 000	31 463	377 56	32 350	388 20	10 64	ST
	Jul 10, 15	20 000	29 265	585 30	32 350	647 00	61 70	ST
	Aug 10, 15	1 000	29 350	29 35	32 350	32 35	3 00	ST
	Aug 18, 15	3 000	28 926	86 78	32 350	97 05	10 27	ST
	Feb 8, 16	22 000	28 710	631 62	32 350	711 70	80 08	ST
Security total		270 000	26 284	7,096 81		8,734 50	1,637 69	
INVESCO LTD								
Symbol IVZ Exchange NYSE								
EAI $322 Current yield 3 51%	Feb 10, 14	159 000	34 272	5,449 26	30 770	4,892 43	-556 83	LT
	Mar 10, 14	4 000	34 217	136 87	30 770	123 08	-13 79	LT
	Dec 17, 14	12 000	38 662	463 95	30 770	369 24	-94 71	LT
	Jan 28, 15	22 000	36 950	812 90	30 770	676 94	-135 96	LT
	Jun 9, 15	17 000	39 206	666 51	30 770	523 09	-143 42	ST
	Jul 10, 15	12 000	36 995	443 94	30 770	369 24	-74 70	ST

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Account name: JACQUELINE HARRIS HOCHBERG
Friendly account name: Hochberg Fndtn2
Account number: Y1 16292 GG

