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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.**2015**

Open to Public Inspection

For calendar year 2015 or tax year beginning

, and ending

Name of foundation THE WALLACE ALEXANDER GERBODE FOUNDATION		A Employer identification number 94-6065226
Number and street (or P O box number if mail is not delivered to street address) 127 UNIVERSITY AVENUE	Room/suite	B Telephone number 510-982-4788
City or town, state or province, country, and ZIP or foreign postal code BERKELEY, CA 94710		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☒ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 63,057,355. (Part I, column (d) must be on cash basis)	J Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	116.	116.		STATEMENT 1
	4 Dividends and interest from securities	1,410,258.	1,386,076.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	8,449,003.			
	b Gross sales price for all assets on line 6a 102,398,684.		8,449,003.		
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income	26,554.	5,028.		STATEMENT 3	
12 Total. Add lines 1 through 11	9,885,931.	9,840,223.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	172,000.	27,422.		144,578.
	14 Other employee salaries and wages	134,343.	21,419.		103,856.
	15 Pension plans, employee benefits	114,070.	18,186.		96,518.
	16a Legal fees STMT 4	103,274.	0.		103,274.
	b Accounting fees STMT 5	34,430.	25,823.		7,607.
	c Other professional fees STMT 6	175,601.	128,394.		47,207.
	17 Interest				
	18 Taxes STMT 7	47,100.	0.		0.
	19 Depreciation and depletion	8,626.	1,375.		
	20 Occupancy	62,092.	9,899.		52,193.
	21 Travel, conferences, and meetings	5,885.	0.		5,885.
	22 Printing and publications	1,246.	199.		1,047.
	23 Other expenses STMT 8	73,098.	5,418.		76,374.
	24 Total operating and administrative expenses. Add lines 13 through 23	931,765.	238,135.		638,539.
	25 Contributions, gifts, grants paid	3,529,350.			1,604,150.
26 Total expenses and disbursements. Add lines 24 and 25	4,461,115.	238,135.		2,242,689.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	5,424,816.				
b Net investment income (if negative, enter -0-)		9,602,088.			
c Adjusted net income (if negative, enter -0-)			N/A		

92

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1 Cash - non-interest-bearing			122.	259.	259.
	2 Savings and temporary cash investments			599,645.	2,763,028.	2,763,028.
	3 Accounts receivable ▶					
	Less: allowance for doubtful accounts ▶					
	4 Pledges receivable ▶					
	Less: allowance for doubtful accounts ▶					
	5 Grants receivable			350,000.	175,000.	175,000.
	6 Receivables due from officers, directors, trustees, and other disqualified persons					
	7 Other notes and loans receivable ▶					
	Less: allowance for doubtful accounts ▶					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges			58,988.	60,988.	60,988.
	10a Investments - U.S. and state government obligations					
	b Investments - corporate stock					
	c Investments - corporate bonds					
	Liabilities	11 Investments - land, buildings, and equipment basis ▶				
Less: accumulated depreciation ▶						
12 Investments - mortgage loans						
13 Investments - other		STMT 10		63,955,670.	59,467,564.	59,467,564.
14 Land, buildings, and equipment basis ▶		57,465.				
Less: accumulated depreciation		STMT 11 ▶	54,265.	11,826.	3,200.	3,200.
15 Other assets (describe ▶		STATEMENT 12)		700,614.	587,316.	587,316.
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)				65,676,865.	63,057,355.	63,057,355.
17 Accounts payable and accrued expenses				102,543.	105,395.	
18 Grants payable				575,000.	2,500,200.	
Net Assets or Fund Balances	19 Deferred revenue					
	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable					
	22 Other liabilities (describe ▶)			148,000.	0.	
23 Total liabilities (add lines 17 through 22)			825,543.	2,605,595.		
Foundations that follow SFAS 117, check here ▶ ☒	24 Unrestricted			64,501,322.	60,276,760.	
	25 Temporarily restricted			350,000.	175,000.	
	26 Permanently restricted					
	Foundations that do not follow SFAS 117, check here ▶ ☐					
	27 Capital stock, trust principal, or current funds					
	28 Paid-in or capital surplus, or land, bldg., and equipment fund					
	29 Retained earnings, accumulated income, endowment, or other funds					
	30 Total net assets or fund balances			64,851,322.	60,451,760.	
31 Total liabilities and net assets/fund balances			65,676,865.	63,057,355.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	64,851,322.
2 Enter amount from Part I, line 27a	2	5,424,816.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	70,276,138.
5 Decreases not included in line 2 (itemize) ▶ UNREALIZED LOSSES ON INVESTMENT	5	9,824,378.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	60,451,760.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 102,398,684.		93,949,681.	8,449,003.		
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a			8,449,003.		
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)			2	8,449,003.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8			3	N/A	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	3,257,517.	64,487,765.	.050514
2013	3,205,442.	60,871,381.	.052659
2012	3,349,382.	56,712,498.	.059059
2011	3,817,508.	60,465,082.	.063136
2010	5,371,202.	60,255,887.	.089140
2 Total of line 1, column (d)			2 .314508
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 .062902
4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5			4 63,691,553.
5 Multiply line 4 by line 3			5 4,006,326.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 96,021.
7 Add lines 5 and 6			7 4,102,347.
8 Enter qualifying distributions from Part XII, line 4			8 2,242,689.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	192,042.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b.			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	192,042.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	192,042.
6 Credits/Payments:			
a 2015 estimated tax payments and 2014 overpayment credited to 2015	6a	235,136.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	7,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	242,136.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	50,094.	
11 Enter the amount of line 10 to be: Credited to 2016 estimated tax ☐ 50,094. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Form 990-PF (2015)

