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Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation
## or Section 4947(a)(1) Trust Treated as Private Foundation

► **Do not enter social security numbers on this form as it may be made public.**
► Information about Form 990-PF and its separate instructions is at ***www.irs.gov/form990pf.***

OMB No 1545-0052

**2015**

**Open to Public Inspection**

**For calendar year 2015, or tax year beginning , 2015, and ending ,**

THE CREAN FOUNDATION
PO BOX 8449
NEWPORT BEACH, CA 92658-8449

**A** Employer identification number
95-3676334

**B** Telephone number (see instructions)
(949) 642-3050

**C** If exemption application is pending, check here ► ☐

**D** 1 Foreign organizations, check here ► ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ► ☐

**E** If private foundation status was terminated under section 507(b)(1)(A), check here ► ☐

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► ☐

**G** Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

**H** Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**I** Fair market value of all assets at end of year *(from Part II, column (c), line 16)* ► $ 67,944,186.

**J** Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify) _____ *(Part I, column (d) must be on cash basis.)*

**Part I** **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

| | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|
| REVENUE | 1 Contributions, gifts, grants, etc, received (attach schedule) | | | | |
| | 2 Ck ► ☒ if the foundation is not required to attach Sch B | | | | |
| | 3 Interest on savings and temporary cash investments | 25,187. | 25,187. | 25,187. | |
| | 4 Dividends and interest from securities | 1,927,511. | 1,927,511. | 1,927,511. | |
| | 5a Gross rents | 341,329. | 341,329. | 341,329. | |
| | b Net rental income or (loss) 234,694. | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | 1,105,230. | | | |
| | b Gross sales price for all assets on line 6a 19,268,949. | | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 1,105,230. | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances 31,569. | | | | |
| | b Less Cost of goods sold 8,239. | | | | |
| | c Gross profit or (loss) (attach schedule) SEE ST 1 | 23,330. | | 23,330. | |
| | 11 Other income (attach schedule) SEE STATEMENT 2 | 30,965. | | | |
| | 12 **Total.** Add lines 1 through 11 | 3,453,552. | 3,399,257. | 2,317,357. | |
| OPERATING AND ADMINISTRATIVE EXPENSES | 13 Compensation of officers, directors, trustees, etc | 384,879. | 384,879. | | |
| | 14 Other employee salaries and wages | | | | |
| | 15 Pension plans, employee benefits | | | | |
| | 16a Legal fees (attach schedule) | | | | |
| | b Accounting fees (attach sch) SEE ST 3 | 17,592. | 17,592. | | |
| | c Other prof fees (attach sch) | | | | |
| | 17 Interest | | | | |
| | 18 Taxes (attach schedule)(see instrs) SEE STM 4 | 13,283. | 13,283. | | |
| | 19 Depreciation (attach schedule) and depletion SEE STMT 5 | 21,498. | 20,743. | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | 20. | 20. | | |
| | 22 Printing and publications | 673. | 673. | | |
| | 23 Other expenses (attach schedule) SEE STATEMENT 6 | 324,595. | 319,646. | 4,969. | |
| | 24 **Total operating and administrative expenses.** Add lines 13 through 23 | 762,540. | 756,836. | 4,969. | |
| | 25 Contributions, gifts, grants paid PART XV | 3,430,090. | | | 3,430,090. |
| | 26 **Total expenses and disbursements.** Add lines 24 and 25 | 4,192,630. | 756,836. | 4,969. | 3,430,090. |
| | 27 Subtract line 26 from line 12: | | | | |
| | a **Excess of revenue over expenses and disbursements** | -739,078. | | | |
| | b **Net investment income** (if negative, enter -0-) | | 2,642,421. | | |
| | c **Adjusted net income** (if negative, enter -0-) | | | 2,312,388. | |

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| Part II Balance Sheets (Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)) | | Beginning of year | End of year | |
|---|---|---|---|---|
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| ASSETS | 1 Cash – non-interest-bearing | | | |
| | 2 Savings and temporary cash investments | 2,317,527. | 4,060,261. | 4,060,261. |
| | 3 Accounts receivable ► | | | |
| | Less allowance for doubtful accounts ► | | | |
| | 4 Pledges receivable ► | | | |
| | Less: allowance for doubtful accounts ► | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| | 7 Other notes and loans receivable (attach sch) ► | | | |
| | Less: allowance for doubtful accounts ► | 2,400,000. | | |
| | 8 Inventories for sale or use | 12,488. | 27,412. | 124,200. |
| | 9 Prepaid expenses and deferred charges | | | |
| | 10a Investments – U S and state government obligations (attach schedule) | | | |
| | b Investments – corporate stock (attach schedule) | 7,230,318. | 8,288,241. | 9,239,261. |
| | c Investments – corporate bonds (attach schedule) | | | |
| | 11 Investments – land, buildings, and equipment basis ► 1,781,170. | | | |
| | Less: accumulated depreciation (attach schedule) SEE STMT 7 ► 240,954. | 1,560,959. | 1,540,216. | 2,200,000. |
| | 12 Investments – mortgage loans | | | |
| | 13 Investments – other (attach schedule) | 52,578,728. | 51,603,924. | 52,320,464. |
| | 14 Land, buildings, and equipment basis ► 3,460. | | | |
| | Less accumulated depreciation (attach schedule) SEE STMT 8 ► 2,855. | 1,360. | 605. | |
| | 15 Other assets (describe ► ) | | | |
| | 16 **Total assets** (to be completed by all filers – see the instructions Also, see page 1, item I) | 66,101,380. | 65,520,659. | 67,944,186. |
| LIABILITIES | 17 Accounts payable and accrued expenses | | | |
| | 18 Grants payable | 9,380,000. | 11,915,000. | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, & other disqualified persons | | | |
| | 21 Mortgages and other notes payable (attach schedule) | | | |
| | 22 Other liabilities (describe ► SEE STATEMENT 9 ) | 500. | 450. | |
| | 23 **Total liabilities** (add lines 17 through 22) | 9,380,500. | 11,915,450. | |
| NET ASSETS OR FUND BALANCES | **Foundations that follow SFAS 117, check here ► ☐ and complete lines 24 through 26 and lines 30 and 31.** | | | |
| | 24 Unrestricted | | | |
| | 25 Temporarily restricted | | | |
| | 26 Permanently restricted | | | |
| | **Foundations that do not follow SFAS 117, check here ► X and complete lines 27 through 31.** | | | |
| | 27 Capital stock, trust principal, or current funds | | | |
| | 28 Paid-in or capital surplus, or land, bldg , and equipment fund | | | |
| | 29 Retained earnings, accumulated income, endowment, or other funds | 56,720,880. | 53,605,209. | |
| | 30 **Total net assets or fund balances** (see instructions) | 56,720,880. | 53,605,209. | |
| | 31 **Total liabilities and net assets/fund balances** (see instructions) | 66,101,380. | 65,520,659. | |

## Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 56,720,880. |
| 2 | Enter amount from Part I, line 27a | 2 | -739,078. |
| 3 | Other increases not included in line 2 (itemize) ► SEE STATEMENT 10 | 3 | 158,407. |
| 4 | Add lines 1, 2, and 3 | 4 | 56,140,209. |
| 5 | Decreases not included in line 2 (itemize) ► SEE STATEMENT 11 | 5 | 2,535,000. |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30 | 6 | 53,605,209. |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| | (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company) | (b) How acquired P — Purchase D — Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| 1a | PUBLICLY TRADED SECURITIES | P | VARIOUS | 12/31/15 |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | 19,268,949. | | 18,163,719. | 1,105,230. |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|---|---|---|---|
| | (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col (j), if any | |
| a | | | | 1,105,230. |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | | | |
|---|---|---|---|
| 2 | Capital gain net income or (net capital loss). If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 | 2 | 1,105,230. |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 | 3 | 0. |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|---|---|---|---|
| 2014 | 3,477,590. | 70,848,156. | 0.049085 |
| 2013 | 3,188,590. | 69,370,320. | 0.045965 |
| 2012 | 2,905,940. | 66,577,207. | 0.043648 |
| 2011 | 5,055,329. | 68,323,720. | 0.073991 |
| 2010 | 4,069,620. | 70,221,921. | 0.057954 |

| | | | |
|---|---|---|---|
| 2 | **Total** of line 1, column (d) | 2 | 0.270643 |
| 3 | Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0.054129 |
| 4 | Enter the net value of noncharitable-use assets for 2015 from Part X, line 5 | 4 | 69,047,226. |
| 5 | Multiply line 4 by line 3 | 5 | 3,737,457. |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 26,424. |
| 7 | Add lines 5 and 6 | 7 | 3,763,881. |
| 8 | Enter qualifying distributions from Part XII, line 4 | 8 | 3,430,090. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

| | | | |
|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ► ☐ and enter 'N/A' on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary – see instrs) | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► ☐ and enter 1% of Part I, line 27b | 1 | 52,848. |
| c | All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b) | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | 2 | 0. |
| 3 | Add lines 1 and 2 | 3 | 52,848. |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | 4 | 0. |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0- | 5 | 52,848. |
| 6 | Credits/Payments: | | |
| a | 2015 estimated tax pmts and 2014 overpayment credited to 2015 — 6a: 64,180. | | |
| b | Exempt foreign organizations – tax withheld at source — 6b: | | |
| c | Tax paid with application for extension of time to file (Form 8868) — 6c: | | |
| d | Backup withholding erroneously withheld — 6d: | | |
| 7 | Total credits and payments Add lines 6a through 6d | 7 | 64,180. |
| 8 | Enter any **penalty** for underpayment of estimated tax Check here ☒ if Form 2220 is attached | 8 | |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ► | 9 | 0. |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ► | 10 | 11,332. |
| 11 | Enter the amount of line 10 to be. **Credited to 2016 estimated tax** ► 11,332. **Refunded** ► | 11 | 0. |

## Part VII-A Statements Regarding Activities

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? | 1b | | X |
| | *If the answer is 'Yes' to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities* | | | |
| c | Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ► $ 0. (2) On foundation managers ► $ 0. | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► $ 0. | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities* | 2 | | X |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes* | 3 | | X |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | X |
| b | If 'Yes,' has it filed a tax return on **Form 990-T** for this year? | 4b | N/A | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T* | 5 | | X |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, col (c), and Part XV* | 7 | X | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions) ► CA | | | |
| b | If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation* | 8b | X | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV* | 9 | | X |
| 10 | Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses* | 10 | | X |

**Part VII-A Statements Regarding Activities** *(continued)*

| | | | | |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions) | 11 | | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions) | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ► N/A

14 The books are in care of ► MARC S. GOLDIN, CFO Telephone no ► (949) 642-3050

Located at ► 27052 FALLING LEAF DR LAGUNA HILLS CA ZIP + 4 ► 92653-6502

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – Check here N/A ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A

| | | | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | X |
| | See the instructions for exceptions and filing requirements for FinCEN Form 114 If 'Yes,' enter the name of the foreign country ► | | | |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.**

| | | | | Yes | No |
|---|---|---|---|---|---|
| 1a | During the year did the foundation (either directly or indirectly) | | | | |
| | **(1)** Engage in the sale or exchange, or leasing of property with a disqualified person? | ☐ Yes | ☒ No | | |
| | **(2)** Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? | ☐ Yes | ☒ No | | |
| | **(3)** Furnish goods, services, or facilities to (or accept them from) a disqualified person? | ☐ Yes | ☒ No | | |
| | **(4)** Pay compensation to, or pay or reimburse the expenses of, a disqualified person? | ☐ Yes | ☒ No | | |
| | **(5)** Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? | ☐ Yes | ☒ No | | |
| | **(6)** Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ) | ☐ Yes | ☒ No | | |
| b | If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? | | | 1b | N/A |
| | Organizations relying on a current notice regarding disaster assistance check here ► ☐ | | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? | | | 1c | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | | | |
| a | At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? | ☐ Yes | ☒ No | | |
| | If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__ | | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement – see instructions ) | | | 2b | N/A |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ► 20__ , 20__ , 20__ , 20__ | | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? | ☐ Yes | ☒ No | | |
| b | If 'Yes,' did it have excess business holdings in 2015 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015 )* | | | 3b | N/A |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | | | 4a | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015? | | | 4b | X |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

| | | | | |
|---|---|---|---|---|
| **5a** During the year did the foundation pay or incur any amount to | | | | |
| **(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? | ☐ Yes | ☒ No | | |
| **(2)** Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? | ☐ Yes | ☒ No | | |
| **(3)** Provide a grant to an individual for travel, study, or other similar purposes? | ☐ Yes | ☒ No | | |
| **(4)** Provide a grant to an organization other than a charitable, etc, organization described in section 4945(d)(4)(A)? (see instructions) | ☐ Yes | ☒ No | | |
| **(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? | ☐ Yes | ☒ No | | |
| **b** If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? | | | **5b** | N/A |
| Organizations relying on a current notice regarding disaster assistance check here ► ☐ | | | | |
| **c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? | N/A | ☐ Yes ☐ No | | |
| *If 'Yes,' attach the statement required by Regulations section 53 4945-5(d)* | | | | |
| **6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | ☐ Yes | ☒ No | | |
| **b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | | | **6b** | X |
| *If 'Yes' to 6b, file Form 8870* | | | | |
| **7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? | ☐ Yes | ☒ No | | |
| **b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? | | N/A | **7b** | |

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| ANDREW CREAN<br>P.O. BOX 8449<br>NEWPORT BEACH, CA 92658-8449 | PRESIDENT<br>0 | 0. | 0. | 0. |
| EMILY CREAN VOGLER<br>P.O. BOX 8449<br>NEWPORT BEACH, CA 92658-8449 | VICE PRESIDE<br>0 | 0. | 0. | 0. |
| SUSAN E. THOMAS<br>P.O. BOX 8449<br>NEWPORT BEACH, CA 92658-8449 | SECRETARY<br>0 | 0. | 0. | 0. |
| MARC S. GOLDIN<br>P.O. BOX 8449<br>NEWPORT BEACH, CA 92658-8449 | CFO<br>30.00 | 384,879. | 0. | 900. |

**2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ► 0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| **Total** number of others receiving over $50,000 for professional services ► | | 0 |

**Part IX-A Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|---|
| 1 DISTRIBUTION OF BOOKS ON ALCOHOLISM INTENDED TO EDUCATE AND ASSIST THE GENERAL PUBLIC CONCERNING THE DISEASE. THE TOTAL NUMBER OF BOOKS DISTRIBUTED DURING 2015 WAS 10,700. | |
| 2 | |
| 3 | |
| 4 | |

**Part IX-B Summary of Program-Related Investments** (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|---|
| 1 N/A | |
| 2 | |
| All other program-related investments See instructions<br>3 | |
| **Total.** Add lines 1 through 3 ► | 0. |

**Part X** **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|---|---|---|---|
| 1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes | | | |
| **a** Average monthly fair market value of securities | | 1a | 63,917,523. |
| **b** Average of monthly cash balances | | 1b | 2,042,722. |
| **c** Fair market value of all other assets (see instructions) | | 1c | 4,138,462. |
| **d Total** (add lines 1a, b, and c) | | 1d | 70,098,707. |
| **e** Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e 0. | | |
| 2 Acquisition indebtedness applicable to line 1 assets | | 2 | 0. |
| 3 Subtract line 2 from line 1d | | 3 | 70,098,707. |
| 4 Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions) | | 4 | 1,051,481. |
| 5 **Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4 | | 5 | 69,047,226. |
| 6 **Minimum investment return.** Enter 5% of line 5 | | 6 | 3,452,361. |

**Part XI** **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

| | | | |
|---|---|---|---|
| 1 Minimum investment return from Part X, line 6 | | 1 | 3,452,361. |
| **2a** Tax on investment income for 2015 from Part VI, line 5 | 2a 52,848. | | |
| **b** Income tax for 2015 (This does not include the tax from Part VI ) | 2b | | |
| **c** Add lines 2a and 2b | | 2c | 52,848. |
| 3 Distributable amount before adjustments Subtract line 2c from line 1 | | 3 | 3,399,513. |
| 4 Recoveries of amounts treated as qualifying distributions | | 4 | |
| 5 Add lines 3 and 4 | | 5 | 3,399,513. |
| 6 Deduction from distributable amount (see instructions) | | 6 | |
| 7 **Distributable amount** as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | | 7 | 3,399,513. |

**Part XII** **Qualifying Distributions** (see instructions)

| | | |
|---|---|---|
| 1 Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes. | | |
| **a** Expenses, contributions, gifts, etc – total from Part I, column (d), line 26 | 1a | 3,430,090. |
| **b** Program-related investments – total from Part IX-B | 1b | |
| 2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes | 2 | |
| 3 Amounts set aside for specific charitable projects that satisfy the | | |
| **a** Suitability test (prior IRS approval required) | 3a | |
| **b** Cash distribution test (attach the required schedule) | 3b | |
| 4 **Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 3,430,090. |
| 5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions) | 5 | |
| 6 **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 3,430,090. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income** (see instructions)

| | (a) Corpus | (b) Years prior to 2014 | (c) 2014 | (d) 2015 |
|---|---|---|---|---|
| 1 Distributable amount for 2015 from Part XI, line 7 | | | | 3,399,513. |
| 2 Undistributed income, if any, as of the end of 2015: | | | | |
| a Enter amount for 2014 only | | | 0. | |
| b Total for prior years 20 ___, 20 ___, 20 ___ | | 0. | | |
| 3 Excess distributions carryover, if any, to 2015 | | | | |
| a From 2010 609,710. | | | | |
| b From 2011 1,691,757. | | | | |
| c From 2012 | | | | |
| d From 2013 | | | | |
| e From 2014 | | | | |
| f **Total** of lines 3a through e | 2,301,467. | | | |
| 4 Qualifying distributions for 2015 from Part XII, line 4 ► $ 3,430,090. | | | | |
| a Applied to 2014, but not more than line 2a | | | 0. | |
| b Applied to undistributed income of prior years (Election required – see instructions) | | 0. | | |
| c Treated as distributions out of corpus (Election required – see instructions) | 0. | | | |
| d Applied to 2015 distributable amount | | | | 3,399,513. |
| e Remaining amount distributed out of corpus | 30,577. | | | |
| 5 Excess distributions carryover applied to 2015 *(If an amount appears in column (d), the same amount must be shown in column (a).)* | 0. | | | 0. |
| 6 **Enter the net total of each column as indicated below:** | | | | |
| a Corpus Add lines 3f, 4c, and 4e. Subtract line 5 | 2,332,044. | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0. | | |
| d Subtract line 6c from line 6b Taxable amount – see instructions | | 0. | | |
| e Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount – see instructions | | | 0. | |
| f Undistributed income for 2015 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2016 | | | | 0. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required – see instructions) | 0. | | | |
| 8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions) | 609,710. | | | |
| 9 **Excess distributions carryover to 2016.** Subtract lines 7 and 8 from line 6a | 1,722,334. | | | |
| 10 Analysis of line 9 | | | | |
| a Excess from 2011 1,691,757. | | | | |
| b Excess from 2012 | | | | |
| c Excess from 2013 | | | | |
| d Excess from 2014 | | | | |
| e Excess from 2015 30,577. | | | | |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | Tax year (a) 2015 | Prior 3 years (b) 2014 | (c) 2013 | (d) 2012 | (e) Total |
|---|---|---|---|---|---|
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon. | | | | | |
| a 'Assets' alternative test – enter. | | | | | |
| (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c 'Support' alternative test – enter | | | | | |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

**Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2) )

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ► [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year*<br>SEE STATEMENT 11 | | | | |
| **Total** | | | ► **3a** | 3,430,090. |
| **b** *Approved for future payment* | | | | |
| **Total** | | | ► **3b** | |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclu-sion code | (d) Amount | Related or exempt function income (See instructions ) |
| 1 Program service revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | 14 | 25,187. | |
| 4 Dividends and interest from securities | | | 14 | 1,927,511. | |
| 5 Net rental income or (loss) from real estate | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | 16 | 234,694. | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | 16 | 30,965. | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 1,105,230. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | 23,330. |
| 11 Other revenue | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal Add columns (b), (d), and (e) | | | | 3,323,587. | 23,330. |
| 13 **Total.** Add line 12, columns (b), (d), and (e) | | | | 13 | 3,346,917. |

(See worksheet in line 13 instructions to verify calculations )

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions ) |
|---|---|
| 10 | SALE OF BOOKS ON ALCOHOLISM INTENDED TO EDUCATE AND ASSIST THE GENERAL PUBLIC CONCERNING THE DISEASE. |

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | Yes | No |
|---|---|---|---|
| **1** Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| **a** Transfers from the reporting foundation to a noncharitable exempt organization of. | | | |
| **(1)** Cash | 1 a (1) | | X |
| **(2)** Other assets | 1 a (2) | | X |
| **b** Other transactions | | | |
| **(1)** Sales of assets to a noncharitable exempt organization | 1 b (1) | | X |
| **(2)** Purchases of assets from a noncharitable exempt organization | 1 b (2) | | X |
| **(3)** Rental of facilities, equipment, or other assets | 1 b (3) | | X |
| **(4)** Reimbursement arrangements | 1 b (4) | | X |
| **(5)** Loans or loan guarantees | 1 b (5) | | X |
| **(6)** Performance of services or membership or fundraising solicitations | 1 b (6) | | X |
| **c** Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1 c | | X |

**d** If the answer to any of the above is 'Yes,' complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

| **(a)** Line no | **(b)** Amount involved | **(c)** Name of noncharitable exempt organization | **(d)** Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| N/A | | | |
| | | | |
| | | | |
| | | | |
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| | | | |

**2 a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

**b** If 'Yes,' complete the following schedule

| **(a)** Name of organization | **(b)** Type of organization | **(c)** Description of relationship |
|---|---|---|
| N/A | | |
| | | |
| | | |
| | | |
| | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Marc A. Holdin — Signature of officer or trustee

7/29/16 — Date

CFO — Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self-employed | PTIN |
|---|---|---|---|---|
| [redacted] | SELF-PREPARED | | | [redacted] |

Firm's name ▶ [redacted] Firm's EIN ▶ [redacted]

Firm's address ▶ [redacted] Phone no [redacted]

**STATEMENT 1**
**FORM 990-PF, PART I, LINE 10C**
**GROSS PROFIT (LOSS) FROM SALES OF INVENTORY**

| ITEMS SOLD | AMOUNT |
|---|---|
| BOOK SALES | $ 31,569. |
| GROSS SALES | $ 31,569. |
| LESS RETURNS & ALLOWANCES | 0. |
| NET SALES | $ 31,569. |
| LESS COST OF GOODS SOLD | 8,239. |
| GROSS PROFIT FROM SALES OF INVENTORY | $ 23,330. |

**STATEMENT 2**
**FORM 990-PF, PART I, LINE 11**
**OTHER INCOME**

| | (A) REVENUE PER BOOKS | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME |
|---|---|---|---|
| OTHER INVESTMENT INCOME | $ 30,965. | | |
| TOTAL | $ 30,965. | $ 0. | $ 0. |

**STATEMENT 3**
**FORM 990-PF, PART I, LINE 16B**
**ACCOUNTING FEES**

| | (A) EXPENSES PER BOOKS | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| WIEGEL, SZEKEL AND FRISBY | $ 17,592. | $ 17,592. | | |
| TOTAL | $ 17,592. | $ 17,592. | $ 0. | $ 0. |

**STATEMENT 4**
**FORM 990-PF, PART I, LINE 18**
**TAXES**

| | (A) EXPENSES PER BOOKS | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| FOREIGN TAXES | $ 83. | $ 83. | | |
| PAYROLL TAXES | 13,040. | 13,040. | | |
| STATE TAX | 160. | 160. | | |
| TOTAL | $ 13,283. | $ 13,283. | $ 0. | $ 0. |

**STATEMENT 5**
**FORM 990-PF, PART I, LINE 19**
**ALLOCATED DEPRECIATION**

| DATE ACQUIRED | COST BASIS | PRIOR YR DEPR | METHOD | RATE | LIFE | CURRENT YR DEPR | NET INVEST INCOME | ADJUSTED NET INCOME |
|---|---|---|---|---|---|---|---|---|
| BUILDING, NORTHRIDGE | | | | | | | | |
| 4/18/00 | 809,000 | 305,118 | S/L | 0.0256 | | 20,743 | 20,743 | 0 |
| SCANNER | | | | | | | | |
| 8/01/11 | 830 | 681 | 200DB | | 5 | 60 | 0 | 0 |
| HP COMPUTER | | | | | | | | |
| 10/23/13 | 1,440 | 634 | 200DB | | 5 | 322 | 0 | 0 |
| AT&T CORDLESS TELEPHONE | | | | | | | | |
| 11/04/13 | 38 | 27 | 200DB | | 3 | 7 | 0 | 0 |
| HP PRINTER | | | | | | | | |
| 11/12/13 | 378 | 166 | 200DB | | 5 | 85 | 0 | 0 |
| MOBILE TELEPHONE | | | | | | | | |
| 10/10/14 | 506 | 84 | 200DB | | 3 | 281 | 0 | 0 |

**STATEMENT 6**
**FORM 990-PF, PART I, LINE 23**
**OTHER EXPENSES**

| | (A) EXPENSES PER BOOKS | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| BOOK SALES EXPENSE | $ 2,529. | | $ 2,529. | |
| COMPUTER SOFTWARE | 493. | $ 493. | | |
| INSURANCE | 25,887. | 25,887. | | |
| INVESTMENT MANAGEMENT FEES | 204,147. | 204,167. | | |
| LICENSES | 553. | 553. | | |
| MISCELLANEOUS | 70. | 70. | | |
| OFFICE SUPPLIES | 626. | 626. | | |
| POSTAGE | 2,889. | 449. | 2,440. | |
| PROFESSIONAL MEMBERSHIPS | 1,223. | 1,223. | | |
| RENTAL EXPENSES | 85,892. | 85,892. | | |
| TELEPHONE | 286. | 286. | | |
| TOTAL | $ 324,595. | $ 319,646. | $ 4,969. | $ 0. |

**STATEMENT 7**
**FORM 990-PF, PART II, LINE 11**
**INVESTMENTS - LAND, BUILDINGS, AND EQUIPMENT**

| CATEGORY | BASIS | ACCUM. DEPREC. | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|---|---|
| BUILDINGS | $ 809,000. | $ 240,954. | $ 568,046. | $ 2,200,000. |
| LAND | 972,170. | | 972,170. | 0. |
| TOTAL | $ 1,781,170. | $ 240,954. | $ 1,540,216. | $ 2,200,000. |

**STATEMENT 8**
**FORM 990-PF, PART II, LINE 14**
**LAND, BUILDINGS, AND EQUIPMENT**

| CATEGORY | BASIS | ACCUM. DEPREC. | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|---|---|
| MACHINERY AND EQUIPMENT | $ 3,460. | $ 2,855. | $ 605. | $ 0. |
| TOTAL | $ 3,460. | $ 2,855. | $ 605. | $ 0. |

**STATEMENT 9**
**FORM 990-PF, PART II, LINE 22**
**OTHER LIABILITIES**

| | |
|---|---|
| SALES TAX PAYABLE | $ 450. |
| TOTAL | $ 450. |

**STATEMENT 10**
**FORM 990-PF, PART III, LINE 3**
**OTHER INCREASES**

| | |
|---|---|
| ADJUSTMENT OF BASIS OF INVESTMENTS | $ 136,909. |
| DEPRECIATION | 21,498. |
| TOTAL | $ 158,407. |

**STATEMENT 11**
**FORM 990-PF, PART XV, LINE 3A**
**RECIPIENT PAID DURING THE YEAR**

| NAME AND ADDRESS | DONEE RELATIONSHIP | FOUND-ATION STATUS | PURPOSE OF GRANT | AMOUNT |
|---|---|---|---|---|
| ORANGE COUNTY RESCUE MISSION<br>ONE HOPE DRIVE<br>TUSTIN CA 92782 | N/A | PUBLIC | FOOD AND SHELTER FOR THE POOR. | $ 10,000. |
| BIOLA UNIVERSITY<br>13800 BIOLA AVE.<br>LA MIRADA CA 90639 | N/A | PUBLIC | MEDICAL SERVICES FOR THE POOR. | 3,000. |
| THE MIDNIGHT MISSION<br>601 SOUTH SAN PEDRO ST.<br>LOS ANGELES CA 90014 | N/A | PUBLIC | SHELTER FOR ALCOHOLICS. | 20,000. |
| AMERICAN HEART ASSOCIATION<br>P.O. BOX 6046<br>IRVINE CA 92716 | N/A | PUBLIC | MEDICAL RESEARCH. | 10,000. |

**STATEMENT 11 (CONTINUED)**
**FORM 990-PF, PART XV, LINE 3A**
**RECIPIENT PAID DURING THE YEAR**

| NAME AND ADDRESS | DONEE RELATIONSHIP | FOUND-ATION STATUS | PURPOSE OF GRANT | AMOUNT |
|---|---|---|---|---|
| BIG BROTHERS/BIG SISTERS<br>1801 E EDINGER AVE, STE 101<br>SANTA ANA CA 92705 | N/A | PUBLIC | PROGRAMS FOR CHILDREN. | $ 35,000. |
| CHAPMAN UNIVERSITY<br>ONE UNIVERSITY DRIVE<br>ORANGE CA 92866 | N/A | PUBLIC | EDUCATION. | 1,040,000. |
| CHILDREN'S BUREAU<br>50 S ANAHEIM BLVD, STE 241<br>ANAHEIM CA 92805 | N/A | PUBLIC | PROGRAMS FOR DISADVANTAGED CHILDREN. | 245,000. |
| CHILDREN'S HOSPITAL OF OC FOUNDATION<br>455 S MAIN ST.<br>ORANGE CA 92868 | N/A | PUBLIC | PEDIATRIC MEDICAL CARE. | 110,000. |
| CHILDHELP<br>P.O. BOX 2954<br>NEWPORT BEACH CA 92659 | N/A | PUBLIC | TREATMENT AND PREVENTION OF CHILD ABUSE. | 25,000. |
| GUIDE DOGS OF AMERICA<br>13445 GLENOAKS BLVD.<br>SYLMAR CA 91342 | N/A | PUBLIC | TRAINING OF DOGS TO ASSIST THE VISION IMPAIRED. | 10,000. |
| MAKE-A-WISH FOUNDATION OF O.C.<br>3230 EL CAMINO REAL, STE 100<br>IRVINE CA 92602 | N/A | PUBLIC | PROGRAM FOR TERMINALLY ILL CHILDREN. | 1,000. |
| PROVIDENCE SPEECH AND HEARING CENTER<br>1301 PROVIDENCE AVE.<br>ORANGE CA 92868 | N/A | PUBLIC | ASSISTANCE OF THE HEARING IMPAIRED. | 7,500. |
| SANTA CATATLINA ISLAND TUNA CLUB FOUNDN<br>P.O. BOX 526<br>AVALON CA 90704 | N/A | PUBLIC | MAINTAINING THE FORESTS OF SANTA CATALINA ISLAND. | 5,000. |
| YMCA OF ORANGE COUNTY<br>13821 NEWPORT AVE., #200<br>TUSTIN CA 92780 | N/A | PUBLIC | RECREATION PROGRAMS FOR THE NEEDY. | 150,000. |
| LEARNING ALLY, INC<br>20 ROSZEL RD<br>PRINCETON NJ 08540 | N/A | PUBLIC | RECORDING OF BOOKS FOR THE VISUALLY IMPAIRED. | 10,000. |

**STATEMENT 11 (CONTINUED)**
**FORM 990-PF, PART XV, LINE 3A**
**RECIPIENT PAID DURING THE YEAR**

| NAME AND ADDRESS | DONEE RELATIONSHIP | FOUND-ATION STATUS | PURPOSE OF GRANT | AMOUNT |
|---|---|---|---|---|
| ONEOC<br>1901 EAST FOURTH ST., #100<br>SANTA ANA CA 92705 | N/A | PUBLIC | ASSISTANCE TO CHARITABLE ORGANIZATIONS. | $ 5,000. |
| PHILHARMONIC SOCIETY OF OC<br>2082 BUSINESS CENTER DR.<br>#100<br>IRVINE CA 92612 | N/A | PUBLIC | MUSIC PROGRAMS. | 25,000. |
| ALZHEIMER'S ORANGE COUNTY<br>2515 MCCABE WAY, STE 200<br>IRVINE CA 92614 | N/A | PUBLIC | PROGRAMS FOR PEOPLE WITH ALZHEIMER'S DISEASE. | 100,000. |
| BLIND CHILDREN'S LEARNING CENTER<br>18542-B VANDERLIP AVENUE<br>SANTA ANA CA 92705 | N/A | PUBLIC | PROGRAMS FOR VISUALLY IMPAIRED CHILDREN. | 10,000. |
| EXCHANGE CLUBS CHARITIBLE FOUNDATION<br>1117 FRANKLIN ST<br>SANTA MONICA CA 90403 | N/A | PUBLIC | PROGRAMS TO PREVENT CHILD ABUSE. | 15,000. |
| GLORIA DEI LUTHERAN CHURCH<br>33501 STONEHILL DR<br>DANA POINT CA 92629 | N/A | PUBLIC | RELIGIOUS PROGRAMS. | 111,440. |
| LUTHERAN CHURCH OF THE MASTER<br>2900 PACIFIC VIEW DR<br>CORONA DEL MAR CA 92625 | N/A | PUBLIC | RELIGIOUS PROGRAMS. | 55,200. |
| LUTHERAN CHURCH OF THE MASTER<br>10931 SANTA MONICA BLVD<br>LOS ANGELES CA 90025 | N/A | PUBLIC | RELIGIOUS PROGRAMS. | 20,800. |
| SAINT ANDREW'S ABBEY<br>P.O. BOX 40<br>VALYERMO CA 93563 | N/A | PUBLIC | RELIGIOUS PROGRAMS. | 1,000. |
| ARTS ORANGE COUNTY<br>3750 S SUSAN ST, STE 200<br>SANTA ANA CA 92704 | N/A | PUBLIC | EDUCATIONAL PROGRAMS FOR CHILDREN. | 15,000. |
| BACK BAY THERAPEUTIC RIDING CLUB<br>20262 SW CYPRESS ST<br>NEWPORT BEACH CA 92660 | N/A | PUBLIC | PROGRAMS FOR DISABLED CHILDREN. | 2,500. |

**STATEMENT 11 (CONTINUED)**
**FORM 990-PF, PART XV, LINE 3A**
**RECIPIENT PAID DURING THE YEAR**

| NAME AND ADDRESS | DONEE RELATIONSHIP | FOUND-ATION STATUS | PURPOSE OF GRANT | AMOUNT |
|---|---|---|---|---|
| SECOND HARVEST FOOD BANK OF OC<br>8014 MARINE WAY<br>IRVINE CA 92618 | N/A | PUBLIC | FOOD FOR THE POOR AND HOMELESS. | $ 10,000. |
| LOS ANGELES MISSION<br>303 EAST 5TH ST<br>LOS ANGELES CA 90013 | N/A | PUBLIC | FOOD AND SHELTER FOR THE HOMELESS. | 5,000. |
| HOAG HOSPITAL FOUNDATION<br>330 PLACENTIA AVE, SUITE 100<br>NEWPORT BEACH CA 92663 | N/A | PUBLIC | CONSTRUCTION OF NEW HOSPITAL FACILITIES. | 500,000. |
| CYSTIC FIBROSIS FOUNDATION<br>1801 E EDINGER AVE, STE 135<br>SANTA ANA CA 92705 | N/A | PUBLIC | MEDICAL RESEARCH. | 5,000. |
| PROJECT CUDDLE, INC.<br>2973 HARBOR BLVD, PMB 326<br>COSTA MESA CA 92626 | N/A | PUBLIC | ASSISTANCE TO POOR PREGNANT WOMEN WHO CANNOT KEEP THEIR BABIES. | 1,000. |
| THE ALL-AMERICAN BOYS CHORUS<br>P.O. BOX 1527<br>COSTA MESA CA 92628 | N/A | PUBLIC | MUSIC PROGRAM. | 5,000. |
| F.A.C.E.S.<br>1966 E. CHAPMAN AVE., # G<br>FULLERTON CA 92831 | N/A | PUBLIC | PROGRAMS FOR CHILDREN. | 10,000. |
| PAT MCCORMICK EDUCATIONAL FOUNDATION<br>909 ELECTRIC AVE, STE 304<br>SEAL BEACH CA 90740 | N/A | PUBLIC | EDUCATIONAL PROGRAMS FOR CHILDREN. | 50,000. |
| HEART OF JESUS RETREAT CENTER<br>2927 S GREENVILLE ST<br>SANTA ANA CA 92704 | N/A | PUBLIC | RELIGIOUS PROGRAMS. | 2,500. |
| YOUTH EMPLOYMENT SERVICES, INC.<br>114 E 19TH ST<br>COSTA MESA CA 92627 | N/A | PUBLIC | ASSISTANCE OF TEENAGERS TO OBTAIN EMPLOYMENT. | 10,000. |
| CHILD GUIDANCE CENTER, INC.<br>525 N CABRILLO PARK DR, STE 300<br>SANTA ANA CA 92701 | N/A | PUBLIC | TREATMENT AND PREVENTION OF CHILD ABUSE. | 5,000. |

