Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

**or Section 4947(a)(1) Trust Treated as Private Foundation**

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

OMB No. 1545-0052

**2015**

**Open to Public Inspection**

For calendar year 2015 or tax year beginning 02/01 , 2015, and ending 01/31, 2016

Name of foundation: TR UW FOR LAUB FAMILY FOUNDATION P61578004

A Employer identification number: 52-7091387

Number and street (or P O box number if mail is not delivered to street address): 10 S DEARBORN IL1-0117

Room/suite:

B Telephone number (see instructions): 866-888-5157

City or town, state or province, country, and ZIP or foreign postal code: CHICAGO, IL 60603

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 1,403,194.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

**Part I** **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

| | Line | Description | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|---|
| Revenue | 1 | Contributions, gifts, grants, etc, received (attach schedule) | | | | |
| | 2 | Check ▶ [X] if the foundation is **not** required to attach Sch. B | | | | |
| | 3 | Interest on savings and temporary cash investments | | | | |
| | 4 | Dividends and interest from securities | 31,581. | 30,251. | | |
| | 5a | Gross rents | | | | |
| | b | Net rental income or (loss) | | | | |
| | 6a | Net gain or (loss) from sale of assets not on line 10 | 54,975. | | | |
| | b | Gross sales price for all assets on line 6a 415,165. | | | | |
| | 7 | Capital gain net income (from Part IV, line 2) | | 54,975. | | |
| | 8 | Net short-term capital gain | | | | |
| | 9 | Income modifications | | | | |
| | 10a | Gross sales less returns and allowances | | | | |
| | b | Less Cost of goods sold | | | | |
| | c | Gross profit or (loss) (attach schedule) | | | | |
| | 11 | Other income (attach schedule) | | | | |
| | 12 | **Total** Add lines 1 through 11 | 86,556. | 85,226. | | |
| Operating and Administrative Expenses | 13 | Compensation of officers, directors, trustees, etc | 26,799. | 16,080. | | 10,720. |
| | 14 | Other employee salaries and wages | | NONE | NONE | |
| | 15 | Pension plans, employee benefits | | NONE | NONE | |
| | 16a | Legal fees (attach schedule) | | | | |
| | b | Accounting fees (attach schedule) | | | | |
| | c | Other professional fees (attach schedule) | | | | |
| | 17 | Interest | | | | |
| | 18 | Taxes (attach schedule) (see instructions) STMT 1 | 2,316. | 136. | | |
| | 19 | Depreciation (attach schedule) and depletion | | | | |
| | 20 | Occupancy | | | | |
| | 21 | Travel, conferences, and meetings | | NONE | NONE | |
| | 22 | Printing and publications | | NONE | NONE | |
| | 23 | Other expenses (attach schedule) STMT 2 | 250. | | | 250. |
| | 24 | **Total operating and administrative expenses.** Add lines 13 through 23 | 29,365. | 16,216. | NONE | 10,970. |
| | 25 | Contributions, gifts, grants paid | 70,000. | | | 70,000. |
| | 26 | **Total expenses and disbursements** Add lines 24 and 25 | 99,365. | 16,216. | NONE | 80,970. |
| | 27 | Subtract line 26 from line 12 | | | | |
| | a | **Excess of revenue over expenses and disbursements** | -12,809. | | | |
| | b | **Net investment income** (if negative, enter -0-) | | 69,010. | | |
| | c | **Adjusted net income** (if negative, enter -0-) | | | | |

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| Part II Balance Sheets | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.) | Beginning of year (a) Book Value | End of year (b) Book Value | (c) Fair Market Value |
|---|---|---|---|---|
| Assets | 1 Cash - non-interest-bearing | | -3,668. | -3,668. |
| | 2 Savings and temporary cash investments | 41,958. | 51,706. | 51,706. |
| | 3 Accounts receivable ▶ | | | |
| | Less allowance for doubtful accounts ▶ | | | |
| | 4 Pledges receivable ▶ | | | |
| | Less allowance for doubtful accounts ▶ | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| | 7 Other notes and loans receivable (attach schedule) ▶ | | | |
| | Less: allowance for doubtful accounts ▶ NONE | | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | | | |
| | 10a Investments - U S and state government obligations (attach schedule) | | | |
| | b Investments - corporate stock (attach schedule) | 804,997. | 783,837. | 936,360. |
| | c Investments - corporate bonds (attach schedule) | 421,839. | 426,653. | 418,796. |
| | 11 Investments - land, buildings, and equipment basis ▶ | | | |
| | Less accumulated depreciation (attach schedule) ▶ | | | |
| | 12 Investments - mortgage loans | | | |
| | 13 Investments - other (attach schedule) | | | |
| | 14 Land, buildings, and equipment basis ▶ | | | |
| | Less accumulated depreciation (attach schedule) ▶ | | | |
| | 15 Other assets (describe ▶ ) | | | |
| | 16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I) | 1,268,794. | 1,258,528. | 1,403,194. |
| Liabilities | 17 Accounts payable and accrued expenses | | | |
| | 18 Grants payable | | | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable (attach schedule) | | | |
| | 22 Other liabilities (describe ▶ ) | | | |
| | 23 Total liabilities (add lines 17 through 22) | | NONE | |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31. | | | |
| | 24 Unrestricted | | | |
| | 25 Temporarily restricted | | | |
| | 26 Permanently restricted | | | |
| | Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ X | | | |
| | 27 Capital stock, trust principal, or current funds | 1,268,794. | 1,258,528. | |
| | 28 Paid-in or capital surplus, or land, bldg, and equipment fund | | | |
| | 29 Retained earnings, accumulated income, endowment, or other funds | | | |
| | 30 Total net assets or fund balances (see instructions) | 1,268,794. | 1,258,528. | |
| | 31 Total liabilities and net assets/fund balances (see instructions) | 1,268,794. | 1,258,528. | |

## Part III Analysis of Changes in Net Assets or Fund Balances

| | | |
|---|---|---|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 1,268,794. |
| 2 Enter amount from Part I, line 27a | 2 | -12,809. |
| 3 Other increases not included in line 2 (itemize) ▶ COST BASIS ADJUSTMENT | 3 | 2,543. |
| 4 Add lines 1, 2, and 3 | 4 | 1,258,528. |
| 5 Decreases not included in line 2 (itemize) ▶ | 5 | |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 1,258,528. |

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co ) | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|---|
| 1a | PUBLICLY TRADED SECURITIES | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | 415,165. | | 360,190. | 54,975. |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F M.V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Gains (Col. (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|---|---|---|---|
| a | | | | 54,975. |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | | | |
|---|---|---|---|
| 2 | Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } | 2 | 54,975. |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 | 3 | |