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Mar 31 ($)	Value on Mar 31 ($)	Unrealized gain or loss ($)	Holding period
	Aug 18, 15	9 000	37 105	333 95	30 770	276 93	-57 02	ST
	Sep 8, 15	6 000	32 390	194 34	30 770	184 62	-9 72	ST
	Oct 8, 15	11 000	32 898	361 88	30 770	338 47	-23 41	ST
	Nov 16, 15	1 000	31 760	31 76	30 770	30 77	-0 99	ST
	Jan 11, 16	9 000	29 943	269 49	30 770	276 93	7 44	ST
	Feb 8, 16	36 000	25 942	933 92	30 770	1,107 72	173 80	ST
Security total		298 000	33 888	10,098 77		9,169 46	-929 31	
JOHNSON CONTROLS INC Symbol JCI Exchange NYSE EAI $254 Current yield 2 98%	Jul 10, 15	169 000	49 235	8,320 84	38 970	6,585 93	-1,734 91	ST
	Jul 14, 15	2 000	49 190	98 38	38 970	77 94	-20 44	ST
	Aug 10, 15	6 000	47 208	283 25	38 970	233 82	-49 43	ST
	Aug 18, 15	6 000	45 505	273 03	38 970	233 82	-39 21	ST
	Sep 8, 15	1 000	40 580	40 58	38 970	38 97	-1 61	ST
	Jan 11, 16	35 000	35 583	1,245 42	38 970	1,363 95	118 53	ST
Security total		219 000	46 856	10,261 50		8,534 43	-1,727 07	
LOCKHEED MARTIN CORP Symbol LMT Exchange NYSE EAI $244 Current yield 2 98%	Jan 11, 16	37 000	213 704	7,907 05	221 500	8,195 50	288 45	ST
MARSH & MCLENNAN COS INC Symbol MMC Exchange NYSE EAI $176 Current yield 2 04%	Sep 8, 15	129 000	53 377	6,885 72	60 790	7,841 91	956 19	ST
	Oct 8, 15	11 000	53 130	584 43	60 790	668 69	84 26	ST
	Jan 11, 16	2 000	52 875	105 75	60 790	121 58	15 83	ST
Security total		142 000	53 351	7,575 90		8,632 18	1,056 28	
MCDONALDS CORP Symbol MCD Exchange NYSE EAI $246 Current yield 2 84%	Nov 9, 15	68 000	113 020	7,685 40	125 680	8,546 24	860 84	ST
	Feb 8, 16	1 000	113 440	113 44	125 680	125 68	12 24	ST
Security total		69 000	113 027	7,798 84		8,671 92	873 08	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Mar 31 ($)	Value on Mar 31 ($)	Unrealized gain or loss ($)	Holding period
MEDTRONIC PLC								
Symbol MDT Exchange NYSE								
EAI $161 Current yield 2 03%	Jan 27, 15	51 000	76 210	3,886 74	75 000	3,825 00	-61 74	LT
	Jan 27, 15	14 000	76 210	1,066 95	75 000	1,050 00	-16 95	LT
	Jan 27, 15	9 000	76 211	685 90	75 000	675 00	-10 90	LT
	Jan 27, 15	7 000	76 208	533 46	75 000	525 00	-8 46	LT
	Jan 27, 15	4 000	76 212	304 85	75 000	300 00	-4 85	LT
	Jan 27, 15	3 000	76 206	228 62	75 000	225 00	-3 62	LT
	Jan 27, 15	2 000	76 215	152 43	75 000	150 00	-2 43	LT
	Jan 28, 15	1 000	74 010	74 01	75 000	75 00	0 99	LT
	Feb 9, 15	2 000	73 320	146 64	75 000	150 00	3 36	LT
	May 11, 15	3 000	75 526	226 58	75 000	225 00	-1 58	ST
	Jun 9, 15	3 000	74 543	223 63	75 000	225 00	1 37	ST
	Jul 10, 15	1 000	74 080	74 08	75 000	75 00	0 92	ST
	Sep 8, 15	1 000	69 330	69 33	75 000	75 00	5 67	ST
	Oct 8, 15	5 000	70 844	354 22	75 000	375 00	20 78	ST
Security total		106 000	75 731	8,027 44		7,950 00	-77 44	
MICROSOFT CORP								
Symbol MSFT Exchange OTC								
EAI $230 Current yield 2 60%	Dec 9, 13	92 000	38 709	3,561 28	55 230	5,081 16	1,519 88	LT
	May 5, 14	4 000	39 360	157 44	55 230	220 92	63 48	LT
	Dec 17, 14	6 000	45 353	272 12	55 230	331 38	59 26	LT
	Jan 28, 15	23 000	42 208	970 80	55 230	1,270 29	299 49	LT
	Feb 9, 15	1 000	42 340	42 34	55 230	55 23	12 89	LT
	Mar 16, 15	10 000	41 425	414 25	55 230	552 30	138 05	LT
	Jun 9, 15	11 000	45 660	502 26	55 230	607 53	105 27	ST
	Jul 10, 15	3 000	44 730	134 19	55 230	165 69	31 50	ST
	Feb 8, 16	10 000	48 646	486 46	55 230	552 30	65 84	ST
Security total		160 000	40 882	6,541 14		8,836 80	2,295 66	
NEXTERA ENERGY INC COM								
Symbol NEE Exchange NYSE								
EAI $240 Current yield 2 94%	Sep 30, 11	23 000	54 190	1,246 37	118 340	2,721 82	1,475 45	LT
	Oct 10, 11	3 000	54 700	164 10	118 340	355 02	190 92	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Mar 31 ($)	Value on Mar 31 ($)	Unrealized gain or loss ($)	Holding period
