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| Part II Balance Sheets | | Beginning of year | End of year | |
|-----------------------------|--|-------------------|----------------|----------------|
| | | | (a) Book Value | (b) Book Value |
| Assets | 1 Cash - non-interest-bearing | | | |
| | 2 Savings and temporary cash investments | 4,734,530. | 3,877,841. | 3,877,841. |
| | 3 Accounts receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 4 Pledges receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons | | | |
| | 7 Other notes and loans receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | 2,578. | 46,663. | 46,663. |
| | 10a Investments - U.S. and state government obligations | | | |
| | b Investments - corporate stock STMT 10 | 31,782,938. | 31,256,627. | 31,256,627. |
| | c Investments - corporate bonds STMT 11 | 5,830,137. | 8,667,960. | 8,667,960. |
| Liabilities | 11 Investments - land, buildings, and equipment basis ▶ | | | |
| | Less: accumulated depreciation ▶ | | | |
| | 12 Investments - mortgage loans | | | |
| | 13 Investments - other STMT 12 | 5,508,863. | 5,701,654. | 5,701,654. |
| | 14 Land, buildings, and equipment: basis ▶ | | | |
| | Less: accumulated depreciation ▶ | | | |
| | 15 Other assets (describe ▶ STATEMENT 13) | 1,513,172. | 29,352,032. | 29,352,032. |
| | 16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I) | 49,372,218. | 78,902,777. | 78,902,777. |
| | 17 Accounts payable and accrued expenses | | | |
| | 18 Grants payable | | | |
| Net Assets or Fund Balances | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable | | | |
| | 22 Other liabilities (describe ▶ STATEMENT 14) | 210,050. | 97,680. | |
| | 23 Total liabilities (add lines 17 through 22) | 210,050. | 97,680. | |
| | Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31. | | | |
| | 24 Unrestricted | 49,162,168. | 49,476,491. | |
| | 25 Temporarily restricted | | 29,328,606. | |
| | 26 Permanently restricted | | | |
| | Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31. | | | |
| | 27 Capital stock, trust principal, or current funds | | | |
| | 28 Paid-in or capital surplus, or land, bldg., and equipment fund | | | |
| | 29 Retained earnings, accumulated income, endowment, or other funds | | | |
| | 30 Total net assets or fund balances | 49,162,168. | 78,805,097. | |
| | 31 Total liabilities and net assets/fund balances | 49,372,218. | 78,902,777. | |

Part III Analysis of Changes in Net Assets or Fund Balances

| | | |
|--|---|-------------|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 49,162,168. |
| 2 Enter amount from Part I, line 27a | 2 | 1,155,522. |
| 3 Other increases not included in line 2 (itemize) ▶ PRIOR PERIOD ADJUSTMENTS | 3 | 32,252,161. |
| 4 Add lines 1, 2, and 3 | 4 | 82,569,851. |
| 5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 9 | 5 | 3,764,754. |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 78,805,097. |

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|--|--------------------------------------|----------------------------------|
| 1a NORTHERN TRUST PUBLICLY TRADED SECURITIES | | | |
| b K-1 GAIN/LOSS | P | | |
| c CAPITAL GAINS DIVIDENDS | | | |
| d | | | |
| e | | | |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 4,654,921. | | 5,242,047. | <587,126.> |
| b | | 144,548. | <144,548.> |
| c 643,908. | | | 643,908. |
| d | | | |
| e | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) |
|---------------------------|--------------------------------------|---|---|
| a | | | <587,126.> |
| b | | | <144,548.> |
| c | | | 643,908. |
| d | | | |
| e | | | |

| | | |
|---|---|-----------|
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 } | 2 | <87,766.> |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 | 3 | N/A |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|--|--|--|---|
| 2014 | 1,984,281. | 47,692,493. | .041606 |
| 2013 | 1,838,644. | 41,749,436. | .044040 |
| 2012 | 1,677,162. | 36,612,080. | .045809 |
| 2011 | 1,337,974. | 34,933,870. | .038300 |
| 2010 | 1,367,404. | 30,569,345. | .044731 |

| | | |
|--|---|-------------|
| 2 Total of line 1, column (d) | 2 | .214486 |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | .042897 |
| 4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5 | 4 | 49,645,295. |
| 5 Multiply line 4 by line 3 | 5 | 2,129,634. |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 5,333. |
| 7 Add lines 5 and 6 | 7 | 2,134,967. |
| 8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions. | 8 | 2,360,034. |

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

| | | | |
|--|----|---------|--------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions) | | | |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b | | 1 | 5,333. |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b) | | | |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | | 2 | 0. |
| 3 Add lines 1 and 2 | | 3 | 5,333. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | 4 | 0. |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- | | 5 | 5,333. |
| 6 Credits/Payments: | | | |
| a 2015 estimated tax payments and 2014 overpayment credited to 2015 | 6a | 30,208. | |
| b Exempt foreign organizations - tax withheld at source | 6b | | |
| c Tax paid with application for extension of time to file (Form 8868) | 6c | | |
| d Backup withholding erroneously withheld | 6d | | |
| 7 Total credits and payments. Add lines 6a through 6d | 7 | 30,208. | |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached | 8 | | |
| 9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed | 9 | | |
| 10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid | 10 | 24,875. | |
| 11 Enter the amount of line 10 to be: Credited to 2016 estimated tax <input checked="" type="checkbox"/> 24,875. Refunded <input type="checkbox"/> | 11 | 0. | |

Part VII-A Statements Regarding Activities

| | Yes | No |
|---|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | | X |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. | | X |
| c Did the foundation file Form 1120-POL for this year? | | X |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0. | | |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0. | | |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities. | | X |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes | | X |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? | X | |
| b If "Yes," has it filed a tax return on Form 990-T for this year? | X | |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T. | | X |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | X | |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV | X | |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>CA</u> | | |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation | X | |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV | | X |
| 10 Did any persons become substantial contributors during the tax year? If "Yes" attach a schedule listing their names and addresses | | X |

Part VII-A Statements Regarding Activities (continued)

| | Yes | No |
|--|-----|-----|
| 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | X |
| 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | X |
| 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X |
| Website address ► N/A | | |
| 14 The books are in care of ► ANDERSEN TAX LLC Telephone no. ► 213-593-2300 | | |
| Located at ► 400 SOUTH HOPE STREET, SUITE 1000, LOS ANGELES, C ZIP+4 ► 90071 | | |
| 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year | 15 | N/A |
| 16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | X |
| See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ► | | |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

| | Yes | No |
|---|---|-----|
| 1a During the year did the foundation (either directly or indirectly): | | |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here | 1b | X |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? | 1c | X |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | |
| a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| If "Yes," list the years ► | | |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) | 2b | N/A |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here | | |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.) | 3b | N/A |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | X |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015? | 4b | X |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|--|---|---|---|---------------------------------------|
| KATHLEEN L. MCCARTHY 10100 SANTA MONICA BLVD., STE 610 LOS ANGELES, CA 90067 | TRUSTEE 1.00 | 0. | 0. | 0. |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|---|-----------------------------|------------------|
| IRELL & MANELLA, LLP - 1800 AVENUE OF THE STARS, SUITE 900, LOS ANGELES, CA 90067 | LEGAL SERVICES | 78,008. |
| ANDERSEN TAX LLC - 400 SOUTH HOPE STREET, SUITE 1000, LOS ANGELES, CA 90071 | ACCOUNTING AND TAX SERVICES | 64,679. |
| | | |
| | | |
| | | |
| | | |

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

| | Expenses |
|---------------|----------|
| 1 NONE | |
| | 0. |
| 2 | |
| | |
| 3 | |
| | |
| 4 | |
| | |

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

| | Amount |
|--|--------|
| 1 NONE | |
| | 0. |
| 2 | |
| | |
| All other program-related investments. See instructions. | |
| 3 NONE | |
| | 0. |
| | 0. |

Total. Add lines 1 through 3

Form 990-PF (2015)

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|----------|---|-----------|-------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities | 1a | 40,077,135. |
| b | Average of monthly cash balances | 1b | 3,975,963. |
| c | Fair market value of all other assets | 1c | 6,348,217. |
| d | Total (add lines 1a, b, and c) | 1d | 50,401,315. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e | 0. |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0. |
| 3 | Subtract line 2 from line 1d | 3 | 50,401,315. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 | 756,020. |
| 5 | Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 49,645,295. |
| 6 | Minimum investment return. Enter 5% of line 5 | 6 | 2,482,265. |

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

| | | | |
|-----------|---|-----------|------------|
| 1 | Minimum investment return from Part X, line 6 | 1 | 2,482,265. |
| 2a | Tax on investment income for 2015 from Part VI, line 5 | 2a | 5,333. |
| b | Income tax for 2015. (This does not include the tax from Part VI.) | 2b | 56,183. |
| c | Add lines 2a and 2b | 2c | 61,516. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | 3 | 2,420,749. |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | 2,000. |
| 5 | Add lines 3 and 4 | 5 | 2,422,749. |
| 6 | Deduction from distributable amount (see instructions) | 6 | 0. |
| 7 | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7 | 2,422,749. |

Part XII**Qualifying Distributions** (see instructions)

| | | | |
|----------|---|-----------|------------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 2,360,034. |
| b | Program-related investments - total from Part IX-B | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 2,360,034. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5 | 5,333. |
| 6 | Adjusted qualifying distributions Subtract line 5 from line 4 | 6 | 2,354,701. |

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2014 | (c) 2014 | (d) 2015 |
|---|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2015 from Part XI, line 7 | | | | 2,422,749. |
| 2 Undistributed income, if any, as of the end of 2015 | | | | |
| a Enter amount for 2014 only | | | 2,144,241. | |
| b Total for prior years: | | 0. | | |
| 3 Excess distributions carryover, if any, to 2015: | | | | |
| a From 2010 | | | | |
| b From 2011 | | | | |
| c From 2012 | | | | |
| d From 2013 | | | | |
| e From 2014 | | | | |
| f Total of lines 3a through e | 0. | | | |
| 4 Qualifying distributions for 2015 from Part XI, line 4: ► \$ 2,360,034. | | | | |
| a Applied to 2014, but not more than line 2a | | | 2,144,241. | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | 0. | | |
| c Treated as distributions out of corpus (Election required - see instructions) | 0. | | | |
| d Applied to 2015 distributable amount | | | | 215,793. |
| e Remaining amount distributed out of corpus | 0. | | | |
| 5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).) | 0. | | | 0. |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus: Add lines 3f, 4c, and 4e. Subtract line 5 | 0. | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0. | | |
| d Subtract line 6c from line 6b. Taxable amount - see instructions | | 0. | | |
| e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr. | | | 0. | |
| f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016 | | | | 2,206,956. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) | 0. | | | |
| 8 Excess distributions carryover from 2010 not applied on line 5 or line 7 | 0. | | | |
| 9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a | 0. | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2011 | | | | |
| b Excess from 2012 | | | | |
| c Excess from 2013 | | | | |
| d Excess from 2014 | | | | |
| e Excess from 2015 | | | | |

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling

- b** Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year

Prior 3 years

(a) 2015

(b) 2014

(c) 2013

(d) 2012

(e) Total

- b 85% of line 2a**

- c** Qualifying distributions from Part XII,
line 4 for each year listed

- d** Amounts included in line 2c not used directly for active conduct of exempt activities

- e Qualifying distributions made directly for active conduct of exempt activities.

- 3 Subtract line 2d from line 2c.
Complete 3a, b, or c for the
alternative test relied upon.

- a "Assets" alternative test - enter:

- (1) Value of all assets

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)**

- b** "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c "Support" alternative test - enter:**

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization**

- (4) Gross investment income

Part XV **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)

KATHLEEN L. MCCARTHY

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

N/A

- b** The form in which applications should be submitted and information and materials they should include:

N/A

- c. Any submission deadlines:

N/A

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

N/A

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|--|--------------------------------------|-------------------------------------|------------|
| a Paid during the year | | | | |
| SEE ATTACHED STATEMENT A | NONE | 501(C)(3) | GENERAL | 2,268,869. |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| Total | | | 3a | 2,268,869. |
| b Approved for future payment | | | | |
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| Total | | | 3b | 0. |

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

| Enter gross amounts unless otherwise indicated. | | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income |
|--|-------------------------|---------------------------|-------------------------------|--------------------------------------|--|---|
| | (a) Business code | (b) Amount | (c) Exclu- sion code | (d) Amount | | |
| 1 Program service revenue: | | | | | | |
| a _____ | | | | | | |
| b _____ | | | | | | |
| c _____ | | | | | | |
| d _____ | | | | | | |
| e _____ | | | | | | |
| f _____ | | | | | | |
| g Fees and contracts from government agencies | | | | | | |
| 2 Membership dues and assessments | | | | | | |
| 3 Interest on savings and temporary cash investments | | | | | | |
| 4 Dividends and interest from securities | 523000 | 22,572. | 14 | 17,170. | | |
| 5 Net rental income or (loss) from real estate. | | | | | | |
| a Debt-financed property | | | | | | |
| b Not debt-financed property | | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | | |
| 7 Other investment income | 523000 | <18,197.> | 14 | 18,197. | | |
| 8 Gain or (loss) from sales of assets other than inventory | 523000 | 284,915. | 18 | 601,551. | | |
| 9 Net income or (loss) from special events | | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | | |
| 11 Other revenue: | | | | | | |
| a _____ | | | | | | |
| b _____ | | | | | | |
| c _____ | | | | | | |
| d _____ | | | | | | |
| e _____ | | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | | 289,290. | | 636,918. | | 0. |
| 13 Total. Add line 12, columns (b), (d), and (e) | | | | | | 926,208. |

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|--------------|----------|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|--------------------------|--------------------------|---------------------------------|
| N/A | | |
| | | |
| | | |
| | | |
| | | |

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

and belief, it is true, correct, and complete representation of prepared (other)

► Kathleen A. McCarthy
Signature of officer or trustee

11-8-16
Date

▶ TRUSTEE
Title

May the IRS discuss this return with the preparer shown below (see instr)?