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|---|---|---|---|
| 2014 | 73,941. | 1,575,768. | 0.046924 |
| 2013 | 69,111. | 1,502,344. | 0.046002 |
| 2012 | 67,138. | 1,388,118. | 0.048366 |
| 2011 | 71,104. | 1,419,701. | 0.050084 |
| 2010 | 70,626. | 1,399,832. | 0.050453 |

| | | | |
|---|---|---|---|
| 2 | **Total** of line 1, column (d) | 2 | 0.241829 |
| 3 | Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0.048366 |
| 4 | Enter the net value of noncharitable-use assets for 2015 from Part X, line 5 | 4 | 1,528,427. |
| 5 | Multiply line 4 by line 3 | 5 | 73,924. |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 690. |
| 7 | Add lines 5 and 6 | 7 | 74,614. |
| 8 | Enter qualifying distributions from Part XII, line 4 | 8 | 80,970. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

| | | | |
|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter _______________ (attach copy of letter if necessary - see instructions) | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b | 1 | 690. |
| c | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b). | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | 2 | |
| 3 | Add lines 1 and 2 | 3 | 690. |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | 4 | NONE |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0- | 5 | 690. |
| 6 | Credits/Payments | | |
| a | 2015 estimated tax payments and 2014 overpayment credited to 2015 — 6a: 2,000. | | |
| b | Exempt foreign organizations - tax withheld at source — 6b: NONE | | |
| c | Tax paid with application for extension of time to file (Form 8868) — 6c: NONE | | |
| d | Backup withholding erroneously withheld — 6d: | | |
| 7 | Total credits and payments. Add lines 6a through 6d | 7 | 2,000. |
| 8 | Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached | 8 | |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | 9 | |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | 10 | 1,310. |
| 11 | Enter the amount of line 10 to be **Credited to 2016 estimated tax** ▶ 1,310. **Refunded** ▶ | 11 | |

## Part VII-A Statements Regarding Activities

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? | 1b | | X |
| | *If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities* | | | |
| c | Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation. ▶ $ ________ (2) On foundation managers ▶ $ ________ | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ________ | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.* | 2 | | X |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | X |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | X |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? | 4b | | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T* | 5 | | X |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV* | 7 | X | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation* | 8b | X | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If "Yes," complete Part XIV* | 9 | | X |
| 10 | Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses* | 10 | | X |

**Part VII-A Statements Regarding Activities** *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ N/A

14 The books are in care of ▶ JP MORGAN CHASE BANK .N.A. Telephone no. ▶ (866)888-5157

Located at ▶ 10 S DEARBORN ST; MC; IL 1-0117, CHICAGO, IL ZIP+4 ▶ 60603

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

| | | | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | X |
| | See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶ | | | |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the year did the foundation (either directly or indirectly) | | | |
| | **(1)** Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No | | | |
| | **(2)** Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No | | | |
| | **(3)** Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No | | | |
| | **(4)** Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No | | | |
| | **(5)** Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No | | | |
| | **(6)** Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? | 1b | | X |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? | 1c | | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | | |
| a | At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No | | | |
| | If "Yes," list the years ▶ ____, ____, ____, ____ | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) | 2b | | X |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____, ____, ____, ____ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No | | | |
| b | If "Yes," did it have excess business holdings in 2015 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)* | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015? | 4b | | X |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

| | | | | |
|---|---|---|---|---|
| 5a | During the year did the foundation pay or incur any amount to. | | | |
| | (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? | [ ] Yes [X] No | | |
| | (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? | [ ] Yes [X] No | | |
| | (3) Provide a grant to an individual for travel, study, or other similar purposes? | [ ] Yes [X] No | | |
| | (4) Provide a grant to an organization other than a charitable, etc, organization described in section 4945(d)(4)(A)? (see instructions) | [ ] Yes [X] No | | |
| | (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? | [ ] Yes [X] No | | |
| b | If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? | | 5b | |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ [ ] | | | |
| c | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? | [ ] Yes [ ] No | | |
| | *If "Yes," attach the statement required by Regulations section 53 4945-5(d)* | | | |
| 6a | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | [ ] Yes [X] No | | |
| b | Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | | 6b | X |
| | *If "Yes" to 6b, file Form 8870* | | | |
| 7a | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? | [ ] Yes [X] No | | |
| b | If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? | | 7b | |

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| JP MORGAN CHASE BANK .N.A<br>10 S DEARBORN ST; MC; IL 1-0117, CHICAGO, IL 60603 | TRUSTEE<br>2 | 24,576. | -0- | -0- |
| MARILYN ELIAS<br>32 STURBRIDGE LANE, TRUMBULL, CT 06611-1023 | CO-TRUSTEE<br>2 | 2,223. | -0- | -0- |
| | | | | |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NONE | | -0- | -0- | -0- |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶ NONE

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| NONE | | NONE |
| | | |
| | | |
| | | |
| | | |

**Total** number of others receiving over $50,000 for professional services . . . . ▶ NONE

**Part IX-A** **Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|---|---|
| 1 N/A | |
| 2 | |
| 3 | |
| 4 | |

**Part IX-B** **Summary of Program-Related Investments** (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|---|
| 1 N/A | |
| 2 | |
| All other program-related investments See instructions | |
| 3 NONE | |
| **Total.** Add lines 1 through 3 . . . . ▶ | |

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities | 1a | 1,455,316. |
| b | Average of monthly cash balances | 1b | 96,387. |
| c | Fair market value of all other assets (see instructions) | 1c | NONE |
| d | **Total** (add lines 1a, b, and c) | 1d | 1,551,703. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | NONE |
| 3 | Subtract line 2 from line 1d | 3 | 1,551,703. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 | 23,276. |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 1,528,427. |
| 6 | **Minimum investment return.** Enter 5% of line 5 | 6 | 76,421. |

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

| | | | | | |
|---|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | | 1 | 76,421. |
| 2a | Tax on investment income for 2015 from Part VI, line 5 | 2a | 690. | | |
| b | Income tax for 2015. (This does not include the tax from Part VI.) | 2b | | | |
| c | Add lines 2a and 2b | | | 2c | 690. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | | | 3 | 75,731. |
| 4 | Recoveries of amounts treated as qualifying distributions | | | 4 | NONE |
| 5 | Add lines 3 and 4 | | | 5 | 75,731. |
| 6 | Deduction from distributable amount (see instructions) | | | 6 | NONE |
| 7 | **Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | | | 7 | 75,731. |

