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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2015

Open to Public Inspection

For calendar year 2015, or tax year beginning 01-01-2015, and ending 12-31-2015

Name of foundation LUCILLE ELLIS SIMON FOUNDATION CO AVERY & GREIG LLP		A Employer identification number 95-6035906	
Number and street (or P O box number if mail is not delivered to street address) 2811 WILSHIRE BLVD		Room/suite	B Telephone number (see instructions) (310) 829-7654
City or town, state or province, country, and ZIP or foreign postal code SANTA MONICA, CA 90403			
G Check all that apply <div>☐ Initial return ☐ Final return ☐ Address change</div> <div>☐ Initial return of a former public charity ☐ Amended return ☐ Name change</div>		C If exemption application is pending, check here ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐ E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 9,852,986		J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)	

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>		Revenue and expenses per books (a)	Net investment income (b)	Adjusted net income (c)	Disbursements for charitable purposes (d) (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	70,311	70,311		
	4 Dividends and interest from securities	189,787	189,787		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	16,593			
	b Gross sales price for all assets on line 6a 355,769				
	7 Capital gain net income (from Part IV, line 2) . . .		16,593		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	276,691	276,691		
	13 Compensation of officers, directors, trustees, etc	567			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule).	340			340
	b Accounting fees (attach schedule).	33,890	16,945		16,945
	c Other professional fees (attach schedule)	71,476	71,476		
	17 Interest				
	18 Taxes (attach schedule) (see instructions) . . .	5,115	2,105		10
	19 Depreciation (attach schedule) and depletion . .				
	20 Occupancy				
	21 Travel, conferences, and meetings.	3,116			3,116
	22 Printing and publications				
	23 Other expenses (attach schedule).	7,381			7,381
	24 Total operating and administrative expenses. Add lines 13 through 23	121,885	90,526		27,792
	25 Contributions, gifts, grants paid	439,000			439,000
	26 Total expenses and disbursements. Add lines 24 and 25	560,885	90,526		466,792
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-284,194			
	b Net investment income (if negative, enter -0-)		186,165		
	c Adjusted net income (if negative, enter -0-) . . .				

Part II		Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing				
	2	Savings and temporary cash investments	69,096	124,078	124,078	
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____				
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions).				
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments—U S and state government obligations (attach schedule)	1,463,610	 1,442,615	1,442,615	
	b	Investments—corporate stock (attach schedule)	7,803,729	 7,691,730	7,691,730	
	c	Investments—corporate bonds (attach schedule)	411,396	 202,454	202,454	
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____				
	12	Investments—mortgage loans.				
	13	Investments—other (attach schedule)	412,740	 392,109	392,109	
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____				
15	Other assets (describe ▶ _____)					
16	Total assets(to be completed by all filers—see the instructions Also, see page 1, item I)	10,160,571	9,852,986	9,852,986		
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule).				
	22	Other liabilities (describe ▶ _____)				
	23	Total liabilities(add lines 17 through 22)		0		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted	10,160,571	9,852,986		
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg , and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	Total net assets or fund balances(see instructions)	10,160,571	9,852,986		
	31	Total liabilities and net assets/fund balances(see instructions)	10,160,571	9,852,986		

Part III				Analysis of Changes in Net Assets or Fund Balances	
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	10,160,571		
2	Enter amount from Part I, line 27a	2	-284,194		
3	Other increases not included in line 2 (itemize) ▶ _____	3			
4	Add lines 1, 2, and 3	4	9,876,377		
5	Decreases not included in line 2 (itemize) ▶  _____	5	23,391		
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	9,852,986		

Part IV

Capital Gains and Losses for Tax on Investment Income

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		How acquired P—Purchase (b) D—Donation	Date acquired (c) (mo , day, yr)	Date sold (d) (mo , day, yr)
1 a	500 COSTCO COMPANIES	P	2000-12-12	2015-12-12
b	1000 INTEL CORP	P	2000-12-12	2015-12-12
c	500 SOVRAN SELF STORAGE INC	P	2000-12-12	2015-12-12
d	200000 HEWLETT PACKARD CO NOTE	P	2000-12-12	2015-12-09
e				