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>HTTP://FDNWEB.ORG/GERBODE/</u>	13	X
14 The books are in care of ► <u>STACIE MA'A</u> Telephone no. ► <u>(510) 982-4788</u> Located at ► <u>127 UNIVERSITY AVENUE, BERKELEY, CA</u> ZIP+4 ► <u>94710</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A		
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception: Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ☐ Yes ☒ No If "Yes," list the years ► _____, _____, _____, _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____, _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b	X

Form 990-PF (2015)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

X

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

SEE STATEMENT 14

☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 13		170,800.	40,926.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MOLLY BARRONS - 127 UNIVERSITY AVENUE, BERKELEY, CA 94710	ADMINISTRATIVE MGR 40.00	61,097.	21,519.	0.

Total number of other employees paid over \$50,000

0

Form 990-PF (2015)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
WELLS FARGO PO BOX 20160, LONG BEACH, CA 90801	INVESTMENT MANAGEMENT	127,107.

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 HAWAIIAN ISSUES: PROVIDED TECHNICAL ASSISTANCE TO 2 HAWAIIAN NONPROFITS AND AWARDED 19 GRANT FUNDS AS TO PROMOTE AND ASSIST GRANTMAKING IN HAWAII.	18,761.
2 DIRECT SERVICE NONPROFIT SECTOR: 16 GRANT FUNDS SPENT ON ACTIVITIES TO STRENGTHEN THE PHILANTHROPIC PROCESS AND THE NONPROFIT SECTOR.	17,487.
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

Form 990-PF (2015)

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	60,990,065.
b	Average of monthly cash balances	1b	3,472,834.
c	Fair market value of all other assets	1c	198,576.
d	Total (add lines 1a, b, and c)	1d	64,661,475.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	64,661,475.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	969,922.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	63,691,553.
6	Minimum investment return. Enter 5% of line 5	6	3,184,578.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	3,184,578.
2a	Tax on investment income for 2015 from Part VI, line 5	2a	192,042.
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b	3,005.
c	Add lines 2a and 2b	2c	195,047.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	2,989,531.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	2,989,531.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2,989,531.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,242,689.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,242,689.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	2,242,689.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2015)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				2,989,531.
2 Undistributed income, if any, as of the end of 2015				
a Enter amount for 2014 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2015:				
a From 2010	2,319,887.			
b From 2011	831,100.			
c From 2012	485,063.			
d From 2013	213,324.			
e From 2014	174,135.			
f Total of lines 3a through e	4,023,509.			
4 Qualifying distributions for 2015 from Part XII, line 4: ▶ \$ 2,242,689.				
a Applied to 2014, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2015 distributable amount				2,242,689.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a))	746,842.			746,842.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	3,276,667.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7	1,573,045.			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	1,703,622.			
10 Analysis of line 9:				
a Excess from 2011	831,100.			
b Excess from 2012	485,063.			
c Excess from 2013	213,324.			
d Excess from 2014	174,135.			
e Excess from 2015				

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE STATEMENT A 127 UNIVERSITY AVENUE BERKELEY, CA 94710				1,604,150.
Total			▶ 3a	1,604,150.
b Approved for future payment				
SEE STATEMENT A 127 UNIVERSITY AVENUE BERKELEY, CA 94710				2,375,200.
Total			▶ 3b	2,375,200.

Form 990-PF (2015)

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1 Program service revenue:						
a PRI ORDINARY INCOME						20,577.
b PRI INTEREST INCOME						5,000.
c						
d						
e						
f						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments			14	116.		
4 Dividends and interest from securities			14	1,410,258.		
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory			18	8,449,003.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a MISCELLANEOUS INCOME		-	01	977.		-
b						
c						
d						
e						
12 Subtotal. Add columns (b), (d), and (e)		0.		9,860,354.		25,577.
13 Total. Add line 12. columns (b), (d), and (e)						9,885,931

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII	Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations
------------------	--

- | 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
|---|-------|-----|----|
| a Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| (1) Cash | 1a(1) | | X |
| (2) Other assets | 1a(2) | | X |
| b Other transactions: | | | |
| (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| (4) Reimbursement arrangements | 1b(4) | | X |
| (5) Loans or loan guarantees | 1b(5) | | X |
| (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b If "Yes," complete the following schedule.**

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.


Signature of officer or trustee

10/28/16
Date

PRESIDENT
Title

May the IRS discuss this return with the preparer shown below (see instr.)?

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name MAGA E. KISRIV	Preparer's signature 	Date 10/24/16	Check ☐ if self-employed	PTIN P01008919
Firm's name ► HOOD & STRONG LLP			Firm's EIN ► 94-1254756	
Firm's address ► 275 BATTERY STREET, STE. 900 SAN FRANCISCO, CA 94111			Phone no. 415.781.0793	

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
SAVINGS ACCOUNT	116.	116.	
TOTAL TO PART I, LINE 3	116.	116.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
SECURITIES	1,410,258.	0.	1,410,258.	1,386,076.	
TO PART I, LINE 4	1,410,258.	0.	1,410,258.	1,386,076.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
PASSTHROUGH INCOME	0.	28.	
PRI ORDINARY INCOME	20,577.	0.	
PRI INTEREST INCOME	5,000.	5,000.	
MISCELLANEOUS INCOME	977.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	26,554.	5,028.	