**Part XII Qualifying Distributions** (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 80,970. |
| b | Program-related investments - total from Part IX-B | 1b | |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | NONE |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | NONE |
| b | Cash distribution test (attach the required schedule) | 3b | NONE |
| 4 | **Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 80,970. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) | 5 | 690. |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 80,280. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

| | (a) Corpus | (b) Years prior to 2014 | (c) 2014 | (d) 2015 |
|---|---|---|---|---|
| 1 Distributable amount for 2015 from Part XI, line 7 | | | | 75,731. |
| 2 Undistributed income, if any, as of the end of 2015 | | | | |
| a Enter amount for 2014 only | | | 69,686. | |
| b Total for prior years 20 13 ,20____,20____ | | NONE | | |
| 3 Excess distributions carryover, if any, to 2015 | | | | |
| a From 2010 ...... NONE | | | | |
| b From 2011 ...... NONE | | | | |
| c From 2012 ...... NONE | | | | |
| d From 2013 ...... NONE | | | | |
| e From 2014 ...... NONE | | | | |
| f **Total** of lines 3a through e | NONE | | | |
| 4 Qualifying distributions for 2015 from Part XII, line 4 ▶ $ 80,970. | | | | |
| a Applied to 2014, but not more than line 2a | | | 69,686. | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | NONE | | |
| c Treated as distributions out of corpus (Election required - see instructions) | NONE | | | |
| d Applied to 2015 distributable amount | | | | 11,284. |
| e Remaining amount distributed out of corpus | NONE | | | |
| 5 Excess distributions carryover applied to 2015 *(If an amount appears in column (d), the same amount must be shown in column (a).)* | NONE | | | NONE |
| 6 **Enter the net total of each column as indicated below:** | | | | |
| a Corpus. Add lines 3f, 4c, and 4e Subtract line 5 | NONE | | | |
| b Prior years' undistributed income Subtract line 4b from line 2b | | NONE | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | NONE | | |
| d Subtract line 6c from line 6b Taxable amount - see instructions | | NONE | | |
| e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instructions | | | | |
| f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016 | | | | 64,447. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) | NONE | | | |
| 8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions) | NONE | | | |
| 9 **Excess distributions carryover to 2016.** Subtract lines 7 and 8 from line 6a | NONE | | | |
| 10 Analysis of line 9 | | | | |
| a Excess from 2011 ... NONE | | | | |
| b Excess from 2012 ... NONE | | | | |
| c Excess from 2013 ... NONE | | | | |
| d Excess from 2014 ... NONE | | | | |
| e Excess from 2015 ... NONE | | | | |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) NOT APPLICABLE

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling . . . . . . . . . . . . . . ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | (e) Total |
|---|---|---|---|---|---|
| | (a) 2015 | (b) 2014 | (c) 2013 | (d) 2012 | |
| **2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| **b** 85% of line 2a | | | | | |
| **c** Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| **d** Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| **e** Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c | | | | | |
| **3** Complete 3a, b, or c for the alternative test relied upon | | | | | |
| **a** "Assets" alternative test - enter | | | | | |
| (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| **b** "Endowment" alternative test-enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| **c** "Support" alternative test - enter | | | | | |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

**Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

N/A

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

**b** The form in which applications should be submitted and information and materials they should include:

**c** Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV Supplementary Information** *(continued)*

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| CANCER RESEARCH INSTITUTE INC<br>ONE EXCHANGE PLAZA NY 10006 | NONE | PC | GENERAL | 20,000. |
| SAMUEL WAXMAN CANCER RESEARCH FOUNDATION<br>420 LEXINGTON AVE., SUITE 825, NY 10170 | NONE | PC | GENERAL | 50,000. |
| **Total** . . . . . . ▶ **3a** | | | | 70,000. |
| **b** *Approved for future payment* | | | | |
| **Total** . . . . . . ▶ **3b** | | | | |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated. | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See instructions.) |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | | | |
| 4 Dividends and interest from securities | | | 14 | 31,581. | |
| 5 Net rental income or (loss) from real estate | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 54,975. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue a | | | | | |
| b DEFERRED INCOME | | | 14 | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | | | | 86,556. | |

**13 Total.** Add line 12, columns (b), (d), and (e) . . . 13 86,556.

(See worksheet in line 13 instructions to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.) |
|---|---|
| | NOT APPLICABLE |

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions: | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

| (a) Line no. | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | | |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

VICE PRESIDENT | 05/26/2016

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self-employed | PTIN |
|---|---|---|---|---|
| CAROLYN J. SECHEL | Carolyn J. Sechel | 05/26/2016 | | P01256399 |
| Firm's name ▶ DELOITTE TAX LLP | | | Firm's EIN ▶ 86-1065772 | |
| Firm's address ▶ 127 PUBLIC SQUARE CLEVELAND, OH 44114 | | | Phone no. 312-486-9652 | |

FORM 990PF, PART I - TAXES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|
| FOREIGN TAXES | 9. | 9. |
| FEDERAL TAX PAYMENT - PRIOR YE | 180. | |
| FEDERAL ESTIMATES - INCOME | 2,000. | |
| FOREIGN TAXES ON QUALIFIED FOR | 84. | 84. |
| FOREIGN TAXES ON NONQUALIFIED | 43. | 43. |
| TOTALS | 2,316. | 136. |

TR UW FOR LAUB FAMILY FOUNDATION P61578004 52-7091387

FORM 990PF, PART I - OTHER EXPENSES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | CHARITABLE PURPOSES |
|---|---|---|
| ATTORNEY GENERAL FEE | 250. | 250. |
| TOTALS | 250. | 250. |

JPMorgan Chase Bank, N.A.
270 Park Avenue, New York, NY 10017-2014

LAUB FAMILY FOUNDATION TRUST U/W ACCT P61578004
As of 1/31/16

# Fiduciary Account

| J.P. Morgan Team | | |
|---|---|---|
| Michael Palanza | T & E Officer | 212/648-1471 |
| Mellissa Cupido | T & E Administrator | 212/648-1475 |
| Michael Palanza | Client Service Team | 212/648-1471 |
| Mellissa Cupido | Client Service Team | |
| Online access | www.jpmorganonline.com | |

Table of Contents | Page



Please see disclosures located at the end of this statement package for important information relating to each J P Morgan account(s)



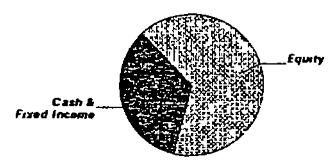

0340738803100585720344073036001000572

# Account Summary

## PRINCIPAL

| Asset Allocation | Beginning Market Value | Ending Market Value | Change In Value | Estimated Annual Income | Current Allocation |
|---|---|---|---|---|---|
| Equity | 1,073,745 87 | 936,360 34 | (137,385 53) | 19 982 85 | 66% |
| Cash & Fixed Income | 472 663 79 | 470,501 91 | (2,161 88) | 14,658 66 | 34% |
| **Market Value** | **$1,546,409.66** | **$1,406,862.25** | **($139,547.41)** | **$34,641.51** | **100%** |

