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For calendar year 2015, or tax year beginning 03-01-2015 , and ending 02-29-2016

Name of foundation ARNOLD S PENNER FOUNDATION INC		A Employer identification number 13-3935352	
Number and street (or P O box number if mail is not delivered to street address) C/O A PENNER 249 EAST 71ST STREET		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10021		B Telephone number (see instructions) (212) 980-6000	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		C If exemption application is pending, check here ☐ D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐ E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation			
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 2,897,824		J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)	

Part I	Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))	Revenue and expenses per books (a)	Net investment income (b)	Adjusted net income (c)	Disbursements for charitable purposes (d) (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	1,721,829			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	58,176	58,176		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	549,948			
	b Gross sales price for all assets on line 6a 2,116,261				
	7 Capital gain net income (from Part IV, line 2)		549,948		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	2,329,953	608,124	0	
	13 Compensation of officers, directors, trustees, etc	0	0	0	0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule).				
	b Accounting fees (attach schedule).	22,168	11,084	0	11,084
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	6,000	6,000	0	0
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings.				
	22 Printing and publications				
	23 Other expenses (attach schedule).	359	63	0	296
	24 Total operating and administrative expenses. Add lines 13 through 23	28,527	17,147	0	11,380
	25 Contributions, gifts, grants paid	900,092			900,092
	26 Total expenses and disbursements. Add lines 24 and 25	928,619	17,147	0	911,472
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	1,401,334			
	b Net investment income (if negative, enter -0-)		590,977		
	c Adjusted net income (if negative, enter -0-)			0	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	255,019	1,723,508	1,723,508
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions).			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	192,326	986,567	986,567
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	1,049,145	187,749	187,749
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
Liabilities	15	Other assets (describe ▶ _____)			
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,496,490	2,897,824	2,897,824
	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule).			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	0	0	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	1,496,490	2,897,824	
	30	Total net assets or fund balances (see instructions)	1,496,490	2,897,824	
	31	Total liabilities and net assets/fund balances (see instructions)	1,496,490	2,897,824	

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1 1,496,490
2	Enter amount from Part I, line 27a	2 1,401,334
3	Other increases not included in line 2 (itemize) ▶ _____	3 0
4	Add lines 1, 2, and 3	4 2,897,824
5	Decreases not included in line 2 (itemize) ▶ _____	5 0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6 2,897,824

Part IV

Capital Gains and Losses for Tax on Investment Income

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	Date sold (d) (mo , day, yr)
1a See Additional Data Table				
b				
c				
d				
e				

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	549,948
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	2,918

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	558,425	1,718,929	0 324868
2013	466,389	1,277,222	0 365159
2012	259,946	669,848	0 388067
2011	298,846	903,302	0 330837
2010	212,791	1,010,353	0 210611

2	Total of line 1, column (d).	2	1 619542
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 323908
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5.	4	1,836,398
5	Multiply line 4 by line 3.	5	594,824
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	5,910
7	Add lines 5 and 6.	7	600,734
8	Enter qualifying distributions from Part XII, line 4.	8	911,472

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VI

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	5,910
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3 Add lines 1 and 2.		3	5,910
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5 Tax based on investment income.Subtract line 4 from line 3 If zero or less, enter -0-		5	5,910
6 Credits/Payments			
a 2015 estimated tax payments and 2014 overpayment credited to 2015	6a	8,253	
b Exempt foreign organizations—tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868).	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d.		7	8,253
8 Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9 Tax due.If the total of lines 5 and 8 is more than line 7, enter amount owed ▶		9	
10 Overpayment.If line 7 is more than the total of lines 5 and 8, enter the amount overpaid. . . ▶		10	2,343
11 Enter the amount of line 10 to be Credited to 2015 estimated tax ▶ 2,343 Refunded ▶		11	0

Part VII-A

Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
1a				No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities	1b		No
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ 0 (2) On foundation managers ▶ \$ _____ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year?If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	Yes	

