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Form 990-PF

## Return of Private Foundation

## or Section 4947(a)(1) Trust Treated as Private Foundation

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2015

Open to Public  
Inspection

For calendar year 2015, or tax year beginning 01-01-2015 , and ending 12-31-2015

Name of foundation ROBERT AND RUTH HALPERIN FOUNDATION		A Employer identification number 94-3334424
Number and street (or P O box number if mail is not delivered to street address) ONE LOMBARD STREET SUITE 305	Room/suite	B Telephone number (see instructions) (415) 834-9934
City or town, state or province, country, and ZIP or foreign postal code SAN FRANCISCO, CA 94111		
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		C If exemption application is pending, check here <input type="checkbox"/> <input checked="" type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		D 1. Foreign organizations, check here <input type="checkbox"/> <input checked="" type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> <input checked="" type="checkbox"/> E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> <input checked="" type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/> <input checked="" type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <b>\$ 127,736,901</b>	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		Revenue and expenses per books (a)	Net investment income (b)	Adjusted net income (c)	Disbursements for charitable purposes (d) (cash basis only)
1 Contributions, gifts, grants, etc , received (attach schedule)		28,112,585			
2 Check <input type="checkbox"/> <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		137	137		
4 Dividends and interest from securities		2,544,431	2,544,431		
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		109,847			
b Gross sales price for all assets on line 6a 1,364,710					
7 Capital gain net income (from Part IV, line 2)			109,847		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)		766,930	766,930		
12 Total Add lines 1 through 11		31,533,930	3,421,345		
13 Compensation of officers, directors, trustees, etc		6,772	2,032		4,740
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees (attach schedule)					
b Accounting fees (attach schedule)		36,882	36,882		
c Other professional fees (attach schedule)		305,330	305,330		
17 Interest					
18 Taxes (attach schedule) (see instructions)		21,707	21,707		
19 Depreciation (attach schedule) and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses (attach schedule)		455,237	374,599		80,638
24 Total operating and administrative expenses.					
Add lines 13 through 23		825,928	740,550		85,378
25 Contributions, gifts, grants paid		4,965,000			4,965,000
26 Total expenses and disbursements.Add lines 24 and 25		5,790,928	740,550		5,050,378
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements		25,743,002			
b Net investment income (if negative, enter -0-)			2,680,795		
c Adjusted net income(if negative, enter -0-)					

Assets	Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
1	Cash—non-interest-bearing . . . . .	95,637	121,041	121,041
2	Savings and temporary cash investments . . . . .			
3	Accounts receivable ► _____			
	Less allowance for doubtful accounts ► _____			
4	Pledges receivable ► _____			
	Less allowance for doubtful accounts ► _____			
5	Grants receivable . . . . .			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
7	Other notes and loans receivable (attach schedule) ► _____			
	Less allowance for doubtful accounts ► _____			
8	Inventories for sale or use . . . . .			
9	Prepaid expenses and deferred charges . . . . .			
10a	Investments—U S and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule) . . . . .	86,243,846	113,412,656	106,085,281
c	Investments—corporate bonds (attach schedule) . . . . .			
11	Investments—land, buildings, and equipment basis ► _____			
	Less accumulated depreciation (attach schedule) ► _____			
12	Investments—mortgage loans. . . . .			
13	Investments—other (attach schedule) . . . . .	19,936,433	18,518,810	21,530,579
14	Land, buildings, and equipment basis ► _____			
	Less accumulated depreciation (attach schedule) ► _____			
15	Other assets (describe ► _____)			
16	<b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	106,275,916	132,052,507	127,736,901
17	Accounts payable and accrued expenses . . . . .	1,841	35,429	
18	Grants payable . . . . .			
19	Deferred revenue . . . . .			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule) . . . . .			
22	Other liabilities (describe ► _____)			
23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .	1,841	35,429	
Liabilities	<b>Foundations that follow SFAS 117, check here ► <input type="checkbox"/></b> <b>and complete lines 24 through 26 and lines 30 and 31.</b>			
24	Unrestricted . . . . .			
25	Temporarily restricted . . . . .			
26	Permanently restricted . . . . .			
Net Assets or Fund Balances	<b>Foundations that do not follow SFAS 117, check here ► <input checked="" type="checkbox"/></b> <b>and complete lines 27 through 31.</b>			
27	Capital stock, trust principal, or current funds . . . . .			
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds	106,274,075	132,017,078	
30	<b>Total net assets or fund balances</b> (see instructions) . . . . .	106,274,075	132,017,078	
31	<b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .	106,275,916	132,052,507	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	106,274,075
2	Enter amount from Part I, line 27a . . . . .	2	25,743,002
3	Other increases not included in line 2 (itemize) ► _____	3	1
4	Add lines 1, 2, and 3 . . . . .	4	132,017,078
5	Decreases not included in line 2 (itemize) ► _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	6	132,017,078