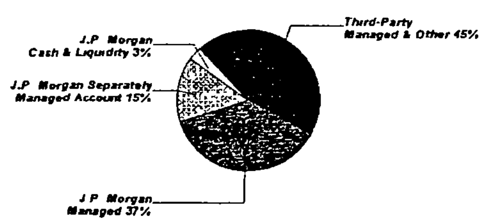
**Asset Allocation**




## INCOME

| Cash Position | Beginning Market Value | Ending Market Value | Change In Value |
|---|---|---|---|
| Cash Balance | (3,944 33) | (3,668 06) | 276 27 |
| Accruals | 157 17 | 151 57 | (5 60) |
| **Market Value** | **($3,787.16)** | **($3,516.49)** | **$270.67** |

**Manager Allocation ***




* **J.P Morgan Managed** includes mutual funds, other registered funds and hedge funds managed by J P Morgan and structured products issued by J P Morgan **J.P. Morgan Separately Managed Account** includes fixed income, equity, and alternative separately managed accounts managed by J P Morgan **Third-Party Managed & Other** includes mutual funds, exchange traded funds, hedge funds, and separately managed accounts managed by parties other than J P Morgan, separately managed accounts managed by J P Morgan where a party other than J P Morgan is the appointed investment advisor, structured products and exchange traded notes issued by parties other than J P Morgan, investment conduits investing in non-J P Morgan managed hedge funds, where J P Morgan is solely administrator to the conduit, and other investments not managed or issued by J P Morgan **J.P. Morgan Cash & Liquidity Funds** includes cash, J P Morgan deposit sweeps and J P Morgan money market mutual funds See important information about investment principles and conflicts in the disclosures section

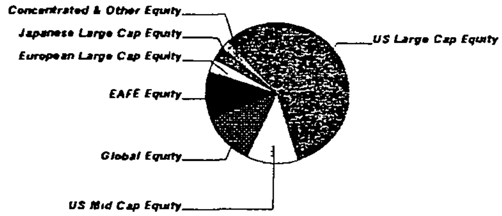
# Account Summary CONTINUED

| Cost Summary | Cost |
|---|---|
| Equity | 783,837 32 |
| Cash & Fixed Income | 478,358 98 |
| **Total** | **$1,262,196 30** |

# Equity Summary

| Asset Categories | Beginning Market Value | Ending Market Value | Change In Value | Current Allocation |
|---|---|---|---|---|
| US Large Cap Equity | 588,949 73 | 547,997 76 | (40,951 97) | 38% |
| US Mid Cap Equity | 99,459 50 | 116,394 29 | 16 934 79 | 8% |
| US Small Cap Equity | 20,105 03 | 0 00 | (20,105 03) | |
| EAFE Equity | 162,026 87 | 92,616 31 | (69,410 56) | 7% |
| European Large Cap Equity | 0 00 | 32,582 36 | 32,582 36 | 2% |
| Japanese Large Cap Equity | 16,622 06 | 34,751 05 | 18,128 99 | 2% |
| Asia ex-Japan Equity | 68,698 55 | 0 00 | (68,698 55) | |
| Global Equity | 106,488 05 | 106,135 33 | (352 72) | 8% |
| Concentrated & Other Equity | 11,396 08 | 5,883 24 | (5,512 84) | 1% |
| **Total Value** | **$1,073,745.87** | **$936,360.34** | **($137,385.53)** | **66%** |

Asset Categories




Equity as a percentage of your portfolio - 66 %

| Market Value/Cost | Current Period Value |
|---|---|
| Market Value | 936,360 34 |
| Tax Cost | 783,837 32 |
| Unrealized Gain/Loss | 152,523 02 |
| Estimated Annual Income | 19,982 85 |
| Accrued Dividends | 151 57 |
| Yield | 2 13% |

# Equity Detail

| | Price | Quantity | Value | Adjusted Tax Cost / Original Cost | Unrealized Gain/Loss | Est Annual Inc / Accrued Div | Yield |
|---|---|---|---|---|---|---|---|
| **US Large Cap Equity** | | | | | | | |
| **ABBOTT LABORATORIES** 002824-10-0 ABT | 37 85 | 41 000 | 1,551 85 | 1,823 63 | (271 78) | 42 64 10 66 | 2 75% |
| **ACCENTURE PLC-CL A** G1151C-10-1 ACN | 105 54 | 19 000 | 2,005 26 | 1,547 06 | 458 20 | 41 80 | 2 08% |
| **ADOBE SYSTEMS INC** 00724F-10-1 ADBE | 89 13 | 24 000 | 2,139 12 | 855 49 | 1,283 63 | | |
| **AETNA INC** 00817Y-10-8 AET | 101 84 | 19 000 | 1,934 96 | 1,937 46 | (2 50) | 19 00 | 0 98% |
| **ALEXION PHARMACEUTICALS INC** 015351-10-9 ALXN | 145 93 | 10 000 | 1,459 30 | 1,429 82 | 29 48 | | |
| **ALLEGION PLC** G0176J-10-9 ALLE | 60 56 | 18 000 | 1,090 08 | 1,177 96 | (87 88) | 7 20 | 0 66% |
| **ALLERGAN PLC** G0177J-10-8 AGN | 284 43 | 19 000 | 5,404 17 | 5,170 70 | 233 47 | | |
| **ALLIANCE DATA SYSTEMS CORP** 018581-10-8 ADS | 199 79 | 4 000 | 799 16 | 706 13 | 93 03 | | |
| **ALPHABET INC/CA-CL C** 02079K-10-7 GOOG | 742 95 | 9 000 | 6,686 55 | 3,873 27 | 2,813 28 | | |
| **ALPHABET INC/CA-CL A** 02079K-30-5 GOOG L | 761 35 | 3 000 | 2,284 05 | 1,314 74 | 969 31 | | |
| **AMAZON.COM INC** 023135-10-6 AMZN | 587 00 | 8 000 | 4,696 00 | 3,282 07 | 1,413 93 | | |
| **ANADARKO PETROLEUM CORP** 032511-10-7 APC | 39 09 | 19 000 | 742 71 | 1,387 87 | (645 16) | 20 52 | 2 76% |

| | Price | Quantity | Value | Adjusted Tax Cost / Original Cost | Unrealized Gain/Loss | Est Annual Inc / Accrued Div | Yield |
|---|---|---|---|---|---|---|---|