**STATEMENT 11 (CONTINUED)**
**FORM 990-PF, PART XV, LINE 3A**
**RECIPIENT PAID DURING THE YEAR**

| NAME AND ADDRESS | DONEE RELATIONSHIP | FOUND-ATION STATUS | PURPOSE OF GRANT | AMOUNT |
|---|---|---|---|---|
| ACACIA ADULT DAY SERVICES<br>11391 ACACIA PARKWAY<br>GARDEN GROVE CA 92840 | N/A | PUBLIC | PROGRAMS FOR SENIORS. | $ 10,000. |
| FRIENDS OF SANTA ANA ZOO<br>1801 E CHESTNUT AVE<br>SANTA ANA CA 92701 | N/A | PUBLIC | MAINTENANCE OF ANIMAL EXHIBITS. | 10,000. |
| GOODWILL OF ORANGE COUNTY<br>410 N FAIRVIEW<br>SANTA ANA CA 92703 | N/A | PUBLIC | ASSISTANCE FOR POOR PEOPLE. | 1,000. |
| LAURA'S HOUSE<br>999 CORPORATE DR, STE 225<br>LADERA RANCH CA 92694 | N/A | PUBLIC | SHELTER FOR ABUSED WOMEN AND CHILDREN. | 10,000. |
| ORANGEWOOD CHILDREN'S FOUNDATION<br>1575 E 17TH ST<br>SANTA ANA CA 92705 | N/A | PUBLIC | EDUCATION PROGRAMS. | 60,000. |
| PROJECT DIGNITY<br>12020 CHAPMAN AVE., PMB #257<br>GARDEN GROVE CA 92840 | N/A | PUBLIC | ASSISTANCE FOR POOR PEOPLE. | 7,500. |
| RONALD MCDONALD HOUSE CHARITIES<br>1250 LYMAN PL<br>LOS ANGELES CA 90029 | N/A | PUBLIC | CAMP PROGRAM FOR CHILDREN WITH CANCER. | 10,000. |
| AIDS SERVICES FOUNDATION OC<br>17982 SKY PARK CIRCLE, # J<br>IRVINE CA 92614 | N/A | PUBLIC | MEDICAL RESEARCH. | 5,000. |
| ANGEL FACES<br>2235 ENCINITAS BLVD, STE 107B<br>ENCINITAS CA 92024 | N/A | PUBLIC | SEMINARS TO BUILD SELF-ESTEEEM FOR BURN VICTIMS. | 15,000. |
| COURT APPOINTED SPECIAL ADVOCATES<br>1505 E 17TH ST, SUITE 214<br>SANTA ANA CA 92705 | N/A | PUBLIC | PROGRAMS FOR DISADVANTAGED YOUTH. | 2,500. |
| NEW VISTA SCHOOL<br>23902 MILL CREEK DR.<br>LAGUNA HILLS CA 92653 | N/A | PUBLIC | TUITION ASSISTANCE FOR LOW INCOME STUDENTS. | 40,000. |

**STATEMENT 11 (CONTINUED)**
**FORM 990-PF, PART XV, LINE 3A**
**RECIPIENT PAID DURING THE YEAR**

| NAME AND ADDRESS | DONEE RELATIONSHIP | FOUND-ATION STATUS | PURPOSE OF GRANT | AMOUNT |
|---|---|---|---|---|
| SUPPORT THE ENLISTED PROJECT, INC.<br>P.O. BOX 26747<br>SAN DIEGO CA 92196 | N/A | PUBLIC | ASSISTANCE FOR WAR VETERANS. | $ 10,000. |
| THE WOODEN FLOOR<br>1810 N MAIN ST.<br>SANTA ANA CA 92706 | N/A | PUBLIC | DANCE PROGRAMS FOR POOR CHILDREN. | 5,000. |
| SHARE OUR SELVES CORPORATION<br>1550 SUPERIOR AVE<br>COSTA MESA CA 92627 | N/A | PUBLIC | MEDICAL, FOOD AND CLOTHING FOR POOR PEOPLE. | 10,000. |
| THE PRENTICE SCHOOL<br>18341 LASSEN DR<br>SANTA ANA CA 92705 | N/A | PUBLIC | EDUCATION PROGRAMS. | 10,000. |
| THE WINSTON SCHOOL<br>215 9TH ST<br>DEL MAR CA 92014 | N/A | PUBLIC | EDUCATION PROGRAMS. | 10,000. |
| COMMUNITY SENIORSERV<br>1200 N KNOLLWOOD CIRCLE<br>ANAHEIM CA 92801 | N/A | PUBLIC | PROGRAMS FOR SENIORS. | 10,000. |
| FIRST STEP HOUSE OF ORANGE COUNTY<br>P.O. BOX 1904<br>COSTA MESA CA 92628 | N/A | PUBLIC | PROGRAMS FOR ALCOHOLIC REHABILITATION. | 20,000. |
| FRIENDSHIP SHELTER, INC.<br>P.O. BOX 4252<br>LAGUNA BEACH CA 92652 | N/A | PUBLIC | SELF-SUFFICIENCY PROGRAMS FOR THE HOMELESS. | 5,000. |
| KIDWORKS<br>1902 W CHESTNUT AVE<br>SANTA ANA CA 92703 | N/A | PUBLIC | PROGRAMS FOR AT-RISK CHILDREN. | 10,000. |
| NAPA VALLEY WINE AUCTION<br>P.O. BOX 41<br>ST. HELENA CA 94574 | N/A | PUBLIC | MEDICAL CARE AND HOUSING FOR LOW INCOME PEOPLE. | 25,000. |
| PROBATION COMMUNITY ACTION ASSOCIATION<br>PMB 176, 1111 N. MAIN ST.<br>SANTA ANA CA 92701 | N/A | PUBLIC | PROGRAM FOR AT-RISK YOUTH. | 5,000. |
| TALLER SAN JOSE<br>801 N BROADWAY<br>SANTA ANA CA 92701 | N/A | PUBLIC | JOB TRAINING PROGRAM FOR AT-RISK YOUTH. | 10,000. |

**STATEMENT 11 (CONTINUED)**
**FORM 990-PF, PART XV, LINE 3A**
**RECIPIENT PAID DURING THE YEAR**

| NAME AND ADDRESS | DONEE RELATIONSHIP | FOUND-ATION STATUS | PURPOSE OF GRANT | AMOUNT |
|---|---|---|---|---|
| THE GARY CENTER<br>341 S HILLCREST ST<br>LA HABRA CA 90631 | N/A | PUBLIC | JOB TRAINING FOR AT-RISK YOUTH. | $ 10,000. |
| THE SHEA CENTER FOR THERAPEUTIC RIDING<br>26284 OSO RD<br>SAN JUAN CAPISTRANO CA 92675 | N/A | PUBLIC | PROGRAMS FOR DISABLED CHILDREN. | 20,000. |
| WOMEN'S TRANSITIONAL LIVING CENTER, INC.<br>P.O. BOX 6103<br>ORANGE CA 92863 | N/A | PUBLIC | PROGRAMS FOR ABUSED ADULTS AND CHILDREN. | 5,000. |
| ARMY AND NAVY ACADEMY<br>P.O. BOX 3000<br>CARLSBAD CA 92018 | N/A | PUBLIC | TUITION ASSISTANCE FOR LOW INCOME STUDENTS. | 250,000. |
| CASA TERESA<br>P.O. BOX 429<br>ORANGE CA 92856 | N/A | PUBLIC | PROVIDE SHELTER TO HOMELESS PREGNANT WOMEN. | 5,000. |
| LAGUNA BEACH SENIORS, INC.<br>380 THIRD ST<br>LAGUNA BEACH CA 92651 | N/A | PUBLIC | INCREASE OUTREACH TO SENIORS. | 20,000. |
| SEGERSTROM CENTER FOR THE ARTS<br>600 TOWN CENTER DR<br>COSTA MESA CA 92626 | N/A | PUBLIC | MUSIC PROGRAMS FOR CHILDREN. | 10,000. |
| WORLD FIT FOUNDATION, INC.<br>151 KAHIKI DR<br>TAVERNIER FL 33070 | N/A | PUBLIC | FITNESS PROGRAM FOR CHILDREN. | 15,000. |
| SERVING PEOPLE IN NEED, INC.<br>151 KALMUS DR, STE H-2<br>COSTA MESA CA 92626 | N/A | PUBLIC | SELF-SUFFICIENCY PROGRAMS FOR THE HOMELESS. | 2,500. |
| ART & CREATIVITY FOR HEALING INC.<br>23011 MOULTON PARKWAY, STE I-5<br>LAGUNA HILLS CA 92653 | N/A | PUBLIC | PROGRAMS FOR VETERANS. | 1,000. |
| UCI MIND<br>2646 BIOLOGICAL SCIENCES III<br>IRVINE CA 92697 | N/A | PUBLIC | MEDICAL RESEARCH. | 25,000. |

**STATEMENT 11 (CONTINUED)**
**FORM 990-PF, PART XV, LINE 3A**
**RECIPIENT PAID DURING THE YEAR**

| NAME AND ADDRESS | DONEE RELATIONSHIP | FOUND-ATION STATUS | PURPOSE OF GRANT | AMOUNT |
|---|---|---|---|---|
| CALIFORNIA YOUTH SERVICES<br>23282 MILL CREEK DR, STE 130<br>LAGUNA HILLS CA 92653 | N/A | PUBLIC | SUBSTANCE ABUSE COUNSELING FOR YOUTH. | $ 10,000. |
| CASA DE AMMA<br>27231 CALLE ARROYO<br>SAN JUAN CAPISTRANO CA 92675 | N/A | PUBLIC | ASSISTANCE OF ADULTS WITH SPECIAL NEEDS. | 10,000. |
| ACCESS OC SOUTHERN CALIFORNIA<br>1505 E 17TH ST, SUITE 209<br>SANTA ANA CA 92705 | N/A | PUBLIC | HEALTH CARE FOR THE POOR. | 10,000. |
| KOCE-TV FOUNDATION<br>P.O. BOX 25113<br>SANTA ANA CA 92799 | N/A | PUBLIC | PUBLIC TELEVISION EDUCATIONAL PROGRAMMING. | 1,000. |
| PROJECT ACCESS, INC.<br>3900 BIRCH ST, SUITE 113<br>NEWPORT BEACH CA 92660 | N/A | PUBLIC | EDUCATION AND EMPLOYMENT SERVICES TO POOR PEOPLE. | 10,000. |
| SOUTHERN CALIFORNIA CHILDREN'S CHORUS<br>5020 CAMPUS DR<br>NEWPORT BEACH CA 92660 | N/A | PUBLIC | MUSIC PROGRAMS FOR CHILDREN. | 2,500. |
| SPIRIT LEAGUE<br>PO BOX 3766<br>MISSION VIEJO CA 92690 | N/A | PUBLIC | SPORTS PROGRAMS FOR SPECIAL NEEDS CHILDREN. | 2,500. |
| DISCOVERY CUBE<br>2500 N MAIN ST<br>SANTA ANA CA 92705 | N/A | PUBLIC | EDUCATIONAL PROGRAMS IN MUSEUM. | 5,000. |
| FRIENDS OF THE NATIONAL WW II MEMORIAL<br>921 PENNSYLVANIA AVE SE, SUITE 304<br>WASHINGTON DC 20003 | N/A | PUBLIC | MAINTENANCE OF NATIONAL MEMORIAL. | 1,000. |
| ORANGE COUNTY COMMUNITY FOUNDATION<br>4041 MACARTHUR BLVD, SUITE 510<br>NEWPORT BEACH CA 92660 | N/A | PUBLIC | COMMUNITY FOUNDATION SUPPORT. | 150. |

**STATEMENT 11 (CONTINUED)**
**FORM 990-PF, PART XV, LINE 3A**
**RECIPIENT PAID DURING THE YEAR**

| NAME AND ADDRESS | DONEE RELATIONSHIP | FOUND-ATION STATUS | PURPOSE OF GRANT | AMOUNT |
|---|---|---|---|---|
| WORKING WARDROBES FOR A NEW START<br>1851 KETTERING ST<br>IRVINE CA 92614 | N/A | PUBLIC | CLOTHING FOR POOR PEOPLE. | $ 5,000. |
| INTERNATIONAL GAME FISH ASSOCIATION<br>300 GULF STREAM WAY<br>DANIA BEACH FL 33004 | N/A | PC | FISH RESEARCH CONSERVATION AND EDUCATION. | 4,500. |
| PACIFIC SYMPHONY<br>3631 S HARBOR BLVD, STE 100<br>SANTA ANA CA 92704 | N/A | PC | MUSIC PROGRAMS FOR CHILDREN. | 10,000. |
| BETHUNE THEATREDANSE<br>2501 W BURBANK BLVD, STE 303<br>BURBANK CA 91505 | N/A | PC | DANCE EDUCATION FOR DISABLED CHILDREN. | 2,500. |
| HD-CARE<br>PO BOX 8036<br>NEWPORT BEACH CA 92658 | N/A | PC | RESEARCH FOR HUNTINGTON'S DISEASE. | 10,000. |
| LUPUS INTERNATIONAL<br>ONE PARK PLAZA, STE 600<br>IRVINE CA 92614 | N/A | PC | LUPUS DISEASE RESEARCH. | 2,500. |
| PRETEND CITY THE CHILDREN'S MUSEUM OF OC<br>29 HUBBLE<br>IRVINE CA 92618 | N/A | PC | MUSEUM OPERATING EXPENSES. | 10,000. |
| RV/MH HERITAGE FOUNDATION, INC<br>21565 EXECUTIVE PKWY<br>ELKHART IN 46514 | N/A | PC | MUSEUM OPERATING EXPENSES. | 20,000. |
| SPECIAL CAMP FOR SPECIAL KIDS<br>31641 LA NOVIA<br>SAN JUAN CAPISTRANO CA 92675 | N/A | PC | CAMP PROGRAM FOR SPECIAL NEEDS CHILDREN. | 1,000. |
| THUNDERING TRAILS<br>5607 SPLIT MOUNTAIN RD<br>BOREGO SPRINGS CA 92004 | N/A | PC | RECREATIONAL PROGRAMS FOR DISADVANTAGED CHILDREN. | 2,000. |
| | | | TOTAL | $ 3,430,090. |

# Portfolio Value and Cost Basis - As of 12/31/2015



| Security | 12/31/2015 Cost Basis | 12/31/2015 Balance |
|---|---|---|
| **Mutual Fund** | | |
| AB Global Bond Fund Class I | 3,149,197 53 | 3,022,233.59 |
| ALLIANCE BERNSTEIN BOND INFLATION STRATE . | 269,517 89 | 265,368 76 |
| ALLIANCEBERNSTEIN DISCOVERY GROWTH FUND | 258,723.51 | 276,530 86 |
| ALLIANCEBERNSTEIN DISCOVERY VALUE FUND | 281,654.73 | 279,649 80 |
| ALLIANCEBERNSTEIN L ALL MARKET REAL RET | 1,281,558 19 | 916,232 06 |
| ALLIANCEBERNSTEIN MULTI-MANAGER ALTERN . | 505,590 56 | 485,699.54 |
| ARTISAN INTERNATIONAL FUND | 399,342 13 | 370,531 60 |
| Bernstein Emerging Markets Portfolio | 652,364 98 | 482,686 50 |
| Bernstein Inter Duration Institutional | 3,103,467 80 | 2,992,046 70 |
| Bernstein International Portfolio | 2,820,239 61 | 2,528,317.70 |
| Blackrock Global Allocation Fund | 1,706,947.16 | 1,736,978 58 |
| CBRE CLARION LONG/SHORT FUND | 295,495 04 | 277,742 91 |
| COLUMBIA DIVIDEND INCOME FUND | 525,205.41 | 1,036,853 31 |
| COLUMBIA INCOME OPPORTUNITIES | 253,563.43 | 233,730 25 |
| COLUMBIA LARGE CAP ENHANCED CORE FUND | 1,142,983.91 | 1,921,333 92 |
| COLUMBIA SMALL CAP VALUE I FUND | 254,576 47 | 367,761 54 |
| COLUMBIA TECHNOLOGY FUND | 195,864 15 | 193,556 47 |
| DFA Emerging Markets Core Equity Portfolio | 610,141 38 | 538,667 77 |
| DFA Five Year Global Fixed Income Portfolio | 4,446,922 20 | 4,339,606 32 |
| DFA Global Real Estate Sec Portfolio | 513,216.42 | 569,185 85 |
| DFA International Core Equity | 331,374 14 | 371,333 53 |
| DFA International Small Company Portfolio | 208,191 34 | 211,114.28 |
| DFA International Value Prtf Instl | 1,545,720.86 | 1,500,463.67 |
| DFA International Vector Equity | 386,327 66 | 370,747 08 |
| DFA Inv't Two Year Global Fixed Income | 1,529,599 10 | 1,484,484 13 |
| DFA One Year Fixed Income Portfolio | 5,493,637 52 | 5,466,349 36 |
| DFA Real Estate Securities Portfolio Institutional | 127,318 45 | 136,002 48 |
| DFA US Core Equity I | 824,939 38 | 835,058 61 |
| DFA US Core Equity II | 1,012,891.74 | 1,827,178 33 |
| DFA US Large Capital Value Portfolio | 797,524 15 | 1,274,455 57 |
| DFA US Small Cap Portfolio Instl | 236,619.18 | 225,392 18 |
| DFA US Vector Equity Portfolio Institutional | 717,033 44 | 1,243,168 21 |
| Dimensional Emerging Markets Value Prtf Instl | 202,962 21 | 145,154 53 |
| DOUBLELINE TOTAL RETURN BOND FUND | 403,529 99 | 394,383 80 |
| METRO WEST TOTAL RETURN BOND | 296,231 03 | 286,257 83 |
| MFS SER TR I RESH INTL FUND | 107,868 67 | 184,803 57 |
| Overlay A Portfolio | 4,643,178 27 | 5,025,591 91 |
| Overlay B Portfolio | 2,399,996 46 | 2,387,326 32 |
| PIMCO ALL ASSET ALL AUTH FUND | 1,551,005 58 | 1,129,689 55 |
| PRUDENTIAL GLOBAL REAL ESTATE FUND | 333,729 06 | 337,514 71 |
| ROBECO BOSTON PARTNERS LONG/SHORT RES.. | 394,974 71 | 387,949 46 |
| UNDISCOVERED MANAGERS FDS BEHAVIORAL . . | 193,913 62 | 192,343 87 |
| VANGUARD TOTAL WORLD STOCK INDEX FUND | 279,454.27 | 279,168 90 |
| **TOTAL Mutual Fund** | **46,684,593.33** | **48,530,645.92** |
| **Other** | | |
| AB SECURITIZED ASSETS FUND | 1,000,020 00 | 951,615 03 |
| European Opportunities Series Dbt | 247,052 91 | 299,918.00 |
| Hines REIT | 3,672,257 33 | 2,538,284.66 |
| **TOTAL Other** | **4,919,330.24** | **3,789,817.69** |