FORM 990-PF	LEGAL FEES			STATEMENT 4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OTHER LEGAL ADVICE	103,274.	0.		103,274.
TO FM 990-PF, PG 1, LN 16A	103,274.	0.		103,274.

FORM 990-PF	ACCOUNTING FEES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
AUDIT AND TAX SERVICES	34,430.	25,823.		7,607.
TO FORM 990-PF, PG 1, LN 16B	34,430.	25,823.		7,607.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	31,085.	31,085.		0.
MISCELLANEOUS PROFESSIONAL FEES	8,075.	1,287.		6,788.
CUSTODIAN FEES	96,022.	96,022.		0.
PROGRAM CONSULTANTS	40,419.	0.		40,419.
TO FORM 990-PF, PG 1, LN 16C	175,601.	128,394.		47,207.

FORM 990-PF	TAXES		STATEMENT	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL AND STATE INCOME TAXES	10,100.	0.		0.
EXCISE TAXES	37,000.	0.		0.
TO FORM 990-PF, PG 1, LN 18	47,100.	0.		0.

FORM 990-PF	OTHER EXPENSES		STATEMENT	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MEMBERSHIP DUE	89.	0.		89.
OFFICE EXPENSES	26,767.	4,268.		22,500.
INSURANCE	6,328.	1,009.		5,319.
BOARD EXPENSES	4,705.	0.		4,705.
MISCELLANEOUS	881.	141.		9,433.
PAYROLL EXP	1,662.	0.		1,662.
DIRECT CHARITABLE ACTIVITIES	32,308.	0.		32,308.
OFFICE PARKING	358.	0.		358.
TO FORM 990-PF, PG 1, LN 23	73,098.	5,418.		76,374.

FOOTNOTES		STATEMENT	
		9	

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 10
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
EQUITY SECURITIES - SEE STATEMENT B	FMV	1,594,184.	1,594,184.
MUTUAL FUNDS	FMV	57,740,792.	57,740,792.
PARTNERSHIP INTEREST	COST	132,588.	132,588.
TOTAL TO FORM 990-PF, PART II, LINE 13		59,467,564.	59,467,564.

FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT	STATEMENT 11
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DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
FURNITURE & EQUIPMENT	57,465.	54,265.	3,200.
TOTAL TO FM 990-PF, PART II, LN 14	57,465.	54,265.	3,200.

FORM 990-PF	OTHER ASSETS	STATEMENT 12
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
PROGRAM RELATED INVESTMENTS	613,174.	582,316.	582,316.
ACCRUED INTEREST AND DIVIDENDS	82,440.	0.	0.
INTEREST RECEIVABLE	5,000.	5,000.	5,000.
TO FORM 990-PF, PART II, LINE 15	700,614.	587,316.	587,316.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 13

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
STACIE MA'A 127 UNIVERSITY AVENUE BERKELEY, CA 94710	PRESIDENT/ASST 40.00	SECRETARY 170,800.	40,926.	0.
SHARON GERBODE 127 UNIVERSITY AVENUE BERKELEY, CA 94710	CHAIR 5.00	0.	0.	0.
FRANK A. GERBODE, MD 127 UNIVERSITY AVENUE BERKELEY, CA 94710	VICE CHAIR/TREASURER 5.00	0.	0.	0.
COLIN GERBODE 127 UNIVERSITY AVENUE BERKELEY, CA 94710	VICE CHAIR/SECRETARY (FROM 11/9/15) 5.00	0.	0.	0.
MARYANNA G. STOCKHOLM 127 UNIVERSITY AVENUE BERKELEY, CA 94710	DIRECTOR 5.00	0.	0.	0.
CHARLES M. STOCKHOLM 127 UNIVERSITY AVENUE BERKELEY, CA 94710	DIRECTOR 5.00	0.	0.	0.
SARAH SHAW 127 UNIVERSITY AVENUE BERKELEY, CA 94710	DIRECTOR (THRU 11/9/15) 5.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		170,800.	40,926.	0.

FORM 990-PF

EXPENDITURE RESPONSIBILITY STATEMENT
PART VII-B, LINE 5C

STATEMENT 14

GRANTEE'S NAME

ZELLERBACH FAMILY FOUNDATION

GRANTEE'S ADDRESS575 MARKET STREET, #2950
SAN FRANCISCO, CA 94105

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>	<u>VERIFICATION DATE</u>
50,000.	12/31/14	50,000.	01/19/16

PURPOSE OF GRANT

SUPPORT TAX-EXEMPT PUBLIC CHARITY ARTS GROUPS IN THE BAY AREA.

DATES OF REPORTS BY GRANTEE

1/19/2016

ANY DIVERSION BY GRANTEE

NONE

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 15

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

STACIE MA'A
127 UNIVERSITY AVENUE
BERKELEY, CA 94710

TELEPHONE NUMBERNAME OF GRANT PROGRAM

510-982-4788

FOUNDATION GRANTS AND SPECIAL AWARDS

FORM AND CONTENT OF APPLICATIONS

THE FOUNDATION IS INTERESTED IN PROGRAMS AND PROJECTS OFFERING POTENTIAL FOR SIGNIFICANT IMPACT. THE PRIMARY FOCUS IS ON THE SAN FRANCISCO BAY AREA AND HAWAII. THE FOUNDATION'S INTERESTS GENERALLY FALL UNDER THE FOLLOWING CATEGORIES:

ARTS AND CULTURE, ENVIRONMENT, POPULATION, REPRODUCTIVE RIGHTS, CITIZEN PARTICIPATION/BUILDING COMMUNITIES/INCLUSIVENESS, STRENGTH OF THE PHILANTHROPIC PROCESS AND THE NONPROFIT SECTOR, FOUNDATION-INITIATED SPECIAL PROJECTS.