## US Large Cap Equity

| | Price | Quantity | Value | Adjusted Tax Cost / Original Cost | Unrealized Gain/Loss | Est Annual Inc / Accrued Div | Yield |
|---|---|---|---|---|---|---|---|
| **APPLE INC**<br>037833-10-0 AAPL | 97 34 | 84 000 | 8,176 56 | 2,026 62 | 6,149 94 | 174 72 | 2 14% |
| **AT&T INC**<br>00206R-10-2 T | 36 06 | 66 000 | 2,379 96 | 2,276 07 | 103 89 | 126 72<br>31 68 | 5 32% |
| **BANK OF AMERICA CORP**<br>060505-10-4 BAC | 14 14 | 274 000 | 3,874 36 | 3,546 25 | 328 11 | 54 80 | 1 41% |
| **BBH CORE SELECT FD-N**<br>05528X-60-4 BBTE X | 19 97 | 1,626 606 | 32,483 32 | 28,742 12 | 3,741 20 | | |
| **BIOGEN INC**<br>09062X-10-3 BIIB | 273 06 | 6 000 | 1,638 36 | 309 38 | 1,328 98 | | |
| **BLACKROCK INC**<br>09247X-10-1 BLK | 314 26 | 6 000 | 1,885 56 | 2,034 32 | (148 76) | 54 96 | 2 91% |
| **BRISTOL-MYERS SQUIBB CO**<br>110122-10-8 BMY | 62 16 | 43 000 | 2,672 88 | 1,689 97 | 982 91 | 65 36<br>16 34 | 2 45% |
| **BROADCOM CORP-CL A**<br>NON-TRADEABLE ASSET<br>CORP ACTIONS CONTRA<br>32 Reserved Corp Action<br>111STK-80-2 BRCM | 54 67 | 32 000 | 1,749 44 | 1,216 23 | 533 21 | 17 92 | 1 02% |
| **CARNIVAL CORP**<br>143658-30-0 CCL | 48 13 | 46 000 | 2,213 98 | 2,399 20 | (185 22) | 55 20 | 2 49% |
| **CBS CORP-CLASS B NON VOTING**<br>124857-20-2 CBS | 47 50 | 33 000 | 1,567 50 | 1,924 31 | (356 81) | 19 80 | 1 26% |
| **CELGENE CORP**<br>151020-10-4 CELG | 100 32 | 26 000 | 2,608 32 | 1,382 86 | 1,225 46 | | |
| **CHEVRON CORP**<br>166764-10-0 CVX | 86 47 | 24 000 | 2,075 28 | 1,973 97 | 101 31 | 102 72 | 4 95% |

LAUB FAMILY FOUNDATION TRUST U/W ACCT P61578004
As of 1/31/16

| | Price | Quantity | Value | Adjusted Tax Cost / Original Cost | Unrealized Gain/Loss | Est. Annual Inc / Accrued Div | Yield |
|---|---|---|---|---|---|---|---|
| **US Large Cap Equity** | | | | | | | |
| **CHUBB LTD** H1467J-10-4 CB | 113 07 | 27 000 | 3,052 89 | 1,529 63 | 1,523 26 | 72 36 | 2 37% |
| **CITIGROUP INC** 172967-42-4 C | 42 58 | 66 000 | 2,810 28 | 2,608 83 | 201 45 | 13 20 / 3 30 | 0 47% |
| **CMS ENERGY CORP** 125896-10-0 CMS | 38 88 | 38 000 | 1,477 44 | 1,320 07 | 157 37 | 47 12 | 3 19% |
| **COCA-COLA CO/THE** 191216-10-0 KO | 42 92 | 47 000 | 2,017 24 | 2,066 57 | (49 33) | 62 04 | 3 08% |
| **COGNIZANT TECH SOLUTIONS-A** 192446-10-2 CTSH | 63 31 | 14 000 | 886 34 | 950 51 | (64 17) | | |
| **COLGATE-PALMOLIVE CO** 194162-10-3 CL | 67 53 | 15 000 | 1,012 95 | 922 24 | 90 71 | 22 80 / 5 70 | 2 25% |
| **COLUMBIA PIPELINE GROUP -W/I** 198280-10-9 CPGX | 18 55 | 79 000 | 1,465 45 | 1,954 04 | (488 59) | 39 50 | 2 70% |
| **COMCAST CORP-CLASS A** 20030N-10-1 CMCS A | 55 71 | 31 000 | 1,727 01 | 529 06 | 1,197 95 | 31 00 | 1 80% |
| **CONOCOPHILLIPS** 20825C-10-4 COP | 39 08 | 15 000 | 586 20 | 550 49 | 35 71 | 44 40 | 7 57% |
| **COSTCO WHOLESALE CORP** 22160K-10-5 COST | 151 12 | 12 000 | 1,813 44 | 1,550 57 | 262 87 | 19 20 | 1 06% |
| **CROWN HOLDINGS INC** 228368-10-6 CCK | 45 88 | 14 000 | 642 32 | 703 45 | (61 13) | | |
| **CSX CORP** 126408-10-3 CSX | 23 02 | 43 000 | 989 86 | 1,188 09 | (198 23) | 30 96 | 3 13% |
| **CULLEN HIGH DIVIDEND EQ-I** 230001-40-6 CHDV X | 16 01 | 2,065 771 | 33,072 99 | 28,043 60 | 5 029 39 | 886 21 | 2 68% |



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| | Price | Quantity | Value | Adjusted Tax Cost / Original Cost | Unrealized Gain/Loss | Est Annual Inc / Accrued Div | Yield |
|---|---|---|---|---|---|---|---|
| **US Large Cap Equity** | | | | | | | |
| **DISCOVER FINANCIAL SERVICES** 254709-10-8 DFS | 45 79 | 35 000 | 1,602 65 | 1,911 34 | (308 69) | 39 20 | 2 45% |
| **DISH NETWORK CORP-A** 25470M-10-9 DISH | 48 27 | 28 000 | 1,351 56 | 1,047 04 | 304 52 | | |
| **DOW CHEMICAL CO/THE** 260543-10-3 DOW | 42 00 | 37 000 | 1,554 00 | 1,968 65 | (414 65) | 68 08 | 4 38% |
| **DU PONT (E.I.) DE NEMOURS** 263534-10-9 DD | 52 76 | 18 000 | 949 68 | 1,027 31 | (77 63) | 27 36 | 2 88% |
| **ELI LILLY & CO** 532457-10-8 LLY | 79 10 | 30 000 | 2,373 00 | 2,517 52 | (144 52) | 61 20 | 2 58% |
| **EQT CORP** 26884L-10-9 EQT | 61 74 | 16 000 | 987 84 | 1,215 24 | (227 40) | 1 92 | 0 19% |
| **FACEBOOK INC-A** 30303M-10-2 FB | 112 21 | 52 000 | 5,834 92 | 4,131 46 | 1,703 46 | | |
| **FIDELITY NATIONAL INFO SERV** 31620M-10-6 FIS | 59 73 | 32 000 | 1,911 36 | 2,031 08 | (119 72) | 33 28 | 1 74% |
| **GENERAL MOTORS CO** 37045V-10-0 GM | 29 64 | 75 000 | 2,223 00 | 2,154 91 | 68 09 | 108 00 | 4 86% |
| **GENERAL ELECTRIC CO** 369604-10-3 GE | 29 10 | 125 000 | 3,637 50 | 3,323 55 | 313 95 | 115 00 | 3 16% |
| **GILEAD SCIENCES INC** 375558-10-3 GILD | 83 00 | 19 000 | 1,577 00 | 2,063 45 | (486 45) | 32 68 | 2 07% |
| **HARMAN INTERNATIONAL** 413086-10-9 HAR | 74 39 | 18 000 | 1,339 02 | 1,769 30 | (430 28) | 25 20 | 1 88% |
| **HARTFORD FINANCIAL SVCS GRP** 416515-10-4 HIG | 40 18 | 35 000 | 1,406 30 | 1,058 60 | 347 70 | 29 40 | 2 09% |

| | Price | Quantity | Value | Adjusted Tax Cost<br>Original Cost | Unrealized Gain/Loss | Est. Annual Inc<br>Accrued Div | Yield |
|---|---|---|---|---|---|---|---|