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>N/A</u>	13	Yes	
14	The books are in care of ▶ <u>ARNOLD S PENNER</u> Telephone no ▶ <u>(212) 980-6000</u> Located at ▶ <u>249 EAST 71ST STREET NEW YORK NY</u> ZIP+4 ▶ <u>10021</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 0			
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes," enter the name of the foreign country ▶				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? ☐ Yes ☒ No	1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). ☐ Yes ☒ No	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015). ☐ Yes ☒ No	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).	☐ Yes ☒ No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b	
Organizations relying on a current notice regarding disaster assistance check here.			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No		
<i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	No
<i>If "Yes" to 6b, file Form 8870</i>			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services. 0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0

Part X Minimum Investment Return

(All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	1,061,102
b	Average of monthly cash balances.	1b	803,261
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	1,864,363
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	1,864,363
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	27,965
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,836,398
6	Minimum investment return. Enter 5% of line 5.	6	91,820

Part XI Distributable Amount(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	91,820
2a	Tax on investment income for 2015 from Part VI, line 5.	2a	5,910
b	Income tax for 2015 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	5,910
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	85,910
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	85,910
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	85,910

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	911,472
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	911,472
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	5,910
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	905,562

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				85,910
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2015				
a From 2010.	163,118			
b From 2011.	228,334			
c From 2012.	258,846			
d From 2013.	411,180			
e From 2014.	474,633			
f Total of lines 3a through e.	1,536,111			
4 Qualifying distributions for 2015 from Part XII, line 4 ► \$ 911,472				
a Applied to 2014, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2015 distributable amount.				85,910
e Remaining amount distributed out of corpus	825,562			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	2,361,673			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions). . .	163,118			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	2,198,555			
10 Analysis of line 9				
a Excess from 2011. . . .	228,334			
b Excess from 2012. . . .	258,846			
c Excess from 2013. . . .	411,180			
d Excess from 2014. . . .	474,633			
e Excess from 2015. . . .	825,562			

Part XIV

b Check box to indicate whether the organization is a:

Tax year	Prior 3 years			(e) Total
(a) 2015	(b) 2014	(c) 2013	(d) 2012	

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Part XV

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information(continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient		If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)					
a <i>Paid during the year</i> See Additional Data Table					
Total				▶ 3a	900,092
b <i>Approved for future payment</i>					
Total				▶ 3b	0

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2015)

Part XVII

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of				
(1) Cash.		1a(1)		No
(2) Other assets.		1a(2)		No
b Other transactions				
(1) Sales of assets to a noncharitable exempt organization.		1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.		1b(2)		No
(3) Rental of facilities, equipment, or other assets.		1b(3)		No
(4) Reimbursement arrangements.		1b(4)		No
(5) Loans or loan guarantees.		1b(5)		No
(6) Performance of services or membership or fundraising solicitations.		1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.		1c		No
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received				

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.		
***** _____ Signature of officer or trustee	2017-01-16 _____ Date	***** _____ Title

May the IRS discuss this return with the preparer shown below
 (see instr.)? ☒ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name MARVIN BADER	Preparer's Signature	Date 2017-01-16	Check if self-employed ☐	PTIN P00300386
	Firm's name ☐ CITRIN COOPERMAN & CO LLP			Firm's EIN ☐ 22-2428965	
	Firm's address ☐ 529 FIFTH AVENUE NEW YORK, NY 100174683			Phone no. ☐ (212) 697-1000	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
992 623 SHS WILMINGTON MID CAP GROWTH FUND CLASS A	P	2014-12-04	2015-07-27
4,243 619 SHS WILMINGTON MID CAP GROWTH FUND CLASS A	P	2014-12-04	2015-07-27
618 896 SHS WILMINGTON MID CAP GROWTH FUND CLASS A	P	2015-06-29	2015-07-27
2,645 875 SHS WILMINGTON MID CAP GROWTH FUND CLASS A	P	2015-06-29	2015-07-27
4,689 279 SHS WILMINGTON MID CAP GROWTH FUND CLASS A	P	2012-12-04	2015-07-27
3,091 360 SHS WILMINGTON MID CAP GROWTH FUND CLASS A	P	2013-12-04	2015-07-27
11,100 SHS WILMINGTON MID CAP GROWTH FUND CLASS A	P	2011-01-13	2015-07-27
1,611 519 SHS WILMINGTON MID CAP GROWTH FUND CLASS A	P	2011-01-13	2015-07-27
31,970 938 SHS WILMINGTON MID CAP GROWTH FUND CLASS A	P	2011-01-13	2015-07-27
6,091 142 SHS WILMINGTON MID CAP GROWTH FUND CLASS A	P	2011-12-05	2015-07-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
16,577		16,236	341
70,868		69,412	1,456
10,336		10,123	213
44,186		43,278	908
78,311		76,701	1,610
51,626		50,564	1,062
185,370		102,395	82,975
26,912		14,866	12,046
533,915		294,924	238,991
101,722		56,188	45,534

