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EXTENDED TO JANUARY 17, 2017
Return of Private Foundation

Form 990-PF

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No. 1545-0052

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning MAR 1, 2015, and ending FEB 29, 2016

Name of foundation

A Employer identification number

THE RONALD AND MAXINE LINDE FOUNDATION

36-3635349

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

3300 E. STANFORD DRIVE

B Telephone number

602-954-5253

City or town, state or province, country, and ZIP or foreign postal code

PARADISE VALLEY, AZ 85253

C If exemption application is pending, check here

G Check all that apply:

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

D 1. Foreign organizations, check here

2. Foreign organizations meeting the 85% test, check here and attach computation

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust

☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here

I Fair market value of all assets at end of year

J Accounting method:

☒ Cash

☐ Accrual

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

(from Part II, col (c), line 16)

☐ Other (specify)

\$ 25,768,590. (Part I, column (d) must be on cash basis.)

Part I

Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc., received

2 Check ☒ if the foundation is not required to attach Sch B

3 Interest on savings and temporary cash investments

4 Dividends and interest from securities

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

b Gross sales price for all assets on line 6a 8,289,373.

7 Capital gain net income (from Part IV, line 2)

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit or (loss)

11 Other income

12 Total Add lines 1 through 11

13 Compensation of officers, directors, trustees, etc

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees

b Accounting fees

c Other professional fees

17 Interest

18 Taxes

19 Depreciation and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses

24 Total operating and administrative expenses. Add lines 13 through 23

25 Contributions, gifts, grants paid

26 Total expenses and disbursements. Add lines 24 and 25

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

b Net investment income (if negative, enter -0-)

c Adjusted net income (if negative, enter -0-)

N/A

494.

494.

1,015,823.

1,015,642.

STATEMENT 2

STATEMENT 3

4,679,454.

STATEMENT 1

4,702,594.

11,035.

11,035.

STATEMENT 4

5,706,806.

5,729,765.

0.

0.

0.

9,000.

0.

5,850.

5,655.

3,245.

0.

61,122.

1,075.

0.

164,666.

163,184.

286.

240,443.

167,504.

6,136.

1,300,750.

1,300,750.

1,541,193.

167,504.

1,306,886.

4,165,613.

5,562,261.

N/A

523501
11-24-15

LHA For Paperwork Reduction Act Notice, see instructions.

Form 990-PF (2015)

SCANNED NOV 03 2016

Operating and Administrative Expenses
19 Received in
Batching Ogden
NOV 02 2016

931

188

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		5,060,047.	8,372,382.	8,372,382.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations				
	b	Investments - corporate stock	STMT 8	50,243.	35,435.	35,435.
	c	Investments - corporate bonds				
	11	Investments - land, buildings, and equipment basis ▶				
	Less: accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other	STMT 9	20,011,876.	17,360,773.	17,360,773.	
14	Land, buildings, and equipment: basis ▶					
	Less: accumulated depreciation ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		25,122,166.	25,768,590.	25,768,590.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)		0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds		0.	0.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds		25,122,166.	25,768,590.	
30	Total net assets or fund balances		25,122,166.	25,768,590.		
31	Total liabilities and net assets/fund balances		25,122,166.	25,768,590.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	25,122,166.
2	Enter amount from Part I, line 27a	2	4,165,613.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	29,287,779.
5	Decreases not included in line 2 (itemize) ▶ NET CHANGE IN INVESTMENT HOLDINGS	5	3,519,189.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	25,768,590.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT 1			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 8,289,373.		3,586,779.	4,702,594.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			4,702,594.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	4,702,594.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	1,208,670.	25,468,708.	.047457
2013	923,892.	21,587,979.	.042797
2012	873,971.	19,458,870.	.044914
2011	848,121.	18,989,610.	.044662
2010	904,483.	18,054,776.	.050097

2 Total of line 1, column (d)	2	.229927
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.045985
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	26,840,505.
5 Multiply line 4 by line 3	5	1,234,261.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	55,623.
7 Add lines 5 and 6	7	1,289,884.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	1,306,886.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	55,623.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	55,623.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	55,623.
6 Credits/Payments:			
a 2015 estimated tax payments and 2014 overpayment credited to 2015	6a	80,079.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	80,079.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	24,456.	
11 Enter the amount of line 10 to be: Credited to 2016 estimated tax <input checked="" type="checkbox"/> 24,456. Refunded <input type="checkbox"/> 0.	11		0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	X
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> ILLINOIS		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	X

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X
14 The books are in care of ► <u>RONALD K. LINDE</u> Telephone no. ► <u>602-954-5253</u> Located at ► <u>3300 E. STANFORD DRIVE, PARADISE VALLEY, AZ</u> ZIP+4 ► <u>85253-7527</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? \$72 Exp	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Reimbursement, See Stmt 7	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

☒

5b

X

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RONALD K. LINDE	CHAIR/TREASURER/DIRECTOR			
3300 E. STANFORD DRIVE				
PARADISE VALLEY, AZ 85253	1.5	0.	0.	0.
MAXINE H. LINDE	PRESIDENT/SECRETARY/DIRECTOR			
3300 E. STANFORD DRIVE				
PARADISE VALLEY, AZ 85253	0.5	0.	0.	0.
JENNINGS J. NEWCOM	DIRECTOR			
10857 LEGACY RIDGE WAY				
WESTMINSTER, CO 80031	0.1	0.	0.	0.
LESTER G. TRAUB	DIRECTOR			
1475 CARLA RIDGE				
BEVERLY HILLS, CA 90210	0.1	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2015)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NOT APPLICABLE	
	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 NOT APPLICABLE	
	0.
2	
All other program-related investments. See instructions.	
3 NONE	
	0.
	0.
Total. Add lines 1 through 3	0.