| Security | 12/31/2015 Cost Basis | 12/31/2015 Balance |
|---|---|---|
| **Stock** | | |
| AETNA INC | 61,978 32 | 81,846 84 |
| ALLSTATE CORP | 143,248 25 | 130,078 55 |
| ALPHABET INC | 90,707.33 | 166,953 60 |
| ALPHABET INC. | 24,319.23 | 52,126 67 |
| ALTRIA GROUP INC | 157,354.98 | 147,096 67 |
| AMDOCS LIMITED | 37,421 75 | 52,714.62 |
| AMERICAN ELECTRIC POWER CO INC | 61,490 78 | 65,903 37 |
| AMPHENOL CORP | 40,426 01 | 52,595 61 |
| ANSYS INC | 55,357 83 | 68,080 00 |
| ANTHEM INC | 65,850 67 | 80,038 56 |
| APPLE INC | 199,072 90 | 228,940 50 |
| APPLIED MATERIALS INC | 102,663 07 | 100,407 26 |
| BALL CORP | 66,967 85 | 86,475 97 |
| BANK OF AMERICA CORP | 115,774 09 | 140,799.78 |
| BIOGEN INC | 34,175.92 | 71,073 20 |
| CAPITAL ONE FINANCIAL CORP | 55,472 21 | 69,076.26 |
| CF INDUSTRIES HOLDINGS INC | 115,716.12 | 88,067 98 |
| CITIZENS FINANCIAL GROUP INC | 62,756.60 | 62,463.15 |
| COMCAST CORPORATION | 79,461.92 | 105,975.54 |
| COSTCO WHOLESALE CORP | 49,910 16 | 67,184 00 |
| CVS HEALTH CORP | 88,393.55 | 119,181 63 |
| DANAHER CORP | 81,785.45 | 109,969 92 |
| DELTA AIR LINES INC | 53,894 73 | 68,583 57 |
| DISCOVER FINANCIAL SERVICES | 81,826.92 | 75,068.00 |
| DOLLAR GENERAL CORPORATION | 84,884 84 | 92,927 91 |
| DR PEPPER SNAPPLE GROUP INC | 58,819 25 | 90,310 80 |
| EBAY INC. | 46,507.61 | 52,706 64 |
| EDISON INTERNATIONAL | 63,150 75 | 66,374 41 |
| EOG RES INC | 78,097 76 | 66,684 18 |
| ESTEE LAUDER COMPANIES INC | 53,557 10 | 62,170 36 |
| F5 NETWORKS INC | 19,037 15 | 16,968 00 |
| FACEBOOK INC | 93,073.19 | 137,313 92 |
| FISERV INC | 39,551.28 | 56,064 98 |
| GAMESTOP CORP | 42,468 05 | 33,339 56 |
| GILEAD SCIENCES INC | 76,628 44 | 109,892 34 |
| HESS CORPORATION | 74,851 87 | 46,831 68 |
| HEWLETT PACKARD ENTERPRISE CO | 47,323 56 | 50,464 00 |
| HOME DEPOT INC | 66,365 80 | 140,185 00 |
| HP INC | 39,935 22 | 39,308.80 |
| INTUITIVE SURGICAL INC | 55,774 35 | 73,731 60 |
| ISHARES CORE S&P MID CAP ETF | 502,628 50 | 478,564 20 |
| ISHARES CURRENCY HEDGED MSCI EUROZONE . | 266,084.08 | 261,040 20 |
| ISHARES US PREFERRED STOCK ETF | 343,671 62 | 337,606 50 |
| ITT CORP | 83,732 91 | 67,954 72 |
| JETBLUE AIRWAYS CORP | 35,107.26 | 34,586 55 |
| JOHNSON & JOHNSON | 59,284 83 | 99,638 40 |
| KIMBERLY CLARK CORP | 35,583 87 | 41,372 50 |
| KROGER CO | 25,010 07 | 70,734 53 |
| L BRANDS INC | 31,522 61 | 31,908 06 |
| L-3 COMMUNICATIONS HOLDINGS INC | 90,180 37 | 88,915 44 |

# Portfolio Value and Cost Basis - As of 12/31/2015

| Security | 12/31/2015 Cost Basis | 12/31/2015 Balance |
|---|---|---|
| LYONDELLBASELL INDUSTRIES | 75,901 65 | 94,199 60 |
| MAGNA INTERNATIONAL INC | 46,022 76 | 47,875 20 |
| MCDONALDS CORP | 51,205 07 | 63,323 04 |
| MCKESSON CORP | 60,710 30 | 55,224.40 |
| MEDTRONIC PLC | 59,650.33 | 61,766.76 |
| MERCK & CO INC | 32,316 49 | 35,811 96 |
| MICROSOFT CORP | 159,037 50 | 195,456 04 |
| MOBILEYE NV | 22,758 98 | 26,847 80 |
| MONSTER BEVERAGE CORPORATION | 18,696.10 | 37,984 80 |
| MURPHY OIL CORP | 73,265.36 | 41,038 60 |
| NIKE INC | 67,553 97 | 108,750 00 |
| NORTHROP GRUMMAN CORP | 93,611 32 | 110,831 47 |
| ORACLE CORP | 57,793.31 | 53,406 86 |
| PEPSICO INC | 105,937.15 | 115,507.52 |
| PFIZER INC. | 71,716 37 | 104,296 68 |
| POWERSHARES HIGH YIELD EQUITY DIVID ACHI | 294,483 60 | 293,166 00 |
| PPL CORPORATION | 74,950 67 | 76,075 77 |
| PRICELINE GROUP INC | 37,258 97 | 52,272.95 |
| PUBLIC STORAGE | 29,094 73 | 33,439.50 |
| ROCKWELL COLLINS INC | 21,002 97 | 20,859 80 |
| ROSS STORES INC | 80,451 71 | 85,611 71 |
| SCHLUMBERGER LTD | 53,097 82 | 42,198.75 |
| SEALED AIR CORP | 61,585 07 | 52,449.60 |
| SELECT SECTOR SPDR TR HEALTHCARE | 232,325 36 | 241,660.65 |
| SERVICENOW INC | 16,306.28 | 30,296 00 |
| SHERWIN WILLIAMS CO | 42,427.57 | 59,967 60 |
| SPDR S&P 500 ETF TRUST | 767,629 16 | 773,686 65 |
| STARBUCKS CORP | 51,989 38 | 85,002 48 |
| SYNCHRONY FINANCIAL | 33,206 15 | 33,572 64 |
| UNION PACIFIC CORP | 35,900 66 | 40,976.80 |
| UNITED TECHNOLOGIES CORP | 54,243 59 | 43,807 92 |
| UNITEDHEALTH GROUP INC | 117,510.61 | 120,698 64 |
| US BANCORP | 84,269.54 | 86,790 78 |
| VALERO ENERGY CORP | 38,430 95 | 53,386 05 |
| VERIZON COMMUNICATIONS | 44,998.08 | 46,035 12 |
| VISA INC | 64,956.28 | 124,080 00 |
| VOYA FINANCIAL INC | 56,133.51 | 49,090 30 |
| WALT DISNEY CO | 70,376 56 | 90,894 20 |
| WELLS FARGO & CO | 138,322 86 | 182,323 44 |
| WISDOMTREE JAPAN HEDGED EQUITY FUND | 369,927 81 | 348,056.00 |
| XEROX CORP | 82,325.85 | 82,244 31 |
| **TOTAL Stock** | **8,288,241.38** | **9,239,260.92** |
| **TOTAL Investments** | **59,892,164.95** | **61,559,724.52** |

# Capital Gains (Losses) Report - Last year

1/1/2015 through 12/31/2015



| Account | Security | Shares | Bought | Sold | Gross Proceeds | Cost Basis | Realized Gain/L |
|---|---|---|---|---|---|---|---|
| **SHORT TERM** | | | | | | | |
| 616-B of | BLACKROCK GLOBAL LONG/SHORT EQUITY FUND | 15,743 735 | 10/15/2014 | 2/10/2015 | 187,193 01 | 184,359 14 | 2,833.87 |
| 616-B of | .ISHARES IBOXX $ HIGH YIELD CORP BOND ETF | 2,300 000 | 10/17/2014 | 2/12/2015 | 208,920 48 | 208,612 53 | 307.95 |
| 616-B of | VANGUARD TOTAL WORLD STOCK INDEX FUND | 3,135 000 | 10/17/2014 | 2/12/2015 | 189,384 60 | 180,823 35 | 8,561 25 |
| 616-B of | .COLUMBIA EMERGING MARKETS FUND | 14,276 952 | 10/15/2014 | 7/9/2015 | 138,486 43 | 144,339 98 | -5,853.55 |
| 616-B of | ..ISHARES MSCI ALL COUNTRY ASIA EX-JAPAN ETF | 6,290 000 | 2/12/2015 | 7/13/2015 | 368,524.31 | 393,243.25 | -24,718 94 |
| 616-B of | COLUMBIA INCOME OPPORTUNITIES | 4,224 515 | 2/10/2015 | 8/4/2015 | 41,949 43 | 42,667.60 | -718 17 |
| 616-B of | DOUBLELINE TOTAL RETURN BOND FUND | 8,176.645 | 2/10/2015 | 8/4/2015 | 89,452 50 | 90,188 40 | -735 90 |
| 616-B of | .VANGUARD TOTAL WORLD STOCK INDEX FUND | 3,290 000 | 10/17/2014 | 8/6/2015 | 202,100 31 | 189,763.58 | 12,336 73 |
| 616-B of | ..WISDOMTREE EUROPE HEDGED EQUITY FUND | 4,870 000 | 2/12/2015 | 12/30/2015 | 265,694 03 | 293,700.93 | -28,006 90 |
| 616-B of | ISHARES RUSSELL 2000 ETF | 1,650 000 | 2/12/2015 | 12/31/2015 | 187,479 77 | 196,546 68 | -9,066.91 |
| 640-Com | ALTEGRIS MULTI-STRGY ALTERNATIVE FUND | 66,868 983 | 5/23/2014 | 1/6/2015 | 677,382 80 | 664,009 00 | 13,373 80 |
| 651-Bern | ALLERGAN PLC | 55 000 | 5/13/2014 | 1/2/2015 | 14,281.30 | 11,330 28 | 2,951 02 |
| 651-Bern. | .ANTHEM INC | 57.000 | 7/24/2014 | 1/2/2015 | 7,160.02 | 6,586.41 | 573 61 |
| 651-Bern | . BALL CORP | 137 000 | 2/3/2014 | 1/2/2015 | 9,369.68 | 7,045 46 | 2,324.22 |
| 651-Bern | DOLLAR GENERAL CORPORATION | 154 000 | 8/6/2014 | 1/2/2015 | 10,911.72 | 8,887 19 | 2,024 53 |
| 651-Bern | DR PEPPER SNAPPLE GROUP INC | 132.000 | 7/8/2014 | 1/2/2015 | 9,458.53 | 7,725 04 | 1,733 49 |
| 651-Bern | DTE ENERGY CO | 112 000 | 2/3/2014 | 1/2/2015 | 9,761 48 | 7,637 64 | 2,123 84 |
| 651-Bern | EMC CORP | 240 000 | 7/30/2014 | 1/2/2015 | 7,178 40 | 7,110 62 | 67 78 |
| 651-Bern. | .EOG RES INC | 75 000 | 6/30/2014 | 1/2/2015 | 6,931 41 | 8,769 52 | -1,838 11 |
| 651-Bern. | EOG RES INC | 3 000 | 7/15/2014 | 1/2/2015 | 277 26 | 340 70 | -63 44 |
| 651-Bern | ESTEE LAUDER COMPANIES INC | 94 000 | 6/4/2014 | 1/2/2015 | 7,113.00 | 7,162 19 | -49 19 |
| 651-Bern... | GAMESTOP CORP | 211.000 | 1/21/2014 | 1/2/2015 | 7,147.31 | 8,210 41 | -1,063 10 |
| 651-Bern. | .MONSTER BEVERAGE CORPORATION | 132.000 | 2/11/2014 | 1/2/2015 | 14,302 08 | 9,597.47 | 4,704 61 |
| 651-Bern | . OFFICE DEPOT INC. | 832 000 | 1/31/2014 | 1/2/2015 | 7,017 25 | 4,065 98 | 2,951 27 |
| 651-Bern | . QUINTILES TRANSNATIONAL HOLDINGS INC | 173 000 | 3/13/2014 | 1/2/2015 | 10,226.19 | 8,887 98 | 1,338 21 |
| 651-Bern. | .VALERO ENERGY CORP | 146 000 | 10/21/2014 | 1/2/2015 | 7,359.99 | 6,969 75 | 390 24 |
| 651-Bern | .VERIZON COMMUNICATIONS | 11 000 | 2/21/2014 | 1/2/2015 | 517.72 | 527.74 | -10.02 |
| 651-Bern... | XEROX CORP | 515 000 | 1/31/2014 | 1/2/2015 | 7,097.63 | 5,638 43 | 1,459 20 |
| 651-Bern... | AMERICAN EXPRESS COMPANY | 300 000 | 5/5/2014 | 1/7/2015 | 27,030.60 | 26,116 08 | 914.52 |
| 651-Bern | AMERICAN EXPRESS COMPANY | 200 000 | 6/26/2014 | 1/7/2015 | 18,020.40 | 18,870.26 | -849.86 |
| 651-Bern... | VERIZON COMMUNICATIONS | 17.000 | 2/21/2014 | 1/7/2015 | 788 16 | 815 60 | -27 44 |
| 651-Bern. | VERIZON COMMUNICATIONS | 173.000 | 2/21/2014 | 1/7/2015 | 8,020 71 | 8,299 95 | -279 24 |
| 651-Bern | NXP SEMICONDUCTORS | 475 000 | 7/29/2014 | 1/16/2015 | 36,791 41 | 29,407 49 | 7,383.92 |
| 651-Bern | DOW CHEMICAL CO | 250.000 | 2/20/2014 | 1/28/2015 | 10,795 85 | 11,752 50 | -956 65 |
| 651-Bern. | DOW CHEMICAL CO | 350 000 | 4/10/2014 | 1/28/2015 | 15,114 19 | 17,021.27 | -1,907 08 |
| 651-Bern | ..DOW CHEMICAL CO | 400.000 | 5/5/2014 | 1/28/2015 | 17,273 36 | 19,617.36 | -2,344 00 |