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			341
			1,456
			213
			908
			1,610
			1,062
			82,975
			12,046
			238,991
			45,534

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
3,350 084 SHS WILMINGTON MID CAP GROWTH FUND CLASS A	P	2014-01-01	2015-05-22
55,640 984 SHS WILMINGTON MID CAP GROWTH FUND CLASS A	P	2014-01-01	2015-08-04

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
60,000		47,228	12,772
936,438		784,398	152,040

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			12,772
			152,040

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation(If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
ARNOLD PENNER	PRESIDENT & CEO 0 00	0	0	0
249 EAST 71ST STREET NEW YORK, NY 10021				
MADALEINE BERLEY	TREASURER 0 00	0	0	0
249 EAST 71ST STREET NEW YORK, NY 10021				
AMY BERLEY	DIRECTOR 0 00	0	0	0
14 5TH AVENUE NEW YORK, NY 10021				
NANCY BERLEY	DIRECTOR 0 00	0	0	0
324 CHESTNUT HILL BRIGHTON, MA 02135				
JENNIFER BERLEY	DIRECTOR 0 00	0	0	0
233 WEST 77TH STREET NEW YORK, NY 10021				
MARK BERLEY	DIRECTOR 0 00	0	0	0
225 WEST 106TH STREET NEW YORK, NY 10025				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
9-11 MEMORIAL MUSEUM 180 GREENWICH STREET NEW YORK, NY 10007	NONE	PUBLIC	CHARITABLE	250
ACHILLES INTERNATIONAL 42 W 38TH ST RM 400 NEW YORK, NY 10018	NONE	PUBLIC	CHARITABLE	1,000
ADOPT A DOG 424 E 92ND STREET NEW YORK, NY 10128	NONE	PUBLIC	CHARITABLE	1,000
ALBERT EINSTEIN COLLEGE OF MEDICINE 1300 MORRIS PARK AVE HAROLD AND MURIEL BLOCK BUILDING ROOM 743 BRONX, NY 10461	NONE	PUBLIC	FUNDING OF MEDICAL RESEARCH	360,000
APPEAL OF CONSCIENCE FOUNDATION 119 WEST 57TH STREET NEW YORK, NY 10019	NONE	PUBLIC	FUNDING FOR SOCIAL PROGRAMS	1,000
ASPHALT GREEN INC 555 EAST 90TH STREET NEW YORK, NY 10128	NONE	PUBLIC	FUNDING FOR SOCIAL PROGRAMS	600
B'NAI B'RITH INTERNATIONAL 1120 20TH ST NW SUITE 300 N WASHINGTON, DC 20036	NONE	PUBLIC	FUNDING FOR RELIGIOUS ACTIVITIES	200
BIG BROTHERS BIG SISTERS 40 RECTOR ST NEW YORK, NY 10006	NONE	PUBLIC	CHARITABLE	1,000
BOYS TOWN JERUSALEM FOUNDATION 1 PENN PLAZA SUITE 6250 NEW YORK, NY 10119	NONE	PUBLIC	CHARITABLE	5,000
BRONX JEWISH COMMUNITY CENTER 2930 WALLACE AVE BRONX, NY 10467	NONE	PUBLIC	FUNDING FOR RELIGIOUS ACTIVITIES	5,000
CENTER FOR JEWISH HISTORY 15 W 16TH ST NEW YORK, NY 10011	NONE	PUBLIC	EDUCATIONAL	1,500
CHARNA RADBELL FOUNDATION 245 EAST 63RD STREET UNIT 1012 NEW YORK, NY 10065	NONE	PUBLIC	FUNDING OF MEDICAL RESEARCH	500
CITY COLLEGE FUND 160 CONVENT AVENUE S166 NEW YORK, NY 10031	NONE	PUBLIC	EDUCATIONAL	2,000
CITYMEALS-ON-WHEELS 355 LEXINGTON AVE NEW YORK, NY 10017	NONE	PUBLIC	CHARITABLE	1,437
DUTCHESS COUNTY SPCA 636 VIOLET AVE HYDE PARK, NY 12538	NONE	PUBLIC	CHARITABLE	1,000
Total ▶ 3a				900,092

