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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No. 1545-0052

2015

Open to Public Inspection

For calendar year 2015 or tax year beginning **JANUARY 1**, 2015, and ending **DECEMBER 31**, 20 **15**

Name of foundation TADE HARTSUFF THOMAS FOUNDATION		A Employer identification number 94-3293774
Number and street (or P.O. box number if mail is not delivered to street address) 2220 CALIFORNIA STREET	Room/suite	B Telephone number (see instructions) 415 567 2050
City or town, state or province, country, and ZIP or foreign postal code SAN FRANCISCO, CA 94115		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input checked="" type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 628,155.00		J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	6,423.00			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	51,476.00	51,476.00		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		35,492.00		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	57,899.00	86,968.00	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	531.00	531.00	531.00	531.00
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	284.00	284.00	284.00	284.00
	24 Total operating and administrative expenses. Add lines 13 through 23	815.00	815.00	815.00	815.00
	25 Contributions, gifts, grants paid	33,301.00			33,301.00
26 Total expenses and disbursements. Add lines 24 and 25	34,116.00	815.00	815.00	34,116.00	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	23,783.00				
b Net investment income (if negative, enter -0-)		86,153.00			
c Adjusted net income (if negative, enter -0-)			0		

For Paperwork Reduction Act Notice, see Instructions.

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	86 135.00	26 795.00	26 795.00
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	531 677	624 422.00	601,380.00
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment: basis ▶			
Liabilities	Less: accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			
	14 Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	617 812.00	651 217.00	628 155.00
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
Net Assets or Fund Balances	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0		
	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	617,812.00	651,217.00	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0	0	
	29 Retained earnings, accumulated income, endowment, or other funds	0	0	
	30 Total net assets or fund balances (see instructions)	617 812.00	651 217.00	
	31 Total liabilities and net assets/fund balances (see instructions)	617,812.00	651,217.00	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	617,812.00
2 Enter amount from Part I, line 27a	2	23,873.00
3 Other increases not included in line 2 (itemize) ▶ Restructured stk holdings	3	9,532.00
4 Add lines 1, 2, and 3	4	651,217.00
5 Decreases not included in line 2 (itemize) ▶	5	0
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	651,217.00

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	see schedule 1 all stocks purchased & sold			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	35,492.00
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 }	3	(-28,655.85)

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	50,947.00	718,040.00	.07
2013	33,593.00	673,478.00	.0498
2012	38,130.00	589,304.00	.06
2011	38,528.00	592,843.00	.06
2010	16,169.00	534,829.00	.03

2	Total of line 1, column (d)	2	.2898
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.0596
4	Enter the net value of noncharitable-use assets for 2015 from Part X, line 5	4	627,825.
5	Multiply line 4 by line 3	5	37,418.00
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	881.53
7	Add lines 5 and 6	7	38,279.00
8	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	34,116.00

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	1,724.00
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	1,724.00
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	1,724.00
6	Credits/Payments:		
a	2015 estimated tax payments and 2014 overpayment credited to 2015	6a	0
b	Exempt foreign organizations—tax withheld at source	6b	0
c	Tax paid with application for extension of time to file (Form 8868)	6c	0
d	Backup withholding erroneously withheld	6d	0
7	Total credits and payments. Add lines 6a through 6d	7	0
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	0
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	1,724.00
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	0
11	Enter the amount of line 10 to be: Credited to 2016 estimated tax Refunded	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		✓
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		✓
c Did the foundation file Form 1120-POL for this year?		✓
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ 0 (2) On foundation managers. ▶ \$ 0		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		✓
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		✓
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		✓
b If "Yes," has it filed a tax return on Form 990-T for this year?		✓
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		✓
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		✓
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	✓	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CALIFORNIA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	✓	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	✓	
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		✓