# Capital Gains (Losses) Report - Last year

1/1/2015 through 12/31/2015



| Account | Security | Shares | Bought | Sold | Gross Proceeds | Cost Basis | Realized Gain/L |
|---|---|---|---|---|---|---|---|
| 651-Bern... | DOW CHEMICAL CO | 156 000 | 11/14/2014 | 1/28/2015 | 6,736 61 | 7,914 30 | -1,177 69 |
| 651-Bern. . | ALLERGAN INC | 50 000 | 8/20/2014 | 2/5/2015 | 11,254 56 | 8,095 30 | 3,159 26 |
| 651-Bern . | UNITED PARCEL SVC INC | 148 000 | 1/21/2015 | 2/11/2015 | 14,947 01 | 16,533 07 | -1,586.06 |
| 651-Bern | ASSURANT INC | 200 000 | 2/27/2014 | 2/17/2015 | 12,188.80 | 12,965 04 | -776 24 |
| 651-Bern | JPMORGAN CHASE & CO. | 150.000 | 7/15/2014 | 2/17/2015 | 8,964 27 | 8,760 09 | 204.18 |
| 651-Bern | EOG RES INC | 147.000 | 7/15/2014 | 3/11/2015 | 12,715 78 | 16,694.44 | -3,978 66 |
| 651-Bern | LINEAR TECHNOLOGY CORP | 247 000 | 1/16/2015 | 3/18/2015 | 11,573 04 | 11,170 01 | 403 03 |
| 651-Bern | NETSUITE INC | 100 000 | 5/8/2014 | 3/18/2015 | 9,614 52 | 7,236 74 | 2,377 78 |
| 651-Bern . | NETSUITE INC | 125 000 | 5/8/2014 | 3/19/2015 | 11,943 15 | 9,045 93 | 2,897 22 |
| 651-Bern | BOOZ ALLEN HAMILTON HOLDING CORP | 319 000 | 5/15/2014 | 3/20/2015 | 9,471 59 | 7,745 29 | 1,726.30 |
| 651-Bern. | VF CORP | 269 000 | 12/24/2014 | 3/20/2015 | 20,142.83 | 20,081 90 | 60 93 |
| 651-Bern | BOOZ ALLEN HAMILTON HOLDING CORP | 257 000 | 5/15/2014 | 3/25/2015 | 7,377 54 | 6,239.94 | 1,137.60 |
| 651-Bern | VF CORP | 162 000 | 12/24/2014 | 3/25/2015 | 12,113 02 | 12,093 93 | 19.09 |
| 651-Bern | LINEAR TECHNOLOGY CORP | 161 000 | 1/16/2015 | 3/26/2015 | 7,222 62 | 7,280 86 | -58.24 |
| 651-Bern. . | VERIZON COMMUNICATIONS | 900 000 | 4/2/2014 | 3/26/2015 | 43,596.00 | 43,122 96 | 473.04 |
| 651-Bern | SLM CORPORATION | 1,700.000 | 4/17/2014 | 3/27/2015 | 15,224 18 | 24,318 83 | -9,094 65 |
| 651-Bern.. | SLM CORPORATION | 1,400 000 | 6/5/2014 | 3/27/2015 | 12,537.56 | 12,341 98 | 195 58 |
| 651-Bern | LINEAR TECHNOLOGY CORP | 198 000 | 1/16/2015 | 3/31/2015 | 9,290.28 | 8,954 10 | 336 18 |
| 651-Bern. | LINEAR TECHNOLOGY CORP | 264.000 | 1/30/2015 | 3/31/2015 | 12,387 04 | 11,973 01 | 414 03 |
| 651-Bern | PHILIP MORRIS INTERNATIONAL INC | 150 000 | 6/27/2014 | 3/31/2015 | 11,389 82 | 12,645 18 | -1,255.36 |
| 651-Bern | VF CORP | 125 000 | 12/24/2014 | 3/31/2015 | 9,426.20 | 9,331.74 | 94.46 |
| 651-Bern | INTERCONTINENTALEXCHANGE GROUP INC | 40 000 | 10/15/2014 | 4/1/2015 | 9,265 27 | 8,025 13 | 1,240 14 |
| 651-Bern. | CHUBB CORP | 100.000 | 10/8/2014 | 4/10/2015 | 10,045 90 | 9,271 02 | 774.88 |
| 651-Bern . | BOOZ ALLEN HAMILTON HOLDING CORP | 274 000 | 5/15/2014 | 4/13/2015 | 7,725 54 | 6,652 69 | 1,072 85 |
| 651-Bern.. | PARTNERRE LTD. | 100 000 | 4/25/2014 | 4/14/2015 | 12,956 76 | 10,452 68 | 2,504 08 |
| 651-Bern. . | PARTNERRE LTD | 100.000 | 6/24/2014 | 4/14/2015 | 12,956 76 | 10,781 70 | 2,175.06 |
| 651-Bern . | FIDELITY NATIONAL INFORMATION SERVICES INC | 450 000 | 10/17/2014 | 4/22/2015 | 28,925.15 | 23,984 01 | 4,941.14 |
| 651-Bern. . | VALERO ENERGY CORP | 542.000 | 10/21/2014 | 5/4/2015 | 31,430 36 | 25,874 00 | 5,556 36 |
| 651-Bern... | OCCIDENTAL PETE. CORP | 300 000 | 6/30/2014 | 5/6/2015 | 23,906.25 | 29,807 90 | -5,901 65 |
| 651-Bern. . | OCCIDENTAL PETE. CORP | 100.000 | 8/20/2014 | 5/6/2015 | 7,968.75 | 9,820.00 | -1,851 25 |
| 651-Bern... | MOBILEYE NV | 1.000 | 1/29/2015 | 5/7/2015 | 44.97 | 38 97 | 6 00 |
| 651-Bern . | MOBILEYE NV | 462.000 | 1/29/2015 | 5/7/2015 | 20,777 25 | 18,005.66 | 2,771.59 |
| 651-Bern... | MOBILEYE NV | 30 000 | 2/5/2015 | 5/7/2015 | 1,349.17 | 1,113 77 | 235.40 |
| 651-Bern . | LOCKHEED MARTIN CORP | 79.000 | 11/26/2014 | 6/2/2015 | 15,034.23 | 14,916.58 | 117.65 |
| 651-Bern. . | AFFILIATED MANAGERS GROUP INC | 40.000 | 9/10/2014 | 6/3/2015 | 9,030 47 | 8,286 17 | 744.30 |
| 651-Bern | AFFILIATED MANAGERS GROUP INC | 43 000 | 1/14/2015 | 6/3/2015 | 9,707 76 | 8,553 14 | 1,154.62 |
| 651-Bern .. | MARATHON PETE CORP | 113.000 | 2/9/2015 | 6/5/2015 | 11,400.22 | 11,227 62 | 172 60 |
| 651-Bern... | MARATHON PETE CORP | 39.000 | 2/9/2015 | 6/5/2015 | 3,934 59 | 3,875 02 | 59.57 |

# Capital Gains (Losses) Report - Last year

1/1/2015 through 12/31/2015



| Account | Security | Shares | Bought | Sold | Gross Proceeds | Cost Basis | Realized Gain/L.. |
|---|---|---|---|---|---|---|---|
| 651-Bern | MARATHON PETE CORP | 176.000 | 2/9/2015 | 6/9/2015 | 17,567 42 | 17,487.27 | 80 15 |
| 651-Bern | LOCKHEED MARTIN CORP | 69.000 | 11/26/2014 | 6/10/2015 | 13,063 68 | 13,028 41 | 35 27 |
| 651-Bern | LOCKHEED MARTIN CORP | 73.000 | 2/19/2015 | 6/10/2015 | 13,821 00 | 14,633.30 | -812 30 |
| 651-Bern | ANTHEM INC | 149 000 | 7/24/2014 | 6/24/2015 | 25,181 04 | 17,217 11 | 7,963 93 |
| 651-Bern | UNITEDHEALTH GROUP INC | 200 000 | 9/3/2014 | 6/24/2015 | 24,061.18 | 17,403 16 | 6,658 02 |
| 651-Bern | UNITEDHEALTH GROUP INC. | 48 000 | 12/24/2014 | 6/24/2015 | 5,774 68 | 4,931 42 | 843 26 |
| 651-Bern | ANTHEM INC | 58 000 | 7/24/2014 | 6/29/2015 | 9,470 14 | 6,701 96 | 2,768 18 |
| 651-Bern | DELTA AIR LINES INC | 174 000 | 10/21/2014 | 6/29/2015 | 7,175 76 | 6,474.59 | 701 17 |
| 651-Bern | FISERV INC | 87 000 | 9/5/2014 | 6/29/2015 | 7,242 75 | 5,700 19 | 1,542 56 |
| 651-Bern | .GOLDMAN SACHS GROUP INC | 23 000 | 9/8/2014 | 6/29/2015 | 4,793 66 | 4,147 75 | 645 91 |
| 651-Bern | . UNITEDHEALTH GROUP INC | 60 000 | 12/24/2014 | 6/29/2015 | 7,301 82 | 6,164 27 | 1,137 55 |
| 651-Bern | VALERO ENERGY CORP | 121 000 | 10/21/2014 | 6/29/2015 | 7,309 60 | 5,776.30 | 1,533 30 |
| 651-Bern | . AUTOZONE INC | 25.000 | 10/2/2014 | 7/16/2015 | 17,015 32 | 12,851 09 | 4,164 23 |
| 651-Bern | INTEL CORP | 1,320.000 | 1/29/2015 | 7/24/2015 | 37,280 89 | 44,544 06 | -7,263 17 |
| 651-Bern | ...INTEL CORP | 224 000 | 3/6/2015 | 7/24/2015 | 6,326.45 | 7,485 97 | -1,159 52 |
| 651-Bern | .. INTEL CORP | 858 000 | 5/7/2015 | 7/24/2015 | 24,232 58 | 27,885 17 | -3,652.59 |
| 651-Bern | . PAYPAL HOLDINGS INC | 500.000 | 9/29/2014 | 8/13/2015 | 18,869 00 | 15,283 66 | 3,585.34 |
| 651-Bern | PAYPAL HOLDINGS INC | 145 000 | 1/30/2015 | 8/13/2015 | 5,472.01 | 4,505 63 | 966.38 |
| 651-Bern | STAPLES INC | 31 000 | 7/15/2015 | 9/30/2015 | 365.14 | 482 21 | -117 07 |
| 651-Bern | STAPLES INC | 1,130 000 | 7/15/2015 | 9/30/2015 | 13,310 04 | 17,577 15 | -4,267 11 |
| 651-Bern | .FORD MOTOR CO | 1,000.000 | 10/17/2014 | 10/1/2015 | 13,571.70 | 14,149 40 | -577.70 |
| 651-Bern | KOHLS CORP | 681 000 | 3/18/2015 | 10/2/2015 | 30,812 25 | 50,650.33 | -19,838 08 |
| 651-Bern | ...KOHLS CORP. | 119 000 | 3/23/2015 | 10/2/2015 | 5,384.23 | 9,087.95 | -3,703 72 |
| 651-Bern | SM ENERGY CO | 630 000 | 5/7/2015 | 10/6/2015 | 23,847 14 | 34,661.59 | -10,814 45 |
| 651-Bern | KOHLS CORP | 82 000 | 3/23/2015 | 10/8/2015 | 3,819 52 | 6,262 28 | -2,442.76 |
| 651-Bern | . KOHLS CORP. | 164 000 | 3/31/2015 | 10/8/2015 | 7,639 04 | 12,904 54 | -5,265 50 |
| 651-Bern | . .KOHLS CORP | 163 000 | 5/14/2015 | 10/8/2015 | 7,592 46 | 10,693 32 | -3,100 86 |
| 651-Bern | STAPLES INC | 1,339.000 | 7/15/2015 | 10/13/2015 | 16,231 63 | 20,828 15 | -4,596 52 |
| 651-Bern | ...AB Global Bond Fund Class I | 31 648 | 1/16/2015 | 10/21/2015 | 264 58 | 269 01 | -4.43 |
| 651-Bern | ...AB Global Bond Fund Class I | 46,808 982 | 1/16/2015 | 10/29/2015 | 390,855 00 | 397,876 35 | -7,021.35 |
| 651-Bern | UGI CORP | 440 000 | 4/15/2015 | 11/16/2015 | 15,091 38 | 15,474 93 | -383.55 |
| 651-Bern | .. TIME WARNER INC | 109 000 | 1/8/2015 | 12/11/2015 | 7,197 36 | 7,453 12 | -255.76 |
| 651-Bern | . TIME WARNER INC | 90 000 | 1/21/2015 | 12/11/2015 | 5,942 77 | 5,792 58 | 150.19 |
| 651-Bern | EMC CORP | 269 000 | 2/19/2015 | 12/21/2015 | 6,829 08 | 7,682 42 | -853.34 |
| 654-Euro | European Opportunities Series Dbt | 15 588 | 5/23/2014 | 1/23/2015 | 476.37 | 448.07 | 28.30 |
| **TOTAL SHORT TERM** | | | | | **4,114,277.81** | **4,156,393.17** | **-42,115.36** |

**LONG TERM**

# Capital Gains (Losses) Report - Last year

1/1/2015 through 12/31/2015



| Account | Security | Shares | Bought | Sold | Gross Proceeds | Cost Basis | Realized Gain/L |
|---|---|---|---|---|---|---|---|
| 616-B of ... | ASTON MONTAG & CALDWELL GROWTH FUND | 25,835 821 | 9/21/2012 | 2/10/2015 | 654,421 35 | 689,558.06 | -35,136 71 |
| 616-B of . . | CMG ULTRA SHORT TERM BOND FUND | 16,378 071 | 5/2/2012 | 2/10/2015 | 147,238 86 | 147,566 42 | -327 56 |
| 616-B of | COLUMBIA LARGE CAP ENHANCED CORE FUND | 1,541 190 | 12/27/2006 | 2/10/2015 | 32,935 24 | 19,116.11 | 13,819 13 |
| 616-B of | .COLUMBIA STRATEGIC INCOME FUND | 79,561 963 | 3/20/2009 | 2/10/2015 | 464,641.86 | 407,357 25 | 57,284 61 |
| 616-B of | GOLDMAN SACHS ABSOLUTE RETURN TRACKER F | 18,183 154 | 3/7/2013 | 2/10/2015 | 166,557 69 | 168,194 17 | -1,636.48 |
| 616-B of . | GOLDMAN SACHS ABSOLUTE RETURN TRACKER F | 9,214 075 | 4/10/2013 | 2/10/2015 | 84,400 93 | 85,322 33 | -921 40 |
| 616-B of | MFS SER TR I RESH INTL FUND | 4,254 549 | 3/23/2009 | 2/10/2015 | 73,433 51 | 40,205 49 | 33,228 02 |
| 616-B of | PIMCO TOTAL RETURN FUND | 44,564 551 | 3/23/2009 | 2/10/2015 | 481,297 15 | 448,765 03 | 32,532 12 |
| 616-B of | SCOUT MID CAP FUND | 2,940 503 | 5/24/2012 | 2/10/2015 | 45,783.63 | 38,550 00 | 7,233 63 |
| 616-B of | .ISHARES MSCI TAIWAN INDEX FUND | 640 000 | 7/15/2011 | 2/12/2015 | 9,860 45 | 9,436 80 | 423 65 |
| 616-B of | ISHARES MSCI TAIWAN INDEX FUND | 4,555.000 | 5/4/2012 | 2/12/2015 | 70,178 69 | 58,372 33 | 11,806.36 |
| 616-B of .. | ISHARES MSCI TAIWAN INDEX FUND | 1,000 000 | 5/29/2012 | 2/12/2015 | 15,406.96 | 11,910 00 | 3,496 96 |
| 616-B of | ISHARES MSCI JAPAN INDEX FUND | 8,095 000 | 3/11/2013 | 2/12/2015 | 93,252 33 | 84,987 79 | 8,264.54 |
| 616-B of ... | COLUMBIA EMERGING MARKETS FUND | 22,326 199 | 11/18/2013 | 7/9/2015 | 216,564 13 | 225,941 13 | -9,377 00 |
| 616-B of | PRUDENTIAL GLOBAL REAL ESTATE FUND | 3,148 804 | 3/7/2013 | 8/4/2015 | 77,271 66 | 71,225 95 | 6,045 71 |
| 616-B of | ISHARES CORE S&P MID CAP ETF | 1,890 000 | 12/10/2014 | 12/31/2015 | 265,416 13 | 273,567 48 | -8,151 35 |
| 640-Com | DFA Global Real Estate Sec Portfolio | 7,131 543 | 8/13/2013 | 1/7/2015 | 75,879 62 | 66,109.40 | 9,770 22 |
| 640-Com | DFA US Large Capital Value Portfolio | 879.933 | 9/30/2009 | 2/26/2015 | 30,648.05 | 14,536.49 | 16,111 56 |
| 640-Com | PIMCO ALL ASSET ALL AUTH FUND | 55,865 922 | 2/12/2013 | 6/30/2015 | 500,000 00 | 618,994 42 | -118,994 42 |
| 640-Com | DFA International Vector Equity | 10,574.331 | 8/13/2013 | 9/23/2015 | 107,752 43 | 115,365 95 | -7,613 52 |
| 640-Com .. | DFA Global Real Estate Sec Portfolio | 6,229.166 | 8/13/2013 | 9/23/2015 | 61,793 33 | 57,744 37 | 4,048 96 |
| 640-Com .. | DFA International Core Equity | 10,433 679 | 9/30/2009 | 9/23/2015 | 115,292.15 | 106,051.21 | 9,240 94 |
| 640-Com .. | DFA US Vector Equity Portfolio Institutional | 18,010 693 | 9/30/2009 | 9/23/2015 | 279,525.96 | 156,653 92 | 122,872 04 |
| 640-Com... | DFA US Core Equity II | 13,513 681 | 9/30/2009 | 9/23/2015 | 221,354.09 | 122,482 35 | 98,871.74 |
| 640-Com... | DFA Emerging Markets Core Equity Portfolio | 3,229 021 | 9/30/2009 | 9/23/2015 | 51,954 94 | 57,641 67 | -5,686 73 |
| 640-Com | .Dimensional Emerging Markets Value Prtf Instl | 5,361 131 | 9/30/2009 | 9/23/2015 | 114,138 48 | 153,583.40 | -39,444 92 |
| 640-Com | DFA One Year Fixed Income Portfolio | 2,253 549 | 9/30/2009 | 9/23/2015 | 23,256 63 | 23,282 14 | -25.51 |
| 640-Com | .DFA Inv't Two Year Global Fixed Income | 84,015 219 | 9/30/2009 | 9/23/2015 | 835,951 43 | 859,625.47 | -23,674 04 |
| 640-Com... | DFA US Large Capital Value Portfolio | 2,022 622 | 9/30/2009 | 9/23/2015 | 62,842 86 | 33,413.72 | 29,429.14 |
| 640-Com | .DFA International Vector Equity | 4,784.690 | 8/13/2013 | 9/23/2015 | 48,755.99 | 52,200 97 | -3,444.98 |
| 640-Com | .DFA Global Real Estate Sec Portfolio | 7,507.510 | 8/13/2013 | 9/23/2015 | 74,474.50 | 69,594 62 | 4,879 88 |
| 640-Com | DFA International Core Equity | 4,409 170 | 9/30/2009 | 9/23/2015 | 48,721.33 | 44,816 20 | 3,905.13 |
| 640-Com . | DFA US Vector Equity Portfolio Institutional | 2,291.480 | 9/30/2009 | 9/23/2015 | 35,563.77 | 19,930 90 | 15,632.87 |
| 640-Com | DFA US Core Equity II | 15,114 870 | 9/30/2009 | 9/23/2015 | 247,581.57 | 136,994 86 | 110,586 71 |
| 640-Com . | DFA Emerging Markets Core Equity Portfolio | 4,567 600 | 9/30/2009 | 9/23/2015 | 73,492.68 | 81,536 82 | -8,044.14 |
| 640-Com. | Dimensional Emerging Markets Value Prtf Instl | 2,453 590 | 9/30/2009 | 9/23/2015 | 52,236 93 | 70,289.40 | -18,052.47 |
| 640-Com. . | Dimensional Emerging Markets Value Prtf Instl | 1,697.426 | 12/21/2012 | 9/23/2015 | 36,138 20 | 48,627.14 | -12,488 94 |
| 640-Com. | DFA One Year Fixed Income Portfolio | 40,623 888 | 9/30/2009 | 9/23/2015 | 419,238.52 | 419,698 51 | -459 99 |