PREFERRED FORM OF CONTACT: LETTER OF INQUIRY WITH SHORT DESCRIPTION OF THE PROJECT. INITIAL CONTACT SHOULD NOT INCLUDE MATERIALS (VIDEOTAPES, ETC.) REQUIRING RETURN. IN THE EVENT THAT MATERIALS MUST BE RETURNED, AN EXPLICIT REQUEST FOR THEIR RETURN AND A SELF-ADDRESSED, STAMPED ENVELOPE MUST BE PROVIDED. THE FOUNDATION DOES NOT ACCEPT APPLICATIONS OR UNSOLICITED

ANY SUBMISSION DEADLINES

APPLICATIONS ARE ACCEPTED ON AN ONGOING BASIS. SPECIAL AWARDS CYCLES ARE USUALLY ANNOUNCED IN MAY.

RESTRICTIONS AND LIMITATIONS ON AWARDS

TO QUALIFY FOR SUPPORT, AN ORGANIZATION MUST BE A TAX-EXEMPT PUBLIC CHARITY, AS DETERMINED BY INTERNAL REVENUE CODE SECTION 501(C)(3). THE FOUNDATION GENERALLY DOES NOT SUPPORT DIRECT SERVICES, DEFICIT BUDGETS, GENERAL OPERATING FUNDS, BUILDING OR EQUIPMENT FUNDS, GENERAL FUNDRAISING CAMPAIGNS, RELIGIOUS PURPOSES, PRIVATE SCHOOLS, PUBLICATIONS, SCHOLARSHIPS, OR GRANTS TO INDIVIDUALS.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A - 2D (CONTINUATION)

STATEMENT 16

NAME OR DESCRIPTION OF GRANT PROGRAM

FOUNDATION GRANTS AND SPECIAL AWARDS

FORM AND CONTENT OF APPLICATIONS

MATERIALS VIA FAX MACHINE.

2015

Organization Name	Unpaid 12/31/14	Awarded	Refunds	Paid	Cancelled	Foundation Status	Awarded in . 2015 but Unpaid
Access Women's Health Justice P.O. Box 3609 Oakland, CA 94609 Program Support		25,000		25,000		PC	
Alliance for Justice 11 Dupont Circle, NW, 2nd Floor Washington, DC 20036 Program Support		25,000		25,000		PC	
American Civil Liberties Union Foundation of Northern California 39 Drumm Street San Francisco, CA 94111 Program Support		25,000		25,000		PC	
American Civil Liberties Union Foundation of Northern California 39 Drumm Street San Francisco, CA 94111 Program Support		50,000				PC	50,000
American Civil Liberties Union Foundation of Northern California 39 Drumm Street San Francisco, CA 94111 Program Support	50,000			50,000		PC	
Asian American-Pacific Islanders in Philanthropy 2201 Broadway, Suite 720 Oakland, CA 94612 Program Support		15,000				PC	15,000
Asian American-Pacific Islanders in Philanthropy 2201 Broadway, Suite 720 Oakland, CA 94612 Program Support		15,000		15,000		PC	
Asian Americans Advancing Justice-Asian Law Caucus 55 Columbus Avenue San Francisco, CA 94111 Program Support		50,000				PC	50,000

2015

Organization Name	Unpaid 12/31/14	Awarded	Refunds	Paid	Cancelled	Foundation Status	Awarded in 2015 but Unpaid
Asian Pacific Environmental Network 426 17th Street, Suite 500 Oakland, CA 94612 Program Support		25,000				PC	25,000
Asian Pacific Environmental Network 426 17th Street, Suite 500 Oakland, CA 94612 Program Support		25,000		25,000		PC	
AXIS Dance Company 1428 Alice Street, Suite 200 Oakland, CA 94612 New work by Choreographer Stephan Koplowitz	50,000			50,000		PC	
Bay Area Parent Leadership Action Network 7700 Edgewater Drive, Suite 130 Oakland, CA 94621 Program Support		25,000		25,000		PC	
Bay Area Parent Leadership Action Network 7700 Edgewater Drive, Suite 130 Oakland, CA 94621 Program Support		25,000				PC	25,000
Bay Org The Bay Institute c/o Aquarium of the Bay The Embarcadero at Beach Street San Francisco, CA 94133 Program Support		50,000		50,000		PC	
Bay Org (The Bay Institute) c/o Aquarium of the Bay The Embarcadero at Beach Street San Francisco, CA 94133 Program Support		50,000				PC	50,000
Baykeeper 1736 Franklin Street, Suite 800 Oakland, CA 94612-3423 Program Support		50,000				PC	50,000