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		<input checked="" type="checkbox"/>
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		<input checked="" type="checkbox"/>
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶	<input checked="" type="checkbox"/>	
14 The books are in care of ▶ EDWIN THOMAS Telephone no. ▶ 415 567 2050 Located at ▶ 2220 CALIFORNIA STREET, SAN FRANCISCO, CA ZIP+4 ▶ 94115		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here. and enter the amount of tax-exempt interest received or accrued during the year ▶ 15		<input type="checkbox"/>
16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶	<input type="checkbox"/>	<input checked="" type="checkbox"/>

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

	Yes	No
1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>	1b	<input checked="" type="checkbox"/>
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1c	<input checked="" type="checkbox"/>
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____ b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.) c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here: ▶ 20____, 20____, 20____, 20____	2b	<input checked="" type="checkbox"/>
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)	3b	<input checked="" type="checkbox"/>
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	<input checked="" type="checkbox"/>
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b	<input checked="" type="checkbox"/>

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b**Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b**

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b****Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOHN R. THOMAS 2220 CALIF. ST. SAN FRANCISCO, CA 94115	PRESIDENT	0	0	0
GRETCHEN W THOMAS 2220 CALIF. ST., SAN FRANCISCO, CA. 94115	VICE-PRESIDENT	0	0	0
TAD S. THOMAS 95747 2209 HIGH TRAIL WAY., ROSEVILLE, CA 95747	SECRET3RY	0	0	0
EDWIN W. THOMAS 2220 CALIF. ST. SAN FRANCISCO, CA 94115	CHIEF FINANCIAL OFFICER	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
N/A				

Total number of other employees paid over \$50,000 ☐

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
N/A		
Total number of others receiving over \$50,000 for professional services		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	N/A	
2		
3		
4		

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	N/A	
2	N/A	
All other program-related investments. See instructions.		
3	N/A	
Total. Add lines 1 through 3		

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	608,051.00
b	Average of monthly cash balances	1b	29,333.00
c	Fair market value of all other assets (see instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	637,384.00
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	637,384.00
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	9,561.00
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	627,823.00
6	Minimum investment return. Enter 5% of line 5	6	31,391.00

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	31,391.00
2a	Tax on investment income for 2015 from Part VI, line 5	2a	1,723.00
b	Income tax for 2015. (This does not include the tax from Part VI.)	2b	0
c	Add lines 2a and 2b	2c	1,723.00
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	29,668.00
4	Recoveries of amounts treated as qualifying distributions	4	0
5	Add lines 3 and 4	5	29,668.00
6	Deduction from distributable amount (see instructions)	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	29,668.00

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	34,116.00
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	34,116.00
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	881.53
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	33,254.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
1 Distributable amount for 2015 from Part XI, line 7				29,668.00
2 Undistributed income, if any, as of the end of 2015:				
a Enter amount for 2014 only			0	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2015:				
a From 2010				0
b From 2011				0
c From 2012				0
d From 2013				9,569.00
e From 2014				16,107.00
f Total of lines 3a through e	25,676.00			
4 Qualifying distributions for 2015 from Part XII, line 4: ► \$ 34,116.00				
a Applied to 2014, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions)		0		
c Treated as distributions out of corpus (Election required—see instructions)	0			
d Applied to 2015 distributable amount				29,668.00
e Remaining amount distributed out of corpus	4,448.00			
5 Excess distributions carryover applied to 2015 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	30,124.00			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions		0		
e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)	0			
8 Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions)	0			
9 Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a	30,124.00			
10 Analysis of line 9:				
a Excess from 2011				0
b Excess from 2012				0
c Excess from 2013				9,569.00
d Excess from 2014				16,107.00
e Excess from 2015				4,448.00

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter $\frac{2}{3}$ of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

EDWIN THOMAS, 2220 CALIFORNIA STREET, SAN FRANCISCO, CA 94115

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

N/A

b The form in which applications should be submitted and information and materials they should include:

N/A

c Any submission deadlines:

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

N/A

Part XV Supplementary Information *(continued)***3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SALEM BIBLE CHURCH, SALEM, OHIO 44460	NONE	PUBLIC	MISSIONARY WORK	7,000.00
HAMILTON SQUARE BAPTIST CHURCH SAN FRANCISCO, CA 94109	NONE	PUBLIC	MISSIONARY WORK	1,000.00
JUDICIAL WATCH, WASHINGTON DC 20024	NONE	PUBLIC	EDUCATION	500.00
GOSPEL FELLOWSHIP GREENVILLE, SC 29609	NONE	PUBLIC	MISSIONARY WORK	10,000.00
LEADERSHIP INSTITUTE ARLINGTON, VA 22201-9895	NONE	PUBLIC	EDUCATION	800.00
YOUNG AMERICAN'S FOUNDATION RESTON, VA 20191-9813	NONE	PUBLIC	EDUCATION	500.00
INTERNATIONAL FELLOWSHIP CHRISTIANS & JEWS WASHINGTON DC 20090	NONE	PUBLIC	HUMANITARIAN RELIEF	500.00
HILLSDALE COLLEGE, HILLSDALE, MI 49242	NONE	PUBLIC	EDUCATION	13,001.00
Total			3a	33,301.00
b Approved for future payment				
SALEM BIBLE CHURCH, SALEM, OHIO 44460	NONE	PUBLIC	MISSIONARY	4,000.00
HAMILTON SQUARE BAPTIST CHURCH SAN FRANCISCO, CA 94109	NONE	PUBLIC	MISSIONARY	3,500.00
JUDICIAL WATCH, WASHINGTON DC 20024	NONE	PUBLIC	EDUCATION	500.00
LEADERSHIP INSTITUTE ARLINGTON, VA 22201-9895	NONE	PUBLIC	EDUCATION	500.00
YOUNG AMERICAN'S FOUNDATION RESTON, VA 20191-9813	NONE	PUBLIC	EDUCATION	500.00
INTERNATIONAL FELLOWSHIP CHRISTIANS & JEWS WASHINGTON DC 20090	NONE	PUBLIC	HUMANITARIAN RELIEF	500.00
HILLSDALE COLLEGE, HILLSDALE, MI 49242	NONE	PUBLIC	EDUCATION	26,000.00
Total			3b	35,500.00

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue:					
a	N/A					
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities					
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory					
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue: a _____					
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal. Add columns (b), (d), and (e)					
13	Total. Add line 12, columns (b), (d), and (e)					

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
|--|--|-----|----|
| a Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| (1) Cash | | | ✓ |
| (2) Other assets | | | ✓ |
| b Other transactions: | | | |
| (1) Sales of assets to a noncharitable exempt organization | | | ✓ |
| (2) Purchases of assets from a noncharitable exempt organization | | | ✓ |
| (3) Rental of facilities, equipment, or other assets | | | ✓ |
| (4) Reimbursement arrangements | | | ✓ |
| (5) Loans or loan guarantees | | | ✓ |
| (6) Performance of services or membership or fundraising solicitations | | | ✓ |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | | | ✓ |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

3-3-16
Date

CHIEF FINANCIAL OFFICER
Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date _____

Check ☐ if self-employed

PTIN

Firm's name ▶

Firm's address ►

Firm's EIN ►

Phone no. _____

Name of organization

Employer identification number

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-----	Edwin W. Thomas 2220 California Street San Francisco, CA 94115	\$ 8,423.00	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
-----		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
-----		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
-----		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
-----		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
-----		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
-----		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

TADE HARTSUFF THOMAS FOUNDATION

FINANCIAL STATEMENT ENDING DECEMBER 31, 2015

INCOME

Charles Schwab Broker			
Ordinary & Qualified Dividends	\$	39,275.00	
Partnership Distributions		8,433.00	
Interest Income		<u>3,768.00</u>	
			51,476.00
Contributions			<u>6,423.00</u>
Total Income	\$		57,899.00