# Capital Gains (Losses) Report - Last year

1/1/2015 through 12/31/2015



| Account | Security | Shares | Bought | Sold | Gross Proceeds | Cost Basis | Realized Gain/L. |
|---|---|---|---|---|---|---|---|
| 640-Com | DFA Inv't Two Year Global Fixed Income | 20,100 500 | 9/30/2009 | 9/23/2015 | 199,999 98 | 205,663 95 | -5,663 97 |
| 640-Com . | DFA Five Year Global Fixed Income Portfolio | 21,926 020 | 9/30/2009 | 9/23/2015 | 242,721.04 | 244,908 29 | -2,187 25 |
| 651-Bern | ALLERGAN INC | 51.000 | 5/20/2013 | 1/2/2015 | 10,882 38 | 5,097 89 | 5,784.49 |
| 651-Bern . | ALLIANCEBERNSTEIN DISCOVERY VALUE FUND | 982 968 | 6/18/2012 | 1/2/2015 | 20,200 00 | 15,747.15 | 4,452 85 |
| 651-Bern . | ALLIANCEBERNSTEIN DISCOVERY GROWTH FUND | 1,388 889 | 6/18/2012 | 1/2/2015 | 13,000 00 | 9,722 22 | 3,277 78 |
| 651-Bern... | ALTRIA GROUP INC. | 224.000 | 8/17/2012 | 1/2/2015 | 10,977.01 | 7,915 29 | 3,061 72 |
| 651-Bern | AMDOCS LIMITED | 119.000 | 5/17/2013 | 1/2/2015 | 5,544 05 | 4,307 51 | 1,236 54 |
| 651-Bern | AMDOCS LIMITED | 34 000 | 10/18/2013 | 1/2/2015 | 1,584 02 | 1,263.37 | 320.65 |
| 651-Bern . | AMERICAN INTERNATIONAL GROUP | 288.000 | 4/26/2013 | 1/2/2015 | 16,188 05 | 11,807 71 | 4,380 34 |
| 651-Bern... | AMERICAN TOWER CORP | 109.000 | 12/17/2013 | 1/2/2015 | 10,885 23 | 8,317 99 | 2,567 24 |
| 651-Bern . | AMERICAN EXPRESS COMPANY | 175 000 | 5/15/2013 | 1/2/2015 | 16,320 45 | 12,730 92 | 3,589 53 |
| 651-Bern . | AMPHENOL CORP | 193 000 | 11/7/2013 | 1/2/2015 | 10,366 03 | 7,747 99 | 2,618 04 |
| 651-Bern | ANSYS INC | 101.000 | 7/6/2012 | 1/2/2015 | 8,259 22 | 6,125.71 | 2,133.51 |
| 651-Bern | APPLE INC | 15.000 | 9/7/2010 | 1/2/2015 | 1,641 03 | 554 83 | 1,086.20 |
| 651-Bern... | APPLE INC | 132 000 | 3/29/2011 | 1/2/2015 | 14,441 02 | 6,599 74 | 7,841 28 |
| 651-Bern... | BANK OF AMERICA CORP | 449.000 | 12/12/2012 | 1/2/2015 | 8,049 99 | 4,766 22 | 3,283 77 |
| 651-Bern | BOEING CO | 125 000 | 5/8/2013 | 1/2/2015 | 16,262 26 | 11,762 60 | 4,499 66 |
| 651-Bern. . | BOEING CO | 150.000 | 5/17/2013 | 1/2/2015 | 19,514 72 | 14,833 04 | 4,681 68 |
| 651-Bern .. | CAPITAL ONE FINANCIAL CORP | 143 000 | 4/3/2013 | 1/2/2015 | 11,818 03 | 7,747 04 | 4,070.99 |
| 651-Bern | COMCAST CORPORATION | 261 000 | 4/5/2011 | 1/2/2015 | 15,001 71 | 6,568 64 | 8,433 07 |
| 651-Bern | COMCAST CORPORATION | 12 000 | 4/8/2011 | 1/2/2015 | 689 73 | 299 52 | 390 21 |
| 651-Bern | CVS HEALTH CORP | 75 000 | 11/20/2013 | 1/2/2015 | 7,141 04 | 4,918 97 | 2,222.07 |
| 651-Bern.. | DANAHER CORP | 90 000 | 3/14/2011 | 1/2/2015 | 7,730 17 | 4,571 24 | 3,158 93 |
| 651-Bern... | ELECTRONIC ARTS INC | 229 000 | 5/29/2013 | 1/2/2015 | 10,759 95 | 5,305 59 | 5,454 36 |
| 651-Bern | FIDELITY NATIONAL INFORMATION SERVICES INC | 131 000 | 11/12/2012 | 1/2/2015 | 8,182 64 | 4,576.67 | 3,605 97 |
| 651-Bern . | FORD MOTOR CO. | 614 000 | 10/31/2012 | 1/2/2015 | 9,423 92 | 6,674 79 | 2,749 13 |
| 651-Bern | GILEAD SCIENCES INC. | 129.000 | 6/12/2013 | 1/2/2015 | 12,279 57 | 6,687 73 | 5,591.84 |
| 651-Bern... | ALPHABET INC | 1 000 | 1/15/2009 | 1/2/2015 | 529 89 | 146 85 | 383 04 |
| 651-Bern... | ALPHABET INC | 27.000 | 3/31/2009 | 1/2/2015 | 14,307 14 | 4,670 96 | 9,636 18 |
| 651-Bern. . | HESS CORPORATION | 97 000 | 10/11/2013 | 1/2/2015 | 7,198.02 | 7,855 46 | -657 44 |
| 651-Bern. . | HP INC | 300.000 | 8/5/2011 | 1/2/2015 | 12,097 02 | 9,743 73 | 2,353 29 |
| 651-Bern . | HP INC | 28 000 | 8/19/2011 | 1/2/2015 | 1,129 06 | 658 63 | 470 43 |
| 651-Bern | HOME DEPOT INC | 100 000 | 5/30/2012 | 1/2/2015 | 10,370 67 | 4,960 32 | 5,410 35 |
| 651-Bern | HOME DEPOT INC | 40.000 | 7/27/2012 | 1/2/2015 | 4,148.27 | 2,146.56 | 2,001 71 |
| 651-Bern... | JOHNSON & JOHNSON | 156 000 | 8/24/2010 | 1/2/2015 | 16,336 29 | 9,068.94 | 7,267.35 |
| 651-Bern. | JPMORGAN CHASE & CO | 141 000 | 4/12/2012 | 1/2/2015 | 8,833 51 | 6,261 89 | 2,571.62 |
| 651-Bern | KROGER CO. | 211 000 | 2/3/2012 | 1/2/2015 | 13,398 54 | 5,072 44 | 8,326 10 |
| 651-Bern | LYONDELLBASELL INDUSTRIES | 123 000 | 10/3/2011 | 1/2/2015 | 9,874 44 | 3,013.91 | 6,860 53 |

# Capital Gains (Losses) Report - Last year

1/1/2015 through 12/31/2015



| Account | Security | Shares | Bought | Sold | Gross Proceeds | Cost Basis | Realized Gain/L. |
|---|---|---|---|---|---|---|---|
| 651-Bern.. | MEAD JOHNSON NUTRITION CO | 71.000 | 11/26/2013 | 1/2/2015 | 7,108 15 | 6,003.86 | 1,104 29 |
| 651-Bern | MICROSOFT CORP | 283.000 | 9/14/2012 | 1/2/2015 | 13,266.90 | 8,801.16 | 4,465 74 |
| 651-Bern... | MONSANTO CO | 90 000 | 2/15/2013 | 1/2/2015 | 10,784 70 | 9,266.05 | 1,518 65 |
| 651-Bern. . | OCCIDENTAL PETE. CORP. | 94 000 | 9/13/2013 | 1/2/2015 | 7,589 73 | 8,280 37 | -690 64 |
| 651-Bern | PARTNERRE LTD | 97 000 | 11/13/2012 | 1/2/2015 | 10,925 65 | 7,677.22 | 3,248 43 |
| 651-Bern | PFIZER INC | 584 000 | 8/20/2010 | 1/2/2015 | 18,354 83 | 9,304 76 | 9,050 07 |
| 651-Bern.. | POLARIS INDUSTRIES INC | 48 000 | 11/7/2013 | 1/2/2015 | 7,163 19 | 6,148.61 | 1,014.58 |
| 651-Bern | PRECISION CASTPARTS CORP | 36 000 | 2/22/2012 | 1/2/2015 | 8,599 39 | 6,017 96 | 2,581 43 |
| 651-Bern | PRICELINE GROUP INC | 7 000 | 4/10/2013 | 1/2/2015 | 7,991 38 | 5,029 73 | 2,961 65 |
| 651-Bern . | RAYTHEON CO | 118 000 | 10/24/2013 | 1/2/2015 | 12,845 08 | 9,147.37 | 3,697 71 |
| 651-Bern | STARBUCKS CORP. | 105 000 | 11/1/2010 | 1/2/2015 | 8,562 09 | 3,015 41 | 5,546.68 |
| 651-Bern. . | TIME WARNER INC | 84 000 | 8/2/2012 | 1/2/2015 | 7,150 75 | 2,729 58 | 4,421 17 |
| 651-Bern | UNION PACIFIC CORP | 126 000 | 8/21/2012 | 1/2/2015 | 14,968 51 | 7,864 62 | 7,103.89 |
| 651-Bern . | VERIZON COMMUNICATIONS | 200 000 | 11/20/2013 | 1/2/2015 | 9,413 08 | 10,161 90 | -748 82 |
| 651-Bern | VISA INC | 50 000 | 6/27/2012 | 1/2/2015 | 13,256 47 | 6,145 57 | 7,110 90 |
| 651-Bern... | WALT DISNEY CO | 76 000 | 11/25/2011 | 1/2/2015 | 7,134.28 | 2,565 88 | 4,568 40 |
| 651-Bern . | WELLS FARGO & CO | 214.000 | 2/21/2012 | 1/2/2015 | 11,727 95 | 6,690.41 | 5,037.54 |
| 651-Bern. . | WELLS FARGO & CO | 203 000 | 2/22/2012 | 1/2/2015 | 11,125 11 | 6,233 95 | 4,891 16 |
| 651-Bern . | Overlay A Portfolio | 21,320 523 | 3/2/2010 | 1/2/2015 | 260,750 00 | 223,276 75 | 37,473 25 |
| 651-Bern | Overlay B Portfolio | 1,555.024 | 3/2/2010 | 1/2/2015 | 16,250 00 | 15,869 17 | 380 83 |
| 651-Bern | AMERICAN EXPRESS COMPANY | 35.000 | 5/15/2013 | 1/7/2015 | 3,153.57 | 2,546.18 | 607 39 |
| 651-Bern | AMERICAN EXPRESS COMPANY | 250.000 | 5/20/2013 | 1/7/2015 | 22,525 50 | 18,576 70 | 3,948 80 |
| 651-Bern | AMERICAN EXPRESS COMPANY | 200.000 | 9/17/2013 | 1/7/2015 | 18,020.40 | 15,312.62 | 2,707 78 |
| 651-Bern | JPMORGAN CHASE & CO | 9 000 | 4/12/2012 | 1/7/2015 | 532 62 | 399 70 | 132 92 |
| 651-Bern. | JPMORGAN CHASE & CO | 350.000 | 10/23/2012 | 1/7/2015 | 20,712 86 | 14,496 58 | 6,216.28 |
| 651-Bern. . | JPMORGAN CHASE & CO | 10 000 | 7/16/2013 | 1/7/2015 | 591.80 | 550 68 | 41.12 |
| 651-Bern... | STARBUCKS CORP | 45 000 | 11/1/2010 | 1/13/2015 | 3,621 80 | 1,292 32 | 2,329.48 |
| 651-Bern . | STARBUCKS CORP. | 44 000 | 4/29/2011 | 1/13/2015 | 3,541 31 | 1,594 94 | 1,946.37 |
| 651-Bern... | PRECISION CASTPARTS CORP | 9 000 | 2/22/2012 | 1/16/2015 | 1,716 13 | 1,504.49 | 211 64 |
| 651-Bern... | PRECISION CASTPARTS CORP | 75 000 | 8/23/2012 | 1/16/2015 | 14,301 10 | 12,127.15 | 2,173 95 |
| 651-Bern. . | Bernstein Inter Duration Institutional | 157,452 801 | 7/25/2003 | 1/16/2015 | 2,459,412 75 | 2,449,965.59 | 9,447 16 |
| 651-Bern | Bernstein Inter. Duration Institutional | 5,176 211 | 12/11/2003 | 1/16/2015 | 80,852 42 | 80,231.27 | 621 15 |
| 651-Bern | Bernstein Inter. Duration Institutional | 951 304 | 12/11/2003 | 1/16/2015 | 14,859.37 | 14,745.21 | 114.16 |
| 651-Bern... | Bernstein Inter Duration Institutional | 6,324 900 | 6/14/2004 | 1/16/2015 | 98,794 94 | 95,000 00 | 3,794.94 |
| 651-Bern... | Bernstein Inter Duration Institutional | 10,470 169 | 8/17/2004 | 1/16/2015 | 163,544.04 | 161,450 00 | 2,094 04 |
| 651-Bern | Bernstein Inter Duration Institutional | 15,370 729 | 11/5/2004 | 1/16/2015 | 240,090.79 | 238,400 00 | 1,690.79 |
| 651-Bern . | Bernstein Inter Duration Institutional | 11,439 150 | 11/17/2004 | 1/16/2015 | 178,679.52 | 177,650 00 | 1,029 52 |
| 651-Bern | Bernstein Inter Duration Institutional | 2,033 784 | 12/15/2004 | 1/16/2015 | 31,767 71 | 31,421 97 | 345.74 |

# Capital Gains (Losses) Report - Last year

1/1/2015 through 12/31/2015



| Account | Security | Shares | Bought | Sold | Gross Proceeds | Cost Basis | Realized Gain/L |
|---|---|---|---|---|---|---|---|
| 651-Bern | Bernstein Inter Duration Institutional | 907 381 | 12/15/2004 | 1/16/2015 | 14,173.29 | 14,019.03 | 154.26 |
| 651-Bern. | Bernstein Inter Duration Institutional | 8,523 316 | 1/20/2005 | 1/16/2015 | 133,134 20 | 131,600 00 | 1,534 20 |
| 651-Bern . | Bernstein Inter. Duration Institutional | 4,938.070 | 8/23/2005 | 1/16/2015 | 77,132 65 | 75,750 00 | 1,382.65 |
| 651-Bern... | Bernstein Inter Duration Institutional | 5,806.231 | 9/20/2005 | 1/16/2015 | 90,693 33 | 88,893 40 | 1,799.93 |
| 651-Bern | Overlay A Portfolio | 9,129 553 | 3/2/2010 | 1/16/2015 | 110,285 00 | 95,608.20 | 14,676 80 |
| 651-Bern | .Overlay B Portfolio | 3,199 475 | 3/2/2010 | 1/16/2015 | 33,498 50 | 32,650 94 | 847 56 |
| 651-Bern . | Overlay B Portfolio | 10,003 486 | 3/2/2010 | 1/16/2015 | 104,736 50 | 102,086 52 | 2,649.98 |
| 651-Bern... | Overlay A Portfolio | 37 959 | 3/2/2010 | 1/22/2015 | 466 89 | 397 52 | 69.37 |
| 651-Bern | MICROSOFT CORP | 517.000 | 9/14/2012 | 1/28/2015 | 21,630 71 | 16,078 44 | 5,552 27 |
| 651-Bern... | MICROSOFT CORP. | 400 000 | 11/27/2012 | 1/28/2015 | 16,735 56 | 10,872.48 | 5,863 08 |
| 651-Bern... | MICROSOFT CORP. | 247 000 | 11/20/2013 | 1/28/2015 | 10,334 21 | 9,182 72 | 1,151 49 |
| 651-Bern | .ALLERGAN INC | 149 000 | 5/20/2013 | 2/5/2015 | 33,538 60 | 14,893 85 | 18,644 75 |
| 651-Bern | ALLERGAN INC | 150 000 | 1/23/2014 | 2/5/2015 | 33,763 69 | 17,533 98 | 16,229.71 |
| 651-Bern. | ASSURANT INC | 192 000 | 2/3/2014 | 2/17/2015 | 11,701 25 | 12,385 75 | -684.50 |
| 651-Bern | .JPMORGAN CHASE & CO | 440 000 | 7/16/2013 | 2/17/2015 | 26,295 19 | 24,229 83 | 2,065 36 |
| 651-Bern | DTE ENERGY CO | 338 000 | 2/3/2014 | 2/18/2015 | 28,147 05 | 23,049 30 | 5,097 75 |
| 651-Bern | DTE ENERGY CO | 400 000 | 2/14/2014 | 2/18/2015 | 33,310 12 | 28,486 60 | 4,823 52 |
| 651-Bern | .EVEREST RE GROUP LTD | 125 000 | 3/12/2013 | 2/19/2015 | 22,375.44 | 15,912 50 | 6,462.94 |
| 651-Bern | PARTNERRE LTD | 3 000 | 11/13/2012 | 2/20/2015 | 352 85 | 237 44 | 115.41 |
| 651-Bern . | PARTNERRE LTD | 5 000 | 11/13/2012 | 2/20/2015 | 588 08 | 395 73 | 192 35 |
| 651-Bern. | .PARTNERRE LTD | 52 000 | 11/13/2012 | 2/20/2015 | 6,116 01 | 4,115.62 | 2,000 39 |
| 651-Bern. | .PARTNERRE LTD | 97 000 | 2/12/2013 | 2/20/2015 | 11,408 71 | 8,561 04 | 2,847 67 |
| 651-Bern. | .PARTNERRE LTD | 103.000 | 2/12/2013 | 2/23/2015 | 11,899 38 | 9,090.58 | 2,808.80 |
| 651-Bern | PARTNERRE LTD | 46 000 | 12/17/2013 | 2/23/2015 | 5,314 29 | 4,503 62 | 810.67 |
| 651-Bern | .KROGER CO | 6 000 | 2/3/2012 | 3/3/2015 | 420 15 | 144 24 | 275.91 |
| 651-Bern. | KROGER CO. | 183.000 | 2/3/2012 | 3/3/2015 | 12,814 60 | 4,399 32 | 8,415.28 |
| 651-Bern | KROGER CO | 18.000 | 2/3/2012 | 3/3/2015 | 1,260 45 | 432 72 | 827 73 |
| 651-Bern | CHUBB CORP | 106 000 | 3/22/2013 | 3/18/2015 | 10,684 26 | 9,130 19 | 1,554 07 |
| 651-Bern | INTERCONTINENTALEXCHANGE GROUP INC | 1.000 | 11/12/2012 | 3/18/2015 | 230.68 | 130 39 | 100.29 |
| 651-Bern | INTERCONTINENTALEXCHANGE GROUP INC | 9 000 | 11/12/2012 | 3/18/2015 | 2,076 13 | 1,173 55 | 902 58 |
| 651-Bern . | INTERCONTINENTALEXCHANGE GROUP INC | 39 000 | 12/5/2012 | 3/18/2015 | 8,996 58 | 5,073 69 | 3,922.89 |
| 651-Bern . | CHUBB CORP. | 66 000 | 3/22/2013 | 3/23/2015 | 6,802 41 | 5,684 84 | 1,117.57 |
| 651-Bern . | CHUBB CORP | 53 000 | 3/22/2013 | 3/23/2015 | 5,462.54 | 4,565 10 | 897 44 |
| 651-Bern | CHUBB CORP | 22.000 | 9/12/2013 | 3/23/2015 | 2,267 47 | 1,923 66 | 343 81 |
| 651-Bern | INTERCONTINENTALEXCHANGE GROUP INC | 27 000 | 12/5/2012 | 3/23/2015 | 6,363.32 | 3,512 56 | 2,850.76 |
| 651-Bern... | INTERCONTINENTALEXCHANGE GROUP INC | 9.000 | 12/5/2012 | 3/23/2015 | 2,121 11 | 1,170.85 | 950 26 |
| 651-Bern | .INTERCONTINENTALEXCHANGE GROUP INC | 25.000 | 2/14/2013 | 3/23/2015 | 5,891 96 | 3,808 63 | 2,083 33 |
| 651-Bern . | PHILIP MORRIS INTERNATIONAL INC | 150.000 | 8/30/2012 | 3/25/2015 | 11,611.50 | 13,605.59 | -1,994 09 |