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date _____

Check ☐ if
self-employed

PTIN

SUSAN K. AMSTER

Max H. Auster

11-3-16

P00378875

Firm's name ▶ **ANDERSEN TAX LLC**

Firm's EIN ► 33-1197384

Firm's address ► 400 SOUTH HOPE STREET, SUITE 1000
LOS ANGELES, CA 90071

Phone no. 213-593-2300

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2015

Name of the organization

KLM FOUNDATION

Employer identification number

95-4682685

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐

501(c)() (enter number) organization

☐4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐

527 political organization

Form 990-PF

☒

501(c)(3) exempt private foundation

☐

4947(a)(1) nonexempt charitable trust treated as a private foundation

☐

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**☒

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules☐

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.☐For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2015)

Name of organization

Employer identification number

KLM FOUNDATION

95-4682685

Part I. Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
|------------|--|----------------------------|--|
| 1 | KATHLEEN L. MCCARTHY CHARITABLE LEAD ANNUITY TRUST 10100 SANTA MONICA BLVD., STE 610 LOS ANGELES, CA 90067 | \$ 2,469,166. | Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions) |
| 2 | LEAVEY CHARITABLE LEAD ANNUITY TRUST 10100 SANTA MONICA BLVD., STE 610 LOS ANGELES, CA 90067 | \$ 373,259. | Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions) |
| | | \$ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions) |
| | | \$ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions) |
| | | \$ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions) |
| | | \$ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions) |
| | | \$ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions) |

| | |
|-----------------------|--------------------------------|
| Name of organization | Employer identification number |
| KLM FOUNDATION | 95-4682685 |

Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|------------------------------|--|--|----------------------|
| | | \$ | |
| | | \$ | |
| | | \$ | |
| | | \$ | |
| | | \$ | |
| | | \$ | |
| | | \$ | |
| | | \$ | |
| | | \$ | |
| | | \$ | |

Name of organization

Employer identification number

KLM FOUNDATION**95-4682685**

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$

Use duplicate copies of Part III if additional space is needed

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---------------------------|---|-----------------|--|
| | | | |
| | | | |
| | | | |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP + 4 | | Relationship of transferor to transferee |
| | | | |
| | | | |
| | | | |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP + 4 | | Relationship of transferor to transferee |
| | | | |
| | | | |
| | | | |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP + 4 | | Relationship of transferor to transferee |
| | | | |
| | | | |
| | | | |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP + 4 | | Relationship of transferor to transferee |
| | | | |
| | | | |
| | | | |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP + 4 | | Relationship of transferor to transferee |
| | | | |
| | | | |
| | | | |

| | | | |
|-------------|------------------------------------|-----------|---|
| FORM 990-PF | GAIN OR (LOSS) FROM SALE OF ASSETS | STATEMENT | 1 |
|-------------|------------------------------------|-----------|---|

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | | DATE ACQUIRED | DATE SOLD |
|---|-------------------------------|---------------------------|------------------|---------------------|
| NORTHERN TRUST PUBLICLY TRADED SECURITIES | | | | |
| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
| 4,654,921. | 4,578,867. | 0. | 0. | 76,054. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | | DATE ACQUIRED | DATE SOLD |
|--------------------------------|-------------------------------|---------------------------|------------------|---------------------|
| K-1 GAIN/LOSS | | PURCHASED | | |
| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
| 0. | <166,504.> | 0. | 0. | 166,504. |

| | |
|---------------------------------------|----------|
| CAPITAL GAINS DIVIDENDS FROM PART IV | 643,908. |
| TOTAL TO FORM 990-PF, PART I, LINE 6A | 886,466. |

| | | | |
|-------------|--|-----------|---|
| FORM 990-PF | DIVIDENDS AND INTEREST FROM SECURITIES | STATEMENT | 2 |
|-------------|--|-----------|---|

| SOURCE | GROSS AMOUNT | CAPITAL GAINS DIVIDENDS | (A) REVENUE PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME |
|-------------------|-----------------|-------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| NORTHERN TRUST | 683,650. | 643,908. | 39,742. | 715,062. | |
| TO PART I, LINE 4 | 683,650. | 643,908. | 39,742. | 715,062. | |

| FORM 990-PF | OTHER INCOME | STATEMENT | 3 |
|---------------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| DESCRIPTION | (A) REVENUE PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME |
| OTHER INVESTMENT INCOME | 0. | 7,328. | |
| TOTAL TO FORM 990-PF, PART I, LINE 11 | 0. | 7,328. | |

| FORM 990-PF | LEGAL FEES | | | STATEMENT | 4 |
|----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|---|
| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES | |
| LEGAL FEES | 78,008. | 39,004. | | 39,004. | |
| TO FM 990-PF, PG 1, LN 16A | 78,008. | 39,004. | | 39,004. | |

| FORM 990-PF | ACCOUNTING FEES | | | STATEMENT | 5 |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|---|
| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES | |
| ACCOUNTING FEES | 78,591. | 36,358. | | 36,358. | |
| TO FORM 990-PF, PG 1, LN 16B | 78,591. | 36,358. | | 36,358. | |

| FORM 990-PF | OTHER PROFESSIONAL FEES | | STATEMENT | 6 |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
| CUSTODIAN FEES | 24,650. | 12,831. | | 0. |
| INVESTMENT MANAGER FEES | 26,446. | 64,637. | | 0. |
| K-1 DEDUCTIONS | 0. | 72,864. | | 0. |
| INVESTMENT ADVISOR FEES | 27,300. | 27,300. | | 0. |
| TO FORM 990-PF, PG 1, LN 16C | 78,396. | 177,632. | | 0. |

| FORM 990-PF | TAXES | | | STATEMENT | 7 |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|---|
| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES | |
| FEDERAL EXCISE TAXES | 137,000. | 0. | | 0. | |
| TAXES AND LICENSES | 160. | 0. | | 160. | |
| FOREIGN TAXES | 0. | 24,319. | | 0. | |
| DEFERRED TAX PROVISION | <83,000.> | 0. | | 0. | |
| TO FORM 990-PF, PG 1, LN 18 | 54,160. | 24,319. | | 160. | |

| FORM 990-PF | OTHER EXPENSES | | | STATEMENT | 8 |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|---|
| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES | |
| MISCELLANEOUS | 25,801. | 0. | | 0. | |
| BANK CHARGES | 41. | 21. | | 20. | |
| TO FORM 990-PF, PG 1, LN 23 | 25,842. | 21. | | 20. | |

| FORM 990-PF | OTHER DECREASES IN NET ASSETS OR FUND BALANCES | | | STATEMENT | 9 |
|--|--|--|--|-----------|---|
| DESCRIPTION | AMOUNT | | | | |
| UNREALIZED LOSSES | 841,199. | | | | |
| NET ASSETS RELEASED FROM RESTRICTION | 2,842,425. | | | | |
| CHANGE IN FMV OF BENEFICIAL INTEREST | 81,130. | | | | |
| TOTAL TO FORM 990-PF, PART III, LINE 5 | 3,764,754. | | | | |

| FORM 990-PF | CORPORATE STOCK | STATEMENT 10 |
|---|-----------------|-------------------|
| DESCRIPTION | BOOK VALUE | FAIR MARKET VALUE |
| NORTHERN TRUST #2601329 - SEE STMT | 21,568,141. | 21,568,141. |
| NORTHERN TRUST #2647711 - SEE STMT | 9,688,486. | 9,688,486. |
| NORTHERN TRUST #2681426 - SEE STMT | 0. | 0. |
| TOTAL TO FORM 990-PF, PART II, LINE 10B | 31,256,627. | 31,256,627. |

| FORM 990-PF | CORPORATE BONDS | STATEMENT 11 |
|---|-----------------|-------------------|
| DESCRIPTION | BOOK VALUE | FAIR MARKET VALUE |
| NORTHERN TRUST #2601329 - SEE STMT | 8,667,960. | 8,667,960. |
| TOTAL TO FORM 990-PF, PART II, LINE 10C | 8,667,960. | 8,667,960. |

| FORM 990-PF | | | OTHER INVESTMENTS | | STATEMENT | 12 |
|---|--|--|-------------------|------------|-------------------|----|
| DESCRIPTION | | | VALUATION METHOD | BOOK VALUE | FAIR MARKET VALUE | |
| PARTNERSHIP INVESTMENT - OCM HIGH YIELD PLUS | | | FMV | 39,919. | 39,919. | |
| PARTNERSHIP INVESTMENT - ARCHIPELAGO | | | FMV | 5,339,033. | 5,339,033. | |
| PARTNERSHIP INVESTMENT - OCM/GFI POWER | | | FMV | 4,666. | 4,666. | |
| PARTNERSHIP INVESTMENT - OCM PRIN OPP FUND IV | | | FMV | 257,340. | 257,340. | |
| PARTNERSHIP INVESTMENT - OCM OPP FUND VII | | | FMV | 60,696. | 60,696. | |
| TOTAL TO FORM 990-PF, PART II, LINE 13 | | | | 5,701,654. | 5,701,654. | |

| FORM 990-PF | OTHER ASSETS | | STATEMENT 13 |
|--|-------------------------------|---------------------------|----------------------|
| DESCRIPTION | BEGINNING OF YR BOOK VALUE | END OF YEAR BOOK VALUE | FAIR MARKET VALUE |
| DEPOSITS | 3,385. | 3,385. | 3,385. |
| INTEREST & DIVIDEND RECEIVABLE | 11,250. | 20,041. | 20,041. |
| RECEIVABLE DUE ON REDEMPTION OF INVESTMENT | 1,498,537. | 0. | 0. |
| BENEFICIAL INTEREST IN SPLIT-INTEREST AGREEMENT | 0. | 29,328,606. | 29,328,606. |
| TO FORM 990-PF, PART II, LINE 15 | 1,513,172. | 29,352,032. | 29,352,032. |

| FORM 990-PF | OTHER LIABILITIES | | STATEMENT 14 |
|--|-------------------|------------|--------------|
| DESCRIPTION | BOY AMOUNT | EOY AMOUNT | |
| DEFERRED TAX LIABILITY | 149,300. | 66,300. | |
| DUE TO BROKER | 40,750. | 9,380. | |
| EXCISE TAX PAYABLE | 20,000. | 0. | |
| UNRELATED BUSINESS INCOME TAX PAYABLE | 0. | 22,000. | |
| TOTAL TO FORM 990-PF, PART II, LINE 22 | 210,050. | 97,680. | |

**STATEMENT ATTACHED TO AND MADE PART OF FORM 990-PF
U.S. RETURN OF PRIVATE FOUNDATION
FOR YEAR ENDED DECEMBER 31, 2015**

Part VII-B, Question 1a(3)

The KLM Foundation, a trustee of the KLM Foundation, and another private foundation share office expenses and equipment based upon usage.