**Part IV Capital Gains and Losses for Tax on Investment Income**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)		How acquired (b) P—Purchase D—Donation	Date acquired (c) (mo, day, yr)	Date sold (d) (mo, day, yr)
<b>1a</b> See Additional Data Table				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
<b>(e) Gross sales price</b>	<b>Depreciation allowed (f) (or allowable)</b>	<b>Cost or other basis (g) plus expense of sale</b>	<b>Gain or (loss) (h) (e) plus (f) minus (g)</b>	
<b>a</b> See Additional Data Table				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
<b>(i) FMV as of 12/31/69</b>	<b>Adjusted basis (j) as of 12/31/69</b>	<b>Excess of col (i) (k) over col (j), if any</b>		
<b>a</b> See Additional Data Table				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
<b>2</b> Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		<b>2</b>	109,847
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)				
If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8				<b>3</b>

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)  
If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

Yes  No

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

<b>(a)</b> Base period years Calendar year (or tax year beginning in)	<b>(b)</b> Adjusted qualifying distributions	<b>(c)</b> Net value of noncharitable-use assets	<b>(d)</b> Distribution ratio (col (b) divided by col (c))
2014	4,579,864	102,912,882	0 04450
2013	4,522,004	97,951,363	0 04617
2012	3,960,000	96,924,872	0 04086
2011	3,587,846	80,815,760	0 04440
2010	3,268,273	75,709,168	0 04317
<b>2</b> Total of line 1, column (d).			<b>2</b> 0 219088
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			<b>3</b> 0 043818
<b>4</b> Enter the net value of noncharitable-use assets for 2015 from Part X, line 5.			<b>4</b> 125,507,986
<b>5</b> Multiply line 4 by line 3.			<b>5</b> 5,499,509
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b).			<b>6</b> 26,808
<b>7</b> Add lines 5 and 6.			<b>7</b> 5,526,317
<b>8</b> Enter qualifying distributions from Part XII, line 4.			<b>8</b> 5,050,378

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1	1	53,616
Date of ruling or determination letter _____ <b>(attach copy of letter if necessary—see instructions)</b>			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	2	
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b)	3	53,616
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . . . .	4	
3	Add lines 1 and 2. . . . .	5	53,616
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . . . .		
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3 If zero or less, enter -0- . . . . .		
6	Credits/Payments		
a	2015 estimated tax payments and 2014 overpayment credited to 2015	6a	335,044
b	Exempt foreign organizations—tax withheld at source. . . . .	6b	
c	Tax paid with application for extension of time to file (Form 8868). . . . .	6c	
d	Backup withholding erroneously withheld . . . . .	6d	
7	Total credits and payments Add lines 6a through 6d. . . . .	7	335,044
8	Enter any <b>penalty</b> for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached . . . . .	8	
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .	9	
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .	10	281,428
11	Enter the amount of line 10 to be <b>Credited to 2015 estimated tax</b> ► 281,428 <b>Refunded</b> ►	11	