Form 990-PF (2015)

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	20,670,861.
b	Average of monthly cash balances	1b	6,578,383.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	27,249,244.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	27,249,244.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	408,739.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	26,840,505.
6	Minimum investment return. Enter 5% of line 5	6	1,342,025.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,342,025.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	55,623.
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	55,623.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,286,402.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,286,402.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,286,402.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,306,886.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,306,886.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	55,623.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,251,263.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				1,286,402.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			1,075,002.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2015:				
a From 2010				
b From 2011				
c From 2012				
d From 2013				
e From 2014				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2015 from Part XII, line 4: ► \$ 1,306,886.				
a Applied to 2014, but not more than line 2a			1,075,002.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2015 distributable amount				231,884.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	0.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				1,054,518.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2016 Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2011				
b Excess from 2012				
c Excess from 2013				
d Excess from 2014				
e Excess from 2015				

Part XV Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
CALIFORNIA INSTITUTE OF TECHNOLOGY 1200 EAST CALIFORNIA BLVD PASADENA, CA 91125	N/A	PUBLIC CHARITY 501(C)3	DISCRETIONARY FUND	20,000.
FIDELITY CHARITABLE GIFT FUND 82 DEVONSHIRE BOSTON, MA 02109	N/A	PUBLIC CHARITY 501(C)3	TO BE DETERMINED	1,280,000.
VALLEY OF THE SUN YMCA 2919 N. LITCHFIELD RD GOODYEAR, AZ 85395	N/A	PUBLIC CHARITY 501(C)3	SCOREBOARD FUND	750.
Total			3a	1,300,750.
b Approved for future payment				
CALIFORNIA INSTITUTE OF TECHNOLOGY 1200 EAST CALIFORNIA BLVD PASADENA, CA 91125	N/A	PUBLIC CHARITY 501(C)3	ENDOWMENT	9,200,000.
Total			3b	9,200,000.

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|--------------|----------|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee Marine H Lile, Pres Date 10-26-16

Title

May the IRS discuss this return with the preparer shown below (see instr)?

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date _____

Check ☒ if
self-employed

PTIN

AMANDA KUHLER Amanda K
Firm's name ► KUHLER & COMPANY, PLLC

	P0028504
Firm's EIN ▶	81-2960034

Firm's address ► 14559 HIDDEN TERRACE LOOP
LITCHFIELD PARK, AZ 85340

Phone no. **623-536-6545**

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	JRVR INVESTORS OFFSHORE LP	P	VARIOUS	09/30/15
b	GS ST COVERED	P	VARIOUS	12/31/15
c	GS ST NONCOVERED	P	VARIOUS	12/31/15
d	GS LT NONCOVERED	P	VARIOUS	11/17/15
e	GS LT COVERED	P	VARIOUS	11/17/15
f	PASS THRU LT LOSS FROM GS CAP PTNRS VI	P	VARIOUS	VARIOUS
g	PASS THRU ST GAIN FROM HONG KONG PTNRS	P	VARIOUS	VARIOUS
h	PASS THRU LT GAIN FROM HONG KONG PARTNERS	P	VARIOUS	VARIOUS
i	PASS THRU LT GAIN FROM JRVR INVESTMENT	P	VARIOUS	VARIOUS
j	PASS THRU ST GAIN FROM GS PEP 1999	P	VARIOUS	VARIOUS
k	PASS THRU LT GAIN FROM GS PEP 1999	P	VARIOUS	VARIOUS
l	207 SH HEDGE FD MGRS SUB FD	P	01/01/12	03/01/15
m	50 SH HEDGE FD MGRS SUB FD	P	01/01/12	08/01/15
n	ADDL LIQU DISTN GLOBAL ALPHA	P	01/01/11	11/05/15
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 6,975,780.		3,271,817.	3,703,963.
b 140,068.		163,263.	<23,195.>
c 20,832.		42,666.	<21,834.>
d 13,355.		40,927.	<27,572.>
e 13,441.		42,316.	<28,875.>
f		79.	<79.>
g 34,764.			34,764.
h 255,281.			255,281.
i 632,802.			632,802.
j 5.			5.
k 179,346.			179,346.
l 18,312.		20,709.	<2,397.>
m 4,383.		5,002.	<619.>
n 1,004.			1,004.
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			3,703,963.
b			<23,195.>
c			<21,834.>
d			<27,572.>
e			<28,875.>
f			<79.>
g			34,764.
h			255,281.
i			632,802.
j			5.
k			179,346.
l			<2,397.>
m			<619.>
n			1,004.
o			

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	4,702,594.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

PROCEEDS FROM BROKER TRANSACTIONS

SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year Account No Tax ID Number Legal Name Revised On
 2015 020-48145-3 XX-XXX5349 THE RONALD AND MAXINE LINDE Mar 30, 2016