	Feb 6, 12	2 000	60 150	120 30	118 340	236 68	116 38	LT
	Nov 12, 12	9 000	66 970	602 73	118 340	1,065 06	462 33	LT
	Aug 12, 13	3 000	85 706	257 12	118 340	355 02	97 90	LT
	Dec 9, 13	7 000	83 980	587 86	118 340	828 38	240 52	LT
	Dec 17, 14	1 000	101 670	101 67	118 340	118 34	16 67	LT
	Feb 9, 15	5 000	105 216	526 08	118 340	591 70	65 62	LT
	Mar 16, 15	6 000	102 560	615 36	118 340	710 04	94 68	LT
	May 11, 15	1 000	101 670	101 67	118 340	118 34	16 67	ST
	Jun 9, 15	4 000	98 210	392 84	118 340	473 36	80 52	ST
	Jul 14, 15	1 000	102 090	102 09	118 340	118 34	16 25	ST
	Sep 8, 15	1 000	96 220	96 22	118 340	118 34	22 12	ST
	Oct 8, 15	3 000	99 410	298 23	118 340	355 02	56 79	ST
Security total		69 000	75 546	5,212 64		8,165 46	2,952 82	
NORDSTROM INC Symbol JWN Exchange NYSE EAI $229 Current yield 2 58%	Aug 12, 13	71 000	60 069	4,264 90	57 210	4,061 91	-202 99	LT
	Nov 11, 13	4 000	61 577	246 31	57 210	228 84	-17 47	LT
	Apr 15, 14	4 000	60 975	243 90	57 210	228 84	-15 06	LT
	Dec 17, 14	1 000	74 850	74 85	57 210	57 21	-17 64	LT
	Feb 9, 15	1 000	78 370	78 37	57 210	57 21	-21 16	LT
	May 11, 15	7 000	77 250	540 75	57 210	400 47	-140 28	ST
	Jun 9, 15	8 000	73 297	586 38	57 210	457 68	-128 70	ST
	Aug 10, 15	2 000	75 350	150 70	57 210	114 42	-36 28	ST
	Oct 8, 15	17 000	67 967	1,155 45	57 210	972 57	-182 88	ST
	Nov 9, 15	4 000	63 400	253 60	57 210	228 84	-24 76	ST
	Nov 16, 15	17 000	53 972	917 54	57 210	972 57	55 03	ST
	Jan 11, 16	19 000	46 990	892 81	57 210	1,086 99	194 18	ST
Security total		155 000	60 681	9,405 56		8,867 55	-538 01	
NOVARTIS AG SPON ADR Symbol NVS Exchange NYSE EAI $250 Current yield 3 23%	Sep 30, 11	35 000	56 050	1,961 75	72 440	2,535 40	573 65	LT
	Oct 10, 11	2 000	57 735	115 47	72 440	144 88	29 41	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Mar 31 ($)	Value on Mar 31 ($)	Unrealized gain or loss ($)	Holding period
	Feb 6, 12	13 000	55 626	723 15	72 440	941 72	218 57	LT
	Nov 12, 12	6 000	60 260	361 56	72 440	434 64	73 08	LT
	Aug 12, 13	13 000	73 385	954 01	72 440	941 72	-12 29	LT
	Dec 17, 14	1 000	91 730	91 73	72 440	72 44	-19 29	LT
	Mar 16, 15	7 000	97 380	681 66	72 440	507 08	-174 58	LT
	Jun 9, 15	2 000	100 820	201 64	72 440	144 88	-56 76	ST
	Aug 10, 15	1 000	102 570	102 57	72 440	72 44	-30 13	ST
	Oct 8, 15	10 000	91 506	915 06	72 440	724 40	-190 66	ST
	Nov 9, 15	2 000	88 790	177 58	72 440	144 88	-32 70	ST
	Jan 11, 16	5 000	81 614	408 07	72 440	362 20	-45 87	ST
	Feb 8, 16	10 000	72 939	729 39	72 440	724 40	-4 99	ST
Security total		107 000	69 380	7,423 64		7,751 08	327 44	
PEPSICO INC Symbol PEP Exchange NYSE EAI $225 Current yield 2 74%	Jul 14, 15	80 000	97 086	7,766 89	102 480	8,198 40	431 51	ST
ROCKWELL AUTOMATION INC NEW Symbol ROK Exchange NYSE EAI $232 Current yield 2 55%	Jan 28, 15	55 000	109 218	6,007 00	113 750	6,256 25	249 25	LT
	Mar 16, 15	3 000	112 420	337 26	113 750	341 25	3 99	LT
	Jul 10, 15	2 000	122 230	244 46	113 750	227 50	-16 96	ST
	Aug 10, 15	4 000	117 500	470 00	113 750	455 00	-15 00	ST
	Oct 8, 15	9 000	102 962	926 66	113 750	1,023 75	97 09	ST
	Jan 11, 16	7 000	93 934	657 54	113 750	796 25	138 71	ST
Security total		80 000	108 037	8,642 92		9,100 00	457 08	
SCHLUMBERGER LTD NETHERLANDS ANTILLES Symbol SLB Exchange NYSE EAI $228 Current yield 2 71%	May 11, 15	78 000	92 465	7,212 30	73 750	5,752 50	-1,459 80	ST
	Jun 9, 15	3 000	90 370	271 11	73 750	221 25	-49 86	ST
	Jul 10, 15	7 000	84 130	588 91	73 750	516 25	-72 66	ST
	Aug 10, 15	1 000	83 520	83 52	73 750	73 75	-9 77	ST
	Oct 8, 15	7 000	75 514	528 60	73 750	516 25	-12 35	ST
	Jan 11, 16	18 000	64 715	1,164 87	73 750	1,327 50	162 63	ST