KLM Foundation
EIN: 95-4682685
A Statement Attached to and Made Part of Form 990-PF
For the Year Ended December 31, 2015

Grants and Contributions paid during the year
Statement A: Part XV, 3a

| Check # | Organization Name | Amount |
|----------|---------------------|-------------|
| CK #4362 | CARMELITE SISTERS | \$250.00 |
| CK #4365 | CHMC FOUNDATION | \$1,000.00 |
| CK #4368 | DOLORES MISSION CHU | \$1,000.00 |
| CK #4369 | ST JOSEPH CENTER | \$3,000.00 |
| CK #4370 | CHILDREN'S BURN FOU | \$1,000.00 |
| CK #2817 | RESURRECTION SCHOOL | \$500.00 |
| CK #4371 | SOCIAL SERVICE AUXI | \$5,000.00 |
| CK #4373 | CASA OF LOS ANGELES | \$3,000.00 |
| CK #4376 | LA COUNTY POLICE | \$1,000.00 |
| CK #4377 | FORDHAM PREP SCHOOL | \$1,000.00 |
| CK #2818 | THE URSLINE SCHOOL | \$100.00 |
| CK #4378 | NATURAL HISTORY MUS | \$10,000.00 |
| CK #4379 | FFVF-LA | \$1,000.00 |
| CK #4380 | SKULL AND DAGGER FO | \$1,000.00 |
| CK #4381 | TOGETHER IN MISSION | \$2,500.00 |
| CK #4384 | DOHENY EYE INSTITUT | \$1,000.00 |
| CK #4385 | FFVF-LACC | \$1,000.00 |
| CK #4387 | CATHOLIC BIG BROTHE | \$1,000.00 |
| CK #4389 | CASA OF LOS ANGELES | \$1,000.00 |
| CK #4390 | NATURAL HISTORY MUS | \$5,000.00 |
| CK #4392 | MARYKNOLL FATHERS | \$1,000.00 |
| CK #4393 | CATHOLIC RELIEF SVC | \$5,000.00 |
| CK #4395 | INNER CITY LAW CENT | \$5,000.00 |
| CK #4397 | AFRICAN WILDLIFE FO | \$5,000.00 |
| CK #4398 | MALTESER INTERNATIO | \$5,000.00 |
| CK #4399 | MACULAR DEGENERATIO | \$2,500.00 |
| CK #4400 | THE PAULIST FATHERS | \$5,000.00 |
| CK #4401 | SANTA TERESITA | \$1,000.00 |
| CK #4402 | CATHEDRAL OF OUR LA | \$5,000.00 |
| CK #4405 | SPECIAL OLYMPICS WO | \$1,000.00 |
| CK #4406 | DOMINICAN SCHOOL OF | \$1,000.00 |
| CK #4407 | CATHOLIC EDUCATION | \$1,000.00 |
| CK #4408 | LA MASTER CHORALE.. | \$5,000.00 |
| CK #4409 | INTERNATIONAL LIFE | \$1,000.00 |
| CK #4410 | LAY MISSION-HELPERS | \$1,000.00 |
| CK #4416 | THE ARCHDIOCESE OF | \$2,500.00 |
| CK #4417 | LA PHILHARMONIC | \$5,000.00 |
| CK #4426 | SAINT ANDREW CATHOL | \$9,968.65 |
| CK #4427 | THE USC NORRIS COMP | \$250.00 |
| CK #4428 | DOLORES MISSION CHU | \$200.00 |
| CK #4429 | YOSEMITE CONSERVANC | \$1,000.00 |
| CK #4430 | THE NATURAL HISTORY | \$250.00 |
| CK #4433 | MUSIC CENTER | \$3,500.00 |
| CK #4437 | AMERICAN RED CROSS | \$5,000.00 |
| CK #4438 | ASSISTANCE LEAGUE O | \$5,000.00 |
| CK #4439 | ASPCA | \$2,000.00 |

| | | |
|----------|---------------------|--------------|
| CK #4440 | LITTLE SISTERS OF T | \$2,000.00 |
| CK #4441 | BURN RESCUE | \$1,000.00 |
| CK #4442 | CATHOLIC BISHOP OF | \$5,000.00 |
| CK #4443 | CATHOLIC EDUCATION | \$100,000.00 |
| CK #4444 | CASA OF LOS ANGELES | \$6,000.00 |
| CK #4445 | CARE | \$1,000.00 |
| CK #4446 | CATHOLIC CHARITIES | \$1,000.00 |
| CK #4447 | COVENANT HOUSE | \$1,000.00 |
| CK #4448 | CALIFORNIA PROVINCE | \$5,000.00 |
| CK #4449 | CATHOLIC EXTENSION | \$10,000.00 |
| CK #4450 | CHRYSLIS | \$1,000.00 |
| CK #4451 | DAUGHTERS OF MARY A | \$1,000.00 |
| CK #4452 | DEL MAR FOUNDATION | \$1,000.00 |
| CK #4453 | DOLORES MISSION SCH | \$9,000.00 |
| CK #4454 | DOMINICAN SISTERS O | \$1,000.00 |
| CK #4455 | DOWNTOWN WOMEN'S CE | \$1,000.00 |
| CK #4456 | FINCA | \$1,000.00 |
| CK #4457 | FIRSTSTEP | \$1,000.00 |
| CK #4458 | FREEDOMS FOUNDATION | \$10,000.00 |
| CK #4459 | FORDHAM PREPARATORY | \$4,000.00 |
| CK #4460 | USC NORRIS COMPREHE | \$5,000.00 |
| CK #4461 | GIRL SCOUTS OF GREA | \$1,000.00 |
| CK #4462 | GOOD SHEPHERD CENTE | \$2,500.00 |
| CK #4463 | GOODWILL SOUTHERN C | \$1,000.00 |
| CK #4464 | GEORGETOWN UNIVERSI | \$25,000.00 |
| CK #4465 | GOOD SHEPHERD SHEL | \$2,500.00 |
| CK #4466 | CARDINAL TIMOTHY MA | \$5,000.00 |
| CK #4467 | HOSPITALER FOUNDAT | \$5,000.00 |
| CK #4468 | HOMEBOY INDUSTRIES | \$5,000.00 |
| CK #4469 | BARLOW RESPIRATORY | \$1,000.00 |
| CK #4470 | GUIDE DOGS FOR THE | \$1,000.00 |
| CK #4471 | INTERNATIONAL LIFE | \$1,500.00 |
| CK #4472 | CHILDREN'S HOSPITAL | \$100,000.00 |
| CK #4473 | JOHN WAYNE CANCER I | \$5,000.00 |
| CK #4474 | KAPPA ALPHA THETA F | \$50,000.00 |
| CK #4475 | LAS MADRINAS | \$50,000.00 |
| CK #4476 | LIVE & LET LIVE, FA | \$1,000.00 |
| CK #4477 | MADD | \$1,000.00 |
| CK #4478 | MAKE-A-WISH | \$1,000.00 |
| CK #4479 | MARCH OF DIMES | \$1,000.00 |
| CK #4480 | THE MARIST BROTHERS | \$1,000.00 |
| CK #4481 | MARY & JOSEPH RETRE | \$1,000.00 |
| CK #4482 | LAS MADRINAS | \$2,000.00 |
| CK #4483 | INSTITUTE FOR ADVA | \$5,000.00 |
| CK #4484 | BLESSED SACRAMENT S | \$1,000.00 |
| CK #4485 | CATHEDRAL OF OUR LA | \$5,000.00 |
| CK #4486 | MILES JESU (INDIA) | \$1,000.00 |
| CK #4487 | HOLY FAMILY HOSPITA | \$1,000.00 |
| CK #4488 | MEDICAL MISSION SIS | \$1,000.00 |
| CK #4489 | NATIONAL WILDFIRE F | \$1,000.00 |
| CK #4491 | OPICA | \$1,000.00 |
| CK #4492 | OPTIONS UNITED | \$5,000.00 |
| CK #4493 | ORDER OF MALTA | \$75,000.00 |
| CK #4494 | PEACEFUL VALLEY DON | \$1,000.00 |

| | | |
|----------|---------------------|-------------|
| CK #4495 | PREGNANCY HELP CENT | \$1,000.00 |
| CK #4496 | PRISON FELLOWSHIP | \$2,500.00 |
| CK #4497 | PROJECT HOPE | \$1,000.00 |
| CK #4498 | LOS ANGELES REGIONA | \$1,000.00 |
| CK #4499 | ASCENDING LIGHT LEA | \$1,000.00 |
| CK #4500 | PATH | \$1,000.00 |
| CK #4501 | HEROBOX | \$1,000.00 |
| CK #4502 | BRIGHTFOCUS FOUNDAT | \$1,000.00 |
| CK #4503 | THE MANNEQUINS, ASS | \$1,000.00 |
| CK #4504 | NATIONAL PARK FOUND | \$5,000.00 |
| CK #4505 | RED CLOUD INDIAN SC | \$1,000.00 |
| CK #4512 | THE FRIENDS OF THE | \$1,000.00 |
| CK #4513 | DOCTORS WITHOUT BOA | \$10,000.00 |
| CK #4514 | VETERANS PARK CONSE | \$1,000.00 |
| CK #4515 | EQUESTRIAN ORDER OF | \$15,000.00 |
| CK #4516 | THE COLLEAGUES | \$100.00 |
| CK #4517 | THOMAS AQUINAS COLL | \$1,000.00 |
| CK #4518 | SAINT JOHN'S HEALTH | \$20,000.00 |
| CK #4519 | HOLY FAMILY SERVICE | \$1,000.00 |
| CK #4520 | DOLORES MISSION CHU | \$1,000.00 |
| CK #4521 | THE USO | \$1,000.00 |
| CK #4522 | FRIENDS OF THE SMIT | \$1,000.00 |
| CK #4523 | LOS ANGELES HOUSE O | \$1,000.00 |
| CK #4524 | MARYVALE | \$1,000.00 |
| CK #4525 | MSAA | \$1,000.00 |
| CK #4526 | A PLACE CALLED HOME | \$1,000.00 |
| CK #4527 | FOOD FOR THE POOR I | \$1,000.00 |
| CK #4528 | GLAZA | \$5,000.00 |
| CK #4529 | IGNATIAN VOLUNTEER | \$1,000.00 |
| CK #4530 | SAINT ANDREW'S ABBE | \$1,000.00 |
| CK #4531 | ST. JOHN'S SEMINARY | \$2,500.00 |
| CK #4532 | THE REAGAN FOUNDATI | \$1,000.00 |
| CK #4533 | UNION RESCUE MISSIO | \$2,500.00 |
| CK #4534 | UNITED WAY OF GREAT | \$1,000.00 |
| CK #4535 | RESURRECTION SCHOOL | \$1,000.00 |
| CK #4536 | THE URSULINE SCHOOL | \$1,000.00 |
| CK #4537 | MARYMOUNT CALIFORNI | \$5,000.00 |
| CK #4538 | THE RIGHT TO LIFE L | \$1,000.00 |
| CK #4539 | USC MEN'S CREW | \$1,000.00 |
| CK #4540 | HILLSDALE COLLEGE | \$5,000.00 |
| CK #4541 | SAVE THE REDWOODS L | \$1,000.00 |
| CK #4542 | HABITAT FOR HUMANIT | \$1,000.00 |
| CK #4543 | SISTERS OF ST. JOSE | \$1,000.00 |
| CK #4544 | THE SALVATION ARMY | \$2,500.00 |
| CK #4545 | ST. JAMES INN | \$5,000.00 |
| CK #4546 | USC HILLEL | \$1,000.00 |
| CK #4272 | USC ATHLETICS | \$1,000.00 |
| CK #4548 | VERBUM DEI HIGH SCH | \$10,000.00 |
| CK #4549 | UNITED FRIENDS OF T | \$1,000.00 |
| CK #4550 | SPCALA | \$1,000.00 |
| CK #4551 | NATIONAL TRUST FOR | \$1,000.00 |
| CK #4552 | ARCHDIOCESE OF LOS | \$1,000.00 |
| CK #4553 | CHMC FOUNDATION | \$5,000.00 |
| CK #4554 | CALIFORNIA PATRONS | \$10,000.00 |

| | | |
|----------|---------------------|--------------|
| CK #4555 | CALIFORNIA SCIENCE | \$25,000.00 |
| CK #4556 | CALIFORNIA STATE PA | \$5,000.00 |
| CK #4557 | CATHOLIC EDUCATION | \$1,000.00 |
| CK #4558 | CATHOLIC MEDICAL MI | \$5,000.00 |
| CK #4559 | CHILDHELP | \$1,000.00 |
| CK #4560 | CHILDREN'S BUREAU | \$1,000.00 |
| CK #4562 | COMBONI MISSIONARIE | \$1,000.00 |
| CK #4563 | DREAMCHASER HORSE R | \$1,000.00 |
| CK #4564 | GENTLE GIANTS | \$1,000.00 |
| CK #4565 | GEORGE W BUSH PRESI | \$1,000.00 |
| CK #4566 | GIRL SCOUTS OF THE | \$1,000.00 |
| CK #4567 | HABITAT FOR HORSES | \$1,000.00 |
| CK #4568 | HOOVER INSTITUTION | \$5,000.00 |
| CK #4569 | THE HUNGER PROJECT | \$1,000.00 |
| CK #4570 | JESUIT VOLUNTEER CO | \$1,000.00 |
| CK #4571 | JESUIT SCHOOL OF TH | \$1,000.00 |
| CK #4572 | JUNIOR LEAGUE OF LO | \$1,000.00 |
| CK #4573 | KEEPERS OF THE WILD | \$1,000.00 |
| CK #4574 | WORLD WILDFIRE FUND | \$2,500.00 |
| CK #4576 | LEARNING ALLY | \$1,000.00 |
| CK #4577 | LINCOLN TRAINING CE | \$1,000.00 |
| CK #4578 | LOYOLA HIGH SCHOOL | \$50,000.00 |
| CK #4579 | LOYOLA MARYMOUNT UN | \$100,000.00 |
| CK #4580 | MARLBOROUGH SCHOOL | \$5,000.00 |
| CK #4581 | MARYCREST MANOR | \$2,500.00 |
| CK #4582 | MARYMOUNT HIGH SCHO | \$500,000.00 |
| CK #4583 | MEND | \$2,500.00 |
| CK #4584 | MISSION DOCTORS AUX | \$1,000.00 |
| CK #4585 | THE NATURE CONSERVA | \$1,000.00 |
| CK #4586 | OPERATION SMILE | \$5,000.00 |
| CK #4587 | KNIGHTS OF ST. GEOR | \$20,000.00 |
| CK #4588 | PARA LOS NINOS | \$2,500.00 |
| CK #4589 | PAULIST PRODUCTIONS | \$10,000.00 |
| CK #4590 | RETIREMENT FUND FOR | \$5,000.00 |
| CK #4591 | THE COLLEAGUES | \$50,000.00 |
| CK #4592 | ST. PAUL THE APOSTL | \$10,000.00 |
| CK #4593 | CAMPAIGN FOR ST. PA | \$100,000.00 |
| CK #4594 | ST. PAUL THE APOSTL | \$25,000.00 |
| CK #4595 | SAINT PATRICK'S COL | \$5,000.00 |
| CK #4596 | ST. THOMAS THE APOS | \$1,000.00 |
| CK #4597 | SANTA CLARA UNIVERS | \$50,000.00 |
| CK #4598 | SCENIC AMERICA | \$1,000.00 |
| CK #4599 | SISTERS OF SOCIAL S | \$50,000.00 |
| CK #4600 | SMILE TRAIN | \$2,500.00 |
| CK #4602 | OUR SAVIOR CHURCH | \$50,000.00 |
| CK #4603 | USC ROSSIER SCHOOL | \$5,000.00 |
| CK #4604 | USC TROY CAMP | \$1,000.00 |
| CK #4605 | UNITED STATES OLYMP | \$1,000.00 |
| CK #4606 | THE VETERANS OF FOR | \$1,000.00 |
| CK #4607 | WESTERN DOMINICAN P | \$1,000.00 |
| CK #4608 | WOMEN'S LEAGUE OF S | \$1,000.00 |
| CK #4609 | WORLD VISION | \$1,000.00 |
| CK #4610 | WOUNDED WARRIOR PRO | \$1,000.00 |
| CK #4611 | BASILICA OF THE NAT | \$1,000.00 |