NONCOVERED SECURITIES TRANSACTIONS: BASIS NOT REPORTED TO THE IRS

APPLICABLE CHECK BOX ON FORM 8949, CODE X

CUSIP number / Product Identifier	1c: Date sold or disposed	1d: Proceeds	1e: Cost or other basis	1f: Code, if any ¹	1g: Adjustments	Gain or (Loss) ²	1a: Description of property
Quantity	1b: Date acquired	6: Gross or Net Proceeds reported to IRS ³	3: Basis reported to IRS	5: NonCovered security	2: Type of gain or loss	7: Loss not allowed based on amount in 1d	
709311103	01/08/2015	21,730.51					PENNYMAG-MTG-HW-TR-GMN
1,000 00				X			INCLUDED IN FISCAL YEAR 2014
702149105	04/16/2015	3,070.44					PARTY CITY HOLDCO INC CMN
150 00				X			
23283R100	06/24/2015	3,002.94					CYRUSONE INC CMN
100 00				X			
31679P109	11/17/2015	28,116.67					FIFTH STREET ASSET MGMT INC CMN CLASS A AVG PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTIONS
6,000 00				X			
ACCOUNT TOTAL (NONCOVERED)		-55,929.56					
							34,190.05



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² Gain or (Loss) information is provided for your information only. The Gain or (Loss) value may not sum due to rounding differences

³ Reported as Net proceeds (denoted by N) if adjusted for option premiums. Otherwise, reported as Gross proceeds (denoted by G)

STATEMENT

PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year Account No Tax ID Number Legal Name Revised On
 2015 020-48145-3 XX-XXX5349 THE RONALD AND MAXINE LINDE Mar 30, 2016

LONG-TERM COVERED SECURITIES TRANSACTIONS: BASIS REPORTED TO THE IRS
 APPLICABLE CHECK BOX ON FORM 8949, CODE D

CUSIP number / Product Identifier	1c: Date sold or disposed	1d: Proceeds	1e: Cost or other basis	1f: Code, if any ¹	1g: Adjustments	Gain or (Loss) ²	1a: Description of property
Quantity	1b: Date acquired	6: Gross or Net Proceeds reported to IRS ³	3: Basis reported to IRS	5: NonCovered security	2: Type of gain or loss	7: Loss not allowed based on amount in 1d	
31679P109	11/17/2015	2,358 05	7,014 67		0.00	(4,656 62)	FIFTH STREET ASSET MGMT INC CMIN CLASS A
500 00	10/30/2014	G	X		LONG-TERM		
31679P109	11/17/2015	2,358 05	6,764 67		0.00	(4,406 62)	FIFTH STREET ASSET MGMT INC CMIN CLASS A
500 00	10/30/2014	G	X		LONG-TERM		
31679P109	11/17/2015	4,008 69	14,007 44		0 00	(9,998 75)	FIFTH STREET ASSET MGMT INC CMIN CLASS A
850 00	10/29/2014	G	X		LONG-TERM		
31679P109	11/17/2015	4,716 11	14,529 34		0 00	(9,813 23)	FIFTH STREET ASSET MGMT INC CMIN CLASS A
1,000 00	10/30/2014	G	X		LONG-TERM		
ACCOUNT TOTAL		13,440.90	42,316.12			(28,875.22)	
(COVERED: LONG-TERM)							



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PROCEEDS FROM BROKER TRANSACTIONS (Continued)

SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year 2015 Account No 020-48145-3 Tax ID Number XX-XXX5349 Legal Name THE RONALD AND MAXINE LINDE Revised On Mar 30, 2016

SHORT-TERM COVERED SECURITIES TRANSACTIONS: BASIS REPORTED TO THE IRS

APPLICABLE CHECK BOX ON FORM 8949 CODE A

CUSIP number / Product Identifier	1c: Date sold or disposed	1d: Proceeds	1e: Cost or other basis	1f: Code, if any ¹	1g: Adjustments	Gain or (Loss) ²	1a: Description of property
Quantity	1b: Date acquired	6: Gross or Net Proceeds reported to IRS ³	3: Basis reported to IRS	5: NonCovered security	2: Type of gain or loss	7: Loss not allowed based on amount in 1d	
45781D101	02/12/2015	4,959 40	4,050 00		0 00	919 40	INOVALON HOLDINGS, INC CMN CLASS A
150 00	02/12/2015	G	X		SHORT-TERM		
83417M104	03/26/2015	1,497 72	1,350 00		0 00	147 72	SOLAREGE TECHNOLOGIES, INC CMN
75 00	03/26/2015	G	X		SHORT-TERM		
928254101	04/16/2015	2,296 95	1,900 00		0 00	396 95	VIRTU FINANCIAL, INC CMN CLASS A
100 00	04/16/2015	G	X		SHORT-TERM		
896047503	04/23/2015	5,718 89	5,600 00		0 00	118 89	TRIBUNE MEDIA CO - A CMN CLASS A
100 00	04/23/2015	G	X		SHORT-TERM		
09627Y109	04/30/2015	1,168 47	900 00		0 00	268 47	BLUEPRINT MEDICINES CORP CMN
50 00	04/30/2015	G	X		SHORT-TERM		
45329R109	05/07/2015	2,979 44	3,100 00		0 00	(120.56)	INC RESEARCH HOLDINGS, INC CMN AVG PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTIONS
100 00	05/07/2015	G	X		SHORT-TERM		
097488100	05/08/2015	662 98	475 00		0 00	187 98	BOJANGLES, INC CMN
25 00	05/08/2015	G	X		SHORT-TERM		
09214X100	05/20/2015	655 48	612 50		0 00	42 98	BLACK KNIGHT FINANCIAL SERVICE CMN CLASS A
25 00	05/20/2015	G	X		SHORT-TERM		
60877T100	05/20/2015	3,929 92	3,800 00		0 00	129 92	MOMENTA PHARMACEUTICALS, INC CMN
200 00	05/20/2015	G	X		SHORT-TERM		
74113L102	05/21/2015	2,072 96	1,875 00		0 00	197 96	PRESS GANEY HOLDINGS, INC CMN
75 00	05/21/2015	G	X		SHORT-TERM		
78573M104	05/21/2015	1,301 47	1,300 00		0 00	1.47	SABRE CORPORATION CMN
50 00	05/21/2015	G	X		SHORT-TERM		
57777M102	06/01/2015	743 98	862 50		0 00	(118.52)	MAXPOINT INTERACTIVE INC CMN
75 00	03/06/2015	G	X		SHORT-TERM		