EXPENSES

AG Registry of Charitable Trust	25.00		
The Record, SF, Ca	85.00		
IRS	531.00		
Office Supplies	164.00		
Franchise Tax Board	<u>10.00</u>		
Total Expenses	\$		815.00

FOUNDATION DISBURSEMENTS

Salem Bible Church,	7,000.00		
Salem, Ohio 44460			
Hamilton Square Baptist Church	1,000.00		
San Francisco, Ca. 94109			
Judicial Watch	500.00		
Washington DC			
Gospel Fellowship	10,000.00		
Greenville, SC 29609			
Leadership Institute	800.00		
Arlington, Va 22201-9895			
Young American's Foundation	500.00		
Reston, Va 20191-9813			
International Fellowship Christian	500.00		
& Jews, Washington DC 20090			
Hillsdale College, Hillsdale Mi	<u>13,001.00</u>		
Total Disbursements	\$	<u>33,301.00</u>	
			<u>34,116.00</u>
			23,783.00

FOUNDATION NET WORTH

Cash on Hand	26,794.00		
Securities	<u>601,360.00</u>		
NET WORTH	\$		628,154.00

TADE HARTSUFF THOMAS FOUNDATION
SAN FRANCISCO, Ca 94115

EXPENDITURE 2015

03/03/15	Salem Bible Church Salem, OH 44460	229	2 000.00
03/06/15	The Recorder Newark, NJ 07191	230	85.00
03/06/15	Registry of Charitable Trusts Sacramento, Ca 94224	231	25.00
03/06/15	Internal Revenue Service Ogden, UT 84201	232	531.00
03/06/15	Franchise Tax Board Sacramento, Ca 94224	233	10.00
03/08/15	Hamilton Square Baptist Church San Francisco Ca 94109	234	1 000.00
05/15/15	Judicial Watch Washington, DC 20024	235	500.00
05/15/15	Leadership Institute Arlington, VA 22201-9895	236	500.00
07/05/15	Salem Bible Church Salem, OH 44460	237	2 000.00
08/03/15	Gospel Fellowship Assos. Greenville,SC29609	238	10,000.00
08/03/15	Leadership Institute Arlington, VA 22201-9895	239	300.00
09/07/15	International Fellowship Christiam & Jews Washington, DC 20090-6105	240	500.00
10/31/15	Young American's Foundation Reston, Va 20191-9813	241	500.00
10/31/15	Salem Bible Church Salem, OH 44460	242	3 000.00
12/26/15	Office Supplies Chase Card Service	244	164.40
12/27/15	Hillsdale College Hillsdale ,MI 49242	245	<u>13,001.00</u>
			\$ 34 116.40

TADE HARTSUFF THOMAS FOUNDATION
FINANCIAL STATEMENT ENDING DECEMBER 31, 2015

BOOK VALUE	COST	FAIR MKT- VALUE	INCOME
10,000 CALAMOS CONV & HIGH	131,221.00	105,100.00	15,840.00
2,000 COHEN & STEERS REIT	33,258.00	36,880.00	2,775.00
1,000 CONOCOPHILLIPS	51,055.00	46,690.00	740.00
6,000 FRONTIER COMM CO	29,146.00	28,020.00	2,623.00
4,000 HANCOCK JOHN PFD	76,068.00	48,000.00	5,278.00
1.000 JOHN HANCOCK	16,653.00	17,860.00	1,466.00
11,000 RR DONNELLEY	151,810.00	161,920.00	11,440.00
1,000 AMERIGAS PARTNERS,	35,936.00	34,270.00	3,640.00
300 COUNTRYWIDE CAP	7,500.00	7,590.00	505.00
2,000 ENBRIDGE ENERGY	54,712.00	46,140.00	3,738.00
500 I N G GROEP PFD	12,598.00	12,970.00	793.00
1,000 MORGAN STNLY TR	25,480.00	25,487.00	1,563.00
1,000 ENERGY TRANSFER PT		SOLD	1,055.00
INTEREST			20.00
TOTAL	624,422.00	601,360.00	51,476.00