# Capital Gains (Losses) Report - Last year

1/1/2015 through 12/31/2015



| Account | Security | Shares | Bought | Sold | Gross Proceeds | Cost Basis | Realized Gain/L |
|---|---|---|---|---|---|---|---|
| 651-Bern.. | PHILIP MORRIS INTERNATIONAL INC | 73 000 | 10/31/2012 | 3/25/2015 | 5,650.93 | 6,492 97 | -842 04 |
| 651-Bern | CHUBB CORP | 91 000 | 9/12/2013 | 3/26/2015 | 9,103 18 | 7,956.98 | 1,146.20 |
| 651-Bern | .PHILIP MORRIS INTERNATIONAL INC | 77 000 | 10/31/2012 | 3/26/2015 | 5,886 55 | 6,848 75 | -962.20 |
| 651-Bern | PHILIP MORRIS INTERNATIONAL INC | 71.000 | 12/20/2012 | 3/26/2015 | 5,427 86 | 6,001.13 | -573.27 |
| 651-Bern | VERIZON COMMUNICATIONS | 99 000 | 2/21/2014 | 3/26/2015 | 4,795 56 | 4,749 68 | 45.88 |
| 651-Bern | .PHILIP MORRIS INTERNATIONAL INC | 129 000 | 12/20/2012 | 3/31/2015 | 9,795 24 | 10,903 45 | -1,108 21 |
| 651-Bern | INTERCONTINENTALEXCHANGE GROUP INC | 50 000 | 2/14/2013 | 4/1/2015 | 11,581.58 | 7,617 25 | 3,964 33 |
| 651-Bern | .CHUBB CORP | 62 000 | 9/12/2013 | 4/10/2015 | 6,228 46 | 5,421 24 | 807.22 |
| 651-Bern | .MONSANTO CO | 5 000 | 2/15/2013 | 4/10/2015 | 596 42 | 514.78 | 81 64 |
| 651-Bern... | MONSANTO CO | 182 000 | 2/15/2013 | 4/10/2015 | 21,709 54 | 18,738 01 | 2,971.53 |
| 651-Bern . | PARTNERRE LTD | 79 000 | 12/17/2013 | 4/14/2015 | 10,235 84 | 7,734 47 | 2,501 37 |
| 651-Bern. . | GOLDMAN SACHS GROUP INC | 178.000 | 4/11/2014 | 4/16/2015 | 35,715 13 | 27,371 01 | 8,344 12 |
| 651-Bern | FIDELITY NATIONAL INFORMATION SERVICES INC | 119 000 | 11/12/2012 | 4/22/2015 | 7,649 09 | 4,157 43 | 3,491 66 |
| 651-Bern.. | FIDELITY NATIONAL INFORMATION SERVICES INC | 400.000 | 8/23/2013 | 4/22/2015 | 25,711 24 | 18,278 00 | 7,433 24 |
| 651-Bern... | FIDELITY NATIONAL INFORMATION SERVICES INC | 300.000 | 1/8/2014 | 4/22/2015 | 19,283 43 | 15,916 50 | 3,366 93 |
| 651-Bern | .MONSTER BEVERAGE CORPORATION | 143 000 | 2/11/2014 | 4/30/2015 | 19,658 21 | 10,397 26 | 9,260 95 |
| 651-Bern | MONSTER BEVERAGE CORPORATION | 99 000 | 2/20/2014 | 4/30/2015 | 13,609 53 | 7,393 34 | 6,216 19 |
| 651-Bern... | ELECTRONIC ARTS INC | 172 000 | 5/29/2013 | 5/4/2015 | 10,146.52 | 3,984.98 | 6,161 54 |
| 651-Bern | MONSANTO CO | 48 000 | 2/15/2013 | 5/4/2015 | 5,598 56 | 4,941 89 | 656 67 |
| 651-Bern | .MONSANTO CO | 150.000 | 3/22/2013 | 5/4/2015 | 17,495.50 | 15,224 81 | 2,270 69 |
| 651-Bern | MONSANTO CO | 125 000 | 4/17/2014 | 5/4/2015 | 14,579 59 | 14,098.69 | 480.90 |
| 651-Bern | OCCIDENTAL PETE CORP | 806 000 | 9/13/2013 | 5/6/2015 | 64,228 13 | 70,999 75 | -6,771 62 |
| 651-Bern | AMERICAN INTERNATIONAL GROUP | 3 000 | 4/26/2013 | 5/28/2015 | 177 84 | 123 00 | 54 84 |
| 651-Bern | AMERICAN INTERNATIONAL GROUP | 150 000 | 4/26/2013 | 5/28/2015 | 8,892 00 | 6,149 85 | 2,742 15 |
| 651-Bern . | AMERICAN TOWER CORP | 1 000 | 12/17/2013 | 5/28/2015 | 94 32 | 76.31 | 18 01 |
| 651-Bern | AMERICAN TOWER CORP | 134 000 | 12/17/2013 | 5/28/2015 | 12,638 46 | 10,225 78 | 2,412 68 |
| 651-Bern | LOCKHEED MARTIN CORP | 14 000 | 1/23/2014 | 5/28/2015 | 2,659 64 | 2,145 24 | 514.40 |
| 651-Bern | LOCKHEED MARTIN CORP | 75 000 | 1/23/2014 | 5/28/2015 | 14,248 07 | 11,492 34 | 2,755 73 |
| 651-Bern | AMERICAN TOWER CORP | 184 000 | 12/17/2013 | 5/29/2015 | 17,129 83 | 14,041 37 | 3,088 46 |
| 651-Bern | LOCKHEED MARTIN CORP | 77 000 | 1/23/2014 | 5/29/2015 | 14,513 64 | 11,798 80 | 2,714 84 |
| 651-Bern | .LOCKHEED MARTIN CORP | 24 000 | 1/23/2014 | 6/1/2015 | 4,548.88 | 3,677 55 | 871 33 |
| 651-Bern | LOCKHEED MARTIN CORP | 69.000 | 3/7/2014 | 6/1/2015 | 13,078 03 | 11,498.71 | 1,579 32 |
| 651-Bern | LOCKHEED MARTIN CORP | 1.000 | 3/7/2014 | 6/2/2015 | 190.31 | 166 65 | 23 66 |
| 651-Bern | .LOCKHEED MARTIN CORP | 15 000 | 3/7/2014 | 6/2/2015 | 2,854 60 | 2,499 72 | 354.88 |
| 651-Bern | .AFFILIATED MANAGERS GROUP INC | 85.000 | 12/5/2012 | 6/3/2015 | 19,189.75 | 10,878 50 | 8,311.25 |
| 651-Bern | .AFFILIATED MANAGERS GROUP INC | 75 000 | 2/6/2013 | 6/3/2015 | 16,932 14 | 10,865 87 | 6,066 27 |
| 651-Bern.. | AMERICAN TOWER CORP | 189 000 | 12/17/2013 | 6/3/2015 | 17,588 74 | 14,422 93 | 3,165 81 |
| 651-Bern | .POLARIS INDUSTRIES INC | 66 000 | 11/7/2013 | 6/4/2015 | 9,504 34 | 8,454.34 | 1,050 00 |

# Capital Gains (Losses) Report - Last year

1/1/2015 through 12/31/2015



| Account | Security | Shares | Bought | Sold | Gross Proceeds | Cost Basis | Realized Gain/L |
|---|---|---|---|---|---|---|---|
| 651-Bern | .AMERICAN TOWER CORP | 233.000 | 12/17/2013 | 6/5/2015 | 21,663 04 | 17,780 65 | 3,882 39 |
| 651-Bern | POLARIS INDUSTRIES INC | 61 000 | 11/7/2013 | 6/5/2015 | 8,753.05 | 7,813 86 | 939 19 |
| 651-Bern. | .POLARIS INDUSTRIES INC | 51 000 | 1/27/2014 | 6/5/2015 | 7,318 12 | 6,729 57 | 588 55 |
| 651-Bern | . POLARIS INDUSTRIES INC | 49 000 | 1/27/2014 | 6/9/2015 | 6,991.31 | 6,465 67 | 525 64 |
| 651-Bern | POLARIS INDUSTRIES INC | 75 000 | 5/30/2014 | 6/9/2015 | 10,700 99 | 9,680 72 | 1,020 27 |
| 651-Bern | . AETNA INC | 278 000 | 6/20/2014 | 6/24/2015 | 35,140 90 | 22,705 79 | 12,435.11 |
| 651-Bern | .ALLIANCEBERNSTEIN DISCOVERY VALUE FUND | 482.134 | 6/18/2012 | 6/29/2015 | 10,120 00 | 7,723 79 | 2,396 21 |
| 651-Bern. | ALLIANCEBERNSTEIN DISCOVERY GROWTH FUND | 1,628 205 | 6/18/2012 | 6/29/2015 | 16,510 00 | 11,397 44 | 5,112 56 |
| 651-Bern | Bernstein International Portfolio | 10,164 375 | 1/31/2006 | 6/29/2015 | 162,630 00 | 260,512 93 | -97,882 93 |
| 651-Bern | Overlay A Portfolio | 4,123 131 | 3/2/2010 | 6/29/2015 | 52,405 00 | 43,179 02 | 9,225 98 |
| 651-Bern | . AETNA INC | 65 000 | 6/20/2014 | 6/29/2015 | 8,328 40 | 5,308 91 | 3,019 49 |
| 651-Bern | AMERICAN INTERNATIONAL GROUP | 229 000 | 4/26/2013 | 6/29/2015 | 14,142 77 | 9,388.77 | 4,754.00 |
| 651-Bern | APPLE INC | 78 000 | 3/29/2011 | 6/29/2015 | 9,753 39 | 3,899 85 | 5,853.54 |
| 651-Bern | APPLE INC | 30 000 | 5/13/2011 | 6/29/2015 | 3,751 31 | 1,469.81 | 2,281 50 |
| 651-Bern | BIOGEN INC | 18 000 | 8/27/2012 | 6/29/2015 | 7,197 66 | 2,651 58 | 4,546.08 |
| 651-Bern. | BROCADE COMMUNICATIONS SYS INC | 602.000 | 3/7/2014 | 6/29/2015 | 7,151 52 | 6,137 63 | 1,013.89 |
| 651-Bern... | COSTCO WHOLESALE CORP | 54 000 | 10/24/2013 | 6/29/2015 | 7,358 04 | 6,277 02 | 1,081 02 |
| 651-Bern | .DANAHER CORP | 10 000 | 3/14/2011 | 6/29/2015 | 848 64 | 507 92 | 340 72 |
| 651-Bern | ..DANAHER CORP | 161 000 | 7/5/2011 | 6/29/2015 | 13,663 02 | 8,753 86 | 4,909.16 |
| 651-Bern | ELECTRONIC ARTS INC | 117 000 | 5/29/2013 | 6/29/2015 | 7,766 32 | 2,710 71 | 5,055 61 |
| 651-Bern. | FACEBOOK INC. | 84 000 | 4/9/2014 | 6/29/2015 | 7,239 81 | 5,036 84 | 2,202 97 |
| 651-Bern | GOLDMAN SACHS GROUP INC. | 17 000 | 4/11/2014 | 6/29/2015 | 3,543.14 | 2,614.08 | 929 06 |
| 651-Bern | HP INC | 241 000 | 8/19/2011 | 6/29/2015 | 7,228 89 | 5,668 90 | 1,559 99 |
| 651-Bern | JOHNSON & JOHNSON | 74 000 | 8/24/2010 | 6/29/2015 | 7,269 95 | 4,301 93 | 2,968 02 |
| 651-Bern | KROGER CO. | 143 000 | 2/3/2012 | 6/29/2015 | 10,413 86 | 3,437 72 | 6,976.14 |
| 651-Bern | MEAD JOHNSON NUTRITION CO | 119 000 | 11/26/2013 | 6/29/2015 | 10,716 90 | 10,062 81 | 654 09 |
| 651-Bern | . MICROSOFT CORP | 153 000 | 11/20/2013 | 6/29/2015 | 6,819 21 | 5,688.08 | 1,131.13 |
| 651-Bern | MICROSOFT CORP | 12 000 | 4/14/2014 | 6/29/2015 | 534 84 | 471.04 | 63 80 |
| 651-Bern... | NIKE INC | 80 000 | 10/23/2013 | 6/29/2015 | 8,645 22 | 6,042 29 | 2,602.93 |
| 651-Bern. | PRICELINE GROUP INC | 7 000 | 4/10/2013 | 6/29/2015 | 7,754.67 | 5,029.73 | 2,724.94 |
| 651-Bern | SCHLUMBERGER LTD | 95.000 | 7/22/2013 | 6/29/2015 | 8,172.24 | 7,982.08 | 190 16 |
| 651-Bern | SHERWIN WILLIAMS CO | 32 000 | 6/1/2012 | 6/29/2015 | 8,911 98 | 4,001 41 | 4,910.57 |
| 651-Bern. | .STARBUCKS CORP. | 135 000 | 4/29/2011 | 6/29/2015 | 7,278 13 | 2,446 79 | 4,831 34 |
| 651-Bern | .TIME WARNER INC | 91 000 | 8/2/2012 | 6/29/2015 | 7,924 46 | 2,957 02 | 4,967.44 |
| 651-Bern | .TIME WARNER INC. | 9 000 | 8/20/2012 | 6/29/2015 | 783.74 | 308.48 | 475 26 |
| 651-Bern | WELLS FARGO & CO | 147.000 | 2/22/2012 | 6/29/2015 | 8,276 27 | 4,514 24 | 3,762 03 |
| 651-Bern... | WELLS FARGO & CO | 4.000 | 3/8/2012 | 6/29/2015 | 225.20 | 124 99 | 100 21 |
| 651-Bern | MEAD JOHNSON NUTRITION CO | 110.000 | 11/26/2013 | 7/10/2015 | 9,722.70 | 9,301.75 | 420 95 |