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PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year **2015** Account No **020-48145-3** Tax ID Number **XX-XX5349** Legal Name **THE RONALD AND MAXINE LINDE** Revised On **Mar 30, 2016**

SHORT-TERM COVERED SECURITIES TRANSACTIONS: BASIS REPORTED TO THE IRS
APPLICABLE CHECK BOX ON FORM 8949- CODE A

CUSIP number / Product Identifier	1c: Date sold or disposed	1d: Proceeds	1e: Cost or other basis	1f: Code, if any ¹	1g: Adjustments	Gain or (Loss) ²	1a: Description of property
Quantity	1b: Date acquired	6: Gross or Net Proceeds reported to IRS ³	3: Basis reported to IRS	5: NonCovered security	2: Type of gain or loss	7: Loss not allowed based on amount in 1d	
148806102 50 00	06/03/2015 06/02/2015	1,447 47 G	1,450 00 X		0 00 SHORT-TERM	(2 53)	CATALENT, INC CMN
238661102 75 00	06/05/2015 06/05/2015	1,873 46 G	1,425 00 X		0 00 SHORT-TERM	448 46	DAVIDSTEAL INC CMN
300508101 50 00	06/05/2015 06/05/2015	924 98 G	850 00 X		0 00 SHORT-TERM	74 98	EVOLUT HEALTH, INC CMN CLASS A
81761R109 100 00	06/08/2015 05/28/2015	3,396 93 G	3,400 00 X		0 00 SHORT-TERM	(3 07)	SERVICEMASTER GLOBAL HOLDINGS, CMN
M2682V108 50 00	06/11/2015 06/11/2015	3,152 44 G	3,050 00 X		0 00 SHORT-TERM	102 44	CYBER-ARK SOFTWARE LTD CMN
G6583A102 50 00	06/11/2015 06/11/2015	1,210 97 G	1,200 00 X		0 00 SHORT-TERM	10 97	NORD ANGLIA EDUCATION, INC CMN
03152W109 50 00	06/12/2015 06/12/2015	683 98 G	662 50 X		0 00 SHORT-TERM	21 48	AMICUS THERAPEUTICS INC CMN
974155103 25 00	06/12/2015 06/11/2015	761 73 G	475 00 X		0 00 SHORT-TERM	286 73	WINGSTOP INC CMN
91336L107 100 00	06/18/2015 06/18/2015	2,406 95 G	2,200 00 X		0 00 SHORT-TERM	206 95	UNIVAR INC CMN
62942X405 100 00	06/24/2015 06/24/2015	2,223 95 G	2,200 00 X		0 00 SHORT-TERM	23 95	NRG YIELD, INC CMN CLASS C
377322102 25 00	06/25/2015 06/25/2015	726 98 G	450 00 X		0 00 SHORT-TERM	276 98	GLAUKOS CORPORATION CMN
59870L106 75 00	06/25/2015 06/25/2015	1,496 22 G	1,500 00 X		0 00 SHORT-TERM	(3 78)	MILACRON HOLDINGS CORP CMN



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Tax Year Account No Tax ID Number Legal Name Revised On
2015 020-48145-3 XX-XXX5349 THE RONALD AND MAXINE LINDE Mar 30, 2016

PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

SHORT-TERM COVERED SECURITIES TRANSACTIONS: BASIS REPORTED TO THE IRS
APPLICABLE CHECK BOX ON FORM 8949 CODE A

CUSIP number / Product Identifier	1c: Date sold or disposed	1d: Proceeds	1e: Cost or other basis	1f: Code, if any ¹	1g: Adjustments	Gain or (Loss) ²	1a: Description of property
Quantity	1b: Date acquired	6: Gross or Net Proceeds reported to IRS ³	3: Basis reported to IRS	5: NonCovered security	2: Type of gain or loss	7: Loss not allowed based on amount in 1d	
89400J107	06/25/2015	2,458.95	2,250.00		0.00	208.95	TRANSUNION CMN
100.00	06/25/2015	G	X		SHORT-TERM		
011642105	06/26/2015	800.98	700.00		0.00	100.98	ALARM COM HOLDINGS, INC CMN
50.00	06/26/2015	G	X		SHORT-TERM		
81750R102	06/26/2015	1,423.47	900.00		0.00	523.47	SERES THERAPEUTICS, INC CMN
50.00	06/26/2015	G	X		SHORT-TERM		
37890U108	08/12/2015	1,730.96	1,000.00		0.00	730.96	GLOBAL BLOOD THERAPEUTICS, INC CMN
50.00	08/12/2015	G	X		SHORT-TERM		
86771W105	08/19/2015	1,099.97	1,400.00	W	300.03	0.00	SUNRUN INC CMN
100.00	08/05/2015	G	X		SHORT-TERM		
86771W105	08/19/2015	1,099.97	1,108.00	W	8.03	0.00	SUNRUN INC CMN
100.00	08/05/2015	G	X		SHORT-TERM		
86771W105	08/26/2015	107.69	130.05	W	22.36	0.00	SUNRUN INC CMN
10.00	08/05/2015	G	X		SHORT-TERM		
86771W105	08/27/2015	108.80	108.56		0.00	0.24	SUNRUN INC CMN
10.00	08/05/2015	G	X		SHORT-TERM		
86771W105	08/27/2015	979.18	775.80		0.00	203.38	SUNRUN INC CMN
90.00	08/06/2015	G	X		SHORT-TERM		
86771W105	08/27/2015	979.18	1,170.48		0.00	(191.30)	SUNRUN INC CMN
90.00	08/05/2015	G	X		SHORT-TERM		
86771W105	08/27/2015	1,087.98	1,008.53		0.00	79.45	SUNRUN INC CMN
100.00	08/05/2015	G	X		SHORT-TERM		
647551100	09/22/2015	7,044.87	7,070.00		0.00	(25.13)	NEW MOUNTAIN FINANCE CORP MUTUAL FUND
500.00	09/22/2015	G	X		SHORT-TERM		



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PROCEEDS FROM BROKER TRANSACTIONS (Continued)

SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year 2015 Account No 020-48145-3 Tax ID Number XX-XXX5349 Legal Name THE RONALD AND MAXINE LINDE Revised On Mar 30, 2016

SHORT-TERM COVERED SECURITIES TRANSACTIONS: BASIS REPORTED TO THE IRS

APPLICABLE CHECK BOX ON FORM 8949 CODE A

CUSIP number / Product Identifier	1c: Date sold or disposed	1d: Proceeds	1e: Cost or other basis	1f: Code, if any ¹	1g: Adjustments	Gain or (Loss) ²	1a: Description of property
Quantity	1b: Date acquired	6: Gross or Net Proceeds reported to IRS ³	3: Basis reported to IRS	5: NonCovered security	2: Type of gain or loss	7: Loss not allowed based on amount in 1d	
84920Y106	09/25/2015	1,879.46	1,837.50		0.00	41.96	SPORTSMANS WHSE HLDGS INC CMN
150.00	09/25/2015	G	X		SHORT-TERM		
86881A100	10/01/2015	3,689.93	3,800.00		0.00	(110.07)	SURGERY PARTNERS, INC CMN
200.00	10/01/2015	G	X		SHORT-TERM		
74624M102	10/07/2015	3,389.93	3,400.00		0.00	(10.07)	PURE STORAGE INC CMN CLASS A
200.00	10/07/2015	G	X		SHORT-TERM		
G6331W109	10/22/2015	1,001.23	975.00		0.00	26.23	MULTI PACKAGING SOLUTIONS INTL LIMITED CMN
75.00	10/22/2015	G	X		SHORT-TERM		
25433V105	10/23/2015	1,221.97	1,300.00		0.00	(78.03)	DIMENSION THERAPEUTICS, INC CMN
100.00	10/22/2015	G	X		SHORT-TERM		
30224P200	11/13/2015	1,721.96	1,725.00		0.00	(3.04)	EXTENDED STAY AMERICA, INC CMN
100.00	11/13/2015	G	X		SHORT-TERM		
45781U103	11/13/2015	798.48	800.00		0.00	(1.52)	INSTRUCTURE, INC CMN
50.00	11/13/2015	G	X		SHORT-TERM		
928254101	11/13/2015	3,879.67	3,876.25		0.00	3.42	VIRTU FINANCIAL, INC CMN CLASS A
175.00	11/13/2015	G	X		SHORT-TERM		
31679P109	11/17/2015	1,947.75	4,262.12		0.00	(2,314.37)	FIFTH STREET ASSET MGMT INC CMN CLASS A
413.00	05/07/2015	G	X		SHORT-TERM		
31679P109	11/17/2015	2,768.36	6,075.40		0.00	(3,307.04)	FIFTH STREET ASSET MGMT INC CMN CLASS A
587.00	05/13/2015	G	X		SHORT-TERM		
31679P109	11/17/2015	4,716.11	12,529.34		0.00	(7,813.23)	FIFTH STREET ASSET MGMT INC CMN CLASS A
1,000.00	12/09/2014	G	X		SHORT-TERM		
31679P109	11/17/2015	5,423.52	12,890.74		0.00	(7,467.22)	FIFTH STREET ASSET MGMT INC CMN CLASS A
1,150.00	03/10/2015	G	X		SHORT-TERM		