AVERAGE MONTHLY VALUE OF SECURITIES, INCOME & CASH PART X

	INCOME	CASH	ASSETS
DECEMBER	7,267	26,794	601,360
NOVEMBER	4,825	70,562	583,227
OCTOBER	1,809	68,864	609,745
SEPTEMBER	6,524	13,745	609,951
AUGUST	3,340	20,955	626,553
JULY	2,377	90,905	598,176
JUNE	6,522	13,667	688,814
MAY	3,965	29,231	722,945
APRIL	7,114	2,313	753,320
FEBRUARY	3,893	4,035	780,684
JANUARY	1,431	10,925	721,842
TOTAL	49,068	351,995	7,296,617
AVERAGE MONTH	4,089	29,333	608,051

EXPENSES

AG Registry of Charitable Trust	25.00
The Record,SF,Ca	85.00
IRS	531.00
Office Supplies	164.00
Franchise Tax Board,Sac. Ca	<u>10.00</u>
-	\$ 815.40



Accounts > History & Statements

History

Transactions Statements & Reports Realized Gain / Loss

View Unrealized Gain/Loss

Trades made today will not appear until tomorrow
Cost Basis Calculator Rules & Assumptions

Select Date Range

From



To



Previous Calendar Year 2

01/01/2015

12/31/2015


Search

Reporting Period: 01/01/2015 to 12/31/2015

Proceeds		Cost Basis	Total Gain/Loss	Long Term Gain/Loss		Short Term Gain/Loss		
\$391,948.98		\$385,112.76 ▲	+\$6,836.22 (1.78%) ▲	+\$35,492.07 (32.69%) ▲		-\$28,655.85 (-10.36%)		
							Gain/Loss (\$ %)	
Symbol	▲ Name (Full Short)	Closed Date	Quantity	Proceeds	Cost Basis	Total	Long Term	Short Term
CHY	CALAMOS CONV & HIGH INCO	07/28/2015	2,000	\$24,390.80	\$28,339.49	-\$3,948.69		-\$3,948.69
CHY	CALAMOS CONV & HIGH INCO	07/28/2015	2,000	\$24,390.60	\$28,111.19	-\$3,720.59		-\$3,720.59
CHY	CALAMOS CONV & HIGH INCO	08/20/2015	1,800	\$21,218.39	\$24,764.05	-\$3,545.66		-\$3,545.66
CHY	CALAMOS CONV & HIGH INCO	08/20/2015	1,700	\$20,039.59	\$23,416.61	-\$3,377.02		-\$3,377.02
CHY	CALAMOS CONV & HIGH INCO	08/20/2015	1,500	\$17,681.98	\$20,576.19	-\$2,894.21		-\$2,894.21
COP	CONOCOPHILLIPS	10/26/2015	1,000	\$53,310.07	\$49,718.60	+\$3,591.47		+\$3,591.47
RRD	DONNELLEY R R & SONS CO	02/25/2015	350	\$6,626.93	\$5,074.63	+\$1,552.30	+\$1,552.30	
RRD	DONNELLEY R R & SONS CO	03/31/2015	500	\$9,692.12	\$5,879.48	+\$3,812.64	+\$3,812.64	
RRD	DONNELLEY R R & SONS CO	03/31/2015	400	\$7,753.70	\$5,663.58	+\$2,090.12	+\$2,090.12	
RRD	DONNELLEY R R & SONS CO	03/31/2015	300	\$5,815.28	\$4,247.68	+\$1,567.60	+\$1,567.60	
RRD	DONNELLEY R R & SONS CO	03/31/2015	300	\$5,815.28	\$4,247.69	+\$1,567.59	+\$1,567.59	
RRD	DONNELLEY R R & SONS CO	03/31/2015	150	\$2,907.58	\$2,174.84	+\$732.74	+\$732.74	
EEP	ENBRIDGE ENERGY PTNR LP	06/29/2015	2,000	\$68,515.79	\$57,589.85	+\$10,925.94	+\$10,925.94	
ETP	ENERGY TRANSFER PART LP	11/12/2015	688	\$26,446.95	\$30,608.40	-\$4,161.45		-\$4,161.45
ETP	ENERGY TRANSFER PART LP	11/12/2015	312	\$11,993.39	\$13,880.55	-\$1,887.16		-\$1,887.16
FTR	FRONTIER COMM CO	05/14/2015	9,000	\$48,410.16	\$57,122.70	-\$8,712.54		-\$8,712.54