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Account name: JACQUELINE HARRIS HOCHBERG
Friendly account name: Hochberg Fndtn2
Account number: Y1 16292 GG

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Mar 31 ($)	Value on Mar 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		114 000	86 397	9,849 31		8,407 50	-1,441 81	
TEXAS INSTRUMENTS								
Symbol TXN Exchange OTC								
EAI $240 Current yield 2 65%	Aug 10, 15	136 000	51 948	7,064 94	57 420	7,809 12	744 18	ST
	Aug 18, 15	6 000	49 993	299 96	57 420	344 52	44 56	ST
	Jan 11, 16	14 000	50 870	712 18	57 420	803 88	91 70	ST
	Feb 8, 16	2 000	49 410	98 82	57 420	114 84	16 02	ST
Security total		158 000	51 746	8,175 90		9,072 36	896 46	
TORONTO DOMINION BK NEW CANADA								
CAD								
Symbol TD Exchange NYSE								
EAI $339 Current yield 3 73%								
CAD Exchange rate 1 29345	Aug 11, 14	83 000	50 767	4,213 68	43 130	3,579 79	-633 89	LT
	Dec 17, 14	31 000	45 534	1,411 57	43 130	1,337 03	-74 54	LT
	Jan 28, 15	28 000	41 568	1,163 91	43 130	1,207 64	43 73	LT
	Mar 16, 15	15 000	41 860	627 90	43 130	646 95	19 05	LT
	Jun 9, 15	13 000	44 020	572 26	43 130	560 69	-11 57	ST
	Jul 10, 15	12 000	41 250	495 00	43 130	517 56	22 56	ST
	Jul 14, 15	5 000	40 724	203 62	43 130	215 65	12 03	ST
	Aug 18, 15	3 000	39 636	118 91	43 130	129 39	10 48	ST
	Oct 8, 15	3 000	40 953	122 86	43 130	129 39	6 53	ST
	Jan 11, 16	18 000	36 083	649 50	43 130	776 34	126 84	ST
Security total		211 000	45 399	9,579 21		9,100 43	-478 78	
UNION PACIFIC CORP								
Symbol UNP Exchange NYSE								
EAI $231 Current yield 2 77%	Apr 15, 14	59 000	92 155	5,437 18	79 550	4,693 45	-743 73	LT
	Mar 16, 15	6 000	115 523	693 14	79 550	477 30	-215 84	LT
	May 11, 15	7 000	107 364	751 55	79 550	556 85	-194 70	ST
	Jun 9, 15	7 000	100 190	701 33	79 550	556 85	-144 48	ST
	Jul 10, 15	2 000	97 975	195 95	79 550	159 10	-36 85	ST
	Jul 14, 15	1 000	97 030	97 03	79 550	79 55	-17 48	ST
	Aug 10, 15	2 000	93 560	187 12	79 550	159 10	-28 02	ST