| | | |
|----------|---------------------|--------------|
| CK #4612 | THE SUNSHINE FUND - | \$1,000.00 |
| CK #4613 | ST. VINCENT MEALS O | \$1,000.00 |
| CK #4614 | THE SEEING EYE | \$1,000.00 |
| CK #4615 | SOAR | \$1,000.00 |
| CK #4616 | GEORGE WASHINGTON'S | \$1,000.00 |
| CK #4617 | HELEN KELLER INTERN | \$1,000.00 |
| CK #4624 | SMITHSONIAN | \$2,250.00 |
| CK #4618 | USC LIBRARIES | \$50,000.00 |
| CK #4619 | CARMELITE MONASTERY | \$50,000.00 |
| CK #4620 | USC CARUSO CATHOLIC | \$200,000.00 |
| CK #4621 | CHILDRENS HOSPITAL | \$50,000.00 |

\$2,268,868.65

2015 Tax Information Statement

THE NORTHERN TRUST COMPANY
P O BOX 803878
CHICAGO, IL 60680

Account Number 26-01329
Recipient's Tax ID Number: XX-XXX2685
☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Recipient's Name and Address:
KLM FOUNDATION
ANDERSON TAX LLC
SUSAN AMSTER
400 S HOPE ST SUITE 2000
LOS ANGELES, CA 90071

Page 7 of 14
Ref DME

2015 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

| Cusip Number | Date Sold or Disposed | Date Acquired | Proceeds | Cost or Other Basis | Code if any | Adjustments | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|------------------------------|--|------------------|---------------------|------------------------|----------------|-------------|---------------------|-----------------------------------|--------------------------|
| Long Term Sales | | | | | | | | | |
| 92506 94 | MFO VANGUARD SHORT-TERM FED FD ADMIRAL SH #549 | | | | | | | | |
| 922031844 | 04/15/2015 | 12/01/2011 | 1,000,000.00 | 1,010,175.79 | | 0.00 | -10,175.79 | 0.00 | 0.00 |
| Total Long Term Sales | | | 1,000,000.00 | 1,010,175.79 | | 0.00 | -10,175.79 | 0.00 | 0.00 |

This is important tax information and is being furnished to you

2015 Tax Information Statement

THE NORTHERN TRUST COMPANY
P O. BOX 803878
CHICAGO, IL 60680

Account Number. 26-47711
Recipient's Tax ID Number. XX-XXX2685
☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Recipient's Name and Address:
KLM FOUNDATION
ANDERSON TAX LLC
SUSAN AMSTER
400 S HOPE ST SUITE 2000
LOS ANGELES, CA 90071

Page 7 of 30
Ref DME

2015 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated

Description of property

| Cusip Number | Date Sold or Disposed | Date Acquired | Proceeds | Cost or Other Basis | Code if any | Adjustments | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|-------------------------|---|---------------|-----------|---------------------|-------------|-------------|------------------|-----------------------------|--------------------|
| Short Term Sales | | | | | | | | | |
| 1000 0 | MEDTRONIC INC | | | | | | | | |
| 585055106 | 01/27/2015 | 11/20/2014 | 76,950 00 | 71,995 00 | | 0 00 | 4,955 00 | 0 00 | 0 00 |
| 1200 0 | ADR HSBC HLDGS PLC SPONSORED ADR NEW | | | | | | | | |
| 404280406 | 02/09/2015 | Various | 55,636 77 | 64,402 97 | | 0 00 | -8,766 20 | 0 00 | 0 00 |
| 200 0 | MERCK & CO INC | | | | | | | | |
| 58933Y105 | 02/10/2015 | 11/05/2014 | 11,775 37 | 11,915 00 | | 0 00 | -139 63 | 0 00 | 0 00 |
| 200 0 | MERCK & CO INC | | | | | | | | |
| 58933Y105 | 02/11/2015 | 11/05/2014 | 11,750 82 | 11,915 00 | | 0 00 | -164 18 | 0 00 | 0 00 |
| 84 74 | LIBERTY INTERACTIVE CORP LIBERTY VENTURES COM SER A | | | | | | | | |
| 53071M880 | 02/24/2015 | 09/29/2014 | 3,430 34 | 2,502 91 | | 0 00 | 927 43 | 0 00 | 0 00 |
| 200 0 | CORNING INC | | | | | | | | |
| 219350105 | 04/01/2015 | 11/24/2014 | 4,476 95 | 4,180 00 | | 0 00 | 296 95 | 0 00 | 0 00 |
| 200 0 | CORNING INC | | | | | | | | |
| 219350105 | 04/02/2015 | 11/24/2014 | 4,465 01 | 4,180 00 | | 0 00 | 285 01 | 0 00 | 0 00 |
| 200 0 | CORNING INC | | | | | | | | |
| 219350105 | 04/06/2015 | 11/24/2014 | 4,474 91 | 4,180 00 | | 0 00 | 294 91 | 0 00 | 0 00 |
| 200 0 | CORNING INC | | | | | | | | |
| 219350105 | 04/07/2015 | 11/24/2014 | 4,425 69 | 4,180 00 | | 0 00 | 245 69 | 0 00 | 0 00 |

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2015 Tax Information Statement

THE NORTHERN TRUST COMPANY
P O BOX 803878
CHICAGO, IL 60680

Account Number: 26-47711
Recipient's Tax ID Number: XX-XXX2685
☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Recipient's Name and Address:
KLM FOUNDATION
ANDERSON TAX LLC
SUSAN AMSTER
400 S HOPE ST SUITE 2000
LOS ANGELES, CA 90071

Page 8 of 30
Ref: DME

Sales are listed at Gross Proceeds less commissions and option premiums
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Description of property

| Cusip Number | Date Sold or Disposed | Date Acquired | Proceeds | Cost or Other Basis | Code if any | Adjustments | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|--------------|-----------------------|---------------|-----------|---------------------|-------------|-------------|------------------|-----------------------------|--------------------|
| 300 0 | | | | | | | | | |
| 219350105 | 04/08/2015 | 09/29/2014 | 6,634 61 | 5,853 12 | | 0 00 | 781 49 | 0 00 | 0 00 |
| 200 0 | | | | | | | | | |
| 143130102 | 04/14/2015 | 09/29/2014 | 14,506 53 | 9,415 28 | | 0 00 | 5,091 25 | 0 00 | 0 00 |
| 200 0 | | | | | | | | | |
| 143130102 | 04/16/2015 | 09/29/2014 | 14,434 27 | 9,415 28 | | 0 00 | 5,018 99 | 0 00 | 0 00 |
| 800 0 | | | | | | | | | |
| 654902204 | 04/17/2015 | 09/29/2014 | 6,102 44 | 6,828 00 | | 0 00 | -725 56 | 0 00 | 0 00 |
| 300 0 | | | | | | | | | |
| 143130102 | 04/20/2015 | 09/29/2014 | 21,297 92 | 14,122 92 | | 0 00 | 7,175 00 | 0 00 | 0 00 |
| 800 0 | | | | | | | | | |
| 654902204 | 04/20/2015 | 09/29/2014 | 6,071 88 | 6,828 00 | | 0 00 | -756 12 | 0 00 | 0 00 |
| 800 0 | | | | | | | | | |
| 654902204 | 04/20/2015 | 09/29/2014 | 6,089.40 | 6,828 00 | | 0 00 | -738 60 | 0 00 | 0 00 |
| 1600 0 | | | | | | | | | |
| 654902204 | 04/21/2015 | 09/29/2014 | 12,527 76 | 13,656 00 | | 0 00 | -1,128 24 | 0 00 | 0 00 |
| 1000 0 | | | | | | | | | |
| 654902204 | 04/22/2015 | 09/29/2014 | 8,026 45 | 8,535 00 | | 0 00 | -508 55 | 0 00 | 0 00 |

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2015 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-47711
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☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Recipient's Name and Address:
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SUSAN AMSTER
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Page 9 of 30
Ref. DME

Sales are listed at Gross Proceeds less commissions and option premiums
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Description of property

| Cusip Number | Date Sold or Disposed | Date Acquired | Proceeds | Cost or Other Basis | Code if any | Adjustments | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|--------------|---|---------------|----------|---------------------|-------------|-------------|------------------|-----------------------------|--------------------|
| 0 96 | | | | | | | | | |
| | #REORG/GOOGLE NAME CHANGE ALPHABET 261LAC1 10-05-2015 | | | | | | | | |
| 38259P706 | 04/27/2015 | 09/29/2014 | 533 50 | 550 52 | | 0 00 | -17 02 | 0 00 | 0 00 |
| 200 0 | GLAXO PLC | | | | | | | | |
| 37733W105 | 06/03/2015 | 12/03/2014 | 8,796 51 | 9,325 00 | | 0 00 | -528 49 | 0 00 | 0 00 |
| 150 0 | GLAXO PLC | | | | | | | | |
| 37733W105 | 06/04/2015 | 12/03/2014 | 6,529 45 | 6,993 75 | | 0 00 | -464 30 | 0 00 | 0 00 |
| 200 0 | TIME INC NEW COM | | | | | | | | |
| 887228104 | 06/05/2015 | 10/09/2014 | 4,708 91 | 4,313 48 | | 0 00 | 395 43 | 0 00 | 0 00 |
| 200 0 | TIME INC NEW COM | | | | | | | | |
| 887228104 | 06/08/2015 | 10/09/2014 | 4,699 45 | 4,313 48 | | 0 00 | 385 97 | 0 00 | 0 00 |
| 200 0 | BANK NEW YORK MELLON CORP COM STK | | | | | | | | |
| 064058100 | 06/10/2015 | 09/04/2014 | 8,761 93 | 7,904 70 | | 0 00 | 857 23 | 0 00 | 0 00 |
| 200 0 | BANK NEW YORK MELLON CORP COM STK | | | | | | | | |
| 064058100 | 06/10/2015 | 09/04/2014 | 8,752 97 | 7,904 70 | | 0 00 | 848 27 | 0 00 | 0 00 |
| 200 0 | TIME INC NEW COM | | | | | | | | |
| 887228104 | 06/10/2015 | 10/09/2014 | 4,686 65 | 4,313 48 | | 0 00 | 373 17 | 0 00 | 0 00 |
| 200 0 | TIME INC NEW COM | | | | | | | | |
| 887228104 | 06/11/2015 | 10/09/2014 | 4,696 25 | 4,313 48 | | 0 00 | 382 77 | 0 00 | 0 00 |

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2015 Tax Information Statement

THE NORTHERN TRUST COMPANY
P O BOX 803878
CHICAGO, IL 60680

Account Number: 26-47711
Recipient's Tax ID Number: XX-XXX2685

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Recipient's Name and Address
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Page 10 of 30
Ref. DME