## US Large Cap Equity

| | Price | Quantity | Value | Adjusted Tax Cost<br>Original Cost | Unrealized Gain/Loss | Est. Annual Inc<br>Accrued Div | Yield |
|---|---|---|---|---|---|---|---|
| **HESS CORP**<br>42809H-10-7 HES | 42 50 | 19 000 | 807 50 | 969 86 | (162 36) | 19 00 | 2 35% |
| **HOME DEPOT INC**<br>437076-10-2 HD | 125 76 | 37 000 | 4,653 12 | 1,797 08 | 2,856 04 | 87 32 | 1 88% |
| **HONEYWELL INTERNATIONAL INC**<br>438516-10-6 HON | 103 20 | 48 000 | 4,953 60 | 2,692 49 | 2,261 11 | 114 24 | 2 31% |
| **HP INC**<br>40434L-10-5 HPQ | 9 71 | 77 000 | 747 67 | 936 46 | (188 79) | 38 19 | 5 11% |
| **HUMANA INC**<br>444859-10-2 HUM | 162 79 | 13 000 | 2,116 27 | 941 71 | 1,174 56 | 15 08 | 0 71% |
| **ILLUMINA INC**<br>452327-10-9 ILMN | 157 95 | 7 000 | 1,105 65 | 1,405 09 | (299 44) | | |
| **JPM EQ INC FD - SEL**<br>FUND 3128<br>4812C0-49-8 HLIE X | 13 05 | 2,245 939 | 29,309 50 | 30,320 18 | (1,010 68) | 1,208 31 | 4 12% |
| **JPM US LARGE CAP CORE PLUS FD - SEL**<br>FUND 1002<br>4812A2-38-9 JLPS X | 24 83 | 3,620 821 | 89,904 99 | 52,610 54 | 37,294 45 | 1,292 63 | 1 44% |
| **KIMBERLY-CLARK CORP**<br>494368-10-3 KMB | 128 42 | 9 000 | 1,155 78 | 1,134 04 | 21 74 | 31 68 | 2 74% |
| **L-3 COMMUNICATIONS HOLDINGS**<br>502424-10-4 LLL | 116 84 | 10 000 | 1,168 40 | 1,277 38 | (108 98) | 26 00 | 2 23% |
| **LAM RESEARCH CORP**<br>512807-10-8 LRCX | 71 79 | 37 000 | 2,656 23 | 2,302.32 | 353 91 | 44 40 | 1 67% |
| **LENNOX INTERNATIONAL INC**<br>526107-10-7 LII | 119 82 | 11 000 | 1,318 02 | 1,346 69 | (28 67) | 15 84 | 1 20% |

| | Price | Quantity | Value | Adjusted Tax Cost<br>Original Cost | Unrealized<br>Gain/Loss | Est Annual Inc<br>Accrued Div | Yield |
|---|---|---|---|---|---|---|---|
| **US Large Cap Equity** | | | | | | | |
| **LOWE'S COS INC**<br>548661-10-7 LOW | 71 66 | 60 000 | 4,299 60 | 1,249 80 | 3,049 80 | 67 20<br>16 80 | 1 56% |
| **MARSH & MCLENNAN COS**<br>571748-10-2 MMC | 53 33 | 40 000 | 2,133 20 | 1,842 07 | 291 13 | 49 60<br>12 40 | 2 33% |
| **MARTIN MARIETTA MATERIALS**<br>573284-10-6 MLM | 125 58 | 9 000 | 1,130 22 | 1,523 73 | (393 51) | 14 40 | 1 27% |
| **MASCO CORP**<br>574599-10-6 MAS | 26 39 | 64 000 | 1,688 96 | 760 30 | 928 66 | 24 32<br>6 08 | 1 44% |
| **MASTERCARD INC-CLASS A**<br>57636Q-10-4 MA | 89 03 | 42 000 | 3,739 26 | 1,573 14 | 2,166 12 | 31 92<br>7 98 | 0 85% |
| **MCKESSON CORP**<br>58155Q-10-3 MCK | 160 98 | 5 000 | 804 90 | 1,131 77 | (326 87) | 5 60 | 0 70% |
| **METLIFE INC**<br>59156R-10-8 MET | 44 65 | 47 000 | 2,098 55 | 1,406 31 | 692 24 | 70 50 | 3 36% |
| **MICROSOFT CORP**<br>594918-10-4 MSFT | 55 09 | 144 000 | 7,932 96 | 4,101 78 | 3,831 18 | 207 36 | 2 61% |
| **MOLSON COORS BREWING CO -B**<br>60871R-20-9 TAP | 90 48 | 26 000 | 2,352 48 | 2,029 72 | 322 76 | 42 64 | 1 81% |
| **MONDELEZ INTERNATIONAL INC-A**<br>609207-10-5 MDLZ | 43 10 | 53 000 | 2,284 30 | 1,294 34 | 989 96 | 36 04 | 1 58% |
| **MORGAN STANLEY**<br>617446-44-8 MS | 25 88 | 125 000 | 3,235 00 | 3,362 60 | (127 60) | 75 00<br>18 75 | 2 32% |
| **NEUBERGER BER MU/C OPP-INS**<br>64122Q-30-9 NMUL X | 14 11 | 1,341 643 | 18,930 58 | 14,945 90 | 3,984 68 | 182 46 | 0 96% |
| **NISOURCE INC**<br>65473P-10-5 NI | 21 01 | 76 000 | 1,596 76 | 1,094 40 | 502 36 | 47 12 | 2 95% |

| | Price | Quantity | Value | Adjusted Tax Cost / Original Cost | Unrealized Gain/Loss | Est Annual Inc / Accrued Div | Yield |
|---|---|---|---|---|---|---|---|