2015

Organization Name	Unpaid 12/31/14	Awarded	Refunds	Paid	Cancelled	Foundation Status	Awarded in 2015 but Unpaid
California Association of Nonprofits 400 Montgomery Street, Suite 500 San Francisco, CA 94104 Program Support		5,000		5,000		PC	
California Family Health Council Inc. 2550 9th Street, #110 Berkeley, CA 94710 Program Support		40,000		40,000		PC	
California Lawyers for the Arts Inc. Fort Mason Center, 2 Marina Boulevard Building C, Suite 265 San Francisco, CA 94123 Program Support		25,000				PC	25,000
California Reinvestment Coalition 474 Valencia Street, Suite 230 San Francisco, CA 94103 Program Support		25,000				PC	25,000
California Reinvestment Coalition 474 Valencia Street, Suite 230 San Francisco, CA 94103 Program Support		25,000		25,000		PC	
California Voter Foundation P.O. Box 189277 Sacramento, CA 95818 Program Support		25,000				PC	25,000
Californians for Justice Education Fund, Inc. 520 3rd Street, #204 Oakland, CA 94607 Program Support		25,000				PC	25,000
Causa Justa :: Just Cause P.O. Box 3596 Oakland, CA 94609 Program Support		25,000		25,000		PC	

STATEMENT A

2015

Organization Name	Unpaid 12/31/14	Awarded	Refunds	Paid	Cancelled	Foundation Status	Awarded in 2015 but Unpaid
Center for Investigative Reporting, Inc. 1400 65th Street, Suite 200 Emeryville, CA 94608 Program Support		25,000		25,000		PC	
Center for Investigative Reporting, Inc. 1400 65th Street, Suite 200 Emeryville, CA 94608 Program Support		25,000				PC	25,000
Chinese for Affirmative Action The Kuo Building 17 Walter U. Lum Place San Francisco, CA 94108 Program Support		25,000				PC	25,000
City Arts and Lectures Inc. 1955 Sutter Street San Francisco, CA 94115 Program Support		15,000		15,000		PC	
Coleman Children and Youth Services 459 Vienna Street San Francisco, CA 94112 Program Support		25,000				PC	25,000
Common Cause Education Fund 1133 19th Street, NW, 9th Floor Washington, DC 20036 Program Support		25,000				PC	25,000
Common Counsel Foundation 405 Fourteenth Street, Suite 809 Oakland, CA 94612 Program Support		2,500		2,500		PC	
Commonweal 205 Camino Alto, #265 Mill Valley, CA 94941 Program Support	50,000			50,000		PC	

STATEMENT A

2015

Organization Name	Unpaid 12/31/14	Awarded	Refunds	Paid	Cancelled	Foundation Status	Awarded in 2015 but Unpaid
Commonwealth Club of California 555 Post Street San Francisco, CA 94102 Program Support		25,000		25,000		PC	
Commonwealth Club of California 555 Post Street San Francisco, CA 94102 Program Support		25,000				PC	25,000
Community Music Center 544 Capp Street San Francisco, CA 94110 Organizational Development		90,000		45,000		PC	45,000
Community Works West Inc. 4681 Telegraph Avenue Oakland, CA 94609 Program Support		25,000		25,000		PC	
Community Works West Inc. 4681 Telegraph Avenue Oakland, CA 94609 Program Support		25,000				PC	25,000
Contra Costa Interfaith Sponsoring Committee 100 E. 18th Street Antioch, CA 94509 Program Support		25,000		25,000		PC	
Counterpulse 1310 Mission Street San Francisco, CA 94103 New work by Choreographer Dohee Lee	50,000			25,000		PC	
Creative Growth Inc. 355 24th Street Oakland, CA 94612 Program Support		25,000		25,000		PC	

2015

Organization Name	Unpaid 12/31/14	Awarded	Refunds	Paid	Cancelled	Foundation Status	Awarded in 2015 but Unpaid
Destiny Arts Center 970 Grace Ave. Oakland, CA 94608 New work by Choreographer Nicole Klaymoon	50,000			50,000		PC	
Earned Assets Resource Network 235 Montgomery Street, Suite 470 San Francisco, CA 94104 Program Support		25,000				PC	25,000
East Bay Community Foundation De Domenico Building 200 Frank H. Ogawa Plaza Oakland, CA 94612 Program Support		25,000		25,000		PC	
Environment Hawaii Inc. 190 Keawe Street, Suite 29 Hilo, HI 96720 Program Support		10,000		10,000		PC	
Environment Hawaii Inc. 190 Keawe Street, Suite 29 Hilo, HI 96720 Program Support		15,000				PC	15,000
Environmental Grantmakers Association 475 Riverside Drive, Suite 960 New York, NY 10115 Program Support		4,200		4,200		PC	
Forward Together 1440 Broadway, Suite 301 Oakland, CA 94612 Program Support		50,000				PC	50,000
Forward Together 1440 Broadway, Suite 301 Oakland, CA 94612 Program Support		50,000		50,000		PC	

2015

Organization Name	Unpaid 12/31/14	Awarded	Refunds	Paid	Cancelled	Foundation Status	Awarded in 2015 but Unpaid
Foundation Center 312 Sutter Street, Suite 606 San Francisco, CA 94108 San Francisco Library-Learning Center in 2015		5,000		5,000		PC	
Funders Network on Population Reproductive Health and Rights Inc., The P.O. Box 750 Rockville, MD 20848-0750 Program Support		1,250		1,250		PC	
Gamelan Sekar Jaya 3023 Shattuck Avenue Berkeley, CA 94705 New Work by Composer Wayne Vitale	25,000			25,000		PC	
Grantmakers Concerned with Immigrants and Refugees P.O. Box 1100 Sebastopol, CA 95473-1100 Program Support		2,000		2,000		PC	
Grantmakers in the Arts 4055 21st Avenue West, Suite 100 Seattle, WA 98199-1247 Program Support in 2015		1,500		1,500		PC	
Greatnonprofits Sobrato Nonprofit Center 330 Twin Dolphin Drive, Suite 131 Redwood City, CA 94065 Program Support		25,000				PC	25,000
Greenaction for Health and Environmental Justice 559 Ellis Street San Francisco, CA 94109 Program Support		25,000				PC	25,000
People for Open Space, Inc. / Greenbelt Alliance 312 Sutter Street, Suite 510 San Francisco, CA 94108 Program Support		50,000				PC	50,000