Sales are listed at Gross Proceeds less commissions and option premiums
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Description of property

| Cusip Number | Date Sold or Disposed | Date Acquired | Proceeds | Cost or Other Basis | Code if any | Adjustments | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|--------------|--------------------------------|---------------|-----------|---------------------|-------------|-------------|------------------|-----------------------------|--------------------|
| 200 0 | KONINKLIJKE PHILIPS ELECTRS NV | | | | | | | | |
| 500472303 | 06/15/2015 | 09/29/2014 | 5,375 82 | 6,308 24 | | 0 00 | -932 42 | 0 00 | 0 00 |
| 200 0 | KONINKLIJKE PHILIPS ELECTRS NV | | | | | | | | |
| 500472303 | 06/16/2015 | 09/29/2014 | 5,306 18 | 6,308 24 | | 0 00 | -1,002 06 | 0 00 | 0 00 |
| 400 0 | KONINKLIJKE PHILIPS ELECTRS NV | | | | | | | | |
| 500472303 | 06/16/2015 | 09/29/2014 | 10,625 80 | 12,616 48 | | 0 00 | -1,990 68 | 0 00 | 0 00 |
| 200 0 | KONINKLIJKE PHILIPS ELECTRS NV | | | | | | | | |
| 500472303 | 06/17/2015 | 09/29/2014 | 5,284 18 | 6,308 24 | | 0 00 | -1,024 06 | 0 00 | 0 00 |
| 400 0 | KONINKLIJKE PHILIPS ELECTRS NV | | | | | | | | |
| 500472303 | 06/22/2015 | Various | 10,765 16 | 12,368 86 | | 0 00 | -1,603 70 | 0 00 | 0 00 |
| 400 0 | KONINKLIJKE PHILIPS ELECTRS NV | | | | | | | | |
| 500472303 | 06/24/2015 | 11/19/2014 | 10,708 52 | 11,626 00 | | 0 00 | -917 48 | 0 00 | 0 00 |
| 700 0 | ADOBE SYS INC | | | | | | | | |
| 00724F101 | 07/06/2015 | Various | 56,549 85 | 46,764 50 | | 0 00 | 9,785 35 | 0 00 | 0 00 |
| 500 0 | EBAY INC | | | | | | | | |
| 278642103 | 07/06/2015 | 09/04/2014 | 30,762 43 | 27,192 50 | | 0 00 | 3,569 93 | 0 00 | 0 00 |
| 500 0 | PAYPAL HLDGS INC COM | | | | | | | | |
| 70450Y103 | 07/22/2015 | 09/04/2014 | 19,542 34 | 16,513 84 | | 0 00 | 3,028 50 | 0 00 | 0 00 |

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2015 Tax Information Statement

THE NORTHERN TRUST COMPANY
P O BOX 803878
CHICAGO, IL 60680

Account Number. 26-47711
Recipient's Tax ID Number: XX-XXX2685
☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Recipient's Name and Address
KLM FOUNDATION
ANDERSON TAX LLC
SUSAN AMSTER
400 S HOPE ST SUITE 2000
LOS ANGELES, CA 90071

Page 11 of 30
Ref: DME

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Description of property

| Cusip Number | Date Sold or Disposed | Date Acquired | Proceeds | Cost or Other Basis | Code if any | Adjustments | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|-----------------|--------------------------|------------------|-----------|------------------------|----------------|-------------|---------------------|-----------------------------------|--------------------------|
| 200 0 | PAYPAL HLDGS INC COM | | | | | | | | |
| 70450Y103 | 07/23/2015 | 09/04/2014 | 7,725 81 | 6,605 54 | | 0 00 | 1,120 27 | 0 00 | 0 00 |
| 200 0 | APACHE CORP | | | | | | | | |
| 037411105 | 07/28/2015 | 10/01/2014 | 9,330 02 | 18,443 00 | | 0 00 | -9,112 98 | 0 00 | 0 00 |
| 200 0 | CHEVRONTEXACO CORP COM | | | | | | | | |
| 166764100 | 07/28/2015 | 09/12/2014 | 18,493 65 | 24,559 00 | | 0 00 | -6,065 35 | 0 00 | 0 00 |
| 200 0 | PAYPAL HLDGS INC COM | | | | | | | | |
| 70450Y103 | 07/28/2015 | 09/04/2014 | 7,547 12 | 6,605 54 | | 0 00 | 941 58 | 0 00 | 0 00 |
| 200 0 | APACHE CORP | | | | | | | | |
| 037411105 | 07/29/2015 | 10/01/2014 | 9,362 66 | 18,443 00 | | 0 00 | -9,080 34 | 0 00 | 0 00 |
| 200 0 | CHEVRONTEXACO CORP COM | | | | | | | | |
| 166764100 | 07/29/2015 | Various | 18,568 22 | 24,298 00 | | 0 00 | -5,729 78 | 0 00 | 0 00 |
| 200 0 | PAYPAL HLDGS INC COM | | | | | | | | |
| 70450Y103 | 07/29/2015 | 11/10/2014 | 7,598 20 | 6,544 81 | | 0 00 | 1,053 39 | 0 00 | 0 00 |
| 200 0 | PAYPAL HLDGS INC COM | | | | | | | | |
| 70450Y103 | 07/31/2015 | Various | 7,747 36 | 6,469 50 | | 0 00 | 1,277 85 | 0 00 | 0 00 |
| 200 0 | PAYPAL HLDGS INC COM | | | | | | | | |
| 70450Y103 | 07/31/2015 | 11/10/2014 | 7,659 39 | 6,544 81 | | 0 00 | 1,114 58 | 0 00 | 0 00 |

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2015 Tax Information Statement

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CHICAGO, IL 60680

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Description of property

| Cusip Number | Date Sold or Disposed | Date Acquired | Proceeds | Cost or Other Basis | Code if any | Adjustments | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|--------------|-----------------------|---------------|------------|---------------------|-------------|-------------|------------------|-----------------------------|--------------------|
| 200 0 | | | | | | | | | |
| 70450Y103 | 08/03/2015 | 09/29/2014 | 7,894 97 | 6,394 20 | | 0 00 | 1,500 77 | 0 00 | 0 00 |
| 4500 0 | | | | | | | | | |
| 90130A101 | 08/14/2015 | Various | 136,102 68 | 154,064 95 | | 0 00 | -17,962 27 | 0 00 | 0 00 |
| 200 0 | | | | | | | | | |
| 867914103 | 09/01/2015 | 09/29/2014 | 7,723 23 | 7,681 00 | | 0 00 | 42 23 | 0 00 | 0 00 |
| 200 0 | | | | | | | | | |
| 87612E106 | 09/01/2015 | 11/06/2014 | 15,089 02 | 12,246 00 | | 0 00 | 2,843 02 | 0 00 | 0 00 |
| 200 0 | | | | | | | | | |
| 166764100 | 09/02/2015 | 09/29/2014 | 15,605 71 | 24,037 00 | | 0 00 | -8,431 29 | 0 00 | 0 00 |
| 200 0 | | | | | | | | | |
| 771195104 | 09/02/2015 | 09/25/2014 | 6,633 87 | 7,443 00 | | 0 00 | -809 13 | 0 00 | 0 00 |
| 200 0 | | | | | | | | | |
| 771195104 | 09/02/2015 | 09/25/2014 | 6,648 05 | 7,443 00 | | 0 00 | -794 95 | 0 00 | 0 00 |
| 200 0 | | | | | | | | | |
| 867914103 | 09/02/2015 | 09/29/2014 | 7,732 13 | 7,681 00 | | 0 00 | 51 13 | 0 00 | 0 00 |
| 200 0 | | | | | | | | | |
| 87612E106 | 09/02/2015 | 11/06/2014 | 15,332 23 | 12,246 00 | | 0 00 | 3,086 23 | 0 00 | 0 00 |

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Description of property

| Cusip Number | Date Sold or Disposed | Date Acquired | Proceeds | Cost or Other Basis | Code if any | Adjustments | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|--------------|--|---------------|-----------|---------------------|-------------|-------------|------------------|-----------------------------|--------------------|
| 200 0 | CHEVRONTEXACO CORP COM | | | | | | | | |
| 166764100 | 09/03/2015 | 12/03/2014 | 15,838 54 | 22,688 66 | | 0 00 | -6,850 12 | 0 00 | 0 00 |
| 200 0 | ADR ROCHE HLDG LTD SPONSORED ADR ISIN #US771195104 | | | | | | | | |
| 771195104 | 09/03/2015 | 09/25/2014 | 6,715 55 | 7,443 00 | | 0 00 | -727 45 | 0 00 | 0 00 |
| 200 0 | SUNTRUST BANKS INC | | | | | | | | |
| 867914103 | 09/03/2015 | 09/29/2014 | 7,893 85 | 7,681 00 | | 0 00 | 212 85 | 0 00 | 0 00 |
| 200 0 | TARGET CORP | | | | | | | | |
| 87612E106 | 09/03/2015 | 11/04/2014 | 15,614 73 | 12,212 24 | | 0 00 | 3,402 49 | 0 00 | 0 00 |
| 200 0 | ADR ROCHE HLDG LTD SPONSORED ADR ISIN #US771195104 | | | | | | | | |
| 771195104 | 09/04/2015 | Various | 6,655 47 | 7,416 76 | | 0 00 | -761 29 | 0 00 | 0 00 |
| 400 0 | CHEVRONTEXACO CORP COM | | | | | | | | |
| 166764100 | 09/08/2015 | Various | 30,731 39 | 44,387 50 | | 0 00 | -13,656 11 | 0 00 | 0 00 |
| 200 0 | SUNTRUST BANKS INC | | | | | | | | |
| 867914103 | 09/08/2015 | 09/29/2014 | 7,927 85 | 7,681 00 | | 0 00 | 246 85 | 0 00 | 0 00 |
| 200 0 | CHEVRONTEXACO CORP COM | | | | | | | | |
| 166764100 | 09/09/2015 | 10/15/2014 | 15,609 29 | 21,604 34 | | 0 00 | -5,995 05 | 0 00 | 0 00 |
| 200 0 | SUNTRUST BANKS INC | | | | | | | | |
| 867914103 | 09/09/2015 | Various | 8,004 32 | 7,663 00 | | 0 00 | 341 32 | 0 00 | 0 00 |

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2015 Tax Information Statement

THE NORTHERN TRUST COMPANY
P O BOX 803878
CHICAGO, IL 60680

Account Number: 26-47711
Recipient's Tax ID Number: XX-XXX2685

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Recipient's Name and Address
KLM FOUNDATION
ANDERSON TAX LLC
SUSAN AMSTER
400 S HOPE ST SUITE 2000
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Ref DME

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Description of property

| Cusip Number | Date Sold or Disposed | Date Acquired | Proceeds | Cost or Other Basis | Code if any | Adjustments | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|--------------|-----------------------|---------------|-----------|---------------------|-------------|-------------|------------------|-----------------------------|--------------------|
| 200 0 | | | | | | | | | |
| 867914103 | 09/09/2015 | 09/29/2014 | 8,054 45 | 7,681 00 | | 0 00 | 373 45 | 0 00 | 0 00 |
| 300 0 | | | | | | | | | |
| 867914103 | 09/10/2015 | 10/29/2014 | 11,883 56 | 11,467 50 | | 0 00 | 416 06 | 0 00 | 0 00 |
| 400 0 | | | | | | | | | |
| 871607107 | 09/14/2015 | 11/19/2014 | 19,137 00 | 16,742 44 | | 0 00 | 2,394 56 | 0 00 | 0 00 |
| 200 0 | | | | | | | | | |
| 64110D104 | 11/03/2015 | 11/05/2014 | 6,905 77 | 8,645 00 | | 0 00 | -1,739 23 | 0 00 | 0 00 |
| 200 0 | | | | | | | | | |
| 64110D104 | 11/05/2015 | 11/05/2014 | 6,841 99 | 8,645 00 | | 0 00 | -1,803 01 | 0 00 | 0 00 |
| 200 0 | | | | | | | | | |
| 717081103 | 11/17/2015 | 12/03/2014 | 6,601 91 | 6,297 00 | | 0 00 | 304 91 | 0 00 | 0 00 |
| 800 0 | | | | | | | | | |
| 717081103 | 11/18/2015 | 12/03/2014 | 26,660 38 | 25,188 00 | | 0 00 | 1,472 38 | 0 00 | 0 00 |
| 200 0 | | | | | | | | | |
| 48203R104 | 12/01/2015 | 12/30/2014 | 6,086 92 | 4,504 86 | | 0 00 | 1,582 06 | 0 00 | 0 00 |
| 200 0 | | | | | | | | | |
| 235851102 | 12/02/2015 | 12/30/2014 | 19,267 58 | 17,196 42 | | 0 00 | 2,071 16 | 0 00 | 0 00 |