## US Large Cap Equity

| | Price | Quantity | Value | Adjusted Tax Cost / Original Cost | Unrealized Gain/Loss | Est Annual Inc / Accrued Div | Yield |
|---|---|---|---|---|---|---|---|
| **NXP SEMICONDUCTORS NV** N6596X-10-9 NXPI | 74 78 | 17 000 | 1,271 26 | 1,802 30 | (531 04) | | |
| **OCCIDENTAL PETROLEUM CORP** 674599-10-5 OXY | 68 83 | 67 000 | 4,611 61 | 4,904 76 | (293 15) | 201 00 | 4 36% |
| **PACCAR INC** 693718-10-8 PCAR | 49 07 | 37 000 | 1,815 59 | 1,273 99 | 541 60 | 35 52 | 1 96% |
| **PEPSICO INC** 713448-10-8 PEP | 99 30 | 23 000 | 2,283 90 | 2,207 62 | 76 28 | 64 63 | 2 83% |
| **PFIZER INC** 717081-10-3 PFE | 30 49 | 82 000 | 2,500 18 | 2,828 52 | (328 34) | 98 40 | 3 94% |
| **PIONEER NATURAL RESOURCES CO** 723787-10-7 PXD | 123 95 | 8 000 | 991 60 | 1,126 31 | (134 71) | 0 64 | 0 06% |
| **PPG INDUSTRIES INC** 693506-10-7 PPG | 95 12 | 10 000 | 951 20 | 922 12 | 29 08 | 14 40 | 1 51% |
| **PROCTER & GAMBLE CO/THE** 742718-10-9 PG | 81 69 | 33 000 | 2,695 77 | 1,823 00 | 872 77 | 87 51<br>21 88 | 3 25% |
| **SCHLUMBERGER LTD** 806857-10-8 SLB | 72 27 | 31 000 | 2,240 37 | 2,509 99 | (269 62) | 62 00 | 2 77% |
| **SCHWAB (CHARLES) CORP** 808513-10-5 SCHW | 25 53 | 51 000 | 1,302 03 | 1,460 76 | (158 73) | 12 24 | 0 94% |
| **SPDR S&P 500 ETF TRUST** 78462F-10-3 SPY | 193 72 | 663 000 | 128,436 89 | 100,301 33 | 28,135 56 | 2,788 57 | 2 17% |
| **STANLEY BLACK & DECKER INC** 854502-10-1 SWK | 94 34 | 11 000 | 1,037 74 | 1,171 80 | (134 06) | 24 20 | 2 33% |
| **SVB FINANCIAL GROUP** 78486Q-10-1 SIVB | 101 32 | 10 000 | 1,013 20 | 1,256 88 | (243 68) | | |

| | Price | Quantity | Value | Adjusted Tax Cost / Original Cost | Unrealized Gain/Loss | Est. Annual Inc / Accrued Div | Yield |
|---|---|---|---|---|---|---|---|
| **US Large Cap Equity** | | | | | | | |
| **SYNCHRONY FINANCIAL** 87165B-10-3 SYF | 28 42 | 39 000 | 1,108 38 | 959 59 | 148 79 | | |
| **TE CONNECTIVITY LTD** H84989-10-4 TEL | 57 16 | 24 000 | 1,371 84 | 1,718 73 | (346 89) | 31 68 | 2 31% |
| **TIME WARNER INC** 887317-30-3 TWX | 70 44 | 58 000 | 4,085 52 | 1,308 98 | 2,776 54 | 81 20 | 1 99% |
| **TJX COMPANIES INC** 872540-10-9 TJX | 71 24 | 22 000 | 1,567 28 | 1,255 15 | 312 13 | 18 48 | 1 18% |
| **TWENTY-FIRST CENTURY FOX-A** 90130A-10-1 FOXA | 26 97 | 79 000 | 2,130 63 | 2,528 63 | (398 00) | 23 70 | 1 11% |
| **UNION PACIFIC CORP** 907818-10-8 UNP | 72 00 | 14 000 | 1,008 00 | 1,272 39 | (264 39) | 30 80 | 3 06% |
| **UNITEDHEALTH GROUP INC** 91324P-10-2 UNH | 115 16 | 35 000 | 4,030 60 | 1,782 31 | 2,248 29 | 70 00 | 1 74% |
| **UNITED CONTINENTAL HOLDINGS** 910047-10-9 UAL | 48 28 | 34 000 | 1,641 52 | 1,374 39 | 267 13 | | |
| **UNITED TECHNOLOGIES CORP** 913017-10-9 UTX | 87 69 | 29 000 | 2,543 01 | 1,746 20 | 796 81 | 74 24 | 2 92% |
| **VALERO ENERGY CORP** 91913Y-10-0 VLO | 67 87 | 10 000 | 678 70 | 679 98 | (1 28) | 24 00 | 3 54% |
| **VERTEX PHARMACEUTICALS INC** 92532F-10-0 VRTX | 90 75 | 20 000 | 1,815 00 | 1,560 94 | 254 06 | | |
| **VISA INC-CLASS A SHARES** 92826C-83-9 V | 74 49 | 24 000 | 1,787 76 | 1,842 95 | (55 19) | 13 44 | 0 75% |
| **WABCO HOLDINGS INC** 92927K-10-2 WBC | 89 65 | 8 000 | 717 20 | 1,025 02 | (307 82) | | |

| | Price | Quantity | Value | Adjusted Tax Cost / Original Cost | Unrealized Gain/Loss | Est. Annual Inc / Accrued Div | Yield |
|---|---|---|---|---|---|---|---|
| **US Large Cap Equity** | | | | | | | |
| WALT DISNEY CO/THE 254687-10-6 DIS | 95 82 | 15 000 | 1,437 30 | 983 96 | 453 34 | 21 30 | 1 48% |
| WELLS FARGO & CO 949746-10-1 WFC | 50 23 | 127 000 | 6,379 21 | 3,721 05 | 2,658 16 | 190 50 | 2 99% |
| **Total US Large Cap Equity** | | | **$547,997.76** | **$425,563 45** | **$122,434.31** | **$10,328.77 $151.57** | **1.88%** |
| **US Mid Cap Equity** | | | | | | | |
| ISHARES CORE S&P MIDCAP ETF 464287-50-7 IJH | 131 53 | 625 000 | 82,206 25 | 64,789 51 | 17,416 74 | 1,359 37 | 1 65% |
| JPM MKT EXP ENH INDEX FD - SEL FUND 3708 4812C1-63-7 PGMI X | 9 60 | 3,561 254 | 34,188 04 | 25,000 00 | 9,188 04 | 701 56 | 2 05% |
| **Total US Mid Cap Equity** | | | **$116,394 29** | **$89,789.51** | **$26,604.78** | **$2,060 93** | **1.77%** |
| **EAFE Equity** | | | | | | | |
| ARTISAN INTL VALUE FD-ADV 04314H-66-7 APDK X | 30 11 | 2,160 171 | 65,042 75 | 54,250 00 | 10,792 75 | | |
| ISHARES MSCI EAFE INDEX FUND 464287-46-5 EFA | 55 48 | 497 000 | 27,573 56 | 31,914 25 | (4,340 69) | 805 14 | 2 92% |
| **Total EAFE Equity** | | | **$92,616.31** | **$86,164.25** | **$6,452.06** | **$805.14** | **0.87%** |