PAGE 12 of 36



This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

¹ Shows W for wash sale, C for collectibles, or D for market discount

² Gain or (Loss) information is provided for your information only. The Gain or (Loss) value may not sum due to rounding differences

³ Reported as Net proceeds (denoted by N) if adjusted for option premiums. Otherwise, reported as Gross proceeds (denoted by G)

PROCEEDS FROM BROKER TRANSACTIONS (Continued)
SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year: 2015 Account No: 020-48145-3 Tax ID Number: XX-XX5349 Legal Name: THE RONALD AND MAXINE LINDE
 Revised On: Mar 30, 2016

SHORT-TERM COVERED SECURITIES TRANSACTIONS: BASIS REPORTED TO THE IRS
 APPLICABLE CHECK BOX ON FORM 8949, CODE A

CUSIP number / Product Identifier	1c: Date sold or disposed	1d: Proceeds	1e: Cost or other basis	1f: Code, if any ¹	1g: Adjustments	Gain or (Loss) ²	1a: Description of property
Quantity	1b: Date acquired	6: Gross or Net Proceeds reported to IRS ³	3: Basis reported to IRS	5: NonCovered security	2: Type of gain or loss	7: Loss not allowed based on amount in 1d	
31679P109 1,000.00	11/17/2015 10/28/2015	4,700.01 G	6,480.00 X		0.00 SHORT-TERM	(1,779.99)	FIFTH STREET ASSET MGMT INC CMN CLASS A AVG PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTIONS VSP 102815-500 1000
31679P109 1,000.00	11/17/2015 10/28/2015	4,979.90 G	6,480.00 X		0.00 SHORT-TERM	(1,500.10)	FIFTH STREET ASSET MGMT INC CMN CLASS A AVG PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTIONS VSP 102815-500 1000
31679P109 2,500.00	11/17/2015 10/29/2015	12,599.76 G	15,491.75 X		0.00 SHORT-TERM	(2,891.99)	FIFTH STREET ASSET MGMT INC CMN CLASS A AVG PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTIONS VSP 102915-500 2500
31679P109 500.00	11/17/2015 10/29/2015	2,519.95 G	3,098.35 X		0.00 SHORT-TERM	(578.40)	FIFTH STREET ASSET MGMT INC CMN CLASS A AVG PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTIONS VSP 102915-500 500
31679P109 1,250.00	11/17/2015 10/30/2015	6,487.37 G	7,512.50 X		0.00 SHORT-TERM	(1,025.13)	FIFTH STREET ASSET MGMT INC CMN CLASS A AVG PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTIONS VSP 103015-500 1250
31679P109 750.00	11/17/2015 11/02/2015	3,892.42 G	4,387.50 X		0.00 SHORT-TERM	(495.08)	FIFTH STREET ASSET MGMT INC CMN CLASS A AVG PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTIONS VSP 110215-500 750
G14838109 100.00	11/19/2015 11/19/2015	1,096.97 G	1,000.00 X		0.00 SHORT-TERM	96.97	MIMECAST LTD CMN AVG PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTIONS
G06242104 200.00	12/10/2015 12/09/2015	5,527.89 G	4,200.00 X		0.00 SHORT-TERM	1,327.89	ATLIASSIAN CORPORATION PLC CMN CLASS A
ACCOUNT TOTAL (COVERED, SHORT-TERM)		141,468.36	164,430.37			(22,631.59)	



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² Gain or (Loss) information is provided for your information only. The Gain or (Loss) value may not sum due to rounding differences.
³ Reported as Net proceeds (denoted by N) if adjusted for option premiums. Otherwise, reported as Gross proceeds (denoted by G).



Statement Detail

RONALD AND MAXINE LINDE FDN

Realized Gains and Losses

Period Ended February 29, 2016

YEAR TO DATE GAINS AND LOSSES

This information is for general guidance and should not be used for tax purposes. You should use only your official form 1099's or other tax records for tax reporting. This information is not, and should not be construed as tax, accounting or legal advice. Please consult with your tax advisor regarding the tax consequences of your investment decisions. The cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account were provided by you or a third party and Goldman Sachs makes no representation as to the accuracy of such information.

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
HORTONWORKS INC. CMN	Feb 02 2016	Feb 02 2016	100.00	1,103.97	950.00	0.00	153.97	153.97	ST
BEIGENE, LTD SPONSORED ADR CMN	Feb 03 2016	Feb 03 2016	25.00	723.48	600.00	0.00	123.48	123.48	ST
AVEXIS INC CMN	Feb 11 2016	Feb 19 2016	25.00	467.98	500.00	0.00	(32.02)	(32.02)	ST
NEWFIELD EXPLORATION CO. CMN	Feb 26 2016	Feb 26 2016	50.00	1,273.47	1,162.50	0.00	110.97	110.97	ST
SHORT TERM GAINS				3,100.92	2,712.50	0.00	388.42	388.42	
SHORT TERM LOSSES				467.98	500.00	0.00	(32.02)	(32.02)	
NET SHORT TERM GAINS (LOSSES)				3,568.90	3,212.50	0.00	356.40	356.40	