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
a 80,655		70,875	9,780
b 17,463		18,145	-682
c 51,845		43,610	8,235
d 205,806		206,546	-740
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
a			9,780
b			-682
c			8,235
d			-740
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	16,593
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2014	450,026	10,019,305	0 04492
2013	456,111	9,293,938	0 04908
2012	414,523	8,504,613	0 04874
2011	407,385	8,327,354	0 04892
2010	430,585	7,918,162	0 05438

2	Total of line 1, column (d).	2	0 246033
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 049207
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5.	4	10,056,518
5	Multiply line 4 by line 3.	5	494,851
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	1,862
7	Add lines 5 and 6.	7	496,713
8	Enter qualifying distributions from Part XII, line 4.	8	466,792

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VI

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a

Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1
Date of ruling or determination letter _____
(attach copy of letter if necessary—see instructions)

b

Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b

c

All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)

2

Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

2

3

Add lines 1 and 2.

3

3,723

4

Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

4

5

Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-

5

3,723

6

Credits/Payments

a

2015 estimated tax payments and 2014 overpayment credited to 2015

6a

3,268

b

Exempt foreign organizations—tax withheld at source

6b

c

Tax paid with application for extension of time to file (Form 8868).

6c

d

Backup withholding erroneously withheld

6d

7

Total credits and payments. Add lines 6a through 6d.

7

3,268

8

Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.

8

9

Tax due. If the total of lines 5 and 8 is more than line 7, enter **amount owed**

9

455

10

Overpayment. If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid**.

10

11

Enter the amount of line 10 to be **Credited to 2015 estimated tax** ☐ **Refunded** ☐

11

Part VII-A

Statements Regarding Activities

1a

During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

1a

No

b

Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.

1b

No

c

Did the foundation file **Form 1120-POL** for this year?

1c

No

d

Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:
(1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____

e

Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____

2

Has the foundation engaged in any activities that have not previously been reported to the IRS?
If "Yes," attach a detailed description of the activities.

2

No

3

Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*

3

No

4a

Did the foundation have unrelated business gross income of \$1,000 or more during the year?

4a

No

b

If "Yes," has it filed a tax return on **Form 990-T** for this year?

4b

No

5

Was there a liquidation, termination, dissolution, or substantial contraction during the year?
If "Yes," attach the statement required by General Instruction T.

5

No

6

Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:
• By language in the governing instrument, or
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

6

Yes

7

Did the foundation have at least \$5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*

7

Yes

8a

Enter the states to which the foundation reports or with which it is registered (see instructions)
☐ CA _____

b

If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation.*

8b

Yes

9

Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)?
If "Yes," complete Part XIV

9

No

10

Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*

10

No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶N/A	13	Yes	
14	The books are in care of ▶AVERY & GREIG LLP Telephone no ▶(310) 829-7654 Located at ▶2811 WILSHIRE BLVD 700 SANTA MONICA CA ZIP+4 ▶90403			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶	16	Yes	No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? ☐	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). ☐	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.</i>) ☐	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes

☒ No

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes

☒ No

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes

☒ No

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions).

☐ Yes

☒ No

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes

☒ No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

No

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☐ Yes

☒ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes

☒ No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes

☒ No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

No

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances
NONE				

Total number of other employees paid over \$50,000.

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services. ▶

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3. ▶	

Part X

Minimum Investment Return

(All domestic foundations must complete this part. Foreign foundations,see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	10,041,052
b	Average of monthly cash balances.	1b	168,611
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	10,209,663
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	10,209,663
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	153,145
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	10,056,518
6	Minimum investment return. Enter 5% of line 5.	6	502,826

Part XI

Distributable Amount

(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	502,826
2a	Tax on investment income for 2015 from Part VI, line 5.	2a	3,723
b	Income tax for 2015 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	3,723
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	499,103
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	499,103
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	499,103

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	466,792
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	466,792
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	466,792
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				499,103
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only.				
b Total for prior years 20__, 20__, 20__				
3 Excess distributions carryover, if any, to 2015				
a From 2010.	37,094			
b From 2011.				
c From 2012.				
d From 2013.				
e From 2014.				
f Total of lines 3a through e.	37,094			
4 Qualifying distributions for 2015 from Part XII, line 4	\$ 466,792			
a Applied to 2014, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2015 distributable amount.				466,792
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)	32,311			32,311
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	4,783			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions.				
e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions.				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions).	4,783			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a.				
10 Analysis of line 9				
a Excess from 2011.				
b Excess from 2012.				
c Excess from 2013.				
d Excess from 2014.				
e Excess from 2015.				