STATEMENT A

2015

Organization Name	Unpaid 12/31/14	Awarded	Refunds	Paid	Cancelled	Foundation Status	Awarded in 2015 but Unpaid
People for Open Space, Inc. / Greenbelt Alliance 312 Sutter Street, Suite 510 San Francisco, CA 94108 Program Support	50,000			50,000		PC	
Greenlining Institute 1918 University Avenue, 2nd Floor Berkeley, CA 94704 Program Support		50,000				PC	50,000
Groundswell Fund 436 14th Street, Suite 723 Oakland, CA 94612 Catalyst Fund: Resources for Women of Color in Reproductive Justice		25,000				PC	25,000
Hawaii Alliance of Nonprofit Organizations 1020 South Beretania Street, 2nd Floor Honolulu, HI 96814 Program Support		25,000				PC	25,000
Hawaii Alliance of Nonprofit Organizations 1020 South Beretania Street, 2nd Floor Honolulu, HI 96814 Program Support		25,000		25,000		PC	
Hawaii Community Foundation C. Brewer Building 827 Fort Street Mall Honolulu, HI 96813 Hawaii's Environmental Funders Group		14,200		14,200		PC	
Hawaii Community Foundation C. Brewer Building 827 Fort Street Mall Honolulu, HI 96813 Program Support		14,200				PC	14,200
Hawaii Friends of Civic and Law-Related Education P.O. Box 489 Waialua, HI 96791 Program Support		25,000				PC	25,000

2015

Organization Name	Unpaid 12/31/14	Awarded	Refunds	Paid	Cancelled	Foundation Status	Awarded in 2015 but Unpaid
Hawaii Friends of Civic and Law-Related Education P.O. Box 489 Wailua, HI 96791 Program Support		25,000		25,000		PC	
Hawaii Public Television Foundation 2350 Dole Street Honolulu, HI 96822 Program Support		25,000		25,000		PC	
Heyday P.O. Box 9145 Berkeley, CA 94709 Program Support		15,000		15,000		PC	
Hispanics in Philanthropy 414 13th Street, Suite 200 Oakland, CA 94612 Program Support in 2015		5,000		5,000		PC	
Ibis Reproductive Health Inc. 17 Dunster Street, Suite 201 Cambridge, MA 02138 Program Support		25,000		25,000		PC	
Immigrant Legal Resource Center 1663 Mission Street, Suite 602 San Francisco, CA 94103 Program Support		25,000				PC	25,000
Intersection for the Arts 925 Mission Street, Suite 109 San Francisco, CA 94103 New Work by Playwright Roger Guenveur Smith		50,000				PC	50,000
Island Press- Center for Resource Economics 2000 M Street NW, Suite 650 Washington, DC 20036 Program Support		25,000		25,000		PC	

STATEMENT A

2015

Organization Name	Unpaid 12/31/14	Awarded	Refunds	Paid	Cancelled	Foundation Status	Awarded in. 2015 but Unpaid
Kronos Quartet 1242 Ninth Avenue San Francisco, CA 94122 New Work by Composer Jonathan Berger	25,000					PC	
Kua Aina Ulu Auamo 47-200 Waihe'e Road, c/o Key Project Kane'ohe, HI 96744 Program Support		50,000				PC	50,000
Law Students for Reproductive Justice 1730 Franklin Street, Suite 212 Oakland, CA 94612 Program Support		25,000				PC	25,000
Legal Community Against Violence (Law Center to Prevent Gun Violence) 268 Bush Street #555 San Francisco, CA 94104 Program Support		40,000				PC	40,000
Maplight (aka MapLight.org) 2223 Shattuck Avenue Berkeley, CA 94704 Program Support		25,000				PC	25,000
Magic Theatre Inc. Fort Mason Center, Landmark Bldg D 2 Marina Boulevard San Francisco, CA 94123 New Work by Playwright Jessica Hagedorn		50,000				PC	50,000
Malama Maunaloa Hawaii Kai Towne Center 6600 Kalaniana'ole Hwy., Suite 212 Honolulu, HI 96825 Program Support		50,000				PC	50,000

2015

Organization Name	Unpaid 12/31/14	Awarded	Refunds	Paid	Cancelled	Foundation Status	Awarded in 2015 but Unpaid
Manoa Heritage Center 2859 Manoa Road Honolulu, HI 96822 Program Support		50,000		50,000		PC	
Medical Students for Choice P.O. Box 40188 Philadelphia, PA 19106 Program Support		25,000		25,000		PC	
Mental Health America of Hawaii Inc. 1124 Fort Street Mall, Suite 205 Honolulu, HI 96813 Program Support		25,000				PC	25,000
NARAL Pro-Choice America Foundation 335 South Van Ness Avenue San Francisco, CA 94103 Annual Luncheon		10,000		10,000		PC	
Na Lei Hulu I Ka Wekiu Hula Halau 699 Pennsylvania Ave # 2 San Francisco, CA 94107 Program Support		7,500		7,500		PC	
National Center for Family Philanthropy Inc. 1101 Connecticut Avenue, NW, Suite 220 Washington, DC 20036 Program Support		1,000		1,000		PC	
National Center for Family Philanthropy 1667 K Street, NW, Suite 550 Washington, DC 20006 Program Support		1,000				PC	1,000
National Committee for Responsive Philanthropy 1331 H Street NW, Suite 200 Washington, DC 20005 Program Support		15,000		15,000		PC	