# Capital Gains (Losses) Report - Last year

1/1/2015 through 12/31/2015



| Account | Security | Shares | Bought | Sold | Gross Proceeds | Cost Basis | Realized Gain/L. |
|---|---|---|---|---|---|---|---|
| 651-Bern | .MEAD JOHNSON NUTRITION CO | 5 000 | 12/19/2013 | 7/10/2015 | 441 94 | 415 30 | 26 64 |
| 651-Bern | .STARBUCKS CORP | 2 000 | 4/29/2011 | 7/10/2015 | 108.81 | 36.25 | 72 56 |
| 651-Bern. | .STARBUCKS CORP | 559 000 | 4/29/2011 | 7/10/2015 | 30,413.74 | 10,131.51 | 20,282 23 |
| 651-Bern. | AUTOZONE INC | 1.000 | 5/7/2014 | 7/13/2015 | 682 02 | 525 82 | 156 20 |
| 651-Bern | AUTOZONE INC | 24.000 | 5/7/2014 | 7/13/2015 | 16,368 58 | 12,619 60 | 3,748.98 |
| 651-Bern | ..MEAD JOHNSON NUTRITION CO | 1 000 | 12/19/2013 | 7/14/2015 | 88 60 | 83 06 | 5 54 |
| 651-Bern | .MEAD JOHNSON NUTRITION CO | 118 000 | 12/19/2013 | 7/14/2015 | 10,454 95 | 9,800 98 | 653 97 |
| 651-Bern... | AUTOZONE INC | 24 000 | 5/7/2014 | 7/14/2015 | 16,279.19 | 12,619 60 | 3,659 59 |
| 651-Bern.. | OFFICE DEPOT INC | 4,271 000 | 1/31/2014 | 7/15/2015 | 37,399 44 | 20,872 38 | 16,527 06 |
| 651-Bern | .AUTOZONE INC | 6 000 | 5/7/2014 | 7/16/2015 | 4,083.68 | 3,154 90 | 928.78 |
| 651-Bern | HESS CORPORATION | 303 000 | 10/11/2013 | 7/16/2015 | 19,033 58 | 24,538 18 | -5,504 60 |
| 651-Bern | ..HESS CORPORATION | 258 000 | 11/5/2013 | 7/16/2015 | 16,206 81 | 20,897 17 | -4,690 36 |
| 651-Bern | Overlay A Portfolio | 12 303 | 3/2/2010 | 7/22/2015 | 158 22 | 128 84 | 29.38 |
| 651-Bern | ELECTRONIC ARTS INC | 5 000 | 5/29/2013 | 7/23/2015 | 362.19 | 115 84 | 246 35 |
| 651-Bern | ELECTRONIC ARTS INC | 417 000 | 5/29/2013 | 7/23/2015 | 30,206.35 | 9,661 26 | 20,545 09 |
| 651-Bern.. | ALLERGAN PLC | 58 000 | 5/13/2014 | 8/13/2015 | 18,420 47 | 11,948 29 | 6,472.18 |
| 651-Bern | PAYPAL HOLDINGS INC | 200.000 | 4/11/2014 | 8/13/2015 | 7,547 60 | 6,277 35 | 1,270 25 |
| 651-Bern | PAYPAL HOLDINGS INC | 400.000 | 5/22/2014 | 8/13/2015 | 15,095 20 | 11,956 09 | 3,139 11 |
| 651-Bern | ELECTRONIC ARTS INC. | 60 000 | 5/29/2013 | 8/14/2015 | 4,383 83 | 1,390.11 | 2,993 72 |
| 651-Bern | ..ELECTRONIC ARTS INC | 304 000 | 11/1/2013 | 8/14/2015 | 22,211 43 | 7,832.86 | 14,378 57 |
| 651-Bern . | Bernstein Inter. Duration Institutional | 31,045 752 | 9/20/2005 | 8/17/2015 | 475,000 00 | 475,310 46 | -310.46 |
| 651-Bern... | Overlay B Portfolio | 35,451 092 | 3/2/2010 | 8/17/2015 | 373,300 00 | 361,781 74 | 11,518 26 |
| 651-Bern . | ANSYS INC | 163 000 | 7/6/2012 | 8/24/2015 | 14,219 81 | 9,886 05 | 4,333 76 |
| 651-Bern | COSTCO WHOLESALE CORP | 70 000 | 10/24/2013 | 8/24/2015 | 9,390 87 | 8,136 87 | 1,254.00 |
| 651-Bern... | SHERWIN WILLIAMS CO | 8 000 | 6/1/2012 | 8/24/2015 | 2,070 56 | 1,000 35 | 1,070.21 |
| 651-Bern. | .SHERWIN WILLIAMS CO | 104.000 | 2/11/2013 | 8/24/2015 | 26,917.29 | 17,047 94 | 9,869.35 |
| 651-Bern | AON PLC | 277 000 | 9/20/2013 | 8/25/2015 | 25,689.89 | 20,907 82 | 4,782 07 |
| 651-Bern | ELECTRONIC ARTS INC | 1 000 | 11/1/2013 | 9/1/2015 | 64 16 | 25 77 | 38 39 |
| 651-Bern | ELECTRONIC ARTS INC | 243 000 | 11/1/2013 | 9/1/2015 | 15,590 05 | 6,261 14 | 9,328 91 |
| 651-Bern | MONSTER BEVERAGE CORPORATION | 142.000 | 2/20/2014 | 9/2/2015 | 19,139.63 | 10,604 59 | 8,535 04 |
| 651-Bern... | FORD MOTOR CO | 823 000 | 10/31/2012 | 9/3/2015 | 11,465 46 | 8,946 83 | 2,518 63 |
| 651-Bern | MEAD JOHNSON NUTRITION CO | 126 000 | 12/19/2013 | 9/3/2015 | 9,709 96 | 10,465 45 | -755 49 |
| 651-Bern | MEAD JOHNSON NUTRITION CO | 12 000 | 2/28/2014 | 9/3/2015 | 924 76 | 985 86 | -61 10 |
| 651-Bern | AMERICAN INTERNATIONAL GROUP | 80.000 | 4/26/2013 | 9/4/2015 | 4,661.71 | 3,279 92 | 1,381 79 |
| 651-Bern | AMERICAN INTERNATIONAL GROUP | 286 000 | 5/3/2013 | 9/4/2015 | 16,665 62 | 12,726 77 | 3,938 85 |
| 651-Bern... | AMERICAN INTERNATIONAL GROUP | 164.000 | 5/3/2013 | 9/8/2015 | 9,645 82 | 7,297 87 | 2,347 95 |
| 651-Bern. | AMERICAN INTERNATIONAL GROUP | 165.000 | 6/6/2013 | 9/8/2015 | 9,704.64 | 7,337.67 | 2,366.97 |
| 651-Bern... | FORD MOTOR CO. | 1 000 | 10/31/2012 | 9/8/2015 | 13 62 | 10.87 | 2.75 |

# Capital Gains (Losses) Report - Last year

1/1/2015 through 12/31/2015



| Account | Security | Shares | Bought | Sold | Gross Proceeds | Cost Basis | Realized Gain/L.. |
|---|---|---|---|---|---|---|---|
| 651-Bern. | FORD MOTOR CO | 1,382.000 | 10/31/2012 | 9/8/2015 | 18,826 71 | 15,023 72 | 3,802 99 |
| 651-Bern. | MEAD JOHNSON NUTRITION CO | 188 000 | 2/28/2014 | 9/8/2015 | 14,287 47 | 15,445 18 | -1,157.71 |
| 651-Bern... | AMERICAN INTERNATIONAL GROUP | 1 000 | 6/6/2013 | 9/10/2015 | 58 23 | 44 47 | 13 76 |
| 651-Bern | AMERICAN INTERNATIONAL GROUP | 334 000 | 6/6/2013 | 9/10/2015 | 19,450.15 | 14,853 21 | 4,596.94 |
| 651-Bern. | AMERICAN INTERNATIONAL GROUP | 4 000 | 11/6/2013 | 9/10/2015 | 232 94 | 192.78 | 40 16 |
| 651-Bern | FORD MOTOR CO | 2.000 | 10/31/2012 | 9/10/2015 | 27 08 | 21 74 | 5 34 |
| 651-Bern | FORD MOTOR CO | 578 000 | 10/31/2012 | 9/10/2015 | 7,827 33 | 6,283 44 | 1,543 89 |
| 651-Bern | FORD MOTOR CO | 852 000 | 2/5/2013 | 9/10/2015 | 11,537 87 | 11,169.63 | 368.24 |
| 651-Bern | RAYTHEON CO | 2 000 | 10/24/2013 | 9/10/2015 | 211.68 | 155 04 | 56 64 |
| 651-Bern | RAYTHEON CO | 110 000 | 10/24/2013 | 9/10/2015 | 11,642 21 | 8,527 21 | 3,115 00 |
| 651-Bern . | AMERICAN INTERNATIONAL GROUP | 396 000 | 11/6/2013 | 9/14/2015 | 22,903 21 | 19,085 22 | 3,817 99 |
| 651-Bern | FORD MOTOR CO | 548 000 | 2/5/2013 | 9/14/2015 | 7,497.51 | 7,184 23 | 313 28 |
| 651-Bern . | FORD MOTOR CO | 467 000 | 3/5/2014 | 9/14/2015 | 6,389 31 | 7,300.84 | -911.53 |
| 651-Bern... | BROCADE COMMUNICATIONS SYS INC | 1,263 000 | 3/7/2014 | 10/1/2015 | 12,780 42 | 12,876 79 | -96 37 |
| 651-Bern... | FORD MOTOR CO | 433.000 | 3/5/2014 | 10/1/2015 | 5,876.55 | 6,769 31 | -892 76 |
| 651-Bern | GOLDMAN SACHS GROUP INC | 57.000 | 9/8/2014 | 10/5/2015 | 10,232.47 | 10,279 19 | -46 72 |
| 651-Bern | BROCADE COMMUNICATIONS SYS INC | 535.000 | 3/7/2014 | 10/6/2015 | 5,559 51 | 5,454 54 | 104 97 |
| 651-Bern | BROCADE COMMUNICATIONS SYS INC | 900.000 | 6/2/2014 | 10/6/2015 | 9,352.44 | 8,325 00 | 1,027 44 |
| 651-Bern | ELECTRONIC ARTS INC | 352 000 | 11/1/2013 | 10/8/2015 | 23,065 86 | 9,069 63 | 13,996 23 |
| 651-Bern | ELECTRONIC ARTS INC. | 300 000 | 4/25/2014 | 10/8/2015 | 19,658 40 | 8,445.60 | 11,212 80 |
| 651-Bern . | ALLERGAN PLC | 36.000 | 5/13/2014 | 10/9/2015 | 9,915 37 | 7,416 18 | 2,499 19 |
| 651-Bern | ALLERGAN PLC | 26 000 | 5/13/2014 | 10/9/2015 | 7,161 10 | 5,356 13 | 1,804.97 |
| 651-Bern | ALLERGAN PLC | 50 000 | 6/6/2014 | 10/9/2015 | 13,771 34 | 10,444 59 | 3,326.75 |
| 651-Bern . | ALLERGAN PLC | 1 000 | 7/17/2014 | 10/9/2015 | 275 43 | 215.45 | 59 98 |
| 651-Bern. | RAYTHEON CO | 123 000 | 10/24/2013 | 10/9/2015 | 13,784 33 | 9,534 97 | 4,249 36 |
| 651-Bern | RAYTHEON CO | 47 000 | 10/24/2013 | 10/13/2015 | 5,215 93 | 3,643 44 | 1,572 49 |
| 651-Bern... | RAYTHEON CO | 88 000 | 11/21/2013 | 10/13/2015 | 9,766.01 | 7,653 05 | 2,112 96 |
| 651-Bern | WALT DISNEY CO | 1 000 | 11/25/2011 | 10/13/2015 | 106 17 | 33 76 | 72 41 |
| 651-Bern | WALT DISNEY CO | 2 000 | 11/25/2011 | 10/13/2015 | 212 35 | 67.52 | 144.83 |
| 651-Bern | WALT DISNEY CO | 166 000 | 11/25/2011 | 10/13/2015 | 17,624 73 | 5,604.43 | 12,020 30 |
| 651-Bern... | ALLERGAN PLC | 99.000 | 7/17/2014 | 10/14/2015 | 26,406 35 | 21,329.08 | 5,077 27 |
| 651-Bern | RAYTHEON CO | 62.000 | 11/21/2013 | 10/16/2015 | 6,890 23 | 5,391 93 | 1,498 30 |
| 651-Bern . | RAYTHEON CO | 175 000 | 4/21/2014 | 10/16/2015 | 19,448 22 | 17,719.40 | 1,728.82 |
| 651-Bern . | Overlay A Portfolio | 59 173 | 3/2/2010 | 10/21/2015 | 723 10 | 628 41 | 94 69 |
| 651-Bern . | Overlay A Portfolio | 9,029 150 | 3/2/2010 | 10/29/2015 | 111,510 00 | 95,888 39 | 15,621 61 |
| 651-Bern | Overlay B Portfolio | 4,274 256 | 3/2/2010 | 10/29/2015 | 44,495.00 | 43,619 19 | 875 81 |
| 651-Bern. | ALPHABET INC | 12.000 | 1/15/2009 | 10/29/2015 | 8,938.20 | 1,789 88 | 7,148.32 |
| 651-Bern | AON PLC | 95 000 | 9/20/2013 | 10/29/2015 | 9,008.85 | 7,170 55 | 1,838 30 |

# Capital Gains (Losses) Report - Last year

1/1/2015 through 12/31/2015



| Account | Security | Shares | Bought | Sold | Gross Proceeds | Cost Basis | Realized Gain/L. |
|---|---|---|---|---|---|---|---|
| 651-Bern | APPLE INC | 90.000 | 5/13/2011 | 10/29/2015 | 10,847 70 | 4,409 43 | 6,438 27 |
| 651-Bern . | BANK OF AMERICA CORP | 411 000 | 12/12/2012 | 10/29/2015 | 7,023 99 | 4,362 85 | 2,661.14 |
| 651-Bern . | COSTCO WHOLESALE CORP | 1 000 | 10/24/2013 | 10/29/2015 | 158 28 | 116.24 | 42 04 |
| 651-Bern . | COSTCO WHOLESALE CORP | 59 000 | 11/21/2013 | 10/29/2015 | 9,338 52 | 7,308 17 | 2,030.35 |
| 651-Bern | CVS HEALTH CORP | 83 000 | 11/20/2013 | 10/29/2015 | 8,615 40 | 5,443 66 | 3,171 74 |
| 651-Bern | DR PEPPER SNAPPLE GROUP INC | 99 000 | 7/8/2014 | 10/29/2015 | 8,917 92 | 5,793 78 | 3,124 14 |
| 651-Bern | GILEAD SCIENCES INC | 85 000 | 6/12/2013 | 10/29/2015 | 9,282 85 | 4,406 65 | 4,876 20 |
| 651-Bern... | MICROSOFT CORP | 151 000 | 4/14/2014 | 10/29/2015 | 8,057 36 | 5,927 28 | 2,130 08 |
| 651-Bern .. | PFIZER INC. | 215.000 | 8/20/2010 | 10/29/2015 | 7,475 55 | 3,425 55 | 4,050 00 |
| 651-Bern. . | STARBUCKS CORP. | 16 000 | 4/29/2011 | 10/29/2015 | 1,000 00 | 289 99 | 710.01 |
| 651-Bern | STARBUCKS CORP. | 131 000 | 7/13/2012 | 10/29/2015 | 8,187 50 | 3,477 40 | 4,710.10 |
| 651-Bern . | VISA INC | 124 000 | 6/27/2012 | 10/29/2015 | 9,735 24 | 3,810 25 | 5,924 99 |
| 651-Bern | WALT DISNEY CO | 5.000 | 11/25/2011 | 10/29/2015 | 575 20 | 168.81 | 406 39 |
| 651-Bern . | WALT DISNEY CO | 97 000 | 2/15/2012 | 10/29/2015 | 11,158 88 | 4,022 82 | 7,136 06 |
| 651-Bern | WELLS FARGO & CO | 148 000 | 3/8/2012 | 10/29/2015 | 8,153 32 | 4,624 50 | 3,528 82 |
| 651-Bern . | QUINTILES TRANSNATIONAL HOLDINGS INC | 77 000 | 3/13/2014 | 10/30/2015 | 4,918 07 | 3,955 92 | 962.15 |
| 651-Bern | QUINTILES TRANSNATIONAL HOLDINGS INC | 450 000 | 3/13/2014 | 10/30/2015 | 28,741 95 | 23,400 00 | 5,341 95 |
| 651-Bern | QUINTILES TRANSNATIONAL HOLDINGS INC | 250 000 | 3/14/2014 | 10/30/2015 | 15,967 75 | 12,998.93 | 2,968 82 |
| 651-Bern .. | MONSTER BEVERAGE CORPORATION | 79 000 | 2/20/2014 | 11/12/2015 | 11,745 57 | 5,899 74 | 5,845.83 |
| 651-Bern... | STARBUCKS CORP | 153 000 | 7/13/2012 | 11/12/2015 | 9,358 23 | 4,061 39 | 5,296.84 |
| 651-Bern | RAYTHEON CO | 25 000 | 4/21/2014 | 11/13/2015 | 2,936 00 | 2,531.34 | 404 66 |
| 651-Bern | RAYTHEON CO | 150 000 | 7/30/2014 | 11/13/2015 | 17,615 97 | 13,911 35 | 3,704.62 |
| 651-Bern | AON PLC | 1.000 | 9/20/2013 | 11/17/2015 | 93 96 | 75 48 | 18 48 |
| 651-Bern | AON PLC | 77.000 | 9/20/2013 | 11/17/2015 | 7,235 19 | 5,811 92 | 1,423 27 |
| 651-Bern... | PRICELINE GROUP INC | 1 000 | 4/10/2013 | 11/17/2015 | 1,262 34 | 718 53 | 543 81 |
| 651-Bern | PRICELINE GROUP INC | 10 000 | 4/17/2013 | 11/17/2015 | 12,623 42 | 7,063 41 | 5,560 01 |
| 651-Bern .. | AON PLC | 138 000 | 9/20/2013 | 11/19/2015 | 12,964 76 | 10,416 17 | 2,548 59 |
| 651-Bern.. | TIME WARNER INC. | 291 000 | 8/20/2012 | 12/11/2015 | 19,214 96 | 9,974 65 | 9,240 31 |
| 651-Bern . | TIME WARNER INC | 375 000 | 11/12/2014 | 12/11/2015 | 24,761.55 | 23,354 93 | 1,406 62 |
| 651-Bern.. | TIME WARNER INC. | 98 000 | 11/24/2014 | 12/11/2015 | 6,471 02 | 6,389 82 | 81.20 |
| 651-Bern | VALERO ENERGY CORP | 291 000 | 10/21/2014 | 12/16/2015 | 20,174 68 | 13,891 76 | 6,282 92 |
| 651-Bern... | VALERO ENERGY CORP | 85 000 | 11/24/2014 | 12/16/2015 | 5,892 95 | 4,318 48 | 1,574.47 |
| 651-Bern. . | AON PLC | 162.000 | 9/20/2013 | 12/17/2015 | 15,206 05 | 12,227.68 | 2,978.37 |
| 651-Bern. | AON PLC | 110 000 | 12/4/2014 | 12/17/2015 | 10,325 09 | 10,407 30 | -82 21 |
| 651-Bern. | EMC CORP | 551 000 | 7/30/2014 | 12/17/2015 | 14,149 96 | 16,324.81 | -2,174.85 |
| 651-Bern | EMC CORP | 309 000 | 7/30/2014 | 12/18/2015 | 7,879 04 | 9,154 93 | -1,275 89 |
| 651-Bern... | EMC CORP | 247 000 | 9/8/2014 | 12/18/2015 | 6,298 13 | 7,203.06 | -904.93 |
| 651-Bern .. | EMC CORP | 553.000 | 9/8/2014 | 12/21/2015 | 14,038 95 | 16,126 70 | -2,087 75 |

# Capital Gains (Losses) Report - Last year

1/1/2015 through 12/31/2015



| Account | Security | Shares | Bought | Sold | Gross Proceeds | Cost Basis | Realized Gain/L . |
|---|---|---|---|---|---|---|---|
| 651-Bern. | ANTHEM INC | 112 000 | 7/24/2014 | 12/22/2015 | 15,615.15 | 12,941.72 | 2,673.43 |
| 654-Euro... | European Opportunities Series Dbt | 16 093 | 5/23/2014 | 6/19/2015 | 549 16 | 462 59 | 86 57 |
| 654-Euro... | European Opportunities Series Dbt | 15 382 | 5/23/2014 | 7/24/2015 | 544.47 | 442 15 | 102 32 |
| 654-Euro | European Opportunities Series Dbt | 15 207 | 5/23/2014 | 10/23/2015 | 526.89 | 437.12 | 89.77 |
| **TOTAL LONG TERM** | | | | | **15,154,671.53** | **14,007,325.47** | **1,147,346.06** |
| **OVERALL TOTAL** | | | | | **19,268,949.34** | **18,163,718.64** | **1,105,230.70** |