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Ref: DME

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| Description of property | | | | | | | | | |
|-------------------------------|---|---------------|---------------------|---------------------|-------------|-------------|-------------------|-----------------------------|--------------------|
| Cusip Number | Date Sold or Disposed | Date Acquired | Proceeds | Cost or Other Basis | Code if any | Adjustments | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
| 200 0 | EXPRESS SCRIPTS HLDG CO COM | | | | | | | | |
| 30219G108 | 12/08/2015 | 06/05/2015 | 17,308 64 | 17,314 22 | | 0 00 | -5 58 | 0 00 | 0 00 |
| 200 0 | EXPRESS SCRIPTS HLDG CO COM | | | | | | | | |
| 30219G108 | 12/09/2015 | 06/03/2015 | 17,064 22 | 17,290 02 | | 0 00 | -225 80 | 0 00 | 0 00 |
| 200 0 | JUNIPER NETWORKS INC | | | | | | | | |
| 48203R104 | 12/14/2015 | 12/17/2014 | 5,744 73 | 4,254 88 | | 0 00 | 1,489 85 | 0 00 | 0 00 |
| Total Short Term Sales | | | 1,059,505 48 | 1,098,625 16 | | 0 00 | -39,119 69 | 0 00 | 0 00 |
| Long Term Sales | | | | | | | | | |
| 2000 0 | EMC CORP MASS | | | | | | | | |
| 268648102 | 01/05/2015 | 09/04/1996 | 58,628 70 | 4,782 86 | | 0 00 | 53,845 84 | 0 00 | 0 00 |
| 1500 0 | UNILEVER N V | | | | | | | | |
| 904784709 | 01/27/2015 | 08/08/2012 | 64,731 51 | 52,122 90 | | 0 00 | 12,608 61 | 0 00 | 0 00 |
| 213 26 | LIBERTY INTERACTIVE CORP LIBERTY VENTURES COM SER A | | | | | | | | |
| 53071M880 | 02/24/2015 | Various | 8,632 91 | 3,809 84 | | 0 00 | 4,823 07 | 0 00 | 0 00 |
| 50 0 | GLAXO PLC | | | | | | | | |
| 37733W105 | 06/04/2015 | 12/07/2011 | 2,176 48 | 2,253 91 | | 0 00 | -77 43 | 0 00 | 0 00 |

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2015 Tax Information Statement

THE NORTHERN TRUST COMPANY
P O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-47711
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☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Recipient's Name and Address:
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Page 16 of 30
Ref: DME

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Description of property

| Cusip Number | Date Sold or Disposed | Date Acquired | Proceeds | Cost or Other Basis | Code if any | Adjustments | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|-----------------|---|------------------|-----------|------------------------|----------------|-------------|---------------------|-----------------------------------|--------------------------|
| 2120 0 | GENERAL ELECTRIC CO | | | | | | | | |
| 369604103 | 06/05/2015 | Various | 57,609 93 | 40,516 32 | | 0 00 | 17,093 61 | 0 00 | 0 00 |
| 200 0 | GLAXO PLC | | | | | | | | |
| 37733W105 | 06/05/2015 | 12/07/2011 | 8,589 84 | 9,015 64 | | 0 00 | -425 80 | 0 00 | 0 00 |
| 530 0 | GRUPO TELEvisa SA DE CV GLOBAL DEP RCPT REP ORD PARTN CTF | | | | | | | | |
| 40049J206 | 06/05/2015 | 01/21/2014 | 19,644 08 | 16,025 50 | | 0 00 | 3,618 58 | 0 00 | 0 00 |
| 1500 0 | PANASONIC CORP ADR | | | | | | | | |
| 69832A205 | 06/05/2015 | 03/14/2013 | 21,132 51 | 10,697 55 | | 0 00 | 10,434 96 | 0 00 | 0 00 |
| 200 0 | GLAXO PLC | | | | | | | | |
| 37733W105 | 06/10/2015 | Various | 8,523 12 | 8,908 96 | | 0 00 | -385 84 | 0 00 | 0 00 |
| 200 0 | GLAXO PLC | | | | | | | | |
| 37733W105 | 06/10/2015 | 11/16/2011 | 8,593 84 | 8,851 52 | | 0 00 | -257 68 | 0 00 | 0 00 |
| 200 0 | GLAXO PLC | | | | | | | | |
| 37733W105 | 06/11/2015 | 11/16/2011 | 8,633 96 | 8,851 52 | | 0 00 | -217 56 | 0 00 | 0 00 |
| 200 0 | GLAXO PLC | | | | | | | | |
| 37733W105 | 06/16/2015 | 11/16/2011 | 8,534 74 | 8,851 52 | | 0 00 | -316 78 | 0 00 | 0 00 |
| 300 0 | GLAXO PLC | | | | | | | | |
| 37733W105 | 06/17/2015 | Various | 12,850 29 | 12,925 34 | | 0 00 | -75 05 | 0 00 | 0 00 |

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CHICAGO, IL 60680

Account Number: 26-47711
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Page 17 of 30
Ref: DME

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Description of property

| Cusip Number | Date Sold or Disposed | Date Acquired | Proceeds | Cost or Other Basis | Code if any | Adjustments | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|--------------|-----------------------------------|---------------|-----------|---------------------|-------------|-------------|------------------|-----------------------------|--------------------|
| 200 0 | APACHE CORP | | | | | | | | |
| 037411105 | 07/30/2015 | 07/30/2013 | 9,336 40 | 16,137 34 | | 0 00 | -6,800 94 | 0 00 | 0 00 |
| 300 0 | APACHE CORP | | | | | | | | |
| 037411105 | 08/05/2015 | 07/30/2013 | 13,918 75 | 24,206 01 | | 0 00 | -10,287 26 | 0 00 | 0 00 |
| 500 0 | PANASONIC CORP ADR | | | | | | | | |
| 69832A205 | 10/01/2015 | 03/14/2013 | 5,117 05 | 3,565 85 | | 0 00 | 1,551 20 | 0 00 | 0 00 |
| 200 0 | MAXIM INTEGRATED PRODS INC | | | | | | | | |
| 57772K101 | 10/20/2015 | 09/29/2014 | 7,909 07 | 6,076 86 | | 0 00 | 1,832 21 | 0 00 | 0 00 |
| 300 0 | MAXIM INTEGRATED PRODS INC | | | | | | | | |
| 57772K101 | 10/21/2015 | 09/29/2014 | 11,842 07 | 9,115 29 | | 0 00 | 2,726 78 | 0 00 | 0 00 |
| 300 0 | GENERAL ELECTRIC CO | | | | | | | | |
| 369604103 | 10/27/2015 | 01/20/2012 | 8,839 12 | 5,653 47 | | 0 00 | 3,185 65 | 0 00 | 0 00 |
| 300 0 | BANK NEW YORK MELLON CORP COM STK | | | | | | | | |
| 064058100 | 10/28/2015 | 09/04/2014 | 12,539 88 | 11,857 05 | | 0 00 | 682 83 | 0 00 | 0 00 |
| 500 0 | EBAY INC | | | | | | | | |
| 278642103 | 10/28/2015 | 09/04/2014 | 14,106 84 | 10,678 66 | | 0 00 | 3,428 18 | 0 00 | 0 00 |
| 800 0 | GENERAL ELECTRIC CO | | | | | | | | |
| 369604103 | 10/28/2015 | 01/20/2012 | 23,535 40 | 15,075 92 | | 0 00 | 8,459 48 | 0 00 | 0 00 |

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Description of property

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|--------------|-----------------------|---------------|-----------|---------------------|-------------|-------------|------------------|-----------------------------|--------------------|
| 3000 0 | | | | | | | | | |
| 69832A205 | 10/28/2015 | 03/14/2013 | 34,297 46 | 21,395 10 | | 0 00 | 12,902 36 | 0 00 | 0 00 |
| 600 0 | | | | | | | | | |
| 369604103 | 10/29/2015 | 01/20/2012 | 17,540 85 | 11,306 94 | | 0 00 | 6,233 91 | 0 00 | 0 00 |
| 500 0 | | | | | | | | | |
| 369604103 | 10/30/2015 | 01/20/2012 | 14,580 63 | 9,422 45 | | 0 00 | 5,158 18 | 0 00 | 0 00 |
| 1500 0 | | | | | | | | | |
| 369604103 | 11/02/2015 | Various | 43,858 58 | 28,110 57 | | 0 00 | 15,748 01 | 0 00 | 0 00 |
| 300 0 | | | | | | | | | |
| 369604103 | 11/03/2015 | 03/06/2012 | 8,880 61 | 5,579 91 | | 0 00 | 3,300 70 | 0 00 | 0 00 |
| 200 0 | | | | | | | | | |
| 70450Y103 | 11/04/2015 | 09/29/2014 | 7,575 42 | 6,394 20 | | 0 00 | 1,181 22 | 0 00 | 0 00 |
| 200 0 | | | | | | | | | |
| 70450Y103 | 11/05/2015 | 09/12/2014 | 7,461 78 | 6,271 52 | | 0 00 | 1,190 26 | 0 00 | 0 00 |
| 300 0 | | | | | | | | | |
| 64110D104 | 11/06/2015 | Various | 10,301 21 | 12,765 50 | | 0 00 | -2,464 29 | 0 00 | 0 00 |
| 200 0 | | | | | | | | | |
| 70450Y103 | 11/10/2015 | 09/12/2014 | 7,375 68 | 6,271 53 | | 0 00 | 1,104 15 | 0 00 | 0 00 |

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|--------------|-----------------------|---------------|-----------|---------------------|-------------|-------------|------------------|-----------------------------|--------------------|
| 200 0 | | | | | | | | | |
| 70450Y103 | 11/11/2015 | 09/12/2014 | 7,424 00 | 6,271 52 | | 0 00 | 1,152 48 | 0 00 | 0 00 |
| 200 0 | | | | | | | | | |
| 70450Y103 | 11/16/2015 | 10/16/2014 | 7,108 30 | 5,789 33 | | 0 00 | 1,318 97 | 0 00 | 0 00 |
| 300 0 | | | | | | | | | |
| 70450Y103 | 11/17/2015 | 10/16/2014 | 10,876 08 | 8,684 00 | | 0 00 | 2,192 08 | 0 00 | 0 00 |
| 100 0 | | | | | | | | | |
| 717081103 | 11/18/2015 | 11/05/2014 | 3,332 55 | 3,000 00 | | 0 00 | 332 55 | 0 00 | 0 00 |
| 1100 0 | | | | | | | | | |
| 717081103 | 11/19/2015 | Various | 35,627 02 | 28,917 55 | | 0 00 | 6,709 47 | 0 00 | 0 00 |
| 800 0 | | | | | | | | | |
| 717081103 | 11/20/2015 | Various | 25,879 68 | 17,030 19 | | 0 00 | 8,849 49 | 0 00 | 0 00 |
| 800 0 | | | | | | | | | |
| 717081103 | 11/24/2015 | 02/02/2012 | 25,628 40 | 16,738 72 | | 0 00 | 8,889 68 | 0 00 | 0 00 |
| 1200 0 | | | | | | | | | |
| 717081103 | 11/25/2015 | 02/02/2012 | 39,471 47 | 25,108 08 | | 0 00 | 14,363 39 | 0 00 | 0 00 |
| 200 0 | | | | | | | | | |
| 48203R104 | 12/02/2015 | 11/26/2014 | 6,075 70 | 4,440 66 | | 0 00 | 1,635 04 | 0 00 | 0 00 |

This is important tax information and is being furnished to you

2015 Tax Information Statement

THE NORTHERN TRUST COMPANY
P O BOX 803878
CHICAGO, IL 60680

Account Number: 26-47711
Recipient's Tax ID Number. XX-XXX2685
☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Recipient's Name and Address
KLM FOUNDATION
ANDERSON TAX LLC
SUSAN AMSTER
400 S HOPE ST SUITE 2000
LOS ANGELES, CA 90071

Page 20 of 30
Ref. DME

Sales are listed at Gross Proceeds less commissions and option premiums
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

| Cusip Number | Date Sold or Disposed | Date Acquired | Proceeds | Cost or Other Basis | Code if any | Adjustments | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|--------------|-----------------------|---------------|-----------|---------------------|-------------|-------------|------------------|-----------------------------|--------------------|
| 200 0 | | | | | | | | | |
| 48203R104 | 12/07/2015 | 11/25/2014 | 5,955 99 | 4,424 48 | | 0 00 | 1,531 51 | 0 00 | 0 00 |
| 200 0 | | | | | | | | | |
| 48203R104 | 12/07/2015 | 11/25/2014 | 5,958 95 | 4,429 42 | | 0 00 | 1,529 53 | 0 00 | 0 00 |
| 200 0 | | | | | | | | | |
| 278642103 | 12/08/2015 | 09/04/2014 | 5,762 83 | 4,271 46 | | 0 00 | 1,491 37 | 0 00 | 0 00 |
| 400 0 | | | | | | | | | |
| 48203R104 | 12/09/2015 | Various | 11,908 86 | 8,653 38 | | 0 00 | 3,255 48 | 0 00 | 0 00 |
| 200 0 | | | | | | | | | |
| 278642103 | 12/10/2015 | 09/04/2014 | 5,735 07 | 4,271 46 | | 0 00 | 1,463 61 | 0 00 | 0 00 |
| 200 0 | | | | | | | | | |
| 278642103 | 12/11/2015 | 11/10/2014 | 5,644 55 | 4,232 19 | | 0 00 | 1,412 36 | 0 00 | 0 00 |
| 200 0 | | | | | | | | | |
| 278642103 | 12/11/2015 | 11/10/2014 | 5,611 41 | 4,232 19 | | 0 00 | 1,379 22 | 0 00 | 0 00 |
| 200 0 | | | | | | | | | |
| 278642103 | 12/14/2015 | Various | 5,642 44 | 4,183 50 | | 0 00 | 1,458 96 | 0 00 | 0 00 |
| 200 0 | | | | | | | | | |
| 48203R104 | 12/14/2015 | 11/10/2014 | 5,725 99 | 4,294 62 | | 0 00 | 1,431 37 | 0 00 | 0 00 |