FORM 990-PF

GAIN OR (LOSS) FROM SALE OF ASSETS

STATEMENT 1

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
JRVR INVESTORS OFFSHORE LP				PURCHASED	VARIOUS	09/30/15
	6,975,780.	3,271,817.	0.	0.		3,703,963.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
GS ST COVERED				PURCHASED	VARIOUS	12/31/15
	140,068.	163,263.	0.	0.		<23,195.>

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
GS ST NONCOVERED				PURCHASED	VARIOUS	12/31/15
	20,832.	42,666.	0.	0.		<21,834.>

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED PURCHASED	DATE ACQUIRED VARIOUS	DATE SOLD 11/17/15
GS LT NONCOVERED	13,355.	40,927.	0.			
				(E) DEPREC.	(F) GAIN OR LOSS	
						<27,572.>

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED PURCHASED	DATE ACQUIRED VARIOUS	DATE SOLD 11/17/15
GS LT COVERED	13,441.	42,316.	0.			
				(E) DEPREC.	(F) GAIN OR LOSS	
						<28,875.>

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED PURCHASED	DATE ACQUIRED VARIOUS	DATE SOLD VARIOUS
PASS THRU LT LOSS FROM GS CAP PTNRS VI	0.	23,219.	0.			
				(E) DEPREC.	(F) GAIN OR LOSS	
						<23,219.>

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED PURCHASED	DATE ACQUIRED VARIOUS	DATE SOLD VARIOUS
PASS THRU ST GAIN FROM HONG KONG PTNRS	34,764.	0.	0.			
				(E) DEPREC.	(F) GAIN OR LOSS	
						34,764.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED PURCHASED	DATE ACQUIRED VARIOUS	DATE SOLD VARIOUS
PASS THRU LT GAIN FROM HONG KONG PARTNERS	255,281.	0.	0.			

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED PURCHASED	DATE ACQUIRED VARIOUS	DATE SOLD VARIOUS
PASS THRU LT GAIN FROM JRVR INVESTMENT	632,802.	0.	0.			

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED PURCHASED	DATE ACQUIRED VARIOUS	DATE SOLD VARIOUS
PASS THRU ST GAIN FROM GS PEP 1999	5.	0.	0.			

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED PURCHASED	DATE ACQUIRED VARIOUS	DATE SOLD VARIOUS
PASS THRU LT GAIN FROM GS PEP 1999	179,346.	0.	0.			

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
207 SH HEDGE FD MGRS SUB FD	18,312.	20,709.	0.	0.	<2,397.>

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
50 SH HEDGE FD MGRS SUB FD	4,383.	5,002.	0.	0.	<619.>

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
ADDL LIQU DISTN GLOBAL ALPHA	1,004.	0.	0.	0.	1,004.

CAPITAL GAINS DIVIDENDS FROM PART IV	0.
TOTAL TO FORM 990-PF, PART I, LINE 6A	4,679,454.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 2

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
GOLDMAN SACHS	493.	493.	
NEW YORK STATE DEPT. OF TAX & FINANCE	1.	1.	
TOTAL TO PART I, LINE 3	494.	494.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 3

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
ANCHORAGE ILLIQUID OPPORTUNITIES	49,109.	0.	49,109.	49,109.	
BROAD STREET RE CREDIT PARTNERS II DISTRESSED	29,214.	0.	29,214.	29,214.	
MANAGERS III	24,279.	0.	24,279.	24,279.	
FIDELIS INVESTORS	<1,172.>	0.	<1,172.>	<1,172.>	
GOLDMAN SACHS	12,882.	0.	12,882.	12,882.	
GS DEVELOPING MARKETS REAL ESTATE	<50,038.>	0.	<50,038.>	<50,038.>	
HONG KONG PARTNERS	61,365.	0.	61,365.	61,365.	
MEZZANINE PARTNERS 2006	52,689.	0.	52,689.	52,689.	
MT KELLET CAPITAL PARTNERS II	<237,904.>	0.	<237,904.>	<237,904.>	
MT KELLETT CAP PTNRS II	<66,844.>	0.	<66,844.>	<66,844.>	
PASS THROUGH FROM GS CAP VI OFFSHORE	9,863.	0.	9,863.	9,805.	
PASS THROUGH FROM GS HEDGE FUND SEEDING STRATEGY	269,455.	0.	269,455.	269,455.	
PASS THROUGH FROM GS PRIVATE EQUITY PTNRS 1999	17,170.	0.	17,170.	17,170.	
PASS THROUGH FROM JRVF INVESTORS	42,935.	0.	42,935.	42,935.	
PASS THROUGH FROM PETERSHILL II	6,745.	0.	6,745.	6,622.	
PEP TECH	35,973.	0.	35,973.	35,973.	

PRIVATE EQUITY
MANAGERS

CONCENTRATED	92,065.	0.	92,065.	92,065.
PRIVATE EQUITY PARTNERS 2002	16,650.	0.	16,650.	16,650.
THL EQUITY VI	166,138.	0.	166,138.	166,138.
VINTAGE IV	206,286.	0.	206,286.	206,286.
VINTAGE V	118,933.	0.	118,933.	118,933.
VINTAGE VI	54,773.	0.	54,773.	54,773.
WHITEHALL ST. 2001	<1,744.>	0.	<1,744.>	<1,744.>
WHITEHALL ST. 2008	76,980.	0.	76,980.	76,980.
WLR RECOVERY IV	30,021.	0.	30,021.	30,021.
TO PART I, LINE 4	1,015,823.	0.	1,015,823.	1,015,642.