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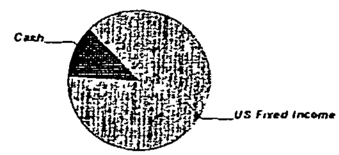
| | Price | Quantity | Value | Adjusted Tax Cost / Original Cost | Unrealized Gain/Loss | Est Annual Inc / Accrued Div | Yield |
|---|---|---|---|---|---|---|---|
| **European Large Cap Equity** | | | | | | | |
| **DEUTSCHE X-TRACKERS MSCI EUR** 233051-85-3 DBEU | 24 83 | 762 000 | 18,920 46 | 20,623 53 | (1,703 07) | 973 07 | 5 14% |
| **VANGUARD FTSE EUROPE ETF** 922042-87-4 VGK | 47 11 | 290 000 | 13,661 90 | 15,937 05 | (2,275 15) | 470 38 | 3 44% |
| **Total European Large Cap Equity** | | | **$32,582.36** | **$36,560.58** | **($3,978.22)** | **$1,443.45** | **4.43%** |
| **Japanese Large Cap Equity** | | | | | | | |
| **BROWN ADV JAPAN ALPHA OPP-IS** 115233-57-9 BAFJ X | 10 27 | 2,484 975 | 25,520 69 | 27,419 00 | (1,898 31) | 2,358 24 | 9 24% |
| **DEUTSCHE X-TRACKERS MSCI JAP** 233051-50-7 DBJP | 36 34 | 254 000 | 9,230 36 | 10,191 72 | (961 36) | 353 31 | 3 83% |
| **Total Japanese Large Cap Equity** | | | **$34,751.05** | **$37,610.72** | **($2,859.67)** | **$2,711.55** | **7.80%** |
| **Global Equity** | | | | | | | |
| **JPM GLBL RES ENH INDEX FD - SEL** FUND 3457 46637K-51-3 JEIT X | 16 82 | 6,310 067 | 106,135 33 | 106,683 82 | (548 49) | 2,555 57 | 2 41% |
| **Concentrated & Other Equity** | | | | | | | |
| **AVAGO TECHNOLOGIES LTD** Y0486S-10-4 | 133 71 | 44 000 | 5,883 24 | 1,464 99 | 4,418 25 | 77 44 | 1 32% |

# Cash & Fixed Income Summary

| Asset Categories | Beginning Market Value | Ending Market Value | Change In Value | Current Allocation |
|---|---|---|---|---|
| Cash | 45,901 40 | 51,705 63 | 5,804 23 | 4% |
| US Fixed Income | 426,762 39 | 418,796 28 | (7,966 11) | 30% |
| **Total Value** | **$472,663.79** | **$470,501.91** | **($2,161.88)** | **34%** |

**Asset Categories**




| Market Value/Cost | Current Period Value |
|---|---|
| Market Value | 470,501 91 |
| Tax Cost | 478,358 98 |
| Unrealized Gain/Loss | (7,857 07) |
| Estimated Annual Income | 14,658 66 |
| Yield | 3 11% |

Cash & Fixed Income as a percentage of your portfolio - 34 %

### SUMMARY BY MATURITY

| Cash & Fixed Income | Market Value | % of Bond Portfolio |
|---|---|---|
| 0-6 months[1] | 470,501 91 | 100% |

[1] The years indicate the number of years until the bond is scheduled to mature based on the statement end date Some bonds may be called, or paid in full, before their stated maturity

### SUMMARY BY TYPE

| Cash & Fixed Income | Market Value | % of Bond Portfolio |
|---|---|---|
| Cash | 51,705 63 | 10% |
| Mutual Funds | 378,512 99 | 82% |
| Other | 40,283 29 | 8% |
| **Total Value** | **$470,501.91** | **100%** |

Note [1] This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account

# Cash & Fixed Income Detail

| | Price | Quantity | Value | Adjusted Tax Cost / Original Cost | Unrealized Gain/Loss | Est Annual Income / Accrued Interest | Yield |
|---|---|---|---|---|---|---|---|
| **Cash** | | | | | | | |
| **US DOLLAR PRINCIPAL** | 1 00 | 51,705 63 | 51,705 63 | 51,705 63 | | 15 51 | 0 03% [1] |
| **US Fixed Income** | | | | | | | |
| **DODGE & COX INCOME FD** 256210-10-5 | 13 28 | 2,872 93 | 38,152 46 | 40,106 05 | (1,953 59) | 1,157 78 | 3 03% |
| **JPM STRAT INC OPP FD - SEL** FUND 3844 4812A4-35-1 | 10 96 | 3,495 05 | 38,305 74 | 37,147 39 | 1,158 35 | 2,509 44 | 6 55% |
| **JPM SHORT DURATION BD FD - SEL** FUND 3133 4812C1-33-0 | 10 85 | 14,466 40 | 156,960 43 | 154,645 80 | 2,314 63 | 2,155 49 | 1 37% |
| **JPM UNCONSTRAINED DEBT FD - SELECT** FUND 2130 48121A-29-0 | 9 58 | 3,022 54 | 28,955 97 | 29,530 25 | (574 28) | 1,813 52 | 6 26% |
| **JPM TAX AWARE R/R FD - INSTL** FUND 993 4812A2-53-8 | 9 56 | 4,213 73 | 40,283 29 | 42,737 14 | (2,453 85) | 1,462 16 | 3 63% |
| **BLACKROCK HIGH YIELD PT-BLAC** 091929-68-7 | 7 01 | 6,518 91 | 45,697 57 | 50,277 43 | (4,579 86) | 2,679 27 | 5 86% |

| | Price | Quantity | Value | Adjusted Tax Cost<br>Original Cost | Unrealized<br>Gain/Loss | Est Annual Income<br>Accrued Interest | Yield |
|---|---|---|---|---|---|---|---|
| **US Fixed Income** | | | | | | | |
| DOUBLELINE TOTL RET BND-I<br>258620-10-3 | 10 89 | 6,468 40 | 70,440 82 | 72,209 29 | (1,768 47) | 2,865 49 | 4 07% |
| **Total US Fixed Income** | | | **$418,796.28** | **$426,653 35** | **($7,857 07)** | **$14,643.15** | **3 49%** |

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J.P.Morgan