**Part VII-A Statements Regarding Activities**

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	<input type="checkbox"/>	<b>Yes</b>	<b>No</b>
1b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? . . . . .	<input type="checkbox"/>	<b>Yes</b>	<b>No</b>
	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>			
1c	Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .	<input type="checkbox"/>	<b>Yes</b>	<b>No</b>
1d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year			
	(1) On the foundation ► \$ _____ (2) On foundation managers ► \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . .	<input type="checkbox"/>	<b>Yes</b>	<b>No</b>
	<i>If "Yes," attach a detailed description of the activities.</i>			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . . . .	<input type="checkbox"/>	<b>Yes</b>	<b>No</b>
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	<input type="checkbox"/>	<b>Yes</b>	<b>No</b>
4b	If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .	<input type="checkbox"/>	<b>Yes</b>	<b>No</b>
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . .	<input type="checkbox"/>	<b>Yes</b>	<b>No</b>
	<i>If "Yes," attach the statement required by General Instruction T.</i>			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either			
	● By language in the governing instrument, or			
	● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .			
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i> . . . . .	<input type="checkbox"/>	<b>Yes</b>	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions)			
	► CA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i> . . . . .	<input type="checkbox"/>	<b>Yes</b>	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i> . . . . .	<input type="checkbox"/>	<b>Yes</b>	<b>No</b>
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i> . . . . .	<input type="checkbox"/>	<b>Yes</b>	<b>No</b>

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). . . . .	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) . . . . .	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	Yes	
14	The books are in care of ► JEAN WALLACE Located at ► 811 BLUEGRASS DRIVE PETALUMA CA	Telephone no ► (707) 769-1769 ZIP +4 ► 94954		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here . . . . . and enter the amount of tax-exempt interest received or accrued during the year . . . . . ►	15		
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ►	16	Yes	No

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
<b>1a</b>	During the year did the foundation (either directly or indirectly)		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
<b>b</b>	If any answer is "Yes" to 1a(1)-(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . . Organizations relying on a current notice regarding disaster assistance check here. . . . . ►	1b	No
<b>c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? . . . . .	1c	No
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
<b>a</b>	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? . . . . . If "Yes," list the years ► 20____, 20____, 20____, 20____	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
<b>b</b>	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions) . . . . .	2b	No
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____	3a	No
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
<b>b</b>	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015) . . . . .	3b	No
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . .	4a	No
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015? . . . . .	4b	No

**Part VII-B** **Statements Regarding Activities for Which Form 4720 May Be Required (Continued)**

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? . . . . .  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions). . . . .  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . .  Yes  No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? . . . . . Organizations relying on a current notice regarding disaster assistance check here. . . . .  Yes

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . .  Yes  No  
*If "Yes," attach the statement required by Regulations section 53.4945–5(d).*

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . .  Yes  No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . .  
*If "Yes" to 6b, file Form 8870.*

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . .

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1. List all officers, directors, trustees, foundation managers and their compensation (see instructions)**

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
<b>(a)</b> Name and address	<b>(b)</b> Title, and average hours per week devoted to position	<b>(c) Compensation (If not paid, enter -0-)</b>	<b>(d)</b> Contributions to employee benefit plans and deferred compensation	<b>(e)</b> Expense account, other allowances
PHILIP W HALPERIN	President 7 00	6,772		
ONE LOMBARD STREET SUITE 305 SAN FRANCISCO, CA 94111				
PEGGY DOW	Director 1 00	0		
ONE LOMBARD STREET SUITE 305 SAN FRANCISCO, CA 94111				
MARK HALPERIN	Director 1 00	0		
ONE LOMBARD STREET SUITE 305 SAN FRANCISCO, CA 94111				

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account other allowances
NONE				
<b>Total</b> number of other employees paid over \$50,000.				