2015

Organization Name	Unpaid 12/31/14	Awarded	Refunds	Paid	Cancelled	Foundation Status	Awarded in, 2015 but Unpaid
National Committee for Responsive Philanthropy 1331 H Street NW, Suite 200 Washington, DC 20005 Program Support		15,000				PC	15,000
Nature Conservancy 923 Nu'uanu Avenue Honolulu, HI 96817 Program Support		100,000				PC	100,000
Non-Profit Housing Association of Northern California 369 Pine Street, Suite 350 San Francisco, CA 94104 Program Support		25,000		25,000		PC	
North East Exchange Team - San Francisco (aka NEXT Village SF) PO Box 330278 San Francisco, CA 94133 Program Support		25,000		25,000		PC	
North East Exchange Team - San Francisco (aka NEXT Village SF) PO Box 330278 San Francisco, CA 94133 Program Support		25,000				PC	25,000
Northern California Grantmakers 160 Spear Street, Suite 360 San Francisco, CA 94105-1543 Program Support in 2015		5,000		5,000		PC	
Northern California Grantmakers 160 Spear Street, Suite 360 San Francisco, CA 94105-1543 Program Support		15,000				PC	15,000
Nursing Students for Choice 2356 University Avenue W, Suite 244 St. Paul, MN 55114 Program Support		20,000				PC	20,000

2015

Organization Name	Unpaid 12/31/14	Awarded	Refunds	Paid	Cancelled	Foundation Status	Awarded in 2015 but Unpaid
Nursing Students for Choice 2356 University Avenue W, Suite 244 St. Paul, MN 55114 Program Support		20,000		20,000		PC	
ODC 351 Shotwell Street San Francisco, CA 94110 New work by Choreographer Brenda Way	50,000			25,000		PC	
Our Family Coalition 1385 Mission Street, Suite 340 San Francisco, CA 94103 Program Support		25,000				PC	25,000
Pacific Institute for Studies in Development, Environment, and Security 654 13th Street, Preservation Park Oakland, CA 94612 Program Support		40,000				PC	40,000
Pacific Institute for Studies in Development, Environment, and Security 654 13th Street, Preservation Park Oakland, CA 94612 Program Support		40,000		40,000		PC	
Pacific News Service 209 9th Street, Suite 200 San Francisco, CA 94103 Program Support		25,000				PC	25,000
Pacific News Service 209 9th Street, Suite 200 San Francisco, CA 94103 Program Support		25,000		25,000		PC	
Partnership Project Inc. 1501 M Street, NW, Suite 1010 Washington, DC 20005 Program Support		20,000		20,000		PC	

STATEMENT A

2015

Organization Name	Unpaid 12/31/14	Awarded	Refunds	Paid	Cancelled	Foundation Status	Awarded in, 2015 but Unpaid
Peoples Fund 949 Kapiolani Blvd., Suite 100 Honolulu, HI 96814 Program Support		25,000				PC	25,000
Physicians for Reproductive Choice and Health Inc. 55 West 39th Street, Suite 1001 New York, NY 10018-3889 Program Support		25,000				PC	25,000
Physicians for Reproductive Choice and Health Inc. 55 West 39th Street, Suite 1001 New York, NY 10018-3889 Program Support		25,000		25,000		PC	
Prison University Project Inc. P.O. Box 492 San Quentin, CA 94964 Program Support		100,000				PC	100,000
Project Bandaloop 2201 Broadway, Suite 210 Oakland, CA 94612 New work by Choreographer Amelia Rudolph	50,000			25,000		PC	
Regents of the University of California at Berkeley School of Social Welfare 120 Haviland Hall #7400 Berkeley, CA 94720-7400 Program Support		25,000				PC	25,000
San Francisco Parks Alliance 1663 Mission Street, Suite 320 San Francisco, CA 94103 Program Support		25,000				PC	25,000
San Francisco Parks Alliance 1663 Mission Street, Suite 320 San Francisco, CA 94103 Program Support		50,000		50,000		PC	

2015

Organization Name	Unpaid 12/31/14	Awarded	Refunds	Paid	Cancelled	Foundation Status	Awarded in 2015 but Unpaid
San Francisco Chamber Orchestra P.O. Box 191564 San Francisco, CA 94119 New Work by Composer Amy X Neuberg	25,000			25,000		PC	
San Francisco Foundation One Embarcadero Center, Suite 1400 San Francisco, CA 94111 Great Communities Collaborative		75,000				PC	75,000
San Francisco Green Film Festival Ninth Street Independent Film Center 145 9th Street, Suite 220 San Francisco, CA 94103 Program Support		15,000				PC	15,000
San Francisco Green Film Festival Ninth Street Independent Film Center 145 9th Street, Suite 220 San Francisco, CA 94103 Program Support		15,000		15,000		PC	
San Francisco Information Clearinghouse 325 Clementina Street San Francisco, CA 94103 Program Support		25,000				PC	25,000
San Francisco Planning & Urban Research Association 654 Mission Street San Francisco, CA 94105 Program Support		25,000				PC	25,000
San Francisco Village 3575 Geary Blvd San Francisco, CA 94118 Program support		25,000				PC	25,000
San Jose Stage Company 490 South First Street San Jose, CA 95113 New Work by Playwright Luis Valdez		50,000				PC	50,000