Part XIV

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

b 85% of line 2a

d Amounts included in line 2c not used directly for active conduct of exempt activities

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying
under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

[illegible]

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Form **990-PF** (2015)

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2015)

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation(If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
DONALD SIMON 1801 CENTURY PK E 2110 LOS ANGELES, CA 90067	Chairman 1 00	0		
PAMELA SIMON JENSEN PO BOX 165 SANTA MONICA, CA 90406	President 3 00	0		
ERIC SIMON PO BOX 370899 MONTARA, CA 94037	Vice President 1 00	0		
DOUGLAS SIMON PO BOX 1911 BEVERLY HILLS, CA 902131911	Vice President 1 00	0		
WILLIAM E GREANEY 26015 CHARING CROSS ROAD VALENCIA, CA 913552029	Treasurer 0 10	567		

TY 2015 Accounting Fees Schedule

Name: LUCILLE ELLIS SIMON FOUNDATION
CO AVERY & GREIG LLP

EIN: 95-6035906

Software ID: 15000324

Software Version: 2015v2.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING	33,890	16,945	0	16,945

TY 2015 General Explanation Attachment

Name: LUCILLE ELLIS SIMON FOUNDATION
CO AVERY & GREIG LLP

EIN: 95-6035906

Software ID: 15000324

Software Version: 2015v2.0

Identifier	Return Reference	Explanation
	General Explanation Supplemental Information for Form 990-PF	EXPLANATION OF FINANCIAL TRANSACTIONS ON 990-PF PAGE 5, PART VII-B, ITEM 1(A)(4) TRAVEL EXPENSE REIMBURSEMENTS WERE PAID TO DOUGLAS SIMON, A VICE PRESIDENT OF THE FOUNDATION WILLIAM GREANEY, CPA, A TREASURER OF THE FOUNDATION, WAS REIMBURSED FOR HIS TIME AT HIS NORMAL HOURLY RATE THE ABOVE REFERENCED TRAVEL EXPENSE AND TIME REIMBURSEMENTS REPRESENT QUALIFIED PAYMENTS UNDER I R C SECTION 4941(D)(2)(E)

TY 2015 Investments Corporate Bonds Schedule

Name: LUCILLE ELLIS SIMON FOUNDATION
CO AVERY & GREIG LLP

EIN: 95-6035906

Software ID: 15000324

Software Version: 2015v2.0

Name of Bond	End of Year Book Value	End of Year Fair Market Value
SEE ATTACHED SCHEDULE	202,454	202,454

TY 2015 Investments Corporate Stock Schedule

Name: LUCILLE ELLIS SIMON FOUNDATION
CO AVERY & GREIG LLP

EIN: 95-6035906

Software ID: 15000324

Software Version: 2015v2.0

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SEE ATTACHED SCHEDULE	7,691,730	7,691,730

TY 2015 Investments Government Obligations Schedule

Name: LUCILLE ELLIS SIMON FOUNDATION
CO AVERY & GREIG LLP

EIN: 95-6035906

Software ID: 15000324

Software Version: 2015v2.0

**US Government Securities - End of
Year Book Value:**

**US Government Securities - End of
Year Fair Market Value:**

**State & Local Government
Securities - End of Year Book
Value:**

1,442,615

**State & Local Government
Securities - End of Year Fair
Market Value:**

1,442,615

TY 2015 Investments - Other Schedule

Name: LUCILLE ELLIS SIMON FOUNDATION
CO AVERY & GREIG LLP

EIN: 95-6035906

Software ID: 15000324

Software Version: 2015v2.0

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
SEE ATTACHED SCHEDULE	FMV	116,679	116,679
SEE ATTACHED SCHEDULE	FMV	275,430	275,430

TY 2015 Legal Fees Schedule

Name: LUCILLE ELLIS SIMON FOUNDATION
CO AVERY & GREIG LLP

EIN: 95-6035906

Software ID: 15000324

Software Version: 2015v2.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	340	0	0	340

TY 2015 Other Decreases Schedule

Name: LUCILLE ELLIS SIMON FOUNDATION
CO AVERY & GREIG LLP

EIN: 95-6035906

Software ID: 15000324

Software Version: 2015v2.0

Description	Amount
TIMING DIFF. FROM GAIN ON SECS: FASB 124	23,391

TY 2015 Other Expenses Schedule

Name: LUCILLE ELLIS SIMON FOUNDATION
CO AVERY & GREIG LLP

EIN: 95-6035906

Software ID: 15000324

Software Version: 2015v2.0

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INSURANCE	7,316			7,316
LICENSES & PERMITS	50			50
MISCELLANEOUS	15			15