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ENCOURAGE KIDS FOUNDATION 1560 BROADWAY SUITE 600 NEW YORK,NY 10036	NONE	PUBLIC	EDUCATIONAL	5,000
FIRST 200 BEDFORD STREET MANCHESTER,NH 03101	NONE	PUBLIC	FUNDING OF MEDICAL RESEARCH	1,000
FRIENDSHIP CIRCLE 10 MICROLAB ROAD LIVINGSTON,NJ 07039	NONE	PUBLIC	FUNDING FOR SOCIAL PROGRAMS	3,800
GODSPEED HORSE HOSTEL INC PO BOX 146 AMENIA,NY 12501	NONE	PUBLIC	FURTHERANCE OF CHARITY'S GOALS	1,000
GROWTH AND DEVELOPMENT SERVICES 216 FORT WASHINGTON AVE NEW YORK,NY 10032	NONE	PUBLIC	CHARITABLE	2,500
HAROLD GRINSPOON FOUNDATION 67 HUNT STREET STE 100 AGAWAM,MA 01001	NONE	PUBLIC	EDUCATIONAL	25,000
HEARTBEET LIFESHARING INC 218 TOWN FARM ROAD HARDWICK,VT 05843	NONE	PUBLIC	FUNDING FOR SOCIAL PROGRAMS	2,000
HINENI JEWISH HERITIGE FOUNDATION 232 WEST END AVENUE NEW YORK,NY 10023	NONE	PUBLIC	FURTHERANCE OF CHARITY'S GOALS	1,800
HOSPITAL FOR SPECIAL SURGERY 535 EAST 70TH STREET NEW YORK,NY 10021	NONE	PUBLIC	FUNDING OF MEDICAL RESEARCH	25,000
HUMAN RIGHTS FIRST 75 BROAD STREET NEW YORK,NY 10004	NONE	PUBLIC	FURTHERANCE OF CHARITY'S GOALS	1,500
IMMUNE DEFICIENCY FOUNDATION 110 WEST ROAD SUITE 300 TOWSON,MD 21204	NONE	PUBLIC	FUNDING FOR EDUCATION AND RESEARCH	150
ISRAEL SPECIAL KIDS 505 EIGHTH AVENUE NEW YORK,NY 10018	NONE	PUBLIC	FURTHERANCE OF CHARITY'S GOALS	48,050
JEWISH CHILDRENS MUSEUM 792 EASTERN PARKWAY BROOKLYN,NY 11213	NONE	PUBLIC	EDUCATIONAL	6,900
JEWISH NATIONAL FUND 42 EAST 69TH STREE NEW YORK,NY 10021	NONE	PUBLIC	CHARITABLE	1,000
JOHNS HOPKINS UNIV MEDICINE 1830 E MONUMENT ST 5 BALTIMORE,MD 21287	NONE	PUBLIC	FUNDING OF MEDICAL RESEARCH	10,000
Total ▶ 3a				900,092