FTR	FRONTIER COMMUNICATIONS	03/31/2015	2,000	\$14,776 15	\$9,408 95	+\$5,367 20	+\$5,367 20	
FTR	FRONTIER COMMUNICATIONS	03/31/2015	1,776	\$13,121 23	\$8,408 43	+\$4,712 80	+\$4,712 80	
FTR	FRONTIER COMMUNICATIONS	03/31/2015	500	\$3,694 05	\$2,409 57	+\$1,284 48	+\$1,284 48	
FTR	FRONTIER COMMUNICATIONS	03/31/2015	384	\$2,837 03	\$1,850 54	+\$986 49	+\$986 49	
FTR	FRONTIER COMMUNICATIONS	03/31/2015	96	\$709 17	\$462 64	+\$246 53	+\$246 53	
FTR	FRONTIER COMMUNICATIONS	03/31/2015	76	\$561 50	\$359 82	+\$201 68	+\$201 68	
FTR	FRONTIER COMMUNICATIONS	03/31/2015	58	\$428 52	\$274 60	+\$153 92	+\$153 92	
FTR	FRONTIER COMMUNICATIONS	03/31/2015	48	\$354 64	\$227 25	+\$127 39	+\$127 39	
FTR	FRONTIER COMMUNICATIONS	03/31/2015	42	\$310 31	\$198 85	+\$111 46	+\$111 46	
FTR	FRONTIER COMMUNICATIONS	03/31/2015	20	\$147 77	\$96 58	+\$51 19	+\$51 19	
939ESC968	WA FUNDING TR III XXX ES	02/11/2015	500	\$0 00	N/A	N/A	N/A	N/A

 Total includes missing cost basis values for one or more lots

 The total Realized Gain/Loss for this account includes values for Short Positions held in the account For more information on summary totals when there are Short Positions, please see the Help Section

 Data for this holding has been edited

N/A Not Available

 Wash Sale activity has adjusted this cost For additional information, click here

Values for fixed income securities have been adjusted for amortization/accretion, with the exception of variable rate and mortgage-backed securities or for accounts with this preference turned off

Total Cash represents income and expenses as they occur, it may be inconsistent with values shown elsewhere on Schwab.com and on your monthly account statement

The Realized Gain/Loss page provides summary information of closed transactions Not all closed transactions appear on this page

Please view the [Cost Basis Disclosure Statement](#) for additional information on cost basis methods choices and how Schwab reports adjusted cost basis information to the IRS



Please note that gains and losses realized in retirement accounts are not recognized for tax purposes Rather, income may be recognized when you receive a cash distribution from these accounts Please contact your tax advisor for further information

The total Realized Gain/Loss for this account includes values for Short Positions held in the account For more information on summary totals when there are Short Positions, please see the Help Section


(0611-3926)

Brokerage Products: Not FDIC Insured • No Bank Guarantee • May Lose Value

Account XXXX-X295
Today's Date 04 49 PM ET, 01/17/2016

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NOTE Funds deposited at an FDIC insured institution are insured, in aggregate, up to \$250,000 per depositor, per insured institution based upon account type by the Federal Deposit Insurance Corporation (FDIC)

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