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
GOLDSTEIN MUNGER & ASSOCIATES	INVEST ADVISORY SERV	305,330
18 CROW CANYON COURT 250		
SAN RAMON, CA 94583		

## **Part IX-A      Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

1	
2	

All other program-related investments See instructions

<b>3</b>	<hr/> <hr/>
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**Part X Minimum Investment Return**

(All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities. . . . .	1a	104,055,122	
b	Average of monthly cash balances. . . . .	1b	206,446	
c	Fair market value of all other assets (see instructions). . . . .	1c	23,157,707	
d	<b>Total</b> (add lines 1a, b, and c). . . . .	1d	127,419,275	
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	0	
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2		
3	Subtract line 2 from line 1d. . . . .	3	127,419,275	
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	4	1,911,289	
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	5	125,507,986	
6	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	6	6,275,399	

**Part XI Distributable Amount**(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	1	6,275,399
2a	Tax on investment income for 2015 from Part VI, line 5. . . . .	2a	53,616
b	Income tax for 2015 (This does not include the tax from Part VI ). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	53,616
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	6,221,783
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	
5	Add lines 3 and 4. . . . .	5	6,221,783
6	Deduction from distributable amount (see instructions). . . . .	6	
7	<b>Distributable amount</b> as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	6,221,783

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	5,050,378
b	Program-related investments—total from Part IX-B. . . . .	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	
b	Cash distribution test (attach the required schedule). . . . .	3b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	5,050,378
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	5	
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	6	5,050,378

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income (see instructions)**

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
<b>1</b> Distributable amount for 2015 from Part XI, line 7				6,221,783
<b>2</b> Undistributed income, if any, as of the end of 2015			5,018,605	
<b>a</b> Enter amount for 2014 only. . . . .				
<b>b</b> Total for prior years 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2015				
<b>a</b> From 2010. . . . .				
<b>b</b> From 2011. . . . .				
<b>c</b> From 2012. . . . .				
<b>d</b> From 2013. . . . .				
<b>e</b> From 2014. . . . .				
<b>f Total</b> of lines 3a through e. . . . .				
<b>4</b> Qualifying distributions for 2015 from Part XII, line 4 ► \$ 5,050,378				
<b>a</b> Applied to 2014, but not more than line 2a			5,018,605	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .		0		
<b>d</b> Applied to 2015 distributable amount. . . . .				31,773
<b>e</b> Remaining amount distributed out of corpus				
<b>5</b> Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5				
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
<b>e</b> Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .				
<b>f</b> Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015 . . . . .				6,190,010
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .				
<b>8</b> Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions). . . . .				
<b>9</b> Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a. . . . .				
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2011. . . . .				
<b>b</b> Excess from 2012. . . . .				
<b>c</b> Excess from 2013. . . . .				
<b>d</b> Excess from 2014. . . . .				
<b>e</b> Excess from 2015. . . . .				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

<b>1a</b> If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling. . . . .				
<b>b</b> Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)				
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed. . . . .				
<b>b</b> 85% of line 2a. . . . .				
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed. . . . .				
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities. . . . .				
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c. . . . .				
<b>3</b> Complete 3a, b, or c for the alternative test relied upon				
<b>a</b> "Assets" alternative test—enter				
(1) Value of all assets. . . . .				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i). . . . .				
<b>b</b> "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .				
<b>c</b> "Support" alternative test—enter				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). . . . .				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

**Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)****1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include

**c** Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b> See Additional Data Table				
<b>Total</b>			► 3a	4,965,000
<b>b Approved for future payment</b>				
<b>Total</b>			► 3b	

## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1</b> Program service revenue					
a					
b					
c					
d					
e					
f					
<b>g</b> Fees and contracts from government agencies					
<b>2</b> Membership dues and assessments. . . . .					
<b>3</b> Interest on savings and temporary cash investments . . . . .					
				14	137
<b>4</b> Dividends and interest from securities. . . . .				14	2,544,431
<b>5</b> Net rental income or (loss) from real estate					
a Debt-financed property. . . . .					
b Not debt-financed property. . . . .					
<b>6</b> Net rental income or (loss) from personal property. . . . .					
<b>7</b> Other investment income. . . . .					
<b>8</b> Gain or (loss) from sales of assets other than inventory . . . . .					
				18	109,847
<b>9</b> Net income or (loss) from special events					
<b>10</b> Gross profit or (loss) from sales of inventory					
<b>11</b> Other revenue a See Additional Data Table					
b					
c					
d					
e					
<b>12</b> Subtotal Add columns (b), (d), and (e). . . . .					
<b>13</b> Total Add line 12, columns (b), (d), and (e). . . . .					
(See worksheet in line 13 instructions to verify calculations.)					
				3,421,345	
					13
					3,421,345