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
KIDNEY FOUNDATION 30 EAST 33RD STREET NEW YORK,NY 10016	NONE	PUBLIC	CHARITABLE	1,000
LUCKY ORPHAN RESCUE 2699 ROUTE 22 DOVER PLAINS,NY 12522	NONE	PUBLIC	CHARITABLE	5,000
MELANOMA RESEARCH FOUNDATION 1411 K STREET NW SUITE 800 WASHINGTON,DC 20005	NONE	PUBLIC	FUNDING OF MEDICAL RESEARCH	500
MOUNT SINAI HOSPITAL 1468 MADISON AVE NEW YORK,NY 10029	NONE	PUBLIC	FUNDING OF MEDICAL RESEARCH	2,500
MUSEUM OF MODERN ART 11 WEST 53 STREET NEW YORK,NY 10019	NONE	PUBLIC	FURTHERANCE OF CHARITY'S GOALS	2,400
NATIONAL DANCE INSTITUTE 217 WEST 147TH STREET NEW YORK,NY 10039	NONE	PUBLIC	FURTHERANCE OF CHARITY'S GOALS	25,500
NATIONAL TAY-SACHS ALLIED DISEASES 2001 BEACON ST 204 BRIGHTON,MA 02135	NONE	PUBLIC	FUNDING OF MEDICAL RESEARCH	1,800
NORTH SHORE LONG ISLAND JEWISH MED 125 COMMUNITY DRIVE GREAT NECK,NY 11021	NONE	PUBLIC	FUNDING OF MEDICAL RESEARCH	2,000
NYU DEVELOPMENT CENTER ONE PARK AVE 17TH FLOOR NEW YORK,NY 10016	NONE	PUBLIC	FUNDING OF MEDICAL RESEARCH	1,000
PARK EAST SYNOGOGUE 50 EAST 87TH STREET NEW YORK,NY 10128	NONE	PUBLIC	FUNDING FOR RELIGIOUS PROGRAMS	71,190
PAL POLICE ATHLETIC LEAGUE 34 1/2 EAST 12TH STREET NEW YORK,NY 10003	NONE	PUBLIC	FUNDING FOR SOCIAL PROGRAMS	2,750
PROJECT EZRA 465 GRAND STREET 4TH FLOOR NEW YORK,NY 10002	NONE	PUBLIC	FURTHERANCE OF CHARITY'S GOALS	2,160
QUEENS COLLEGE FUND 6530 KISSENA BLVD FLUSHING,NY 11367	NONE	PUBLIC	EDUCATIONAL	5,000
RAZOODONATIONSORG 1725 DUKE ST STE 675 ALEXANDRIA,VA 22314	NONE	PUBLIC	FURTHERANCE OF CHARITY'S GOALS	2,385
REUTH 4 WEST 43RD STREET SUITE 402 NEW YORK,NY 10036	NONE	PUBLIC	FURTHERANCE OF THE CHARITY'S GOALS	1,000
Total ▶ 3a				900,092

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SAFE WATER NETWORK 122 EAST 42ND STREET 26TH FLOOR NEW YORK,NY 10168	NONE	PUBLIC	EDUCATIONAL	1,000
SAMUEL WAXMAN CANCER RESEARCH FOUND 420 LEXINGTON AVENUE SUITE 825 NEW YORK,NY 10170	NONE	PUBLIC	FUNDING OF MEDICAL RESEARCH	1,500
SARA NEW YORK COUNCIL 14 EAST 38TH STREET 11TH FLOOR NEW YORK,NY 10016	NONE	PUBLIC	CHARITABLE	1,000
SOUTHAMPTON HOSPITAL 240 MEETING HOUSE LANE SOUTHAMPTON,NY 11968	NONE	PUBLIC	FUNDING OF MEDICAL RESEARCH	4,500
STARLIGHT CHILDRENS FOUNDATION 1560 BROADWAY SUITE 600 NEW YORK,NY 10036	NONE	PUBLIC	FURTHERANCE OF CHARITY'S GOALS	9,250
STECHER HOROWITZ FOUNDATION 119 W 57TH ST 1401 NEW YORK,NY 10019	NONE	PUBLIC	CHARITABLE	5,000
THE CENTER FOR DISCOVERY 60 HOLMES ROAD MONTICELLO,NY 12701	NONE	PUBLIC	FUNDING OF MEDICAL RESEARCH	2,500
THE JEWISH FOUNDATION RIGHTEOUS 305 SEVENTH AVE 19TH FLOOR NEW YORK,NY 10001	NONE	PUBLIC	FURTHERANCE OF CHARITY'S GOALS	4,000
THE JEWISH MUSEUM 1109 FIFTH AVENUE NEW YORK,NY 10128	NONE	PUBLIC	FURTHERANCE OF CHARITY'S GOALS	2,500
THE PUBLIC THEATER NEW YORK 425 LAFAYETTE STREET NEW YORK,NY 10003	NONE	PUBLIC	CHARITABLE	1,000
THERESA ALESSANDRA RUSSO FOUNDATION 250 LIDO BLVD LIDO BEACH,NY 11561	NONE	PUBLIC	FURTHERANCE OF CHARITY'S GOALS	1,000
TZIVOS HASHEM 792 EASTERN PKWY BROOKLYN,NY 11213	NONE	PUBLIC	EDUCATIONAL	1,500
UJA FEDERATION OF NEW YORK 130 EAST 59TH STREET NEW YORK,NY 10022	NONE	PUBLIC	CHARITABLE	600
US HOLOCAUST MEMORIAL MUSEUM 100 RAOUL WALLENBERG PLACE SW WASHINGTON,DC 20024	NONE	PUBLIC	CHARITABLE	15,000
WAYNE YMCA 1 PIKE DRIVE WAYNE,NJ 07470	NONE	PUBLIC	FUNDING OF HEALTH AND SOCIAL SERVICES	1,000
Total ▶ 3a				900,092