This is important tax information and is being furnished to you

2015 Tax Information Statement

THE NORTHERN TRUST COMPANY
P O BOX 803878
CHICAGO, IL 60680

Account Number: 26-47711
Recipient's Tax ID Number: XX-XXX2685

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Recipient's Name and Address
KLM FOUNDATION
ANDERSON TAX LLC
SUSAN AMSTER
400 S HOPE ST SUITE 2000
LOS ANGELES, CA 90071

Page 21 of 30
Ref DME

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated

| Description of property | | | | | | | | | |
|------------------------------|-----------------------|---------------|-------------------|---------------------|-------------|-------------|-------------------|-----------------------------|--------------------|
| Cusip Number | Date Sold or Disposed | Date Acquired | Proceeds | Cost or Other Basis | Code if any | Adjustments | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
| 200 0 | EBAY INC | | | | | | | | |
| 278642103 | 12/15/2015 | 09/29/2014 | 5,683 99 | 4,134 80 | | 0 00 | 1,549 19 | 0 00 | 0 00 |
| 400 0 | EBAY INC | | | | | | | | |
| 278642103 | 12/17/2015 | Various | 11,377 62 | 8,190 28 | | 0 00 | 3,187 36 | 0 00 | 0 00 |
| 200 0 | EBAY INC | | | | | | | | |
| 278642103 | 12/18/2015 | 09/12/2014 | 5,517 95 | 4,055 48 | | 0 00 | 1,462 47 | 0 00 | 0 00 |
| 200 0 | MICROSOFT CORP COM | | | | | | | | |
| 594918104 | 12/18/2015 | 11/05/2014 | 10,984 27 | 9,572 58 | | 0 00 | 1,411 69 | 0 00 | 0 00 |
| 200 0 | EBAY INC | | | | | | | | |
| 278642103 | 12/21/2015 | 09/12/2014 | 5,482 53 | 4,055 47 | | 0 00 | 1,427 06 | 0 00 | 0 00 |
| 200 0 | MICROSOFT CORP COM | | | | | | | | |
| 594918104 | 12/21/2015 | 11/05/2014 | 10,999 59 | 9,572 58 | | 0 00 | 1,427 01 | 0 00 | 0 00 |
| 200 0 | EBAY INC | | | | | | | | |
| 278642103 | 12/22/2015 | 10/16/2014 | 5,529 33 | 3,743 67 | | 0 00 | 1,785 66 | 0 00 | 0 00 |
| 300 0 | EBAY INC | | | | | | | | |
| 278642103 | 12/23/2015 | 10/16/2014 | 8,325 74 | 5,615 50 | | 0 00 | 2,710 24 | 0 00 | 0 00 |
| Total Long Term Sales | | | 830,569 02 | 605,410 66 | | 0 00 | 225,158 40 | 0 00 | 0 00 |

This is important tax information and is being furnished to you.

2015 Tax Information Statement

THE NORTHERN TRUST COMPANY
P O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-81426
Recipient's Tax ID Number: XX-XXX2685

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Recipient's Name and Address:
KLM FOUNDATION
ANDERSON TAX LLC
SUSAN AMSTER
400 S HOPE ST SUITE 2000
LOS ANGELES, CA 90071

Page 5 of 6
Ref. DME

2015 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated

Description of property

| Cusip Number | Date Sold or Disposed | Date Acquired | Proceeds | Cost or Other Basis | Code if any | Adjustments | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|-------------------------------|--|------------------|---------------------|------------------------|----------------|-------------|---------------------|-----------------------------------|--------------------------|
| Short Term Sales | | | | | | | | | |
| 13553 26 | MFO CAP INTL EMERGING MKTS GROWTH FD INC15 | | | | | | | | |
| 290886100 | 04/20/2015 | Various | 99,616 46 | 91,077 95 | | 0 00 | 8,538 51 | | |
| Total Short Term Sales | | | 99,616 46 | 91,077 95 | | 0 00 | 8,538 51 | 0 00 | 0 00 |
| Long Term Sales | | | | | | | | | |
| 208598 96 | MFO CAP INTL EMERGING MKTS GROWTH FD INC15 | | | | | | | | |
| 290886100 | 04/20/2015 | Various | 1,533,202 38 | 2,296,201 21 | | 0 00 | -762,998 83 | | |
| 17963 09 | MFO CAP INTL EMERGING MKTS GROWTH FD INC15 | | | | | | | | |
| 290886100 | 04/20/2015 | Various | 132,028 71 | 140,555 54 | | 0 00 | -8,526 83 | | |
| Total Long Term Sales | | | 1,665,231 09 | 2,436,756 75 | | 0 00 | -771,525 66 | 0 00 | 0 00 |

This is important tax information and is being furnished to you

Accounting by Account

31 DEC 15

Account number Multiple Accounts

2680046,2680045,2601329,2625543,2647711,2625149,2629908,2624215,2675514,2639526,2672848,2635632,2681426

◆ Asset Summary by Account

Page 1 of 9

2601329 - KLM FOUNDATION

| Country | Accrued income/expense | Market value | Cost | Market | Unrealized gain/loss Transaction | Total | Market value incl accruals | % |
|--|---------------------------|----------------------|----------------------|---------------------|-------------------------------------|---------------------|-------------------------------|----------------|
| Equities | | | | | | | | |
| Common stock | | | | | | | | |
| Brazil - USD | 0 00 | 5 654 50 | 77 176 82 | -71 522 32 | 0 00 | -71 522 32 | 5 654 50 | 0 011% |
| Canada - USD | 0 00 | 123,737 17 | 245 960 32 | -122 223 15 | 0 00 | -122 223 15 | 123 737 17 | 0 240% |
| Germany - USD | 0 00 | 25 52 | 32 641 61 | -32 616 09 | 0 00 | -32,616 09 | 25 52 | 0 000% |
| United States - USD | 0 00 | 421,756 66 | 255 086 48 | 166 670 18 | 0 00 | 166 670 18 | 421 756 66 | 0 817% |
| Total common stock | 0 00 | 551,173 85 | 610,865 23 | -59,691 38 | 0 00 | -59,691 38 | 551,173 85 | 1 068% |
| Funds - common stock | | | | | | | | |
| Emerging Markets Region - USD | 0 00 | 1 636 547 11 | 2 091 258 50 | -454 711 39 | 0 00 | -454 711 39 | 1 636 547 11 | 3 171% |
| International Region - USD | 0 00 | 7 092 399 01 | 5 463 536 67 | 1 628 862 34 | 0 00 | 1 628 862 34 | 7 092 399 01 | 13 742% |
| United States - USD | 0 00 | 8 527 965 81 | 5 092 653 45 | 3 435 312 36 | 0 00 | 3,435 312 36 | 8 527 965 81 | 16 524% |
| Total funds - common stock | 0 00 | 17,256,911 93 | 12,647,448 62 | 4,609,463 31 | 0 00 | 4,609,463 31 | 17,256,911 93 | 33 437% |
| Funds - equities etf | | | | | | | | |
| United States - USD | 0 00 | 3 222 149 50 | 3 000 025 13 | 222 124 37 | 0 00 | 222 124 37 | 3,222 149 50 | 6 243% |
| Total funds - equities etf | 0 00 | 3,222,149 50 | 3,000,025 13 | 222,124 37 | 0 00 | 222,124 37 | 3,222,149 50 | 6 243% |
| Total equities | 0 00 | 21,030,235 28 | 16,258,338 98 | 4,771,896 30 | 0 00 | 4,771,896 30 | 21,030,235 28 | 40 748% |
| Fixed Income | | | | | | | | |
| Funds - government agencies | | | | | | | | |
| United States - USD | 1 451 50 | 1 613 899 43 | 1 643 636 39 | -29 736 96 | 0 00 | -29 736 96 | 1 615 350 93 | 3 130% |
| Total funds - government agencies | 1,451 50 | 1,613,899 43 | 1,643,636 39 | -29,736 96 | 0 00 | -29,736 96 | 1,615,350 93 | 3 130% |
| Funds - corporate bond | | | | | | | | |
| United States - USD | 0 00 | 1 047 320 54 | 1 050 945 41 | -3 624 87 | 0 00 | -3 624 87 | 1 047 320 54 | 2 029% |
| Total funds - corporate bond | 0 00 | 1,047,320 54 | 1,050,945 41 | -3,624 87 | 0 00 | -3,624 87 | 1,047,320 54 | 2 029% |
| Funds - other fixed income | | | | | | | | |

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Accounting by Account

31 DEC 15

Account number Multiple Accounts

2680046,2680045,2601329,2625643,2647711,2625149,2629908,2624215,2675514,2639526,2672848,2635632,2681426

◆ Asset Summary by Account

Page 2 of 9

2601329 - KLM FOUNDATION

| Country | Accrued income/expense | Market value | Cost | Market | Unrealized gain/loss Transaction | Total | Market value incl accruals | % |
|--|---------------------------|---------------------|---------------------|--------------------|-------------------------------------|--------------------|-------------------------------|----------------|
| <i>Fixed Income</i> | | | | | | | | |
| Funds - other fixed income | | | | | | | | |
| United States - USD | 7,928 45 | 6 006 740 42 | 6 494 912 48 | -488 172 06 | 0 00 | -488 172 06 | 6,014 668 87 | 11 654% |
| Total funds - other fixed income | 7,928 45 | 6,006,740 42 | 6,494,912 48 | -488,172 06 | 0 00 | -488,172 06 | 6,014,668 87 | 11 654% |
| Total fixed income | 9,379 95 | 8,667,960 39 | 9,189,494 28 | -521,533 89 | 0 00 | -521,533 89 | 8,677,340 34 | 16 813% |
| <i>Commodities</i> | | | | | | | | |
| Funds - commodity linked | | | | | | | | |
| United States - USD | 0 00 | 464 347 00 | 1 208 958 79 | -744 611 79 | 0 00 | -744 611 79 | 464 347 00 | 0 900% |
| Total funds - commodity linked | 0 00 | 464,347 00 | 1,208,958 79 | -744,611 79 | 0 00 | -744,611 79 | 464,347 00 | 0 900% |
| Funds - commodity etf | | | | | | | | |
| Global Region - USD | 0 00 | 73 558 50 | 51 367 10 | 22 191 40 | 0 00 | 22,191 40 | 73 558 50 | 0 143% |
| Total funds - commodity etf | 0 00 | 73,558 50 | 51,367 10 | 22,191 40 | 0 00 | 22,191 40 | 73,558 50 | 0 143% |
| Total commodities | 0 00 | 537,905 50 | 1,260,325 89 | -722,420 39 | 0 00 | -722,420 39 | 537,905 50 | 1 042% |
| <i>Cash and Cash Equivalents</i> | | | | | | | | |
| Funds - short term investment | | | | | | | | |
| Funds - Short Term Investment | 169 08 | 5 742 434 10 | 5 742 434 10 | 0 00 | 0 00 | 0 00 | 5 742 603 18 | 11 127% |
| Total funds - short term investment | 169 08 | 5,742,434 10 | 5,742,434 10 | 0 00 | 0 00 | 0 00 | 5,742,603 18 | 11 127% |
| Total cash and cash equivalents | 169 08 | 5,742,434 10 | 5,742,434 10 | 0 00 | 0 00 | 0 00 | 5,742,603 18 | 11 127% |
| <i>Adjustments To Cash</i> | | | | | | | | |
| Pending trade purchases | | | | | | | | |
| Pending trade purchases | 0 00 | -9 379 95 | -9 379 95 | 0 00 | 0 00 | 0 00 | -9 379 95 | -0 018% |
| Total pending trade purchases | 0 00 | -9,379 95 | -9,379 95 | 0 00 | 0 00 | 0 00 | -9,379 95 | -0 018% |

*Generated by Northern Trust from reviewed periodic data on 28 Oct 16 B0887

Accounting by Account

31 DEC 15

Account number Multiple Accounts

2680046,2680045,2601329,2625543,2647711,2625149,2629908,2624215,2675514,2639526,2672848,2635632,2681426

◆ Asset Summary by Account

Page 3 of 9

2601329 - KLM FOUNDATION

| Description | Accrued income/expense | Market value | Cost | Market | Unrealized gain/loss Transaction | Total | Market value incl. accruals | % |
|---------------------------|---------------------------|---------------|---------------|--------------|-------------------------------------|--------------|--------------------------------|---------|
| Total adjustments to cash | 0 00 | -9,379 95 | -9,379 95 | 0 00 | 0 00 | 0 00 | -9,379 95 | -0 018% |
| Total for 2601329 | 9,549 03 | 35,969,155 32 | 32,441,213 30 | 3,527,942 02 | 0 00 | 3,527,942 02 | 35,978,704 35 | 69 711% |
| Total Cost incl. Accruals | | | 32,450,782 33 | | | | | |