TY 2015 Other Professional Fees Schedule

Name: LUCILLE ELLIS SIMON FOUNDATION
CO AVERY & GREIG LLP

EIN: 95-6035906

Software ID: 15000324

Software Version: 2015v2.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MGMT FEE	71,476	71,476	0	0

TY 2015 Taxes Schedule

Name: LUCILLE ELLIS SIMON FOUNDATION
CO AVERY & GREIG LLP

EIN: 95-6035906

Software ID: 15000324

Software Version: 2015v2.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL TAXES	3,000			
OTHER TAXES	2,115	2,105		10

LUCILLE ELLIS SIMON FOUNDATION
SCHEDULES OF CHARITABLE CONTRIBUTIONS
For The Year Ended December 31, 2015

	Amount	% of Total
<u>JEWISH ORGANIZATIONS</u>		
American Jewish Committee	\$ 25,000	5 69%
American Jewish Committee - The Lawrence & Lee Ramer Fund	2,500	0 57%
Americans for Peace Now	2,500	0 57%
Anti-Defamation League	1,000	0 23%
Chabad of Summerlin	4,000	0 91%
Jewish Federation Council of Greater Los Angeles	25,000	5 69%
Seeds of Peace Inc	2,500	0 57%
Wilshire Boulevard Temple	2,500	0 57%
Total Jewish Organizations	65,000	14 80%
<u>THE ARTS</u>		
KCETLink	10,000	2 28%
Museum Associates - LACMA, After School Program	50,000	11 39%
Music Center Foundation	10,000	2 28%
National Public Radio Inc	5,000	1 14%
University of Southern California - KUSC	2,500	0 57%
Total Arts	77,500	17 66%
<u>MEDICAL CAUSES</u>		
Amanecer Community Counseling Service	10,000	2 28%
Blind Children's Center	1,000	0 23%
Braille Institute of America	15,000	3 42%
Brazil Foundation - Institute for the Blind, Bahia	18,000	4 10%
Breast Cancer Connections	1,000	0 23%
Cedars-Sinai Medical Center	2,500	0 57%
Cedars-Sinai Medical Center - Women's Heart Center	20,000	4 56%
Didi Hirsch Psychiatric Service	7,500	1 71%
Guide Dogs for the Blind Inc	1,000	0 23%
Institute for Myeloma & Bone Cancer Research	2,500	0 57%
JDRF International	1,500	0 34%
Junior Blind of America	5,000	1 14%
Stop Cancer	1,000	0 23%
United States Fund for UNICEF	15,000	3 41%
Venice Family Clinic	5,000	1 14%
Total Medical Causes	106,000	24 16%
<u>COMMUNITY</u>		
Big Brothers Big Sisters of Orange County	2,500	0 57%
Brazil Foundation - Sou Digna	30,000	6 83%
Total Community	32,500	7 40%

LUCILLE ELLIS SIMON FOUNDATION
SCHEDULES OF CHARITABLE CONTRIBUTIONS
For The Year Ended December 31, 2015

	Amount	% of Total
<u>RESEARCH</u>		
Rand Corporation	\$ 33,000	7 51%
Total Research	33,000	7 51%
<u>EDUCATION</u>		
Brazil Foundation - Escola Pan Americana da Bahia	18,000	4 10%
Brown University	4,000	0 91%
Carlthorp School	11,000	2 50%
Close Up Foundation	3,000	0 68%
Constitutional Rights Foundation	2,000	0 46%
Harvard-Westlake School	11,000	2 50%
Jewish Federation - Koreh L A (Jewish Coalition for Literacy)	5,000	1 14%
Kenyon College	6,000	1 36%
Library Foundation of Los Angeles	2,000	0 46%
Planned Parenthood Los Angeles	5,000	1 14%
Southern Poverty Law Center	5,000	1 14%
UCLA - Center for Jewish Studies	5,000	1 14%
Total Education	77,000	17 53%
<u>THE NEEDY</u>		
Clare Foundation Inc	2,500	0 57%
Downtown Women's Center	5,000	1 14%
Para los Ninos	5,000	1 14%
Shelter Partnership Inc	10,000	2 28%
Upward Bound House	2,000	0 46%
Total The Needy	24,500	5 59%
<u>WILDLIFE & ENVIRONMENT</u>		
Ahead with Horses Inc	1,500	0 34%
Defenders of Wildlife	4,500	1 03%
Environmental Defense Fund	3,500	0 80%
Greater Los Angeles Zoo Association	3,000	0 68%
JHU-Center for Alternatives to Animal Testing	1,500	0 34%
Lange Foundation	2,500	0 57%
Nature Conservancy of California	3,000	0 68%
United Animal Nations - RedRover	1,500	0 34%
Zoological Society of San Diego	2,500	0 57%
Total Wildlife & Environment	23,500	5 35%
Total Cash Grants	\$ 439,000	100 00%