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**



**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) (mo., day, yr.)	(d) Date sold (mo., day, yr.)
61806 DODGE & COX INC FUND	P	2014-06-24	2015-12-07
600 ISHARES RUSSELL 1000	P	2012-03-16	2015-03-11
900 ISHARES RUSSELL 1000	P	2012-03-16	2015-03-11
1500 VANGUARD HIGH DIVIDEND	P	2012-03-16	2015-03-11
1850 VANGUARD HIGH DIVIDEND	P	2012-06-16	2015-11-05
3552 VITAE PHARM	P	2015-12-31	2015-07-02
7691 VITAE PHARM	P	2015-12-31	2015-09-30

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
834,960		862,229	-27,269
68,978		46,893	22,085
103,471		70,339	33,132
101,557		72,896	28,661
126,810		89,904	36,906
45,790		34,721	11,069
83,144		77,881	5,263

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-27,269
			22,085
			33,132
			28,661
			36,906
			11,069
			5,263

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
MAKING WAVES 200 - 24TH STREET RICHMOND, CA 94804		EOF	EDUCATION PROGRAM	50,000
JUMPSTART FOR YOUNG CHILDREN 65 BATTERY STREET 2ND FLOOR SAN FRANCISCO, CA 94111		EOF	FUND GENERAL OPERATING	75,000
SAN FRANCISCO CHILD ABUSE PREV CENT 1757 WALLER STREET SAN FRANCISCO, CA 94117		PC	FUND GENERAL OPERATING	50,000
FAMILY CONNECTIONS 2565 SAN BRUNO AVENUE SAN FRANCISCO, CA 94134		EOF	FUND GENERAL OPERATING	50,000
PARTNERS IN SCHOOL INNOVATION 1060 TENNESSEE STREET SAN FRANCISCO, CA 94107		EOF	FURTHER CHARITABLE PURPOSE	25,000
NEW TEACHER CENTER 725 FRONT STREET STE 400 SANTA CRUZ, CA 95060		EOF	SGUSD EARLY EDUCATION	165,000
EASTSIDE COLLEGE PREPARATORY 1041 MYRTLE STREET EAST PALO ALTO, CA 94303		EOF	FUND GENERAL OPERATING	50,000
TEACH FOR AMERICA 22 FOURTH STREET 7TH FLOOR SAN FRANCISCO, CA 94103		PC	FUND GENERAL OPERATING	50,000
PARENTS FOR PUBLIC SCHOOLS 3543 - 18TH STREET SAN FRANCISCO, CA 94110		EOF	FUND GENERAL OPERATING	50,000
STANFORD UNIVERSITY 326 GALVEZ WAY STANFORD, CA 94305		EOF	FURTHER CHARITABLE PURPOSE	1,160,000
UNIVERSITY OF CHICAGO 401 NORTH MICHIGAN AVENUE CHICAGO, IL 60611		EOF	TRUSTEE ODESSEY	10,000
HARVARD BUSINESS SCHOOL SOLDIERS FIELD RD TEELE 415 BOSTON, MA 02163		EOF	HBS FELLOWSHIP PROGRAM	35,000
THE CALIFORNIA EDUCATION PARTNERS ONE LOMBARD ST 305 SAN FRANCISCO, CA 94111		EOF	FUND GENERAL OPERATING	1,795,000
AIM HIGH 2030 HARRISON ST 3RD FL SAN FRANCISCO, CA 94110		EOF	EDUCATION PROGRAM	50,000
SAN FRANCISCO BOYS AND GIRLS CLUB 380 FULTON STREET SAN FRANCISCO, CA 94102		PC	EDUCATION PROGRAM	50,000
<b>Total . . . . .</b>				4,965,000