STATEMENT A

2015

Organization Name	Unpaid 12/31/14	Awarded	Refunds	Paid	Cancelled	Foundation Status	Awarded in 2015 but Unpaid
Self-Help for the Elderly 731 Sansome Street, Suite 100 San Francisco, CA 94111-1725 Program Support		25,000				PC	25,000
Shotgun Players 1901 Ashby Avenue Berkeley, CA 94703 New Work by Playwright Geetha Reddy		50,000				PC	50,000
Silicon Valley De-Bug 701 Lenzen Avenue San Jose, CA 95126 Program Support		25,000				PC	25,000
Working Group, The P.O. Box 70232 Oakland, CA 94612 Program Support		25,000				PC	25,000
Tides Center PO Box 29198 San Francisco, CA 94129-0198 Program Support		50,000				PC	50,000
Tides Center PO Box 29198 San Francisco, CA 94129-0198 Program Support		25,000				PC	25,000
Tides Center PO Box 29198 San Francisco, CA 94129-0198 Program Support		25,000		25,000		PC	
Trust for Conservation Innovation 150 Post Street, Suite 342 San Francisco, CA 94108 Program Support		25,000				PC	25,000

2015

Organization Name	Unpaid 12/31/14	Awarded	Refunds	Paid	Cancelled	Foundation Status	Awarded in 2015 but Unpaid
Trust for Public Land 1003 Bishop St., Pauahi Tower, Ste. 740 Honolulu, HI 96813 Program Support		100,000				PC	100,000
Urban Habitat Program 1212 Broadway, Suite 500 Oakland, CA 94612 Program Support		25,000				PC	25,000
Urban Habitat Program 1212 Broadway, Suite 500 Oakland, CA 94612 Program Support		25,000		25,000		PC	
Yerba Buena Center for the Arts 701 Mission Street San Francisco, CA 94103-3138 New work by Choreographer Kim Epifano	50,000			25,000		PC	
Youth Law Center 200 Pine Street, Suite 300 San Francisco, CA 94104 Program Support		15,000		15,000		PC	
Youth Law Center 200 Pine Street, Suite 300 San Francisco, CA 94104 Program Support		15,000				PC	15,000
Youth Speaks Inc. 1663 Mission Street, Suite 604 San Francisco, CA 94103 New Work by Playwright Paul S. Flores		50,000				PC	50,000
Z Space Studio 499 Alabama Street, #450 San Francisco, CA 94110 New Work by Playwright Kate E. Ryan		50,000				PC	50,000

2015

Organization Name	Unpaid 12/31/14	Awarded	Refunds	Paid	Cancelled	Foundation Status	Awarded in 2015 but Unpaid
Zellerbach Family Foundation 575 Market Street, Suite 2950 San Francisco, CA 94105 Community Arts Program	50,000			50,000		PF	
Total grants listed: 136	575,000	3,529,350		1,604,150			2,375,200



Account Statement For:
GERBODE, WALLACE A FDN-CONSOLIDATED

Period Covered: December 1, 2015 - December 31, 2015

Consolidated Account Number

ASSET DETAIL *(continued)*

ASSET DESCRIPTION	QUANTITY	PRICE	MARKET VALUE AS OF 12/31/15	COST BASIS	UNREALIZED GAIN/LOSS	CURRENT YIELD	ACCRUED INCOME
Equities							
OTHER							
ALEXANDER & BALDWIN INC SYMBOL: ALEX CUSIP: 014491104 ACCOUNT NUMBER: 65870400	20,454.000	\$35.310	\$722,230.74	\$35,602.67	\$686,628.07	0.68%	\$0.00
MATSON INC. SYMBOL: MATX CUSIP: 57686G105 ACCOUNT NUMBER: 65870400	20,454.000	42.630	871,954.02	32,798.23	839,155.79	1.69	0.00
Total Other			\$1,594,184.76	\$68,400.90	\$1,525,783.86	1.23%	\$0.00
Total Equities			\$1,594,184.76	\$68,400.90	\$1,525,783.86	1.23%	\$0.00
TOTAL ASSETS			ACCOUNT VALUE AS OF 12/31/15 \$1,594,284.93	COST BASIS \$68,501.07	UNREALIZED GAIN/LOSS \$1,525,783.86		ACCRUED INCOME \$0.04

The Wallace Alexander Gerbode Foundation
EIN: 94-6065226
Tax Year: 2015
Form: 990-PF

Form 990-PF, Parts I and XII, Charitable Expenses

Expenses have been allocated based upon time spent, space utilized or expenses incurred in furtherance of charitable, as opposed to, investment purposes.

Form 990-PF, Parts I and XV, Contributions, Gifts and Grants Paid

Grants per books in the amount of \$3,529,350 consist of grants approved, but not necessarily paid in 2015.

Grants paid during the year (990-PF Part I, line 25, column (d))	1,604,150
Grants Payable, beginning of the Year	(575,000)
Grants Payable, end of the Year	<u>2,500,200</u>
Total Grants per books (990-PF Part I, Line 25, column (a))	<u><u>3,529,350</u></u>