LUCILLE ELLIS SIMON FOUNDATION
SCHEDULE OF INVESTMENT IN SECURITIES
December 31, 2015

	Par Value or Shares	Cost	Market Value
Government Agency Obligations			
Federal Home Loan Mtg Corp			
5 000% due December 14, 2018	250,000	\$ 253,735	\$ 275,430
Total Government Agency Obligations		253,735	275,430
State and Municipal Bonds			
Anaheim Calif City Sch Dist Bonds			
3 128% due November 1, 2016	250,000	255,323	253,540
Florida St Hurricane Cat Fund Bonds			
2 995% due July 1, 2020	100,000	100,635	101,412
Kern Cnty California Pension Oblig			
0 000% due August 15, 2018	165,000	119,283	153,539
Northern Arizona Univ Revs Bonds			
4 811% due August 1, 2017	200,000	200,000	208,916
San Buenaventura CA Wstwr, Ser A			
1 460% due January 1, 2016	200,000	200,000	200,000
Southern California Public Power Auth			
4 938% due July 1, 2018	200,000	216,299	213,400
Troy Univ ALA Rev Bonds			
4 550% due November 1, 2016	200,000	200,000	205,698
Univ Enterprises Inc CA Ref Aux Bonds			
5 250% due October 1, 2020	100,000	98,000	106,110
Total Government Bonds		1,389,540	1,442,615
Corporate Bonds & Notes			
General Electric Cap Corp Notes			
2 300% due April 27, 2017	200,000	200,110	202,454
Total Corporate Bonds & Notes		200,110	202,454
Funds (UIT/Fd/ETF)			
SPDR Gold Trust Gold Shs	1,150	114,942	116,679
Total Funds		114,942	116,679
Foreign Equities			
Novartis AG Spnsrd ADR	4,200	242,165	361,368
Novo Nordisk ADR	2,000	68,567	116,160
Schlumberger LTD	2,360	78,234	164,610
Total Foreign Equities		388,966	642,138

LUCILLE ELLIS SIMON FOUNDATION
SCHEDULE OF INVESTMENT IN SECURITIES
December 31, 2015

	Par Value or Shares	Cost	Market Value
Common Stocks			
Apple Inc	2,625	\$ 135,230	\$ 276,307
Bank New York Mellon Corp	6,226	243,395	256,636
Boeing Co	3,025	94,811	437,385
Chevron Corp	2,600	104,321	233,896
Colgate Palmolive Co	6,000	185,888	399,720
Costco Companies	3,000	103,928	484,500
Ebay Inc	3,390	168,050	93,157
Ecolab Inc	3,500	61,099	400,330
Exxon Mobil Corp	2,500	90,687	194,875
General Elec Co	7,775	265,395	242,191
Intel Corp	11,900	279,838	409,955
International Business Machs	2,000	199,058	275,240
Jacobs Engr Group Inc	6,400	131,339	268,480
Johnson Controls Inc	8,500	70,750	335,665
Johnson & Johnson	3,700	108,895	380,064
Lowe's Cos Inc	5,250	138,306	399,210
Paypal Holdings Inc	3,390	102,056	122,718
Pepsico Inc	4,000	158,738	399,680
Precision Castparts Corp	800	190,396	185,608
Sovran Self Storage Inc	4,350	122,367	466,799
Stryker Corp	4,200	238,096	390,348
Wells Fargo & Co New	7,300	208,695	396,828
Total Common Stock		<u>3,401,338</u>	<u>7,049,592</u>
Total Investments		<u>\$ 5,748,631</u>	<u>\$ 9,728,908</u>