*Generated by Northern Trust from reviewed periodic data on 28 Oct 16 B0887

Accounting by Account

31 DEC 16

Account number Multiple Accounts

2680046,2680046,2601329,2626543,2647711,2625149,2629908,2624215,2675514,2639526,2672848,2635632,2681426

Asset Summary by Account

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2625149 - KLM FOUNDATION - OCM HIGH YLD

| Country | Accrued income/expense | Market value | Cost | Market | Unrealized gain/loss Transaction | Total | Market value incl accruals | % |
|---|---------------------------|------------------|-------------|------------------|-------------------------------------|------------------|-------------------------------|---------------|
| <i>Venture Capital and Partnerships</i> | | | | | | | | |
| Partnerships | | | | | | | | |
| United States - USD | 0 00 | 39,919 00 | 1 00 | 39,918 00 | 0 00 | 39,918 00 | 39,919 00 | 0 077% |
| Total partnerships | 0 00 | 39,919 00 | 1 00 | 39,918 00 | 0 00 | 39,918 00 | 39,919 00 | 0 077% |
| Total venture capital and partnerships | 0 00 | 39,919 00 | 1 00 | 39,918 00 | 0 00 | 39,918 00 | 39,919 00 | 0 077% |
| Total for 2625149 | 0 00 | 39,919 00 | 1 00 | 39,918 00 | 0 00 | 39,918 00 | 39,919 00 | 0 077% |
| Total Cost incl Accruals | | | 1 00 | | | | | |

Accounting by Account

31 DEC 16

Account number Multiple Accounts

2680046,2680045,2601329,2625543,2647711,2625149,2629908,2624215,2675514,2639526,2672848,2635632,2681426

◆ Asset Summary by Account

Page 5 of 9

2625543 - KLM FOUNDATION - ARCHIPELAGO

| Country | Accrued income/expense | Market value | Cost | Market | Unrealized gain/loss Transaction | Total | Market value incl accruals | % |
|---|---------------------------|---------------------|---------------------|---------------------|-------------------------------------|---------------------|-------------------------------|----------------|
| <i>Venture Capital and Partnerships</i> | | | | | | | | |
| Partnerships | | | | | | | | |
| United States - USD | 0 00 | 5,339,033 00 | 3,000,000 00 | 2,339,033 00 | 0 00 | 2,339,033 00 | 5,339,033 00 | 10.345% |
| Total partnerships | 0 00 | 5,339,033 00 | 3,000,000 00 | 2,339,033 00 | 0 00 | 2,339,033 00 | 5,339,033 00 | 10.345% |
| Total venture capital and partnerships | 0 00 | 5,339,033 00 | 3,000,000 00 | 2,339,033 00 | 0 00 | 2,339,033 00 | 5,339,033 00 | 10.345% |
| Total for 2625543 | 0 00 | 5,339,033 00 | 3,000,000 00 | 2,339,033 00 | 0 00 | 2,339,033 00 | 5,339,033 00 | 10.345% |
| Total Cost incl Accruals | | | 3,000,000 00 | | | | | |

*Generated by Northern Trust from reviewed periodic data on 28 Oct 16 B0887

Accounting by Account

31 DEC 15

Account number Multiple Accounts

2680046,2680045,2601329,2625543,2647711,2625149,2629908,2624215,2675514,2639526,2672848,2635632,2681428

Asset Summary by Account

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2629908 - KLM FOUNDATION - OCM/GFI POWER

| Country | Accrued income/expense | Market value | Cost | Market | Unrealized gain/loss Transaction | Total | Market value incl accruals | % |
|---|---------------------------|------------------|------------------|-----------------|-------------------------------------|-----------------|-------------------------------|---------------|
| <i>Venture Capital and Partnerships</i> | | | | | | | | |
| Partnerships | | | | | | | | |
| United States - USD | 0 00 | 4 666 00 | 1 00 | 4 665 00 | 0 00 | 4 665 00 | 4 666 00 | 0 009% |
| Total partnerships | 0 00 | 4,666 00 | 1 00 | 4,665 00 | 0 00 | 4,665 00 | 4,666 00 | 0 009% |
| Total venture capital and partnerships | 0 00 | 4,666 00 | 1 00 | 4,665 00 | 0 00 | 4,665 00 | 4,666 00 | 0 009% |
| <i>Cash and Cash Equivalents</i> | | | | | | | | |
| Funds - short term investment | | | | | | | | |
| Funds - Short Term Investment | 1 19 | 23 781 12 | 23 781 12 | 0 00 | 0 00 | 0 00 | 23 782 31 | 0 046% |
| Total funds - short term investment | 1 19 | 23,781 12 | 23,781 12 | 0 00 | 0 00 | 0 00 | 23,782 31 | 0 046% |
| Total cash and cash equivalents | 1 19 | 23,781 12 | 23,781 12 | 0 00 | 0 00 | 0 00 | 23,782 31 | 0 046% |
| Total for 2629908 | 1 19 | 28,447 12 | 23,782 12 | 4,665 00 | 0 00 | 4,665 00 | 28,448 31 | 0 055% |
| Total Cost incl Accruals | | | 23,783 31 | | | | | |

*Generated by Northern Trust from reviewed periodic data on 28 Oct 16 B0887

Accounting by Account

31 DEC 15

Account number Multiple Accounts

2680046,2680045,2601329,2625543,2647711,2625149,2629908,2624215,2675514,2639526,2672848,2635632,2681426

◆ Asset Summary by Account

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2647711 - KLM FOUNDATION - DODGE&COX

| Country | Accrued income/expense | Market value | Cost | Market | Unrealized gain/loss Transaction | Total | Market value incl accruals | % |
|--|---------------------------|---------------------|---------------------|-------------------|-------------------------------------|-------------------|-------------------------------|----------------|
| <i>Equities</i> | | | | | | | | |
| Common stock | | | | | | | | |
| France - USD | 0 00 | 234 575 00 | 281 429 24 | -48 854 24 | 0 00 | -48 854 24 | 234 575 00 | 0 455% |
| Mexico - USD | 0 00 | 27 210 00 | 30 236 80 | -3 026 80 | 0 00 | -3 026 80 | 27 210 00 | 0 053% |
| Netherlands - USD | 0 00 | 68 040 00 | 98 039 86 | 29 999 86 | 0 00 | -29 999 86 | 68 040 00 | 0 132% |
| Sweden - USD | 0 00 | 26 523 60 | 24 630 73 | 1 892 87 | 0 00 | 1 892 87 | 26 523 60 | 0 051% |
| Switzerland - USD | 0 00 | 514 854 00 | 463 950 22 | 50 903 78 | 0 00 | 50 903 78 | 514 854 00 | 0 998% |
| United Kingdom - USD | 0 00 | 144 245 00 | 173 977 72 | -29 732 72 | 0 00 | -29 732 72 | 144 245 00 | 0 279% |
| United States - USD | 10 482 00 | 8 673 038 45 | 8 215 037 74 | 458 000 71 | 0 00 | 458 000 71 | 8 683 520 45 | 16 825% |
| Total common stock | 10,482 00 | 9,688,486 05 | 9,287,302 31 | 401,183 74 | 0 00 | 401,183 74 | 9,698,968 05 | 18 792% |
| Total equities | 10,482 00 | 9,688,486 05 | 9,287,302 31 | 401,183 74 | 0 00 | 401,183 74 | 9,698,968 05 | 18 792% |
| <i>Cash and Cash Equivalents</i> | | | | | | | | |
| Funds - short term investment | | | | | | | | |
| Funds - Short Term Investment | 7 73 | 182 727 96 | 182 727 96 | 0 00 | 0 00 | 0 00 | 182 735 69 | 0 354% |
| Total funds - short term investment | 7 73 | 182,727 96 | 182,727 96 | 0 00 | 0 00 | 0 00 | 182,735 69 | 0 354% |
| Total cash and cash equivalents | 7 73 | 182,727 96 | 182,727 96 | 0 00 | 0 00 | 0 00 | 182,735 69 | 0 354% |
| Total for 2647711 | 10,489 73 | 9,871,214 01 | 9,470,030 27 | 401,183 74 | 0 00 | 401,183 74 | 9,881,703 74 | 19 147% |
| Total Cost Incl Accruals | | | 9,480,520 00 | | | | | |

*Generated by Northern Trust from reviewed periodic data on 28 Oct 16 B0887

Accounting by Account

31 DEC 16

Account number Multiple Accounts

2680046,2680045,2601329,2625543,2647711,2625149,2629908,2624216,2675514,2639526,2672848,2635632,2681426

◆ Asset Summary by Account

Page 8 of 9

2680045 - KLM FDN-OCM PRIN OPPS IV

| Country | Accrued income/expense | Market value | Cost | Market | Unrealized gain/loss Transaction | Total | Market value incl accruals | % |
|---|---------------------------|-------------------|------------------|-------------------|-------------------------------------|-------------------|-------------------------------|---------------|
| <i>Venture Capital and Partnerships</i> | | | | | | | | |
| Partnerships | | | | | | | | |
| United States - USD | 0 00 | 257,340 00 | 294 23 | 257,045 77 | 0 00 | 257,045 77 | 257,340 00 | 0 499% |
| Total partnerships | 0 00 | 257,340 00 | 294 23 | 257,045 77 | 0 00 | 257,045 77 | 257,340 00 | 0 499% |
| Total venture capital and partnerships | 0 00 | 257,340 00 | 294 23 | 257,045 77 | 0 00 | 257,045 77 | 257,340 00 | 0 499% |
| <i>Cash and Cash Equivalents</i> | | | | | | | | |
| Funds - short term investment | | | | | | | | |
| Funds - Short Term Investment | 0 63 | 12,641 00 | 12,641 00 | 0 00 | 0 00 | 0 00 | 12,641 63 | 0 024% |
| Total funds - short term investment | 0 63 | 12,641 00 | 12,641 00 | 0 00 | 0 00 | 0 00 | 12,641 63 | 0 024% |
| Total cash and cash equivalents | 0 63 | 12,641 00 | 12,641 00 | 0 00 | 0 00 | 0 00 | 12,641 63 | 0 024% |
| Total for 2680045 | 0 63 | 269,981 00 | 12,935 23 | 257,045 77 | 0 00 | 257,045 77 | 269,981 63 | 0 523% |
| Total Cost incl Accruals | | | 12,935 86 | | | | | |

*Generated by Northern Trust from reviewed periodic data on 28 Oct 16 B0887

Accounting by Account

31 DEC 15

Account number Multiple Accounts

2680046,2680045,2601329,2625543,2647711,2625149,2629908,2624215,2675514,2639526,2672848,2635632,2681428

Asset Summary by Account

Page 9 of 9

2680046 - KLM FDN -OCM OPS VII

| Country | Accrued income/expense | Market value | Cost | Market | Unrealized gain/loss Transaction | Total | Market value incl accruals | % |
|---|---------------------------|----------------------|----------------------|---------------------|-------------------------------------|----------------------|-------------------------------|-----------------|
| <i>Venture Capital and Partnerships</i> | | | | | | | | |
| Partnerships | | | | | | | | |
| United States - USD | 0 00 | 60 696 00 | 4 498 00 | 56 198 00 | 0 00 | 56 198 00 | 60 696 00 | 0 118% |
| Total partnerships | 0 00 | 60,696 00 | 4,498 00 | 56,198 00 | 0 00 | 56,198 00 | 60,696 00 | 0 118% |
| Total venture capital and partnerships | 0 00 | 60,696 00 | 4,498 00 | 56,198 00 | 0 00 | 56,198 00 | 60,696 00 | 0 118% |
| <i>Cash and Cash Equivalents</i> | | | | | | | | |
| Funds - short term investment | | | | | | | | |
| Funds Short Term Investment | 0 62 | 12 505 65 | 12 505 65 | 0 00 | 0 00 | 0 00 | 12 506 27 | 0 024% |
| Total funds - short term investment | 0 62 | 12,505 65 | 12,505 65 | 0 00 | 0 00 | 0 00 | 12,506 27 | 0 024% |
| Total cash and cash equivalents | 0 62 | 12,505 65 | 12,505 65 | 0 00 | 0 00 | 0 00 | 12,506 27 | 0 024% |
| Total for 2680046 | 0 62 | 73,201 65 | 17,003 65 | 56,198 00 | 0 00 | 56,198 00 | 73,202 27 | 0 142% |
| Total Cost incl Accruals | | | 17,004 27 | | | | | |
| Total Unrealized Gains | | | | | | 9,526,181 25 | | |
| Total Unrealized Losses | | | | | | -2,900,195 72 | | |
| Total | 20,041 20 | 51,590,951 10 | 44,984,965 57 | 6,625,985 53 | 0 00 | 6,625,985 53 | 51,610,992 30 | 100 000% |
| Total Cost incl Accruals | | | 44,985,006 77 | | | | | |

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*Generated by Northern Trust from reviewed periodic data on 28 Oct 16 B0887