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
SAN FRANCISCO UNIVERSITY HIGH SCHOOL 3065 JACKSON STREET SAN FRANCISCO, CA 94115		EOF	EDUCATION PROGRAM	25,000
INTERNTIONAL NETWORK FOR PUBLIC SCH 50 BROADWAY SUITE 2200 NEW YORK, NY 10004		EOF	EDUCTION PROGRAM	125,000
SF CASA 2535 MISSION STREET SAN FRANCISCO, CA 94110		EOF	EDUCATION PROGRAM	50,000
JAMESTOWN COMMUNITY CENTER 3382 26TH STREET SAN FRANCISCO, CA 94110		EOF	FURTHER CHARITABLE PURPOSE	50,000
SF JAZZ 201 FRANKLIN STREET SAN FRANCISCO, CA 94102		EOF	FURTHER CHARITABLE PURPOSE	25,000
POSSEE FOUNDATION 14 WALL STREET STE 8A-60 NEW YORK, NY 10005		EOF	FURTHER CHARITABLE PURPOSE	50,000
COMMUNITY INITIATIVES 354 PINE STREET SUITE 700 SAN FRANCISCO, CA 94104		EOF	EDUCATION PROGRAM	225,000
COMPASS FAMILY SERVICES 49 POWELL STREET SAN FRANCISCO, CA 94102		EOF	FURTHER CHARITABLE PURPOSE	50,000
CORE DISTRICTS 1107 9TH STREET SUITE 500 SAN FRANCISCO, CA 95814		EOF	EDUCATION PROGRAMS	250,000
PARTN FOR CHILD YOUTH 1330 BROADWAY SUITE 601 OAKLAND, CA 94612		EOF	FURTHER CHARITABLE PURPOSE	100,000
SAN FRANCISCO MOMA 151 THIRD STREET SAN FRANCISCO, CA 94103		EOF	FURTHER CHARITABLE PURPOSE	15,000
TEN STRANDS 330 IGNACIO BLVD SUITE 201 NOVATO, CA 94949		EOF	FURTHER CHARITABLE PURPOSE	10,000
OCCIDENTAL COLLEGE 1600 CAMPUS RD M-33 LOS ANGELES, CA 90041		EOF	GENERAL OPERATIONS	100,000
TNTP 186 JORALEMON ST STE 300 BROOKLYN, NY 11201		EOF	ADAEMIC DIAGNOSTIC SERVICE	50,000
TRELLIS EDUCATION 692 3RD AVENUE SAN FRANCISCO, CA 94118		EOF	GENERAL OPERATIONS	100,000
<b>Total . . . . .</b>			<b>► 3a</b>	<b>4,965,000</b>

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
YOUTH SPEAKS 1663 MISSION ST 604 SAN FRANCISCO, CA 94103		EOF	GENERAL OPERATIONS	75,000
<b>Total</b>			► 3a	4,965,000

**Form 990PF Part XVI-A Line 11 - Other revenue:**

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See the instructions )
11 Other revenue	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
a BAIN CAPITAL (SQ) VIII LP			14	-13,639	
b BAIN CAPITAL FD VII&VIII			14	511,090	
c CCG INVESTMENT BVI LP			14	83	
d CCG INVESTMENT FUND LP			14	57	
e CHENGWEI VENTURES FD I			14		
f FLAG VENTURES PTR IV LP			14		
g GOLDEN DATE CAP II BVI			14	13,553	
h GOLDEN GATE CAP INV FD II			14	449,334	
i GOLDEN GATE CAP INV FDIIA			14	1,911	
j GOLDEN GATE CAP INV II-BV			14		
k GOLDEN GATE INV II-A ADJ			14	1,006	
l GOLDEN GATE OPPORTUNITY			14	225,717	
m GOLDMAN SACHS-ORD INCOME			14		
n GREYLOCK XI LP			14	-587,873	
o GS MEZZANINE LLP			14	10,098	
p NEWENTERPRISE FUND II			14	-58,934	
q NORTHGATE PRIVATE EQUITY			14	24,869	
r NORTHGATE VENTURE PTR II			14	113,012	
s UNIVERSITY TECH VENTURES			14	76,423	
t WPC ENTERPRENEUR FUND II			14	223	