FORM 990-PF OTHER INCOME STATEMENT 4

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
HONG KONG PARTNERS, LP	77.	77.	
GS CAP VI OFFSHORE	10,427.	10,427.	
GS PRIVATE EQUITY PARTNERS 1999	<2,060.>	<2,060.>	
STATE OF NEW YORK TAX REFUND	2,459.	2,459.	
GOLDMAN SACHS	132.	132.	
TOTAL TO FORM 990-PF, PART I, LINE 11	11,035.	11,035.	

FORM 990-PF ACCOUNTING FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
KUHLER & CO. - RESEARCH, CONSULTATION AND PREP OF FED & STATE RETURNS	9,000.	0.		5,850.
TO FORM 990-PF, PG 1, LN 16B	9,000.	0.		5,850.

FORM 990-PF TAXES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES WITHHELD	1,122.	1,075.		0.
FEDERAL ESTIMATED PAYMENTS	60,000.	0.		0.
TO FORM 990-PF, PG 1, LN 18	61,122.	1,075.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MISC. EXP. INCL. SUPPLIES, REG. AGENT & FILING FEES (INCL \$72 EXP. REIMB. - PART VII-B LINE 1 (A)(4))	286.	0.		286.
HONG KONG PARTNERS, LP PORTFOLIO DEDUCTIONS	50,003.	50,003.		0.
GS CAP VI OFFSHORE PORTFOLIO DEDUCTIONS	11,286.	10,151.		0.
HEDGE FUND SEEDING STRATEGY OFFSHORE:	24,481.	24,481.		0.
GS PRIVATE EQUITY PARTNERS 1999	20,059.	20,059.		0.
JRVR INVESTORS	55,191.	55,191.		0.
PETERSHILL II PORTFOLIO DEDUCTIONS	3,360.	3,299.		0.
TO FORM 990-PF, PG 1, LN 23	164,666.	163,184.		286.

FORM 990-PF CORPORATE STOCK STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
FIFTH STREET ASSET MANAGEMENT	0.	0.
GS BDC CLOSED END FUND	18,740.	18,740.
AMPLIFY SNACK BRANDS	4,116.	4,116.
NEW MOUNTAIN FINANCE CORP	12,480.	12,480.
SOLAR SENIOR CAPITAL	99.	99.
TOTAL TO FORM 990-PF, PART II, LINE 10B	35,435.	35,435.

FORM 990-PF

OTHER INVESTMENTS

STATEMENT

9

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
GS HEDGE FUND PARTNERS	FMV	1,958,104.	1,958,104.
GS GLOBAL OPPORTUNITIES FUND	FMV	2,169,505.	2,169,505.
GS MEZZANINE 2006 OFSH	FMV	529,287.	529,287.
HONG KONG PARTNERS	FMV	1,702,122.	1,702,122.
GS CAPITAL PARTNERS VI	FMV	775,012.	775,012.
GS PEP TECHNOLOGY FUND 2000	FMV	497,353.	497,353.
GS PRIVATE EQUITY PARTNERS 1999	FMV	380,299.	380,299.
GS PRIVATE EQUITY PARTNERS 2002	FMV	314,990.	314,990.
MOUNT KELLETT CAPITAL PARTNERS II	FMV	1,853,774.	1,853,774.
THL EQUITY FUND VI	FMV	957,603.	957,603.
WHITEHALL STREET INTERNATIONAL REAL ESTATE 2008	FMV	40,553.	40,553.
HEDGE FUND SEEDING STRAT OFFSH 2011	FMV	720,055.	720,055.
GS DISTRESSED OPPS FUND III	FMV	130,505.	130,505.
GS PRIVATE EQ CONC OPPORT FUND	FMV	462,301.	462,301.
GS VINTAGE FUND IV	FMV	1,347,794.	1,347,794.
GS VINTAGE FUND V	FMV	396,936.	396,936.
BROAD STREET REAL ESTATE CREDIT II	FMV	547,738.	547,738.
GS DEVELOPING MARKETS REAL ESTATE PARTNERS	FMV	125,125.	125,125.
GS VINTAGE FUND VI	FMV	567,934.	567,934.
JRVR INVESTORS	FMV	0.	0.
PETERSHILL II	FMV	333,229.	333,229.
WLR RECOVERY IV	FMV	290,135.	290,135.
WHITEHALL STREET INTERNATIONAL REAL ESTATE 2001	FMV	42,984.	42,984.
ANCHORAGE ILLIQUID OPPORTUNITIES	FMV	328,615.	328,615.
FIDELIS INVESTORS	FMV	799,131.	799,131.
GS VINTAGE FUND II	FMV	89,689.	89,689.
TOTAL TO FORM 990-PF, PART II, LINE 13		17,360,773.	17,360,773.

FORM 990-PF

PART XV - LINE 1A
LIST OF FOUNDATION MANAGERS

STATEMENT 10

NAME OF MANAGER

RONALD K. LINDE
MAXINE H. LINDE