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WILDLIFE CONSERVATION SOCIETY 2300 SOUTHERN BOULEVARD BRONX NEW YORK, NY 10460	NONE	PUBLIC	CHARITABLE	370
WINTHROP UNIVERSITY HOSPITAL 290 OLD COUNTRY ROAD SUITE 22 MINEOLA, NY 11501	NONE	PUBLIC	EDUCATIONAL	1,000
YESHIVA UNIVERSITY 500 WEST 185TH STREET NEW YORK, NY 10033	NONE	PUBLIC	EDUCATIONAL	205,000
Total ▶ 3a				900,092

TY 2015 Accounting Fees Schedule

Name: ARNOLD S PENNER FOUNDATION INC

EIN: 13-3935352

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
	22,168	11,084	0	11,084

TY 2015 ExplanOfLegisPoliticalActvts

Name: ARNOLD S PENNER FOUNDATION INC

EIN: 13-3935352

Explanation: C

TY 2015 Investments Government Obligations Schedule**Name:** ARNOLD S PENNER FOUNDATION INC**EIN:** 13-3935352**US Government Securities - End of
Year Book Value:**

986,567

**US Government Securities - End of
Year Fair Market Value:**

986,567

**State & Local Government
Securities - End of Year Book
Value:**

0

**State & Local Government
Securities - End of Year Fair
Market Value:**

0

TY 2015 Investments - Other Schedule**Name:** ARNOLD S PENNER FOUNDATION INC**EIN:** 13-3935352

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
58,991.068 SHS WILINGTON MID CAP GROWTH	AT COST	0	0
11,100 SHS WILMINGTON MID CAP CL A - NC	AT COST	0	0
992,623 SHS WILMINGTON MID CAP CL A - CV	AT COST	0	0
MAVERICK LIEN FUND	AT COST	40,953	40,953
OTHER INVESTMENTS	AT COST	146,796	146,796

TY 2015 Other Expenses Schedule**Name:** ARNOLD S PENNER FOUNDATION INC**EIN:** 13-3935352

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MISCELLANEOUS	109	0	0	109
NYS FILING FEES	250	63	0	187

TY 2015 Substantial Contributors Schedule

Name: ARNOLD S PENNER FOUNDATION INC

EIN: 13-3935352

Name	Address
ARNOLD PENNER	249 EAST 71ST STREET NEW YORK, NY 10021

TY 2015 Taxes Schedule**Name:** ARNOLD S PENNER FOUNDATION INC**EIN:** 13-3935352

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
	6,000	6,000	0	0

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990

OMB No 1545-0047

2015

Name of the organization	Employer identification number
ARNOLD S PENNER FOUNDATION INC	13-3935352

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)() (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization ARNOLD S PENNER FOUNDATION INC	Employer identification number 13-3935352
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Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ARNOLD S PENNER	\$ 1,721,829	Person ☒
	249 EAST 71ST STREET		Payroll ☐
	NEW YORK, NY 10021		Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐
			Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐
			Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐
			Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐
			Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐
			Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐
			Payroll ☐
			Noncash ☐ (Complete Part II for noncash contributions)

Name of organization ARNOLD S PENNER FOUNDATION INC	Employer identification number 13-3935352
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Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	

Name of organization ARNOLD S PENNER FOUNDATION INC	Employer identification number 13-3935352
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Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	--		
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	--		
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	--		
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
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