## TY 2015 Accounting Fees Schedule

**Name:** ROBERT AND RUTH HALPERIN FOUNDATION

**EIN:** 94-3334424

**Software ID:** 15000324

**Software Version:** 2015v2.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION & ADVISORY	36,882	36,882	0	0

## TY 2015 Contractor Compensation Explanation

**Name:** ROBERT AND RUTH HALPERIN FOUNDATION

**EIN:** 94-3334424

**Software ID:** 15000324

**Software Version:** 2015v2.0

<b>Contractor</b>	<b>Explanation</b>
GOLDSTEIN MUNGER & ASSOCIATES	INVESTMENT MANAGEMENT AND ADVISORY FEES

## TY 2015 Investments Corporate Stock Schedule

**Name:** ROBERT AND RUTH HALPERIN FOUNDATION

**EIN:** 94-3334424

**Software ID:** 15000324

**Software Version:** 2015v2.0

Name of Stock	End of Year Book Value	End of Year Fair Market Value
GOLDMAN SACHS - MONEY MARKET FUND	332,130	332,130
GS - MEZZANINE	84,781	101,807
PICARRO 6,282,601 SHARES	16,071,228	3,901,068
CHARLES SCHWAB - SECURITIES	95,160,121	99,985,880
CHARLES SCHWAB - MONEY MARKET FUND	1,742,142	1,742,142
CHARLES SCHWAB-DIVIDEND REC	22,251	22,251
BROKERAGES - OTHER	3	3

## TY 2015 Investments - Other Schedule

**Name:** ROBERT AND RUTH HALPERIN FOUNDATION**EIN:** 94-3334424**Software ID:** 15000324**Software Version:** 2015v2.0

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
BAIN CAPITAL (SQ) VIII LP	AT COST		
BAIN CAPITAL (TRU) VIII LP	AT COST	367,994	73,709
BAIN CAPITAL FUND VII LP	AT COST	277,783	603,658
BAIN CAPITAL FUND VIII LP	AT COST	678,151	1,096,199
CCG INVESTMENTS BVI LP	AT COST	556,921	884,510
CCG INVESTMENTS FUND LP	AT COST	1,455,994	672,369
CCG INVESTMENTS LLC	AT COST	7,361	196,296
CHENGWEI VENTURES FUND I LP	AT COST	105,176	378,356
FLAG VENTURE PARTNERS IV LP	AT COST		
GOLDEN GATE CAPITAL INV FD II LP	AT COST	1,166,922	1,772,037
GOLDEN GATE CAPITAL INV FD II-A LP	AT COST	659,751	48,783
GOLDEN GATE CAPITAL INVS II LLC	AT COST	73,221	89,639
GOLDEN GATE CAPITAL INVS II-A ADJ (BVI)	AT COST	849,854	1,041,247
GOLDEN GATE CAPITAL INV II (BVI) LP	AT COST	1,569,110	1,533,166
GOLDEN GATE OPPORTUNITY FD LP	AT COST	5,976,338	8,613,817
GREYLOCK XI LP	AT COST	514,529	381,361
NEW ENTERPRISE ASSOC 10 LP	AT COST	3,502,175	3,152,306
NORTHGATE PRIV EQUITY PTRS LP	AT COST	67,315	101,826
NORTHGATE VENTURE PARTNERS II LP	AT COST	483,418	687,457
UNIVERSITY TECHNOLOGY VENTURES	AT COST	199,062	198,055
WPC ENTREPRENEUR FUND II LP	AT COST	7,735	5,788