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Mar 31 ($)	Value on Mar 31 ($)	Unrealized gain or loss ($)	Holding period
	Aug 18, 15	1 000	92 540	92 54	79 550	79 55	-12 99	ST
	Nov 9, 15	10 000	84 702	847 02	79 550	795 50	-51 52	ST
	Jan 11, 16	10 000	74 480	744 80	79 550	795 50	50 70	ST
Security total		105 000	92 835	9,747 66		8,352 75	-1,394 91	
UNTD TECHNOLOGIES CORP								
Symbol UTX Exchange NYSE								
EAI $228 Current yield 2 56%	Sep 30, 11	28 000	70 520	1,974 56	100 100	2,802 80	828 24	LT
	Oct 10, 11	2 000	73 250	146 50	100 100	200 20	53 70	LT
	Feb 6, 12	1 000	80 530	80 53	100 100	100 10	19 57	LT
	Aug 6, 12	11 000	77 480	852 28	100 100	1,101 10	248 82	LT
	Nov 12, 12	4 000	76 350	305 40	100 100	400 40	95 00	LT
	Aug 12, 13	4 000	105 612	422 45	100 100	400 40	-22 05	LT
	Apr 15, 14	2 000	115 480	230 96	100 100	200 20	-30 76	LT
	Aug 11, 14	5 000	106 306	531 53	100 100	500 50	-31 03	LT
	Dec 17, 14	1 000	112 640	112 64	100 100	100 10	-12 54	LT
	Jan 28, 15	1 000	118 100	118 10	100 100	100 10	-18 00	LT
	Mar 16, 15	2 000	119 595	239 19	100 100	200 20	-38 99	LT
	May 11, 15	2 000	118 150	236 30	100 100	200 20	-36 10	ST
	Jun 9, 15	2 000	116 700	233 40	100 100	200 20	-33 20	ST
	Jul 10, 15	4 000	110 460	441 84	100 100	400 40	-41 44	ST
	Aug 10, 15	9 000	99 552	895 97	100 100	900 90	4 93	ST
	Oct 8, 15	5 000	93 090	465 45	100 100	500 50	35 05	ST
	Jan 11, 16	4 000	90 782	363 13	100 100	400 40	37 27	ST
	Feb 8, 16	2 000	87 050	174 10	100 100	200 20	26 10	ST
Security total		89 000	87 914	7,824 33		8,908 90	1,084 57	
VF CORP								
Symbol VFC Exchange NYSE								
EAI $200 Current yield 2 29%	Nov 12, 12	64 000	39 212	2,509 58	64 760	4,144 64	1,635 06	LT
	Feb 11, 13	16 000	37 842	605 48	64 760	1,036 16	430 68	LT
	Apr 15, 14	6 000	58 770	352 62	64 760	388 56	35 94	LT
	Jan 28, 15	8 000	71 330	570 64	64 760	518 08	-52 56	LT
	Feb 9, 15	6 000	68 515	411 09	64 760	388 56	-22 53	LT

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Account name: JACQUELINE HARRIS HOCHBERG
Friendly account name: Hochberg Fndtn2
Account number: Y1 16292 GG

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Mar 31 ($)	Value on Mar 31 ($)	Unrealized gain or loss ($)	Holding period
	May 11, 15	5 000	71 884	359 42	64 760	323 80	-35 62	ST
	Jun 9, 15	6 000	69 366	416 20	64 760	388 56	-27 64	ST
	Oct 8, 15	11 000	69 726	766 99	64 760	712 36	-54 63	ST
	Nov 16, 15	4 000	62 287	249 15	64 760	259 04	9 89	ST
	Jan 11, 16	9 000	57 050	513 45	64 760	582 84	69 39	ST
Security total		135 000	50 034	6,754 62		8,742 60	1,987 98	
Total				**$218,433.58**		**$232,523.25**	**$14,089.67**	

Your total assets

		Value on Mar 31 ($)	Cost basis ($)	Unrealized gain or loss ($)
Equities	**Common stock**	**232,523.25**	**218,433.58**	**14,089.67**