## TY 2015 Other Expenses Schedule

**Name:** ROBERT AND RUTH HALPERIN FOUNDATION

**EIN:** 94-3334424

**Software ID:** 15000324

**Software Version:** 2015v2.0

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK FEES & CHARGES	270	270		
GRANT ADMINISTRATION	52,996	52,996		
GS MEZZANINE PARTNERSHIP EXP	1,315	1,315		
PARTNERSHIP INTEREST EXPENSES	590	590		
PARTNERSHIP INVESTMENT EXPENSES	310,105	310,105		
PARTNERSHIP OTHER EXPENSES	9,231	9,231		
PROGRAM EXPENSES	80,638			80,638
SUPPLIES	92	92		

## TY 2015 Other Income Schedule

**Name:** ROBERT AND RUTH HALPERIN FOUNDATION**EIN:** 94-3334424**Software ID:** 15000324**Software Version:** 2015v2.0

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
BAIN CAPITAL (SQ) VIII LP	-13,639	-13,639	
BAIN CAPITAL FD VII&VIII	511,090	511,090	
CCG INVESTMENT BVI LP	83	83	
CCG INVESTMENT FUND LP	57	57	
GOLDEN DATE CAP II BVI	13,553	13,553	
GOLDEN GATE CAP INV FD II	449,334	449,334	
GOLDEN GATE CAP INV FDIIA	1,911	1,911	
GOLDEN GATE INV II-A ADJ	1,006	1,006	
GOLDEN GATE OPPORTUNITY	225,717	225,717	
GREYLOCK XI LP	-587,873	-587,873	
GS MEZZANINE LLP	10,098	10,098	
NEW ENTERPRISE FUND II	-58,934	-58,934	
NORTHGATE PRIVATE EQUITY	24,869	24,869	
NORTHGATE VENTURE PTR II	113,012	113,012	
UNIVERSITY TECH VENTURES	76,423	76,423	
WPC ENTERPRENEUR FUND II	223	223	

## TY 2015 Other Increases Schedule

**Name:** ROBERT AND RUTH HALPERIN FOUNDATION

**EIN:** 94-3334424

**Software ID:** 15000324

**Software Version:** 2015v2.0

Description	Amount
ROUNDING	1

## TY 2015 Other Professional Fees Schedule

**Name:** ROBERT AND RUTH HALPERIN FOUNDATION**EIN:** 94-3334424**Software ID:** 15000324**Software Version:** 2015v2.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES-CS	305,330	305,330	0	0

## TY 2015 Taxes Schedule

**Name:** ROBERT AND RUTH HALPERIN FOUNDATION**EIN:** 94-3334424**Software ID:** 15000324**Software Version:** 2015v2.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES PAID	21,547	21,547		
LICENSES & OTHER FEES	160	160		

2015

**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

► Attach to Form 990, 990-EZ, or 990-PF.  
 ► Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at  
[www.irs.gov/form990](http://www.irs.gov/form990).

**Name of the organization**

ROBERT AND RUTH HALPERIN FOUNDATION

**Employer identification number**

94-3334424

Organization type (check one)

**Filers of:****Section:**

Form 990 or 990-EZ

501(c)( ) (enter number) organization  
 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation  
 527 political organization

Form 990-PF

501(c)(3) exempt private foundation  
 4947(a)(1) nonexempt charitable trust treated as a private foundation  
 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

**Special Rules**

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33<sup>1</sup>/<sub>3</sub>% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than \$1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling \$5,000 or more during the year . . . . . ► \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

**Name of organization**

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**Part I****Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ESTATE OF ROBERT M HALPERIN 80 RESERVOIR RD  ATHERTON, CA 94027	\$ 28,112,585	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )

**Name of organization**

ROBERT AND RUTH HALPERIN FOUNDATION

**Employer identification number**

94-3334424

**Part II****Noncash Property**

(see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	851,112 SHARES OF INTEL CORP STOCK	\$ 28,078,185	2015-03-02
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	

<b>Name of organization</b> ROBERT AND RUTH HALPERIN FOUNDATION	<b>Employer identification number</b> 94-3334424
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**Part III** *Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.)* ► \$ \_\_\_\_\_